

PART III

Invitation to Bid No. 21-2016

Haley Pike Landfill Forcemain

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: February 29, 2016

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by L & L Excavation and Site Prep, Inc
309 Parkway Drive, P.O. Box 614, Sellersville, KY 41465
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as L & L Excavation and Site Prep, Inc.
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **Haley Pike Landfill Forcemain** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the

Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of \$500.00 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 2-16-16

Addendum No. 2 Date 2-19-16

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. LEGAL STATUS OF BIDDER

Bidder L E L Excavation and Site Prep Inc.

Date 2-29-16

* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Keith Jenkins, bearing the official title of owner, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

~~* X~~ A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

~~* X~~ An individual, whose signature is affixed to this Bid/Proposal (please print name)

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. BIDDERS AFFIDAVIT

Comes the Affiant, Keith Jenkins, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Keith Jenkins and he/she is the individual submitting the bid or is the authorized representative of LEL Excavating and Site Prep, Inc., the entity submitting the bid (hereinafter referred to as "Bidder").
 2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
 3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
 4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
 5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
 6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
 7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.
- Further, Affiant sayeth naught.

Keith Jenkins / Owner
(Affiant)

STATE OF Kentucky

COUNTY OF Magoffin

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Keith Jenkins on this the 29th day of February, 2016.

My Commission expires: 4-20-19

Douglas Wireman
NOTARY PUBLIC, STATE AT LARGE

4. **BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Division uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

ITEM NO.	ITEM	QUANTITY	UNIT	UNIT PRICE	TOTAL
Division "A" 6-Inch Force Main					
1	6-Inch Class 250 (SDR 17) HDPE Pipe, Furnishing, Trenching, Bedding, Laying and Backfilling, Tracer Wire, Unclassified Excavation, Complete	3,000	L.F.	\$ _____	\$ _____
2	6-Inch Class 250 (SDR 17) PVC Pipe, Furnishing, Trenching, Bedding, Laying and Backfilling, Tracer Wire, Unclassified Excavation, Complete	164	L.F.	\$ _____	\$ _____
3	6-Inch Mechanical Joint Resilient Seated Gate Valve and Box, Including Concrete Collar, Complete	5	Each	\$ _____	\$ _____
4	Automatic Air Release Valve Assembly, Furnish and Install, Complete per Detail	3	Each	\$ _____	\$ _____
5	Fiberglass Line Marker, Furnish and Install, Complete	10	Each	\$ _____	\$ _____
6	Cut and Tie Into Existing 6-Inch Force Main, Including Fittings, PVC to PE Transition, Complete	1	Each	\$ _____	\$ _____
7	Connect to Existing HDPE Irrigation Piping	1	Each	\$ _____	\$ _____
8	Remove and Reinstall New CMP Drainage Pipe Including Bedding and Backfill, Concrete Encase Force Main per Plans, Complete	35	L.F.	\$ _____	\$ _____
9	Extra for Crushed Rock for Trench Stabilization, Only on Order of the Engineer	20	Ton	\$ _____	\$ _____
Subtotal Division "A"					\$ _____
Division "B" Railroad Bore and Jack					
1	6-Inch Class 250 (SDR 17) PVC Pipe, Furnishing, Trenching, Bedding, Laying and Backfilling, Tracer Wire, Unclassified Excavation, Complete	100	L.F.	\$ _____	\$ _____
2	Boring and Jacking for 12" x 0.25 Steel Cover Pipe, Unclassified Excavation	100	L.F.	\$ _____	\$ _____
3	12-Inch x 0.250 Inch Steel Cover Pipe, Including Casing Spacers and End Seals, Furnish and Install, Complete (by open cut or boring)	100	Each	\$ _____	\$ _____
Subtotal Division "B"					\$ _____
TOTAL LFUCG CONTRACT 21-2016					\$ _____

Note: For each additional day over five days that CSX/RJ Corman personnel are on site, in connection with the Contractor's work on this project, a liquidated damage amount of \$4,000.00 per day will be assessed against the Contractor.

HALEY PIKE LANDFILL FORCE MAIN
 LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT
 DIVISION OF SOLID WASTE
 LEXINGTON, KENTUCKY

ITEM NO.	ITEM	QUANTITY	UNIT	UNIT PRICE	TOTAL
Division "A" 6-Inch Force Main					
1	6-Inch Class 250 HDPE Pipe (DR9), Furnishing, Trenching, Bedding, Laying and Backfilling, Tracer Wire, Unclassified Excavation, Complete	3,060	L.F.	\$ <u>18⁰⁰</u>	\$ <u>55,080⁰⁰</u>
2	6-Inch Mechanical Joint Resilient Seated Gate Valve and Box, Including Concrete Collar, Complete	5	Each	\$ <u>1,300⁰⁰</u>	\$ <u>6,500⁰⁰</u>
3	Automatic Air Release Valve Assembly, Furnish and Install, Complete per Detail	3	Each	\$ <u>1,500⁰⁰</u>	\$ <u>4,500⁰⁰</u>
4	Fiberglass Line Marker, Furnish and Install, Complete	10	Each	\$ <u>30⁰⁰</u>	\$ <u>300⁰⁰</u>
5	Cut and Tie Into Existing 6-Inch Force Main, Including Fittings, PVC to PE Transition, Complete	1	Each	\$ <u>750⁰⁰</u>	\$ <u>750⁰⁰</u>
6	Connect to Existing HDPE Irrigation Piping, Complete	1	Each	\$ <u>500⁰⁰</u>	\$ <u>500⁰⁰</u>
7	Remove and Reinstall New CMP Drainage Pipe Including Bedding and Backfill, Concrete Encase Force Main per Plans, Complete	35	L.F.	\$ <u>62.86</u>	\$ <u>2,200¹⁰</u>
8	Extra for Crushed Rock for Trench Stabilization, Only on Order of the Engineer	20	Ton	\$ <u>27⁰⁰</u>	\$ <u>540⁰⁰</u>
Subtotal Division "A"					\$ <u>70,370¹⁰</u>
Division "B" Railroad Bore and Jack					
1	6-Inch Class 250 HDPE Pipe (DR9), Furnishing, Trenching, Bedding, Laying and Backfilling, Tracer Wire, Unclassified Excavation, Complete	100	L.F.	\$ <u>18⁰⁰</u>	\$ <u>1,800⁰⁰</u>
2	Boring or Jacking for 12" x 0.250 Steel Cover Pipe, Unclassified Excavation	100	L.F.	\$ <u>150⁰⁰</u>	\$ <u>15,000⁰⁰</u>
3	12-Inch x 0.250 Inch Steel Cover Pipe, Including Casing Spacers and End Seals, Furnish and Install, Complete (by boring)	100	L.F.	\$ <u>30⁰⁰</u>	\$ <u>3,000⁰⁰</u>
Subtotal Division "B"					\$ <u>19,800⁰⁰</u>
TOTAL LFUCG CONTRACT 21-2016					\$ <u>90,170¹⁰</u>

Note: For each additional day over five days that CSX/RJ Corman personnel are on site, in connection with the Contractor's work on this project, a liquidated damage amount of \$4,000.00 per day will be assessed against the Contractor.

TOTAL OF ALL BID PRICES FOR Haley Pike Landfill Force Main Project (Item 1) in words and figures.
In case of discrepancy, the amount shown in words will govern.

Ninety thousand one hundred seventy dollars
and zero cents (\$ 90,170⁰⁰).

Submitted by:

L&L Excavation and Site Prep, Inc.
Firm

309 Parkway Drive, P.O. Box 614, Salpersville, KY 41465
Address

Salpersville, KY 41465
City, State & Zip

Bid must be signed:
(original signature)

Keith Jenkins / owner
Signature of Authorized Company Representative - Title

Keith Jenkins
Representative's Name (Typed or Printed)

(606)-349-1933
Area Code - Phone - Extension

(606)-349-1935
Fax #

doug.landlexcavating@gmail.com
E-Mail Address

OFFICIAL ADDRESS:

309 Parkway Drive

P.O. Box 614

Salpersville, KY 41465

(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: LEL Excavation and Site Prep, Inc.
- 2. Permanent Place of Business: Salyersville, KY 41465
- 3. When Organized: Oct 2013
- 4. Where Incorporated: Kentucky

5. Construction Plant and Equipment Available for this Project:
PL 200, 250 John Deere Excavator, skidsteers
Boring Machine, Mini-Excavators, dump
trucks etc.

(Attach Separate Sheet If Necessary)

6. Financial Condition:
If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:
The Cincinnati Insurance Company (Surety)

Signed: _____ (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
East Laurel Water District	London, KY	\$1,132,072.15
Contract #18	City of Taylorville	\$917,630.00
Anderson Co. H.S. Pump Station	Leavenworth, KY	\$77,722.50

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
East Laurel Water District	London, KY	\$1,132,072.15
Contract #18	City of Taylorville	\$917,630.00
Anderson Co. H.S. Pump Station	Leavenworth, KY	\$77,722.50
Perryland West Sewer	Hazard, KY	\$249,735.50
Frozen Creek Waterline	Breathitt Co.	\$516,945.00

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
Brad Lyon	Owner	2 1/2
Kenny Levely	Owner	2 1/2
Keith Jenkins	Owner	1 1/2
Dave Peters	Operations Manager	1 1/2
Douglas Wireman	Project Manager	6 months

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, Boring and jacking under railroad, concrete, seeding and protection, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u> <u>Yes/No</u>	% of Work
1. _____	Name: _____	_____	_____
	Address: _____		
2. _____	Name: _____	_____	_____
	Address: _____		
3. _____	Name: _____	_____	_____
	Address: _____		
4. _____	Name: _____	_____	_____
	Address: _____		
5. _____	Name: _____	_____	_____
	Address: _____		
6. _____	Name: _____	_____	_____
	Address: _____		
7. _____	Name: _____	_____	_____
	Address: _____		

(Attach additional sheet(s) if necessary.)

7. LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE) and Disadvantaged (DBE) Business Enterprises as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned or Woman-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned or Woman-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
 - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
 - b. Included documentation of advertising in the above publications with the bidders good faith efforts package

- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- f. Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- j. Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a

MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

"A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises..."

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs in Economic Engine (<https://lfucg.economicengine.com>)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	tyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Sonya Brown	sbrown@tsmsdc.com	502-625-0137
Small Business Development Council	Dee Dee Harbut UK SBDC	dharbut@uky.edu	
	Shiree Mack	smack@uky.edu	
Community Ventures Corporation	James Coles	jcoles@cvcky.org	859-231-0054
KY Department of Transportation	Melvin Bynes	Melvin.bynes@ky.gov	502-564-3601
	Shella Eagle	Shella.Eagle@ky.gov	502-564-3601
Ohio River Valley Women’s Business Council (WBENC)	Rea Waldon	rwaldon@gcul.org	513-487-6534
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner’s Council (NWBOC)	Janet Harris-Lange	janet@nwbo.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozdeky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	paatricem@keynewsjournal.com	859-373-9428



LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # _____

The MWDBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.			
2.			
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title



LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # _____

The substituted MWDBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title



MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # _____

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

Company Name	Contact Person
Address/Phone/Email	Bid Package / Bid Date

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Company

Company Representative

Date

Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # _____
Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # _____

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE business enterprises on the project and can supply the appropriate documentation.

- _____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
- _____ Included documentation of advertising in the above publications with the bidders good faith efforts package
- _____ Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- _____ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- _____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- _____ Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- _____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- _____ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- _____ Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- _____ Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- _____ Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce

- _____ Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

- _____ Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

- _____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.

- _____ Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

- _____ Made efforts to expand the search for MWDBE firms beyond the usual geographic boundaries.

- _____ Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Company

Company Representative

Date

Title

8. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

The Law

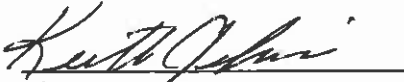
- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:
The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

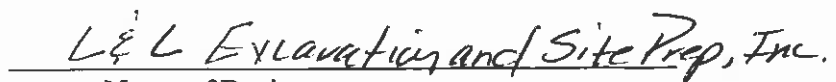
The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.


Signature


Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of LEL Excavation and Site Prep, Inc.
to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: _____ Date: _____ / _____ / _____

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators									
Professionals									
Superintendents									
Supervisors									
Foremen									
Technicians									
Protective Service									
Para-Professionals									
Office/Clerical									
Skilled Craft									
Service/Maintenance									
Total:									

Prepared By: _____

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: _____ Employee ID: _____

Address: _____ Phone: _____

Project to be insured: _____

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-4, Section 2, Part 4.1 – see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$			
SC-4, Section 2, Part 4.1 – see provisions	AUTO	\$2,000,000/per occ.	\$			
SC-4, Section 2, Part 4.1 – see provisions	WC	Statutory w /endorsement as noted				
SC-4, Section 2, Part 4.1 – see provisions	RPL	\$2,000,000 per occ. And \$6,000,000 aggregate	\$			

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting. For the Railroad Protective Liability Policy, (RPL), see section "f" under insurance requirements.

Agency or Brokerage _____ Name of Authorized Representative _____

Street Address _____ Title _____

City _____ State _____ Zip _____ Authorized Signature _____

Telephone Number _____ Date _____

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: Haley Pike Landfill Force Main
BID NUMBER: 21-2016

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of L&L Excavation and Site Prep, Inc. has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

L&L Excavation and Site Prep, Inc.
Name of Firm Submitting Bid

Keith Lewis
Signature of Authorized Official

Owner
Title

2-29-16
Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: LEC Excavation and Site Prep, Inc

Project: Haley Pike Landfill Force Main

Printed Name and Title of Authorized Representative:

Keith Jenkins / owner

Signature:

Keith Jenkins

Date:

2-29-16

END OF SECTION

SECTION 00910
ADDENDUM NO. 1
BID NO. 21-2016
HALEY PIKE LANDFILL FORCE MAIN
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KENTUCKY

February 15, 2016

The attention of all Contractors submitting bids for the titled contract is called to the following changes to the Drawings and/or Specifications:

A. SPECIFICATIONS

1. Part III—Form of Proposal
 - a. Delete in its entirety and replace with the attached.

B. PLANS

1. Plan Sheet 3 of 4
 - a. Delete the following notes:
 - (1) On Plan
"Transition to Class 250 PVC at gate valve and continue through bore and jack."
"164 L.F. of 6-inch Class 250 PVC force main."
"Transition to Class 250 PE at gate valve and continue to end of line."
 - (2) On Profile
"Install gate valve, transition to Class 250 PVC pipe."
"Install gate valve, transition to Class 250 PE pipe."
 - b. Add the following notes:
 - (1) General Note
"90° bends are not allowed, a change in alignment of 90° requires two 45° bends."
 - (2) Include the following CSX Permit Drawings as a part of the Contract Documents. The permit drawing will take precedence for

Bid No. 21-2016 (2/16)

work done on the CSX right-of-way, and shall be the basis of the bid for Division B.

2. Plan Sheet 4 of 4

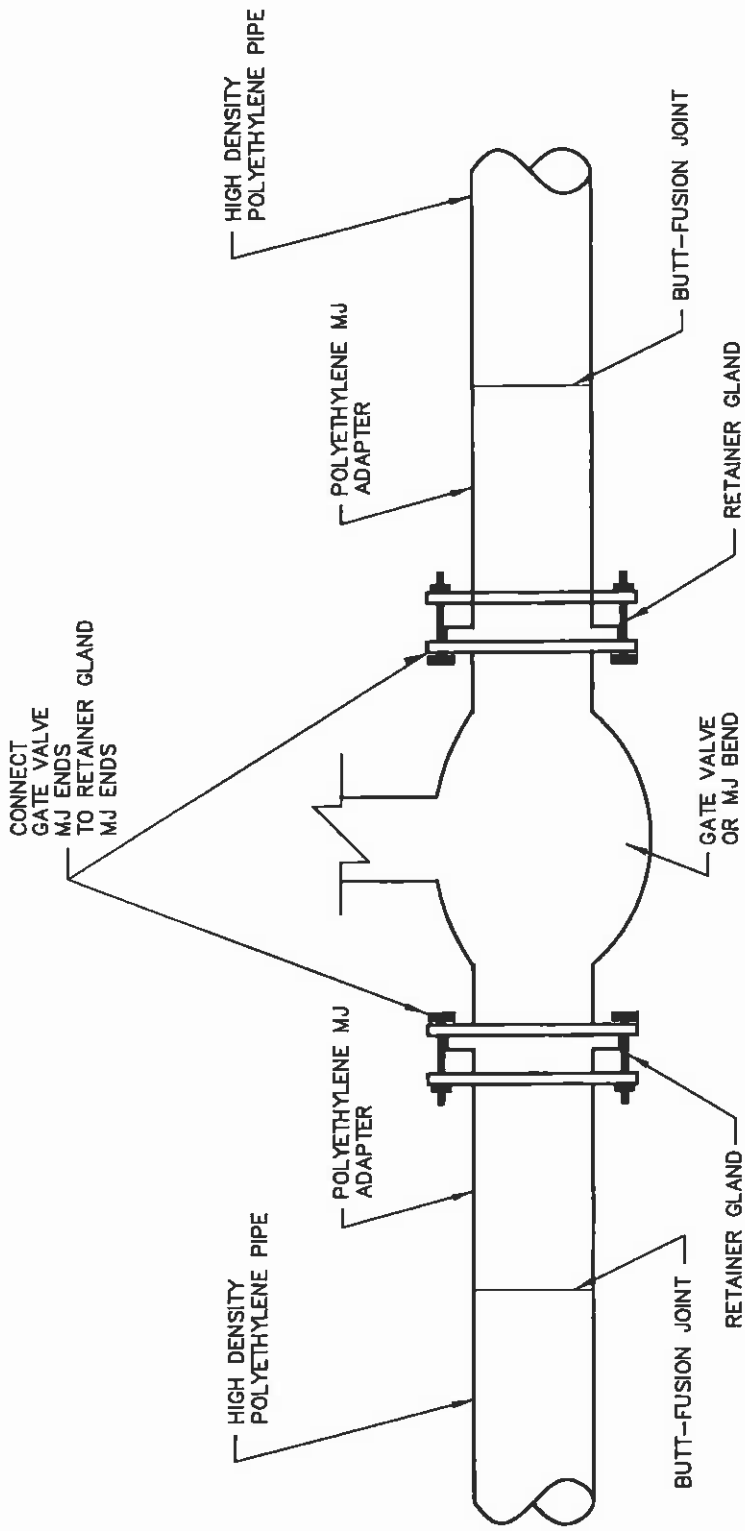
- a. The attached detail "HDPE pipe restraints at bore ends" shall be incorporated into the detail sheet. This detail shall be used just outside the ends of the bore at fittings or valves."

BELL ENGINEERING
2480 Fortune Drive, Suite 350
Lexington, Kentucky 40509

By: _____
Clint Abbott, P.E.
Project Engineer

ITEM NO.	ITEM	QUANTITY	UNIT	UNIT PRICE	TOTAL
Division "A" 6-Inch Force Main					
1	6-Inch Class 250 HDPE Pipe (DR9), Furnishing, Trenching, Bedding, Laying and Backfilling, Tracer Wire, Unclassified Excavation, Complete	3,060	L.F.	\$ _____	\$ _____
2	6-Inch Mechanical Joint Resilient Seated Gate Valve and Box, Including Concrete Collar, Complete	5	Each	\$ _____	\$ _____
3	Automatic Air Release Valve Assembly, Furnish and Install, Complete per Detail	3	Each	\$ _____	\$ _____
4	Fiberglass Line Marker, Furnish and Install, Complete	10	Each	\$ _____	\$ _____
5	Cut and Tie Into Existing 6-Inch Force Main, Including Fittings, PVC to PE Transition, Complete	1	Each	\$ _____	\$ _____
6	Connect to Existing HDPE Irrigation Piping	1	Each	\$ _____	\$ _____
7	Remove and Reinstall New CMP Drainage Pipe Including Bedding and Backfill, Concrete Encase Force Main per Plans, Complete	35	L.F.	\$ _____	\$ _____
8	Extra for Crushed Rock for Trench Stabilization, Only on Order of the Engineer	20	Ton	\$ _____	\$ _____
Subtotal Division "A"					\$ _____
Division "B" Railroad Bore and Jack					
1	6-Inch Class 250 HDPE Pipe (DR9), Furnishing, Trenching, Bedding, Laying and Backfilling, Tracer Wire, Unclassified Excavation, Complete	100	L.F.	\$ _____	\$ _____
2	Boring and Jacking for 12" x 0.25 Steel Cover Pipe, Unclassified Excavation	100	L.F.	\$ _____	\$ _____
3	12-Inch x 0.250 Inch Steel Cover Pipe, Including Casing Spacers and End Seals, Furnish and Install, Complete (by open cut or boring)	100	Each	\$ _____	\$ _____
Subtotal Division "B"					\$ _____
TOTAL LFUCG CONTRACT 21-2016					\$ _____

Note: For each additional day over five days that CSX/RJ Corman personnel are on site, in connection with the Contractor's work on this project, a liquidated damage amount of \$4,000.00 per day will be assessed against the Contractor.



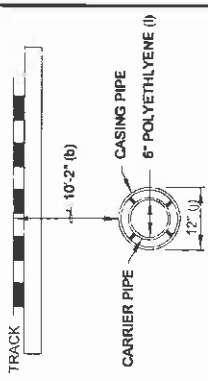
HDPE PIPE RESTRAINTS AT BORE ENDS

NOT TO SCALE



LEXINGTON - HOPKINSVILLE
KENTUCKY

Letter	Description
(a)	Distance from casing to nearest railroad right-of-way (RW) or the centerline of nearest public road intersection (include DOT crossing #)
(b)	Width of CSX RW
(c)	Distance from centerline of track to RW
(d)	Width of CSX RW
(e)	Distance from centerline of nearest track to face of pit or point at 90 degree angle to the track
(f)	Angle of crossing
(g)	If CONTENTS UNDER PRESSURE Distance of shut-off valves from centerline of nearest track. Distance = 80' and 120' R. (min. 2,000' from nearest track on each side RW) (See CSX pipeline Spec. PG 20.0.)
(h)	Width of Launching/Receiving pit
(i)	Length of Launching/Receiving pit

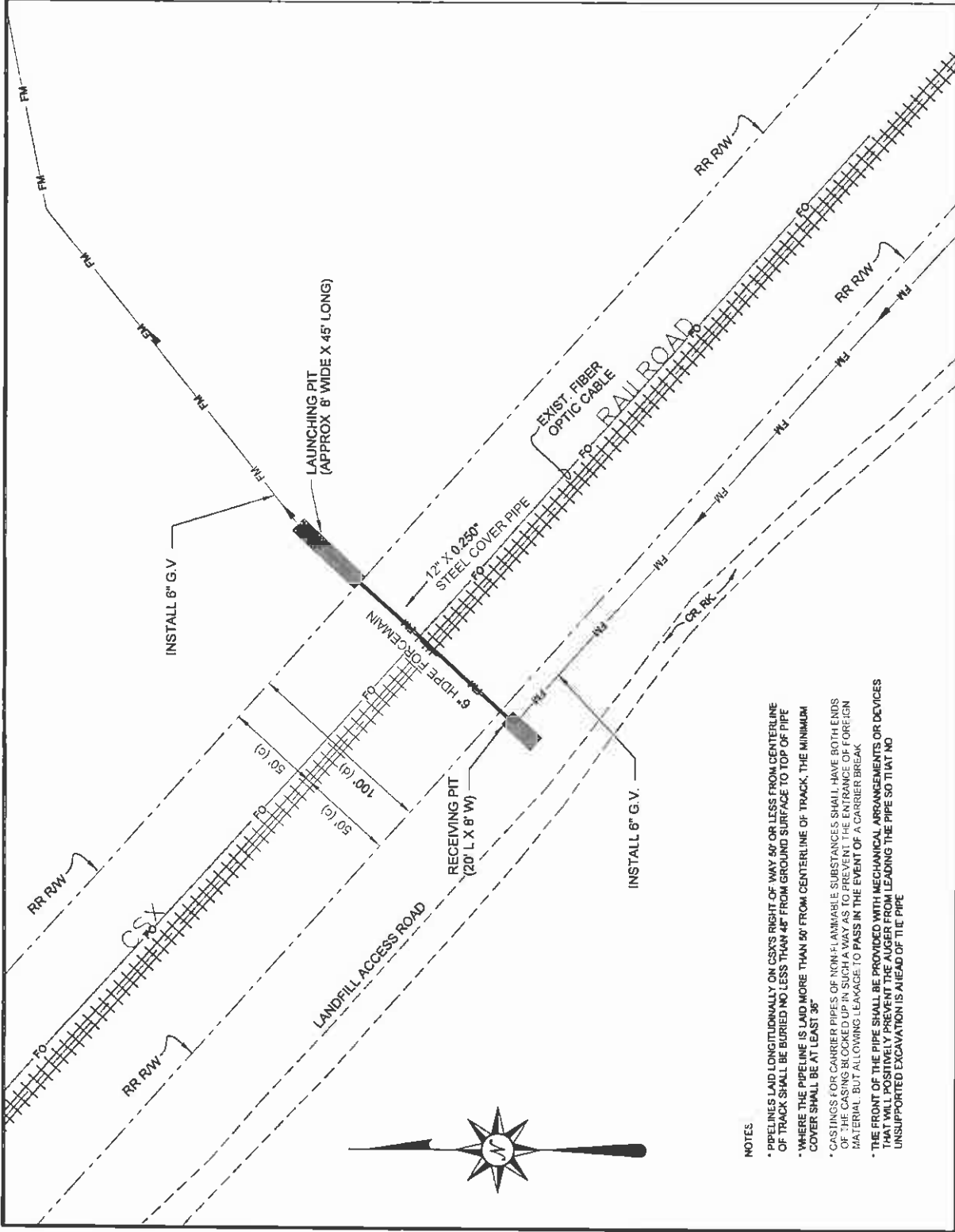


CROSS SECTION VIEW SECTION B-B

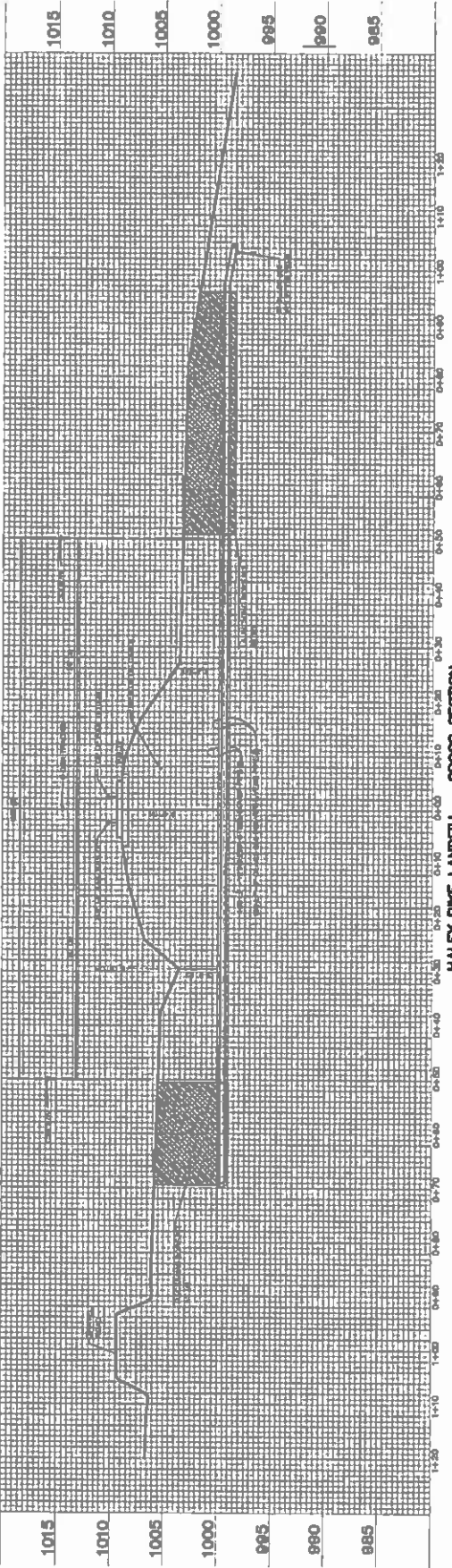
bell engineering

LEXINGTON • HOPKINSVILLE
KENTUCKY

Location	HALEY FIRE LANCELL - LEXINGTON KY
Latitude	36° 52' 24.67" NORTH
Longitude	84° 17' 20.05" WEST
Drawing No	LF0224266
Date	APRIL 2015
Scale	1" = 50'




- NOTES**
- * PIPELINES LAD LONGITUDINALLY ON CSX'S RIGHT OF WAY 50' OR LESS FROM CENTERLINE OF TRACK SHALL BE BURIED NO LESS THAN 48" FROM GROUND SURFACE TO TOP OF PIPE COVER SHALL BE AT LEAST 36"
 - * CASTINGS FOR CARRIER PIPES OF NON-FLAMMABLE SUBSTANCES SHALL HAVE BOTH ENDS OF THE CASING BLOCKED UP IN SUCH A WAY AS TO PREVENT THE ENTRANCE OF FOREIGN MATERIAL BUT ALLOWING LEAKAGE TO PASS IN THE EVENT OF A CARRIER BREAK
 - * THE FRONT OF THE PIPE SHALL BE PROVIDED WITH MECHANICAL ARRANGEMENTS OR DEVICES THAT WILL POSITIVELY PREVENT THE AUGER FROM LEADING THE PIPE SO THAT NO UNSUPPORTED EXCAVATION IS AHEAD OF THE PIPE



HALEY PIKE LANDFILL - CROSS SECTION
LEXINGTON, KENTUCKY

HORIZONTAL SCALE 1"=20'
VERTICAL SCALE 1"=8'



**LEXINGTON - HOPKINSVILLE
KENTUCKY**

Location: HALEY PIKE LANDFILL - LEXINGTON, KY

Latitude: 38°-03'-24.87" NORTH

Longitude: 84°-17'-20.46" WEST

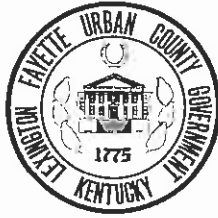
Drawing No: LF 00124786 Sheet No. 2 of 2

Date: APRIL 2015 Last Revised

Drawing Scale V: 1 Inches = 10 Feet

Drawing Scale H: 1 Inches = 20 Feet

LEGEND		PIPELINE CONTENT DETAILS	
Letter	Description	CSXT Pipeline Spec. Reference	
(a)	Distance From Centerline of Track to CSX RW 50' L - 50' R		
(b)	Distance From Base-Of-Rail to Top-Of-Casing [See CSXT Pipeline Spec. Page 6, C)]		
(c)	Distance From Base-Of-Ditch to Top-Of-Casing [See CSXT Pipeline Spec. Page 6, C)]		
(d)	Total Length of Pipe on CSX RW Crossing [See CSXT Pipeline Spec. Page 19(A, (f))]		
(e)	Distance From Centerline of Nearest Track to Face of Pile at a 90 Degree Angle to the Track(s) LAUNCHING 55.4' - RECEIVING 55.2'		
(f)	Distance From Top-Of-Vent Pipe to Ground Surface (at Minimum Required) [See CSXT Pipeline Spec. Page 20, F)]		
(g)	Distance From Centerline of Track to Vent Pipe at a 60 Degree [See CSXT Pipeline Spec. Page 20, F)]		
(h)	Theoretical Embankment Line Starts 10' From Centerline of Track and Extends Away From Track at a Slope of 1:5 Over and Under [See CSXT Pipeline Spec. Page 19(A, (f))]		
(i)	Carrier Pipe Diameter 6 INCHES		
(j)	Casing Pipe Diameter 12"x0.250"		
(k)	Depth of Launching/Receiving pit LAUNCHING 6' - RECEIVING 7'-9"		
		CARRIER/CASING PIPE DETAILS	
		Commodity Description	LEACHATE
		Maximum Operating Pressure	50 psi
		Is Commodity Flammable	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
		Pipe Material	HDPE - POLYETHYLENE
		Material Specifications & Grade	ASTM F-714-05
		Specified Minimum Yield Strength	TENSILE 3,200 psi
		Nominal Size Outside Diameter (inches)	6.90"
		Wall Thickness (inches)	0.627"
		Type of Seam	SEAMLESS
		Type of Joints	HEAT FUSED
		Tunnel Liner Plates Required	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
		Cathodic Protection	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
		Protective Coating	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
		Temp Track Support or Rip-Rap Req.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
		Carrier Pipe	STEEL
		Material Specifications & Grade	API-5L
		Specified Minimum Yield Strength	35,000 psi
		Nominal Size Outside Diameter (inches)	12"
		Wall Thickness (inches)	0.260"
		Type of Seam	SEAMLESS
		Type of Joints	WELDED
		Casing Pipe	STEEL



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

William O'Mara
Commissioner

ADDENDUM #2

Bid Number: 21-2016

Date: February 19, 2016

Subject: Haley Pike Landfill Force Main

Address inquiries to:
Brian Marcum
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

See the attached Form of Proposal. This replaces the Form of Proposal issued in Addendum#1
See the attached detail that depicts a joint restraint at the end of the line where the proposed forcemain connects to the existing irrigation piping.

Attached are the questions and answers received for this project.

Q: What materials will be required to hook up the irrigation line at STA. 31+85.? The other end is clear but not this one. Profile just shows a cap.

A: At station 31+85, the line will terminate with a gate valve installed per the detail attached to this addendum. The gate valve will connect to the irrigation line on one side and the forcemain on the other. PLEASE NOTE THAT THE PROFILE DOES NOT INCLUDE THE LAST 100' of FORCEMAIN.

Q: Will soil backfill be allowed over the forcemain instead of the stone specified in the detail.

A: No, the bedding and backfill shall be per the details.

Q: Will special provisions need to be made to cross the railroad at the crossing with tracked equipment

A: Yes, tracked equipment may not come in contact with the rails. RJ Corman suggest using 2x10's or some other method to prevent tracked equipment from directly touching the tracks.

Q: Will the contractor's forces be allowed on the railroad?

A: Yes, but only as long as this is coordinated with RJ Corman so that flagmen can re-direct or halt train traffic.

Q: Will fused bends be allowed on the project?

A: No, all bends shall be mechanical joint and will require thrust blocking per the specs.

Q: Will excess debris be allowed to be taken to the landfill adjacent to Haley Pike?

A: Yes, Haley Pike Landfill is closed, but there is another landfill next door that will accept construction debris.

Q: Will a tapping sleeve be allowed to tie in the proposed forcemain to the existing sewer line.

A: No,; a tee and valve arrangement will be added to the existing line.



Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.

This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: LEL Excavation and Site Prep, Inc.

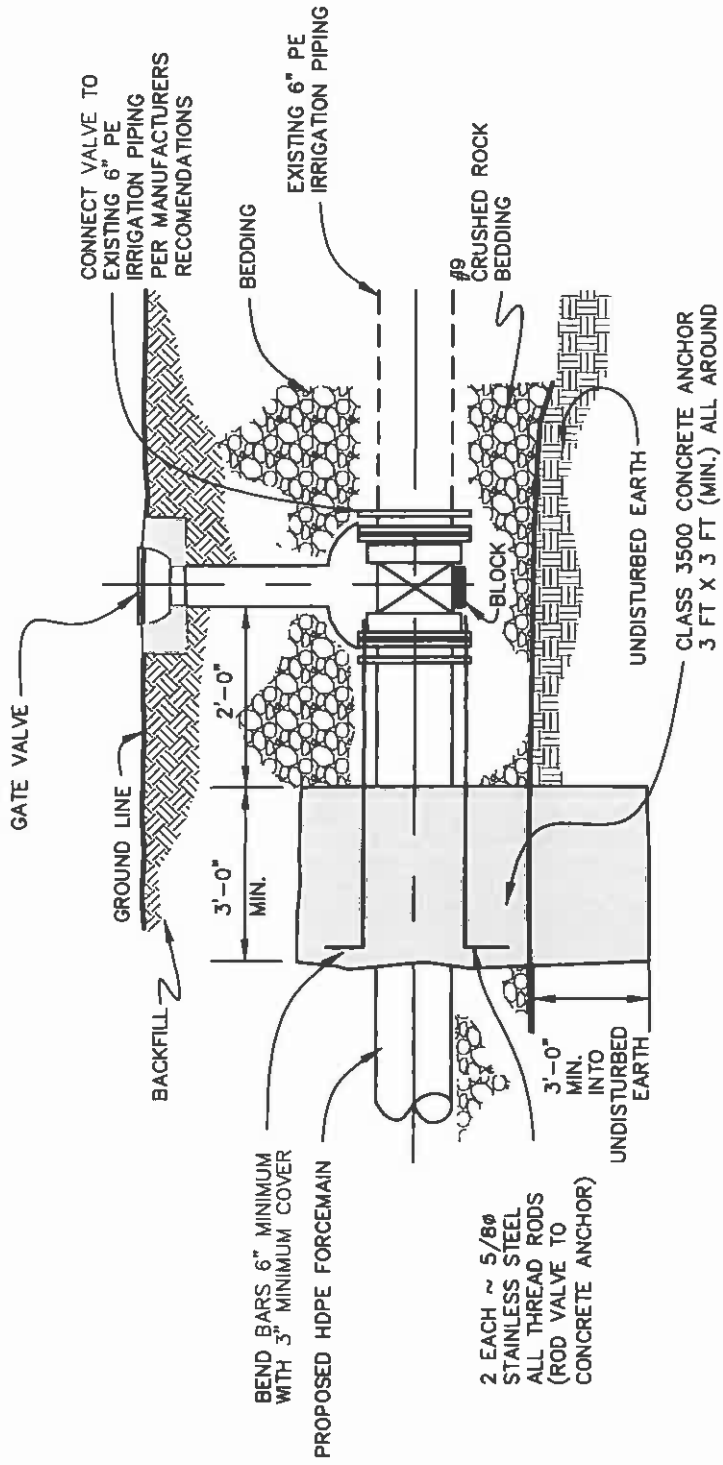
ADDRESS: 309 Parkway Drive, P.O. Box 614 Sellersville, KY 41465

SIGNATURE OF BIDDER: Keith Johnson

HALEY PIKE LANDFILL FORCE MAIN
 LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT
 DIVISION OF SOLID WASTE
 LEXINGTON, KENTUCKY

ITEM NO.	ITEM	QUANTITY	UNIT	UNIT PRICE	TOTAL
Division "A" 6-Inch Force Main					
1	6-Inch Class 250 HDPE Pipe (DR9), Furnishing, Trenching, Bedding, Laying and Backfilling, Tracer Wire, Unclassified Excavation, Complete	3,060	L.F.	\$ _____	\$ _____
2	6-Inch Mechanical Joint Resilient Seated Gate Valve and Box, Including Concrete Collar, Complete	5	Each	\$ _____	\$ _____
3	Automatic Air Release Valve Assembly, Furnish and Install, Complete per Detail	3	Each	\$ _____	\$ _____
4	Fiberglass Line Marker, Furnish and Install, Complete	10	Each	\$ _____	\$ _____
5	Cut and Tie Into Existing 6-Inch Force Main, Including Fittings, PVC to PE Transition, Complete	1	Each	\$ _____	\$ _____
6	Connect to Existing HDPE Irrigation Piping, Complete	1	Each	\$ _____	\$ _____
7	Remove and Reinstall New CMP Drainage Pipe Including Bedding and Backfill, Concrete Encase Force Main per Plans, Complete	35	L.F.	\$ _____	\$ _____
8	Extra for Crushed Rock for Trench Stabilization, Only on Order of the Engineer	20	Ton	\$ _____	\$ _____
Subtotal Division "A"					\$ _____
Division "B" Railroad Bore and Jack					
1	6-Inch Class 250 HDPE Pipe (DR9), Furnishing, Trenching, Bedding, Laying and Backfilling, Tracer Wire, Unclassified Excavation, Complete	100	L.F.	\$ _____	\$ _____
2	Boring or Jacking for 12" x 0.250 Steel Cover Pipe, Unclassified Excavation	100	L.F.	\$ _____	\$ _____
3	12-Inch x 0.250 Inch Steel Cover Pipe, Including Casing Spacers and End Seals, Furnish and Install, Complete (by boring)	100	L.F.	\$ _____	\$ _____
Subtotal Division "B"					\$ _____
TOTAL LFUCG CONTRACT 21-2016					\$ _____

Note: For each additional day over five days that CSX/RJ Corman personnel are on site, in connection with the Contractor's work on this project, a liquidated damage amount of \$4,000.00 per day will be assessed against the Contractor.



END OF FORCE MAIN CONCRETE ANCHOR DETAIL

NOT TO SCALE



LEXINGTON - HOPKINSVILLE
KENTUCKY

 **AIA** Document A310™ – 2010

Bid Bond

CONTRACTOR:

(Name, legal status and address)
L&L Excavation and Site Prep, Inc.
309 Parkway Drive, PO Box 614
Salysersville, KY 41465

SURETY:

(Name, legal status and principal place of business)
The Cincinnati Insurance Company
P.O. Box 145496
Cincinnati, OH 45250

OWNER:

(Name, legal status and address)
Lexington-Fayette Urban County Government
Division of Purchasing, 200 East Main St., 3rd Floor, Room 338
Lexington, KY 40507

BOND AMOUNT: Five Percent (5%) of the Total Bid Amount

PROJECT:

(Name, location or address, and Project number, if any)
Haley Pike Landfill Force Main
Bid No. 21-2016

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

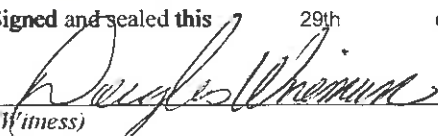
Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

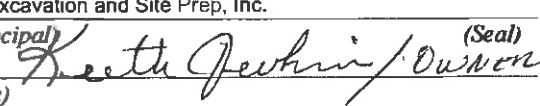
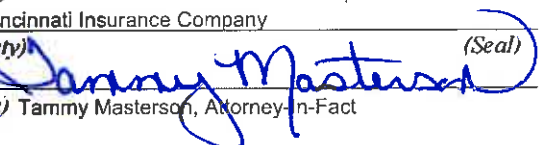
If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 29th day of February 2016.


(Witness)


(Witness)

L&L Excavation and Site Prep, Inc.
(Principal)  *(Seal)*
(Title) Keith Perkins / Owner
The Cincinnati Insurance Company
(Surety)  *(Seal)*
(Title) Tammy Masterson, Attorney-in-Fact

THE CINCINNATI INSURANCE COMPANY

Fairfield, Ohio

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That THE CINCINNATI INSURANCE COMPANY, a corporation organized under the laws of the State of Ohio, and having its principal office in the City of Fairfield, Ohio, does hereby constitute and appoint

G. Dale Derr; Tammie J. Landess; Evan R. Derr; Randal T. Noah; Mary Beth Milling; Nancy Nemece; Kelsey Freytag; Stella Adams; Tiffany Gobich; Elizabeth McDevitt; Mark Nelson and/or Tammy Masterson

of Dayton and Cincinnati, Ohio its true and lawful Attorney(s)-in-Fact to sign, execute, seal and deliver on its behalf as Surety, and as its act and deed, any and all bonds, policies, undertakings, or other like instruments, as follows:

Any such obligations in the United States, up to Twenty Five Million and No/100 Dollars (\$25,000,000.00).

This appointment is made under and by authority of the following resolution passed by the Board of Directors of said Company at a meeting held in the principal office of the Company, a quorum being present and voting, on the 6th day of December, 1958, which resolution is still in effect:

"RESOLVED, that the President or any Vice President be hereby authorized, and empowered to appoint Attorneys-in-Fact of the Company to execute any and all bonds, policies, undertakings, or other like instruments on behalf of the Corporation, and may authorize any officer or any such Attorney-in-Fact to affix the corporate seal; and may with or without cause modify or revoke any such appointment or authority. Any such writings so executed by such Attorneys-in-Fact shall be binding upon the Company as if they had been duly executed and acknowledged by the regularly elected officers of the Company."

This Power of Attorney is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of the Company at a meeting duly called and held on the 7th day of December, 1973.

"RESOLVED, that the signature of the President or a Vice President and the seal of the Company may be affixed by facsimile on any power of attorney granted, and the signature of the Secretary or Assistant Secretary and the seal of the Company may be affixed by facsimile to any certificate of any such power and any such power of certificate bearing such facsimile signature and seal shall be valid and binding on the Company. Any such power so executed and sealed and certified by certificate so executed and sealed shall, with respect to any bond or undertaking to which it is attached, continue to be valid and binding on the Company."

IN WITNESS WHEREOF, THE CINCINNATI INSURANCE COMPANY has caused these presents to be sealed with its corporate seal, duly attested by its Vice President this 1st day of October, 2015.



THE CINCINNATI INSURANCE COMPANY
Stefan A. Janta
Vice President

STATE OF OHIO) ss:
COUNTY OF BUTLER)

On this 1st day of October, 2015, before me came the above-named Vice President of THE CINCINNATI INSURANCE COMPANY, to me personally known to be the officer described herein, and acknowledged that the seal affixed to the preceding instrument is the corporate seal of said Company and the corporate seal and the signature of the officer were duly affixed and subscribed to said instrument by the authority and direction of said corporation.



MARK J. HULLER, Attorney at Law
NOTARY PUBLIC - STATE OF OHIO
My commission has no expiration date. Section 147.03 O.R.C.

I, the undersigned Secretary or Assistant Secretary of THE CINCINNATI INSURANCE COMPANY, hereby certify that the above is a true and correct copy of the Original Power of Attorney issued by said Company, and do hereby further certify that the said Power of Attorney is still in full force and effect.

GIVEN under my hand and seal of said Company at Fairfield, Ohio.
this 29th day of February, 2014

Scott R. Bolan
Secretary



