



ADDENDUM #3

Bid Number: #107-2019

Date: November 11, 2019

Subject: James Layne Culvert Replacement

Address inquiries to:
Sondra Stone
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. Question: What is unit price #6 (water) for? Where would this water be coming from?
Answer: The water would be for dust control, if needed, during construction. The water can be brought in by truck or pumped from the stream.
2. Question: By the plans it looks like we will be installing fill material on both sides of the road about 10 to 15 feet past each side of the road. This will make tree demo a substantial price. I am just clarifying that in order to install this fill as shown we will need to demo around 400 linear feet of trees?
Answer: All trees, within the limits of disturbance, will need to be removed for construction of the culvert and placement of embankment for the road. All tree removal is incidental to bid *Item #10 - Clearing and Grubbing*, of this contract, and described in *Section 202-Clearing And Grubbing* of the Kentucky Transportation Cabinet Department of Highways Standard Specifications for Road and Bridge Construction.
3. Question: If the general contractor is certified thru KYTC does your subcontractors have to be?
Answer: Yes. Subcontractors must be certified thru the KYTC.



4. Question: For the earthwork unit price of 292 CY. Is this the import needed for the fill material on each side of the roadway for the slopes & roadway?
Answer: Yes. This is the quantity of additional fill material needed to construct the embankment for the roadway.
5. Question: Will Variable Message Boards be required as part of the Traffic Control Plan?
Answer: Yes. Two Variable Message Board Signs will be installed (one on either side of the closure) for two weeks prior to the closure. This additional work will be incidental to *Item #12 – Maintenance of Traffic* of this contract.
6. Question: Can Cast-in-Place construction be substituted for *Item #18 - RCBC 12FT X 6 FT* and *Item #19 - Box Culvert Headwall*?
Answer: Yes. The contractor may substitute a cast-in-place alternative for the pre-manufactured box culvert and headwalls. The design must be performed by a Kentucky Professional Engineer; who is identified in the bid and is prequalified with the Kentucky Transportation Cabinet for design of *Spans Under 500 feet*. Additional duties of the Engineer will include but are not limited to; the inspection of the form work, inspection of the steel placement, oversee the concrete pour (strength testing and material monitoring) and draft as-built drawings of the completed project. The hiring of the Engineer and all additional work associated with a cast-in-place alternative for *Item #18 - RCBC 12FT X 6 FT* and *Item #19 - Box Culvert Headwall* will be incidental.
7. Question: Will the contractor need to replace the four plank fence.
Answer: Yes. There will be an additional item added to the Bid Schedule: *Item #21 – Construct Four Plank Fence*. This item will include all the work to construct 325 linear feet of a Four Plank Fence in accordance with LFUCG-STD 311 (see attached). This item shall also include the temporary construction of a fence suitable to contain the existing livestock within the exposed field.
8. Question: Will geotextile fabric be utilized with the culvert construction?
Answer: Yes. The contractor should estimate 125 square yards of geotextile fabric for construction of the box culvert and headwalls. This will be incidental to *Item #18 - RCBC 12FT X 6 FT* and *Item #19 - Box Culvert Headwall*.
9. Question: Will the AT&T fiber optic line be affected by the construction of the culvert?
Answer: It is the opinion of the owner that the AT&T fiber optic line will not be in conflict with the construction of the culvert. Before construction, the contractor shall coordinate with AT&T to expose and verify the exact location of the fiber optic line. During construction all efforts will be made to protect the line. All work associated with the locating and verification of the AT&T fiber optic line shall be incidental to *Item #11 – Mobilization*.



10. Please replace pages P-6 and P-8 in Bid Schedule with attached.

11. Pre-bid sign-in sheet is attached.

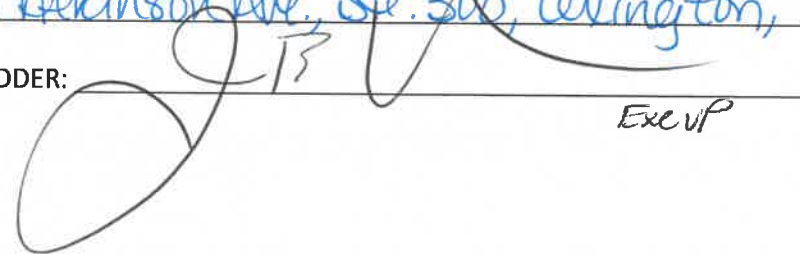


Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: The Allen Company, Inc.

ADDRESS: 3009 Wilkinson Ave. Ste. 300, Lexington, Ky 40509

SIGNATURE OF BIDDER:  Exec VP



4. BID SCHEDULE – SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Division uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantities	Unit	Unit Price	Total Bid Amount
1.	Crushed Stone Base _____ _____ Dollars _____ Cents	199	TON	\$ _____	\$ _____
2.	CL2 ASPH Base 0.75D PG64-22 _____ _____ Dollars _____ Cents	230	TON	\$ _____	\$ _____
3.	CL2 ASPH Surf 0.38D PG64-22 _____ _____ Dollars _____ Cents	56	TON	\$ _____	\$ _____
4.	Structure Excavation _____ _____ Dollars _____ Cents	91	CY	\$ _____	\$ _____
5.	Earthwork _____ _____ Dollars _____ Cents	292	CY	\$ _____	\$ _____
6.	Water _____ _____ Dollars _____ Cents	1	MG	\$ _____	\$ _____

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantities	Unit	Unit Price	Total Bid Amount
7.	Guardrail-Steel W Beam-S Face _____ Dollars _____ Cents	252	LF	\$ _____	\$ _____
8.	Guardrail End Treatment Type 7 _____ Dollars _____ Cents	4	EA	\$ _____	\$ _____
9.	Channel Lining Class III _____ Dollars _____ Cents	170	TON	\$ _____	\$ _____
10.	Clearing and Grubbing _____ Dollars _____ Cents	1	LS	\$ _____	\$ _____
11.	Mobilization _____ Dollars _____ Cents	1	LS	\$ _____	\$ _____
12.	Maintain Traffic Control _____ Dollars _____ Cents	1	LS	\$ _____	\$ _____
13.	Staking _____ Dollars _____ Cents	1	LS	\$ _____	\$ _____
14.	Remove Structure _____ Dollars _____ Cents	1	LS	\$ _____	\$ _____
15.	Seeding and Protection _____ Dollars _____ Cents	1467	SY	\$ _____	\$ _____
16.	Pave Striping-Perm Paint-4 in _____ Dollars _____ Cents	807	LF	\$ _____	\$ _____
17.	Erosion Control _____ Dollars _____ Cents	1	LS	\$ _____	\$ _____
18.	RCBC-12 Ft X 6 Ft _____ Dollars _____ Cents	37	LF	\$ _____	\$ _____
19.	Box Culvert Headwall _____ Dollars _____ Cents	2	EA	\$ _____	\$ _____
20.	Edge Key _____ Dollars _____ Cents	40	LF	\$ _____	\$ _____
21.	Construct Four-Plank Fence _____ Dollars	325	LF	\$ _____	\$ _____

TOTAL OF ALL BID PRICES FOR James Lane Culvert Replacement Project (Items 1 through 21) in words and figures. In case of discrepancy, the amount shown in words will govern.

_____ (\$ _____).

MAYOR LINDA GORTON



TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #2

Bid Number: #107-2019

Date: November 1, 2019

Subject: James Layne Culvert Replacement

Address inquiries to:
Sondra Stone
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:


Please replace pages P-6 and P-7 in Bid Schedule with attached.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: The Allen Company, Inc.

ADDRESS: 3009 Atkinson Avenue, Ste. 300, Lexington, Ky 40509

SIGNATURE OF BIDDER:  Exec VP



4. BID SCHEDULE – SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

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The LFUCG's decision on the bid amount is final.

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2.	CL2 ASPH Base 0.75D PG64-22 _____ Dollars _____ Cents	230	TON	\$ _____	\$ _____
3.	CL2 ASPH Surf 0.38D PG64-22 _____ Dollars _____ Cents	56	TON	\$ _____	\$ _____
4.	Structure Excavation _____ Dollars _____ Cents	91	CY	\$ _____	\$ _____
5.	Earthwork _____ Dollars _____ Cents	292	CY	\$ _____	\$ _____
6.	Water _____ Dollars _____ Cents	1	MG	\$ _____	\$ _____

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantities	Unit	Unit Price	Total Bid Amount
7.	Guardrail-Steel W Beam-S Face _____ Dollars _____ Cents	252	LF	\$ _____	\$ _____
8.	Guardrail End Treatment Type 7 _____ Dollars _____ Cents	4	EA	\$ _____	\$ _____
9.	Channel Lining Class III _____ Dollars _____ Cents	170	TON	\$ _____	\$ _____
10.	Clearing and Grubbing _____ Dollars _____ Cents	1	LS	\$ _____	\$ _____
11.	Mobilization _____ Dollars _____ Cents	1	LS	\$ _____	\$ _____
12.	Maintain Traffic Control _____ Dollars _____ Cents	1	LS	\$ _____	\$ _____
13.	Staking _____ Dollars _____ Cents	1	LS	\$ _____	\$ _____
14.	Remove Structure _____ Dollars _____ Cents	1	LS	\$ _____	\$ _____
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18.	RCBC-12 Ft X 6 Ft _____ Dollars _____ Cents	37	LF	\$ _____	\$ _____
19.	Box Culvert Headwall _____ Dollars _____ Cents	2	EA	\$ _____	\$ _____
20.	Edge Key _____ Dollars _____ Cents	40	LF	\$ _____	\$ _____

MAYOR LINDA GORTON



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #1

Bid Number: #107-2019

Date: October 28, 2019

Subject: James Layne Culvert Replacement

Address inquiries to:
Sondra Stone
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

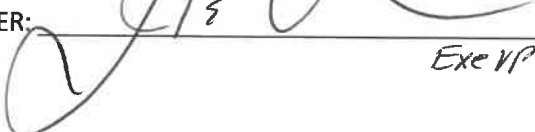
Bidders and subcontractors must be prequalified with the Kentucky Transportation Cabinet and possess a Certificate of Eligibility at the time of the bid opening.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: The Allen Company, Inc.

ADDRESS: 3009 Wilkinson Avenue, Ste. 300, Lexington, Ky 40509

SIGNATURE OF BIDDER:  Exe VP



PART III

FORM OF PROPOSAL

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PART III

Invitation to Bid No. 107-2019

James Lane Culvert Replacement

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: 11/6/19

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by The Allen Company Inc.
3009 Lexington Avenue, Ste. 300
Lexington, Ky 40509
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Delaware, doing business as The Allen Company, Inc.
"a corporation" "a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **James Lane Culvert Replacement** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 10/28/19

Addendum No. 2 Date 11/01/19

Addendum No. 3 Date 11/11/19

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. **LEGAL STATUS OF BIDDER**

Bidder The Allen Company, Inc.

Date 11/6/19

* 1. A corporation duly organized and doing business under the laws of the State of Delaware, for whom Jason B. Gabbard, bearing the official title of Executive Vice President whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

~~_____~~
~~_____~~
~~_____~~
~~_____~~
~~_____~~

* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

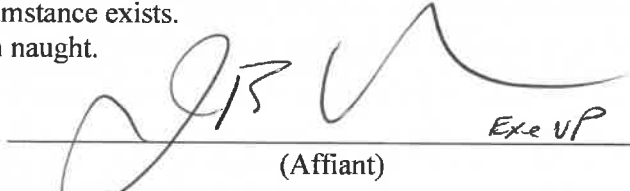
~~_____~~
~~_____~~

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Jason B. Gabbard, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Jason B. Gabbard and he/she is the individual submitting the bid or is the authorized representative of The Allen Company, Inc., the entity submitting the bid (hereinafter referred to as "Bidder").
 2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
 3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
 4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
 5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
 6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
 7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.
- Further, Affiant sayeth naught.



 (Affiant)

STATE OF Kentucky
 COUNTY OF Franklin

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Jason B. Gabbard on this the 6th day of November, 2019.

My Commission expires: 7/22/20

Kimberley A. Mulder
 NOTARY PUBLIC, STATE AT LARGE

4. BID SCHEDULE – SCHEDULE OF VALUES

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The LFUCG's decision on the bid amount is final.

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1.	Crushed Stone Base <u>Twenty Eight</u> Dollars <u>Seventy Five</u> Cents	199	TON	\$ <u>28⁷⁵</u>	\$ <u>5721⁷⁵</u>
2.	CL2 ASPH Base 0.75D PG64-22 <u>One Hundred Nine</u> Dollars <u>Fifty</u> Cents	230	TON	\$ <u>109⁵⁰</u>	\$ <u>25185⁰⁰</u>
3.	CL2 ASPH Surf 0.38D PG64-22 <u>One Hundred Twenty Five</u> Dollars <u>Seventy Five</u> Cents	56	TON	\$ <u>125⁷⁵</u>	\$ <u>7072⁰⁰</u>
4.	Structure Excavation <u>Twenty Two</u> Dollars <u>Fifty</u> Cents	91	CY	\$ <u>22⁵⁰</u>	\$ <u>2077⁵⁰</u>
5.	Earthwork <u>Twenty Two</u> Dollars <u>Zero</u> Cents	292	CY	\$ <u>22⁰⁰</u>	\$ <u>6424⁰⁰</u>
6.	Water <u>One</u> Dollars <u>Zero</u> Cents	1	MG	\$ <u>1⁰⁰</u>	\$ <u>1⁰⁰</u>

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantities	Unit	Unit Price	Total Bid Amount
7.	Guardrail-Steel W Beam-S Face <u>Thirty Three</u> Dollars <u>Zero</u> Cents	252	LF	\$ <u>33⁰⁰</u>	\$ <u>8136⁰⁰</u>
8.	Guardrail End Treatment Type 7 <u>One Thousand Five Hundred Seventy Five</u> Dollars <u>Zero</u> Cents	4	EA	\$ <u>1575⁰⁰</u>	\$ <u>6300⁰⁰</u>
9.	Channel Lining Class III <u>Forty Four</u> Dollars <u>Seventy Five</u> Cents	170	TON	\$ <u>44⁷⁵</u>	\$ <u>7607⁵⁰</u>
10.	Clearing and Grubbing <u>Twelve Thousand</u> Dollars <u>Zero</u> Cents	1	LS	\$ <u>12000⁰⁰</u>	\$ <u>12000⁰⁰</u>
11.	Mobilization <u>Four Thousand Five Hundred</u> Dollars <u>Zero</u> Cents	1	LS	\$ <u>4500⁰⁰</u>	\$ <u>4500⁰⁰</u>
12.	Maintain Traffic Control <u>Six Thousand</u> Dollars <u>Zero</u> Cents	1	LS	\$ <u>6000⁰⁰</u>	\$ <u>6000⁰⁰</u>
13.	Staking <u>Five Thousand Five Hundred</u> Dollars <u>Zero</u> Cents	1	LS	\$ <u>5500⁰⁰</u>	\$ <u>5500⁰⁰</u>
14.	Remove Structure <u>Ten Thousand</u> Dollars <u>Zero</u> Cents	1	LS	\$ <u>10000⁰⁰</u>	\$ <u>10000⁰⁰</u>
15.	Seeding and Protection <u>Two</u> Dollars <u>Sixty Five</u> Cents	1467	SY	\$ <u>2⁶⁵</u>	\$ <u>3887⁵⁵</u>
16.	Pave Striping-Perm Paint-4 in <u>One</u> Dollars <u>Zero</u> Cents	807	LF	\$ <u>1⁰⁰</u>	\$ <u>807⁰⁰</u>
17.	Erosion Control <u>Four Thousand Five Hundred</u> Dollars <u>Zero</u> Cents	1	LS	\$ <u>4500⁰⁰</u>	\$ <u>4500⁰⁰</u>
18.	RCBC-12 Ft X 6 Ft <u>One Thousand Eight Hundred Fifty</u> Dollars <u>Zero</u> Cents	37	LF	\$ <u>1850⁰⁰</u>	\$ <u>68450⁰⁰</u>
19.	Box Culvert Headwall <u>Eighteen Thousand Four Hundred</u> Dollars <u>Zero</u> Cents	2	EA	\$ <u>18400⁰⁰</u>	\$ <u>36800⁰⁰</u>
20.	Edge Key <u>Twenty Five</u> Dollars <u>Zero</u> Cents	40	LF	\$ <u>25⁰⁰</u>	\$ <u>1000⁰⁰</u>
21.	Construct Four-Plank Fence <u>Twenty Eight</u> Dollars	325	LF	\$ <u>28¹⁰</u>	\$ <u>9132⁵⁰</u>

• +TEN CENTS

TOTAL OF ALL BID PRICES FOR James Lane Culvert Replacement Project (Items 1 through 21) in words and figures. In case of discrepancy, the amount shown in words will govern.

Two Hundred Thirty one Thousand Two Hundred (\$ *231,221³⁰*).
Twenty one Dollars + Thirty cents

Submitted by:

The Allen Company, Inc.

Firm

3009 Atkinson Ave., Ste. 300

Address

Lexington, Ky 40509

City, State & Zip

**Bid must be signed:
(original signature)**

J B V Ek VP

Signature of Authorized Company Representative – Title

Jason B. Gabbard

Representative/s Name (Typed or Printed)

859-543-3361

Area Code – Phone – Extension

859-543-3362

Fax #

vincent-lemieux@theallen.com

E-Mail Address

OFFICIAL ADDRESS:

The Allen Company, Inc.

3009 Atkinson Ave., Ste. 300

Lexington, Ky 40509

(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: The Allen Company/Inc.
2. Permanent Place of Business: 3009 Atkinson Ave., Ste 300, Lexington, Ky 405090
3. When Organized: 1939
4. Where Incorporated: Delaware
5. Construction Plant and Equipment Available for this Project:
attached

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Hartford Fire Insurance Company (Surety)

Signed: Kim Watson (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
Wilson Downing Drainage Imp., Lexington		\$951,165.00
Clays Mill Rd. Sect 2C, Lexington		\$4,287,750.91
Gainesway Trail Lexington		\$370,432.80
Soumland Dr. Bike lanes, Lexington		\$542,297.80
* Other jobs available upon request *		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
see attached		

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
see attached		

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
<u>T.E.M. Electric</u>	<u>Madison Co.</u> <u>E-751K9627 Interchange</u>	<u>yes</u>	<u>6.4%</u>
<u>N.H. Stone Inc.</u>	<u>" "</u>	<u>yes</u>	<u>3.14%</u>
<u>Lestar Mineral Development</u>	<u>" "</u>	<u>yes*</u>	<u>0.92%</u>
<u>Bar-Tie Reinforcing</u>	<u>" "</u>	<u>yes</u>	<u>1.49%</u>
<u>_____</u>	<u>_____</u>	<u>_____</u>	<u>_____</u>
<u>_____</u>	<u>_____</u>	<u>_____</u>	<u>_____</u>
<u>_____</u>	<u>_____</u>	<u>_____</u>	<u>_____</u>

* DBE materials supplier

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE Yes/No</u>	<u>% of Work</u>
1. <u>Guardrail Fence</u>	Name: <u>N.H. Stone, Inc</u> Address: <u>Sharpsburg, Ky</u>	<u>yes</u>	<u>9.79%</u>
2. <u>Seeding</u>	Name: <u>Cedar Valley Seeding</u> Address: <u>Paris Ky</u>	<u>yes</u>	<u>1.59%</u>
3. <u>Gr. Striping</u>	Name: <u>Central Seal Company</u> Address: <u>Parisville Ky</u>	<u>NO</u>	<u>0.33%</u>
4. _____	Name: _____ Address: _____	_____	_____

LIST OF PROPOSED MATERIALS/MANUFACTURER

MANUFACTURER'S REPRESENTATIVE FIRM

1. Precast 12'x6' RCBC Name: Oldcastle Precast NO
Address: 797 Allen Ridge Point
Lexington Ky 40510
- 2) Stone & Asphalt NO DBE
(Attach additional sheet(s) if necessary.) Lexington Quarry Company
Catnip Hill Rd.
Nicholasville Ky
- 3) Concrete NO DBE
Irving Materials
Catnip Hill Road
Nicholasville Ky

**7. Lexington-Fayette Urban County Government
MWDBE PARTICIPATION GOALS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**
- 4) The LFUCG has also established a 3% of total procurement costs as a Goal for participation for of Veteran-Owned Businesses.
- 5) **It is therefore a request of each Bidder to include in its bid, the same goal (3%) for Veteran-Owned participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned or Veteran-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE and Veteran-Owned subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by one or more women.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned, managed and controlled by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
 - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
 - b. Included documentation of advertising in the above publications with the bidders good faith efforts package

- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs and/or Veteran-Owned businesses soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.
- j. Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

Note: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented Resolution 484-2017 – A Certified Minority, Women and Disadvantaged Business Enterprise ten percent (10%) minimum goal and a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and Certified Service Disabled Veteran – Owned Businesses for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals (a full copy is available in Central Purchasing):

Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as defined by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. African American, Asian American/Pacific Islander, Hispanic Islander, Native American/Native Alaskan Indian) as defined in federal law or regulation as it may be amended from time-to-time.

Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

Certified Veteran-Owned Small Business (VOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

Certified Service Disabled Veteran Owned Small Business (SDVOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term “Certified” shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Purchasing as having the appropriate credentials to make a determination as to the status of the business.

To comply with Resolution 484-2017, prime contractors and minority, women and veteran owned businesses must enroll in the new Diverse Business Management Compliance system, <https://lexingtonky.diversitycompliance.com/>

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Susan Marston	smarston@tsmsdc.com	502-365-9762
Small Business Development Council	Shawn Rogers UK SBDC	shawn.rogers@uky.edu	859-257-7666
Community Ventures Corporation	Phyllis Alcorn	palcorn@cvky.org	859-231-0054
KY Transportation Cabinet (KYTC)	Melvin Bynes	Melvin.bynes2@ky.gov	502-564-3601
KYTC Pre-Qualification	Shella Eagle	Shella.Eagle@ky.gov	502-782-4815
Ohio River Valley Women’s Business Council (WBENC)	Sheila Mixon	smixon@orvwbc.org	513-487-6537
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner’s Council (NWBOC)	Janet Harris-Lange	janet@nwbo.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozdeky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	production@keynewsjournal.com	859-685-8488



LFUCG MWDBE PARTICIPATION FORM
 Bid/RFP/Quote Reference # 107-2019

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. <i>N.H. Stone, Inc. Sharpsburg, Ky</i>	<i>DBE</i>	<i>Guardrail & Fence</i>	<i>22631⁷⁵</i>	<i>9.79 %</i>
2. <i>Cedar Valley Seeding Paris Ky</i>	<i>DBE</i>	<i>Seeding</i>	<i>3667⁵⁰</i>	<i>1.59 %</i>
3.				
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

The Allen Company Inc.

Company

11/18/19

Date

[Signature] Exec VP

Company Representative

Executive Vice President

Title



LFUCG MWDBE SUBSTITUTION FORM
 Bid/RFP/Quote Reference # 107-2019

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. N/A					
2.					
3.					
4.					

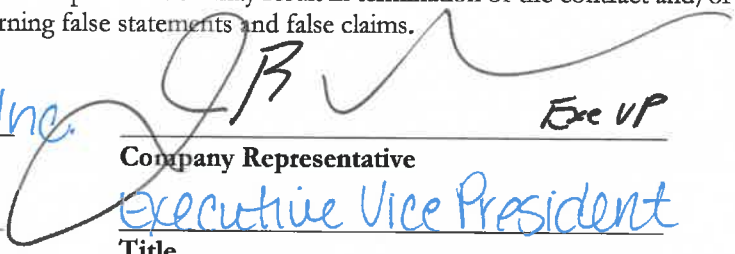
The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

The Allen Company, Inc.

Company

11/18/19

Date


 Exec VP
 Company Representative
Executive Vice President
 Title



MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 107-2019

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

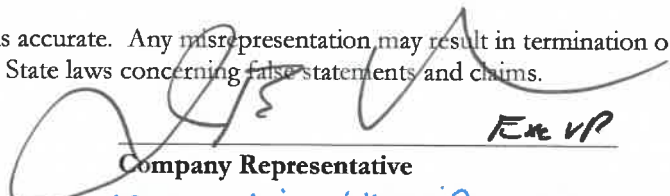
Company Name <u>The Allen Company, Inc.</u>	Contact Person <u>Vincent Lemieux</u>
Address/Phone/Email <u>3009 Wilkinson Ave. Ste. 308 Winston, Ky 40509 859-543-3361 vincent-lemieux@theallen.com</u>	Bid Package / Bid Date

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
<u>M.H. Stone Sharpsburg, Ky</u>	<u>Vicki Ruisenberry</u>	<u>(859) (606) 247-2311</u>	<u>11-14-19</u>	<u>guardrail fence</u>	<u>e-mail</u>	<u>221631 ⁷⁵</u>	<u>DBE</u>	
<u>Ledar Valley Seeders Paris, Ky</u>	<u>Susan Redman</u>	<u>(859) 987-1497</u>	<u>11-14-19</u>	<u>seeding</u>	<u>e-mail</u>	<u>3667 ⁵⁰</u>	<u>DBE</u>	

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

The Allen Company, Inc
Company
11/13/19
Date


Company Representative
Executive Vice President
Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran contractors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # _____

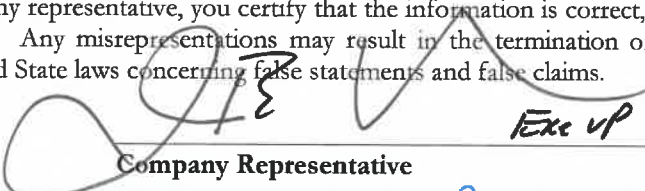
Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date
<i>N/A to be administered after contract award</i>							

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

The Allen Company, Inc.
Company
11/18/19
Date


Company Representative
Executive Vice President
Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 107-2019

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

Included documentation of advertising in the above publications with the bidders good faith efforts package

Attended LFUCG Central Purchasing Economic Inclusion Outreach event

Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work

items with its own workforce

_____ Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

_____ Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

_____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

_____ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

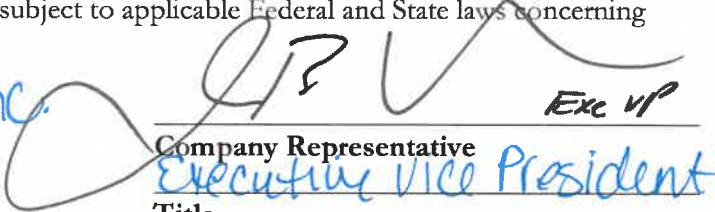
_____ Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

_____ Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

The Allen Company, Inc.
Company
11/17/19
Date


Company Representative
Executive Vice President
Title

8. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: ** See attached* _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:
The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is

the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Signature

Exec VP

Name of Business

The Allen Company, Inc.

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of The Allen Company, Inc.

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: The Allen Company, Inc.

Categories	Total		White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total		
	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	
Administrators																			
Professionals																			
Superintendents																			
Supervisors																			
Foremen																			
Technicians																			
Protective Service																			
Para-Professionals																			
Office/Clerical																			
Skilled Craft																			
Service/Maintenance																			
Total:																			

** see attached*

Prepared by: [Signature] *Exec VP*
 (Name and Title)

Date: 11/6/19

Revised 2015-Dec-15

13. EVIDENCE OF INSURABILITY

LEXINGTON-PAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

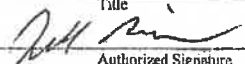
Names Insured: The Allen Company, Inc. Employee ID: 601-0116918
 Address: 3009 Atkinson Ave. Ste. 300, Lexington, Ky 40509 Phone: 859-543-3361
 Project to be Insured: 107-2019

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$1,000,000 OCC \$2,000,000 AGG	Travelers Ins Co (Phoenix Ins Co)		A++ / XV
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$1,000,000/per occ.	\$ 1,000,000	Travelers Ins Co		A++ / XV
SC-3, Section 2, Part 4.1 - see provisions	WC	Statutory w/endorsement as noted	\$ Statutory \$1M/\$1M/\$1M	KEMI		A-III

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

J. Smith Lanier & Co a Marsh & McLennan Agy LLC Co
 Agency or Brokerage
 360 East Vine Street
 Street Address
 Lexington, Ky 40507
 City State Zip
 859-254-8023
 Telephone Number

Jeff Milward
 Name of Authorized Representative
 Vice President
 Title

 Authorized Signature
 November 6, 2019
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: James Lane Culvert Replacement

BID NUMBER: 107-2019

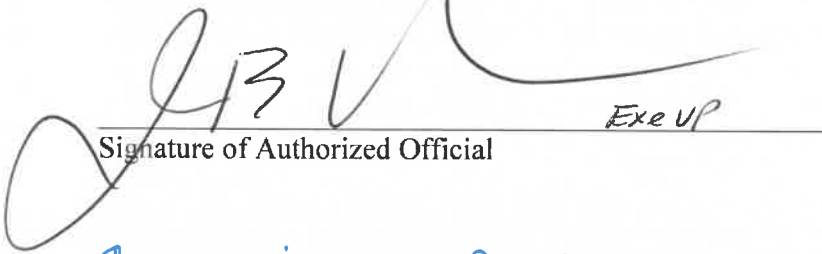
**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of The Allen Company, Inc. has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

The Allen Company, Inc.
Name of Firm Submitting Bid


Signature of Authorized Official

Exec VP

Executive Vice President
Title

11/6/19
Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.

2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: The Allen Company, Inc.

Project: James Lane Culvert Replacement #107-2019

Printed Name and Title of Authorized Representative: Jason B. Gabbard
Executive Vice President

Signature: [Handwritten Signature] Exe VP

Date: 11/06/19

END OF SECTION

5.

The Allen Company, Inc.

Equipment Listing

As of 9/06/2019

Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
12 Graders	Caterpillar	12G	1982
14 Graders	Caterpillar	14H ELECT	2001
14 Graders	Caterpillar	14M	2008
140 Graders	Caterpillar	140M3 BR	2015
140 Graders	Caterpillar	140G ELECT	1994
140 Graders	Caterpillar	140H ELECT	1996
140 Graders	Caterpillar	140H	1998
140 Graders	Caterpillar	140H	2004
140 Graders	Caterpillar	140H	2003
25 Ton Articulated Trks	Caterpillar	725	2000
25 Ton Articulated Trks	Caterpillar	725	2000
25 Ton Articulated Trks	Volvo	A25D	2007
3" Pumps	Tsurumi	LH322W	2019
3" Pumps	Tsurumi	LH322W-61	2008
3" Pumps	Tsurumi	DLH3224-61	2009
3" Pumps	Tsurumi	LH322W-61	2010
3" Pumps	Tsurumi	LH322W	2018
3" Pumps		#4 Mine Pump	2003
3" Pumps	Piranha		1992
30 Ton Articulated Trks	Volvo	A30F	2014
30 Ton Articulated Trks	Komatsu	HM-300-3	2014
4" Pumps	Godwin	100	2009
4" Pumps	Gorman R	84A2-F3L914	2009
4" Pumps	Gorman		2006
40 Ton Articulated Trks	Caterpillar	745	2019
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Caterpillar	740	2011
40 Ton Articulated Trks	Caterpillar	740	2011
40 Ton Articulated Trks	Volvo	A40F	2011
40 Ton Articulated Trks	Volvo	A40F	2013
40 Ton Articulated Trks	Volvo	A40G	2014
40 Ton Articulated Trks	Volvo	A40G	2014
40 Ton Articulated Trks	Komatsu	HM-400-5	2017
40 Ton Articulated Trks	Volvo	A40D	2005
40 Ton Articulated Trks	Volvo	A40D	2006
40 Ton Articulated Trks	Volvo	A40D	2006
40 Ton Articulated Trks			2019
6" Pumps	Gorman-R	GR B3564B	2008
6" Pumps	Dri Prime	NC150	2014
6" Pumps	Dri Prime	NC150	2014
Ac Tanks	CHILDERS	20,000 GALLON	2000

The Allen Company, Inc.
Equipment Listing
As of 9/06/2019
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Ac Tanks			2014
Ac Tanks			2001
Ac Tanks			1997
Ac Unloading, Metering, Calibr	CHILDERS		2000
Ac Unloading, Metering, Calibr			2019
Ac Unloading, Metering, Calibr			2014
Ac Unloading, Metering, Calibr			2001
Ac Unloading, Metering, Calibr			2018
Ac Unloading, Metering, Calibr			1984
Ac Unloading, Metering, Calibr			2000
Ac Unloading, Metering, Calibr			1997
Ac Unloading, Metering, Calibr			2006
Ac Unloading, Metering, Calibr			2006
Ac Unloading, Metering, Calibr			2006
Agg Screen & Weigh Conveyor	ASTECC		1988
Agg Screen & Weigh Conveyor			2014
Agg Screen & Weigh Conveyor			2001
Agg Screen & Weigh Conveyor			1997
Air Compressor Portable	Horizon Atlas Copco	20HP Duplex 120G	2016
Air Compressor Portable	Atlas	COPCO	2002
Arrow Boards			2017
Arrow Boards			2017
Arrow Boards	Addco Sentinel		2018
Arrow Boards	Addco Sentinel		2018
Asphalt Joint Sealer	Crafco	SS125DC	2013
Asphalt Paver-10'	Caterpillar	AP1000F	2015
Asphalt Paver-10'	Caterpillar	AP1055F	2016
Asphalt Paver-10'	Caterpillar	AP-1055D	2008
Asphalt Paver-8'	Vogele	5100-2	2012
Asphalt Paver-8'	Caterpillar	AP600F	2016
Asphalt Paver-8'	Caterpillar	AP1000E	2016
Asphalt Paver-8'	Blaw Kno	PF-2181	2006
Asphalt Paver-Peanut	Leeboy	8500-B	2013
Asphalt Tankers	LBT		2007
Asphalt Tankers	Etnyre	TANK TRL	2015
Asphalt Tankers	Etnyre	TANK TRL	2015
Asphalt Tankers	Harmon	7000 GAL	1995
Asphalt Tankers	Etnyre		1988
Attachments	Antraquip	AQ4-4HD	2019
Attachments	Kenco		2011
Attachments	Drum Cutter	ER 1500-1XL-HD DRU	2017
Attachments	Antraquip	AQ4	2017
Attachments	Geoprobe	540 UD	2006
Baghouse & Ductwork	ASTECC		1988

The Allen Company, Inc.
Equipment Listing
As of 9/06/2019
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Baghouse & Ductwork	Quincy	QGB-50	2009
Baghouse & Ductwork			2014
Baghouse & Ductwork			2001
Baghouse & Ductwork	Maxam		2012
Baghouse & Ductwork			1997
Baghouse & Ductwork			2016
Balers	Massey	MF1840	2013
Balers	John Deere	568	2008
Batchers & Transfer Conveyor	ASTECC		1988
Batchers & Transfer Conveyor			2014
Batchers & Transfer Conveyor			2001
Batchers & Transfer Conveyor			1997
Boom Truck	International	7400	2006
Boom Truck	Peterbilt	335	2006
Burner	Miscellaneous		0000
Burner	Gencor	Ultra II-135	2008
Burner			2014
Burner			2001
Burner			1997
Chip Spreaders	Etnyre	ENTYRE	1996
Cold Feed Bins And Collecting	ASTECC		1988
Cold Feed Bins And Collecting			2014
Cold Feed Bins And Collecting			2001
Cold Feed Bins And Collecting			1997
Cranes	Linkbelt	RTC-805011	2008
Cranes	Broderson	IC20002B	1996
Cranes	Grove	RT630B	1988
Cranes	Gallon	150F	1979
Cranes			2015
Crushers			2011
Crushers			2011
Crushers		Cone Crusher ShortHd	2011
Crushers		Cone Crusher Std	2011
Crushers			2011
Crushers			2011
Crushers			2011
Crushers	Hammer M		2013
Crushers	Dings	Magnet	2001
Crushers	Hazmag	APS-1620/HK	2001
Crushers			2015
Crushers	Hewitt R	Jaw Crusher 3042	1997
Crushers	Steadman	Crusher 6460	1997
Crushers	Symons	#1 Cone Crusher	2000
Crushers	Symons	#2 Cone Crush 200 Hp	2000

The Allen Company, Inc.
Equipment Listing
As of 9/06/2019
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Crushers		Pug Mill	2001
Crushers	Hewitt R	VL11	2000
Crushers	Lippman	Millwakee Jaw Crushe	1984
Crushers			1984
Crushers		Dump Hopper #1 Conv	2008
Crushers		Cup Line	2008
Crushers		Raw Feed Silos	2008
Crushers		Screw Conveyor	2008
Crushers		Bradley Mill	2008
Crushers		Classifier	2008
Crushers		Cyclone	2008
Crushers		Duct Work Dust Sys	2008
Crushers		Hauck Burner	2008
Crushers		Main Fan	2008
Crushers		Bag House	2008
Crushers		Silo Vents	2008
Crushers		200 Hp Blower	2008
Crushers		40 Hp Blower	2008
Crushers		Pneumatic Lines	2008
Crushers	Sullair	Air Compressor	2008
Crushers		Electrical & Control	2008
Crushers		Over Head Crane	2008
Crushers		#3 Finish Prod Silo	2008
Crushers		Electric Motors	2008
Crushers		Bradley Mill Bldg	2008
Crushers		Concrete Bradley	2008
Crushers	Steadman		2016
Crushers			2018
D Duster			2011
D Duster			2011
D Duster	Carrie	QAD	2007
D Duster	Flex-Kle	120-WSWC-196	1996
D Duster	Air Heat	9000 SCFM AH	1996
D6 Dozers	Caterpillar	D6NXL	2019
D6 Dozers	Caterpillar	D6NXL	2018
D6 Dozers	Caterpillar	D6N XL	2013
D6 Dozers	Caterpillar	D6T XL DS	2013
D6 Dozers	Caterpillar	D6 N	2012
D6 Dozers	Caterpillar	D6TXLVP	2014
D6 Dozers	Caterpillar	D6R XL	2007
D6 Dozers	Caterpillar	D6R XL	2003
D6 Dozers	Caterpillar	D6-R	1999
D8 Dozers	Caterpillar	D8T	2008
D8 Dozers	Caterpillar	D8T	2008

The Allen Company, Inc.
Equipment Listing
As of 9/06/2019
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
D8 Dozers	Caterpillar	D8T	2006
D8 Dozers	Caterpillar	D8T	2007
D8 Dozers	Caterpillar	D8T	2007
D8 Dozers	Caterpillar	D8R II	2002
D8 Dozers	Caterpillar	D8R II	2003
D8 Dozers	Caterpillar	D8RII	2003
D8 Dozers	Caterpillar	D8RII	2004
Debris Blower	Buffalo	BT-MEGA	2012
Debris Blower	Tuthill	7021-A3L2CV2-A	2018
Disc Mower	Bush Hog	2815	2016
Disc Mower	John Deere	Z930M	2018
Drag Conveyor	ASTEC		1988
Drag Conveyor			2014
Drag Conveyor			2001
Drag Conveyor			1997
Drills-590	Furukawa	HCR900	2007
Drills-590	Furukawa	HCR900	2007
Drills-660	Furukawa	HCR1200 ED11	2017
Drills-660	Furukawa	HCR1200 ED11	2017
Drills-660	Furukawa	HCR1200 EDII	2017
Drills-Jumbo	Jumbo Drill	DPI-1-HD	2018
Drills-Jumbo	Cannon	DPI-HD	2005
Drills-Jumbo	Cannon	DPI-HD	2000
Drills-Jumbo	Jumbo Dr		2004
Drills-Jumbo	Cannon	DPI-HD	2019
Drum	ASTEC		1988
Drum			2014
Drum			2001
Drum			1997
Elevating Scrapers	Caterpillar	615C	1997
Elevating Scrapers	Caterpillar	615 C II	2001
Excavators -- 200 Class	Komatsu	PC238USLC-11	2019
Excavators -- 200 Class	Komatsu	PC308 USLC-3	2009
Excavators -- 200 Class	Komatsu	PC228USLC	2013
Excavators -- 200 Class	Komatsu	PC290 LC-10	2013
Excavators -- 200 Class	Komatsu	PC290 LC-10	2013
Excavators -- 200 Class	Komatsu	PC290 LC-10	2013
Excavators -- 200 Class	Komatsu	PC290LC-10	2013
Excavators -- 200 Class	Volvo	EW180B	2006
Excavators -- 300 Class	Caterpillar	CAT 330	1997
Excavators -- 300 Class	Komatsu	PC300LC-8	2008
Excavators -- 300 Class	Komatsu	PC350LC-8	2010
Excavators -- 300 Class	Komatsu	PC360LC-11	2015
Excavators -- 300 Class	Caterpillar	336 EL	2014

The Allen Company, Inc.
Equipment Listing
As of 9/06/2019
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Excavators -- 300 Class	Komatsu	PC 360 LC-10	2015
Excavators -- 300 Class	Komatsu	PC450HRD-7	2007
Excavators -- 300 Class	John Deere	350G	2018
Excavators -- 300 Class	Komatsu	PC360LC-10	2013
Excavators -- 300 Class	Komatsu	PC360LC-10	2013
Excavators -- 300 Class	Komatsu	PC300LC-7L	2005
Excavators -- 400 Class	Caterpillar	345 BL	2002
Excavators -- 400 Class	Komatsu	PC490LC-11	2015
Excavators -- 600 Class	Caterpillar	365CL	2008
Excavators -- 600 Class	Hitachi	ZX670 LC-5	2015
Excavators -- Mini	Komatsu	PC88MR-8	2013
Excavators -- Mini	Komatsu	PC88MR-10	2014
Excavators -- Mini	Komatsu	PC138USLC-10	2015
Excavators -- Mini	John Deere	85G	2017
Excavators -- Mini	John Deere	85G	2018
Farm Tractor	New Holland	WORKMASTER 4	2011
Farm Tractor	John Deere	6140D	2012
Farm Tractor	John Deere	6120	2002
Farm Tractor	John Deere	6320	2003
Farm Tractor	John Deere	4555	1991
Feeders	Grizzley		2011
Feeders		Feeder 1 Fmc	2011
Feeders		Feeder 2 Fmc	2011
Feeders			2011
Feeders			2011
Feeders		Breaker @ Feeder	2000
Flat Bed Dump Trucks	International	Durastar	2010
Flat Bed Dump Trucks	International	4400	2003
Flat Bed Dump Trucks	Intl	4300	2011
Flat Bed Dump Trucks	Intl	4300	2011
Flat Bed Dump Trucks	Intl	4300	2011
Flat Bed Dump Trucks	Frtliner	FL-70	2003
Fork Lift	Lull	1044C-54	2004
Fork Lift	Case	588G	2005
Fork Lift	Case	TX742	2016
Fork Lift	JLG	G12-55A	2009
Fork Lift	Mitsubishi	FD40	2000
Fork Lift	Clark	C500Y	1995
Fork Lift	Hyster	H80 XL	1998
Fuel Trucks	Peterbilt	337	2016
Fuel Trucks	Peterbilt	337	2017
GPS Machine Control	Sitech	TD520-LW	2019
GPS Machine Control	Geishas	Zeb-Horizon	2019
GPS Machine Control	Trimble	SEE TRIMBLE	2008

The Allen Company, Inc.
Equipment Listing
As of 9/06/2019
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
GPS Machine Control	Trimble	SEE SCAN	2008
GPS Machine Control	Trimble	SPS851	2009
GPS Machine Control	Trimble		2009
GPS Machine Control	Trimble		2009
GPS Machine Control	Trimble	GCS900	2009
GPS Machine Control	Trimble	GCS900	2010
GPS Machine Control	Trimble	GCS900	2010
GPS Machine Control	Trimble		2012
GPS Machine Control	Trimble		2012
GPS Machine Control	Trimble		2012
GPS Machine Control	Trimble		2013
GPS Machine Control	Trimble		2013
GPS Machine Control	Sitech	FRU MT900	2014
GPS Machine Control	Sitech	R-MS992C-113	2015
GPS Machine Control	Sitech	R-MS992-19	2015
GPS Machine Control	Sitech	TR-MS992	2015
GPS Machine Control	Sitech	TR-PM400C	2016
GPS Machine Control	Sitech		2017
GPS Machine Control	Sitech	CB460	2018
GPS Machine Control	Sitech	CB460	2018
GPS Machine Control	Sitech		2018
GPS Machine Control	Sitech	TD520-LQ	2018
GPS Machine Control			2019
GPS Machine Control	Sitech		2019
GPS/Survey EQ (Hand)	Trimble	J008RW	2008
GPS/Survey EQ (Hand)	Trimble	SPS881	2008
GPS/Survey EQ (Hand)	Trimble	SPS851	2010
GPS/Survey EQ (Hand)	Trimble	SPS930	2013
GPS/Survey EQ (Hand)	Trimble		2013
GPS/Survey EQ (Hand)	Trimble	K44498	2014
GPS/Survey EQ (Hand)	Trimble	K44498	2014
GPS/Survey EQ (Hand)	Sitech	R-MS992C	2014
GPS/Survey EQ (Hand)	Ebee	202-13-01	2014
GPS/Survey EQ (Hand)	Sitech	SPS930	2015
GPS/Survey EQ (Hand)	Sitech	SPS855	2015
GPS/Survey EQ (Hand)	Sitech	SPS882	2015
GPS/Survey EQ (Hand)	Sitech		2016
GPS/Survey EQ (Hand)	Topcon		2001
GPS/Survey EQ (Hand)	Topcon		2008
GPS/Survey EQ (Hand)			2019
GPS/Survey EQ (Hand)			2019
GPS/Survey EQ (Hand)			2019
Grapples			2008
Grapples		Grapple	2007

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Category	Manufacturer	Model	ModelYr
Grease Trucks	Peterbilt	335	2006
Grease Trucks	GMC	C7500	2007
Grease Trucks	Hino	338-13	2013
Grease Trucks	Kenworth	T300	2007
Grease Trucks	Ford	F650	2002
Hoe Rams -- Large	Independent Breaker	SM-4505	2012
Hoe Rams -- Large	Atlas	HB3000	2000
Hoe Rams -- Large	Process		2014
Hoe Rams -- Large	Npk	GH15	2015
Hoe Rams -- Large	Npk	GH15	2015
Hoe Rams -- Large	Tramac	V46	2000
Hoe Rams -- Large	Tramac	TZ 300	2007
Hoe Rams -- Large	Tramac	CDB-60	1991
Hoe Rams -- Large	Tramac	brh-470	1999
Hoe Rams -- Large	Tramac	TZ300	2006
Hoe Rams -- Small	Caterpillar	420F H95E	2014
Jersey Spreader Rock Box	Jersey	103	2001
Large Curb Machines	POWER CURBER	5700 B01 Super	2005
Loader -- 950/966	Caterpillar	966H	2009
Loader -- 980	Komatsu	WA500-7YL	2014
Loader -- 980	Komatsu	WA-500-6	2008
Loader -- 980	Komatsu	WA-500-6	2009
Loader -- 980	Caterpillar	980H	2009
Loader -- 980	Komatsu	WA-500-7	2013
Loader -- 980	Caterpillar	980K	2013
Loader -- 980	Caterpillar	982M	2014
Loader -- 980	Komatsu	WA-500-8	2016
Loader -- 980	Komatsu	WA-500-7	2015
Loader -- 980	Komatsu	WA-500-6	2008
Loader -- 988	Caterpillar	988H	2008
Loader -- 988	Caterpillar	988G	2013
Loader -- 988	Caterpillar	988G	2004
Loader -- 988	Caterpillar	988G	2016
Loader -- 988			2018
Loader -- Crawler	Caterpillar	963B	1994
Loader -- Crawler	Caterpillar	963B	1999
Loader -- IT	Komatsu	WA270-8	2016
Loader -- IT	Komatsu	WA250 PT-5	2007
Loader -- IT	Komatsu	WA250 PTL5	2006
Loader -- IT	Komatsu	WA250 PTL5	2006
Loader -- Skid Steer	Gehl 5625 DX	5L5625	1996
Loader -- Skid Steer	Takeuchi	TL8CRW	2018
Loader -- Skid Steer	Takeuchi	TL8CRW	2018
Loader -- Skid Steer	Takeuchi	TL8CRW	2018

The Allen Company, Inc.
Equipment Listing
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Category	Manufacturer	Model	ModelYr
Loader -- Skid Steer	Takeuchi	TL6CR	2018
Loader -- Skid Steer	Caterpillar	226B2	2007
Loader -- Skid Steer	Caterpillar	226B2	2008
Loader -- Skid Steer	Gehl	SL5240E	2008
Loader -- Skid Steer	Caterpillar	272D XHP	2012
Loader -- Skid Steer	Bobcat	T650	2012
Loader -- Skid Steer	Bobcat	T650 T4	2014
Loader -- Skid Steer	Caterpillar	226B3	2014
Loader -- Skid Steer	Caterpillar	262D XPS	2015
Loader -- Skid Steer	Bobcat	T650 T4	2017
Loader -- Skid Steer	Bobcat	S740 T4	2017
Loader -- Skid Steer	Ditch Witch	SK600	2018
Loader -- Skid Steer	Toro Dingo	TX525W-22324	2018
Loader -- Skid Steer	Caterpillar	226	2003
Low Boy Trailers	Fontaine	MAG55LCC	2020
Low Boy Trailers	Etnyre	PRTN55TD-3	2008
Low Boy Trailers	Etnyre	PRTN55TD-3	2008
Low Boy Trailers	TRAIL KING		2006
Low Boy Trailers	Talbert	SSTA5053	2007
Low Boy Trailers	Talbert	SSTA5053	2008
Lt's/ Foreman Trucks	Dodge	Ram 1500 ST	2018
Lt's/ Foreman Trucks	Chevrolet	Silverado 2500	2008
Lt's/ Foreman Trucks	Chevrolet	C15 XCAB	2008
Lt's/ Foreman Trucks	Dodge	RAM 2600	2010
Lt's/ Foreman Trucks	Ford	F250	2013
Lt's/ Foreman Trucks	Chevrolet	SILVERADO	2013
Lt's/ Foreman Trucks	Toyota	Tacoma	2014
Lt's/ Foreman Trucks	Ford	F-150	2013
Lt's/ Foreman Trucks	Chevrolet	SILVERADO	2015
Lt's/ Foreman Trucks	Toyota	TACOMA	2014
Lt's/ Foreman Trucks	Chevrolet	C2500	2013
Lt's/ Foreman Trucks	Dodge	RAM 1500	2014
Lt's/ Foreman Trucks	Ford	F250	2010
Lt's/ Foreman Trucks	Ford	F-250	2011
Lt's/ Foreman Trucks	Chevrolet	Silverado 2500	2008
Lt's/ Foreman Trucks	Chevrolet	Silverado 2500	2018
Lt's/ Foreman Trucks	Chevrolet	CIC20903	2008
Man Lifts	Jlb	13500SLP	2002
Man Lifts	Jlg	601S	2004
Man Lifts	Jlg	660SJ	2004
Man Lifts	Jlg	400S	2000
Man Lifts	Jgl	150 HAX	2010
Man Lifts	Jlg	860SJ	2008
Man Lifts	JLG	1200SJP	2005

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Equipment Listing
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Category	Manufacturer	Model	ModelYr
Man Lifts	Genie	S-80	1998
Mechanic Truck	Ford	F750	2005
Mechanic Truck	Chevrolet	Silverado	2019
Mechanic Truck	Chevrolet	C5500	2008
Mechanic Truck	Chevrolet	C70	2007
Mechanic Truck	Ford	F650	2008
Mechanic Truck	Ford	F-350	2012
Mechanic Truck	Ford	F650	2011
Mechanic Truck	Ford	F550	2012
Mechanic Truck	Kenworth	T270	2013
Mechanic Truck	Ford	F-650	2011
Mechanic Truck	Hino	268A	2013
Mechanic Truck	Ford	F550	2012
Mechanic Truck	Ford	F550	2012
Mechanic Truck	Ford	F550	2016
Mechanic Truck	Ford	F450	2011
Mechanic Truck	Ford	F-250	2011
Mechanic Truck	Ford	F-750	2015
Mechanic Truck	Chevrolet	Silverado 3500	2018
Mechanic Truck	Ford	F550 4x4 Titan	2018
Mechanic Truck	Dodge Ram	5500 Chassis	2018
Mechanic Truck	Ford	F650	2006
Mechanic Truck	Ford	F650	2004
Mechanic Truck			2019
Milling Machine	Caterpillar	PM-201	2009
Milling Machine	Wirtgen	W210i	2013
Milling Machine	Wirtgen	W120CFI	2018
Mine Fans			2000
Mine Fans	Hartzell	A105-144	2010
Mine Fans	Deutz	F2L2011	2014
Mine Fans	Deutz	F3L2011	2014
Mine Fans	Hartzell	AT-00-144	2015
Mine Fans	Deutz	PF-96-40D	2016
Mine Fans		60-16	2000
Mine Fans	Hartzell	A10R	2007
Mine Fans			2012
Mine Fans	Hartzell	A10S	2004
Mine Fans		Fan	2005
Mulcher Attachment for PC300	Denis Ci	DAH-150 ER	2012
Oil Distributor Trucks	International	7300SBA	2018
Oil Distributor Trucks	Intl	7300	2010
Oil Distributor Trucks			1987
Oil Distributor Trucks	Chevrolet	7000 KODIAC	1996
Oil Distributor Trucks	Intl	4X2	2002

The Allen Company, Inc.
Equipment Listing
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Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Oil Distributor Trucks	Intl	7300 CENT	2007
One Ton Trucks	Chevrolet	C/K 4500	2008
One Ton Trucks	Ford	F350	2010
One Ton Trucks	Dodge	RAM 3500	2010
One Ton Trucks	Ford	F450	2011
One Ton Trucks	GMC	G33903	2010
One Ton Trucks	GMC	Savana	2011
One Ton Trucks	Dodge	RAM 3500	2011
One Ton Trucks	Chevrolet	CC36403	2016
One Ton Trucks	Ford	F-450	2016
Peanut Grader	Case	RG80	2004
Portable Crushers	POWER SCREEN	Trackpactor	2014
Portable Crushers	Liwell Screen		2019
Portable Stacking Conveyor	Pmi	SC 30X60 L	2005
Portable Stacking Conveyor	Mgl	7436	2014
Powder Trucks	Kenworth	T370	2010
Powder Trucks	Kenworth	T370	2012
Powder Trucks	Dodge	5500	2011
Powder Trucks	Frtliner	FL-80	2001
Powder Trucks	Ford	F750	2004
Rigid Frame Trucks	Caterpillar	772	2010
Rigid Frame Trucks	Caterpillar	773G	2012
Rigid Frame Trucks	Caterpillar	773G	2012
Rigid Frame Trucks	Caterpillar	773D	2001
Rigid Frame Trucks	Euclid	EH 700	2004
Rigid Frame Trucks	Komatsu	HD465-7	2006
Rigid Frame Trucks	Caterpillar	773F	2007
Road Broom	John Deere	5525	2007
Road Broom	Leeboy	4890	2013
Road Broom	Rosco	RB48/4880	2000
Road Broom	Brandies	SWEEP/PRO/4930	2014
Road Broom	Broce	RCT-350	2014
Road Broom	Superior	DT80J	2015
Road Broom	Superior	SM80K	2015
Road Broom	John Deere	6215	2017
Road Broom	Waldon	SWP250	2005
Road Broom	Waldon	SWP250	2005
Road Tractors	Kenworth	T800	2012
Road Tractors	Mack	CV713	2006
Road Tractors	Caterpillar	CT660S	2013
Road Tractors	Caterpillar	CT660L	2013
Road Tractors	Peterbilt	389	2012
Road Tractors	Mack	CH613	2000
Road Tractors	Kenworth	T-800	2007

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Category	Manufacturer	Model	ModelYr
Road Tractors	Kenworth	T-800	2007
Road Tractors	Capacity		1991
Road Widners	Road Widener	B-R	2011
Road Widners	Barber	BG-730	1996
Rollers -- Large DD	Caterpillar	CB54 XW	2013
Rollers -- Large DD	Caterpillar	CB54 XW	2013
Rollers -- Large DD	Caterpillar	CB54 XW	2013
Rollers -- Large DD	Caterpillar	CB54 XW	2014
Rollers -- Large DD	Caterpillar	CB54 XW	2014
Rollers -- Large DD	Caterpillar	CB54 XW	2014
Rollers -- Large DD	Caterpillar	CB54	2014
Rollers -- Large DD	Caterpillar	CB54B	2013
Rollers -- Single Drum	Case	SV212SM	2014
Rollers -- Single Drum	Caterpillar	CP56	2012
Rollers -- Single Drum	Caterpillar	CS56 Smooth drum rol	2011
Rollers -- Single Drum	Caterpillar	CS-563D	2010
Rollers -- Single Drum	Caterpillar	CS563E	2004
Rollers -- Small DD	Caterpillar	CB24	2014
Rollers -- Small DD	Hamm	HD12VV	2012
Rollers -- Small DD	Caterpillar	CB24B	2017
Rollers -- Small DD	Caterpillar	CB24B	2017
Rollers -- Small DD	Caterpillar	CB54B	2013
Rollers -- Static	Bomag	BW12AS	1998
Rollers -- Static	Bomag	BW11AS	1998
Rollers -- Walk Behind Vib	Wacker	RT82-SC	2000
Rollers-Compactor 815	Caterpillar	815F2	2007
Rollers-Compactor 825	Caterpillar	825H	2006
Rollers-Compactor 825	Caterpillar	825H	2006
Roof Bolter	Oldenbur	DPI-1-HD-RB3	2014
Roof Bolter	Fletcher	3035AD	2003
Roof Bolter	Fletcher	3040-AD	2006
Roof Bolter			2018
Roof Bolter			2019
Roof Bolter			2019
Roof Bolter			2019
Rubber Tire Backhoes	Caterpillar	990	1994
Rubber Tire Backhoes	Caterpillar	980 F	1993
Rubber Tire Backhoes	Case	580N	2015
Rubber Tire Backhoes	Case	580N	2011
Rubber Tire Backhoes	Caterpillar	420F ST	2013
Rubber Tire Backhoes	Caterpillar	420F IT	2014
Rubber Tire Backhoes	Caterpillar	420F2 ST	2015
Rubber Tire Backhoes	John Deere	310SJ	2008
Rubber Tire Backhoes	John Deere	310SJ	2008

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Category	Manufacturer	Model	ModelYr
Rubber Tire Backhoes	Case	580L 4X4 PL	2000
Rubber Tire Backhoes	Case	580M	2003
Rubber Tire Backhoes	Case	580M	2003
Shuttle Buggy	Roadtec	SB2500D	2010
Shuttle Buggy	Roadtec	SB-2500D	2006
Single Axle Dump Trucks	Stewart & Stevenson	M1079 LMTV 4x4 Van	1995
Single Axle Dump Trucks	GMC	TOPKICK	2006
Single Axle Dump Trucks	GMC	TOPKICK	2006
Skid Loader Milling Head	Caterpillar	PC408B	2012
Skid Loader Milling Head	Caterpillar	PC412B	2012
Skid Loader Milling Head	Caterpillar	PC310B Cold Planer	2016
Small Curb Machines (Extruded)	Gomaco	CC1200	2002
Small Dozers	Caterpillar	D4K2 XL	2014
Small Dozers	Caterpillar	D4K2 XL	2014
Small Dozers	Caterpillar	D4G XL	2005
Steam Jenny Truck	NorthStar		2015
Steam Jenny Truck	International	4300	2005
Straw Blower	Vermeer	TOPGUN	2000
Straw Blower	Finn	B-70	2004
Supply Trucks	Peterbilt	220	2019
Supply Trucks	Freightliner	M2	2012
Supply Trucks	Peterbilt	220 Cab Over	2018
Supply Trucks	Ford	F-250	2011
Supply Trucks	GMC	TOP KICK	2006
Sweeper Truck	Frtliner	M2	2010
Tandem Rock Bed Trucks	Intl	8100	1997
Tandem Rock Bed Trucks	Peterbilt	348	2016
Tandem Rock Bed Trucks	Frtliner	FL-80	2001
Tandem Rock Bed Trucks	Frtliner	FL-80	2001
Tandem Tag Trailers	TRAIL KING	TK24LP	2013
Tandem Tag Trailers	Ditch Wi	T12SE	2013
Tandem Tag Trailers	Big Tex	25G	2016
Tandem Tag Trailers	East	Genesis	2006
Trash Burner	Airplus		1992
Tri Axle Dump Trucks	Mack	GU713	2008
Tri Axle Dump Trucks	Mack	CV713	2006
Tri Axle Dump Trucks	Mack	GU713	2013
Tri Axle Dump Trucks	Mack	GU713	2013
Tri Axle Dump Trucks	Caterpillar	CT660S	2015
Tri Axle Dump Trucks	Caterpillar	CT660S	2015
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014

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Category	Manufacturer	Model	ModelYr
Water Trucks	Volvo	A25D	2007
Welders	Trailblazer	325 Diesel	2018
Welders	Miller	Big Blue 400D	2013
Welders	Climax	BB5000	2016
Welders	Trailblazer	325 Diesel	2018

9.

The Allen Company, Inc.
 Backlog - Work in Progress
 As of: November 15, 2019

Job #	Job Name	Contract Amount	Billings to	
			Date	Backlog
119102.	MADISON CO. I-75 & KY. 627 INTERCHANGE	13,758,948	6,484,199	7,274,748
119304.	BG AIRPORT TERMINAL DR. RELOCATION PHASE 2	3,919,612	2,289,095	1,630,516
119424.	BOYLE CO. FISCAL COURT OCTOBER 2019	696,370	-	696,370
118216.	LANE'S RUN BUSINESS PARK EXPANSION	5,739,959	5,118,834	621,125
119396.	AMERICAN GREETINGS SEMI-TRAILER PARKING LOT	776,455	171,731	604,724
119306.	CLARK CO. FISCAL COURT SPRING 2019	463,238	-	463,238
119406.	WATER STREET SURFACE RESTORATION	599,750	151,652	448,098
119427.	LINCOLN CO. FISCAL COURT PAVING	418,096	-	418,096
119428.	MADISON CO. FISCAL COURT - OCTOBER 2019	402,054	-	402,054
216157.	WEST HICKMAN WWTP WET WATER STORAGE PAVING	390,538	-	390,538
119401.	JACKSON COUNTY ROADS PAVING 2019	1,418,339	1,122,400	295,939
118101.	EAST NICHOLASVILLE BYPASS - CID# 181203	16,321,241	16,079,369	241,872
119110.	FAYETTE & GARRARD BRIDGE PAVING - CID 195122	202,710	-	202,710
219108.	WST HICKMAN WWTP WET WATER STORAGE PAVING	401,093	209,163	191,930
219363.	JESSAMINE CO. U.S. 27 JOINT PAVING & MILLING CID 192230	514,340	327,740	186,600
118334.	THE LEXINGTON SCHOOL ADDITIONS & RENOVATIONS SITEWORK	758,555	582,333	176,222
119333.	LINCOLN CO. US 150 - CID#192149	1,720,211	1,552,404	167,807
118119.	WEST HICKMAN TRAIL SOUTH	697,143	538,131	159,012
219439.	NICHOLASVILLE STREETS 2019	152,452	-	152,452
119443.	SPRINGHOUSE DEVELOPMENT FALL 2019	138,824	-	138,824
119425.	DANVILLE STREETS OCTOBER 2019	136,524	-	136,524
119385.	STANFORD FIVE STAR PARKING LOT	132,636	-	132,636
118203.	LOWER HOWARD'S CREEK WTP PAVING	131,775	-	131,775
118323.	KINDER MORGAN GAS STATION 106 PAVING	128,705	-	128,705
119450.	JACKSON FERRY ROAD	112,966	-	112,966
218433.	GREYSON ON 27 ASPHALT PAVING	373,509	261,460	112,049
119441.	JACKSON CO. IN PLACE NON SCALE DO# 625 2000008991	98,109	-	98,109
119446.	TWN LAKES SUBDIVISION PAVING	97,722	-	97,722
118117.	JESSAMINE CO. KY 169 - CID 184115	407,619	318,841	88,777
119310.	OAKMONT VILLAS UNIT 3 PH 1 - B	910,077	823,613	86,464
119381.	LINCOLN CO. GROUP PAVING CID 193322	508,484	424,231	84,253
119364.	BOYLE CO. US 127 PAVING - CID 192241	453,203	371,273	81,930
119101.	LINCOLN CO. KY 1247 BRIDGE PAVING - CID #191203	78,440	-	78,440
119109.	MADISON-MERCER BRDG REPLACE. CID 195091	134,075	60,984	73,091
119431.	BOYLE CO. IN-PLACE DO#625 2000008294	70,464	-	70,464
119394.	BEREA MILLING & RESURFACING AUGUST 2019	407,821	343,644	64,177
118434.	EKU POWELL CENTER RENOVATION PH 2 ASPHALT PAVING	61,185	-	61,185
119314.	JACKSON CO PEOPLES-ANNVILLE (KY3360) CID 192074	704,031	643,031	61,000
218420.	MARTIN'S PETERBILT PARKING LOT	141,102	84,770	56,332
118411.	BLUE GRASS AIRPORT TERMINAL DR. RELOCATION & PARKING EXP.	1,307,531	1,251,283	56,247
119417.	LINCOLN CO. IN PLACE DO - 625 2000007189	51,993	-	51,993
219307.	WGPL STORM SEWER MILLING & PAVING	84,588	34,850	49,737
118375.	NOLAND PROPERTY PHASE 3 - SECTION 2	2,277,255	2,228,343	48,913
119445.	STONEY CREEK ASPHALT SURFACE	48,849	-	48,849
119448.	LINCOLN IN PLACE KY 698 - DO 625 2000009743	48,500	-	48,500
118110.	BGAD WTP RECAPITALIZATION- CON. NO. 2243-004C	44,321	-	44,321
219438.	FAYETTE GROUP PAVING MILITARY PIKE	41,807	-	41,807
119115.	JACKSON IN PLACE KY 89 DO#625 2000008991	39,519	-	39,519
217113.	WILMORE WWTP ASPHALT PAVING	39,312	-	39,312
119454.	HUNT FARM SECTION 4 PAVING	39,064	-	39,064
119440.	HI-LANE PROPERTIES PARKING LOT	35,040	-	35,040
119105.	HAYES FRK LN & US421/KY499 INT	317,460	282,603	34,857
218424.	G.N. MILES ESTATE UNIT 3 PHASE 1 PAVING	79,786	45,947	33,840
119449.	OVERLOOK TRAIL ASPHALT PAVING	33,608	-	33,608
219444.	JESAMINE CO. IN PLACE OCT. 2019	31,603	-	31,603
219379.	FAYETTE CO. US 27 TO JESSAMINE CO. LINE - CID#192300	233,711	204,877	28,834
119422.	GRIFFITTS LANE PAVING SUB AGREEMENT #9460101-4	28,710	-	28,710
118388.	HARPER WOODS DEVELOPMENT	1,040,814	1,013,019	27,796
119365.	CLRK CO KY. 89 PAVING - CID 192234	691,474	664,188	27,286
				17,409,310

10. Key Personnel

The Allen Company, Inc.

NAME OF INDIVIDUAL: Jeff T. Monohan

POSITION/TITLE: President, The Allen Company, Inc.

STATEMENT OF EXPERIENCE: Mr. Monohan has been with the Company since 1994. He worked as a laborer, operator and foreman while attending Centre College and earning a BS in Economics. He went full time in 1998 as a crew foreman. Jeff progressed from Foreman to assistant Superintendent to Project Superintendent to Vice President and to Executive Vice President in 2013. He has 25 years' experience.

NAME OF INDIVIDUAL: Jason B. Gabbard

POSITION/TITLE: Executive Vice President, The Allen Company, Inc.

STATEMENT OF EXPERIENCE: Mr. Gabbard has been with the Company since 1992 starting as a part time laborer while attending college. Jason has a BS in Business Administration from UK and an MBA from East Tennessee State University. He returned to the company in 2001 as Operations Manager for the quarries and asphalt plants. He was promoted to Vice President in 2013. Mr. Gabbard also serves as the Affirmative Action Officer for the company. He has 27 years' experience.

NAME OF INDIVIDUAL: Grant Gabbard

POSITION/TITLE: Executive Vice President, The Allen Company, Inc.

STATEMENT OF EXPERIENCE: Mr. Gabbard has been with the Company since 2000. He started as a part-time employee while attending the University of Kentucky earning a BS in Civil Engineering. He became full-time in 2005 and was promoted to Vice President in 2013. He has 19 years' experience.

10. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: A. Vincent Lemieux

POSITION/TITLE: Senior Project Engineer

STATEMENT OF EXPERIENCE: Mr. Lemieux has been a Project Engineer for over 30 years in charge of cost estimates and bids, project coordination and management, contract and specification compliance, construction stakeout, field engineering. Mr. Lemieux is a former Kentucky Department of Highways Assistant Resident Engineer. He is also a Registered Land Surveyor.

NAME OF INDIVIDUAL: Lee Gallion

POSITION/TITLE: Superintendent

STATEMENT OF EXPERIENCE: Mr. Gallion has been employed by The Allen Company, Inc. for 26 years. His work experience includes, but is not limited to: Madison County US 25 Reconstruction: Milling, Asphalt Paving, Concrete work, Concrete Sawing, Entrance Pavement, Sidewalks, Curbs, Gutters. Bratcher Lane Improvements: Asphalt Paving, Concrete Entrance Pavement, Curb and Gutter, Undercut Excavation, Channel Lining, Sidewalk, Pipe Construction, Headwalls, Utility work, Seeding, Fencing, Culverts. Berea Bypass Chip and Seal, DGA Base, Pipeline Construction, Soil Stabilization, Concrete Headwalls, Curb and Gutter, Entrance Pavement, Rock Blasting, Remove & Place Materials, Portland Cement, Traffic Control, Barrier Walls, Steel Pipe.

NAME OF INDIVIDUAL: Tommy Lisle

POSITION/TITLE: Superintendent

STATEMENT OF EXPERIENCE: Mr. Lisle has been with The Allen Company, Inc. for over 30 years. His experience includes, but is not limited to: Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base. Clark County I-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching, Madison Airport Runway Trenching, Road Planning & Surface Removal, Embankment in Place, Asphalt Paving, Concrete Work, Ditching and Shouldering.

NAME OF INDIVIDUAL: Nick Mullins

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Pre, Signs, Paving DGA Base. Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters. Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control.

NAME OF INDIVIDUAL: Stevie Tipton

POSITION: Foreman

STATEMENT OF EXPERIENCE: Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base. Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters. Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control. Clark County I-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching.

NAME OF INDIVIDUAL: Herb Alcorn

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Amazon Winchester Customer Services Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base. Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters. Clark County K-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching.

NAME OF INDIVIDUAL: HD Chenault

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control. Berea Bypass Chip and Seal, DGA Base, Pipeline Construction, Soil Stabilization, Concrete Headwalls, Curb and Gutter, Entrance Pavement, Rock Blasting, Remove & Place Materials, Portland Cement, Traffic Control, Barrier Walls, Steel Pipe.

NAME OF INDIVIDUAL: Richard Curtis

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control. Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base. Clark County I-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching.

NAME OF INDIVIDUAL: Terry Griggs

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base. Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters. Clark Regional Medical Center Signs, Paving, Site Work, Rock Blasting, Traffic Control.

Bid Bond

KNOW ALL MEN BY THESE PRESENTS, that we

The Allen Company, Inc.

as Principal, hereinafter call the Principal, and

Hartford Fire Insurance Company

A corporation duly organized under laws of the State of Connecticut
As Surety, hereinafter called the Surety, are held and firmly bound unto

Lexington Fayette Urban County Government

as Obligee, hereinafter called the Obligee, in the sum of

Five Percent of the Amount Bid

**5% of the
Dollars (\$ Amount Bid),**

for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents,

WHEREAS, the Principal has Submitted a bid for
James Lane Culvert Replacement

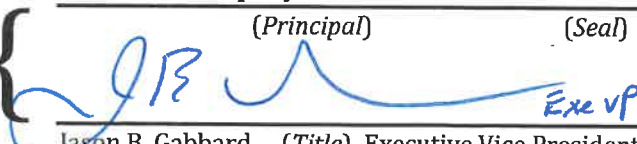
NOW, THEREFORE, if the Obligee shall accept the bid of Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this **18th** day of **November**, **2019**



(witness)

The Allen Company, Inc.

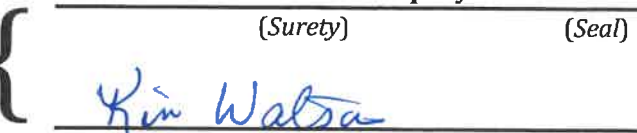
{ 

(Principal) (Seal)
Jason B. Gabbard (Title) Executive Vice President



(witness)

Hartford Fire Insurance Company

{ 

(Surety) (Seal)
Kim Watson (Title) Attorney-In-Fact

POWER OF ATTORNEY

Direct Inquiries/Claims to:

THE HARTFORD
 BOND, T-12
 One Hartford Plaza
 Hartford, Connecticut 06155
bond.claims@thehartford.com

call: 888-266-3488 or fax: 860-757-5835

KNOW ALL PERSONS BY THESE PRESENTS THAT:

Agency Name: **GCH INSURANCE GROUP**
 Agency Code: **14-732421**

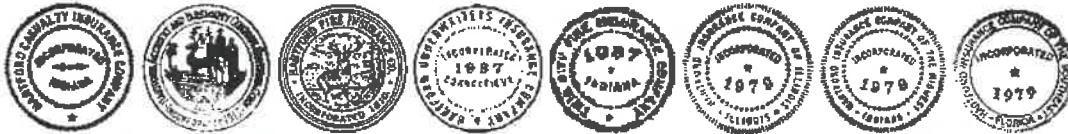
- Hartford Fire Insurance Company**, a corporation duly organized under the laws of the State of Connecticut
- Hartford Casualty Insurance Company**, a corporation duly organized under the laws of the State of Indiana
- Hartford Accident and Indemnity Company**, a corporation duly organized under the laws of the State of Connecticut
- Hartford Underwriters Insurance Company**, a corporation duly organized under the laws of the State of Connecticut
- Twin City Fire Insurance Company**, a corporation duly organized under the laws of the State of Indiana
- Hartford Insurance Company of Illinois**, a corporation duly organized under the laws of the State of Illinois
- Hartford Insurance Company of the Midwest**, a corporation duly organized under the laws of the State of Indiana
- Hartford Insurance Company of the Southeast**, a corporation duly organized under the laws of the State of Florida

having their home office in Hartford, Connecticut, (hereinafter collectively referred to as the "Companies") do hereby make, constitute and appoint, **up to the amount of unlimited:**

Tina Carpenter, Betty Royalty, Jerry Catlett, Mary Crouch, Russell Griffith, John W. Hampton, David Henry, Kim Watson
 of
Lexington, KY

their true and lawful Attorney(s)-in-Fact, each in their separate capacity if more than one is named above, to sign its name as surety(ies) only as delineated above by , and to execute, seal and acknowledge any and all bonds, undertakings, contracts and other written instruments in the nature thereof, on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

In Witness Whereof, and as authorized by a Resolution of the Board of Directors of the Companies on May 6, 2015 the Companies have caused these presents to be signed by its Senior Vice President and its corporate seals to be hereto affixed, duly attested by its Assistant Secretary. Further, pursuant to Resolution of the Board of Directors of the Companies, the Companies hereby unambiguously affirm that they are and will be bound by any mechanically applied signatures applied to this Power of Attorney.



John Gray

John Gray, Assistant Secretary

M. Ross Fisher

M. Ross Fisher, Senior Vice President

STATE OF CONNECTICUT }
 COUNTY OF HARTFORD } ss. Hartford

On this 5th day of January 2018, before me personally came M. Ross Fisher, to me known, who being by me duly sworn, did depose and say: that he resides in the County of Hartford, State of Connecticut; that he is the Senior Vice President of the Companies, the corporations described in and which executed the above instrument; that he knows the seals of the said corporations; that the seals affixed to the said instrument are such corporate seals; that they were so affixed by authority of the Boards of Directors of said corporations and that he signed his name thereto by like authority.



CERTIFICATE

Kathleen T. Maynard

Kathleen T. Maynard
 Notary Public
 My Commission Expires July 31, 2021

I, the undersigned, Assistant Vice President of the Companies, DO HEREBY CERTIFY that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is still in full force effective as of November 18, 2019.

Signed and sealed at the City of Hartford.



Kevin Heckman

Kevin Heckman, Assistant Vice President