

SECTION 00410 – BID FORM

HARTLAND 3 PUMP STATION REPLACEMENT

**Division of Water Quality
Lexington-Fayette Urban County Government**

LFUCG Bid No. 129-2021

1.01 GENERAL

Place: Lexington, Kentucky

Date: 01/19/2022

The following Bid Form shall be followed exactly in submitting a Bid for this Work.

This Bid Form Submitted by Lokits Contracting, LLC
2480 Woodfield Circle, Lexington, KY 40515
(Name and Address of Bidder)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as a limited liability corporation.
"a corporation," "a partnership", or an "individual" as applicable

To: Lexington-Fayette Urban County Government
(Hereinafter called "Owner")
Office of the Director of Central Purchasing
200 East Main Street, Room 338
Lexington, KY 40507

The Bidder, in compliance with your Advertisement for Bids for the **Hartland 3 Pump Station Replacement**; Lexington, Kentucky, having examined the Contract Documents including the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions and any and all addendums surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this Bid is a part.

The Bidder hereby agrees to commence Work under this Contract on a date to be specified in a written "Notice to Proceed" of the Owner and to substantially complete the Project within **270** days, consecutive calendar days. Bidder further agrees to pay liquidated damages, as set forth in Section 00520, Agreement, for each consecutive day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 01/03/22 ; Addendum No. Date
Addendum No. 2 Date 01/13/22 ; Addendum No. Date
Addendum No. 3 Date 01/14/22 ; Addendum No. Date
Addendum No. Date ; Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

****SIGNED ADDENDA LETTERS HAVE BEEN ATTACHED TO BID, AS REQUIRED****

1.02 LEGAL STATUS OF BIDDER

Bidder LOKITS CONTRACTING, LLC

Date January 19, 2022

*A. A corporation duly organized and doing business under the laws of the State of KENTUCKY, for whom CODY M. LOKITS, PE, bearing the official title of MANAGER / CEO, whose signature is affixed to this Bid is duly authorized to execute contracts.

~~*B. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)~~

~~_____~~

~~_____~~

~~_____~~

~~_____~~

~~_____~~

~~*C. An individual, whose signature is affixed to this Bid. (Print name)~~

~~_____~~

~~_____~~

~~_____~~

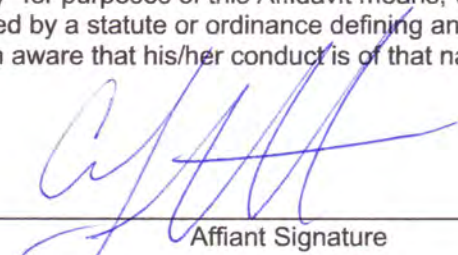
* The Bidder shall fill out the appropriate form and strike out the other two.

1.03 BIDDERS AFFIDAVIT

Comes the Affiant, Cody M. Lokits, and after being first duly sworn, states under penalty of perjury as follows:

- A. His/her name is Cody M. Lokits and he/she is the individual submitting the Bid or is the authorized representative of LOKITS CONTRACTING, LLC, the entity submitting the Bid (hereinafter referred to as "Bidder").
- B. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the Bid is submitted, prior to award of the Agreement and will maintain a "current" status in regard to those taxes and fees during the life of the Agreement.
- C. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the Agreement.
- D. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
- E. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of an Agreement to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
- F. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
- G. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his/her conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.



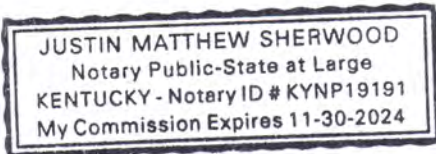
 Affiant Signature

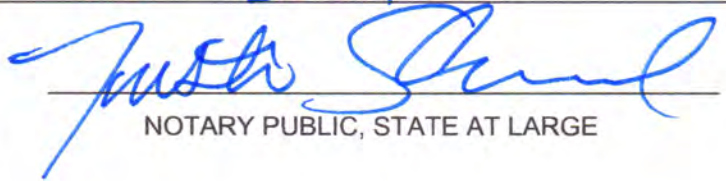
STATE OF Kentucky
 COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Cody Lokits on this the 18 day of Jan, 2022.

My Commission expires: 11-30-2024





 NOTARY PUBLIC, STATE AT LARGE

1.04 BID SCHEDULE

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. All pricing must be submitted correctly in Lonwave to reflect the correct total of your bid. Contractors are responsible for this if items are entered incorrectly your bid will be rejected. Please pay close attention to how the units are specified and enter the unit amounts. Lonwave will calculate the totals and the total bid amount.

The LFUCG's decision on the bid amount is final.

The Contract, if awarded, will be on the basis of materials and equipment specified in the Specifications without consideration of possible substitute or "or equal" items. The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

BID SCHEDULE

Item	Description	Qty	Unit	Unit Price	Item Price
1	Mobilization	1	LS	\$22,200.00	\$22,200.00
2	Demobilization	1	LS	\$11,100.00	\$11,100.00
3	Bonds and Insurance	1	LS	\$39,600.00	\$39,600.00
4	Erosion and Sediment Control and Conformance with SWPPP	1	LS	\$28,650.00	\$28,650.00
5	Connection to Existing Sewer, 15-Inch Sewer	1	EA	\$2,400.00	\$2,400.00
6	Concrete Structure Safeloading	1	LS	\$13,000.00	\$13,000.00
7	Manhole Abandonment	1	EA	\$700.00	\$700.00
8	Pipe Abandonment—Safeloading 15-Inch Sewer	30	LF	\$185.00	\$5,550.00
9	General Site Improvement, Complete	1	LS	\$55,000.00	\$55,000.00
10	Bituminous Concrete: Private Parking Lots/Driveways, Area A	80	TON	\$250.00	\$20,000.00
11	Bituminous Concrete—Private Parking Lots and Driveways, Area B	250	TON	\$220.00	\$55,000.00
12	Manhole, 5-Foot Diameter	1	LS	\$13,600.00	\$13,600.00
13	Gravity Sewer Line--16-Inch Ductile Iron Sewer	30	LF	\$600.00	\$18,000.00
14	Force Main Pipe, 10-Inch SDR 26 PVC	105	LF	\$220.00	\$23,100.00
15	Reconnect Existing Gravity Sewer to New Manhole, 15-Inch	1	EA	\$2,500.00	\$2,500.00
16	Comminutor Vault, Including Comminutor, Rails, Hatch, Installed, Complete	1	LS	\$110,000.00	\$110,000.00
17	New Pump Station, Complete	1	LS	\$495,000.00	\$495,000.00
18	Plug Valve, 16-Inch	4	EA	\$9,400.00	\$37,600.00
19	Tie-In To Existing 10-Inch Force Main, Including Bypass Pumping	1	LS	\$1,000.00	\$1,000.00
20	Bypass Pumping and Setup	1	LS	\$14,000.00	\$14,000.00
21	Dense Graded Aggregate (DGA), Extra, as Directed by Engineer	10	Ton	\$50.00	\$500.00
22	No. 9 Crushed Stone, Extra as Directed by Engineer	10	Ton	\$50.00	\$500.00
23	No. 57 Crushed Stone, Extra as Directed by Engineer	10	Ton	\$50.00	\$500.00
24	No. 2 Crushed Stone, Extra as Directed by Engineer	10	Ton	\$50.00	\$500.00

Item	Description	Qty	Unit	Unit Price	Item Price
25	Allowance—Miscellaneous Site Improvement	1	LS	\$100,000.00	\$100,000.00
26	Allowance—Electrical Expense for New Station	1	LS	\$40,000.00	\$40,000.00
TOTAL BASE BID (Items 1 thru 26)				\$1,110,000.00	
TOTAL BID AMOUNT <u>ONE MILLION ONE HUNDRED TEN THOUSAND DOLLARS AND ZERO CENTS</u>					

Respectfully Submitted,

FIRM: LOKITS CONTRACTING, LLC
ADDRESS: 2480 WOODFIELD CIRCLE
CITY/STATE/ZIP: LEXINGTON, KY 40515
DATE: 01/19/2022
BY: _____
(must be original signature)
TITLE: OWNER / CEO
PHONE: 859-699-2961 FAX: N/A
(area code, number & extension)
EMAIL ADDRESS: CODY@LOKITS CONTRACTING.COM

OFFICIAL ADDRESS AND PHONE:

2480 WOODFIELD CIRCLE
LEXINGTON, KY 40515
859-699-2961

(Seal if Bid is by Corporation)



By signing this form you agree to all of the terms and associated forms.

1.05 STATEMENT OF BIDDER'S QUALIFICATIONS


The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Bid:

- A. Name of Bidder: LOKITS CONTRACTING, LLC
- B. Permanent Place of Business: 2480 WOODFIELD CIRCLE, LEXINGTON, KY
- C. When Organized: AUGUST 2021
- D. Where Incorporated: LEXINGTON, KY
- E. Financial Condition:

If specifically requested by the Owner, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the Owner's Division of Central Purchasing within seven (7) calendar days following the Bid opening.

- F. In the event the Agreement is awarded to the undersigned, Performance, Payment, Erosion and Sediment Control, and Warranty bonds will be furnished by:

NATIONWIDE MUTUAL INSURANCE COMPANY (Surety)

Signed:  (Representative of Surety)

BEN DYCUS, BOND AGENT, HOUCHENS INSURANCE GROUP

- G. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
SEE ATTACHMENTS		

- H. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
Logan Todd Regional Water Commission Upgrades and Expansion of Water Treatment Facility	Guthrie, KY	\$700,000
Water Hammer Upgrades	Lexington, KY	\$21,500

I. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
Cody M. Lokits, PE	Owner / CEO / Project Manager	Founder
Tim Munday	Site Superintendent	<1 year

J. MWDBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS (LIST)</u>	<u>PROJECT (SPECIFIC TYPE)</u>	<u>MWDBE</u>	<u>% of WORK</u>
McKinney Painting	WTF Upgrade	WBE	0.6%
State Electric	WTF Upgrade	DBE	7.85%

(USE ADDITIONAL SHEETS IF NECESSARY)

K. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the Owner within seven (7) calendar days following the Bid Opening, a sworn statement regarding all office management and field management personnel. Additionally, if requested by the Owner, we will within seven (7) days following the request submit audited financial statements and loss history for insurance claims for the three (3) most recent years (or a lesser period if stipulated by the Owner)

1.06 LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the Owner to be executed, completed and submitted with the Bid Form. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

BRANCH OF WORK** (List each major item)	SUBCONTRACTOR	MWDBE (yes/no)	% of WORK
1. <u>ELECTRICAL</u>	Name: <u>FAUST ELECTRIC</u>	<u>NO</u>	<u>4.7%</u>
	Address: <u>1081 SULPHUR WELL PIKE</u> <u>NICHOLASVILLE, KY 40356</u>		
2. <u>ASPHALT PAVING</u>	Name: <u>RANDLE-DAVIES CONSTR CO.</u>	<u>NO</u>	<u>4.1%</u>
	Address: <u>1 Mill Creek Park</u> <u>Frankfort, KY 40601</u>		
3. <u>PAINTING</u>	Name: <u>McKinney Painting</u>	<u>YES</u>	<u>0.35%</u>
	Address: <u>104 Kuhlman Blvd</u> <u>Versailles, KY 40383</u>		
4. <u>HWY TRUCKING</u>	Name: <u>Blaze Enterprises</u>	<u>YES</u>	<u>0.7%</u>
	Address: <u>PO Box 704</u> <u>Clay City, KY 40312</u>		
5. <u>SURVEYING/ STAKING</u>	Name: <u>Abbey Jones Surveying</u>	<u>YES</u>	<u>0.2%</u>
	Address: <u>1022 Fontaine Road</u> <u>Lexington, KY 40502</u>		
6. <u>FENCING</u>	Name: <u>RIO GRANDE FENCING</u>	<u>NO</u>	<u>1.5%</u>
	Address: <u>137 S Forbes Rd.</u> <u>Lexington, KY 40511-2025</u>		

** Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.

1.07 AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

- A. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the Bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- B. That the attached Bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Advertisement for Bid, designed to limit independent bidding or competition;
- C. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the Bid or Bids, and will not be communicated to any such person, prior to the official opening of the Bid or Bids;
- D. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- E. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky X. [Check the statement applicable.]
- F. This offer is for ninety (90) calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items Bid above, an Agreement shall thereby be created with respect to the items accepted.
- G. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- H. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

LOKITS CONTRACTING, LLC
Company

01/19/2022
Date


Representative

1.08 STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: CODY M. LOKITS, P.E.

POSITION/TITLE: MANAGER / CEO / PROJECT MANAGER

STATEMENT OF EXPERIENCE: PLEASE SEE ATTACHED RESUME FOR
DETAILED STATEMENT OF EXPERIENCE AND PROJECT HISTORY.

NAME OF INDIVIDUAL: TIM MUNDAY

POSITION/TITLE: SITE SUPERVISOR

STATEMENT OF EXPERIENCE: PLEASE SEE ATTACHED RESUME FOR
DETAILED STATEMENT OF EXPERIENCE AND PROJECT HISTORY.

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management, Affirmative Action officials, and field management personnel. Attach separate sheets if necessary.

1.09 EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause an Agreement to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.



Signature

LOKITS CONTRACTING, LLC

01/19/2022

Name of Business

The Entity (regardless of whether construction Contractor, non-construction Contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities - Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor - Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to 45.640.*
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

In the case of an Agreement exceeding \$250,000, the Contractor will be required within seven (7) days following the Bid Opening to furnish evidence that its work-force in Kentucky is representative of the available work-force in the area from which it draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the Contract.

1.10 LFUCG MWDBE PARTICIPATION FORM

LFUCG Bid/RFP/Quote Reference No. LFUCG Bid No. 129-2021



The MWDBE and/or Veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the Work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
WBE ABBIE JONES CONSULTING 1022 Fontaine Rd Lexington, KY 40502	Surveying / Layout / Staking	~\$2,200	~0.2%
WBE BLAZE ENTERPRISES PO Box 704 Clay City, KY 40312	Highway Hauling / Spoils Stockpile	~\$7,000	~0.7%
WBE MCKINNEY PAINTING 104 Kuhlman Blvd Versailles, KY 40383	Industrial Coatings / Painting	~\$4,000	~0.3%

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the Contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

LOKITS CONTRACTING, LLC
Company

January 19, 2022
Date


Company Representative
 Cody Lokits, PE

Manager / CEO
Title

1.11 LFUCG MWDBE **SUBSTITUTION FORM**

LFUCG Bid/RFP/Quote Reference No. LFUCG Bid No. 129-2021



The substituted MWDBE and/or Veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract

The undersigned acknowledges that any misrepresentation may result in termination of the Contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title

1.12 MWDBE QUOTE SUMMARY FORM

LFUCG Bid/RFP/Quote Reference No. LFUCG Bid No. 129-2021



The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project.

Company Name LOKITS CONTRACTING, LLC	Contact Person Cody Lokits
Address/Phone/Email 2480 Woodfield Circle Lexington, KY 40515 859-699-2961 cody@lokitscontracting.com	Bid Package / Bid Date LFUCG Bid No. 129-2021 01/19/2022

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event, etc.)	Total dollars (\$) Do Not Leave Blank (Attach Documentation)	DBE * AA HA AS NA Female	Veteran
PLEASE SEE LIST ATTACHED HERETO OF MDBE & VETERAN OWNED SUBS IN THIS FORMAT - MORE SPACE WAS NEEDED.								

*(DBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the Contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

LOKITS CONTRACTING, LLC
Company


Company Representative
Cody Lokits, PE

01/19/2022
Date

Manager / CEO
Title

1.12 MWDBE QUOTE SUMMARY FORM

LFUCG Bid/RFP/Quote Reference No. LFUCG Bid No. 129-2021

MWDBE COMPANY NAME	STREET ADDRESS	CITY	ST	ZIP	FIRST	LAST	PHONE	EMAIL	DATE CONTACTED	FOLLOW-UPS	SERVICES TO BE PERFORMED	METHOD OF COMM.	TOTAL DOLLAR AMOUNT OF BID	MBE DESIGNATION / VETERAN
SLI Contracting, LLC	1141 Red Mile Rd., Suite 201	Lexington	KY	40504	Delonzo	Wilder	859-977-6640	wilderdj@sealinglife.com	1/12/2022	1/17/2022	Transpand Logistics; Hire Trucking, Hauling, Disposal Services	E-mail	NO BID	Minority and Woman-Owned Business Enterprise Disadvantaged Business Enterprise
Cedar Valley Seeding, Inc.	851 Redmon Road	Paris	KY	40361	Susan	Redmon	859-987-1497	cvseeding@outlook.com	1/12/2022	1/17/2022	Highway, Site Seeding, Erosion Control, Landscaping	E-mail	NO BID	Woman-Owned Business Enterprise Disadvantaged Business Enterprise
ADE Contracting, Inc	102 Preston Court	Versailles	KY	40383	Angela	English	859-621-7941	adeinc1@aol.com	1/12/2022	1/17/2022	Underground utilities, Excavation, Curb and Walk	E-mail	NO BID	Woman-Owned Business Enterprise
Bailey Construction & General Contracting, LLC	9863 Willowbrook Cir	Louisville	KY	40223	Cory	Bailey	502-333-4768	cory.bailey@baileycgc.com	1/12/2022	1/17/2022	Commerical Construction, Skilled and General Labor	E-mail	NO BID	Disadvantaged Business Enterprise, Minority Business Enterprise
L Watson Trucking	211 North Beckley Station Rd	Louisville	KY	40245	Larry	Watson	502-387-9211	lwatsontrucking@icloud.com	1/12/2022	1/17/2022	Trucking (Dump Truck), Haul Rock, Asphalt, Soil, etc.	E-mail	NO BID	Disadvantaged Business Enterprise, Minority Business Enterprise
S & D Construction Management Inc.	252 North Upper Street	Lexington	KY	40507	Sean	Edwards	859-226-0083 Ext. 0	sdcmgt@gmail.com	1/12/2022	1/17/2022	General Contracting, Dumpster Renting Hauling and Industrial Cleaning	E-mail	NO BID	Disadvantaged Business Enterprise, Minority Business Enterprise
Summers Grading Service LLC	8703 High Jackson Road	Charlestown	IN	47111	Charlene	Summers	812-246-4839	summersgrading@yahoo.com	1/12/2022	1/17/2022	Finish Grading, Landscaping: Seed, Strawing, Hydroseeding, Erosion Control, Site Fence, Tri	E-mail	NO BID	Disadvantaged Business Enterprise, Woman-Owned Business Enterprise
Blaze Enterprises, LLC	PO Box 704	Clay City	KY	40312	Cara Gigi	Anderson Bacon	606-663-1003	blazeenterprisesllc@yahoo.com	1/12/2022	1/17/2022	Construction Contracting and Subcontracting Services	E-mail & Phone	\$95/HR Triaxle	Woman-Owned Business Enterprise
Geco Enterprises, Inc.	1221 E. 4th Street/P.O. Box 463	London	KY	40743			606-864-7550	gigibacon@windstream.net	1/12/2022	1/17/2022	Material Supplier, Distributor of pipe, fittings, valves, pumps, precast structures, steel rebar, structural beams, asphalt, aggregate, fiber optic cable and other construction related materials.	E-mail	NO BID	Disadvantaged Business Enterprise, Minority and Woman-Owned Business Enterprise
S & M Precast, Inc.	7515 Old Hwy 111	Memphis	IN	47143	Becky	Graf	812-246-6258	bgraf@smprecast.com	1/12/2022	1/17/2022	Precast Products	E-mail	TBD	Woman-Owned Business Enterprise
TEM Group	3560 Bashford Ave	Louisville	KY	40218	Tommy	Clark	502-454-0101	tclark@temelectric.com	1/12/2022	1/17/2022	Electrical Contractor	E-mail & Phone	TBD	Minority Business Enterprise, Woman-Owned Business Enterprise
Bluegrass Electrical Consultants, Inc.	1495 Production Drive	Burlington	KY	41005	Terry	Metcalf	859-371-2583	terry.metcalf@becincky.com	1/12/2022	1/17/2022	Commerical, Industrial Electrical Contractor	E-mail	NO BID	Woman-Owned Business Enterprise
The Fence Co., Inc.	197 Mohawk Lane	Leitchfield	KY	42754	Judy	Braun	270-259-3808	thefencecoofky@gmail.com	1/12/2022	1/17/2022	Fencing	E-mail	NO BID	Enterprise
Metro Fence Industries, Inc	4521 Bishop Lane	Louisville	KY	40218	Brenda	Jett	502-458-8701	brenda@metrofenceinc.com	1/12/2022	1/17/2022	Fence Installation	E-mail	NO BID	Minority Business Enterprise, Disadvantaged Business Enterprise
Professional Fence Co.	P.O. Box 143	Crestwood	KY	40014	Bob	Osmer	502-222-0513	bobosmer@bellsouth.net	1/12/2022	1/17/2022	Fence Contractor	E-mail	NO BID	Woman-Owned Business Enterprise
Scruggs Construction Inc.	6550 West U.S. Highway 50	North Vernon	IN	47265	John	Scruggs	812-528-8178	montscruggs@gmail.com	1/12/2022	1/17/2022	General Construction Contractor: Concrete Construction	E-mail	NO BID	Disadvantaged Business Enterprise
McKinney Painting	104 Kuhlman Blvd	Versailles	KY	40383	Matt	Gamlin	859-983-4515	matt@mckinneypainting.com	1/12/2022	1/17/2022	Painting, Industrial Coatings	E-mail & Phone	TBD	Woman-Owned Business Enterprise, Disadvantaged Business Enterprise
Abbie Jones Consulting	1022 Fontaine Rd	Lexington	KY	40502	Abbie	Jones	859-559-3443	abbie@abbie-jones.com	1/12/2022	1/17/2022	Surveying / layout / Staking	E-mail	\$1,100 / Day	Enterprise
Somethin Bloomin		Lexington	KY		Cindy	England	859-536-7568	sbloomin@gmail.com	1/12/2022	1/17/2022	Sod/Seed/Landscaping	E-mail	Various	DBE, SBE, WBENC



TEM Group, Inc.
3560 Bashford Avenue
Louisville, KY 40218
Ph: 502-454-0101
Fax: 502-454-0110

January 19, 2022

Lokits Contracting

Re: LFUCG Hartland 3
Pump Station Replacement
Electrical Quote

Our pricing to furnish labor and material to install the electrical work per the scope of work/clarifications listed below is **\$.00.**

Our price is based on the following scope of work/clarifications:

1. We acknowledge receipt of Addendums #1, #2 and #3.
2. Straight time non prevailing wages are included based on a forty hour work week.
3. Kentucky Sales tax is included.
4. Permits and inspections are included for T.E.M. furnished equipment only.
5. A performance and payment bond are **not included**. Add 1.5% if required.
6. TEM will coordinate with local utility for connection of new overhead service to new service riser pole provided by TEM. **No allowance is included for KU's work in our pricing above.**
7. Trenching, backfilling, restoration of existing facilities will be by others. Approximately 80 ft. of trenching will be required.
8. Concrete pad for Electrical Service Rack if required will be provided by others.
9. TEM will furnish and install the following: Electric Service Rack Service Meter Base, Service Rated Double Throw Disconnect/Fuses, Quick Connect Camlocks, 24" x 24" x 8" Nema 4X Terminal Boxes (4 Each), 6" x 6" x 24" Nema 4X Wireway/Pedestals (4 Each), Kellom Grips, Cord Connectors, Conduit, Fittings, Supports, Wiring and Terminations.
10. Please deduct **\$ 9,760.00** from our pricing above if the 24" x 24" x 8" Terminal Boxes (4 Each) and 6" x 6" x 24" Wireway/Pedestals (4 Each) are furnished by others.

*We are Certified MBE, DBE
Equal Opportunity Employer*



TEM Group, Inc.
3560 Bashford Avenue
Louisville, KY 40218
Ph: 502-454-0101
Fax: 502-454-0110

11. Please deduct **\$ 2,060.00** from our pricing above if the kello grips and cord connectors are furnished by others.
12. The following equipment will be furnished by others: Pump Station Control Panel, Communitor Control Panel, Communitor Vendor Furnished Cable, RTU Telemetry Panel, Telemetry Antenna/Bracket, Antenna Cable, Pump Power/Control Cords, Level Floats/Cords and stainless steel cable hangers. TEM will install the equipment and provide power/control wiring as shown on drawings.
13. Please add **\$ 1,350.00** to our pricing above if the TEM furnishes the stainless steel cable hangers (4 Each).
14. TEM will coordinate with the Utility Company for disconnection of the existing service to lift station being demolished. TEM will remove meter/disconnect, pull out feeder circuit and will abandon underground conduits in place. All other demolition will be by others.
15. Assistance with checkout and startup of Pump Station is included.

Please call if you have any questions (502) 498-1981(office) or (502) 639-4201(cell).

Best regards,
TEM Group, Inc.

Thomas J. Clark, Sr.
Electrical Estimator
tclark@temgroupinc.com

*We are Certified MBE, DBE
Equal Opportunity Employer*

Cody Lokits

From: Matt Gamlin <matt@mckinneypainting.com>
Sent: Monday, January 17, 2022 9:45 AM
To: Cody Lokits
Subject: RE: ADDENDA NOTIFICATION: LFUCG Hartland 3 Pump Station

Good Morning, Cody,

SOW:
Mobilize
Prepare Factory Epoxy Primed DI Piping (Wet Well and Valve Vault and Vent)
Install Epoxy field coats per Bell engineering specification
Touch up and clean up
Mobilize off site

Labor, Equipment and Materials - \$3500.00

Let me know if you need anything else.

Matt

Matthew Gamlin
McKinney Painting Inc.
"A Womans Business Enterprise"
Versailles, Ky & Sellersburg, In
Vice President & Treatment Plant / Industrial Specialist

From: Cody Lokits <cody@lokitscontracting.com>
Sent: Monday, January 17, 2022 8:35 AM
To: Matt Gamlin <matt@mckinneypainting.com>
Subject: ADDENDA NOTIFICATION: LFUCG Hartland 3 Pump Station

Matt,

Please note that **Addenda #1, 2, & 3** have been issued for the **LFUCG Hartland 3 Pump Station** project. Please follow the link below to download and review:

[Addenda](#)

The bid date remains **Wednesday, January 19, 2022 at 2:00 PM EST.**

Complete electronic bidding documents (including all addenda) may be accessed at the following link:

[2201191 - LFUCG Hartland 3 Pump Station Replacement](#)

If you have not already confirmed your intention to bid, or provided a scope, please do so as soon as possible.



ABBIE JONES CONSULTING
1022 Fontaine Rd, Lexington, KY 40502
859.559.3443
www.abbie-jones.com

Via Email: cody@lokitscontracting.com

January 13, 2022

Mr. Cody Lokits, PE
Lokits Contracting LLC

RE: Proposal for bid#11 LFUCG Hartland Pump Station Replacement

Dear Mr. Lokits:

Abbie Jones Consulting is pleased to offer our professional land survey and civil engineering services to you. It may or may not be helpful to note that we are DBE Certified by KYTC and other agencies.

Task 3: Construction Staking and Layout

1. \$1100/day no per diems in bid amount, Restaking will be extra \$1200/day.
2. Office required for setup: \$120.00 (PLS Time)
3. Csv and/or pdf of as-staked points per trip will be provided (Worksheet style)
4. All calls/emails will go directly to Michelle with 48hr notice. As much notice as possible is kindly requested for layout.
5. We require 72 hr before first staking request.
6. Hard hats, safety glasses, steel toes, work vests required
7. Additional costs above our standard \$2M insurance would be a reimbursable cost.

Task 2: Asbuilts (if required in your contract) Lump Sum \$4000.00

Notes:

1. Project Coordination through Office Manager, 859.699.3440 office@abbie-jones.com
2. Deliverable The services above include the preparation and distribution of reports associated with the field. Deliverables can include AutoCAD, Paper copies of plat as needed, pdf of plat, and legal description document(s). Please request in writing which type(s) of deliverable is required for this project.
3. Prices are valid for 180 days from date of letter.
4. Billing will be monthly by the 10th, net 30

Please indicate your acceptance by signing the Agreement and returning it to office@abbie-jones.com. Unless otherwise requested, Abbie Jones Consulting will return an electronic copy of the fully executed agreement to the Client's Project Manager via email. By executing below, you hereby authorize Abbie Jones Consulting to proceed with the work detailed herein and agree to the conditions contained in this document and in the attached general terms and conditions [hereinafter referred to as "Proposal"].

Abbie Jones Consulting appreciates the opportunity to provide professional services on this project. If you have questions, or need additional information, please contact us at 859.559.3443. We look forward to working with you.

Sincerely,


Abbie Jones, PE, PLS
President



2021 SCHEDULE OF FEES

Unless otherwise agreed to in writing, the following schedule of fees shall apply for direct labor and direct expenses associated with the delivery of services:

Principal	\$160
Senior Engineer (PE)	\$110
Staff Engineer (EIT)	\$75
Expert Witness Research/Prep	\$150
Expert Witness Deposition/Court	\$250
2-Person Crew	\$120
2-Person Crew (PLS reqd)	\$130
3-Person Crew	\$150
Senior Surveyor (PLS)	\$120
Project Surveyor (LSIT)	\$75
Microstation Drafting	\$85
Rod Person	\$60
CADD Technician	\$60
Clerical	\$75
Private Locates	\$100

Compensation For Reimbursable Expenses

Reimbursable Items will be charged a multiplier of 1.10.

Per diems will be as defined by KYTC, TDOT, Federal, other agency or, if no agency, then by IRS standards.

Compensation For Overtime

All overtime on projects will be charged a multiplier of 1.50

Cody Lokits

From: cindy england <sbloomin@gmail.com>
Sent: Wednesday, January 12, 2022 10:33 AM
To: Cody Lokits

Heartland Pump Station
Bid
Sod \$6.90 SY
Seed and straw \$1.20 Sy
Seeding with net \$1.60 Sy

--

Cindy England
Somethin Bloomin
DBE, SBE, WBENC
BS Horticulture
859-536-7568



QUOTATION

CUSTOMER: ALL BIDDERS
CONTACT:
JOB LOCATION: Lexington, Ky
JOB NAME: Hartland 3 Pump Station

QUOTE #: 22-4135
QUOTE DATE: 1/17/2022
BID DATE: 1/19/2022

ITEM	STATION	DESCRIPTION	QTY	U/M	UNIT \$	EXT \$
San MH			1	EA	\$ 1,992.00	\$ 1,992.00
01	SS MH A	Sanitary Manhole Includes Xypex, flow channel, PSX pipe seals, joint sealant			\$ 1,992.00	
San Vault			1	EA	\$ 4,683.00	\$ 4,683.00
02	Comm Vault B	Comminutor Vault Includes Xypex PSX Pipe seals, Joint Sealant *Bench & Weir Not Included*			\$ 4,683.00	
San VV			1	EA	\$ 9,856.00	\$ 9,856.00
05	Valve Vault	Valve Vault Includes Xypex Holes for Link seal Joint sealant FOB Jobsite 13 ton max pick			\$ 9,856.00	
San WW			2	EA	\$ 13,153.00	\$ 26,306.00
03	Wet Well # 1	Wet Well # 1 Includes Xypex Holes for Link seal Joint sealant FOB Jobsite 11 ton max pick			\$ 13,153.00	
04	Wet Well # 2	Wet Well # 2 " "			\$ 13,153.00	
			1	EA	\$ 6,705.00	\$ 6,705.00
001100	Kentucky Delivery		8.00	EA	\$ 450.00	\$ 3,600.00
980106	Mastic Wrap - 6" x 50' Roll (if required - as needed)		1.00	EA	\$ 55.00	\$ 55.00
980175	CS-75 Primer - Water Based (1 per 6 rolls 6" or 3 rolls 12" wrap)		1.00	EA	\$ 50.00	\$ 50.00
000401	Engineered Design Drawings & Calculations (Wet Well & Valve Vault)		2.00	EA	\$ 1,500.00	\$ 3,000.00



QUOTATION

CUSTOMER: ALL BIDDERS
 CONTACT:
 JOB LOCATION: Lexington, Ky
 JOB NAME: Hartland 3 Pump Station

QUOTE #: 22-4135
 QUOTE DATE: 1/17/2022
 BID DATE: 1/19/2022

ITEM	STATION	DESCRIPTION	QTY	U/M	UNIT \$	EXT \$
TOTAL QUOTATION						\$ 49,542.00

WBE Enterprise & NPCA Certified Plant

Pipe & Casting Selections MAY Affect Pricing

Returns shall be first-quality only and prior approval will be required. A 20% handling charge and all transportation costs will be deducted. All applicable Federal, State and Local taxes will be added to these prices

INCLUDED IN THIS QUOTE:

Delivery (All items FOB job site; 1 hour unload time included; Any extra time \$150 per hour)
 Special or partial loads will be subject to additional charges

NOT INCLUDED IN THIS QUOTE:

Sales Tax, Castings, Extended Bases, Sumps, Admixtures, Coatings of Any Kind, Drop Lugs,
 Pipe seals or Inverts in Storm Structures, Engineers Design Calculations, Unless Noted

QUOTE IS VALID FOR 60 DAYS - THANK YOU FOR THE OPPORTUNITY .

If you have any questions regarding this quote, please contact Ed Mayfield or Steve Porter at (812) 246-6258.

IMPORTANT: *This proposal is based on standard terms and conditions. Items and quantities are the basis of this quotation and we are not responsible for any discrepancies between this list and actual items and quantities.*

If you pay by Credit Card, A processing fee of 2% will be added to your invoice at the time of Payment

Accepted by: _____

Title / Position _____

1.13 LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

LFUCG Bid/RFP/Quote No. LFUCG Bid No. 129-2021



The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #	Work Period/ From:	To:
Company Name:	Address:	
Federal Tax ID:	Contact Person:	

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the Contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title

1.14 LFUCG STATEMENT OF GOOD FAITH EFFORTS

LFUCG Bid/RFP/Quote No. _____ LFUCG Bid No. 129-2021



By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBEs and/or Veterans on the project and can supply the appropriate documentation.

- Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
- Included documentation of advertising in the above publications with the bidders good faith efforts package
- Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce
- Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its

own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.

- Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.
- Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

LOKITS CONTRACTING, LLC

Company

01/19/2022

Date



Company Representative
Cody M. Lokits, PE
OWNER / CEO

1.15 EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of LOKITS CONTRACTING, LLC
(Name of Bidder)


to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

Name of Organization: LOKITS CONTRACTING, LLC

Categories	Total	White (not Hispanic or Latino)		Hispanic or Latino		Black or African-American (not Hispanic or Latino)		Native Hawaiian and other Pacific Islander (not Hispanic or Latino)		Asian (not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	2	1	1													1	1
Professionals																	
Superintendents	1	1														1	
Supervisors																	
Foremen																	
Technicians																	
Protective Service																	
Para-Professionals																	
Office/Clerical																	
Skilled Craft	1	1														1	
Service/Maintenance																	
Total	4	3	1													3	1

Prepared By:  Cody M. Lokits

Date 01 / 19 / 2022

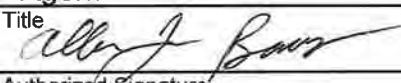
Names Insured: LOKITS CONTRACTING, LLC
 Address: 2480 Woodfield Circle, Lexington, KY 40515
 Project to be insured: LFUCG Hartland 3 Pump Station Replacement

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Section 00600 - Bonds and Certifications, including all requirements, and conditions:

Article Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided to Insured	Name of Insurer	A.M. Best's	
					Code	Rating
1.05.D.1	CGL	\$1,000,000/per occ., \$2,000,000/aggregate or \$2,000,000 combined single limit Requirements (a) through (e)	\$1,000,000 per occ \$2,000,000 aggregate	Crum & Forster Specialty Insurance Company	018245	A
1.05.D.1	Auto	Combined single \$1,000,000/per occ. aggregate Requirements (a) through (c)	\$1,000,000 per occ	Auto Owners Insurance	004354	A++
1.05.D.1	WC	\$ 1,000,000 Statutory	\$1,000,000	KEMI	011781	A-
1.05.D.1	Employer's Liability	\$500,000	\$1,000,000	KEMI	011781	A-

Section 00600 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting

Houchens Insurance Group
 Agency or Brokerage
505 Wellington Way, Suite 350
 Street Address
Lexington, KY 40503
 City
859-263-2771
 Telephone Number

Allen J. Baas
 Name of Authorized Representative
Agent
 Title

 Authorized Signature
01/19/2022
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: CONTRACT MAY NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THIS FORM FOR ALL COVERAGES LISTED ABOVE IS NOT PROVIDED.

1.18 DEBARRED FIRMS

PROJECT NAME: Hartland 3 Pump Station Replacement

LFUCG BID NO.: 129-2021

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All Bidders shall complete the Debarment Certification in duplicate and submit both copies to the Owner with the Bid Form. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development within fourteen (14) days after Bid opening.

The undersigned hereby certifies that the firm of LOKITS CONTRACTING, LLC has not and will not award a subcontract, in connection with any Agreement award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964, Executive Order 11246 As Amended or any Federal Law.

LOKITS CONTRACTING, LLC

Name of Firm Submitting Bid



Signature of Authorized Official

OWNER / CEO

Title

January 19, 2022

Date

1.19 DEBARMENT CERTIFICATION

All Contractors/Subcontractors shall complete this certification.

The Contractor/Subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.
 - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c. Have not within a three (3) year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: LOKITS CONTRACTING, LLC

Project: LFUCG Hartland 3 Pump Station Replacement

Printed Name: Cody M. Lokits

Title of Authorized Representative: Owner/CEO

Signature: 

Date: January 19, 2022

1.20 CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty for not less than \$10,000 and not more than \$100,000 for each such failure.

Cody M. Lokits, Owner/CEO

Typed Name & Title of Authorized Representative

Signature of Authorized Representative



January 19, 2022

Date

____ I am unable to certify to the above statements. My explanation is attached.

1.21 BID BOND

BID BOND

Bond Number: _____

KNOW ALL MEN BY THESE PRESENTS, that we Lokits Contracting, LLC

as principal (the "Principal") and Nationwide Mutual Insurance Company

hereinto called Surety, are held and firmly bound unto

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
200 East Main Street, Third Floor
Lexington, Kentucky 40507

as obligee (the "Obligee"), in the penal sum of 5% of amount bid dollars for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for Heartland 3 Pump Station Replacment LFUCG BD No. 129-2021 Remdial Measures Plan ID No. EH-18

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal within the period specified therein, or, if no period be specified, within ninety (90) days after opening, and the Principal shall enter into a contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or contract documents, or in the event of the failure of the Principal to enter into such contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference in money not to exceed the penal sum hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void; otherwise to remain in full force and effect. In no event shall the liability hereunder exceed the penal sum thereof.

PROVIDED AND SUBJECT TO THE CONDITION PRECEDENT, that any claim by Obligee under this bond must be submitted in writing by registered mail, to the attention of the Surety Law Department at the address above, within 120 days of the date of this bond. Any suit under this bond must be instituted before the expiration of one (1) year from the date of this bond. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall apply.

DATED as of this 19th day of January, 2022.

WITNESS / ATTEST:

Karla Lokits
Principal (Secretary)

Lokits Contracting, LLC
Principal

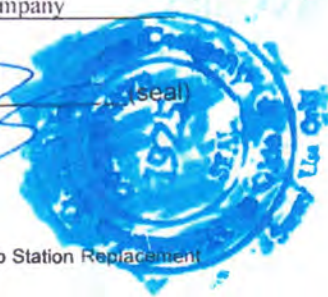
By: [Signature] (seal)
Name: Cody Lokits
Title: CEO



Adam Han
Surety (Secretary)

Nationwide Mutual Insurance Company
Surety

By: [Signature] (seal)
Name: Benjamin P Dycus
Title: Attorney-in-fact



Power of Attorney

KNOW ALL MEN BY THESE PRESENTS THAT:

Nationwide Mutual Insurance Company, an Ohio corporation

hereinafter referred to severally as the "Company" and collectively as "the Companies" does hereby make, constitute and appoint: BENJAMIN P DYCUS; KENNY ALBERT; LAKALA CARTER; MELISSA PROPST; STEVEN M BAAS;

each in their individual capacity, its true and lawful attorney-in-fact, with full power and authority to sign, seal, and execute on its behalf any and all bonds and undertakings, and other obligatory instruments of similar nature, in penalties not exceeding the sum of

UNLIMITED

and to bind the Company thereby, as fully and to the same extent as if such instruments were signed by the duly authorized officers of the Company; and all acts of said Attorney pursuant to the authority given are hereby ratified and confirmed.

This power of attorney is made and executed pursuant to and by authority of the following resolution duly adopted by the board of directors of the Company:

"RESOLVED, that the president, or any vice president be, and each hereby is, authorized and empowered to appoint attorneys-in-fact of the Company, and to authorize them to execute and deliver on behalf of the Company any and all bonds, forms, applications, memorandums, undertakings, recognizances, transfers, contracts of indemnity, policies, contracts guaranteeing the fidelity of persons holding positions of public or private trust, and other writings obligatory in nature that the business of the Company may require; and to modify or revoke, with or without cause, any such appointment or authority; provided, however, that the authority granted hereby shall in no way limit the authority of other duly authorized agents to sign and countersign any of said documents on behalf of the Company."

"RESOLVED FURTHER, that such attorneys-in-fact shall have full power and authority to execute and deliver any and all such documents and to bind the Company subject to the terms and limitations of the power of attorney issued to them, and to affix the seal of the Company thereto; provided, however, that said seal shall not be necessary for the validity of any such documents."

This power of attorney is signed and sealed under and by the following bylaws duly adopted by the board of directors of the Company.

Execution of Instruments. Any vice president, any assistant secretary or any assistant treasurer shall have the power and authority to sign or attest all approved documents, instruments, contracts, or other papers in connection with the operation of the business of the company in addition to the chairman of the board, the chief executive officer, president, treasurer or secretary; provided, however, the signature of any of them may be printed, engraved, or stamped on any approved document, contract, instrument, or other papers of the Company.

IN WITNESS WHEREOF, the Company has caused this instrument to be sealed and duly attested by the signature of its officer the 20th day of August, 2021.

[Handwritten signature of Antonio C. Albanese]

Antonio C. Albanese, Vice President of Nationwide Mutual Insurance Company

ACKNOWLEDGMENT

STATE OF NEW YORK COUNTY OF NEW YORK: ss On this 20th day of August, 2021, before me came the above-named officer for the Company aforesaid, to me personally known to be the officer described in and who executed the preceding instrument, and he acknowledged the execution of the same, and being by me duly sworn, deposes and says, that he is the officer of the Company aforesaid, that the seal affixed hereto is the corporate seal of said Company, and the said corporate seal and his signature were duly affixed and subscribed to said instrument by the authority and direction of said Company.



Stephanie Rubino McArthur Notary Public, State of New York No. 02MC6270117 Qualified in New York County Commission Expires October 19, 2024

[Handwritten signature of Stephanie Rubino McArthur]

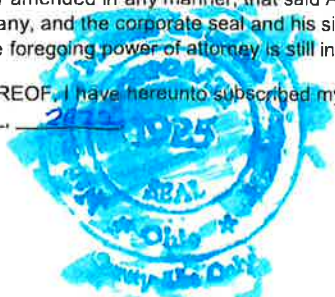
Notary Public My Commission Expires October 19, 2024

CERTIFICATE

I, Laura B. Guy, Assistant Secretary of the Company, do hereby certify that the foregoing is a full, true and correct copy of the original power of attorney issued by the Company; that the resolution included therein is a true and correct transcript from the minutes of the meetings of the boards of directors and the same has not been revoked or amended in any manner; that said Antonio C. Albanese was on the date of the execution of the foregoing power of attorney the duly elected officer of the Company, and the corporate seal and his signature as officer were duly affixed and subscribed to the said instrument by the authority of said board of directors; and the foregoing power of attorney is still in full force and effect.

IN WITNESS WHEREOF, I have hereunto subscribed my name as Assistant Secretary, and affixed the corporate seal of said Company this 19th day of

January 2025



[Handwritten signature of Laura B. Guy]

Assistant Secretary

SCHEDULE B – PREVIOUS EXPERIENCE

PAST PROJECT EXPERIENCE OF CODY LOKITS, P.E.

City of Brandenburg New Wastewater Treatment Plant: Brandenburg, KY

Oct 2020

Kentucky's first Public Private Partnership (P3) project delivery consisted of construction of a new 0.5 MGD wastewater treatment plant including new headworks facility, grit removal equipment, orbal oxidation ditch, two clarifiers, RAS/WAS pump station, chlorine contact chamber, sludge holding basin, and modifications to an existing control building to accommodate new sludge press equipment including all necessary site and electrical improvements for a new greenfield wastewater treatment plant. Project also consisted of limited demolition and closure of the existing lagoon process wastewater treatment plant.

Project Value: \$8.4 million

Project Design Engineer: GRW Engineers, Joe Pavoni, PE (502-751-1313)

Owner Contact: Mayor Ronnie Joyner (270-422-4981)

Role: Exec. Vice President / Director of Construction, The Walker Company

City of Marion New Wastewater Treatment Plant: Marion, KY

January 2020

The primary scope of work included construction of a new 1.5 MGD wastewater treatment plant including an influent pump station, screening and grit removal headworks building, orbal oxidation ditch, three clarifiers, a post aeration contact chamber, a cascade aeration channel, solids processing and administration building, blower / electrical building, various pump stations, ductile iron yard piping, and all necessary site and electrical improvements for a new greenfield wastewater treatment plant.

Project Value: \$13.7 million

Project Design Engineer: Eclipse Engineers, PLLC / Alan Robinson, PE (859-433-9585)

Owner Contact: Adam Ledford, City Administrator (270-965-5313)

Role: Exec. Vice President / Director of Construction, The Walker Company

V.D. Parrott Jr. WTP 40MGD Membrane Filtration Upgrades: Dalton, GA

Oct 2019 – Nov 2020

Project consists of the following: Modifications to the 42" and 48" raw water mains at the water treatment plant; a new flow splitter box to the five flocculation channels; rehabilitation of the existing serpentine flocculation channels including new FRP baffles; rehabilitation of the existing mechanical scraper equipment in five sedimentation basins; concrete repairs within the flocculation and sedimentation basins; a new 25' deep Membrane Pump Station with five vertical turbine pumps; new Membrane Building including a control room, laboratory, break room, restrooms, training room, offices, electrical room, and areas for membrane equipment and piping systems; installation of the membrane filtration equipment, chemical feed equipment, and other associated equipment; electrical improvements including a new electrical feed to the Membrane Building, switchgear, motor control centers, variable frequency drives, building power and lighting, site lighting, and other associated improvements; improvements to the existing Lagoons No. 1 and No. 2 including a new duplex suction lift pump station with associated piping; renovation of the Operations Building interior including new HVAC equipment, new electrical circuits and lighting in the Operations Building and Filter Building, upgrade control room, removal of existing lime silos, chemical storage tanks, elevator equipment, and other equipment on the upper level of the Operations Building.

Project Value: \$41.5 million

Project Design Engineer: Barge Design Solutions, Inc. / Raymond Cordon, PE (919-961-0663)

Owner Contact: Leslie Rush, Watershed Director (706-463-1670)

Role: Senior Project Manager, 3D Enterprises

Consolidated Utility District WTP Expansion: Murfreesboro, TN

Oct 2017 – March 2020

Project consisted of drilling and blasting of structure excavations including a new Filter Building with clearwell, pipe gallery, filter influent channel and twelve new anthracite/sand filters; four new flocculation basins; a new Backwash Basin; new yard piping, process piping, and process equipment; new electrical and SCADA systems as well as new architectural finishes. Existing plant renovation included interface with the new filter influent channel as well as new yard piping systems, new decant piping in existing settling basins and other miscellaneous facility renovation work scopes.

Project Value: \$15.5 million

Project Design Engineer: James C. Hailey & Co. / Jimmy Hailey, PE (615-351-5704)

Owner Contact: Chris Forte, Plant Manager (615-642-5273)

Role: Project Manager, 3D Enterprises

Sinking Creek WWTP Expansion: Murfreesboro, TN

Jan 2015 – Dec 2017

Project consisted of an expansion to the existing Sinking Creek WWTP while keeping all existing plant components in service. Work included drilling and blasting of structure excavations, ~10,000 cy of concrete placement, new standby generators, upgrade of existing automated controls, and upgrade of the existing plant SCADA system. Project completed successfully under budget with glowing owner reviews.

Project Value: \$30 million

Project Design Engineer: Smith, Seckman, & Reid / Brent Fowler, PE (615-979-2050)

Owner Contact: John Strickland, Plant Manager (615-848-3225)

Role: Project Manager, 3D Enterprises

Eastern Band of Cherokee Indians WTP & RWI/PS Upgrades: Cherokee, NC

Jun 2015 – Jan 2017

Project consisted of construction of new raw water intake and pumping station, demolition of existing raw water intake and pump station, improvements to existing water treatment plant including new in-line UV Reactor, new sludge pumps, new aluminum building, new clearwell, sludge holding tank modifications, miscellaneous site piping, and electrical and SCADA improvements. Project was a negotiated change order to WWTP project (below) due to owner's significant trust and fostered relationships with 3D management.

Project Value: \$8 million

Project Design Engineer: URS

Owner Contact: Ken Green, Facilities Director (828-359-6120)

Role: Project Manager, 3D Enterprises

Eastern Band of Cherokee Indians WWTP Expansion: Cherokee, NC

Dec 2014 – Jun 2016

Project consisted of construction of aeration basins, secondary clarifiers, sludge holding basins, headworks, dewatering building, administration building, ultraviolet disinfection system, post-aeration basin, odor control systems, alkaline stabilization system, and miscellaneous modifications to existing infrastructure, yard piping, and other support facilities. Project completed successfully under budget with glowing owner reviews.

Project Value: \$30 million

Project Design Engineer: Vaughn & Melton Engineers / Marios Gergiou, PE (828-253-2796)

Owner Contact: Ken Green, Facilities Director (828-359-6120)

Role: Project Manager, 3D Enterprises

Noman M. Cole PCP - Degritting Facilities Upgrades: Lorton, VA

Oct 2013 – Nov 2014

Project consisted of upgrades and rehabilitation of all Primary Sludge Degritting mechanical, electrical, and instrumentation systems as well as miscellaneous architectural and structural improvements. Mechanical construction also included installation of a new building sump and associated transfer pump drain piping and installation of new plant process water piping throughout all systems. Electrical and instrumentation installations included a new MCC and PLC for control of all new, as well as old equipment.

Project Value: \$5 million

Project Design Engineer: Parsons

Role: Project Engineer, Ulliman Schutte Construction

Noman M. Cole PCP - Dry Ash Handling System Improvements: Lorton, VA

Oct 2013 – Nov 2014

Project consisted of upgrades to the ash handling and conveying systems within sludge receiving and incinerator facilities. Upgrades include replacement of all ash conditioning equipment within facilities as well as a new ash silo, baghouse, bin vent, and primary separator within facility. Mechanical installations also included the replacement of three new vacuum pumps and associated vacuum piping, silencer piping, and pneumatically operated valving.

Project Value: \$5 million

Project Design Engineer: Hazen & Sawyer

Role: Project Engineer, Ulliman Schutte Construction

ENR-North Secondary Treatment Upgrades - Blue Plains Advanced WWTP: Washington, DC

March 2013 – Sep 2013

Project consisted of rehab of existing Secondary Reactors, Dual Purpose Sedimentation Basins, Secondary Sedimentation Basins, and associated process systems.

Project Value: \$40 million

Project Design Engineer: Black & Veatch

Role: Project Engineer, Ulliman Schutte Construction

CSO-019 Overflow & Diversion Structures – Clean Rivers Project: Washington, DC

Sep 2012 – March 2013

Project consisted of the construction and the elimination of an existing CSO (Combined Sewer Outfall) by making modifications to existing tunnels. New construction consisted of a multi-barrel overflow structure for future tie-in to a new tunnel system as well as a diversion structure constructed upstream in the existing CSO tunnel flow of the Northeast Boundary Trunk Sewer (NEBTS).

Project Value: \$28 million

Project Design Engineer: Whitman, Requardt and Associates, LLP

Role: Project Engineer, Ulliman Schutte Construction

US-27 Bypass: Cynthiana, KY

Jan 2011 – Sep 2012

Project consisted of new construction of four miles of a three-lane highway bypass around the city of Cynthiana, KY. Project contained two pre-stressed concrete I-beam bridges and one 900' steel girder bridge to be constructed over the Licking River and CSX Railroad. Project required 1.2 million cubic yards of site drilling and blasting, grading, roundabout construction, over two miles of curb and gutter construction, and construction of two reinforced concrete box culverts.

Project Value: \$40 million.

Role: Project Manager, The Walker Company

KY-15 Realignment: Breathitt County, KY

Aug 2010 – Jan 2011

Project consisted of new construction of a four-lane highway through the mountains of Eastern Kentucky. Construction consisted of removal of 1.7 million cubic yards of blasted stone material to reach roadway grade, 72" storm drain installation under an existing roadway, water and sewer relocation, and asphalt paving.

Project Value: \$15 million

Role: Project Manager, The Walker Company

US-27 Bridge Relocation: Harrison County, KY

Aug 2010 – Jan 2011

Project consisted of construction of a new pre-stressed concrete I-beam bridge structure adjacent to an existing bridge structure over CSX Railroad.

Project Value: \$15 million

Role: Project Manager, The Walker Company

CODY M. LOKITS, P.E.

2480 Woodfield Circle, Lexington, KY 40515
859-699-2961

Career Experience

W. Principles, LLC d/b/a The Walker Company

Mt. Sterling, KY

Executive Vice President, Director of Construction, Safety Officer / November 2020 – August 2021

Responsible for the overall safety, supervision, and success of the company. Responsibilities include monitoring company safety, fostering safety culture, cost management of corporate & project budgets, supervision of labor force and capital equipment requirements, project negotiations, development and implementation of strategic plans, and the overall administration of the company along with direct coordination and collaboration with consultants and owners.

3D Enterprises Contracting Corporation

Lexington, KY

Senior Project Manager / November 2014 – November 2020 (6 yrs)

Responsible for the overall safety, schedule, supervision, and success of large, complex water/wastewater treatment plant construction projects. Responsibilities include monitoring project safety, fostering safety culture, cost management of project budgets, supervision of labor force requirements, change order negotiation, development and implementation of work plans, coordination of subcontractors and vendors, and the overall administration and technical review of the construction project with direct coordination and collaboration with consultants and owners. Additional duties include project estimation of all work scopes for hard-bid public projects.

Ulliman Schutte Construction

Washington, DC / Lorton, VA

Project Engineer / September 2012 – November 2014 (2 yrs)

Responsible for monitoring compliance with company safety programs and ensuring quality work that complies with plans and specifications for water/wastewater treatment plant construction projects. Specific duties include: developing plans and working drawings, reviewing and approving engineering shop drawings, preparing and updating construction schedules and sequences utilizing Primavera P6 software, coordinating field quality control efforts, coordinating subcontractors and suppliers, procuring materials and services, negotiating contracts and associated pricing, tracking and analyzing labor and equipment costs, projecting project costs and gross margin analysis, managing inventory control systems, monitoring company safety programs, and implementing quality control programs.

The Walker Company

Mt. Sterling, KY

Project Manager / May 2010 – September 2012 (2 yrs)

Duties include maintaining multiple projects' cost and budget analysis, shop drawing review, material management, as well as labor and equipment cost analysis. Designed and implemented surveying data in 3D modeling programs for machine grading control. Managed schedule, jobsite personnel, and communicated heavily with KYDOT, subcontractors, superintendents, and foremen to progress the construction process in a timely, safe, and effective manner. Performed field quality control on all concrete structures and grading activities for conformance to the design documents.

Education/Qualifications/Certifications

Bachelor of Science in Civil Engineering

University of Kentucky – Lexington, KY

2006-2010

Licensed Professional Engineer (KY)

License No. 31264

Kentucky Energy & Environment Non-Coal, Explosives & Blasting License

License No. G 11303

AGC of KY Construction Leadership Council

Member, 2018-present

TIMOTHY "TIM" A. MUNDAY
PROJECT SUPERINTENDENT/ASST SUPERINTENDENT FOR THE FOLLOWING PROJECTS:

PROJECT LOCATION		PROJECT NAME	CONSTRUCTION AMOUNT	COMPL DATE
Mount Olive	NC	Mount Olive WWTP Lagoon Liner Repair	\$ 473,600.00	2020
Fayetteville	NC	P.O. Hoffer Raw Water Intake Screen & Slide Gate Replacement	\$ 1,132,000.00	2019
Dalton	GA	Reservoir Pump Station Rehabilitation	\$ 3,242,819.00	2018
Knoxville	TN	KUB Mark B. Whitaker WTP Bulk Hypochlorite Conversion	\$ 4,841,627.00	2017
Knoxville	TN	KUB Mark B. Whitaker WTP Intake Modifications	\$ 177,272.00	2017
Rock Hill	SC	WTP High Service Pumping Modificataions & Expansion	\$ 4,383,028.00	2016
Burlington	NC	East Burlington WWTP Nutrient Removal Improvements	\$ 7,658,370.00	2014
Burlington	NC	East Burlington WWTP Force Main Replacement	w/112-201	2014
Danville	VA	Rehabilitation of Clarifier No 4	\$ 271,600.00	2013
Danville	VA	Northside WWTP Aeration System Improvements	\$ 2,278,500.00	2012
South Pittsburg	TN	WTP Modifications and System Improvements	\$ 723,840.00	2011
South Pittsburg	TN	WTP High Service Pump System Improvements	\$ 60,900.00	2011
Brinkley	AR	Brinkley Water Treatment Plant Improvements	\$ 1,252,857.00	2010
Midland(Concord)	NC	Muddy Creek Wastewater Treatment Plant Expansion	\$ 2,345,873.00	2010
York	SC	Little Allison Creek WW Pump Station & Force Main Contract A	\$ 4,324,034.00	2009
Cartersville	GA	Cartersville Wastewater Treatment Plant Modifications	\$ 6,934,234.00	2008
Rock Hill	SC	Div II Dutchman Creek Pump Station & Force Main	\$ 4,180,387.00	2008
Cabarrus County	NC	Mt. Pleasant WW Transmission Facility PS II Impr	\$ 713,566.00	2007
Cumming	GA	Lower Etowah River Pump Station	\$ 876,921.00	2007
Morristown	TN	Turkey Creek Sewer Line Replacement - Phase I	\$ 2,939,694.00	2007
Winston-Salem	NC	South Fork Creek Pump Station	\$ 2,279,034.00	2007
Highlands	NC	Cullasaja River WWTP Expansion	\$ 4,834,547.00	2006
Greeneville	TN	Water Treatment Plant	\$ 1,216,257.00	1992
Knoxville	TN	Forks of the River Wastewater Treatment Plant	\$ 724,894.00	1991

TIMOTHY MUNDAY JOB EXPERIENCE

HAREN CONSTRUCTION COMPANY INC.

2014 - 2015

Rock Hill WTP High Service Pumping Improvements, Rock Hill, SC – Superintendent

2012 – 2014

East Burlington WWTP EBNRI, Burlington NC – Superintendent

2011 – 2012

Northside WWTP Aeration Basin Modifications, Danville Virginia WWTP – Superintendent

Northside WWTP Clarifier Repair, Danville VA - Superintendent

2011

South Pittsburg WTP Modifications, East Pittsburg TN WTP – Superintendent

2010 – 2011

Brinkley WTP Renovation, Brinkley Arkansas – Superintendent

2009 – 2010

Muddy Creek WWTP, Water and Sewer Authority of Cabarrus County NC – Superintendent

2008 - 2009

Little Allison Creek Pump Station, York SC – Superintendent

2007 – 2008

Dutchman Creek Pump Station and Force Main, Rock Hill SC – Superintendent

2006 – 2007

Cartersville GA WWTP Modifications – Superintendent

2006 – 2007

Turkey Creek Sewer Line Replacement, Morristown TN – Superintendent

2006 – 2007

Mt. Pleasant Wastewater Transmission Pump Station II Improvements, Cabarrus County, NC – Superintendent

2006

South Creek Pump Station, Winston-Salem NC – Superintendent

2006

Lower Etowah River Pump Station, Cumming GA – Superintendent

2005 – 2006

Cullasaja River WWTP Expansion, Highlands, NC – Superintendent

2005

Canton WTP Improvements, Canton NC

2003 – 2005

Phillips and Jordan – Subcontractor repairing roadways and infrastructure due to hurricane flooding in Appalachian Mountains.

1998 – 2003

Greeneville Water Commission, Greeneville TN – Assistant Superintendent - head of water and wastewater treatment plants operations. Was Supervisor of collection and distribution departments, operations and maintenance crews and shop. Started I&I, valve location, and fire hydrant flow rate programs.

Crowder Construction Company – Charlotte, NC

1994 – 1998

Bryson City WTP, Bryson City NC – Superintendent

Cullowhee WTP and Raw Water Intake, Cullowhee NC – Superintendent

Greeneville Raw Water Intake, Greeneville Water Commission, Greeneville TN. – Superintendent

Unimin Quartz Mine, Spruce Pine NC – Superintendent

Oliver Rubber Company Press Installation, Asheboro NC – Superintendent

Sugar Creek WWTP digester/clarifier conversion, Charlotte NC – Superintendent

HAREN CONSTRUCTION COMPANY INC. – ETOWAH, TN

1989 – 1994

Conover WWTP, Conover NC – Assistant Superintendent

Hendersonville WWTP, Hendersonville NC – Superintendent

Greeneville WTP Improvements, Greeneville Water Commission, Greeneville TN – Superintendent

Goldsboro WWTP, Goldsboro NC – Superintendent

Monroe WWTP Modifications, Monroe NC – Superintendent

Brasfield & Gorrie - Birmingham AL

1983 - 1989

Greeneville WWTP – Greeneville Water Commission, Greeneville TN – Mechanical Superintendent

Bay County WTP Filter/Clarifier Improvements, Panama City Florida – Superintendent

Southwest WTP, Huntsville, AL – Mechanical Superintendent

Village Creek WWTP, Jefferson County AL – Mechanical Superintendent
Patricia Walker Shaw Water Pump Station – Memphis Light, Gas, and Water, Memphis, TN – Mechanical Superintendent

Bush Building Company – Nashville, TN

1976 – 1983

Kingsport WTP, Kingsport TN – Assistant Superintendent

Madisonville WWTP, Madisonville KY – Mechanical Superintendent

Dry Creek WWTP, Nashville TN – Assistant Superintendent

Boaz WWTP, Boaz AL – Assistant Superintendent

Tuskegee WWTP, Tuskegee AL – Assistant Superintendent

Brasfield & Gorrie – Birmingham AL

1975 - 1976

Campbellsville WWTP, Campbellsville KY – Carpenter Foreman/Crane Operator

Ellis Construction Company – Campbellsville, KY

1973 – 1975

Taylor County Bank – Campbellsville KY – Carpenter Foreman

73 Convenient Stores from Knoxville to Nashville – Foreman

TIM MUNDAY REFERENCES: UTILITIES & COMMERCIAL

BOB PATTERSON	WATER RESOURCES ENGINEER, BURLINGTON NC	336-516-2255
CLAY HELM	CONST. SUPERVISOR, CUMMINS ENGINE PLANT	252-955-9905
KEITH GARTH	SUPT. MARION WATER & GAS, S.PITTSBURG, TN	423-667-7712
MARK LOMAX	WATER & SEWER AUTHORITY OF CABARRUS COUNTY	704-786-1783
STEVE KIRBY	PROJECT MGR., HAREN CONST.CO.	731-676-9575
TOM BACH	WATER RESOURCES ENGINEER, CONCORD NC	980-428-5083

AFFIRMATIVE ACTION PLAN

ADOPTED BY

LOKITS CONTRACTING, LLC

AS REQUIRED UNDER SECTION 503 AND EXECUTIVE ORDER 11246

APPROVED BY: _____


Cody M. Lokits, PE – Manager / CEO

DATE APPROVED: _____

8/17/21

Policy Statement on Equal Employment Opportunity for Protected Veterans [41 C.F.R. § 60-300.44(a)]

As the Chief Executive Officer of Lokits Contracting, LLC, I am committed to the principles of affirmative action and equal employment opportunity for protected veterans. Therefore, it is the policy of LOKITS CONTRACTING, LLC not to discriminate because of protected veteran status and to take affirmative action to employ and advance in employment qualified protected veterans at all levels within the company. LOKITS CONTRACTING, LLC will ensure that all employment actions, including but not limited to recruitment, hiring, selection for training, promotion, transfer, demotion, layoff, recall, termination, rates of pay or other forms of compensation, will be administered without regard to status as a protected veteran. LOKITS CONTRACTING, LLC will also provide qualified applicants and employees who are disabled veterans with needed reasonable accommodations, as required by law, and will ensure that all employment decisions are based only on valid job requirements. LOKITS CONTRACTING, LLC prohibits harassment of employees and applicants because they are protected veterans and will conduct training to try to prevent any harassment or discrimination before it occurs. LOKITS CONTRACTING, LLC also prohibits retaliation against employees and applicants for filing a complaint, opposing any discriminatory act or practice, assisting or participating in any manner in a review, investigation, or hearing or otherwise seeking to obtain their legal rights under any Federal, State, or local EEO law requiring equal employment opportunity for protected veterans. Prohibited retaliation includes, but is not limited to, harassment, intimidation, threats, coercion or other adverse actions that might dissuade someone from asserting their rights. In furtherance of LOKITS CONTRACTING, LLC's policy regarding affirmative action and equal employment opportunity, LOKITS CONTRACTING, LLC has developed a written Affirmative Action Program (AAP) that sets forth the policies, practices and procedures that LOKITS CONTRACTING, LLC is committed to in order to ensure that its policy of nondiscrimination and affirmative action for qualified protected veterans is accomplished. This AAP is available for inspection by any employee or applicant for employment upon request, during normal business hours, in LOKITS CONTRACTING, LLC's Administrative Department office located at 2480 Woodfield Circle, Lexington, KY. Interested persons should contact the Human Resources Office at 859-699-2961. for assistance. In order to ensure employment opportunity and affirmative action throughout all levels of LOKITS CONTRACTING, LLC, I have designated LOKITS CONTRACTING, LLC's Director of Human Resources, Kayla Lokits, as the Equal Employment Opportunity (EEO) Officer for LOKITS CONTRACTING, LLC. The EEO Officer will establish and maintain an internal audit and reporting system that will track and measure the effectiveness of LOKITS CONTRACTING, LLC's AAP and show where additional action is needed to meet LOKITS CONTRACTING, LLC's objectives.

Policy Statement on Equal Employment Opportunity for Individuals with Disabilities [41 CFR 60-741.44(a)]

As the Chief Executive Officer of LOKITS CONTRACTING, LLC, I am committed to the principles of affirmative action and equal employment opportunity for individuals with disabilities. Therefore, it is the policy of LOKITS CONTRACTING, LLC not to discriminate on the basis of disability and to take affirmative action to employ and advance in employment qualified individuals with disabilities at all levels within the company. LOKITS CONTRACTING, LLC will ensure that all employment actions, including but not limited to recruitment, hiring, selection for training, promotion, transfer, demotion, layoff, recall, termination, rates of pay or other forms of compensation, will be administered without regard to disability. LOKITS CONTRACTING, LLC will also provide qualified applicants and employees with disabilities with needed reasonable accommodations, as required by law, and will ensure that all employment decisions are based only on valid job requirements. LOKITS CONTRACTING, LLC prohibits harassment of employees and applicants on the basis of disability and will conduct training to try to prevent any harassment or discrimination before it occurs. LOKITS CONTRACTING, LLC also prohibits retaliation or punishment against employees and applicants for filing a complaint, opposing any discriminatory act or practice, assisting or participating in any manner in a review, investigation, or hearing regarding LOKITS CONTRACTING, LLC's employment practices, or otherwise seeking to obtain their legal rights under any Federal, State, or local EEO law requiring equal employment opportunity for individuals with disabilities. Prohibited retaliation includes, but is not limited to harassment, intimidation, threats, coercion or other adverse actions that might dissuade someone from asserting their rights. In furtherance of LOKITS CONTRACTING, LLC's policy regarding affirmative action and equal employment opportunity, LOKITS CONTRACTING, LLC has developed a written Affirmative Action Program (AAP) that sets forth the policies, practices and procedures that LOKITS CONTRACTING, LLC is committed to in order to ensure that its policy of nondiscrimination and affirmative action for qualified individuals with disabilities is accomplished. This AAP is available for inspection by any employee or applicant for employment upon request, during normal business hours, in LOKITS CONTRACTING, LLC's Administrative Department office located at 2480 Woodfield Circle, Lexington, KY. Interested persons should contact the Human Resources Office at 859-699-2961 for assistance. In order to ensure equal employment opportunity and affirmative action throughout all levels of LOKITS CONTRACTING, LLC, I have designated LOKITS CONTRACTING, LLC's Director of Human Resources, Kayla Lokits, as the Equal Employment Opportunity (EEO) Officer for LOKITS CONTRACTING, LLC. The EEO Officer will establish and maintain an internal audit and reporting system that will track and measure the effectiveness of LOKITS CONTRACTING, LLC's AAP and show where additional action is needed to meet LOKITS CONTRACTING, LLC's objectives.

Guidelines on Discrimination Because of Religion or National Origin

It is the policy of LOKITS CONTRACTING, LLC, to take affirmative action to insure that applicants are employed, without regard to their religion or national origin. Such action includes, but is not limited to the following employment practices: hiring, promotion, demotion, transfer, recruitment or recruitment advertising, layoff, termination, rates of pay or other forms of compensation and selection for training. Employment practices have been reviewed to determine whether members of the various religions and/or ethnic groups are receiving fair consideration for job opportunities. Attention has been directed toward executive and middle management levels.

1. The policy concerning LOKITS CONTRACTING, LLC's obligation to provide equal employment opportunity without regard to religion or national origin is communicated to all employees via employee handbooks, policy statement and the Affirmative Action Program.
2. Internal procedures have been developed in this program to insure that LOKITS CONTRACTING, LLC's obligation to provide equal employment opportunity without regard to religion or national origin is being fully implemented.
3. Employees are informed at least annually of LOKITS CONTRACTING, LLC's commitment to equal employment opportunity for all persons, without regard to religion or national origin.
4. Recruiting sources have been informed of our commitment to provide equal employment opportunity without regard to religion or national origin.
5. Employment records of all employees are reviewed to determine the availability of promotable and transferable employees.
6. Contacts with religious and ethnic organizations will be made for purposes of advice, education, technical assistance and referral of potential employees as necessary to accomplish the purpose of this program.
7. LOKITS CONTRACTING, LLC engages in recruitment activities at educational institutions with substantial enrollments of students from various ethnic and religious groups.
8. Ethnic and religious media may be used for employment advertising.

Reasonable accommodations to the religious observances and practices of employees or prospective employees will be made, unless doing so would result in undue hardship. In determining whether undue hardship exists, factors such as the cost to the company and the impact on the rights of other employees would be considered.

SECTION I - INTRODUCTION

Lokits Contracting, LLC enters into this Affirmative Action Plan (AAP) with good faith for the purpose of promoting equality of opportunity into its corporate structure. Lokits Contracting, LLC seeks to increase the recruitment of qualified women and/or minorities for possible selection into the company in the event women and/or minorities are underutilized in the company. Lokits Contracting, LLC hereby adopts the following nondiscriminatory pledge and the AAP.

Any changes made to the program by Lokits Contracting, LLC will become part of this written AAP.

SECTION II - EQUAL OPPORTUNITY PLEDGE

Lokits Contracting, LLC commits to the following Equal Opportunity Pledge:

“The recruitment, selection, employment, and training of employees, shall be without discrimination because of race, color, religion, national origin, or sex. Lokits Contracting, LLC will take affirmative action to provide equal opportunity in employment and will operate the company as required under Title 29 of the Code of Federal Regulations, part 30.”

SECTION III - UTILIZATION AND ANALYSIS. GOALS AND TIMETABLES

In order to allow positive recruitment and full utilization of minorities and women in the company, Lokits Contracting, LLC pledges to identify outreach efforts under Section IV which will be undertaken. The purpose of the analysis is to determine the minority and women’s labor force in Lokits Contracting, LLC’s labor market area. Once the labor force is determined, Lokits Contracting, LLC can determine if deficiencies exist in terms of underutilization of minorities and/or women in the occupations registered with the Registration Agency. (See attached Affirmative Action Plan Workforce Analysis Worksheet)

SECTION IV - OUTREACH AND POSITIVE RECRUITMENT

Lokits Contracting, LLC’s AAP includes the following “checked” outreach and positive recruitment efforts that would reasonably be expected to increase minority and women’s participation in the company by expanding the opportunity of minorities and women to become eligible for employment selection. **Once those efforts have been checked, Lokits Contracting, LLC will set forth the specific steps they intend to take under each identified effort.** Lokits Contracting, LLC will identify **a significant number of activities** in order to enable it to meet its obligation under Title 29, CFR part 30.4(c).

A. An announcement of specific employment openings must be disseminated thirty (30) days in advance of the earliest date for application at each interval to the following agencies/organizations:

- Registration Agency
- Women's Organizations/Centers
- Local Schools
- Employment Service Centers
- One Stop Centers
- Vocational Education Schools
- Other Organizations/Centers (which can effectively reach minorities and women)
- Newspapers (which are circulated in the minority community and among women)

The announcement will include the nature of the job opening, requirements for the role, availability of opportunities, sources of applications, and Lokits Contracting, LLC's equal opportunity policy. The period for accepting applications as established by Lokits Contracting, LLC is **30 days**.

B. Participation in annual workshops conducted by employment service agencies for the purpose of familiarizing school, employment service and other appropriate personnel with the company and current opportunities.

C. Cooperation with school boards and vocational educational systems to develop programs for preparing students to meet the standards and criteria required to qualify for entry into the company.

D. Internal communication of Lokits Contracting, LLC's equal opportunity policy should be conducted in such a manner to foster understanding, acceptance, and support among Lokits Contracting, LLC's various officers, supervisors, employees, and members, and to encourage such persons to take the necessary action to aid in meeting its obligation under Title 29, CFR part 30.

E. Engaging in programs such as outreach for the positive recruitment and preparation of potential applicants for employment opportunities; where appropriate and feasible, such programs will provide for pre-testing experience and training. In initiating and conducting these programs, Lokits Contracting, LLC may be required to work with other employers and appropriate community organizations. Lokits Contracting, LLC will also initiate programs to prepare women and encourage women to enter traditionally male programs.

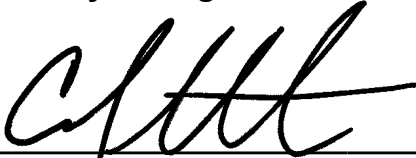
- F. Encouraging the establishment and utilization of programs of apprenticeship, preparatory trade training, or others designed to afford related work experience or prepare candidates for employment opportunities. Lokits Contracting, LLC will make appropriate provisions in its AAP to assure that those who complete such programs are afforded full and equal opportunity for admission into the company.
 - G. Utilizing journeyworkers to assist in the implementation of affirmative action in the company.
 - H. Granting advance standing or credit on the basis of previously acquired experience, training, skills, or aptitude for all applicants equally.
 - I. Other appropriate action to ensure that the recruitment, selection, employment, and training of apprentices during their apprenticeship will be without discrimination because of race, color, religion, national origin, or sex (e.g., general publication of apprenticeship opportunities and advantages in advertisements, industry reports, articles, etc., use of present minority and women apprentices and journeyworkers as recruiters; career counseling; development of reasonable procedures to ensure employment opportunity, including reporting systems, on-site reviews, briefing sessions).
-

SECTION V - ANNUAL REVIEW OF AFFIRMATIVE ACTION PLAN

Lokits Contracting, LLC will make an annual review of its current AAP and its overall effectiveness and institute any revisions or modifications warranted. The review will analyze (independently and collectively) the affirmative action steps taken by Lokits Contracting, LLC for evaluating the positive impact, as well as the adverse impact in the areas of outreach and recruitment, selection, employment, and training. They will work diligently to identify the cause and effect that result from their affirmative action measures. Lokits Contracting, LLC will continually monitor these processes in order to identify the need for a new affirmative action effort and/or deletion of ineffective existing activity(ies). Lokits Contracting, LLC will continually monitor the participation rates of minorities and women in the company in an effort to identify any type of underutilization. If underutilization exists, corrective action will be immediately implemented. The goals and timetables also will be reviewed periodically as determined by corporate management and updated where necessary.

SECTION VI - OFFICIAL ADOPTION

Lokits Contracting, LLC hereby officially adopts this Affirmative Action Plan on this 17th day of August 2021.



SIGNATURE OF MANAGER / CEO

Cody M. Lokits, P.E.

PRINTED NAME

SAMPLE WORKFORCE ANALYSIS WORKSHEET FOR EMPLOYMENT OPPORTUNITIES AVAILABLE

A. EMPLOYER INFORMATION

Program Number:	001, Rev. 0	
Name of Employer:	Lokits Contracting, LLC	
Address:	2480 Woodfield Circle	
City/State/Zip Code:	Lexington, KY 40515	
Contact Person:	Cody Lokits, PE	
Phone Number:	859-699-2961	FAX Number: N/A
E-Mail Address:	cody@lokitscontracting.com	

B. OCCUPATIONAL INFORMATION

Occupational Title: *	
Labor Market Area description:	

C. LABOR MARKET AREA DATA

Total Labor Force in Labor Market Area *		
Number of Women:		% of labor force
Number of Minorities:		% of labor force
Working Age Population in Labor Market Area *		
Number of Women:		% of labor force
Number of Minorities:		% of labor force
Apprentices in Particular Craft in Labor Market Area **		
Number of Women:		% of apprentices
Number of Minorities:		% of apprentices
The General Availability of Minorities and Women with the Present or Potential Capacity for Apprenticeship in Program Sponsor's Labor Market Area. ***		
Number of Women:		
Number of Minorities:		

Resources for obtaining labor market information.

* http://www.census.gov/hhes/www/eoindex/page_c.html

** Data available from Registration Agency.

*** Program Sponsors may use any reasonable method for determining the general availability of minorities and women with the present or potential capacity for apprenticeship, including relying on the data recorded in Section C for "Total Labor Force", "Working Age Population", and "Apprentices in Particular Craft" to propose the entries for "The General Availability of Minorities and Women."

D. EMPLOYERS' WORKFORCE DATA

E-1 Total Number of Journey/Craft Workers Employed:	2	
Number of Women:	1	50% of work force
Number of Minorities:	0	0% of work force
E-2 Total Percentage of Employees of Applicant Pool (depending on selection method used)		
Numerical percentage of Women employees or women in applicant pool:	0	%
Numerical percentage of Minority employees or minorities in applicant pool:	0	%

E. DETERMINATION OF UTILIZATION

Analysis	Yes	No
Minority Underutilization:		
Female Underutilization:		

F. EMPLOYER'S GOALS:

The program sponsor proposes and agrees to make a good faith effort to attain the goal of selecting 10 % minorities and 10 % women during the next EEO Review cycle. These goals will not be used to discriminate against any qualified applicant on the basis of race, color, religion, national origin or sex.

The number of new employees to be hired during the next year (or selection period) is estimated to be: 10

Instructions for preparing and completing this worksheet

The purpose of this workforce analysis worksheet is to establish a benchmark against which the demographic composition of Lokits Contracting, LLC's company can be compared. Lokits Contracting, LLC must separately determine the availability of minorities and women for each occupational title represented by the employment opportunity. In determining availability, Lokits Contracting, LLC must consider, at the very least, the factors identified at 29 CFR 30.4(e) in order to determine whether barriers to equal employment opportunity may exist with a particular occupational title.

Part A The Employer information section may be prepared by Lokits Contracting, LLC representative or servicing Registration Agency Representative.

Part B Occupational information will be taken from the registered program standards, and may be prepared by Lokits Contracting, LLC. A Workforce Analysis Worksheet must be completed for each occupational title identified.

Part C Employers must use the most current and discrete statistical data available in determining availability estimates for the labor market area specified by Lokits Contracting, LLC in Part B. Census data is one example of an appropriate source of statistical information. Other sources include data from local job service offices and data from colleges or other training institutions.

For purposes of this section, the term "labor force" is defined to include both those individuals who are employed and those who are unemployed but looking for employment. The term "working age population" means persons ages 15 years and over whether or not they are currently in the labor force or looking for employment.

Part D Lokits Contracting, LLC must provide current workforce data as described in Part D. If Lokits Contracting, LLC utilizes either Selection Method §30.5(b) (1) or (2), the data in D-2 will be reflective of the "pool" from which selections will be made. If Lokits Contracting, LLC utilizes the Selection Method under §30.5(b) (3) or (4), the data in D-2 will be reflective of the current apprentices registered in the program.

Part E Utilizing the data found in Parts C and D, the Lokits Contracting, LLC is to determine if minorities and/or women are underutilized and must check the appropriate response.

Part F If the analysis determines that minorities and/or women are underutilized, Lokits Contracting, LLC, utilizing the resource data found in Parts C and D, will establish goals which are reasonable in consideration of the results which could be expected from its good faith efforts to make its overall affirmative action program successful. Lokits Contracting's AAP management team will review and assess the proposed goals and if found to be reasonable and attainable, will set this as Lokits Contracting, LLCs goals for minorities and/or women.



ADDENDUM #1

Bid Number: **#129-2021**

Date: January 3, 2021

Subject: Hartland 3 Pump Station Replacement

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. SPECIFICATIONS

A. SPECIFICATION SECTION 00100 – ADVERTISEMENT FOR BIDS

Replace text in Article 1.12 – Pre-Bid Meeting and Site Visit with the following:

“A mandatory pre-Bid meeting will be held at 1:30 p.m. local time, January 5, 2021, via teleconference. A site visit will be conducted following the meeting at 3:00 p.m. local time.

Teleconference link:

Microsoft Teams meeting - Join on your computer or mobile app:

https://teams.microsoft.com/l/meetup-join/19%3ameeting_NmFjOWYzMGMtN2YwYS00YTNmLWE0YTltYjYwZjgzM2M0YTlz%40thread.v2/0?context=%7b%22Tid%22%3a%22083fc4d2-72ad-412b-ae7d-6b81b83916dd%22%2c%22Oid%22%3a%22148017a5-c42e-4f7e-864e-3de5bb20c0bc%22%7d

Or call in (audio only)

+1 502-208-2565,,439178378# United States, Louisville

Phone Conference ID: 439 178 378#



MAYOR LINDA GORTON



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

2. DRAWINGS

N/A

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: LOKITS CONTRACTING, LLC

ADDRESS: 2480 WOODFIELD CIR, LEXINGTON, KY 40515

SIGNATURE OF BIDDER:



**ADDENDUM #2**Bid Number: **#129-2021**

Date: January 13, 2021

Subject: Hartland 3 Pump Station Replacement

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325**TO ALL PROSPECTIVE SUBMITTERS:**

Please be advised of the following clarifications to the above referenced Bid:

1. GENERAL QUESTIONS

	Questions	Answers
1.	Is the site visit set for January 5 th the only time contractors can tour/access the site?	This was answered in the Prebid Meeting Summary. The schedule site visit will be the only time that the fence to the existing station will be opened. The site itself is accessible through the Hartland Racquet Club
2.	My asphalt contractor informed me that they will not warranty the asphalt paving due to the design thickness of asphalt. Can this be changed to add base asphalt under surface instead of stone?	See the attached Pavement section detail. Pavement section shall be 2" of asphalt surface, 3" asphalt base, and 8" DGA.
3.	Would the full depth asphalt shading (Note#1 on sheet C-05) need to be extended west a bit as we will be tearing up a lot of the existing paving for new excavation of wet wells & manholes?	Bid as shown. If any adjustments are needed during construction, they can be made and paid at the unit price per ton.
4	How wide & what depth will the class 2 channel lining be along the 200'lf west side of the road?	6 feet wide x 12 -inch depth
5	Unit price #5 connection to existing 15"inch pipe. What kind of pipe is the existing?	PVC truss pipe. Contractor is responsible for confirming OD of pipe for fittings.
6	How tall is the chain link fence around the pump station?	8 feet tall. See LFUCG standard drawing 309 on Sheet SD3
7	We have a couple of submersible pump options for which we are seeking approval as an equal to the specified pumps.	See Specification Section 00300-Information Available to Bidders, item 1.18 Substitute or "or - equal" Items. Submersible Sewage Pumps must meet section 11310 in its entirety to be considered.





8	Erosion & Sediment Control performance bond. 00300-3 states bond shall be for total unit bid price amount. 00600-8 Erosion & Sediment Control performance bond should be for 1% of total bid price. Please clarify.	Erosion and Sediment Control performance bond shall be 1% of the total bid price.
9	Page 00800-7, 11.03.D.1 Delete materially and significantly and insert by "more than plus or minus 20%". Is it correct to say any unit price quantity can run over by 20% and no extra compensation will start until after exceeding 20%?	Contractor's compensation will be made on units installed based on the unit bid price.
10	Page 01025-3 Gravity sewer fitting paid separately. Page 01025-4 Force main fitting paid separately. Should fittings be included in the unit price for line items...13-Gravity Sewer Line, and 14-Force Main Pipe	<p>Specification Section 01025 – Measurement and Payment</p> <p>A. Remove the following sentence from Section 01025, Part 2.5 Gravity Sewer:</p> <p style="padding-left: 40px;">a. Fittings and tees are paid for separately under a different pay item and not included in the length of the pipe.</p> <p>B. Remove the following sentence from Section 01025, Part 2.6 Force Main Pipe:</p> <p style="padding-left: 40px;">a. Fittings are paid for separately under a different pay item and not included in the length of the pipe.</p> <p>Fittings shall be included in the unit price for line items 13 – Gravity Sewer Line and 14 -Force Main Pipe</p>





11	<p>Bid item 25 Miscellaneous site improvements and Item 26 Electrical expense for new station: Bid Item 26 Electrical expense for new station – this allowance per page 01210-2, 1.06, B states contractors cost at project site for labor, installation, overhead and profit and similar cost related to the equipment ordered under allowance shall be included as part of the contract sum and not part of the allowance. At this time we do not know labor, installation, overhead required for this as it is not specified so we can't provide compensation & cost to do this work? Please advise.</p>	<p>See section 01025.2.23 and 01210.1.06-1.07 for allowable expenses under each allowance.</p>
12	<p>Also, to be clear, all electric on plan sheets C-00 to E-06 are to be included in unit pricing and not compensated by allowance bid item 26, correct?</p>	<p>Correct</p>
13	<p>Bid Item 25 – Miscellaneous site improvements is an undefined allowance. 1.07 Page 01210-2 and allows contractors cost plus mark-up for profit & overhead, if allowance is used. Correct?</p>	<p>See section 01025.2.23 and 01210.1.06-1.07 for allowable expenses under each allowance.</p>
14	<p>Page 01520-2 of the specifications calls for contractor to reconnect existing pipes that are being bypassed with temporary pumping so temporary by-pass pumping does not occur when contractor is not onsite. Once demolition of existing components are removed they cannot be reconnected. Please clarify.</p>	<p>Contractor is responsible for maintaining flow throughout construction.</p>
15	<p>Page 02532-8 – TV Survey of sewer line. With short length of gravity sewer pipeline is this required?</p>	<p>Yes.</p>





16	Page 02531-5 Electrical markers are specified but plans do not show them. Are these required?	Yes. Electronic markers will be required on the new force main installed per section 02531.2.06.
17	Page 11900-3 Open Channel Grinder. LFUCG has previously accepted Vogelsang as an approved equal, will Vogelsang be considered an approved equal for this project?	See Specification Section 00300-Information Available to Bidders, item 1.18 Substitute or "or - equal" Items. We cannot evaluate an alternate equipment at this time. Open Channel Grinder alternate equipment must meet section 11900 in its entirety to be considered.
18	Can bidder receive a complete profile plan sheet of existing force main? Or can you provide length of existing force main?	Approximately length of the existing force main is 1,700 LF.
19	Can new force main be tied into existing force main before the existing temporary bypass connection?	Bid as shown.
20	Plan Sheet C-05, 8' Diameter Wetwell No. 1 & 2. Has invert elevation of 938.40. Is this referring to the new 16" diameter gravity line?	Yes. Invert 938.40 is for the 16" diameter gravity line coming from the comminutor vault.
21	Plan Sheet C-07. Valve vault does not show access ladder. Is one required?	No
22	Geotechnical report has some recommendations. Are these recommendations required if they are not included in the specifications or mentioned in the plans? Or is geotechnical report for reference only?	Geotechnical report is included in the Specifications and part of the Contract Documents.





23	Plan Sheet E-02, Note 7 – Are contractors responsible for programming RTU or contractor just responsible for setting the RTU panel & Yagi antennae for LFUCG to do programming? On past projects LFUCG has been responsible for RTU programming.	LFUCG will be responsible for integration, but Contractor will be required to coordinate with and facilitate the integrator.
24	Page 02608-1, 2.01, C&D we interpret the conshield admixture does not apply to any new manholes or precast or any existing precast on this project? Correct?	Correct.
25	Specification Section 11310, Page 5, 1.06 Guarantee period and pump warranty with 3-years inspection and 5 year parts & labor. Can the contractor transfer this warranty to be between LFUCG and the pump manufacturer, and the contractor only be involved in the 1-year construction warranty? Please advise.	See Section 2 – Specifications of this Addendum for clarification.
26	General construction plan sheet requires contractor to have power broom on site at all times. Is this a requirement?	Contractor is responsible for keeping access road to and from the site clean at all times.
27	Plan Sheet C-07. All pipe penetration into wet well and valve vault shall use only link seals which will require core holes? Is this correct?	All penetrations will require Link seals. How penetrations are created gets into Means and Methods and are the responsibility of the contractor.





2. SPECIFICATION

- A. Specification Section 11310 – Solids Handling Submersible Sewage Pumps
 - a. Remove Section 11310, Part 1.06 Guarantee Period
 - b. Replace Section 11310, Part 1.07 Pump Warranty, with the following paragraph:
 - i. The pump manufacturer shall warrant the pumps being supplied to the Owner against defects in workmanship and materials for a period of 5 years under normal use, operation and service. In addition, the manufacturer shall replace certain parts which become defective through normal use and wear on a progressive schedule of cost for a period of 5 years. Parts included are the mechanical seal, impeller, pump housing, wear rings, and ball bearings. The warranty shall be in published form and apply to all similar units. The warranty shall be between the Owner and the Manufacturer
- B. Include Specification Section 00890 – Permits

3. DRAWINGS

- A. Drawing C-05
 - a. Revise Keynote 1 to read:
 - i. Contractor to install 8-inch DGA base, 3-inch asphalt base layer, followed by 2-inch asphalt surface layer.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: LOKITS CONTRACTING, LLC

ADDRESS: 2480 WOODFIELD CIR, LEXINGTON, KY 40515

SIGNATURE OF BIDDER: *[Handwritten Signature]*

Attachments:

- 1. Pre bid Meeting Summary
- 2. Pre bid Meeting Roster
- 3. Specification Section 00890 - Permits
- 4. Asphalt Pavement Section Detail





ADDENDUM #3

Bid Number: **#129-2021**

Date: January 14, 2021

Subject: Hartland 3 Pump Station Replacement

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. Revised pre-bid attendance roster.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: LOKITS CONTRACTING, LLC

ADDRESS: 2480 WOODFIELD CIR, LEXINGTON, KY 40515

SIGNATURE OF BIDDER: 

Attachments:

1. Pre bid Meeting Roster

