



LEXINGTON

Lexington-Fayette Urban County Government

Lexington, Kentucky
Horse Capital of the World

Division of Central Purchasing

Date of Issue: May 16, 2019

INVITATION TO BID #48-2019 Elevator and Lift Maintenance

Bid Opening Date: May 30, 2019 **Bid Opening Time:** 2:00 PM
Address: 200 East Main Street, 3rd Floor, Room 338, Lexington, Kentucky 40507
Type of Bid: Price Contract

Pre Bid Meeting: N/A **Pre Bid Time:** N/A
Address: N/A

Sealed bids will be received in the office of the Division of Central Purchasing, 200 East Main Street, Lexington, Kentucky, until **2:00 PM**, prevailing local time on **5/30/2019**. Bids must be received by the above-mentioned date and time. Mailed bids should be sent to:

Division of Central Purchasing
200 East Main Street, Room 338
Lexington, KY 40507, (859) 258-3320

The Lexington-Fayette Urban County Government assumes no responsibility for bids that are not addressed and delivered as indicated above. **Bids that are not delivered to the Division of Central Purchasing by the stated time and date will be rejected.** All bids must be signed and have the company name and address, bid invitation number, and the name of the bid on the outside of the envelope.

Bids are to include all shipping, handling and associated fees to the point of delivery located at: Various, Lexington, KY

<input checked="" type="checkbox"/> Bid Specifications Met <u> </u> Exceptions to Bid Specifications. <i>Exceptions shall be itemized and attached to bid proposal submitted.</i>	Proposed Delivery: <u>2</u> days after acceptance of bid.
Procurement Card Usage —The Lexington-Fayette Urban County Government may be using Procurement Cards to purchase goods and services and also to make payments. Will you accept Procurement Cards? <u> </u> Yes <input checked="" type="checkbox"/> No	

Submitted by: DC ELEVATOR COMPANY
Firm Name
124 VENTURE COURT, SUITE 1
Address
LEXINGTON, KY 40511
City, State & Zip

Bid must be signed:
(original signature) [Signature] **BUSINESS DEVELOPMENT MANAGER**
Signature of Authorized Company Representative – Title

DAVID FRYMAN
Representative's Name (Typed or printed)
859-254-8224 859-231-8740
Area Code - Phone - Extension *Fax #*
DAVID.FRYMAN@DLELEVATOR.COM
E-Mail Address



ADDENDUM #1

Bid Number: **#48-2019**

Date: May 24, 2019

Subject: **Elevator and Lift Maintenance**

Address inquiries to:
Kristie Thomas
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. Bid opening has been moved to June 6, 2019, 2:00pm.
2. Previous bid results are attached.
3. The Central KY Job Center, District Courthouse, and Circuit Courthouse locations have been deleted from bid pricing. Revised Bid Pricing Sheet attached.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: DC ELEVATOR COMPANY

ADDRESS: 709 MILES POINT WAY LEXINGTON, KY 40510

SIGNATURE OF BIDDER:



AFFIDAVIT

Comes the Affiant, DC ELEVATOR COMPANY, and after being first duly sworn under penalty of perjury as follows:

1. His/her name is DAVID FRYMAN and he/she is the individual submitting the bid or is the authorized representative of DC ELEVATOR COMPANY the entity submitting the bid (hereinafter referred to as "Bidder")
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught. _____

STATE OF KENTUCKY

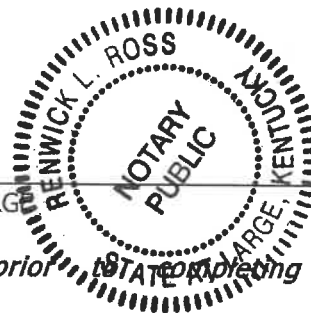
COUNTY OF FAYETTE

The foregoing instrument was subscribed, sworn to and acknowledged before me

by DAVID FRYMAN on this the 30 day of MAY, 2019.

My Commission expires: MAY 2, 2020


NOTARY PUBLIC, STATE AT LARGE



Please refer to Section II. Bid Conditions, Item "U" prior to completing this form.

I. GREEN PROCUREMENT

A. ENERGY

The Lexington-Fayette Urban County Government is committed to protecting our environment and being fiscally responsible to our citizens.

The Lexington-Fayette Urban County Government mandates the use of Energy Star compliant products if they are available in the marketplace (go to www.Energystar.gov). If these products are available, but not submitted in your pricing, your bid will be rejected as non-compliant.

ENERGY STAR is a government program that offers businesses and consumers energy-efficient solutions, making it easy to save money while protecting the environment for future generations.

Key Benefits

These products use 25 to 50% less energy
Reduced energy costs without compromising quality or performance
Reduced air pollution because fewer fossil fuels are burned
Significant return on investment
Extended product life and decreased maintenance

B. GREEN SEAL CERTIFIED PRODUCTS

The Lexington-Fayette Urban County Government is also committed to using other environmentally friendly products that do not negatively impact our environment. Green Seal is a non-profit organization devoted to environmental standard setting, product certification, and public education.

Go to www.Greenseal.org to find available certified products. These products will have a reduced impact on the environment and on human health. The products to be used must be pre-approved by the LFUCG prior to commencement of any work in any LFUCG facility. If a Green Seal product is not available, the LFUCG must provide a signed waiver to use an alternate product. Please provide information on the Green Seal products being used with your bid response.

C. GREEN COMMUNITY

The Lexington-Fayette Urban County Government (LFUCG) serves as a principal, along with the University of Kentucky and Fayette County Public Schools, in the Bluegrass Partnership for a Green Community. The Purchasing Team component of the Partnership collaborates on economy of scale purchasing that promotes and enhances environmental initiatives. Specifically, when applicable, each principal is interested in obtaining best value products and/or services which promote environment initiatives via solicitations and awards from the other principals.

If your company is the successful bidder on this Invitation For Bid, do you agree to extend the same product/service pricing to the other principals of the Bluegrass Partnership for a Green Community (i.e. University of Kentucky and Fayette County Schools) if requested?

Yes No

EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states: *The Contractor will not discriminate against any employee or applicant for employment because of physical or mental disability.*
- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states: *The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, veteran status, disability and age.

Signature 

DC ELEVATOR COMPANY
Name of Business

GENERAL PROVISIONS OF BID CONTRACT

acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

13. Assignment of Contract: The selected bidder(s) shall not assign or subcontract any portion of the bid contract with LFUCG without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
14. No Waiver: No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this bid proposal or bid contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
15. Authority to do Business: Each bidder must be authorized to do business under the laws of the Commonwealth of Kentucky and must be in good standing and have full legal capacity to provide the goods or services specified in the bid proposal. Each bidder must have all necessary right and lawful authority to submit the bid response and enter into the bid contract for the full term hereof including any necessary corporate or other action authorizing the bidder to submit the bid response and enter into this bid contract. If requested, the bidder will provide LFUCG with a copy of a corporate resolution authorizing this action and/or a letter from an attorney confirming that the proposer is authorized to do business in the Commonwealth of Kentucky. All bid responses must be signed by a duly authorized officer, agent or employee of the bidder.
16. Governing Law: This bid request and bid contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this matter, the bidder agrees that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division and that the bidder expressly consents to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to these matters or any rights or obligations arising thereunder.
17. Ability to Meet Obligations: Bidder affirmatively states that there are no actions, suits or proceedings of any kind pending against bidder or, to the knowledge of the bidder, threatened against the bidder before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of bidder to perform its obligations under this bid response or bid contract, or which question the legality, validity or enforceability hereof or thereof.
18. Price Discrepancy: When applicable, in case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.
19. Bidder understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Bidder is an independent contractor at all times related to the bid response or bid contract.
20. Contractor [or Vendor or Vendor's Employees] will not appropriate or make use of the Lexington-Fayette Urban County Government (LFUCG) name or any of its trade or service marks or property (including but not limited to any logo or seal), in any promotion, endorsement, advertisement, testimonial or similar use without the prior written consent of the government. If such consent is granted LFUCG reserves the unilateral right, in its sole discretion, to immediately terminate and revoke such use for any reason whatsoever. Contractor agrees that it shall cease and desist from any unauthorized use immediately upon being notified by LFUCG.
21. If any term or provision of this bid contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.


Signature

5/30/2019
Date

WORKFORCE ANALYSIS FORM

Name of Organization: DC ELEVATOR COMPANY

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	4	2	1	0	0	0	1	0	0	0	0	0	0	0	0	2	2
Professionals	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0
Superintendents	3	3	0	0	0	0	0	0	0	0	0	0	0	0	3	0	
Supervisors	3	3	0	0	0	0	0	0	0	0	0	0	0	0	3	0	
Foremen	1	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	
Technicians	25	24	0	0	0	1	0	0	0	0	0	0	0	0	25	0	
Protective Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Para-Professionals	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Office/Clerical	5	2	2	0	0	0	1	0	0	0	0	0	0	0	2	3	
Skilled Craft	23	18	0	2	0	2	0	0	0	1	0	0	0	0	23	0	
Service/Maintenanc	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total:	66	55	3	2	0	3	2	0	0	1	0	0	0	0	61	5	

Prepared by: RENWICK ROSS, CONTROLLER
(Name and Title)

Date: 5, 30, 2019

Revised 2015-Dec-15



Matthew G. Bevin
Governor

Commonwealth of Kentucky
Finance and Administration Cabinet
OFFICE OF EEO AND CONTRACT COMPLIANCE
Room 395, Capitol Annex
702 Capital Avenue
Frankfort, Kentucky 40601
(502) 564-2874
Fax (502) 564-1055

William M. Landrum III
Secretary

Yvette M. Smith
Executive Director

February 15, 2019

ATTENTION: D-C Elevator Company, Inc.

Subject: Certification

Your company has been certified to do business with the Commonwealth of Kentucky pursuant to Kentucky's Equal Employment Opportunity Act, KRS 45.550 to 45.640. The one-year certification expires January 30, 2020.

You will receive a recertification notice at least ten (10) business days before the expiration date.

Please call (502) 564-2874 if you have questions regarding your certification.

Sincerely,

Yvette M. Smith
Executive Director

D-C ELEVATOR COMPANY, INC. AFFIRMATIVE ACTION PLAN

To comply with the requirements of the Kentucky EEO Act, 45.600(3) D-C Elevator Company, Inc. will take the following measures:

1. Equal and fair treatment will be provided to all employees regardless of race, color, religion, national origin, sex, age, or disability.
2. A complete up-to-date record of employees classified by race, sex, and job classification will be maintained and semi-annual reports will be submitted to the Office of Equal Employment Opportunity and Contract Compliance.
3. All employees will be advised at the time of employment that D-C Elevator Company, Inc. is an equal opportunity/affirmative action employer and that hiring, promotion, or demotion is based on an individual's qualifications and ability to perform the work.
4. The company will cooperate with and support apprenticeship-training programs based on affirmative action.
5. Recruiting advertisements and all notices relating to employment will include the clause "An Equal Opportunity Employer M/F/D." Said clause will be printed on all correspondence and notices relating to employment.
6. A company policy statement outlining D-C Elevator Company, Inc. commitment to equal employment opportunity and affirmative action will be posted in conspicuous places throughout our facility.
7. The company has appointed James S. Bowlds, President to serve as the equal employment opportunity/affirmative action (EEO/AA) officer. The EEO/AA officer is authorized to supply reports and represent this company in all matters regarding this affirmative action plan.
8. The name, address and telephone number of the EEO/AA officer will be posted in conspicuous places throughout the facility. The officer will be responsible for the following:
 - A. Implementing all phases of the affirmative action plan;
 - B. Maintaining a close liaison with the compliance staff of the Commission on Human Rights regarding non-discriminatory requirements;
 - C. Conducting periodic audits of employment practices to ensure non-discrimination;
 - D. Semi-annual or more frequent instruction of all supervisory personnel about equal employment opportunity/affirmative action non-discrimination responsibilities;

- E. Periodically instructing supervisors about their responsibilities to ensure that minorities are not subjected to any type of discriminatory practices or harassment;
- F. Semi-annual reviews with all supervisory personnel to ensure that the EEO/AA program is being implemented at all levels;
- G. Notifying all minority recruitment sources in writing that this company is an equal employment opportunity/affirmative action employer and notification to same of job openings;
- H. Notification to all eligible employees regarding promotions or vacancies to ensure equal employment opportunity;
- I. Maintaining all facilities and activities on a non-discriminatory basis;
- J. Maintaining applicant flow data with the title of job, referral source, sex, race, and final action with reasons for any rejections; and
- K. Seeking to utilize minorities to the same degree as all others based on the following factors in the civilian labor area;
 - 1. The minority population of the labor area surrounding the facility;
 - 2. The size of the minority unemployment forces in the area surrounding the facility;
 - 3. The percentage of minority workforce as compared with the total workforce in the area;
 - 4. The availability of minorities having requisite skills in the immediate labor area;
 - 5. The availability of minorities having requisite skills in the reasonable recruitment area;
 - 6. The availability of promotable and transferable minority employees in the company;
 - 7. The existence of institutions capable of training persons in the requisite skills; and
 - 8. The degree of training the company is reasonably able to undertake as a means of making all job classes available to minorities.



LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 48-2019

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.				
2.				
3.				
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

DC ELEVATOR COMPANY

Company

5/30/2019

Date



Company Representative

BUSINESS DEVELOPMENT MANAGER

Title



LEXINGTON

LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 48-2019

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.				
2.				
3.				
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

DC ELEVATOR COMPANY
Company

5/30/2019
Date

Company Representative

BUSINESS DEVELOPMENT MANAGER
Title



LEXINGTON

LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # 48 - 2019

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. **Failure to submit this form may cause rejection of the bid.**

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

DL ELEVATOR COMPANY

Company

5/30/2019

Date

[Signature]
Company Representative

BUSINESS DEVELOPMENT MANAGER

Title



LEXINGTON

MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 48-2019

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name	Contact Person
Address/Phone/Email	Bid Package / Bid Date

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

DC ELEVATOR COMPANY
Company

5/30/2019
Date

[Signature]
Company Representative

BUSINESS DEVELOPMENT MANAGER
Title



LEXINGTON

LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran contractors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 40-2019

Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #	Work Period/ From:	To:
Company Name:	Address:	
Federal Tax ID:	Contact Person:	

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

DC ELEVATOR COMPANY
Company

5/30/2019
Date

[Signature]
Company Representative

BUSINESS DEVELOPMENT MANAGER
Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 48-2019

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

Included documentation of advertising in the above publications with the bidders good faith efforts package

Attended LFUCG Central Purchasing Economic Inclusion Outreach event

Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

✓ Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

✓ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

✓ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

✓ Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

✓ Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

DC ELEVATOR COMPANY
Company

5/30/2019
Date


Company Representative

BUSINESS DEVELOPMENT MANAGER
Title



EXECUTIVE SUMMARY & PROPOSAL OVERVIEW

Our proposal includes our comprehensive plan to provide LFUCG facilities with Full-Service Elevator Maintenance.

As an employee-owned company, DC Elevator has established a corporate infrastructure to provide excellent elevator maintenance within the LFUCG footprint. With our corporate office right here in Lexington, KY, local management with local technicians, DC Elevator can provide the response time, parts, and customer service LFUCG requires. Our preventative maintenance program has been established to ensure reliable performance of the elevators in the LFUCG facilities. Our trouble call response times, coupled with local parts availability, minimize elevator down time to maximize the efficiency of the elevators.

We have provided in our proposal our qualifications, our approach to maintenance, safety measures, our local management team, and abilities to properly perform the work. We have an excellent plan in place to provide the highest quality elevator maintenance for LFUCG.



OFFEROR QUALIFICATIONS

1. DC Elevator is a Kentucky Corporation with its headquarters based in Lexington, Kentucky for 42 years. Incorporated in 1977, we have become one of the largest independent elevator companies in Kentucky by installing quality elevators from independent suppliers, all while currently providing excellent maintenance services for over 2,500 units. We are *An Employee Owned ESOP Company* with the principals being James (Steve) Bowlds, President and Charles (Chuck) Sharp, Vice-President. We currently have over seventy-five (75) employees with fifteen (15) management and support staff, twenty-seven (27) service technicians, fifteen (15) construction and repair crews. Each of these technicians is a Certified Elevator Technician (CET) or is working on their certifications. All mechanics are licensed.
2. DC Elevator has been engaged in and has rendered Full Elevator Maintenance services similar to that of this RFP throughout the state of Kentucky. We are currently providing Full Maintenance at the LFUCG facilities. Other local customers in Lexington under the same scope of services would include the University of Kentucky, Vine Center, Hilton Downtown, Fayette County Schools, Baptist Health – Lexington, Lexmark, Lexington Clinic, among others. Additional projects of this scope under contract would include providing Full Maintenance at Eastern Kentucky University, Morehead State University, BCTCS, Appalachian Regional Hospital (ARH) among others. References can be provided upon request.

D-C Elevator will provide fourteen (14) local, certified elevator technicians and two (2) repair crews for Full Maintenance at LFUCG. Multiple technicians can be dispatched to multiple facilities at the same time.

- DC Elevator shall meet all response time requirements listed in the RFP.
- DC Elevator shall provide a certified elevator technician for each elevator out-of-service.
- DC Elevator understands that one-elevator building with the elevator out-of-service shall always be considered an emergency.
- Any elevator that is down for more than four (4) hours will be supplemented with additional technicians and assistance.
- DC Elevator keeps over \$100,000 worth of parts and materials right here in Lexington for normal and routine replacement. A site visit to our facility is always welcome.

3. D-C Elevator will provide fourteen (14) local, certified elevator technicians and two (2) repair crews for Full Maintenance at LFUCG. Multiple technicians can be dispatched to multiple facilities within the hospital campus at the same time.

Local management personnel for LFUCG will be as follows:

- Steve Bowlds, President – Leading DC Elevator Company for the past 19 years
 - Chuck Sharp, Vice President/Service Superintendent- Member of the National Elevator Education Committee (CET Program)
 - Pete VanMeter, Lead Troubleshooter
 - David Fryman, Business Development Manager
 - Dale Howard, Sr. Project Manager
 - Ren Ross, Controller- Accounting, Payroll and Human Resources
4. There are no scheduled subcontractors or business partners for elevator maintenance services requested at LFUCG.

Facility Management Locations

ELEVATORS						
Location	Address	Qty	Make	Type	Unit Price	Monthly Total
Arts Place	161 N. Mill Street	1	Dover	Hydraulic	\$ 85 ⁻	\$ 85 ⁻
Carnegie Center	251 W Second Street	1	Dover	Hydraulic	\$ 65 ⁻	\$ 65 ⁻
Downtown Arts Center	141 E Main Street	1	Thyssen-Krupp	Hydraulic	\$ 85 ⁻	\$ 85 ⁻
Government Center	200 E Main Street	3	Dover	Cable	\$ 175 ⁻	\$ 525 ⁻
Government Center Annex	162 E Main Street	1	Esco	Hydraulic	\$ 85 ⁻	\$ 85 ⁻
Lyric Theatre	300 E Third Street	2	Schindler	Cable	\$ 85 ⁻	\$ 170 ⁻
Phoenix Building	101 E Vine Street	3	Oracle	Cable	\$ 145 ⁻	\$ 435 ⁻
Police Headquarters	150 E Main Street	3	Dover	Hydraulic	\$ 85 ⁻	\$ 255 ⁻
Police Headquarters	150 E Main Street	1	Otis	Hydraulic	\$ 85 ⁻	\$ 85 ⁻
Police West Roll Call Center	1799 Old Frankfort Pike	1	Dover	Hydraulic	\$ 75 ⁻	\$ 75 ⁻
Recycling Center	360 Thompson Road	1	Thyssen-Krupp	Hydraulic	\$ 75 ⁻	\$ 75 ⁻
Senior Center	195 Life Lane	1	Thyssen-Krupp	Hydraulic	\$ 85 ⁻	\$ 85 ⁻
Waste Management	675 Byrd Thurman Drive	1	Dover	Hydraulic	\$ 75 ⁻	\$ 75 ⁻
					Monthly Total \$	<u>2,100⁻</u>
					Annual Total (Monthly x 12)	<u>\$ 25,200⁻</u>

MAN LIFTS						
Location	Address	Qty	Make	Type	Unit Price	Monthly Total
Lower Cane Run Pump Station	1825 Newtown Pike	1	Viola	Lift	\$ 70 ⁻	\$ 70 ⁻
West Hickman Sewage Plant	3174 Ashgrove Pike - Nicholasville	1	Rafiner	Lift	\$ 70 ⁻	\$ 70 ⁻
Wolf Run Pump Station	761 Enterprise Drive	1	Rafiner	Lift	\$ 70 ⁻	\$ 70 ⁻
					Monthly Total \$	<u>210⁻</u>
					Annual Total (Monthly x 12)	<u>\$ 2,520⁻</u>

WHEELCHAIR and STAIR CHAIR LIFTS

Location	Address	Qty	Make	Type	Unit Price	Monthly Total
Black & Williams	498 Georgetown Street	1	Nat'l Wheel-O-Vator	Lift	\$ 50 ⁻	\$ 50 ⁻
Charles Young Center	540 E Third Street	2	Porch	Lift	\$ 50 ⁻	\$ 100 ⁻
Government Center	200 East Main Street	3	Porch-Lift	Lift	\$ 50 ⁻	\$ 150 ⁻
Lyric Theatre	300 E Third Street	1	Genesis	Lift	\$ 50 ⁻	\$ 50 ⁻
Switow Building	200 East Main Street	2	Garaventa	Lift	\$ 50 ⁻	\$ 100 ⁻
Monthly Total \$						450 ⁻
Annual Total (Monthly x 12)					\$	5400 ⁻

Division of Parks Locations

ELEVATORS

Location	Address	Qty	Make	Type	Unit Price	Monthly Total
Carver Center	522 Patterson Street	1	D.C.	Hydraulic	\$ 75 ⁻	\$ 75 ⁻
Dunbar Center	545 N Upper Street	1	Dover	Hydraulic	\$ 75 ⁻	\$ 75 ⁻
Kearney Hills Golf Links	3404 Kearney Road	1	D.C.	Hydraulic	\$ 75 ⁻	\$ 75 ⁻
Picadome Building	469 Parkway Drive	1	Dover	Hydraulic	\$ 75 ⁻	\$ 75 ⁻
Monthly Total \$						300 ⁻
Annual Total (Monthly x 12)					\$	3600 ⁻

Hourly Rate for services outside scope of work \$ 140.00

OT Rate for services outside scope of work \$ 210.00

Mark up percentage for repair materials % 10