

PART III
FORM OF PROPOSAL

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PART III

Invitation to Bid No. 77-2019

**Fourth Street Corridor Enhancement and
Legacy Trail Phase 3 Projects**

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: 9/13/19

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Pace Contracting, LLC

15415 Shelbyville Road, Louisville, KY, 40245

(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky _____, doing business as an LLC.

_____ "a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the **Fourth Street Corridor Enhancement and Legacy Trail Phase 3 Projects** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

BIDDER hereby agrees to commence work under this contract as to be specified in the Notice to Proceed and to fully complete the project within **two-hundred seventy (270) consecutive calendar days** thereafter. BIDDER further agrees to pay liquidated damages, the sum of \$2,400.00 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

- Addendum No. 1 Date 8/15/19
- Addendum No. 2 Date 8/21/19
- Addendum No. 3 Date 8/27/19
- Addendum No. 4 Date 8/30/19
- Addendum No. 5 Date 9/6/19
- Addendum No. 6 Date 9/10/19
- Addendum No. Date
- Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. LEGAL STATUS OF BIDDER

Bidder Pace Contracting, LLC.

Date 9/13/19

* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Tom Wood, bearing the official title of Administrative Member, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

~~* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)~~

~~* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)~~

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

ANNUAL AFFIDAVIT FOR BIDDERS, OFFERORS AND CONTRACTORS

Affidavit Effective Date: 1/1/19
Affidavit Expiration Date: 12/31/21
Maximum Length One-Year 3 year

REQUIRED AFFIDAVIT FOR BIDDERS, OFFERORS AND CONTRACTORS

FOR BIDS AND CONTRACTS IN GENERAL:

- I. Each bidder or offeror swears and affirms under penalty of perjury, that:
- a. In accordance with KRS 45A.110 and KRS 45A.115, neither the bidder or offeror as defined in KRS 45A.070(6), nor the entity which he/she represents, has knowingly violated any provisions of the campaign finance laws of the Commonwealth of Kentucky; and the award of a contract to the bidder or offeror or the entity which he/she represents will not violate any provisions of the campaign finance laws of the Commonwealth.
 - b. The bidder or offeror swears and affirms under penalty of perjury that, to the extent required by Kentucky law, the entity bidding, and all subcontractors therein, are aware of the requirements and penalties outlined in KRS 45A.485; have properly disclosed all information required by this statute; and will continue to comply with such requirements for the duration of any contract awarded.
 - c. The bidder or offeror swears and affirms under penalty of perjury that, to the extent required by Kentucky law, the entity bidding, and its affiliates, are duly registered with the Kentucky Department of Revenue to collect and remit the sales and use tax imposed by KRS Chapter 139, and will remain registered for the duration of any contract awarded.
 - d. The bidder or offeror swears and affirms under penalty of perjury that the entity bidding is not delinquent on any state taxes or fees owed to the Commonwealth of Kentucky and will remain in good standing for the duration of any contract awarded.

FOR "NON-BID" CONTRACTS (I.E. SOLE-SOURCE; NOT-PRACTICAL OR FEASIBLE TO BID; OR EMERGENCY CONTRACTS, ETC):

- II. Each contractor further swears and affirms under penalty of perjury, that:
- a. In accordance with KRS 121.056, and if this is a non-bid contract, neither the contractor, nor any member of his/her immediate family having an interest of 10% or more in any business entity involved in the performance of any contract awarded, have contributed more than the amount specified in KRS 121.150 to the

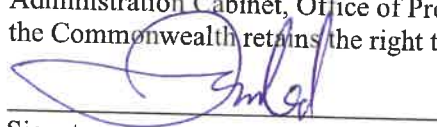
campaign of the gubernatorial slate elected in the election last preceding the date of contract award.

b. In accordance with KRS 121.330(1) and (2), and if this is a non-bid contract, neither the contractor, nor officers or employees of the contractor or any entity affiliated with the contractor, nor the spouses of officers or employees of the contractor or any entity affiliated with the contractor, have knowingly contributed more than \$5,000 in aggregate to the campaign of a candidate elected in the election last preceding the date of contract award that has jurisdiction over this contract award.

c. In accordance with KRS 121.330(3) and (4), and if this is a non-bid contract, to the best of his/her knowledge, neither the contractor, nor any member of his/her immediate family, his/her employer, or his/her employees, or any entity affiliated with any of these entities or individuals, have directly solicited contributions in excess of \$30,000 in the aggregate for the campaign of a candidate elected in the election last preceding the date of contract award that has jurisdiction over this contract.

As a duly authorized representative for the bidder, offeror, or contractor, I have fully informed myself regarding the accuracy of all statements made in this affidavit, and acknowledge that the Commonwealth is reasonably relying upon these statements, in making a decision for contract award and any failure to accurately disclose such information may result in contract termination, repayment of funds and other available remedies under law. If the bidder, offeror, or contractor becomes non-compliant with any statements during the affidavit effective period, I will notify the Finance and Administration Cabinet, Office of Procurement Services immediately. I understand that the Commonwealth retains the right to request an updated affidavit at any time.

Signature



Tom Wood

Printed Name

Administrative Member

Title

9/13/19

Date

Company Name

Pace Contracting, LLC

Address

15415 Shelbyville Road

Louisville, KY. 40245

Subscribed and sworn to before me by Tom Wood

(Affiant)

Administrative Member

(Title)

of Pace Contracting, LLC this 13 day of September, 20 19.
(Company Name)

[Handwritten Signature]



My commission expires:

1/30/20

BIDDERS AFFIDAVIT (LFUCG)

Comes the Affiant, Tom Wood, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Tom Wood and he/she is the individual submitting the bid or is the authorized representative of Pace Contracting, LLC, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
6. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance

defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

[Signature] _____
Signature Tom Wood _____
Printed Name

Administrative Member _____
Title 9/13/19 _____
Date

Company Name Pace Contracting, LLC _____

Address 15415 Shelbyville Road Louisville, KY. 40245 _____

Subscribed and sworn to before me by Tom Wood _____
(Affiant)

Administrative Member _____
(Title)

of Pace Contracting, LLC _____ this 13 day of September, 2019.
(Company Name)

[Signature] _____
Notary Public
[seal of notary] My commission expires: 1/30/20


4. **BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

The contract, if awarded, will be on the basis of materials and equipment specified in the specifications without consideration of possible substitute or "or equal" items.

The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

Payment:

Accepted quantities for Work shall be paid for at their respective Contract "Unit Price" as quoted (which shall be for all Work required under this Section) and paid per "Unit To Bid On" of specified "Description" satisfactorily placed. All labor, materials, equipment, and excavation shall be incidental to the Work.

Digital Bid Submittals:

Bidders will also be required to submit a digital version of the Unit Prices and Total Amount Bid. The excel spreadsheet is available through the LFUCG's Economic Engine website (<https://lfucg.economicengine.com>). If there is a discrepancy between the digital and written versions, then the written version shall prevail.

Legacy Trail Phase 3 and Fourth Street Corridor Enhancement Projects

Bid Schedule: Bid 77-2019

County:	Fayette
Project No.	P578 and P656
Road Name	Jefferson Street, Third Street, Fourth Street, Elm Tree Lane, Shrophire, Grinstead
From To	Jefferson at 4th to 3rd at Midland Ave
Net Length	2.3 miles
BASE BID	
	This Form is a Substitution for Sheets P-10 thru P-24

ITEM NUMBER	UNIT	ITEM DESCRIPTION	Quantity	Unit Price	Total Price
1	TON	DGA BASE	2,844	\$ 30.00	\$ 85,320.00
2	TON	CRUSHED STONE BASE NO 2	1,768	\$ 32.00	\$ 56,576.00
3	TON	LEVELING & WEDGING PG64-22	56	\$ 111.00	\$ 6,216.00
4	TON	CL3 ASPH BASE 1.00D PG64-22	2,500	\$ 92.00	\$ 230,000.00
5	TON	ASPHALT MATERIAL FOR TACK	7.7	\$ 0.13	\$ 1.00
6	TON	CL3 ASPH SURF 0.38B PG64-22	2,182	\$ 111.00	\$ 242,202.00
7	LF	STORM SEWER PIPE-12 IN	35	\$ 156.00	\$ 5,460.00
8	LF	STORM SEWER PIPE-15 IN	241	\$ 122.00	\$ 29,402.00
9	LF	STORM SEWER PIPE-18 IN	678	\$ 108.00	\$ 73,224.00
10	LF	STORM SEWER PIPE-24 IN	68	\$ 141.00	\$ 9,588.00
11	EACH	CURB BOX INLET TYPE A	14	\$ 5,590.00	\$ 78,260.00
12	EACH	CURB BOX INLET TYPE B	2	\$ 5,060.00	\$ 10,120.00
13	EACH	CURB BOX INLET TYPE F	1	\$ 4,531.00	\$ 4,531.00
14	EACH	JUNCTION BOX-15 IN	2	\$ 1,932.00	\$ 3,864.00
15	EACH	CORED HOLE DRAINAGE BOX CON-6 IN	2	\$ 85.00	\$ 170.00
16	EACH	CORED HOLE DRAINAGE BOX CON-8 IN	1	\$ 108.00	\$ 108.00
17	EACH	ADJUST MANHOLE FRAME TO GRADE	12	\$ 431.00	\$ 5,172.00
18	LF	STANDARD CURB AND GUTTER	351	\$ 46.00	\$ 16,146.00
19	LF	STANDARD HEADER CURB	2,911	\$ 40.00	\$ 116,440.00
20	LF	REMOVE CURB	4,306	\$ 10.00	\$ 43,060.00
21	SQYD	PCC BASE-8 IN	382	\$ 52.00	\$ 19,864.00
22	SQYD	JPC PAVEMENT-8 IN	438	\$ 108.00	\$ 47,304.00
23	SQYD	REMOVE PAVEMENT	15,430	\$ 15.00	\$ 231,450.00
24	SQYD	CEM CONC ENT PAVEMENT-6 IN	1,114	\$ 79.00	\$ 88,006.00
25	CUYD	PIPE UNDERCUT	60	\$ 41.00	\$ 2,460.00
26	LF	Remove Fence	220	\$ 15.00	\$ 3,300.00
27	LF	Reset Fence	60	\$ 142.00	\$ 8,520.00
28	LS	CLEARING AND GRUBBING	1	\$ 111,000.00	\$ 111,000.00
29	LS	MOBILIZATION	1	\$ 194,640.00	\$ 194,640.00
30	LS	DEMOBILIZATION	1	\$ 34,542.00	\$ 34,542.00
31	SQYD	FABRIC GEOTEXTILE TY IV FOR PIPE	400	\$ 4.00	\$ 1,600.00
32	LS	MAINTAIN & CONTROL TRAFFIC	1	\$ 151,227.00	\$ 151,227.00
33	LS	MOBILIZATION FOR MILL & TEXT	1	\$ 3,976.00	\$ 3,976.00
34	TON	ASPHALT PAVE MILLING & TEXTURING	1,847	\$ 32.00	\$ 59,104.00
35	SQYD	SIDEWALK 4-1/2 IN CONCRETE	4,723	\$ 70.00	\$ 330,610.00
36	LS	STAKING	1	\$ 70,000.00	\$ 70,000.00
37	SQYD	SEEDING AND PROTECTION	3,121	\$ 4.00	\$ 12,484.00
38	SQYD	SODDING	2,488	\$ 17.00	\$ 42,296.00
39	SQFT	SBM ALUM SHEET SIGNS .080 IN	543	\$ 16.00	\$ 8,688.00
40	LF	STEEL POST TYPE 1	46	\$ 17.00	\$ 782.00
41	LF	STEEL POST TYPE 2	501	\$ 16.00	\$ 8,016.00
42	CUYD	CLASS A CONCRETE FOR SIGNS	2	\$ 85.00	\$ 170.00

43	LF	PAVE STRIPING-THERMO-4 IN W	15,469	\$ 0.40	\$ 6,187.60
44	LF	PAVE STRIPING-THERMO-4 IN Y	12,426	\$ 0.40	\$ 4,970.40
45	LF	PAVE STRIPING-THERMO-8 IN W	135	\$ 5.00	\$ 675.00
46	LF	PAVE STRIPING-THERMO-8 IN Y	60	\$ 5.00	\$ 300.00
47	LF	PAVE STRIPING-THERMO-12 IN W	144	\$ 9.00	\$ 1,296.00
48	LF	PAVE STRIPING-DUR TY 1-6 IN W	396	\$ 5.00	\$ 1,980.00
49	LF	PAVE MARKING-THERMO X-WALK-12 IN	448	\$ 9.00	\$ 4,032.00
50	LF	PAVE MARKING-THERMO STOP BAR-12 IN	318	\$ 9.00	\$ 2,862.00
51	LF	PAVE MARKING-THERMO STOP BAR-24 IN	585	\$ 14.00	\$ 8,190.00
52	EACH	PAVE MARKING-THERMO CURV ARROW	5	\$ 136.00	\$ 680.00
53	EACH	PAVE MARKING-THERMO COMB ARROW	3	\$ 187.00	\$ 561.00
54	EACH	PAVE MARKING-THERMO SCHOOL	2	\$ 966.00	\$ 1,932.00
55	EACH	PAVEMENT MARKER TYPE V-BY	80	\$ 40.00	\$ 3,200.00
56	DOLLAR	FUEL ADJUSTMENT	10,216	\$ 1.00	\$ 10,216.00
57	DOLLAR	ASPHALT ADJUSTMENT	21,018	\$ 1.00	\$ 21,018.00
58	EACH	PAVE MARKING THERMO-BIKE	49	\$ 199.00	\$ 9,751.00
59	EACH	REMOVE SMALL DRAINAGE STRUCTURE	13	\$ 665.00	\$ 8,645.00
60	LS	EROSION CONTROL	1	\$ 13,430.00	\$ 13,430.00
61	LF	INTEGRAL WALK AND HEADER CURB	60	\$ 104.00	\$ 6,240.00
62	SQYD	MONOLITHIC CURB AND SIDEWALK	685	\$ 67.00	\$ 45,895.00
63	LF	LFUCG CURB AND GUTTER TYPE 1	849	\$ 36.00	\$ 30,564.00
64	SQFT	INSTALL DETECTABLE WARNINGS	1,346	\$ 28.00	\$ 37,688.00
65	LF	STORM LATERAL-6 IN	152	\$ 64.00	\$ 9,728.00
66	LF	STORM LATERAL-8 IN	113	\$ 81.00	\$ 9,153.00
67	LF	STORM LATERAL-10 IN	12	\$ 127.00	\$ 1,524.00
68	EACH	LFUCG TYPE "A" MANHOLE (5 FT DIAMETER)	3	\$ 7,088.00	\$ 21,264.00
69	EACH	LFUCG TYPE "A" MANHOLE (6 FT DIAMETER)	1	\$ 7,672.00	\$ 7,672.00
70	EACH	LFUCG TYPE "B" MANHOLE (5 FT SQUARE)	5	\$ 7,053.00	\$ 35,265.00
71	EACH	MODIFIED LFUCG CBI TYPE "B"	7	\$ 7,095.00	\$ 49,665.00
72	EACH	RECONSTRUCT INLET	3	\$ 734.00	\$ 2,202.00
73	EACH	PAVE MARKING-SPEED HUMP ARROW	6	\$ 540.00	\$ 3,240.00
74	EACH	PAVE MARKING-THERMO BIKE LANE ARROW	38	\$ 57.00	\$ 2,166.00
75	EACH	PAVE MARKING-THERMO SHARED LANE	12	\$ 210.00	\$ 2,520.00
76	LF	PAVE MARKING-THERMO X-WALK-24 IN	1,732	\$ 14.00	\$ 24,248.00
77	SQFT	PAVE MARKING-THERMO GREEN DASHED BIKE LANE	4,102	\$ 9.00	\$ 36,918.00
78	EACH	REMOVE AND RELOCATE SIGN ASSEMBLY	26	\$ 290.00	\$ 7,540.00
79	EACH	REMOVE SIGN ASSEMBLY	51	\$ 108.00	\$ 5,508.00
80	EACH	REMOVE AND RESET BOLLARD	4	\$ 855.00	\$ 3,420.00
81	LF	SALVAGE EXISTING LIMESTONE HEADER CURB	1,129	\$ 37.00	\$ 41,773.00
82	LF	INSTALL LIMESTONE HEADER CURB	1,137	\$ 111.00	\$ 126,207.00
83	LF	DELIVER LIMESTONE HEADER CURB	120	\$ 135.00	\$ 16,200.00
84	SQFT	COLORIZED PAVEMENT DEMARCATION-GREEN	56,334	\$ 4.50	\$ 253,503.00
85	SQYD	COLORED JPC PAVEMENT	149	\$ 189.00	\$ 28,161.00
86	EACH	FLAG POLE ASSEMBLY	4	\$ 8,330.00	\$ 33,320.00
87	EACH	INTERPRATIVE SIGN	8	\$ 3,217.00	\$ 25,736.00
88	SQYD	DECORATIVE CONCRETE SIDEWALK	1,390	\$ 80.00	\$ 111,200.00
89	LF	DECORATIVE CONCRETE BAND	175	\$ 27.00	\$ 4,725.00
90	EACH	CAST STONE MEDALLION	24	\$ 592.00	\$ 14,208.00
91	EACH	GATEWAY COLUMN	1	\$ 14,518.00	\$ 14,518.00
92	EACH	GATEWAY PEDESTAL	3	\$ 5,284.00	\$ 15,852.00
93	EACH	MILE MARKER	1	\$ 2,307.00	\$ 2,307.00
94	EACH	SEATWALL	9	\$ 8,502.00	\$ 76,518.00
95	EACH	BIKE RACK, TYPE B	7	\$ 636.00	\$ 4,452.00
96	EACH	BIKE RACK, TYPE C	13	\$ 665.00	\$ 8,645.00
97	EACH	BIKE RACK, TYPE D	7	\$ 665.00	\$ 4,655.00

98	EACH	BIKE RACK, TYPE E	13	\$	689.00	\$	8,957.00
99	EACH	GROUND COVER	2,730	\$	6.00	\$	16,380.00
100	EACH	PERENNIAL	511	\$	34.00	\$	17,374.00
101	EACH	SHRUB	90	\$	72.00	\$	6,480.00
102	EACH	FLOWERING TREE	12	\$	381.00	\$	4,572.00
103	EACH	SHADE TREE	2	\$	1,144.00	\$	2,288.00
104	LF	LIMESTONE CURB RAISED PLANTING BED	132	\$	153.00	\$	20,196.00
105	LS	Payment and Performance Bond	1	\$	37,528.00	\$	37,528.00
106	LS	Allowance for Testing	1	\$	10,000.00	\$	10,000.00
							\$ 3,794,000.00
BID ALTERNATE NO. 1 - ELM TREE							
1	TON	DGA BASE	75	\$	30.00	\$	2,250.00
2	TON	CRUSHED STONE BASE NO 2	223	\$	32.00	\$	7,136.00
3	TON	CL3 ASPH BASE 1.00D PG64-22	120	\$	92.00	\$	11,040.00
4	TON	ASPHALT MATERIAL FOR TACK	1	\$	1.43	\$	1.00
5	TON	CL3 ASPH SURF 0.38B PG64-22	244	\$	111.00	\$	27,084.00
6	SQYD	REMOVE PAVEMENT	323	\$	15.00	\$	4,845.00
7	TON	ASPHALT PAVE MILLING & TEXTURING	244	\$	32.00	\$	7,808.00
							\$ 60,164.00
BID ALTERNATE NO. 2 - JEFFERSON							
1	TON	DGA BASE	58	\$	30.00	\$	1,740.00
2	TON	CRUSHED STONE BASE NO 2	172	\$	32.00	\$	5,504.00
3	TON	CL3 ASPH BASE 1.00D PG64-22	93	\$	92.00	\$	8,556.00
4	TON	ASPHALT MATERIAL FOR TACK	1	\$	1.67	\$	1.00
5	TON	CL3 ASPH SURF 0.38B PG64-22	189	\$	111.00	\$	20,979.00
6	SQYD	REMOVE PAVEMENT	249	\$	15.00	\$	3,735.00
7	TON	ASPHALT PAVE MILLING & TEXTURING	189	\$	32.00	\$	6,048.00
							\$ 46,563.00
Total							
							\$ 3,794,000.00
Bid Alt #1							\$ 60,164.00
Bid Alt #2							\$ 46,563.00
Grand Total							\$ 3,900,727.00

Fourth Street Corridor Enhancement and Legacy Trail Phase 3 Projects
- Base Bid

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid
1	2,844	TN	DGA Base		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Ton		
2	1,768	TN	Crushed Stone Base No. 2		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Ton		
3	56	TN	Leveling and Wedging PG64-22		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Ton		
4	2,500	TN	CL3 Asphalt Base 1.00D PG64-22		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Ton		
5	7.7	TN	Asphalt Material for Tack		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Ton		
6	2,182	TON	CL3 Asphalt Surf 0.38B PG64-22		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Lump Sum		
7	35	LF	Storm Sewer Pipe -12 In		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Linear Foot		
8	241	LF	Storm Sewer Pipe -15 In		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Linear Foot		

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid	
9	678	LF	Storm Sewer Pipe -18 In	Dollars	\$ _____	
				Cents		\$ _____
			Per Linear Foot			
10	68	LF	Storm Sewer Pipe -24 In	Dollars	\$ _____	
				Cents		\$ _____
			Per Linear Foot			
11	14	EA	Curb Box Inlet Type A	Dollars	\$ _____	
				Cents		\$ _____
			Per Each			
12	2	EA	Curb Box Inlet Type B	Dollars	\$ _____	
				Cents		\$ _____
			Per Each			
13	1	EA	Curb Box Inlet Type F	Dollars	\$ _____	
				Cents		\$ _____
			Per Each			
14	2	EA	Junction Box-15 In	Dollars	\$ _____	
				Cents		\$ _____
			Per Each			
15	2	EA	Cored Hole Drainage Box Con-6 In	Dollars	\$ _____	
				Cents		\$ _____
			Per Each			
16	1	EA	Cored Hole Drainage Box Con-8 In	Dollars	\$ _____	
				Cents		\$ _____
			Per Each			
17	12	EA	Adjust Manhole Frame to Grade	Dollars	\$ _____	
				Cents		\$ _____
			Per Each			

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid
18	351	LF	Standard Curb and Gutter		
			Dollars	\$ _____	\$ _____
			Cents		
			Per linear Foot		
19	2,911	LF	Standard Header Curb		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		
20	4,306	LF	Remove Curb		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		
21	382	SY	PCC Base-8 In		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Square Yard		
22	438	SY	JPC Pavement-8 In		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Square Yard		
23	15,430	SY	Remove Pavement		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Square Yard		
24	1,114	SY	Cement Concrete Ent Pavement-6 In		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Square Yard		
25	60	CY	Pipe Undercut		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Cubic Yard		
25	220	LF	Remove Fence		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid
27	60	LF	Reset Fence	Dollars	\$ _____
				Cents	
			Per Linear Foot		
28	1	LS	Clearing and Grubbing	Dollars	\$ _____
				Cents	
			Per Lump Sum		
29	1	LS	Mobilization (5% maximum)	Dollars	\$ _____
				Cents	
			Per Lump Sum		
30	1	LS	Demobilization (minimum 1.5%)	Dollars	\$ _____
				Cents	
			Per Lump Sum		
31	400	SY	Fabric Geotextile TY IV for Pipe	Dollars	\$ _____
				Cents	
			Per Square Yard		
32	1	LS	Maintain and Control Traffic	Dollars	\$ _____
				Cents	
			Per Lump Sum		
33	1	LS	Mobilization for Mill and Texturing	Dollars	\$ _____
				Cents	
			Per Lump Sum		
34	1,847	TN	Asphalt Pave Milling and Texturing	Dollars	\$ _____
				Cents	
			Per Ton		
35	4,723	SY	4 ½" Concrete Sidewalk	Dollars	\$ _____
				Cents	
			Per Square Yard		

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid
36	1	LS	Staking		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Lump Sum		
37	3,121	SY	Seeding and Protection		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Square Yard		
38	2,488	SY	Sodding		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Square Yard		
39	543	SF	SBM Alum Sheet Signs .080 in		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Square Foot		
40	46	LF	Steel Post Type 1		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		
41	501	LF	Steel Post Type 2		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		
42	2	CY	Class A Concrete for Signs		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Cubic Yard		
43	15,469	LF	Pavement Striping-Thermo-4 In W		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		
44	12,426	LF	Pavement Striping-Thermo-4 In Y		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid
45	135	LF	Pavement Striping-Thermo-8 In W		
			_____ Dollars _____ Cents	\$ _____	\$ _____
			Per Linear Foot		
46	60	LF	Pavement Striping-Thermo-8 In Y		
			_____ Dollars _____ Cents	\$ _____	\$ _____
			Per Linear Foot		
47	144	LF	Pavement Striping-Thermo-12 In W		
			_____ Dollars _____ Cents	\$ _____	\$ _____
			Per Linear Foot		
48	396	LF	Pavement Striping-Dur TY 1-6 In W		
			_____ Dollars _____ Cents	\$ _____	\$ _____
			Per Linear Foot		
49	448	LF	Pavement Marking-Thermo-X Walk-12 In		
			_____ Dollars _____ Cents	\$ _____	\$ _____
			Per Linear Foot		
50	318	LF	Pavement Marking-Thermo-Stop Bar -12 In		
			_____ Dollars _____ Cents	\$ _____	\$ _____
			Per Linear Foot		
51	585	LF	Pavement Marking-Thermo-Stop Bar -24 In		
			_____ Dollars _____ Cents	\$ _____	\$ _____
			Per Linear Foot		
52	5	EA	Pavement Marking-Thermo-Curv Arrow		
			_____ Dollars _____ Cents	\$ _____	\$ _____
			Per Each		
53	3	EA	Pavement Marking-Thermo-Combo Arrow		
			_____ Dollars _____ Cents	\$ _____	\$ _____
			Per Each		

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid
54	2	EA	Pavement Marking-Thermo School _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
55	80	EA	Pavement Marker Type V-BY _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
56	10,216	DOLLAR	Fuel Adjustment _____ Dollars _____ Cents Per Dollar	\$1.00	\$10,216
57	21,018	DOLLAR	Asphalt Adjustment _____ Dollars _____ Cents Per Dollar	\$1.00	\$21,018
58	49	EA	Pavement Marking Thermo-Bike _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
59	13	EA	Remove Small Drainage Structure _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
60	1	LS	Erosion Control _____ Dollars _____ Cents Per Lump Sum	\$ _____	\$ _____
61	60	LF	Integral Walk and Header Curb _____ Dollars _____ Cents Per Linear Foot	\$ _____	\$ _____
62	685	SY	Monolithic Curb and Sidewalk _____ Dollars _____ Cents Per Square Yard	\$ _____	\$ _____

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid
63	849	LF	LFUCG Curb and Gutter Type 1		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		
64	1,346	SF	Install Detectable Warnings		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Square Foot		
65	152	LF	Storm Lateral-6 In		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		
66	113	LF	Storm Lateral-8 In		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		
67	12	LF	Storm Lateral-10 In		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		
68	3	EA	LFUCG Type "A" Manhole (5 ft Diameter)		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		
69	1	EA	LFUCG Type "A" Manhole (6 ft Diameter)		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		
70	5	EA	LFUCG Type "B" Manhole (5 ft Square)		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		
71	7	EA	Modified LFUC CBI Type "B"		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid
72	3	EA	Reconstruct Inlet _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
73	6	EA	Pavement Marking-Thermo-Speed Hump Arrow _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
74	38	EA	Pavement Marking-Thermo-Bike Lane Arrow _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
75	12	EA	Pavement Marking-Thermo-Shared Lane Arrow _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
76	1,723	LF	Pavement Marking-Thermo-X Walk 24 In Arrow _____ Dollars _____ Cents Per Linear Foot	\$ _____	\$ _____
77	4,102	SF	Pavement Marking-Thermo-Green Dashed Bike Lane _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
78	26	EA	Remove and Relocate Sign Assembly _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
79	51	EA	Remove Sign Assembly _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
80	4	EA	Remove and reset Bollard _____ Dollars _____ Cents Per Each	\$ _____	\$ _____

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid
72	3	EA	Reconstruct Inlet		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		
73	6	EA	Pavement Marking-Thermo-Speed Hump Arrow		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		
74	38	EA	Pavement Marking-Thermo-Bike Lane Arrow		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		
75	12	EA	Pavement Marking-Thermo-Shared Lane Arrow		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		
76	1,732	LF	Pavement Marking-Thermo-X Walk 24 In Arrow		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Linear Foot		
77	4,102	SF	Pavement Marking-Thermo-Green Dashed Bike Lane		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		
78	26	EA	Remove and Relocate Sign Assembly		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		
79	51	EA	Remove Sign Assembly		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		
80	4	EA	Remove and reset Bollard		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Each		

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid
81	1,129	LF	Salvage Existing Limestone Header Curb _____ Dollars _____ Cents Per Linear Foot	\$ _____	\$ _____
82	1,137	LF	Install Limestone Curb _____ Dollars _____ Cents Per Linear Foot	\$ _____	\$ _____
83	120	LF	Deliver Limestone Header Curb _____ Dollars _____ Cents Per Linear Foot	\$ _____	\$ _____
84	56,334	SF	Colorized Pavement Demarcation-Green _____ Dollars _____ Cents Per Square Foot	\$ _____	\$ _____
85	149	SY	Colorized JPC Pavement _____ Dollars _____ Cents Per Square Foot	\$ _____	\$ _____
86	4	EA	Install Flag Pole Assembly _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
87	8	EA	Interpretive Sign _____ Dollars _____ Cents Per Each	\$ _____	\$ _____
88	1,390	SY	Decorative Concrete Sidewalk _____ Dollars _____ Cents Per Square Yard	\$ _____	\$ _____
89	175	LF	Decorative Concrete Band _____ Dollars _____ Cents Per Linear Foot	\$ _____	\$ _____

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written in words	Unit Price	Total Amount Bid	
99	2,730	EA	Ground Cover			
			Dollars	\$ _____	\$ _____	
			Cents			
			Per Each			
100	511	EA	Perennial			
			Dollars	\$ _____	\$ _____	
			Cents			
			Per Each			
101	90	EA	Shrub			
			Dollars	\$ _____	\$ _____	
			Cents			
			Per Each			
102	12	EA	Flowering Tree			
			Dollars	\$ _____	\$ _____	
			Cents			
			Per Each			
103	2	EA	Shade Tree			
			Dollars	\$ _____	\$ _____	
			Cents			
			Per Each			
104	132	LF	Limestone Curb Raised Planting Bed			
			Dollars	\$ _____	\$ _____	
			Cents			
			Per Linear Foot			
105	1	LS	Payment and Performance Bond			
			Dollars	\$ _____	\$ _____	
			Cents			
			Per Lump Sum			
106	1	LS	Allowance for Testing			
			Ten Thousand	Dollars	\$10,000.00	\$10,000.00
			Zero	Cents		
			Per Lump Sum			

See attached Excel Spreadsheet for Itemized Pricing

TOTAL OF BASE BID PRICES FOR Fourth Street Corridor Enhancement and Legacy Trail Phase 3 Projects – Base Bid (Items 1 through 106) in words and figures.
 In case of discrepancy, the amount shown in words will govern.

Three million seven hundred and ninety four thousand dollars and zero cents

_____ (\$ 3,794,000.00 _____)

Bid Alternate #1

Bid Alternate #1 is for Elm Tree Lane resurfacing.

Fourth Street Corridor Enhancement and Legacy Trail Phase 3 Projects
–Bid Alternate #1

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price	Unit Price	Total Amount Bid
1	75	TN	DGA Base		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Ton		
2	223	TN	Crushed Stone Base No. 2		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Ton		
3	120	TN	CL3 Asphalt Base 1.00D PG64-22		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Ton		
4	244	TN	CL3 Asphalt Surf 0.38B PG64-22		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Ton		
5	0.7	TN	Asphalt Material for Tack		
			Dollars	\$ _____	\$ _____
			Cents		
			Per Ton		

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price	Unit Price	Total Amount Bid	
6	323	SY	Remove Pavement			
				Dollars	\$ _____	\$ _____
				Cents	_____	_____
			Per Square Yard			
7	244	TN	Asphalt Pave Milling and Texturing			
				Dollars	\$ _____	\$ _____
				Cents	_____	_____
			Per Ton			

See attached Excel Spreadsheet for Itemized Pricing

TOTAL OF BID PRICE FOR **Fourth Street Corridor Enhancement and Legacy Trail Phase 3 Projects –Bid Alternate #1 (Items 1 through 7)** in words and figures. In case of discrepancy, the amount shown in words will govern.

Sixty thousand one hundred and sixty four dollars and zero cents

(\$ 60,164.00).

Bid Alternate #2

Bid Alternate #2 is for Jefferson Street resurfacing.

Fourth Street Corridor Enhancement and Legacy Trail Phase 3 Projects –Bid Alternate #2

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price	Unit Price	Total Amount Bid	
1	58	TN	DGA Base			
				Dollars	\$ _____	\$ _____
				Cents	_____	_____
			Per Ton			
2	172	TN	Crushed Stone Base No. 2			
				Dollars	\$ _____	\$ _____
				Cents	_____	_____
			Per Ton			

See attached Excel Spreadsheet for Itemized Pricing

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price	Unit Price	Total Amount Bid
3	93	TN	CL3 Asphalt Base 1.00D PG64-22		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Ton		
4	189	TN	CL3 Asphalt Surf 0.38B PG64-22		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Ton		
5	0.6	TN	Asphalt Material for Tack		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Ton		
6	249	SY	Remove Pavement		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Square Yard		
7	189	TN	Asphalt Pave Milling and Texturing		
			Dollars	\$ _____	\$ _____
			Cents	_____	_____
			Per Ton		

See attached Excel Spreadsheet for Itemized Pricing

TOTAL OF BID PRICE FOR Fourth Street Corridor Enhancement and Legacy Trail Phase 3 Projects –Bid Alternate #2 (Items 1 through 7) in words and figures. In case of discrepancy, the amount shown in words will govern.

Forty six thousand five hundred and sixty three dollars and zero cents

(\$ 46,563.00).

****Alternates shall only be considered and added to the Base Bid provided funding is available. LPA reserves the right to add the unselected alternates should additional funding for the project become available.***

Submitted by:

Pace Contracting, LLC

Firm

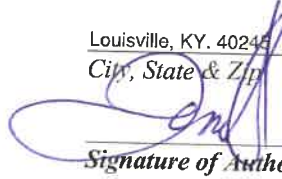
15415 Shelbyville Road

Address

Louisville, KY. 40245

City, State & Zip

***Bid must be signed:
(original signature)***



-Administrative Member

Signature of Authorized Company Representative – Title

Tom Wood

Representative/s Name (Typed or Printed)

(502)815-4149/(502)583-6375

Area Code – Phone –Fax #

tomwood@pacecontractingllc.com

E-Mail Address

OFFICIAL ADDRESS:

15415 Shelbyville Road

Louisville, KY. 40245

(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Pace Contracting, LLC
- 2. Permanent Place of Business: 15415 Snelbyville Road, Louisville, KY. 40245
- 3. When Organized: 1997
- 4. Where Incorporated: Kentucky
- 5. Construction Plant and Equipment Available for this Project:
See attached for equipment summary.

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Western Surety Company (Surety)

Signed: Paula J Teague (Representative of Surety)
Paula J. Teague, Atty-in-Fact

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
See attached		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
See attached		

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
See attached		

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
<u>Earth Images</u>	<u>Rowan Pump Station</u>	<u>WBE</u>	<u>0.3%</u>
<u>TEM Electric</u>	<u>Rowan Pump Station</u>	<u>MBE</u>	<u>9.8%</u>
<u>Mills Supply</u>	<u>Rowan Pump Station</u>	<u>WBE</u>	<u>0.6%</u>
<u>McKinney Painting</u>	<u>Crescent Hill Generator Building</u>	<u>WBE</u>	<u>0.7%</u>
<u>Engineered Solutions</u>	<u>Shively Pump Station</u>	<u>WBE</u>	<u>14.6%</u>
<u>Seven Seas</u>	<u>U Of L Belknap Sitework</u>	<u>MBE</u>	<u>13%</u>
<u>Somethin Bloomin</u>	<u>U Of L Belknap Sitework</u>	<u>WBE</u>	<u>3.7%</u>
<u>Cherokee Construction</u>	<u>U Of L Belknap Sitework</u>	<u>MBE</u>	<u>8.3%</u>
<u>Terry Gollar Trucking</u>	<u>Rowan Pump Station</u>	<u>WBE</u>	<u>0.9%</u>

(USE ADDITIONAL SHEETS IF NECESSARY)

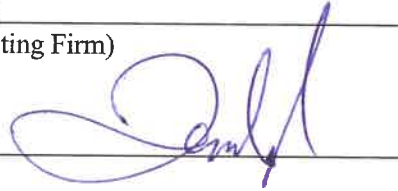
12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

Respectfully submitted:

Face Contracting, LLC

(Name of Contracting Firm)

BY: Tom Wood



TITLE: Administrative Member

DATE September 13, 2019, ~~2014~~

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK-LIST EACH</u> <u>MAJOR ITEM</u> Such as: Concrete, bituminous paving, concrete, pavement markings, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u> <u>Yes/No</u>	<u>% of</u> <u>Work</u>
1. <u>Asphalt Paving</u>	Name: <u>ATS</u> 3009 Atkinson Ave. Suite 400 Address: <u>Lexington, KY. 40509</u>	<u>NO</u>	<u>N/A</u>
2. <u>Landscaping</u>	Name: <u>Somethin Bloomin</u> 1045 Higbee Mill Rd. Address: <u>Lexington, KY. 40503</u>	<u>YES</u>	<u>1.5%</u>
3. <u>Trucking</u>	Name: <u>SLI Trucking</u> 1141 Red Mile Road #201 Address: <u>Lexington, KY. 40504</u>	<u>YES</u>	<u>2.0%</u>
4. <u>Colorized Demarcation</u>	Name: <u>DeAngelo Bros.</u> 100 N. Conahan Drive Address: <u>Hazleton, PA. 18201</u>	<u>NO</u>	<u>N/A</u>
5. <u>Striping</u>	Name: <u>Reynolds</u> 720 Beech Street Address: <u>Bowling Green. KY. 42101</u>	<u>NO</u>	<u>N/A</u>
6. <u>Traffic Control</u>	Name: <u>Cherokee Construction</u> 13125 Middletown Industrial Blvd. Address: <u>Louisville, KY. 40223</u>	<u>YES</u>	<u>1.8%</u>
7. _____	Name: _____ Address: _____	_____	_____

(Attach additional sheet(s) if necessary.)

7. LIST OF MATERIALS/ SUPPLIERS

Bidders are hereby advised that this list must be complete and submitted with the Bid.

Listing "as per plans and specifications", will not be considered as sufficient identification. Where more than one "Make or Brand" is listed for any one item, the Owner has the right to select the one to be used.

Item	Brand Name, Manufacturer and/or Supplier
------	--

1. Concrete Supplier	<u>Harrod Concrete and Stone Company</u>
----------------------	--

END LIST OF MATERIALS AND EQUIPMENT

8. DBE SUB-CONTRACTOR BIDDERS LIST

The Department of Transportation Federal Regulations requires that the Kentucky Transportation Cabinet provide a bidders list to be maintained in the Office of Personnel Management, Small Business Development Branch (49CFR 26:11) for each federally funded project awarded.

Project No.

List all quotes/bids received on this project.

DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers submitting quotes/bids for this project:

1. Earth Images
2. Somethin Bloomin
3. Cedar Valley Seeding
4. Cherokee Construction
5. SLI Trucking
6. HB Masonry
- 7.
- 8.

DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers contacted who did NOT submit quotes/bids for this project.

- | | |
|-----------------------|------------------------------|
| 1. A + A Sawcutting | 9. Metro Fence |
| 2. Barmac | 10. Road Runner Safety Signs |
| 3. CA Fulkerson | 11. Striping Solutions |
| 4. Creek Sod Farm | |
| 5. First Star Safety | |
| 6. Highway Safety | |
| 7. The Fence Co. | |
| 8. Professional Fence | |

Non-DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers submitting quotes/bids for this project:

1. ATS
2. Harrod Concrete
3. Reynolds
4. Central Seal
5. Vulcan
6. IMI Concrete
7. Forterra
8. Oldcastle

If you need additional space, please attach a separate page. If you need assistance regarding this form, please contact Melvin Bynes or Anita Hall at (502)564-3601.

9. CERTIFICATION FOR FEDERAL-AID CONTRACT

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agent.
2. If any funds other than the Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

This certification is a material representation of fact which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participation also agrees by submitting his or her bid proposal that he or she shall require the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

Pace Contracting, LLC
(Name of Individual, Co-Partnership, or Corporation submitting bid)

Tom Wood Administrative Member
(Printed Name of officer signing certification) (Title)

 9/13/19
(Signature) (Date)

10. CERTIFICATION OF PERFORMANCE

Certification with regard to the Performance of Previous Contracts or Subcontracts subject to the Equal Opportunity Clause and the filing of Required Reports.

The Administrative Member _____, hereby certifies that he/she Tom Wood, participated in previous contract or subcontract subject to the equal opportunity clause, as required by Executive Orders 10925, 11114, or 11246, and that he, filed with the Joint Reporting committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the Former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

Pace Contracting, LLC

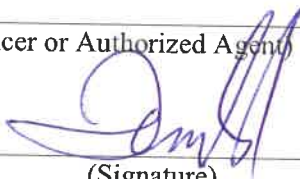
(Name of Individual, Co-Partnership, or Corporation submitting bid)

Tom Wood

(Name of Officer or Authorized Agent)

Administrative Member

(Title)



(Signature)

9/13/19

(Date)

NOTE: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7 (b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with the contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EE0-1) is the only report required by the Executive Orders of their implementing regulation.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed reports should note that 41 CFR 60-1.7 (b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

11. CERTIFICATION OF ORGANIZATION(S)

COMMONWEALTH OF KENTUCKY

COUNTY: FAYETTE

FED PROJECT NO:

I, Tom Wood, Administrative Member
(Name of officer or Authorized Agent) (Title)

under penalty of perjury under the laws of the United States, do hereby certify that, except as noted below,

Pace Contracting, LLC
(Name of Individual, Co-Partnership, or Corporation submitting bid)

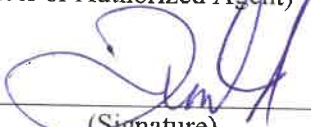
any person associated therewith in the capacity of (owner, partner, director, officer, principal investigator, project director, manager, auditor, or any position involving the Administration of Federal Funds): is not currently under suspension, debarment, voluntarily exclusion, or determination of ineligibility by any federal agency; has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years; does not have a proposed debarment pending; and has not been indicted, convicted, or had a civil judgment rendered against (it) by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

Please list below any exceptions to the foregoing, to whom it applies, initiating agency and dates of action.

Exceptions:

Pace Contracting, LLC
(Name of Individual, Co-Partnership, or Corporation submitting bid)

Tom Wood Administrative Member
(Name of Officer or Authorized Agent) (Title)


(Signature) 9/13/19
(Date)

12. CERTIFICATION OF BID PROPOSAL/DBE

We (I) proposed to furnish all labor, equipment and material necessary to construct and/or improve the subject project in accordance with the plans, the Transportation Cabinet's Standard Specifications for Road and Bridge Construction, current edition, special provisions, notes applicable to the project as indicated herein and all addenda issued on this project subsequent to purchase of proposal.

We (I) attach a bid guaranty as provided in the special provisions in an amount not less than 5% of the total bid. We agree to execute a contract in accordance with this proposal within 15 calendar days after the receipt of the notice of award for the project.

We (I) have examined the site of proposed work, project plans, specifications, special provisions, and notes applicable to the project referred to herein. We understand that the quantities shown herein are estimated quantities subject increase or decrease as provided in the specifications.

We (I) acknowledge receipt of all addendum(s) (if applicable) and have made necessary revisions to the bid proposal. We have considered all addendum(s) in calculation of the submitted bid and applied the updated bid items, which are included.

"The bidder certifies that it has secured participation by Disadvantaged Business Enterprises (DBE) in amount of Five percent (5 %) of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program."

Pace Contracting, LLC

(Name of Individual, Co-Partnership, or Corporation submitting bid)

Tom Wood

Administrative Member

(Name of Officer or Authorized Agent)

(Title)



(Signature)

9/13/19

(Date)

When two or more organizations bid as a joint venture, enter names of each organization and an authorized agent for each organization must sign above.

13. KENTUCKY TRANSPORTATION CABINET – DBE PROVISIONS

KENTUCKY TRANSPORTATION CABINET – DISADVANTAGED BUSINESS ENTERPRISE PROVISIONS

SECOND TIER SUBCONTRACTS

Second Tier subcontracts on federally assisted projects shall be permitted. However, in the case of DBE's, second tier subcontracts will only be permitted where the other subcontractor is also a DBE. All second tier subcontracts shall have the consent of both the Contractor and the Engineer.

DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

It is the policy of the Lexington-Fayette Urban County Government ("LFUCG") that Disadvantaged Business Enterprises ("DBE") shall have the opportunity to participate in the performance of highway construction projects financed in whole or in part by Federal Funds in order to create a level playing field for all businesses who wish to contract with the LFUCG. To that end, the LFUCG will comply with the regulations found in 49 CFR Part 26, and the definitions and requirements contained therein shall be adopted as if set out verbatim herein.

The LFUCG, contractors, subcontractors, and sub-recipients shall not discriminate on the basis of race, color, national origin, or sex in the performance of work performed pursuant to LFUCG contracts. The contractor shall carry out applicable requirements of 49 CFR 26 in the award and administration of federally assisted highway construction projects. The contractor will include this provision in all its subcontracts and supply agreements pertaining to contracts with the LFUCG.

Failure by the contractor to carry out these requirements is a material breach of its contract with the LFUCG, which may result in the termination of the contract or such other remedy as the LFUCG deems necessary.

DBE GOAL

The Disadvantaged Business Enterprise (DBE) goal established for this contract by the Kentucky Transportation Cabinet is a **five percent (5%)** goal of the total value of the contract.

The contractor shall exercise all necessary and reasonable steps to ensure that Disadvantaged Business Enterprises participate in a least the percent of the contract as set forth above as goals for this contract.

OBLIGATION OF CONTRACTORS

If a formal goal has not been designated for the contract, all contractors are expected to meet LFUCG 10% MWDBE goal, unless otherwise stated. Contractor shall also consider DBEs for subcontract work as well as for the supply of material and services needed to perform this work.

Contractors are encouraged to use the services of banks owned and controlled by minorities and women.

CERTIFICATION OF CONTRACT GOAL

Contractors shall include the following certification in bids for projects for which a DBE goal has been established. BIDS SUBMITTED WHICH DO NOT INCLUDE CERTIFICATION OF DBE PARTICIPATION WILL NOT BE ACCEPTED. These bids will not be considered for award by the LFUCG and they will be returned to the bidder.

"The bidder certifies that it has secured participation by Disadvantaged Business Enterprises ("DBE") in the amount of KYTC Five percent (5 %) of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Lexington-Fayette Urban County Government related to participation and **Kentucky Transportation Cabinet** pertaining to the DBE Program."

DBEs utilized in achieving the DBE goal must be certified and pre-qualified for the work items at the time the bid is submitted.

DBE PARTICIPATION PLAN

Lowest responsive bidders must submit the *DBE Plan/ Subcontractor Request*, form TC 14-35 DBE, within 5 days of the letting. This is necessary before the LFUCG Division of Central Purchasing will review and make a recommendation. The project will not be considered for award prior to submission and approval of the apparent low bidder's DBE Plan/Subcontractor Request.

The DBE Participation Plan shall include the following:

- 1 Name and address of DBE Subcontractor(s) and/or supplier(s) intended to be used in the proposed project;
- 2 Description of the work each is to perform including the work item, unit, quantity, unit price and total amount of the work to be performed by the individual DBE and the project bid number.
- 3 The dollar value of each proposed DBE subcontract and the percentage of total project contract value this represents. DBE participation may be counted as follows:
 - a) If DBE suppliers and manufactures assume actual and contractual responsibility, the dollar value of materials to be furnished will be counted toward the goal as follows:
 - The entire expenditure paid to a DBE manufacturer;
 - 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to the public, maintain an inventory and own and operate distribution equipment; and
 - The amount of fees or commissions charged by the DBE firms for a bona fide service, such as professional, technical, consultant, or managerial services and assistance in the procurement of essential personnel, facilities, equipment, materials, supplies, delivery of materials and supplies or for furnishing bonds, or insurance, providing such fees or commissions are determined to be reasonable and customary.
 - b) The dollar value of services provided by DBEs such as quality control testing, equipment repair and maintenance, engineering, staking, etc;
 - c) The dollar value of joint ventures. DBE credit for joint ventures will be limited to the dollar amount of the work actually performed by the DBE in the joint venture;
- 4 Written and signed documentation of the bidder's commitment to use a DBE contractor whose participation is being utilized to meet the DBE goal; and
- 5 Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment.

UPON AWARD AND BEFORE A WORK ORDER WILL BE ISSUED

Contractors must submit the signed subcontract between the contractor and the DBE contractor, the DBE's certificate of insurance, and the Required Affidavit of Bidders, Offerors, and Contractors from the DBE to the LFUCG Division of Central Purchasing. The Required Affidavit of Bidders, Offerors and Contractors is included with these bid documents and should be returned with your bid. If the DBE is a supplier of materials for the project, a signed purchase order and a Required Affidavit for Bidders, Offerors, and Contractors must be submitted to the LFUCG Division of Central Purchasing.

Changes to DBE Participation Plans or DBE substitutions must be approved by the LFUCG Division of Central Purchasing. The LFUCG may consider extenuating circumstances including, but not limited to, changes in the nature or scope of the project, the inability or unwillingness of a DBE to perform the work in accordance with the bid, and/or other circumstances beyond the control of the prime contractor.

CONSIDERATION OF GOOD FAITH EFFORTS REQUESTS

If the DBE participation submitted in the bid by the apparent lowest responsive bidder does not meet or exceed the DBE contract goal, the apparent lowest responsive bidder must submit a Good Faith Effort documentation to satisfy the LFUCG that sufficient good faith efforts were made to meet the contract goals prior to submission of the bid. Efforts to increase the goal after bid submission will not be considered in justifying the good faith effort, unless the contractor can show that the proposed DBE was solicited prior to the bid date. DBEs utilized in achieving the DBE goal must be certified and pre-qualified for the work items at the time the bid is submitted. One complete set of this information must be received in the office of the LFUCG Division of Central Purchasing no later than 12:00 noon of the tenth calendar day after receipt of notification that they are the apparent low bidder.

Where the information submitted includes repetitious solicitation letters it will be acceptable to submit a sample representative letter along with a distribution list of the firms solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal as necessary to demonstrate compliance with the factors listed below which the LFUCG considers in judging good faith efforts. This documentation may include written subcontractors' quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

The Good Faith Efforts documentation shall include, but may not be limited to information showing evidence of the following:

- 1 Whether the bidder attended any pre-bid meetings that were scheduled by LFUCG to inform DBEs of subcontracting opportunities;
- 2 Whether the bidder provided solicitations through all reasonable and available means;
- 3 Whether the bidder provided written notice to all DBEs listed in the DBE directory at the time of the letting that are pre-qualified in the areas of work that the bidder will be subcontracting;
- 4 Whether the bidder followed up initial solicitations of interest by contacting DBEs to determine with certainty whether they were interested. If a reasonable amount of DBEs within the targeted districts do not provide an intent to quote or no DBEs are pre-qualified in the subcontracted areas, the bidder must notify the Minority Business Enterprise Liaison in the Division of Central Purchasing to give notification of the bidder's inability to get DBE quotes;
- 5 Whether the bidder selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise perform these work items with its own forces;
- 6 Whether the bidder provided interested DBEs with adequate and timely information about the plans, specifications, and requirements of the contract;
- 7 Whether the bidder negotiated in good faith with interested DBEs not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached;
- 8 Whether quotations were received from interested DBE firms but were rejected as unacceptable without sound reasons why the quotations were considered unacceptable. The fact that the DBE firm's quotation for the work is not the lowest quotation received will not in itself be considered as a sound reason for rejecting the quotation as unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a DBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy DBE goals;
- 9 Whether the bidder specifically negotiated with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be subcontracted includes potential DBE participation;
- 10 Whether the bidder made any efforts and/or offered assistance to interested DBEs in obtaining the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal; and
- 11 Any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include DBE participation.

FAILURE TO MEET GOOD FAITH REQUIREMENT

Where the apparent lowest responsive bidder fails to submit sufficient participation by DBE firms to meet the contract goal and upon a determination by the LFUCG Division of Central Purchasing based upon the information submitted that the apparent lowest responsive bidder failed to make sufficient reasonable efforts to meet the contract goal, the bidder will be offered the opportunity to meet in person with representatives from the Division of Central Purchasing. The bidder will be notified of the Division of Central Purchasing's decision within 24 hours of its decision. The bidder will have 24 hours to request reconsideration of Central Purchasing's decision. The reconsideration meeting will be held within two days of the receipt of a request by the bidder for reconsideration.

The request for reconsideration will be heard by Central Purchasing. The bidder will have the opportunity to present written documentation or argument concerning the issue of whether it met the goal or made an adequate good faith effort. The bidder will receive a written decision on the reconsideration explaining the basis for the finding that the bidder did or did not meet the goal or made adequate Good Faith efforts to do so.

The result of the reconsideration process is not administratively appealable to the LFUCG Division of Central Purchasing.

The LFUCG Division of Central Purchasing reserves the right to award the contract to the next lowest responsive bidder or to rebid the contract in the event that the contract is not awarded to the low bidder as the result of a failure to meet the good faith requirement.

SANCTIONS FOR FAILURE TO MEET DBE REQUIREMENTS OF THE PROJECT

Failure by the prime contractor to fulfill the DBE requirements of a project under contract or to demonstrate good faith efforts to meet the goal constitutes a breach of contract. When this occurs, the Cabinet will hold the prime contractor accountable, as would be the case with all other contract provisions. Therefore, the contractor's failure to carry out the DBE contract requirements shall constitute a breach of contract and as such the Cabinet reserves the right to exercise all administrative remedies at its disposal including, but not limited to the following:

- Disallow credit toward the DBE goal;
- Withholding progress payments;
- Withholding payment to the prime in an amount equal to the unmet portion of the contract goal; and/or
- Termination of the contract.

PROMPT PAYMENT

- The prime contractor will be required to pay the DBE within seven (7) working days after he or she has received payment from the LFUCG Division of Central Purchasing for work performed or materials furnished

CONTRACTOR REPORTING All Contractors must report on their progress in meeting the DBE requirement on any construction contracts. These records may include, but shall not be limited to payroll, lease agreements, cancelled payroll checks, executed subcontracting agreements, etc. Prime contractors will be required to submit certified reports on monies paid to each DBE subcontractor or supplier utilized to meet a DBE goal.

Payment information that needs to be reported includes date the payment is sent to the DBE, check number, Contract ID, amount of payment and the check date. Before Final Payment is made on this contract, the Prime Contractor will certify that all payments were made to the DBE subcontractor and/or DBE suppliers and show the documented proof.

The Prime Contractor should supply the payment information at the time the DBE is compensated for their work. Form to use is located at:

<http://transportation.ky.gov/Construction/Pages/Subcontracts.aspx>

Photocopied payments and completed form to be submitted to:

**Office of Civil Rights and Small
Business Development 6th Floor
West 200 Mero Street Frankfort, KY 40622**

DEFAULT OR DECERTIFICATION OF THE DBE

If the DBE subcontractor or supplier is decertified or defaults in the performance of its work, and the overall goal cannot be credited for the uncompleted work, the prime contractor may utilize a substitute DBE or elect to fulfill the DBE goal with another DBE on a different work item. If after exerting good faith effort in accordance with the LFUCG Division of Central Purchasing policies and procedures, the prime contractor is unable to replace the DBE, then the unmet portion of the goal may be waived at the discretion of the LFUCG Division of Central Purchasing.

END OF SECTION

KENTUCKY TRANSPORTATION CABINET
DEPARTMENT OF HIGHWAYS
DIVISION OF CONSTRUCTION PROCUREMENT
DBE Plan/SUBCONTRACT REQUEST

TC 14-35
Rev. 07/07/16

CONTRACT ID (CONTID)

Subcontract #:

TO:

Rachel Mills, Director

Division of Construction Procurement

FROM:

Pace Contracting, LLC

Prime Contractor

SUBJECT:

1st Tier Subcontractor:

(if applicable)

I hereby request to utilize for DBE participation a portion of the subject project to:

County

Project Number

DBE Employer Identification Numbers: _____ of _____ Federal

KY

or

Contract

The amount to be subcontracted by this request is _____ of the

or

_____ of the

Worth _____

I have previously requested approval for subcontracts or agreements with other DBE as follows:

Name of DBE firm

Totals based on original contract amounts		

DBE Amount

DBE %

Contract "Worth"

Amount

Contract %

Totals based on original contract amounts		

This section applicable if DBE firm is also a Subcontractor of work on Project.
This subcontractor has been furnished a copy of Appendix B of 49 CFR Part 29 and advised to include the Certification in all lower tier covered transactions and in all solicitations for lower tier transactions (Federal Aid Contracts only).

Prime Contractor's Signature

Date

1st Tier Subcontractor's Signature (if applicable)

Date

DBE Participant Signature

Date

*To be provided within 5 days of opening if apparent low bidder.

14. NON-COLLUSION CERTIFICATION

COMMONWEALTH OF KENTUCKY

COUNTY: FAYETTE

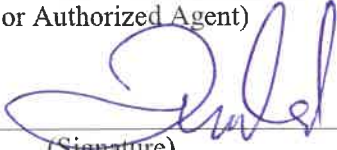
FED PROJECT NO:

I, Tom Wood, Administrative Member,
(Name of officer or Authorized Agent) (Title)

under penalty of perjury under the laws of the United States, do hereby certify that

Pace Contracting, LLC
(Name of Individual, Co-Partnership, or Corporation submitting bid)

Its agent, officers or employees have not directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken action in restraint of free competitive bidding in connection with this proposal.

Tom Wood Administrative Member
(Name of Officer or Authorized Agent) (Title)

(Signature) 9/13/19
(Date)

15. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: James P. Snead

POSITION/TITLE: General Superintendent

STATEMENT OF EXPERIENCE: See attached for resume

NAME OF INDIVIDUAL: Chris Bauer

POSITION/TITLE: Project Manager

STATEMENT OF EXPERIENCE: See attached for resume

NAME OF INDIVIDUAL: Douglas M. Mulhall

POSITION/TITLE: Chief Estimator/ Project Manager

STATEMENT OF EXPERIENCE: See attached for resume

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

16. EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

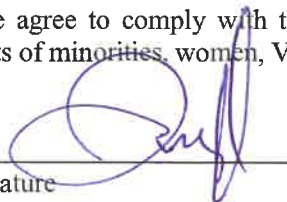
The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Signature



Pace Contracting, LLC

Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.


17. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Pace Contracting, LLC
to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

Kristi Travelstead has been appointed Equal Employment Compliance (EEOC) Officer and shall be available for counseling, answering of questions in regards to this company policy, and to hear any complaints of discrimination. The EEOC Officer may be reached by calling (502)815-4104.

Signature: Tom Wood 
(Bidding Contractor)

Title: Administrative Member

Date: 9/13/19

18. WORKFORCE ANALYSIS FORM

Name of Organization: Pace Contracting, LLC Date: 9 / 13 / 2019

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators	1	1						1	
Professionals	8	6	2					6	2
Superintendents	1	1						1	
Supervisors									
Foremen	9	8				1		9	
Technicians									
Protective Service									
Para-Professionals									
Office/Clerical	1				1				1
Skilled Craft	29	19	1	4		5		28	1
Service/Maintenance									
Total:	49	35	3	4	1	6	0	46	3

Prepared By: Sarah Vanderside/Talya Estes

19. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Pace Contracting, LLC
 Address: 15415 Shelbyville Road, Louisville, KY 40245
 Project to be insured: Legacy Trail Phase 3 & Fourth Street Corridor Enhancements
 Employee ID: _____
 Phone: 502-583-1726

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - sec provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ \$1,000,000 Occ \$2,000,000 Agg	Valley Forge Insurance Co	2132	A
SC-3, Section 2, Part 4.1 - sec provisions	AVTO	\$2,000,000/per occ.	\$ \$2,000,000 CSL	Continental Insurance Co	2118	A
SC-3, Section 2, Part 4.1 - sec provisions	W/C	Statutory w/endorsement as noted	\$ \$4,000,000/ \$4,000,000	Kentucky Associated General Contractors	55002	A-

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Garrett-Stotz Company
 Agency or Brokerage
 1601 Alliant Avenue
 Street Address
 Louisville KY 40299
 City State Zip
 502-415-7000
 Telephone Number
 William A. Kantlehner, III
 Name of Authorized Representative
 Agent/President
 Title
 September 9, 2019
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

20. DEBARRED FIRMS

PROJECT NAME: Legacy Trail Phase 3 & Fourth Street Corridor Enhancements

BID NUMBER: 77-2019

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the **Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended** or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Pace Contracting, LLC has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the Civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Pace Contracting, LLC
Name of Firm Submitting Bid

Tom Wood
Signature of Authorized Official

Administrative Member
Title

9/13/19
Date

21. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Pace Contracting, LLC

Project: Legacy Trail Phase 3 & Fourth Street Corridor Enhancements

Printed Name and Title of Authorized Representative: Tom Wood

Signature:  _____

Date: 9/13/19

22. PROVISIONS RELATIVE TO SENATE BILL 45A-485

During the performance of the contract, the contractor agrees to comply with the applicable provisions of:

1. KRS 136 Corporation and Utility Tax
2. KRS 139 Sales and Use Taxes
3. KRS 141 Income Taxes
4. KRS 337 Wages and Hours
5. KRS 338 Occupational Safety and Health of Employees
6. KRS 341 Unemployment Compensation
7. KRS 342 Workers Compensation

Any final determinations of a violation by the contractor within the previous (5) years pursuant to the applicable statutes above are revealed as follows:

23. EXECUTIVE BRANCH CODE OF ETHICS

In the 1992 regular legislative session, the General Assembly passed and Governor Brereton Jones signed Senate Bill 63 (codified as KRS 11A), the Executive Branch Code of Ethics, which states, in part:

KRS 11A.040 (7) provides:

No present or former public servant shall, within six (6) months of following termination of his office or employment, accept employment, compensation or other economic benefit from any person or business that contracts or does business with, or is regulated by, the state in matters in which he was directly involved during the last thirty-six (36) months of his tenure. This provision shall not prohibit an individual from returning to the same business, firm, occupation, or profession in which he was involved prior to taking office or beginning his term of employment, or for which he received, prior to his state employment, a professional degree or license, provided that, for a period of six (6) months, he personally refrains from working on any matter in which he was directly involved during the last thirty-six (36) months of his tenure in state government. This subsection shall not prohibit the performance of ministerial functions, including, but not limited to, filing tax returns, filing applications for permits or licenses, or filing incorporation papers, nor shall it prohibit the former officer or public servant from receiving public funds disbursed through entitlement programs.

KRS 11A.040 (9) states:

A former public servant shall not represent a person or business before a state agency in a matter in which the former public servant was directly involved during the last thirty-six (36) months of his tenure, for a period of one (1) year after the latter of:

- a) The date of leaving office or termination of employment; or
- b) The date the term of office expires to which the public servant was elected.

This law is intended to promote public confidence in the integrity of state government and to declare as public policy the idea that state employees should view their work as a public trust and not as a way to obtain private benefits.

If you have worked for the executive branch of state government within the past six months, you may be subject to the law's prohibitions. The law's applicability may be different if you hold elected office or are contemplating representation of another before a state agency.

Also, if you are affiliated with a firm which does business with the state and which employs former state executive-branch employees, you should be aware that the law may apply to them.

In case of doubt, the law permits you to request an advisory opinion from the Executive Branch Ethics Commission, 3 Fountain Place, Frankfort, Kentucky 40601; telephone (502) 564-7954.



Signature of Authorized Official

Administrative Member
Title

9/13/19
Date

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	% Complete	Cost of Work/Contract Amount
U of L Belknap Campus Academic Classroom Building	University of Louisville Service Complex Building Belknap Campus Louisville, KY 40292	Whittenberg Construction Co. 4774 Allmond Ave. Louisville, KY 40209 Attn: Griffin Thomas	2016-11	General Trades	85	1,133,897
Crescent Hill WTP Standby Generator	LWC 550 S. Third Street Louisville, KY 40202	CDM Smith 9420 Bunsen Pkwy Louisville, KY 40220	2018-2	WTP	63	10,427,000
Radcliff WWTP Improvements	Hardin County Water District 1 1400 Rogersville Road Radcliff, KY 40160	Bell Engineering 2480 Fortune Drive Lexington, KY 40509		WWTP	91	1,042,149
Shively Pump Station Electrical Improvements	MSD 700 W. Liberty Street Louisville, KY 40203	Hazen and Sawyer 9780 Ormsby Station Rd Louisville, KY 40223		Pump Station	91	1,340,000
Rowan Pump Station & Downtown CSO Interceptor	MSD 700 W. Liberty Street Louisville, KY 40203	HDR Engineers Hazen & Sawyer	2018-8	Pump Station	36	25,899,973
Cooling Tower Addition at CMF	MSD 700 W. Liberty Street Louisville, KY 40203	Magna Engineers 861 Corporate Dr Ste 210 Lexington, KY 40503	2018-9	Cooling Tower Addition	91	397,000
Haven at Tucker Station	Louisville Paving 15415 Shelbyville Road Louisville, KY 40245		2018-9	Pump Station	96	451,006
LaQuinta Inn Flood Gates	Louisville Paving 15415 Shelbyville Road Louisville, KY 40245		2018-9	Flood Gates	99	26,000
Contract 21 - Taylorsville Water Booster Pump	City of Taylorsville	Sisler-Maggard Engineering, PLLC 220 E. Reynolds Road. Lexington, KY. 40517	2018-11	Booster Pump Station	92	769,000
GMWSS 2018 WWTP Sand Filter Replacement	Georgetown Municipal Water & Sewage Service 1000 W. Main Street Georgetown, KY. 40324	Bluegrass Engineering	2019-5	WWTP	70	977,979
DRG WQTC Sodium Hypochlorite Mod	MSD	HDR Engineers	2019-6	WQTC	47	100,000

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	% Complete	Cost of Work/Contract Amount
Ohio River WWTP Aeration Basin Renovations	700 W. Liberty Street Louisville, KY 40203		2019-8	WWTP	1	395,000
Fairdale Village Green	Oldham County Environmental Authority 700 W. Jefferson Street LaGrange, KY 40031	Jacobi, Toombs and Lanz, Inc.	2019-8	Stewwork	0	153,000

Pace Contracting, LLC
 Schedule B - Previous Experience within the Last Five Years
 As of 9-2019
 ATTACHMENT NO. 2

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	Date Completed	Cost of Work/Contract Amount
635 Industry Road Greenscape	Louisville Metro Government	Luckett & Farley, Inc.	2016-09	Sitework	2017-03	103,300
Beuchel St. Streetscape	Louisville Metro Purchasing	Louisville Metro Government	2013-11	Streetscape	2014-04	567,000
Cedar Street Streetscape	Louisville Metro Government	Qk4, Inc. Attn: Corey Wooten	2015-11	Streetscape	2016-12	370,000
Charlestown High School Tp#3	Whittenberg Construction Co.	Estopinal Group	2008-11	Sitework	2012-03	4,083,639
Chestnut Street Streetscape	City of Jeffersonville, Indiana	American Structurepoint	2013-06	Streetscape	2014-03	452,360
City of Taylorsville Streetscape	City of Taylorsville	Sisler Maggard Engineers	2012-08	Streetscape	2012-10	184,880
Nucleus Innovation park	Sullivan & Cozart	University of Louisville	2013-05	Sitework	2013-09	617,237
Park Terrace Condominiums	Park Terrace Condominium Board	Qk4, Inc.	2016-02	Sitework	2016-09	126,619
Parklands of Floyds Fork	Ky. Dept. of Transportation (Louisville Paving Co.) Kenny Roller 502/583-1726 kroller@loupaving.com	Bravura Corporation Jason Crowder jcrowder@bravura-arch.com	2012-06	Park	2013-09	1,727,072
Shelbyhurst Development Ph 2A	Louisville Paving & Construction 1801 Payne Street Louisville, KY 40206	NTS, Inc. 600 North Hurstbourne Parkway, Suite 300 Louisville, KY 40222	2016-8	Sitework	2016-11	127,557
Taylorsville Road Streetscape	City of Jeffersontown, Ky. Attn: Matt Meunier 1046 E. Chestnut Street Louisville, KY 40202 mmeunier@jeffersontownky.gov	Qk4, Inc. 1046 E. Chestnut St., Lou., KY David Reed 502/585-2222	2013-09	Streetscape	2015-05	2,977,000
Ten Pin Lane Parking Lot & Field Improvements	City of St. Matthews Kenan Stratman kenan.stratman@stmatthewskv.gov 502/895-9444	HDR Engineers 401 W. Main Street, #500 Louisville, KY 40202 502/584-4118	2014-12	Park	2015-07	1,050,000
US 60 Sidewalks - Simpsonville,	City of Simpsonville Ky. 108 Veechdale Road Simpsonville, KY 40067 Warren V. Nash	American Engineers, Inc. 502/245-3813 benq@aei.cc Steve Willard	2015-01	Streetscape	2015-07	560,000
Robsion Splash Park	City of Lyndon, Ky. 515 Wood Road, Lou., KY 40222 Attn: Mayor Susan Barto		2015-07	Splash Park	2016-06	185,301

Pace Contracting, LLC
 Schedule B - Previous Experience within the Last Five Years
 As of 9-2019
 ATTACHMENT NO. 2

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	Date Completed	Cost of Work/Contract Amount
Whiskey Row Structural Vaults	502/423-0932 Louisville Metro Government 611 W. Jefferson Street Louisville, KY 40202	Qk4, Inc. 1046 E. Chestnut St. Louisville, KY 40203 rwade@qk4.com	2016-05	Sitework	100	298,500
Highview Park Restroom	Louisville Metro Government 611 W. Jefferson Street Louisville, KY 40202	Tetra Tech Engineers 4967 US Highway 42, Ste. 210 Louisville, KY 40222 (502) 584-5555	2016-9	Park	5	237,500
McNeely Lake Park Access Road and Trail	Louisville Metro Government 611 W. Jefferson Street Louisville, KY 40202	Gresham Smith & Partners 101 S 5th St #1400 Louisville, KY 40202 502/627-8900	2016-11	Park	0	560,345
Morris Forman Front Entrance Repair	MSD 700 W. Liberty St Louisville, KY 40203		Aug-18	Entrance Repair	100	277,742
Taylorsville Drainage Project	City of Taylorsville 70 Taylorsville Road Taylorsville, KY	DLZ Kentucky Inc 239 S 5th St Louisville, KY 40202	Jul-17	Drainage	100	547,433
Sheppard Park Restroom	Louisville Metro Gov't 611 W. Jefferson St Louisville, KY	Tetra Tech 2456 Fortune Drive Lexington, KY 40509	Nov-17	Restroom Park Splashpad	100	553,334
US 60 Streetscape - Phase 3	City of Simpsonville 108 Old Veechdale Rd Simpsonville, KY 40067	AEI 2500 Nelson Miller Pkwy Louisville, KY 40223	2017-07	Streetscape	100	1,998,106
Castlewood & Douglas Park	LFUCG 200 E Main St	Brandsetter Carroll 2360 Chauvin Dr	Jan-18	Park	100	862,105

Pace Contracting, LLC
 Schedule B - Previous Experience within the Last Five Years
 As of 9-2019
 ATTACHMENT NO. 2

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	Date Completed	Cost of Work/Contract Amount
Veterans Memorial Park	Lexington, KY City of Jeffersontown 10416 Watterson Trail Jeffersontown, KY 40299	Lexington, KY 40517 Taylor Siefker Williams 10 S New Jersey Street Indianapolis, IN 46204	2018-1	Park	100	2,814,042
Jacobson Park & Masterson Station Park Spraygrounds	Lexington Fayette Urban County Government 200 E. Main St. Lexington, KY 40507 Attn: Michael Johnson	Element Design 366 So. Broadway Lexington, KY 40508	2017-03	Sprayground	100	1,074,500
S. 4th Street Streetscape	Louisville Metro Government	KY Dept of Highways	2018-11	Streetscape	100	1,869,000
U of L Belknap Campus Academic Classroom Building	University of Louisville Service Complex Building Belknap Campus Louisville, KY 40292	Whittemberg Construction Co. 4774 Allmond Ave. Louisville, KY 40209 Attn: Griffin Thomas	2016-11	General Trades	100	1,133,897
Veterans Memorial Park	City of Jeffersontown 10416 Watterson Trail Jeffersontown, KY 40299	Taylor Siefker Williams 10 S New Jersey Street Indianapolis, IN 46204	2018-1	Park	100	2,814,042
Louisville Autograph Moxy Hotel	Aecom Hunt 2450 South Tibbs Avenue Indianapolis, IN 46241	HKS 1919 McKinney Avenue Dallas, TX 75201	2018-10	Sitework	60	278,868

PACE CONTRACTING, LLC
EQUIPMENT LIST 2019

CATEGORIES

- | | |
|---------------------------------|--------------------------------------|
| 1 Autos | 20 Milling Machines |
| 2 Pick-ups | 21 Crushers |
| 3 Trucks | 22 Welders |
| 4 Trailers | 23 Generators |
| 5 Tractors | 24 Pumps |
| 6 Backhoes | 25 Vans, Office Trailers |
| 7 Wheel Loaders | 26 Chippers, Wideners, Trav. Loaders |
| 9 Graders | 27 Lasers, Levels, GPS Kits |
| 10 Asphalt Plants | 28 Brooms |
| 11 Asphalt Plant Components | 29 Miscellaneous |
| 12 Rollers | 30 Snow Equipment |
| 13 Attachments | 31 Concrete Saws |
| 14 Pavers | 32 Plate Compactor |
| 15 Cranes, Pile Hammers | 33 Traffic Control |
| 16 Excavators | 34 Fork Lift/Arial Lift |
| 17 Articulated Trucks, Scrapers | 35 Shop Tools |
| 18 Tractor | 36 Tack Kettles |
| 19 Air Compressors | 37 Core Drills |

SUB-CATEGORIES

- | | |
|----------------------------|---------------------------|
| 1000 Louisville Paving Co. | 4000 Pace Contracting LLC |
| 2000 Louisville Paving Co. | 5000 Material Transfer |
| 3000 Charlton Co. | |

UNIT NO.	YEAR	DESCRIPTION	DRIVER	SERIAL NO.
1-0000		AUTOMOBILES		
1-4129	2014	FORD F-150	Tom Wood	1FTFW1ET3EFB68298
2-0000		LIGHT TRUCKS		
2-4105	2001	FORD F-250	shop	1FTNF20F11ED73U47
2-4107	2002	FORD F-350 COVER BED	INACTIVE	1FDWW36F22ED69160
2-4109	2005	CHEVY 4500	spare converted to dump trk	1GBE4C3275F512873
2-4110	2008	FORD F250	JUNKED	1FDSX21598EE39928
2-4112	2011	CHEVY 1500	BRIAN DILL	1GCRKSE34BZ453987
2-4113	2006	GMC SIERA	SPARE	1GTHK23D76F237419
2-4114	2011	CHEVY 1500	RAFAEL CONTRERAS	1GCRKSE37BZ464501
2-4115	2013	FORD F-250	RETURNED TO ENTERPRISE	1FD7X2B66DEA86569
2-4116	2013	FORD F-250	RETURNED TO ENTERPRISE	1FD7X2B66DEA92761
2-4117	2013	FORD F-250 SD	PAUL SNEAD	1FT7W2B66DEA51182
2-4118	2008	CHEVROLET COLORADO	SPARE	1GCDT19E788102387
2-4120	2013	FORD F-150	MIKE MULHALL	1FTEW1GF8DKE80992
2-4121	2012	GMC SIERRA 4X4 1500 EXT CAB	JORDAN PARSLEY	1GTR2VE72CZ253714
2-4122	2014	FORD F-250 (USED TO BE LPC)	THOMAS GIBSON	1FD7X2B66EEB65970
2-4123	2017	FORD F-150	MICHAEL BRYANT	1FTEW1EP2GKD92736
2-4124	2017	FORD F-150	CHRIS BAUER	1FTEW1EG6HKD52192
2-4125	2017	FORD EXPLORER	Sarah Vanderside	1FM5K8B89HGD38187
2-4126	2017	CHEVY SILVERADO	JUSTIN WILLOUGHBY	1GB1KUEG5HF151960
2-4127	2017	CHEVY SILVERADO	GARY WILDER	1GB1KUEG3HF154274
2-4128			OPEN	

**PACE CONTRACTING, LLC
EQUIPMENT LIST 2019**

UNIT NO.	YEAR	DESCRIPTION	DRIVER	SERIAL NO.
2-4129			OPEN	
2-4130	2017	FORD EXPLORER	Talya Estes	
2-4150	2018	CHEVY SILVERADO	Bryant Willard	3GCUKREXJG307059
2-4151	2018	FORD F-150 LXT	Zach Wood	1FTEW1EG0JFC16431
2-4152	2017	CHEVY SILVERADO	Eric Jackson	3GCUKNEC4HG318822
2-4153	2018	CHEVY SILVERADO	Paul Snead	1gc1kueg5jf271212
2-4154	2018	CHEVY SILVERADO	Dan Hall	1GB1KUEG0JF108343
2-4155	2018	CHEVY SILVERADO	Patrick Haas	1GCGTBEN7J310695
2-4156	2019	CHEVY SILVERADO 2500HD		1GB1KREGXKF114438
3-0000		HEAVY TRUCKS		
3-4202	2000	FREIGHTLINER FL-70		
3-4204	2015	FREIGHTLINER SINGLE AXLE		1FV6HFBA2YHB95650
4-0000		TRAILERS		
4-4924	1998	HGH RU16		4PRUS1620WT000862
4-4926	2001	SOUTHW. EXP LINE S816TA2T	16 FT. STORAGE TRAILER	48B500G2812026242
4-4930	2005	INTERSTATE 40DLA	40 FT. TAG TRAILER	1JKDLA057M006860
4-4931	2004	BIG TEX	10 FT. TANDEM AXLE TRAIL	16VNX102142C38482
4-4932	2009	6 X 12 T.C. TREECKER TRAILER	LPC LUBE TRAILER	1WF200F1X71120093
4-4933		ENCLOSED OFFICE TRAILER	8 X 24	1WC200L11S1067956
4-4934	2012	UTILITY LANDSCAPE TRAILER		4YMUL0811CG022412
4-4935		FRUEHAUF 45' STORAGE VAN TRAILER		
4-4936	2008	ENNIS TRAILER (GOOSENECK)		
5-0000		TRACTORS		
5-4501	2005	KOMATSU D37EX-21A		5684
6-0000		BACKHOES		
6-4613	2003	CATERPILLAR 420DIT		BLN08302
6-4614	2005	CAT 420D1T		CBLN13023
6-4616	2011	KOMOTSU BACKHOE LOADER WB146-A		A23266
7-0000		WHEEL LOADERS		
7-4501	2000	GEHL 5635 DXT	SOLD	13791
7-4502	2000	HOLLAND LS170		167072
7-4506	2009	TAKEUCHI TL-140 W/BUCKET		21406564
7-4508	2009	TAKEUCHI SKID STEER TL140		21406522
7-4509	2009	TAKEUCHI TL240		224000275
7-4510	2015	TAKEUCHI TS70R SKID STEER		T3R07000261
12-0000		ROLLERS		
12-4300		WACKER RT 82-SC-2		5855871
12-4301		WACKER RD11A		5277469
13-0000		ATTACHMENTS		
13-4816		ALLEN VIBRATORY SCREED		
13-4817		HARLEY M6H RAKE		M65A228
13-4820		WENCH (goes with unit 7-4507)		
13-4821		HYDRAULIC HAMMER		
15-0000		CRANES POWER HAMMERS		
				2506

**PACE CONTRACTING, LLC
EQUIPMENT LIST 2019**

UNIT NO.	YEAR	DESCRIPTION	DRIVER	SERIAL NO.
16-0000 EXCAVATORS				
16-4201	2003	KOMOTSU PC78US-6		5526
16-4506	2003	IHI 45 CRAWLER CARRIER		CE000288
16-4507	2005	KOMOTSU PC50MR-2		6275OROPS
16-4508	2005	KOMATSU PC220LC-7		A86897
16-4510	2014	KOMATSU PC88MR-8		6159
16-4514		KOMATSU PC 138		41492
19-0000 AIR COMPRESSORS				
19-4960		185 AIR COMPRESSOR		XAS185JD7
23-0000 GENERATORS				
23-4801		GENERATOR MODEL RGX7100		1002277
23-4802		GENERATOR		NO INFO GIVEN
23-4803		25W TRAILER MOUNTED GENERATOR		7111122
24-0000 PUMPS				
24-4899		MULTI-QUIP SUBMERSIBLE		
24-4900		4"ELECTRIC SUBMERSIBLE		
24-4901		8" DIESEL CENTRIFUGAL		
24-4902		GODWIN CD225M 8" DRIPRIME		9814727-2
24-4903		H & H HYDRAULIC PUMP		237944
24-4904		H & H HYDRAULIC PUMP		433459
24-4905		GULF ATLANTIC PUMP 6"	CRA 2-37X6	25063
24-4906		6" WATER PUMP HEAD #1		
25-0000 VANS OFFICE TRAILERS				
25-4910R	1998	MODULAR OFFICE		197098
25-4911	2000	DUTCHMAN 5TH WHEEL CAMPER		47CF20M25YM402065
27-0000 LASERS/LEVELS/GPS KITS				
27-4111		SPECTRA-PRECISION 1280S PIPE LASER		
27-4112		PIPE LASER 1285 S W TARGET 956		9762
28-0000 BROOMS				
28-4900		CT BA18 BROOM		AXC18201

**PACE CONTRACTING, LLC
EQUIPMENT LIST 2019**

UNIT NO.	YEAR	DESCRIPTION	DRIVER	SERIAL NO.
29-0000		MISC.		
29-4856		ROYER SCREEN MACHINE		
29-4869		UTILITY LOCATOR		
29-4871		BEDDING BOX		228643
29-4872		GANG FORMS		M368
29-4873		8 x 20 TRENCH BOX		
27-4874		SELF DUMPING HOPPER		M368
27-4875		SELF DUMPING HOPPER		9983
29-4876		2" HORIZONTAL BORING UNIT		9982
30-0000		SNOW EQUIPMENT		Being built per Lori
29-4700		SNOW PLOW		
29-4701		SNOW PLOW		1809
29-4702		SNOW PLOW		1810
31-0000		CONCRETE SAWS		1889
29-4853		WALK BEHIND SAW C5820H20		
31-4854		HAND HELD CONCRETE SAW		BB0303896
31-4855		GAS HAND HELD CONCRETE SAW		174616657
32-0000		PLATE COMPACTORS		174616668
32-4101		BASE PLATE COMPACTOR		
33-0000		TRAFFIC CONTROL		90206
34-0000		LIFTS		
34-4700	2006	JLG TELEHANDLERS		
34-4701		JLG TELEHANDLERS		

Thomas A. Wood, Administrative Member
Pace Contracting, LLC – Company and Personal Information

Personal: Thomas A. Wood

Born: August 24, 1957

Marital: Married with 5 children

Education: Bachelors of Science – Civil Engineering – University of Louisville 1979
Masters of Science – Civil Engineering - Purdue University 1980

Work History: Project Engineer – Hall Contracting Corporation 1980 – 1983
Project Superintendent – Hall Contracting Corporation – 1983 – 1986
Project Manager – Whittenberg Engineering & Construction – 1987 – 1990
Vice President – Hall Contracting Corporation – 1990 – 1996
Vice President – David Engineering & Construction - 1996
Administrative Member -- Pace Contracting, LLC – Started in 1997

Projects:

- Ohio River WWTP - \$4.5 million
- Sellersburg WWTP - \$2.5 million
- Crescent Hill WTP Renovation – \$19 million
- Jeffersonville 10th Street Pump Station - \$11 million
- MSD Grand Avenue Pump Station - \$11.5 million
- Taylorsville WWTP Expansion - \$2.7 million
- Barbourville WWTP - \$6.3 million
- The Parklands Beckley Station - \$1.7 million
- Ten Pin Lane Park & Ballfield - \$1.1 million
- Beargrass Preserve Park – \$1.8 million
- Taylorsville Streetscapes - \$250,000

Pace Contracting, LLC History:

Started in 1997 with no employees – subcontracting all work until backlog was built up in 1998

1998 Hired a concrete foreman and started self performing work.

Currently working 1 General Superintendent, 3 project managers, 7 project foreman, 3 office administrators, and 40 employees with over 50 equipment units.

Project Emphasis – Public Heavy Utilities, Roads and Parks, Water and Wastewater Treatment Plants, Pump Stations

Customer List:

Barbourville Utility Commission
City of Eminence
City of Jeffersontown
City of Jeffersonville
City of New Albany
City of Owingsville
City of St. Matthews
City of Taylorsville
Federal Aviation Administration
Georgetown Municipal Water & Sewer
Kentucky Department of Transportation

Lexington Fayette Urban County Government
Louisville & Jefferson County Metropolitan Sewer District
Louisville Metro Government
Louisville Metro Parks Department
Louisville Water Company
Oldham County Sewer District
South Harrison Water Corporation
Sullivan & Cozart
Town of Milltown, Indiana
Town of Sellersburg, IN

Ownership: Pace Contracting, LLC is a member owned LLC and the members are LPX - the holding company for Louisville Paving Company and its subsidiaries, Tom Wood, and Doug Wood.

6808 Sky Blue Ave.
Louisville, KY 40258

Phone (502) 471-1158
mikem@pacecontractingllc.com

Douglas M. Mulhall, P.E.

Qualification Statement:

6 years in large scale construction advancing from project engineer to project manager, 4 years in construction sales, currently Estimating Manager at Pace Contracting. Experience in project management, construction, engineering, and sales. Outgoing, hard-working, and eager to take on new challenges in the industry. Adaptable, self-motivating, and always inspired to learn.

Professional Experience:

Pace Contracting, LLC. 2015 (Summer) – Present

- Estimating Manager/ Project Manager.

Gerdau Reinforcing Steel. 2011 (Summer) – 2015 (Summer)

- Contract sales of reinforcing steel for Large Concrete Construction Projects. Manage Contract Administration including contract and conflict resolution, purchase orders (POs), change Orders (COs), and Accounts Receivables. Successfully work multiple projects consecutively and simultaneously over the following territories: KY, IN, and WV.

Baker Concrete Construction. 2005 (Spring) – 2011 (Spring)

- Managed the concrete construction for many concrete framed projects ranging from 1 million to 22 million dollars in cost. Key positions are as follows: Helped with figuring estimate production rates, created budgets from estimate, scope review, managed financials for projects along with managing project cost, maintained relationship with the customer, tracked daily production, created sub-contracts and PO's, created and maintained schedules using Primavera/ Suretrack, tracked material usage using Excel, conflict and dispute resolution, help with crew size management, development of RFI's, coordination of projects and creating a logistic plan for the jobsite.

Miscellaneous Construction Experience. 1994 – 2005 (Fall)

Continuous remodeling and construction with Family Business, Surveying Internship with H.E. Rudy, Louisville, KY (2 summers), Internship with Tetra Tech, Lexington, KY (1 summer), Foreman with Churchill McGee, Lexington, KY (Part-time for 2 yrs)

Academics:

University of Kentucky

Bachelor of Science in Civil Engineering, December 2004
Masters in Civil Engineering, December 2005

Georgetown College:

Bachelor of Science (Dual Degree in conjunction with University of Kentucky), December, 2004

- Minor in Math and Physics
- Football (Part of 2 NAIA National Championships)

Saint Xavier H.S. (Louisville, KY):

Graduated 1998

- Varsity Football (Part of 2 State Championship Teams)

Licensure and Certifications

PE Licensure in Kentucky 27063

30-Hour OSHA, Daie Carnegie Certificate, and Seven Habits of Highly Effective People Certificate

References:

Available upon request

Christopher Bauer

Louisville, Kentucky
(502) 471-1157 | cbauer@pacecontractingllc.com

PROFILE

- Project Manager for Pace Contracting, LLC.
- Specializes in management of hardscape and streetscape projects.

EDUCATION

- Fairdale Highschool-Louisville, KY.: Graduated 1999
- Jefferson Community and Technical College-Louisville, KY.: 1999-2000
- Indiana Tech- New Albany, IN.: (50 Credits) 2012-2013

EXPERIENCE

- | | |
|---|--------------------------------|
| Kingsbury Concrete Construction
<i>Concrete Forman/Carpenter/Laborer</i> | Louisville, KY
1997-2004 |
| <ul style="list-style-type: none">• Residential and Commercial Decorative Concrete Placement | |
| Artisan Concrete, LLC
<i>Owner/Operator</i> | Louisville, KY
2004-2010 |
| <ul style="list-style-type: none">• Residential and Commercial Decorative Concrete Placement• Managed 9-10 man crew. | |
| Louisville Paving and Construction
<i>Concrete Superintendent</i> | Louisville, KY
2010-2013 |
| <ul style="list-style-type: none">• Coordinated all site concrete work. | |
| Pace Contracting, LLC
<i>Concrete Forman ('13-'16)/ Project Manager ('16-Present)</i> | Louisville, KY
2013-Present |
| <ul style="list-style-type: none">• University of Louisville: Louisville, KY-Belknap Academic Classroom Building (\$5.8 Million)• City of Simpsonville: Simpsonville, KY- US 60 Sidewalks/Streetscape (\$2.3 Million)• Louisville Metro: Louisville, KY-South 4th Street Streetscape (\$1.8 Million) | |

SKILLS

- Project Management and Subcontractor Management
- General Trades
- Installation of Underground Utilities and Site Work
- Commercial Building Construction
- Concrete Finishing (Curb, Sidewalk, Structural, Architectural Features, Slab on Grade, etc)

RESUME

Name: Paul Snead

Year Started with Pace Contracting, LLC – Oct. 2013

Years with other firms and with whom?

Dugan & Meyers – 11 years

King construction – 5 years

NWS - 2 years

Highest Degree obtained from a post-secondary school, what major, and which school:
School: High School Diploma, Pleasure Ridge Park H.S.

WORK EXPERIENCE

Project Name: Grand Avenue Pump Station

Location: Louisville, Ky.

Project Value: \$11,407,000.00

Brief Description of Project: The construction of a 10 mgd pumping station with storage capacity of 3 mg and associated appurtenances

Role/Position on Project : Manage the field employee's, Equipment and materials

Year Project Started: 2013 Completed: 2015

*Owner: MSD

Contact Person: Jay Thomas 502.540.6464

Project Name: Bancroft Pump Station

Location: Louisville, Ky.

Project Value: \$4,686,990.00

Brief Description of Project: Construction of a 0.33 MGD pump station and a 250,000 gallon storage basin and approximately 1,381 linear feet of 12-inch sewer, 2,272 linear feet of 6-inch force main, and installing 8 sanitary manholes.

Role/Position on Project : Manage the field employee's, Equipment and materials

Year Project Started: 2013 Completed: on-going

*Owner: MSD

Contact Person: Jay Thomas 502.540.6464

Project Name: Muddy Fork Interceptor

Location: Louisville, Ky.

Project Value: \$6,567,000.00

Brief Description of Project: The construction and installation of an off-line, cast-in-place concrete, covered, sanitary sewer overflow storage basin including dewatering pumps, 433 linear feet of 21-inch sanitary sewer, 35 linear feet of 12-inch sanitary sewer force main, and all other related appurtenances.

Role/Position on Project : Manage the field employee's, Equipment and materials

Year Project Started: April 2015 Completed: on-going

*Owner: MSD

Contact Person: David Coe (502)693-1484

Project Name: Jeffersonville North WWTP

Location: Jeffersonville, IN

Project Value: \$17,692,000.00

Brief Description of Project: construct a 17 MGD waste water treatment plant

Role/Position on Project : Manage the field employee's, Equipment and materials

Year Project Started: 2012 Completed: 2013

*Owner: City of Jeffersonville

Contact Person: Tom Galligan, Mayor (812) 285-6476, Len Ashack Utility Director
(812)285- 6451 lashack@cityofjeff.net

Project Name:/ Derek R Guthrie WQTC

Location: Louisville, KY

Project Value: \$26,574,000

Brief Description of Project: Treatment plant expansion from 200 to 300 MGD

Role/Position on Project : Superintendent over field employees

Year Project Started: 2010 Completed: 2012

*Owner: Louisville MSD:

Contact Person: *Architect/Engineer: HDR Engineering Steve Keiber (502) 584-4115

Project Name: Cedar Creek Maintenance Facility

Location: Louisville, Ky

Project Value:\$1,850,000

Brief Description of Project: Maintenance Building

Role/Position on Project : Foundation placment

Year Project Started: 2007 Completed: 2008

*Owner: Louisville, MSD

*Architect/Engineer: Architectural Investments

Project Name: Corydon WWTP

Location: Corydon, In

Project Value: 3,940,000

Brief Description of Project: SBS design sewer treatment plant

Role/Position on Project :Superintendent with the responsibilities including field personnel management, material ordering , sub contract coordination.

Year Project Started: 2007 Completed: 2008

*Owner: Corydon Municipal Water Works Fred Cammack (812) 738-3958

*Architect/Engineer: Midwestern Engineers (812)295-2800

Project Name: Buchanan Street Pump Station

Location: Louisville, Ky

Project Value: 9,417,000

Brief Description of Project: Largest sewer pump station in Ky

Role/Position on Project : Superintendent over mechanical installation and Start-up of the pump station.

Year Project Started: 2004 Completed: 2006

*Owner: Louisville, MSD Darren Thompson (502)540-6308

*Architect/Engineer: Obrien and Gere John Morse (502) 586-6052

Project Name: Ohio River Levee Trail
Location: Louisville, Ky
Project Value: 1,526,000
Brief Description of Project: Construct a pedestrian walk way through rural Jefferson county with a 235 ft bridge that we launched across a ravine.
Role/Position on Project : Superintendent over paving and bridge launching, Management material and employees.
Year Project Started: 2006 Completed: 2006
*Owner: Louisville Metro Government, Christopher Phillips (502) 574-5796

Project Name: City of New Albany WWTP
Location: New Albany, In
Project Value: 16,893,000
Brief Description of Project: Sewer treatment plant with 100 MGD capability
Role/Position on Project : Superintendent over mechanical installation of equipment.
Year Project Started: 2004 Completed: 2005
*Owner: City of New Albany Dick Bliss (812) 948-5336
*Construction Manager: Donahue & Assoc.
*Architect/Engineer: Clark & Deitz James Miller (317) 267-8200

Project Name : Jeffersonville WWTP Anoxic Selector
Location: Jeffersonville, In
Project Value: 1,968,000
Brief Description of Project: Construct an Anoxic Zone on the existing oxidation Ditches
Role/Position on Project : Superintendent, Management of manpower and Materials to construct the project.
Year Project Started: 2006 Completed: 2007
*Owner: City Of Jeffersonville, Mike Meyers (812) 285-6476
*Architect/Engineer: Strand Associates

Project Name : Joe Creason Park
Location: Louisville, Ky
Project Value: 442,000
Brief Description of Project:
Role/Position on Project (not just your title but what you did): Superintendent, Management of manpower and Materials to construct the project.
Year Project Started: 2007 Completed: 2007
*Owner: Louisville Metro Government Paul Shaffer (502) 439-7694

Project Name : Mill Creek HDPE
Location: Louisville, Ky
Project Value: 327,000
Brief Description of Project: Well system with piping
Role/Position on Project : Superintendent, Management of manpower and Materials to construct the project.
Year Project Started: 2008 Completed: 2008
*Owner: LG&E

Project Name : Fort Knox IBCT

Location: Fort Knox, Ky

Project Value: 1,130,000

Brief Description of Project: Replacement of sewer mains.

Role/Position on Project : Superintendent, Management of manpower and Materials to construct the project.

Year Project Started: 2009 Completed: 2009

*Owner: Hardin county water, Bret Pyles

*Architect/Engineer:

Project Name : Waterfront Pedestrian Ramp

Location: Louisville, Ky

Project Value: 2,138,000

Brief Description of Project: Constructed a pedestrian ramp up to the Big 4 bridge.

Year Project Started: 2009 Completed: 2010

*Owner: Louisville Metro Government

RESUME OF

Lisa Kay Neal
5400 Foxwood Drive
Crestwood, KY 40014
Phone: (502-471-1163)
E-Mail – lisan@loupaving.com

PRESENT EMPLOYER..... Pace Contracting, LLC / LPX, Inc.

JOB TITLE..... Safety Director

YEARS EMPLOYED..... 2002-present

PRIMARY DUTIES Safety for all employees-training, job site review-new hire, drug testing

PREVIOUS EMPLOYER Creation Films

JOB TITLE..... Executive Director of Production

PRIMARY DUTIES Oversees the filmmaking of Military Documentaries

PREVIOUS EMPLOYER Military Channel

JOB TITLE..... Office Manager/Legal Executive

PRIMARY DUTIES Oversee all office and legal administrated employees

EDUCATION..... Associated Degree in Health and Safety

EXPERIENCE..... 4 years' experience in Health and Safety for LPX and Subsidiaries of 300 employees

QUALIFICATIONS..... Degree in Health and Safety

REFERENCES..... Joe Dougherty

MBE/WBE Analysis

Contract Total = \$ 25,899,973.00

	WBE (6%)		MBE (15%)	
Advance Concrete	\$ 400,000.00	1.5%	Cherokee	\$ 3,325,000.00 12.8%
S & M	\$ 184,180.00	0.7%	TEM	\$ 2,533,070.00 9.8%
Earth Images	\$ 85,000.00	0.3%		0.0%
McKinney	\$ 97,900.00	0.4%		0.0%
Terry Gollar	\$ 240,000.00	0.9%		0.0%
Professional Fence	\$ 62,585.00	0.2%		0.0%
Mills	\$ 145,900.00	0.6%		0.0%
ESI	\$ 547,000.00	2.1%		0.0%
<hr/>				
Total	\$ 1,762,565.00	6.8%	Total	\$ 5,858,070.00 22.6%
6% of Contract	\$ 1,553,998.38		15% of Contract	\$ 3,884,995.95
Over/(Under)	\$208,566.62		Over/(Under)	\$1,973,074.05

Raven Pump Station - Louisville MSD

additional information for P-28

MBE/WBE Analysis

Estimate Total = \$ 10,423,399.23
 Final Bid = \$ 10,427,000.00
 MBE % Needed 15%

Type	Contractor/ Vendor	\$	%
MBE	TEM	\$ 5,552,973.00	53.3%
MBE			0.0%
			0.0%
			0.0%
			0.0%
			0.0%
			0.0%
			53.26%
	Total	\$ 5,552,973.00	
	15% of Contract	\$ 1,564,050.00	
	Over/ (Under)	\$3,988,923.00	

Type	Contractor/ Vendor	\$	5%	%
WBE	McKinney Painting	\$ 69,900.00		0.7%
WBE	Southern Metals	\$ 88,000.00		0.8%
WBE	Lykins Reinforcing	\$ 42,898.00		0.4%
WBE	Advance Ready	\$ 192,655.00		1.8%
WBE	Earth Images	\$ 9,957.50		0.1%
WBE	ESI	\$ 121,200.00		1.2%
	Total	\$ 524,610.50		5.03%
	5% of Contract	\$ 521,350.00		
	Over/ (Under)	\$3,260.50		

Crescent Hill Standby generator- Louisville-Water Company

Additional information for P-28

**MSD LOUISVILLE
SUBCONTRACTOR UTILIZATION PLAN
(STATEMENT OF INTENT TO UTILIZE FIRMS)**

Page 1 of 1

**THIS DOCUMENT MUST BE ACCURATELY COMPLETED, SIGNED AND SUBMITTED BY ALL BIDDERS
BY 3:00PM THE NEXT BUSINESS DAY AFTER THE BID OPENING**

Project Name: Shively Pump Station Electrical Improvements Project Description: Contract No. 15968
 Company Name: Pace Contracting, LLC Date Submitted: 3/23/2018 Total Value: \$1,340,000
 Address: 15415 Shelbyville Rd Louisville, KY 40245 Federal Tax ID# 35-2022494
 Contact Person: Tom Wood Email: tomwood@pacecontractingllc.com Phone #: 502/815-4142

The above named company will execute a formal agreement with the firms listed below. This form must be submitted by **3:00pm** the next business day after the bid opening. The bidder must list **ALL SUBCONTRACTORS**. Regardless of the amount or service. Failure to complete this form with all the pertinent-requested information [as indicated in each column], shall cause a bid to be non-responsive. This document will be included as part of the contract.

Company Name/Address/Contact Person/Phone/Email	Federal Tax ID#	Describe Exact Type of Work/*Supplier	Check if Supplier Only	Consultant/ *Subconsultant		WBE	Non-M/WBE	For Office Use Only (Calculation)
				Amount	Percentage			
Engineered Solutions - Tori Oberhausen 1850 Taylor Ave Ste 11 Louisville, KY 40213 502/485-1515 tco@esi-lou.com	61-1288228	Instrumentation		\$191,100	14.6	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
TEM Group, Inc - Tom Clark 3560 Bashford Avenue Louisville, KY 40218 502/454-0101 tclark@temgroupinc.com	61-1260155	Electrical		\$448,550	33.5	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
						<input type="checkbox"/>	<input type="checkbox"/>	
						<input type="checkbox"/>	<input type="checkbox"/>	

Please Note: If the materials or supplies are obtained from an MBE/WBE manufacturer, 100% of the cost of the materials or supplies shall count toward MBE/WBE goals. If the materials or supplies are purchased from an MBE/WBE that is not a manufacturer, 60% percentage of the cost of the materials or supplies from a certified M/WBE supplier will be counted toward the MBE/WBE goals. MSD reserves the right to make announced or unannounced field site visits to M/WBE firms' business locations. I certify that the above information is true to the best of my knowledge. The company acknowledges and agrees that if awarded the contract the information provided on this Subcontractor Utilization Plan shall be incorporated into the terms and conditions of the final contract between MSD and the Company. All firms checked MBE/WBE must be registered with MSD's Supplier Diversity Office and certified with national and federal certification organizations as recognized by MSD. I acknowledge and agree that any changes to the above information must be submitted on the MSD Subcontractor Substitution Form and approved in advance by MSD.

Signature: _____ Title: Administrative Member
 Print Name: Tom Wood Date: 3-23-2018

Additional Information for P-28

Pace Contracting, LLC does commit itself that on the following project:
 NAME OF COMPANY

U of L Belknap Academic Classroom Building Bid Pack III, BP-09
 PROJECT NAME

IB-025-17
 BID NUMBER

The Bidder agrees to furnish information required by the University of Louisville to indicate the Minority and Woman-Owned Business which it intends to utilize. Breach of this commitment constitutes breach of the Bidder's contract if awarded.

NAME OF MINORITY/ WOMAN-OWNED BUSINESS	MBE/ WBE	TELEPHONE	TYPE OF WORK	ESTIMATED DOLLAR VALUE	PERCENT
sSeven Seas Construction	MBE	502/231-7395	concrete walks & pavers	\$693,000	13%
Somethin Bloomin	WBE	859/536-7568	Landscaping	\$1,97,608	3.7%
Cherokee Construction	MBE	502/589-9737	Doors & Hardware	\$447,947	8.3%

The undersigned will enter into a formal agreement with the minority or woman-owned business firms for work listed in this schedule conditioned upon execution of a contract with the University of Louisville.

Subcontractors and other persons and organizations proposed by the Bidder and accepted by the Owner and the Architect/Engineer must be used on the work for which they were proposed and accepted and shall not be changed except with the written approval of the Owner and the Architect/Engineer. The undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the Bidder to the commitment herein set forth.

Signature and title of authorized official of the company and the date must be properly executed on this document or the bid will be deemed non-responsive.

Tom Wood
 NAME OF AUTHORIZED OFFICER

 SIGNATURE

Administrative Member
 TITLE
November 9, 2016
 DATE

Additional Information for P-28

Document A310™ – 2010

Conforms with The American Institute of Architects AIA Document 310

Bid Bond

CONTRACTOR:

(Name, legal status and address)

Pace Contracting, LLC

15415 Shelbyville Rd

Louisville, KY 40245

SURETY:

(Name, legal status and principal place of business)

Western Surety Company

151 N. Franklin St.

Chicago, IL 60606

Mailing Address for Notices

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

OWNER:

(Name, legal status and address)

Lexington-Fayette Urban County Government

200 E. Main Street

Lexington, KY 40507

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

BOND AMOUNT: Five Percent of Total Amount Bid (5%)

PROJECT:

(Name, location or address, and Project number, if any)

Fourth Street Corridor Enhancement and Legacy Trail Phase 3 Projects

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

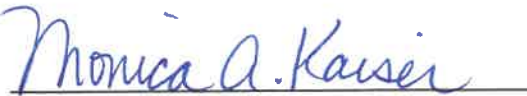
When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 13th day of September 2019


(Witness)

Pace Contracting, LLC
(Principal) (Seal)

By: 
(Title)


(Witness) Monica A. Kaiser

Western Surety Company
(Surety) (Seal)

By: 
(Title) Paula J. Teague Attorney-in-Fact

Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Paula J Teague, Todd P Loehnert, John B Ayres, Monica A Kaiser, Michael W Baxter, Individually

of Louisville, KY, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 30th day of March, 2018.



WESTERN SURETY COMPANY

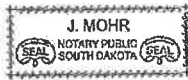
Paul T. Bruflat, Vice President

State of South Dakota }
County of Minnehaha } ss

On this 30th day of March, 2018, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

June 23, 2021



J. Mohr, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 13th day of September, 2019.



WESTERN SURETY COMPANY

L. Nelson, Assistant Secretary

Form F4280-7-2012

Go to www.cnasurety.com > Owner / Oblige Services > Validate Bond Coverage, if you want to verify bond authenticity.

Authorizing By-Law

ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.