

PART III

Invitation to Bid No. 114-2020

2020 Construction Unit Price Contract

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: 11/09/2020

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by The Allen Company, Inc.
3009 Atkinson Ave. Ste. 300, Lexington, Ky 40509
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Delaware, doing business as The Allen Company, Inc.
a corporation "a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **2020 Construction Unit Price Contract** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue purchase orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under agreed-to Purchase Order accepted under this Contract and to complete the Project(s) within the time provided by the Purchase Order. The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. ___ Date _____ *NONE*
Addendum No. ___ Date _____
Addendum No. ___ Date _____
Addendum No. ___ Date _____
Addendum No. ___ Date _____
Addendum No. ___ Date _____
Addendum No. ___ Date _____
Addendum No. ___ Date _____

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. **LEGAL STATUS OF BIDDER**

Bidder The Allen Company, Inc.

Date November 9, 2020

* 1. A corporation duly organized and doing business under the laws of the State of Delaware, for whom Jason B. Gabbard, bearing the official title of Executive Vice President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

~~_____~~
~~_____~~
~~_____~~
~~_____~~
~~_____~~

* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

~~_____~~
~~_____~~

*(The Bidder shall fill out the appropriate form and strike out the other two.)

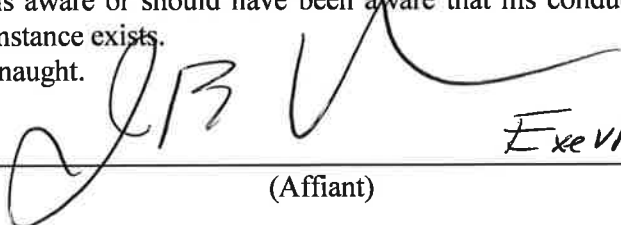


3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Jason B. Gabbard, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Jason B. Gabbard and he/she is the individual submitting the bid or is the authorized representative of The Allen Company, Inc., the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.



 (Affiant)

STATE OF

Kentucky

COUNTY OF

Franklin

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Jason B. Gabbard on this the 9th day of November, 2020.

My Commission expires:

7/22/2024

Kimberley A. Mulder
 NOTARY PUBLIC, STATE AT LARGE



4. BID SCHEDULE – SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

In addition to completing the following schedule of values, Bidders shall enter their unit prices on the attached Excel spreadsheet (Available through the LFUCG Ion Wave Procurement website), titled *2020 Construction Unit Price Contract Bid Schedule.xls*, and submit it through Ion Wave.

The Bidder is not required to bid on every item listed in the bid schedule. In instances where the Bidder chooses not to bid on a specific item, the Bidder shall enter the phrase “no bid” in the unit price column for that respective item. However, Bidders are cautioned that failure to submit a unit bid price on an item will disqualify the Bidder from any project requiring that item, even if they have submitted unit bid prices for all other work items pertaining to that particular project.

BIDDERS MUST COMPLETE THE EXCEL SPREADSHEET AVAILABLE ON THE LFUCG IONWAVE PROCUREMENT SITE at <https://lexingtonky.ionwave.net/Login.aspx> AND SUBMIT EXCEL SPREADSHEET, AS WELL AS, PRINTED AND SIGNED COPY OF SPREADSHEET VIA THE RESPONSE ATTACHMENTS TAB.

#	Item	Unit
1	Excavation and Placement	CY
2	Embankment	CY
3	Rock Excavation (Mechanical)	CY
4	Remove Portland Cement Concrete Pavement	SY
5	Remove Curb and Gutter	LF
6	Remove Sidewalk, Entrance Pavement	SY
7	Remove Bituminous Concrete Pavement	SY
8	Remove Pipes less than 24" - up to 8' deep	LF
9	Remove Pipes 30"- 48" - up to 8' deep	LF
10	Remove Fence	LF
11	Remove Headwalls and Inlet Structures	EA
12	Remove Tree (5" dia. to 12" dia.)	EA
13	Remove Tree (>12" dia. to 24" dia.)	EA
14	Remove Tree (>24" dia. to 36" dia.)	EA
15	Remove Tree (>36" dia. and up)	EA
16	Dense Graded Aggregate Base	TN

17	No. 2 Stone	TN
18	No. 9 Stone	TN
19	No. 57 Stone	TN
20	Steel Reinforcement for Concrete	LB
21	Unfinished Concrete less than 10 CY	CY
22	Unfinished Concrete more than 10 CY	CY
23	Formed Class A Concrete less than 10 CY	CY
24	Formed Class A Concrete more than 10 CY	CY
25	4-1/2" Concrete Sidewalk	SY
26	6" Concrete Sidewalk	SY
27	6" Concrete Entrance Pavement	SY
28	Sidewalk Ramp	SY
29	Header Curb	LF
30	Curb and Gutter, Type 1	LF
31	Curb and Gutter, Type 4	LF
32	Bituminous Pavement Milling and Texturing	TN
33	Bituminous Base	TN
34	Class I, Bituminous Surface PG 64-22, less than 50 tons	TN
35	Class I, Bituminous Surface PG 64-22, greater than 50 tons	TN
36	Class I, Bituminous Surface PG 76-22, less than 50 tons	TN
37	Class I, Bituminous Surface PG 76-22, greater than 50 tons	TN
38	Type A Surface Inlet	EA
39	Type B Surface Inlet	EA
40	Curb Box Inlet Type A (LFUCG)	EA
41	Curb Box Inlet Type B (LFUCG)	EA
42	Curb Box Inlet Type C (LFUCG)	EA
43	Curb Box Inlet Type D (LFUCG)	EA
44	Curb Box Inlet Type B (KDOH)	EA
45	Drop Box Inlet Type 13 (KDOH)	EA
46	Drop Box Inlet Type 16 (KDOH)	EA

47	Sewer Manhole (4' dia.) (0-8' No rock)	EA
48	Sewer Manhole (5' dia.) (0-8' No rock)	EA
49	Sewer Manhole (6' dia.) (0-8' No rock)	EA
50	Sewer Manhole - Additional Vertical Depth (4' dia.)	EA
51	Sewer Manhole - Additional Vertical Depth (5' dia.)	EA
52	Sewer Manhole - Additional Vertical Depth (6' dia.)	EA
53	Adjusting Manhole Frames & Cover to Grade	EA
54	Pipe Tie-in into Manhole or Curb Box Inlet	EA
55	15" RCP Storm Sewer (0-8' No rock)	LF
56	18" RCP Storm Sewer (0-8' No rock)	LF
57	24" RCP Storm Sewer (0-8' No rock)	LF
58	30" RCP Storm Sewer (0-8' No rock)	LF
59	36" RCP Storm Sewer (0-8' No rock)	LF
60	42" RCP Storm Sewer (0-8' No rock)	LF
61	48" RCP Storm Sewer (0-8' No rock)	LF
62	15" HDPE Storm Sewer (0-8' No rock)	LF
63	18" HDPE Storm Sewer (0-8' No rock)	LF
64	24" HDPE Storm Sewer (0-8' No rock)	LF
65	30" HDPE Storm Sewer (0-8' No rock)	LF
66	36" HDPE Storm Sewer (0-8' No rock)	LF
67	15" PP Storm Sewer (0-8' No rock)	LF
68	18" PP Storm Sewer (0-8' No rock)	LF
69	24" PP Storm Sewer (0-8' No rock)	LF
70	30" PP Storm Sewer (0-8' No rock)	LF
71	36" PP Storm Sewer (0-8' No rock)	LF
72	15" Elliptical RCP Storm Sewer	LF
73	18" Elliptical RCP Storm Sewer	LF
74	24" Elliptical RCP Storm Sewer	LF
75	30" Elliptical RCP Storm Sewer	LF
76	36" Elliptical RCP Storm Sewer	LF
77	42" Elliptical RCP Storm Sewer	LF

78	48" Elliptical RCP Storm Sewer	LF
79	Internal Inspection of Sewer Pipe: CCTV	LF
80	15" Straight Headwall - Standard or Raised	EA
81	18" Straight Headwall - Standard or Raised	EA
82	24" Straight Headwall - Standard or Raised	EA
83	15" Pipe Culvert Headwall	EA
84	18" Pipe Culvert Headwall	EA
85	24" Pipe Culvert Headwall	EA
86	30" Pipe Culvert Headwall	EA
87	36" Pipe Culvert Headwall	EA
88	42" Pipe Culvert Headwall	EA
89	48" Pipe Culvert Headwall	EA
90	18" Sloped and Flared Box Inlet-Outlet	EA
91	24" Sloped and Flared Box Inlet-Outlet	EA
92	30" Sloped and Flared Box Inlet-Outlet	EA
93	36" Sloped and Flared Box Inlet-Outlet	EA
94	15" Impact Stilling Basin	EA
95	18" Impact Stilling Basin	EA
96	24" Impact Stilling Basin	EA
97	30" Impact Stilling Basin	EA
98	36" Impact Stilling Basin	EA
99	48" Impact Stilling Basin	EA
100	Bottom Paved Ditch	SY
101	Aggregate Channel Lining for Slope Protection	TN
102	Seeding and Protection	SY
103	Sodding	SY
104	Gabion Mattress Channel Lining	CY
105	4" HDPE Perforated Pipe	LF
106	6" HDPE Perforated Pipe	LF
107	4" PVC Pipe	LF
108	6" PVC Pipe	LF

109	8" PVC Sanitary Sewer (0-8' No Rock)	LF
110	10" PVC Sanitary Sewer (0-8' No Rock)	LF
111	12" PVC Sanitary Sewer (0-8' No Rock)	LF
112	15" PVC Sanitary Sewer (0-8' No Rock)	LF
113	18" PVC Sanitary Sewer (0-8' No Rock)	LF
114	8" Ductile Iron Sewer Pipe (0-8' No Rock)	LF
115	10" Ductile Iron Sewer Pipe (0-8' No Rock)	LF
116	12" Ductile Iron Sewer Pipe (0-8' No Rock)	LF
117	14" Ductile Iron Sewer Pipe (0-8' No Rock)	LF
118	Sanitary Sewer By-Pass Pumping	DAY
119	Two Way Sewer Service Cleanout	EA
120	4"x 8" Sanitary Sewer Tee & up to 6' of lateral pipe	EA
121	6"x 8" Sanitary Sewer Tee & up to 6' of lateral pipe	EA
122	Lex Sanitary Sewer Manhole (4' dia.) (0-8' No rock)	EA
123	Lex Sanitary Sewer Manhole (5' dia.) (0-8' No rock)	EA
124	Lex Sanitary Sewer Manhole (6' dia.) (0-8' No rock)	EA
125	Sanitary Sewer Manhole MIC Protection	VF
126	Manhole-Additional vertical depth > 8' (4' dia.)	VF
127	Manhole-Additional vertical depth > 8' (5' dia.)	VF
128	Manhole-Additional vertical depth > 8' (6' dia.)	VF
129	Manhole-Additional for adjustable frame and cover	EA
130	Woven Wire Fence 4' height	LF
131	Chain Link Fence 4' height	LF
132	Privacy Fence (installation)	LF
133	Backhoe (small) with Operator	HR
134	Dump Truck (single axle) with driver	HR
135	Dump Truck (tri-axle) with driver	HR
136	Jackhammer with Operator	HR
137	Skid Loader with Operator	HR
138	Check Dam	TN
139	Sediment Trap	CY

140	Sediment Pond	CY
141	Silt Fence	LF
142	Storm Drain Inlet Protection	EA
143	Filter Strip	SY
144	Stream Crossing	EA
145	Pump-Around Flow Diversion	DAY
146	Construction Dewatering	DAY
147	Geotextile Construction Type I	SY
148	Geotextile Construction Type II	SY
149	Geotextile Construction Type III	SY
150	Geotextile Construction Type IV	SY
151	Edge Key	LF
152	Pipe Plugging for Pipes less than or equal to 24"	EA
153	Pipe Plugging for Pipes 30"- 48"	EA
154	Flowable Fill	CY
155	Fiber Reinforced PCC Pavement	CY
156	Single Block Masonry Retaining Wall	SF
157	Degradable Erosion Control Mat	SY
158	Turf Reinforcement Mat	SY
159	Project Sign	EA
160	Steel W Beam Guardrail and End Treatments	LF
161	Articulating Concrete Block	SY
162	Reinf Conc Pipe Crack Repairs and Manhole Rehab	LF
163	Saw cutting	LF
164	Precast Reinforced Concrete Box Culvert 3' X 2'	LF
165	Precast Reinforced Concrete Box Culvert 3' X 3'	LF
166	Precast Reinforced Concrete Box Culvert 4' X 2'	LF
167	Precast Reinforced Concrete Box Culvert 4' X 3'	LF
168	Detectable Warning Surface Tile-Overlay	SF
169	Detectable Warning Surface Tile-Imbedded	SF
170	Thermoplastic Pavement Striping - White or Yellow	LF

171	Removal of Existing Pavement Striping	LF
172	Bulb-out: Gutter Cover	LF
173	Bulb-out: Asphalt Repair	SF
174	Grader with Operator	HR
175	Roller/Compactor with Operator	HR
176	Furnish and Place Topsoil	CY
177	Spreading Stockpiled Topsoil	CY
178	2" PVC Conduit Under Non-traffic Surface	LF
179	2" PVC Conduit Under Roadway or Driveway	LF
180	Junction Box, KYTC Type A	EA
181	Junction Box, KYTC Type C	EA
182	Pedestal Base for Pedestrian Pole	EA

	<p>Payment and Performance Bond Cost, required for Projects over \$50,000</p> <p><u>5.0% Allowance</u> of Total Project Cost*</p> <p>* See Special Conditions, Section 6, Payment for Cost of P&P Bonds</p>	5.0 % max.
	<p>Unspecified, Incidental Materials at Cost Plus 15% Overhead and Profit (To be submitted as Contract progresses and as needed)</p> <p>* See Special Conditions, Section 7, Payment for Unspecified Materials and Labor.</p> <p style="text-align: center;">Check if interested</p>	_____
	<p>Unspecified, Incidental Labor at Direct Wages Plus Certified Overhead Plus 15% Profit (To be submitted as Contract progresses and as needed)</p> <p>* See Special Conditions, Section 7, Payment for Unspecified Materials and Labor.</p> <p style="text-align: center;">Check if interested</p>	_____
	<p>Minimum Project Total For Which Contractor will consider the project. (See Special Conditions, Section 2, Contractors Performance)</p>	\$ _____

Mobilization, Traffic Control, Construction Staking and E&S Permitting will be paid on a sliding percentage scale for increments of the final price for Work, per the table below.

(For example, if the final total of Bid Item Prices for the work is \$24,000, Mobilization would be (\$10,000 X 15%) plus (\$10,000 X 10%) plus (\$4,000 X 5%) = \$2,700)

No additional compensation will be paid beyond a contract price of \$100,000. For example, the mobilization cost for a \$100,000 price of work will be identical to that for a \$110,000 price of work.

Applicability of Traffic Control, Construction Staking and E&S Permitting will be agreed upon individually for each Purchase Order.

Table of Incremental Costs for Mobilization and Additional Services

Increment Price for Work	(a) Mobilization Cost %	(b) Traffic Control Cost %	(c) Construction Staking Cost %	(d) SWPP Etc. Cost %
\$0 - 10,000	15.0	7.5	7.5	5.0
\$10,000 - 20,000	10.0	4.5	4.5	0.5
\$20,000 - 30,000	5.0	3.0	3.0	0.5
\$30,000 - 50,000	5.0	2.5	2.5	0.5
\$50,000 - 75,000	5.0	2.5	2.5	0.5
\$75,000 - 100,000	4.0	2.0	2.0	0.5

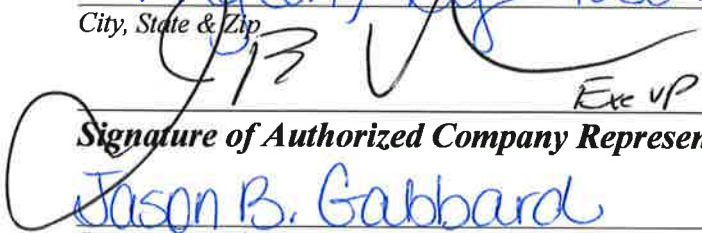
Submitted by:

The Allen Company, Inc.
Firm

3009 Atkinson Ave., Ste. 300
Address

Lexington, Ky 40509
City, State & Zip

**Bid must be signed:
(original signature)**



Signature of Authorized Company Representative – Title

Jason B. Gabbard
Representative/s Name (Typed or Printed)

Representative/s Name (Typed or Printed)

859-543-3361
Area Code – Phone – Extension

859-543-3362
Fax #

Vincent-Lemieux@theallen.com
E-Mail Address

E-Mail Address

OFFICIAL ADDRESS:

3009 Atkinson Avenue

Suite 300

Lexington, Ky 40509

(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: The Allen Company, Inc.
- 2. Permanent Place of Business: 3009 Atkinson Ave, Ste 300, Lexington, Ky 40509
- 3. When Organized: 1939
- 4. Where Incorporated: Delaware
- 5. Construction Plant and Equipment Available for this Project:
Attached

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

_____ (Surety)

Signed: _____ (Representative of Surety)

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: The Allen Company, Inc.
2. Permanent Place of Business: Lexington, Ky
3. When Organized: 1939
4. Where Incorporated: Delaware
5. Construction Plant and Equipment Available for this Project:
Attached

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Hartford Fire Insurance Company (Surety)

Signed: Kim Watson (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
- Tates Creek Rd. Paving from Snagbark/Duval to new circle	Fayette Co.	\$ 1,165,985.45
- Nicholasville Rd Paving - Toronto Rd. to Jessamine Co.	Fayette Co.	\$ 464,469.24
- James Lane RCBC Replacement	Fayette Co.	\$ 231,221.30
- Beaumont Ymca multi-use Trail	Fayette Co.	\$ 333,522.55
- Elizabeth St. Forest Park Site Imp.	Fayette Co.	\$ 179,185.10
* other jobs available upon request		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
- I-75 & Ky. 627 Interchange	- Madison Co.	\$ 13,675,476.20
- Ky. 3360 Paving	Jackson County	\$ 704,031.18
- Ky. 89 Paving	Clark County	\$ 691,473.75
- Main St./Maple Ave Paving	- Boyle Co.	\$ 453,202.63
- US 27-milling & Paving	Jessamine Co.	\$ 703,724.38
* other jobs list available upon request		

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
* See attached		

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u> <u>Yes/No</u>	% of Work
1. <i>To be determined</i>	Name: _____	_____	_____
	Address: _____		
2. _____	Name: _____	_____	_____
	Address: _____		
3. _____	Name: _____	_____	_____
	Address: _____		
4. _____	Name: _____	_____	_____
	Address: _____		
5. _____	Name: _____	_____	_____
	Address: _____		
6. _____	Name: _____	_____	_____
	Address: _____		
7. _____	Name: _____	_____	_____
	Address: _____		

(Attach additional sheet(s) if necessary.)

7. **LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) and Veteran-Owned Small Business (VOSB) Enterprises as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) The LFUCG has also established a 3% of total procurement costs as a Goal for participation of Veteran-Owned Small Businesses.
- 4) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned subcontractors or Veteran-Owned and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.

- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.
- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned and operated by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:

- a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
- b. Included documentation of advertising in the above publications with the bidders good faith efforts package
- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.
- j. Provided the interested MWDBE firm and/or Veteran-Owned businesses with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough

investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

“A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises...”

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

In addition, to that end the city council also adopted and implemented resolution 167-91—Veteran-owned Businesses, 3% Goal Plan in July of 2015. The resolution states in part (a full copy is available in Central Purchasing):

“A resolution adopting a three percent (3%) minimum goal for certified veteran-owned small businesses and service disabled veteran-owned businesses for certain of those Lexington-Fayette Urban County contracts related to construction for professional services, and authorizing the Division of Purchasing to adopt and implement guidelines and/or policies consistent with the provisions and intent of this resolution by no later than July 1, 2015.”

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	tyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Susan Marston	smarston@tsmsdc.com	502-365-9762
Small Business Development Council	Shirie Hawkins UK SBDC	smack@uky.edu	859-257-7666
Community Ventures Corporation	Phyllis Alcorn	palcorn@cvky.org	859-231-0054
KY Transportation Cabinet (KYTC)	Melvin Bynes	Melvin.bynes2@ky.gov	502-564-3601
KYTC Pre-Qualification	Shella Eagle	Shella.Eagle@ky.gov	502-782-4815
Ohio River Valley Women’s Business Council (WBENC)	Sheila Mixon	smixon@orvwbc.org	513-487-6537
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner’s Council (NWBOC)	Janet Harris-Lange	janet@nwbo.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozdeky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	paatricem@keynewsjournal.com	859-373-9428



LFUCG MWDBE PARTICIPATION FORM
 Bid/RFP/Quote Reference # 114-2020

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. To Be Determined if Awarded Specific Project			
2.			
3.			
4.			

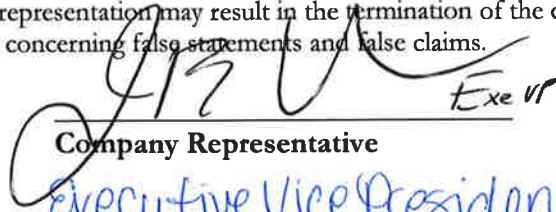
The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

The Allen Company, Inc.

Company

11/09/2020

Date


 Exec VP

Company Representative

Executive Vice President

Title



LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # 114-2020

The substituted MWDBE and/or Veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. Failure to submit this form may cause rejection of the bid.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

The Allen Company, Inc.

Company

11/09/2020

Date

[Signature]

Company Representative

Executive Vice President

Title

Exec VP



MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 114-2020

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project.

Company Name <u>The Allen Company, Inc.</u>	Contact Person <u>Vincent Lemieux</u>
Address/Phone/Email <u>3004 Atkinson Ave, Ste. 300 Lexington, Ky 40509</u>	Bid Package / Bid Date <u>#114-2020 11/16/2020</u>

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
<u>to be determined if awarded specific project</u>							

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

The Allen Company, Inc.
Company
11/09/2020
Date

[Signature] Exec VP
Company Representative
Executive Vice President
Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 114-2020
Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date
So be determined if awarded specific project							

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

The Allen Company Inc. *JAV*
Exec VP
Company _____ **Company Representative**
11/09/2020 _____ Executive Vice President
Date _____ **Title**

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 114-2020

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

- ___ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
- ___ Included documentation of advertising in the above publications with the bidders good faith efforts package
- ___ Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- ___ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities
- ___ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses
- ___ requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- ___ Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- ___ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- ___ Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.
- ___ Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- ___ Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

to be determined if awarded specific project

to be determined if awarded specific project

- Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.
- Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.
- Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

The Allen Company, Inc.

Company

11/09/2020

Date

J B U Exec VP

Company Representative

Executive Vice President

Title

8. AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: See attached

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

*** Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)**

10. EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Signature

Exec VP

Name of Business

The Allen Company, Inc.

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of The Olson Company Inc.

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: The Allen Company, Inc.

Categories	Total		White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total		
	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	
Administrators																			
Professionals																			
Superintendents																			
Supervisors																			
Foremen																			
Technicians																			
Protective Service																			
Para-Professionals																			
Office/Clerical																			
Skilled Craft																			
Service/Maintenance																			
Total:																			

See attached

JLB

Date: 11/09/2020

Revised 2015-Dec-15

Prepared by: *[Signature]*

(Name and Title)

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: _____ Employee ID: _____

Address: _____ Phone: _____

Project to be insured: _____

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 – see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$			
SC-3, Section 2, Part 4.1 – see provisions	AUTO	\$2,000,000/per occ.	\$			
SC-3, Section 2, Part 4.1 – see provisions	WC	Statutory w/endorsement as noted	\$			

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Agency or Brokerage _____ Name of Authorized Representative _____

Street Address _____ Title _____

City _____ State _____ Zip _____ Authorized Signature _____

Telephone Number _____ Date _____

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

PR Department of Labor EEO-1 Report

Company: 1 The Allen Company, Inc.

Job Categories	Number of Employees (Report employees in only one category)																	Total Col A - N	Total Female B, I-N
	Race/Ethnicity																		
	Hispanic or Latino		Not-Hispanic or Latino																
	Male	Female	Male					Female					Two or more races						
A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P				
Executive/Sr Officials & Mng	0	0	13	0	0	0	0	0	0	0	0	0	0	0	0	0	13	0	
First/Mid-level Officials & Mng	0	0	15	0	0	0	0	1	0	0	0	0	0	0	0	0	16	1	
Professionals	0	0	12	0	0	0	0	3	0	0	0	0	0	0	0	0	15	3	
Technicians	0	0	9	0	0	0	0	0	0	0	0	0	0	0	0	0	9	0	
Sales Workers	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	
Administrative Support Workers	0	0	3	0	0	0	0	8	0	0	0	0	0	0	0	0	11	8	
Craft Workers	0	0	73	0	0	0	0	1	0	0	0	0	0	0	0	0	74	1	
Operatives	0	0	70	3	0	0	0	1	0	0	0	0	0	0	0	0	74	1	
Laborers and Helpers	0	0	33	0	0	0	0	1	0	0	0	0	0	0	0	0	34	1	
Total	0	0	229	3	0	0	3	15	0	0	0	0	0	0	0	247	15		

Date(s) of payroll period used: 11/01/20-11/07/20 (Omit on the Consolidated Report.)

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: The Allen Company, Inc.

Address: 3009 Parkinsdale Ave, Ste 300, Lexington, Ky 40509

Employee ID: 001-0116918
Phone: 859-543-3301

Project to be insured: 114-2020

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ 1,000,000/\$2,000,000	Phoenix Insurance Co.	002518	A++:XV
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$2,000,000/per occ.	\$ 1,000,000 plus \$10,000,000 Umbrella	Travelers	004461	A++:XV
SC-3, Section 2, Part 4.1 - see provisions	WC	Statutory w/endorsement as noted	\$ 1,000,000/1,000,000/1,000,000	KEMI	011781	A-:IX

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Marsh & McLennan Agency LLC CO
Agency or Brokerage
360 East Vine Street, Ste. 200
Street Address
Lexington, KY 40507 State _____ Zip _____
859-244-7625
Telephone Number

Lori Sprague
Name of Authorized Representative
Account Executive
Title
Lori Sprague
Authorized Signature
11/9/2020
Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: 2020 Construction Unit Price Contract

BID NUMBER: 114-2020

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of The Allen Company, Inc. has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

The Allen Company, Inc.
Name of Firm Submitting Bid

[Signature]
Signature of Authorized Official

Exec VP

Executive Vice President
Title

11/09/2020
Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

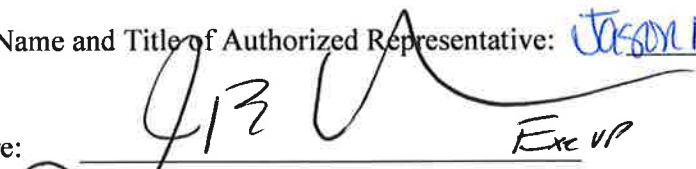
The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.

2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: The Allen Company, Inc.
2020 Construction Unit Price Contract
Project: Bid #: 114-2020

Printed Name and Title of Authorized Representative: Jason B. Gabbard, Executive Vice President

Signature:  Exec VP

Date: 11/09/2020

END OF SECTION

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
12 Graders	Caterpillar	12G	1982
14 Graders	Caterpillar	14H ELECT	2001
14 Graders	Caterpillar	14M	2008
140 Graders	Caterpillar	140M3 BR	2015
140 Graders	Caterpillar	140G ELECT	1994
140 Graders	Caterpillar	140H ELECT	1996
140 Graders	Caterpillar	140H	1998
140 Graders	Caterpillar	140H	2004
140 Graders	Caterpillar	140H	2003
25 Ton Articulated Trks	Caterpillar	725	2000
25 Ton Articulated Trks	Caterpillar	725	2000
25 Ton Articulated Trks	Volvo	A25D	2007
3" Pumps	Tsurumi	LH322W	2019
3" Pumps	Tsurumi	LH322W-61	2008
3" Pumps	Tsurumi	DLH3224-61	2009
3" Pumps	Tsurumi	LH322W-61	2010
3" Pumps	Tsurumi	LH322W	2018
3" Pumps		#4 Mine Pump	2003
3" Pumps	Piranha		1992
30 Ton Articulated Trks	Volvo	A30F	2014
30 Ton Articulated Trks	Komatsu	HM-300-3	2014
4" Pumps	GR	PA4A60	2020
4" Pumps	Godwin	100	2009
4" Pumps	Gorman R	84A2-F3L914	2009
4" Pumps	Gorman		2006
40 Ton Articulated Trks	Caterpillar	745	2019
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Caterpillar	740	2011
40 Ton Articulated Trks	Caterpillar	740	2011
40 Ton Articulated Trks	Volvo	A40F	2011
40 Ton Articulated Trks	Volvo	A40F	2013
40 Ton Articulated Trks	Volvo	A40G	2014
40 Ton Articulated Trks	Volvo	A40G	2014
40 Ton Articulated Trks	Komatsu	HM-400-5	2017
40 Ton Articulated Trks	Volvo	A40D	2005
40 Ton Articulated Trks	Volvo	A40D	2006
40 Ton Articulated Trks	Volvo	A40D	2006
40 Ton Articulated Trks			2019
6" Pumps	National Pump	K10MC	2020
6" Pumps	Gorman-R	GR B3564B	2008
6" Pumps	Dri Prime	NC150	2014
6" Pumps	Dri Prime	NC150	2014

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Ac Tanks	CHILDERS	20,000 GALLON	2000
Ac Tanks			2014
Ac Tanks			1997
Ac Unloading, Metering, Calibr	CHILDERS		2000
Ac Unloading, Metering, Calibr			2019
Ac Unloading, Metering, Calibr			2014
Ac Unloading, Metering, Calibr			1984
Ac Unloading, Metering, Calibr			2000
Ac Unloading, Metering, Calibr			1997
Ac Unloading, Metering, Calibr			2006
Ac Unloading, Metering, Calibr			2006
Agg Screen & Weigh Conveyor	ASTEC		1988
Agg Screen & Weigh Conveyor			2014
Agg Screen & Weigh Conveyor			2001
Agg Screen & Weigh Conveyor			1997
Air Compressor Portable	Horizon Atlas Copco	20HP Duplex 120G	2016
Air Compressor Portable	Atlas	COPCO	2002
Arrow Boards			2017
Arrow Boards			2017
Arrow Boards	Addco Sentinel		2018
Arrow Boards	Addco Sentinel		2018
Asphalt Joint Sealer	Crafco	SS125DC	2013
Asphalt Paver-10'	Caterpillar	AP1000F	2015
Asphalt Paver-10'	Caterpillar	AP1055F	2016
Asphalt Paver-10'	Caterpillar	AP-1055D	2008
Asphalt Paver-8'	Blaw-Knox Ingersoll-	PF-4410	2000
Asphalt Paver-8'	Vogele	5100-2	2012
Asphalt Paver-8'	Caterpillar	AP600F	2016
Asphalt Paver-8'	Caterpillar	AP1000E	2016
Asphalt Paver-8'	Blaw Kno	PF-2181	2006
Asphalt Paver-Peanut	Leeboy	8500-B	2013
Asphalt Tankers	LBT		2007
Asphalt Tankers	Etnyre	Tanker	1993
Asphalt Tankers	Etnyre	TANK TRL	2015
Asphalt Tankers	Etnyre	TANK TRL	2015
Asphalt Tankers	Harmon	7000 GAL	1995
Asphalt Tankers	Etnyre		1988
Attachments	Antraquip	AQ4-4HD	2019
Attachments	TZ	SC50	2020
Attachments	Kenco		2011
Attachments	Drum Cutter	ER 1500-1XL-HD DRU	2017
Attachments	Antraquip	AQ4	2017
Attachments	Geoprobe	540 UD	2006
Baghouse & Ductwork	ASTEC		1988

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Baghouse & Ductwork	Quincy	QGB-50	2009
Baghouse & Ductwork			2014
Baghouse & Ductwork			2001
Baghouse & Ductwork	Maxam		2012
Baghouse & Ductwork			1997
Baghouse & Ductwork			2020
Baghouse & Ductwork			2016
Balers	John Deere	459	2015
Balers	Massey	MF1840	2013
Batchers & Transfer Conveyor	ASTECC		1988
Batchers & Transfer Conveyor			2014
Batchers & Transfer Conveyor			2001
Batchers & Transfer Conveyor			1997
Boom Truck	International	7400	2006
Boom Truck	Peterbilt	335	2006
Burner	Miscellaneous		0000
Burner	Gencor	Ultra II-135	2008
Burner			2014
Burner			2001
Burner			1997
Chip Spreaders	Etnyre	ENTYRE	1996
Cold Feed Bins And Collecting	ASTECC		1988
Cold Feed Bins And Collecting			2014
Cold Feed Bins And Collecting			2001
Cold Feed Bins And Collecting			1997
Cranes	Linkbelt	RTC-805011	2008
Cranes	Broderson	IC20002B	1996
Cranes	Grove	RT630B	1988
Cranes	Gallon	150F	1979
Cranes			2015
Crushers			2011
Crushers			2011
Crushers		Cone Crusher ShortHd	2011
Crushers		Cone Crusher Std	2011
Crushers			2011
Crushers			2011
Crushers			2011
Crushers	Hammer M		2013
Crushers	Dings	Magnet	2001
Crushers	Hazmag	APS-1620/HK	2001
Crushers			2015
Crushers	Hewitt R	Jaw Crusher 3042	1997
Crushers	Steadman	Crusher 6460	1997
Crushers	Symons	#1 Cone Crusher	2000

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Crushers	Symons	#2 Cone Crush 200 Hp	2000
Crushers		Pug Mill	2001
Crushers	Hewitt R	VL11	2000
Crushers	Lippman	Millwakee Jaw Crushe	1984
Crushers			1984
Crushers		Dump Hopper #1 Conv	2008
Crushers		Cup Line	2008
Crushers		Raw Feed Silos	2008
Crushers		Screw Conveyor	2008
Crushers		Bradley Mill	2008
Crushers		Classifier	2008
Crushers		Cyclone	2008
Crushers		Duct Work Dust Sys	2008
Crushers		Hauck Burner	2008
Crushers		Main Fan	2008
Crushers		Bag House	2008
Crushers		Silo Vents	2008
Crushers		200 Hp Blower	2008
Crushers		40 Hp Blower	2008
Crushers		Pneumatic Lines	2008
Crushers	Sullair	Air Compressor	2008
Crushers		Electrical & Control	2008
Crushers		Over Head Crane	2008
Crushers		#3 Finish Prod Silo	2008
Crushers		Electric Motors	2008
Crushers		Bradley Mill Bldg	2008
Crushers		Concrete Bradley	2008
Crushers	Steadman		2016
Crushers			2018
Crushers			2019
D Duster			2011
D Duster			2011
D Duster	Carrie	QAD	2007
D Duster	Flex-Kle	120-WSWC-196	1996
D Duster	Air Heat	9000 SCFM AH	1996
D6 Dozers	Caterpillar	D6NXL	2019
D6 Dozers	Caterpillar	D6NXL	2018
D6 Dozers	Caterpillar	D6N XL	2013
D6 Dozers	Caterpillar	D6T XL DS	2013
D6 Dozers	Caterpillar	D6 N	2012
D6 Dozers	Caterpillar	D6TXLVP	2014
D6 Dozers	Caterpillar	D6R XL	2007
D6 Dozers	Caterpillar	D6-R	1999
D8 Dozers	Caterpillar	D8T	2008

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
D8 Dozers	Caterpillar	D8T	2008
D8 Dozers	Caterpillar	D8T	2006
D8 Dozers	Caterpillar	D8T	2007
D8 Dozers	Caterpillar	D8T	2007
D8 Dozers	Caterpillar	D8R II	2002
D8 Dozers	Caterpillar	D8R II	2003
D8 Dozers	Caterpillar	D8RII	2003
D8 Dozers	Caterpillar	D8RII	2004
Debris Blower	Buffalo	BT-MEGA	2012
Debris Blower	Tuthill	7021-A3L2CV2-A	2018
Disc Mower	Bush Hog	2815	2016
Disc Mower	John Deere	Z930M	2018
Drag Conveyor	ASTEC		1988
Drag Conveyor			2014
Drag Conveyor			2001
Drag Conveyor			1997
Drills-590	Furukawa	HCR900	2007
Drills-590	Furukawa	HCR900	2007
Drills-660	Furukawa	HCR1200 ED11	2017
Drills-660	199	HCR1200 ED11	2017
Drills-660	Furukawa	HCR1200 EDII	2017
Drills-720	Furukawa	HCR1800-836-ED-II	2019
Drills-Jumbo	Jumbo Drill	DPI-1-HD	2018
Drills-Jumbo	Cannon	DPI-HD	2005
Drills-Jumbo	Cannon	DPI-HD	2000
Drills-Jumbo	Jumbo Dr		2004
Drills-Jumbo	Cannon	DPI-HD	2019
Drum	ASTEC		1988
Drum			2014
Drum			2001
Drum			1997
Elevating Scrapers	Caterpillar	615 C II	2001
Excavators -- 200 Class	Komatsu	PC238USLC-11	2019
Excavators -- 200 Class	Komatsu	PC308 USLC-3	2009
Excavators -- 200 Class	Komatsu	PC228USLC	2013
Excavators -- 200 Class	Komatsu	PC290 LC-10	2013
Excavators -- 200 Class	Komatsu	PC290 LC-10	2013
Excavators -- 200 Class	Komatsu	PC290 LC-10	2013
Excavators -- 200 Class	Komatsu	PC290LC-10	2013
Excavators -- 200 Class	Volvo	EW180B	2006
Excavators -- 300 Class	Komatsu	PC300LC-8	2008
Excavators -- 300 Class	Komatsu	PC350LC-8	2010
Excavators -- 300 Class	Komatsu	PC360LC-11	2015
Excavators -- 300 Class	Caterpillar	336 EL	2014

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Excavators -- 300 Class	Komatsu	PC 360 LC-10	2015
Excavators -- 300 Class	Komatsu	PC450HRD-7	2007
Excavators -- 300 Class	John Deere	350G	2018
Excavators -- 300 Class	Komatsu	PC360LC-10	2013
Excavators -- 300 Class	Komatsu	PC360LC-10	2013
Excavators -- 400 Class	Caterpillar	345 BL	2002
Excavators -- 400 Class	Komatsu	PC490LC-11	2015
Excavators -- 600 Class	Caterpillar	365CL	2008
Excavators -- 600 Class	Hitachi	ZX670 LC-5	2015
Excavators -- Mini	Komatsu	PC88MR-8	2013
Excavators -- Mini	CH	PC88MR-10	2014
Excavators -- Mini	Komatsu	PC138USLC-10	2015
Excavators -- Mini	John Deere	85G	2017
Excavators -- Mini	John Deere	85G	2018
Farm Tractor	New Holland	WORKMASTER 4	2011
Farm Tractor	John Deere	6140D	2012
Farm Tractor	John Deere	6120	2002
Farm Tractor	John Deere	6320	2003
Farm Tractor	John Deere	4555	1991
Feeders	Grizzly		2011
Feeders		Feeder 1 Fmc	2011
Feeders		Feeder 2 Fmc	2011
Feeders			2011
Feeders			2011
Feeders		Breaker @ Feeder	2000
Flat Bed Dump Trucks	International	Durastar	2010
Flat Bed Dump Trucks	International	4400	2003
Flat Bed Dump Trucks	Intl	4300	2011
Flat Bed Dump Trucks	Intl	4300	2011
Flat Bed Dump Trucks	Intl	4300	2011
Flat Bed Dump Trucks	Frtliner	FL-70	2003
Fork Lift	Lull	1044C-54	2004
Fork Lift	Case	588G	2005
Fork Lift	Case	TX742	2016
Fork Lift	JLG	G12-55A	2009
Fork Lift	Mitsubishi	FD40	2000
Fork Lift	Clark	C500Y	1995
Fork Lift	Hyster	H80 XL	1998
Fuel Trucks	Peterbilt	337	2016
Fuel Trucks	Peterbilt	337	2017
GPS Machine Control	Sitech	TD520-LW	2019
GPS Machine Control	Geishas	Zeb-Horizon	2019
GPS Machine Control	Trimble	SEE TRIMBLE	2008
GPS Machine Control	Trimble	SEE SCAN	2008

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
GPS Machine Control	Trimble	SPS851	2009
GPS Machine Control	Trimble		2009
GPS Machine Control	Trimble		2009
GPS Machine Control	Trimble	GCS900	2009
GPS Machine Control	Trimble	GCS900	2010
GPS Machine Control	Trimble	GCS900	2010
GPS Machine Control	Trimble		2012
GPS Machine Control	Trimble		2012
GPS Machine Control	Trimble		2012
GPS Machine Control	Trimble		2013
GPS Machine Control	Trimble		2013
GPS Machine Control	Sitech	FRU MT900	2014
GPS Machine Control	Sitech	R-MS992C-113	2015
GPS Machine Control	Sitech	R-MS992-19	2015
GPS Machine Control	Sitech	TR-MS992	2015
GPS Machine Control	Sitech	TR-PM400C	2016
GPS Machine Control	Sitech		2017
GPS Machine Control	Sitech	CB460	2018
GPS Machine Control	Sitech	CB460	2018
GPS Machine Control	Sitech		2018
GPS Machine Control	Sitech	TD520-LQ	2018
GPS Machine Control			2019
GPS Machine Control	Sitech		2019
GPS/Survey EQ (Hand)	Trimble	J008RW	2008
GPS/Survey EQ (Hand)	Trimble	SPS881	2008
GPS/Survey EQ (Hand)	Trimble	SPS851	2010
GPS/Survey EQ (Hand)	Trimble	SPS930	2013
GPS/Survey EQ (Hand)	Trimble		2013
GPS/Survey EQ (Hand)	Trimble	K44498	2014
GPS/Survey EQ (Hand)	Trimble	K44498	2014
GPS/Survey EQ (Hand)	Sitech	R-MS992C	2014
GPS/Survey EQ (Hand)	Ebee	202-13-01	2014
GPS/Survey EQ (Hand)	Sitech	SPS930	2015
GPS/Survey EQ (Hand)	Sitech	SPS855	2015
GPS/Survey EQ (Hand)	Sitech	SPS882	2015
GPS/Survey EQ (Hand)	Sitech		2016
GPS/Survey EQ (Hand)	Topcon		2001
GPS/Survey EQ (Hand)	Topcon		2008
GPS/Survey EQ (Hand)			2019
GPS/Survey EQ (Hand)			2019
GPS/Survey EQ (Hand)			2019
Grapples			2008
Grapples		Grapple	2007
Grease Trucks	Peterbilt	335	2006

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Grease Trucks	Hino	338-13	2013
Grease Trucks	Kenworth	T300	2007
Grease Trucks	Ford	F650	2002
Hoe Rams -- Large	Independent Breaker	SM-4505	2012
Hoe Rams -- Large	Atlas	HB3000	2000
Hoe Rams -- Large	Process		2014
Hoe Rams -- Large	Npk	GH15	2015
Hoe Rams -- Large	Npk	GH15	2015
Hoe Rams -- Large	Tramac	V46	2000
Hoe Rams -- Large	Tramac	TZ 300	2007
Hoe Rams -- Large	Tramac	CDB-60	1991
Hoe Rams -- Large	Tramac	brh-470	1999
Hoe Rams -- Large	Tramac	TZ300	2006
Hoe Rams -- Small	Caterpillar	420F H95E	2014
Jersey Spreader Rock Box	Jersey	103	2001
Large Curb Machines	POWER CURBER	5700 B01 Super	2005
Loader -- 950/966	John Deere	744L	2020
Loader -- 950/966	Caterpillar	966H	2009
Loader -- 980	Komatsu	WA500-7YL	2014
Loader -- 980	John Deere	844L	2020
Loader -- 980	Komatsu	WA-500-6	2008
Loader -- 980	Komatsu	WA-500-6	2009
Loader -- 980	Caterpillar	980H	2009
Loader -- 980	Komatsu	WA-500-7	2013
Loader -- 980	Caterpillar	980K	2013
Loader -- 980	Caterpillar	982M	2014
Loader -- 980	Komatsu	WA-500-8	2016
Loader -- 980	Komatsu	WA-500-7	2015
Loader -- 980	Komatsu	WA-500-6	2008
Loader -- 980			2020
Loader -- 980			2020
Loader -- 980			2020
Loader -- 988	Caterpillar	988H	2008
Loader -- 988	Caterpillar	988G	2013
Loader -- 988	Caterpillar	988G	2004
Loader -- 988	Caterpillar	988G	2016
Loader -- 988			2018
Loader -- Crawler	Caterpillar	963B	1994
Loader -- Crawler	Caterpillar	963B	1999
Loader -- IT	Komatsu	WA270-8	2016
Loader -- IT	Komatsu	WA250 PT-5	2007
Loader -- IT	Komatsu	WA250 PTL5	2006
Loader -- IT	Komatsu	WA250 PTL5	2006
Loader -- Skid Steer	Gehl 5625 DX	5L5625	1996

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Loader -- Skid Steer	Takeuchi	TL8CRW	2018
Loader -- Skid Steer	Takeuchi	TL8CRW	2018
Loader -- Skid Steer	Takeuchi	TL8CRW	2018
Loader -- Skid Steer	Takeuchi	TL6CR	2018
Loader -- Skid Steer	Caterpillar	226D	2016
Loader -- Skid Steer	Caterpillar	226B2	2007
Loader -- Skid Steer	Caterpillar	226B2	2008
Loader -- Skid Steer	Gehl	SL5240E	2008
Loader -- Skid Steer	Caterpillar	272D XHP	2012
Loader -- Skid Steer	Caterpillar	226B3	2014
Loader -- Skid Steer	Caterpillar	262D XPS	2015
Loader -- Skid Steer	Bobcat	T650 T4	2017
Loader -- Skid Steer	Bobcat	S740 T4	2017
Loader -- Skid Steer	Ditch Witch	SK600	2018
Loader -- Skid Steer	Toro Dingo	TX525W-22324	2018
Loader -- Skid Steer	Caterpillar	226	2003
Low Boy Trailers	Fontaine	MAG55LCC	2020
Low Boy Trailers	Etnyre	PRTN55TD-3	2008
Low Boy Trailers	Etnyre	PRTN55TD-3	2008
Low Boy Trailers	TRAIL KING		2006
Low Boy Trailers	Talbert	SSTA5053	2007
Low Boy Trailers	Talbert	SSTA5053	2008
Lt's/ Foreman Trucks	Dodge	Ram 1500 ST	2018
Lt's/ Foreman Trucks	Chevrolet	Silverado 2500	2008
Lt's/ Foreman Trucks	Chevrolet	C15 XCAB	2008
Lt's/ Foreman Trucks	Dodge	RAM 2600	2010
Lt's/ Foreman Trucks	Ford	F250	2013
Lt's/ Foreman Trucks	Ford	F-150	2013
Lt's/ Foreman Trucks	Chevrolet	SILVERADO	2015
Lt's/ Foreman Trucks	Toyota	TACOMA	2014
Lt's/ Foreman Trucks	Chevrolet	C2500	2013
Lt's/ Foreman Trucks	Dodge	RAM 1500	2014
Lt's/ Foreman Trucks	Ford	F250	2010
Lt's/ Foreman Trucks	Ford	F-250	2011
Lt's/ Foreman Trucks	Chevrolet	Silverado 2500	2008
Lt's/ Foreman Trucks	Chevrolet	Silverado 2500	2018
Lt's/ Foreman Trucks	Chevrolet	CIC20903	2008
Man Lifts	Jlb	13500SLP	2002
Man Lifts	Jlg	601S	2004
Man Lifts	Jlg	660SJ	2004
Man Lifts	Jlg	400S	2000
Man Lifts	Jgl	150 HAX	2010
Man Lifts	Jlg	860SJ	2008
Man Lifts	JLG	1200SJP	2005

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Man Lifts	Genie	S-80	1998
Mechanic Truck	Ford	F750	2005
Mechanic Truck	Chevrolet	Silverado	2019
Mechanic Truck	Chevrolet	Silverado	2019
Mechanic Truck	Chevrolet	C70	2007
Mechanic Truck	Ford	F650	2008
Mechanic Truck	Ford	F-350	2012
Mechanic Truck	Ford	F650	2011
Mechanic Truck	Ford	F550	2012
Mechanic Truck	Kenworth	T270	2013
Mechanic Truck	Ford	F-650	2011
Mechanic Truck	Hino	268A	2013
Mechanic Truck	Ford	F550	2012
Mechanic Truck	Ford	F550	2012
Mechanic Truck	Ford	F550	2016
Mechanic Truck	Ford	F450	2011
Mechanic Truck	Ford	F-250	2011
Mechanic Truck	Ford	F-750	2015
Mechanic Truck	Chevrolet	Silverado 3500	2018
Mechanic Truck	Ford	F550 4x4 Titan	2018
Mechanic Truck	Dodge Ram	5500 Chassis	2018
Mechanic Truck	Ford	F650	2004
Mechanic Truck			2019
Milling Machine	Caterpillar	PM-201	2009
Milling Machine	Wirtgen	W210i	2013
Milling Machine	Wirtgen	W120CFI	2018
Mine Fans			2000
Mine Fans	Hartzell	A105-144	2010
Mine Fans	Deutz	F2L2011	2014
Mine Fans	Deutz	F3L2011	2014
Mine Fans	Hartzell	AT-00-144	2015
Mine Fans	Deutz	PF-96-40D	2016
Mine Fans		60-16	2000
Mine Fans	Hartzell	A10R	2007
Mine Fans			2012
Mine Fans	Hartzell	A10S	2004
Mine Fans		Fan	2005
Mulcher Attachment for PC300	Denis Ci	DAH-150 ER	2012
Oil Distributor Trucks	International	7300SBA	2018
Oil Distributor Trucks	Intl	7300	2010
Oil Distributor Trucks			1987
Oil Distributor Trucks	Chevrolet	7000 KODIAC	1996
Oil Distributor Trucks	Intl	4X2	2002
Oil Distributor Trucks	Intl	7300 CENT	2007

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
One Ton Trucks	GMC	G33903	2016
One Ton Trucks	GMC	G33903	2016
One Ton Trucks	Chevrolet	C/K 4500	2008
One Ton Trucks	Ford	F350	2010
One Ton Trucks	Dodge	RAM 3500	2010
One Ton Trucks	Ford	F450	2011
One Ton Trucks	GMC	G33903	2010
One Ton Trucks	GMC	Savana	2011
One Ton Trucks	Chevrolet	CC36403	2016
One Ton Trucks	Ford	F-450	2016
Peanut Grader	Case	RG80	2004
Portable Crushers	POWER SCREEN	Trackpactor	2014
Portable Crushers	Liwell Screen		2019
Portable Crushers	Cedarapids	CRJ3255	2020
Portable Crushers	Dust Suppression Sys		2020
Portable Stacking Conveyor	Pmi	SC 30X60 L	2005
Portable Stacking Conveyor	Mgl	7436	2014
Powder Trucks	Kenworth	T370	2010
Powder Trucks	Kenworth	T370	2012
Powder Trucks	Dodge	5500	2011
Powder Trucks	Ford	F750	2004
Rigid Frame Trucks	Caterpillar	772	2010
Rigid Frame Trucks	Caterpillar	773G	2012
Rigid Frame Trucks	Caterpillar	773G	2012
Rigid Frame Trucks	Caterpillar	773D	2001
Rigid Frame Trucks	Euclid	EH 700	2004
Rigid Frame Trucks	Komatsu	HD465-7	2006
Rigid Frame Trucks	Caterpillar	773F	2007
Rigid Frame Trucks			2019
Rigid Frame Trucks			2020
Rigid Frame Trucks			2020
Road Broom	Superior	SM74J	2018
Road Broom	Superior	DT74JF	2018
Road Broom	Leeboy	4890	2013
Road Broom	Rosco	RB48/4880	2000
Road Broom	Brandies	SWEEP/4930	2014
Road Broom	Broce	RCT-350	2014
Road Broom	Superior	DT80J	2015
Road Broom	Superior	SM80K	2015
Road Broom	John Deere	6215	2017
Road Broom	Waldon	SWP250	2005
Road Broom	Waldon	SWP250	2005
Road Tractors	Kenworth	T800	2012
Road Tractors	Kenworth	T880	2021

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Road Tractors	Mack	P164T	2021
Road Tractors	Mack	CV713	2006
Road Tractors	Caterpillar	CT660L	2013
Road Tractors	Peterbilt	389	2012
Road Tractors	Kenworth	T-800	2007
Road Tractors	Kenworth	T-800	2007
Road Tractors	Capacity		1991
Road Widners	Road Widener	B-R	2011
Road Widners	Barber	BG-730	1996
Rollers -- Large DD	Caterpillar	CB54 XW	2013
Rollers -- Large DD	Caterpillar	CB54 XW	2013
Rollers -- Large DD	Caterpillar	CB54 XW	2013
Rollers -- Large DD	Caterpillar	CB54 XW	2014
Rollers -- Large DD	Caterpillar	CB54 XW	2014
Rollers -- Large DD	Caterpillar	CB54 XW	2014
Rollers -- Large DD	Caterpillar	CB54	2014
Rollers -- Large DD	Caterpillar	CB54B	2013
Rollers -- Single Drum	Case	SV212SM	2014
Rollers -- Single Drum	Caterpillar	CP56	2012
Rollers -- Single Drum	Caterpillar	CS56 Smooth drum rol	2011
Rollers -- Single Drum	Caterpillar	CS-563D	2010
Rollers -- Single Drum	Caterpillar	CS563E	2004
Rollers -- Small DD	Hamm	HD12VV	2019
Rollers -- Small DD	Hamm	HD12VV	2019
Rollers -- Small DD	Caterpillar	CB24	2014
Rollers -- Small DD	Hamm	HD12VV	2012
Rollers -- Small DD	Caterpillar	CB24B	2017
Rollers -- Small DD	Caterpillar	CB24B	2017
Rollers -- Small DD	Caterpillar	CB54B	2013
Rollers -- Static	Bomag	BW12AS	1998
Rollers -- Static	Bomag	BW11AS	1998
Rollers -- Walk Behind Vib	Wacker	RT82-SC	2000
Rollers-Compactor 815	Caterpillar	815F2	2007
Rollers-Compactor 825	Caterpillar	825H	2006
Rollers-Compactor 825	Caterpillar	825H	2006
Roof Bolter	Oldenbur	DPI-1-HD-RB3	2014
Roof Bolter	Fletcher	3035AD	2003
Roof Bolter	Fletcher	3040-AD	2006
Roof Bolter			2018
Roof Bolter			2019
Roof Bolter			2019
Roof Bolter			2019
Roof Bolter			2020
Rubber Tire Backhoes	Case	580N	2015

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Rubber Tire Backhoes	Komatsu	WA500-8	2021
Rubber Tire Backhoes	Case	580N	2011
Rubber Tire Backhoes	Caterpillar	420F ST	2013
Rubber Tire Backhoes	Caterpillar	420F IT	2014
Rubber Tire Backhoes	Caterpillar	420F2 ST	2015
Rubber Tire Backhoes	John Deere	310SJ	2008
Rubber Tire Backhoes	John Deere	310SJ	2008
Rubber Tire Backhoes	Case	580L 4X4 PL	2000
Rubber Tire Backhoes	Case	580M	2003
Rubber Tire Backhoes	Case	580M	2003
Single Axle Dump Trucks	Stewart & Stevenson	M1079 LMTV 4x4 Van	1995
Single Axle Dump Trucks	GMC	TOPKICK	2006
Single Axle Dump Trucks	GMC	TOPKICK	2006
Skid Loader Milling Head	Caterpillar	PC408B	2012
Skid Loader Milling Head	Caterpillar	PC412B	2012
Skid Loader Milling Head	Caterpillar	PC310B Cold Planer	2016
Small Curb Machines (Extruded)	Gomaco	CC1200	2002
Small Dozers	Caterpillar	D4K2 XL	2014
Small Dozers	Caterpillar	D4K2 XL	2014
Small Dozers	Caterpillar	D4G XL	2005
Steam Jenny Truck	NorthStar		2015
Steam Jenny Truck	International	4300	2005
Straw Blower	Vermeer	TOPGUN	2000
Straw Blower	Finn	B-70	2004
Supply Trucks	Peterbilt	220	2019
Supply Trucks	Hino	268A	2020
Supply Trucks	Freightliner	M2	2012
Supply Trucks	Peterbilt	220 Cab Over	2018
Supply Trucks	Ford	F-250	2011
Supply Trucks	GMC	TOP KICK	2006
Sweeper Truck	Frtliner	M2	2010
Tandem Rock Bed Trucks	Intl	8100	1997
Tandem Rock Bed Trucks	Peterbilt	348	2016
Tandem Rock Bed Trucks	Frtliner	FL-80	2001
Tandem Rock Bed Trucks	Frtliner	FL-80	2001
Tandem Tag Trailers	TRAIL KING	TK24LP	2013
Tandem Tag Trailers	Ditch Wi	T12SE	2013
Tandem Tag Trailers	Big Tex	25G	2016
Tandem Tag Trailers	East	Genesis	2006
Trash Burner	Airplus		1992
Tri Axle Dump Trucks	Mack	GU713	2008
Tri Axle Dump Trucks	Mack	CV713	2006
Tri Axle Dump Trucks	Mack	GU713	2013
Tri Axle Dump Trucks	Mack	GU713	2013

The Allen Company, Inc.
 Equipment Listing
 As of 11/10/20
 Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Tri Axle Dump Trucks	Caterpillar	CT660S	2015
Tri Axle Dump Trucks	Caterpillar	CT660S	2015
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Mack	GU713	2015
Tri Axle Dump Trucks	Kenworth	T880	2017
Tri Axle Dump Trucks	Mack	GU713	2016
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2017
Tri Axle Dump Trucks	Kenworth	T880	2018
Tri Axle Dump Trucks	Mack	GU713	2017
Tri Axle Dump Trucks	Mack	GU713	2017
Tri Axle Dump Trucks	Kenworth	T880	2021
Tri Axle Dump Trucks	Kenworth	T880	2021
Tri Axle Tag Trailers	Interstate	50DTL	2006
Utility Vehicle	Kubota	1100	2014
Vacuum Excavator	Ditch	FX30	2013
Warm Mix System			2014
Water Supply Trailer	Homemade		2009
Water Supply Trailer	Smith	5000 GAL	2007
Water Trucks	Frtliner	M2	2012
Water Trucks	Volvo	A25E	2008
Water Trucks	lol	A25E	2008
Water Trucks	American	M927A1	1986

The Allen Company, Inc.
Equipment Listing
As of 11/10/20
Purchase Price \$10,000 and Over

Category	Manufacturer	Model	ModelYr
Water Trucks	Peterbilt	357	2001
Water Trucks	Intl	3654-3000	1993
Water Trucks	GMC	7000	1994
Water Trucks	GMC	7000	1990
Water Trucks	Intl	3654	1998
Water Trucks	Volvo	A25D	2007
Welders	Trailblazer	325 Diesel	2018
Welders	Miller	Big Blue 400D	2013
Welders	Climax	BB5000	2016
Welders	Trailblazer	325 Diesel	2018

10. Key Personnel

The Allen Company, Inc.

NAME OF INDIVIDUAL: Jeff T. Monohan

POSITION/TITLE: President, The Allen Company, Inc.

STATEMENT OF EXPERIENCE: Mr. Monohan has been with the Company since 1994. He worked as a laborer, operator and foreman while attending Centre College and earning a BS in Economics. He went full time in 1998 as a crew foreman. Jeff progressed from Foreman to assistant Superintendent to Project Superintendent to Vice President and to Executive Vice President in 2013. He has 26 years' experience.

NAME OF INDIVIDUAL: Jason B. Gabbard

POSITION/TITLE: Executive Vice President, The Allen Company, Inc.

STATEMENT OF EXPERIENCE: Mr. Gabbard has been with the Company since 1992 starting as a part time laborer while attending college. Jason has a BS in Business Administration from UK and an MBA from East Tennessee State University. He returned to the company in 2001 as Operations Manager for the quarries and asphalt plants. He was promoted to Vice President in 2013. Mr. Gabbard also serves as the Affirmative Action Officer for the company. He has 28 years' experience.

NAME OF INDIVIDUAL: Grant Gabbard

POSITION/TITLE: Executive Vice President, The Allen Company, Inc.

STATEMENT OF EXPERIENCE: Mr. Gabbard has been with the Company since 2000. He started as a part-time employee while attending the University of Kentucky earning a BS in Civil Engineering. He became full-time in 2005 and was promoted to Vice President in 2013. He has 20 years' experience.

NAME OF INDIVIDUAL: Vincent Lemieux

POSITION/TITLE: Senior Project Engineer

STATEMENT OF EXPERIENCE: Mr. Lemieux has been with the Company since 1985. He has been a Project Engineer for 35 years in charge of cost estimates and bids, project coordination and management, contract and specification compliance, construction stakeout, field engineering. Mr. Lemieux is a former Kentucky Department of Highways Assistant Resident Engineer. He is also a Registered Land Surveyor.

9. STATEMENT OF EXPERIENCE

Superintendent and Foremen Work Experience

Roger Turner
Foreman

36 years with The Allen Company, Inc.

Work Experience includes, but is not limited to

- White Hall Roadway Improvements Paving, Milling, Grinding, Traffic Control, Signs, Ground in Rumble Strips
- Madison County US 25 Resurfacing Paving Milling & Texturing, Ground in Rumble Strips, Grinding & Milling, Road Planning & Surface Planning & Texturing
- Berea Bypass Chip and Seal, DGA Base, Pipeline Construction, Soil Stabilization, Concrete Headwalls, Curb & Gutter, Entrance Pavement, Rock Blasting, Remove & Place Materials, Portland Cement, Traffic Control, Barrier Walls, Steel Pipe

Tommy Lisle
Superintendent

34 years with The Allen Company, Inc.

Work Experience Includes, but is not limited to:

- Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base
- Clark County I-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching
- Madison Airport Runway Trenching, Road Planning & Surface Removal, Embankment in Place, Asphalt Paving, Concrete Work, Ditching and Shouldering

Lee Gallion
Superintendent

29 years with The Allen Company, Inc.

Work Experience Includes, but is not limited to:

- Madison County US 25 Reconstruction: Milling, Asphalt Paving, Concrete work, Concrete Sawing, Entrance Pavement, Sidewalks, Curbs, Gutters
- Bratcher Lane Improvements: Asphalt Paving, Concrete Entrance Pavement, Curb and Gutter, Undercut Excavation, Channel Lining, Sidewalk, Pipe Construction, Headwalls, Utility work, Seeding, Fencing, Culverts
- Berea Bypass Chip and Seal, DGA Base, Pipeline Construction, Soil Stabilization, Concrete Headwalls, Curb and Gutter, Entrance Pavement, Rock Blasting, Remove & Place Materials, Portland Cement, Traffic Control, Barrier Walls, Steel Pipe

Terry Helton
Foreman

27 years with The Allen Company, Inc.

Work Experience Includes, but is not limited to

- Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base
- Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters
- Clark Regional Medical Center Signs, Paving Utilities, Pipeline Construction, Rock Blasting, Traffic Control

Herb Alcorn
Foreman

25 years with The Allen Company, Inc.

Work Experience Includes, but it not limited to

- Amazon Winchester Customer Services Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base
- Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters
- Clark County K-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching

Terry Griggs
Foreman

24 years with The Allen Company, Inc.

Work Experience Includes, but is not limited to

- Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base
- Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters
- Clark Regional Medical Center Signs, Paving, Site Work, Rock Blasting, Traffic Control

David Carpenter

Foreman

24 years with The Allen Company, Inc.

Work Experience Includes, but is not limited to

- Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base
- Wilmore Streetscape Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters

Stevie Tipton

Foreman

20 years with The Allen Company, Inc.

Work Experience Includes, but is not limited to

- Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base
- Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters
- Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control
- Clark County I-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching

Richard Curtis

Foreman

18 years with The Allen Company, Inc.

Work Experience Includes, but is not limited to

- Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control
- Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base

Chris Frazier
Superintendent

16 years with The Allen Company, Inc.

Work Experience Includes, but is not limited to:

- Clark Regional Medical Center Signs, Paving, Utilities, Rock Blasting, Traffic Control
- Woodford Co. US 60 Paving and Utility Work
- Bluegrass Memorial Gardens Paving
- Woodford 62: Milling and Sidewalks
- Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters
- Nicholasville Streetscape Milling, Sidewalk, Curb and Gutter, Decorative Pavers, Precast Pavement, DGA Base, Cement Concrete Base, Permanent Anchor Tieback, Stone Veneer, Remove and Place Materials, Rehab Storm Drain, Utilities

Duane Green
Superintendent

14 years with The Allen Company, Inc.

Work Experience Includes, but is not limited to

- Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control
- White Hall Roadway Improvements Paving, Milling, Grinding, Traffic Control, Signs, Ground in Rumble Strips
- Croxton Way Street Extension Paving, Staking, Traffic Control, Paving
- Clark County I-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching

Codi Jones
Superintendent

13 years with The Allen Company, Inc.

Work Experience Includes, but is not limited to

- White Hall Roadway Improvements Paving, Milling, Grinding, Traffic Control, Signs, Ground in Rumble Strips
- Madison County US 25 Resurfacing Paving Milling & Texturing, Ground in Rumble Strips, Grinding & Milling, Road Planning & Surface Removal, Surface Planning & Texturing
- Clark County I-64 & KY 627 DGA Base, Grinding, Milling, Milling & Texturing, Crash Cushion, Trenching

Nick Mullins

Foreman

11 years with The Allen Company, Inc.

Work Experience includes, but is not limited to

- Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Pre, Signs, Paving DGA Base
- Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters
- Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control

Joetta Everman

Foreman

9 Years with the Allen Company, Inc.

Work Experience includes, but is not limited to

- Wilmore College
- Old Wilderness Trail
- University of Kentucky Sidewalks and Bike Lane
- Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base

Project: Construction Unit Price Contract Bid# 114 2020
 Bid Date: 11/16/2020

JIB V
Exec VP
The Allen Co.
Inc.
 11.15.2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
1	3	Excavation and Placement	CY	\$ 13.01
2	3	Embankment	CY	\$ 15.61
3	5	Rock Excavation (Mechanical)	CY	\$ 208.08
4	6	Remove Portland Cement Concrete Pavement	SY	\$ 31.21
5	6	Remove Curb and Gutter	LF	\$ 10.40
6	6	Remove Sidewalk, Entrance Pavement	SY	\$ 26.01
7	6	Remove Bituminous Concrete Pavement	SY	\$ 11.96
8	6	Remove Pipes less than 24" - up to 8' deep	LF	\$ 15.61
9	6	Remove Pipes 30"- 48" - up to 8' deep	LF	\$ 19.77
10	6	Remove Fence	LF	\$ 5.20
11	6	Remove Headwalls and Inlet Structures	EA	\$ 520.20
12	7	Remove Tree (5" dia. to 12" dia.)	EA	\$ 520.20
13	7	Remove Tree (>12" dia. to 24" dia.)	EA	\$ 1,560.60
14	7	Remove Tree (>24" dia. to 36" dia.)	EA	\$ 2,496.96
15	7	Remove Tree (>36" dia. and up)	EA	\$ 3,121.20
16	8	Dense Graded Aggregate Base	TN	\$ 26.53
17	9	No. 2 Stone	TN	\$ 32.77
18	9	No. 9 Stone	TN	\$ 32.77
19	9	No. 57 Stone	TN	\$ 32.77
20	10	Steel Reinforcement for Concrete	LB	\$ 2.60
21	11	Unfinished Concrete less than 10 CY	CY	\$ 192.47
22	11	Unfinished Concrete more than 10 CY	CY	\$ 187.27
23	11	Formed Class A Concrete less than 10 CY	CY	\$ 1,040.40
24	11	Formed Class A Concrete more than 10 CY	CY	\$ 988.38
25	12	4-1/2" Concrete Sidewalk	SY	\$ 46.82
26	12	6" Concrete Sidewalk	SY	\$ 52.02
27	13	6" Concrete Entrance Pavement	SY	\$ 67.63
28	14	Sidewalk Ramp	SY	\$ 83.23
29	15, 59	Header Curb	LF	\$ 27.05

JRG
11.13.2020

Project: Construction Unit Price Contract Bid# 114 2020

Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
30	15	Curb and Gutter, Type 1	LF	\$ 27.05
31	15	Curb and Gutter, Type 4	LF	\$ 27.05
32	16	Bituminous Pavement Milling and Texturing	TN	\$ 41.62
33	17	Bituminous Base	TN	\$ 83.23
34	18	Class I, Bituminous Surface PG 64-22, less than 50 tons	TN	\$ 105.84
35	18	Class I, Bituminous Surface PG 64-22, greater than 50 tons	TN	\$ 100.64
36	18	Class I, Bituminous Surface PG 76-22, less than 50 tons	TN	\$ 175.00
37	18	Class I, Bituminous Surface PG 76-22, greater than 50 tons	TN	\$ 150.00
38	20	Type A Surface Inlet	EA	\$ 3,693.42
39	20	Type B Surface Inlet	EA	\$ 3,693.42
40	21	Curb Box Inlet Type A (LFUCG)	EA	\$ 4,369.68
41	21	Curb Box Inlet Type B (LFUCG)	EA	\$ 4,369.68
42	21	Curb Box Inlet Type C (LFUCG)	EA	\$ 4,369.68
43	21	Curb Box Inlet Type D (LFUCG)	EA	\$ 3,251.25
44	21	Curb Box Inlet Type B (KDOH)	EA	\$ 4,369.68
45	21	Drop Box Inlet Type 13 (KDOH)	EA	\$ 4,562.15
46	21	Drop Box Inlet Type 16 (KDOH)	EA	\$ 3,376.10
47	22	Sewer Manhole (4' dia.) (0-8' No rock)	EA	\$ 2,574.99
48	22	Sewer Manhole (5' dia.) (0-8' No rock)	EA	\$ 3,651.80
49	22	Sewer Manhole (6' dia.) (0-8' No rock)	EA	\$ 6,346.44
50	22	Sewer Manhole - Additional Vertical Depth (4' dia.)	EA	\$ 312.12
51	22	Sewer Manhole - Additional Vertical Depth (5' dia.)	EA	\$ 416.16
52	22	Sewer Manhole - Additional Vertical Depth (6' dia.)	EA	\$ 468.18
53	23	Adjusting Manhole Frames & Cover to Grade	EA	\$ 1,664.64
54	24	Pipe Tie-in into Manhole or Curb Box Inlet	EA	\$ 806.31
55	25	15" RCP Storm Sewer (0-8' No rock)	LF	\$ 54.05
56	25	18" RCP Storm Sewer (0-8' No rock)	LF	\$ 57.22
57	25	24" RCP Storm Sewer (0-8' No rock)	LF	\$ 75.43
58	25	30" RCP Storm Sewer (0-8' No rock)	LF	\$ 85.31

JRG
11.13.2020

Project: Construction Unit Price Contract Bid# 114 2020
 Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
59	25	36" RCP Storm Sewer (0-8' No rock)	LF	\$ 101.96
60	25	42" RCP Storm Sewer (0-8' No rock)	LF	\$ 123.81
61	25	48" RCP Storm Sewer (0-8' No rock)	LF	\$ 140.45
62	25	15" HDPE Storm Sewer (0-8' No rock)	LF	\$ 52.02
63	25	18" HDPE Storm Sewer (0-8' No rock)	LF	\$ 55.14
64	25	24" HDPE Storm Sewer (0-8' No rock)	LF	\$ 72.83
65	25	30" HDPE Storm Sewer (0-8' No rock)	LF	\$ 84.27
66	25	36" HDPE Storm Sewer (0-8' No rock)	LF	\$ 95.72
67	25	15" PP Storm Sewer (0-8' No rock)	LF	\$ 46.82
68	25	18" PP Storm Sewer (0-8' No rock)	LF	\$ 52.02
69	25	24" PP Storm Sewer (0-8' No rock)	LF	\$ 62.42
70	25	30" PP Storm Sewer (0-8' No rock)	LF	\$ 74.91
71	25	36" PP Storm Sewer (0-8' No rock)	LF	\$ 88.43
72	25	15" Elliptical RCP Storm Sewer	LF	\$ 71.79
73	25	18" Elliptical RCP Storm Sewer	LF	\$ 88.43
74	25	24" Elliptical RCP Storm Sewer	LF	\$ 108.20
75	25	30" Elliptical RCP Storm Sewer	LF	\$ 142.53
76	25	36" Elliptical RCP Storm Sewer	LF	\$ 176.87
77	25	42" Elliptical RCP Storm Sewer	LF	\$ 210.16
78	25	48" Elliptical RCP Storm Sewer	LF	\$ 270.50
79	26	Internal Inspection of Sewer Pipe: CCTV	LF	\$ 2.60
80	27	15" Straight Headwall - Standard or Raised	EA	\$ 1,560.60
81	27	18" Straight Headwall - Standard or Raised	EA	\$ 1,560.60
82	27	24" Straight Headwall - Standard or Raised	EA	\$ 2,132.82
83	27	15" Pipe Culvert Headwall	EA	\$ 1,248.48
84	27	18" Pipe Culvert Headwall	EA	\$ 1,300.50
85	27	24" Pipe Culvert Headwall	EA	\$ 1,352.52
86	27	30" Pipe Culvert Headwall	EA	\$ 1,976.76
87	27	36" Pipe Culvert Headwall	EA	\$ 2,288.88

JTS
11.13.2020

Project: Construction Unit Price Contract Bid# 114 2020
Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
88	27	42" Pipe Culvert Headwall	EA	\$ 2,601.00
89	27	48" Pipe Culvert Headwall	EA	\$ 3,485.34
90	27	18" Sloped and Flared Box Inlet-Outlet	EA	\$ 2,236.86
91	27	24" Sloped and Flared Box Inlet-Outlet	EA	\$ 3,069.18
92	27	30" Sloped and Flared Box Inlet-Outlet	EA	\$ 4,785.84
93	27	36" Sloped and Flared Box Inlet-Outlet	EA	\$ 6,138.36
94	28	15" Impact Stilling Basin	EA	\$ 2,985.95
95	28	18" Impact Stilling Basin	EA	\$ 3,209.63
96	28	24" Impact Stilling Basin	EA	\$ 4,161.60
97	28	30" Impact Stilling Basin	EA	\$ 5,462.10
98	28	36" Impact Stilling Basin	EA	\$ 6,809.42
99	28	48" Impact Stilling Basin	EA	\$ 8,323.20
100	29	Bottom Paved Ditch	SY	\$ 93.64
101	30	Aggregate Channel Lining for Slope Protection	TN	\$ 44.22
102	31	Seeding and Protection	SY	\$ 5.37
103	32	Sodding	SY	\$ 8.59
104	33	Gabion Mattress Channel Lining	CY	\$ 286.11
105	34	4" HDPE Perforated Pipe	LF	\$ 15.61
106	34	6" HDPE Perforated Pipe	LF	\$ 15.61
107	35	4" PVC Pipe	LF	\$ 26.01
108	35	6" PVC Pipe	LF	\$ 29.13
109	35	8" PVC Sanitary Sewer (0-8' No Rock)	LF	\$ 35.48
110	35	10" PVC Sanitary Sewer (0-8' No Rock)	LF	\$ 37.04
111	35	12" PVC Sanitary Sewer (0-8' No Rock)	LF	\$ 42.19
112	35	15" PVC Sanitary Sewer (0-8' No Rock)	LF	\$ 52.22
113	35	18" PVC Sanitary Sewer (0-8' No Rock)	LF	\$ 83.23
114	35	8" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$ 52.02
115	35	10" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$ 61.90
116	35	12" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$ 66.59

JRG
11.13.2020

Project: Construction Unit Price Contract Bid# 114 2020
Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
117	35	14" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$ 95.72
118	35	Sanitary Sewer By-Pass Pumping	DAY	\$ 780.30
119	36	Two Way Sewer Service Cleanout	EA	\$ 390.15
120	37	4"x 8" Sanitary Sewer Tee & up to 6' of lateral pipe	EA	\$ 109.24
121	37	6"x 8" Sanitary Sewer Tee & up to 6' of lateral pipe	EA	\$ 119.65
122	22	Lex Sanitary Sewer Manhole (4' dia.) (0-8' No rock)	EA	\$ 2,705.04
123	22	Lex Sanitary Sewer Manhole (5' dia.) (0-8' No rock)	EA	\$ 3,641.40
124	22	Lex Sanitary Sewer Manhole (6' dia.) (0-8' No rock)	EA	\$ 6,086.34
125	22	Sanitary Sewer Manhole MIC Protection	VF	\$ 41.62
126	23	Manhole-Additional vertical depth > 8' (4' dia.)	VF	\$ 312.12
127	23	Manhole-Additional vertical depth > 8' (5' dia.)	VF	\$ 416.16
128	23	Manhole-Additional vertical depth > 8' (6' dia.)	VF	\$ 468.18
129	22	Manhole-Additional for adjustable frame and cover	EA	\$ 1,664.64
130	38	Woven Wire Fence 4' height	LF	\$ 20.81
131	38	Chain Link Fence 4' height	LF	\$ 36.41
132	38	Privacy Fence (installation)	LF	\$ 88.43
133	39	Backhoe (small) with Operator	HR	\$ 104.04
134	40	Dump Truck (single axle) with driver	HR	\$ 78.03
135	40	Dump Truck (tri-axle) with driver	HR	\$ 83.23
136	39	Jackhammer with Operator	HR	\$ 78.03
137	39	Skid Loader with Operator	HR	\$ 78.03
138	41	Check Dam	TN	\$ 52.02
139	41	Sediment Trap	CY	\$ 52.02
140	41	Sediment Pond	CY	\$ 41.62
141	41	Silt Fence	LF	\$ 3.64
142	41	Storm Drain Inlet Protection	EA	\$ 286.11
143	41	Filter Strip	SY	\$ 20.29
144	41	Stream Crossing	EA	\$ 5,202.00
145	41	Pump-Around Flow Diversion	DAY	\$ 2,496.96

JBC
11.13.2020

Project: Construction Unit Price Contract Bid# 114 2020

Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
146	41	Construction Dewatering	DAY	\$ 2,496.96
147	42	Geotextile Construction Type I	SY	\$ 4.16
148	42	Geotextile Construction Type II	SY	\$ 4.16
149	42	Geotextile Construction Type III	SY	\$ 4.16
150	42	Geotextile Construction Type IV	SY	\$ 4.16
151	43	Edge Key	LF	\$ 10.40
152	44	Pipe Plugging for Pipes less than or equal to 24"	EA	\$ 468.18
153	44	Pipe Plugging for Pipes 30"- 48"	EA	\$ 780.30
154	45	Flowable Fill	CY	\$ 182.07
155	46	Fiber Reinforced PCC Pavement	CY	\$ 312.12
156	47	Single Block Masonry Retaining Wall	SF	\$ 27.05
157	48	Degradable Erosion Control Mat	SY	NO BID
158	48	Turf Reinforcement Mat	SY	\$ 9.63
159	49	Project Sign	EA	\$ 572.22
160	50	Steel W Beam Guardrail and End Treatments	LF	\$ 56.18
161	51	Articulating Concrete Block	SY	NO BID
162	52	Reinf Conc Pipe Crack Repairs and Manhole Rehab	LF	NO BID
163	53	Saw cutting	LF	\$ 2.45
164	54	Precast Reinforced Concrete Box Culvert 3' X 2'	LF	\$ 390.15
165	54	Precast Reinforced Concrete Box Culvert 3' X 3'	LF	\$ 421.36
166	54	Precast Reinforced Concrete Box Culvert 4' X 2'	LF	\$ 452.57
167	54	Precast Reinforced Concrete Box Culvert 4' X 3'	LF	\$ 478.58
168	55	Detectable Warning Surface Tile-Overlay	SF	\$ 100.92
169	55	Detectable Warning Surface Tile-Imbedded	SF	\$ 390.15
170	58	Thermoplastic Pavement Striping - White or Yellow	LF	\$ 3.06
171	58	Removal of Existing Pavement Striping	LF	\$ 3.06
172	59	Bulb-out: Gutter Cover	LF	NO BID
173	59	Bulb-out: Asphalt Repair	SF	NO BID
174	60	Grader with Operator	HR	\$ 145.66

JR6
11.13.2020

Project: Construction Unit Price Contract Bid# 114 2020
Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
175	61	Roller/Compactor with Operator	HR	\$ 119.65
176	62	Furnish and Place Topsoil	CY	\$ 23.41
177	62	Spreading Stockpiled Topsoil	CY	\$ 15.61
178	63	2" PVC Conduit Under Non-traffic Surface	LF	\$ 15.61
179	63	2" PVC Conduit Under Roadway or Driveway	LF	\$ 15.61
180	64	Junction Box, KYTC Type A	EA	\$ 936.36
181	64	Junction Box, KYTC Type C	EA	\$ 1,664.64
182	65	Pedestal Base for Pedestrian Pole	EA	NO BID

Max. Allowable P/P bond % for projects >\$50,000		5.00%
Unspecified incidental materials at cost plus 15% (Check if interested.)		✓
Unspecified incidental labor at cost plus 15% (Check if interested.)		✓
Minimum project total for consideration		\$ 15,000

Project: Construction Unit Price Contract Bid# 114 2020
Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
1	3	Excavation and Placement	CY	\$ 13.01
2	3	Embankment	CY	\$ 15.61
3	5	Rock Excavation (Mechanical)	CY	\$ 208.08
4	6	Remove Portland Cement Concrete Pavement	SY	\$ 31.21
5	6	Remove Curb and Gutter	LF	\$ 10.40
6	6	Remove Sidewalk, Entrance Pavement	SY	\$ 26.01
7	6	Remove Bituminous Concrete Pavement	SY	\$ 11.96
8	6	Remove Pipes less than 24" - up to 8' deep	LF	\$ 15.61
9	6	Remove Pipes 30"- 48" - up to 8' deep	LF	\$ 19.77
10	6	Remove Fence	LF	\$ 5.20
11	6	Remove Headwalls and Inlet Structures	EA	\$ 520.20
12	7	Remove Tree (5" dia. to 12" dia.)	EA	\$ 520.20
13	7	Remove Tree (>12" dia. to 24" dia.)	EA	\$ 1,560.60
14	7	Remove Tree (>24" dia. to 36" dia.)	EA	\$ 2,496.96
15	7	Remove Tree (>36" dia. and up)	EA	\$ 3,121.20
16	8	Dense Graded Aggregate Base	TN	\$ 26.53
17	9	No. 2 Stone	TN	\$ 32.77
18	9	No. 9 Stone	TN	\$ 32.77
19	9	No. 57 Stone	TN	\$ 32.77
20	10	Steel Reinforcement for Concrete	LB	\$ 2.60
21	11	Unfinished Concrete less than 10 CY	CY	\$ 192.47
22	11	Unfinished Concrete more than 10 CY	CY	\$ 187.27
23	11	Formed Class A Concrete less than 10 CY	CY	\$ 1,040.40
24	11	Formed Class A Concrete more than 10 CY	CY	\$ 988.38
25	12	4-1/2" Concrete Sidewalk	SY	\$ 46.82
26	12	6" Concrete Sidewalk	SY	\$ 52.02
27	13	6" Concrete Entrance Pavement	SY	\$ 67.63
28	14	Sidewalk Ramp	SY	\$ 83.23
29	15, 59	Header Curb	LF	\$ 27.05

Project: Construction Unit Price Contract Bid# 114 2020
Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
30	15	Curb and Gutter, Type 1	LF	\$ 27.05
31	15	Curb and Gutter, Type 4	LF	\$ 27.05
32	16	Bituminous Pavement Milling and Texturing	TN	\$ 41.62
33	17	Bituminous Base	TN	\$ 83.23
34	18	Class I, Bituminous Surface PG 64-22, less than 50 tons	TN	\$ 105.84
35	18	Class I, Bituminous Surface PG 64-22, greater than 50 tons	TN	\$ 100.64
36	18	Class I, Bituminous Surface PG 76-22, less than 50 tons	TN	\$ 175.00
37	18	Class I, Bituminous Surface PG 76-22, greater than 50 tons	TN	\$ 150.00
38	20	Type A Surface Inlet	EA	\$ 3,693.42
39	20	Type B Surface Inlet	EA	\$ 3,693.42
40	21	Curb Box Inlet Type A (LFUCG)	EA	\$ 4,369.68
41	21	Curb Box Inlet Type B (LFUCG)	EA	\$ 4,369.68
42	21	Curb Box Inlet Type C (LFUCG)	EA	\$ 4,369.68
43	21	Curb Box Inlet Type D (LFUCG)	EA	\$ 3,251.25
44	21	Curb Box Inlet Type B (KDOH)	EA	\$ 4,369.68
45	21	Drop Box Inlet Type 13 (KDOH)	EA	\$ 4,562.15
46	21	Drop Box Inlet Type 16 (KDOH)	EA	\$ 3,376.10
47	22	Sewer Manhole (4' dia.) (0-8' No rock)	EA	\$ 2,574.99
48	22	Sewer Manhole (5' dia.) (0-8' No rock)	EA	\$ 3,651.80
49	22	Sewer Manhole (6' dia.) (0-8' No rock)	EA	\$ 6,346.44
50	22	Sewer Manhole - Additional Vertical Depth (4' dia.)	EA	\$ 312.12
51	22	Sewer Manhole - Additional Vertical Depth (5' dia.)	EA	\$ 416.16
52	22	Sewer Manhole - Additional Vertical Depth (6' dia.)	EA	\$ 468.18
53	23	Adjusting Manhole Frames & Cover to Grade	EA	\$ 1,664.64
54	24	Pipe Tie-in into Manhole or Curb Box Inlet	EA	\$ 806.31
55	25	15" RCP Storm Sewer (0-8' No rock)	LF	\$ 54.05
56	25	18" RCP Storm Sewer (0-8' No rock)	LF	\$ 57.22
57	25	24" RCP Storm Sewer (0-8' No rock)	LF	\$ 75.43
58	25	30" RCP Storm Sewer (0-8' No rock)	LF	\$ 85.31

Project: Construction Unit Price Contract Bid# 114 2020
Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
59	25	36" RCP Storm Sewer (0-8' No rock)	LF	\$ 101.96
60	25	42" RCP Storm Sewer (0-8' No rock)	LF	\$ 123.81
61	25	48" RCP Storm Sewer (0-8' No rock)	LF	\$ 140.45
62	25	15" HDPE Storm Sewer (0-8' No rock)	LF	\$ 52.02
63	25	18" HDPE Storm Sewer (0-8' No rock)	LF	\$ 55.14
64	25	24" HDPE Storm Sewer (0-8' No rock)	LF	\$ 72.83
65	25	30" HDPE Storm Sewer (0-8' No rock)	LF	\$ 84.27
66	25	36" HDPE Storm Sewer (0-8' No rock)	LF	\$ 95.72
67	25	15" PP Storm Sewer (0-8' No rock)	LF	\$ 46.82
68	25	18" PP Storm Sewer (0-8' No rock)	LF	\$ 52.02
69	25	24" PP Storm Sewer (0-8' No rock)	LF	\$ 62.42
70	25	30" PP Storm Sewer (0-8' No rock)	LF	\$ 74.91
71	25	36" PP Storm Sewer (0-8' No rock)	LF	\$ 88.43
72	25	15" Elliptical RCP Storm Sewer	LF	\$ 71.79
73	25	18" Elliptical RCP Storm Sewer	LF	\$ 88.43
74	25	24" Elliptical RCP Storm Sewer	LF	\$ 108.20
75	25	30" Elliptical RCP Storm Sewer	LF	\$ 142.53
76	25	36" Elliptical RCP Storm Sewer	LF	\$ 176.87
77	25	42" Elliptical RCP Storm Sewer	LF	\$ 210.16
78	25	48" Elliptical RCP Storm Sewer	LF	\$ 270.50
79	26	Internal Inspection of Sewer Pipe: CCTV	LF	\$ 2.60
80	27	15" Straight Headwall - Standard or Raised	EA	\$ 1,560.60
81	27	18" Straight Headwall - Standard or Raised	EA	\$ 1,560.60
82	27	24" Straight Headwall - Standard or Raised	EA	\$ 2,132.82
83	27	15" Pipe Culvert Headwall	EA	\$ 1,248.48
84	27	18" Pipe Culvert Headwall	EA	\$ 1,300.50
85	27	24" Pipe Culvert Headwall	EA	\$ 1,352.52
86	27	30" Pipe Culvert Headwall	EA	\$ 1,976.76
87	27	36" Pipe Culvert Headwall	EA	\$ 2,288.88

Project: Construction Unit Price Contract Bid# 114 2020
Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
88	27	42" Pipe Culvert Headwall	EA	\$ 2,601.00
89	27	48" Pipe Culvert Headwall	EA	\$ 3,485.34
90	27	18" Sloped and Flared Box Inlet-Outlet	EA	\$ 2,236.86
91	27	24" Sloped and Flared Box Inlet-Outlet	EA	\$ 3,069.18
92	27	30" Sloped and Flared Box Inlet-Outlet	EA	\$ 4,785.84
93	27	36" Sloped and Flared Box Inlet-Outlet	EA	\$ 6,138.36
94	28	15" Impact Stilling Basin	EA	\$ 2,985.95
95	28	18" Impact Stilling Basin	EA	\$ 3,209.63
96	28	24" Impact Stilling Basin	EA	\$ 4,161.60
97	28	30" Impact Stilling Basin	EA	\$ 5,462.10
98	28	36" Impact Stilling Basin	EA	\$ 6,809.42
99	28	48" Impact Stilling Basin	EA	\$ 8,323.20
100	29	Bottom Paved Ditch	SY	\$ 93.64
101	30	Aggregate Channel Lining for Slope Protection	TN	\$ 44.22
102	31	Seeding and Protection	SY	\$ 5.37
103	32	Sodding	SY	\$ 8.59
104	33	Gabion Mattress Channel Lining	CY	\$ 286.11
105	34	4" HDPE Perforated Pipe	LF	\$ 15.61
106	34	6" HDPE Perforated Pipe	LF	\$ 15.61
107	35	4" PVC Pipe	LF	\$ 26.01
108	35	6" PVC Pipe	LF	\$ 29.13
109	35	8" PVC Sanitary Sewer (0-8' No Rock)	LF	\$ 35.48
110	35	10" PVC Sanitary Sewer (0-8' No Rock)	LF	\$ 37.04
111	35	12" PVC Sanitary Sewer (0-8' No Rock)	LF	\$ 42.19
112	35	15" PVC Sanitary Sewer (0-8' No Rock)	LF	\$ 52.22
113	35	18" PVC Sanitary Sewer (0-8' No Rock)	LF	\$ 83.23
114	35	8" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$ 52.02
115	35	10" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$ 61.90
116	35	12" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$ 66.59

Project: Construction Unit Price Contract Bid# 114 2020
Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
117	35	14" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$ 95.72
118	35	Sanitary Sewer By-Pass Pumping	DAY	\$ 780.30
119	36	Two Way Sewer Service Cleanout	EA	\$ 390.15
120	37	4"x 8" Sanitary Sewer Tee & up to 6' of lateral pipe	EA	\$ 109.24
121	37	6"x 8" Sanitary Sewer Tee & up to 6' of lateral pipe	EA	\$ 119.65
122	22	Lex Sanitary Sewer Manhole (4' dia.) (0-8' No rock)	EA	\$ 2,705.04
123	22	Lex Sanitary Sewer Manhole (5' dia.) (0-8' No rock)	EA	\$ 3,641.40
124	22	Lex Sanitary Sewer Manhole (6' dia.) (0-8' No rock)	EA	\$ 6,086.34
125	22	Sanitary Sewer Manhole MIC Protection	VF	\$ 41.62
126	23	Manhole-Additional vertical depth > 8' (4' dia.)	VF	\$ 312.12
127	23	Manhole-Additional vertical depth > 8' (5' dia.)	VF	\$ 416.16
128	23	Manhole-Additional vertical depth > 8' (6' dia.)	VF	\$ 468.18
129	22	Manhole-Additional for adjustable frame and cover	EA	\$ 1,664.64
130	38	Woven Wire Fence 4' height	LF	\$ 20.81
131	38	Chain Link Fence 4' height	LF	\$ 36.41
132	38	Privacy Fence (installation)	LF	\$ 88.43
133	39	Backhoe (small) with Operator	HR	\$ 104.04
134	40	Dump Truck (single axle) with driver	HR	\$ 78.03
135	40	Dump Truck (tri-axle) with driver	HR	\$ 83.23
136	39	Jackhammer with Operator	HR	\$ 78.03
137	39	Skid Loader with Operator	HR	\$ 78.03
138	41	Check Dam	TN	\$ 52.02
139	41	Sediment Trap	CY	\$ 52.02
140	41	Sediment Pond	CY	\$ 41.62
141	41	Silt Fence	LF	\$ 3.64
142	41	Storm Drain Inlet Protection	EA	\$ 286.11
143	41	Filter Strip	SY	\$ 20.29
144	41	Stream Crossing	EA	\$ 5,202.00
145	41	Pump-Around Flow Diversion	DAY	\$ 2,496.96

Project: Construction Unit Price Contract Bid# 114 2020
Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
146	41	Construction Dewatering	DAY	\$ 2,496.96
147	42	Geotextile Construction Type I	SY	\$ 4.16
148	42	Geotextile Construction Type II	SY	\$ 4.16
149	42	Geotextile Construction Type III	SY	\$ 4.16
150	42	Geotextile Construction Type IV	SY	\$ 4.16
151	43	Edge Key	LF	\$ 10.40
152	44	Pipe Plugging for Pipes less than or equal to 24"	EA	\$ 468.18
153	44	Pipe Plugging for Pipes 30"- 48"	EA	\$ 780.30
154	45	Flowable Fill	CY	\$ 182.07
155	46	Fiber Reinforced PCC Pavement	CY	\$ 312.12
156	47	Single Block Masonry Retaining Wall	SF	\$ 27.05
157	48	Degradable Erosion Control Mat	SY	NO BID
158	48	Turf Reinforcement Mat	SY	\$ 9.63
159	49	Project Sign	EA	\$ 572.22
160	50	Steel W Beam Guardrail and End Treatments	LF	\$ 56.18
161	51	Articulating Concrete Block	SY	NO BID
162	52	Reinf Conc Pipe Crack Repairs and Manhole Rehab	LF	NO BID
163	53	Saw cutting	LF	\$ 2.45
164	54	Precast Reinforced Concrete Box Culvert 3' X 2'	LF	\$ 390.15
165	54	Precast Reinforced Concrete Box Culvert 3' X 3'	LF	\$ 421.36
166	54	Precast Reinforced Concrete Box Culvert 4' X 2'	LF	\$ 452.57
167	54	Precast Reinforced Concrete Box Culvert 4' X 3'	LF	\$ 478.58
168	55	Detectable Warning Surface Tile-Overlay	SF	\$ 100.92
169	55	Detectable Warning Surface Tile-Imbedded	SF	\$ 390.15
170	58	Thermoplastic Pavement Striping - White or Yellow	LF	\$ 3.06
171	58	Removal of Existing Pavement Striping	LF	\$ 3.06
172	59	Bulb-out: Gutter Cover	LF	NO BID
173	59	Bulb-out: Asphalt Repair	SF	NO BID
174	60	Grader with Operator	HR	\$ 145.66

Project: Construction Unit Price Contract Bid# 114 2020
Bid Date: 11/16/2020

Contractor: The Allen Company, Inc.

#	Spec Sec.	Item	Unit	Unit Price
175	61	Roller/Compactor with Operator	HR	\$ 119.65
176	62	Furnish and Place Topsoil	CY	\$ 23.41
177	62	Spreading Stockpiled Topsoil	CY	\$ 15.61
178	63	2" PVC Conduit Under Non-traffic Surface	LF	\$ 15.61
179	63	2" PVC Conduit Under Roadway or Driveway	LF	\$ 15.61
180	64	Junction Box, KYTC Type A	EA	\$ 936.36
181	64	Junction Box, KYTC Type C	EA	\$ 1,664.64
182	65	Pedestal Base for Pedestrian Pole	EA	NO BID

Max. Allowable P/P bond % for projects >\$50,000	5.00%
Unspecified incidental materials at cost plus 15% (Check if interested.)	✓
Unspecified incidental labor at cost plus 15% (Check if interested.)	✓
Minimum project total for consideration	\$ 15,000