

PART III

ANNISTON/WICKLAND CAPITAL STORM SEWER IMPROVEMENT PROGRAM PHASE 1

FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: 3/26/12

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by WOODMAN CONST. CO. INC.
1332 CAHILL DR. HWY KY 40509
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of KY, doing
business as WOODMAN CONST. CO. INC.
"a corporation," "a partnership", or "an individual" as applicable

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The bidder, in compliance with your Invitation for Bids for the ANNISTON/WICKLAND CAPITAL STORM SEWER IMPROVEMENT PROGRAM PHASE 1, Lexington, Kentucky, having examined all the bid documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

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The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 3/19/12; Addendum No. _____ Date _____

Addendum No. _____ Date _____; Addendum No. _____ Date _____

Addendum No. _____ Date _____; Addendum No. _____ Date _____

Addendum No. _____ Date _____; Addendum No. _____ Date _____

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

FORM OF PROPOSAL

LEGAL STATUS OF BIDDER

Bidder WOODAM

Date 3/26/12

* 1. A corporation duly organized and doing business under the laws of the State of KY, for whom SCOTT WOODAM, bearing the official title of PRESIDENT, whose signature is affixed to this Proposal, is duly authorized to execute contracts.

* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

~~_____

_____~~

* 3. An individual, whose signature is affixed to this Proposal.

~~_____

_____~~

*(The Bidder shall fill out the appropriate form and strike out the other two.)

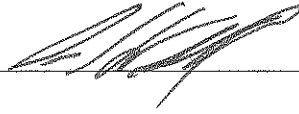
BIDDERS AFFIDAVIT

Comes the Affiant, Scott Woodman, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Scott Woodman and he/she is the individual submitting the bid or is the authorized representative of WOODMAN Const. Co., the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a

person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.



COMMONWEALTH OF KENTUCKY

COUNTY OF FAYETTE

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Scott L. Woodall on this the 26 day of March, 2012

My Commission expires:

5/13/15



NOTARY PUBLIC, STATE AT LARGE, KY

FORM OF PROPOSAL

BID SCHEDULE

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following lump sum and/or unit prices which shall include the furnishing of all labor, materials, supplies, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved. The Bidder must make the extensions and additions showing the total amount of bid. The information shown below is the Engineers Schedule of Values. It is the Contractor's responsibility to include any additional quantities, descriptions, unit prices and total amounts for items shown in the contract documents for completion of the work.

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written In Words	Unit Price	Total Amount Bid
1.	5	EA	Remove Existing Headwall _____ Dollars _____ Cents Per Each	\$ 378.23	\$ 1,891.15
2.	1	EA	Remove Existing Manhole _____ Dollars _____ Cents Per Each	\$ 378.23	\$ 378.23
3.	98	LF	Remove Existing 24" RCP Storm Pipe _____ Dollars _____ Cents Per Linear Foot	\$ 11.18	\$ 1,095.44
4.	65	LF	Remove Existing 36" RCP Storm Pipe _____ Dollars _____ Cents Per Linear Foot	\$ 11.18	\$ 726.70
5.	581	LF	Remove Existing Chain Link Fence _____ Dollars _____ Cents Per Linear Foot	\$ 7.03	\$ 4,084.43

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written In Words	Unit Price	Total Amount Bid
6.	9	LF	Remove Concrete Curb & Gutter _____ Dollars _____ Cents Per Linear Foot	\$ 25.00	\$ 225.00
7.	3	SY	Remove Concrete Sidewalk _____ Dollars _____ Cents Per Square Yard	\$ 25.00	\$ 75.00
8.	14	SY	Remove Entrance Apron _____ Dollars _____ Cents Per Square Yard	\$ 20.00	\$ 280.00
9.	1126	SY	Milling of Wickland Drive _____ Dollars _____ Cents Per Square Yard	\$ 2.64	\$ 2,972.64
11.	226	SY	Remove existing concrete pavement _____ Dollars _____ Cents Per Square Yard	\$ 3.80	\$ 858.80
12.	1	LS	Remove Rip-rap _____ Dollars _____ Cents Per Lump Sum	\$ 1,750.00	\$ 1,750.00
13.	123	LF	Remove 8" VCP Sanitary Sewer Line _____ Dollars _____ Cents Per Linear Foot	\$ 11.18	\$ 1,375.14
14.	80	LF	Remove 6" VCP Sanitary Sewer Lateral _____ Dollars _____ Cents Per Linear Foot	\$ 11.18	\$ 894.40
15.	1	EA	Remove Standard 4" Dia. Sanitary Sewer Manhole _____ Dollars _____ Cents Per Each	\$ 378.23	\$ 378.23

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written In Words	Unit Price <i>Go</i>	Total Amount Bid <i>Go</i>
16.	2	LS	Clearing and Grubbing	\$	\$
			Dollars		
			Cents		
			Per Lump Sum	<i>22,861.99</i>	<i>45,763.98</i>
17.	7,200	CY	Excavation	\$ <i>13.47</i>	\$ <i>96,984.00</i>
			Dollars		
			Cents		
			Per Cubic Yard		
18.	7,600	SY	Sodding	\$ <i>4.28</i>	\$ <i>32,528.00</i>
			Dollars		
			Cents		
			Per Square Yard		
19.	149	LF	18" RCP Storm Pipe	\$ <i>42.32</i>	\$ <i>6,305.68</i>
			Dollars		
			Cents		
			Per Linear Foot		
20.	108	LF	24" RCP Storm Pipe	\$ <i>56.64</i>	\$ <i>6,117.12</i>
			Dollars		
			Cents		
			Per Linear Foot		
21.	50	LF	30" RCP Storm Pipe	\$ <i>86.28</i>	\$ <i>4,314.00</i>
			Dollars		
			Cents		
			Per Linear Foot		
22.	162	LF	36" RCP Storm Pipe	\$ <i>97.91</i>	\$ <i>15,861.42</i>
			Dollars		
			Cents		
			Per Linear Foot		
23.	205	LF	29" x 45" Elliptical RCP Storm Pipe	\$ <i>122.29</i>	\$ <i>25,069.45</i>
			Dollars		
			Cents		
			Per Linear Foot		
24.	2	EA	Storm Sewer Manhole (4' Dia.)	\$ <i>2,052.79</i>	\$ <i>4,101.58</i>
			Dollars		
			Cents		
			Per Each		
25.	2	EA	Storm Sewer Manhole (6' Dia)	\$ <i>3,437.84</i>	\$ <i>6,875.68</i>
			Dollars		
			Cents		
			Per Each		

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written In Words	Unit Price	Total Amount Bid
26.	2	EA	LFUCG Surface Inlet (Type A) _____ Dollars _____ Cents Per Each	\$ 7,442.91	\$ 14,885.82
27.	1	EA	LFUCG Pipe Culvert Headwall (24") _____ Dollars _____ Cents Per Each	\$ 1,118.45	\$ 1,118.45
28.	1	EA	LFUCG Impact Stilling Basin (18") _____ Dollars _____ Cents Per Each	\$ 2,100.20	\$ 2,100.20
29.	3	EA	Basin Riser Structure _____ Dollars _____ Cents Per Each	\$ 4,725.75	\$ 14,177.25
30.	2211	SF	Articulated Block Channel Protection _____ Dollars _____ Cents Per Square Foot	\$ 11.43	\$ 25,271.73
31.	1	LS	"Filtrexx" Inlet Protection _____ Dollars _____ Cents Per Lump Sum	\$ 1,707.37	\$ 1,707.37
32.	184	SY	Turf Reinforcement Mat _____ Dollars _____ Cents Per Square Yard	\$ 31.37	\$ 5,772.08
33.	120	LF	8" D.I. Sanitary Sewer Pipe _____ Dollars _____ Cents Per Linear Foot	\$ 112.91	\$ 13,549.20
34.	76	LF	8" PVC Sanitary Sewer Pipe _____ Dollars _____ Cents Per Linear Foot	\$ 45.99	\$ 3,495.24
35.	10	LF	6" PVC Sanitary Sewer Lateral _____ Dollars _____ Cents Per Linear Foot	\$ 45.99	\$ 459.90

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written In Words	Unit Price	Total Amount Bid
36.	1	EA	Standard 4' Dia. Sanitary Sewer Manhole _____ Dollars _____ Cents Per Each	\$ 4,048.22	\$ 4,048.22
37.	1	EA	Standard 4' Dia. Sanitary Sewer Drop Manhole _____ Dollars _____ Cents Per Each	\$ 3,686.11	\$ 3,686.11
38.	1126	SY	Resurfacing of Wickland Drive _____ Dollars _____ Cents Per Square Yard	\$ 8.24	\$ 9,278.24
39.	1	EA	Sinkhole Improvements _____ Dollars _____ Cents Per Each	\$ 4,301.91	\$ 4,301.91
40.	600	EA	Plantings _____ Dollars _____ Cents Per Each	\$.01	\$ 6.00
41.	430	LF	Replace Chain Link Fence (10' Tall) _____ Dollars _____ Cents Per Linear Foot	\$ 27.02	\$ 11,618.60
42.	30	LF	Replace Chain Link Fence (4' Tall) _____ Dollars _____ Cents Per Linear Foot	\$ 21.40	\$ 642.00
43.	12	EA	Signs _____ Dollars _____ Cents Per Each	\$ 512.58	\$ 6,150.96
44.	29	LF	Curb & Gutter _____ Dollars _____ Cents Per Linear Foot	\$ 30.00	\$ 870.00
45.	13	SY	Sidewalk _____ Dollars _____ Cents Per Square Yard	\$ 75.00	\$ 975.00

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written In Words	Unit Price	Total Amount Bid
46.	114	LF	Tree Protection Fence _____ Dollars _____ Cents Per Linear Foot	\$ 5.00	\$ 570.00
47.	1	LS	Soil Erosion & Sediment Control _____ Dollars _____ Cents Per Lump Sum	\$ 10,395.00	\$ 10,395.00
48.	1	LS	Mobilization & Demobilization _____ Dollars _____ Cents Per Lump Sum	\$ 22,389.00	\$ 22,389.00
49.	1	LS	Final Clean Up _____ Dollars _____ Cents Per Lump Sum	\$ 3,648.33	\$ 3,648.33

Total Amount of Bid (Items 1 through 49)

= \$ 422,020.90

Respectfully Submitted,

BY: *Woodell Construction Co., Inc.*
(NAME OF FIRM)

DATE: *3-28-2012*

BY: *[Signature]*

TITLE: *[Signature]*

OFFICIAL ADDRESS:

1332 Cahill Dr.

Way Ky 40504

(Seal if Bid is by Corporation)
by signing this form you agree to all of the terms and associated forms.

FORM OF PROPOSAL

STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: WOODAM
2. Permanent Place of Business: 1332 CAHILL DR. LEX KY
3. When Organized: 1982
4. Where Incorporated: KY
5. Construction Plant and Equipment Available for this Project:
DOZER
TRACTOR
LOADER
TRUCKS

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.
7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:
SEE BID BOND (Surety)
Signed: _____ (Representative of Surety)

8. The following is a list of similar projects performed by the bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
CLAYS MILL	LEW	2 M
EAST MAIN	LEW	3.7 M

9. The bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
CLAYS MILL	LEW	2 M
EAST MAIN	LEW	3.7 M

10. List Key bidder Personnel who will work on this Project:

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
Robert Myers	ENG	3
Scott Woodall	PRJ	15
Johnny Bussell	SUPER	10

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> (LIST)	<u>PROJECT</u> (SPECIFIC TYPE)	<u>DBE</u>	<u>MAJORITY</u>
Poo MARK	CLAYS MILL	✓	
WINKLEY SOD FARM	CLAYS MILL	✓	
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low bidder, we will submit to the Owner within 7 calendar days following the Bid Opening, a sworn statement on the Owner's form regarding all current work on hand and under contract, and a statement on the Owner's form of the experience of our officers, office management and field management personnel. Additionally, if requested by the Owner, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the Owner)—all in accordance with the Bid Documents.

Respectfully submitted:

Handell Construction Co., Inc.
(Name of Contracting Firm)

BY: [Signature]

TITLE: Pres.

DATE 3-26-, 2012

FORM OF PROPOSAL

LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

BRANCH OF WORK - LIST EACH MAJOR ITEM

SUBCONTRACTOR

- | | |
|-------------------|--|
| 1. <u>STAKING</u> | Name: <u>ABBIE JONES</u>
Address: _____ |
| 2. <u>PAVING</u> | Name: <u>ATS</u>
Address: _____ |
| 3. _____ | Name: _____
Address: _____ |
| 4. _____ | Name: _____
Address: _____ |
| 5. _____ | Name: _____
Address: _____ |
| 6. _____ | Name: _____
Address: _____ |
| 7. _____ | Name: _____
Address: _____ |

FORM OF PROPOSAL


AUTHENTICATION OF BID AND STATEMENT OF

NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.

READ CAREFULLY - SIGN IN SPACE BELOW - FAILURE TO SIGN INVALIDATES BID.

Signed by 

Firm Woodell Construction Co., Inc.

Address 1332 Cahill Drive

Lexington, Ky 40504

Telephone 859-233-2909

Date 3-26-2012

FORM OF PROPOSAL

STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: Scott W

POSITION/TITLE: Pres

STATEMENT OF EXPERIENCE: 15 YEARS

NAME OF INDIVIDUAL: Robert M.

POSITION/TITLE: ENG.

STATEMENT OF EXPERIENCE: 20 YEARS

NAME OF INDIVIDUAL: Johamy Buswell

POSITION/TITLE: Super

STATEMENT OF EXPERIENCE: 20 YEARS

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

BY: Woodell Construction Co., Inc.
Name of Firm

DATE: 3-26-2012

BY: [Signature]

TITLE: President

* Include all officers, office management's, Affirmative Action officials, and field management personnel. Attach separate sheets if necessary.

EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

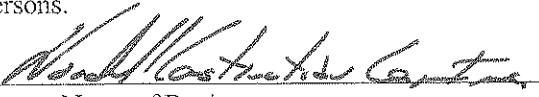
Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.



Signature



Name of Business

EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of WOODMAN CONST. CO. INC.
to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

John Conwell has been appointed Equal Employment Compliance (EEOC) Officer and shall be available for counseling, answering of questions in regards to this company policy, and to hear any complaints of discrimination. The EEOC Officer may be reached by calling 273 2907.

Signature: 
(Bidding Contractor)

Title: 

Date: 3-26-2012

WORKFORCE ANALYSIS FORM

Name of Organization: _____ Date: ____/____/____

Categories	Total		White		Black		Other		Total	
	M	F	M	F	M	F	M	F	M	F
Administrators										
Professionals										
Superintendents										
Supervisors										
Foremen										
Technicians										
Protective Service										
Para-Professionals										
Office/Clerical										
Skilled Craft										
Service/Maintenance										
Total:										

Prepared By: _____

WORKFORCE ANALYSIS FORM

Name of Organization: Wooda 11 Construction Co., Inc.

Date: / /

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators	5	4	1					4	1
Professionals	2	2						2	
Superintendents	1	1						1	
Supervisors									
Foremen	5	5						5	
Technicians <i>Welders</i>	27	26		1				27	
<i>Truck Drivers</i> Protective Service	8	5	1	2				7	1
Para-Professionals									
Office/Clerical	1		1						1
Skilled Craft	34	30	1	3				33	1
Service/Maintenance	5	5							
Total:	88	78	4	6				84	4

Prepared By: John O. Crumfill, Controller

EVIDENCE OF INSURABILITY
 LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: WOODMAN CONST. CO. INC. Employee ID: 61-1138771
 Address: 1332 CASHIN DR. Phone: 859-233-2909
MUL KY 40504

Project to be insured: ANNISBOR WICKLAND STORM SEWER

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
00SC14.1.1	CGI	\$1,000,000/per occ; \$2,000,000 aggregate; or \$2,000,000 combined single limit.	\$3,000,000 aggregate \$1,000,000 per occ	Selective Insurance	000826	A+
00SC14.1.2	AUTO	Combined single; \$1,000,000 per occurrence	\$1,000,000	Amerisure Insurance	004032	A
00SC14.1.3	WC	\$ STATUTORY w/endorsement for Employer's Liability of \$500,000/ per occ.	\$1,000,000 ea accident \$1,000,000 disbase per employee \$1,000,000 disbase Int policy			

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

PR#1 Insurance Services
 Agency or Brokerage
200 W Vine St, Ste 300
 Street Address
Lexington KY 40507
 City State Zip
859-224-8899
 Telephone Number

Howard See, Jr.
 Name of Authorized Representative
Vice President/Producer
 Title
[Signature]
 Authorized Signature
3/26/12
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: CONTRACT MAY NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THIS FORM FOR ALL COVERAGE'S LISTED ABOVE IS NOT PROVIDED.

**Lexington-Fayette Urban County Government
MBE/WBE Participation Goals**

PART 1 - GENERAL

- 1.1 The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE) and Woman-Owned (WBE) Business Enterprises as subcontractors or suppliers in their bids.
- 1.2 Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned and Woman-Owned Businesses on this contract.
- 1.3 **It is therefore a request of each Bidder to include in its bid, the same goal (10%) or for MBE/WBE participation and other requirements as outlined in this section.**

PART 2 - PROCEDURES

- 2.1 The successful bidder will be required to report to the LFUCG, the dollar amounts of all purchase orders submitted to Minority-Owned or Woman-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2.2 Replacement of a Minority-Owned or Woman-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MBE/WBE Firm; this is subject to approval by the LFUCG. (See LFUCG MBE/WBE Substitution Form)
- 2.3 For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - A. The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 2.4 The LFUCG will make every effort to notify interested MBE/WBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

PART 3 - DEFINITIONS

- 3.1 A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 3.2 A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.

PART 4 - OBLIGATION OF BIDDER

- 4.1 **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MBE/WBE subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 4.2 Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 4.3 The Form of Proposal includes a section entitled "MBE/WBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4.4 **Failure to submit this information as requested may be cause for rejection of bid.**

PART 5 - DOCUMENTATION REQUIRED

- 5.1 Bidders reaching the Goal are required to submit only the "MBE/WBE Participation Form." The form must be fully completed including names and telephone number of participating MBE/WBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 5.2 Bidders not reaching the Goal must submit the "MBE/WBE Participation Form", the "MBE Quote Summary Form" and a written statement documenting their Good Faith Effort to do so (If bid includes no MBE/WBE participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder may submit the following as proof of Good Faith Efforts to meet the Participation Goal:
 - A. Advertisement by the bidder of MBE/WBE Contracting opportunities associated with this bid in at least two (2) of the following:
 1. A periodical in general circulation throughout the region
 2. A Minority-Focused periodical in general circulation throughout the region
 3. A Trade periodical aimed at the MBE/WBE community in general circulation throughout the region

4. Bidder shall include copies of dated advertisement with his submittal
- B. Evidence of written notice of contracting opportunities to at least five (5) MBE/WBE firms serving the construction industry at least seven (7) days prior to the bid opening date.
 - C. Copies of quotations submitted by MBE/WBE firms which were not used due to uncompetitive pricing or other factors and/or copies of responses from firms that were contacted indicating that they would not be submitting a bid.
 - D. Documentation of Bidder's utilization of the agencies identified to help locate potential MBE/WBE firms for inclusion on the contract including responses from agencies.
 - E. Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. "Record of MBE/WBE Solicitation" and other required documentation of Good Faith Efforts are to be submitted with the bid, if participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Marilyn Clark
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
mclark@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

“A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises...”

A Disadvantaged Business Enterprise is defined as a business at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female

We are very happy that you have decided to bid for a contract, request for proposal, submitted a quote or are interested in learning more about how to do business with Lexington-Fayette Urban County Government. We have compiled the list below to help you locate certified minority vendors.

LFUCG—Economic Engine Listings

Marilyn Clark
mclark@lexingtonky.gov
859-258-3323

Commerce Lexington—
Tyrone Tyra, Minority Business Development
tyra@commercelexington.com
859-226-1625

Tri-State Minority Supplier Diversity Council
Sonya Brown
sbrown@tsmsdc.com
502-625-0137

Small Business Development Council
Dee Dee Harbut /UK SBDC
ddharbut@uky.edu

Shawn Rogers, UK SBDC
Shawn.rogers@uky.edu

Shiree Mack
smack@uky.edu

Community Ventures Corporation
James Coles
jcoles@cvcky.org
859-231-0054

Kentucky Department of Transportation
Shella Jarvis
Shella.Jarvis@ky.gov
502-564-3601

KPAP
Debbie McKnight
Debbie.McKnight@ky.gov
800-838-3266 or 502-564-4252

Bobbie Carlton
Bobbie.Carlton@ky.gov

Ohio River Valley Women's Business Council
Rea Waldon
rwaldon@gcul.org
513-487-6534

Kentucky Small Business Connect
Tom Back
800-626-2250 or 502-564-2064
<https://secure.kentucky.gov//sbc>

**National Minority Supplier Development Council, Inc.
(NMSDC)**
www.nmsdc.org

LFUCG MBE/WBE PARTICIPATION FORM

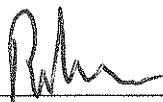
Bid/RFP/Quote Reference # _____

The MBE/WBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MBE/WBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. ADDIE JONES	STAKING	\$ 5,500	1.2%
2.			
3.			
4.			

The undersigned company representative submits the above list of MBE/WBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

WOODSON CONCRETE CO.
 Company
3/26/12
 Date


 By
ENGINEER
 Title

LFUCG MBE/WBE SUBSTITUTION FORM
 Bid/RFP/Quote Reference # _____

The substituted MBE/WBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MBE/WBE Company Name, Address, Phone, Email	MBE/WBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed.	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Wocorn
Company

[Signature]
Company Representative

3/26/12
Date

ENG.
Title



MBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # _____

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

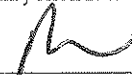
Company Name WOODAM	Contact Person Robert Lynn
Address/Phone/Email 1332 Cahill Dr.	Bid Package / Bid Date 3/26/12 Anawiston

MBE/WBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
Abbie Joyce Agde	Agde	5593243	3/20/12	Stair	email plan	5,500	Female

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

WOODAM Const. Co.
 Company
3/26/12
 Date


 Company Representative
ENG.
 Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MBE/WBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # _____

Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address: _____
Federal Tax ID:	Contact Person: _____

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # Anniston Wickland

By the signature below of an authorized company representative, we certify that we have utilized the following methods to obtain the maximum practicable participation by minority and women owned business enterprises on the project. Please indicate which methods you used by placing an X in the appropriate place.

- Attended LFUCG Central Purchasing Economic Inclusion Outreach Event
- Sponsored Economic Inclusion event to provide networking opportunities
- Requested a list of MBE/WBE subcontractors or suppliers from LFUCG Economic Engine
- Advertised for MBE/WBE subcontractors or suppliers in local or regional newspapers
- Showed evidence of written notice of contracting and/or supplier opportunities to MBE/WBE firms at least seven days prior to the bid opening date
- Provided copies of quotations submitted by MBE/WBE firms which were not used and/or responses from firms indicating they would not be submitting a quote
- Provided plans, specifications, and requirements to interested MBE/WBE subcontractors
- Other
Please list any other methods utilized that aren't covered above.
KYTC website

The undersigned acknowledges that all information is accurate. Any misrepresentations may result termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Wade Construction Co. Inc.
Company

[Signature]
Company Representative

3/26/12
Date

[Signature]
Title

THE AMERICAN INSTITUTE OF ARCHITECTS

AIA Document A310 Bid Bond

KNOW ALL MEN BY THESE PRESENTS, THAT WE Woodall Construction Co., Inc.

1332 Cahill Drive, Lexington, KY 40504-1164

as Principal, hereinafter called the Principal, and The Hanover Insurance Company

440 Lincoln Street, Worcester, MA 01653

a corporation duly organized under the laws of the State of NH

as Surety, hereinafter called the Surety, are held and firmly bound unto LFUCG

200 East Main, Lexington, KY 40507

as Obligee, hereinafter called the Obligee, in the sum of Five Percent of Amount Bid


Dollars (\$ 5%),

for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for Anniston/Wicklnd Capital Storm Sewer Improvement

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and materials furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this 26th day of March, 2012


(Witness)


Woodall Construction Co., Inc.

(Principal)

(Seal)

By: 

(Title)


(Witness)

The Hanover Insurance Company

(Surety)

(Seal)

By: 

Attorney-in-Fact Jeffrey M. Wilson

(Title)

THE HANOVER INSURANCE COMPANY
MASSACHUSETTS BAY INSURANCE COMPANY
CITIZENS INSURANCE COMPANY OF AMERICA

POWERS OF ATTORNEY
CERTIFIED COPY

KNOW ALL MEN BY THESE PRESENTS: That THE HANOVER INSURANCE COMPANY and MASSACHUSETTS BAY INSURANCE COMPANY, both being corporations organized and existing under the laws of the State of New Hampshire, and CITIZENS INSURANCE COMPANY OF AMERICA, a corporation organized and existing under the laws of the State of Michigan, do hereby constitute and appoint

Mark W. Edwards, II, Ronald B. Giadrosich and/or Jeffrey M. Wilson

of Birmingham, AL and each is a true and lawful Attorney(s)-in-fact to sign, execute, seal, acknowledge and deliver for, and on its behalf, and as its act and deed any place within the United States, or, if the following line be filled in, only within the area therein designated

any and all bonds, recognizances, undertakings, contracts of indemnity or other writings obligatory in the nature thereof, as follows:
Any such obligations in the United States, not to exceed Forty Million and No/100 (\$40,000,000) in any single instance

and said companies hereby ratify and confirm all and whatsoever said Attorney(s)-in-fact may lawfully do in the premises by virtue of these presents. These appointments are made under and by authority of the following Resolution passed by the Board of Directors of said Companies which resolutions are still in effect:

"RESOLVED, That the President or any Vice President, in conjunction with any Assistant Vice President, be and they are hereby authorized and empowered to appoint Attorneys-in-fact of the Company, in its name and as its acts, to execute and acknowledge for and on its behalf as Surety any and all bonds, recognizances, contracts of indemnity, waivers of citation and all other writings obligatory in the nature thereof, with power to attach thereto the seal of the Company. Any such writings so executed by such Attorneys-in-fact shall be as binding upon the Company as if they had been duly executed and acknowledged by the regularly elected officers of the Company in their own proper persons." (Adopted October 7, 1981 - The Hanover Insurance Company; Adopted April 14, 1982 - Massachusetts Bay Insurance Company; Adopted September 7, 2001 - Citizens Insurance Company of America)

IN WITNESS WHEREOF, THE HANOVER INSURANCE COMPANY, MASSACHUSETTS BAY INSURANCE COMPANY and CITIZENS INSURANCE COMPANY OF AMERICA have caused these presents to be sealed with their respective corporate seals, duly attested by a Vice President and an Assistant Vice President, this 31st day of March, 2008.



THE HANOVER INSURANCE COMPANY
MASSACHUSETTS BAY INSURANCE COMPANY
CITIZENS INSURANCE COMPANY OF AMERICA

Mary Jeanne Anderson
Mary Jeanne Anderson, Vice President

Robert K. Grennan
Robert K. Grennan, Assistant Vice President

THE COMMONWEALTH OF MASSACHUSETTS)
COUNTY OF WORCESTER) ss.

On this 31st day of March 2008, before me came the above named Vice President and Assistant Vice President of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America, to me personally known to be the individuals and officers described herein, and acknowledged that the seals affixed to the preceding instrument are the corporate seals of The Hanover Insurance Company Massachusetts Bay Insurance Company and Citizens Insurance Company of America, respectively, and that the said corporate seals and their signatures as officers were duly affixed and subscribed to said instrument by the authority and direction of said Corporations.



Barbara A. Garlick
Notary Public

My commission expires on November 3, 2011

I, the undersigned Assistant Vice President of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America, hereby certify that the above and foregoing is a full, true and correct copy of the Original Power of Attorney issued by said Companies, and do hereby further certify that the said Powers of Attorney are still in force and effect.

This Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America.

"RESOLVED, That any and all Powers of Attorney and Certified Copies of such Powers of Attorney and certification in respect thereto, granted and executed by the President or any Vice President in conjunction with any Assistant Vice President of the Company, shall be binding on the Company to the same extent as if all signatures therein were manually affixed, even though one or more of any such signatures thereon may be facsimile." (Adopted October 7, 1981 - The Hanover Insurance Company; Adopted April 14, 1982 Massachusetts Bay Insurance Company; Adopted September 7, 2001 - Citizens Insurance Company of America)

GIVEN under my hand and the seals of said Companies, at Worcester, Massachusetts, this 26th day of March, 2012

THE HANOVER INSURANCE COMPANY
MASSACHUSETTS BAY INSURANCE COMPANY
CITIZENS INSURANCE COMPANY OF AMERICA

Stephen L. Brault
Stephen L. Brault, Assistant Vice President

**ATTN: MBE/WBE
Firms**

Woodall Construction Co. is bidding the LFUCG project - Anniston Wickland Storm Sewer Project, Bid No. 20-2012. Several opportunities exist for work in fencing, asphalt, landscaping, seeding, and sod and other work.

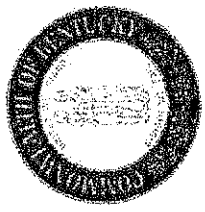
Interested firms should fax their quote to 859.281.6259 before 10AM March 26, 2012.

Woodall
Construction is an
Equal Opportunity
Employer

Mike W. Hancock, P.E.
Secretary
Kentucky Transportation Cabinet

Steven L. Beshear
Governor

COMMONWEALTH OF KENTUCKY



Transportation Cabinet

certifies that

ABBIE JONES

1022 Fontaine Road, Lexington, KY 40502

*has met all eligibility requirements
to participate in the
Disadvantaged Business Enterprise Program*

This certificate is issued pursuant to 49 CFR Part 26 and is subject to suspension or revocation.

June 30, 2014

Renewal Date

DBE Liaison Officer



