

# Lexington-Fayette Urban County Government

### Request for Qualifications

The Lexington-Fayette Urban County Government hereby requests proposals for RFQ #34-2025 Main & Vine Streetscape Plantings & Amenities Redesign Plan to be provided in accordance with terms, conditions and specifications established herein.

Sealed submittals will be received through Ion Wave until **2:00 PM**, prevailing local time, on **September 29, 2025.** All forms and information requested in RFQ must be included and attached in Response Attachments tab in Ion Wave.

Submittals received after the date and time set for opening submittals will not be accepted. It is the sole responsibility of the Proposer to assure that his/her proposal is submitted in lon Wave before the date and time set for opening submittals.

Submittals, once submitted, may not be withdrawn for a period of ninety (90) calendar days.

The Lexington-Fayette Urban County Government reserves the right to reject any or all submittals, and to waive technicalities and informalities when such waiver is determined by the Lexington-Fayette Urban County Government to be in its best interest.

Signature of this submittal by the Proposer constitutes acceptance by the Proposer of terms, conditions and requirements set forth herein.

Minor exceptions may not eliminate the submittal. Any exceptions to the specifications established herein shall be listed in detail on a separate sheet and attached hereto. The Lexington-Fayette Urban County Government shall determine whether any exception is minor.

The Lexington-Fayette Urban County Government encourages the participation of minority- and women-owned businesses in Lexington-Fayette Urban County Government contracts. This submittal is subject to Affirmative Action requirements attached hereto.

Please do not contact any LFUCG staff member or any other person involved in the selection process other than the designated contact person(s) regarding the project contemplated under this RFQ while this RFQ is open and a selection has not been finalized. Any attempt to do so may result in disqualification of the firm's submittal for consideration.

#### Laws and Regulations

All applicable state laws, municipal ordinances and regulations of all authorities having jurisdiction over the project shall apply to the contract and shall be deemed to be incorporated herein by reference.

#### **Equal Employment Opportunity**

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

#### **Kentucky Equal Employment Opportunity Act**

The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any "county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

"During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age, or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age, or national origin;
- (3) The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provision of the nondiscrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers'

representative of the contractor's commitments under the nondiscrimination clauses."

#### The Act further provides:

"KRS 45.610. Hiring minorities -- Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetables.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

KRS 45.620. Action against contractor -- Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job."

It is recommended that all of the provisions above quoted be included as <u>special conditions</u> in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his workforce in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

#### **LFUCG Non-Appropriation Clause**

Contractor acknowledges that the LFUCG is a governmental entity, and the contract validity is based upon the availability of public funding under the authority of its statutory mandate.

In the event that public funds are unavailable and not appropriated for the performance of the LFUCG's obligations under this contract, then this contract shall automatically expire without penalty to the LFUCG thirty (30) days after written notice to Contractor of the unavailability and non-appropriation of public funds. It is expressly agreed that the LFUCG shall not activate this non-appropriation provision for its convenience or to circumvent the requirements of this contract, but only as an emergency fiscal measure during a substantial fiscal crisis, which affects generally its governmental operations.

In the event of a change in the LFUCG's statutory authority, mandate and mandated functions, by state and federal legislative or regulatory action, which adversely affects the LFUCG's authority to continue its obligations under this contract, then this contract shall automatically terminate without penalty to the LFUCG upon written notice to Contractor of such limitation or change in the LFUCG's legal authority.

#### **Contention Process**

Vendors who respond to this invitation have the right to file a notice of contention associated with the RFQ process or to file a notice of appeal of the recommendation made by the Director of Central Purchasing resulting from this invitation.

Notice of contention with the RFQ process must be filed within 3 business days of the bid/submittal opening by (1) sending a written notice, including sufficient documentation to support contention, to the Director of the Division of Central Purchasing or (2)

submitting a written request for a meeting with the Director of Central Purchasing to explain his/her contention with the RFQ process. After consulting with the Commissioner of Finance the Chief Administrative Officer and reviewing the documentation and/or hearing the vendor, the Director of Central Purchasing shall promptly respond in writing findings as to the compliance with RFQ processes. If, based on this review, an RFQ process irregularity is deemed to have occurred the Director of Procurement will consult with the Commissioner of Finance, the Chief Administrative Officer and the Department of Law as to the appropriate remedy.

Notice of appeal of a RFQ recommendation must be filed within 3 business days of the RFQ recommendation by (1) sending a written notice, including sufficient documentation to support appeal to the Director, Division of Procurement or (2) submitting a written request for a meeting with the Director of Procurement to explain his appeal. After reviewing the documentation and/or hearing the vendor and consulting with the Commissioner of Finance and the Chief Administrative Officer, the Director of Procurement shall in writing, affirm or withdraw the recommendation.

#### **SELECTION CRITERIA:**

The LFUCG's Selection Committee shall consider the following factors when it evaluates the submittals received:

- 1. **Experience and Qualifications** of the firm and key personnel, including relevant streetscape, urban design, and public space projects (30 points)
- 2. **Understanding of Local Context**, including familiarity with Lexington's downtown environment and LFUCG's goals, constraints, and operations (15 points)
- 3. Clarity and Feasibility of the Proposed Methodology, including the firm's understanding of the project intent and approach to coordination, engagement, and delivery (30 points)
- 4. **Timeline and Work Plan**, including responsiveness to project requirements, schedule feasibility, and capacity to meet deadlines (20 points)
- 5. **Quality of References** provided from comparable past projects (5 points)

Submittals shall contain the appropriate information necessary to evaluate based on these criteria. A committee composed of government employees as well as representatives of relevant user groups will evaluate the submittals.

Questions regarding this RFQ shall be addressed through: <a href="https://lexingtonky.ionwave.net">https://lexingtonky.ionwave.net</a>

#### **Affirmative Action Plan**

All vendors must submit as a part of the submittal package the following items to the Urban County Government:

- 1. Affirmative Action Plan for his/her firm;
- 2. Current Work Force Analysis Form;

Failure to submit these items as required may result in disqualification of the submitter from award of the contract. All submissions should be directed to:

Director, Division of Procurement Lexington-Fayette Urban County Government 200 East Main Street, 3rd Floor Lexington, Kentucky 40507

All questions regarding this submittal must be directed to lonWave.

#### **AFFIDAVIT**

Comes the Affiant,	, and after being first
duly sworn, states under penalty of perjury as follows:	
His/her name is  individual submitting the submittal or is the authoriz	and he/she is the red representative of , the entity
submitting the proposal (hereinafter referred to as "Proposer").	, the entity
<ol> <li>Proposer will pay all taxes and fees, which are owed to the Le County Government at the time the proposal is submitted, prior to a will maintain a "current" status in regard to those taxes and fees durin</li> <li>Proposer will obtain a Lexington-Fayette Urban County Governman applicable, prior to award of the contract.</li> </ol>	ward of the contract and g the life of the contract.
4. Proposer has authorized the Division of Central Purchasin mentioned information with the Division of Revenue and to disclose Council that taxes and/or fees are delinquent or that a business obtained.	se to the Urban County
5. Proposer has not knowingly violated any provision of the campa Commonwealth of Kentucky within the past five (5) years and the ar Proposer will not violate any provision of the campaign finance laws	ward of a contract to the
<ol> <li>Proposer has not knowingly violated any provision of Chapter 25</li> <li>Lexington-Fayette Urban County Government Code of Ordinances,</li> </ol>	

**Continued on next page** 

to conduct or to circumstances described by a statute or ordinance a person is aware or should have been aware that his conduct is circumstance exists.	<u> </u>
Further, Affiant sayeth naught.	
STATE OF	
COUNTY OF	
The foregoing instrument was subscribed, sworn to and ac	cknowledged before me
by o	n this theday
of, 20	
My Commission expires:	-
NOTARY PUBLIC, STATE AT LARGE	

7. Proposer acknowledges that "knowingly" for purposes of this Affidavit means, with respect

#### **EQUAL OPPORTUNITY AGREEMENT**

#### Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

#### The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

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The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination

Please sign this statement in the appropriate space provisions contained herein. Return this documer	ce acknowledging that you have read and understand the nt as part of your application packet.
<u>Bidders</u>	
I/We agree to comply with the Civil Rights Laws I women, Vietnam veterans, handicapped and age	isted above that govern employment rights of minorities, of persons.
Signature	Name of Business

in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

#### WORKFORCE ANALYSIS FORM

Categories	Total	(N Hisp	nite lot panic pr ino)		oanic atino	Blac Afric Ame (N Hisp or La	can- rican ot anic	Nat Hawa and C Pac Islan (N Hisp or La	aiian Other cific nder ot anic	His	n (Not panic atino	Inc Ala N His	nerican dian or askan lative (not spanic Latino	ra ( His	vo or nore aces Not panic Latino	То	tal
		М	F	М	F	М	F	М	F	M	F	М	F	М	F	M	F
Administrators																	
Professionals																	
Superintendents																	
Supervisors																	
Foremen																	
Technicians																	
Protective Service																	
Para-Professionals																	
Office/Clerical										_							
Skilled Craft																	
Service/Maintenance																	
Total:																	

Prepared by:	Date:	1	1	
	(Name and Title)			Revised 2015-Dec-15

#### DIRECTOR, DIVISION OF PROCUREMENT LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT 200 EAST MAIN STREET LEXINGTON, KENTUCKY 40507

## NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITIES AND DBE CONTRACT PARTICIPATION

The Lexington-Fayette Urban County Government has a Certified Minority and Women Business Enterprise seventeen percent (17%) minimum goal including minimum subgoals of five percent (5%) for Minority Business Enterprises (MBE) and a subgoal of twelve percent (12%) for Women Business Enterprises (WBE); a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and/or Certified Service- Disabled Veteran Owned Businesses; and a goal of utilizing Disadvantaged Business Enterprises (DBE), where applicable, for government contracts.

For assistance in locating certified DBEs, MBEs, WBEs, VOSBs and/or VOSBs, contact Sherita Miller at 859/258-3320 or by writing the address listed below:

Sherita Miller, MPA, CPSD
Minority Business Enterprise Liaison
Division of Procurement
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, Kentucky 40507
smiller@lexingtonky.gov
859-258-3323

Firm Submitting Prop	osal:		
Complete Address:	Street	City	Zip
Contact Name:		Title:	
Telephone Number:		Fax Number:	
Email address:			



#### MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA, CPSD
Minority Business Enterprise Liaison
Division of Procurement
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program (MBEP) is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long- term economic viability of Lexington-Fayette Urban County Government.

To that end the urban county council adopted and implemented Resolution 272-2024 – a Certified Minority and Women Business Enterprise seventeen percent (17%) minimum goal including minimum subgoals of five percent (5%) for Minority Business Enterprises (MBE) and a subgoal of twelve percent (12%) for Women Business Enterprises (WBE); a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and/or Certified Service- Disabled Veteran Owned Businesses; and a goal of utilizing Disadvantaged Business Enterprises (DBE), where applicable, for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals:

Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. Black American, Asian American, Hispanic American, Native American)

Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

**Certified Veteran-Owned Small Business (VOSB)** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

Certified Service -Disabled Veteran Owned Small Business (SDVOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term "Certified" shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Procurement as having the appropriate credentials to make a determination as to the status of the business. The following certifications are recognized and accepted by the MBEP:

Kentucky Transportation Cabinet (KYTC), Disadvantaged Business Enterprise (DBE)

Kentucky Minority and Women Business Enterprise (MWBE)

Women's Business Enterprise National Council (WBENC)

National Women Business Owners Corporation (NWBOC)

National Minority Supplier Development Council (NMSDC)

Tri-State Minority Supplier Development Council (TSMSDC)

U.S. Small Business Administration Veteran Small Business Certification (VetCert)

Kentucky Service- Disabled Veteran Owned Small Business (SDVOSB)

To comply with Resolution 272-2024, prime contractors, minority and women business enterprises, veteran owned small businesses, and service-disabled veteran owned small businesses must complete monthly contract compliance audits in the Diverse Business Management Compliance system, <a href="https://lexingtonky.diversitycompliance.com/">https://lexingtonky.diversitycompliance.com/</a>

A list of organizations that certify and/or maintain lists of certified businesses (i.e. DBE, MBE, WBE, VOSB and/or SDVOSB) is available upon request by emailing, Sherita Miller, <a href="mailto:smiller@lexingtonky.gov">smiller@lexingtonky.gov</a>.



#### LFUCG MWDBE PARTICIPATION FORM Bid/RFQ/Quote Reference #

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFQ/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to the Division of Procurement for approval immediately. **Failure to submit a completed form may cause rejection of the bid.** 

MWBE Company, Name, Address, Phone, Email	DBE/MBE WBE/VOSB/SDVOSB	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.				
2.				
3.				
4.				

The undersigned company representative submits the above list of MDWBE and veteran firms to be used in accomplishing the work contained in this Bid/RFQ/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Company	Company Representative
D. /	TOTAL TOTAL
Date	Title



## LFUCG MWDBE SUBSTITUTION FORM Bid/RFQ/Quote Reference #\_\_\_\_\_

if a subcontractor is being substituted on a contract.
understand that this information will be entered into our file for this project. Note: Form required
Procurement for approval. By the authorized signature of a representative of our company, we
substitutions were made for reasons stated below and are now being submitted to the Division of
this Bid/RFQ/Quote. These substitutions were made prior to or after the job was in progress. These
The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on

SUBSTITUTED DBE/MBE/WBE/VOSB Company Name, Address, Phone, Email	DBE/MBE/WBE/VOSB/SDVOSB Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contra and/or be subject to applicable Federal and State laws concerning false statements and false claim					
Company	Company Representative				
	Title				



#### DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS AND OUTREACH PLANS

As affirmed in Resolution Number 272-2024, the Urban County Council has adopted an annual aspirational goal of utilizing at least seventeen percent (17%) of public funds spend from certain discretionary agreements with certified Minority Business Enterprises (MBEs) and certified Woman Business Enterprises (WBEs); utilizing at least three percent (3%) of public funds from certain discretionary agreements with Certified Veteran-Owned Small Business and Certified Service-Disabled Veteran-Owned Small Businesses (VOSBs); and utilizing Disadvantaged Business Enterprises (DBEs) where applicable. Bidders should make every effort to achieve these goals.

Therefore, as an element of the responsiveness of the bid, all Bidders are required to submit documentation of their good faith and outreach efforts to ensure all businesses, including small and disadvantaged businesses such as minority-, woman-, and veteran-owned businesses, have an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement. Examples of good faith and outreach efforts that satisfy this requirement to encourage the participation of, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs include:

- 1. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women, and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to participate.
- 2. Attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year to meet new small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to partner with on LFUCG contracts and procurements.
- 3. Attended pre-bid/pre-qualification meetings that were scheduled by LFUCG to inform small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs of subcontracting opportunities.
- 4. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs.
- 5. Requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- 6. Contacted organizations that work with small, DBE, MBE, WBE, and VOSB companies for assistance in finding certified DBEs, MBEs, WBEs, VOSB and/or SDVOSBs to work

- on this project. Those contacted and their responses must be a part of the bidder's outreach efforts documentation.
- 7. Sent written notices, by certified mail, email, or facsimile, to qualified, certified small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- 8. Followed up initial solicitations by contacting small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs via tailored communications to determine their level of interest.
- 9. Provided the interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs with adequate and timely information about the plans, specifications, and requirements of the contract.
- 10. Selected portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs in order to increase the likelihood of subcontracting participation. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate small, DBE, MBE, WBE, VOSB and/or SDVOSB participation, even when the prime contractor may otherwise perform these work items with its own workforce.
- 11. Negotiated in good faith with interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection must be so noted in writing with a description as to why an agreement could not be reached.
- 12. Included documentation of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs that were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
  - a. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a small business', DBE's MBE's, WBE's, VOSB's and/or SDVOSB's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy the participation goals.
- 13. Made an effort to offer assistance to or refer interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid submittal.

- 14. Made efforts to expand the search for small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
- 15. Other any other evidence that the bidder submits that may demonstrate that the bidder has made reasonable efforts to include small, DBE, MBE, WBE, VOSB and/or SDVOSB participation.

Bidder must document, with specificity, each of the efforts it made to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs as subcontractors in the procurement, including the date on which each effort was made, the medium through which each effort was made, and the outcome of each effort.

Note: Failure to submit the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the Bid, regardless of the proposed level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation in the procurement. If the Good Faith and Outreach Effort documentation is not submitted with the bid response, the bid may be rejected.

#### **OUTREACH EFFORTS EVALUATION**

Outreach efforts demonstrated by the bidder or respondent will be evaluated on a pass/fail basis.

## ATTACHMENT A – SMALL AND DISADVANTAGED, MINORITY-, WOMEN-, AND VETERAN-OWNED BUSINESS OUTREACH PLAN

Proposer Name:	Date:		
Project Name:	Project Number:		
Contact Name:	Telephone:		
Email:	_		
The mission of the Minority Business Enterpridisadvantaged businesses, minority-, women-, ve in the procurement process and to promote econolong -term economic viability of Lexington-Fay	eteran-, and service-disa omic inclusion as a busi	bled veteran-own ness imperative e	ned businesses
To that end, small and disadvantaged businesses disabled veteran-owned businesses, must have a of contracts with public funds spent from certain Bidder/Proposer certifies that it has taken, and is steps to ensure that small and disadvantaged buservice-disabled veteran-owned businesses, are participate in the performance of any subcontraction.	an equal opportunity to in discretionary agreed if there are further oppo- sinesses, including min be provided an equal of	be utilized in the ments. By submit ortunities will tak nority-, woman-, pportunity to cor	e performance tting its offer, ce, reasonable veteran-, and
The information submitted in response to this cl Failure to submit this form may cause the bid or			ed evaluation.
Is the Bidder/ Proposer a certified firm	n? Yes □ No □		
If yes, indicate all certification type(s):			
DBE □ MBE □	WBE □	SBE □	VOSB/SDVOSB
and supply a copy of the certificate and/or certificate Business Enterprise Program's (MBEP) certificate and/or certificate and		ently listed on the	e city's Minority
1. Include a list of firms that Bidder/ Proposer last two years that are minority-owned, wo regardless of their certification status.		_	
Click or tap here to enter text.			
2. Does Bidder/Proposer foresee any subcontr	acting opportunities f	or this procuren	nent?
Yes □ No □			

Ifn	o, please explain why in the field below. Do not complete the rest of this form and submit this
first p	age with your bid and/or submittal. Click or tap here to enter tex
If ye	es, please complete the following pages and submit all pages with your bid and/or submittal.
	be the steps Bidder/Proposer took to solicit small and disadvantaged businesses, including , WBEs, VOSBs, and SDVOSBs, for subcontracting opportunities for this procurement.
	eck the good faith and outreach efforts the Bidder/Proposer used to encourage the ipation of small and disadvantaged businesses including, MBEs, WBEs, VOSBs and SSBs:
	Bidder placed advertisements in search of prospective small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs for the solicitation.
	Bidder attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year.
	Bidder attended pre-bid and/or pre-submittal meetings for this solicitation.
	Bidder sponsored an Economic Inclusion Outreach event.
	Bidder requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG.
	Bidder contacted organizations that work with small, DBE, MBE, WBE, VOSB and/or SDVOSB companies.
	Bidder sent written notices to certified small, DBE, MBE, WBE, VOSB and SDVOSB businesses.
	Bidder followed up to initial solicitations with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB.
	Bidder provided small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses interested in performing the solicited work with prompt access to the plans, specifications, scope of work, and requirements of the solicitation.
	Bidder made efforts to segment portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, including dividing sub-bid/partnership opportunities into economically feasible units/parcels, to facilitate participation.

Bidder negotiated in good faith with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses.
Bidder provided adequate rationale for rejecting any small business', DBEs, MBEs, WBEs, VOSBs or SDVOSBs for lack of submittals.
Bidder offered assistance in obtaining bonding, insurance, financial, equipment, or other resources to small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, in an effort to assist them in meeting project requirements.
Bidder made efforts to expand the search for small businesses, DBEs MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
Bidder made other reasonable efforts to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation.

4. Bidder/Proposer must include documentation, including the date each effort was made, the medium through which each effort was made, and the outcome of each effort with this form, regardless of the level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation. Examples of required documentation include copies of email communications, copies of newspaper advertisements, or copies of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs or SDVOSBs.

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For detailed information regarding outreach efforts that satisfy the MBE Program's requirements, please see "Documentation Required for Good Faith Efforts and Outreach Plans" page.

<u>Note</u>: The Bidder/Proposer must be willing to report the identity of each subcontractor and the value of each subcontract to MBEP if awarded a contract from this procurement.

Failure to submit the documentation requested may be cause for rejection of the bid. Bidders may include any other documentation deemed relevant to this requirement, which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the bid, regardless of the proposed level of SBEs, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation in the procurement. If the Good Faith and Outreach Effort Form and associated documentation is not submitted with the bid response, the bid may be rejected.

t to applicable Federal and State laws concerning false
Company Representative
Title

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in

4870-1925-6809, v. 1

#### **GENERAL PROVISIONS**

1. Each Respondent shall comply with all Federal, State & Local regulations concerning this type of service or good.

The Respondent agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, 29 U.S.C. 650 et. seq., as amended, and KRS Chapter 338. The Respondent also agrees to notify the LFUCG in writing immediately upon detection of any unsafe and/or unhealthful working conditions at the job site. The Respondent agrees to indemnify, defend and hold the LFUCG harmless from all penalties, fines or other expenses arising out of the alleged violation of said laws.

- 2. Failure to submit ALL forms and information required in this RFQ may be grounds for disqualification.
- 3. Addenda: All addenda and IonWave Q&A, if any, shall be considered in making the submittal, and such addenda shall be made a part of this RFQ. Before submitting a proposal, it is incumbent upon each proposer to be informed as to whether any addenda have been issued, and the failure to cover in the bid any such addenda may result in disqualification of that submittal.
- 4. Proposal Reservations: LFUCG reserves the right to reject any or all submittals, to award in whole or part, and to waive minor immaterial defects in submittals. LFUCG may consider any alternative submittal that meets its basic needs.
- 5. Liability: LFUCG is not responsible for any cost incurred by a Respondent in the preparation of submittals.
- 6. Changes/Alterations: Respondent may change or withdraw a submittal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the submittal, and received by LFUCG prior to the scheduled closing time for receipt of submittals, will be accepted. The submittal, when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of submittal".
- 7. Clarification of Proposal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from a Respondent.
- 8. Bribery Clause: By his/her signature on the bid, Respondent certifies that no employee of his/hers, any affiliate or Subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.

- 9. Additional Information: While not necessary, the Respondent may include any product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the Respondent's response. Additional documentation shall not serve as a substitute for other documentation which is required by this RFQ to be submitted with the proposal,
- 10. Ambiguity, Conflict or other Errors in RFQ: If a Respondent discovers any ambiguity, conflict, discrepancy, omission or other error in the RFQ, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
- 11. Agreement to Bid Terms: In submitting this proposal, the Respondent agrees that it has carefully examined the specifications and all provisions relating to the work to be done attached hereto and made part of this submittal. By acceptance of a Contract under this RFQ, proposer states that it understands the meaning, intent and requirements of the RFQ and agrees to the same. The successful Respondent shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to Respondent shall be authorized for services or expenses reasonably covered under these provisions that the proposer omits from its Submittal.
- 12. Cancellation: If the services to be performed hereunder by the Respondent are not performed in an acceptable manner to the LFUCG, the LFUCG may cancel this contract for cause by providing written notice to the proposer, giving at least thirty (30) days notice of the proposed cancellation and the reasons for same. During that time period, the proposer may seek to bring the performance of services hereunder to a level that is acceptable to the LFUCG, and the LFUCG may rescind the cancellation if such action is in its best interest.

#### A. Termination for Cause

- (1) LFUCG may terminate a contract because of the contractor's failure to perform its contractual duties
- (2) If a contractor is determined to be in default, LFUCG shall notify the contractor of the determination in writing, and may include a specified date by which the contractor shall cure the identified deficiencies. LFUCG may proceed with termination if the contractor fails to cure the deficiencies within the specified time.
- (3) A default in performance by a contractor for which a contract may be terminated shall include, but shall not necessarily be limited to:
  - (a) Failure to perform the contract according to its terms, conditions and specifications;
  - (b) Failure to make delivery within the time specified or according

- to a delivery schedule fixed by the contract;
- (c) Late payment or nonpayment of bills for labor, materials, supplies, or equipment furnished in connection with a contract for construction services as evidenced by mechanics' liens filed pursuant to the provisions of KRS Chapter 376, or letters of indebtedness received from creditors by the purchasing agency;
- (d) Failure to diligently advance the work under a contract for construction services:
- (e) The filing of a bankruptcy petition by or against the contractor; or
- (f) Actions that endanger the health, safely or welfare of the LFUCG or its citizens.

#### B. At Will Termination

Notwithstanding the above provisions, the LFUCG may terminate this contract at will in accordance with the law upon providing thirty (30) days written notice of that intent, Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

- 13. Assignment of Contract: The contractor shall not assign or subcontract any portion of the Contract without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
- 14. No Waiver: No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this Contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
- 15. Authority to do Business: The Respondent must be a duly organized and authorized to do business under the laws of Kentucky. Respondent must be in good standing and have full legal capacity to provide the services specified under this Contract. The Respondent must have all necessary right and lawful authority to enter into this Contract for the full term hereof and that proper corporate or other action has been duly taken authorizing the Respondent to enter into this Contract. The Respondent will provide LFUCG with a copy of a corporate resolution authorizing this action and a letter from an attorney confirming that the proposer is authorized to do business in the State of Kentucky if requested. All submittals must

be signed by a duly authorized officer, agent or employee of the Respondent.

- 16. Governing Law: This Contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this Contract, the Parties agree that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to this Contract or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.
- 17. Ability to Meet Obligations: Respondent affirmatively states that there are no actions, suits or proceedings of any kind pending against Respondent or, to the knowledge of the Respondent, threatened against the Respondent before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of Respondent to perform its obligations under this Contract, or which question the legality, validity or enforceability hereof or thereof.
- 18. Contractor understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Contractor is an independent contractor at all times during the performance of the services specified.
- 19. If any term or provision of this Contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.
- 20. Contractor [or Vendor or Vendor's Employees] will not appropriate or make use of the Lexington-Fayette Urban County Government (LFUCG) name or any of its trade or service marks or property (including but not limited to any logo or seal), in any promotion, endorsement, advertisement, testimonial or similar use without the prior written consent of the government. If such consent is granted LFUCG reserves the unilateral right, in its sole discretion, to immediately terminate and revoke such use for any reason whatsoever. Contractor agrees that it shall cease and desist from any unauthorized use immediately upon being notified by LFUCG.

Signature	Date

### RISK MANAGEMENT PROVISIONS INSURANCE AND INDEMNIFICATION

#### INDEMNIFICATION AND HOLD HARMLESS PROVISION

- (1) It is understood and agreed by the parties that Contractor hereby assumes the entire responsibility and liability for any and all damages to persons or property caused by or resulting from or arising out of any act or omission on the part of Contractor or its employees, agents, servants, owners, principals, licensees, assigns or subcontractors of any tier (hereinafter "CONTRACTOR") under or in connection with this agreement and/or the provision of goods or services and the performance or failure to perform any work required thereby.
- CONTRACTOR shall indemnify, save, hold harmless and defend the Lexington-Fayette Urban County Government and its elected and appointed officials, employees, agents, volunteers, and successors in interest (hereinafter "LFUCG") from and against all liability, damages, and losses, including but not limited to, demands, claims, obligations, causes of action, judgments, penalties, fines, liens, costs, expenses, interest, defense costs and reasonable attorney's fees that are in any way incidental to or connected with, or that arise or are alleged to have arisen, directly or indirectly, from or by CONTRACTOR's performance or breach of the agreement and/or the provision of goods or services provided that: (a) it is attributable to personal injury, bodily injury, sickness, or death, or to injury to or destruction of property (including the loss of use resulting therefrom), or to or from the negligent acts, errors or omissions or willful misconduct of the CONTRACTOR; and (b) not caused solely by the active negligence or willful misconduct of LFUCG.
- (3) In the event LFUCG is alleged to be liable based upon the above, CONTRACTOR shall defend such allegations and shall bear all costs, fees and expenses of such defense, including but not limited to, all reasonable attorneys' fees and expenses, court costs, and expert witness fees and expenses, using attorneys approved in writing by LFUCG, which approval shall not be unreasonably withheld.
- (4) These provisions shall in no way be limited by any financial responsibility or insurance requirements, and shall survive the termination of this agreement.
- (5) LFUCG is a political subdivision of the Commonwealth of Kentucky. CONTRACTOR acknowledges and agrees that LFUCG is unable to provide indemnity or otherwise save, hold harmless, or defend the CONTRACTOR in any manner.
- (6) Notwithstanding, the foregoing with respect to any professional services performed by CONTRACTOR hereunder (and to the fullest extent permitted by law), CONTRACTOR shall indemnify, save, hold harmless and defend LFUCG from and against any and all liability, damages and losses, including but not limited to, demands, claims, obligations, causes of action, judgments, penalties, fines, liens, costs, expenses, interest, defense costs and reasonable attorney's fees, for any damage due to death or injury to any person or injury to any property (including the loss of use resulting therefrom) to the extent arising out of, pertaining to or relating to the negligence, recklessness or willful misconduct of CONTRACTOR in the performance of this agreement.

#### FINANCIAL RESPONSIBILITY

BIDDER/CONTRACTOR understands and agrees that it shall demonstrate the ability to assure compliance with the above Indemnity provisions and these other risk management provisions prior to final acceptance of its bid and the commencement of any work or provision of goods.

#### **INSURANCE REQUIREMENTS**

YOUR ATTENTION IS DIRECTED TO THE INSURANCE REQUIREMENTS BELOW, AND YOU MAY NEED TO CONFER WITH YOUR INSURANCE AGENTS, BROKERS, OR CARRIERS TO DETERMINE IN ADVANCE OF SUBMISSION OF A RESPONSE THE AVAILABILITY OF THE INSURANCE COVERAGES AND ENDORSEMENTS REQUIRED HEREIN. IF YOU FAIL TO COMPLY WITH THE INSURANCE REQUIREMENTS BELOW, YOU MAY BE DISQUALIFIED FROM AWARD OF THE CONTRACT.

#### Required Insurance Coverage

BIDDER/CONTRACTOR shall procure and maintain for the duration of this contract the following or equivalent insurance policies at no less than the limits shown below and cause its subcontractors to maintain similar insurance with limits acceptable to LFUCG in order to protect LFUCG against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by CONTRACTOR. The cost of such insurance shall be included in any bid:

<u>Coverage</u>	<u>Limits</u>

General Liability \$1 million per occurrence, \$2 million aggregate

(Insurance Services Office Form CG 00 01) or \$2 million combined single limit

Auto Liability \$1 million per occurrence

Worker's Compensation Statutory

Employer's Liability \$100K

Professional (E&O) Liability \$1 million per claim

The policies above shall contain the following conditions:

- a. All Certificates of Insurance forms used by the insurance carrier shall be properly filed and approved by the Department of Insurance for the Commonwealth of Kentucky (DOI). LFUCG shall be named as an additional insured in the General Liability Policy and Commercial Automobile Liability Policy using the Kentucky DOI approved forms.
- b. The General Liability Policy shall be primary to any insurance or self-insurance retained by LFUCG.
- c. LFUCG shall be provided at least 30 days advance written notice via certified mail, return receipt requested, in the event any of the required policies are canceled or non-renewed.
- d. Said coverage shall be written by insurers acceptable to LFUCG and shall be in a form acceptable to LFUCG. Insurance placed with insurers with a rating classification of no less than Excellent (A or A-) and a financial size category of no less than VIII, as defined by the most current Best's Key Rating Guide shall be deemed automatically acceptable.

#### Renewals

After insurance has been approved by LFUCG, evidence of renewal of an expiring policy must be submitted to LFUCG, and may be submitted on a manually signed renewal endorsement form. If the policy or carrier has changed, however, new evidence of coverage must be submitted in accordance with these Insurance Requirements.

#### **Deductibles and Self-Insured Programs**

IF YOU INTEND TO SUBMIT A SELF-INSURANCE PLAN IT MUST BE FORWARDED TO LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT, DIVISION OF RISK MANAGEMENT, 200 EAST MAIN STREET, LEXINGTON, KENTUCKY 40507 NO LATER THAN A MINIMUM OF FIVE (5) WORKING DAYS PRIOR TO THE RESPONSE DATE. Self-insurance programs, deductibles, and self-insured retentions in insurance policies are subject to separate approval by Lexington-Fayette Urban County Government's Division of Risk Management, upon review of evidence of BIDDER/CONTRACTOR's financial capacity to respond to claims. Any such programs or retentions must provide LFUCG with at least the same protection from liability and defense of suits as would be afforded by first-dollar insurance coverage

#### Safety and Loss Control

CONTRACTOR shall comply with all applicable federal, state, and local safety standards related to the performance of its works or services under this Agreement and take necessary action to protect the life, health and safety and property of all of its personnel on the job site, the public, and LFUCG.

#### Verification of Coverage

BIDDER/CONTRACTOR agrees to furnish LFUCG with all applicable Certificates of Insurance signed by a person authorized by the insurer to bind coverage on its behalf prior to final award, and if requested, shall provide LFUCG copies of all insurance policies, including all endorsements.

#### Right to Review, Audit and Inspect

CONTRACTOR understands and agrees that LFUCG may review, audit and inspect any and all of its records and operations to insure compliance with these Insurance Requirements.

#### **DEFAULT**

BIDDER/CONTRACTOR understands and agrees that the failure to comply with any of these insurance, safety, or loss control provisions shall constitute default and that LFUCG may elect at its option any single remedy or penalty or any combination of remedies and penalties, as available, including but not limited to purchasing insurance and charging BIDDER/CONTRACTOR for any such insurance premiums purchased, or suspending or terminating the work.

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#### Lexington-Fayette Urban County Government

#### Main & Vine Streetscape Plantings & Amenities Redesign Plan Request for Qualifications (RFQ) #34-2025

#### 1. Summary:

Lexington-Fayette Urban County Government (LFUCG) is seeking submissions from qualified consultants to provide professional planning and design services to enhance the public realm along Main Street and Vine Street in downtown Lexington, KY. The project will focus on improving the user experience through updated plantings, lighting, and amenities, while preserving the existing curb-to-curb footprint and hard infrastructure. To avoid overlap, the Town Branch Commons footprint is excluded from the project area.

Key goals include developing modern streetscape strategies that reflect current needs, incorporate streamlined community input through focused engagement with key downtown stakeholders, and align with LFUCG's long-term operations and maintenance (O&M) capacity.

This initiative will build upon the 2008 Downtown Streetscape Master Plan, which guided the successful reconstruction of much of Main and Vine in 2009, while updating the plan to better serve present-day conditions, priorities, and maintenance realities. Over time, challenges have emerged, including declining tree health, inconsistent planting design and upkeep, aging and damaged streetscape elements such as planters and bike racks, and seasonal lighting practices that create long-term maintenance concerns. In addition, some sections of Main and Vine never received the original streetscape upgrades and remain out of step with the rest of the corridor. These realities highlight the need for a refreshed and coordinated approach that ensures a consistent identity, durable infrastructure, and a sustainable maintenance strategy for the future.

The project will also leverage the recently completed Corridors Typology Study and Corridors Commission Guidebook, applying their principles and design strategies to this high-priority downtown corridor. LFUCG will require coordination with the ongoing Downtown Area Master Plan to maintain consistency, synergy, and maximize impact across all related efforts.

#### 2. Project Oversight:

This project is sponsored by the Corridors Commission, with project management co-led by LFUCG staff from the CAO's Office, Division of Environmental Services, and Complete Streets. Ongoing coordination will include stakeholders from Parks & Recreation, Planning, Traffic Engineering, Urban Forestry, and other relevant departments. Lextran, the local transit authority, will be engaged as a key coordinating partner given the importance of Main and Vine as transit corridors and the presence of the downtown Transit Center. KYTC District 7 will be engaged as a key regulatory partner due to state jurisdiction over Main and Vine Streets.

#### 3. Scope of Services:

The selected consultant will be responsible for the following:

#### A. Project Intent

Design streetscape enhancements for Main and Vine Streets, excluding the Town Branch Commons footprint, to improve the experience for pedestrians, cyclists, transit users, and drivers.

Enhancements should elevate the overall character of the corridor, with an emphasis on planting, lighting, furnishings, and amenities, all within the existing curb-to-curb footprint.

Where existing hard infrastructure is failing or creates safety/maintenance challenges, the consultant may recommend targeted hardscape improvements or modifications. These should be prioritized where they offer clear value for safety, long-term maintenance, or pedestrian comfort, while keeping the overall effort focused on strategies feasible for implementation within LFUCG's available resources and internal workforce capacity.

In addition, recommendations for intersection safety improvements extending beyond the existing curb line are welcome, provided they can be addressed through quick-build or interim safety treatments. Such recommendations should be clearly identified as potential demonstration or phased improvements, with guidance on feasibility and alignment with LFUCG's quick-build practices.

The primary focus is between Jefferson Street and Midland Avenue, with potential extensions for certain recommendations reaching as far as Oliver Lewis Way, to the west, and Hanover Avenue, to the east.

#### B. Future Phases (Optional Services)

This contract is intended to deliver a streetscape redesign plan that will inform LFUCG's future budgeting process and guide potential implementation funding requests, including federal sources. At LFUCG's sole discretion and subject to funding availability, the selected consultant may be invited through contract modification to provide additional services such as Preliminary Roadway Design, Final Roadway Design, Right-of-Way acquisition support, and/or Utility Relocation services. Inclusion of this language is intended to preserve LFUCG's eligibility to use federal funds in the future and does not obligate LFUCG to advance beyond the planning/design phase described in this RFQ.

#### **KYTC Prequalification (Future Phases)**

- For this planning-level scope, KYTC prequalification is not required.
- If LFUCG elects to pursue contract modifications for Preliminary Roadway Design, Final Roadway Design, Right-of-Way, or Utility Relocation services, the selected consultant (or their subconsultant team) may be required to hold the appropriate KYTC prequalification at that time.
- Firms are encouraged, though not required, to note any current KYTC prequalification(s) relevant to potential future phases of work.

#### C. Design Focus Areas

The selected consultant will prepare detailed, actionable plans in the following areas, ensuring all recommendations consider LFUCG's long-term operations and maintenance capacity and staffing and resource levels.

For each plan listed below, the consultant must:

- Identify recommended locations for new elements. While common streetscape components are listed below, additional recommendations are welcomed based on site conditions, community and stakeholder input, and best practices.
- Specify the quantity and type of each proposed element by corridor.
- Provide dimensions, materials, and specifications suitable for procurement and installation.

- Recommend materials and furnishings that are durable, easily maintainable, and readily replaceable, particularly for items that are frequently impacted or require seasonal installation and upkeep.
- Evaluate existing streetscape elements and recommend whether to retain, refurbish, or replace them.

#### 1. Planting Plan

Planting recommendations should prioritize species that are native, locally available, and maintainable using LFUCG staffing and resources.

- Street trees
- Above-ground planters
- Rain gardens
- Tree wells
- Hanging baskets

#### 2. Street Furniture & Amenities Plan

Street furniture and amenities should be designed for durability, ease of cleaning, and compatibility with off-the-shelf replacement parts. This may include, but is not limited to, the following:

- Seating
- Planters and hanging baskets
- Bike racks and bus stop amenities
- Trash and recycling receptacles
- Wayfinding signage, flags, banners, and interpretive signage
- Decorative site furnishings

#### 3. Lighting Plan

All recommendations must take into account seasonal installation feasibility, staffing, and existing equipment capabilities.

- Evaluate opportunities for additional pedestrian-scale and accent lighting
- Recommend long-term alternatives to supplement or replace seasonal twinkle lighting
- Retain and coordinate with existing Granville streetlight fixtures
- 4. Pedestrian Safety Enhancements Plan
  - Identify opportunities to improve pedestrian safety without significant changes to existing curbs or hard infrastructure
  - Possible treatments may include:
    - Placement of vertical or horizontal safety elements to increase visibility and protect vulnerable users in higher-risk areas
    - Quick-build curb extensions, refuge islands, or lane narrowing treatments that shorten crossing distances, reduce turning speeds, and calm traffic
    - Additional traffic calming measures, such as speed management treatments, high-visibility crosswalk markings, or pedestrian-scale signage, where appropriate

#### D. Engagement

- Facilitate community input and targeted stakeholder outreach with downtown businesses, property owners, institutions, and civic groups
- Incorporate community feedback into concept development and refinement

#### E. Staff Coordination

Participate in scheduled work sessions with LFUCG staff and advisory groups

- Coordinate across departments to align design with operations, maintenance, and regulatory needs
- Engage directly with departments responsible for ongoing maintenance to ensure recommended elements are compatible with staff expertise, existing parts, service agreements, and warranties

#### F. KYTC Coordination and Regulatory Compliance

- Recognize that Main and Vine Streets are state designated routes
- Ensure all proposed streetscape enhancements comply with applicable KYTC standards, permitting requirements, and review processes
- Identify all design elements requiring KYTC review or encroachment permit approval
- Coordinate with KYTC District 7 staff to review concepts and ensure feasibility within state right-of-way
- Integrate KYTC feedback into plan refinement

#### G. Lextran Coordination

- Recognize that Main and Vine Streets are critical transit corridors, particularly due to the presence of the downtown Transit Center on Vine Street
- Ensure that all proposed streetscape enhancements support safe, efficient, and accessible transit operations
- Coordinate with Lextran staff during concept development to review potential impacts
- Integrate Lextran feedback into plan refinement

#### 4. Final Deliverables

The consultant(s) shall deliver the following:

- Streetscape layout plans for Main and Vine Streets, showing recommended locations for plantings, lighting, furnishings, amenities, and safety elements. For this planning-level scope, KYTC CADD Standards are not required; however, if the project advances to Preliminary or Final Roadway Design, the consultant team (or a future engineering partner) will be expected to utilize the most recent KYTC CADD Standards for Highway Plans Policy.
- Detailed planting, street furniture, and amenities plans and schedule.
- Lighting concepts and placement strategies.
- Pedestrian safety improvement recommendations.
- Product specifications for all recommended elements.
- Maintenance and durability guidance, developed in consultation with LFUCG maintenance staff to ensure compatibility with existing parts, service protocols, and warranty systems over the long term.

Note: LFUCG expects the deliverables to be detailed and implementable, but not full construction drawings. Plans should include dimensioned layouts, specifications, product counts, and recommendations sufficient for LFUCG divisions to implement directly where elements can be procured or installed by city staff. Deliverables should stop short of Final Roadway Design or stamped engineering plans, which may be pursued separately in future phases if the project advances to that stage and if this level of detail is necessary.

#### 5. Timeline

The project timeline shall be as follows:

- a) Submission Deadline: September 29, 2025
- b) Consultant Selection: October 2025
- c) Commencement of Work: anticipated January 2026
- d) Submission of Final Report: anticipated July 2026

#### 6. Submission Requirements:

Interested consultants are invited to submit in accordance with the guidelines provided in this RFQ. Submissions should include:

- a) Company profile, relevant experience, and past projects, including key team members and roles.
- b) Description of project understanding.
- c) Proposed methodology and approach.
- d) Proposed schedule and work plan detailing key milestones and deliverables.
- e) References from previous clients/projects with contact names, phone numbers, and email addresses.

No cost proposal shall be included in the response. Cost will be negotiated after selection with the most qualified firm. Interested firms must submit electronically to IonWave no later than 2pm, September 29, 2025.

#### 7. Evaluation Criteria

Submissions will be evaluated solely based on the qualifications and demonstrated capabilities of the submitting firms. Cost proposals must not be included in the submission and will not be used in the evaluation process.

- a) **Experience and Qualifications** of the firm and key personnel, including relevant streetscape, urban design, and public space projects (30 points)
- b) **Understanding of Local Context**, including familiarity with Lexington's downtown environment and LFUCG's goals, constraints, and operations (15 points)
- c) Clarity and Feasibility of the Proposed Methodology, including the firm's understanding of the project intent and approach to coordination, engagement, and delivery (30 points)
- d) **Timeline and Work Plan**, including responsiveness to project requirements, schedule feasibility, and capacity to meet deadlines (20 points)
- e) **Quality of References** provided from comparable past projects (5 points)

Evaluation	100 Possible Points
Experience & Qualifications	30 Points
Understanding of Local Context	15 Points
Clarity & Feasibility of Proposed Methodology	30 Points
Timeline & Work Plan	20 Points
Quality of References	5 Points