



LEXINGTON

Bid 130-2020 Addendum 2 Woodall Construction Co., Inc. Supplier Response

Event Information

Number: Bid 130-2020 Addendum 2
Title: Parks Construction Unit Price Contract
Type: Competitive Bid
Issue Date: 12/10/2020
Deadline: 12/30/2020 02:00 PM (ET)
Notes: ONLY ONLINE BIDS WILL BE ACCEPTED. ATTACH ALL DOCUMENTS IN THE RESPONSE ATTACHMENTS TAB, INCLUDING EXCEL SPREADSHEET WITH PRICING.

Contact Information

Contact: Kristie Thomas
Address: Central Purchasing
Government Center Building
Room 338
200 East Main Street
Lexington, KY 40507
Phone: (859) 2583320
Fax: (859) 2583322
Email: kthomas@lexingtonky.gov

Woodall Construction Co., Inc. Information

Address: 1332 Cahill Drive
Lexington, KY 40504
Phone: (859) 233-2909
Fax: (859) 281-6259

ONLY ONLINE BIDS WILL BE ACCEPTED! By submitting your response, you certify that you are authorized to represent and bind your company and that you agree to all bid terms and conditions as stated in the attached bid/RFP/RFQ/Quote/Auction documents.

Gary Caudill

Signature

Submitted at 12/30/2020 9:20:16 AM

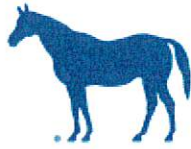
gcaudill@woodallconst.com

Email

Response Attachments

LFUCG # 130-2020 UPC.pdf

LFUCG 130-2020 UNIT PRICE CONTRACT BID PROPOSAL



LEXINGTON

CONTRACT DOCUMENTS

AND

SPECIFICATIONS

DIVISION OF PARKS & RECREATION

FOR

**PARKS CONSTRUCTION
UNIT PRICE CONTRACT**

Bid No. 130-2020



ADDENDUM #1

Bid Number: **#130-2020**

Date: December 16, 2020

Subject: **Parks Construction Unit Price Contract**

Address inquiries to:
Kristie Thomas
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

Excel Spreadsheet has been corrected and attached with new file name 130-2020 UPC BID SCHEDULE ELECTRONIC FORMrv12.16.2020.

Ionwave Questions:

1. I know this has been bid before is it possible to post the last bid tab? **Answer:** Yes. Bid tabulation attached below.
2. Have you bid this type of project before? If so, can you post the bid results? **Answer:** Yes. Bid tabulation attached below.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.

This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Woodell Construction Co., Inc.

ADDRESS: 1332 Cahill Drive Lex, Ky 40504

SIGNATURE OF BIDDER: [Handwritten Signature]





ADDENDUM #2

Bid Number: **#130-2020**

Date: December 22, 2020

Subject: **Parks Construction Unit Price Contract**

Address inquiries to:
Kristie Thomas
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. Excel Spreadsheet has been updated to include a line item for Athletic court patch and level surface and is attached in Ionwave with new file name 130-2020 UPC BID SCHEDULE ELECTRONIC FORMrv12.22.2020.
2. As per additional line item above, updated Part III, 4. BID SCHEDULE – SCHEDULE OF VALUES, pages P-6 through P-7 attached.
3. Technical Specifications Section 2, Part 2 – Application should read:
 - A. Application shall proceed only if the surface is dry and clean and the temperature is at least fifty degrees (50°F) and rising, and the surface temperature is not in excess of one hundred forty degrees (140°F). Do not apply coatings when rain is imminent.
 - B. New asphalt pavement shall cure for 14 days and new concrete shall cure for 28 days prior to application of any surfacing materials.
 - C. The Latex-ite®Acrylic Resurfacer or approved equal is applied in one or two separate applications and is accomplished by use of a 24" to 48" long, flexible, 50 to 70 durometer rubber squeegee of good quality and in good condition. The first coat will be applied in a perpendicular direction to the playing net. The second coat and finish coat will be applied parallel with the playing net. Each application must thoroughly dehydrate before application of succeeding coat. The entire surface shall be checked for ridges and imperfections after each filler coat and scraped smooth and cleaned of all loose debris. Care must be taken to insure a smooth and uniform texture, free from ridges and tool marks.. Coverage rate will vary depending on surface porosity. Design mix based on manufacturer's recommendation and the existing court conditions. Latex-ite acrylic resurfacer or approved equal should be mixed thoroughly to insure uniform consistency.
 - D. The Latex-ite®Acrylic Color System or approved equal is applied in three separate applications and is accomplished by use of a 24" to 48" long, flexible, 50 to 70 durometer rubber squeegee of good quality and in good condition. The first two coats are filler

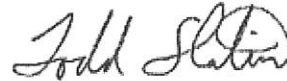


coats. The first coat will be applied in a perpendicular direction to the playing net. The second coat and finish coat will be applied parallel with the playing net. Each application must thoroughly dehydrate before application of succeeding coat. The entire surface shall be checked for ridges and imperfections after each filler coat and scraped smooth and cleaned of all loose debris. Care must be taken to insure a smooth and uniform texture, free from ridges and tool marks, as the final coat is not to be scraped.

E. Color as specified.

4. Technical Specifications Section 2, Part 3 – Playing Lines, A should read:

Line paint shall be 100 % acrylic paint for marking game lines on tennis courts, basketball courts and other recreational areas. A primer coat shall be applied on all new construction. Playing lines to be two inches (2") wide conforming to the plans and shall be accurately located, marked and painted with 2 coats of line paint. Paint color is White. To assure crisp lines, it is advisable to use tape to mask lines. Remove tape as soon as line paint has dried. Allow each coat of material to dry thoroughly before applying successive coats. Twenty-four (24) hours' drying time shall be allowed before recreational use.



Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Woodell Contractors Co., Inc.

ADDRESS: 1332 Cahill Drive Lex., Ky 40504

SIGNATURE OF BIDDER: [Handwritten Signature]



PART III

Invitation to Bid No. 130-2020

Parks Construction Unit Price Contract

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: 12/30/2020

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Woodell Construction Co., Inc.
1332 Cahill Drive Lex. Ky 40504
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Ky, doing business as a corporation
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **Parks Construction Unit Price Contract** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under agreed-to Purchase Order accepted under this Contract and to complete the Project(s) within the time provided by the Purchase Order. The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 12/16/20

Addendum No. 2 Date 12/23/20

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. LEGAL STATUS OF BIDDER

Bidder Woodhill Construction Co., Inc.

Date 12/30/2020

* 1. A corporation duly organized and doing business under the laws of the State of NY, for whom Woodhill Const. - Scott Woodhill, bearing the official title of President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Scott L. Woodall, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Scott L. Woodall and he/she is the individual submitting the bid or is the authorized representative of Woodall Construction, the entity submitting the bid (hereinafter referred to as "Bidder").
 2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
 3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
 4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
 5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
 6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
 7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.
- Further, Affiant sayeth naught.

[Signature]
(Affiant)

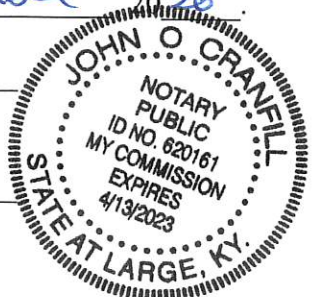
STATE OF Kentucky
COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Scott L. Woodall on this the 30 day of December 2020

My Commission expires: 4-13-23

[Signature]
NOTARY PUBLIC, STATE AT LARGE



Project: Parks Construction Unit Price Contract Bid# 130-2020
Bid Date: 12/30/2020

Contractor: Woodall Construction Co., Inc.

#	Spec Sec.	Item	Unit	Unit Price
1	1	Bituminous pavement for athletic courts: Base	TN	NB
2	1	Bituminous pavement for athletic courts: Surface	TN	NB
3	1	Bituminous pavement for athletic courts reinforced with aramid fibers: Base	TN	NB
4	1	Bituminous pavement for athletic courts reinforced with aramid fibers: Surface	TN	NB
5	1	Bituminous pavement milling for athletic courts	TN	NB
6	2	Bituminous pavement crack filler	LF	NB
7	2	Bituminous pavement full depth crack repair	LF	NB
8	2	Athletic court color coating	SY	NB
9	2	Athletic court patch and level surface	SY	NB
10	2	Athletic court striping	LF	NB
11	3	Asphalt sealing	SY	NB
12	3	Parking lot striping	LF	NB
13	5	Chain link fencing; galvanized; 6 ft.	LF	\$ 60.00
14	5	Chain link fencing; galvanized; 8 ft.	LF	\$ 75.00
15	5	Chain link fencing; galvanized; 10 ft.	LF	\$ 95.00
16	5	Chain link fencing;; galvanized: 12 ft.	LF	\$ 115.00
17	4	Chain link fencing; vinyl coated: 6 ft.	LF	\$ 85.00
18	4	Chain link fencing; vinyl coated: 8 ft.	LF	\$ 100.00
19	4	Chain link fencing; vinyl coated: 10 ft.	LF	\$ 120.00
20	4	Chain link fencing; vinyl coated: 12 ft.	LF	\$ 150.00
21	6	4 board wood fence	LF	\$ 60.00
22	7	Dense grade aggregate placed base	TN	\$ 22.00
23	7	No. 2 stone	TN	\$ 22.00
24	7	No. 9 stone	TN	\$ 23.00
25	7	No. 57 stone	TN	\$ 22.00
26	8	Concrete sidewalk	SY	\$ 50.00
27	9	Finished Concrete	CY	\$ 225.00

28	9	Concrete pavement; 4 in. depth	SY	\$	50.00
29	9	Concrete pavement; 6 in. depth	SY	\$	50.00
30	9	Concrete pavement; 8 in. depth	SY	\$	60.00
31	9	Playground header curb installation	LF	\$	35.00
32	10	Topsoil	CY	\$	35.00
33	11	Excavation	CY	\$	15.00
34	11	Embankment	CY	\$	15.00
35	12	Finish grading	CY	\$	15.00
36	13	Laser grading	CY	\$	30.00
37	14	Standard staked silt fence-installed	LF	\$	5.00
38	14	Wire back silt fence-installed	LF	\$	15.00
39	15	Seeding & protection; General (standard seed mix)	SY	\$	4.00
40	15	Seeding & protection: Athletic Field (special seed mix)	SY	\$	10.00
41	15	Sod: provide & installation	SY	\$	8.00
42	16	Site amenity install; trash/recycling receptacle	EA	NB	
43	16	Site amenity install; standard bench	EA	NB	
44	16	Site amenity install: covered single bench	EA	NB	
45	16	Site amenity install: covered double bench	EA	NB	
46	16	Site amenity install: square picnic table	EA	NB	
47	16	Site amenity install: covered square picnic table	EA	NB	
48	16	Site amenity installation: 6 ft. rectangle picnic table	EA	NB	
49	16	Site amenity installation: 8 ft. rectangle handicap picnic table	EA	NB	
50	16	Site amenity installation: covered 6 ft. rectangle picnic table	EA	NB	
51	17	Single block masonry retention wall	SF	\$	55.00
52	18	Supply and install ADA parking sign	EA	NB	
53	19	Solid drain pipe	LF	NB	
54	19	4 in. HDPE perforated pipe	LF	\$	10.00
55	19	6 in. HDPE perforated pipe	LF	\$	15.00
56	20	Jackhammer with operator	HR	\$	85.00
57	20	Skid steer loader with operator	HR	\$	125.00
58	20	Backhoe (small) with operator	HR	\$	125.00
59	20	Roller/compactor with operator	HR	\$	200.00
60	20	Grader with operator	HR	\$	200.00
61	20	Dump truck (single axle) with driver	HR	\$	80.00

62	20	Dump truck (tri-axle) with driver	HR	\$	90.00
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Payment and Performance Bond Cost for Projects over \$50,000 (See Special Conditions, Section 6) - Up to 5% allowance for total construction cost		5% Max Allowance
Unspecified Incidental Materials at Cost Plus 15% - Check if Interested	<input checked="" type="checkbox"/>	
Unspecified Incidental Labor at Direct Wages Plus Certified Overhead Plus 15% - Check if Interested	<input checked="" type="checkbox"/>	
Minimum Total Project for Consideration (See Special Conditions, Section 3) - Enter dollar amount	\$	2,000.00

4. BID SCHEDULE – SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

In addition to completing the following schedule of values, Bidders shall enter their unit prices on the attached Excel spreadsheet (Available through the LFUCG Ion Wave Procurement website), titled *130-2020 UPC BID SCHEDULE ELECTRONIC FORM.xls*, and submit it through Ion Wave.

The Bidder is not required to bid on every item listed in the bid schedule. In instances where the Bidder chooses not to bid on a specific item, the Bidder shall enter the phrase “no bid” in the unit price column for that respective item. However, Bidders are cautioned that failure to submit a unit bid price on an item will disqualify the Bidder from any project requiring that item, even if they have submitted unit bid prices for all other work items pertaining to that particular project.

BIDDERS MUST COMPLETE THE EXCEL SPREADSHEET AVAILABLE ON THE LFUCG IONWAVE PROCUREMENT SITE at <https://lexingtonky.ionwave.net/Login.aspx> AND SUBMIT EXCEL SPREADSHEET, AS WELL AS, PRINTED AND SIGNED COPY OF SPREADSHEET VIA THE RESPONSE ATTACHMENTS TAB.

#	ITEM	UNIT
1	Bituminous pavement for athletic courts: Base	TN
2	Bituminous pavement for athletic courts: Surface	TN
3	Bituminous pavement for athletic courts reinforced with aramid fibers: Base	TN
4	Bituminous pavement for athletic courts reinforced with aramid fibers: Surface	TN
5	Bituminous pavement milling for athletic courts	TN
6	Bituminous pavement crack filler	LF
7	Bituminous pavement full depth crack repair	LF
8	Athletic court color coating	SY
9	Athletic court striping	LF
10	Asphalt sealing	SY
11	Parking lot striping	LF
12	Chain link fencing; vinyl coated: 6 ft.	LF
13	Chain link fencing; vinyl coated: 8 ft.	LF
14	Chain link fencing; vinyl coated: 10 ft.	LF
15	Chain link fencing; vinyl coated: 12 ft.	LF
16	Chain link fencing; galvanized; 6 ft.	LF
17	Chain link fencing; galvanized: 8 ft.	LF
18	Chain link fencing; galvanized: 10 ft.	LF
19	Chain link fencing; galvanized: 12 ft.	LF
20	4 board wood fence	LF
21	Dense grade aggregate placed base	TN
22	No. 2 stone	TN

23	No. 9 stone	TN
24	No. 57 stone	TN
25	Concrete sidewalk	SY
26	Finished Concrete	CY
27	Concrete pavement; 4 in. depth	SY
28	Concrete pavement; 6 in. depth	SY
29	Concrete pavement; 8 in. depth	SY
30	Playground header curb installation	LF
31	Topsoil	CY
32	Excavation	CY
33	Embankment	CY
34	Finish grading	CY
35	Laser grading	CY
36	Standard staked silt fence-installed	LF
37	Wire back silt fence-installed	LF
38	Seeding & protection; General (standard seed mix)	SY
39	Seeding & protection: Athletic Field (special seed mix)	SY
40	Sod: provide & installation	SF
41	Site amenity install; trash can	EA
42	Site amenity install; standard bench	EA
43	Site amenity install: covered single bench	EA
44	Site amenity install: covered double bench	EA
45	Site amenity install: square picnic table	EA
46	Site amenity install: covered square picnic table	EA
47	Site amenity installation: 6 ft. rectangle picnic table	EA
48	Site amenity installation: 8 ft. rectangle handicap picnic table	EA
49	Site amenity installation: covered 6 ft. rectangle picnic table	EA
50	Single block masonry retention wall	SF
51	Supply and install ADA parking sign	EA
52	Solid drain pipe	LF
53	4 in. HDPE perforated pipe	LF
54	6 in. HDPE perforated pipe	LF
55	Jackhammer with operator	HR
56	Skid steer loader with operator	HR
57	Backhoe (small) with operator	HR
58	Roller/compactor with operator	HR
59	Grader with operator	HR
60	Dump truck (single axle) with driver	HR
61	Dump truck (tri-axle) with driver	HR

Payment and Performance Bond cost for projects over \$50,000.00 (See <i>Special Conditions Section 4</i>). Enter % of total project cost	%
Minimum total project for consideration (See <i>Special Conditions Section 2</i>) Enter Dollar amount	\$
Unspecified incidental materials at cost plus 15%. Check if interested	<input type="checkbox"/>
Unspecified incidental labor at direct wages plus certified overhead plus 15%. Check if interested	<input type="checkbox"/>

Mobilization, Traffic Control, Construction Staking, and E&S Permitting will be paid on a sliding percentage scale for increments of the final price for Work, per the table below.

For example, if the final total of Bid Item Prices for the Work is \$24,000, Mobilization would be (\$10,000 X 15%) plus (\$10,000 X 10%) plus (\$4,000 X 5%) = \$2,700).

No additional compensation will be paid beyond a contract price of \$100,000. For example, the mobilization cost for a \$100,000 price of Work will be identical to that for a \$110,000 price of Work.

Applicability of Traffic Control, Construction Staking, and E&S Permitting will be agreed upon individually for each Purchase Order.

Table of Incremental Costs for Mobilization and Additional Services

Increment Price for Work	(a) Mobilization Cost %	(b) Traffic Control Cost %	(c) Construction Staking Cost %	(d) SWPP Etc. Cost %
\$0 - \$10,000	15.0	7.5	7.5	5.0
\$10,000 - \$20,000	10.0	4.5	4.5	0.5
\$20,000 - \$30,000	5.0	3.0	3.0	.05
\$30,000 - \$50,000	5.0	2.5	2.5	0.5
\$50,000 - \$75,000	5.0	2.5	2.5	0.5
\$75,000 - \$100,000	4.0	2.0	2.0	0.5

Submitted by:

Woodall Construction Co., Inc.

Firm

1332 Cahill Drive

Address

Lexington, Ky 40504

City, State & Zip

**Bid must be signed:
(original signature)**

[Signature] - President

Signature of Authorized Company Representative – Title

Scott H. Woodall

Representative/s Name (Typed or Printed)

859-233-2909

Area Code – Phone – Extension

859-281-6259

Fax #

swoodall@woodallconst.com

E-Mail Address

OFFICIAL ADDRESS:

1332 Cahill Drive

Lexington, Ky 40504

(Seal if Bid is by Corporation)



By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Novell Construction Co., Inc.
- 2. Permanent Place of Business: Lexington, Ky
- 3. When Organized: 1988
- 4. Where Incorporated: Ky
- 5. Construction Plant and Equipment Available for this Project:
Dozers - Loaders - graders
Bulldozers - Dump Trucks - rollers
& etc.

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

CRUM & FORSTER (Surety)

Signed: UNITED STATES FIRE INS. CO. INC. (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
Lex UPC-2016	Lexington, Ky	Unit Price Contract
Hub 2 Apt.	Lexington, Ky	\$ 800,000
UK College Day Lot	Lexington, Ky	\$ 600,000

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
Citation Towerhomes	Lexington, Ky	\$1,500,000
New Market phase 10	Lexington, Ky	\$ 800,000
Carnewood Sewer	Georgetown, Ky	250,000

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
Scott Woodell	President	30 years
Robert Myers	PM	10 years
Gay Landall	PM	12 years
Charlie Miller	Superintendent	10 years
Lester Carpenter	Superintendent	25 years

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
<u>Intersted</u>	<u>Carewood Sewer</u>	<u>✓</u>	<u>3%</u>
<u>Cedar Valley</u>	<u>Dave & Busters</u>	<u>✓</u>	<u>3%</u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
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<u> </u>	<u> </u>	<u> </u>	<u> </u>

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE Yes/No</u>	<u>% of Work</u>
1. <u>SEED & IRRIG</u>	Name: <u>CEGAR Valley</u> Address: <u>PARIS, Ky</u>	<u>Y</u>	<u>?</u>
2. <u>PAVING</u>	Name: <u>G & B Paving</u> Address: <u>Lexington, Ky</u>	<u>Y</u>	<u>?</u>
3. _____	Name: _____ Address: _____	_____	_____
4. _____	Name: _____ Address: _____	_____	_____

LIST OF PROPOSED MATERIALS/MANUFACTURER

	<u>MANUFACTURER'S REPRESENTATIVE FIRM</u>		
1. <u>Concrete Structures</u> <u>Old Castle</u> <u>the PIPE</u>	Name: <u>Old Castle</u> Address: <u>Lexington</u> <u>Hays PIPE</u> <u>Lexington, Ky</u>	<u>N</u>	<u>?</u>

(Attach additional sheet(s) if necessary.)

**7. Lexington-Fayette Urban County Government
MWDBE PARTICIPATION GOALS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**
- 4) The LFUCG has also established a 3% of total procurement costs as a Goal for participation for of Veteran-Owned Businesses.
- 5) **It is therefore a request of each Bidder to include in its bid, the same goal (3%) for Veteran-Owned participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned or Veteran-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE and Veteran-Owned subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by one or more women.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned, managed and controlled by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
 - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
 - b. Included documentation of advertising in the above publications with the bidders good faith efforts package

- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs and/or Veteran-Owned businesses soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.
- j. Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

Note: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented Resolution 484-2017 – A Certified Minority, Women and Disadvantaged Business Enterprise ten percent (10%) minimum goal and a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and Certified Service Disabled Veteran – Owned Businesses for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals (a full copy is available in Central Purchasing):

Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as defined by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. African American, Asian American/Pacific Islander, Hispanic Islander, Native American/Native Alaskan Indian) as defined in federal law or regulation as it may be amended from time-to-time.

Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

Certified Veteran-Owned Small Business (VOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

Certified Service Disabled Veteran Owned Small Business (SDVOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term “Certified” shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Purchasing as having the appropriate credentials to make a determination as to the status of the business.

To comply with Resolution 484-2017, prime contractors and minority, women and veteran owned businesses must enroll in the new Diverse Business Management Compliance system, <https://lexingtonky.diversitycompliance.com/>

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Susan Marston	smarston@tsmsdc.com	502-365-9762
Small Business Development Council	Shawn Rogers UK SBDC	shawn.rogers@uky.edu	859-257-7666
Community Ventures Corporation	Phyllis Alcorn	palcorn@cvky.org	859-231-0054
KY Transportation Cabinet (KYTC)	Melvin Bynes	Melvin.bynes2@ky.gov	502-564-3601
KYTC Pre-Qualification	Sheila Eagle	Sheila.Eagle@ky.gov	502-782-4815
Ohio River Valley Women’s Business Council (WBENC)	Sheila Mixon	smixon@orwbc.org	513-487-6537
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner’s Council (NWBOC)	Janet Harris-Lange	janet@nwbo.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozekey@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	production@keynewsjournal.com	859-685-8488



LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 130-2020

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.				
2.				
3.				
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

David L. Castro
Company

[Signature]
Company Representative

12/30/2020
Date

President
Title



LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # 130-2020

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Scott Kunk
Company

Scott Kunk
Company Representative

12/30/2020
Date

President
Title



MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 130-2020

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name	Contact Person
Address/Phone/Email	Bid Package / Bid Date

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Washington County
Company

Gay Caudill
Company Representative

12-30-20
Date

P.M.
Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran contractors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 130-2020
Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Woodell Contract Co.
Company

[Signature]
Company Representative

12-30-2020
Date

PM.
Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 130-2020

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

_____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

_____ Included documentation of advertising in the above publications with the bidders good faith efforts package

Attended LFUCG Central Purchasing Economic Inclusion Outreach event

_____ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

_____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

_____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

_____ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

_____ Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

_____ Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

_____ Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work

items with its own workforce

Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

North Construction Co., Inc
Company
12/30/2020
Date

[Signature]
Company Representative
President
Title

8. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky _____. Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: Scott D. Wood, II

POSITION/TITLE: President

STATEMENT OF EXPERIENCE: 30 years
sanitary/drain/sewer

NAME OF INDIVIDUAL: Charlie Miller

POSITION/TITLE: Superintendent

STATEMENT OF EXPERIENCE: 40 years
sanitary/drain/sewer

NAME OF INDIVIDUAL: Berry Ledell

POSITION/TITLE: PM

STATEMENT OF EXPERIENCE: 40 years plus
sanitary/drain/sewer

NAME OF INDIVIDUAL: Robert Myers

POSITION/TITLE: PM

STATEMENT OF EXPERIENCE: 35 years

_____ *grade/draw/sewer* _____

NAME OF INDIVIDUAL: _____ *Lester Carpenter* _____

POSITION/TITLE: _____ *Superintendent* _____

STATEMENT OF EXPERIENCE: _____ *30 plus years* _____

_____ *grade/draw/sewer* _____

NAME OF INDIVIDUAL: _____ *John Campbell* _____

POSITION/TITLE: _____ *Controller* _____

STATEMENT OF EXPERIENCE: _____ *45 years* _____

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:
The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

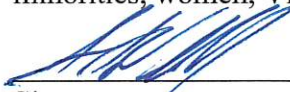
The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is

the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

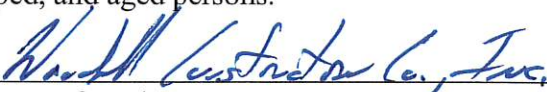
Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.



Signature



Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Seabell Construction Co., Inc

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: Wardell Construction Co. Inc.

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	3	3														3	
Professionals	2	2														2	
Superintendents	1	1														1	
Supervisors	2	2														2	
Foremen	6	6														6	
Technicians <u>T.S. Davis</u>	3	3														3	
Protective Service																	
Para-Professionals <u>69328</u>	11	11														11	
Office/Clerical	5	5														5	
Skilled Craft	13	12														9	4
Service/Maintenance	7	7														7	
Total:	53	52														49	4

Prepared by: Gay E. P. D. PM
 (Name and Title)

Date: 12/31/2020

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Name Insured: Woodball Construction Co., Inc

Employee ID: 61-1178771

Address: 1332 Coltell Dr. Lex. KY 40504-1164

Phone: 859/233-2905

Project to be insured: LEACE - Parks Construction Unit P10a Contract Bid #130-2020

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - see provisions	COL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$1,000,000-Occ \$2,000,000 Agg	Travelers	A++	XV
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$1,000,000/per occ.	\$1,000,000 Occ	Travelers	A++	XV
SC-3, Section 2, Part 4.1 - see provisions	WC	Statutory w/ endorsement as noted	\$ 4,500,000	KY AGC	A-	VII

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

John W. Hampton

GCH Insurance Group

Name of Authorized Representative

Agency or Brokerage

Commercial Lines Insurance Agent

780 Winchester Road

Street Address

Lexington KY

40505

City State Zip

John W. Hampton
Title
Authorized Signature

859-254-1836

December 30, 2020

Telephone Number

Date

NOTE: Authorized signatures may be the agents if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: Parkes UPL contract

BID NUMBER: 130-2020

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of _____ has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Roanoke Construction Co, Inc.
Name of Firm Submitting Bid

[Signature]
Signature of Authorized Official

President
Title

12/30/2020
Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Woodell Construction Co. Inc.

Project: 130-2020 Parks UPC

Printed Name and Title of Authorized Representative: Eric H. Woodell President

Signature: [Handwritten Signature]

Date: 12/30/2020

END OF SECTION

