



# LEXINGTON

## **Bid 87-2024 Addendum 3 Herrick Company, Inc. Supplier Response**

### **Event Information**

Number: Bid 87-2024 Addendum 3  
Title: West Hickman WWTP Odor Control Improvements  
Type: Competitive Bid  
Issue Date: 6/12/2024  
Deadline: 6/26/2024 02:00 PM (ET)

### **Contact Information**

Contact: Brian Marcum  
Address: Central Purchasing  
Government Center Building  
200 East Main Street  
Lexington, KY 40507  
Phone: (859) 2583320  
Fax: (859) 2583322  
Email: [brianm@lexingtonky.gov](mailto:brianm@lexingtonky.gov)

## Herrick Company, Inc. Information

Contact: Ryan Gabbard  
Address: 2176 Waddy Rd  
Lawrenceburg, KY 40342  
Phone: (502) 839-3484  
Fax: (502) 839-0939  
Email: ryan@hci96.com

ONLY ONLINE BIDS WILL BE ACCEPTED! By submitting your response, you certify that you are authorized to represent and bind your company and that you agree to all bid terms and conditions as stated in the attached bid/RFP/RFQ/Quote/Auction documents.

Ryan P Gabbard

Signature

ryan@hci96.com

Email

Submitted at 6/26/2024 01:40:20 PM (ET)

## Response Attachments

(red size) Bid Docs Complete - 87-2024 - West Hickman WWTP Odor Control Improvements.pdf

Required Bid Attachment

## Bid Lines

1	Radial Flow Carbon Adsorber Unit	Quantity: <u>  1  </u> UOM: <u>Lump Sum</u>	Price: <u>\$615,000.00</u>	Total: <u>\$615,000.00</u>
2	FRP Piping, Fittings, Dampers, and Accessories	Quantity: <u>  1  </u> UOM: <u>Lump Sum</u>	Price: <u>\$151,000.00</u>	Total: <u>\$151,000.00</u>
3	Ground and Wall Pipe Supports Systems	Quantity: <u>  1  </u> UOM: <u>Lump Sum</u>	Price: <u>\$8,300.00</u>	Total: <u>\$8,300.00</u>
4	Bridge Pipe Support System	Quantity: <u>  1  </u> UOM: <u>Lump Sum</u>	Price: <u>\$237,000.00</u>	Total: <u>\$237,000.00</u>
5	Paved Site Restoration: Bituminous Concrete – Full Width Full Depth Paving	Quantity: <u> 300 </u> UOM: <u>Square Yard</u>	Price: <u>\$100.00</u>	Total: <u>\$30,000.00</u>
6	Non-Paved Site Restoration: Method C	Quantity: <u> 200 </u> UOM: <u>Square Yard</u>	Price: <u>\$2.50</u>	Total: <u>\$500.00</u>

**Response Total: \$1,041,800.00**

**PART III**

**Invitation to Bid No. 87-2024**

**West Hickman WWTP ASH and Sludge Tanks Odor Control Improvements**

**1. FORM OF PROPOSAL**

Place: Lexington, Kentucky

Date: 06/26/24

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Herrick Company, Inc.

780 Enterprise Dr, Lexington, KY 40510

(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as a corporation, "a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of Procurement  
200 East Main Street, 3rd Floor  
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **West Hickman WWTP ASH and Sludge Tanks Odor Control Improvements** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 6/13/24

Addendum No. 2 Date 6/19/24

Addendum No. 3 Date 6/24/24

Addendum No.      Date                     

Addendum No.      Date                     

Addendum No.      Date                     

Addendum No.      Date                     

Addendum No.      Date                     

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. LEGAL STATUS OF BIDDER

Bidder Herrick Company, Inc.

Date 06/26/24

\* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Cody M. Lokits, bearing the official title of President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

~~\* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)~~

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~~\* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)~~

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\*(The Bidder shall fill out the appropriate form and strike out the other two.)

3.

**BIDDERS AFFIDAVIT**

Comes the Affiant, Cody M. Lokits, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Cody M. Lokits and he/she is the individual submitting the bid or is the authorized representative of Herrick Company, Inc., the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the LFUCG Division of Procurement to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
6. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Signature

President

Title

Cody M. Lokits

Printed Name

6/26/24

Date

Company Name Herrick Company, Inc.

Address 780 Enterprise Dr, Lexington, KY 40510

Subscribed and sworn to before me by Cody M. Lokits  
(Affiant)

President

(Title)

of Herrick Company, Inc. this 26th day of June, 2024.  
(Company Name)

Notary Public  
[seal of notary]

Ryan P Gabbard  
Notary Public

My commission expires: 5/8/26



#### 4. **BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except: If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a unit price based bid, the sum of the item totals is the bid amount Procurement uses for bid comparison.

The LFUCG's decision on the bid amount is final.

**Enter pricing in Line Items tab in IonWave.**

BID ITEM NO.	UNIT DESCRIPTION	UNIT	APPROX QTY.	UNIT PRICE WITH WRITTEN DESCRIPTION	TOTAL
1	Radial Flow Carbon Adsorber Unit	LS	1	SUBMIT IN IONWAVE ONLY	IONWAVE WILL CALCULATE
2	FRP Piping, Fittings, Dampers, and Accessories	LS	1	SUBMIT IN IONWAVE ONLY	IONWAVE WILL CALCULATE
3	Ground and Wall Pipe Supports Systems	LS	1	SUBMIT IN IONWAVE ONLY	IONWAVE WILL CALCULATE
4	Bridge Pipe Support System	LS	1	SUBMIT IN IONWAVE ONLY	IONWAVE WILL CALCULATE



5	Paved Site Restoration: Bituminous Concrete – Full Width Full Depth Paving	SY	300	SUBMIT IN IONWAVE ONLY	IONWAVE WILL CALCULATE
6	Non-Paved Site Restoration: Method C	SY	200	SUBMIT IN IONWAVE ONLY	IONWAVE WILL CALCULATE
Total Bid Price					

**TOTAL OF ALL BID PRICES FOR West Hickman WWTP ASH and Sludge Tanks Odor Control**

**Improvements** (Items 1 through 1) in words and figures. In case of discrepancy, the amount shown in words will govern.

One million, forty-one thousand, eight hundred dollars.

\_\_\_\_\_ (\$ 1,041,800.00 \_\_\_\_\_).

**The ENGINEER’S Estimated Quantities shown in the Construction Plans are to be considered approximate. It is the CONTRACTOR’S responsibility to verify and determine quantities and unit costs that reflect the Total Lump Sum Cost for the entire project. Once the bid is submitted, the CONTRACTOR will not be permitted to alter the unit prices identified in the Bid Schedule.**



Submitted by:

Herrick Company, Inc.

*Firm*

780 Enterprise Dr

*Address*

Lexington, KY 40510

*City, State & Zip*

***Bid must be signed:  
(original signature)***

 **PRESIDENT**  
*Signature of Authorized Company Representative – Title*

Cody M Lokits, President

*Representative/s Name (Typed or Printed)*

502-839-3484

*Area Code – Phone – Fax #*

cody@hci96.com

*E-Mail Address*

OFFICIAL ADDRESS:

Herrick Company, Inc.

780 Enterprise Dr

Lexington, KY 40510

\_\_\_\_\_  
(Seal if Bid is by Corporation)

**By signing this form you agree to ALL terms, conditions, and associated forms in this bid package**

5. **STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: Herrick Company, Inc.

2. Permanent Place of Business: 780 Enterprise Dr. Lexington, KY 40510

3. When Organized: January 1996

4. Where Incorporated: Kentucky

5. Construction Plant and Equipment Available for this Project:

\* SEE ATTACHED

(Attach Separate Sheet If Necessary)

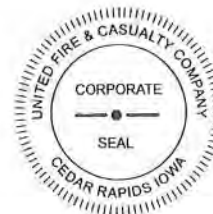
6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Procurement within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

United Fire & Casualty Company (Surety)

Signed: Leigh McCarthy (Representative of Surety)  
Leigh McCarthy, Attorney-in-Fact



8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

\*See attached

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
*See attached		

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
*See attached		

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
*See attached			

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

Respectfully submitted:

Herrick Company, Inc.

(Name of Contracting Firm)

BY:

Cody M. Lokits

TITLE: President

DATE 6/26/24

**6. LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<b><u>BRANCH OF WORK-LIST</u></b>	<b><u>DBE</u> <u>Work</u></b>	<b><u>% of EACH MAJOR ITEM</u></b>
Electrical - Faust Electric, LLC	NO	6%
Paint/Coatings - McKinney Painting	YES	1%
Paving/Patching - Imperial Asphalt	NO	2%



## LIST OF MATERIALS/ SUPPLIERS

Bidders are hereby advised that this list must be complete and submitted with the Bid.

Listing "as per plans and specifications", will not be considered as sufficient identification. Where more than one "Make or Brand" is listed for any one item, the Owner has the right to select the one to be used.

Item	Brand Name, Manufacturer and/or Supplier
Radial Flow Carbon Absorber Unit	PureAir Filtration
FRP Pipe/Fittings/Dampers, etc.	Perry Fiberglass Products
Metals	GCI, Inc.

7. **Lexington-Fayette Urban County Government**  
**MWDBE PARTICIPATION GOALS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**
- 4) The LFUCG has also established a 3% of total procurement costs as a Goal for participation for of Veteran-Owned Businesses.
- 5) **It is therefore a request of each Bidder to include in its bid, the same goal (3%) for Veteran-Owned participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned or Veteran-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
  - a) The Lexington-Fayette Urban County Government Division of Procurement (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE and Veteran-Owned subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by one or more women.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned, managed and controlled by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

#### D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.**

#### E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
  - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
  - b. Included documentation of advertising in the above publications with the bidders good faith efforts package

- c. Attended LFUCG Procurement Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email, or facsimile, to qualified, certified MWDBEs and/or Veteran-Owned businesses soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.
- j. Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce.
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

- o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- p. Made efforts to expand the search for MWDBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.
- q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

**Note: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**





## MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA  
Minority Business Enterprise Liaison  
Division of Procurement  
Lexington-Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)  
859-258-3323

**OUR MISSION:** The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long-term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented Resolution 484-2017 – A Certified Minority, Women and Disadvantaged Business Enterprise ten percent (10%) minimum goal and a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and Certified Service-Disabled Veteran – Owned Businesses for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals (a full copy is available in Procurement):

***Certified Disadvantaged Business Enterprise (DBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

***Certified Minority Business Enterprise (MBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. African American, Asian American/Pacific Islander, Hispanic Islander, Native American/Native Alaskan Indian) as defined in federal law or regulation as it may be amended from time-to-time.

***Certified Women Business Enterprise (WBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

***Certified Veteran-Owned Small Business (VOSB)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

***Certified Service Disabled Veteran Owned Small Business (SDVOSB)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term “Certified” shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Procurement as having the appropriate credentials to make a determination as to the status of the business.

To comply with Resolution 484-2017, prime contractors and minority, women and veteran owned businesses must enroll in the new Diverse Business Management Compliance system, <https://lexingtonky.diversitycompliance.com/>



We have compiled the list below to help you locate certified DBE, MBE, WBE and VOSB certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	<a href="mailto:smiller@lexingtonky.gov">smiller@lexingtonky.gov</a>	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	<a href="mailto:ttyra@commercelexington.com">ttyra@commercelexington.com</a>	859-226-1625
Tri-State Minority Supplier Diversity Council	Susan Marston	<a href="mailto:smarston@tsmsdc.com">smarston@tsmsdc.com</a>	502-365-9762
Small Business Development Council	Shawn Rogers UK SBDC	<a href="mailto:shawn.rogers@uky.edu">shawn.rogers@uky.edu</a>	859-257-7666
Community Ventures Corporation	Phyllis Alcorn	<a href="mailto:palcorn@cvky.org">palcorn@cvky.org</a>	859-231-0054
KY Transportation Cabinet (KYTC)	Melvin Byne	<a href="mailto:Melvin.bynes2@ky.gov">Melvin.bynes2@ky.gov</a>	502-564-3601
KYTC Pre-Qualification	Shella Eagle	<a href="mailto:Shella.Eagle@ky.gov">Shella.Eagle@ky.gov</a>	502-782-4815
Ohio River Valley Women's Business Council (WBENC)	Sheila Mixon	<a href="mailto:smixon@orvwbc.org">smixon@orvwbc.org</a>	513-487-6537
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	<a href="mailto:Yvette.Smith@ky.gov">Yvette.Smith@ky.gov</a>	502-564-8099
National Women Business Owner's Council (NWBOC)	Janet Harris-Lange	<a href="mailto:janet@nwbo.org">janet@nwbo.org</a>	800-675-5066
Small Business Administration	Robert Coffey	<a href="mailto:robertcoffey@sba.gov">robertcoffey@sba.gov</a>	502-582-5971
LaVoz de Kentucky	Andres Cruz	<a href="mailto:lavozdeky@yahoo.com">lavozdeky@yahoo.com</a>	859-621-2106
The Key News Journal	Patrice Muhammad	<a href="mailto:production@keynewsjournal.com">production@keynewsjournal.com</a>	859-685-8488



## LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 87-2024

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Procurement for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. McKinney Painting Matt Gamlin 104 Industry Dr, Versailles, KY 859-873-6424 matt@mckinneypainting.com	WBE	Painting/Coatings	\$5,000	1%
2.				
3.				
4.				


The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc.

Company

6/26/24

Date

  
Company Representative Cody M. Lokits

President

Title



N/A at this time.

### LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # 87-2024

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Procurement for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc.

Company

6/26/24

Date

  
Company Representative Cody M. Lokits

President

Title





\*See attached for any MWDBE quotes received.

### MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 87-2024

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name	Contact Person
Address/Phone/Email	Bid Package / Bid Date

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc.)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

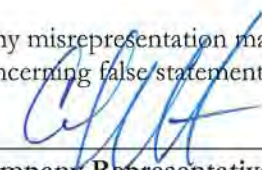
The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Herrick Company, Inc.

Company

6/26/24

Date

  
Company Representative Cody M. Lokits

President  
Title



N/A at this time.

## LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran contractors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Procurement/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 87-2024

Total Contract Amount Awarded to Prime Contractor for this Project \_\_\_\_\_

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address: _____
Federal Tax ID:	Contact Person: _____

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

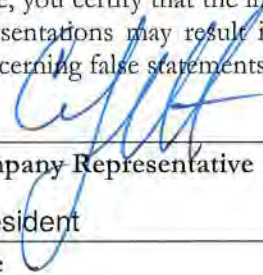
By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc. \_\_\_\_\_

Company

6/26/24 \_\_\_\_\_

Date

  
Company Representative Cody M. Lokits

President

Title

## LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 87-2024

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

       Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

       Included documentation of advertising in the above publications with the bidder's good faith efforts package

       Attended LFUCG Procurement Economic Inclusion Outreach event

  X   Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

       Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

  X   Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

  X   Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

  X   Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

  X   Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

  X   Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

  X   Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

  X   Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of



their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

☒ Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

☒ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

☒ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

☒ Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

☒ Other--any other evidence that the bidder submits which may show that the bidder has made reasonably good faith efforts to include MWDBE and Veteran participation.

**NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Herrick Company, Inc.

Company

6/26/24

Date

  
Company Representative Cody M. Lokits

President

Title

8. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky X. Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

\*See attached

**9. STATEMENT OF EXPERIENCE**

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\* Include all officers, office management's, Affirmative Action officials, and field management personnel.  
(Attach separate sheets if necessary.)



## 10. EQUAL OPPORTUNITY AGREEMENT

### Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

### The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:  
*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*
- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:  
*The Secretary of Labor may investigate the employment practices of any Government contractor or subcontractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

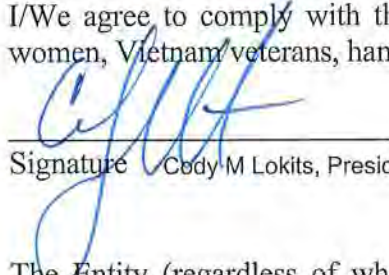
The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned

ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

### Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

 \_\_\_\_\_  
Signature    Cody M Lokits, President                      Herrick Company, Inc. \_\_\_\_\_  
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required



- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

KRS 45.640 Minimum skills

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

**11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Herrick Company, Inc.

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

## 12. WORKFORCE ANALYSIS FORM

Name of Organization: Herrick Company, Inc.

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	5	3	2													3	2
Professionals																	
Superintendents	4	4														4	
Supervisors																	
Foremen	2	2														2	
Technicians																	
Protective																	
Para-																	
Office/Clerical																	
Skilled Craft	22	20		1								1				22	
Service/ Maintenance																	
Total:	33	29	2	1								1				31	2

Prepared by: \_\_\_\_\_

*Ryan P. Hobland*

(Name and Title)

Date: 6 / 26 / 24

### 13. EVIDENCE OF INSURABILITY

#### LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT

(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Herrick Company, Inc.

Employee ID: \_\_\_\_\_

Address: 780 Enterprise Dr, Lexington, KY 40510

Phone: 502-839-3484

Project to be insured: West Hickman WWTP Odor Control Improvements

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-2 – see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ \$1,000,000 per occ/ \$2,000,000 per agg	Selective	003926	XV, A+
SC-2 – see provisions	AUTO	\$1,000,000/per occ.	\$ \$1,000,000 CSL	Selective	003926	XV, A+
SC-2 – see provisions	WC	Statutory w /endorsement as noted	\$ \$4,500,000 each acc \$4,500,000 each employee \$4,500,000 aggregate	KY Association of General Contractors	055002	VIII, A-
SC-2 – see provisions	EXC	\$2,000,000 per occ.	\$ 5,000,000 per occ/agg	Selective	003926	XV, A+

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Marsh McLennan Agency

Agency or Brokerage

360 E Vine Street Suite 200

Street Address

Lexington KY 40507

City State Zip

859-254-8020

Telephone Number

Chris Barnett

Name of Authorized Representative

Managing Director

Title

Chris Barnett

Authorized Signature

06/25/2024

Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

**IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.**

**14. DEBARRED FIRMS**

**PROJECT NAME:** West Hickman WWTP ASH and Sludge Tanks Odor Control Improvements

**BID NUMBER:** 87-2024

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Herrick Company, Inc. has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Herrick Company, Inc.  
Name of Firm Submitting Bid

  
Signature of Authorized Official Cody M. Lokits

President  
Title

6/26/24  
Date



## 15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three-year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Herrick Company, Inc.

Project: West Hickman WWTP Odor Control Improvements

Printed Name and Title of Authorized Representative: Cody M Lokits, President

Signature: 

Date: 6/26/24

# Document A310™ – 2010

Conforms with The American Institute of Architects AIA Document 310

## Bid Bond

### CONTRACTOR:

(Name, legal status and address)

Herrick Company, Inc.  
780 Enterprise Dr  
Lexington, KY 40510

### SURETY:

(Name, legal status and principal place of business)

United Fire & Casualty Company  
PO Box 73909  
Cedar Rapids, IA 52407-3909

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

### OWNER:

(Name, legal status and address)

Lexington-Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507

BOND AMOUNT: \$ 5%

Five Percent of Amount Bid

### PROJECT:

(Name, location or address, and Project number, if any)

West Hickman WWTP ASH and Sludge Tanks Odor Control Improvements

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 26th day of June, 2024



(Witness) RYAN P. GABBARD



(Witness) Suzanna Knight

Herrick Company, Inc.

(Principal)

(Seal)

By: 

(Title) CORY M. LOKITS, PRESIDENT

United Fire & Casualty Company

(Surety)

(Seal)

By: 

(Title) Leigh McCarthy Attorney-in-Fact







UNITED FIRE & CASUALTY COMPANY, CEDAR RAPIDS, IA  
UNITED FIRE & INDEMNITY COMPANY, WEBSTER, TX  
FINANCIAL PACIFIC INSURANCE COMPANY, LOS ANGELES, CA

Inquiries: Surety Department  
118 Second Ave SE  
Cedar Rapids, IA 52401

**CERTIFIED COPY OF POWER OF ATTORNEY**  
(original on file at Home Office of Company – See Certification)

KNOW ALL PERSONS BY THESE PRESENTS, That UNITED FIRE & CASUALTY COMPANY, a corporation duly organized and existing under the laws of the State of Iowa; UNITED FIRE & INDEMNITY COMPANY, a corporation duly organized and existing under the laws of the State of Texas; and FINANCIAL PACIFIC INSURANCE COMPANY, a corporation duly organized and existing under the laws of the State of California (herein collectively called the Companies), and having their corporate headquarters in Cedar Rapids, State of Iowa, does make, constitute and appoint

Leigh McCarthy their true and lawful Attorney-in-Fact with power and authority hereby conferred to sign, seal and execute in its behalf all lawful bonds, undertakings and other obligatory instruments of similar nature provided that no single obligation shall exceed \$100,000,000.00

Surety Bond Number: Bid Bond

Principal: Herrick Company, Inc.

Obligee: Lexington-Fayette Urban County Government

and to bind the Companies thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of the Companies and all of the acts of said Attorney, pursuant to the authority hereby given and hereby ratified and confirmed.

The Authority hereby granted is continuous and shall remain in full force and effect until revoked by UNITED FIRE & CASUALTY COMPANY, UNITED FIRE & INDEMNITY COMPANY, AND FINANCIAL PACIFIC INSURANCE COMPANY.

This Power of Attorney is made and executed pursuant to and by authority of the following bylaw duly adopted by the Boards of Directors of UNITED FIRE & CASUALTY COMPANY, UNITED FIRE & INDEMNITY COMPANY, and FINANCIAL PACIFIC INSURANCE COMPANY.

**"Article VI – Surety Bonds and Undertakings"**

Section 2, Appointment of Attorney-in-Fact. "The President or any Vice President, or any other officer of the Companies may, from time to time, appoint by written certificates attorneys-in-fact to act in behalf of the Companies in the execution of policies of insurance, bonds, undertakings and other obligatory instruments of like nature. The signature of any officer authorized hereby, and the Corporate seal, may be affixed by facsimile to any power of attorney or special power of attorney or certification of either authorized hereby; such signature and seal, when so used, being adopted by the Companies as the original signature of such officer and the original seal of the Companies, to be valid and binding upon the Companies with the same force and effect as though manually affixed. Such attorneys-in-fact, subject to the limitations set forth in their respective certificates of authority shall have full power to bind the Companies by their signature and execution of any such instruments and to attach the seal of the Companies thereto. The President or any Vice President, the Board of Directors or any other officer of the Companies may at any time revoke all power and authority previously given to any attorney-in-fact.

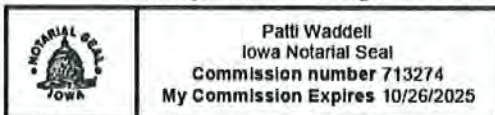
IN WITNESS WHEREOF, the COMPANIES have each caused these presents to be signed by its vice president and its corporate seal to be hereto affixed this 21st day of September, 2023

UNITED FIRE & CASUALTY COMPANY  
UNITED FIRE & INDEMNITY COMPANY  
FINANCIAL PACIFIC INSURANCE COMPANY

By: *Kyanna M. Saylor*  
Vice President

State of Iowa, County of Linn, ss:

On 21st day of September, 2023, before me personally came Kyanna M. Saylor to me known, who being by me duly sworn, did depose and say; that she resides in Cedar Rapids, State of Iowa; that she is a Vice President of UNITED FIRE & CASUALTY COMPANY, a Vice President of UNITED FIRE & INDEMNITY COMPANY, and a Vice President of FINANCIAL PACIFIC INSURANCE COMPANY the corporations described in and which executed the above instrument; that she knows the seal of said corporations; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporations and that she signed her name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporations.



*Patti Waddell*  
Notary Public  
My commission expires: 10/26/2025

I, Mary A. Bertsch, Assistant Secretary of United Fire & Casualty Company and Assistant Secretary of United Fire & Indemnity Company, and Assistant Secretary of Financial Pacific Insurance Company, do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Section of the bylaws and resolutions of said Corporations as set forth in said Power of Attorney, with the ORIGINALS ON FILE IN THE HOME OFFICE OF SAID CORPORATIONS, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.

In testimony whereof I have hereunto subscribed my name and affixed the corporate seal of the said Corporations  
this 26th day of June 2024.



By: *Mary A. Bertsch*

Assistant Secretary,  
UF&C & UF&I & FPIC



MAYOR LINDA GORTON



**LEXINGTON**

TODD SLATIN  
DIRECTOR  
CENTRAL PURCHASING

**ADDENDUM #1**

Bid Number: **#87-2024**

Date: June 13 2024

Subject: West Hickman WWTP Odor Control Improvements

Address inquiries to:  
Brian Marcum  
[brianm@lexingtonky.gov](mailto:brianm@lexingtonky.gov)  
(859) 258-3325

**TO ALL PROSPECTIVE SUBMITTERS:**

Please be advised of the following clarifications to the above referenced Bid:

**1. SPECIFICATIONS**

**A. DIVISION 15 - MECHANICAL**

Insert the attached Sections in the Specifications:

15010 – General Mechanical Provisions

15810 – Ductwork

15865 – Radial Flow Carbon Adsorber

15961 – Testing, Adjusting, and Balancing – Air Systems

Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.  
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Herrick Company, Inc.

ADDRESS: 780 Enterprise Dr, Lexington, KY 40510

SIGNATURE OF BIDDER:   
Cody M Lokits, President







**ADDENDUM #2**

Bid Number: **#87-2024**

Date: June 19, 2024

Subject: West Hickman WWTP Odor Control Improvements

Address inquiries to:  
Brian Marcum  
[brianm@lexingtonky.gov](mailto:brianm@lexingtonky.gov)  
(859) 258-3325

**TO ALL PROSPECTIVE SUBMITTERS:**

Please be advised of the following clarifications to the above referenced Bid:

**1. SPECIFICATIONS**

**A. SPECIFICATION SECTION PART I – ADVERTISEMENT FOR BIDS**

Article 12 – Pre-Bid Conference

The June 14 Pre-Bid Meeting Summary is attached. This includes a list of attendees.

**B. SPECIFICATION SECTION 01025 – MEASUREMENT AND PAYMENT**

Add the following to after the last sentence of Article 1.05(A)(1)

“Incidental to this item is the work and materials necessary for the phased demolition of existing equipment, addition and removal as necessary of blind flanges for phased operation, and disposal of demolition materials.”

**C. SPECIFICATION 09961 – HIGH PERFORMANCE PAINTS and COATINGS**

Add the attached Specification Section 09961 to the Bid Specifications.

The June 14 Pre-Bid Meeting Summary is attached. This includes a list of attendees.

**D. SPECIFICATION 15810 – DUCTWORK**

Add the following after the last sentence of Article 2.05(8):





"Chain for the chain wheel gear operators shall extend to 5' above finished floor or surface unless directed otherwise by the Owner."

## 2. DRAWINGS

### A. Sheet D-2, Lower Pipe Plan

Delete note "PROP 24" FRP PE 45° ELBOW (LOWER)" and replace with "' PROP 24" FRP PE 90° ELBOW (LOWER)".

### B. Sheet D-2, Lower Pipe Plan

Delete note "PROP 24" PEX PEX FL TEE VERTICAL CONNECTION TO EXISTING DUCT" and replace with "PROP 24" PE 90° ELBOW VERTICAL CONNECTION TO EXISTING DUCT"

### C. Sheet S001, S101, S301, and S501

Delete existing sheets and replace with attached. Modifications shown in clouded areas.

## 3. MISCELLANEOUS

Questions	Answers
On Sheet D-3, Photo 1 includes a call-out for "Prop Duct Supports, See Detail 4/D-5". Sheet D-5 is not included in the current plan set. Please provide the applicable information.	Delete "See Detail 4/D-5" and replace with "See Detail 3/S-501"
Is the scale is correct on page E-3 for the proposed odor control? If it is, the equipment rack is 35' long.... I believe the scale should be 1" = 10', not 40'.	On Sheet D-4 and E-3; Delete "Scale 1" = 40'" and replace with "N.T.S." Use "DEVICE MOUNTING DOUBLE PEDESTAL" detail on Sheet E-3 for mounting requirements.
Is this project exempt from sales tax?	Project is Not sales tax exempt.
Most of the preferred vendors work with other providers, is this going to be okay or does the material have to come from the direct vendor?	Acceptable/qualified Manufacturers are listed in the specifications. The supplied products shall be manufactured by one of the acceptable/qualified manufacturers. It is acceptable if the manufacturer provides their products through a separate manufacturer's representative or vendor.
Does the field installers of the FRP need to be certified by the Fiberglass vendors or if you have a certification from previous jobs is this okay?	Certification from a previous job is acceptable.





Is the existing tank environmentally safe for landfill disposal?	The tank is FRP and is filled with carbon adsorption media. Contractor will need to verify with chosen disposal facility of any additional requirements the disposal facility may require for disposal. All costs for disposal are to be included in the bid amount.
The grassy area directly to the north of the existing tank, can this area be used for a lay down area?	The Contractor is to coordinate with the West Hickman WWTP Operations Supervisor for exact location of lay down area. All vegetated areas disturbed outside of the work area for the installation of the pipe supports are to be restored in accordance with Specifications and Drawings at no additional cost to the Owner.
Is the building roof, that the pipe bridge goes over, structurally sound for scaffolding?	The contractor is responsible for verifying the current condition of the building roof and their anticipated scaffolding loading. According to the 1981 record drawings, the roof framing is designed for a 30 psf live load. The contractor should provide shoring as necessary to use the existing roof as support during construction. It's essential that the contractor avoids disrupting the plant's current operations. Additionally, the attached current roof framing plan can be used by the contractor to confirm the expected load-carrying capacity, with the assistance of a professional engineer licensed in the Commonwealth of Kentucky.
How thick is the existing concrete pad that needs demo out?	Assume existing concrete pad is 8-inches thick.
If lead times for the equipment are longer than the 300 days for completion, how long will the completion date be pushed out past the delivery of equipment?	Extensions of time will be reviewed based on progress of work and manufacture's delivery dates.
It appears the Technical Specifications for Division 15 (Mechanical) are not included in the project bid documents. Please provide the applicable information.	See Addendum No. 1





MAYOR LINDA GORTON



**LEXINGTON**

TODD SLATIN  
DIRECTOR  
CENTRAL PURCHASING

Is potable or non-potable water available on-site for use with hydro-excavation?	Yes, a hydrant for non-potable water is available near the chlorine contact basins
Can excavate materials be placed on-site.	An area on the west site of West Hickman Creek is available. Exact location is to be coordinate with West Hickman WWTP Operation Supervisor. If this area is to be utilized, Erosion and Sediment Control measures are to be installed and restoration of the soil with Method C is to be conducted at no additional cost to the Owner.

Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.  
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Herrick Company, Inc.

ADDRESS: 780 Enterprise Dr, Lexington, KY 40510

SIGNATURE OF BIDDER: \_\_\_\_\_

Cody M Lokits, President





MAYOR LINDA GORTON



**LEXINGTON**

TODD SLATIN  
DIRECTOR  
DIVISION OF PROCUREMENT

**ADDENDUM #3**

Bid Number: #87-2024

Date: June 24, 2024

Subject: West Hickman WWTP Odor Control Improvements

Address Inquiries to:  
Brian Marcum  
[brianm@lexingtonky.gov](mailto:brianm@lexingtonky.gov)

**TO ALL PROSPECTIVE SUBMITTERS:**

Please be advised of the following clarifications to the above referenced bid:

Ionwave line items #5 & #6 have been changed to square yards to match the bid form in the specifications

Todd Slatin, Director  
Division of Procurement

All other terms and conditions of the bid and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: Herrick Company, Inc.

ADDRESS: 780 Enterprise Dr, Lexington, KY 40510

SIGNATURE OF BIDDER:   
Cody M Lokits, President



Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 Fax

**Bid:** West Hickman WWTP Odor Control Improvements

**Date:** June 26, 2024

**Required Attachment: Bidders Qualification Statement, Schedule C**

- 35-ton Grove RT Crane
- 15-ton Boom Truck
- 50-ton P&H Truck Crane
- CAT D5 Dozer
- CAT 953 Loader
- CAT 315 Excavator
- CAT 323 Excavator
- CAT 416 Backhoe
- CAT 420 Backhoe
- CAT 420E Backhoe
- CAT 302.5 Mini-Excavator
- Hyundai R35ZCR Mini-Excavator
- Hyundai Robex 80CR Midsize Excavator
- JLG 450AJ 45' Articulating Boom Man Lift
- Ingersol Rand VR 70B Tele-Handler Fork Lift
- Bobcat Skid Steer Loader
- Bobcat Track Skid Steer Loader
- CAT 279 Skid Steer Loader
- International Flat Bed Dump
- (2) 185 Air Compressors
- 6" Diesel Pump
- Two (2) Kundel 8' x 20' trench boxes
- (11) pickup trucks
- Miscellaneous small equipment and tools

Purchase dates vary. All equipment in good condition with above-average values.

Project Name	Owner's Contact Person	Design Engineer	Contract Date	Type of Work	Status	Cost of Work
Water System Improvements, Contract 12 Sandy Hook, KY – Water Treatment Plant	Sandy Hook Water Dist., Kevin Winkleman, 606-738-6282, 474 Howards Creek Rd, Sandy Hook, KY	Bluegrass Engineering, Bryan Lovan, 859-351-1714, 222 E Main St, Georgetown, KY	22-Jun	WTP Renovation	In Process	\$7,150,000
Pirtle Springs WTP Filter Media Replacement Cecilia, KY – Water Treatment Plant	Hardin County Water District No. 1	HCWD No. 1, Daniel Clifford, 1400 Rogersville Road, Radcliff, KY (270-351-3222)	22-Dec	WTP Renovation	In Process	\$2,157,500
Dry Run Influent Pump Station Shelbyville, KY – Wastewater Treatment Plant	Shelbyville Municipal Water & Sewer Commission	HDR Engineering, Inc., Reese Walton, 401 W Main Street, Louisville, KY	22-Oct	WWTP Renovation	In Process	\$4,299,800
Westside WWTP Clarifier No. 3 Madisonville, KY– Wastewater Treatment Plant	City of Madisonville, KY, 67 N. Main Street, Madisonville, KY	Eclipse Engineers, PLLC, Alan Robinson, 113 W Mt. Vernon St., Somerset, KY (606-451-0959)	22-Dec	WWTP Renovation	In Process	\$2,911,812
W. Hickman WWTP RAS/WAS Pump Station Improvements LFUCG, KY – Wastewater Treatment Plant	LFUCG, Emily Epperson, 301 Jimmie Campbell Drive, Lexington, KY (859-425-2406)	Strand Associates, Inc., Mike Davis, 1525 Bull Lea Road, Ste. 100, Lexington, KY (859-225-8500)	23-Mar	WTP Renovation	In Process	\$2,690,200
Contract 7 – WTP Improvements Lebanon, KY – Water Treatment Plant	Lebanon Water Works Company, 1205 Proctor Knott Avenue, Lebanon, KY	KY Engineering Group, Ryan Carr, 101 High Street, Versailles, KY (859-251-4127)	23-Apr	WTP Renovation	In Process	\$891,098
Lawrenceburg WWTP Clarifier City of Lawrenceburg, KY -Wastewater Treatment Plant	City of Lawrenceburg, KY, 100 North Main Street Lawrenceburg, KY 40342 (502-839-5372)	N/A	23-May	WWTP Renovation	Complete, Pending Final Payment	\$113,000
Water Treatment Plant Improvements: Filters No. 1 through No. 8 Renovations & Sedimentation Basin No. 5 Rehabilitation City of Campbellsville - Water Treatment Plant	City of Campbellsville, Neil Graham, 110 South Columbia Avenue Campbellsville, KY 42718	Monarch Engineering, Inc. Deron Byrne, 556 Carlton Dr. Lawrenceburg, KY 40342	23-Jun	WTP Renovation	In Process	\$1,170,055
CSWR Kentucky - CPCN Wastewater Treatment Plants - North Kentucky	CSWR Kentucky C/O Keeley Construction Group, Matt Pfaff, 500 S Ewing Suite G, St. Louis MO 63103 (573-535-1008)	21 Design Group, Inc.	23-May	WWTP Renovation	In Process	\$1,463,349
Wastewater Treatment Plant Improvements - City of Lebanon, KY	City of Lebanon, 240 W Main St. Lebanon, KY 40033 Corey Benedict; cbenedict@lebanonky.org	Gresham Smith, 222 Second Avenue South, Suite 1400, Nashville, TN 37201-2308	23-Jul	WWTP Renovation	In Process	\$3,500,000
Water Treatment Plant Filter Improvements - City of Brandenburg, KY	City of Brandenburg, 737 High Street, Brandenburg, KY 40108 TJ Hughes TJHughes@brandenburgcity.com	GRW Engineers, Inc. 801 Corporate Drive, Lexington, KY 40503	23-Jun	WTP Renovation	Complete, Pending Final Payment	\$313,100
Picadome Pump Station Upgrades - LFUCG Sewer Pump Station	LFUCG, Division of Water Quality, Craig Prater, Lexington, KY	Strand Associates, Inc., Mike Davis, 1525 Bull Lea Road, Ste. 100, Lexington, KY (859-225-8500)	23-Jul	Sewer Pump Station Renovation	In Process	\$339,000
Project Name	Owner's Contact Person	Design Engineer	Contract Date	Type of Work	Status	Cost of Work

Wastewater Treatment Plant UV Disinfection System Replacement- City of Kevil, KY	City of Kevil, 1009 Clyde M Elrod Avenue, Kevil, KY 42053	Klingner & Associates, P.C. 2150 West Main Street Carbondale, IL 62901	Pending	WWTP Renovation	Pending Contract	\$109,500
Spears Creek Pump Station Improvements, Danville, KY - City of Danville	City of Danville, 445 W. Main Street Danville, KY 40422	HDR Engineering, Inc., Rich Smith, 401 W Main Street, Louisville, KY (859-629-4844)	23-Dec	Sewer Pump Station Renovation	In Process	\$2,552,000
City of Jackson Water Treatment Plant Improvments	City of Jackson, 333 Broadway Jackson, KY 41339 Laura Thomas (606-666-7069)	Nesbitt Engineering, Inc. 227 North Upper Street Lexington, KY 40507-1016, Mike Wilmoth (859-338-0840)	23-Dec	WTP Renovation	In Process	\$2,395,000
Contract 223 - Byrdstown Settling and Backwash Basin - Town of Byrdstown	Town of Byrdstown, 109 West Main Street, Byrdstown, TN 38549	James C. Hailey & Company 1619 Galleria Boulevard Brentwood, TN 37027-2926, Nathaniel Green (615-883-4933)	24-Jan	WTP Renovation	In Process	\$3,864,000
Muddy Creek Booster Pump Station Rehabilitation and Zone Metering Project - East Clark County Water District	East Clark County Water District, 118 Hopkins Lane, Winchester, KY 40391	Bell Engineering, 2480 Fortune Dr Suite 350, Lexington, KY 40509 (859-278-5412) Michael Lile	23-Oct	BPS Renovation	In Process	\$536,000
Raw Water Intake Valves No. 3&4 Replacement Raw Water - City of Nicholasville WTP	City of Nicholasville 517 N. Main Street Nicholasville, KY 40356	GRW Engineers, Inc. 801 Corporate Drive, Lexington, KY 40503 Mike Jacobs (859-552-0406)	23-Dec	WTP Renovation	Complete, Pending Final Payment	\$99,650
Wastewater Treatment Plant Improvements - Morgantown Utilities Commission	Morgantown Utilities Commission 1308 South Main Street Morgantown, KY 42261 Randell Gaskey (270-526-3623)	Kentucky Engineering Group, PLLC P.O. Box 1034 Versailles, KY 40383 Robert Taylor (859-251-4127)	24-Feb	WWTP Renovation	In Process	\$202,000
Water Treatment Plant & Booster Pump Station Improvments - City of Cynthiana	City of Cynthiana 141 E Pike St Cynthiana, KY 41031	Kentucky Engineering Group, PLLC P.O. Box 1034 Versailles, KY 40383	Pending	WTP Renovation	In Process	\$2,530,000
Wastewater Treatment Plant #2 UV Disinfection Upgrades and Modifications - Georgetown Municipal Water and Sewer Service	Georgetown Municipal Water and Sewer Service 1000 W Main St. Georgetown, KY 40324	Kentucky Engineering Group, PLLC P.O. Box 1034 Versailles, KY 40383 Ryan Carr (859-251-4127)	24-Jan	WWTP Renovation	In Process	\$644,000
Water Treatment Plant Filter Isolation Valve Replacement Project	Frankfort Water and Electric Plant Board	Kentucky Engineering Group, PLLC P.O. Box 1034 Versailles, KY 40383 Ryan Carr (859-251-4127)	24-Apr	WTP Renovation	In Process	\$2,447,000



# HERRICK COMPANY, INC.

## 5-year Project History

PROJECT NAME / Type of Work	Owner / Contact Info	Engineer	Status: Complete	Contract Amount
Campbellsville WTP Valve Replacement	City of Campbellsville, 110 S. Columbia Ave., Campbellsville, KY 42718	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2022	\$403,704.00
Campbellsville WWTP Influent Meter	City of Campbellsville, 110 S. Columbia Ave., Campbellsville, KY 42718	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2022	\$416,783.00
Hazard Water and Wastewater Treatment Plant Improve	Harshaw Trane Hazard, KY	Bell Engineering 2480 Fortune Dr. Suite 350 Lexington, KY 40509	2022	\$142,894.00
HWEA Moss Gate and Hatch	Hopkinsville Water Environment Authority 401 East Ninth Street Hopkinsville, KY 42240	NA	2022	\$58,100.00
Lawrenceburg WTP Sodium Hypo Nov 2021	City of Lawrenceburg, Monty Rhody, 100 N Main St, Lawrenceburg, KY (502-839-5372)	NA	2022	\$339,700.00
Lebanon WWTP Ditch 10-2021	Lebanon WWTP 100 Clearwater Dr Lebanon KY 40033	NA	2022	\$122,000.00
Muldraugh WTP Improvements	Hardin County Water District 1400 Rogersville Road Radcliff, KY 40160	Stantec Consulting Services Inc. 3052 Beaumont Centre Circle Lexington, KY 40513	2022	\$692,565.00
Town Branch WWTP Influent Gate 3-2022	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2022	\$91,250.00
West Hickman WWTP Strainer 1-2022	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2022	\$57,935.00
Campbellsville WTP Contract 1 - Improvements/Modifications	City of Campbellsville, 110 S. Columbia Ave., Campbellsville, KY 42718	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2021	\$6,042,010.56
City Springs WTP - Building new pump station and installing new equipment	Hardin County Water District #2, 360 Ring Rd, Elizabethtown, KY 42701, 270-737-1056	Kenviron, Inc., Frankfort, KY 40601, 502-695-4357	2021	\$1,514,771.00
Everts WTP Refurbish - Replacing flocculation systems and pumps	City of Everts, Everts KY 40828	Kenviron, Inc., Frankfort, KY 40601, 502-695-4357	2021	\$209,853.00
Henry County Media #2 - Remove and install media	Henry County Water District, Campbellburg, KY 40011, Keith Morris 502-777-4591	No engineer involved	2021	\$60,500.00
Hopkinsville WTP Filters - Replace support beams in filters	Hopkinsville Water Environmental Authority, Hopkinsville, KY 42240	Owner - Internal engineers involved	2021	\$49,900.00
Lewisport WWTP Improvements - Constructing new piping	City of Lewisport, 350 Carolina St, Lewisport, KY 42351	Bluegrass Engineering, Georgetown, KY 40324 - Bryan Lovan 859-351-1714, Matt Curtis 502-370-6551	2021	\$95,350.00
Town Branch Chlorine Catwalk Project	Lexington-Fayette Urban County Government, Lexington, KY 40507, Brian Marcum 859-258-3325	Owner - Internal engineers involved	2021	\$74,350.00
Town Branch Secondary Digesters - Improvements, replacing and installing pumps	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2021	\$1,462,578.07
Town Branch/West Hickman Eyewash - Eyewash replacement	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2021	\$473,590.00
Creation Museum WWTP - New pump station	Creation Museum - Tom Van Huss, Petersburg, KY 41080, 888-582-4253	Owner - Contractor design-build	2020	\$51,000
Edmonton Dam Spillway - Grout	City of Edmonton, KY 42129, 270-432-2811	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2020	\$39,500
Frankfort Sludge Valve Replacement WTP	Frankfort Plant Board, 305 Hickory Dr, Frankfort, KY 40601, 502-352-4372	Owner - Internal engineers involved	2020	\$82,151
Frankfort WWTP Splitter Box - Replace splitter box and slide gates	City of Frankfort, KY 40601, 502-875-2448	Owner - Contractor design-build	2020	\$32,750
Fort Knox Clarifiers WWTP - Replace mechanisms and clarifier	Hardin County Water District - Daniel Clifford, Radcliff, KY 40160, 270-737-1056	Owner - Internal engineers involved	2020	\$110,500
Lebanon Rotors WWTP - Oxidation ditch rotor replacements	Lebanon Water Works Co., Lebanon, KY 40033, 270-692-2491	Owner - Internal engineers involved	2020	\$34,730
Max Rhodes WWTP UV channels	Regional Water Resource Agency - Victor Cernius, 2101 Grimes Ave, Owensboro, KY 42303, 270-687-8450	Strand Associates - Mark Sneve, Louisville, KY 40202, 502-583-7020	2020	\$360,870
McCreary WWTP Chemical Room - Replace pumps and tanks	McCreary County Water District, 456 North Hwy 27, Whitley City, KY 42653, 606-376-2540	KY Engineering Group - Ryan Carr, Versailles, KY 40383, 859-251-4127	2020	\$277,567
Midway WWTP Miscellaneous Repairs	City of Midway, KY 40347, 859-846-4413	Contract design-build	2020	\$76,351
Morehead WWTP Blower - Replace blowers	Morehead Utility Plant Board, - Holly McGrath-Rosas 135 S Wilson Ave, Morehead, KY 40351, 606-784-5538	Eclipse Engineers - Alan Robinson, PE, Somerset, KY 42501, 606-451-0959	2020	\$315,500
West Kickman Scum WWTP - Pipes and valves installation	LFUCG Division of Water Quality, 125 Lisle Industrial Ave, Lexington, KY 40511, 859-425-2400	Strand Associates - Mike Davis, Lexington, KY 40511, 859-225-8500	2020	\$1,486,537
Big Spring Parks Aerial Sewer Pier Replacement - WWTP Pier Replacement	City of Versailles, KY - Mitzi Dellus, 859-573-5436	GRW Engineers, Inc. - Mike Jacobs, 859-223-3999	2019	\$186,750
Buffalo Trace Mixer / Install gear box on floating aerator	Buffalo Trace Distillery, Frankfort, KY - B. Sauders or Travis Hammond, 502-696-5942	Contractor design-build	2019	\$58,400
KY Horse Park PS Generator: Replace pump stations generator	LFUCG Division of Water Quality - Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Hazen & Sawyer - Kurt Zenhnder, Lexington, KY, 859-219-1126	2019	\$145,525
WWTP Improvements / Rotors & screw pumps	City of Lebanon, KY - Eddie Masterson, 270-692-6272	Contractor design-build	2019	\$84,721
Lincoln Trail Lift Station Rehabilitation Project: WTP Improvements	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Bell Engineering, Inc. - David Schrader, 859-278-5412	2019	\$784,890

# HERRICK COMPANY, INC.

## 5-year Project History

PROJECT NAME / Type of Work	Owner / Contact Info	Engineer	Status: Complete	Contract Amount
West Hickman WWTP Blower / Wastewater Treatment	LFUGG Division of Water Quality, Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Internal engineers involved	2019	\$95,000
WWTP Improvements: Chemical Feed & Phosphorous Removal	City of Hardinsburg, KY - David Wakefield, 270-756-6719	KY Engineering Group, LLC - Ryan Carr, Versailles, KY, 859-251-4127	2018	\$1,930,382
Water Treatment Plant Valve Replacement - Valves	Wood Creek Water District - Robert Doan, 606-843-7113	Kenvirons, Inc. - Vaughn Williams, 502-695-4357	2018	\$111,250
W Hickman WWTP Zone 2 Aeration - Aeration System Improvements	LFUGG Division of Water Quality, Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Internal engineers involved	2018	\$531,400
Redstone Pump Station - Replace 3 Pump Stations & 1 New Force Main	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Internal engineers involved	2018	\$293,030
Water Treatment Plant Modifications - HSPS Valves Replacement, et al	Lebanon Water Works Company - Daren Thompson, 270-692-2491	KY Engineering Group, LLC - Jim Thompson, Versailles, KY, 859-251-4127	2018	\$174,250
WWTP Generator & SCADA System / Non-Potable Water System - Replace Generator, Install SCADA, etc.	City of Frankfort Sewer Department, Kenny Hogsten, 1200 KY Avenue, Frankfort, KY, 502-875-2448	HMB Professional Engineers, Ray Bascom, 3 HMB Circle, Frankfort, KY, 502-695-9800	2018	\$1,909,660
Frankfort WWTP Clarifiers - Clarifier Rehab	City of Frankfort Sewer Department, Kenny Hogsten, 1200 KY Avenue, Frankfort, KY, 502-875-2448	Internal engineers involved	2018	\$186,500
Scottsville WWTP Miscellaneous Modifications - Modifications to Existing Grit Chamber, et al	City of Scottsville, KY - City Clerk Gayle Davis, 270-237-3238	GRW - Adalyn Haney, Louisville KY, 502-489-8484	2018	\$193,714
Campbellsville WTP Improvements - High Service Pump No. 2 Replacement	City of Campbellsville, KY - Mayor Tony Young, 270-465-7011	Monarch Engineering, Inc., Lawrenceburg, KY, 502-839-1310	2017	\$242,002
Frankfort WWTP Septage Receiving Station	Frankfort Sewer Department - Kenny Hogsten, 502-875-2448	Strand Associates, Inc. - John Herriford, Louisville, KY, 502-583-7020	2017	\$69,700
Water Treatment Plant Improvements - Contract 2	Cave Run Water Commission - Edward Bryant, 606-768-6665	KY Engineering Group, LLC - Bryan Lovan, Versailles, KY, 859-251-4127	2017	\$563,813
GMWSS No. 1 Digester Sludge Pump Replacement	GMWSS - Brad Parrent, C5- 859-509-5673	KY Engineering Group, LLC - Bryan Lovan, Versailles, KY, 859-251-4127	2017	\$232,250
Radcliff WWTP Mechanical Bar Screen	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Hardin County Water District No. 1, Jim Boyken, PE, 1400 Rogersville Road, Radcliff, KY 270-352-3222	2017	\$227,700
Radcliff WWTP Ultraviolet Disinfection System	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Hardin County Water District No. 1, Jim Boyken, PE, 1400 Rogersville Road, Radcliff, KY 270-352-3222	2017	\$404,400
Bardstown Tricking Filter Media - Water Treatment	City of Bardstown, KY - Mark Hufford, North Finn Street, Bardstown 502-348-6723	Owner/Engineer - Jessica Filialeau, PE, Bardstown, KY 502-348-5947	2016	\$39,650
McCreary WTP - Phase No. 2 / Water Treatment	McCreary County Water District, Raymond Taylor, 19 Crit King Road, Whitley City, KY, 606-376-2545	Monarch Engineering, Inc., Deron Byrne, Lawrenceburg, KY, 502-839-1310	2016	\$1,544,274
Campbellsville WWTP Chemical Feed System / Wastewater Treatment	City of Campbellsville, KY - Mayor Tony Young, 270-465-7011	Monarch Engineering, Inc., Lawrenceburg, KY, 502-839-1310	2016	\$776,846
Contract 1 - WWTP Improvements & Sludge Conditioning System Upgrade / Wastewater Treatment	Columbia/Adair County Utilities District - Rudy Higginbotham, 270-384-2181	Monarch Engineering, Inc., Lawrenceburg, KY, 502-839-1310	2016	\$1,904,309
Fort Knox Wastewater Treatment Plant De-Gritter & Conveyor Replacement / Wastewater Treatment	Hardin County Water District No. 1, Daniel Clifford, 1400 Rogersville Road, Radcliff, KY 270-351-3222	Hardin County Water District No. 1, Jim Boyken, PE, 1400 Rogersville Road, Radcliff, KY 270-352-3222	2016	\$98,500
KAWC Millersburg Booster Station / Water Treatment	KY American Water Company - Adam Tilley, Project Manager, 2300 Richmond Road, Lexington, KY, 859-335-3416	Owner/Engineer - Adam Tilly	2016	\$114,250
KAWC Owenton Ultraviolet System / Wastewater Treatment	KY American Water Company - Cole Mitcham, Project Manager, 2300 Richmond Road, Lexington, KY, 859-335-3415	Owner/Engineer - Cole Mitcham	2016	\$133,025
Liberty WWTP Oxidation Ditch Repairs / Wastewater Treatment	City of Liberty, Mayor Steven Brown, 518 Middleburg Street, Liberty, KY 42359	Owner/Engineer	2016	\$109,650
KAWC Millersburg WTP Carbon / Water Treatment	KY American Water Company - Adam Tilley, Project Manager, 2300 Richmond Road, Lexington, KY, 859-335-3416	Owner/Engineer - Internal engineer involved (Adam Tilly)	2016	\$94,969
Paris - Lexington Road Pump Station / Wastewater Treatment	City of Paris - Patrick Harney, Superintendent, 6500 Bypass Road, Paris, KY, 859-987-2116	Owner/Engineer - Patrick Harney	2016	\$303,624
Redstone Arsenal Pump Station / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2016	\$161,450
Burnside WTP Improvements / Water Treatment	City of Burnside, 7929 S Hwy. 27, Burnside, KY, 606-561-4113	HMB Professional Engineers, Jeff Reynolds, 3 HMB Circle, Frankfort, KY, 502-695-9800	2015	\$704,778
CSX Paris Sanitary Sewer Repair / Wastewater Treatment	CSX Transportation, Inc. Levi Hudlow, Sr. Design Engineer, 500 Water Street, Jacksonville, FL, 904-366-4160	Amec Foster Wheeler Environment & Infrastructure, Inc., Randy K. Zeiger, PE, 3600 Ezell Road, Nashville, TN, 615-333-0630	2015	\$226,794
Frankfort Vector Disposal Facility / Wastewater Treatment	City of Frankfort Sewer Department, Adam Lanning, 1200 KY Avenue, Frankfort, KY, 502-875-2448	Jacobi, Toombs & Lanz, Inc., Mark Lacy, EIT, 1400 S 1st Street, Louisville, KY, 502-583-5994	2015	\$209,735
Hardin County WTP Aqua Ammonia & Bulk Carbon Feed Systems / Water Treatment	Hardin County Water District No. 2, Michael Bell, 360 Ring Road, Elizabethtown, KY 270-737-1056	Kenvirons, Inc., Vaughn William, PE, Frankfort, KY	2015	\$1,202,063

# HERRICK COMPANY, INC.

## 5-year Project History

PROJECT NAME / Type of Work	Owner / Contact Info	Engineer	Status: Complete	Contract Amount
Middlesboro WTP Facility Improvements / Water Treatment	Water Service Corporation of KY, James Leonard, PO Box 818, Middlesboro, KY 606-248-236	Bell Engineering, Michael Lile, EIT, 2480 Fortune Drive, Lexington, KY, 859-276-5412	2015	\$325,510
Paris Train Wreck Emergency / Wastewater Treatment	City of Paris - Patrick Harney, Superintendent, 6500 Bypass Road, Paris, KY, 859-987-2116	Owner/Engineer - Patrick Harney	2015	\$380,941
Bardstown WWTP Sludge Pump Repairs / Wastewater	City of Bardstown, KY - Mark Hufford, North Finn Street, Bardstown 502-348-8723	Owner/Engineer - Jessica Filiatreau, PE, Bardstown, KY 502-348-5947	2014	\$59,592
Campbellsville High Service Pump / Water Treatment	City of Campbellsville, KY - Mayor Tony Young, 270-465-7011	Monarch Engineering, Inc., Lawrenceburg, KY, 502-839-1310	2014	\$296,164
Jenkins WTP Improvements / Water Treatment	City of Jenkins, Todd?, 9409 KY Hwy 805, Jenkins, KY, 606-832-2141	Nesbitt Engineering, Inc., Steve Vogelsberg, 227 N Upper Street, Lexington, KY, 859-685-4528	2014	\$410,633
KAWC Ammonia Project / Water Treatment	KY American Water Company - Zachary Dukes, PE, 2300 Richmond Road, Lexington, KY, 859-335-3416	Owner/Engineer - Zachary Dukes, Justin Sensabaugh	2014	\$153,974
KAWC Chemical Scrubber Project / Water Treatment	KY American Water Company - Zachary Dukes, PE, 2300 Richmond Road, Lexington, KY, 859-335-3416	Owner/Engineer - Zachary Dukes, Justin Sensabaugh	2014	\$91,951
Redstone WWTP Pump Station / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2014	\$348,865
Redstone UV Installation / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2014	\$85,806
Columbia WTP Improvements / Water Treatment	Columbia/Adair County Utilities District - Rudy Higginbotham, 270-384-2181	Monarch Engineering, Inc., David Bowles, 556 Carlton Drive, Lawrenceburg, KY, 502-839-1310	2013	\$2,610,995
Cynthiana WTP Filter Improvements / Water Treatment	Cynthiana City Water Department, Gary Royalty, 104 E Pleasant Street, Cynthiana, KY, 859-954-1447	Owner - Gary Royalty	2013	\$57,836
Drakesboro WWTP Replacement of Return Sludge Pump / Wastewater Treatment	City of Drakesboro, Philip ?, 212 Mose Rager Blvd., Drakesboro, KY, 270-476-8986	GRW Engineers, Inc., Louis Robbins, PE, 404 BNA Drive, Nashville, TN, 615-366-1600	2013	\$83,144
Frankfort WTP Sludge Collection / Water Treatment	Frankfort Plant Board, David Billings, 305 Hickory Avenue, Frankfort, KY, 502-352-4468	Owner - Sharmista Dutta, PE	2013	\$244,490
EC McManis WWTP Grit Chamber Modifications / Wastewater Treatment	Frankfort WWTP, Bob Peterson, 1200 KY Avenue, Frankfort, KY, 502-875-2448	GRW Engineers, Inc., John Martin, 801 Corporate Drive, Lexington, KY, 859-223-3999	2013	\$83,657
McCreary WTP No. 1 Improvements / Water Treatment	McCreary County Water District, Raymond Taylor, 19 Crit King Road, Whitley City, KY, 606-376-2545	Monarch Engineering, Inc., David Bowles, 556 Carlton Drive, Lawrenceburg, KY, 502-839-1310	2013	\$2,216,879
Redstone Hansen / Thiokol PS / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2013	\$445,699
West Hickman Gravity Thickener / Wastewater Treatment	LFUCG Division of Water Quality, Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Strand Associates, Inc., Mike Davis, 1525 Bull Lea Road, Lexington, KY, 859-225-8501	2013	\$244,650
Barbourville WWTP Improvements / Wastewater Treatment	Barbourville Utility Commission, 202 Daniel Drive, Barbourville, KY	Vaughn & Melton Consulting Engineers, Inc., Mitchell Brunsma, PE, 109 S 24th Street, Middlesboro, KY, 606-248-6600	2012	\$384,690
Frankfort High Service PS MCC Replacement / Water Treatment	Frankfort Plant Board, David Billings, 305 Hickory Avenue, Frankfort, KY, 502-352-4468	GRW Engineers, Inc., Monty Maynard, PM, 801 Corporate Drive, Lexington, KY, 859-223-3999	2012	\$1,153,607
Delaplain WWTP Air Header / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2012	\$51,482
Frankfort WWTP Oxidation Ditch / Wastewater Treatment	Frankfort Sewer Department, William Scalf, PE, 1200 Kentucky Avenue, Frankfort, KY, 502-875-2448	HIM Professional Engineers, Inc., Ray Bascom, 3 HMB Circle, Frankfort, KY, 502-695-9800	2012	\$386,052
Hardin County Raw Water Intake Screen / Water Treatment	Hardin County Water District No. 2, Michael Bell, 360 Ring Road, Elizabethtown, KY 270-737-1056	Kenvirons, Inc., Vaughn William, PE, Frankfort, KY, 502-695-4357	2012	\$279,480
Redstone WWTP NASA/Hanson Grinders / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2012	\$85,900
Redstone WWTP Sludge Building / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2012	\$142,250
Winchester - Ecton Road Pump Station / Water Treatment	East Clark County Water District, PO Box 112, Winchester, KY	Bell Engineering, Mike Williams, 2480 Fortune Drive, Lexington, KY, 859-278-5412	2012	\$131,004



## **HERRICK COMPANY, INC.**

### **BID: West Hickman WWTP Odor Control Improvements**

#### **Key ADMINISTRATIVE Personnel: Statement of Experience**

**Cody M. Lokits, PE** - President/CEO, Safety Director, QC Manager

HCI Start Date: 2/8/22 (Years in WTP/WWTP industry - 16)

**Experience:** Project Engineer - Ulliman Schutte Construction (3yrs), Senior Project Manager - 3D Enterprises (7yrs), Executive VP - The Walker Company (2yrs), President/CEO - HCI

**Responsibilities:** BS in Civil Engineering, Professional Engineering License (KY)

Responsible for the overall safety, quality control, supervision, and success of the company. Responsibilities include fostering safety culture, cost management of corporate & project budgets, supervision of labor force and capital equipment requirements, project management, implementation of strategic plans, and the overall administration of the company along with direct coordination and collaboration with consultants and owners.

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**H. Douglas Herrick**

HCI Start Date: 01/1996 (Years in WTP/WWTP industry - 48)

**Experience:** Superintendent - London Bridge, Superintendent - Building Crafts, President - HCI (29 yrs)

**Responsibilities:** Prepares estimates and bids, performs quality control, field supervision, and safety reviews for individual projects and the company as a whole. Manages supervisors and personnel. Ensures project equipment needs are met and assists in the day-to-day operations of HCI in all aspects of construction, management, and operations.

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**Ryan P. Gabbard** - Project Manager

HCI Start Date: 12/30/00 (Years in WTP/WWTP industry - 24)

**Experience:** Laborer, rebar foreman, layout, carpentry, tower construction, supervisory, project manager

**Responsibilities:** Oversees the building process through review of submittal documents, communicates with the Owner/Engineer, vendors, and subcontractors for planning and management purposes. Manages deadlines, budgets, and issues throughout the construction process. Provides supports for estimating and bidding. Develops and implements work plans with the Site Supervisor. Ensures timely delivery of materials and equipment to meet the needs of the project.

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**Reno R. Dell'Acqua** - Project Manager

HCI Start Date: 5/25/22 (Years in WTP/WWTP industry - 10 )

**Experience:** WTP Operator, Carpentry, Mechanical Installations, Plumbing, Welding, Concrete finishing

**Responsibilities:** Oversees the building process through review of submittal documents, communicates with the Owner/Engineer, vendors, and subcontractors for planning and management purposes. Manages deadlines, budgets, and issues throughout the construction process. Provides supports for estimating and bidding. Develops and implements work plans with the Site Supervisor. Ensures timely delivery of materials and equipment to meet the needs of the project.

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**HERRICK COMPANY, INC.**  
**BID: West Hickman WWTP Odor Control Improvements**  
**Key ADMINISTRATIVE Personnel: Statement of Experience**

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**Kayla Lokits** - Controller

HCI Start Date: 06/2023 (Years in Accounting/Finance - 14)

**Responsibilities:** Manages all financial aspects of the company. Assists in the preparation of Bid Documents, Statement of Qualifications, etc. Performs Human Resources functions for employee on-boarding, benefits, etc. Manages Accounts Payables Processes and insures on-time and accurate payment of vendors/subs. Manages Accounts Receivables Processes and insures on-time and accurate payment to HCI. Manages month-end close and provides financial reporting for use in monthly/quarterly financial statements. Prepares and provides monthly/quarterly financial statements to stakeholders for review and discussion. Manages project cost reporting to President for review with project management team.

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**Donna S. Herrick**

HCI Start Date: 01/1996 (Years in WTP/WWTP industry - 29)

**Experience:** Management, cost-tracking, asset acquisition, budgeting, financial planning, risk management and control, project analysis, corporate taxes, human resources and payroll, new business start-ups

**Responsibilities:** Assists with the preparation of corporate finance reporting, tax preparation and reporting, accounting software maintenance and implementation, banking, and overall financial management of the company.

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**Sabrina K. Taylor** - Office Manager / Payroll Administrator

HCI Start Date: 10/09/06 (Years in WTP/WWTP industry - 18)

**Responsibilities:** Oversees proper input, approval, and payment of vendor/sub invoices. Payroll/AP/AR Communicates with Controller to improve processes and procedures to streamline accounting functions. Oversees payroll processing, certified payroll, and proper project cost allocations. Performs project set-up in accounting system and assists in establishing SOV. Manages Construction Equipment weekly hours and vehicle mileage reporting. Inputs Construction Equipment charges Assists in preparing monthly progress billings and ensures payment. Ensures vendor/sub payments are accurate and cost coded accordingly. Tracks project revenue and change orders within accounting system. Assists in Human Resources functions and cost tracking. Performs employee on-boarding.

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**HERRICK COMPANY, INC.**  
**BID: West Hickman WWTP Odor Control Improvements**  
**Key FIELD SUPERVISION Personnel : Statement of Experience**

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**Chad Holbrook** - Superintendent

HCI Start Date: 01/2018 (Years in WTP/WWTP industry - 20 )

**Experience:** Carpenter/Foreman - Judy Construction (14 yrs), Superintendent - HCI (6yrs)

**Responsibilities:** Assists with determination of manpower needs for a project. Establishes daily, weekly, and monthly production goals. Coordinates, directs, and monitors the activities of subcontractors. Creates and manages two-week look aheads. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts and prepares weekly coordination meetings. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Manages the overall schedule of the project with assistance from Project Managers. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

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**Bill Robinson** - Superintendent

HCI Start Date: 07/2022 (Years in WTP/WWTP industry - 47)

**Experience:** Carpenter/Superintendent - Judy Construction (45 yrs), Superintendent - HCI (2 yrs)

**Responsibilities:** Assists with determination of manpower needs for a project. Establishes daily, weekly, and monthly production goals. Coordinates, directs, and monitors the activities of subcontractors. Creates and manages two-week look aheads. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts and prepares weekly coordination meetings. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Manages the overall schedule of the project with assistance from Project Managers. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

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**David Webb** - Superintendent

HCI Start Date: 03/2023 (Years in WTP/WWTP industry - 20)

**Experience:** Working Foreman - 3D Enterprises (7 yrs), Foreman - W&O (2 yrs), Superintendent - HCI (2 yrs)

**Responsibilities:** Assists with determination of manpower needs for a project. Establishes daily, weekly, and monthly production goals. Coordinates, directs, and monitors the activities of subcontractors. Creates and manages two-week look aheads. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts and prepares weekly coordination meetings. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Manages the overall schedule of the project with assistance from Project Managers. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

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**HERRICK COMPANY, INC.**  
**BID: West Hickman WWTP Odor Control Improvements**  
**Key FIELD SUPERVISION Personnel : Statement of Experience**

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**Dennis Stearle** - Superintendent

HCI Start Date: 5/2024 (Years in WTP/WWTP industry - 25 )

**Experience:** Carpenter/Superintendent - Judy Construction (15 years), Superintendent - HCI (<1yr)

**Responsibilities:** Assists with determination of manpower needs for a project. Establishes daily, weekly, and monthly production goals. Coordinates, directs, and monitors the activities of subcontractors. Creates and manages two-week look aheads. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts and prepares weekly coordination meetings. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Manages the overall schedule of the project with assistance from Project Managers. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

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**Eddie Johnson** - Superintendent

HCI Start Date: 01/1996 (Years in WTP/WWTP industry - 45)

**Experience:** Superintendent - HCI (28 years)

**Responsibilities:** Assists with determination of manpower needs for a project. Establishes daily, weekly, and monthly production goals. Coordinates, directs, and monitors the activities of subcontractors. Creates and manages two-week look aheads. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts and prepares weekly coordination meetings. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Manages the overall schedule of the project with assistance from Project Managers. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

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**Dustin Holbrook** - Foreman

HCI Start Date: 10/2019 (Years in WTP/WWTP industry - 8 )

**Experience:** Carpenter/Welder/Laborer - Judy Construction (3yrs), Carpenter/Foreman - HCI (5 yrs)

**Responsibilities:** Assists with determination of manpower needs for maintenance, service, and repair projects as well as small projects and individual scopes within large projects. Coordinates, directs, and monitors the activities of subcontractors. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

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**HERRICK COMPANY, INC.**  
**BID: West Hickman WWTP Odor Control Improvements**  
**Key FIELD SUPERVISION Personnel : Statement of Experience**

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**Zack Jefferies** - Foreman

HCI Start Date: 10/2021 (Years in WTP/WWTP industry - 5)

**Experience:** Carpenter - EC Matthews (2 years), Carpenter/Foreman - HCI (3 years)

**Responsibilities:** Assists with determination of manpower needs for maintenance, service, and repair projects as well as small projects and individual scopes within large projects. Coordinates, directs, and monitors the activities of subcontractors. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

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<u>McManis WWTP Headworks</u>	\$ 1,393,000.00	
McKinney Painting	\$ 8,950.00	0.6%
M&M Mfg	\$ 23,426.00	1.7%
<u>FPB WTP Ammonia System</u>	\$ 685,000.00	
McKinney Painting	\$ 20,900.00	3.1%
M&M Mfg	\$ 22,525.00	3.3%
Mills Supply	\$ 4,807.10	0.7%
<u>EC McManis WTP Blowers</u>	\$ 727,629.00	
Mills Supply	\$ 6,301.00	0.9%
McKinney Painting	\$ 14,650.00	2.0%
M&M Mfg	\$ 4,580.00	0.6%
<u>Mayfield WTP Clarifiers</u>	\$ 468,160.00	
M&M Mfg	\$ 40,751.00	8.7%
<u>LFUCG Hartland 2 PS</u>	\$ 256,000.00	
McKinney Painting	\$ 3,000.00	1.2%
<u>LFUCG Hartland 3 PS</u>	\$ 1,110,000.00	
McKinney Painting	\$ 3,500.00	0.3%
<u>Logan-Todd WTP</u>	\$ 698,995.00	
M&M Mfg	\$ 9,956.00	1.4%
<u>Vanceburg WWTP</u>	\$ 2,068,915.00	
Mills Supply	\$ 1,135.00	0.1%
<u>Sandy Hook WTP</u>	\$ 6,340,000.00	
Koehring	\$ 172,840.00	2.7%
McKinney Painting	\$ 149,000.00	2.4%
Mills Supply	\$ 17,500.00	0.3%
M&M Mfg.	\$ 47,963.00	0.8%
<u>West Hickman Clarifier Stairs</u>	\$ 168,850.00	
M&M Mfg	\$ 63,556.00	37.6%
<u>HCWD1 Pirtle Spring WTP, etc.</u>	\$ 2,157,500.00	
Metro Fence	\$ 11,754.00	0.5%
M&M Mfg.	\$ 122,191.00	5.7%
Mills Supply	\$ 382.00	0.0%
<u>Madisonville Westside WWTP</u>	\$ 2,888,000.00	
McKinney Painting	\$ 62,500.00	2.2%
<u>Shelbyville Dry Run IPS</u>	\$ 4,299,800.00	
McKinney Painting	\$ 144,900.00	3.4%
M&M Mfg.	\$ 18,614.00	0.4%
<u>W. Hickman WWTP WAS/RAS PS</u>	\$ 2,690,200.00	
McKinney Painting	\$ 24,900.00	0.9%
M&M Mfg.	\$ 3,139.00	0.1%

## Ryan Gabbard

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**From:** Ryan Gabbard  
**Sent:** Wednesday, June 12, 2024 2:31 PM  
**To:** brad.putty@ky.gov  
**Subject:** INVITATION TO BID - WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS

Brad Putty - Office for Civil Rights & Small Bus. Development - KYTC,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

### **WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS**

**BID DATE:** Thursday, June 27, 2024

**BID TIME:** 2:00 PM EST

**We invite your firm to provide a proposal on any portion of the project as described below, per the Plans & Specifications:**

The primary scope of work includes a radial flow carbon absorber unit, FRP piping, fittings, dampers and accessories, ground and wall pipe support systems, bridge pipe support systems, and bituminous concrete paving, *as shown on the DRAWINGS and described in the SPECIFICATIONS.*

*We are seeking proposals on the following scopes of work:*

- Concrete/Rebar
- Miscellaneous Metals
- HVAC / FRP Ductwork
- Electrical

Electronic bidding documents and job site pictures may be accessed at the following link:

[240626 – WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS](#)

**Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.**

**Federal wage rates DO NOT apply to this project. AIS requirements DO NOT apply to this project.**

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Wednesday, June 26, 2024** for review and consideration. You may send your proposals to [ryan@hci96.com](mailto:ryan@hci96.com), [cody@hci96.com](mailto:cody@hci96.com), or [hci@hci96.com](mailto:hci@hci96.com).

Thank you,

**Ryan Gabbard**  
**Herrick Company, Inc.**  
780 Enterprise Dr.  
Lexington, KY 40510  
Office: 502-839-3484 Email: [ryan@hci96.com](mailto:ryan@hci96.com)

## Ryan Gabbard

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**From:** Ryan Gabbard  
**Sent:** Wednesday, June 12, 2024 2:31 PM  
**To:** smiller@lexingtonky.gov  
**Subject:** INVITATION TO BID - WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS

Sherita Miller - Minority & Business Enterprise Liaison - LFUCG,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

### **WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS**

**BID DATE:** Thursday, June 27, 2024

**BID TIME:** 2:00 PM EST

**We invite your firm to provide a proposal on any portion of the project as described below, per the Plans & Specifications:**

The primary scope of work includes a radial flow carbon absorber unit, FRP piping, fittings, dampers and accessories, ground and wall pipe support systems, bridge pipe support systems, and bituminous concrete paving, *as shown on the DRAWINGS and described in the SPECIFICATIONS.*

*We are seeking proposals on the following scopes of work:*

- Concrete/Rebar
- Miscellaneous Metals
- HVAC / FRP Ductwork
- Electrical

Electronic bidding documents and job site pictures may be accessed at the following link:

240626 – WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS

**Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.**

**Federal wage rates DO NOT apply to this project. AIS requirements DO NOT apply to this project.**

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Wednesday, June 26, 2024** for review and consideration. You may send your proposals to [ryan@hci96.com](mailto:ryan@hci96.com), [cody@hci96.com](mailto:cody@hci96.com), or [hci@hci96.com](mailto:hci@hci96.com).

Thank you,

**Ryan Gabbard**  
**Herrick Company, Inc.**  
780 Enterprise Dr.  
Lexington, KY 40510  
Office: 502-839-3484 Email: [ryan@hci96.com](mailto:ryan@hci96.com)

## Ryan Gabbard

---

**From:** Ryan Gabbard  
**Sent:** Wednesday, June 12, 2024 2:31 PM  
**To:** 'brian@millssupply.net'  
**Subject:** INVITATION TO BID - WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS

Brian Ackerman - Mills Supply Co., Inc,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

### **WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS**

**BID DATE:** Thursday, June 27, 2024

**BID TIME:** 2:00 PM EST

**We invite your firm to provide a proposal on any portion of the project as described below, per the Plans & Specifications:**

The primary scope of work includes a radial flow carbon absorber unit, FRP piping, fittings, dampers and accessories, ground and wall pipe support systems, bridge pipe support systems, and bituminous concrete paving, *as shown on the DRAWINGS and described in the SPECIFICATIONS.*

*We are seeking proposals on the following scopes of work:*

- Concrete/Rebar
- Miscellaneous Metals
- HVAC / FRP Ductwork
- Electrical

Electronic bidding documents and job site pictures may be accessed at the following link:

240626 – WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS

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Thank you,

**Ryan Gabbard**  
**Herrick Company, Inc.**  
780 Enterprise Dr.  
Lexington, KY 40510  
Office: 502-839-3484 Email: [ryan@hci96.com](mailto:ryan@hci96.com)



## Ryan Gabbard

---

**From:** Ryan Gabbard  
**Sent:** Wednesday, June 12, 2024 2:31 PM  
**To:** 'daniel@m-mmanufacturing.com'  
**Subject:** INVITATION TO BID - WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS

Daniel Hodges - M&M Manufacturing,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

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Thank you,

**Ryan Gabbard**  
**Herrick Company, Inc.**  
780 Enterprise Dr.  
Lexington, KY 40510  
Office: 502-839-3484 Email: [ryan@hci96.com](mailto:ryan@hci96.com)

## Ryan Gabbard

---

**From:** Ryan Gabbard  
**Sent:** Wednesday, June 12, 2024 2:31 PM  
**To:** tclark@temgroupinc.com  
**Subject:** INVITATION TO BID - WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS

Tom Clark - TEM Group,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

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Thank you,

**Ryan Gabbard**  
**Herrick Company, Inc.**  
780 Enterprise Dr.  
Lexington, KY 40510  
Office: 502-839-3484 Email: [ryan@hci96.com](mailto:ryan@hci96.com)

## Ryan Gabbard

---

**From:** Sherita Miller <smiller@lexingtonky.gov>  
**Sent:** Friday, June 14, 2024 1:16 PM  
**To:** Ryan Gabbard  
**Subject:** RE: NEW ADDENDUM (AD-1) INVITATION TO BID - WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS  
**Attachments:** LFUCG Certified List\_May 2024\_.xlsx

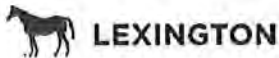
Hi Ryan,

Attached is a copy of LFUCG's certified list of minority, women, and veteran owned businesses. This is an overall list of businesses with various specialties.

Thanks, Sherita

**Sherita Miller, MPA, CPSD**  
*Minority Business Enterprise Liaison*  
Division of Procurement

859.258.3323 office  
[lexingtonky.gov](mailto:smiller@lexingtonky.gov)



---

**From:** Ryan Gabbard <Ryan@HCI96.COM>  
**Sent:** Friday, June 14, 2024 7:13 AM  
**To:** Sherita Miller <smiller@lexingtonky.gov>  
**Subject:** NEW ADDENDUM (AD-1) INVITATION TO BID - WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS

[EXTERNAL] Use caution before clicking links and/or opening attachments.

Sherita Miller - Minority & Business Enterprise Liaison - LFUCG,  
\*New Addendum (AD-1) included in below link\*

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

**WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS**

**BID DATE:** Wednesday, June 26, 2024  
**BID TIME:** 2:00 PM EST

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- *Miscellaneous Metals*
- *HVAC / FRP Ductwork*
- *Electrical*

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Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Tuesday, June 25, 2024** for review and consideration. You may send your proposals to [ryan@hci96.com](mailto:ryan@hci96.com), [cody@hci96.com](mailto:cody@hci96.com), or [hci@hci96.com](mailto:hci@hci96.com).

Thank you,

**Ryan Gabbard**

**Herrick Company, Inc.**

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 **Email:** [ryan@hci96.com](mailto:ryan@hci96.com)



## Ryan Gabbard

---

**From:** Daniel Hodges <daniel@m-mmanufacturing.com>  
**Sent:** Tuesday, June 18, 2024 2:54 PM  
**To:** Ryan Gabbard  
**Subject:** Re: NEW ADDENDUM (AD-1) INVITATION TO BID - WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS

Ryan,

Do you have another misc. metals quote coming on this project? That bridge is really bigger than we can handle in our shop.

Thanks,

Daniel Hodges

M&M Manufacturing, Inc

P:270-586-8208

F:270-586-6424

Email: [Daniel@m-mmanufacturing.com](mailto:Daniel@m-mmanufacturing.com)

On Friday, June 14, 2024 at 06:12:55 AM CDT, Ryan Gabbard <ryan@hci96.com> wrote:

Daniel Hodges - M&M Manufacturing,

**\*New Addendum (AD-1) included in below link\***

***Herrick Company, Inc.*** will be bidding as a General Contractor on the following Project:

### **WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS**

**BID DATE:** Wednesday, June 26, 2024

**BID TIME:** 2:00 PM EST

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We are seeking proposals on the following scopes of work:

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- HVAC / FRP Ductwork
- Electrical

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240626 – WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS

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Thank you,

**Ryan Gabbard**

**Herrick Company, Inc.**

780 Enterprise Dr.

Lexington, KY 40510

## Ryan Gabbard

---

**From:** cindy england <sbloomin@gmail.com>  
**Sent:** Thursday, June 20, 2024 10:41 AM  
**To:** Ryan Gabbard  
**Subject:** DBE  
**Attachments:** image\_50426881.JPG

Hi,

I am a DBE and can bid any projects as a supplier.  
Please see my certificate attached.

COM  
T

Andy Beshear  
GOVERNOR

Cindy England, Owner  
SOMETHIN BLOOMIN, LLC  
1045 Higbee Mill Road  
Lexington, KY 40503

Subject: DBE/SBE Certification Re

Dear Ms. England:



Cindy England  
Somethin Bloomin  
DBE, SBE, WBENC  
BS Horticulture  
859-536-7568

## Ryan Gabbard

---

**From:** Daniel Hodges <daniel@m-mmanufacturing.com>  
**Sent:** Monday, June 24, 2024 3:48 PM  
**To:** Ryan Gabbard  
**Subject:** Re: NEW ADDENDUM (AD-2) INVITATION TO BID - WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS

Ryan,

I just wanted to let you know that we will not be bidding the West Hickman project, thanks for the opportunity!

Thanks,

Daniel Hodges

M&M Manufacturing, Inc

P:270-586-8208

F:270-586-6424

Email: [Daniel@m-mmanufacturing.com](mailto:Daniel@m-mmanufacturing.com)

On Monday, June 24, 2024 at 12:38:34 PM CDT, Ryan Gabbard <ryan@hci96.com> wrote:

Daniel Hodges - M&M Manufacturing,

**\*New Addendum (AD-2) included in below link\***

***Herrick Company, Inc.*** will be bidding as a General Contractor on the following Project:

### **WEST HICKMAN WWTP ODOR CONTROL IMPROVEMENTS**

**BID DATE:** Wednesday, June 26, 2024

**BID TIME:** 2:00 PM EST

## Ryan Gabbard

---

**From:** Caroline Hughes <caroline@hughesmilling.com>  
**Sent:** Tuesday, June 25, 2024 7:59 AM  
**To:** Ryan Gabbard  
**Subject:** RE: INVITATION TO BID - BGMU WTP RAW WATER INTAKE NO.1 RENOVATIONS  
**Attachments:** [LFUCG West Hickman Carbon Replacement FAYETTE 06-25-2024.pdf](#)

Good Morning Ryan!

Please find quote attached. If you need anything else, please let me know!

Thanks,

Caroline  
Caroline E. Hughes | President



CE Hughes Milling, Inc.  
CE Hughes Milling, Inc. DBA The Airmarking Company  
3113 Holmans Lane | Jeffersonville, IN 47130  
P: 812-725-8665 | F: 812-725-8672 | M: 812-989-4745  
A DBE/WBE Certified Business

NOTICE: This message is intended only for the addressee and may contain information that is privileged, confidential and/or attorney work product. If you are not the intended recipient, do not read, copy, retain or disseminate this message or any attachment. If you have received this message in error, please call the sender immediately at (812) 725-8665 and delete all copies of the message and any attachment. Neither the transmission of this message or any attachment, nor any error in transmission or misdelivery shall constitute waiver of any applicable legal privilege.

---

**From:** Tillman, Megan R (KYTC) <Megan.Tillman@ky.gov>  
**Sent:** Thursday, June 20, 2024 10:33 AM  
**Cc:** Putty, Brad B (KYTC) <brad.putty@ky.gov>; Youssefi, Tony T (KYTC) <tony.youssefi@ky.gov>  
**Subject:** FW: INVITATION TO BID - BGMU WTP RAW WATER INTAKE NO.1 RENOVATIONS

Good morning DBEs,

Please review the e-mail below for possible DBE work opportunities with the Herrick Company, Inc. on the Bowling Green Waste Water Treatment Plant.

If you have any questions, please contact:

**Ryan Gabbard**  
**Herrick Company, Inc.**  
**780 Enterprise Dr.**  
**Lexington, KY 40510**  
**Office: 502-839-3484**  
**Email: [ryan@hci96.com](mailto:ryan@hci96.com)**

Thank you.

## Cody Lokits

---

**From:** Matt Gamlin <matt@mckinneypainting.com>  
**Sent:** Wednesday, June 26, 2024 9:43 AM  
**To:** Cody Lokits  
**Subject:** W. Hickman WWTP Odor Control - painting

Cody,

Scope of Work –

Mobilize

Prepare Galvanized Pipe Supports as Noted and Specified System by addenda to the galvanized.

Protect adjacent surfaces.

Install galvanized primer, intermediate and Urethane topcoats.

Touch up and clean up.

Labor, Equipment and Materials - \$5,000.00

Thank You

Matt

Matthew Gamlin  
McKinney Painting Inc.  
“A Womans Business Enterprise”  
Versailles, Ky & Sellersburg, In  
Vice President & Treatment Plant / Industrial Specialist

### Disclaimer

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