### PART III

### Invitation to Bid No. 5-2016

### Ecton Park Tennis Court Renovation

### 1. FORM OF PROPOSAL

	Place: Lexington, Kentucky
	Date: February 9th 2016
The following	Form of Proposal shall be followed exactly in submitting a proposal for this Work.
This Proposal	Submitted by <u>Pande</u> - Davies Construction Co., CLL
	(Name and Address of Bidding Contractor)
(Hereinafter	called "Bidder"), organized and existing under the laws of the State of house, doing business as Rank · Davies Construction Co. LLC  "a corporation," "a partnership", or an "individual" as applicable.
То:	Lexington-Fayette Urban County Government (Hereinafter called "OWNER") Office of the Director of Purchasing 200 East Main Street, 3rd Floor Lexington, KY 40507

### Gentlemen:

The Bidder, in compliance with your Invitation for Bids for <u>Ecton Park Tennis Court Renovation</u> having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of \$\frac{\\$100.00}{\}\$ for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No.		Date 1/27 / 2016
Addendum No.	2_	Date 1/28/20/V
Addendum No.	3	Date 02/07/2014
Addendum No.		Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

### 2. <u>LEGAL STATUS OF BIDDER</u>

$\frac{1}{\text{of}}$	согрогаt Ку	ion duly	organized	and daims			
Bi	ficial titl d/Proposa	e of mal, is duly a	for whom	and doing	D. Rand , whos	nder the laws	of the State of, bearing the affixed to this
	Partnershi ch)	p, all of th	e members	of which, w	rith addresses	are: (Designate p	general partners as
_	Edwin	D. P.	ndle	- m	comber /	Manager	
<u> </u>	Rick	Court	ey.	- Me,	nber I w	nagager	
_							
			_				
. An	ı individu	al, whose s	signature is	affixed to the	his Bid/Propo	sal (please print	name)
E	dwin	D. 2	ndle				

### 3. <u>BIDDERS AFFIDAVIT</u>

	s the Affiant, Down D. Paule, and after being first duly sworn, states penalty of perjury as follows:
1.	His/her name is <u>Edwin P. Randle</u> and he/she is the individual submitting the bid or is the authorized representative of <u>Randle-Davics</u> Constituting the bid (hereinafter
	referred to as "Bidder").
2.	Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3.	Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4.	Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5.	Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6.	Bidder has not knowingly violated any provision of Chapter 25 of the Lexington- Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7.	Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.  Further, Affiant sayeth naught.
	(Affiant)
STATE OF	KY
COUNTY OF	Franklin
The foregoing	instrument was subscribed, sworn to and acknowledged before me by
Edwin	D. Rendle on this the 9th day of February, 2014.
My Commission	on expires: 4-36-19  Xlana M Shearer
	NOTARY PUBLIC, STATE AT LARGE

### 4. BID SCHEDULE - SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Division uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG reserves the right to accept base bids and/or alternates in any order in the best interest of the government. The LFUCG's decision on the bid amount is final.

Item No.	Description w/Unit Bid Price Written in Words	Unit	Total Bid Amount
1.	Base Bid - Remove asphalt to gravel base. Compact base as necessary to ensure proctor density. Reset net standards re-sleeved in concrete. Apply new asphalt 1 1/2" base course, milled asphalt can be utilized in base course.  Apply 1 1/2" of surface asphalt in accordance with attached "court spec". 2' Asphalt shall extend 2' outside of fencing. Replace galvanized fencing and posts. Color coat courts. Environmental controls as listed in special considerations. All in accordance with Contract Documents  ONE hundred Saventeen Thousand five hundred and Dollars  One hundred Saventeen Thousand five hundred Dollars  Cents	LS	\$ 117,590.00

Item No.	Description w/Unit Bid Price Written in Words	Unit	Total Bid Amount
2.	Add Alternate #1 Apply new asphalt with aramid fibers 1 1/4" base course, milled asphalt can be utilized in base course. Apply 1 1/4" of surface asphalt in accordance with attached "court spec"	LS	\$_N/A_
	Dollars Cents		
3.	Add Alternate #2 Install welded connections for galvanized fence fabric in accordance with Contract Documents	LS	s_N/A
4.	Add Alternate #3 Supply and install new tennis court standards in accordance with Contract Documents  One Thousand Seven hundred Dollars  Nolves Cents	LS	\$ 1,700.00
5.	Add Alternate #4  Upgrade galvanized fence to vinyl coated fence fabric in accordance with Contract Documents  Three thousand on hundred fifty Dollars and No/100 Cents	LS	s 3,150,00
6.	Add Alternate #5 Install approximately 100 ft. of cement sidewalk 5 ft wide between gates. Supply, assemble and install surface mount bench in accordance with Contract Documents  SIX Thousand five hundred Dollars and Notice Cents	LS	\$ 6,500.00

TOTAL OF ALL BID PRICES FOR Ecton Park Tennis Court Renovation. In case of discrepancy, the amount shown in words will govern.

and wo/100 cents (\$ 128,940.00).

### LIST OF UNIT PRICES

The following List of Unit Prices is required by the Owner to be completely executed and submitted with each Bidder's Proposal. Each unit price shall include the furnishing of all labor, materials, supplies and services, and shall include all items of cost, overhead, and profit for the Contractor and any Sub-Contractors involved, and shall be used uniformly, without modification, for either additions or deductions from the Bid. These unit prices as established shall also be used to determine the equitable adjustment of the Contract Price in connection with changes, or extra work performed under the Contract. The "Rules of Measurement" set forth in the Special Conditions shall govern where volume units are concerned.

	DESCRIPTION OF WORK	UNIT PRICE	
1.	Silt Fence	\$5,00	LF
2.	Grass Seed Mix	\$ 10,000.00	AC
3.	Straw Mulch	\$0.25	SF
4.	Concrete installed	\$2,80.00	CY
5.	#57 stone	\$ 30,00	TN
6.	Chain Link Fence Gate	\$1000.00	EA
7.	Court Color Coating	\$6.00	SY
8.	Tennis Court Striping – 2"	\$2.00	LF
9.	Asphalt Surface	\$145,00	TN
10.	Asphalt Base	\$140,00	TN
11.	Demolition & Removal or Bit. Concrete	\$50.00	CY

Submitted by:	Rande - Unies Construction Co.	40
	Mill Oneole Park	
	Frankfort, Ky 40601 City, State & Zip	
Bid must be signed: (original signature)	Signature of Authorized Company Repre	Member   Manager esentative — Title
	Edwin D. Randle Representative/s Name (Typed or Printed)	
	Soz- G5 -2755  Area Code - Phone - Extension	502-195-7870 Fax#
	E-Mail Address	
OFFICIAL ADDRESS:		

Randle - Davies Construction Co., LLC

\_\_\_\_\_(Seal if Bid is by Corporation)

1 Mill Creek Park

Frankfort, ky 4001

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

### 5. STATEMENT OF BIDDER'S QUALIFICATIONS

with the Proposal: Randle-Davies Construction Co., LLC Name of Bidder: 1. Permanent Place of Business: Frankfort, Ky 2. 1789 When Organized: 3. Where Incorporated: Frankfort, KY 4. Construction Plant and Equipment Available for this Project: 5. See (Attach Separate Sheet If Necessary) 6. Financial Condition: If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening. 7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by: Liberty Mutual Insurance Co. (Surety) Signed: \_\_\_\_\_\_(Representative of Surety)

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted

### RANDLE-DAVIES MAJOR EQUIPMENT LIST LAST UPDATE 3/11/15

EQUIP					
ID NO.	DESCRIPTION	MANUFACTURER	MODEL NO.	YEAR	SERIAL NUMBER
L2	TRACK LOADER	CATERPILLAR	955L		85J10593
L6	SKID STEER LOADER	BOBCAT	S220	2005	526214722
L7	SKID STEER LOADER	BOBCAT	\$250	2009	A5GM35918
1.8	COMPACT TRACK LOADER		T630 T4	2014	M0267
FL1	FORKLIFT	CLARK	LIFTO4-1216	1985	355-1216-2813
G3	GRADER	CATERPILLAR	130G	1975	74V590
G5 G6	GRADER	NORAM	65E	2007	65ET3331
B7	GRADER TRACTOR BACKHOE	NORAM CASE	65E	2007	65ET9332
B8	TRACTOR BACKHOE	CATERPILLAR	580E 416B 4X4	1984	9870883
89	TRACTOR BACKHOE	CATERPILLAR	416C 4X4	1996 1998	8SGO9690 5YN01288
R2	STATIC ROLLER	HYSTER	C-330A	1979	158C1674Y
R5	VIBRATORY ROLLER	BOMAG	EW120AD-3	1998	101170512776
R6	VIBRATORY ROLLER	INGERSOLL RAND	DD90	1998	152189
R9	VIBRATORY ROLLER	WACKER	RD11V	2005	5602775
R10	VIBRATORY ROLLER	BOMAG	BW120-ADIII	1998	101170511919
R11	VIBRATORY ROLLER	VOLVO	DD90	2009	199333
R12	VIBRATORY ROLLER	WACKER	RD12-90	2010	5918240
R14	VIBRATORY ROLLER	НАММ	HD13VV	2013	H2010550
P4	ASPHALT PAVER	BLAW KNOX	PF161	2006	192001
P6	ASPHALT PAVER	BLAW KNOX	PF 161C	2014	PF161C-100898
P7	ASPHALT PAVER	NEAL TRACK(CART)	5500		DM5500-0084-0909
AD2	ASPH.DIST.& TRUCK	ETNYRE / INT'L	E T DAL IEE40	4086	41 FD DUIVNION IN COCO.
AD3	ASPHALT DISTRIBUTOR	LEEBOY	E-T S/N J5540 LE L500T	1985 2009	1HTLDUXN9FHA59591 53372
7100	AUTOR DIGITALDO TOR	LLLDOI	LE LOOVI	2009	33372
ттз	ROAD TRACTOR	AUTOCAR	564B	1974	UP13RF1075060
1116	ROAD TRACTOR	MACK	CH613	1996	1M1AA13Y6TW059645
117	ROAD TRACTOR	MACK	CH613	1999	1M1AA14Y4XW103954
1.74	100000000000000000000000000000000000000				
LT1	LOWBOY TRAILER	ETNYRE	PAVER SPECL	2002	1E92800792E111057
LT2	LOWBOY TRAILER	HAMPTON	DROP DECK	1950	HCS374-77
LT4	LOWBOY TRAILER	GREAT DANE	GLBT35PSA	1985	1GRLV8227FJ003801
LT5	LOWBOY TRAILER	TOT-EM-ALL	DECK	1969	610-OW-816R
LT8	LOWBOY TRAILER	STIGERS	DECK	2006	1S9F123236K087302
LT9	LOWBOY TRAILER	GATOR MADE	DECK	2009	4Z1PB25209S005633
M112	MAINTENANCE TRUCK	SERVICE TRUCK	F-450	1999	1FDXF47S2XEC12239
TD2	TANDEM DUMP	MACK	R685ST	1971	R685ST21783
TD4	TANDEM DUMP	WHITE	ROAD BOSS	1977	GPGCPG1850260
D9	SINGLE AXLE DUMP	INTERNATIONAL	4900	2000	1HTSDAAL4YH302614
D10	SINGLE AXLE DUMP	INTERNATIONAL	4900	2001	1HTSDAAL61H390197
D11	TRI-AXLE DUMP	VOLVO	WG64	1997	4V5JCBGF2VR854024
D14	TRI-AXLE DUMP	INTERNATIONAL	5000	1999	1HTTGAHT1XJ003185
D15	TRI-AXLE DUMP	VOLVO	WG64	1997	4VHJCCPF9VR858015
D18	TRI-AXLE DUMP	VOLVO	VHD	2007	4V5KC9GH37N451971
D17	TRI-AXLE DUMP	VOLVQ	VHD	2007	4V5KC9GH57N451972
D18	TRI-AXLE DUMP	VOLVO	VHD	2006	4V5K99GF66N426090
D19	TRI-AXLE DUMP	VOLVO	VHD	2006	4V5K99GFX6N426089
206	PICKUP TRUCK	DODGE	1500	2004	4D7UA46N64 1999479
107	PICKUP TRUCK	DODGE	1500	2005	1D7HA16N64J222170 1D7HA16N75J582999
108	PICKUP TRUCK	DODGE	1500	2005	
111		CHEVROLET	2500	2003	1D7HA16N85J583000
212		DODGE	1500		1GBHC24U43Z189288
312		DODGE		2004	1D7HA16NX4J222172
113		DODGE	1500 1500	2011 2011	1D7R81GP5BS523876 3D7J81EP5BG515851
114		DODGE	3500		
214		GMC	1500	2007 2009	306WH46A27G764944
314		DODGE	1500	2009	3GTEK03289G220606 3C5JD6EP2CG115790
					U-0000011203110/80
		MERCEDES-BENZ	C300	2011	WDDGF8BB8BR151697
			GX460	2015	JTJJM7FX5F5094665
A115	VAN	TOYOTA	SIENNA XLE	2015	5TDYK3DC1FS537808

TOTAL MARKET VALUE

<u>NAME</u>	<b>LOCATION</b>	<b>CONTRACT SUM</b>
Waverly Park Tennis Courts	Lexington, ky	\$49,880.00
Kirklevington Tennis Courts	Lexington, Ky	\$31,483.33
Bluegrass Rocquet Club	Nicholasville, Ky	\$127, 804.00
Misdowne Mirick Tennis Cort	Lexington, KY	\$127,804.00
The Bidder has now under con	stract and bonded the following	projects:
<u>NAME</u>	<b>LOCATION</b>	<b>CONTRACT SUM</b>
Crongetown PD	Georgetown Ky	\$69,430.60
Lansdowne Mellick Temis	Lexington, ky	\$29,000.00
List Key Bidder Personnel who	o will work on this Project.	
NAME	POSITION DESCRIPTION	NO. OF YEARS <u>WITH BIDDER</u>
Ray Ross	Site Supervisor	30 +

11.	DBE Participation on curren	t bonded projects under cont	ract:	
	SUBCONTRACTORS (LIST)	PROJECT (SPECIFIC TYPE)	<u>DBE</u>	% of WORK
	<i>N/A</i>			
	<del></del>			
				<del></del>
	<del></del>			<del></del>

### (USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

### 6. <u>LIST OF PROPOSED SUBCONTRACTORS</u>

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK - LIST EACH MAJOR ITEM Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	SUBCONTRACTOR	DBE Yes/No	% of Work
1. Conting / Striping	Name: Tennis Technology	No	2070
2. Fencing	Address: P.o. Box 19709 Louisville Ey 40759 Name: Myers Fence	<u>No</u>	17 970
3	Address: 5001 Hark Central Are Nicholaville, Ky 40556 Name:		
	Address:		
4	Name:		
5	Address:		
5	Name:		
6	Name:		
	Address:		
7	Name:		
	Address:		

(Attach additional sheet(s) if necessary.)

### 7. LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS

### A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE) and Disadvantaged (DBE) Business Enterprises as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.

### B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned or Woman-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned or Woman-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
  - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

### C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

### D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) Failure to submit this information as requested may be cause for rejection of bid.

### E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
  - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
  - b. Included documentation of advertising in the above publications with the bidders good faith efforts package

- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- f. Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less that seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- j. Provided the interested MWBDE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce
- 1. Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a

MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.

- o. Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- p. Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.
- q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.



### MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexington.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

"A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises..."

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs in Economic Engine (https://lfucg.economicengine.com)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	smiller@lexington.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Sonya Brown	sbrown@tsmsdc.com	502-625-0137
Small Business Development Council	Dee Dee Harbut UK SBDC	dharbut@uky.edu	
	Shiree Mack	smack@uky.edu	
Community Ventures Corporation	James Coles	jcoles@cycky.org	859-231-0054
KY Department of Transportation	Melvin Bynes	Melvin.bynes@ky.gov	502-564-3601
	Shella Eagle	Shella.Eagle@ky.gov	502-564-3601
Ohio River Valley Women's Business Council (WBENC)	Rea Waldon	rwaldon@gcul.org	513-487-6534
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner's Council (NWBOC)	Janet Harris-Lange	janet@nwboc.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozdeky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	paatricem@keynewsjournal.com	859-373-9428



### LFUCG MWDBE PARTICIPATION FORM Bid/RFP/Quote Reference # 5-20\4

The MWDBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MWDBE Company,	Work to be	Total Dollar Value of	0/ 37-1 6'711
MWDDE Company,			% Value of Total
Name, Address,	Performed	the Work	Contract
Phone, Email			
1.			
1			
2.			<del></del>
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1			
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1			
3.			
1			
1			
4.			
**			

and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Rawle - Davis Construction Co LLC

Company

Company

Company Representative

Menley / Manager

Title

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract



### LFUCG MWDBE SUBSTITUTION FORM Bid/RFP/Quote Reference #\_ 5 - こうしゅ

The substituted MWDBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company	MWDBE Formally Contracted/Name,	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the	% Value of Total Contract
Name, Address, Phone, Email	Address, Phone, Email			Work	
1.					
2.					
3.					
4.					

Porde Davis Construtor Colle Company Company Company Company Company Company Member Manager Title

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to

### THE WAY OF THE PARTY OF THE PAR

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

Company Name	Davies Con	truction 6	.U. Contact	Person	. Randle		
Address/Phone/Email	1.12.7		Bid Pac	kage / Bid Da	. Randle		-
Company Name Rende - Parks Construction W. L. Contact Person D. Randle  Address/Phone/Email Bid Package / Bid Date  I mill creek Park Frankfat ky 40W S-Zoll 2/9/2016							
erande e r-dco	· COM						
MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
							_
(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)							
The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.							
Company  February 9th, 2016  Date  Company  Company Representative  Member   Manager  Title							
Ebruary 9th	, 2014			Men	ber Menag	LC	_



Bid/RFP/Quote # 5 - Zolb

### LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Total Con	tract Amou	nt Awarded	to Prime	Contractor f	for this Project_		— <del>_</del>
Project Name/	Contract # 5-	-2016		Work Period/Fr	rom:	To:	
Company Name	e:	Kruthen Co.	ЦС	Address:			
Federal Tax ID:	<u> </u>			Contact Person:			
C.1	l Design	l m					
Subcontractor Vendor ID	Description of Work	Total Subcontract	% of Total Contract	Total Amount	Purchase Order number for	Scheduled Project	Scheduled Project End
(name,		Amount	Awarded to	Paid for this	subcontractor work	Start Date	Date
address, phone, email			Prime for	Period	(please attach PO)		
priorie, eman	-		this Project	<del>  -                                    </del>			<del>                                     </del>
							<del>                                     </del>
i .				1			
By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.							
Rondle - Da Company	avies Con	struction G	. LIC	Company Repr	K R	f	
Februiry Date	9th Zi	0/6		Member/ little	Manager		
0							

### LFUCG STATEMENT OF GOOD FAITH EFFORTS Bid/RFP/Quote #\_\_\_\_\_ 5 - てのしゃ

have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE business enterprises on the project and can supply the appropriate documentation. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate. Included documentation of advertising in the above publications with the bidders good faith efforts package \_\_\_ Attended LFUCG Central Purchasing Economic Inclusion Outreach event Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s). Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less that seven (7) days prior to the deadline for submission of bids to allow them to participate effectively. Followed up initial solicitations by contacting MWDBEs to determine their level of interest. Provided the interested MWBDE firm with adequate and timely information about the plans, specifications, and requirements of the contract. Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce

By the signature below of an authorized company representative, we certify that we

	Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of
	their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
	Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
	Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.
	Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
	Made efforts to expand the search for MWDBE firms beyond the usual geographic boundaries.
	Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.
	Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.
	owledges that all information is accurate. Any misrepresentations may result contract and/or be subject to applicable Federal and State laws concerning aims.
Rondle-Davies Cons	inution to UC EDDRA
Company	Company Representative
Date J	Member Manager Title
Date 0	TIUC

### 8. <u>AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST</u>

I hereby swear (or affirm) under the penalty for false swearing:

- 1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
- 3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- 5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky. Check the statement applicable.
- 6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
- 7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- 8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

### 9. <u>STATEMENT OF EXPERIENCE</u>

NAME OF INDIVIDUAL: See Affached
POSITION/TITLE:
STATEMENT OF EXPERIENCE:
NAME OF INDIVIDUAL:
POSITION/TITLE:
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NAME OF INDIVIDUAL:
POSITION/TITLE:
STATEMENT OF EXPERIENCE:

<sup>\*</sup> Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

Randle-Davies Construction Company, LLC 1 Mill Creek Park Frankfort, KY 40601

Phone: (502)695-2255

Fax: (502)695-2820



**RESUMES OF OFFICERS & KEY SUPERVISORY PERSONNEL** 

EDWIN D. RANDLE, P.E., MEMBER/MANAGER: age 56; received his B.S. Degree in Civil Engineering from Vanderbilt University in 1981 and his Registered Civil Engineer status in 1987. While a student he worked for The E. Randle Company during the summers as a draftsman, surveyor and layout man for bridge crews. After graduation from college, his first position was Project Manager on a \$750,000 project in Graves County, Kentucky, which consisted of constructing three (3) rural bridges. After completion of this project, he moved to Nashville, Tennessee in June 1982. In Nashville, he was responsible for four (4) projects with a total volume of over \$24,000,000. After completion of the projects in Nashville he moved to Atlanta, Georgia, where he was a Superintendent on an I-75 project involving construction of twenty-one (21) bridges. He now lives in Versailles, Kentucky. He owns 76% of the outstanding stock of Randle-Davies Construction Company. He is responsible for bidding of all work and maintains control over all ongoing projects.

RICK L. COURTNEY, MEMBER/MANAGER: age 61; holds A.A. Degree from Eastern Kentucky University (1974) and has attended Kentucky State University studying Business Mathematics, Business Management, Bookkeeping and Accounting. He was hired by The E. Randle Company as a field office manager in July 1975, on a ten million dollar bridge project at Markland Dam, Warsaw, Kentucky. In November 1977, he was transferred to the corporate headquarters in Frankfort, Kentucky as Assistant Office Manager. He served in that capacity until 1984 when he was promoted to General Office Manager. He serves as equal employment officer, safety officer, subcontractor liaison officer, real estate manager and coordinates communication and flow of information between regional offices and our central office. He participates in bidding of all projects and is responsible for timely and accurate flow of all office related reports and information. He serves as Office Manager and in May 1989 he was elected Secretary-Treasurer of Randle-Davies Construction Company. In 1994, he acquired 24% of the outstanding stock of Randle-Davies Construction Company.

RANDLE M. AVERELL, PROJECT ESTIMATOR: Age 27; received his B.S. Degree in Business Administration in 2012 from Morehead State University. While in school he worked as a laborer and equipment operator for the asphalt paving crew. After graduation he assumed the role of project estimator for the company.

ARTHUR R. ROSS: age 64; was employed by the G. D. Davies Company for 10 years. He served as master mechanic and equipment operator. Since May 1989 he has been employed by Randle-Davies Construction Company and has served as Master Mechanic and Foreman on numerous projects involving grade & drain and asphalt paving. In December 2013 he was promoted to Superintendent and will oversee all paving projects.

CARL ROUSE, FOREMAN: age 63; was employed by the G. D. Davies Company for 12 years. He served as equipment operator and truck driver. Since May 1989 he has been employed by Randle-Davies Construction Company and has served as a Foreman on numerous projects involving grade & drain, concrete structures and asphalt paving since 1995.

### 10. EQUAL OPPORTUNITY AGREEMENT

### The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

### Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Signature

Rande - Davies Construction Co, LLC Name of Business The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its subcontracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;
- (3) The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.

The Act further provides:

### KRS 45.610. Hiring minorities – Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

### KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

### KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as <u>special conditions</u> in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

### 11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Rande-Davis Construction Co. UC
to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

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Name of Organization:	Categories		Administrators	Professionals	Superintendents	Supervisors	Foremen	Technicians	Protective Service	Para-Professionals	Office/Clerical	Skilled Craft	   Service/Maintenance	Total:

P-33

Prepared By:

## 13. EVIDENCE OF INSURABILITY

# LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT

(Use separate form for each Agency or Brokerage agreeing to provide coverage)

			Company of the control of the contro	ugo)		
lames Insured:	T-THOMAS			Employee ID:		
ddress:				Phone:		
roject to be insured:						
lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:	this time, the undersign ditions), including all re	ed agrees to provide the above Nam quirements, and conditions:	ed Insured with the minimun	1 coverage listed below. These	are outlined in the Insurar	9
Section Items	Сомение	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's	1
C-3, Section 2, Part 4.1 – sec provisions	TDO	\$1,000,000 per occ. And \$2,000,000 aggregate	E-9-	in their		
C-3, Section 2, Part 4.1 – see provisions	AUTO	\$2,000,000/per occ.	€9			
C-3, Section 2, Part 4.1 – see provisions	WC	Statutory w /endorsement as	€9			

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Name of Authorized Representative	Title	Authorized Signature	Date
		Zip	
		State	
Agency or Brokerage	Street Address	City	Telephone Number

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

### 14. **DEBARRED FIRMS**

PROJECT NAME: Loton Park Tennis Courts
BID NUMBER: 5- 2016
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT LEXINGTON, KY
All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.
All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.
The undersigned hereby certifies that the firm of Panle-Davis Constructor Co. LLC has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.
Randle - Davis Construction Co. LLC Name of Firm Submitting Bid
Signature of Authorized Official
Member   Manager Title
Date February 7th 2014

### 15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name:	Randle-Dayles Constructor C., LLC
Project:	Ecton Park Tennis Courts 05-2016
Printed Name	and Title of Authorized Representative: Edwin D. Randle  Member / Manager

Signature:

February 9th 2016 Date:

END OF SECTION

### 15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name:	Randle - Davies Construction Co., LLC	
Project:	06-2016 Southlind Park Tennis	Courts
Printed Name	and Title of Authorized Representative:	Edwin D. Randle Member / Manager
Signature:	El Oll	
Date:	February 9th 2016	

END OF SECTION



Interchange Corporate Center 450 Plymouth Road, Suite 400 Plymouth Meeting, PA. 19462-1644 Ph. (610) 832-8240

### **BID BOND**

Bond Number: N/A	
KNOW ALL MEN BY THESE PRESENTS, that we RAN	IDLE-DAVIES CONSTRUCTION COMPANY, INC.
	, as principal (the "Principal"), a Massachusetts stock insurance company, as surety (the rette Urban Co. Government 469 Parking Drive, Lexington, KY
40304	, as obligee (the "Obligee"), in
the penal sum of FIVE PERCENT BID AMOUNT	
for the payment of which sum well and truly to be made heirs, executors, administrators, successors and assign	Dollars (\$5% BID AMOUNT_), de, the said Principal and the said Surety, bind ourselves, our is, jointly and severally, firmly by these presents.
WHEREAS, the Principal has submitted a bid for: Ecton	Park
period be specified, within sixty (60) days after opening in accordance with the terms of such bid, and give s contract documents, or in the event of the failure of the bonds, if the Principal shall pay to the Obligee the differ the amount specified in said bid and such larger amounter party to perform the work covered by said bid, the full force and effect. In no event shall the liability here PROVIDED AND SUBJECT TO THE CONDITION PR be submitted in writing by registered mail, to the atternation within 120 days of the date of this bond. Any suit under the submitted in writing by registered mail, to the atternation of the submitted in writing by registered mail, to the atternation of the submitted in writing by registered mail, to the atternation of the submitted in writing by registered mail, to the atternation of the submitted in writing by registered mail, to the atternation of the submitted in writing by registered mail, to the atternation of the submitted in writing by registered mail, to the atternation of the submitted in writing by registered mail, to the atternation of the submitted in writing by registered mail, to the atternation of the submitted in writing by registered mail the submitted in writing the su	ECEDENT, that any claim by Obligee under this bond must ntion of the Surety Law Department at the address above, der this bond must be instituted before the expiration of one of this paragraph are void or prohibited by law, the minimum the jurisdiction of the suit shall apply.
Mitness/Attest Maria Gheara	By: Aux Journal (Seal)  Name: FICK COURTAGEY  Title: Sec.  LIBERTY MUTUAL INSURANCE COMPANY  (Suraty)
	By: Attorney-in-Fact TERRI COOK

### **Bid Bond Information Sheets**

Principal's Name and Address:     RANDLE-DAVIES CONSTRUCTION COMPANY, INC.  —		
2. Bid Date: 8 day of February, 2016.		
3. Estimate of Project: \$		
4. Project Description:  Ecton Park  — —		
5. Owner/Obligee Name & Address: <u>Lexington-Fayette Urban Co. Government 469 Parking Drive, Lexington, KY 40504</u> ———————————————————————————————————		
6. Percentage of Bid Bond: 5% BID AMOUNT		
7. Starting Date: 8. Completion Date:		
9. Liquidated Damages (Penalties):		
10. Retainage: 11. Type of Payment:		
12. Maintenance Period:		
13. Work on Hand:		
Performance/Payment Bond Information		
1. Contract or Purchase Order Date:		
2. Final Contract Price: \$		
3. Other Bidders & Amounts (if available):		
0		
Comments:		
<del></del>		

LMIC-5000 Rev. 03/04

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 7166138

Power of Attorney call am and 4:30 pm EST on any business day.

this

of

o confirm the validity of -610-832-8240 between

0

9:00

American Fire and Casually Company The Ohio Casualty Insurance Company

Liberty Mutual Insurance Company West American Insurance Company

### POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company Is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Betty Hatfield; Christopher A. Cyterski; David Douglas; Sandra Burnash; Terri Cook

all of the city of Louisville , state of KY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons,

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed day of November thereto this 5th

Y IN NSU 1906

STATE OF PENNSYLVANIA COUNTY OF MONTGOMERY

Liberty Mutual Insurance Company West American Insurance Company

American Fire and Casualty Company

The Ohio Casualty Insurance Company

David M. Carey. Assistant Secretary

\_day of November \_, 2015\_, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duty authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written. COMMONWEALTH OF PENNSYLVANIA

Notanal Seal Teresa Pastella, Notary Public Plymouth Twp., Montgomery County My Commission Expires March 28, 2017

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohlo Casualty Insurance Company, Liberty Multial Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows;

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary, Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings, Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary,

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-infact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this

Gregory W. Davenport, Assistant Secretary