PART III

FORM OF PROPOSAL

INDEX

1.	FORM OF PROPOSAL	P-2
2.	LEGAL STATUS OF BIDDER	P-4
3	BIDDERS AFFIDAVIT	P-5
4.	BID SCHEDULE – SCHEDULE OF VALUES	P-6
5.	STATEMENT OF BIDDER'S QUALIFICATIONS	P-10
6.	LIST OF PROPOSED SUBCONTRACTORS	P-14
7.	LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS	P-16
8.	AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST	P-29
9.	STATEMENT OF EXPERIENCE	P-30
10.	EQUAL OPPORTUNITY AGREEMENT	P-32
11.	EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY	P-36
12.	WORKFORCE ANALYSIS	P-37
13.	EVIDENCE OF INSURABILITY	P-38
14.	DEBARRED FIRMS	P-39
15.	DEBARRED CERTIFICATION	P-40

PART III

Invitation to Bid No. 53-2020

Government Center Envelope Improvements

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: May 4, 2020

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by

2824 Stanton Avenue, Cincinnati, Ohio 45206 (Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of _	Ohio , doing
business as <u>Structural Systems Repair Group, LLC</u>	"a
corporation," "a partnership", or an "individual" as applicable.	

Structural Systems Repair Group, LLC

To: <u>Lexington-Fayette Urban County Government</u> (Hereinafter called "OWNER") Office of the Director of Purchasing 200 East Main Street, 3rd Floor Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the <u>Government Center Envelope</u> <u>Improvements</u> having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The Bidder hereby acknowledges receipt of the following addenda:

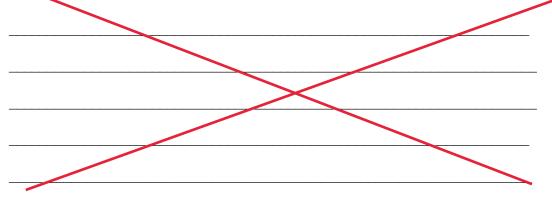
Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. <u>LEGAL STATUS OF BIDDER</u>

Bidder Structural Systems Repair Group, LLC

Date May 4, 2020

- * 1. A corporation duly organized and doing business under the laws of the State of <u>Ohio</u>, for whom <u>Bryan Erickson</u>, bearing the official title of <u>Vice President of Operations</u>, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.
- *2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)



* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

*(The Bidder shall fill out the appropriate form and strike out the other two.)

BIDDERS AFFIDAVIT (LFUCG)

Comes	the Affiant,	Bryan Erickso	n	, and aft	er being first	duly sworn, states under
penalty	of perjury as follow	vs:				
	1. His/her name is	sB	ryan Erickson		and	he/she is the individual
	submitting the bid	l or is the authorized	l representative of			
	Str	uctural Systems	Repair Group, LLC		, the	entity submitting the bid
	(hereinafter referr	ed to as "Bidder").				
	2. Bidder will pay	all taxes and fees,	which are owed to the Lex	ington-Fayette Urba	in County Gove	ernment at the time the bid
	is submitted, prior	to award of the cor	ntract and will maintain a "	current" status in reg	gard to those tax	tes and fees during the life
	of the contract.					
	3. Bidder will ob	ain a Lexington-Fa	yette Urban County Gove	rnment business lic	ense, if applica	ble, prior to award of the
	contract.					
	4. Bidder has auth	orized the Division	of Central Purchasing to	verify the above-me	ntioned inform	ation with the Division of
	Revenue and to di	sclose to the Urban	County Council that taxes	and/or fees are del	inquent or that	a business license has not
	been obtained.					
	5. Bidder has not l	knowingly violated	any provision of Chapter 2	5 of the Lexington-	Fayette Urban (County Government Code
	of Ordinances, kno	own as the "Ethics A	Act."			
	6. Bidder acknow	edges that "knowin	gly" for purposes of this A	Affidavit means, wit	h respect to con	nduct or to circumstances
	described by a sta	tute or ordinance de	efining an offense, that a pe	erson is aware or sh	ould have been	aware that his conduct is
	of that nature or th	at the circumstance	exists.			
$\overline{\mathcal{R}}$	lia		Dursen Enister			
Signatu	re		Bryan Ericks Printed Name	son	20 (a)	
e		- anotion a				
Title	President of Or	berations	<u>May 4, 2020</u> Date			
Compai	y Name Struc	ctural Systems F	Repair Group, LLC			
1			-			
Address	2824	Stanton Avenu	e, Cincinnati, Ohio 45	5206		
Subscril	bed and sworn to be	efore me by	Bryan Erickson			
			(Affiant)			
			Vice President of (Operations		
			(Title)	-		
of Str	uctural Systems	Repair Group, J	LLCthis4thday_of	May	2020.	
	(Company Name			· · · · · · · · · · · · · · · · · · ·		
Notary I [seal of		Maria	ission ownings			
[sear of	notaryj	iviy comm	ission expires:			

3.

4. <u>BID SCHEDULE – SCHEDULE OF VALUES</u>

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Division uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

Digital Bid Submittals:

Bidders shall submit pricing on Line Item tab via Ion Wave. Page P-10 must be fully executed and submitted via IonWave.

Item No.	Description w/Unit Bid Price Written in Words	Unit	Total Bid Amount
1.	Base Bid. All work associated with front vestibule, including but not limited to, demolition, limestone remediation, roofing, roof drainage, foundations, and new storefront, as per specs for	LS	\$ <u>345,000</u>
2.	Alternate 1. All work associated with fire escape, including, but not limited to, fire escape demolition, ferrous metal removal, and masonry patching, as per specs for	LS	\$ <u>186,000</u>

Item No.	Description w/Unit Bid Price Written in Words	Unit	Total Bid Amount
3.	Alternate 2. All work associated with both balconies, including but not limited to, removal of existing guards, concrete remediation, and new guardrails, as per specs for	LS	\$ <u>166,000</u>

LIST OF UNIT PRICES

The following List of Unit Prices is required by the Owner to be completely executed and submitted with each Bidder's Proposal. Each unit price shall include the furnishing of all labor, materials, supplies and services, and shall include all items of cost, overhead and profit for the Contractor and any Sub-Contractors involved, and shall be used uniformly, without modification, for either additions or deductions from the Bid. These unit prices as established shall also be used to determine the equitable adjustment of the Contract Price in connection with changes, or extra work performed under the Contract. The "Rules of Measurement" set forth in the Special Conditions shall govern where volume units are concerned.

	DESCRIPTION OF WORK	UNIT PRICE	
1.	Limestone Masonry Replacement	\$ <u>25.00</u>	_cu in
2.	Brick Repointing and Replacement	\$ <u>32.64</u>	_sf
3.	Concrete Patching and Repair	\$ <u>180.00</u>	_sf
4.	Concrete Waterproofing	\$ <u>15.00</u>	_sf

TOTAL OF BASE BID PRICES FOR Government Center Envelope Improvements (<u>Items 1 through 3</u>) in words and figures.

	(\$ <u>697,000.00</u>).
Submitted by:	<u>Structural Systems Repair Group, LLC</u>
	2824 Stanton Avenue Address
Bid must be signed: (original signature)	Cincinnati, Ohio 45206 City, State & Zip Bignature of Authorized Company Representative – Title
(onginal signature)	Bryan Erickson Representative/s Name (Typed or Printed)
	Phone: (513) 751-7774; Fax: (513) 861-7878 Area Code – Phone –Fax #
	jmussman@ssrg.com E-Mail Address
OFFICIAL ADDRESS:	
2824 Stanton Avenue	
Cincinnati, Ohio 45206	

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1.	Name of Bidder: Structural Systems Repair Group, LLC
2.	Permanent Place of Business: 2824 Stanton Avenue, Cincinnati, Ohio 45206
3.	When Organized:Ohio
4. 5.	Where Incorporated: <u>Ohio</u> Construction Plant and Equipment Available for this Project:
	See attached equipment list.
	(Attach Separate Sheet If Necessary)
6.	Financial Condition:
	If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.
7.	In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:
	Ohio Farmers Insurance Company (Surety)
	Signed: <u>Mary Beth Villing, Attorney-In-Fact</u> (Representative of Surety)
8.	The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

NAME	LOCATION	CONTRACT SUM
USPS Cincinnati PDC Building A Window & Exterior Facade Renovati	1623 Dalton Ave., Cincinnati, OH 45234 on	\$6,984,372
Grasmoor Phase III - Balcony Replacement	2374 Madison Rd., Cincinnati, OH 45208	\$187,100
Edgecliff Facade & Balcony Inspection	2200 Victory Pkwy, Cincinnati, OH 45206	\$25,110
1775 McMillan Condo Assoc. Rear Parking Structure Repairs	1 <u>775 McMillan St., Cincinnati, OH</u> 45206	\$147,230

9. The Bidder has now under contract and bonded the following projects:

NAME	LOCATION	CONTRACT SUM
Paul Brown Stadium Waterproofing and Concrete Repairs	1 Paul Brown Stadium, Cincinnati, OH 45202	\$635,911
Union Station Head House Masonry Restoration, Phase I	39 W. Jackson Place, Indianapolis, IN 46225	\$740,280
Price Hill Library Accessibility Project	970 Purcell Ave., Cincinnati, OH 45205	\$509,690
Cummins Station Facade Restoration	209 10th Ave. South, Nashville, TN 37203	\$1,564,405
Jim Beam/Old Crow Warehouse K Concrete and Masonry Repairs	3675 McCracken Pike, Frankfort, KY 40383	\$643, 201

10. List Key Bidder Personnel who will work on this Project.

NAME	POSITION DESCRIPTION	NO. OF YEARS WITH BIDDER
John Mussman	Division Manager	5
Andrew Scheitlin	Project Engineer	3
Josh Armstrong	Superintendent	3

11. DBE Participation on current bonded projects under contract:

SUBCONTRACTORS (LIST)	<u>PROJECT</u> (SPECIFIC TYPE)	<u>DBE</u>	<u>% of WORK</u>
NONE CURRENTLY	N/A	N/A	N/A

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)— all in accordance with the Bid Documents.

Respectfully submitted:

Structural Systems Repair Group, LLC
(Name of Contracting Firm)
BY: British

TITLE: Vice President of Operations

DATE <u>May 4, 2020</u>

6. <u>LIST OF PROPOSED SUBCONTRACTORS</u>

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK-LIST ITEM Such as: Concrete, bituminous paving, construction staking, etc.	Yes/No	<u>DBE</u> Work	% of <u>EACH MAJOR</u>
1Electric	Name:Lightly LLC	No	100%
	Address:		
2. <u>Masonry</u>	Name:HB Masonry	Yes	100%
	Address: 1024 Fortune Drive	Richmond	КҮ 40475
3. Railing	Name: <u>Stewart Ironwork</u> s	No	100%
	Address: 30 Kenton Lands Rd	Erlanger	KY 41018
4. Security	Name: <u>Burdine</u>	No	100%
	Address: 1475 Leestown Rd,	Lexington	KY 40511
5	Name:		
	Address:		
6	Name:		
	Address:		
7	Name:		
	Address:		

(Attach additional sheet(s) if necessary.)

LIST OF MATERIALS/ SUPPLIERS

Bidders are hereby advised that this list must be complete and submitted with the Bid.

Listing "as per plans and specifications", will not be considered as sufficient identification. Where more than one "Make or Brand" is listed for any one item, the Owner has the right to select the one to be used.

Item

Brand Name, Manufacturer and/or Supplier

1. Railing Stainless Cable Railings

2. Concrete Repair Products Sika Group

7. Lexington-Fayette Urban County Government MWDBE PARTICIPATION GOALS

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their bids.
- Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.
- 4) The LFUCG has also established a 3% of total procurement costs as a Goal for participation for of Veteran-Owned Businesses.
- 5) It is therefore a request of each Bidder to include in its bid, the same goal (3%) for Veteran-Owned participation and other requirements as outlined in this section.

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned or Veteran-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:

a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)

4) The LFUCG will make every effort to notify interested MWDBE and Veteran-Owned subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as

being at least 51% owned, managed and controlled by one or more women.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned, managed and controlled by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids <u>written documentation</u> of their Good Faith Efforts to do so.
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:

a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission

of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

b. Included documentation of advertising in the above publications with the bidders good faith efforts package

c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event

d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities

e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.

f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs and/or Veteran-Owned businesses soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.

j. Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

1. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

<u>Note</u>: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA Minority Business Enterprise Liaison Division of Central Purchasing Lexington-Fayette Urban County Government 200 East Main Street Lexington, KY 40507 <u>smiller@lexingtonky.gov</u> 859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented Resolution 484-2017 – A Certified Minority, Women and Disadvantaged Business Enterprise ten percent (10%) minimum goal and a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and Certified Service Disabled Veteran – Owned Businesses for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals (a full copy is available in Central Purchasing):

Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. African American, Asian American/Pacific Islander, Hispanic Islander, Native American/Native Alaskan Indian) as defined in federal law or regulation as it may be amended from time-to-time.

Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

Certified Veteran-Owned Small Business (VOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

Certified Service Disabled Veteran Owned Small Business (SDVOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term "Certified" shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Purchasing as having the appropriate credentials to make a determination as to the status of the business.

To comply with Resolution 484-2017, prime contractors and minority, women and veteran owned businesses must enroll in the new Diverse Business Management Compliance system, <u>https://lexingtonky.diversitycompliance.com/</u>

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<u>https://lexingtonky.ionwave.net</u>)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Susan Marston	smarston@tsmsdc.com	502-365-9762
Small Business Development Council	Shawn Rogers UK SBDC	shawn.rogers@uky.edu	859-257-7666
Community Ventures Corporation	Phyllis Alcorn	palcorn@cvky.org	859-231-0054
KY Transportation Cabinet (KYTC)	Melvin Bynes	Melvin.bynes2@ky.gov	502-564-3601
KYTC Pre-Qualification	Shella Eagle	Shella.Eagle@ky.gov	502-782-4815
Ohio River Valley Women's Business Council (WBENC)	Sheila Mixon	smixon@orvwbc.org	513-487-6537
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner's Council (NWBOC)	Janet Harris-Lange	janet@nwboc.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozdeky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	production@keynewsjournal.com	859-685-8488



LFUCG MWDBE PARTICIPATION FORM Bid/RFP/Quote Reference #__53-2020

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. HB Masonry 1024 Fortune Drive, Richmond KY 40475 859-624-0734 info@hbmasonryky.com	WBE/WOSB/MWBE	Masonry	\$239,831	34.4%
2.				
3.				
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Structural Systems Repair Group, LLC Company

May 4, 2020 Date

<u>Joseph J Clark</u> Company Representative

Office Manager Title





LFUCG MWDBE SUBSTITUTION FORM Bid/RFP/Quote Reference #___53-2020

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED	MWDBE Formally	Work to Be	Reason for the	Total Dollar	% Value of Total
MWDBE Company	Contracted/ Name,	Performed	Substitution	Value of the	Contract
Name Address Dhans	Address Dhame,	renonneu	Substitution	Work	Contract
Name, Address, Phone,	Address, Phone,			work	
Email	Email				
1.					
2.					
3.					
4.					
	1				
	1				
	1				

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title



MWDBE QUOTE SUMMARY FORM Bid/RFP/Quote Reference #_53-2020

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name	Contact Person
STRUCTURAL SYSTEMS REPAIR GROUP	JOE CLARK
Address/Phone/Email JCLARK@SSRG.COM 2824 STANTON AVE CINCINNATI OH 45206	Bid Package / Bid Date LFUCG ENVELOPE RENOVATION

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
HB Masonry 1024 Fortune Drive, Richmond KY 40475		859-624-0734 info@hbmasonryky	5/4 v.com	MASONRY	EMAIL	\$239,831	X	

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Structural Systems Repair Group, LLC Company

May 4, 2020 Date

<u>Joseph J Clark</u> Company Representative

Office Manager Title





The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran contractors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote #_

Total Contract Amount Awarded to Prime Contractor for this Project_____

Project Name/ Contract #	Work Period/ From: To:
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address,	Description of Work	Total Subcontract Amount	% of Total Contract	Total Amount Paid for	Purchase Order number for	Scheduled Project Start Date	Scheduled Project End Date
phone, email			Awarded to Prime for this Project	this Period	subcontractor work (please attach PO)		

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

_____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

_____ Included documentation of advertising in the above publications with the bidders good faith efforts package

Attended LFUCG Central Purchasing Economic Inclusion Outreach event

_____ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

_____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

 \underline{X} Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

_____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

 \underline{X} Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

_____ Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran

participation, even when the prime contractor may otherwise perform these work items with its own workforce

_____ Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

<u>Bidder has to submit sound reasons why the quotations were considered</u> unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

_____Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

_____ Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

<u>NOTE</u>: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Structural Systems Repair Group, LLC Company May 4, 2020 Date

<u>Joseph J Clark</u> Company Representative

Company Representativ Office Manager Title

8. <u>AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-</u> <u>CONFLICT OF INTEREST</u>

I hereby swear (or affirm) under the penalty for false swearing:

- 1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
- 3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- 5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \underline{X} or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky _____. Check the statement applicable.
- 6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
- 7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- 8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. <u>STATEMENT OF EXPERIENCE</u>

NAME OF INDIVIDUAL: Mike Huseman
POSITION/TITLE: President/Owner
STATEMENT OF EXPERIENCE: See attached resume.
NAME OF INDIVIDUAL: Brad Rogers
POSITION/TITLE: Vice President
STATEMENT OF EXPERIENCE: See attached resume.
NAME OF INDIVIDUAL: Bryan Erickson
POSITION/TITLE: Vice President of Operations
STATEMENT OF EXPERIENCE: See attached resume.
NAME OF INDIVIDUAL: John Mussman
POSITION/TITLE: Division Manager

STATEMENT OF EXPERIENCE: See	attached resume.
------------------------------	------------------

	NAME OF INDIVIDUAL:	Andrew Scheitlin
--	---------------------	------------------

POSITION/TITLE: Project Engineer

STATEMENT OF EXPERIENCE: See attached resume.

NAME OF INDIVIDUAL: Josh Armstrong

POSITION/TITLE: Superintendent

STATEMENT OF EXPERIENCE: See attached resume.

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)



		٠	•	•
	\mathbf{S}		×	•
٠	٠		•	٠
	×		×	٠
	٠	٠	٠	٠

Mike Huseman

PRESIDENT

Mike is the third generation Huseman to lead the company. Prior to becoming President, he earned years of industry experience, including serving as Project Manager for HGC's specialty projects.

Under Mike's leadership, HGC became a pivotal player in the revitalization of Cincinnati's historic Over-the-Rhine district, completing a wide variety of historic restorations that created a thriving residential and commercial district. This fostered exponential growth at the company, which led to the creation of the HGC Group of Companies—a strategic powerhouse of four companies under one roof, offering construction management, structural repair, custom millwork, architectural iron work, and more.



EDUCATION

Xavier University B.S. Arts / Business Option

University of Cincinnati

Construction Management

ORGANIZATIONS

University Club of Cincinnati President, Board of Governors

REDI Cincinnati Board Member

Valley View Foundation Board Member

PREVIOUS BOARD EXPERIENCE

City of Milford Planning Commission Vice Chair

Northern Kentucky University Construction Management Program Advisory Board

Architectural Foundation of Cincinnati Executive Committee Member

ORGANIZATIONAL AWARDS

National Quality Accredited Contractor Award ACI 2019 Safety Innovation Award ABC S.T.E.P. Safety Award Gold and Platinum levels

The Baldwin Apartments

270,000 SF historic renovation | \$35MM ABC Excellence in Construction: 2017 Award of Excellence 2017 Cincinnati Preservation Association Award

Amity Elementary

105,000 SF renovation & addition | \$21MM 2019 ABC Excellence in Construction: Award of Excellence

The Strietmann Center

100,000 SF historic renovation | \$8.5MM 2017 Cincinnati Preservation Association Award

Cincinnati Zoo & Botanical Gardens

Zoological exhibits & customer spaces | \$50MM LEED Silver, LEED Gold, LEED Platinum PETAL Certification Green Restaurant Association: 2016 Greenest Restaurant







University of Cincinnati

OSHA-30

VICE PRESIDENT

t (513) 706-9374 | email brogers@ssrg.com

As Vice President, Brad Rogers is responsible for the overall success of our construction operations. Brad joined the HGC Group of Companies in 2014, bringing a deep knowledge of the construction and engineering industry over the course of his career. Brad is the Vice President of SSRG. Prior to becoming Vice President of SSRG, Brad worked as a Senior Project Manager for HGC Construction and SSRG. Before beginning his career at HGC, he served as a Project Manager performing structural engineering, leading design teams, and providing owner's representation for Schaefer Engineering for almost 14 years.

Brad oversees all facets of our construction operations, including project management, estimating and field management. Brad maintains relationships with clients and works with our project teams to ensure the successful completion of all projects.

PROFESSIONAL ASSOCIATIONS

American Society of Civil Engineers Cincinnati Preservation Association International Concrete Repair Institute - Cincinnati, Columbus Structural Engineers Association - OH, IN, KY Vistage American Concrete Institute Associated Builders and Contractors

RELEVANT PROJECT EXPERIENCE

MS, Structural and Materials Engineering

BS, Civil & Environmental Engineering

Archdiocese of Cincinnati 100 East 8th St. Facade Renovations Archdiocese of Cincinnati 100 East 8th St. Basement Vault Archdiocese of Cincinnati St Charles Arch Repairs Archdiocese of Cincinnati St Anthony's Repairs St. Alovsius Truss Stabilization McMillan Parking Deck Replacement Market Square Development at Findlay Market Edgecliff Point Edgecliff Parking Garage Repairs 309 Vine Development AmSty Cooling Tower Replacement Anna Louise Inn Development Blugrass Army Depot Chemical Decommisioning Facility – Waste Transfer Station and Truck Scales CCHMC Winslow Parking Garage Repairs CCHMC South Parking Garage Repairs **CCHMC Lincoln Garage Repairs** Crossroads Old Saint George **Crossroads Eastgate Dennison Hotel** Fountain Square Repairs Gorilla Glue Headquarters Gorilla Glue Packaging/Warehousing Facility Gorilla Glue Corporate Development Laboratory Haebegger Redna Facility Indianapolis Airport Parking and GTC Repairs Men's Drop Inn Center Music Hall Exterior Façade Restoration and Orchestra Pit O'Keefe's Building Repairs

OSU Hale Hall OSU Haves Hall Playhouse in the Park Port Authority - Forest Fair Parking Garage Repairs **Reinhart Food Services Emergency Repairs** Remke Market Buttermilk Towne Center **RK Metals Press Upgrades** Rumpke Columbus Truck Shop Floor Drains and Breakroom Rumpke Colerain - CNG Station Rumpke Omaha - Tipping Floor Walls, Wall/Dock Repairs, Exterior Facade Repairs Rumpke Davton Baghouse Reinforcement Rumpke Colerain 3700 Building Rumpke Columbus MRF Addition and Building 1 Modifications Rumpke Elmwood CCR MRF Smart Paper Phase III Taste of Belgium Repairs Trevarron Flats Development Haebegger Redna Facility Indianapolis Airport Parking and GTC Repairs



\mathbf{x}		×	
2			
X	er.	5	

Bryan Erickson, MBA



VICE PRESIDENT OF OPERATIONS t 513-751-7774 | m 513-262-7452 berickson@ssrg.com

As Vice President of Operations, Bryan streamlines the overall project experience for everyone: the SSRG team, project partners, and ultimately the client. He brings to the table nearly fifteen years of industry experience and a savvy business mind.

EDUCATION / TRAINING MBA in Executive Management University of Toledo

B.S. Construction Management University of Cincinnati

Associate of Applied Science - Civil Engineering University of Cincinnati

SSRG PROJECT EXPERIENCE

USPS Middletown

Structural repair, masonry repair and restoration

Cough Pike Elementary

Concrete block new construction, patch and repair throughout existing building

CityWest

Design-Build full facade replacement on all masonry items of large multi-family complex.

Tonkens House

Concrete and masonry repairs, roof repairs to historic Frank Lloyd Wright house.

Perseverance

Helicals and framing stabilization in historic urban buildings, preparing for renovation into housing

Maple Knoll - Coventry Court Villas

Concrete block masonry on new constructions

Madison Villa

Start-up concrete and masonry

Madison State Hospital

Comprehensive masonry repairs to historic medical facility

Meiner's Corner

Repairs and stabilization to urban multi-family

1906 Elm

Concrete block masonry, new elevator shaft for multi-family

DIVISION MANAGER, 2012-2020

Managed the contracting division of a Class I Railroad Contractor performing bridge maintenance, repair, and replacement. Supervised roughly 50 people, performing approximately 15-20 jobs per year of varying size, scope, and dollar value across the eastern half of the United States.

Chaired a project cost committee and implemented standardized cost tracking and projection format.

Served on Strategic Planning Committee. Drafted goals and growth plans over a 5-year period. Under this plan, revenue doubled from 2013 to 2018, gross profit increased from 18% to 26%, and net profit increased from 4% to 8% all while maintaining similar levels of O/H percentage wise. Divisionally, GP increased from 12% to 19%, net increased from 1% to 5%, and total revenue increased by nearly 30%

Worked with project team to bid, procure, and successfully complete largest project of division's history. Won 2015 POY award from the Pile Driving Contractors Association.

From 2014 through 2017, division only had 1 medical aid incident and zero LTA's. EMR dropped from .9 to .6 and 3-year TRIR dropped from over 6 to below 2. Over 100,000 MHs worked. In 2018, received the Gold Award from the National Railroad Contractor and Maintenance Association.

Worked as a team member to develop a targeted sales plan with company's BD agent to draw in more customers and exploit market gaps or opportunities by way of strategic marketing or equipment acquisition. Once developed, the team oversaw the implementation, and tracked continuous improvement through KPI and standard metrics.



John Mussman



DIVISION MANAGER

513-545-2195 | email jmussman@ssrg.com

As a Division Manager, John is focused on strengthening SSRG's position in the civil and industrial markets. He successfully delivers large scale design-build projects in both the private and public arenas, as well as elevating a work force to tackle even larger projects moving forward. John has extensive experience in heavy industrial projects and is SSRG's go-to leader when working with concrete.

EDUCATION/TRAINING

Vanderbilt University BS Civil Engineering

ACI Tilt-Up Concrete Technician ICRI Concrete Surface Repair Technician

PROFESSIONAL AFFILIATIONS

Lean Construction Institute - Ohio Valley Chapter American Concrete Institute (ACI) International Concrete Repair Institute (ICRI)

RELEVANT PROJECT EXPERIENCE

Rumpke Heavy Equipment Garage - Butler, KY

Design-build service facility for Rumpke's largest landfill compactors.

Rumpke Sanitary & Paving Improvements - Columbus, OH

New sanitary service and concrete paving replacement

Bechtel Waste Transfer Station & Truck Scale -Richmond, KY

Design-build new chemical waste transfer station including foundations, warehouse, offices, and weight scale

Duke Plainfield Facility Upgrades

Design-build replacement and reconfiguration of central loading docks and waste hauling facilities, and new employee traffic from parking area.

Jim Beam Barrel Storage

Comprehensive structural repairs to concrete columns, beams and masonry walls for 7-story barrel warehouse.

Edgecliff Condominiums - Cincinnati, OH

Comprehensive repairs and concrete deck replacements to 5 level subterranean parking structure

Paul Brown Stadium - Cincinnati, OH

Long-standing relationship with NFL stadium conducting off-season repairs. Concrete repairs and waterproofing

Great American Ballpark Structural Renovations – Cincinnati, OH

Modifications to existing structural steel and concrete deck elements to improve athlete training space. Long-standing relationships with MLB stadium for Cincinnati Reds.

New Midtown Tunnel – Norfolk, VA

Concrete operations inside new tunnel structural elements and construction of two multi- story building housing mechanical equipment and offices

Greenbelt Test Track and Commissioning Facility – Washington D.C.

Earthwork and underground utilities for 3 miles of new light rail track, including 50,000 sf maintenance facility

Gansevoort Sewer Outfall and Gas Main – New York, NY

Combined sewer outfall relocation and Hudson river bulkhead reconfiguration to accommodate directional drilling of new gas main beneath river.

FDNY Marine 1 Company Headquarters – New York, NY

Concrete pier and multi- story combined use maintenance and office building

Chelsea Cove Waterfront Development – New York, NY

Concrete piers, earthwork and utilities for 10 acre waterfront development and to the commercial and maintenance buildings

MLK Freeway Extension – Portsmouth, VA

Foundations, piers and caps for several bridges along a new elevated freeway through an urban environment

Duke Energy Concrete Foundations – Rabbit Hash, KY

New concrete foundations for buildings and equipment to support new process implementation



Andrew Scheitlin



EDUCATION / TRAINING University of Cincinnati BS Civil Engineering

OSHA 30 hour certified ACI Field level One Certified Nuclear Density Gauge Trained

RELEVANT PROJECT EXPERIENCE

Louisville Water Company - Zorn Pump Station 3 Restoration of historic water pump, including masonry restoration, waterproofing, roof and plaster repairs.

Louisville Water Company - Zorn Tunnel Restoration of a utility tunnel including reinforced concrete and waterproofing

University of Cincinnati - Collegiate Garage Large-scale garage repair

Rumpke - Medina dfdf

Edgecliff Condominiums - Cincinnati, OH Comprehensive repairs and concrete deck replacements to 5 level subterranean parking structure

Madison Villa Startup concrete, masonry

Christ Church Cathedral Concrete and waterproofing to historic downtown church Archdiocese at 100 E 8th Street Sidewalk and window renovation

250 E 5th Street Garage repairs

University of Kentucky Research building plaza waterproofing

Mill Creek WTP Concrete repairs

Taylor Creek WTP Crack inkections

Fifth Third Sky Connector Hot waterproofing

Purdue University Utility tunnel repairs on occupied campus



Josh Armstrong



SUPERINTENDENT

859-391-7590 | email jarmstrong@ssrg.com

Josh has been with SSRG for a total of 7 years, and works with the Concrete Division. As Project Superintendent, Justin will work on site to provide quality control leadership. He will be responsible for managing the project schedule and any SSRG field personnel assigned to the project.

EDUCATION / TRAINING

OSHA-30

Confined Space Supervisor Fall Protection Competent Person Rough Terrain Fork Truck Industrial Fork Lift Elevated Work Platforms

SSRG PROJECT EXPERIENCE

Madison Villa Startup concrete, masonry

USPS Middletown

Structural repair, masonry repair and restoration

Great American Ballpark Structural Renovations – Cincinnati, OH

Modifications to existing structural steel and concrete deck elements to improve athlete training space. Long-standing relationships with MLB stadium for Cincinnati Reds.

Purdue University Utility tunnel repairs on occupied campus

Paul Brown Stadium - Cincinnati, OH Long-standing relationship with NFL stadium conducting off-season repairs. Concrete repairs and waterproofing

Archdiocese at 100 E 8th Street Masonry restoration

250 E 5th Street Garage repairs

The Baldwin Historic renovation; structural repairs and concrete repairs

Cincinnati Children's Hospital & Medical Center Parking garage repairs **Carmeuse Mine** Undercut Anchor System

Cloisters Condominiums Garage repairs, joist repairs, wall repairs

The Edgecliff Private Residence

Garage repairs including reinforcement of the existing steel, corrosion protection for existing steel, concrete repair, and waterproof traffic coating

Gorilla Glue Corporate Headquarters

Design-Build renovations to warehouse to create offices and laboratories. Structural repairs, concrete fills

Indianapolis International Airport Expansion joints, traffic coatings system, and concrete patching

Macy's Plaza Waterproofing and Paver Restoration

Rumpke Fall Protection Facility upgrades for safety, 40+ sites

Sheakley Center for Youth Concrete and masonry repairs, building restoration

Walnut Hills High School Structural repairs for historic renovation and addition, occupied campus

10. EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the

mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Signature

Structural Systems Repair Group, LLC Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;
- (3) The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and

(4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as <u>special conditions</u> in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.





To: All Employees From: Mike Huseman, President Date: March 1, 2020 Subject: Equal Employment Opportunity Policy Statement

The HGC Group of Companies (HGC Construction Co., SSRG, Stanton Millworks, and Stewart Iron Works) is committed to providing a non-discriminatory employment environment for its employees.

The policy of the HGC Group of Companies is to fully comply with all applicable federal, state and local laws, rules and regulations in the area of non-discrimination in employment. Discrimination against employees and applicants due to race, color, creed, age, national origin, ancestry, sex, disability, sexual orientation, gender identity, housing status, veteran status or military status as defined in section 4112.01 of the Revised Code is prohibited. Violations of this policy will be subject to discipline, up to and including termination.

Equal employment opportunity and non-discriminatory commitments include, but are not limited to, the areas of hiring, promotion, demotion or transfer, recruitment, discipline, layoff or termination, rate of compensation and company sponsored training.

All employees are expected to comply with the equal employment opportunity policy. Managers and supervisors who are responsible for meeting business objectives are expected to cooperate fully in meeting HGC Group of Companies' equal employment opportunity objectives. This policy statement will be disseminated to all employees, various recruitment sources and will be displayed on all construction job sites and business locations.

Persons who believe the HGC Group of Companies has discriminated against them must immediately report any incident to the company's designated EEO Officer. The company will not tolerate retaliation against any employee who reports acts of discrimination or provides information in connections with any such complaint.

If you have any questions regarding this policy, please contact Matt Hess, EEO Officer, at (513) 861-8866.



HGC GROUP OF COMPANIES 2814 Stanton Avenue Cincinnati, OH 45206 p: 513.861.8866 f: 513.861.7878

To: All Employees FROM: Mike Huseman DATE: January 13, 2020 SUBJECT: Sexual Harassment Policy Statement

The STRUCTURAL SYSTEMS REPAIR GROUP, LLC is committed to providing a working environment free from discrimination, and to prohibit harassment of employees and applicants, including sexual harassment. STRUCTURAL SYSTEMS REPAIR GROUP, LLC will implement the policy to fully comply with applicable federal, state and local laws, rules and regulations in the area of non-discrimination and harassment of employment.

Sexual harassment is defined as any unwelcome or unwanted sexual advance, request for sexual favors, or other verbal or physical conduct of a sexual nature from someone in the workplace that creates discomfort and/or interferes with the job. Conduct constitutes harassment when:

Submission to such conduct is made, either explicitly or implicitly, a term or condition of an individual's employment; Submission to or rejection of such conduct by an individual is used as the basis for employment decisions and/or retaliation; or

Such conduct has the purpose or effect of interfering with an individual's work performance or creating an intimidating, hostile or offensive work environment.

Harassment will not be tolerated in the STRUCTURAL SYSTEMS REPAIR GROUP, LLC's workplace. Such conduct is subject to discipline, up to and including termination. Any employee who believes he or she is a victim of sexual harassment must immediately report any incident to the company's designated EEO Officer. The company will not tolerate retaliation against any employee who complains of sexual harassment or provides information in connection with any such complaint.

Point of Contact:

If you have any questions regarding this policy, please contact Matthew Hess, EEO Officer, at (513) 861-8866.

12. WORKFORCE ANALYSIS FORM

Name of Organization: Structural Systems Repair Group, LLC

Categories	Total	(N Hispa	nite lot anic or ino)	Hispa Lati		Blacl Afric Amer (No Hispar Lati	an- ican ot nic or	Haw and Pa Isla (N	tive vaiian Other cific nder lot anic or	His	n (Not banic atino	Ind Alaska (not Hi	erican ian or an Native spanic or atino	races Hispa	r more s (Not anic or tino	Τοι	al
		М	F	м	F	м	F	М	F	м	F	М	F	М	F	М	F
Administrators	1	1														1	0
Professionals	14	12	1								1					12	2
Superintendents	10	10														10	0
Supervisors	4	4														4	0
Foremen	26	25		1												26	0
Technicians																	
Protective Service																	
Para-Professionals																	
Office/Clerical	3	3														3	0
Skilled Craft	50	42	1	2		3		1	1							48	2
Service/Maintenance	13	13														13	0
Total:	121	110	2	3		3		1	1		1					117	4

Prepared by: Cathy Combs, Human Resources Generalist

Date: 05 / 04 / 2022

(Name and Title)

Revised 2015-Dec-15

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Structural Systems Repair Group, LLC

Address: 2824 Stanton Avenue, Cincinnati, OH 45206

Project to be insured: Government Center Envelope Improvements

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section		Minimum Limits and	Limits Provided	Name of	A.M. Bes	st's
Items	Coverage	Policy Requirements	To Insured	Insurer	Code	Rating
SC-2 – see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$\$1,000,000 per Occ. Westfield Insuranc \$2,000,000 Aggregate Company		002382	A XV
SC-2 – see provisions	AUTO	\$1,000,000/per occ.	\$ \$1,000,000 each accident	Westfield Insurance Company	002382	A XV
SC-2 – see provisions	WC	Statutory w /endorsement as noted	\$ \$4,000,000	Kentucky Associated General Contractors Self Insurer's Fund	055002	A- VII
SC-2 – see provisions	EXC	\$5,000.000 per occ.	\$\$5,000,000 per Occ. \$5,000,000 Aggregate	Westfield Insurance Company	002382	A XV

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

AssuredPartners NL	Insurance Agency, Inc.		Debbie McDonald				
Agency or Brokerage			Name of Authorized Representative				
5905 E. Galbraith Ro	ad, Suite 5000		Senior Account Executive				
Street Address							
Cincinnati	ОН	45236	Deborat mald				
City	State	Zip	Authorized Signature				
513-475-3302			_ 05/01/2020				
Telephone Number			Date				

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

Employee ID: 27-1866234

Phone: 513-751-7774

14. DEBARRED FIRMS

PROJECT NAME: Government Center Envelope Improvements

BID NUMBER: 53-2020

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of <u>Structural Systems Repair Group, LLC</u> has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

<u>Structural Systems Repair Group, LLC</u> Name of Firm Submitting Bid

Signature of Authorized Official

Vice President of Operations Title

May 4, 2020

Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Structural Systems Repair Group, LLC

Project: Government Center Envelope Improvements

Printed Name and Title of Authorized Representative:

Signature:

Date: May 4, 2020

END OF SECTION

Bryan Erickson, Vice President of Operations