

PROJECT REVIEW AND COST SUMMARY

This questionnaire/checklist is furnished as an administrative aid and is required for use in supplying information and documents, reporting minor changes, and project status. The information and documents should be submitted to DOW as soon as possible after bid opening.

DRINKING WATER SRF

CLEAN WATER SRF

SECTION 1.

1.	<u>Project Name</u> West Hickman WWTP Wet Weather Storage - Phase 2	<u>Project Number</u> SX21067048
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2. Changes: Have there been any changes in the project since DOW's approval of the plans and specifications?

Yes No Construction Drawings. If yes, submit revised drawings and addenda. **See Note***

Yes No Specifications. If yes, submit addenda. **See Note***

Yes No Site Changes. If so, new Clear Site Certificates are required prior to start of construction.

Yes No Authorized Representative (Mayor, City Manager, etc.). If so, provide name and title.

***Note:** Prior approval is required for changes in design, scope, type of treatment, size, capacity, time to complete the project, etc. Changes, which result in increase in the amount of a contract, must be procured in accordance with state and federal requirements, as applicable.

SECTION 2.

DATE BIDS OPENED: 07/29/2021 DATE BIDS EXPIRE: 11/26/2021

1. The following items should be submitted to DOW after bid opening:
 - a) Executed Project Review & Cost Summary Form (this form).
 - b) Revised (As-bid) Budget (form attached).
 - c) Original bid advertisement or copy of advertisement with affidavit of publication.
 - d) Certified Bid Tabulations with engineer's seal.
 - e) Davis-Bacon ATA Certification form (with Project Wage Rate Sheet HUD-4720 form).
 - f) Clear Site Certificates.
 - e) DBE Documentation (See Attachment No. 11 of the Supplemental General Conditions (SGC)):
 - (1) Disadvantaged Business Enterprise Participation Policy form from the successful low bidder with DBE certifications and executed subcontracts with DBEs or letters

of intent signed by both parties; and documentation on the level of effort taken to obtain DBEs including copies of correspondence with DBE contractors, requesting quotes and copies of any advertisements soliciting DBE contractors, copies of returned envelopes and certified mail receipts, telephone log, etc.

- (2) Bidder's List Form from recipient and successful bidder.

2. The following items must be submitted to DOW at the Pre-construction Meeting:

- a) Executed Contract Specifications (once contract is signed).
- b) Notice of Award, Notice to Proceed, Bid Bond, Payment Bond, and Performance Bond (generally included in executed contract).
- c) Contractor's Debarment Certification (See Attachment No. 9 in the SGC).
- d) Contractor's Lobbying Certification (See Attachment No. 10 in the SGC).

3. A copy of the items identified in Section 2.1 and Section 2.2, above, and the following must be retained by the owner. This documentation is subject for review, by DOW, at the time of site inspection(s).

- a) Name and qualifications of the proposed resident inspector(s).
- b) Proposal of the successful bidder(s).
- c) EEO documentation required by Executive Order 11246 as amended. Items 1 through 11 (See Attachment No. 6 in the SGC), is required for all contracts over \$10,000 except supplier contracts. Supplier contracts require:
 - (1) Name, address, and telephone number.
 - (2) Materials to be supplied and dollar value.
 For contracts below \$10,000, the same information required for supplier contracts must be submitted.
- d) Engineer's letter to the loan recipient recommending award of the contract. Letter must include a description of work, dollar amount, and name of the low bidder. If award is recommended to be made to other than the low bidder, a justification indicating why the low bidder is not responsive or responsible.
- e) Contractor project construction schedule and payment schedule.
- f) Applicable wage rate determination letter.
- g) Tentative Award Resolution.

4. Comments: _____

I hereby certify that all documentation outlined in Section 2.1, 2.2 and 2.3 will be retained in our project files and all documentation outlined in Section 2.1 has been submitted to DOW and all documentation outlined in Section 2.2 will be submitted to DOW after the Preconstruction meeting.

Linda Gorton
Signature of Authorized Representative

9/7/2021
Date

Linda Gorton, Mayor
Print Name and Title

Davis-Bacon Contract Award Information

Fill out one form for each contract awarded. This information is required for compliance of the Davis-Bacon provisions of the Drinking Water SRF or Clean Water SRF funded projects.

Complete and submit a copy of the Project Wage Rate Sheet (HUD-4720) with this form.

Borrower Name:	Lexington-Fayette Urban County Government
WX / SX Number:	SX21067048
KIA Loan Number:	A15-026
Project Name:	West Hickman WWTP Wet Weather Storage - Phase 2
Bid Opening Date:	July 29, 2021
Federal Wage Decision #, modification #, and Effective Date used in contract:	KY20210082 March 5, 2021
Contract Award Date:	August 26, 2021
Construction Start Date:	September 20, 2021
Estimated Completion of Construction Date:	March 22, 2023
Contract Amount:	\$17,450,000.00
Contractor Name:	Judy Construction Company
Contractor Address:	103 South Church Street, P O Box 457, Cynthiana, Kentucky 41031
Subcontractor Name(s):	CROM Corp., TEM Group Inc., Lykins Reinforcing, Cedar Valley Seeding, Bourne-Clark Construction, and JR Horn Co.

Questions regarding Davis-Bacon determination:

Yes or No

Questions regarding Davis-Bacon determination:	Yes or No
Do you certify that Davis-Bacon wages and provisions were included in your bid specifications?	Yes
Do Contractor(s) and Subcontractor(s) agree to provide weekly payroll information with a Statement of Compliance to Borrower (WH-347 Form or other format acceptable to Borrower w/Statement of Compliance)?	Yes
Does Borrower agree to conduct reviews of certified payroll information submitted by contractor(s) and subcontractor(s) to verify payment of appropriate wages and compliance with Davis-Bacon provisions?	Yes
Does Borrower agree to conduct on-site interviews as a result of suspected noncompliance or in response to an alleged violation of the prevailing wage requirements and complete the Labor Standards Interview Sheet (SF 1445)?	Yes

I certify the correct wage decision is being applied to the above noted project.

Linda Gorton
Signature of Authorized Official of Borrower

9/7/2021
Date

Linda Gorton, Mayor
Printed Name and Title of Authorized Official

PLEASE NOTE: KIA will use a combination of funding (CWSRF/DWSRF funds and non-CWSRF/DWSRF funds) to finance projects. Federal Davis-Bacon prevailing wages apply to this project.

Project Wage Rate Sheet		U.S. Department of Housing and Urban Development Office of Labor Relations				
PROJECT NAME: Sheet				WAGE DECISION NUMBER/MODIFICATION NUMBER:		
WEST HICKMAN WWS- PHASE 2				KY20210082 Modification # 1		
PROJECT NUMBER:				PROJECT COUNTY: JESSAMINE CO, KENTUCKY		
WORK CLASSIFICATION	BASIC HOURLY RATE (BHR)	FRINGE BENEFITS	TOTAL HOURLY WAGE RATE	LABORERS FRINGE BENEFITS:		\$ TOTAL WAGE
				GROUP #	BHR	
Bricklayers			\$			
Carpenters	24.80	8.76	\$33.56			\$
Cement Masons			\$			\$
Drywall Hangers			\$			\$
Electricians	33.21	17.85	\$51.06			\$
Iron Workers	30.47	21.20	\$51.67			\$
Painters			\$	OPERATORS FRINGE BENEFITS:		\$17.25
Plumbers			\$	GROUP #	BHR	TOTAL WAGE
Roofers			\$	1	33.95	\$51.20
Sheet Metal Workers			\$			\$
Soft Floor Layers			\$			\$
Tapers			\$			\$
Tile Setters			\$	TRUCK DRIVERS FRINGE BENEFITS:		\$3.26
OTHER CLASSIFICATIONS				GROUP #	BHR	TOTAL WAGE
Laborer-Common	22.17	9.64	\$31.81	DUMP	17.82	\$21.08
Laborer-Conc Finishing	25.75	8.60	\$34.35			\$
Operator-Track/Backhoe	22.56	12.10	\$34.66			\$
ADDITIONAL CLASSIFICATIONS (HUD Form 4230-A)						
WORK CLASSIFICATION	BASIC HOURLY RATE	FRINGE BENEFITS	TOTAL HOURLY WAGE RATE	DATE OF HUD SUBMISSION TO DOL		DATE OF DOL APPROVAL
			\$			
			\$			
			\$			

Project Cost Summary

Project Title: West Hickman WWTP Wet Weather Storage Tanks - Phase 2

WRIS#: SX21067048

Project Budget: Estimated enter date

As Bid enter date

Revised enter date

Cost Classification	SRF KIA Loan	Funding Source 2	Funding Source 3	Funding Source 4	Funding Source 5	Funding Source 6	Local Funds	Unfunded Costs	Total
1 Administrative Expenses	36000								36000
2 Legal Expenses									
3 Land, Appraisals, Easements									
4 Relocation Expenses & Payments									
5 Planning									
6 Engineering Fees – Design	550000								550000
7 Engineering Fees – Construction	72000								72000
8 Engineering Fees – Inspection	168000								168000
9 Engineering Fees – Other									
10 Construction	17450000								17450000
11 Equipment									
12 Miscellaneous									
13 Contingencies	1900000								1900000
Total	\$20,176,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$20,176,000

Funding Sources	Amount	Date Committed
1 KIA-SRF	\$20,926,000	
2		
3		
4		
5		
Total	\$20,926,000	

Local Funding Sources	Amount	Date Committed
1		
2		
3		
Total	\$0	

Total Funding \$20,176,000

Cost Categories	Funding Source	Total Cost
Treatment (DW)		
Transmission and Distribution (DW)		
Source (DW)		
Storage (DW)		
WWTP Secondary Portion (CW)		
WWTP Advanced Portion (CW)		
Inflow and Infiltration Correction (CW)	KIA-SRF	\$20,176,000
Major Sewer Rehabilitation (CW)		
Collector Sewers (CW)		
Combined Sewer Overflow Correction (CW)		
Interceptor Sewers Including Pump Station (CW)		
Purchase of Systems (DW and CW)		
Restructuring (DW and CW)		
Land Acquisition (DW and CW)		
Total Costs		\$20,176,000