

SECTION 00410A – BID FORM

Expansion Area 3 Pump Station Improvements (Contract No. 1)

Division of Water Quality
Lexington-Fayette Urban County Government

LFUCG Bid No. 160-2017

1.01 GENERAL

Place: Lexington, Kentucky

Date: 09/26/2017

The following Bid Form shall be followed exactly in submitting a Bid for this Work.

This Bid Form Submitted by WRC1, LLC dba W. Rogers Company
649 Bizzell Drive, Lexington KY 40510
(Name and Address of Bidder)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Delaware, doing business as Limited Liability Company
"a corporation," "a partnership", or an "individual" as applicable

To: Lexington-Fayette Urban County Government
(Hereinafter called "Owner")
Office of the Director of Central Purchasing
200 East Main Street, Room 338
Lexington, KY 40507

The Bidder, in compliance with your Advertisement for Bids for the **Expansion Area 3 Pump Station Improvements (Contract No. 1)**; Lexington, Kentucky, having examined the Contract Documents including the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions and any and all addendums surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this Bid is a part. This bid includes the Pump Station, Pump Station site work and access road.

The Bidder hereby agrees to commence Work under this Contract on a date to be specified in a written "Notice to Proceed" of the Owner and to substantially complete the Project within 450 consecutive calendar days. Bidder further agrees to pay liquidated damages, the sum of eight hundred dollars and no cents (\$800.00) for each consecutive day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 08/11/2017 ; Addendum No. 5 Date 09/15/2017
Addendum No. 2 Date 08/24/2017 ; Addendum No. 6 Date 09/18/2017
Addendum No. 3 Date 09/07/2017 ; Addendum No. 7 Date 09/19/2017
Addendum No. 4 Date 09/08/2017 ; Addendum No. 8 Date 09/22/2017

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.



ADDENDUM #1

Bid Number: #106-2017

Date: August 11, 2017

Subject: Expansion Area 3 Pump Station (Contract No.1)
and Force Main (Contract No. 2) Improvements

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. CLARIFICATIONS

- a. The pre-bid meeting date has been changed to August 24th, 2017. For more details see specifications below.
- b. The pre-bid meeting is now mandatory.
- c. The last day to submit questions to purchasing has been changed to September 8th, 2017. For more details see specifications below.
- d. The bid submission and open date has been changed to September 21st, 2017. For more details see specifications below.

2. SPECIFICATIONS

- a. Section 00100, Advertisement for Bids, page 2: Article 1.08 **SUBMISSION OF BIDS** shall be deleted and replaced with the following:

“Contractors shall submit their Bids to the Lexington-Fayette Urban County Government, Division of Purchasing, Third Floor, 200 East Main Street, Lexington, Kentucky 40507. Bids shall be submitted in a sealed envelope not later than 2:00 p.m. (local time) September 21, 2017. Sealed proposals shall be marked clearly on the outside of the container **“Sealed Proposal for: Expansion Area 3 Pump Station (Contract No. 1) and Force Main (Contract No. 2) Improvements to be opened at 2:00 p.m. Local Time, September 21, 2017. Bids received after the scheduled closing time for receipt of Bids will not be considered and will be returned unopened.”**





- b. Section 00100, Advertisement for Bids, page 3: Article 1.11 PRE-BID MEETING shall be deleted and replaced with the following:

"A Mandatory pre-Bid meeting will be held at 9:00 a.m. local time, August 24, 2017 at 125 Lisle Industrial Avenue, Tate Bldg. 1st Floor, Suite 18, Lexington, KY 40511."

- c. Section 00300, Information Available to Bidders, page 3: Article 1.09 ADDENDA AND INTERPRETATIONS shall be deleted and replaced with the following:

"No interpretation of the meaning of the Contract Documents will be made to any Bidder orally. Every request for such interpretation should be in writing addressed to the Director of Central Purchasing, in care of Brian Marcum at brianm@lexingtonky.gov (859) 258-3320, who in turn will have an addendum issued for the Lexington-Fayette Urban County Government, and to be given consideration must be received prior to close of business September 8th, 2017. Any and all such interpretations and any supplemental instructions will be in the form of written addenda to the specifications. Acknowledgement of the receipt of addenda must be included with all submitted Bids. Failure of any Bidder to receive any such addendum or interpretation shall not relieve such Bidder from any obligation under his Bid as submitted. All addenda so issued shall become part of the Contract Documents."

Todd Slatin

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: WRC1, LLC dba W. Rogers Company

ADDRESS: 649 Bizzell Drive, Lexington KY 40510

SIGNATURE OF BIDDER:



MAYOR JIM GRAY



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #2

Bid Number: #106-2017

Date: August 24, 2017

**Subject: Expansion Area 3 Pump Station (Contract 1) and Force Main
(Contract 2) Improvements**

**Address inquiries to:
Brian Marcum
(859) 258-3320**

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

"The EPA forms *are* required to be completed with the bid documents. The subcontractor information must be included on the EPA forms".

Attached find a list of MBE/DBE & Veteran Owned contractors to contact for this project.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: WRC1, LLC dba W. Rogers Company

ADDRESS: 649 Bizzell Drive, Lexington KY 40510

SIGNATURE OF BIDDER: 



MAYOR JIM GRAY



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #3

Bid Number: #106-2017

Date: September 7, 2017

Subject: Expansion Area 3 Pump Station (Contract 1) and Force Main
(Contract 2) Improvements

Address inquiries to:
Brian Marcum
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

The question deadline has been extended to September 12, 2017 at 5:00 PM.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: WRC1, LLC dba W. Rogers Company

ADDRESS: 649 Bizzell Drive, Lexington KY 40510

SIGNATURE OF BIDDER: 



MAYOR JIM GRAY



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #5

Bid Number: #106-2017

Date: September 15, 2017

Subject: Expansion Area 3 Pump Station (Contract 1) and Force Main
(Contract 2) Improvements

Address inquiries to:
Brian Marcum
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

The bid opening date has been extended to September 26, 2017 at 2:00 PM EST.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the bid and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: WRC1, LLC dba W. Rogers Company

ADDRESS: 649 Bizzell Drive, Lexington KY 40510

SIGNATURE OF BIDDER: 



MAYOR JIM GRAY



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #6

Bid Number: #106-2017

Date: September 18, 2017

Subject: Expansion Area 3 Pump Station (Contract 1) and Force Main
(Contract 2) Improvements

Address inquiries to:
Brian Marcum
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

Please see the attached documents for question responses, clarifications and updated wage rates.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the bid and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: WRC1, LLC dba W. Rogers Company

ADDRESS: 649 Bizzell Drive, Lexington KY 40510

SIGNATURE OF BIDDER: 



MAYOR JIM GRAY



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #7

Bid Number: #106-2017

Date: September 19, 2017

Subject: Expansion Area 3 Pump Station (Contract 1) and Force Main
(Contract 2) Improvements

Address inquiries to:
Brian Marcum
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

The odor control ductwork is PVC, as shown on the drawings, and FRP as specified in 15892 added in Addendum#6. The material for the ductwork, dampers and transition pieces can be either PVC or FRP.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the bid and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: WRC1, LLC dba W. Rogers Company

ADDRESS: 649 Bizzell Drive, Lexington KY 40510

SIGNATURE OF BIDDER: 





ADDENDUM #8

Bid Number: #106-2017

Date: September 22, 2017

Subject: Expansion Area 3 Pump Station (Contract 1) and Force Main
(Contract 2) Improvements

Address inquiries to:
Brian Marcum
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

There is a conflict between the Contract Plans and Contract Specifications with respect to force main pipe material from station 10+00 to station 65+51. The Contract Specifications shall prevail. The following pipe materials are approved equals for force main pipe material from station 10+00 to station 65+51.

- Polyvinyl Chloride (PVC)
- Ductile Iron (DI)
- Fiberglass Reinforced Polymer Mortar (FRPM)
- Prestressed Concrete Cylinder Pipe (PCCP)

Todd Slatin, Director
Division of Central Purchasing

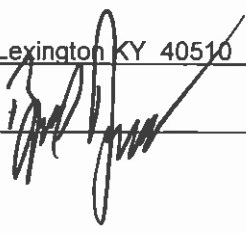
All other terms and conditions of the bid and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: WRC1, LLC dba W. Rogers Company



ADDRESS: 649 Bizzell Drive, Lexington KY 40510

SIGNATURE OF BIDDER: _____

A handwritten signature in black ink, appearing to be 'B. Bizzell', is written over the signature line.

1.02 LEGAL STATUS OF BIDDER

Bidder WRC1, LLC dba W. Rogers Company

Date 09/26/2017

~~*A. A corporation duly organized and doing business under the laws of the State of _____,
for whom _____, bearing the
official title of _____, whose signature is
affixed to this Bid is duly authorized to execute contracts.~~

*B. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)
WDJ Holdings, LLC 649 Bizzell Drive Lexington KY 40510

~~*C. An individual, whose signature is affixed to this Bid. (Print name)

_____~~

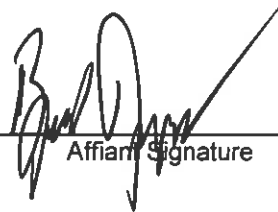
* The Bidder shall fill out the appropriate form and strike out the other two.

1.03 BIDDERS AFFIDAVIT

Comes the Affiant, Boyd Rogers, and after being first duly sworn, states under penalty of perjury as follows:

- A. His/her name is Boyd Rogers and he/she is the individual submitting the Bid or is the authorized representative of WRC1, LLC dba W. Rogers Company, the entity submitting the Bid (hereinafter referred to as "Bidder").
- B. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the Bid is submitted, prior to award of the Agreement and will maintain a "current" status in regard to those taxes and fees during the life of the Agreement.
- C. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the Agreement.
- D. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
- E. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of an Agreement to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
- F. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
- G. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his/her conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.



Affiant Signature

STATE OF Kentucky
 COUNTY OF Fayette

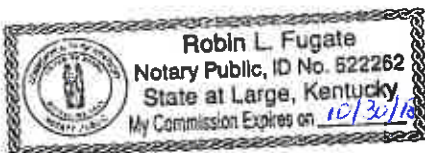
The foregoing instrument was subscribed, sworn to and acknowledged before me by

Boyd Rogers on this the 26th day of September, 2017

My Commission expires:

Robin R. Fugate 10/30/18

Robin R. Fugate
 NOTARY PUBLIC, STATE AT LARGE



1.04 BID SCHEDULE

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of Bid. In all cases of discrepancies or math errors the amount written in for the unit price of an item shall govern.

If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Owner uses for bid comparison.

The Owner's decision on the bid amount is final.

Contractor shall complete the bid schedule and deductive alternative.

EA3 PUMP STATION BID SCHEDULE (Contract No. 1)¹ (SRF Loan Funding)

Item	Description	Qty	Unit	Unit Price	Item Price
B.	EA3 Pump Station:				
B1	Base Bid for Construction of the EA3 Pump Station per the Contract Documents, Exclusive of the Following Items	1	LS	5,030,000.00	\$ 5,030,000.00
B2	10-inch Sanitary Sewer	662	LF	\$ 64.00	\$ 42,368.00
B3	4-foot Diameter Manhole	3	EA	\$ 4,736.00	\$ 14,208.00
B4	4-foot Diameter Drop Manhole	1	EA	\$ 5,286.00	\$ 5,286.00
B5	Gravity Sewer Site Restoration: Method B	3,800	SY	\$ 3.09	\$ 11,704.00
C.	Allowances:			\$	\$
C1	Control Touch SCADA Integration	1	EA	\$50,000	\$50,000
C2	Project Video Monitoring	1	EA	\$11,000	\$11,000
C3	Miscellaneous Site Improvements	1	EA	\$500,000	\$500,000
C4	Emergency Generator Fuel Tank Permit	1	EA	\$200	\$200
C5	Electrical Service	1	EA	\$10,000	\$10,000
C6	Water Service	1	EA	\$6,000	\$6,000
TOTAL BID (Items B thru C)					

Note: ¹ Total Bid (Items B thru C) shall be based on a SRF loan which includes Davis-Bacon wage rates, Good Faith Efforts per EPA requirements, and all American Iron and Steel (AIS) provisions.

TOTAL BID AMOUNT:

Five million six hundred eighty thousand
 Dollars (\$ 5,680,766.00)

DEDUCTIVE ALTERNATE ¹ (Local Funding):

Four hundred ninety thousand Dollars (\$ 490,000.00)

Note: ¹ Deductive Alternate is the amount of difference between the Bid amount with Davis Bacon wage rates Good Faith Efforts, AIS provisions and the amount without Davis Bacon wage rates, Good Faith Efforts, and AIS provisions.

Respectfully Submitted,

FIRM: WRC1, LLC dba W. Rogers Company

ADDRESS: 649 Bizzell Drive

CITY/STATE/ZIP: Lexington KY 40510

DATE: 09/26/2017

BY:  (must be original signature)

TITLE: Vice President

PHONE: 859-231-6290 FAX: 859-231-6296
(area code, number & extension)

EMAIL ADDRESS: boydr@wrogers.com

OFFICIAL ADDRESS AND PHONE:

649 Bizzell Drive

Lexington KY 40510

859-231-6290 (Seal if Bid is by Corporation)

By signing this form you agree to all of the terms and associated forms.

1.05 STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Bid:

A. Name of Bidder: WRC1, LLC dba W. Rogers Company

B. Permanent Place of Business: 649 Bizzell Drive, Lexington KY 40510

C. When Organized: 08/30/2016

D. Where Incorporated: Delaware

E. Financial Condition:

If specifically requested by the Owner, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the Owner's Division of Central Purchasing within seven (7) calendar days following the Bid opening.

F. In the event the Agreement is awarded to the undersigned, Performance, Payment, Erosion and Sediment Control, and Warranty bonds will be furnished by:

Travelers Casualty and Surety Company of America (Surety)

Signed: _____ (Representative of Surety)

G. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>See Attached Documentation</u>	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

H. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>See Attached Documentation</u>	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Completed Projects 2005 through 2016

Job No	Project Name	Owner	Engineer	Original Contract Amount	Year Completed
326	Oostanaula Wastewater Treatment Plant Athens, Tennessee	Athens Utility Board 100 Englewood Road Athens, Tennessee 37303 Contact: Jill Davis 423/745-4501	Barge, Waggoner, Sumner & Cannon 1st Tennessee Plaza, Suite 2400 Knoxville, Tennessee 37929 Contact: John Burris, PE 423/673-2810	\$15,433,000	2005
327	Contract 1 Water Treatment Plant Wellington, Kentucky	Cave Run Water Commission P.O. Box 1070 Wellington, Kentucky 40360 Contact: Kevin McGuire 606/674-9356	Cann-Tech, LLC 201 South Main Street Lawrenceburg, Kentucky 40342 Contact: Larry Cann 502/859-0907	\$5,579,244	2005
329	Water Treatment Plant Cave City, Kentucky	Green River Valley Water District 85 East Les Turner Road Cave City, Kentucky 42127 270/773-2135	Water Management Services 111 Bush Road Nashville, Tennessee 37217 Contact: Joe Hinkle 615/366-6088	\$4,346,300	2005
331	Wastewater Treatment Plant Expansion Shelbyville, Kentucky	Shelbyville Municipal Water and Sewerage Commission 1059 Washington Street Shelbyville, Kentucky 40065 502/633-2840	Quest Engineers, Inc. 2517 Sir Barton Way Lexington, Kentucky 40509 Rob Williams, PE 859/223-3755	\$3,705,900	2006
332	South Hopkins Wastewater Treatment Plant Nortonville, Kentucky	City of Nortonville 199 South Main Street Nortonville, Kentucky 42442 270/676-3384	McGhee Engineering, Inc. 202 Ewing Street Guthrie, Kentucky 42234 Contact: Mike McGhee, PE 270/483-9985	\$4,427,000	2006
333	McCroskey Island Water Treatment Plant Sevierville, Tennessee	Sevierville Water System 116 Church Street Sevierville, TN 37862 Contact: Steven Flynn 865/453-5522	Jordan Jones & Goulding, Inc. 6801 Governors Lake Parkway Norcross, GA 30071 Contact: Wendy Creek PE 678/333-0331	\$17,303,300	2007

Completed Projects 2005 through 2016

Job No	Project Name	Owner	Engineer	Original Contract Amount	Year Completed
334	Alcoa Water Treatment Plant Maryville, Tennessee	Department of Public Works 1024 Springbrook Road Alcoa, TN 37701 Contact: Mr. Kenny Wiggins, PE 865/380-4802	Smith Seckman Reid, Inc. 2995 Sidco Drive Nashville, TN 37204 Contact: Mr. Mike Bernard, PE 615/383-1113	\$24,329,000	2007
335	Strodes Creek Wastewater Treatment Plant Winchester, Kentucky	Winchester Municipal Utilities 150 North Main Street Winchester, KY 40391 Contact: Mr. Mike Flynn 859/744-5434	CDP Engineers, Inc. 3250 Blazer Parkway Lexington, KY 40509 Contact: Mr. Ken Roseman PE 859/264-7500	\$18,785,000	2008
338	Raw Water Intake Note: WRC Served as Completion Contractor After Owner Terminated Original Contractor For Convenience	Bowling Green Municipal Utilities 801 Center St. Bowling Green, KY 42101 Contact: Mr. Michael Gardner 270/782-1220	Water Management Services 111 Bush Road Nashville, Tennessee 37217 Contact: Joe Hinkle 615/366-6088	Performed Cost Plus Fixed Fee Final Total @ \$3,196,000	2007
340	Raw Water Intake Cadiz, Kentucky	Hopkinsville Environmental Water Authority 401 East Ninth St. Hopkinsville, KY 42241 Contact: Mr. Derrick Watson 270/887-4246	JV Engineering 5100 Linbar Dr., Suite 106 Nashville, TN 37211 Contact: Mr. Jeff Vaughan 615/781-8725	\$6,028,000	2009
342	North Elkhorn Pump Station Lexington, Kentucky	Lexington Fayette Urban Co Govt Construction Management 301 Lisle Industrial Ave. Lexington, KY 40511 Contact: Mr. Rick Day 859/254-7787	GRW Engineers, Inc. 801 Corporate Drive Lexington, Kentucky 40503 Contact: Joe Henry, PE 859/223-3999	\$4,006,000	2009
343	Northwest Wastewater Treatment Plant Collinsville, Tennessee	Town of Collinsville 500 Poplar View Parkway Collinsville, Tennessee 38017 Contact: Derek Honeycut 901/457-2360	Allen & Hoshall 9950 Kingston Pike, Suite 300 Knoxville, TN 37922 Contact: Ben Pearson, PE 865/693-7881	\$19,992,000	2009

Completed Projects 2005 through 2016

Job No	Project Name	Owner	Engineer	Original Contract Amount	Year Completed
344	Contract 1 - Ohio County Regional WWTP Beaver Dam, Kentucky	Ohio County Regional WW District 1350 Clay Street Hartford, Kentucky 42347 Contact: Jon Lawson 270/274-5678	Quest Engineers, Inc. 2517 Sir Barton Way Lexington, Kentucky 40509 Contact: Rob Williams, PE 859/223-3755	\$9,172,000	2008
348	Regional Wastewater Treatment Plant Johnson City, Tennessee	City of Johnson City 601 E. Main Street Johnson City, Tennessee 37601 Contact: Tom Wilherspoon 423/461-1640	Smith Seckman Reid, Inc. 2995 Sidco Drive Nashville, Tennessee 37204 Contact: Herby Rader, PE 615/383-1113	\$23,660,529	2010
353	Contract H Water Treatment Plant Expansion to 5.1 MGD Nashville, Tennessee	Harpeth Valley Utility District 5910 River Rd. Nashville, TN 37209 Contact: Mr. John Barnes 615/354-8536	JJ&G/Jacobs One Vantage Way, Suite B-400 Nashville, Tennessee 37228 Contact: Brent Fulghum, PE 615/254-6002	\$14,568,700	2010
354	Turkey Creek Wastewater Treatment Plant Improvements Knoxville, Tennessee	First Utility District of Knox County 122 Durwood Road Knoxville, Tennessee 37922 Contact: Wayne Watson 865/966-9741	JJ&G/Jacobs 9721 Coghill Road, Suite 201 Knoxville, Tennessee 37932 Contact: Ken Bryson, PE 865/696-1948	\$18,637,641	2010
355	Kentucky River Pool #3 Water Treatment Plant Owenton, Kentucky <i>Note: For this project only W. Rogers Company was in a Joint Venture with Reynolds, Inc of Orleans, IN under the entity name of Reynolds-Rogers, LLC. Stated contract amount represents WRC portion.</i>	Kentucky American Water Company 2300 Richmond Road Lexington, Kentucky 40502 Contact: Linda Bridwell 859/269-2395	Gannett Fleming, Inc. 207 Senate Avenue Camp Hill, Pennsylvania 17011 Contact: Jeffrey Raffensperger, PE 717/763-7211	\$27,744,848	2010
356	Paducah Wastewater Treatment Plant Improvements Paducah, Kentucky	Paducah-McCracken JSA 621 Northview St. Paducah, KY 42001 Contact: Mr. John Hodges 270/444-0206	GRW Engineers, Inc. 801 Corporate Drive Lexington, Kentucky 40503 Contact: Alan Bryan, PE 859/223-3999	\$4,153,000	2010

Completed Projects 2005 through 2016

Job No	Project Name	Owner	Engineer	Original Contract Amount	Year Completed
357	Contract 4-08-2 Middle Fork WWTP Expansion Jackson, Tennessee	Jackson Energy Authority 119 E. College St. Jackson, TN 38301 Contact: Mr. Rowland Fisher 731/422-7376	J.R. Wauford & Company 50 Volunteer Blvd. Jackson, TN 38305 Contact: Mr. D.E. Crowell, P.E. 731/668-1953	\$4,690,000	2010
358	Wastewater Treatment Plant Additions and Modifications Lebanon, Tennessee	City of Lebanon Dept. of Public Works Administration Building 200 Castle Heights Ave North, Ste. 300 Lebanon, Tennessee 37087 Contact: Jeff Baines 615/ 443-2824	Water Management Services, LLC 2 International Plaza, Suite 401 Nashville, Tennessee 37217 Contact: Joe Hinkle 615/366-6088	\$14,675,750	2010
360	Contract 1 Water Treatment Plant Expansion Liberty, Kentucky	City of Liberty 518 Middleburg St. Liberty, KY 42539 Contact: Mr. Steve Sweeney 606/787-9973	GRW Engineers, Inc. 801 Corporate Drive Lexington, Kentucky 40503 Contact: Laura Gilkerson, PE 859/223-3999	\$5,350,000	2010
361	Contract 344-07-02 Water Treatment Facilities Upgrade Berea, Kentucky	City of Berea 200 Harrison Road Berea, Kentucky 40403 Contact: Donald Blackburn 859/986-4391	Bell Engineering 234 Waller Avenue Lexington, Kentucky 40504 Contact: Ron McMaine 859/278-5412	\$8,019,912	2010
362	Valley Creek Wastewater Treatment Plant Elizabethtown, Kentucky	City of Elizabethtown 200 West Dixie Avenue Elizabethtown, Kentucky 42701 Contact: Scott Fiepke, PE 270/373-7733	HDR/Quest Engineers, Inc. 2517 Sir Barton Way, Lexington, KY 40509 Contact: Benton Hanson, PE 859/223-3755	\$20,115,753	2011
363	Pitman Creek Wastewater Treatment Plant Expansion Somerset, Kentucky	City of Somerset 105 College Street Somerset, Kentucky 42501 Contact: Charlie Dick, Manager 606/678-4466	HDR/Quest 2517 Sir Barton Way Lexington, Kentucky 40509 Contact: Tom Schaffer, PE 859/223-3755	\$12,687,000	2012

Completed Projects 2005 through 2016

Job No	Project Name	Owner	Engineer	Original Contract Amount	Year Completed
365	Contract 308 - Wastewater Treatment Plant 2 Monteagle, Tennessee	City of Monteagle 16 Dixie Lee Avenue Monteagle, Tennessee 37356 Contact: Charles Rollins, Mayor	James C. Halley & Company 2622 Old Lebanon Road Nashville, Tennessee 37214 Contact: Neal Westerman, PE 615/883-4993	\$4,076,000	2011
367	Wastewater Treatment Plant Expansion City of Mt. Washington, Kentucky	City of Mt. Washington 275 Snapp Street Mt. Washington, Kentucky 40047 Contact: Joetta Calhoun, Mayor 502/538-4216	Derrick Engineering, Inc. 1397 South Third Street Louisville, Kentucky 40208 Contact: David Derrick, PE 502/636-9273	\$12,944,000	2012
370	Stage 2 Disinfection/Disinfection Byproducts Reduction Improvements Kokomo, Indiana and Richmond, Indiana Indiana American Water Company <i>Note: This is a Design Build Project.</i>	Indiana American Water Company 555 E. County Line Road, Suite 201 Greenwood, Indiana 46143 Contact: Sachin G. Pradhan, EIT 317/885-2423	Design provided by GRW Engineers via D/B subcontract to W. Rogers Company	\$4,147,693	2011
372	Water Treatment Plant Expansion to 28 MGD Clarksville, Tennessee <i>Note: 28 MGD Pall Membrane Facility</i>	Clarksville Water & Gas Department 2215 Madison Avenue Clarksville, Tennessee 37043 Contact: Chris Lambert 931/645-1857	JJ&G/Jacobs 6801 Governors Lake Pkwy, Bldg. 200 Norcross, Georgia 30071 Contact: Wendy Creek, PE 770/455-8555	\$24,882,875	2014
374	Wastewater Treatability Improvements (WW006) Capital Improvements Program Fort Campbell Army Base Fort Campbell, Kentucky <i>Note: WRC Serving as Subcontractor to CH2M Hill Constructors under D/B Contract with Owner</i>	CH2M Hill Constructors 5101 Tennessee Avenue Fort Campbell, Kentucky 42223 Contact: Bruce Brown 609/915-2562	CH2M Hill Constructors 5101 Tennessee Avenue Fort Campbell, Kentucky 42223 Contact: Bruce Brown 609/915-2562	\$11,926,231	2013
375	Phase 2 Improvements Water Reclamation Facility LeSoudsville, Ohio	Butler County Water & Sewer 130 High Street Hamilton, Ohio 45011 Contact: Steve Seitz 513/785-6370	Hazen & Sawyer, P.C. 11311 Cornell Park Drive, Suite 135 Cincinnati, Ohio 45242 Contact: Tom Schaffer, PE 513/469-5140	\$34,232,850	2015

Completed Projects 2005 through 2016

Job No	Project Name	Owner	Engineer	Original Contract Amount	Year Completed
378	Water Treatment Plant Expansion Harrodsburg, Kentucky	City of Harrodsburg 208 South Main Street Harrodsburg, KY 40330 Contact: Wally Bottoms 859/613-5122	GRW Engineers, Inc. 801 Corporate Drive Lexington, Kentucky 40503 Contact: Mr. Ryan Carr, PE 859/223-3999	\$9,360,000	2013
379	T. E. Maxson WWTP Improvements Maxson Fine Bar Screen Memphis, Tennessee	City of Memphis 125 North Main Street, Room 620 Memphis, TN 38103-2091 Contact: Scott Morgan, P.E. 901-576-7125	AECOM 220 Athens Way, Suite 200 Nashville, TN 37228-1352 Contact: Robert Stolt, PE 615/224-8864	\$3,882,000	2013
380	Water Treatment Plant Expansion to 20 MGD Loudon, Tennessee	Loudon Utilities Board 201 Alma Place Loudon, Tennessee 37774 Contact: Lynn Mills 865/458-2091	JU&G/Jacobs 6801 Governors Lake Pkwy, Bldg. 200 Norcross, Georgia 30071 Contact: Wendy Creek, PE 770/455-8555	\$9,229,005	2015
381	Wastewater Treatment Plant Improvements Clarksville, Tennessee Bid No. 2850 Electrical & HVAC Improvements	Clarksville Water & Gas Department 2215 Madison Avenue Clarksville, Tennessee 37043 Contact: Pat Hickey, General Manager 931/645-1857	Hazen & Sawyer 227 French Landing Drive, Suite 420 Nashville, Tennessee 37228 Contact: Brent Fulghum, PE 615/483-9537	\$4,898,764	2014
382	Wolf Run Pump Station Lexington, Kentucky	Lexington-Fayette Urban Co Government 200 E. Main Street Lexington, Kentucky 40507 Contact: Mr. Rick Day 859/425-2407	HDR Engineering, Inc. 2517 Sir Barton Way Lexington, Kentucky 40509 Contact: Mark Stephens 859/223-3755	\$4,313,000	2014
385	Wet Weather Detention Facilities Frankfort, Kentucky	City of Frankfort Sewer Department 315 W. Second Street Frankfort, KY 40601 Contact: Bob Peterson 502/875-2448	GRW Engineers, Inc. 801 Corporate Drive Lexington, KY 40503 Contact: John Martin, PE 859/223-3999	\$7,329,000	2015
388	Water Treatment Plant Expansion Lexington, Kentucky Construction Manager @ Risk in Joint Venture with Cobra Industrial Services	Kentucky American Water Company 2300 Richmond Road Lexington, KY 40502 Contact: Brent O' Neill 859/268-6316	Hazen & Sawyer 444 Lewis Hargett Circle, Suite 260 Lexington, Kentucky 40503 Contact: Bret Casey, PE 614/781-9655	\$6,036,433	2016

Uncomplete Projects Currently Under Contract

Project Name	Owner	Engineer	Contract Date	Cost of Work
1753001 KAW KRS1 Valve Houses 3,4,5 Lexington KY	Kentucky American Water Company 2300 Richmond Road Lexington KY 40502	HDR Engineering, Inc. 2517 Sir Barton Way Lexington KY 40509 859-629-4800	11/1/2016	\$ 621,726.00
1753002 KAW Jacobson Park Booster Station Lexington KY	Kentucky American Water Company 2300 Richmond Road Lexington KY 40502	Stantec Consulting Services, Inc. 3052 Beaumont Centre Circle Lexington KY 40513	2/1/2017	\$ 685,850.00
1753004 UT Substation - Knoxville TN Knoxville TN	University of Tennessee Knoxville TN	Vreeland Engineers, Inc. 3107 Sutherland Avenue Knoxville TN 37919	3/27/2017	\$ 287,500.00
1753013 Liberty KY WWTP Liberty KY	City of Liberty 518 Middleburg Street Liberty KY 42539	GRW Engineers, Inc. 801 Corporate Drive Lexington KY 40503	4/3/2017	\$ 3,838,343.00
1753014 UK RB#2 - Spine Utilities Lexington KY	University of Kentucky Lexington KY	Champlin Architecture 720 East Pete Rose Way, Ste. 140 Cincinnati, OH 45202	4/3/2017	\$ 4,993,000.00
1753015 Murray Cleanwell & High Service PS Murray Kentucky	City of Murray 104 North Fifth Street Murray KY 42071	Strand Associates, Inc. 325 West Main Street, Ste. 710 Louisville KY 40202	5/5/2017	\$4,152,000.00

I. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
<u>Warren Rogers</u>	<u>President</u>	<u>45</u>
<u>Boyd Rogers</u>	<u>Vice President</u>	<u>41</u>
<u>Michael Bailey</u>	<u>Project Manager</u>	<u>15</u>
<u>Mac Foster</u>	<u>Foreman</u>	<u>34</u>
<u> </u>	<u> </u>	<u> </u>

J. MWDBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS (LIST)</u>	<u>PROJECT (SPECIFIC TYPE)</u>	<u>MWDBE</u>	<u>% of WORK</u>
<u>Bison Services</u>	<u>Liberty WWTP</u>	<u>MBE</u>	<u>3</u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>

(USE ADDITIONAL SHEETS IF NECESSARY)

K. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the Owner within seven (7) calendar days following the Bid Opening, a sworn statement regarding all office management and field management personnel. Additionally, if requested by the Owner, we will within seven (7) days following the request submit audited financial statements and loss history for insurance claims for the three (3) most recent years (or a lesser period if stipulated by the Owner)

1.06 LIST OF PROPOSED SUBCONTRACTORS (Base Bid)

The following list of proposed subcontractors is required by the Owner to be executed, completed and submitted with the Bid Form. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

BRANCH OF WORK** (List each major item)	SUBCONTRACTOR	MWDBE (yes/no)	% of WORK
1. <u>Electrical</u>	Name: <u>Arrow Electric</u>	<u>no</u>	<u>100%</u>
	Address: <u>2321 Maggard Dr.</u> <u>Lexington</u>		
2. <u>Plumbing</u>	Name: <u>Wiglesworth Plumbing</u>	<u>no</u>	<u>10%</u>
	Address: <u>5439 Stewart Rd.</u> <u>Lexington</u>		
3. <u>HVAC</u>	Name: <u>Keenring & Sons</u>	<u>no</u>	<u>2%</u>
	Address: <u>1126 Prospect Street</u> <u>Indianapolis IN</u>		
4. <u>roofing</u>	Name: <u>Kalkreuth Roofing</u>	<u>no</u>	<u>2%</u>
	Address: <u>PO Drawer</u> <u>4399 4399</u> <u>Wheeling WV</u>		
5. _____	Name: _____	_____	_____
	Address: _____		
6. _____	Name: _____	_____	_____
	Address: _____		

** Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.

1.06 LIST OF PROPOSED SUBCONTRACTORS (Deductive Alternate - IF different from Base Bid)

The following list of proposed subcontractors is required by the Owner to be executed, completed and submitted with the Bid Form. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

<u>BRANCH OF WORK**</u> (List each major item)	<u>SUBCONTRACTOR</u>	<u>MWDBE (yes/no)</u>	<u>% of WORK</u>
1. <u>electrical</u>	Name: <u>Arroy Electric</u> Address: <u>2321 Maggard Dr.</u> <u>Lexington</u>	<u>no</u>	<u>10%</u>
2. <u>plumbing</u>	Name: <u>Wicksworth Plumbing</u> Address: <u>5439 Stewart Rd.</u> <u>Lexington</u>	<u>no</u>	<u>1%</u>
3. <u>HVAC</u>	Name: <u>Kainring & Sons</u> Address: <u>1126 Prospect St.</u> <u>Indianapolis IN</u>	<u>no</u>	<u>2%</u>
4. <u>roofing</u>	Name: <u>Kalkreuth Roofing</u> Address: <u>PO Drawer 6399</u> <u>Wheeling WV</u>	<u>no</u>	<u>2%</u>
5. _____	Name: _____ Address: _____	_____	_____
6. _____	Name: _____ Address: _____	_____	_____

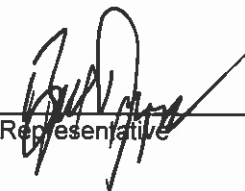
** Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.

1.07 AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

- A. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the Bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- B. That the attached Bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Advertisement for Bid, designed to limit independent bidding or competition;
- C. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the Bid or Bids, and will not be communicated to any such person, prior to the official opening of the Bid or Bids;
- D. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- E. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky _____. [Check the statement applicable.]
- F. This offer is for ninety (90) calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items Bid above, an Agreement shall thereby be created with respect to the items accepted.
- G. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- H. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

WRC1, LLC dba W. Rogers Company 09/26/2017
Company Date



Representative

1.08 STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: See Attached Resumes

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management, Affirmative Action officials, and field management personnel.
Attach separate sheets if necessary.



WARREN P. ROGERS

Education: Bachelor of Business Administration
University of Kentucky
Lexington, Kentucky
Graduated: May 1979

Employment:

Feb. 1992 - Present	President Oversee Corporate Officers who manage Estimating, Purchasing, Project Management, Accounting and Risk Management Departments. Directly supervise Corporate Safety Director. Focus on market development and strategic alliances for the long-term success of W. Rogers Company
May 1985 - Feb. 1992	Vice President
Sept. 1979 - May 1985	Project Manager
May 1979 - Sept. 1979	Superintendent
1976 - 1977	Shop Manager
1972 - 1975	Summer Field Worker

Extra-Curricular Activity

Graduate - AGC Advanced Management Program, February 1987

President of Kentuckiana Chapter of Associated Builders and Contractors (ABC) - 1991

ABC Board Member 1990 – 1992

KY Associated General Contractors (AGC) – Board Member 2003

Region 6 Trustee of Construction Legal Rights Foundation 1999 to 2002 (Region 6 includes Kentucky, Indiana, Michigan and Ohio)
ABC Affiliated

Instructor at Mayor's (Lexington) Training Program for Minority and Women's Contracting Firms, 2001 – Present

Elder – Crestwood Christian Church, 1998 to Present

Chairman of Building Committee - Crestwood Christian Church

Member - Board of Trustees and the Executive Committee of the Lexington Theological Seminary

WILLIAM BOYD ROGERS, JR.



Education:

Bourbon County High School
Paris, Kentucky
Graduated: May 1975

University of Kentucky
Attended: September 1975 to December 1976
September 1977 to May 1978
Major: Business Administration

Lexington Technological Institute
Attended: September 1978 to May 1979
Major: Engineering Technology

Employment:

W. Rogers Company
Vice President -February 1992 - Present

Duties: Duties: Responsible for procurement of new projects for the Company and directing the Estimating department staff. This includes identifying potential markets, qualifying projects for acceptability and evaluating potential Owners. Oversight of estimating staff during solicitation of projects, including MBE & WBE solicitation. Directly involved in solicitation and estimating of process equipment for all projects.

Responsible for negotiating to reduce cost on acceptable terms and arrangements with Owners and Engineers when projects are over-budget or changes are desired for other reasons.

Cooperate with and assist Project Managers when negotiating change orders on active projects with Owners and Engineers.

Assist Owners and Consulting Engineers with evaluating estimated cost, constructability issues and schedule requirements during design phase.

Oversight responsibility for Company construction equipment operations working with Company Equipment Manager to determine long term and short term equipment needs, equipment acquisitions and liquidations.

Cooperate with other Corporate Officers in determining Company policy, operations and business direction.

W. Rogers Company, 1976 - 1992

Held various positions as follows:

Purchasing Manager - 1983 to January 1992, Buyer – 1982 to 1983,
Assistant Buyer - 1980-1982, Shop Laborer – 1976 to 1980.

MICHAEL P. BAILEY



Education:

B.S., Civil Engineering
Concentration: Infrastructure Track
Virginia Polytechnic Institute & State University
Blacksburg, Virginia

Skills:

AutoCAD, Microstation, Total Station, GPS, WinEX Pro (Earth quantity takeoff software), Microsoft Office

Employment:

W. Rogers Company
Project Manager
2006 to Present

26 MGD Membrane Water Treatment Plant – Clarksville, Tennessee
Contract Amount: \$25,174,438.00 (Ongoing – Est Completion – 6/13)

Pitman Creek Wastewater Treatment Plant – Somerset, Kentucky
Contract Amount: \$12,806,972 (Ongoing – Est Completion 4/12)

Deep Springs Pumping Station – Lexington, Kentucky
Contract Amount: \$1,786,000.00 (Ongoing – Est Completion 4/12)

Turkey Creek Wastewater Treatment Plant - Knoxville, Tennessee
Contract Amount: \$17,386,480.00

Ohio County Wastewater Treatment Plant - Beaver Dam, Kentucky
Contract Amount: \$9,172,000.00

North Elkhorn Pump Station - Lexington, Kentucky
Contract Amount: \$4,006,000.00

Bee Creek Wastewater Treatment Plant - Murray, Kentucky
Contract Amount: \$1,374,000.00

Project Superintendent
August 2005 to Present
Oostanaula Wastewater Treatment Plant - Athens, Tennessee
Contract Amount: \$15,433,000.00

Project Engineer
January 2003 to August 2005
Duties include survey layout (Total Station); provide assistance to Project Superintendents on various sites with supervision of site labor and subcontractor management.

Construction Intern

Summer 2002

Duties included supplemental jobsite engineering and subcontractor monitoring for various projects including Upper Wolf Run Pump Station in Lexington, Kentucky

PDR Engineers - Lexington, Kentucky

Survey Crew

1999 to 2000

Rod man, instrument man, ran level loops for general construction, wastewater and highway surveys.

1.09 EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

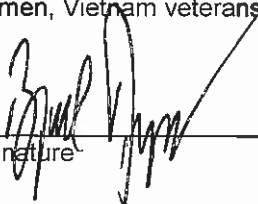
The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause an Agreement to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.



Signature

WRC1, LLC dba W. Rogers Company

Name of Business

The Entity (regardless of whether construction Contractor, non-construction Contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities - Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor - Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

In the case of an Agreement exceeding \$250,000, the Contractor will be required within seven (7) days following the Bid Opening to furnish evidence that its work-force in Kentucky is representative of the available work-force in the area from which it draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the Contract.

1.10 MWDBE SUBCONTRACTOR PARTICIPATION FORM



OMB Control No: 2090-0030
 Approved: 8/13/2013
 Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Participation Form**

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE¹ subcontractor² the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

Subcontractor Name		Project Name	
Bid/ Proposal No.	Assistance Agreement ID No. (if known)	Point of Contact	
Address			
Telephone No.		Email Address	
Prime Contractor Name		Issuing/Funding Entity:	

Contract Item Number	Description of Work Received from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Amount Received by Prime Contractor

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-2 (DBE Subcontractor Participation Form)

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Participation Form**

Please use the space below to report any concerns regarding the above EPA-funded project:

Subcontractor Signature	Print Name
Title	Date

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

EPA FORM 6100-2 (DBE Subcontractor Participation Form)

1.11 MWDBE SUBCONTRACTOR PERFORMANCE FORM



OMB Control No: 2090-0030
 Approved: 8/13/2013
 Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractors bid or proposal package.

Subcontractor Name		Project Name	
Bid/ Proposal No.	Assistance Agreement ID No. (if known)	Point of Contact	
Address			
Telephone No.		Email Address	
Prime Contractor Name		Issuing/Funding Entity:	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
DBE Certified By: <input type="checkbox"/> DOT <input type="checkbox"/> SBA <input type="checkbox"/> Other: _____		Meets/ exceeds EPA certification standards? <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Unknown

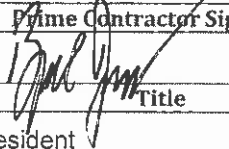
¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	Boyd Rogers
Title	Date
Vice President	09/26/2017

Subcontractor Signature	Print Name
Title	Date

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)

1.12 MWDBE SUBCONTRACTOR UTILIZATION FORM



OMB Control No: 2090-0030
 Approved: 8/13/2013
 Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE¹ subcontractors² and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name WRC1, LLC dba W. Rogers Company		Project Name Expansion Area 3 Pump Station Improvements (Contract No. 1)	
Bid/ Proposal No. 160-2017	Assistance Agreement ID No. (if known)	Point of Contact Boyd Rogers	
Address 649 Bizzell Drive, Lexington KY 40510			
Telephone No. 859-231-6290		Email Address boydr@wrogers.com	
Issuing/Funding Entity:			

I have identified potential DBE certified subcontractors	___ YES	___ NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?

Continue on back if needed

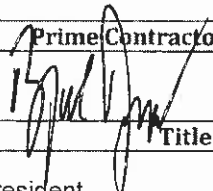
¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-4 (DBE Subcontractor Utilization Form)

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

	Prime Contractor Signature	Print Name
		Boyd Rogers
	Title	Date
Vice President		09/26/2017

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

EPA FORM 6100-4 (DBE Subcontractor Utilization Form)

1.13 MWDBE PARTICIPATION POLICY

PROJECT NAME: Expansion Area 5 Pump Station Improvements (Contract No. 1)
Lexington-Fayette Urban County Government

LFUCG BID NO. 160-2017 BID DATE: 09/26/2017

A. Name, address and telephone number of contact person on all MWDBE matters:

Prime Contractor's Name WRC1, LLC dba W. Rogers Company
Contact Person: Boyd Rogers
Address: 649 Bizzell Drive, Lexington KY 40510
Phone: 859-231-6290 Cell: _____
Email: boydr@wrogers.com
Total Contract Amount: To Be Determined by Owner

B. Total dollar amount/percent of contract of DBE participation: _____

C. Total dollar amount/percent of contract of MBE participation: _____

D. Total dollar amount/percent of contract of WBE participation: _____

E. Are certifications* for each MWDBE subcontractor enclosed; if no, please explain:

Yes No _____

F. Are MWDBE subcontracts or letters of intent signed by both parties enclosed; if no, please explain: Yes No _____

G. List of DBE Subcontractors:

Name _____
Contact Person: _____
Address: _____
Phone: _____ Cell: _____
Email: _____
Type of Contract: _____
Work to be Done: _____
Amount: _____

H. List of MBE Subcontractors:

Name _____
Contact Person: _____
Address: _____
Phone: _____ Cell: _____
Email: _____
Type of Contract: _____
Work to be Done: _____
Amount: _____

I. List of WBE Subcontractors:

Name _____
 Contact Person: _____
 Address: _____
 Phone: _____ Cell: _____
 Email: _____
 Type of Contract: _____
 Work to be Done: _____
 Amount: _____

Attach additional sheets, if necessary.

*Self-certification: Self-certification of MWDBE firms will NOT be accepted as a valid form of certification of MWDBE status.

J. Information and documentation concerning efforts taken to comply with EPA's "six good faith efforts"

1. Ensure MWDBE construction firms or material suppliers are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities; including placing MWDBEs on solicitation lists and soliciting them whenever they are potential sources. A good source for a list of MWDBEs is the Kentucky Transportation's website: <http://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/Certified-DBE-Directory.aspx>.

The prime contractor certifies that a bidders list (see example sheet below) of qualified vendors, including MWDBEs, was developed for current and future solicitations and that the list will be maintained. *Submit a copy of the list as documentation.*

2. Make information on forthcoming opportunities available to MWDBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by MWDBEs in the competitive process; including, whenever possible, posting solicitation for bids or proposals for a sufficient amount of time as to receive a competitive bid or proposal pool.

The prime contractor certifies that every opportunity was provided to a number of MWDBEs to encourage their participation in the competitive process and that an adequate amount of time was provided for response.

a. List each MWDBE construction firm or material supplier to which a solicitation was attempted. *Submit copies of letters, emails, faxes, telecommunication logs, certified mail receipts, returned envelopes, certified mail return receipts, etc. as documentation.*

Company Name and Phone Number: See Attached

Area of Work Expertise: _____

Date of any Follow-Ups and Person Spoke to: _____

b. Advertisements, if applicable: List each publication in which an announcement or notification was placed. *Submit a tear sheet of each announcement from each publication as documentation.*

Name of Publication: See Attached

Date(s) of Advertisement: _____

Specific Subcontract Areas Announced: _____

- c. Other, if applicable: List each notification method in which an announcement or outreach was used; list serve, public meeting, etc. *Submit applicable information to document effort.*

Method of Notification: See Attached

Date(s) of Notification: _____

3. Consider in the contracting process whether firms competing for large contracts could subcontract with MWDBEs; including dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by MWDBEs in the competitive process.

The prime contractor certifies that the project was broken into its basic elements (i.e. dirt hauling, landscaping, painting, pipe installation, material supplies, etc.) and that a determination was made whether it's economically feasible to bid the elements separately and that the analysis of this effort was documented with a short memo to the project file.

4. Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women's business enterprises.

The prime contractor certifies that they established delivery schedules which would allow MWDBEs to participate in the projects.

5. Use the services and assistance of the Small Business Administration (SBA) and the Minority Business Development Agency (MBDA) of the U.S. Department of Commerce. The easiest way to utilize the services of SBA and MBDA is to visit their websites: www.sba.gov and www.mbda.gov and use the electronic tools available there or you may send the nearest SBA and MBDA office a certified letter that generally describes the solicitation, the dates it will be open, the types of vendors you are seeking and applicable SIC or NAIC codes if known. You may also use the services and assistance of the Kentucky Procurement Assistance Program (KPAP). The easiest way to utilize the services of KPAP is to send an email: ced.kpap@ky.gov and provide information on forthcoming opportunities available to MWDBEs.

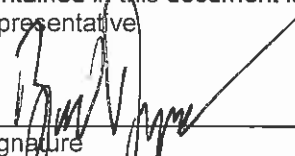
The prime contractor certifies that the assistance of the SBA, MBDA, and/or KPAP was utilized. *Submit pages printed off the SBA and MBDA websites which evidence efforts to register a solicitation on those sites or submit copies of the letter send and certified mail receipt as documentation; submit copies of emails with KPAP as documentation.*

6. If a subcontractor awards any subcontracts, require the subcontractor to take the steps in numbers 1 and 5 above.

The prime contractor certifies that subcontractors used for this project will be required to follow the steps of the "six good faith efforts" as listed above.

Signature and Date:

To the best of my knowledge and belief, all "six good faith efforts" have been met and the information contained in this document is true and correct; the document has been duly authorized by the legal representative



Signature

Boyd Rogers, Vice President

Print Name and Title

09/26/2017

Date

1.14 EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of WRC1, LLC dba W. Rogers Company
(Name of Bidder)

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

1.15 WORKFORCE ANALYSIS FORM

Name of Organization: WRC1, LLC dba W. Rogers Company

Categories	Total		White (not Hispanic or Latino)		Hispanic or Latino		Black or African-American (not Hispanic or Latino)		Native Hawaiian and other Pacific Islander (not Hispanic or Latino)		Asian (not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (not Hispanic or Latino)		Total		
	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	
Administrators	6																		
Professionals																			
Superintendents	3																		
Supervisors																			
Foremen	2																		
Technicians																			
Protective Service																			
Para-Professionals																			
Office/Clerical	4			4															
Skilled Craft	22			22															
Service/Maintenance	2			2															
Total	39	4		4															

Prepared By: Trisa Estes, HR/Payroll

Date 09 / 26 / 17

1.16 EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE UBAN COUNTY GOVERNMENT CONTRUCTION PROJECT
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: _____ To Be Forwarded _____
 Address: _____
 Project to be insured: _____

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Section 00600 - Bonds and Certifications, including all requirements, and conditions:

Article Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided to Insured	Name of Insurer	A.M. Best's	
					Code	Rating
1.05.D.1	CGL	\$1,000,000/per occ., \$2,000,000/aggregate or \$2,000,000 combined single limit Requirements (a) through (e)				
1.05.D.1	Auto	Combined single \$1,000,000/per occ. aggregate Requirements (a) through (c)				
1.05.D.1	WC	\$ _____ Statutory				
1.05.D.1	Employer's Liability	\$500,000				

Section 00600 Includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting

Agency or Brokerage _____ Name of Authorized Representative _____
 Street Address _____ Title _____
 City _____ Authorized Signature _____
 Telephone Number _____ Date _____

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: CONTRACT MAY NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THIS FORM FOR ALL COVERAGES LISTED ABOVE IS NOT PROVIDED.

1.17 DEBARRED FIRMS

PROJECT NAME: Expansion Area 3 Pump Station Improvements (Contract No. 1)

LFUCG BID NO.: 160-2017

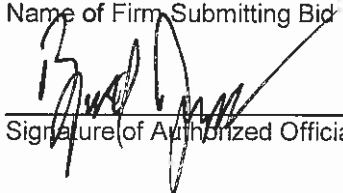
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All Bidders shall complete the Debarment Certification in duplicate and submit both copies to the Owner with the Bid Form. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development within fourteen (14) days after Bid opening.

The undersigned hereby certifies that the firm of WRC1, LLC dba W. Rogers Company has not and will not award a subcontract, in connection with any Agreement award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964, Executive Order 11246 As Amended or any Federal Law.

WRC1, LLC dba W. Rogers Company
Name of Firm Submitting Bid


Signature of Authorized Official

Vice President
Title

09/26/2017
Date

1.18 DEBARMENT CERTIFICATION

All Contractors/Subcontractors shall complete this certification.

The Contractor/Subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.
 - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c. Have not within a three (3) year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: WRC1, LLC dba W. Rogers Company

Project: Expansion Area 3 Pump Station Improvements (Contract No. 1)

Printed Name: Boyd Rogers

Title of Authorized Representative: Vice President

Signature:  _____

Date: 09/26/2017

1.19 CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

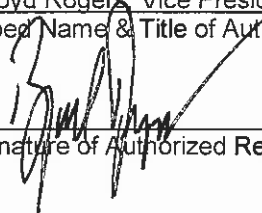
The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty for not less than \$10,000 and not more than \$100,000 for each such failure.

Boyd Rogers, Vice President

Typed Name & Title of Authorized Representative



Signature of Authorized Representative

09/26/2017

Date

____ I am unable to certify to the above statements. My explanation is attached.

1.20 BID BOND

BID BOND

Bond Number: N/A

KNOW ALL MEN BY THESE PRESENTS, that we WRC 1, LLC

as principal (the "Principal") and TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

hereinto called Surety, are held and firmly bound unto

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
200 East Main Street, Third Floor
Lexington, Kentucky 40507

as obligee (the "Obligee"), in the penal sum of FIVE PERCENT OF TOTAL BID dollars for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

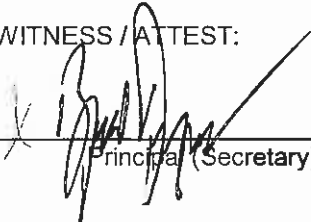
WHEREAS, the Principal has submitted a bid for EXPANSION AREA 3 PUMP STATION (CONTRACT 1)

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal within the period specified therein, or, if no period be specified, within ninety (90) days after opening, and the Principal shall enter into a contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or contract documents, or in the event of the failure of the Principal to enter into such contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference in money not to exceed the penal sum hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void; otherwise to remain in full force and effect. In no event shall the liability hereunder exceed the penal sum thereof.


PROVIDED AND SUBJECT TO THE CONDITION PRECEDENT, that any claim by Obligee under this bond must be submitted in writing by registered mail, to the attention of the Surety Law Department at the address above, within 120 days of the date of this bond. Any suit under this bond must be instituted before the expiration of one (1) year from the date of this bond. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall apply.

DATED as of this 26TH day of SEPTEMBER, 2017.

WITNESS / ATTEST:



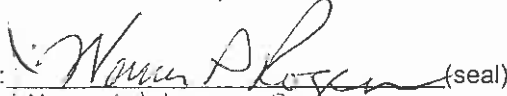
Principal (Secretary)



Surety (Secretary)

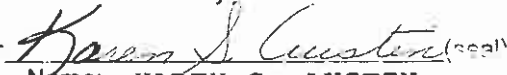
WRC 1, LLC

Principal

By:  (seal)
Name: * Warren Roges
Title: * President

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

Surety

By:  (seal)
Name: KAREN S. AUSTIN
Title: ATTORNEY-IN-FACT AND RESIDENT KENTUCKY AGENT



POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company

Attorney-In Fact No. 217872

Certificate No. 006290075

KNOW ALL MEN BY THESE PRESENTS: That Farmington Casualty Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company are corporations duly organized under the laws of the State of Connecticut, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Karen S. Auslin, and Linda L. Hogle

of the City of Fort Thomas, State of Kentucky, their true and lawful Attorney(s)-in-Fact, each in their separate capacity if more than one is named above, to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed and their corporate seals to be hereto affixed, this 27th day of July, 2015.

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company



State of Connecticut
City of Hartford ss.

By: [Signature]
Robert L. Raney, Senior Vice President

On this the 27th day of July, 2015, before me personally appeared Robert L. Raney, who acknowledged himself to be the Senior Vice President of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

In Witness Whereof, I hereunto set my hand and official seal. My Commission expires the 30th day of June, 2016.



[Signature]
Marie C. Tetreault, Notary Public

EA3 Pump Station (Contract No. 1) Equipment Manufacturer (circle one) – Bid Basis	
Solids Handling Submersible Pumps	ABS Flygt <u>KSB</u>
Mechanical Bar Screens	Headworks <u>Huber Technology</u> Duperon
Screenings Compactors	Headworks <u>Huber Technology</u> Duperon
Screening Conveyors	Headworks <u>Huber Technology</u> Duperon
Sump Pumps	<u>Myers</u> Flygt Hydromatic Zoeller
Odor Control Absorber	<u>ECS Environmental Solutions/Calgon</u> Daniel Company Pure Air Filtration
Odor Control Chemical Feed System	<u>Evoqua</u>
Check Valves	<u>Apco</u> Golden Anderson Val-matic
Modulating Plug Valve	<u>Dezurik</u> Golden Anderson Henry Pratt
Slide/Sluice Gates	<u>Aquanox</u> Waterman Golden Harvest
Electric Actuators	Limitorque <u>Auma</u> EIM
Generator	Generac Caterpillar Cummins/ONAN MTU/Detroit Diesel <u>Kohler</u>
Level Transmitters	<u>Endress+Hauser</u> Foxboro Siemens Yokogawa
VFDs	Square D <u>Eaton</u> <u>Allen Bradley</u>
SCADA PLC's	<u>Allen Bradley Compactlogix</u>
Motor Control Centers	Square D <u>Cutler Hammer</u> <u>Allen Bradley</u>

MWDBE Documentation

W Rogers Company

LFUCG Expansion Area 3 Pump Station – Lexington KY

Index:

- 1: Solicitation List
- 2: Original Solicitation and Advertisements
- 3: Responsive Bidders & Correspondence
- 4: Quotes Received with EPA Forms

Address 2	City	State/ Pro Zip	Phone	PhoneExt	Fax	Commodity	Special Classifications	First Name	Last Name	Email
	Painisville	KY 41240	6063710283			Construction	SBE, WBE	Denise	Preece	denise@groundssolutionsllc.com
	Lexington	KY 40509	8595396549			Construction	VOSB	Clayton	Nieman	clay@53ca.com
g 2	Dayton	OH 45402	9374284094	1347	9372916549	Construction	SBE, MBE	Rosie	Grady	rosie_ogrady@7ntang.com
g 2	Eighty Four	PA 15330	7242284094	1347	87734420780	Construction	WBE	Amy	Criss	amy.criss@84lumber.biz
	Louisville	KY 40204	5023159161		87734420780	Construction	WBE	Dannian	Florian	FlorianD@84Lumber.com
	Louisville	KY 40204	5023159161		5025898242	Construction	SBE, MBE, VOSB, SDVOSB, HUD	Michael	Lethon	mlethon@aaxcong.com
	Louisville	KY 40213	5029621102		5025898242	Construction	SBE, MBE, DBE, VOSB, SDVOSB, HUD	James	Strange	James@beclou.com
	Nicholasville	KY 40356	8593548657		5029621102	Construction	SBE, WBE	Brandon	Graham	Bgraham198422@gmail.com
r Drive	West Chester	OH 45069	5138744695		5138744694	Construction	SBE, WBE, HUD	Joseph	Jackson	jackjoe.battiereconstruction@gmail.com
	Lexington	KY 40504	8592310069		8592816457	Construction	SBE, MBE, HUD	Mark	Johnson	bonnys@bluegrasscontracting.com
	Elizabethtown	KY 40701	8007321020		2707657570	Construction	VOSB	John	Joest	jjjoest@capricdrilling.com
	Mayslick	KY 41055	6063560047			Construction	WBE	Jessica	Caskey	jeseca.caskey1980@gmail.com
	Elburn	IL 60119	6303135784		6303135788	Construction	SBE, VOSB	Daniel	Brodie	librodie13@gmail.com
at STE #220	Winchester	KY 40391	8597451377		8597451391	Construction	SBE, MBE, DBE	Lynne	Clem	admin@cllemsplumbing.com
ck Road	Lexington	KY 40513	859254604	4604	8592313754	Construction	WBE	Clarence	Horne	shorine@uborahballreality.com
	North Charleston	KY 40741-882	8565826695		8778112523	Construction	SBE, WBE, HUD	Michael	Jones	east80corporation@gmail.com
	Lexington	KY 40502	8595292595			Construction	SBE, MBE, DBE, VOSB	Edward	Smith	esmith@ecccpc.com
	Lexington	KY 40509	8592211563		8595592595	Construction	SBE, MBE, DBE	Bishop	Davis	addledavis@aol.com
oad Pike	Lexington	KY 40516	852945002		8592945003	Construction	DBE	Jennifer	Carrier	bishop.carrier@elaineallenllc.com
uite 550	Lexington	KY 40504	8592552236		85924995894	Construction	DBE	Fletcher	Gabbard	environmentallandscape@windstream.net
	Carlsile	KY 40311	8593615955		85924995894	Construction	DBE	Edward	Curran	fletcher@freecontracting.com
	Houston	TX 77036	7135413530			Construction	SBE, MBE, DBE	Raj	Tanwani	currande@aol.com
	Drumright	OK 74030	9183523479			Construction	SBE, MBE, DBE	Talan	Weaver	tanwani@lundacorp.com
	Shepherdsville	KY 40165	5024921879		5025431620	Construction	SBE, WBE	Jeffrey	Bryan	talan@hausners.com
	Louisville	KY 40272	5029335900		5029330501	Construction	SBE, MBE, DBE	Harold	Valentina	hoeworz@hotmail.com
	Louisville	KY 40299	5024991133		5024995894	Construction	WBE	Ryan	Sharp	harold.valentine@thbentlerprises.com
	Lexington	KY 40509	8593680145	104	8599041538	Construction	SBE, MBE, DBE	Katharine	Penitcost	sdaugherly@doorsequipmentco.com
	San Diego	CA 92109	8584673151		8584673151	Construction	SBE	Antonio	Ruiz	katieph@int-engineering.com
	Lexington	KY 40507	8596086594			Construction	SBE, MBE, DBE	Lisa	Davis	aruz@matinfo.com
	Hillsboro	OH 45133	9379317779		8592816850	Construction	SBE, WBE	Allen	Leminger	JTDavisKY@gmail.com
	Lexington	KY 40507	8592816843			Construction	SBE, MBE, DBE, HUD	Jaime	Carter	leiningerk@sbeglobal.net
	Lexington	KY 40505	8593038031			Construction	VOSB	Shawn	Rooney	aclearcontracting@aol.com
	Lexington	KY 40505	8593038031			Construction	VOSB	Shawn	Broadus	jroney@lmrky.com
	Lexington	KY 40505	8593038031			Construction	VOSB	Ryan	Brown	sbroadus@lmrky.com
	Lexington	KY 40511	2703080404			Construction	VOSB	Garey	Black	rbrown@lmrky.com
	Booneville	KY 40213	5023753701			Construction	SBE, MBE, DBE	Frederick	Bradley	black@lmrky.com
	Lexington	KY 41314	6062857262		5023753703	Construction	SBE, MBE, DBE	Willie	Frempong	brads5@hotmail.com
	Lexington	KY 40511	8592763488		6062857262	Construction	SBE, SDVOSB	Keith	Griffith	joe@martinconc.com
	Covington	KY 41011	8599571277		8592778442	Construction	MBE, DBE	Ramona	Jones	middleforkcontractingllc@gmail.com
Suite 201	Louisville	KY 40223	5029313638		8599571280	Construction	MBE	Michelle	Hall	Xbjngmt@aol.com
Suite 201	Louisville	KY 40205	5029313638		5024427394	Construction	SBE, WBE, DBE	Phillip	Shoulders	rostendorf@moodynolan.com
	Louisville	KY 40218	5029957727		5024427394	Construction	SBE, WBE, DBE	Angela	Wilson	robin@newtowneconsultants.com
	Louisville	KY 40218	502799546		8663283686	Construction	MBE	Scott	Markis	michele@newtowneconsultants.com
	Lexington	KY 40524	5028682240			Construction	SBE, VOSB	Jey	Shells	phoenizpoolplaster@gmail.com
41 S.	Lawrenceburg	KY 40432	8595238913		5028682247	Construction	SBE, VOSB	Scott	Wilson	ploneer@prouse@man.com
	Clay	KY 42404	6155224457			Construction	WBE	Sean	Edwards	swilson@psi-ky.com
	Lexington	KY 40507	8592260083		6155224457	Construction	WBE	Fred	Schaefer	pleasantmarkstrucking@gmail.com
	Lawrenceburg	AL 40342	5026801047		8597213344	Construction	SBE, MBE, DBE	Tina	Agnew	scotc@prideindinc.com
	Louisville	KY 40213	50295697534		5026801047	Construction	SBE, WBE	Tara	Walse	sdcmg@gmail.com
ne	Lexington	KY 40511	8592528839		50295697534	Construction	SDVOSB	Angela	Brinkmoeller	tagnew@schmelcontractors.com
	Berea	KY 40403	8593586386		8592352989	Construction	SBE	Steve	Walse	tarab@rampartelinc.com
ssional Cen	Newark	DE 19711-325	3153087852			Construction	SBE	Garfield	Tucker	Jensabaugh@windstream.net
ilcom	Lexington	KY 40508	8592277833		8592277833	Construction	MBE, DBE	Donnie	Sewell, Sr.	garfield.jewell54@gmail.com
re	Nicholasville	KY 40336	8775614957			Construction	DBE	Jason	Baldassari	dfic@spectrumcontract.com
	Los Angeles	CA 90230	6263305503		6263305503	Construction	SBE, WBE	Mark	Dodds	jason@spohnranch.com
	Nasville	TN 37203	6152264900		5022903840	Construction	VOSB	Rose </td <td>Simola</td> <td>mark@stringfellow.biz</td>	Simola	mark@stringfellow.biz
wy	Beaville	TX 78102	3613431443			Construction	SBE, WBE	Teri	Collins	rose@therosereport.com
	Lexington	KY 40507	8592583328			Construction	SBE, MBE, WBE, DBE, VOSB, SDVOSB, Hi Todd	ben	Lurpin	tslatin@gmail.com
ck	richmond	KY 40503	8594252332			Construction	MBE	Teri	Collins	blurpin@leungonky.gov
	Evansville	IN 47725	8004303417	101	2706814817	Construction	MBE, WBE	Teri	Collins	teri.collins@yoshisupply.com
	Lexington	KY 40509	5026032045		8593094937	Construction	SBE, MBE, DBE, HUD	Marvin	Young	myoung@myfcd.com

Address1	Address2	City	State	Zip	Phone	Phone Ext	Fax	Fax Ext	Commodity	Special Classifications	First Name	Last Name	Email
dress1 Street		Dayton	OH	45402	9374353200		9377916549		Water and Wastewater T SBE, MBE		Rosie	O'Grady	rosie.ogrady@7nteng.com
Age Street	Suite 204	Huntsville	AL	35806	2564303366				Water and Wastewater T		Robert	Grob	rgrob@idexcorp.com
Spring Circle		Louisville	KY	40220	5027755741		5027755742		Water and Wastewater T SBE, MBE, DBE		Rick	Storm	rstorm@bryant-engrs.com
4/A DRIVE		LEXINGTON	KY	40504	8592784280		8594022396		Water and Wastewater T SBE, WBE, DBE		BRENDAN	TIGHE	Brendan.tighe24@gmail.com
t		Winchester	KY	40391	8598934640				Water and Wastewater T		Zachary	Stamfield	zaktheinstaller@gmail.com
Circle		Georgetown	KY	40324	5025705252				Water and Wastewater T SBE		Woody	Deters	wdeters@bluegrassstrucks.com
Circle		Georgetown	KY	40324	5025705252				Water and Wastewater T SBE		Doug	Jones	djones@bluegrassstrucks.com
5		Prospect	KY	40059	5027413805				Water and Wastewater T WBE		Theresa	Bascom	tbascom@brownfieldsenv.com
reet		Peru	IL	61354	8004356856	6503	8132246697		Water and Wastewater T WBE		Samantha	Pumo	bids@caruscorporation.com
ifes Blvd	115 Gleneagles Blvd	Richmond	KY	40475	8596216751				Water and Wastewater T SBE, SDVOSB		Bob	Soffel	bob@ces-criticalenergysolutions.com
ne Drive		Nashville	TN	37210	6152327040		6152327005		Water and Wastewater T SBE, MBE, WBE, DBE		DaShaunda	Turner	dturner@devmtrproducts.com
le Creek Road	STE 500	London	KY	40741-8820	8665826955		8778125323		Water and Wastewater T SBE, MBE, HUD		Michael	Jones	east80corporation@gmail.com
land Walk		North Charleston	SC	29420	8436707677				Water and Wastewater T SBE, MBE, DBE, VOSB		Clifford	Smith	csmith@eccorp.com
arket Street	Suite 304	Louisville	KY	40202	5029923194				Water and Wastewater T SBE, MBE, DBE		Ryan	Holmes	rholmes@ehiconsultants.com
VE		Greeley	CO	80634	9703463900				Water and Wastewater T SBE, VOSB		Jerry	Konst	jkonst@envirotechservices.com
/iew Dr.		Atlanta	GA	30339	3280393471	231	8663153950		Water and Wastewater T SBE		David	Taylor	David.Taylor@epochchemicals.com
d'Eau		Montreal	QC	H1P 3B8	5143268852				Water and Wastewater T SBE		John	Martelli	johnm@ereinc.com
1st Street		Shelbyville	KY	40065	5026333939				Water and Wastewater T WBE		Kris	Smith	ksmith@evergreenaes.com
ankfort Pike		Lexington	KY	40504	8592522395		8592590458		Water and Wastewater T DBE		Fletcher	Gabbard	fletcher@frecontracting.com
/ Creek Way		Noblesville	IN	46060	8553739776		8553739329		Water and Wastewater T SBE		Kim	Imbro	kimbro@fewprocess.com
e Street		Lexington	KY	40507	8592318666		8592549070		Water and Wastewater T SBE, MBE, WBE		Connie	Miller	nancy@groupscj.com
oute 125		Bethel	OH	45106	5137342327		5137343162		Water and Wastewater T MBE		Dan	Gullett	dangullett@fuse.net
on Road	Suite D	richmond	KY	40475	8595091679		8593535293		Water and Wastewater T SBE, MBE, WBE		charles	happy	charles.happy42@gmail.com
St #300		Lexington	KY	40511	6192803278		6196775648		Water and Wastewater T SBE, SDVOSB		Joe	Harvey	joeh@bosdy.com
ollege Avenue		Indianapolis	IN	46202	3176856600		3176856610		Water and Wastewater T SBE, SDVOSB		Johnathon	Davis	JTDavisKY@gmail.com
ild Rd, Suite 1		Chesterland	OH	44026	4407293034		4407298238		Water and Wastewater T SBE, MBE, DBE		Michael	Kasnick	mikasnick@keramida.com
5		Hillsboro	OH	45133	9579931779				Water and Wastewater T WBE		Jill	Leininger	Jill@LACCOonline.com
ne Street Suite		Lexington	KY	40507	8592816881		8592816850		Water and Wastewater T SBE, WBE		Lisa	Peasley	leiningerlic@boglob.net
in Luther King B		Lexington	KY	40508	8592525222		8592525222		Water and Wastewater T SBE, WBE		Allen	Lyons	alexcontracting@aol.com
15		Lexington	KY	40524	5028682240		5028682247		Water and Wastewater T VOSB		Heather	Wilson	hlyons@jaslex.org
plar Street		Harrodsburg	KY	40330	8593211911				Water and Wastewater T SBE, WBE, VOSB		Scott	Allen	swilson@pi-ky.com
le Rd.	Ste. 201	Lexington	KY	40504	8599776640		8599776644		Water and Wastewater T SBE, MBE, WBE, DBE		Connie	Willder	connie@saltrveng.com
r Road		Lexington	KY	40511	8592318936		8592318997		Water and Wastewater T SBE, WBE		Karen	Thompson	karent@smithmanage.com
14th	Suite A	Houston	TX	77092	7136800482		7136801017		Water and Wastewater T SBE, MBE, VOSB		Tom	Godbey	tomgodbey@standardcement.com
St		Nashville	TN	37203	6152764900		5022903840		Water and Wastewater T VOSB		Mark	Dodds	mark@stringfellow.biz
nfort pk		richmond	KY	40503	8954252232				Water and Wastewater T MBE		ben	turpin	bturpin@lexingtonky.gov
ter Dr. Suite #2		Santa Ana	CA	92705	7145643494				Water and Wastewater T SBE, MBE, DBE		Sheila	Walldor	sheila@uscubed.com
ington Fwy S.		Bloomington	MIN	55420	9526419004				Water and Wastewater T SBE, WBE		sally	Walldor	sallywalldor@wrrvalves.com
g Drive	Ste 6	Evansville	IN	47725	8004301417	101	2706814817		Water and Wastewater T MBE, WBE		Teri	Collins	teri.collins@yoshisupply.com
erry Court		Lexington	KY	40509	5026032045		8593094397		Water and Wastewater T SBE, MBE, DBE, HUD		Marvin	Young	myoung@myyfd.com

Company	Address1	City	State	Zip	Contact	Title	Phone	Fax	Email
Lestlar Mineral Development Inc.	1109 Versailles Rd #500	Lexington	KY	40508	Lester Boyd	President	Phone 859-608-9926	Fax 859-253-1036	lester@unip34.com
G & G PAVING AND CONSTRUCTION INC	3136 BRACKTOWN RD	Lexington	KY	40511	MARVIN	PRESIDENT	Phone 859-255-6029	Fax 859-233-2365	gspaving@insightbb.com
Lexington Contracting LLC	1500 Leestown Rd	Lexington	KY	40511	Allen Carter	President	Phone 859-281-6881	Fax 859-281-6850	ACLEXCONTRACTING@AOL.COM
Sallie's Wholesale & Construction, Inc	1169 Dresden Drive	Mansfield	OH	44905	Sallie J. Sylvester	Owner/CEO	Phone 419-524-7973	Fax 419-524-7974	sallie_s@sallieswholesale.com
TAS Development LLC	1450 North Broadway	Lexington	KY	40505	Emmanuel Smith	CEO	Phone 859-433-7181	Fax 859-231-0178	esmith5136@sbcglobal.net
Thoroughbred Mowing & Ag Services	671 Burgess Smith Rd	Sadieville	KY	40370	Levi Courtney	registered agent Vice President of	Phone 502-370-5535	Fax 502-857-2026	thoroughbredag@yahoo.com
Triton Services Inc	8162 Duke Blvd	Mason	OH	45040	Richard Schock	Sales & Marketing	Phone 513-679-6800	Fax 513-679-6807	rschock@triton-services-inc.com
River City Controls, Inc	753 Tracy Lynn Lane	Lebanon Junction	KY	40150	Lisa Bailey	President	Phone 502-543-8007	Fax 502-543-2857	lcb@dlistmail.net
SJ Earth Boring	P.O. Box 28	Salvisa	KY	40372	Sheila Jo Burke	Owner/CEO	Phone: 859-865-2063		sjeburke@wildblue.net
Affordable Building Supplies, LLC	55 South Slate Ave., Suite 201	Indianapolis	IN	46201	Keith Payne	Managing Member	Phone 317-636-0445		info@affordablebuildingsupplies.com
C.A. Fulkerson, LLC	P.O. Box 336	Lanesville	IN	47136	Carol Fulkerson	President	Phone 812-852-1777	Fax 812-952-1770	cafulkersonllc@aol.com
Cedar Valley Seeding	851 Redmon Road	Pans	KY	40361	Susan Redmon	President	Phone 659-987-1497		cvseeding@wildblue.net
Tom Levy & Associates, Inc	1608 W. Broadway	Louisville	KY	40203-3518	Tom Levy	Owner/CEO	Phone 502-566-3030	Fax 502-566-3035	tl@tomlevyva.com, tlevy2209@aol.com

Dugan McDermott

From: Dugan McDermott
Sent: Tuesday, August 29, 2017 8:29 AM
To: Dugan McDermott
Subject: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid
Attachments: 20170829071807425.pdf

Good Morning,

Please find attached Invitation to bid for the Expansion Area 3 Pump Station (contract 1) project.

The Bid date is September 21, 2017 @ 2:00 pm. For instructions and project details please see attached letter. Plans and Specs are available upon request. All scopes and bids must be accompanied by the attached EPA forms.

Please let us know if you have any project specific questions.

Looking forward to hearing from you.

Regards,

Dugan McDermott

W. Rogers Company, LLC.

649 Bizzell Drive, Lexington, KY 40510

859.231.6290 Office Main

859.231.6296 Fax

859.410.7221 Direct

Email: duganm@wrogers.com

Website: www.wrogers.com



W. ROGERS COMPANY

P.O Box 11640
649 Bizzell Drive, Lexington KY 40510
O: (859) 231-6290
F: (859) 231-6296



INVITATION TO BID

Project Name: Expansion Area 3 Pump Station (Contract 1)
Owner: City of Lexington
Location: Lexington, KY.
Bid Date: September 21, 2017 @ 2:00 PM

Brief Description of the Project:

The above referenced project generally consists of constructing a Class A Pumping Station with mechanical screens, screen compactors, four (4) submersible pumps, odor control equipment, A building with screen room, dumpster room, odor control room, electrical room, and emergency generator. Also included in this project is approximately 220 LF of 24" Force Main and 660 LF of 10" Gravity Sanitary sewer.

Bid Documents and Instructions to Suppliers and Subcontractors

Plans and Specifications may be reviewed at our office. Please notify our office and we can make them available to you upon your arrival. If you wish to receive digital copies of the bid documents they can be provided upon request.

The scope of supply should be received in our office no later than 24 hours prior to bid. Final pricing should be received a minimum of two (2) hours prior to bid time. Scopes and final pricing may be faxed to (859) 231-6296 or emailed to duganm@wrogers.com

Opportunities for Supply and Subcontract Include but Are Not Limited To:

Reinforcing Steel Placement
Erosion Control
Building Trades
Electrical Construction
Fencing / Landscaping
Asphalt / Curb & Gutter
Concrete Supply

Yard Pipe & Fittings
HVAC / Plumbing
Masonry
Misc. Metals
Earth Hauling / Excavating
Aggregate Supply
Blasting

Should any of the scopes of work be too large for your company to consider in its entirety, we will consider dividing the work into a more manageable size while still giving your firm due consideration. We request that you respond promptly whether you have any interest in this project using the form provided below. Should you have any project specific questions, please direct them to Dugan McDermott via the office number or email listed above. Thank you for your consideration and we look forward to hearing from you.

Please Complete the Following Form and Return Via Fax Number Listed Above:

Company Name _____
Primary Contact _____ Bidding: Yes ___ No ___
Email Address: _____
Phone: _____ Fax: _____

Would you like to continue to receive invitations to participate in upcoming opportunities from W Rogers Company? Yes ___ No ___

This transmittal is confidential and intended solely and exclusively for the individual identified above. If the recipient of this transmittal is not the identified above, please immediately notify the sender by telephone and delete this transmittal; please do not read, copy, distribute, or disseminate this transmittal.

1.11 MWDBE SUBCONTRACTOR PERFORMANCE FORM



OMB Control No: 2090-0030
 Approved: 8/13/2013
 Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractors bid or proposal package.

Subcontractor Name		Project Name	
Bid/ Proposal No.	Assistance Agreement ID No. (if known)	Point of Contact	
Address			
Telephone No.		Email Address	
Prime Contractor Name		Issuing/Funding Entity:	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
DBE Certified By: <input type="checkbox"/> DOT <input type="checkbox"/> SBA <input type="checkbox"/> Other: _____		Meets/ exceeds EPA certification standards? <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Unknown

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
Title	Date

Subcontractor Signature	Print Name
Title	Date

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)

Display Solicitation Record

Your solicitation has been entered in SUB-Net database. Thank you very much!

Prime's Name: **W Rogers Company**

Contact: **Dugan McDermott**

Address 1: **649 Bizzell Drive**

Address 2:

City: **LEXINGTON** State: **KY**

Zip: **40510** Zip+4:

Phone: **859-410-7221**

Fax: **859-231-6296**

Email: **Duganm@wrogers.com**

Seeking: • **US Department Of Transportation Disadvantaged Business Enterprise (DBE)**
• **Small Disadvantaged Business (SDB)**
• **Women Owned Small Business (WOSB)**
• **Veteran Owned Small Business (VOSB)**
• **Service-Disabled Veteran-Owned Small Business(SD-VOSB)**

Description: **INVITATION TO BID Project Name: Expansion Area 3 Pump Station (Contract 1) Owner: City of Lexington Location: Lexington, KY. Bid Date: September 21, 2017 @ 2:00 PM Brief Description of the Project: The above referenced project generally consists of constructing a Class A Pumping Station with mechanical screens, screen compactors, four (4) submersible pumps, odor control equipment, A building with screen room, dumpster room, odor control room, electrical room, and emergency generator. Also included in this project is approximately 220 LF of 24" Force Main and 660 LF of 10" Gravity Sanitary sewer. Bid Documents and Instructions to Suppliers and Subcontractors Plans and Specifications may be reviewed at our office. Please notify our office and we can make them available to you upon your arrival. If you wish to receive digital copies of the bid documents they can be provided upon request. The scope of supply should be received in our office no later than 24 hours prior to bid. Final pricing should be received a minimum of two (2) hours prior to bid time. Scopes and final pricing may be faxed to (859) 231-6296 or emailed to duganm@wrogers.com Opportunities for Supply and Subcontract Include but Are Not Limited To: Reinforcing Steel Placement Yard Pipe & Fittings Erosion Control HVAC / Plumbing Building Trades Masonry Electrical Construction Misc. Metals Fencing / Landscaping Earth Hauling / Excavating Asphalt / Curb & Gutter Aggregate Supply Concrete Supply Blasting Should any of the scopes of work be too large for your company to consider in its entirety, we will consider dividing the work into a more manageable size while still giving your firm due consideration. We request that you respond promptly whether you have any interest in this project using the form provided below. Should you have any project specific questions, please direct them to Dugan McDermott via the office number or email listed above.**

Thank you for your consideration and we look forward to hearing from you.

NAICS Code: **238110**
238140
238160
238190
238210
238220
238310
238320
238910
238990

Place of performance: **KY - Kentucky**

Prime Sol. Number:

Subcontract Sol. Number: **LFUCG92117**

Bid Closing Date: **9/21/2017**

Time: **1:00 PM EDT**

[Back](#)

This listing is from the SBA's **PRODUCTION** environment.

Window URL: https://eweb1.sba.gov/subnet/update/profiles/dsp_company_entry_form.cfm

Data region URL: https://eweb1.sba.gov/subnet/search/dsp_detailed_bld_record.cfm?bid_seq_num=46868&extra_msg=Y

Dugan McDermott

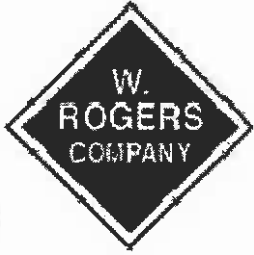
From: Dugan McDermott
Sent: Monday, September 25, 2017 12:58 PM
To: 'Kimberly Imbro'
Subject: RE: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid

Thank you for the proposal,
If applicable, please fill out a copy of the EPA forms that were attached in my original email.

Regards,

Dugan McDermott

W. Rogers Company, LLC.
649 Bizzell Drive, Lexington, KY 40510
859.231.6290 Office Main
859.231.6296 Fax
859.410.7221 Direct
Email: duganm@wrogers.com
Website: www.wrogers.com



From: Kimberly Imbro [mailto:KImbro@frewprocess.com]
Sent: Monday, September 25, 2017 12:41 PM
To: Dugan McDermott <duganm@wrogers.com>
Subject: RE: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid

Hi Dugan,

I was out for vacation and have been here for the last week and a half on my own while others are in training. I was told this bids tomorrow. I sent the quote in first email and forgot to attached the data sheets.

Thank you

Kimberly Imbro

Sales Associate

KImbro@frewprocess.com • 317-565-5012 (O) • www.FrewProcess.com
15305 Stony Creek Way • Noblesville • IN • 46060 • United States



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From: Dugan McDermott [<mailto:duganm@wrogers.com>]
Sent: Tuesday, August 29, 2017 8:29 AM
To: Dugan McDermott <duganm@wrogers.com>
Subject: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid

Good Morning,

Please find attached Invitation to bid for the Expansion Area 3 Pump Station (contract 1) project.

The Bid date is September 21, 2017 @ 2:00 pm. For instructions and project details please see attached letter. Plans and Specs are available upon request. All scopes and bids must be accompanied by the attached EPA forms.

Please let us know if you have any project specific questions.

Looking forward to hearing from you.

Regards,

Dugan McDermott

W. Rogers Company, LLC.

649 Bizzell Drive, Lexington, KY 40510

859.231.6290 Office Main

859.231.6296 Fax

859.410.7221 Direct

Email: duganm@wrogers.com

Website: www.wrogers.com



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Dugan McDermott

From: Matt Gamlin <matt@mckinneypainting.com>
Sent: Friday, September 22, 2017 12:13 PM
To: Dugan McDermott
Subject: RE: Expansion Area 3 Pump Station Contract 1

No sir.

Matthew Gamlin
Vice President / Industrial Division Specialist
McKinney Painting Inc.
"A Womens Business Enterprise"
Offices in Versailles, KY and Sellersburg, Indiana
800-928-6424 Ext. 129 and 859-983-4515

From: Dugan McDermott [mailto:duganm@wrogers.com]
Sent: Friday, September 22, 2017 9:42 AM
To: Matt Gamlin <matt@mckinneypainting.com>
Subject: Expansion Area 3 Pump Station Contract 1

Matt,
Are you guys quoting the Area Expansion 3 Pump Station project bidding on the 26th?

Regards,

Dugan McDermott

W. Rogers Company, LLC.
649 Bizzell Drive, Lexington, KY 40510
859.231.6290 Office Main
859.231.6296 Fax
859.410.7221 Direct
Email: duganm@wrogers.com
Website: www.wrogers.com



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Dugan McDermott

From: Tanner McKenzie <tannerm@bluegrasscontracting.com>
Sent: Monday, September 18, 2017 12:15 PM
To: Dugan McDermott
Subject: RE: [FWD: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid]

Dugan:

We will not be submitting a quote for this project. Thanks for sending it over to us, we appreciate the opportunity.

Thanks,

Tanner McKenzie, EIT

Bluegrass Contracting Corporation

1075 Red Mile Road

Lexington, KY 40504

Office: (859) 231-0069

Mobile: (606) 207-5017

tannerm@bluegrasscontracting.com

From: Dugan McDermott [mailto:duganm@wrogers.com]
Sent: Tuesday, August 29, 2017 8:51 AM
To: Tanner McKenzie <tannerm@bluegrasscontracting.com>
Subject: RE: [FWD: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid]

Tanner,

I will send over a sharefile link. Thank you for your interest. Let me know if you have any questions.

Regards,

Dugan McDermott

W. Rogers Company, LLC.

649 Bizzell Drive, Lexington, KY 40510

859.231.6290 Office Main

859.231.6296 Fax

859.410.7221 Direct

Email: duganm@wrogers.com

Website: www.wrogers.com



From: Tanner McKenzie [<mailto:tannerm@bluegrasscontracting.com>]
Sent: Tuesday, August 29, 2017 8:47 AM
To: Dugan McDermott <duganm@wrogers.com>
Subject: RE: [FWD: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid]

Dugan:

Can you send me a copy of the plans and specs on this project?

Thanks,

Tanner McKenzie, EIT

Bluegrass Contracting Corporation
1075 Red Mile Road
Lexington, KY 40504
Office: (859) 231-0069
Mobile: (606) 207-5017
tannerm@bluegrasscontracting.com

----- Original Message -----

Subject: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid
From: Dugan McDermott <duganm@wrogers.com>
Date: Tue, August 29, 2017 8:28 am
To: Dugan McDermott <duganm@wrogers.com>

Good Morning,

Please find attached Invitation to bid for the Expansion Area 3 Pump Station (contract 1) project.

The Bid date is September 21, 2017 @ 2:00 pm. For instructions and project details please see attached letter. Plans and Specs are available upon request. All scopes and bids must be accompanied by the attached EPA forms.

Please let us know if you have any project specific questions.

Looking forward to hearing from you.

Regards,

Dugan McDermott

W. Rogers Company, LLC.
649 Bizzell Drive, Lexington, KY 40510
859.231.6290 Office Main
859.231.6296 Fax
859.410.7221 Direct
Email: duganm@wrogers.com
Website: www.wrogers.com



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Dugan McDermott

From: Dugan McDermott
Sent: Thursday, September 7, 2017 1:41 PM
To: 'office@bison-services.com'
Subject: EA3 Pump Station Project Invitation to bid
Attachments: 20170829071807425.pdf

Good Afternoon,

We are bidding a project in Lexington on September, 21. The project generally consists of a large pump station which includes an HVAC scope. I was hoping you may be interested in taking a look and putting together a proposal for us. Please let us know if you need digital bid documents or if you have any project specific questions.

Regards,

Dugan McDermott

W. Rogers Company, LLC.
649 Bizzell Drive, Lexington, KY 40510
859.231.6290 Office Main
859.231.6296 Fax
859.410.7221 Direct
Email: duganm@wrogers.com
Website: www.wrogers.com



Dugan McDermott

From: Dugan McDermott
Sent: Tuesday, September 5, 2017 1:56 PM
To: 'Pleasant Marks'
Subject: RE: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid

Jey,

I will put together a scope with quantities for you to price by the end of the week.

Please let me know if you have any further questions.

Do you have a good disposal site for excess soil material?

Regards,

Dugan McDermott

W. Rogers Company, LLC.

649 Bizzell Drive, Lexington, KY 40510

859.231.6290 Office Main

859.231.6296 Fax

859.410.7221 Direct

Email: duganm@wrogers.com

Website: www.wrogers.com



From: Pleasant Marks [<mailto:pleasantmarkstrucking@gmail.com>]
Sent: Tuesday, September 5, 2017 1:50 PM
To: Dugan McDermott <duganm@wrogers.com>
Subject: Re: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid

Mr. McDermott,

I am interested in offering a bid for the hauling of stone and removal of dirt for the job. But would estimates (Tonnage of Rock needed / Square Ft. of earth to remove and Haul) in order to bid this for you.

Thank you for your time,

-Jey

On Tue, Aug 29, 2017 at 8:28 AM, Dugan McDermott <duganm@wrogers.com> wrote:

Good Morning,

Please find attached Invitation to bid for the Expansion Area 3 Pump Station (contract 1) project.

The Bid date is September 21, 2017 @ 2:00 pm. For instructions and project details please see attached letter. Plans and Specs are available upon request. All scopes and bids must be accompanied by the attached EPA forms.

Please let us know if you have any project specific questions.

Looking forward to hearing from you.

Regards,

Dugan McDermott

W. Rogers Company, LLC.

649 Bizzell Drive, Lexington, KY 40510

859.231.6290 Office Main

859.231.6296 Fax

859.410.7221 Direct

Email: duganm@wrogers.com

Website: www.wrogers.com



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Pleasant Marks Group

Dugan McDermott

From: Talan Weaver <talan@hausners.com>
Sent: Tuesday, August 29, 2017 1:02 PM
To: Dugan McDermott
Subject: RE: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid

We will be declining, thank you.

Talan Weaver
Hausners Precast
918-352-3479

From: Dugan McDermott [mailto:duganm@wrogers.com]
Sent: Tuesday, August 29, 2017 7:29 AM
To: Dugan McDermott <duganm@wrogers.com>
Subject: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid

Good Morning,

Please find attached Invitation to bid for the Expansion Area 3 Pump Station (contract 1) project.

The Bid date is September 21, 2017 @ 2:00 pm. For instructions and project details please see attached letter. Plans and Specs are available upon request. All scopes and bids must be accompanied by the attached EPA forms.

Please let us know if you have any project specific questions.

Looking forward to hearing from you.

Regards,

Dugan McDermott

W. Rogers Company, LLC.
649 Bizzell Drive, Lexington, KY 40510
859.231.6290 Office Main
859.231.6296 Fax
859.410.7221 Direct
Email: duganm@wrogers.com
Website: www.wrogers.com



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Dugan McDermott

From: Dugan McDermott
Sent: Tuesday, August 29, 2017 11:34 AM
To: 'Zak Stanfield'
Subject: RE: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid

Zak,

Sharefile link has been sent containing the bid documents. Please let me know if you have any questions. If you submit a proposal please attach the EPA forms included in the transmittal on the invitation.

Regards,

Dugan McDermott

W. Rogers Company, LLC.
649 Bizzell Drive, Lexington, KY 40510
859.231.6290 Office Main
859.231.6296 Fax
859.410.7221 Direct
Email: duganm@wrogers.com
Website: www.wrogers.com



From: Zak Stanfield [mailto:zaktheinstaller@gmail.com]
Sent: Tuesday, August 29, 2017 11:05 AM
To: Dugan McDermott <duganm@wrogers.com>
Subject: Re: Expansion Area 3 Pump Station (Contract 1) Invitation to Bid

Yes, we are interested.

On Aug 29, 2017 8:28 AM, "Dugan McDermott" <duganm@wrogers.com> wrote:

Good Morning,

Please find attached Invitation to bid for the Expansion Area 3 Pump Station (contract 1) project.

The Bid date is September 21, 2017 @ 2:00 pm. For instructions and project details please see attached letter. Plans and Specs are available upon request. All scopes and bids must be accompanied by the attached EPA forms.

Please let us know if you have any project specific questions.

Looking forward to hearing from you.

Regards,

Dugan McDermott

W. Rogers Company, LLC.

649 Bizzell Drive, Lexington, KY 40510

859.231.6290 Office Main

859.231.6296 Fax

859.410.7221 Direct

Email: duganm@wrogers.com

Website: www.wrogers.com



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Dugan McDermott

From: Dugan McDermott
Sent: Monday, September 25, 2017 3:36 PM
To: 'Jessica@m-mmanufacturing.com'
Subject: Expansion Area 3 (contract 1)
Attachments: 20170829071807425.pdf

Ms. Vaughn,

Thank you for your scope, This project requires the EPA forms to be filled out for all MWDBE subcontractors bidding the work. Please attach the forms to your final proposal.

I have attached a copy of the forms if you do not already have them.

Regards,

Dugan McDermott

W. Rogers Company, LLC.
649 Bizzell Drive, Lexington, KY 40510
859.231.6290 Office Main
859.231.6296 Fax
859.410.7221 Direct
Email: duganm@wrogers.com
Website: www.wrogers.com



Dugan McDermott

From: Robin Fugate
Sent: Monday, September 25, 2017 3:30 PM
To: Dugan McDermott
Subject: FW: Lexington, KY Expansion Area #3 Scope
Attachments: Lexington, KY Expansion Area #3 Scope.pdf; Terms and Conditions.pdf

FYI

From: Jessica Vaughn [mailto:jessica@m-mmanufacturing.com]
Sent: Monday, September 25, 2017 3:23 PM
To: Daniel Hodges <daniel@m-mmanufacturing.com>
Subject: Lexington, KY Expansion Area #3 Scope

See attached scope for the Lexington, KY - Expansion Area #3 project which bids tomorrow. Please let me know if you have any questions, thanks!

Thanks,

Jessica McBride Vaughn

M & M Manufacturing, Inc.
709 Sportsman Lake Road
Franklin, KY 42134

PH: 270.586.8208
FAX: 270.586.6424
Jessica@m-mmanufacturing.com

Check us out online at -
www.m-mmanufacturing.com

Dugan McDermott

From: Scott Braun <thefencecoofky@gmail.com>
Sent: Tuesday, September 26, 2017 11:04 AM
To: Dugan McDermott
Subject: Re: EPA Forms

Dugan

Alternate for scale wages is \$ 4,575.00 . Judy is sending you the EPA forms in a few minutes .Good luck on the bid today please let me know how it turns out .

thank You
Scott Braun

On Tue, Sep 26, 2017 at 9:55 AM, Dugan McDermott <duganm@wrogers.com> wrote:

Scott, attached are the EPA forms required. Thank you for your proposal and looking forward to your Alt price for Scale

Regards,

Dugan McDermott

W. Rogers Company, LLC.

649 Bizzell Drive, Lexington, KY 40510

859.231.6290 Office Main

859.231.6296 Fax

859.410.7221 Direct

Email: duganm@wrogers.com

Website: www.wrogers.com



QUOTATION

NORWOOD HARDWARE AND SUPPLY CO.
 2906 Glendale-Milford Road, Cincinnati, Ohio 45241
 Phone: (513) 733-1175 / Fax: (513) 733-8667

UPDATED

DATE: 9/26/2017
 PROPOSAL:
 GOOD THRU: 10/26/2017

TO: GENERAL CONTRACTORS BIDDING

PROJECT: LEXINGTON AREA 3 PS/FORCE
MAIN (MATERIAL PROPOSAL)
 OWNER: LFCUG

The materials to be furnished under this proposal are limited to those described below and as specified in specifications and architectural drawings dated 8/2017 by HDR
 Addenda (s) 1,2,3,4,5,6,7,8 is acknowledged.
 Original Quotation: XX Change Order Quotation: _____

ITEM	QUANTITY	DESCRIPTION	\$
		08342 FIBERGLASS DOORS AND DOOR FRAMES	
		- 15 DOORS/11 FRAMES (4 SINGLE/3 PAIR)	
		- DOORS FACTORY GLAZED (1" IGU/CLEAR)	
		- GEL COAT FINISH	
		08710 FINISH HARDWARE	
		- AS LISTED IN SETS	
		TOTAL:	\$29,112.00
			PLUS
			TAX
<p><i>Note: Material only, installation not included unless noted otherwise.</i> <i>Note: All prices exclude sales tax unless noted otherwise.</i></p>			

QUOTATION BASED ON COMPLETE MATERIAL RELEASE WITHIN 90 CALENDAR DAYS FROM DATE OF BID.
 MATERIAL RELEASE AFTER 90 DAYS FROM DATE OF BID WILL BE SUBJECT TO POTENTIAL MANUFACTURER SURCHARGES.

TERMS: NET 30 DAYS - NO RETENTION

All terms and conditions of this quotation are printed on the reverse side and form an integral part of this quotation.
 PLEASE READ THOROUGHLY.

ACCEPTED BY: _____
 TITLE: _____
 DATE: _____

Respectfully submitted:
NORWOOD HARDWARE & SUPPLY CO. INC.
 BY: CRAIG CHABOT AHC/CDC/CSI

Date (s) materials required: _____

Accepted:
NORWOOD HARDWARE & SUPPLY CO. INC.
 BY: _____

(Authorized Officer)

1.11 MWDBE SUBCONTRACTOR PERFORMANCE FORM



DBE Control No: 2090-0030
 Approval: 8/13/2013
 Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractor's bid or proposal package.

Subcontractor Name NORWOOD HARDWARE		Project Name CEXINGTON PG/FORCE MAIN
Bid/ Proposal No.	Assistance Agreement ID No. (if known)	Point of Contact
Address 2906 SUNDAY-MILFORD ROAD CINCINNATI, OH 45244		
Telephone No. 513.733.1175	Email Address CEHABOT@NORWOODHARDWARE.COM	
Prime Contractor Name		Issuing/Funding Entity:

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
SPEC SECTIONS 08342 08110	FRP DOORS/FRAMES FINISH HARDWARE	\$29,112.00 TAX
DBE Certified By: <input type="checkbox"/> DOT <input type="checkbox"/> SBA <input checked="" type="checkbox"/> Other: WBENC		Meets/exceeds EPA certification standards? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Unknown

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
Title	Date

Subcontractor Signature	Print Name
Title	Date

[Handwritten signature] *[Handwritten signature: Craig ...]*
[Handwritten: VP] *[Handwritten: 9/26/17]*

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)

W. ROGERS COMPANY

P.O. Box 11640
649 Bizzell Drive, Lexington KY 40510
O: (859) 231-6290
F: (859) 231-6296



INVITATION TO BID

Project Name: Expansion Area 3 Pump Station (Contract 1)
Owner: City of Lexington
Location: Lexington, KY.
Bid Date: September 21, 2017 @ 2:00 PM

Brief Description of the Project:

The above referenced project generally consists of constructing a Class A Pumping Station with mechanical screens, screen compactors, four (4) submersible pumps, odor control equipment, A building with screen room, dumpster room, odor control room, electrical room, and emergency generator. Also included in this project is approximately 220 LF of 24" Force Main and 660 LF of 10" Gravity Sanitary sewer.

Bid Documents and Instructions to Suppliers and Subcontractors

Plans and Specifications may be reviewed at our office. Please notify our office and we can make them available to you upon your arrival. If you wish to receive digital copies of the bid documents they can be provided upon request.

The scope of supply should be received in our office no later than 24 hours prior to bid. Final pricing should be received a minimum of two (2) hours prior to bid time. Scopes and final pricing may be faxed to (859) 231-6296 or emailed to duganm@wrogers.com

Opportunities for Supply and Subcontract Include but Are Not Limited To:

Reinforcing Steel Placement
Erosion Control
Building Trades
Electrical Construction
Fencing / Landscaping
Asphalt / Curb & Gutter
Concrete Supply

Yard Pipe & Fittings
HVAC / Plumbing
Masonry
Misc. Metals
Earth Hauling / Excavating
Aggregate Supply
Blasting

Should any of the scopes of work be too large for your company to consider in its entirety, we will consider dividing the work into a more manageable size while still giving your firm due consideration. We request that you respond promptly whether you have any interest in this project using the form provided below. Should you have any project specific questions, please direct them to Dugan McDermott via the office number or email listed above. Thank you for your consideration and we look forward to hearing from you.

Please Complete the Following Form and Return Via Fax Number Listed Above:

Company Name	<u>The Fence Co</u>	Bidding: Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Primary Contact	<u>Scott Brown</u>	
Email Address:	<u>thefenceco@ky.com</u>	
Phone:	<u>20259 3808</u>	Fax: <u>20259 0800</u>
Would you like to continue to receive invitations to participate in upcoming opportunities from W Rogers Company?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	

This transmittal is confidential and intended solely and exclusively for the individual identified above. If the recipient of this transmittal is not the identified above, please immediately notify the sender by telephone and delete this transmittal; please do not read, copy, distribute, or disseminate this transmittal.

1.10 MWDBE SUBCONTRACTOR PARTICIPATION FORM



OMB Control No: 2090-0030
 Approved: 8/13/2013
 Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Participation Form**

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE¹ subcontractor² the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

Subcontractor Name <i>The Fence Co</i>		Project Name	
Bid/ Proposal No.	Assistance Agreement ID No. (if known)	Point of Contact	
Address <i>197 Mohawk Ln Letchfield Ky 42754</i>			
Telephone No. <i>(270) 259-3808</i>		Email Address <i>thefence@bofky@gmail.com</i>	
Prime Contractor Name		Issuing/Funding Entity:	

Contract Item Number	Description of Work Received from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Amount Received by Prime Contractor
<i>1</i>	<i>Fencing</i>	

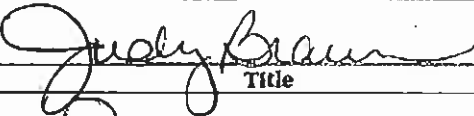
¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-2 (DBE Subcontractor Participation Form)

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form**

Please use the space below to report any concerns regarding the above EPA-funded project:

Subcontractor Signature	Print Name
 Title	Judy Braun Date
President	9/26/17

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

EPA FORM 6100-2 (DBE Subcontractor Participation Form)

The Fence Co. LLC
197 Mohawk Lane
Leitchfield, KY 42754
O (270) 259-3808
F (270) 259-0300
C (270)287-1134
thefencecoofky@gmail.com

Estimate September 25 - 2017

Expansion Area 3 Pump Station And Force Main Improvements LFUCG Division Of Water Quality Lexington Kentucky

To furnish and install 2 – 20' x 4' aluminum double drive gates
To furnish and install 2 – 12' single farm gates
To furnish and install 1,137' of 4board wood plank fencing
To furnish and install 535' of temporary woven wire fencing at the Poole Property

To Include

6" treated wooden post
1x6 treated plank boards with 1 x 6 treated face boards
2 – 20' aluminum double drive gates with 4" gate post
2 – 12' single farm gates
All wooden post driven construction
All wooden fencing painted 2 coats of black paint
All gate post set in concrete

SCALE / NON SCALE

Total \$ 36,600.00

Temporary Fence Rates

To furnish and install 6' x 10' wide temporary fencing using panel design with concrete or metal stands \$ 4.00 per ft. Price is good for one time set up and removal with no monthly rental fees price is good for duration of project. If double drive construction gates are needed the price is 16' – 20' \$ 450.00 21' – 30' \$ 650.00 per set with 4" gate post set in concrete or driven construction contractors option.

Missing or Damaged Temporary Fencing

Missing or damaged temporary fence panels \$ 80.00 each
Missing or damaged Concrete block stands \$ 30.00 each
Missing or damaged metal stands \$ 20.00 each
Missing or damaged kennel clamps \$ 4.00 per set

Rock Clause

If solid is encountered there is a charge of \$ 75.00 per hour for jackhammering minimum 2 hours
The Fence Co LLC is not responsible for any underground utilities that may be encountered

If you intend on using The Fence Co LLC for this project please let us know so we can lock in the current material pricing and allow for scheduling

Responsible Party

Date

Thank You
Scott Braun

WBE/DBE Certified
SBA/HUB Zone Certified
American Fence Association Certified Fence Professionals



Not Low

M & M Manufacturing, Inc.

709 Sportsman Lake Road

Franklin, Kentucky 42134

Phone: (270) 586-8208

Fax: (270) 586-6424

www.m-mmanufacturing.com

September 26, 2017

Expansion Area #3

Lexington, KY

-Quote-

Flowmeter Vault (Sheet 01C-07)

***Ladder by others**

***Hatches by others**

Master Meter Vault (Sheet 01C-07)

***Ladder by others**

***Hatches by others**

Backflow Preventer Vault (Sheet 01C-07)

***No metals**

***Hatches by others**

Detention Basin Outlet Structure (Sheet 01C-07)

***Trash rack by others**

***All metals by others**

Pump Station

1. (30) 6" Schedule 40 primed steel pipe bollards at 6' 6" each
2. Approximately 40 LF 1 ½" aluminum handrail with toeboard
3. Approximately 215 SF aluminum plank grating with aluminum supports
4. (3) W16 x 31# Primed steel lintels with 3/8" x 11" plates at Overhead doors D-1, D-8 & D-11 (Sheet 01A-03)
5. (89) Anchor bolts with plate washers at the nailer
6. ¼" Primed steel plates with bolts at the diagonal braces (Sheet 01S-13)
7. (3) Aluminum ladders at 10' 6" high with ladder ups (Sheet 01D-02)

Dumpster Area

8. (1) ¾" Primed steel plate 1' wide x 13' 11" long with nelson studs
***If larger plate is required there will be a price increase**
9. (1) ¾" Primed steel plate 10' wide x 32' long with epoxy anchors
***If larger plate is required there will be a price increase**
***Plate is welded as one piece, it will weigh over 10,000 pounds**
10. (2) HSS 4 ½" x 4 ½" x ¼" primed steel guide rails with ¼" cap plates

- *All mechanical bar screens by others
- *All screen compactor/conveyors by others
- *All slide gates and sluice gates by others
- *All pipe supports by others
- *All guide rails and stem guides by others
- *All roof hatches by others
- *Freight to Lexington, KY included in quote
- *M & M Manufacturing, Inc. is not AISC certified

DELIVERED TOTAL: \$79,390.00

***ADD FOR HATCHES:**

- (4) 3' 6" x 4' 6" Aluminum hatches at the Valve Vault
- (1) 2' 6" x 2' 6" Aluminum hatch at the Valve Vault
- (3) 2' 6" x 3' Aluminum hatches at the Valve Vault

- (4) 3' 6" x 4' 6" Aluminum hatches at the Wet Well
- (2) 2' 6" x 3' Aluminum hatches at the Wet Well
- (2) 3' x 3' Aluminum hatches at the Wet Well

- *Hatches are not H20 rated
- *All hatches at the Flowmeter Vault, Master Meter Vault & Backflow Preventer Vault by others
- *All roof hatches by others

ADD FOR (16) HATCHES: \$21,925.00

This quote is subject to the attached terms. Please call with any additions and/or deletions. Plus tax if applicable.

Thanks,
 Jessica Vaughn
Jessica@m-mmanufacturing.com

DBE Certified by the: *State of Kentucky Transportation Cabinet*

Certified as a **WBE** by the:



Approved by the: **Louisville MSD** Louisville Jefferson County Metro Sewer District

1.11 MWDBE SUBCONTRACTOR PERFORMANCE FORM



OMB Control No: 2090-0030
 Approved: 8/13/2013
 Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Performance Form

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractors bid or proposal package.

Subcontractor Name M + M Manufacturing, Inc.		Project Name Lexington, Ky Expansion Area 3	
Bid/ Proposal No.	Assistance Agreement ID No. (if known)	Point of Contact	
Address 709 Sportsman Lake Road, Franklin, Ky 42134			
Telephone No. 270-536-8208	Email Address Jessica@m-mmanufacturing.com		
Prime Contractor Name		Issuing/Funding Entity:	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
	Misc. Metals	\$19,390.00
	Add for Hatches	\$21,925.00
	Total	\$101,315.00
DBE Certified By: <input checked="" type="checkbox"/> DOT <input type="checkbox"/> SBA		Meets/ exceeds EPA certification standards?
Other: _____		<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Unknown

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Performance Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
Title	Date

Subcontractor Signature	Print Name
<i>Jessica Vaughn</i> Title	<i>Jessica Vaughn</i> Date
<i>Project Manager</i>	<i>9/26/17</i>

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)