



LEXINGTON

**Bid 151-2022 Addendum 2
Herrick Company, Inc.
Supplier Response**

Event Information

Number: Bid 151-2022 Addendum 2
Title: West Hickman RAS/WAS Improvements
Type: Competitive Bid
Issue Date: 12/19/2022
Deadline: 1/19/2023 02:00 PM (ET)

Contact Information

Contact: Brian Marcum
Address: Central Purchasing
Government Center Building
Room 338
200 East Main Street
Lexington, KY 40507
Phone: (859) 2583320
Fax: (859) 2583322
Email: brianm@lexingtonky.gov

Herrick Company, Inc. Information

Contact: Ryan Gabbard
Address: 2176 Waddy Rd
Lawrenceburg, KY 40342
Phone: (502) 839-3484
Fax: (502) 839-0939
Email: ryanhci@dcr.net

ONLY ONLINE BIDS WILL BE ACCEPTED! By submitting your response, you certify that you are authorized to represent and bind your company and that you agree to all bid terms and conditions as stated in the attached bid/RFP/RFQ/Quote/Auction documents.

Ryan P Gabbard

Signature

Submitted at 1/19/2023 01:45:31 PM (ET)

ryan@hci96.com

Email

Response Attachments

Bid Docs Complete.pdf

Complete Bid Package

Bid Lines

1	Total Lump Sum Amount			
	Quantity: <u> 1 </u> UOM: <u>Lump Sum</u>	Price:	<input type="text" value="\$2,690,200.00"/>	Total: <input type="text" value="\$2,690,200.00"/>

Response Total: \$2,690,200.00

PART III
FORM OF PROPOSAL
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PART III
FORM OF PROPOSAL

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KENTUCKY
WEST HICKMAN WASTEWATER TREATMENT PLANT
RAS/WAS PUMP STATION IMPROVEMENTS
BID NO. 151-2022

Place: Lexington, Kentucky

Date: 1/19/23

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Herrick Company, Inc.
2176 Waddy Rd, Lawrenceburg, KY 40342
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as a corporation
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the **WEST HICKMAN WASTEWATER TREATMENT PLANT RAS/WAS PUMP STATION IMPROVEMENTS** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of **\$1,500.00** for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 12/22/22

Addendum No. 2 Date 1/11/23

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. **LEGAL STATUS OF BIDDER**

Bidder Herrick Company, Inc.

Date 1/19/23

* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Donna S. Herrick, bearing the official title of CEO, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Donna S. Herrick, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Donna S. Herrick and he/she is the individual submitting the bid or is the authorized representative of Herrick Company, Inc., the entity submitting the bid (hereinafter referred to as "Bidder").

2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.

3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.

4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.

5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.

6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."

7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

Donna S. Herrick
(Affiant) Donna S. Herrick



STATE OF Kentucky

COUNTY OF Anderson

The foregoing instrument was subscribed, sworn to and acknowledged before me by Donna S. Herrick on this the 19th day of January, 2022.

My Commission expires: 5/8/26

Ryan P. Gabbard
NOTARY PUBLIC, STATE AT LARGE Ryan P. Gabbard



4. LUMP SUM BASE BID

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following lump sum and/or unit prices which shall include the furnishing of all labor, materials, supplies, services, equipment and/or vehicle usage, all items of cost, overhead, taxes (federal, state, local), and profit for the CONTRACTOR and any Subcontractor involved. The Bidder must make the extensions and additions showing the total amount of bid. The contract, if awarded, will be on the basis of material and equipment specified in the specification without consideration of possible substitute or "Or equal" items. (Per article 18 of the Information for Bidders). The LFUCG reserves the right to award the bid in its best interest and within fiscal constraints.

Amount shall be shown in both words and figures. In case of discrepancy, the amount shown in words will govern.

LUMP SUM BASE BID:

Two million, six hundred ninety thousand, two hundred	Dollars \$	2,690,200.00
(Words)		(Numbers)

See Section 01 29 00–Contract Considerations for discussion of cash allowances to include in the Bid.

Contract award will be made based on the Lump Sum Base Bid. The price for all Base Bid Equipment items shall be included in the Lump Sum Base Bid.

OWNER reserves the right to accept or reject any Equipment Alternatives to the Lump Sum Base Bid. If Alternatives designated by the OWNER are considered in the Award, the Alternatives shall be accepted in the sequence identified below and the lowest Lump Sum Bid shall be computed on the Base Bid. Consideration of Equipment Alternatives of the selected Bidder will be made by OWNER within 60 days after the Effective Date of the Agreement.

CASH ALLOWANCES

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KENTUCKY
WEST HICKMAN WASTEWATER TREATMENT PLANT
RAS/WAS PUMP STATION IMPROVEMENTS
BID NO. 151-2022

The following Cash Allowances shall be included in the Lump Sum Base Bid.

Item Number	Description	Total Cash Allowance Price Included in the Lump Sum Base Bid
1.	System Integration (Section 26 09 00—Controls and Instrumentation)	\$30,000.00
2.	Short Circuit, Coordination, and Arc Flash Study	\$6,000.00

EQUIPMENT ALTERNATIVES

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KENTUCKY
WEST HICKMAN WASTEWATER TREATMENT PLANT
RAS/WAS PUMP STATION IMPROVEMENTS
BID NO. 151-2022

The name of the Base Bid equipment manufacturer which Bidder shall include in the Lump Sum Base Bid is listed for each equipment item. Where an alternative manufacturer is listed, Bidder shall include an add or deduct to the price of the equipment that Bidder included in the Lump Sum Base Bid. Where spaces are provided, Bidder may also bid other alternative manufacturers by writing in the alternative manufacturer's name. Equipment Alternative Bid prices shall include the entire cost of the Alternative, including cost for engineering changes to accommodate the Alternative, and additional work to be performed which is not shown on the Drawings, but which is required as a result of selection of the equipment Alternative.

Whether or not an alternative manufacturer is offered to one or more of the Base Bid equipment manufacturers provided for in the Bid Form, Bidder shall write (in numbers) the price for providing the Base Bid equipment manufacturer, as included in the Lump Sum Base Bid.

When more than one Base Bid equipment manufacturer name is listed for an item, Bidder shall circle the name of the equipment manufacturer selected for the Lump Sum Base Bid and write in the price for the selected equipment.

EQUIPMENT ALTERNATIVES

	Item	Equipment Base Bid Manufacturer Included in the Lump Sum Base Bid (Circle One)	Cost of Equipment Included in the Lump Sum Base Bid	Alternative Manufacturer	Add to Base Bid for Alternative Manufacturer	Deduct From Base Bid for Alternative Manufacturer
A	Centrifugal Pumps (Section 43 23 21.13)	Pentaire Flowserve Xylem	\$ 860,000	Pentaire	(+) 730,100	(-)
					(+)	(-)

Submitted by: Herrick Company, Inc.
Firm

2176 Waddy Rd
Address

Lawrenceburg, KY 40342
City, State & Zip

Bid must be signed: Donna S Herrick **CEO**
(original signature) ***Signature of Authorized Company Representative – Title***

Donna S. Herrick
Representative/s Name (Typed or Printed)

502-839-3484 502-839-0939
Area Code – Phone – Extension *Fax #*

hci@hci96.com
E-Mail Address

OFFICIAL ADDRESS:

2176 Waddy Rd

Lawrenceburg, KY 40342

_____ (Seal if Bid is by Corporation)

by signing this form you agree to ALL of the terms and associated forms.



5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Herrick Company, Inc.
- 2. Permanent Place of Business: Lawrenceburg, KY
- 3. When Organized: January 1996
- 4. Where Incorporated: Kentucky
- 5. Construction Plant and Equipment Available for this Project:

See attached

(Attach Separate Sheet If Necessary)

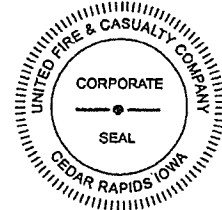
- 6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

- 7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

United Fire & Casualty Company (Surety)

Signed: *Leigh McCarthy* (Representative of Surety)
Leigh McCarthy, Attorney-in-Fact



8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
*See attached		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
*See attached		

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
*See attached		

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>MAJORITY</u>
<u>McKinney Painting</u>	<u>Burkesville WWTP</u>	<u>W</u>	<u>2%</u>
<u>M&M Manufacturing</u>	<u>Burkesville WWTP</u>	<u>W</u>	<u>1%</u>
<u>Mills Supply</u>	<u>Burkesville WWTP</u>	<u>M</u>	<u>1%</u>
<u>McKinney Painting</u>	<u>Middlesboro WWTP</u>	<u>W</u>	<u>2%</u>
<u>M&M Manufacturing</u>	<u>Middlesboro WWTP</u>	<u>W</u>	<u>1%</u>
<u>McKinney Painting</u>	<u>Frankfort WWTP</u>	<u>W</u>	<u>1%</u>
<u>M&M Manufacturing</u>	<u>Frankfort WWTP</u>	<u>W</u>	<u>2%</u>

See more below.

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within seven (7) calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within seven (7) days following the request submit audited financial statements and loss history for insurance claims for the three (3) most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

McKinney Painting, Frankfort WTP Ammonia, W, 3%
M&M Manufacturing, Frankfort WTP Ammonia, W, 3%
Mills Supply, Frankfort WTP Ammonia, M, 1%
Mills Supply, Frankfort WWTP Blowers, M, 1%
McKinney Painting, Frankfort WWTP Blowers, W, 2%
M&M Manufacturing, Frankfort WWTP Blowers, W, 1%
M&M Manufacturing, Mayfield Clarifiers, W, 12%
McKinney Painting, Hartland 3 PS, W, 1%
McKinney Painting, Hartland 2 PS, W, 1%
M&M Manufacturing, Logan Todd WTP, W, 2%
McKinney Painting, Vanceburg WWTP, W, 1%
Mills Supply, Vanceburg WWTP, M, 1%
McKinney Painting, Sandy Hook WTP, W, 2%
M&M Manufacturing, Sandy Hook WTP, W, 1%
Mills Supply, Sandy Hook WTP, M, 1%
M&M Manufacturing, West Hickman Clarifier Stairs, W, 38%
M&M Manufacturing, Pirtle Spring WTP, W, 6%
Mills Supply, Pirtle Spring WTP, M, 1%
McKinney Painting, Lewisport BS, W, 1%
M&M Manufacturing, Lewisport BS, W, 1%
McKinney Painting, Dry Run IPS, W, 4%
M&M Manufacturing, Dry Run IPS, W, 1%

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u>	
		<u>Yes/No</u>	<u>% of Work</u>
1. <u>Electric</u>	Name: <u>Faust Electric</u> Address: <u>Nicholasville, KY</u>	<u>No</u>	<u>4.5%</u>
2. <u>HVAC</u>	Name: <u>Richmond Mechanical</u> Address: <u>Lexington, KY</u>	<u>No</u>	<u>8.5%</u>
3. _____	Name: _____ Address: _____	_____	_____
4. _____	Name: _____ Address: _____	_____	_____
5. _____	Name: _____ Address: _____	_____	_____
6. _____	Name: _____ Address: _____	_____	_____
7. _____	Name: _____ Address: _____	_____	_____

(Attach additional sheet(s) if necessary.)

7. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.

READ CAREFULLY – SIGN IN SPACE BELOW – FAILURE TO SIGN INVALIDATES BID.

Signed by Donna S Herrick

Donna S. Herrick

Firm Herrick Company, Inc.

Address 2176 Waddy Rd

Lawrenceburg, KY 40342

Telephone 502-839-3484

Date 1/19/23



8. STATEMENT OF EXPERIENCE

(this section must be completed or your bid will be considered as non-responsive)

NAME OF INDIVIDUAL: Donna S. Herrick

POSITION/TITLE: CEO

STATEMENT OF EXPERIENCE: See attached

NAME OF INDIVIDUAL: H. Douglas Herrick

POSITION/TITLE: Owner/Secretary

STATEMENT OF EXPERIENCE: See attached

NAME OF INDIVIDUAL: Cody M. Lokits

POSITION/TITLE: President

STATEMENT OF EXPERIENCE: See attached

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

BY: Herrick Company, Inc.
Name of Firm

DATE: 1/19/23

BY: Donna S Herrick
Donna S. Herrick

TITLE: CEO



* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

9. EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Donna S Herrick
Signature Donna S. Herrick

Herrick Company, Inc.
Name of Business



The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- a. *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- b. *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

10. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Herrick Company, Inc.

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

Signature: Donna S Herrick
(Bidding Contractor) Donna S. Herrick

Title: CEO

Date: 1/19/23



11. WORKFORCE ANALYSIS FORM

Name of Organization: Herrick Company, Inc.

Date: 1 / 19 / 23

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators	1		1						1
Professionals	2	2						2	
Superintendents	4	4						4	
Supervisors									
Foremen									
Technicians									
Protective Service									
Para-Professionals	2	2						2	
Office/Clerical	1		1						1
Skilled Craft	12	11		1				12	
Service/Maintenance									
Total:	22	20	2	1	1	1	2	20	2

Prepared By: Donna S Herrick

Donna S. Herrick, CEO



12. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Herrick Company, Inc.

Names Insured: _____ Employee ID: _____
 Address: 2176 Waddy Rd Phone: 502-839-3484
 Lawrenceburg, KY 40342

Project to be insured: West Hickman WWTP WAS/RAS Pump Replacement

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC 1.3.D.1 - see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$1,000,000 per occ 2,000,000 aggreg	Selective Ins Co	000826	A+:XV
SC 1.3.D.1 - see provisions	AUTO	\$2,000,000/per occ.	\$1,000,000 per occ	Selective Ins Co	000826	A+:XV
SC 1.3.D.1 - see provisions	WC	Statutory w/ endorsement as noted	\$4,500,000 ea acc 4,500,000 ea empl 4,500,000 pol limits \$5,000,000	KY Assoc of General Contractors	055002	A-:VIII
	Umbrella			Selective Ins Co	000826	A+:XV

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Marsh & McLennan Agency LLC

Chris Barnett

Agency or Brokerage _____ Name of Authorized Representative
 360 E Vine St. Suite 200 _____ Managing Director
 Street Address _____ Title
 Lexington KY 40324 _____ *Chris Barnett*
 City _____ State _____ Authorized Signature
 859-254-8023 _____ 1/13/2023
 Telephone Number _____ Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

13. DEBARRED FIRMS

PROJECT NAME: West Hickman Wastewater Treatment Plant RAS/WAS Pump Station Improvements

BID NUMBER: 151-2022

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KENTUCKY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Herrick Company, Inc. has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Herrick Company, Inc.

Name of Firm Submitting Bid

Donna S Herrick

Signature of Authorized Official Donna S. Herrick

Title

Date



14. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Herrick Company, Inc.

Project: West Hickman WWTP RAS/WAS PS Improvements

Printed Name and Title of Authorized Representative: Donna S. Herrick, CEO

Signature: *Donna S Herrick*

Date: 1/19/23

Note: This form will be provided for subcontractors within 7 days if Herrick Company is low bidder.

END OF SECTION



MAYOR LINDA GORTON



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #1

Bid Number: #151-2022

Date: December 22, 2022

Subject: West Hickman RAS/WAS Improvements

Address Inquiries to:
Brian Marcum
brianm@lexingtonky.gov

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

Please review the attached information.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: Herrick Company, Inc.

ADDRESS: 2176 Waddy Rd, Lawrenceburg, KY 40342

SIGNATURE OF BIDDER: Donna S Herrick

Donna S. Herrick, CEO



MAYOR LINDA GORTON



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #2

Bid Number: #151-2022

Date: January 11, 2023

Subject: West Hickman RAS/WAS Improvements

Address Inquiries to:
Brian Marcum
brianm@lexingtonky.gov

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

Please review the attached information a bid form changes.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: Herrick Company, Inc.

ADDRESS: 2176 Waddy Rd, Lawrenceburg, KY 40342

SIGNATURE OF BIDDER: *Donna S Herrick*

Donna S. Herrick, CEO



AIA[®] Document A310[™] – 2010

Bid Bond

CONTRACTOR:
(Name, legal status and address)

Herrick Company, Inc.
2176 Waddy Road
Lawrenceburg, KY 40342

SURETY:
(Name, legal status and principal place of business)

United Fire & Casualty Company
PO Box 73909
Cedar Rapids, IA 52407-3909

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

OWNER:
(Name, legal status and address) Lexington-Fayette Urban County Government
200 E Main St
3rd Fl
Lexington, KY 40507

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

BOND AMOUNT: Five Percent of the Amount Bid (\$ 5% of Bid)

PROJECT:
(Name, location or address, and Project number, if any)
West Hickman Wastewater Treatment Plant RAS/WAS Pump Station Improvements
Lexington, KY
Bid No. 151-2022

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 12th day of January 2023

Ryan R. Hubbard
(Witness)

Herrick Company, Inc.
(Principal) *Donna S. Herrick* (Seal)
(Title)

Jennifer Edwards
(Witness)

United Fire & Casualty Company
(Surety) *Leigh McCarthy* (Seal)
(Title) Leigh McCarthy
Attorney-In-Fact



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UNITED FIRE & CASUALTY COMPANY, CEDAR RAPIDS, IA
 UNITED FIRE & INDEMNITY COMPANY, WEBSTER, TX
 FINANCIAL PACIFIC INSURANCE COMPANY, LOS ANGELES, CA
 CERTIFIED COPY OF POWER OF ATTORNEY
 (original on file at Home Office of Company – See Certification)

Inquiries: Surety Department
 118 Second Ave SE
 Cedar Rapids, IA 52401

KNOW ALL PERSONS BY THESE PRESENTS, That United Fire & Casualty Company, a corporation duly organized and existing under the laws of the State of Iowa; United Fire & Indemnity Company, a corporation duly organized and existing under the laws of the State of Texas; and Financial Pacific Insurance Company, a corporation duly organized and existing under the laws of the State of California (herein collectively called the Companies), and having their corporate headquarters in Cedar Rapids, State of Iowa, does make, constitute and appoint

JAMES T. SMITH, JAMES H. MARTIN, BROOK T. SMITH, RAYMOND M. HUNDLEY, DEBORAH NEICHTER, MICHELE LACROSSE, JASON CROMWELL, LEIGH MCCARTHY, EACH INDIVIDUALLY

their true and lawful Attorney(s)-in-Fact with power and authority hereby conferred to sign, seal and execute in its behalf all lawful bonds, undertakings and other obligatory instruments of similar nature provided that no single obligation shall exceed \$75,000,000.00 and to bind the Companies thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of the Companies and all of the acts of said Attorney, pursuant to the authority hereby given and hereby ratified and confirmed.

The Authority hereby granted shall expire the 23rd day of February, 2024 unless sooner revoked by United Fire & Casualty Company, United Fire & Indemnity Company, and Financial Pacific Insurance Company.

This Power of Attorney is made and executed pursuant to and by authority of the following bylaw duly adopted by the Boards of Directors of United Fire & Casualty Company, United Fire & Indemnity Company, and Financial Pacific Insurance Company.

"Article VI – Surety Bonds and Undertakings"

Section 2, Appointment of Attorney-in-Fact. "The President or any Vice President, or any other officer of the Companies may, from time to time, appoint by written certificates attorneys-in-fact to act in behalf of the Companies in the execution of policies of insurance, bonds, undertakings and other obligatory instruments of like nature. The signature of any officer authorized hereby, and the Corporate seal, may be affixed by facsimile to any power of attorney or special power of attorney or certification of either authorized hereby; such signature and seal, when so used, being adopted by the Companies as the original signature of such officer and the original seal of the Companies, to be valid and binding upon the Companies with the same force and effect as though manually affixed. Such attorneys-in-fact, subject to the limitations set forth in their respective certificates of authority shall have full power to bind the Companies by their signature and execution of any such instruments and to attach the seal the Companies thereto. The President or any Vice President, the Board of Directors or any other officer of the Companies may at any time revoke all power and authority previously given to any attorney-in-fact.

IN WITNESS WHEREOF, the COMPANIES have each caused these presents to be signed by its vice president and its corporate seal to be hereto affixed this 23rd day of February, 2022

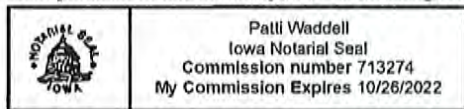


UNITED FIRE & CASUALTY COMPANY
 UNITED FIRE & INDEMNITY COMPANY
 FINANCIAL PACIFIC INSURANCE COMPANY

By: *Dennis J. Richman*
 Vice President

State of Iowa, County of Linn, ss:

On 23rd day of February, 2022, before me personally came Dennis J. Richmann to me known, who being by me duly sworn, did depose and say; that he resides in Cedar Rapids, State of Iowa; that he is a Vice President of United Fire & Casualty Company, a Vice President of United Fire & Indemnity Company, and a Vice President of Financial Pacific Insurance Company the corporations described in and which executed the above instrument; that he knows the seal of said corporations; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporations and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporations.



Patti Waddell
 Notary Public
 My commission expires: 10/26/2022

I, Mary A. Bertsch, Assistant Secretary of United Fire & Casualty Company and Assistant Secretary of United Fire & Indemnity Company, and Assistant Secretary of Financial Pacific Insurance Company, do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Section of the bylaws and resolutions of said Corporations as set forth in said Power of Attorney, with the ORIGINALS ON FILE IN THE HOME OFFICE OF SAID CORPORATIONS, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.

In testimony whereof I have hereunto subscribed my name and affixed the corporate seal of the said Corporations this 12th day of January, 2023.



By: *Mary A. Bertsch*
 Assistant Secretary,
 UF&C & UF&I & FPIC

HERRICK COMPANY, INC.
Bid: West Hickman WWTP WAS/RAS PS Improvements

PROJECT NAME / Type of Work	Owner / Contact Info	Engineer	Status: Complete	Contract Amount
Campbellsville WTP Contract 1 - Improvements/Modifications	City of Campbellsville, 110 S. Columbia Ave., Campbellsville, KY 42718	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2021	\$6,042,010.56
City Springs WTP - Building new pump station and installing new equipment	Hardin County Water District #2, 360 Ring Rd, Elizabethtown, KY 42701, 270-737-1056	Kenviron, Inc., Frankfort, KY 40601, 502-695-4357	2021	\$1,514,771.00
Evarts WTP Refurbish - Replacing flocculation systems and pumps	City of Evarts, Evarts KY 40828	Kenviron, Inc., Frankfort, KY 40601, 502-695-4357	2021	\$209,853.00
Henry County Media #2 - Remove and intall media	Henry County Water District, Campbellsburg, KY 40011, Keith Morris 502-777-4591	No engineer involved	2021	\$60,500.00
Hopkinsville WTP Filters - Replace support beams in filters	Hopkinsville Water Environmental Authority, Hopkinsville, KY 42240	Owner - Internal engineers involved	2021	\$49,900.00
Lewisport WWTP Improvements - Constructing new piping	City of Lewisport, 350 Carolina St, Lewisport, KY 42351	Bluegrass Engineering, Georgetown, KY 40324 - Bryan Lovan 859-351-1714, Matt Curtis 502-370-6551	2021	\$95,350.00
Town Branch Chlorine Catwalk Project	Lexington-Fayette Urban County Government, Lexington, KY 40507, Brian Marcum 859-258-3325	Owner - Internal engineers involved	2021	\$74,350.00
Town Branch Secondary Digesters - Improvements, replacing and installing pumps	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2021	\$1,462,578.07
Town Branch/West Hickman Eyewash - Eyewash replacement	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2021	\$473,590.00
Creation Museum WWTP - New pump station	Creation Museum - Tom Van Huss, Petersburg, KY 41080, 888-582-4253	Owner - Contractor design-build	2020	\$51,000
Edmonton Damn Spillway - Grout	City of Edmonton, KY 42129, 270-432-2811	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2020	\$39,500
Frankfort Sludge Valve Replacement WTP	Frankfort Plant Board, 305 Hickory Dr, Frankfort, KY 40601, 502-352-4372	Owner - Internal engineers involved	2020	\$82,151
Frankfort WWTP Splitter Box - Replace splitter box and slide gates	City of Frankfort, KY 40601, 502-875-2448	Owner - Contractor design-build	2020	\$32,750
Fort Knox Clarifiers WWTP - Replace mechanisms and clarifier	Hardin County Water District - Daniel Clifford, Radcliff, KY 40160, 207-737-1056	Owner - Internal engineers involved	2020	\$110,500
Lebanon Rotors WWTP - Oxidation ditch rotor replacements	Lebanon Water Works Co., Lebanon, KY 40033, 270-692-2491	Owner - Internal engineers involved	2020	\$34,730
Max Rhodes WWTP UV channels	Regional Water Resource Agency - Victor Cernius, 2101 Grimes Ave, Owensboro, KY 42303, 270-687-8450	Strand Associates - Mark Sneve, Louisville, KY 40202, 502-583-7020	2020	\$360,870
McCreary WWTP Chemical Room - Replace pumps and tanks	McCreary County Water District, 456 North Hwy 27, Whitley City, KY 42653, 606-376-2540	KY Engineering Group - Ryan Carr, Versailles, KY 40383, 859-251-4127	2020	\$277,567
Midway WWTP Miscellaneous Repairs	City of Midway, KY 40347, 859-846-4413	Contract design-build	2020	\$76,351
Morehead WWTP Blower - Replace blowers	Morehead Utility Plant Board, - Holly McGrath-Rosas 135 S Wilson Ave, Morehead, KY 40351, 606-784-5538	Eclipse Engineers - Alan Robinson, PE, Somerset, KY 42501, 606-451-0959	2020	\$315,500
West Kickman Scum WWTP - Pipes and valves Installation	LFUCG Division of Water Quality, 125 Lisle Industrial Ave, Lexington, KY 40511, 859-425-2400	Strand Associates - Mike Davis, Lexington, KY 40511, 859-225-8500	2020	\$1,486,537
Big Spring Parks Aerial Sewer Pier Replacement - WWTP Pier Replacement	City of Versailles, KY - Mitzi Dellus, 859-573-5436	GRW Engineers, Inc. - Mike Jacobs, 859-223-3999	2019	\$186,750
Buffalo Trace Mixer / Install gear box on floating aerator	Buffalo Trace Distillery, Frankfort, KY - B. Sauders or Travis Hammond, 502-696-5942	Contractor design-build	2019	\$58,400
KY Horse Park PS Generator: Replace pump stations generator	LFUCG Division of Water Quality - Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Hazen & Sawyer - Kurt Zenhnder, Lexington, KY, 859-219-1126	2019	\$145,525
WWTP Improvements / Rotors & screw pumps	City of Lebanon, KY - Eddie Masterson, 270-692-6272	Contractor design-build	2019	\$84,721
Lincoln Trail Lift Station Rehabilitation Project: WTP Improvements	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Bell Engineering, Inc. - David Schrader, 859-278-5412	2019	\$784,890
West Hickman WWTP Blower / Wastewater Treatment	LFUCG Division of Water Quality, Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Internal engineers involved	2019	\$95,000
WWTP Improvements: Chemical Feed & Phosphorous Removal	City of Hardinsburg, KY - David Wakefield, 270-756-6719	KY Engineering Group, LLC - Ryan Carr, Versailles, KY, 859-251-4127	2018	\$1,930,382
Water Treatment Plant Valve Replacement - Valves	Wood Creek Water District - Robert Doan, 606-843-7113	Kenviron, Inc. - Vaughn Williams, 502-695-4357	2018	\$111,250
W Hickman WWTP Zone 2 Aeration - Aeration System Improvements	LFUCG Division of Water Quality, Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Internal engineers involved	2018	\$531,400

HERRICK COMPANY, INC.
Bid: West Hickman WWTP WAS/RAS PS Improvements

PROJECT NAME / Type of Work	Owner / Contact Info	Engineer	Status: Complete	Contract Amount
Redstone Pump Station - Replace 3 Pump Stations & 1 New Force Main	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Internal engineers involved	2018	\$293,030
Water Treatment Plant Modifications - HSPS Valves Replacement, et al	Lebanon Water Works Company - Daren Thompson, 270-692-2491	KY Engineering Group, LLC - Jim Thompson, Versailles, KY, 859-251-4127	2018	\$174,250
WWTP Generator & SCADA System / Non-Potable Water System - Replace Generator, Install SCADA, etc.	City of Frankfort Sewer Department, Kenny Hogsten, 1200 KY Avenue, Frankfort, KY, 502-875-2448	HMB Professional Engineers, Ray Bascom, 3 HMB Circle, Frankfort, KY, 502-695-9800	2018	\$1,909,660
Frankfort WWTP Clarifiers - Clarifier Rehab	City of Frankfort Sewer Department, Kenny Hogsten, 1200 KY Avenue, Frankfort, KY, 502-875-2448	Internal engineers involved	2018	\$186,500
Scottsville WWTP Miscellaneous Modifications - Modifications to Existing Grit Chamber, et al	City of Scottsville, KY - City Clerk Gayle Davis, 270-237-3238	GRW - Adalyn Haney, Louisville KY, 502-489-8484	2018	\$193,714
Campbellsville WTP Improvements - High Service Pump No. 2 Replacement	City of Campbellsville, KY - Mayor Tony Young, 270-465-7011	Monarch Engineering, Inc., Lawrenceburg, KY, 502-839-1310	2017	\$242,002
Frankfort WWTP Seplage Receiving Station	Frankfort Sewer Department - Kenny Hogsten, 502-875-2448	Strand Associates, Inc. - John Hemford, Louisville, KY, 502-583-7020	2017	\$69,700
Water Treatment Plant Improvements - Contract 2	Cave Run Water Commission - Edward Bryant, 606-768-6665	KY Engineering Group, LLC - Bryan Lovan, Versailles, KY, 859-251-4127	2017	\$563,813
GMWSS No. 1 Digester Sludge Pump Replacement	GMWSS - Brad Parrent, C5- 859-509-5673	KY Engineering Group, LLC - Bryan Lovan, Versailles, KY, 859-251-4127	2017	\$232,250
Radcliff WWTP Mechanical Bar Screen	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Hardin County Water District No. 1, Jim Boyken, PE, 1400 Rogersville Road, Radcliff, KY 270-352-3222	2017	\$227,700
Radcliff WWTP Ultraviolet Disinfection System	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Hardin County Water District No. 1, Jim Boyken, PE, 1400 Rogersville Road, Radcliff, KY 270-352-3222	2017	\$404,400

Herrick Company, Inc.
2176 Waddy Road
Lawrenceburg, KY 40342

BID: West Hickman WWTP RAS/WAS Pump Station Improvements

Bid Date: January 19, 2023

Item 9: Current Bonded Contracts

<u>Project Name</u>	<u>Amount</u>	<u>Contract Date</u>	<u>Status</u>
Burkesville WWTP Improvements Burkesville, KY – Wastewater Treatment Plant Owner: City of Burkesville, KY, 378 Keen Street, Burkesville, KY, Ed Peretto (270-864-5670) GRW Engineers, Inc.: Mike Jacobs, 801 Corporate Drive, Lexington, KY (859-223-3999) HCI Superintendent: Greg Gribbins	\$3,638,066	Sept-20	Complete, pending final payment
2020 WTP Improvements Louisa, KY – Water Treatment Plant Owner: City of Louisa, KY, 251 N Main Cross St., Louisa, KY, Mayor Harold Slone (606-638-4500) Kentucky Engineering Group: Ryan Carr, PO Box 1034, Versailles, KY (859-251-4127) HCI Superintendent: Chad Holbrook	\$502,632	June-21	In process
WWTP Improvements – Phase 1 Middlesboro, KY – Wastewater Treatment Plant Owner: City of Middlesboro, KY, 221 North 21 st St, Middlesboro, KY, Josh Campbell (606-248-7625) Vaughn & Melton Consulting Engineers, Inc.: Mitch Brunnsma (606-248-6600) HCI Superintendent: Chad Holbrook	\$1,151,800	Aug-21	In process
E.C. McManis WWTP Headworks Improvements Frankfort, KY – Wastewater Treatment Plant Owner: City of Frankfort, Carl Groce, 1200 KY Avenue, Frankfort, KY (502-395-0256) Engineer: Bell Engineering, David Schrader, 2480 Fortune Drive, Ste. 350, Lexington, KY (859-278-5412) HCI Superintendent: Chad Holbrook	\$1,393,000	June-21	In process
WTP Ammonia System & Sulfuric Acid Upgrades Frankfort, KY – Water Treatment Plant Owner: Frankfort Electric & Water Plant Board, Sharmista Dutton, 502-352-4372, 151 Flynn Ave, Frankfort, KY Engineer: HDR, Brent Tippey, 859-629-4831, 2517 Sir Barton Way, Lexington, KY HCI Superintendent: Chad Holbrook	\$685,000	Dec-21	In process

E.C. McManis WWTP Blowers \$701,670 Apr-22 In Process
Frankfort, KY – Wastewater Treatment Plant
Owner: Frankfort Sewer Department, Kenny Hogsten, 1200 Kentucky Ave, Frankfort, KY (502-875-2448)
Engineer: Bell Engineering, David Schrader, 2480 Fortune Dr, Ste 350, Lexington, KY (859-278-5412)
HCI Superintendent: Chad Holbrook

Mayfield Emergency Clarifiers Modifications \$349,000 Mar-22 In Process
Mayfield, KY – Wastewater Treatment Plant
Owner: Mayfield Water & Electric Systems, Brent Schultz, 301 E Broadway, Mayfield, KY (270-804-5846)
Engineer: Water Management Services, Steven Jones, 2 International Plaza, STE 401, Nashville, TN
(615-366-6088)
HCI Superintendent: Greg Gribbins

Hartland 3 Pump Station Replacement \$1,110,000 Mar-22 In Process
Lexington, KY – Wastewater Treatment Plant
Owner: LFUCG, Division of Water Quality, Robert Peterson, 200 E Main, Lexington, KY (859-223-3999)
Engineers: Bell Engineering, Jonathan Rehner, 2480 Fortune Dr., Lexington, KY (859-278-5412); Hazen and
Sawyer, Kurt Zehnder, 230 Lexington Green Circle, Lexington, KY (859-219-1126); GRW Engineers, Mike
Jacobs, 801 Corporate Drive, Lexington, KY (859-223-3999)
HCI Superintendent: Greg Gribbins

Hartland 2 Pump Station Replacement \$256,000 Jul-22 In Process
Lexington, KY – Wastewater Treatment Plant
Owner: LFUCG, Division of Water Quality, Robert Peterson, 200 E Main, Lexington, KY (859-223-3999)
Engineers: Bell Engineering, Jonathan Rehner, 2480 Fortune Dr., Lexington, KY (859-278-5412); GRW
Engineers, Mike Jacobs, 801 Corporate Drive, Lexington, KY (859-223-3999)
HCI Superintendent: Greg Gribbins

George W. Arnold Water Treatment Facility \$698,995 Jan-22 In Process
Upgrades & Expansion
Guthrie, KY – Water Treatment Plant
Owner: Logan-Todd Regional Water Commission, Kyle Kenner, 248 Tower Street, Guthrie, KY (270-482-
6990)
Engineers: Strand Associates, Andrew Esarey, 325 W. Main, Louisville, KY (502-583-7020)
HCI Superintendent: Chad Holbrook

Black Oak Sewer – Contract 2 \$2,044,000 Jul-22 In Process
Vanceburg, KY – Wastewater Treatment Plant
Owner: Vanceburg Electric Plant Board, Bill Stone, 606-769-3077, 191 Front St, Vanceburg, KY
Engineer: HMB, Jeff Reynolds, 502-680-1735, 3 HMB Circle, Frankfort, KY
HCI Superintendent: Bill Robinson

Water System Improvements, Contract 12 \$6,340,000 Jun-22 In Process
Sandy Hook, KY – Water Treatment Plant
Owner: Sandy Hook Water Dist., Kevin Winkleman, 606-738-6282, 474 Howards Creek Rd, Sandy Hook, KY
Engineer: Bluegrass Engineering, Bryan Lovan, 859-351-1714, 222 E Main St, Georgetown, KY
HCI Superintendent: Donny Vance

West Hickman WWTP Final Clarifier Stairs \$168,850 Jun-22 In Process
Lexington, KY – Wastewater Treatment Plant
Owner: LFUCG, Emily Epperson, 301 Jimmie Campbell Drive, Lexington, KY (859-425-2406)
Engineer: Strand Associates, Inc. 1525 Bull Lea Road, Ste. 100, Lexington, KY (859-225-8500)
HCI Superintendent: Greg Gribbins

West Hickman WWTP BPR Walkways \$275,000 Jun-22 In Process
Lexington, KY – Wastewater Treatment Plant
Owner: LFUCG, Emily Epperson, 301 Jimmie Campbell Drive, Lexington, KY (859-425-2406)
Engineer: R.E. Jackson Engineers, PLLC, 811 Corporate Drive, Lexington, KY (859-280-2909)
HCI Superintendent: Greg Gribbins

Pirtle Springs WTP Filter Media Replacement \$2,157,500 Dec-22 Pending Start
Cecilia, KY – Water Treatment Plant
Owner: Hardin County Water District No. 1
Engineer: HCWD No. 1, Daniel Clifford, 1400 Rogersville Road, Radcliff, KY (270-351-3222)
HCI Superintendent: TBD

County Booster Station Replacement \$312,000 Oct-22 Pending Start
Lewisport, KY – Water Treatment Plant
Owner: City of Lewisport, KY
Engineer: Bluegrass Engineering, Matthew Curtis, 222 East Main Street, Georgetown, KY (502-370-6551)
HCI Superintendent: TBD

Westside WWTP Clarifier No. 3 \$2,888,000 Dec-22 Pending Start
Madisonville, KY– Wastewater Treatment Plant
Owner: City of Madisonville, KY
Engineer: Eclipse Engineers, PLLC, Alan Robinson, 113 W Mt. Vernon St., Somerset, KY (606-451-0959)
HCI Superintendent: TBD

Dry Run Influent Pump Station \$4,299,800 Oct-22 In Process
Shelbyville, KY – Wastewater Treatment Plant
Owner: Shelbyville Municipal Water & Sewer Commission
Engineer: HDR Engineering, Inc., Reese Walton, 401 W Main Street, Louisville, KY
HCI Superintendent: Chad Holbrook

HERRICK COMPANY, INC.

BID: West Hickman WWTP RAS/WAS Pump Station Improvements

Item 10: Key Personnel & Item 8: Statement of Experience

Donna S. Herrick - Chief Executive Officer

HCI Start Date: 1/26/96 (Years in construction - 27)

Experience: Management, cost-tracking, asset acquisition, budgeting, financial planning, risk management and control, project analysis, corporate taxes, human resources and payroll, new business start-ups

H. Douglas Herrick - Owner

HCI Start Date: 1/26/96 (Years in construction - 48)

Experience: Management, SFR contract builder, carpentry, estimating, supervisor on water- and waste-water treatment projects, including new construction, renovation, maintenance and emergency work

Cody M. Lokits - President

HCI Start Date: 2/8/22 (Years in construction - 12)

Experience: BS in Civil Engineering, project engineer, project manager, project estimating, cost tracking & containment, safety officer

Donny Vance, Jr. - Superintendent

HCI Start Date: 1/23/23 (Years in construction - 30)

Experience: Carpentry, electrical, layout & design, supervisory

Chadrick D. Holbrook - Superintendent

HCI Start Date: 1/19/18 (Years in construction - 18)

Experience: Operator, carpentry, supervisory

William D. Robinson - Superintendent

HCI Start Date: 7/5/22 (Years in construction - 47)

Experience: Carpentry, mechanical, layout & design, supervisory

Gregory A. Gribbins - Superintendent

HCI Start Date: 1/7/19 (Years in construction - 30)

Experience: Foreman, layout, carpentry, supervisory

Ryan P. Gabbard - Project Manager / Superintendent

HCI Start Date: 12/30/00 (Years in construction - 22)

Experience: Laborer, rebar foreman, layout, carpentry, tower construction, supervisory, project manager

Sabrina K. Taylor - Office Manager / Payroll Administrator

HCI Start Date: 10/09/06 (Years in construction - 17)

Experience: Payroll administrator & Human Resources management, project reporting, equipment control & maintenance, payables & receivables, year-end information reporting, quarterly tax filing, field work as labor & forklift operator

Reno R. Dell'Acqua - Project Coordinator

HCI Start Date: 5/25/22 (Years in construction/treatment plant industry - 10)

Experience: Operator, carpentry, mechanical installation, plumbing, welding, concrete finishing

Herrick Company, Inc.
2176 Waddy Road
Lawrenceburg, KY 40342
502-839-3484
502-839-0939 Fax

Bid: West Hickmant WWTP RAS/WAS PS Improvements

Date: January 19, 2023

Required Attachment: Bidders Qualification Statement, Schedule C

- 35-ton Grove RT Crane
- 15-ton Boom Truck
- 50-ton P&H Truck Crane
- CAT D5 Dozer
- CAT 953 Loader
- CAT 315 Excavator
- CAT 416 Backhoe
- CAT 420 Backhoe
- CAT 420E Backhoe
- CAT 302.5 Mini-Excavator
- Hyundai R35ZCR Mini-Excavator
- Hyundai Robex 80CR Midsize Excavator
- JLG 450AJ 45' Articulating Boom Man Lift
- Ingersol Rand VR 70B Tele-Handler Fork Lift
- Bobcat Skid Steer Loader
- Bobcat Track Skid Steer Loader
- International Flat Bed Dump
- (2) 185 Air Compressors
- 6" Diesel Pump
- Two (2) Kundel 8' x 20' trench boxes
- (11) pickup trucks
- Miscellaneous small equipment and tools

Purchase dates vary. All equipment in good condition with above-average values.