

**PART III**

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**Invitation to Bid No. 88-2015**

**CARDINAL LANE STORMWATER IMPROVEMENTS**  
**PHASE 1 & 3**

**1. FORM OF PROPOSAL**

Place: Lexington, Kentucky

Date: June 22, 2015

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Solid Rock Construction Services, LLC

1350 Galena Pike, West Portsmouth, OH 45663  
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Ohio, doing business as Solid Rock Construction Services, LLC  
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of the Director of Purchasing  
200 East Main Street, 3rd Floor  
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for Cardinal Lane Stormwater Improvements Phase 1 & 3 having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of \$200.00 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

- Addendum No.   1   Date   6/17/2015
- Addendum No.      Date
- Addendum No.      Date
- Addendum No.      Date
- Addendum No.      Date
- Addendum No.      Date
- Addendum No.      Date
- Addendum No.      Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

**2. LEGAL STATUS OF BIDDER**

Bidder Solid Rock Construction Services LLC

Date June 22, 2015 \*

1. A corporation duly organized and doing business under the laws of the State of Ohio, for whom Allison Whitaker, bearing the official title of President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

\* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

N/A  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

N/A  
\_\_\_\_\_  
\_\_\_\_\_

\*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Allison Whitaker, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Allison Whitaker and he/she is the individual submitting the bid or is the authorized representative of Solid Rock Construction Services, LLC, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.  
Further, Affiant sayeth naught.

Allison Whitaker  
(Affiant)

STATE OF Ohio

COUNTY OF Scioto

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Allison Whitaker on this the 22ND day of June, 2015.



Commission expires: Oct 20, 2019  
Heather L. Elliott  
Notary Public, State of Ohio  
My Commission Expires Oct. 20, 2019  
NOTARY PUBLIC, STATE AT LARGE

#### **4. BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Division uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

The contract, if awarded, will be on the basis of materials and equipment specified in the specifications without consideration of possible substitute or "or equal" items.

The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

**BID SCHEDULE: LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
CARDINAL LANE STORMWATER IMPROVEMENTS PHASE 1 & 3, BID NO. 88-2015**

| BID<br>ITEM<br>NO. | UNIT DESCRIPTION   | ESTIMATED<br>QUANTITY | UNIT | UNIT<br>PRICE | TOTAL<br>BID<br>AMOUNT |
|--------------------|--|-----------------------|------|---------------|------------------------|
| 1                  | Clearing and Grubbing Including Off Site Disposal of Brush for<br><u>Ten thousand</u> Dollars<br><u>Zero</u> Cents (per Lump Sum)  | 1                     | L.S. | \$ 10,000.00  | \$ 10,000.00           |
| 2                  | Removal and Disposal of Existing Drainage Piping, Structures,<br>Excess Excavated Soils, and any Other Deleterious Materials and<br>Plugging of Ex. Drain Piping to be Abandoned<br><u>Three thousand, seven hundred</u> Dollars<br><u>Zero</u> Cents (per Lump Sum) | 1                     | L.S. | \$ 3,700.00   | \$ 3,700.00            |
| 3                  | New 8' x 4' Cast-In-Place Throated Inlet Box, Inc. Tie ins to Ex.<br>Pipes and Curb & Gutter Replacement, Complete<br><u>Two thousand, five hundred</u> Dollars<br><u>Zero</u> Cents (per Each)  | 1                     | Each | \$ 2,500.00   | \$ 2,500.00            |
| 4                  | 23" x 14" RCP, Complete, Single Run, Inc. all Excavation, Laying,<br>Bedding, Backfill, and Surface Restoration, Complete<br><u>Seven thousand, five hundred</u> Dollars<br><u>Zero</u> Cents (per Linear Foot)  | 100                   | L.F. | \$ 75.00      | \$ 7,500.00            |
| 5                  | 30" x 19" RCP, Complete Tandem Installation, Inc. all Excavation,<br>Laying, Bedding, Backfill, and Surface Restoration, Complete<br><u>Four thousand, two hundred</u> Dollars<br><u>Zero</u> Cents (per Linear Foot)  | 24                    | L.F. | \$ 175.00     | \$ 4,200.00            |
| 6                  | 38" x 24" RCP, Complete, Single Installation, Inc. all Excavation,<br>Laying, Bedding, Backfill, and Surface Restoration, Complete<br><u>Thirty-eight thousand, four hundred twenty</u> Dollars<br><u>Zero</u> Cents (per Linear Foot)                               | 226                   | L.F. | \$ 170.00     | \$ 38,420.00           |

**BID SCHEDULE: LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
CARDINAL LANE STORMWATER IMPROVEMENTS PHASE 1 & 3, BID NO. 88-2015**

| BID<br>ITEM<br>NO. | UNIT DESCRIPTION  | ESTIMATED<br>QUANTITY | UNIT | UNIT<br>PRICE | TOTAL<br>BID<br>AMOUNT |
|--------------------|---|-----------------------|------|---------------|------------------------|
| 7                  | New 4' x 3' Curb Inlet Box for 23" x 14" RCP, Complete<br><u>One thousand, nine hundred forty-three</u> Dollars<br><u>Seventy-seven</u> Cents (per Each)    | 1                     | Each | \$ 1,943.77   | \$1,943.77             |
| 8                  | Low Clearance Crossing of Ex. San. Sewer Line, Per Detail<br><u>Five thousand</u> Dollars<br><u>Zero</u> Cents (per Lump Sum)                               | 1                     | L.S. | \$ 5,000.00   | \$ 5,000.00            |
| 9                  | Full-Width Repaving, Milling, Trench Cap, Complete, Per Detail<br><u>Eight thousand</u> Dollars<br><u>Twenty</u> Cents (per Linear Foot)                    | 85                    | S.Y. | \$ 94.12      | \$ 8,000.20            |
| 10                 | Concrete Curb & Gutter Repair<br><u>One thousand and fifty</u> Dollars<br><u>Zero</u> Cents (per Linear Foot)   | 30                    | L.F. | \$ 35.00      | \$ 1,050.00            |
| 11                 | Concrete Sidewalk Repair - Match Existing Width<br><u>One thousand, one hundred</u> Dollars<br><u>Ten</u> Cents (per Linear Feet)                           | 30                    | L.F. | \$ 36.67      | \$ 1,100.10            |
| 12                 | New Junction/Inlet Box - 8' x 5', Includes Tie-in(s), Complete, Per<br>Plan <u>Five thousand and thirty</u> Dollars<br><u>Seventy-five</u> Cents (per Each) | 1                     | Each | \$ 5,030.75   | \$5,030.75             |

**BID SCHEDULE: LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
CARDINAL LANE STORMWATER IMPROVEMENTS PHASE 1 & 3, BID NO. 88-2015**

| <b>BID<br/>ITEM<br/>NO.</b> | <b>UNIT DESCRIPTION</b>   | <b>ESTIMATED<br/>QUANTITY</b> | <b>UNIT</b> | <b>UNIT<br/>PRICE</b> | <b>TOTAL<br/>BID<br/>AMOUNT</b> |
|-----------------------------|---|-------------------------------|-------------|-----------------------|---------------------------------|
| 13                          | New Junction/Inlet Box - 60" Diameter, Includes Tie-ins, Complete, Per Plan <u>Four thousand, two hundred and twelve</u> Dollars <u>Twenty-two</u> Cents (per Each)   | 2                             | Each        | \$ 2,106.11           | \$4,212.22                      |
| 14                          | New Junction/Inlet Box - 72" Diameter, Includes Tie-ins, Complete, Per Plan <u>Two thousand, seven hundred fifty-three</u> Dollars <u>Fifty-two</u> Cents (per Each)  | 1                             | Each        | \$ 2,753.52           | \$ 2,753.52                     |
| 15                          | New Precast Winged Headwall Outlet w/Grate, For 38" x 24" RCP, Per Detail, Complete <u>Three thousand, nine hundred thirty-eight</u> Dollars <u>Forty-three</u> Cents (per Each)                                    | 1                             | Each        | \$ 3,938.43           | \$3,938.43                      |
| 16                          | Concave Bottom Grass Ditch w/ 3' Concrete Trickle Channel (Modified KYTC Standard Valley Gutter) All Widths, Per Detail <u>Nine thousand</u> Dollars <u>Forty-five</u> Cents (per Linear Feet)                      | 565                           | L.F.        | \$ 15.93              | \$ 9,000.45                     |
| 17                          | Turf Mat Reinforcement <u>Seven thousand, nine hundred twenty-eight</u> Dollars <u>Zero</u> Cents (per Square Yard)   | 800                           | S.Y.        | \$ 9.91               | \$ 7,928.00                     |
| 18                          | Furnish and Install New Grate on Existing Headwall, Per Detail, Sheet 3 <u>Two thousand, one hundred</u> Dollars <u>Zero</u> Cents (per Each)   | 1                             | Each        | \$ 2,100.00           | \$ 2,100.00                     |
| 19                          | Stone Bank Stabilization Wall, Complete, Section "A", Including Transition/Tie in to Existing Facilities, Per Detail <u>Forty-six thousand, nine hundred sixty-two</u> Dollars <u>Fifty</u> Cents (per Square Feet) | 850                           | S.F.        | \$ 55.25              | \$46,962.50                     |



**BID SCHEDULE: LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
CARDINAL LANE STORMWATER IMPROVEMENTS PHASE 1 & 3, BID NO. 88-2015**

| BID ITEM NO. | UNIT DESCRIPTION  | ESTIMATED QUANTITY | UNIT | UNIT PRICE  | TOTAL BID AMOUNT |
|--------------|---|--------------------|------|-------------|------------------|
| 20           | Stone Bank Stabilization Wall, Complete, Section " B", Including Transition/Tie in to Existing Facilities, Per Detail<br><u>Thirty-nine thousand, seven hundred eighty</u> Dollars<br><u>Zero</u> Cents (per Square Foot) | 720                | S.F. | \$ 55.25    | \$39,780.00      |
| 21           | C.I.P. Concrete Footer/Lip for Block Wall, Div. "A"<br><u>Three thousand</u> Dollars<br><u>Ninety-eight</u> Cents (per Linear Foot)   | 181                | L.F. | \$ 16.58    | \$ 3,000.98      |
| 22           | C.I.P. Concrete Footer/Lip for Block Wall, Div. "B"<br><u>Two thousand, five hundred fifty-three</u> Dollars<br><u>Thirty-two</u> Cents (per Linear Foot)   | 154                | L.F. | \$ 16.58    | \$ 2,553.32      |
| 23           | New 4' H Chain-Link Fence, Per Plans & Specifications<br><u>Three thousand, two hundred fifty</u> Dollars<br><u>Zero</u> Cents (per Linear Foot)  | 65                 | L.F. | \$ 50.00    | \$ 3,250.00      |
| 24           | Relocate Wooden Fence(s) - Per Plans, Complete<br><u>Three thousand, five hundred</u> Dollars<br><u>Zero</u> Cents (per Lump Sum)   | 1                  | L.S. | \$ 3,500.00 | \$ 3,500.00      |
| 25           | Remove and Dispose of Ex. Chain Link Fence & Appurtenances, Per Plans<br><u>Two hundred</u> Dollars<br><u>Zero</u> Cents (per Lump Sum)   | 1                  | L.S. | \$ 200.00   | \$ 200.00        |

**BID SCHEDULE: LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
CARDINAL LANE STORMWATER IMPROVEMENTS PHASE 1 & 3, BID NO. 88-2015**

| BID ITEM NO. | UNIT DESCRIPTION  | ESTIMATED QUANTITY | UNIT | UNIT PRICE  | TOTAL BID AMOUNT |
|--------------|---|--------------------|------|-------------|------------------|
| 26           | Search & Extra Depth Excavation - Only On Order of Engineer<br><u>Five hundred</u> Dollars<br><u>Zero</u> Cents (per Cubic Yard)                                | 25                 | C.Y. | \$ 20.00    | \$ 500.00        |
| 27           | Extra Crushed Stone For Ditch/Culvert Subgrade Stabilization Only on Order of the Engineer<br><u>Seven hundred fifty</u> Dollars<br><u>Zero</u> Cents (per Ton) | 25                 | Tons | \$ 30.00    | \$ 750.00        |
| 28           | Mobilization / Demobilization<br><u>Five thousand</u> Dollars<br><u>Zero</u> Cents (per Lump Sum)   | 1                  | L.S. | \$ 5,000.00 | \$ 5,000.00      |
| 29           | 6-Foot High Temporary Chainlink Security Fence Panels<br><u>Ten thousand</u> Dollars<br><u>Zero</u> Cents (per Linear Foot)                                     | 200                | L.F. | \$ 50.00    | \$ 10,000.00     |
| 30           | Sodding of Disturbed Area<br><u>Eight thousand</u> Dollars<br><u>Zero</u> Cents (per Square Yard)   | 200                | S.Y. | \$ 40.00    | \$ 8,000.00      |

Total of All Bid Prices for Cardinal Lane Stormwater Improvements Phase 1 & 3 (Items 1 Thru 30) in Words and Figures. In Case of Discrepancy, the Amount Shown in words will Govern.

Two hundred forty-one thousand, eight hundred seventy-four dollars and twenty-four cents (\$ 241,874.24 )



5. **STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Solid Rock Construction Services, LLC
- 2. Permanent Place of Business: 1350 Galena Pike, West Portsmouth, OH 45663
- 3. When Organized: July 20, 2006
- 4. Where Incorporated: Ohio
- 5. Construction Plant and Equipment Available for this Project:  
See Attached Portfolio  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Attach Separate Sheet If Necessary)

- 6. Financial Condition:  
  
If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

- 7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:  
Evergreen National Indemnity Company (Surety)

Signed: Ted Sherman (Representative of Surety)  
Ted Sherman-Attorney-in-fact

- 8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

| <u>NAME</u>                            | <u>LOCATION</u>                       | <u>CONTRACT SUM</u> |
|--|---------------------------------------|---------------------|
| <u>Porter Twp-Green St Storm Sewer</u> | <u>Green St, Wheelersburg, OH</u>     | <u>\$91,690.00</u>  |
| <u>CVS #6271 - St. Clairsville, OH</u> | <u>Addie Way, St Clairsville, OH</u>  | <u>\$275,450.00</u> |
| <u>CVS #10124 - Morgantown, WV</u>     | <u>Pineview Dr, Morgantown, WV</u>    | <u>\$760,316.00</u> |
| <u>SOMC South Campus</u>               | <u>Kinney's Lane, Portsmouth, OH</u>  | <u>\$162,572.00</u> |
| <u>Advance Auto # 7303</u>             | <u>Addie Way, St. Clairsville, OH</u> | <u>\$131,600.00</u> |

9. The Bidder has now under contract and bonded the following projects:

| <u>NAME</u>                      | <u>LOCATION</u>          | <u>CONTRACT SUM</u> |
|----------------------------------|--------------------------|---------------------|
| <u>ODOT SR 823 Building Demo</u> | <u>Scioto County, OH</u> | <u>\$141,900.00</u> |
| <u>ODOT SR 823 Tree Clearing</u> | <u>Scioto County, OH</u> | <u>\$644,000.00</u> |
| <u> </u>                         | <u> </u>                 | <u> </u>            |
| <u> </u>                         | <u> </u>                 | <u> </u>            |
| <u> </u>                         | <u> </u>                 | <u> </u>            |

10. List Key Bidder Personnel who will work on this Project.

| <u>NAME</u>          | <u>POSITION DESCRIPTION</u>   | <u>NO. OF YEARS WITH BIDDER</u> |
|----------------------|-------------------------------|---------------------------------|
| <u>Bill Whitaker</u> | <u>Project Manager</u>        | <u>20+</u>                      |
| <u>Jason Hurd</u>    | <u>Project Superintendent</u> | <u>3+</u>                       |
| <u>Joe Monteith</u>  | <u>Project Superintendent</u> | <u>5+</u>                       |
| <u> </u>             | <u> </u>                      | <u> </u>                        |
| <u> </u>             | <u> </u>                      | <u> </u>                        |

11. DBE Participation on current bonded projects under contract:

| <u>SUBCONTRACTORS</u><br><u>(LIST)</u> | <u>PROJECT</u><br><u>(SPECIFIC TYPE)</u>           | <u>DBE</u> | <u>% of WORK</u> |
|--|--|------------|------------------|
| N/A                                    | Solid Rock Construction is a WBE Certified Company | _____      | _____            |
| _____                                  | _____  | _____      | _____            |
| _____                                  | _____  | _____      | _____            |
| _____                                  | _____  | _____      | _____            |
| _____                                  | _____  | _____      | _____            |
| _____                                  | _____  | _____      | _____            |
| _____                                  | _____  | _____      | _____            |

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

**6. LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

| <b><u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u></b> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc. | <b><u>SUBCONTRACTOR</u></b> | <b><u>DBE</u></b><br><b><u>Yes/No</u></b> | <b>% of Work</b> |
|---|-----------------------------|---|------------------|
| 1. <u>N/A</u>   | Name: _____                 | _____                                     | _____            |
|   | Address: _____              |   |                  |
| 2. _____  | Name: _____                 | _____                                     | _____            |
|   | Address: _____              |   |                  |
| 3. _____  | Name: _____                 | _____                                     | _____            |
|   | Address: _____              |   |                  |
| 4. _____  | Name: _____                 | _____                                     | _____            |
|   | Address: _____              |   |                  |
| 5. _____  | Name: _____                 | _____                                     | _____            |
|   | Address: _____              |   |                  |
| 6. _____  | Name: _____                 | _____                                     | _____            |
|   | Address: _____              |   |                  |
| 7. _____  | Name: _____                 | _____                                     | _____            |
|   | Address: _____              |   |                  |

(Attach additional sheet(s) if necessary.)

**7. EPA DBE SUBCONTRACTOR PARTICIPATION FORMS**



OMB Control No: 2090-0030  
 Approved: 8/13/2013  
 Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program  
 DBE Subcontractor Participation Form**

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE<sup>1</sup> subcontractor<sup>2</sup> the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

|                           |  |                         |  |
|---------------------------|--|-------------------------|--|
| Subcontractor Name<br>N/A |  | Project Name            |  |
| Bid/ Proposal No.         | Assistance Agreement ID No. (if known) | Point of Contact        |  |
| Address                   |  |                         |  |
| Telephone No.             |  | Email Address           |  |
| Prime Contractor Name     |  | Issuing/Funding Entity: |  |

| Contract Item Number | Description of Work Received from the Prime Contractor Involving Construction, Services, Equipment or Supplies | Amount Received by Prime Contractor |
|----------------------|--|-------------------------------------|
|                      |  |                                     |

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.





**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Performance Form**

Please use the space below to report any concerns regarding the above EPA-funded project:

N/A

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|                                |                   |
|--------------------------------|-------------------|
| <b>Subcontractor Signature</b> | <b>Print Name</b> |
| <br><br>                       | <br><br>          |
| <b>Title</b>                   | <b>Date</b>       |
| <br><br>                       | <br><br>          |

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



**Disadvantaged Business Enterprise (DBE) Program  
 DBE Subcontractor Performance Form**

This form is intended to capture the DBE<sup>1</sup> subcontractor's<sup>2</sup> description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractors bid or proposal package.

|                           |  |                         |  |
|---------------------------|--|-------------------------|--|
| Subcontractor Name<br>N/A |  | Project Name            |  |
| Bid/ Proposal No.         | Assistance Agreement ID No. (if known) | Point of Contact        |  |
| Address                   |  |                         |  |
| Telephone No.             |  | Email Address           |  |
| Prime Contractor Name     |  | Issuing/Funding Entity: |  |

| Contract Item Number | Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies | Price of Work Submitted to the Prime Contractor |
|----------------------|---|---|
|                      |   |   |

|   |   |
|---|---|
| DBE Certified By: ___ DOT ___ SBA<br>___ Other: _____ | Meets/ exceeds EPA certification standards?<br>___ YES ___ NO ___ Unknown |
|---|---|

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Performance Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

|                                   |                   |
|-----------------------------------|-------------------|
| <b>Prime Contractor Signature</b> | <b>Print Name</b> |
| N/A                               |                   |
| <b>Title</b>                      | <b>Date</b>       |
|                                   |                   |

|                                |                   |
|--------------------------------|-------------------|
| <b>Subcontractor Signature</b> | <b>Print Name</b> |
|                                |                   |
| <b>Title</b>                   | <b>Date</b>       |
|                                |                   |

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE<sup>1</sup> subcontractors<sup>2</sup> and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

|  |  |   |  |
|--|--|---|--|
| Prime Contractor Name<br>Solid Rock Construction Services, LLC       |  | Project Name<br>Cardinal Lane Stormwater Improvements Phase 1 & 2 |  |
| Bid/ Proposal No.<br>88-2015   | Assistance Agreement ID No. (if known) | Point of Contact<br>Heather Elliott                               |  |
| Address<br>1350 Galena Pike West Portsmouth, OH 45663                |  |   |  |
| Telephone No.<br>740-858-3111  |  | Email Address<br>solidrockconst1@aol.com                          |  |
| Issuing/Funding Entity:<br>Lexington-Fayette Urban County Government |  |   |  |

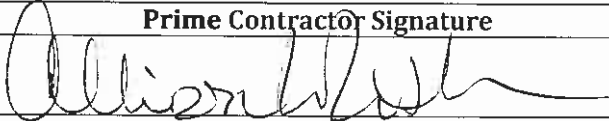
|  |                                      |                        |                                 |
|--|--------------------------------------|------------------------|---------------------------------|
| I have identified potential DBE certified subcontractors   | __ YES                               | _X NO                  |                                 |
| If yes, please complete the table below. If no, please explain:<br>Solid Rock Construction is a WBE Certified Company. Certificate attached. |                                      |                        |                                 |
| <b>Subcontractor Name/<br/>Company Name</b>  | <b>Company Address/ Phone/ Email</b> | <b>Est. Dollar Amt</b> | <b>Currently DBE Certified?</b> |
|  |                                      |                        |                                 |
|  |                                      |                        |                                 |
| Continue on back if needed   |                                      |                        |                                 |

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

|  |                                       |
|--|---------------------------------------|
| <b>Prime Contractor Signature</b><br> | <b>Print Name</b><br>Allison Whitaker |
| <b>Title</b><br>President  | <b>Date</b><br>June 22, 2015          |

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

## EPA DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

EPA's Disadvantaged Business Enterprise Program rule applies to contract procurement actions funded in part by EPA assistance agreements awarded after May 27, 2008. The rule is found at Federal regulation Title 40, Part 33. Specific responsibilities are highlighted below.

### **Grant recipient responsibilities:**

- Conduct an Availability Analysis and negotiate fair share objectives with EPA (§33.411), or adopt the fair share objectives of the oversight state agency revolving loan fund for comparable infrastructure. (§33.405(b)(3)).
- Include the Appendix A term and condition in each contract with a primary contractor (§3.106). The term and condition is included in the EPA Region 4 contract specifications insert *FEDERAL REQUIREMENTS AND CONTRACT PROVISIONS FOR SPECIAL APPROPRIATION ACT PROJECTS US ENVIRONMENTAL PROTECTION AGENCY, Region III, June 2008*.
- Employ the six Good Faith Efforts during prime contractor procurement (§33.301).
- Require prime contractor to comply with the following prime contractor requirements of Title 40 Part 33:
  - To employ the six Good Faith Efforts steps in paragraphs (a) through (e) of §33.301 if the prime contractor awards subcontracts (§33.301(f)).
  - To provide EPA form 6100-2 – *DBE Subcontractor Participation Form* to all DBE subcontractors (§33.302(e)).
  - To submit EPA forms 6100-3 – *DBE Program Subcontractor Performance Form* and 6100-4 – *DBE Program Subcontractor Utilization Form* with bid package or proposal. (§33.302 (f) and (g)).
  - To pay its subcontractor for satisfactory performance no more than 30 days from the prime contractor's receipt of payment from the recipient (§33.302(a)).
  - To notify recipient in writing by its prime contractor prior to any termination of a DBE subcontractor for convenience by the prime contractor (§33.302(b)).
  - To employ the six good faith efforts described in §33.301 if soliciting a replacement subcontractor after a DBE subcontractor fails to complete work under the subcontract for any reason. (§33.302(c)).
  - To employ the six good faith efforts described in §33.301 even if the prime contractor has achieved its fair share objectives under subpart D of Part 33. (§33.302(d)).
- Semiannually complete and submit to Charles Hayes, EPA Region 4 DBE Coordinator EPA form 5700-52A summarizing DBE participation achieved during the previous six months (§33.502).

- Maintain records documenting its compliance with the requirements of Title 40 Part 33, including documentation of its, and its prime contractors', good faith efforts (§33.501(a)).

**Prime Contractor Responsibilities:**

- Employ the six Good Faith Efforts steps in paragraphs (a) through (e) of §33.301 if the prime contractor awards subcontracts (§33.301(f)).
- Provide EPA form number 6100-2 – *DBE Program Subcontractor Participation Form* and form number 6100-3 – *DBE Program Subcontractor Performance Form* to each DBE subcontractor prior to opening of the contractor's bid or proposal (§33.302(e) and (f)).
- Complete EPA form number 6100-4 – *DBE Program Subcontractor Utilization Form* (§33.302(g)).
- Submit to recipient with its bid package or proposal the completed EPA form number 6100-4, plus an EPA form number 6100-3 for each DBE subcontractor used in the contractor's bid or proposal (§33.302(f) and (g)).
- Pay subcontractors for satisfactory performance no more than 30 days from the prime contractor's receipt of payment from the recipient (§33.302(a)).
- Notify the recipient in writing prior to prime contractor termination of a DBE subcontractor for convenience (§33.302(b)).
- Employ the six good faith efforts described in §33.301 if soliciting a replacement subcontractor after a DBE subcontractor fails to complete work under the subcontract for any reason. (§33.302(c)).
- Employ the six good faith efforts described in §33.301 even if the prime contractor has achieved its fair share objectives under subpart D of Part 33. (§33.302(d)).
- Semiannually inform recipient of DBE participation achieved (§33.502).
- Maintain records documenting its compliance with the requirements of Title 40 Part 33, including documentation of its, and its prime contractors', good faith efforts (§33.501(a)).

**Subcontractor Responsibilities:**

- May submit EPA form 6100-2 – *DBE Subcontractor Participation Form* to Charles Hayes, EPA Region 4 DBE Coordinator (§33.302(e)).
- Must complete EPA form 6100-3 – *DBE Program Subcontractor Performance Form*, and submit it to the prime contractor soliciting services from the subcontractor prior to the opening of bids for the prime contract.

**SPAP Requirements:**

| <b>Form</b>     | <b>Requirement</b>   | <b>Provided By:</b> | <b>Completed By:</b> | <b>Submitted To:</b>                                  |
|-----------------|--|---------------------|----------------------|---|
| EPA Form 6100-2 | Grant Recipients required to have prime contractors provide form to Subcontractors | Prime Contractors   | DBE Subcontractors   | EPA Region 4 DBE Coordinator Charles Hayes            |
| EPA Form 6100-3 | Grant Recipients required to have prime contractors provide form to Subcontractors | Prime Contractors   | DBE Subcontractors   | Grant Recipients as part of a bid or proposal package |
| EPA Form 6100-4 | Grant Recipients required to have prime contractors complete the form              | Grant Recipients    | Prime Contractors    | Grant Recipients as part of a bid or proposal package |

**SRF Requirements:**

| <b>Form</b>          | <b>Requirement</b>   | <b>Provided By:</b> | <b>Completed By:</b> | <b>Submitted To:</b>                     |
|----------------------|--|---------------------|----------------------|--|
| EPA Form 6100-2      | Recipients required to have prime contractors provide form to Subcontractors | Prime Contractors   | DBE Subcontractors   | DOW Project Administrator                |
| EPA Form 6100-3      | Recipients required to have prime contractors provide form to Subcontractors | Prime Contractors   | DBE Subcontractors   | Dow Project Administrator w/ATA Package  |
| EPA Form 6100-4      | Recipients required to have prime contractors complete the form              | Recipients          | Prime Contractors    | DOW Project Administrator w/ATA Package  |
| Pay Request DBE Form | Recipients required to have prime contractors complete the form              | Recipients          | Prime Contractors    | DOW Project Administrator w/EACH PAYMENT |



DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION POLICY

PROJECT NAME: Cardinal Lane Stormwater Improvements Phase 1 & 3 BID DATE: June 23, 2015

1. Name, address and telephone number of contact person on all DBE matters:

Prime Contractor's Name: Solid Rock Construction Services, LLC  
Contact Person: Heather Elliott  
Address: 1350 Galena Pike, West Portsmouth, OH 45663  
Phone: 740-858-3111  
Cell Phone: \_\_\_\_\_  
Email: solidrockconst1@aol.com  
Total Contract Amount: \_\_\_\_\_

2. Total dollar amount/percent of contract of MBE participation: \_\_\_\_\_

3. Total dollar amount/percent of contract of WBE participation: 100%

4. Are certifications\* for each MBE/WBE/DBE subcontractor  Yes  No enclosed; if no, please explain: Solid Rock Construction is a WBE Company!

5. Are MBE/WBE/DBE subcontracts or letters of intent signed by  Yes  No both parties enclosed; if no, please explain: Solid Rock Construction is a WBE Company!

6. List of MBE Subcontractors:

Name: N/A  
Contact Person: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Cell Phone: \_\_\_\_\_  
Email: \_\_\_\_\_  
Type of Contract: \_\_\_\_\_  
Work to be Done: \_\_\_\_\_  
Amount: \_\_\_\_\_

7. List of WBE Subcontractors:

Name: N/A  
Contact Person: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Cell Phone: \_\_\_\_\_  
Email: \_\_\_\_\_  
Type of Contract: \_\_\_\_\_  
Work to be Done: \_\_\_\_\_  
Amount: \_\_\_\_\_

Attach Additional Sheets, If Necessary

\*Self-certification: Self certification of MBE/WBE/DBE firms will NOT be accepted as a valid form of certification of MBE/WBE/DBE status.

8. **Information and documentation concerning efforts taken to comply with EPA's "six good faith efforts"**

- (i). Ensure DBE construction firms or material suppliers are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities; including placing DBEs on solicitation lists and soliciting them whenever they are potential sources. A good source for a list of DBEs is the Kentucky Transportation's website: <http://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/Certified-DBE-Directory.aspx>.
- The prime contractor certifies that a bidders list (see example sheet below) of qualified vendors, including DBEs, was developed for current and future solicitations and that the list will be maintained. *Submit a copy of the list as documentation.*
- (ii). Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process; including, whenever possible, posting solicitation for bids or proposals for a sufficient amount of time as to receive a competitive bid or proposal pool.
- The prime contractor certifies that every opportunity was provided to a number of DBEs to encourage their participation in the competitive process and that an adequate amount of time was provided for response.
- a. List each DBE construction firm or material supplier to which a solicitation was attempted. *Submit copies of letters, emails, faxes, telecommunication logs, certified mail receipts, returned envelopes, certified mail return receipts, etc. as documentation.*
- Company name and phone number: \_\_\_\_\_
- Area of work expertise: \_\_\_\_\_
- Date of any follow-ups and person spoke to: \_\_\_\_\_
- b. Advertisements, if applicable: List each publication in which an announcement or notification was placed. *Submit a tear sheet of each announcement from each publication as documentation.*
- Name of publication: \_\_\_\_\_
- Date(s) of advertisement: \_\_\_\_\_
- Specific subcontract areas announced: \_\_\_\_\_
- c. Other, if applicable: List each notification method in which an announcement or outreach was used; list serve, public meeting, etc. *Submit applicable information to document effort.*
- Method of notification: \_\_\_\_\_
- Date(s) of notification: \_\_\_\_\_
- (iii). Consider in the contracting process whether firms competing for large contracts could subcontract with DBEs; including dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.
- The prime contractor certifies that the project was broken into its basic elements (i.e., dirt hauling, landscaping, painting, pipe installation, material supplies, etc.) and that a determination was made whether it's economically feasible to bid the elements separately and that the analysis of this effort was documented with a short memo to the project file.
- (iv). Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women's business enterprises.

The prime contractor certifies that they established delivery schedules which would allow DBEs to participate in the projects.

(v). Use the services and assistance of the Small Business Administration (SBA) and the Minority Business Development Agency (MBDA) of the U.S. Department of Commerce. The easiest way to utilize the services of SBA and MBDA is to visit their websites: [www.sba.gov](http://www.sba.gov) and [www.mbda.gov](http://www.mbda.gov) and use the electronic tools available there or you may send the nearest SBA and MBDA office a certified letter that generally describes the solicitation, the dates it will be open, the types of vendors you are seeking and applicable SIC or NAIC codes if known. You may also use the services and assistance of the Kentucky Procurement Assistance Program (KPAP). The easiest way to utilize the services of KPAP is to send an email: [ced.kpap@ky.gov](mailto:ced.kpap@ky.gov) and provide information on forthcoming opportunities available to DBEs.

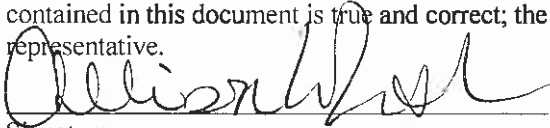
The prime contractor certifies that the assistance of the SBA, MBDA, and/or KPAP was utilized. *Submit pages printed off the SBA and MBDA websites which evidence efforts to register a solicitation on those sites or submit copies of the letter sent and certified mail receipt as documentation; submit copies of emails with KPAP as documentation.*

(vi). If a subcontractor awards any subcontracts, require the subcontractor to take the steps in numbers (i) through (v) above.

The prime contractor certifies that subcontractors used for this project will be required to follow the steps of the "six good faith efforts" as listed above.

**9. Signature and date:**

To the best of my knowledge and belief, all "six good faith efforts" have been met and the information contained in this document is true and correct; the document has been duly authorized by the legal representative.

  
Signature

Allison Whitaker, President  
Print name and title

June 22, 2015  
Date

**8. AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State KY or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky \_\_\_\_\_. Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. **STATEMENT OF EXPERIENCE**

NAME OF INDIVIDUAL: See Attached Resumes

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

**10. EQUAL OPPORTUNITY AGREEMENT**

The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:

*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*

- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:


*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

 \_\_\_\_\_  
 Signature Name of Business  
 Solid Rock Construction Services, LLC

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

#### KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

#### KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor



- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

KRS 45.640 Minimum skills

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Solid Rock Construction Services, LLC  
to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

**12. WORKFORCE ANALYSIS FORM**

Name of Organization: Solid Rock Construction Services, LLC Date: 6 / 22 / 2015

| Categories          | Total     | White     |          | Black |   | Other |   | Total     |          |
|---------------------|-----------|-----------|----------|-------|---|-------|---|-----------|----------|
|                     |           | M         | F        | M     | F | M     | F | M         | F        |
| Administrators      | 1         |           | 1        |       |   |       |   |           | 1        |
| Professionals       |           |           |          |       |   |       |   |           |          |
| Superintendents     | 1         | 1         |          |       |   |       |   | 1         |          |
| Supervisors         | 2         | 2         |          |       |   |       |   | 2         |          |
| Foremen             |           |           |          |       |   |       |   |           |          |
| Technicians         |           |           |          |       |   |       |   |           |          |
| Protective Service  |           |           |          |       |   |       |   |           |          |
| Para-Professionals  |           |           |          |       |   |       |   |           |          |
| Office/Clerical     | 3         |           | 3        |       |   |       |   |           | 3        |
| Skilled Craft       | 5         |           | 5        |       |   |       |   |           | 5        |
| Service/Maintenance | 2         |           | 2        |       |   |       |   |           | 2        |
| <b>Total:</b>       | <b>14</b> | <b>10</b> | <b>4</b> |       |   |       |   | <b>10</b> | <b>4</b> |

Prepared By: Heather Elliott

**13. EVIDENCE OF INSURABILITY**

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT  
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Solid Rock Construction Services, LLC Employee ID: 20-5186281  
 Address: 1350 Galena Pike, West Portsmouth, OH 45663 Phone: 740-858-3111

Project to be insured: Cardinal Lane Stormwater Improvements

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

| Section Items                              | Coverage | Minimum Limits and Policy Requirements         | Limits Provided To Insured | Name of Insurer                  | A.M. Best's Code | Rating |
|--|----------|--|----------------------------|----------------------------------|------------------|--------|
| SC-3, Section 2, Part 4.1 - see provisions | CGI      | \$1,000,000 per occ. And \$2,000,000 aggregate | \$ 5,000,000<br>5,000,000  | United Specialty Ins. Co.        |                  |        |
| SC-3, Section 2, Part 4.1 - see provisions | AUTO     | \$2,000,000/per occ.                           | \$ 1,000,000 CSL           | State Automobile Mutual Ins. Co. |                  |        |
| SC-3, Section 2, Part 4.1 - see provisions | WC       | Statutory w /endorsement as noted              | \$                         |                                  |                  |        |

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Berndt & Murfin Ins. Agency Daniel L. Cassidy Name of Authorized Representative  
 Agency or Brokerage  
1031 Gallia Street Agent  
 Street Address  
Portsmouth OH 45662  
 City State Zip  
740-353-3121 Telephone Number  
6/19/2015 Date  
[Signature] Authorized Signature

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

**IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.**

**14. DEBARRED FIRMS**

**PROJECT NAME: CARDINAL LANE STORMWATER IMPROVEMENTS  
PHASE 1 & 3**

**BID NUMBER: 88-2015**

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Solid Rock Construction Solutions, LLC has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Solid Rock Construction Services, LLC  
Name of Firm Submitting Bid

  
Signature of Authorized Official

President  
Title

June 22, 2015  
Date

## 15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Solid Rock Construction Services, LLC

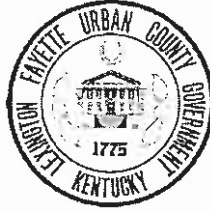
Project: Cardinal Lane Stormwater Improvement

Printed Name and Title of Authorized Representative: Allison Whitaker, President

Signature: 

Date: June 22, 2015

END OF SECTION \*\*\*\*



Lexington-Fayette Urban County Government  
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray  
Mayor

William O'Mara  
Commissioner

**ADDENDUM #1**

Bid Number: **#88-2015**

Date: June 17, 2015

Subject: Cardinal Lane Storm Water Improvements Phase 1 & 3

Address inquiries to:  
Brian Marcum  
(859) 258-3320

**TO ALL PROSPECTIVE SUBMITTERS:**

Please be advised of the following clarifications to the above referenced Bid:

Please see the attached clarifications, pre-bid sign in sheet and pre-bid agenda/minutes.

Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.  
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Solid Rock Construction Services, LLC

ADDRESS: 1350 Galena Pike, West Portsmouth, OH 45663

SIGNATURE OF BIDDER: Allison White



hereby grants

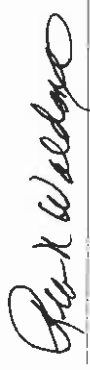
# National Women's Business Enterprise Certification

to

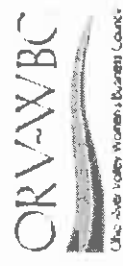
## Solid Rock Construction Services, LLC

who has successfully met WBENC's standards as a Women's Business Enterprise (WBE).  
This certification affirms the business is woman-owned, operated and controlled; and is valid through the date herein.

WBENC National WBE Certification was processed and validated by Ohio River Valley - Women's Business Council, a WBENC Regional Partner Organization.

  
Authorized by Rea N. Waldon, Ph.D., Executive Director  
Ohio River Valley Women's Business Council

Expiration Date: 10/07/2015  
WBENC National Certificate Number: 2005111433

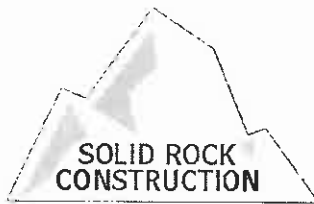


NAICS Codes: 238910

UNSPSC Codes: 22102000







**Solid Rock Construction Services, LLC**  
1350 Galena Pike  
West Portsmouth, Ohio 45663

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**Phone: 740-858-3111**  
**Fax: 740-858-1972**

**Solid Rock Construction Services, LLC, a licensed Demolition and Asbestos Contractor, started business in July 2006 as a demolition contractor. Allison Whitaker, owner of the company along with her husband Bill, have worked to expand the company since that time. Solid Rock Construction Services now specializes in demolition as well as asbestos abatement testing, asbestos abatement removal, site development, excavation, and trucking.**

**Solid Rock Construction Services operates from the original location on Galena Pike in West Portsmouth, Ohio. Operating twelve dump trucks, six tractor trailers, as well as a large variety of excavating equipment, Solid Rock Construction is more than equipped to perform almost any project need.**

**The company, is a licensed Asbestos Abatement contractor in Ohio, Kentucky and West Virginia. A total of thirteen asbestos abatement certifications are currently held by the company and employees combined. Performing abatement testing and/or removal on numerous projects, including schools, city governments, etc., Solid Rock Construction comes very highly recommended.**

**Allison Whitaker coordinates operations in the office with three other office personnel. Project site operations are overseen by Bill Whitaker. Solid Rock Construction has highly qualified supervisors who are in charge of daily operations on various types of projects. 7 employees currently hold a class A commercial driver's license and operate tractor trailers for the purposes of hauling equipment, hauling demolition debris to landfills, etc. Solid Rock Construction employs 6 equipment operators who are highly qualified to operate the variety of heavy equipment owned by the company, including excavators, loaders, etc. Solid Rock Construction's operators have also built numerous access roads for the Mead Paper Corporation.**

**Solid Rock Construction Services takes pride in the fact that there has never been a loss time accident since the company began in 2006.**

**Solid Rock Construction Services, LLC holds certifications, are members or have been recognized for the following:**

- **BWC certification**
- **BWC Drug Free Workplace program**
- **WBE (Women Owned Business) certification**
- **EDGE (Encouraging Diversity, Growth and Equity Program) certification**
- **HubZone**
- **NFIB member**
- **Better Business Bureau member**
- **Portsmouth Area Chamber of Commerce member**
- **Southern Ohio Safety Council safety performance award**
- **Received *Gold* Recognition in the LEED program for recycling efforts performed on the Clark Montessori School in Cincinnati, Ohio.**

## Asbestos Abatement Projects

Client Name: City of Ironton  
Client Address: 301 S. Third Street, Ironton, Ohio  
Phone: 740-532-0198  
Job Description: Asbestos Testing & Removal  
Job Locations: **City of Ironton (Residential Structures)**  
Start Date: 2006 to Present

Client Name: Ironton-Lawrence CAO  
Client Address: 305 North 5<sup>th</sup> Street, Ironton, Ohio  
Phone: 740-532-3534  
Job Description: Asbestos Testing & Removal  
Job Locations: **Lawrence County (Residential Structures)**  
Start Date: 2006 to Present

Client Name: City of Portsmouth  
Client Address: 728 Second Street, Portsmouth, OH 45662  
Phone: 740-354-7557  
Job Description: Asbestos Testing & Removal  
Job Locations: **City of Portsmouth (Residential Structures)**  
Start Date: 2008 to Present

Client Name: Christine Scott  
Client Address: 6057 Carey's Run Pond Creek Rd., West Portsmouth, OH 45663  
Client Phone: 740-351-0981  
Job Description: Asbestos Removal  
Job Location: **Columbia Music Hall, 832 Gallia Street, Portsmouth, OH 45662**  
Date of Completion: May 2, 2008

Client Name: Ohio Department of Transportation  
Client Address: 650 Eastern Avenue, Chillicothe, OH 45601  
Client Phone: 740-774-8909  
Job Description: Asbestos Removal  
Job Location: **McHenry Schoolhouse, 315 State Route 140, Portsmouth, OH 45662**  
Date of Completion: April 25, 2008

Client Name: City of Loveland Ohio  
Client Address: 120 W. Loveland Avenue, Loveland, OH 45140  
Client Phone: 513-683-0150  
Job Description: Asbestos Removal 2 Structures  
Job Location: **104 and 122 Broadway Street, Loveland, OH 45140**  
Date of Completion: August 11, 2008

Client Name: Wheelersburg Local School District  
Client Address: 620 Center Street, Wheelersburg, OH 45694  
Construction Manager: Bovis Lend Lease, 800 Pirate Dr., Wheelersburg, OH 45694  
Phone: 740-574-8490  
Job Description: Asbestos Removal  
Job Locations: **Wheelersburg High School, 701 Pirate Dr., Wheelersburg, OH 45694**  
**Wheelersburg Elementary, 1731 Dogwood Ridge, Wheelersburg, OH 45694**  
Start Date: October 2008

Client Name: Ohio Department of Transportation  
Client Address: 650 Eastern Avenue, Chillicothe, OH 45601  
Client Phone: 740-774-8909  
Job Description: Asbestos Testing & Removal  
Job Location: **31 Residential Structures**  
Date of Completion: April 20, 2009

Client Name: The City of Ironton  
Client Address: 301 S. Third Street, Ironton, Ohio  
Phone: 740-532-0198  
Job Locations: **Veterans Memorial Hall, Railroad Street, Ironton, Ohio 45638**  
Start Date: December, 2009

Client Name: The City of Ironton  
Client Address: 301 S. Third Street, Ironton, Ohio  
Phone: 740-532-0198  
Job Description: Asbestos Testing & Removal  
Job Locations: **Ro-Na Theatre, 310 – 314 S. Third Street, Ironton, Ohio 45638**  
Start Date: February, 2009

Client Name: Bracken Co. Fiscal Court  
Client Address: 116 W, Miami Street, Brooksville, KY 41001  
Phone: 606-735-2300  
Job Description: Asbestos Removal  
Job Locations: **Bracken Co. Judicial Center – 116 W. Miami Street, Brooksville, KY 41004**  
Start Date: February, 2010

Client Name: Portsmouth Gaseous Diffusion Plant D&D Portsmouth  
Client Address: US RT 23, Piketon, OH 45661  
Phone: 740-443-7924  
Job Description: Asbestos Removal  
Job Locations: **Portsmouth Gaseous Diffusion Plant D&D Portsmouth –  
US RT 23, Piketon, OH 45661**  
Start Date: August, 2011

Client Name: Clay Local School District  
Client Address: 44 Clay High Street, Portsmouth, OH 45662  
Phone: 740-654-6645  
Job Description: Asbestos Removal  
Job Locations: **Clay Local Schools 44 Clay High Street, Portsmouth, OH 45662  
(Clay High School, Rosemount and Rubyville Elementary Schools)**  
Start Date: October, 2011

Client Name: Eastern Kentucky Pipe Line, Inc.  
Client Address: 162 Left Fork Bull Creek, Prestonsburg, KY 41653  
Phone: 606-874-9255  
Job Description: Asbestos Removal  
Job Locations: **Old Prestonsburg School 236 North Lake Drive, Prestonsburg, KY 41653**  
Start Date: January, 2012

Client Name: Hill View Retirement Group  
Client Address: 1610 28<sup>th</sup> Street, Portsmouth, OH 45662  
Phone: 740-935-1192  
Job Description: Asbestos Testing & Removal  
Job Locations: **Hill View Retirement 2810 & 2814 Sherman Road, Portsmouth, OH 45662**  
Start Date: May, 2012

Client Name: Southern Ohio Medical Center  
Client Address: 1805 27<sup>th</sup> Street, Portsmouth, OH 45662  
Phone: 740-356-5000  
Job Description: Asbestos Testing/Removal  
Job Locations: **Southern Ohio Medical Center 1805 27<sup>th</sup> Street, Portsmouth, OH 45662**  
Start Date: July, 2012

Client Name: CLC Investments, Inc.  
Client Address: 14 Laurelwood, Milford, OH 45150  
Phone: 513-248-5093  
Job Description: Asbestos Removal  
Job Locations: **Clermont Lumber Co. 105 Water Street, Milford, OH 45150**  
Start Date: August, 2012

Client Name: CAC of Pike County  
Client Address: 941 Market Street, Piketon, OH 45661  
Phone: 740-289-2371  
Job Description: Asbestos Removal  
Job Locations: **Pike County (Residential Structures)**  
Start Date: October, 2012

Client Name: City of Portsmouth  
Client Address: 728 2<sup>nd</sup> St Portsmouth, Ohio 45662  
Phone: 740-354-7557  
Job Description: Asbestos Testing and Removal  
Job Locations: **Gateway Project – 30 residential structures throughout Portsmouth, Ohio**  
Start Date: January, 2013

Client Name: New Boston Local School District  
Client Address: 522 Glenwood Ave., New Boston, OH 45662  
Phone: 740-456-4559  
Job Description: Asbestos Removal  
Job Locations: **New Boston Local School 522 Glenwood Ave., New Boston, OH 45662  
(Oak Intermediate and Stanton Primary Schools)**  
Start Date: March, 2013

Client Name: Hometown Development  
Client Address: 711 Second St, Portsmouth, OH 45662  
Phone: 740-354-7711  
Job Description: Asbestos Removal  
Job Locations: **CVS Pharmacy Store No. 10124  
1000, 1004 and 1008 Pineview Morgantown, WV**  
Start Date: May 6, 2013

Client Name: Hometown Development  
Client Address: 711 Second St, Portsmouth, OH 45662  
Phone: 740-354-7711  
Job Description: Asbestos Removal  
Job Locations: **CVS Pharmacy # 3186  
128,132 & 136 Waldeck St Clarksburg, WV  
125-127 Marshall St Clarksburg, WV  
721 East Main St Clarksburg, WV**  
Start Date: May 17, 2013

Client Name: Tanner, Stone, Holsinger and Donges  
Client Address: 1010 Coles Blvd, Portsmouth, OH 45662  
Phone: 740-354-6621  
Job Description: Asbestos Removal  
Job Locations: **Huntington, WV**  
Start Date: May, 2013

Client Name: Ross County Commissioners  
Client Address: 2 N. Paint St., Suite H, Chillicothe, OH 45601  
Phone: 740-702-305  
Job Description: Asbestos Removal  
Job Locations: **Ross County (Residential Structures)**  
Start Date: August 12, 2013

Client Name: City of Ironton  
Client Address: 305 N 5<sup>th</sup> Street, Ironton, OH 45638  
Phone: 740-532-3534  
Job Description: Asbestos Removal  
Job Locations: **Ironton, OH (Residential Structures)**  
Start Date: August, 2013

Client Name: Scioto County Commissioners  
Client Address: 602 7<sup>th</sup> Street Room 1, Portsmouth, OH 45662  
Phone: 740-354-8807  
Job Description: Asbestos Removal  
Job Locations: **Scioto County (Residential Structures)**  
Start Date: September, 2013

Client Name: SOAR  
Client Address: 1571 Shyville Road Piketon, OH  
Phone: 740-443-7924  
Job Description: Asbestos Removal  
Job Locations: **Warehouse Scioto County (Transformers)**  
Start Date: September, 2013

Client Name: City of Portsmouth  
Client Address: 728 2<sup>nd</sup> St Portsmouth, Ohio 45662  
Phone: 740-354-7557  
Job Description: Asbestos Testing and Removal  
Job Locations: **Portsmouth, Ohio (Residential Structures)**  
Start Date: November, 2013

Client Name: Ironton-Lawrence CAO  
Client Address: 305 N 5<sup>th</sup> Street, Ironton, OH 45638  
Phone: 740-532-3534  
Job Description: Asbestos Removal  
Job Locations: **Lawrence County, OH (Residential Structures)**  
Start Date: November, 2013

Client Name: Ironton-Lawrence CAO  
Client Address: 305 N 5<sup>th</sup> Street, Ironton, OH 45638  
Phone: 740-532-3534  
Job Description: Asbestos Removal  
Job Locations: **Lawrence County, OH (Residential Structures)**  
Start Date: December, 2013

Client Name: City of Portsmouth  
Client Address: 728 2<sup>nd</sup> St Portsmouth, Ohio 45662  
Phone: 740-354-7557  
Job Description: Asbestos Testing  
Job Locations: **Portsmouth, Ohio (Commercial Bldg)**  
Start Date: February, 2014

Client Name: CAC of Pike County  
Client Address: 941 Market Street, Piketon, OH 45661  
Phone: 740-289-2371  
Job Description: Asbestos Removal  
Job Locations: **Pike County (Residential Structures)**  
Start Date: February, 2014

Client Name: Pam Ison  
Client Address: 123 N Market Street, Waverly, OH 45690  
Phone: 740-222-4763  
Job Description: Asbestos Testing  
Job Locations: **Waverly, OH (Emmitt House)**  
Start Date: February, 2014

Client Name: Warrior Excavating & Concrete Spec  
Client Address: 75 John Street, Jackson, OH 45640  
Phone: 740-418-9990  
Job Description: Asbestos Testing & Removal  
Job Locations: **Jackson County (Meridian Automotive Service)**  
Start Date: February, 2014

Client Name: Hometown Development  
Client Address: 711 Second St, Portsmouth, OH 45662  
Phone: 740-354-7711  
Job Description: Asbestos Removal  
Job Locations: **CVS Pharmacy # 2148**  
**881DOakhurst Drive Charleston, WV**  
**883 Oakhurst Drive Charleston, WV**  
**879 Oakhurst Drive Charleston, WV**  
Start Date: June, 2014

Client Name: Hometown Development  
Client Address: 711 Second St, Portsmouth, OH 45662  
Phone: 740-354-7711  
Job Description: Asbestos Removal  
Job Locations: **CVS Pharmacy # 2148**  
**302, 314 & 322 Fairmont Ave Fairmont, WV**  
**307 & 309 Gaston St Fairmont, WV**  
**406 3<sup>rd</sup> St Fairmont, WV**  
Start Date: August, 2014

Client Name: City of Portsmouth  
Client Address: 728 2<sup>nd</sup> St Portsmouth, Ohio 45662  
Phone: 740-354-7557  
Job Description: Asbestos Testing and Removal  
Job Locations: **Gateway Project – 25 residential structures throughout Portsmouth, Ohio**  
Start Date: August, 2014

Client Name: Ironton-Lawrence CAO  
Client Address: 305 N 5<sup>th</sup> Street, Ironton, OH 45638  
Phone: 740-532-3534  
Job Description: Asbestos Removal  
Job Locations: **Lawrence County, OH (Residential Structures)**  
Start Date: March, 2014

Client Name: Ironton-Lawrence CAO  
Client Address: 305 N 5<sup>th</sup> Street, Ironton, OH 45638  
Phone: 740-532-3534  
Job Description: Asbestos Removal  
Job Locations: **Lawrence County, OH (Residential Structures)**  
Start Date: April, 2014

Client Name: CAC of Pike County  
Client Address: 941 Market Street, Piketon, OH 45661  
Phone: 740-289-2371  
Job Description: Asbestos Removal  
Job Locations: **455 Back Hollow Rd Waverly, OH**  
Start Date: September, 2014

Client Name: City of Portsmouth Community Development  
Client Address: 728 2<sup>nd</sup> St Portsmouth, Ohio 45662  
Phone: 740-354-7557  
Job Description: Asbestos Testing and Removal  
Job Locations: **Residential Structures**  
Start Date: September, 2014

Client Name: WAI  
Client Address: 1571 Shyville Road Piketon, OH  
Phone: 740-443-7924  
Job Description: Asbestos Testing and Removal  
Job Locations: **Carpenter's Hall**  
Start Date: September, 2014

Client Name: Scioto County Commissioners  
Client Address: 602 7<sup>th</sup> Street Room 1, Portsmouth, OH 45662  
Phone: 740-354-8807  
Job Description: Asbestos Removal  
Job Locations: **Scioto County (Residential Structure)**  
Start Date: March, 2015

Solid Rock Construction has also performed Asbestos Abatement on several residential and smaller structures that would be too numerous to list.



## **Solid Rock Constructions Services Project References**

### **Shawnee State University**

Residential Structures Demolition

Prime Contractor – Project 100% Complete

Contract Amount: \$ 14,829.00

Bonding Co.: Bond Brokers

Owner: Shawnee State University

Contact: John Temponeras, Shawnee State University, Construction Project Manager

Phone: 740-351-3012

### **Wheelersburg Asbestos Abatement Project**

Asbestos Removal

Prime Contractor – Project 100% Complete

Contract Amount: \$ 70,800.00

Bonding Co.: Bond Brokers

Owner: Wheelersburg Local School District

Contact: Regina Francis, Bovis Lend Lease

Phone: 740-894-5208

### **Old Somerville School**

Demolition of old school

Prime Contractor – Project 100% Complete

Contract Amount: \$ 46,800.00

Bonding Co.: Bond Brokers

Owner: Butler County Board of Commissioners

Contact: Desmond Maaytah,

Phone: 513-785-5391

### **Kentucky Dept. of Transportation Right of Way Project**

Demolition of multiple residential structures

Prime Contractor – Project 100% Complete

Contract Amount: \$ 47,259.00

Bonding Co.: Bond Brokers

Owner: Kentucky Department of Transportation

Contact: Dan White

Phone: 859-341-2707

### **Lexington-Fayette Urban County Government**

Demolition of multiple residential structures

Prime Contractor – Project 100% Complete

Contract Amount: Contract was bid as unit pricing – Amount is based on individual structures

Bonding Co.: Bond Brokers

Owner: Lexington-Fayette Urban Co. Gov.

Contact: Michael Clayborne

Phone: 859-258-3410

**Ohio Department of Transportation Bypass Project**

Demolition of multiple residential structures  
Prime Contractor – Project 100% Complete  
Contract Amount: \$ 143,000.00  
Bonding Co.: Bond Brokers  
Owner: Ohio Department of Transportation  
Contact: Dan Beasley  
Phone: 740-774-2247

**14<sup>th</sup> Street Community Center/Parking Lot**

Excavation/Sitework  
Prime Contractor – Project 100% Complete  
Contract Amount: \$ 41,500.00  
Bonding Co.: Bond Brokers  
Owner: Scioto County Commissioners  
Contact: Connie Spencer  
Phone: 740-355-8356

**322 Pine Street, Ironton, Ohio**

Emergency Demolition of Residential Structure  
Prime Contractor – Project 100% Complete  
Contract Amount: \$ 4,800.00  
Bonding Co.: N/A  
Owner: The City of Ironton  
Contact: Karl Wentz  
Phone: 740-532-0198

**2433 S. 8<sup>th</sup> Street & 715 Depot Square, Ironton, Ohio**

Demolition of Residential Structures  
Prime Contractor – Project 100% Complete  
Contract Amount: \$ 7,000.00  
Bonding Co.: N/A  
Owner: The City of Ironton  
Contact: Karl Wentz  
Phone: 740-532-0198

**2012 S. Third Street, Ironton, Ohio**

Demolition of Residential Structure  
Prime Contractor – Project 100% Complete  
Contract Amount: \$ 2,000.00  
Bonding Co.: N/A  
Owner: The City of Ironton  
Contact: Karl Wentz  
Phone: 740-532-0198

**Veteran's Memorial Hall**

Emergency Roof Removal & Wall Stabilization

Prime Contractor – Project 100% Complete

Contract Amount: \$ 183,510.00

Bonding Co.: N/A

Owner: The City of Ironton

Contact: Rich Blankenship

Phone: 740-532-0198

**Ironton CAO**

Demolition of Residential Structures

Prime Contractor – Project 100% Complete

Contract Amount: \$ 12,890.00

Bonding Co.: N/A

Owner: Ironton-Lawrence CAO

Contact: Cindy Anderson

Phone: 740-532-3534

**Chesapeake Union Exempted Village School Dist.**

Project 100% Complete

Contract Amount: \$618,425.00

Owner: Chesapeake Union Exempted Village School Dist.

Contact: BBL Construction Services

Phone: 614-221-3400

**Lincoln Elementary**

Demolition Project

Project 100% Complete

Contract Amount: \$181,000.00

Owner: Portsmouth Local School District

Contact: BBL Construction Services

Phone: 614-221-3400

**Frankfort Cooling Plant**

Demolition

Project 100% Complete

Contract Amount: \$193,490.00

Owner: Commonwealth of Kentucky

Contact: Jeff Joe Dunagin

Phone: 502-564-3155

**Barbeque Road Dump Site Improvements**

Excavation

Project 100% Complete

Contract Amount: \$335,992.25

Owner: Clay Commonwealth of Kentucky

Contact: Eddie Cooke

Phone: 502-564-3155

**Road Widening Project**

Various locations

Project 100% Complete

Contract Amount: \$172,542.00

Owner: Kentucky Transportation Cabinet

Contact: Dan White

Phone: 859-341-2707

**Sneff Natatorium**

Demolition

Project 100% Complete

Contract Amount: \$43,449.00

Owner: Morehead State University

Contact: Steve Leitz

Phone: 606-776-3662

**South Point Middle School / Burlington Elementary School**

Demolition

Project 100% Complete

Contract Amount: \$446,000.00

Owner: South Point Local Schools

Contact: Jim Hall

Phone: 740-894-5208

**Western Local School**

Demolition

Project 100% Complete

Contract Amount: \$285,000.00

Owner: Western Local School Dist.

Contact: 614-823-4949

**Crystal Care Parking Lot**

Parking Lot Installation

Prime Contractor – Project 100% Complete

Contract Amount: \$5,700.00

Bonding Co.: N/A

Owner: K.K.J., Inc.

Contact: Kim Nye

Phone: 740-285-2344

**Scott Co., Ky Demolition**

Residential Demolition

Prime Contractor – 100% Complete

Contract Amount: \$ 4,000.00

Bonding Co.: N/A

Owner: Kentucky Transportation Cabinet

Contact: Jennifer Houchin

Phone: 502-564-4630

**Ironton City School District**

Middle School Demolition & Phase II Site  
Prime Contractor – Project 100% Complete  
Contract Amount: \$ 652,900.00  
Bonding Co.: Bond Brokers  
Owner: Ohio Schools Facilities Commission  
Contact: Randy Henderson  
Phone: 614-221-3899

**Gateway Environmental**

Central Elementary Demolition  
Sub Contractor – Project 100% Complete  
Contract Amount: \$ 56,500.00  
Bonding Co.: N/A  
Owner: Gateway Environmental  
Contact: Brian Wellen  
Phone: 614-654-6264

**New Boston Coke Corp.**

Site Clean up  
Prime Contractor – Project 100% Complete  
Contract Amount: \$ 190,000.00  
Bonding Co.: N/A  
Owner: New Boston Coke Corp.  
Contact: Ray Zetts  
Phone: 216-763-0602

**Scioto County Commissioners**

Residential Demolition Project #4  
Prime Contractor – Project 100% Complete  
Contract Amount: \$ 11,700.00  
Bonding Co.: N/A  
Owner: Scioto Co. Commissioners  
Contact: Connie Spencer  
Phone: 740-355-8313

**Bracken Co. Judicial Center**

Asbestos Abatement, Demolition & Site Preparation  
Prime Contractor – Project 100% Complete  
Contract Amount: \$361,088.00  
Bonding Co.: Bond Brokers  
Owner: Bracken Co. Fiscal Court  
Contact: Eddie Sorrell  
Phone: 606-796-3867

**PGI Development**

Multiple Residential Demolitions  
Prime Contractor – Project 100% Complete  
Contract Amount: \$12,500.00  
Bonding Co.: N/A  
Owner: PGI Development Group  
Contact: Pete Patel  
Phone: 614-793-7767

**Scioto County Commissioners**

Multiple Residential Demolition Project # 5  
Prime Contractor – Project 100% Complete  
Contract Amount: \$13,900.00  
Bonding Co.: N/A  
Owner: Scioto County Commissioners  
Contact: Connie Spencer  
Phone: 740-355-8313

**Scioto County Commissioners**

Multiple Residential Demolition Project # 6  
Prime Contractor - Project 100% Complete  
Contract Amount: \$10,700.00  
Bonding Co.: N/A  
Owner: Scioto County Commissioners  
Contact: Connie Spencer  
Phone: 740-355-8313

**Stevens Construction**

Residential Demolition  
Prime Contractor – Project 100% Complete  
Contract Amount: \$10,700.00  
Owner: Stevens Construction  
Contact: Jody Stevens  
Phone: 740-353-0998

**City of Portsmouth**

Multiple Residential Demolition Project  
Prime Contractor – Project 100% Complete  
Contract Amount: \$29,200.00  
Owner: City of Portsmouth  
Contact: Becky Steele  
Phone: 740-353-5153 ext. 227

**Bob Clyse**

Demolition / Renovation

Prime Contractor – Project 100% Complete

Contract Amount: \$40,000.00

Bonding Co.: N/A

Owner: Bob Clyse

Contact: Bob Clyse

Phone: 740-532-4011

**Ohio Department of Transportation**

Radio Tower Demolitions

Ironton, OH & Chillicothe, Ohio

Prime Contractor – Project 100% Complete

Contract Amount: \$22,500.00

Bonding Co.: N/A

Owner: ODOT

Contact: Shaun Bennett

Phone: 740-774-8857

**Greater Portsmouth Regional Airport**

Drainage Improvements

Prime Contractor – Project 100% Complete

Contract Amount: \$16,888.25

Bonding Co.: Bond Brokers

Owner: Scioto County Airport Authority

Construction Manager: Stantec Consulting Corporation

Contact: Steve Slusher

Phone: 614-486-4383

**Ohio University**

Residential Demolition

Ironton, OH

Prime Contractor – Project 100% Complete

Contract Amount: \$13,934.00

Bonding Co.: N/A

Owner: Ohio University Southern

Contact: Adam Riehl

Phone: 740-533-4618

**Bluegrass Station**

Tactical Road, Lexington, KY

Prime Contractor – Project 100% Complete

Contract Amount: \$166,657.00

Bonding Co.: Bond Brokers

Owner: Commonwealth of Kentucky

Contact: Ron Harris

Phone: 502-564-3155

**Eastern State Hospital**

Asbestos Abatement & Demolition  
Prime Contractor – Project 100% Complete  
Contract Amount: \$463,800.00  
Bonding Co.: Bond Brokers  
Owner: Bluegrass Community & Technical College  
Construction Manager: Congleton–Hacker Co.  
Contact: David Boien  
Phone: 859-254-6481

**Porter Township**

Replacement of Storm Tiles  
Prime Contractor – Project 100% Complete  
Contract Amount: \$55,235.00  
Bonding Co.: Bond Brokers  
Owner: Porter Township Trustees  
Construction Manager: N/A  
Contact: Ted Adams  
Phone: 740-574-4249

**St. Mary's Medical Facility**

Phase 2 – Site Development  
Prime Contractor – Project 100% Complete  
Contract Amount: \$533,117.00  
Bonding Co.: N/A  
Owner: St. Mary's Medical Center  
Construction Manager: Setterlin Building Company  
Contact: Mike Danyi  
Phone: 614-586-4220

**Portsmouth Gaseous Diffusion Plant D&D Portsmouth**

Asbestos Abatement  
Prime Contractor – Project 100% Complete  
Contract Amount: \$198,671.90  
Bonding Co.: N/A  
Owner: DOE  
Construction Manager: Wastren Advantage, Inc.  
Contact: Gene Collins  
Phone: 740-443-7924

**Greater Portsmouth Regional Airport**

Drainage Improvements  
Prime Contractor – Project 100% Complete  
Contract Amount: \$24,292.70  
Bonding Co.: State Automobile Mutual Ins.  
Owner: Scioto County Airport Authority  
Construction Manager: Stantec  
Contact: Jeffrey Cramer  
Phone: 614-486-4383



**Logan Waste Water Treatment Plant**

Earthwork & Demolition

Sub Contractor – Project 100% Complete

Contract Amount: \$308,525.00

Bonding Co.: N/A

Owner: City of Logan

Construction Manager: Mechanical Construction Co.

Contact: Lee Glockner

Phone: 740-353-5668

**Bracken Co. Judicial Center**

Site Demolition & Earth Work

Prime Contractor – Project 100% Complete

Contract Amount: \$128,561.00

Bonding Co.: N/A

Owner: Bracken Co. Judicial Center

Construction Manager: Universal Contracting Corp.

Contact: Brad Wilhelm

Phone: 513-482-2700

**New Boston Schools**

Site Preparation for New School

Sub Contractor – Project 100% Complete

Contract Amount: \$397,995.00

Bonding Co.: N/A

Owner: New Boston Local School District

Construction Manager: Setterlin Building

Contact: Ron Webster

Phone: 740-370-5328

**Clay Local Schools**

Demolition

Prime Contractor – Project 100% Complete

Contract Amount: \$198,730.00

Bonding Co.: Bond Brokers

Owner: Clay Local School District

Construction Manager: Gandee & Associates

Contact: Chris Howard

Phone: 614-459-8338

**Eastern Kentucky University**

Demolition/Site Utility Relocation

Project 100% Complete

Contract Amount: \$537,900.00

Owner: State of Kentucky

Contact: Carroll McGill

Phone: 1-502-564-3155

**Old Prestonsburg School**

Asbestos Removal/Demolition

Project: 100% Complete

Contract Amount: 62,800.00

Owner: Eastern Kentucky Pipe Line, Inc.

Contact: Roland Gray

Phone: 606-874-9255

**City of Portsmouth (2 residential structures)**

Asbestos Removal/Demolition

Project: 100% Complete

Contract Amount: \$11,800.00

Owner: City of Portsmouth

Contact: David Malone

Phone: 740-354-7557

**City of Portsmouth (2 residential structures)**

Asbestos Removal/Demolition

Project: 100% Complete

Contract Amount: \$20,790.00

Owner: City of Portsmouth

Contact: Tracy Shearer

Phone: 740-354-7557

**Village of New Boston (Pump Station 10)**

Construction

Project: 100% Complete

Contract Amount: \$22,745.00

Owner: Village of New Boston

Contact: Steve Hamilton

Phone: 740-456-4103

**Scioto Group Homes**

Site Improvements

Project 100% Complete

Contract Amount: \$222,550.00

Owner: Provider Services

Contact: Chris Inverso

Phone: 440-477-9901

**Hill View Retirement Group**

Asbestos Removal, Demolition, Site Improvements

Project 100% Complete

Contract Amount: \$108,225.00

Owner: Hill View Retirement Group

Contact: Tom DeCamp

Phone: 740-935-1192

**Clermont Lumber Co.**

Asbestos Removal, Demolition, Site Improvements  
Project 100% Complete  
Contract Amount: \$417,958.00  
Owner: CLC Investments, Inc.  
Contact: Pam Holbrook  
Phone: 513-248-5093

**Chillicothe Group Homes**

Site Improvements  
Project 100% Complete  
Contract Amount: \$81,277.00  
Owner: Provider Services  
Contact: Chris Inverso  
Phone: 440-477-9901

**City of Portsmouth (30 residential structures)**

Asbestos Testing/Removal/Demolition  
Project: 100% Complete  
Contract Amount: \$149,600.00  
Owner: City of Portsmouth  
Contact: Crystal Weghorst  
Phone: 740-354-7557

**CAC of Pike County (7 residential structures)**

Asbestos Testing/Removal  
Project: 100% Complete  
Contract Amount: \$11,890.00  
Owner: CAC of Pike County  
Contact: Don Watts  
Phone: 740-289-2371

**CAC of Pike County (3 residential structures)**

Demolition  
Project: 100% Complete  
Contract Amount: \$22,270.00  
Owner: CAC of Pike County  
Contact: Don Watts  
Phone: 740-289-2371

**Ironton CAO (4 residential structures)**

Asbestos Removal/Demolition  
Project 100% Complete  
Contract Amount: \$14,840.00  
Owner: Ironton-Lawrence CAO  
Contact: Cindy Anderson  
Phone: 740-532-3534

**New Boston Local School District (Oak & Stanton Schools)**

Asbestos Removal/Demolition

Project 100% Complete

Contract Amount: \$378,700.00

Owner: New Boston Local School District

Contact: Mike Staggs

Phone: 740-456-4559

**New Boston Local School District (Oak & Stanton Schools)**

Asbestos Removal/Demolition

Project 100% Complete

Contract Amount: \$378,700.00

Owner: New Boston Local School District

Contact: Mike Staggs

Phone: 740-456-4559

**CVS Pharmacy # 10124 (Morgantown WV)**

Asbestos Abatement, Demolition & Site Preparation

Project 100% Complete

Contract Amount: \$760,316.00

Owner: Hometown Development

Contact: Jeff Albrecht

Phone: 740-354-7711

**CVS Pharmacy # 3186 (Clarksburg WV)**

Asbestos Abatement, Demolition & Site Preparation

Project 100% Complete

Contract Amount: \$530,000.00

Owner: Hometown Development

Contact: Jeff Albrecht

Phone: 740-354-7711

**Ross County Commissioners (7 residential structures)**

Asbestos Removal/Demolition

Project 100% Complete

Contract Amount: \$50,200.00

Owner: Ross County Commissioners & Ross County Building Department

Contact: Devon Shoemaker & Keith Putnam

Phone: 740-702-3085

**SODI**

Asbestos Removal

Project 100% Complete

Contract Amount: \$1,350,000.00

Owner: SODI

Contact: Gene Collins

Phone: 740-443-7924

**BB&T (Morgantown WV)**

Asbestos Abatement, Demolition & Site Preparation  
Project 80% Complete  
Contract Amount: \$600,090.00  
Owner: Hometown Development  
Contact: Jeff Albrecht  
Phone: 740-354-7711

**Holiday Inn (Morgantown WV)**

Asbestos Abatement, Demolition & Site Preparation  
Project 80% Complete  
Contract Amount: \$590,385.00  
Owner: Hometown Development  
Contact: Jeff Albrecht  
Phone: 740-354-7711

**CVS Pharmacy # 3475 (Fairmont WV)**

Asbestos Abatement, Demolition & Site Preparation  
Project 100% Complete  
Contract Amount: \$498,697.00  
Owner: Hometown Development  
Contact: Jeff Albrecht  
Phone: 740-354-7711

**BB&T (Fairmont, WV)**

Site Preparation  
Project 60% Complete  
Contract Amount: \$151,170.00  
Owner: Hometown Development  
Contact: Jeff Albrecht  
Phone: 740-354-7711

**Early Site Package (St Clairsville OH)**

Asbestos Abatement, Demolition & Site Preparation  
Project 90% Complete  
Contract Amount: \$1,460,000.00  
Owner: Hometown Development  
Contact: Jeff Albrecht  
Phone: 740-354-7711

**CVS Pharmacy # 06271 (St Clairsville OH)**

Demolition & Site Preparation  
Project 100% Complete  
Contract Amount: \$275,450.00  
Owner: Hometown Development  
Contact: Jeff Albrecht  
Phone: 740-354-7711

**Advance Auto (St Clairsville OH)**

Site Preparation

Project 100% Complete

Contract Amount: \$101,400.00

Owner: Hometown Development

Contact: Jeff Albrecht

Phone: 740-354-7711

**Ironton CAO (3 residential structures)**

Asbestos Removal/Demolition

Project 100% Complete

Contract Amount: \$22,010.00

Owner: Ironton-Lawrence CAO

Contact: Cindy Anderson

Phone: 740-532-3534

**CVS Pharmacy # 2148 (Charleston WV)**

Asbestos Abatement, Demolition & Site Preparation

Project 99% Complete

Contract Amount: \$763,570.00

Owner: Hometown Development

Contact: Jeff Albrecht

Phone: 740-354-7711

**CVS Pharmacy # 10566 (Milton WV)**

Asbestos Abatement, Demolition & Site Preparation

Project 99% Complete

Contract Amount: \$188,670.00

Owner: Hometown Development

Contact: Jeff Albrecht

Phone: 740-354-7711

**Ohio Department of Transportation (Project # 142001)**

SR 823 Building Demo

Asbestos Abatement & Demolition

Project 90% Complete

Contract Amount: \$141,900.00

Owner: Ohio Department of Transportation

Contact: Greg Manson

Phone: 740-774-8976

**City of Portsmouth (Phase 2 of Gateway Project)**

Asbestos Abatement/Demolition

Project 100% Complete

Contract Amount: \$147,900.00

Owner: City of Portsmouth

Contact: Crystal Weghorst

Phone: 740-354-7557

**Chillicothe Group Homes**

Site Improvements

Project 100% Complete

Contract Amount: \$110,620.94

Owner: Provider Services

Contact: Chris Inverso

Phone: 440-477-9901

**PAE (Marietta WWTP)**

Demolition

Project 99% Complete

Contract Amount: \$96,841.00

Owner: City of Marietta

Contact: Jay Willen

Phone: 937-833-0013

**Ohio Department of Transportation (Project # 148029)**

SR 823 Tree Clearing

Tree Clearing

Project 85% Complete

Contract Amount: \$644,000.00

Owner: Ohio Department of Transportation

Contact: Greg Manson

Phone: 740-774-8976

**City of Portsmouth Community Development (Residential Structures)**

Asbestos Abatement/Demolition

Project 100% Complete

Contract Amount: \$57,250.00

Owner: City of Portsmouth

Contact: Tracey Shearer

Phone: 740-354-7557

**Ironton CAO (1 residential structure)**

Asbestos Removal/Demolition

Project 100% Complete

Contract Amount: \$7,450.00

Owner: Ironton-Lawrence CAO

Contact: Cindy Anderson

Phone: 740-532-3534

**WAI (Carpenter's Hall)**

Asbestos Removal/Demolition

Project 100% Complete

Contract Amount: \$44,420.00

Owner: WAI

Contact: Susan Holbrook

Phone: 740-443-7924

**Ruscilli Construction (Quaker Hts. Care Community Rehab Unit)**

Site Preparation

Project 20% Complete

Contract Amount: \$136,430.00

Owner: Ruscilli Construction

Contact: Stan Bowen

Phone: 614-876-9484

**Commonwealth of Kentucky**

Demolition Svc Owsley Co.

Prime Contractor – Project 100% Complete

Contract Amount: \$17,000.00

Bonding Co.: Bond Brokers

Owner: Commonwealth of Kentucky.

Contact: Mary Johnson

Phone: 502-564-3210

**CVS Pharmacy # 419 (Huntington, WV)**

Asbestos Abatement, Demolition & Site Preparation

Project 15% Complete

Contract Amount: \$226,000.00

Owner: Hometown Development

Contact: Jeff Albrecht

Phone: 740-354-7711

**SOMC**

Site Preparation

Project 10% Complete

Contract Amount: \$162,572.00

Owner: Southern Ohio Medical Center

Contact: Susan Holbrook

Phone: 740-443-7924

**Green Street Storm Sewer Improvements**

Sewer Improvements

Project 5% Complete

Contract Amount: \$91,690.00

Owner: Porter Township

Contact: Greg Warner

Phone: 740-574-4245



**Solid Rock Construction Services, LLC**  
**Truck & Equipment List**

**Dump Trucks**

1995 International  
1991 Peterbilt  
1990 Peterbilt  
1984 Ford  
1991 Peterbilt  
1994 Freightliner  
1996 Ford  
1995 International

**Tractors (Semi)**

2001 International  
1997 Freightliner  
1999 Mack  
2004 Freightliner

**Trailers**

2011 Home Made Trailer  
1986 Landoll  
2000 Ja-Mar  
2005 Delta Flatbed Trailer  
1994 Trailstar DumpTrailer  
1995 Trailstar  
1989 Utility  
1999 USTS Dump Trailer  
2005 International  
1991 Trail King  
2004 Winston

**Misc. Trucks**

1981 International Water Truck  
1986 Ford Fuel Truck  
1983 Mack Bucket Truck  
1995 Ford Bucket Truck  
1980 International Water Truck

**Cranes**

18 Ton Grove Crane

**Backhoe**

Caterpillar 436

**Storage Trailers**

1986 Fruehauf  
1984 Fruehauf  
1980 Fruehauf  
1964 Fruehauf  
1960 Fruehauf

**Excavators**

Caterpillar 322  
Caterpillar 330  
Caterpillar 330  
Caterpillar 315 CL  
Komatsu PC 250  
Komatsu  
Hitachi EX400  
Hitachi EX120  
Komatsu PC228  
Caterpillar 315 CL  
Komatsu PC300  
Caterpillar 305CR  
John Deere 892E

**Loaders**

Bobcat T250 Track Loader  
John Deere 844 Wheel Loader  
Kobota Skid Steer

**Service Trucks**

2002 Ford  
2011 Chevy  
1998 Chevy  
2002 Chevy  
2002 Chevy  
2005 Chevy  
2001 Ford  
1995 Dodge  
1999 Ford  
2005 GMC  
2006 Chevy

**Misc. Equipment**

CAT Extended Boom Forklift  
Toyota Forklift  
Ditch Witch  
Rockhound Chainlink  
CAT D350D Truck  
Volvo A25 Articulating Truck  
H13105 Yale Forklift  
JLG 800A Manlift

**Dozers**

CAT D6H  
CAT D10L  
CAT D5MXL  
CAT D6H II  
JD 895  
CAT D9  
CAT D6M  
JD 850C

**Compaction**

4 Raygo Ram 45 Drum Sheepfoot  
Rex 848 Vibratory Pad Foot  
Dympac Roller  
Bomag 84 Vibratory Smooth Drum  
Ingersol Rand Compactor  
Rex 848 Vibratory Pad Foot  
CAT 815  
Tampco Vibratory Sheep Foot  
Case Compactor

**Hammer**

Rammer Hydro 6400  
Brodersaw Hydro Hammer

# **Solid Rock Construction Services, LLC**

## **Employees**

### **Allison Whitaker**

- Owner
- Payroll
- Accounts Payable
- Asbestos Abatement Project Supervisor

### **Bill Whitaker**

- Superintendent
- Supervisor
- Building Analysis
- Asbestos Abatement Building Inspector, Management Planner, & Project Supervisor
- Operator
- CDL Driver
- Estimating / Design
- Safety Administrator

### **Heather Elliott**

- Office/Project Manager
- Purchasing
- Scheduling
- Payroll
- Accounts Receivable
- Federal Motor Carrier
- Bidding

### **Melanie Donley**

- Office Assistant
- Payroll
- Accounts Payable
- Bidding
- Accounts Receivable

### **Sarah Martin**

- Office Assistant
- Accounts Payable
- Accounts Receivable

### **Bobby Whitaker**

- Asbestos Abatement Worker
- Laborer

**Anthony Thacker**

- Supervisor
- CDL Driver
- Operator
- Laborer

**Chris Blanton**

- Supervisor
- CDL Driver
- Operator
- Laborer

**Mark Mallott**

- Supervisor
- Operator
- Laborer

**Derek Slusher**

- Asbestos Abatement Supervisor
- Mechanic
- Laborer

**Dave Conschafsky**

- Asbestos Abatement Worker
- CDL Driver

**George Houston**

- Asbestos Abatement Supervisor
- Laborer

**Richard Osborne**

- CDL Driver

**Anthony Bolton**

- Asbestos Abatement Worker
- Laborer

**George Coburn**

- Mechanic

**Tyler Houston**

- Asbestos Abatement Worker
- Laborer

**Jacob Rhea**

- CDL Driver

**Tim Cooper**

- Supervisor
- CDL Driver

**Marvin Roe**

- Laborer

**Nathan Barnes**

- Laborer

**Carl Lewis**

- Laborer

**Chad Houston**

- Operator
- Laborer

**Todd Journey**

- Laborer

**Rick Slack**

- Asbestos Abatement Worker
- CDL Driver
- Laborer

**Luke Waddell**

- Laborer

**Brian Howard**

- Carpenter

**Jason Hurd**

- Carpenter

**Joe Monteith**

- Supervisor
- Operator

**Roy Blevins**

- Laborer

**Jeremy Goshay**

- Laborer

# CERTIFICATE OF INSURANCE

DATE 6/22/2015

| <b>PRODUCER</b><br>Kemi Direct<br>250 W Main Street Ste 900<br>Lexington KY 405071754<br>859-425-7800  |               | THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.   |                        |                         |             |
|--|---------------|---|------------------------|-------------------------|-------------|
| <b>POLICYHOLDER</b><br>Solid Rock Construction Services Llc<br>1350 Galena Pike<br>West Portsmouth, OH 45663   |               | <b>INSURER AFFORDING COVERAGE</b><br>Kentucky Employers Mutual Insurance<br>Lexington Financial Center<br>250 West Main Street, Suite 900<br>Lexington, KY 40507<br>(859) 425-7800 Fax (859) 425-7822   |                        |                         |             |
| <b>COVERAGE</b>  |               |   |                        |                         |             |
| THIS IS TO CERTIFY THAT THE POLICY OF INSURANCE LISTED BELOW HAS BEEN ISSUED TO THE POLICYHOLDER NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENTS, TERMS OR CONDITIONS OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICY LISTED BELOW IS SUBJECT TO ALL OF THE TERMS, EXCLUSIONS AND CONDITIONS OF THE POLICY. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. |               |   |                        |                         |             |
| TYPE OF INSURANCE  | POLICY NUMBER | POLICY EFFECTIVE DATE   | POLICY EXPIRATION DATE | LIMITS                  |             |
| <b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b>   | 365144        | 8/22/2014   | 8/22/2015              | WC STATUTORY LIMITS     |             |
|  |               |   |                        | EL EACH ACCIDENT        | \$1,000,000 |
|  |               |   |                        | EL DISEASE-POLICY LIMIT | \$1,000,000 |
|  |               |   |                        | EL DISEASE-EA EMPLOYEE  | \$1,000,000 |
| <b>CERTIFICATE HOLDER</b>  |               | <b>CANCELLATION</b>   |                        |                         |             |
| Lexington-Fayette Urban County Government<br>200 East Main Street 3rd Floor<br>Lexington, KY 40507<br>859-425-2400   |               | SHOULD THE ABOVE DESCRIBED POLICY BE CANCELLED BEFORE THE EXPIRATION DATE KEMI WILL NOT PROVIDE WRITTEN NOTICE TO THE CERTIFICATE HOLDER. THIS CERTIFICATE SHALL NOT IMPOSE ANY OBLIGATION OR LIABILITY OF ANY KIND UPON KEMI OR ITS REPRESENTATIVES. |                        |                         |             |

**State of Ohio  
Affirmative Action Program**

|   |
|---|
| <p>The purpose of the Affirmative Action sample is to provide an acceptable program which addresses employment and the effective utilization of economically disadvantaged persons. This program is not to impose numerical minimums or standards, however, adopting this program demonstrates a good faith effort to improve the equality of all citizens of the State of Ohio.</p>  |
| <p><b>EEO Recruitment Strategies</b></p>  |
| <p>Strategy: Solid Rock Construction Services will make a good faith effort to recruit a diverse group of employees and provide equal opportunity for minorities, women and disabled persons to become competitive in state contracting opportunities. Solid Rock Construction Services will advertise positions in media outlets that will provide information and access to the underserved populations.</p>  |
| <p><b>EEO Selection Strategies</b></p>  |
| <p>Strategy: Solid Rock Construction Services will utilize procedures, processes and techniques that are fair and do not have an adverse impact on minorities, women or disabled persons. Perspective employees will not be excluded from the hiring process due to race, color, religion, sex (including sexual harassment), national origin, disability, age (40 years old or more), military status, and veteran status.</p>   |
| <p><b>EEO Placement/Orientation</b></p>   |
| <p>Strategy: Solid Rock Construction Services will provide newly hired employees with basic employment information during the first couple weeks on the job. New employee position descriptions, fringe benefits information, policies, procedures, and EEO are a few of the topics, which should be covered. Employees will not be denied fringe benefits and or opportunities for promotion based on race, color, religion, sex, national origin, disability, age (40 years old or more), military status and veteran status.</p> |
| <p><b>EEO Performance Evaluation</b></p>  |
| <p>Strategy: Solid Rock Construction Services will evaluate the performance of their employees on an annual basis. It should provide the necessary supervisory feedback to identify areas to be improved as well as to reinforce those activities that meet or exceed standards. Performance appraisal will be evaluated without regard to race, color, religion, sex, national origin, disability, age (40 years old or more), military status and veteran status.</p>   |
| <p><b>EEO Training Strategies</b></p>   |
| <p>Strategy: Solid Rock Construction Services will attempt to diversify workforce by utilizing training and apprenticeship programs with diverse participants. Training and apprenticeship programs can increase the number of qualified minorities, women, disabled persons and veterans available for job placement.</p>  |
| <p><b>EEO Discipline Strategies</b></p>   |
| <p>Strategy: Solid Rock Construction Services will set clear disciplinary standards and warn of consequences for non-compliance. Discipline will be designed to rehabilitate employees who choose to correct their behavior as well as justify the termination of those who do not. The employer will not mistreat or unfairly discipline an employee based on race, color, religion, sex, national origin, disability, age (40 years old or more), military status and veteran status.</p>   |
| <p><b>EEO Separation Strategies/Exit Interviews</b></p>   |
| <p>Strategy: Solid Rock Construction Services will conduct exit interviews as a problem-solving tool in an attempt to reveal employee turnover. Exit interviews can provide the organization with information about how to correct the causes of discontent and reduce the costly problem of employee turnover.</p>   |
| <p><b>EEO Monitoring Strategies</b></p>   |
| <p>Strategy: Solid Rock Construction Services will ensure Human Resources managers and supervisors understand this plan and hold managers and supervisors accountable for the effective of this plan.</p>   |
| <p><b>Minority Business Enterprise Solicitation Strategies</b></p>  |

Strategy: Solid Rock Construction Services will make a good faith effort to solicit business from certified minority owned businesses (MBE). Solid Rock Construction Services will utilize the State of Ohio, Equal Opportunity Division's webpage to access certified MBEs.  
<http://das.ohio.gov/Eod/MBESearch/index.asp>

**STATE OF OHIO  
AFFIRMATIVE ACTION PROGRAM**

**To: All Employees**

**FROM: Allison Whitaker**

**DATE:6/22/2015 9:41:33 AM**

**SUBJECT: Equal Employment Opportunity and Affirmative Action Policy Statement**

It is the policy of the Solid Rock Construction Services to ensure equal employment opportunity in accordance with the Ohio Revised Code 125.111 and all applicable federal regulations and guidelines. Employment discrimination against employees and applicants due to race, color, religion, sex (including sexual harassment), national origin, disability, age (40 years old or more), military status, or veteran status is illegal.

Solid Rock Construction Services managers and employees will comply with state and federal equal employment laws, rules, regulations and guidelines. This policy statement will be disseminated to all employees, various recruitment sources and will be displayed on all construction job sites and business locations. Any employees that deliberately violate this policy will be subject to disciplinary action.

Persons who believe Solid Rock Construction Services has discriminated against them may file a discrimination complaint with Heather Elliott. The EEO Representative has full authority to manage issues involving employment discrimination.

**Point of contact to file allegations of discrimination:**

Company's EEO Representative: Heather Elliott

Location:1350 Galena Pike West Portsmouth OH 45663

Phone Number: 740-858-3111

E-Mail Address: solidrockconst1@aol.com



**To: All Employees**  
**FROM: Allison Whitaker**  
**DATE: 6/22/2015**  
**SUBJECT: Equal Employment Opportunity Policy Statement**

The Solid Rock Construction Services is committed to providing a non-discriminatory employment environment for its employees.

The policy of the Solid Rock Construction Services is to fully comply with all applicable federal, state and local laws, rules and regulations in the area of non-discrimination in employment. Discrimination against employees and applicants due to race, color, creed, age, national origin, ancestry, sex, disability, veteran status or military status as defined in section 4112.01 of the Revised Code is prohibited. Violations of this policy will be subject to discipline, up to and including termination.

Equal employment opportunity and non-discriminatory commitments include, but are not limited to, the areas of hiring, promotion, demotion or transfer, recruitment, discipline, layoff or termination, rate of compensation and company sponsored training.

All employees are expected to comply with this Equal Employment Opportunity Policy. Managers and Supervisors who are responsible for meeting business objectives are expected to cooperate fully in meeting Solid Rock Construction Services's equal employment opportunity objectives. This policy statement will be disseminated to all employees, various recruitment sources and will be displayed on all construction job sites and business locations.

Persons who believe Solid Rock Construction Services has discriminated against them must immediately report any incident to the company's designated EEO Officer. The company will not tolerate retaliation against any employee who reports acts of discrimination or provides information in connection with any such complaint.

If you have any questions regarding this policy, please contact Heather Elliott, EEO Officer, at (740) 858-3111.

**To: All Employees**  
**FROM: Allison Whitaker**  
**DATE: 6/22/2015**  
**SUBJECT: Sexual Harassment Policy Statement**

The Solid Rock Construction Services is committed to providing a working environment free from discrimination, and to prohibit harassment of employees and applicants, including sexual harassment. Solid Rock Construction Services will implement the policy to fully comply with applicable federal, state and local laws, rules and regulations in the area of non-discrimination and harassment of employment.

**Sexual harassment** is defined as any unwelcome or unwanted sexual advance, request for sexual favors, or other verbal or physical conduct of a sexual nature from someone in the workplace that creates discomfort and/or interferes with the job. Conduct constitutes harassment when:

- Submission to such conduct is made, either explicitly or implicitly, a term or condition of an individual's employment;
- Submission to or rejection of such conduct by an individual is used as the basis for employment decisions and/or retaliation; or
- Such conduct has the purpose or effect of interfering with an individual's work performance or creating an intimidating, hostile or offensive work environment.

Harassment will not be tolerated in the Solid Rock Construction Services's workplace. Such conduct is subject to discipline, up to and including termination. Any employee who believes he or she is a victim of sexual harassment must immediately report any incident to the company's designated EEO Officer. The company will not tolerate retaliation against any employee who complains of sexual harassment or provides information in connection with any such complaint.

**Point of Contact:**

If you have any questions regarding this policy, please contact Heather Elliott, EEO officer at (740) 858-3111.

**ALLISON R. WHITAKER**

40 South Nile Lane, Stout, OH 45684

740-858-0700

Solidrockconst1@aol.com

**QUALIFICATIONS**

**PRESIDENT**

Nine years' experience with being women owned demolition and asbestos contractor, Ohio, West Virginia & Kentucky Asbestos Abatement Supervisor, payroll, accounts payable & receivable. Member of WBE, EDGE Certification, HubZone, BWC & BWC Drug Free Workplace Program, Better Business Bureau, Portsmouth Area Chamber of Commerce, Southern Ohio Safety Council Safety performance Award, Received Gold Recognition in the LEED Program.

**EDUCATION, PROFESSIONAL REGISTRATION**

**Administration Medical Assistant**

**Certificate of Completion** — *Scioto County Joint Vocational School*

**General**

**Diploma** — *West High School*

**PROFESSIONAL EXPERIENCE**

**President**

*Solid Rock Construction Development, LLC., West Portsmouth, OH*

2013-Present

- Contractor
- Manage financial and accounting functions

**President**

*Solid Rock Construction Solutions, LLC., West Portsmouth, OH*

2010-Present

- Demolition Contractor
- Manage financial and accounting functions

**President**

*Solid Rock Construction Services, LLC., West Portsmouth, OH*

2006-Present

- Demolition Contractor
- Asbestos Contractor
- OH, KY & WV Asbestos Abatement Contractor
- Manage financial and accounting functions

**Office Manager**

*Shawnee Forestry Products & Land Development, West Portsmouth, OH*

2004-2006

- Manage financial and accounting functions
- Customer Service
- Prepare Bid Documents

# Bill Whitaker

40 S. Nile Lane • Stout, OH 45684 • (740)858-0700 / (740) 352-3111 • solidrockconst1@aol.com

## CONSTRUCTION MANAGER / ON-SITE PROJECT MANAGER

I have been self employed since 1987 and a construction manager with over a 20-year record of success overseeing all phases of construction, infrastructure, superfund and environmental projects for government and private-sector clients. Experience includes managing crews of up to 100 in bridge improvements, asbestos abatements, and a variety of other construction/demolition projects. Backed by strong credentials and a proven history of on-time, on-budget and high-quality project completions without any lost time accidents.

### Key Skills

- Construction/Demolition Projects
- Asbestos/Lead Test./Abate. Projects
- Infrastructure Improvement Projects
- Environmental Remediation Projects
- QA/QC/Field Engineering
- Change Order Management
- Budgeting & Cost Controls
- Bidding/Estimating/Proposals
- Subcontractor/Crew Supervision
- Heavy Equipment Operator
- Class A CDL Holder
- Site Safety/OSHA Compliance

### Employer Summary

**SOLID ROCK CONSTRUCTION (West Portsmouth, OH) — Construction Project Manager, 7/2006 to Present**

Established asbestos/lead abatement operations at Solid Rock Construction. I have worked to build a solid reputation in the construction industry specializing in or as senior estimator, bidding procedures, demolition, asbestos abatement, lead abatement, excavation & site work. On a daily basis, I am responsible for overseeing and managing all workforce & manpower operations for Solid Rock Construction. I continue to strive to add to the services offered by Solid Rock Construction.

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**Ohio Department of Transportation (2014 to 2015) • Budgets: \$644,000.00**

Completed diverse tree clearing and grubbing on residential properties and erosion control for the 823 Bypass project.

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**Ohio Department of Transportation (20014 to 2015) • Budgets: \$141,900.00**

Completed diverse demolitions and asbestos abatements of commercial and residential properties and erosion control for the 823 Bypass project.

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**Flour-B&W Portsmouth, LLC (2013 to 2014) • Budgets: \$1,120,000.00**

Prepared a proposal for asbestos abatement for transformers for the DOE. Managed and overseen asbestos abatement crews.

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**Eastern Kentucky University (2012 to 2013) • Budgets: \$537,000.00**

Served as general contractor for the demolition and building debris separation of multiple buildings. Installed erosion control materials, site utilities, new walks and curbs as well as site lighting, and blacktop parking and drives.

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**D & D Portsmouth Environmental Remediation (2011 to 2012) • Budgets: \$198,000 +**

Prepared a proposal for asbestos abatement for the X333 & X-720 buildings for the DOE. Managed and overseen asbestos abatement crews.

Currently preparing a proposal for the asbestos abatement of the X-100 building for the DOE.

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**Bracken County Judicial Center Phase I & II (2010 to 2013) • Budgets: \$655,000.00**

Served as general contractor for the removal of asbestos abatement, demolition and mass excavation. Installed erosion control materials, site utilities and building debris separation and removal.

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**Bluegrass Career Technical College (2010 to 2011) • Budgets: \$538,250.00**

Served as general contractor for the removal of asbestos abatement and demolition of the building. Overseen the daily operations of uncovering the deceased caskets to be moved to a new location. Recycled all materials of said building to appropriate facilities.

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**Bluegrass Station (2010 to 2011) • Budgets: \$240,000.00**

Served as general contractor and constructed a new vehicle tactical road for the Department of Military Affairs.

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**Ironton Middle School (2010 to 2011) • Budgets: \$737,000.00**

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Served as general contractor for the removal of asbestos abatement and the demolition of Ironton Middle School. Installed erosion control materials, site utilities, new walks and curbs as well as site lighting, landscaping and blacktop parking and drives.

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**Ohio Department of Transportation (2007 to 2010) • Budgets: \$219,000.00**

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Completed diverse demolitions and asbestos abatements of commercial and residential properties and erosion control for the 823 Bypass project.

## **Education & Certifications**

NORTHWEST HIGH SCHOOL (McDermott, OH) — **Diploma**, 1985  
Asbestos Management Planner – OH License, 2006 to Present  
Asbestos Building Inspector – OH License, 2006 to Present  
Asbestos Abatement Project Supervisor – OH License, 2006 to Present  
Asbestos Management Planner & Inspector – KY License, 2008 to Present  
Asbestos Abatement Project Supervisor – KY License, 2008 to Present  
Lead Abatement Contractor – OH License, 2011 to Present  
Asbestos Management Planner & Inspector – WV License, 2011 to Present  
Asbestos Abatement Project Supervisor – WV License, 2011 to Present  
OSHA 30, CPR & First Aide Certified

**Joe Montieth**  
**9558 C St Rt 125**  
**West Portsmouth, OH**  
**(740)858-7111**

**Current Job Title:** Project Superintendent

**Construction Operations  
Education/Training:**

Portsmouth West High School  
15332 US Hwy 52  
West Portsmouth, Ohio 45663  
Graduated 1981

OSHA 30-Hr Occupational Safety & Health Training  
Course in Construction Safety & Health  
Completed: 1/16/2009  
Completed: 5/26/1994

OSHA 10-Hr Occupational Safety & Health Training  
Course in Construction Safety & Health  
Completed: 12/04/2004

Kocosing Construction, INC  
Forklift Operator Training  
Completed: 6/3/2009

International Union of Operating Engineers Local 18  
INIT Date: 4/01/20016  
Local: 18  
REG NUM: 2583249  
PD THRU: 9/30/2011

**Employment History:**

|                |   |
|----------------|---|
| 1980 - 1933    | Boone Coleman Construction<br>Labor, Operator     |
| 1996 - 1998    | Complete General<br>Labor                         |
| 2005 - 2011    | Kocosing Construction, Inc.<br>Labor Forman       |
| 2010 - Present | Solid Rock Construction<br>Project Superintendent |

**Work Experience:** Sequencing Event to meet milestones, Site Layouts,  
Project Reports, Equipment Operator, Reading Blueprint & Specifications,  
Equipment Inspections, OSHA 500, Frist Aid, Road Construction,  
Working with project designer to create solutions to scene site conditions

Date: 2010  
Project: Bluegrass Station Tactical Road  
Job Location: Lexington, KY

Date: 2013  
Project: St Clairsville Early Site Package  
Job Location: St Clairsville, OH

Date: 2012  
Project: Eastern Kentucky University New Student Housing  
Job Location: Richmond, KY

# Heather L. Elliott

657 A Hansgen-Morgan Rd ■ Wheelersburg, OH 45694 ■ 740-370-2608 ■ solidrock1he@gmail.com

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## Career Goal: Construction/Management

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Multi-lingual professional offering more than 2 years of customer service experience, 2 years as a secretary, more than 6 years at completing payroll and taxes, more than 9 years in management and more than 5 years at bidding and project management. I have worked with a wide range of professionals on different projects. I also strive as a person and as an employee to doing my best.

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## Key Skills

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- |              |                          |                               |
|--------------|--------------------------|-------------------------------|
| ■ Bidding    | ■ Project Management     | ■ Accounts Payable/Receivable |
| ■ Estimating | ■ Material Ordering      | ■ Payroll                     |
| ■ Proposals  | ■ Account Reconciliation | ■ Taxes                       |
- 

## Experience

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**SOLID ROCK CONSTRUCTION SERVICES, LLC – West Portsmouth, OH (Commercial/Residential demolition, asbestos abatement and site development firm)**

**Project/Office Management, 1/09 to Present**

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I have worked to build a professional reputation as a woman in the construction industry as an estimator and bidding and managing projects. On a daily basis, I am responsible for overseeing and managing numerous projects as well as payroll and taxes.

**INSURANCE PLUS AGENCIES – South Webster, OH (Insurance agency)**

**Secretary (part-time), 2007 to 2008**

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Performed various clerical and administrative duties, providing public information on insurance quotes, typing, filing, faxing and answering phones.

**APX CONSULTING GROUP – Wheelersburg, OH (Surveying firm)**

**Secretary/Drafter, 2005 to 2007**

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Drafted out surveys and acted as secretary and performed payroll and billing duties.

**SKILLED NURSING – Portsmouth, OH (Home health services)**

**Home Health Aide, 2004 to 2005**

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On a daily bases I would care for the elderly and deaf.

**FAMILY DOLLAR – Wheelersburg, OH (Store)**

**Asst. Manager, 2003 to 2004**

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Managed daily operations of a store, including scheduling personnel, reconciling credit, check and cash receipts, verifying deliveries, stocking new merchandise and replenishing shelf stock.

**GIOVANNI'S – Minford, OH (Restaurant)**

**Asst. Night Manager/Cook, 1998 to 2001**

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Trained new employees, customer service and cooking duties.

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### **Education**

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SHAWNEE STATE UNIVERSITY – PORTSMOUTH, OH ■ Associate Degree in Applied Sciences, CADD, 5/02

MINFORD HIGH SCHOOL – MINFORD, OH ■ H.S. Diploma, College Prep, 5/99

SCIOTO COUNTY JVS – LUCASVILLE, OH ■ Certificate of Completion, Sales & Marketing, 5/99

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### **Volunteer**

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DREAMS OF TOMORROW 4H CLUB – SCIOTO COUNTY, OH ■ 4H Advisor 2001 to Present

GIRL SCOUTS OF AMERICA – MINFORD, OH ■ Girl Scouts Leader 2008 to Present

MINFORD LITTLE LEAGUE – MINFORD, OH ■ Coach 2013 to Present



# Melanie Kay Donley

3216 Richard Rd ■ Portsmouth, OH 45662 ■ 740-464-5624 ■ solidrock1md@gmail.com

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## Professional Summary

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Coordinating and implementation of office procedures and frequently have responsibilities for specific projects. Operational Knowledge and ability to respond to stressful situations and vendors in professional, courteous manner. Demonstrated ability to remain self motivated at all times.

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## Summary of Skills

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- Proven written and oral communication skills.
- Ability to organize personal work priorities
- Knowledge of filing and updating records
- Solid Know how of general office procedures
- Ability to work independently and as a team player
- Excellent customer service orientation

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## Experience

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Solid Rock Construction – West Portsmouth, OH  
Construction Administration, 2012 to Present

As a construction administrative I have learned and experienced a number of capacities within an office environment.

- Scheduling and maintaining certificates for employees
- Bidding and Bidding preparations
- Manipulating statistical data
- Getting qualified permits in a timely manor
- Payroll
- Employee records
- Tracking and keeping records of mileage
- Accounts payable/receivable
- Solid knowhow of general office procedures
- Fielding telephone calls
- Processed invoices

JAK Productions –Portsmouth, OH  
Assistant Administrator, Nov. 2011- March 2012

Maintained excellent communication with customers and management to ensure all parties were updated on new hires and ergonomics training for staff.

- Organizing and filing fillies
- Telecommunication skills
- Fielding telephone calls
- Receiving and directing visitors
- Creating spreadsheets
- Word processing

**Wheelersburg Medical Associates –Wheelersburg, OH**  
**Nursing Assistant/Receptionist, April 2007- July/2010**

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Hand on with patients of all ages. Processed medical insurance and preauthorized prescriptions and prepared reports and assisted physician with various presentations and articles.

- Bookkeeping
- Billings
- Prepared vitals
- Scheduling patients
- Fielding telephone calls
- Managing confidential data

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**Education**

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- Scioto County Joint Vocational Schools/Certificate Nursing Assistant
- G.E.D
- Minford High School

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**References**

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- Supplied upon request

Jason Hurd  
11305 US Hwy 52  
Stout, OH  
(740)357-2793

**Current Job Title:** Project Superintendent

**Construction Operations  
Education/Training:**

Portsmouth West High School  
15332 US Hwy 52  
West Portsmouth, Ohio 45663  
Graduated 1996

Shawnee State University  
Portsmouth, OH  
Plastics Engineering  
1996-1998

OSHA 30-Hr Occupational Safety & Health Training  
Course in Construction Safety & Health  
Completed: 3/2006

Fire Protection License  
Completed: 2014

Carpenters Local 437  
Local: 437  
Date: 2010

Laborer's Local 83  
Local: 83  
Date: 2000

**Employment History:**

|                |   |
|----------------|---|
| 2000 - 2009    | Boone Coleman Construction<br>Labor                     |
| 2009 - 2014    | Safway Scaffolding<br>Superintendent, Carpenter, Safety |
| 2014 - Present | Solid Rock Construction<br>Project Superintendent       |

**Work Experience:** Sequencing Event to meet milestones, Site Layouts,  
Project Reports, Equipment Operator, Reading Blueprint & Specifications,  
Equipment Inspections, Working with project designer to create  
solutions to scene site conditions

Date: 2014  
Project: Chillicothe Group Homes  
Job Location: Chillicothe, OH

Date: 2014  
Project: Milton CVS #  
Job Location: Milton, WV

Date: 2015  
Project: Green Street Storm Sewer Improvement  
Job Location: Wheelersburg, OH

Date: 2015  
Project: Huntington CVS #4419  
Job Location: Huntington, WV

**ACORD CERTIFICATE OF LIABILITY INSURANCE**

DATE (MM/DD/YY)  
6-22-15

**PRODUCER**  
MARKET FINDERS INSURANCE CORPORATION  
9117 LEESGATE ROAD  
LOUISVILLE, KY 40222

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

**INSURED**  
SOLID ROCK CONSTRUCTION SERVICES, LLC  
C/O ALLISON AND BILL WHITAKER  
1350 GALENA PIKE  
WEST PORTSMOUTH, OH 45663

| INSURERS AFFORDING COVERAGE |                                     | NAIC # |
|-----------------------------|-------------------------------------|--------|
| INSURER A                   | UNITED SPECIALTY INSURANCE COMPANY  |        |
| INSURER B                   | WESTCHESTER SURPLUS LINES INSURANCE |        |
| INSURER C                   |                                     |        |
| INSURER D                   |                                     |        |
| INSURER E                   |                                     |        |

**COVERAGES**

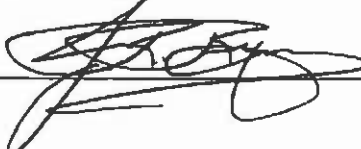
THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM, OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

| INSR LTR | ADD'L INSRD | TYPES OF INSURANCE   | POLICY NUMBER |          |          | LIMITS  |             |
|----------|-------------|--|---------------|----------|----------|---|-------------|
| A        |             | GENERAL LIABILITY<br><input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY<br><input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR<br><input checked="" type="checkbox"/> STOP GAP-INCLUDED<br>GEN'L AGGREGATE LIMIT APPLIES PER<br><input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC | USA4065579    | 11/23/14 | 11/23/15 | EACH OCCURRENCE                               | \$5,000,000 |
|          |             |  |               |          |          | DAMAGE TO RENTED PREMISES (Ea occurrence)     | \$100,000   |
|          |             |  |               |          |          | MED EXP (ANY ONE PERSON)                      | \$5,000     |
|          |             |  |               |          |          | PERSONAL & ADV INJURY                         | \$5,000,000 |
|          |             |  |               |          |          | GENERAL AGGREGATE                             | \$5,000,000 |
|          |             |  |               |          |          | PRODUCTS-COMP/OPO AGG                         | \$5,000,000 |
|          |             | AUTOMOBILE LIABILITY   |               |          |          | COMBINED SINGLE LIMIT (Ea accident)           | \$          |
|          |             | ANY AUTO   |               |          |          | BODILY INJURY (PER PERSON)                    | \$          |
|          |             | ALL OWNED AUTOS  |               |          |          | BODILY INJURY (PER ACCIDENT)                  | \$          |
|          |             | SCHEDULED AUTOS  |               |          |          | PROPERTY DAMAGE (PER ACCIDENT)                | \$          |
|          |             | HIRED AUTOS  |               |          |          |   |             |
|          |             | NON-OWNED AUTOS  |               |          |          |   |             |
|          |             | GARAGE LIABILITY   |               |          |          | AUTO ONLY - EA ACCIDENT                       | \$          |
|          |             | ANY AUTO   |               |          |          | OTHER THAN EA ACC                             | \$          |
|          |             |  |               |          |          | AUTO ONLY AGG                                 | \$          |
|          |             | EXCESS/UMBRELLA LIABILITY  |               |          |          | EACH OCCURRENCE                               | \$          |
|          |             | <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE  |               |          |          | AGGREGATE                                     | \$          |
|          |             | <input type="checkbox"/> DEDUCTIBLE  |               |          |          |   | \$          |
|          |             | <input type="checkbox"/> RETENTION   |               |          |          |   | \$          |
|          |             | WORKERS COMPENSATION AND EMPLOYERS' LIABILITY  |               |          |          | WC STATUTORY LIMITS                           | \$          |
|          |             | ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED?  |               |          |          | OTHER   | \$          |
|          |             | If yes, describe under SPECIAL PROVISIONS below  |               |          |          | E L EACH ACCIDENT                             | \$          |
|          |             |  |               |          |          | E L DISEASE - EA EMPLOYEE                     | \$          |
|          |             |  |               |          |          | E L DISEASE - POLICY LIMIT                    | \$          |
| B        |             | OTHER POLLUTION LIABILITY  | G27149571 002 | 02/26/15 | 02/26/16 | EACH POLLUTION CONDITION                      | \$1,000,000 |
|          |             |  |               |          |          | GENERAL AGGREGATE                             | \$1,000,000 |
|          |             |  |               |          |          | DEDUCTIBLE:                                   | \$5,000     |
|          |             |  |               |          |          | STOP GAP EMPLOYERS LIABILITY EACH / AGGREGATE | \$500,000   |

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/EXCLUSIONS ADDED BY ENDORSEMENT/SPECIAL PROVISIONS  
DEMOLITION CONTRACTOR

**CERTIFICATE HOLDER**  
LFUCG DIVISION OF PURCHASING  
200 EAST MAIN STREET  
LEXINGTON KY 40507

**CANCELLATION**  
SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE  


## **IMPORTANT**

If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

## **DISCLAIMER**

The Certificate of Insurance on the reverse side of this form does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend or alter the coverage afforded by the policies listed hereon.

## Display Solicitation Record

**Your solicitation has been entered in SUB-Net database. Thank you very much!**

Prime's Name: **Solid Rock Construction Services, LLC**

Contact: **Heather Elliott**

Address 1: **1350 Galena Pike**

Address 2:

City: **WEST PORTSMOUTH** State: **OH**

Zip: **45663** Zip+4:

Phone: **740-858-3111**

Fax: **740-858-1972**

Email: **solidrockconst1@aol.com**

Seeking: • **US Department Of Transportation  
Disadvantaged Business Enterprise  
(DBE)**  
• **Small Disadvantaged Business (SDB)**  
• **Women Owned Small Business  
(WOSB)**  
• **Hubzone Small Business (HUBZSB)**  
• **Veteran Owned Small Business  
(VOSB)**  
• **Historically Black Colleges and  
Universities and Minority Institutions  
(HBCU & MI)**  
• **Other Small Business**  
• **Service-Disabled Veteran-Owned  
Small Business(SD-VOSB)**

Description: **Installation of rcp for the Cardinal Lane  
Storm Water Improvements**

NAICS Code: **237110**

Place of performance: **KY - Kentucky**

Prime Sol. Number: **88-2015**

Subcontract Sol. Number: **SRC 88-2015**

Bid Closing Date: **6/23/2015**

Time: **10:00 AM EDT**

[Back](#)

**List of Opportunities for Solid Rock Construction Services, LLC**

|                    |                      | Active Opportunities |
|--------------------|----------------------|----------------------|
|                    |                      | [Delete] [Edit]      |
| Solicitation ID:   | SRC 88-2015 -        |                      |
| Open Date:         | June 22, 2015        |                      |
| Close Date:        | June 29, 2015        |                      |
| Contract Value:    | \$0.00               |                      |
| Contract Duration: | 1 - 3 Months         |                      |
| Description:       | Installation of RCP. |                      |
| Matches:           | 0                    |                      |

|                                |   |
|--------------------------------|---|
| <b>Active Opportunity:</b>     | All required information has been submitted and opportunity was set to active status.                     |
| <b>Inactive Opportunity:</b>   | All required information has been submitted and opportunity has been set to inactive status.              |
| <b>Incomplete Opportunity:</b> | Some required information is missing and must be completed in order for the opportunity to become active. |
| <b>Expired Opportunity:</b>    | The Close Date of the opportunity has passed.   |