

**EXHIBIT B**

**CERTIFICATE OF INSURANCE**

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement.

PRODUCER: BB&T - Insurance Services of Lexington, 200 West Vine Street, Ste 300, Lexington, KY 40507. CONTACT NAME, PHONE: 859 224-8899, FAX: 866 643-2260. INSURER(S): Evanston Insurance Company, NAIC #: 35378. INSURED: RM Johnson Engineering Inc., Hindman, KY 41822.

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES.

Table with columns: INSR LTR, TYPE OF INSURANCE, ADDL INSR, SUBR WVD, POLICY NUMBER, POLICY EFF (MM/DD/YYYY), POLICY EXP (MM/DD/YYYY), LIMITS. Includes sections for General Liability, Automobile Liability, Umbrella Liab, Excess Liab, Workers Compensation and Employers' Liability.

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
Professional Liability
\$1,000,000 each claim/\$1,000,000 policy aggregate
\$25,000 deductible each claim/\$75,000 deductible aggregate retroactive date 5-1-1986

CERTIFICATE HOLDER: Lexington-Fayette Urban County Government, 200 E Main St, Lexington, KY 40507. CANCELLATION: SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE: [Signature]



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
10/31/2012

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> MICHAEL R. FANNIN INS. INC. PO BOX 1167 HINDMAN, KY 41822	<b>CONTACT</b> NAME: RONALD JOHNSON PHONE (A/C, No, Ext): 606-785-5926 E-Mail Address: rjohnson@rmje.net	FAX (A/C, No):
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<b>INSURED</b> R M JOHNSON ENGINEERING INC. PO BOX 444 HINDMAN, KY 41822	<b>INSURER(S) AFFORDING COVERAGE</b>	<b>NAIC #</b>
	INSURER A: KENTUCKY FARM BUREAU MUTUAL INS.	
	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

**COVERAGES**                      **CERTIFICATE NUMBER:**                      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSRD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	<b>GENERAL LIABILITY</b>			S0077867	04/28/2012	04/28/2013	EACH OCCURRENCE	\$1,000,000.00
	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY	YES					DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 100,000.00
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR						MED EXP (Any one person)	\$ 5,000.00
	<input type="checkbox"/>						PERSONAL & ADV INJURY	\$ 1,000,000.00
<input type="checkbox"/>	GENERAL AGGREGATE			\$ 2,000,000.00				
GEN'L AGGREGATE LIMIT APPLIES PER:							PRODUCTS - COMP/OP AGG	\$2,000,000.00
<input checked="" type="checkbox"/> POLICY	<input type="checkbox"/> PRO-JECT	<input type="checkbox"/> LOC						\$
	<b>AUTOMOBILE LIABILITY</b>						COMBINED SINGLE LIMIT (Ea accident)	\$
<input type="checkbox"/> ANY AUTO							BODILY INJURY (Per person)	\$
<input type="checkbox"/> ALL OWNED AUTOS	<input type="checkbox"/> SCHEDULED AUTOS						BODILY INJURY (Per accident)	\$
<input type="checkbox"/> HIRED AUTOS	<input type="checkbox"/> NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	\$
<input type="checkbox"/>	<input type="checkbox"/>							\$
<input type="checkbox"/> UMBRELLA LIAB	<input type="checkbox"/> OCCUR						EACH OCCURRENCE	\$
<input type="checkbox"/> EXCESS LIAB	<input type="checkbox"/> CLAIMS-MADE						AGGREGATE	\$
<input type="checkbox"/> DED	<input type="checkbox"/> RETENTION \$							\$
	<b>WORKERS COMPENSATION AND EMPLOYERS LIABILITY</b>						WC STATU-TORY LIMITS	OTHER
	ANY PROPRIETOR/PARTNER/EXECUTIVE Y/N OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> (Mandatory in NH)		N/A				E.L. EACH ACCIDENT	\$
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	\$
							E.L. DISEASE - POLICY LIMIT	\$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

ENDORSEMENT: CG 20 26, ADDITIONAL INSURED

<b>CERTIFICATE HOLDER</b> LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT 200 E. MAIN STREET LEXINGTON, KY 40507	<b>CANCELLATION</b> SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE MICHAEL R FANNIN
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**EXHIBIT C**

**PROPOSAL OF ENGINEERING SERVICES**

**AND RELATED MATTERS**



# 2012

## LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT RFP #33-2012 RFQ for Professional Engineering Services



# RMJE

R.M. JOHNSON ENGINEERING, INC.

3212 Summit Square Place  
Lexington, Kentucky 40509  
Ph. (859) 543-1256  
Fax (859) 543-9873

3376 Highway 550 East  
Hindman, Kentucky 41822  
Ph. (606) 785-5926  
Fax (606) 785-0244



P. O. Box 444  
Hindman, Kentucky 41822  
Telephone: (606) 785-5926  
Fax: (606) 785-0244  
E-mail: [info@rmje.net](mailto:info@rmje.net)

3213 Summit Square Place  
Suite 100  
Lexington, Kentucky 40509  
Telephone: (859) 543-1256  
Fax: (859) 543-9873

November 13, 2012

Purchasing Director  
Lexington-Fayette Urban County Government  
Room 338, Government Center  
200 East Main Street  
Lexington, KY 40507

**RE: RFP #33-2012 RFQ for Professional Engineering Services  
Lexington-Fayette Urban County Government (LFUCG)  
Letter of Transmittal; Category 2 – Dig & Replace Pipelines**

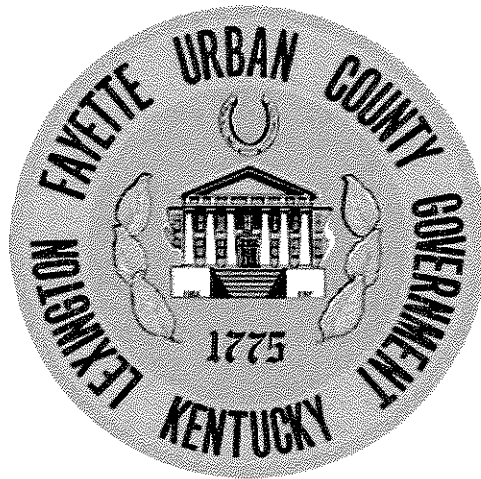
Dear LFUCG,

We are pleased to respond to your request for Professional Engineering Services as described in RFP 33-2012. Please find attached the requested documents that will demonstrate R.M. Johnson Engineering's (RMJE) qualifications and desires to provide the requested services within **Category 2**. RMJE has all the resources and expertise needed to permit, design and inspect the construction of the projects for LFUCG. While many excellent firms will express interest, our firm stands out with our experience, qualifications, local presence and commitment to complete the projects. Please feel free to contact me at (859) 967-4918 or by e-mail: [rjohnson@rmje.net](mailto:rjohnson@rmje.net). We appreciate this opportunity and look forward to working with you.

Sincerely,

Ronald M. Johnson, PE, President  
R.M. Johnson Engineering, Inc.

Attachments



## Section 2

### Firm Qualifications

**FIRM QUALIFICATIONS**

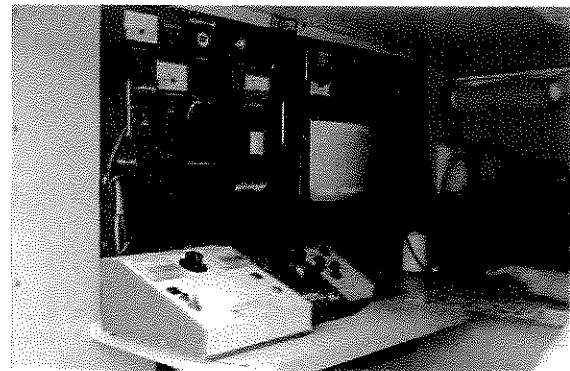
R.M. Johnson Engineering, Inc. (RMJE) was founded in 1986 by Ron Johnson with the help of his late father, Grayson Johnson, Esq. The Firm has slowly grown from four (4) employees to a present staff of eighteen (18). The Company's civil clients include several municipalities, county governments and the Commonwealth of Kentucky both price contract and RFP's. We opened a Lexington office in 2007 with the intent of developing work in Central Kentucky and to facilitate our state contracts and regulatory work in Frankfort. The Lexington office currently has a staff of four (4)



engineers and technicians, including Ron Johnson, PE and Michael Scott, EIT with Steve Harris, PE working there on an as needed basis. If we are procured by LFUCG, Mr. Harris will be assigned to the Lexington office full time for the duration of the project(s).

We currently have on-going sanitary projects for the Troublesome Creek Environmental Authority, City of Hindman, Knott County Water and Sewer District and the City of Vicco. The scope of these projects include; 201 Facility Plans, Sanitary Sewer Evaluation Studies (SSES) for I&I rehab and new Sanitary Sewer Collection and WWTP's. We primarily utilize Haested Sewer CADD and other developed spreadsheets for collection design modeling. We also have a full array of computer hardware and software as well as our main server in the Lexington office.

The company is financially sound with no debt and we own both the Lexington and Hindman offices. As tough as the economy has been the last few years, we have not downsized or cut benefits. All of our employees have been with the firm for a number of years, and turn-over during a major project has never happened in the firm's 26 year history. We have numerous employees with over 15 years of service.



Included on our team is W. Bowman & Associates for civil wastewater support, Adams, Frazier, Anderson, Inc. (AFA) for electrical services, Consulting Services Inc. (CSI) for geotechnical support and Hall-Harmon Engineers (HHE) for surveying. We have teamed with Walter Bowman while he was at MSE, as well as AFA and CSI on numerous projects in the past and HHE performed a second phase for a Kentucky Finance Cabinet project that we provided services for in the original phase and we helped with the project transition. Our team is familiar with one another and we have produced



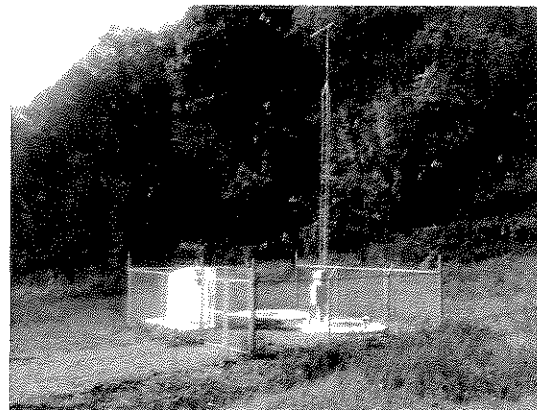
*Engineering Statement of Qualifications*  
*Presented to:*  
*Lexington Fayette County Urban Government*



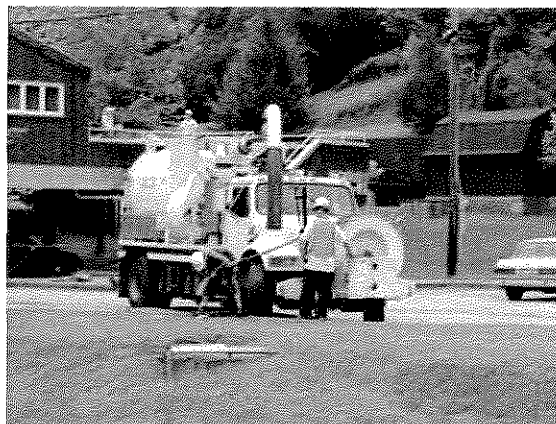


successful projects in the past. Please note that Walter Bowman, PE will be working in our Lexington office as part of our staff on any and all LFUCG projects in which we are procured.

We are pursuing Category 2 (Dig & Replace Pipelines), Category 4 (Pipeline, Manhole & Inlet Projects) and Category 5 (Conventional Small Pump Station) contracts because we feel these projects best suit our expertise. Our pipeline/manhole projects have been in a wide variety of terrain and varying depth of rock. We have provided design and concept phase services for new and rehabilitated truck sewers, force mains and laterals utilizing various pipeline materials including ductile iron, reinforced concrete, polyvinyl chloride (PVC) and high-density polyethylene (HDPE). Our pump station projects range from 1400 to 150 GPM with most from 600 to 150 GPM. Most all of our station designs include SCADA controls, back-up generator, odor control and security appurtenances. We have designed pipelines from a few hundred feet to 20 miles from 6" to 16" in diameter.

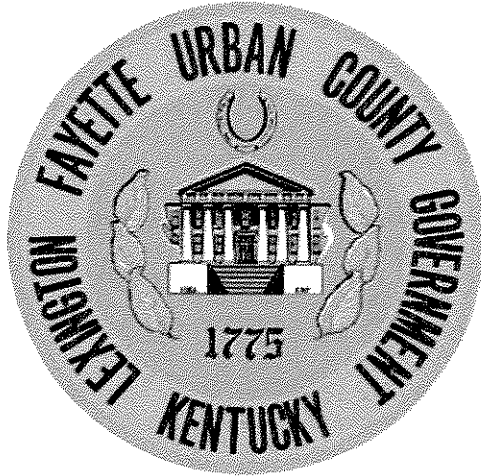


Most of our projects have been developed by identifying a community need and working with county judges and mayors to develop solutions both technically and financially. We were the founding engineers for the Knott County Water & Sewer District (KCWSD) along with the Troublesome Creek Environmental Authority (a three county authority by inter-local agreements between Knott, Breathitt and Perry counties). The City of Hindman had major compliance issues with the KY DOW and EPA. By implementing a remedial measure and mitigation plan the City averted major sanctions from a pending Agreed Order and possible EPA Consent Decree. The City of Vicco is currently in the same situation with an Agreed Order in place and we are currently implementing short-term remedial measures while pursuing funding for long-term mitigation. We feel like this is the LFUCG opportunity we've been waiting for since 2007 and we very much want to be part of LFUCG's team.



*Engineering Statement of Qualifications*  
*Presented to:*  
*Lexington Fayette County Urban Government*



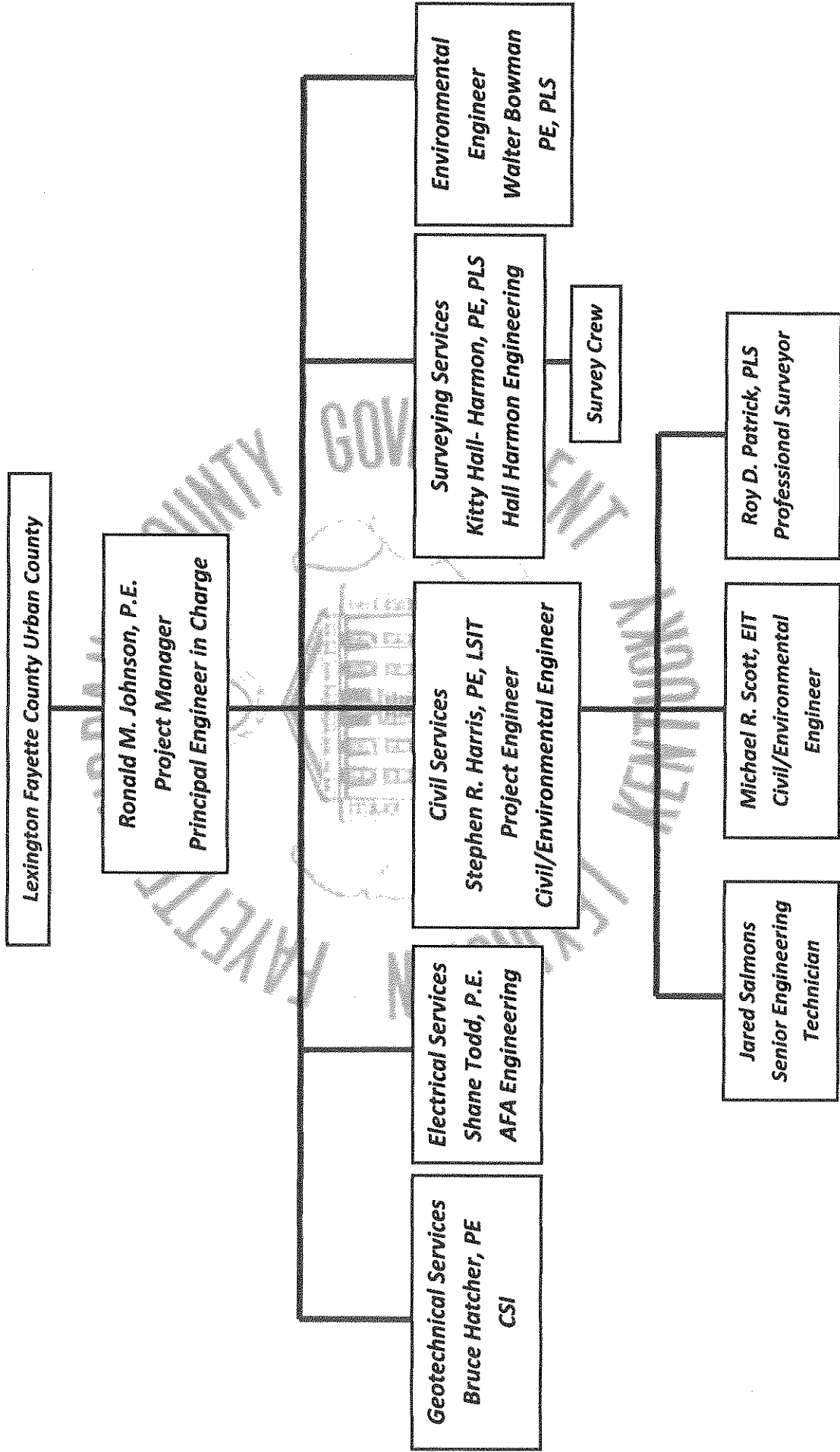


Section 3

Project Team

# R.M. JOHNSON ENGINEERING, INC.

## ORGANIZATIONAL CHART



**Ronald M. Johnson, P.E.**  
**President/Owner**

R.M. Johnson Engineering, Inc. was founded in 1986; In his capacity as a President/Owner of R.M. Johnson Engineering, Inc., Mr. Johnson is responsible for the technical oversight and administration of all the engineering projects utilizing a staff of twenty (20). He also maintains close contact with clients to make certain they are kept abreast of the progress of their project. He also coordinates review and permit acquisitions with all required regulatory agencies.

**SEWAGE TREATMENT & COLLECTION PROJECTS**

- **City of Hindman Sanitary Sewer Rehabilitation Project**, Hindman, KY. Total Project Cost: \$3,000,000
- **City of Hindman 201 Regional Facilities Plan**, Hindman, KY. Total Project Cost: \$120,000
- **Wayland WWTP & Sanitary Sewer Collection Project**, Wayland, KY. Total Project Cost: \$4,600,000
- **Ball Creek WWTP & Sewer Collection Project, Phase I**; Vest, KY. Total Project Cost: \$4,800,000.
- **Perry County WWTP & Sewer Collection Project, Phase I**; Ary, KY. Total Project Cost: \$5,000,000.
- **Breathitt County WWTP & Sewer Collection Project, Phase I**; Lost Creek, KY. Total Project Cost: \$4,600,000.
- **Troublesome Creek Environmental Authority 201 Facility Plan**; Hazard, KY. Total Project Cost: \$150,000.
- **Bank of Hindman Sewer Extension Project**; Hindman, KY. Total Project Cost: \$75,000.
- **Pippa Passes Sanitary Sewer Rehabilitation Project**; Pippa Passes, KY. Total Project Cost: \$1,000,000.
- **City of Vicco Sanitary Sewer Rehabilitation Project**; Vicco, KY. Total Project Cost: \$2,000,000.
- **Perry County Public Library Project**; Hazard, KY. Total Project Cost: \$6,000,000.

**R.M. Johnson  
Engineering, Inc.**

Phone: (859) 543-1256

Fax: (859) 543-9873

And

Phone: (606) 785-5926

Fax: (606) 785-0244

Email: [rjohnson@rmje.net](mailto:rjohnson@rmje.net)

**EDUCATION**

University of Kentucky  
Major: Mining/Civil Engineering

**REGISTRATION**

Professional Engineer  
(Kentucky No. 14238)

Engineer-In-Training  
(Kentucky No. 7630)

Land Surveyor-In-Training  
(Kentucky No. 786)

Class IIA Water Treatment  
(Operator No. 3134)

Class IIA Water Distribution  
(Operator No. 3135)

Certified Nuclear Density  
Meter Operator

**AWARDS**

edraPLACES  
1999 Place Planning Award  
Appalachia Community Development  
Project (funded by ARC)

2011 Build Kentucky Award  
Ball Creek WWTP Project

**AFFILIATIONS**

Kentucky River Authority Board Member

E.O. Robinson Fund Board Member

Bank of Hindman Board Member

UK Distinguished Fellow

American Society of Civil Engineers

**Stephen R. Harris, P.E., L.S.I.T.**  
**LEED Accredited Professional**

Mr. Harris joined R.M. Johnson Engineering, Inc. in May 2007 as a Project Engineer and is now a key component of the Civil Engineering Department. He has served as the project engineer for numerous projects with an emphasis on wastewater treatment plants (WWTPs), sewer and water infrastructure, site, and transportation projects. He has gained invaluable experience with utility relocation projects throughout central and eastern Kentucky. Mr. Harris has served as the design engineer on the following projects:

**SEWAGE TREATMENT & COLLECTION PROJECTS**

- **Ball Creek WWTP & Sewer Collection Project, Phase I;** Vest, KY. Total Project Cost: \$4,800,000.
- **Perry County WWTP & Sewer Collection Project, Phase I;** Ary, KY. Total Project Cost: \$5,000,000.
- **Breathitt County WWTP & Sewer Collection Project, Phase I;** Lost Creek, KY. Total Project Cost: \$4,600,000.
- **Troublesome Creek Environmental Authority 201 Facility Plan;** Hazard, KY. Total Project Cost: \$150,000.
- **Bank of Hindman Sewer Extension Project;** Hindman, KY. Total Project Cost: \$75,000.
- **Pippa Passes Sanitary Sewer Rehabilitation Project;** Pippa Passes, KY. Total Project Cost: \$1,000,000.
- **City of Vicco Sanitary Sewer Rehabilitation Project;** Vicco, KY. Total Project Cost: \$2,000,000.
- **Perry County Public Library Project;** Hazard, KY. Total Project Cost: \$6,000,000.
- **Writt Station Subdivision Project;** Lexington, KY. Total Project Cost: \$ 752,000.

**R.M. Johnson  
Engineering, Inc.**

P.O. Box 444  
Hindman, KY 41822

Phone: (606) 785-5926

Fax: (606) 785-0244

Email: [sharris@rmje.net](mailto:sharris@rmje.net)

**EDUCATION**

University of Kentucky  
B.S. of Civil Engineering 2004

Alice Lloyd College (1999-2001)  
Pre-Engineering Curriculum  
Caney Cottage Scholar

**REGISTRATION**

Professional Engineer  
(Kentucky No. 26768)

Land Surveyor-In-Training  
(Kentucky No. 1815)

LEED Accredited Professional

**AWARDS**

2011 Build Kentucky Award  
Ball Creek WWTP Project

**AFFILIATIONS**

Appointed to the  
Kentucky Oil and Gas  
Conservation Commission

American Society of Civil  
Engineers (2007-Present)

Kentucky Association of  
Professional Surveyors  
(2007-Present)

**Michael R. Scott, E.I.T.**  
***R.M. Johnson Engineering, Inc.***  
***Project Engineer***

Mr. Scott joined R.M. Johnson Engineering, Inc. as a summer intern in the summer of 2009. He has since graduated with a B.S. of Civil Engineering from the University of Kentucky and is now a full time employee since January of 2012.

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**Jared Salmons**  
***R.M. Johnson Engineering, Inc.***  
***Hydraulic Specialist***

Mr. Salmons joined R.M. Johnson Engineering, Inc. in April 2002 as an Engineering Technician and has excelled in the Civil Engineering Department. He has served as project manager for numerous projects with an emphasis on water resources.

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**Roy D. Patrick, PLS**  
***R.M. Johnson Engineering, Inc.***  
***Professional Land Surveyor***

Mr. Patrick joined R.M. Johnson Engineering, Inc. in May 1986 as a Rodman and has worked diligently over the years and acquired a professional land surveyor's license in 2000. He has served as a land surveyor for numerous projects with an emphasis on civil projects.

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The above personnel have project design, surveying and management experience with the following projects:

- **City of Hindman Sanitary Sewer Rehabilitation Project**
- **City of Hindman 201 Regional Facilities Plan**
- **Wayland WWTP & Sanitary Sewer Collection Project**
- **Ball Creek WWTP & Sanitary Sewer Collection Project**
- **Perry County WWTP & Sanitary Sewer Collection Project**
- **Breathitt County WWTP & Sanitary Sewer Collection Project**
- **Troublesome Creek Environmental Authority 201 Regional Facilities Plan**
- **Bank of Hindman Sewer Extension Project**
- **Pippa Passes Sanitary Sewer Rehabilitation Project**
- **City of Vicco WWTP Rehabilitation Project**
- **Perry County Public Library Project**

**Kitty Hall-Harmon, PE, PLS - Principal Engineer / QA/QC Reviewer**

Kitty is a principal engineer and QA/QC reviewer for HHE with over 35 years of practical experience with specific work experience in land surveying, site development, site utilities, sanitary sewers, storm water management, and ADA compliance. She is responsible for the firm's administration and provides project management and QA/QC reviews for HHE projects.

**Registration:** Professional Engineer - Kentucky, #15,486, 1988 West Virginia, #12,407, 1994  
Professional Land Surveyor - Kentucky, #3,267, 1995. West Virginia, #1,502, 1995

- Jacobson Park Sanitary Sewer Easements; Lexington, KY; LFUCG
- Cane Run Trunk Sewer System Rehabilitation Survey; Lexington, KY; LFUCG / FMSM Engineers
- West Hickman Creek Trunk Sewer System Rehabilitation Survey; Lexington, KY; LFUCG / PEH Engineers
- South Elkhorn Subwatershed Trunk Sewer System Rehabilitation Survey; Lexington, KY; LFUCG / FMSM Engineers
- West Hickman Waste Water Treatment Plant Upgrade Survey and Site Design; Lexington, KY; LFUCG / PDR Engineers

**AFA Engineering, LLC.**

*Adams-Fraizers-Andersons, Inc.*



*Key Personnel*

**SHANE H. TODD, PE**

Mr. Todd has over 15 years of experience with design of electrical systems for new construction as well as renovation of existing facilities. Project types include multiple use facilities, schools and, corporate office buildings, housing projects, and healthcare corrections facilities. Many of these facilities are operated 24 hours a day, or require emergency power systems.

**Registration:** Professional Engineer -Kentucky # 25769

- Renovation and Water Pump Station. Powell's Valley, Kentucky.
- Water Treatment Plant Addition, London, Kentucky.
- Water Treatment Plant Carr Creek, Knott County Kentucky
- New WTP, Carr Creek, Kentucky.
- New Lift Station, Barbourville, Kentucky.
- Ball Creek Waste Water Treatment Plant, Troublesome Creek Environmental Authority
- Stanford Waste Water Treatment Plant, Stanford, Kentucky
- Salem Pump Station, Salem Kentucky

**CSI**

*Consulting Services Inc.*



*Key Personnel*

**Bruce L. Hatcher, PE**

**Chief Geotechnical Engineer**

Mr. Hatcher has served as a Principal Engineer and Project Manager for over 20 years on infrastructure projects including pipe installations, pump stations, equalization basins and water and wastewater treatment plants in his career. He also has vast experience in water and wastewater plant work at various locations throughout Kentucky. His expertise includes geotechnical services for the superstructures and large on-site storm water features and best practices with the associated utilities.

**Registration:** Professional Engineer -Kentucky # 14527

- Stream Restoration at Coldstream Research Park - Lexington, Kentucky
- West Hickman Wastewater Treatment Plant - Lexington, Kentucky
- US 25 Detention Basin - Richmond, Kentucky
- Winchester Road Pump Station - Lexington, Kentucky
- Stanford Wastewater Treatment Plant - Stanford, Kentucky
- Idle Hour North Stormwater Project - Lexington, Kentucky



**W. Bowman Associates, PLLC**  
*Engineering & Land Surveying*  
3561 Cephas Way, Lexington, KY 40503

Walter L. Bowman, PE, PLS, founder and principal, has an extensive background in municipal infrastructure engineering, with an emphasis on Water, Wastewater, and Storm water. With over 38 years in the industry, more than 36 with one firm, Walter Bowman has provided the Municipal and private development clients in Kentucky with project concept, design, and project management as well as inspection and evaluation of effectiveness on facilities that span the length and breadth of the Civil Engineering discipline. He has provided clients with projects that range from slip lining of existing sanitary sewers, cured in place relining, Horizontal directional drilling , pipe bursting ( and actual up-sizing simultaneously) , dig and replace-in-place, of sanitary sewer and storm sewer systems. Rehabilitation of both Storm and Sanitary Manholes by relining (folded and re-formed, sprayed on liners of several different compositions, as well as tapped and epoxy gel injected rehab efforts. He has provided specialized inspection services on unique sanitary sewer replacement projects including an above ground Gravity Sewer replacement of an active line by construction on drilled piers, parallel to and wall work performed from barge access and directly from a barge on the Kentucky River.

Mr. Bowman is also a certified KEPSC Inspector.

**Employment History:**

Sept. 1974 – Feb. 2011 MSE of Kentucky . Final Position – President.

May 1974- Sept. 1974 Environetic Research Inc. Bowling Green, KY.

**PROFESSIONAL ENGINEERING SERVICES and EXPERIENCE**

City of Frankfort- East Main Street Sewer Replacement, Frankfort, Kentucky.

Project involved the Inspection of a unique San. Sewer replacement parallel to the Kentucky River no access was available except from the river. Replacement was installed on drilled helical piers, to grade. Only minimal pumping was done. Completed November 2011.

City Of Carrollton, Carrollton Utilities Commission, Sanitary Sewage Treatment and Collection system.

Several projects provided Funding Assistance, Design, Inspection and Project Management for Award winning Wastewater Treatment Facility, Collection System Expansion and improvements including HDD and Pipe bursting for expansion of capacity and expansion of service area. Replace in-place (dig up), major Pump station replacement, expansion of collection system via parallel and co-use of force mains, and addition of equalization tankage for major pump stations. Latest project completed in Nov. 2011.

City of Stanford, Ky. Wastewater Collection and Treatment System

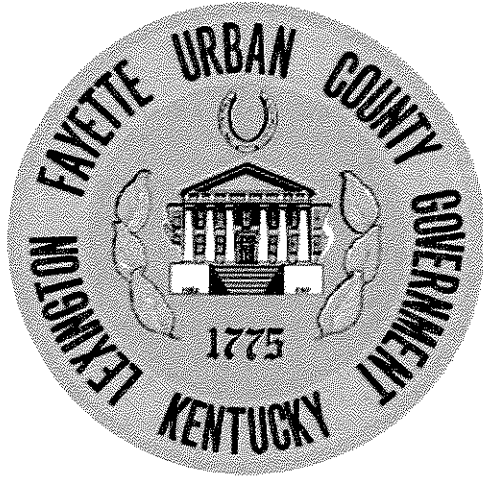
Projects included Expansion of conveyance capacity via dig and replace, parallel line, and elimination of I/I via pressure grouting and slip-lining. Also provided expansion of the existing Wastewater Treatment by 2X which included a Major Equalization Basin which is used via pumping of excess from the Influent pump station and gravity (metered) flow back to the plant for treatment. Equalization basin was actually on opposite side of a major stream from plant. Currently working on Punch List.

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**RISK MANAGEMENT PLAN**

We anticipate our team to have two (2) PE's and one (1) EIT from RMJE assigned to all LFUCG projects awarded along with Walter Bowman, PE. We understand the risk of losing a key member during the design of a project. We propose to have the three (3) PE's and the EIT mentioned, fully engaged in all LFUCG projects. In the event we have a shortfall of the projected personnel during a project, we would immediately inform LFUCG management of the situation and proceed with their input to fulfill the professional need. We are teaming with Pirnie/Arcadis and MSE Lex on other RFQ categories; if either of these firms were to be selected they could also be a resource. Our plan would be to work with LFUCG management to have alternate personnel available if necessary.





## Section 4

List of Clients for Which Similar Work Had Been Performed

*List of Clients of Similar Work Performed*

Mr. Don Gibson Chairman Troublesome Creek Environmental Authority Hazard, Kentucky 41701 Phone: (606) 435-7722	Mr. Jim Childers Board Member Knott County Water and Sewer District & Troublesome Creek Environmental Authority Hindman, Kentucky 41822 Phone: (606) 785-5584
Mr. Derrick Bowling, Board Member Troublesome Creek Environmental Authority 917 Perry Park Road Hazard, Kentucky 41701 Phone: (606) 436-3158	Ms. Alice Ritchie Board Chair Knott County Water and Sewer District Hindman, Kentucky 41822 Phone: (606) 785-5584
Ms. Janice Jarrell Mayor City of Hindman 10 Professor Clarke Circle P.O. Box 496 Hindman, Kentucky 41822 Phone: (606) 785-5544	Mr. Johnny Cummins Mayor City of Vicco P.O. Box 249 Vicco, Kentucky 41773 Phone: (606) 476-2414
Mr. Tommy Robinson Mayor City of Wayland 145 KY Route 1086 Wayland, KY 41666 Phone: (606) 358-9466	



## Section 5

### List of Similar Design Services Projects

**RELEVANT & SIMILAR PROJECT EXPERIENCE**

*All projects listed below were completed using digital planning and design format.*

**Hindman WWTP and Sanitary Sewer Expansion Project, 2001**

**Client: City of Hindman**

**Project Cost \$3.0M**

RMJE has been the City of Hindman's Engineer since 2001. During that period we have worked on numerous infrastructure projects including rehabilitation and expansion of their wastewater system projects included the following:

- 201 Facilities Handling Plan for the existing and total structured \$3.0M.
- Topographic and Facility Mapping for KIA Fund B Application.
- Complete SSES Evaluation of the entire collection system.
- Design, bid and inspect a rehab project that included pipe line point repairs, line replacement, manhole/chimney replacement, manhole grout and seal, rain water manhole cover installation, pipe slip-lining, and pipe bursting/replacement.
- Total rehab of six (6) lift stations including check valve replacement and installation of SCADA Controls.
- Conversion of an out of service clarifier to an EQ basin to handle excessive and peak I & I.
- Expansion of Gravity System along two (2) legs of Troublesome Creek with two (2) additional lift stations.

**Wayland WWTP and Sanitary Sewer Expansion Project, 2004**

**Client: City of Wayland**

**Project cost \$4.6M**

Partner firm for a new Extended Air 0.30 MGD WWTP and a gravity force main collection system. Duties included mapping, design and inspection.

- 201 Facilities Handling Plan for the existing and total structured \$3.0M.
- Topographic and Facility Mapping for the project area.
- Complete WWTP and collection design.
- Bid administration and inspection services.
- Production of Record Drawings.

**Ball Creek WWTP and Sanitary Sewer Collection Project, Phase I, 2008**

**Client: Troublesome Creek Environmental Authority**

**Project cost \$4.9M**

This project included the planning, design and inspection of a new Sequential Batch Reactor (SBR) 0.10 MGD WWTP and a 6.5 mile gravity and force main sanitary sewer collection system.

- Funding application assistance.
- Topographic and Facility Mapping for the project area.
- Complete design of SBR WWTP which included one EQ basin, two SBR basins, and one digester basin.
- Installation of a 200 GPM lift station with odor control and the installation of SCADA Controls.
- Installation of approximately 50 grinder stations to provide service.
- Bid administration and inspection services.
- Production of Record Drawings.



*Engineering Statement of Qualifications  
Presented to:  
Lexington Fayette County Urban Government*



**Vicco WWTP Rehabilitation Project, 2010**

**Client: City of Vicco**

**Project cost \$2.4M**

This project included the planning, design and inspection of a new 0.10 MGD SBR WWTP which would replace an existing failing 0.10 MGD Extended Air WWTP.

- Assisting the City and Kentucky Division of Water in creating an Agreed Order and Corrective Action Plan.
- Funding application assistance.
- Topographic and Facility Mapping for the project area.
- Complete WWTP and collection design.
- Bid administration and inspection services.

**Perry County WWTP and Sanitary Sewer Collection Project, Phase I, 2011**

**Client: Troublesome Creek Environmental Authority**

**Project cost \$5.0M**

This project included the planning, design and inspection of a new Sequential Batch Reactor (SBR) 0.10 MGD WWTP and a 7.0 mile gravity and force main sanitary sewer collection system.

- 201 Facilities Handling Plan.
- Funding application assistance.
- Topographic and Facility Mapping for the project area.
- Complete design of SBR WWTP which included one EQ basin, two SBR basins, and one digester basin.
- Installation of two 200 GPM lift stations with odor control and the installation of SCADA Controls.
- Installation of numerous grinder stations to provide service.
- Bid administration and inspection services.
- Production of Record Drawings.

**Breathitt County WWTP and Sanitary Sewer Collection Project, Phase I, 2012**

**Client: Troublesome Creek Environmental Authority**

**Project cost \$4.6M**

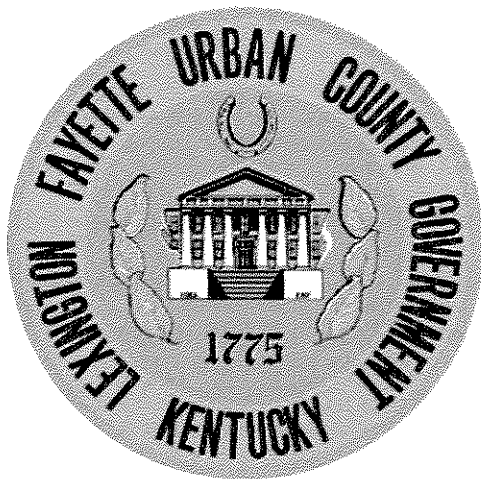
This project included the planning, design and inspection of a new Sequential Batch Reactor (SBR) 0.10 MGD WWTP and a 4.0 mile gravity and force main sanitary sewer collection system.

- Funding application assistance.
- Topographic and Facility Mapping for the project area.
- Complete design of SBR WWTP which included one EQ basin, two SBR basins, and one digester basin.
- Installation of a 175 GPM lift station with odor control and the installation of SCADA Controls.
- Installation of approximately 70 grinder stations to provide service.
- Bid administration and inspection services.
- Production of Record Drawings.



*Engineering Statement of Qualifications  
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Lexington Fayette County Urban Government*





Section 6

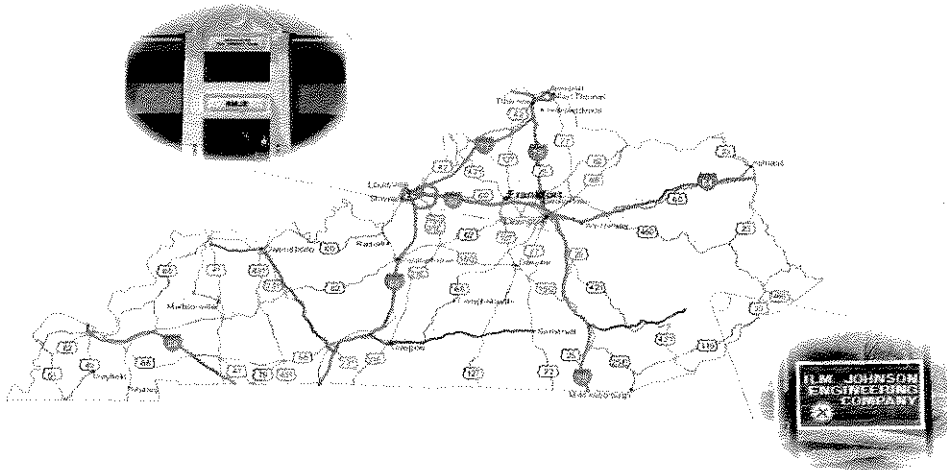
Local Office

Attachment 1

Project Team Location(s)

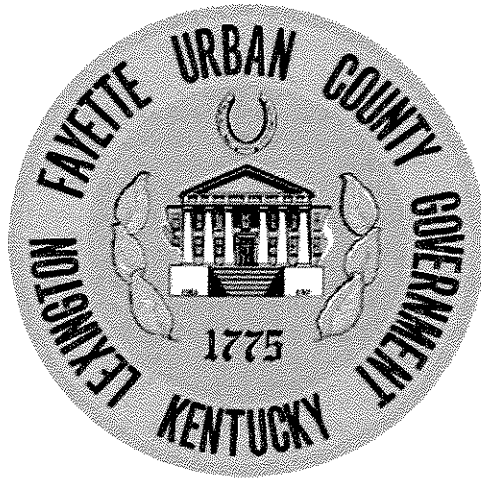
Prime Consultant	Location (City, State)	Date Office Established	Total Number of Employees	No. of Employees expected to work on IDWQ projects
Headquarters**	Lexington, KY	2007	6	8
Local Office**	Lexington, KY	2007	6	8
PM Location	Lexington, KY			
<b>Subconsultants Name:</b>	AFA Engineering, LLC			
<b>Service Provided</b>	Electrical, Mechanical, Plumbing			
Headquarters	Lexington, KY	1969	7	5
Local Office				
<b>Name:</b>	Consulting Services Inc.			
<b>Service Provided</b>	Geotechnical			
Headquarters	Lexington, KY	2009	37	10
Local Office		2009	37	10
<b>Name:</b>	Hall and Harmon Engineering			
<b>Service Provided</b>	Surveying			
Headquarters	Lexington, KY	1994	7	4
Local Office		1994	7	4

\*\* Walter Bowman, PE is included in RMJE's employee count.



Engineering Statement of Qualifications  
Presented to:  
Lexington Fayette County Urban Government





## Section 7

Disadvantaged Business Enterprise Involvement



**DISADVANTAGED BUSINESS ENTERPRISE**

RMJE fully intends to meet the DBE goal of not less than 10% of the total value of the work by utilizing the services of Hall-Harmon Engineers, Inc, a locally Kentucky-certified DBE. Their credentials are as follows:

**HALL-HARMON ENGINEERS, INC.**

**Civil Engineers and Land Surveyors**



**Firm Overview**

**FIRM OVERVIEW:**

Hall-Harmon Engineers, Inc. (HHE) is a Lexington, Kentucky firm specializing in civil engineering and land surveying with major emphasis in site development, site utilities and land surveying. HHE has extensive experience with providing engineering design and surveying services on various types of projects for the Lexington-Fayette Urban County Government (LFUCG) as both prime consultant and has a subconsultant which include boundary and topographic surveys, roadway design, stormwater and sanitary sewer design in addition to being very familiar with LFUCG Practices and Policies, Standard Drawings and Infrastructure Manuals.

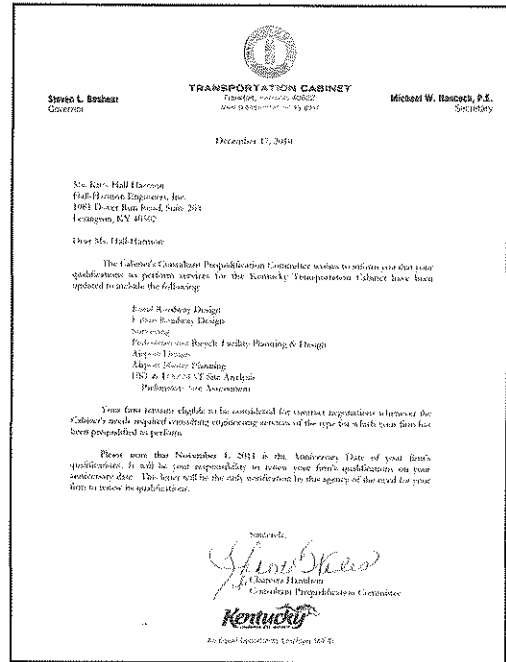
HHE currently employs four professional land surveyors of which three are professional engineers, two engineering/CADD technicians and survey field crew with the potential to expand, as the workload requires. The size of HHE allows for rapid and efficient project management based upon the high value placed on communication and teamwork by the firm's hands-on design principals.

Kitty Hall-Harmon, PE, PLS, principal engineer and senior project manager, has specialized in site development, ADA compliance, site utilities, land surveying, quality assurance and interdisciplinary teamwork during her 35-year career. She serves as senior project manager for many of the firm's site development projects, and manages the business and administration operations of the firm.

Larry D. Harmon, PE, PLS, principal engineer and senior project manager, has over 37 years of technical experience with all aspects of site design and development, site utilities and land surveying. He also coordinates and manages the efforts for all HHE surveying services.

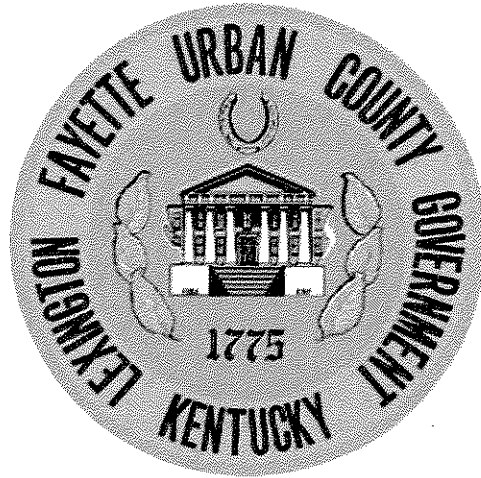
**DBE/WBE STATUS:**

HHE has been a certified DBE/WBE with Kentucky Transportation Cabinet (KYTC) for the past seventeen years and was presented with the 1997 Disadvantage Business Enterprise Firm of the Year Award based upon outstanding performance in the DBE Program.



Engineering Statement of Qualifications  
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Lexington Fayette County Urban Government



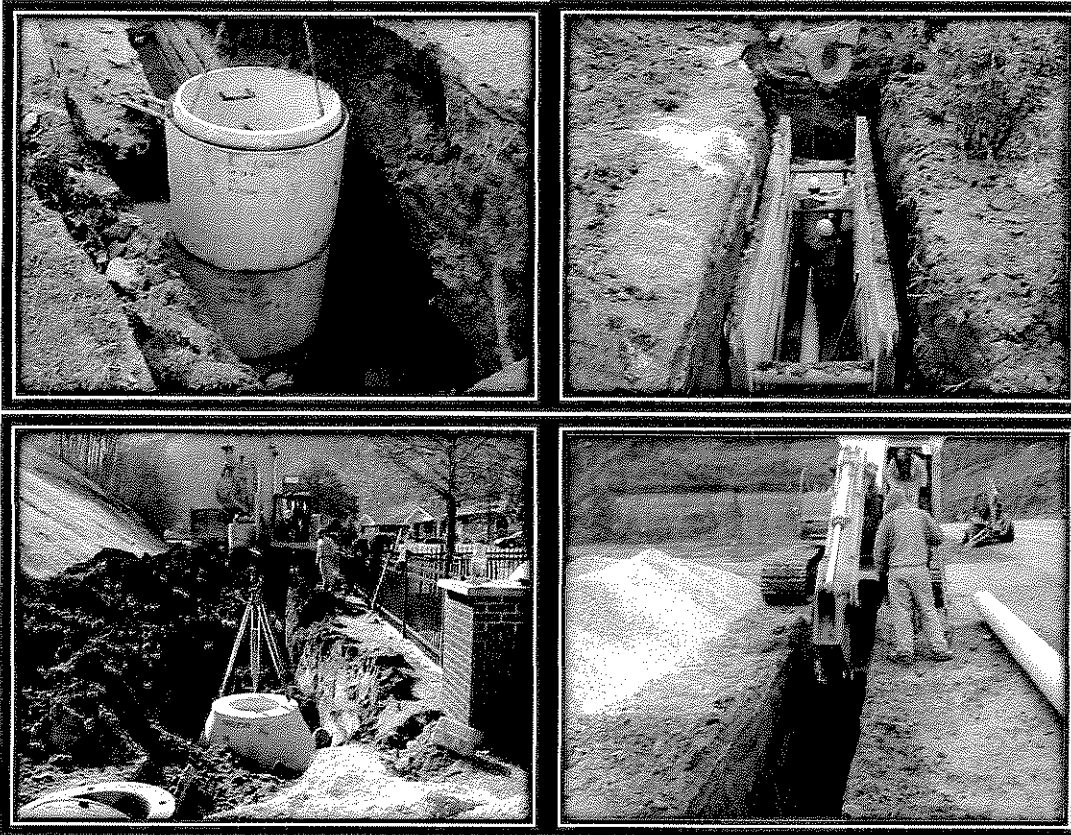


## Section 8

### Statement of Hourly Rates

**Hourly Rate Schedule  
Remedial Measures Plan and Related Projects**

<b>Job Classification</b>	<b>Hourly Rate</b>
Principal	\$135
Project Manager	\$120
Project Engineer (PE)	\$110
Project Engineer (EIT)	\$75
Engineering Technician / CAD Technician	\$65
Survey Crew	\$130
Clerical	\$42



*Engineering Statement of Qualifications  
Presented to:  
Lexington Fayette County Urban Government*





Required Documents

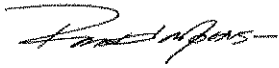
### **R.M. Johnson Engineering's SECTION 3 PLAN**

R.M. Johnson Engineering, Inc. agrees to implement the following specific affirmative action steps directed at increasing the utilization of lower income residents and businesses within the City of Lexington, Kentucky.

- A. To ascertain from the locality the exact boundaries of the Section 3 covered project area and where advantageous, seek the assistance of local officials in preparing and implementing the affirmative action plan.
- B. To attempt to recruit from within the city the necessary number of lower income residents through: Local advertising media, signs placed at the proposed site for the project, and community organizations and public or private institutions operating within or serving the project area such as Service Employment and Redevelopment (SER), Opportunities Industrialization Center (OIC), Urban League, Concentrated Employment Program, Hometown Plan, or the U.S. Employment Service.
- C. To maintain a list of all lower-income residents who have applied either on their own or on referral from any source, and to employ such persons, if otherwise eligible and if a vacancy exists.
- D. To insert this Section 3 plan in all bid documents, and to require all bidders on subcontracts to submit a Section 3 affirmative action plan including utilization goals and the specific steps planned to accomplish these goals.
- E. To insure that subcontracts which are typically let on a negotiated rather than a bid basis in areas other than Section 3 covered project areas, are also let on a negotiated basis, whenever feasible, when let in a Section 3 covered project area.
- F. To formally contact unions, subcontractors and trade associations to secure their cooperation for this program.
- G. To insure that all appropriate project area business concerns are notified of pending subcontractual opportunities.
- H. To maintain records, including copies of correspondence, memoranda, etc., which document that all of the above affirmative action steps have been taken.
- I. To appoint or recruit an executive official of the company or agency as Equal Opportunity Officer to coordinate the implementation of this Section 3 plan.

As officers and representatives of R.M. Johnson Engineering, Inc.

We the undersigned have read and fully agree to this Affirmative Action Plan,  
and become a party to the full implementation of this program.



Signature \_\_\_\_\_

President \_\_\_\_\_

Title

November 13, 2012 \_\_\_\_\_

Date



**LFUCG MBE/WBE PARTICIPATION FORM**  
**Bid/RFP/Quote Reference # 33-2012**

The MBE/WBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MBE/WBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
Hall-Harmon Engineers, Inc. Kitty Hall-Harmon 1081 Dove Run Road Lexington, KY 40502 kitty.hall-harmon@hhnet.com	Professional Surveying Services		10%
2.			
3.			
4.			

The undersigned company representative submits the above list of MBE/WBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

R.M. Johnson Engineering, Inc.  
Company

November 13, 2012  
Date

*David M. Johnson*  
Company Representative  
President  
Title



**MBE QUOTE SUMMARY FORM**  
 Bid/RFP/Quote Reference # 33-2012

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

<b>Company Name</b> R.M. Johnson Engineering, Inc.	<b>Contact Person</b> Ron Johnson, President
<b>Address/Phone/Email</b> 3213 Summit Square Place Lexington, KY 40509 Ph: (859) 543-1256 rjohnson@rmje.net	<b>RFP Package / RFP Date</b> 33-2012 / November 13, 2012

MBE/WBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
Hall-Harmon Engineers 1081 Dove Run Road Lexington, KY 40502	Kitty Hall -Harmon	(859) 269 -3150	10/30/2012	Surveying	Email	\$120/Hr	WBE
EHI Consultants 333 West Vine Street Lexington, KY 40507	Ed Holmes	(859) 425 -4881	10/30/2012	None.	Phone	N/A	AA
Magna Engineers, PLLC 416 Codell Drive Lexington, KY 40509	Michelle Howlett	(859) 309 -2990	10/30/2012	None.	Phone	N/A	WBE
Integrated Engineering 1716 Sharkey Way Lexington, KY 40511	Harsha Wijesiri	(859) 368 -0145	10/30/2012	None.	Phone	N/A	AS

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

R.M. Johnson Engineering, Inc.

Company

November 13, 2012

Date

  
 Company Representative

President

Title



**LFUCG STATEMENT OF GOOD FAITH EFFORTS**  
**Bid/RFP/Quote # 33-2012**

By the signature below of an authorized company representative, we certify that we have utilized the following methods to obtain the maximum practicable participation by minority and women owned business enterprises on the project. Please indicate which methods you used by placing an X in the appropriate place.

- Attended LFUCG Central Purchasing Economic Inclusion Outreach Event
- Sponsored Economic Inclusion event to provide networking opportunities
- Requested a list of MBE/WBE subcontractors or suppliers from LFUCG Economic Engine
- Advertised for MBE/WBE subcontractors or suppliers in local or regional newspapers
- Showed evidence of written notice of contracting and/or supplier opportunities to MBE/WBE firms at least seven days prior to the proposal opening date
- Provided copies of quotations submitted by MBE/WBE firms which were not used and/or responses from firms indicating they would not be submitting a quote
- Provided plans, specifications, and requirements to interested MBE/WBE subcontractors
- Other  
Please list any other methods utilized that aren't covered above.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The undersigned acknowledges that all information is accurate. Any misrepresentations may result termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

R.M. Johnson Engineering, Inc.

**Company**

November 13, 2012

**Date**



**Company Representative**

President

**Title**

Firm Submitting Proposal: R.M. Johnson Engineering, Inc.

Complete Address: 3213 Summit Square Place, Lexington, KY 40509  
                                    Street                                    City                                    Zip

Contact Name: Ron Johnson                      Title: President

Telephone Number: (859) 543-1256      Fax Number: (859) 543-9873

Email address: rjohnson@rmje.net

**WORKFORCE ANALYSIS FORM**

Name of Organization: R. M. JOHNSON ENGINEERING, INC. Date: 11 / 12 / 12

Categories	Total	White		Latino		Black		Other		Total
		M	F	M	F	M	F	M	F	
Administrators										
Professionals	4	4								
Superintendents										
Supervisors	1	1								
Foremen	2	2								
Technicians	8	8								
Protective Service										
Para-Professionals										
Office/Clerical	3		3							
Skilled Craft										
Service/Maintenance										
<b>Total:</b>	<b>18</b>	<b>15</b>	<b>3</b>							

Prepared by: Ron Johnson, President Name & Title

## EQUAL OPPORTUNITY AGREEMENT

### The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states:

*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*

- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

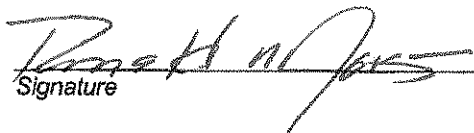
\*\*\*\*\*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

### Bidders

*I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped and aged persons.*

  
Signature

  
Name of Business



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
10/31/2012

**THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.**

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

**PRODUCER**  
MICHAEL R. FANNIN INS. INC.  
PO BOX 1167  
HINDMAN, KY 41822

**CONTACT**  
NAME: RONALD JOHNSON  
PHONE (A/C, No, Ext): 606-785-5926 FAX (A/C, No):  
E-Mail Address: rjohnson@rmje.net

**INSURED**  
R M JOHNSON ENGINEERING INC.  
PO BOX 444  
HINDMAN, KY 41822

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A: KENTUCKY FARM BUREAU MUTUAL INS.	
INSURER B:	
INSURER C:	
INSURER D:	
INSURER E:	
INSURER F:	

**COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSRD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJ. <input type="checkbox"/> LOC.		YES	S0077867	04/28/2012	04/28/2013	EACH OCCURRENCE	\$1,000,000.00
							DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 100,000.00
							MED EXP (Any one person)	\$ 5,000.00
							PERSONAL & ADV INJURY	\$ 1,000,000.00
							GENERAL AGGREGATE	\$ 2,000,000.00
							PRODUCTS - COMPROP AGG	\$2,000,000.00
								\$
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)	\$
	<input type="checkbox"/> ANY AUTO						BODILY INJURY (Per person)	\$
	<input type="checkbox"/> ALL OWNED AUTOS						BODILY INJURY (Per accident)	\$
	<input type="checkbox"/> HIRED AUTOS						PROPERTY DAMAGE (Per accident)	\$
								\$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> OCCUR						EACH OCCURRENCE	\$
	<input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE						AGGREGATE	\$
	<input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$							\$
	WORKERS COMPENSATION AND EMPLOYERS LIABILITY		N/A				WC STATUTORY LIMITS	OTHER
	ANY PROPRIETOR/PARTNER/EXECUTIVE Y/M OFFICER/MEMBER EXCLUDED? (Mandatory in NH)						E.L. EACH ACCIDENT	\$
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	\$
							E.L. DISEASE - POLICY LIMIT	\$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

ENDORSEMENT: CG 20 26, ADDITIONAL INSURED

**CERTIFICATE HOLDER**

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
200 E. MAIN STREET  
LEXINGTON, KY 40507

**CANCELLATION**

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE  
MICHAEL R FANNIN



# CERTIFICATE OF INSURANCE

DATE 10/31/2012

<b>PRODUCER</b>  Michael Fannin Po Box 1167 Hindman KY 41822 606-785-3794	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.
<b>INSURED</b>  R M Johnson Engineering Inc Po Box 444 Hindman, KY 41822	<b>INSURER AFFORDING COVERAGE</b>  Kentucky Employers Mutual Insurance Lexington Financial Center 250 West Main Street, Suite 900 Lexington, KY 40507 (859) 425-7800 Fax (859) 425-7822

**COVERAGE**

THIS IS TO CERTIFY THAT THE POLICY OF INSURANCE LISTED BELOW HAS BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED AND IS IN FORCE ON THE DATE THIS CERTIFICATE IS ISSUED. NOTWITHSTANDING ANY REQUIREMENTS, TERMS OR CONDITIONS OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICY LISTED BELOW IS SUBJECT TO ALL OF THE TERMS, EXCLUSIONS AND CONDITIONS OF THE POLICY. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE	POLICY EXPIRATION DATE	LIMITS	
WORKERS COMPENSATION AND EMPLOYERS' LIABILITY	316440	5/2/2012	5/2/2013	WC STATUTORY LIMITS	
				EL EACH ACCIDENT	\$1,000,000
				EL DISEASE-POLICY LIMIT	\$1,000,000
				EL DISEASE-EA EMPLOYEE	\$1,000,000

<b>CERTIFICATE HOLDER</b>  Lexington-Fayette Urban County Government 200 E. Main Street Lexington, KY 40507 606-785-0244	<b>CANCELLATION</b>  SHOULD THE ABOVE DESCRIBED POLICY BE CANCELLED BEFORE THE EXPIRATION DATE KEMI WILL NOT PROVIDE WRITTEN NOTICE TO THE CERTIFICATE HOLDER. THIS CERTIFICATE SHALL NOT IMPOSE ANY OBLIGATION OR LIABILITY OF ANY KIND UPON KEMI OR ITS REPRESENTATIVES.
-----------------------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Client#: 952504

66RMJOH

ACORD™

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

10/30/2012

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER: BB&T - Insurance Services of Lexington, 200 West Vine Street, Ste 300, Lexington, KY 40507. CONTACT NAME: PH: 859 224-8899, FAX: 866 643-2260. INSURER(S) AFFORDING COVERAGE: Evanston Insurance Company, NAIC #: 35378.

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

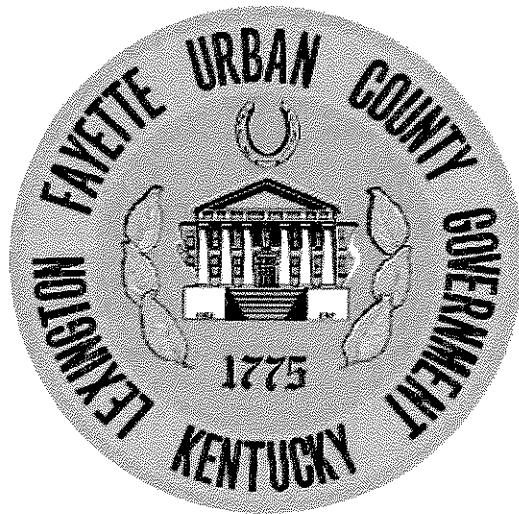
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

Table with columns: INSR LTR, TYPE OF INSURANCE, ADDL INSR, SUBR, POLICY NUMBER, POLICY EFF, POLICY EXP, LIMITS. Includes sections for General Liability, Automobile Liability, Umbrella Liab, and Workers Compensation.

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required) Professional Liability \$1,000,000 each claim/\$1,000,000 policy aggregate \$25,000 deductible each claim/\$75,000 deductible aggregate retroactive date 5-1-1986

CERTIFICATE HOLDER CANCELLATION

CERTIFICATE HOLDER: Lexington-Fayette Urban County Government, 200 E Main St, Lexington, KY 40507. CANCELLATION: SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE: [Signature]



3213 Summit Square Place  
Lexington, Kentucky 40509  
Ph. (859) 543-1256 - Fax (859) 543-9873

3376 Highway 550 East  
Hindman, Kentucky 41822  
Ph. (606) 785-5926 - Fax (606) 785-0244



**EXHIBIT D**

**FURTHER DESCRIPTION OF BASIC  
ENGINEERING SERVICES AND  
RELATED MATTERS**

LFUCG TASK ORDER NO. \_\_\_\_\_  
UNDER LFUCG AGREEMENT WITH \_\_\_\_\_ FOR \_\_\_\_\_

---

**CONSULTANT**

**OWNER**

		Lexington Fayette Urban County Government
Street Address	_____	200 East Main Street
City, State, Zip	_____	Lexington, KY 40507
Contact Person	_____	Charles Martin
Telephone	_____	859-425-2438
Fax	_____	859-254-7787
E-Mail	_____	chmartin@lexingtonky.gov

Task Order Date: \_\_\_\_\_

Task Name: \_\_\_\_\_

Task ID: \_\_\_\_\_

**SCOPE OF WORK/DELIVERABLES**

See Attached

**SCHEDULE OF WORK**

See Attached

**FEE**

See Attached

**ADDITIONAL PROVISIONS**

Because this is a Remedial Measures Plan project, **CONSULTANT** understands and agrees that the performance of these services is related to the Consent Decree entered in a case styled *United States & Commonwealth of Kentucky v. Lexington Fayette Urban County Government*, United States District Court for the Eastern District of Kentucky, Civil Action No. 5:06-cv-386-KSF (the "**CONSENT DECREE**"), a copy of which has been made available for review by the **CONSULTANT**, and which is incorporated herein by reference. The **CONSULTANT** further agrees that the services performed pursuant to this task order are necessary for the **OWNER** to meet the deadlines of the **CONSENT DECREE** and that the following requirements and conditions, which are in addition to those provided in the Engineering Services Agreement, shall apply to all work and services performed by the **CONSULTANT** under this task order:

1. Time is of the essence in the performance of the work and services. **CONSULTANT** is aware that the **OWNER** is subject to penalties for non-compliance with the **CONSENT DECREE** deadlines.
  
2. If delays result solely by reason of acts of the **CONSULTANT**, the **CONSULTANT** shall be held liable for any financial penalties incurred by the **OWNER** as a result of the delay, **including but not limited to those assessed pursuant to the CONSENT DECREE**. Section 6.5 of this Engineering Services Agreement (**Disputes**), shall apply in the event the parties cannot mutually agree upon the cause(s) associated with delays in completing project deliverables. The **CONSULTANT** must immediately notify the **OWNER** in the event of such delay, and provide the **OWNER** a written action plan within five (5) business days on how it will attempt to resolve the delay.
  
3. In the event that **CONSULTANT'S** delay or other nonperformance of its obligations hereunder results in the imposition of penalties against the **OWNER** pursuant to the **CONSENT DECREE**, or the **OWNER** otherwise suffers damage as a result of such delay or nonperformance, **CONSULTANT** shall be solely liable to **OWNER** for any and all such damages, including any costs and attorney's fees.

**ACCEPTED BY:**

**AUTHORIZED BY:**

\_\_\_\_\_  
 Consultant's Authorized Signature

\_\_\_\_\_  
 Owner's Authorized Signature

\_\_\_\_\_  
 Date Signed

\_\_\_\_\_  
 Date Signed

*Two originals of this work order shall be executed by the Owner and returned to Vernon Azevedo, P.E.  
 A fully executed copy will be returned to the Owner.*

