



# U.S. Small Business Administration

# NOTICE OF AWARD

<b>1. AUTHORIZATION</b> (Legislation/Regulation) Section 324 of division N of the Consolidated Appropriations Act, 2021 (Pub. L. 116-260)		<b>2. Grant/Cooperative Agreement No.:</b> SBAHQ21SV010691.2	
<b>3. RECIPIENT:</b> (Name, Organizational Unit, Address) Lexington Fayette Urban County Government 610858140 020428777-0000 200 East Main Street Lexington KY 40507 United States		<b>4. PROJECT PERIOD</b> (Mo./Day/Yr.) From 07/16/2021 Through 06/30/2022	
<b>8. TITLE OF PROJECT/PROGRAM</b> (limit to 53 spaces) Shuttered Venue Operators Grant		<b>5. BUDGET PERIOD</b> (Mo./Day/Yr.) From 07/16/2021 Through 01/16/2023	
		<b>6. FEDERAL CATALOG NO.</b> 59.075	
		<b>7. ADMINISTRATIVE CODES</b>	
		<b>9. AWARD AMOUNT</b> Amount of SBA Financial Assistance \$142,227.93	

<b>10. DIRECTOR OF PROJECT</b> (Program or Center Director, Coordinator or Principal Investigator)  NAME Luallen Amber Last First Initial ADDRESS: 200 East Main Street Lexington KY 40507 United States		<b>11. RECOMMENDED FUTURE SUPPORT</b> (Subject to the availability of funds and satisfactory progress of the project)  BUDGET YEAR TOTAL DIRECT COST a. N/A N/A b. N/A N/A	
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<b>12. Approved Budget</b> (Excludes SBA Direct Assistance) <input checked="" type="checkbox"/> SBA Funds Only <input type="checkbox"/> Total project costs including all other financial participation.		<b>13. REMARKS</b> (Other Terms & Conditions Attached) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
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	Federal Share	Non-Federal Share	Non-Federal In-Kind	Non-Federal Program Inc.
a. Personal Service.....	\$0.00			
b. Fringe Benefits.....	\$0.00			
c. Consultants.....	\$0.00			
d. Travel.....	\$0.00			
e. Equipment.....	\$0.00			
f. Supplies.....	\$0.00			
g. Contractual.....	\$0.00			
h. Other.....	\$142,227.93			
i. TOTAL DIRECT COSTS.....	\$142,227.93			
j. Indirect cost.....				
(Rate).	N/A	N/A	N/A	N/A
k. OTHER APPL. COSTS.....	N/A	N/A	N/A	N/A
l. TOTAL APPROVED BUDGET	\$142,227.93			

## 14. THIS AWARD IS SUBJECT TO THE FOLLOWING COST PRINCIPLES AND OMB UNIFORM ADMINISTRATIVE REQUIREMENTS:

- ☒ 2 CFR Chapter 1, Chapter II, Part 200, et al, uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.
- ☒ Part 180 - OMB Guidelines to Agencies on government debarment and suspension (Non Procurement)

\*Must meet all matching or cost participation requirements subject to adjustment in accordance with SBA policy

<b>15. THIS AWARD IS SUBJECT TO THE TERMS AND CONDITIONS ON THE REVERSE SIDE</b>			
16. CRS - EIN 610858140-DA-000031066		17. COUNTY NAME	
19a. CITY CODE Lexington	b. COUNTY CODE	c. STATE CODE KY	d. PROGRAM CODE SVOG
BUDGET CODE	DOCUMENT NO.	AMT. ACTION FIN. ASST.	TYPE OF ORGANIZATION
20a. X0700DB90050060500	b. 2	c. \$142,227.93	d. Majority Government Owned

21. AGENCY OFFICIAL (Signature, Name and Title) <i>Linda Gorton</i>	22. DATE ISSUED (Mo./Day/Yr.) 12/13/2021
23. RECIPIENT OFFICIAL (Signature, Name and Title) <i>Linda Gorton Mayor</i>	24. DATE 01/10/2022 (Mo./Day/Yr.)

Note: The estimated burden completing this form is 80 hours per response. You will not be required to respond to any collection of information unless it displays a currently valid OMB approval number. Comments on the burden should be sent to U.S. Small Business Administration, Chief, AIB, 409, 3rd St., S.W., Washington, D.C. 20416 and Desk Office for Small Business Administration, Office of Management and Budget, New Executive Office Building, room 10202 Washington, D.C. 20503. OMB Approval (3245-0140).  
PLEASE DO NOT SEND FORMS TO OMB.

## FORM-1222 ADDENDUM

### Explanation

- **This Supplemental Phase SVOG Notice of Award supersedes any previous Notices of Award you received under the Shuttered Venue Operators grant.** The following Terms & Conditions and Additional Program Assurances apply to all SVOG Award funds received under this program.
- The Grant Number (Box 2) is different from the Initial Phase SVOG Notice of Award confirming it is a new, superseding Notice of Award.
- Under the Initial Phase SVOG Award, you had one year from the date of award to spend your grant funds and you could only use those funds to pay allowable items of cost incurred between March 1, 2020, and December 31, 2021. Once you execute the Supplemental Phase SVOG Notice of Award and return it with your initialed and signed Terms & Conditions and Additional Program Assurances, you will have 18 months from the date of your Initial Phase SVOG Award (Box 5 Budget *From* date) to spend your grant funds. You can use those funds to pay allowable costs incurred between March 1, 2020, and June 30, 2022.
- The supplemental award amount was calculated based on the initial grant amount before subtracting PPP. Any PPP funds received after December 27, 2020, not previously deducted, were then subtracted from the supplemental award amount, which final total award amount (initial grant amount plus the supplemental award amount) is at Box 9 (Award Amount).
- Please reference the current SVOG list of Frequently Asked Questions and the Office of Management and Budget's *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (commonly called "Uniform Guidance") for guidance regarding allowable items of cost and use of your grant funds and repayment of any unused funds at the end of your Budget Period.

### Field 13. Other Terms & Conditions Attached

- This Supplemental Phase SVOG Notice of Award supersedes any previous Notices of Award you received under the Shuttered Venue Operators grant.
- Prior approval is not required for the in-scope transfer or reallocation of funds among direct cost categories in the approved total budget. However, SBA will not permit any budget transfers or reallocations that represent a change in the scope of an SVOG Award or which would cause SVOG award funds to be used for purposes other than those consistent with the program legislation and appropriation.
- You must submit Form-425 with supporting documents when all award funds have been spent.
- You may spend your SVOG funds on costs incurred from March 1, 2020 to December 31, 2021 (or June 30, 2022 if you have received a Supplemental Award). Once you have spent all of your SVOG funds, it is recommended that you submit your expense report within 60 days. However, by law (per §200.344), you must complete this and the subsequent "Complete SVOG Closeout Process" to close out your grant within 120 days of the end of your Budget Period, whether or not all SVOG funds have been spent. You must submit your expense report through the portal or as otherwise directed by the program.
- You are required to submit a Single Audit or financial audit at the end of your fiscal year if you have spent \$750,000 or more in Federal Funding.
- You are not eligible for a Restaurant Revitalization Fund grant.



**Additional Program Assurances - Please initial each item below and sign at the bottom.**

As the applicant or duly authorized agent of the applicant, I certify that the organization:

- LA 1. Is fully operational or intends to resume operations.
- LA 2. Fully meets the eligibility criteria of the grant program.
- LA 3. Does not present live performances of a prurient sexual nature or derive revenue from sales of products or services, or the presentation of any depictions or displays, of a prurient sexual nature.
- LA 4. Accurately listed the number of employees, including full-time or part-time status.
- LA 5. Will not use funds for real estate purchases; to prepay mortgage loans; to pay interest or principal on loans received after February 29, 2020; to invest or re-lend funds; to contribute to or spend funds to or on behalf of any political party, party committee, or candidate for elected office; to purchase alcohol or pay for loans for alcohol; or to purchase or pay loans for items of prurient sexual nature.
- LA 6. Will provide a complete Final Report, including programmatic questions, by the date specified in the Grant Award Notice.
- LA 7. Will retain records regarding employment for a period of 4 years following the receipt of the grant and other records for a period of 3 years following receipt of the grant.
- LA 8. Will cooperate with audit activities conducted by SBA, SBA Office of Inspector General, and the Government Accountability Office.
- LA 9. Will repay any funds found to be misspent pursuant to the allowable uses of program funds.
- LA 10. If it has 500 or more employees, will not abrogate existing collective bargaining agreements for the term of the grant and 2 years after spending grant funds; and will remain neutral in any union organizing effort for the term of the grant.
- LA 11. Will complete a finalized budget as required prior to closeout.

Signature: Linda Horton

Date: 1/10/2022