MAYOR LINDA GORTON



TODD SLATIN DIRECTOR PROCUREMENT

#### ADDENDUM #1

Bid Number: #78-2025

Date: June 18, 2025

Subject: Greenway Maintenance

Address inquiries to: Kristie Thomas (859) 258-3320

#### TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

#### Ionwave Q&A

Q: What specific areas or locations are to be serviced under this contract. Are there any maps, lists of sites, or estimated work zones available for review before submitting a bid? Or will all work be assigned through individual quotes post-award?

A: Individual quotes will be requested on an as needed basis for specific areas and projects from all awarded contractors as per Section 3.0 ROUTINE AND EMERGENCY QUOTES. Attached is a list of Greenways. See file "Greenways with labels\_Arch E\_Land.pdf" located under Attachments tab in Ionwave for the Greenways map of Lexington.

> Todd Slatin, Director Division of Procurement

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME:

ADDRESS:

SIGNATURE OF BIDDER:





MAINTAIN	NAME	ADDRESS	ACREAGE	LABEL	FUNCTION_
ES	BLUEGRASS WILKES 01	2180 CALL DR	5.7501502	PARCEL	TRAIL AND CONSERVATION
ES	BLUEGRASS WILKES 02	2121 EXECUTIVE DR	0.434398	PARCEL	TRAIL AND CONSERVATION
ES	BLUEGRASS WILKES 03	2120 EXECUTIVE DR	1.54902005	PARCEL	TRAIL AND CONSERVATION
ES	CALENDULA 01	2412 CALENDULA	0.67822599	PARCEL	TRAIL AND CONSERVATION
ES	CALENDULA 02	2405 CALENDULA	1.14889002	PARCEL	CONSERVATION
ES	COLDSTREAM PARK 02	1875 NEWTOWN PIKE	107.7249985	FLOODPLAIN	TRAIL AND CONSERVATION
ES	CROSS KEYS PARK	1240 CROSS KEYS RD	7.06798983	FLOODPLAIN	CONSERVATION
ES	DANTZLER CT	305, 309, 313 DANTZLER CT	0.47811699	PARCEL	WQ LOT - GREENSPACE
ES	DARTMOOR 01	705, 709 DARTMOOR CT	0.82839799	PARCEL	TRAIL AND CONSERVATION
ES	DARTMOOR 02	693, 697, 701 DARTMOOR CT, 685, 689 DARTMOOR DR	1.24415004	PARCEL	CONSERVATION
ES	DEAUVILLE 01	2020 - 2088 DEAUVILLE DR	7.33357	PARCEL	CONSERVATION
ES	DEAUVILLE 02	2096, 2100, 2104 DEAUVILLE DR	1.48011994	PARCEL	CONSERVATION
ES	EDGELAWN 01	1436-1450 EDGELAWN AVE	4.21570015	DETENTION	WQ LOT - DETENTION
ES	EDGELAWN 02	400 MEADOW PARK	0.66447198	WQ LOT	WQ LOT - GREENSPACE
ES	EDGELAWN 03	406 SHAWNEE AVE	0.68901902	WQLOT	WQ LOT - GREENSPACE
ES	EDGELAWN 04	422, 424, 426 MORGAN AVE	0.42938399	WQLOT	WQ LOT - GREENSPACE
ES	EDGELAWN 05	436 LOCUST AVE	0.182046	WQLOT	WQ LOT - GREENSPACE
ES	EDGELAWN 06	416 CARLISLE AVE	0.181008	WQLOT	WQ LOT - GREENSPACE
ES	ELKHORN PARK (CANE RUN)	438 CANE RUN RD	2.22864008	PARCEL	CONSERVATION
DES	EUREKA SPINGS	660 EUREKA SPRINGS DR	1.56854999	DETENTION	WQ LOT - DETENTION
ES	FALLING LEAVES 01	3325 POLO CLUB BLVD	4.75810003	PARCEL	TRAIL AND CONSERVATION
DES	FALLING LEAVES 02	2270 ICE HOUSE WAY	4.16012001	PARCEL	TRAIL AND CONSERVATION
ES	FT SUMTER 01	1700 FT SUMTER DR	0.32538	PARCEL	CONSERVATION
ES	FT SUMTER 02	1612, 1614 FT SUMTER DR	0.69477099	PARCEL.	CONSERVATION
DES	FT SUMTER 03	1500 CLARKSDALE	0.61261803	WQ LOT	WQ LOT - GREENSPACE
ES	FT SUMTER 04	1504 CLARKSDALE CT	0.27100399	WQLOT	WQ LOT - GREENSPACE
ES	FT SUMTER 05	1508 CLARKSDALE CT	0.31107399		WQ LOT - GREENSPACE
ES	FT SUMTER 06	1512, 1514 CLARKSDALE CT	0.53171402	WQLOT	WQ LOT - GREENSPACE
ES	FT SUMTER 07	1602, 1604 FT SUMTER	0.742028	WQLOT	WQ LOT - GREENSPACE
DES	FT SUMTER 08	1653, 1657, 1661, 1665 GAYLE DR	1.39008999	WQ LOT	WQ LOT - GREENSPACE
DES	FT SUMTER 09	1575 EASTLAND PARKWAY	1.56946003	PARCEL	CONSERVATION
ES	FT SUMTER 10	2309 EASTLAND PARKWAY	1.96244001	PARCEL	CONSERVATION
ES	FT SUMTER 11	ROW	0.49702001	FLOODPLAIN	CONSERVATION
ES	FT SUMTER 12	2310 EASTLAND PARKWAY	1.23995996	PARCEL	CONSERVATION
ES	FURLONG	862-898 FURLONG	3.00384998	WQLOTS	WQ LOT - GREENSPACE
ES	GAINESWAY 01	1212 & 1213 GAINESWAY DR	0.49108201	PARCEL	CONSERVATION
DES	GAINESWAY 02	1205 GAINESWAY DR	0.260546	PARCEL	CONSERVATION
DES	GAINESWAY 03	1205 GAINESWAY DR	0.216674	PARCEL	CONSERVATION
DES	GAINESWAY PARK	3460 MILANO RD	3.64991999	FLOODPLAIN	CONSERVATION
DES	GOODRICH	GOODRICH AVE AND PENSICOLA DR	1.27907002	WQLOTS	WQ LOT - GREENSPACE

DES	GREEN ACRES 01	1534 ASTAIRE DR	1.80729997 PARCEL	CONSERVATION
DES	GREEN ACRES 02	593 HOLLOW CREEK RD	0.76379102 PARCEL	CONSERVATION
DES	GREEN ACRES PARK	1560 LASALLE RD	0.68467999 FLOODPLAIN	CONSERVATION
DES	MABLE LANE 01	268 LUCILLE DR	7.36574984 PARCEL	TRAIL AND CONSERVATION
DES	MABLE LANE 02	2694 MABLE LN	0.0640169 PARCEL	TRAIL
DES	PICADOME GOLF COURSE	469 PARKWAY DR	28.44599915 FLOODPLAIN	CONSERVATION
DES	PINE MEADOWS (SUGAR MILL)	1816 VERSAILLES RD	2.97177005 PARCEL	TRAIL AND CONSERVATION
DES	ROANOKE	1500 - 1522 ROANOKE RD	3.13151002 PARCEL	TRAIL AND CONSERVATION
DES	ROANOKE (FIRE STATION 14)	1528 ROANOKE RD	0.79496098 FLOODPLAIN	TRAIL AND CONSERVATION
DES	ROLAND	729 ROLAND AVE	1.38461995 WQ LOT	EASTLAND PARK - BUFFER
DES	SHAKER RUN	2980 POLO CLUB BLVD	10.51109982 PARCEL	TRAIL AND CONSERVATION
DES	SUNNINGDALE 01	2245 BARRINGTON WAY	3.00357008 PARCEL	TRAIL AND CONSERVATION
DES	SUNNINGDALE 02	3237 POLO CLUB BLVD	10.29969978 PARCEL	TRAIL AND CONSERVATION
DES	SWEET CLOVER 01	3278 SWEET CLOVER LN.	7.39126015 PARCEL	TRAIL AND CONSERVATION
DES	SWEET CLOVER 02	3240 POLO CLUB BLVD	6.24770021 PARCEL	TRAIL AND CONSERVATION
DES	SWEET CLOVER 03	3414 POLO CLUB	0.500696 PARCEL	TRAIL AND CONSERVATION
DES	WATERFORD (EMMETT CREEK)	4338 BROOKRIDGE DR	3.46393991 PARCEL	CONSERVATION
DES	WATERFORD 01	4339 BROOKRIDGE DR	0.26480901 PARCEL	TRAIL AND CONSERVATION
DES	WILLOWWOOD	3533 - 3541 WILLOWOOD RD, 3541 GREENTREE RD	1.93172002 PARCEL	CONSERVATION



# **Lexington-Fayette Urban County Government**

Lexington, Kentucky Horse Capital of the World

		Horse Capital or the World	
Division of Procure	ement		June 12, 2025
	INVI	FATION TO BID #78-2025 Greenway Maintenance	e
Bid Opening Date: Address:		Bid Opening Tinest be submitted on line at <a href="https://lexingtonky.ionwave.net/">https://lexingtonky.ionwave.net/</a>	ne: 2:00 PM
Type of Bid:	Price Contr	act	
Pre Bid Meeting: Address:	N/A N/A	Pre Bid Time:	N/A
be submitted/uploade	d by the above shipping, hand	line at <a href="https://lexingtonky.ionwave.net/">https://lexingtonky.ionwave.net/</a> until <a href="https://lexingtonky.ionwave.net/">2:00 PM</a> , prevailing local time e-mentioned date and time.  Illing and associated fees to the point of delivery (unless otherwise specified ington, KY	
Bid Specif	ications Met _ sal submitted.	Check One:	Proposed Delivery:days after acceptance of bid.
		nts. Will you accept Procurement Cards?Yes ne forms in this document should be completed and uploade	
Submitted I	by: Ad	Vanced Mulching Technologies Wc DBA Firm Name  Box 22273	Ecobro
		Address Lexington, Cy. 40522 City, State & Eip	_
Bid must i	be signed:	Signature of Authorized Company Representative - Title	
		Representative's Name (Typed or printed)  (859) 621 7441 (866) 348 1  Area Code - Phone - Extension Fax #	— 0528
		Jim @ ecogro.net	_
		F-Mail Address	

The Affidavit in this bid must be completed before your firm can be considered for award of this contract.

	AFFIDAVIT
pe	Comes the Affiant,, and after being first duly sworn under penalty of rjury as follows:
1.	
	authorized representative of Eco Gro
	the entity submitting the bid (hereinafter referred to as "Bidder")
2.	Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3.	Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4.	Bidder has authorized the Division of Procurement to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5.	Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6.	Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."
7.	Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.
	Further, Affiant sayeth naught.
ST	ATE OF Kentucky
СО	ountrof Fayotte Garrard
by	The foregoing instrument was subscribed, sworn to and acknowledged before me  JAMES HANSSEN  on this the Zo day
of.	June, 2025
	My Commission expires: 08 07 2028  One of the commission expires of the commission o
	NOTARY PUBLIC, STATE AT LARGE

#### I. GREEN PROCUREMENT

#### A. ENERGY

The Lexington-Fayette Urban County Government is committed to protecting our environment and being fiscally responsible to our citizens.

The Lexington-Fayette Urban County Government mandates the use of Energy Star compliant products if they are available in the marketplace (go to <a href="https://www.Energystar.gov">www.Energystar.gov</a>). If these products are available, but not submitted in your pricing, your bid will be rejected as <a href="https://www.energystar.gov">non-compliant</a>.

ENERGY STAR is a government program that offers businesses and consumers energy-efficient solutions, making it easy to save money while protecting the environment for future generations.

#### Key Benefits

These products use 25 to 50% less energy Reduced energy costs without compromising quality or performance Reduced air pollution because fewer fossil fuels are burned Significant return on investment Extended product life and decreased maintenance

#### **B. GREEN SEAL CERTIFIED PRODUCTS**

The Lexington-Fayette Urban County Government is also committed to using other environmentally products that do not negatively impact our environment. Green Seal is a non-profit organization devoted to environmental standard setting, product certification, and public education.

Go to <a href="www.Greenseal.org">www.Greenseal.org</a> to find available certified products. These products will have a reduced impact on the environment and on human health. The products to be used must be pre-approved by the LFUCG prior to commencement of any work in any LFUCG facility. If a Green Seal product is not available, the LFUCG must provide a signed waiver to use an alternate product. Please provide information on the Green Seal products being used with your bid response.

#### C. GREEN COMMUNITY

The Lexington-Fayette Urban County Government (LFUCG) serves as a principal, along with the University of Kentucky and Fayette County Public Schools, in the Bluegrass Partnership for a Green Community. The Purchasing Team component of the Partnership collaborates on economy of scale purchasing that promotes and enhances environmental initiatives. Specifically, when applicable, each principal is interested in obtaining best value products and/or services which promote environment initiatives via solicitations and awards from the other principals.

If your company is the successful bidder on this Invitation For Bid, do you agree to extend the same product/service pricing to the other principals of the Bluegrass Partnership for a Green Community (i.e. University of Kentucky and Fayette County Schools) if requested?

	./	
Yes		No

#### II. Bid Conditions

- A. No bid may be withdrawn for a period of sixty (60) days after the date and time set for opening.
- B. No bid may be altered after the date and time set for opening. In the case of obvious errors, the Division of Procurement may permit the withdrawal of a bid. The decision as to whether a bid may be withdrawn shall be that of the Division of Procurement.
- C. Acceptance of this proposal shall be enactment of an Ordinance by the Urban County Council.
- D. The bidder agrees that the Urban County Government reserves the right to reject any and all bids for either fiscal

- or technical reasons, and to award each part of the bid separately, all parts to one vendor or all parts to multiple vendors.
- E. Minor exceptions may not eliminate the bidder. The decision as to whether any exception is minor shall be entirely that of the head of the requisitioning Department or Division and the Director of the Division of Procurement. The Urban County Government may waive technicalities and informalities where such waiver would best serve the interests of the Urban County Government.
- F. Manufacturer's catalogue numbers, trade names, etc., where shown herein are for descriptive purposes and are to guide the bidder in interpreting the standard of quality, design, and performance desired, and shall not be construed to exclude proposals based on furnishing other types of materials and/or services. However, any substitution or departure proposed by the bidder must be clearly noted and described; otherwise, it will be assumed that the bidder intends to supply items specifically mentioned in this Invitation for Bids.
- G. The Urban County Government may require demonstrations of the materials proposed herein prior to acceptance of this proposal.
- H. Bids must be submitted on this form and must be signed by the bidder or his authorized representative. Unsigned bids will not be considered.
- Bids must be submitted prior to the date and time indicated for opening. Bids submitted after this time will not be considered.
- J. All bids mailed must be submitted in the Ion Wave online portal at https://lexingtonly.jonwave.net/
- K. Bidder is requested to show both unit prices and lot prices. In the event of error, the unit price shall prevail.
- L. A certified check or Bid Bond in the amount of XX percent of the bid price must be attached hereto. This check must be made payable to the Lexington-Fayette Urban County Government, and will be returned when the material and/or services specified herein have been delivered in accordance with specifications. In the event of failure to perform within the time period set forth in this bid, it is agreed the certified check may be cashed and the funds retained by the Lexington-Fayette Urban County Government as liquidated damages. Checks of unsuccessful bidders will be returned when the bid has been awarded.
- M. The delivery dates specified by bidder may be a factor in the determination of the successful bidder.
- N. Tabulations of bids received may be mailed to bidders. Bidders requesting tabulations must enclose a stamped, self-addressed envelope with the bid.
- O. The Lexington-Fayette Urban County Government is exempt from Kentucky Sales Tax and Federal Excise Tax on materials purchased from this bid invitation. Materials purchased by the bidder for construction projects are not tax exempt and are the sole responsibility of the bidder.
- P. All material furnished hereunder must be in full compliance with OSHA regulations.
- Q. If more than one bid is offered by one party, or by any person or persons representing a party, all such bids shall be rejected.
- R. Signature on the face of this bid by the Bidder or his authorized representative shall be construed as acceptance of and compliance with all terms and conditions contained herein.
- S. The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.
- T. The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly

or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;
- (3) The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.

#### The Act further provides:

KRS 45.610. Hiring minorities - Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

#### KRS 45.620. Action against contractor - Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

#### KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

#### KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions above quoted to be included as special conditions in each contract.

In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

U. Any party, firm or individual submitting a proposal pursuant to this invitation must be in compliance with the requirements of the Lexington-Fayette Urban County Government regarding taxes and fees before they can be considered for award of this invitation and must maintain a "current" status with regard to those taxes and fees throughout the term of the contract. The contractor must be in compliance with Chapter 13 from the Code of Ordinances of the Lexington-Fayette Urban County Government. The contractor must be in compliance with Ordinance 35-2000 pursuant to contractor registration with the Division of Building Inspection. If applicable, said business must have a Fayette County business license.

Pursuant to KRS 45A.343 and KRS 45A.345, the contractor shall

- (1) Reveal any final determination of a violation by the contractor within the previous five year period pursuant to KRS Chapters 136 (corporation and utility taxes), 139 (sales and use taxes), 141 (income taxes), 337 (wages and hours), 338 (occupational safety and health of employees), 341 (unemployment and compensation) and 342 (labor and human rights) that apply to the contractor; and
- (2) Be in continuous compliance with the above-mentioned KRS provisions that apply to the contractor for the duration of the contract.

A contractor's failure to reveal the above or to comply with such provisions for the duration of the contract shall be grounds for cancellation of the contract and disqualification of the contractor from eligibility for future contracts for a period of two (2) years.

V. Vendors who respond to this invitation have the right to file a notice of contention associated with the bid process or to file a notice of appeal of the recommendation made by the Director of Procurement resulting from this invitation.

Notice of contention with the bid process must be filed within 3 business days of the bid/proposal opening by (1) sending a written notice, including sufficient documentation to support contention, to the Director of the Division of Procurement or (2) submitting a written request for a meeting with the Director of Procurement to explain his/her contention with the bid process. After consulting with the Commissioner of Finance the Chief Administrative Officer and reviewing the documentation and/or hearing the vendor, the Director of Procurement shall promptly respond in writing findings as to the compliance with bid processes. If, based on this review, a bid process irregularity is deemed to have occurred the Director of Procurement will consult with the Commissioner of Finance, the Chief Administrative Officer and the Department of Law as to the appropriate remedy.

Notice of appeal of a bid recommendation must be filed within 3 business days of the bid recommendation by (1) sending a written notice, including sufficient documentation to support appeal, to the Director, Division of Procurement or (2) submitting a written request for a meeting with the Director of Procurement to explain his appeal. After reviewing the documentation and/or hearing the vendor and consulting with the Commissioner of Finance and the Chief Administrative Officer, the Director of Procurement shall in writing, affirm or withdraw the recommendation.

#### III. Procurement Contract Bid Conditions

- A. The terms of this agreement shall be for <u>1</u> year(s) from the date of acceptance of this contract by the Lexington-Fayette Urban County Government. This agreement may be automatically extended for an additional <u>4 1</u> year(s) renewal. This contract may be canceled by either party thirty (30) days after delivery by canceling party of written notice of intent to cancel to the other contracting party.
- B. Price Changes (Space Checked Applies)
- (XXX)1. Prices quoted in response to the Invitation shall be firm prices for the first 365 days of the Procurement Contract. After 365 days, prices may be subject to revision and such changes shall be based on general industry changes. Revision may be either increases or decreases and may be requested by either party. There will be no more than one (1) price adjustment per year. Requests for price changes shall be received in writing at least twenty (20) days prior to the effective date and are subject to written acceptance before becoming effective. Proof of the validity of a request for revision shall be responsibility of the requesting party. The Lexington-Fayette Urban County Government shall receive the benefit of any decline that the seller shall offer his other accounts.
  - () 2. No provision for price change is made herein. Prices are to be firm for the term of this contract.
  - See bid specifications.
- C. If any contract item is not available from the vendor, the Lexington-Fayette Urban County Government, at its option, may permit the item to be back-ordered or may procure the item on the open market.
- D. All invoices must bear reference to the Lexington-Fayette Urban County Government Purchasing document numbers which are being billed.
- E. This contract may be canceled by the Lexington-Fayette Urban County Government if it is determined that the Bidder has failed to perform under the terms of this agreement, such cancellation to be effective upon receipt of written notice of cancellation by the Bidder.
- F. No substitutions for articles specified herein may be made without prior approval of the Division of Procurement.

#### Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

#### The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states: The Contractor will not discriminate against any employee or applicant for employment because of physical or mental disability.
- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment
  Opportunity, states: The Secretary of Labor may investigate the employment practices of any Government contractor or
  sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been
  violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

#### **Bidders**

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, veteran status, disability and age.

Signature

Eco (10 DBA of Advanced Kulching Technologies, INC.
Name of Business

#### GENERAL PROVISIONS OF BID CONTRACT

By signing the below, bidder acknowledges that it understands and agrees with the following provisions related to its bid response and the provision of any goods or services to LFUCG upon selection by LFUCG pursuant to the bid request:

- Bidder shall comply with all Federal, State & Local regulations concerning this type of service or good. All applicable state laws, ordinances and resolutions (including but not limited to Section 2-33 (Discrimination due to sexual orientation or gender identity) and Chapter 13 (Licenses and Regulations) of the Lexington-Fayette Urban County Government Code of Ordinances, and Resolution No. 484-17 (Minority, Women, and Veteran-Owned Businesses)) and the regulations of all authorities having jurisdiction over the project shall apply to the contract, and shall be deemed to be incorporated herein by reference.
- 2. Failure to submit ALL forms and information required by LFUCG may be grounds for disqualification.
- 3. Addenda: All addenda and IonWave Q&A, if any, must be considered by the bidder in making its response, and such addenda shall be made a part of the requirements of the bid contract. Before submitting a bid response, it is incumbent upon bidder to be informed as to whether any addenda have been issued, and the failure of the bidder to cover any such addenda may result in disqualification of that response.
- Bid Reservations: LFUCG reserves the right to reject any or all bid responses, to award in whole or part, and to
  waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic
  needs.
- 5. Liability: LFUCG is not responsible for any cost incurred by bidder in the preparation of its response.
- 6. Changes/Alterations: Bidder may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the bid response, and received by LFUCG prior to the scheduled closing time for receipt of bids, will be accepted. The bid response when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of bid response".
- Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from any bidder.
- Bribery Clause: By his/her signature on its response, bidder certifies that no employee of his/hers, any affiliate or subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.
- 9. Additional Information: While not necessary, the bidder may include any product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the bid response. Additional documentation shall not serve as a substitute for other documentation which is required by the LFUCG to be submitted with the bid response.
- Ambiguity, Conflict or other Errors: If a bidder discovers any ambiguity, conflict, discrepancy, omission or other
  error in the bid request of LFUCG, it shall immediately notify LFUCG of such error in writing and request modification
  or clarification of the document if allowable by the LFUCG.
- Agreement to Bid Terms: In submitting its bid response, the bidder agrees that it has carefully examined the specifications and all provisions relating to LFUCG's bid request, including but not limited to the bid contract. By submission of its bid response, bidder states that it understands the meaning, intent and requirements of LFUCG's bid request and agrees to the same. The successful bidder shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to bidder shall be authorized for services, expenses, or goods reasonably covered under these provisions that the bidder omits from its bid response.
- 12. Cancellation: LFUCG may unilaterally terminate the bid contract with the selected bidder(s) at any time, with or without cause, by providing at least thirty (30) days advance written notice unless a different advance written notice

period is negotiated prior to contract approval. Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

- 13. Assignment of Contract: The selected bidder(s) shall not assign or subcontract any portion of the bid contract with LFUCG without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
- 14. No Waiver: No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this bid proposal or bid contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
- 15. Authority to do Business: Each bidder must be authorized to do business under the laws of the Commonwealth of Kentucky and must be in good standing and have full legal capacity to provide the goods or services specified in the bid proposal. Each bidder must have all necessary right and lawful authority to submit the bid response and enter into the bid contract for the full term hereof including any necessary corporate or other action authorizing the bidder to submit the bid response and enter into this bid contract. If requested, the bidder will provide LFUCG with a copy of a corporate resolution authorizing this action and/or a letter from an attorney confirming that the proposer is authorized to do business in the Commonwealth of Kentucky. All bid responses must be signed by a duly authorized officer, agent or employee of the bidder.
- Governing Law: This bid request and bid contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this matter, the bidder agrees that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division and that the bidder expressly consents to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to these matters or any rights or obligations arising thereunder.
- 17. Ability to Meet Obligations: Bidder affirmatively states that there are no actions, suits or proceedings of any kind pending against bidder or, to the knowledge of the bidder, threatened against the bidder before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of bidder to perform its obligations under this bid response or bid contract, or which question the legality, validity or enforceability hereof or thereof.
- Price Discrepancy: When applicable, in case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.
- Bidder understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Bidder is an independent contractor at all times related to the bid response or bid contract.
- 20. Contractor [or Vendor's Employees] will not appropriate or make use of the Lexington-Fayette Urban County Government (LFUCG) name or any of its trade or service marks or property (including but not limited to any logo or seal), in any promotion, endorsement, advertisement, testimonial or similar use without the prior written consent of the government. If such consent is granted LFUCG reserves the unilateral right, in its sole discretion, to immediately terminate and revoke such use for any reason whatsoever. Contractor agrees that it shall cease and desist from any unauthorized use immediately upon being notified by LFUCG.
- If any term or provision of this bid contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.

Signature

6.17.25 Date

#### **WORKFORCE ANALYSIS FORM**

Name of Organization:

Categories	Total	(I His	hite Not panic or tino)	o	panic or tino	Afric Ame (N Hisp	ck or can- rican lot panic atino	Haw Ot Par Isla (N Hisp	tive valian nd her cific nder lot panic atino	(N		Indi Ala Na (r Hisp	erican an or skan stive not panic atino	more (I Hispa	vo or e races Not anic or atino	То	otal
		M	F	M	F	M	F	M	F	М	F	M	F	М	F	M	F
Administrators	1	1												T		4	
Professionals	1	1														1	
Superintendents	1					1										1	
Supervisors	2	11														2	
Foremen		, i															
Technicians																	
Protective Service																	
Para-Professionals																	
Office/Clerical	2		11														2.
Skilled Craft																	
Service/Maintenance																	
Total:	7	4	2			1										5	2

Prepared by:	JAMES	HANSSEN	President	Date:	6117125
	(Name and Title	e)			Pouland 2015 Dec 15

# DIRECTOR, DIVISION OF PROCUREMENT LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT 200 EAST MAIN STREET LEXINGTON, KENTUCKY 40507

# NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITIES AND DBE CONTRACT PARTICIPATION

The Lexington-Fayette Urban County Government has a Certified Minority and Women Business Enterprise seventeen percent (17%) minimum goal including minimum subgoals of five percent (5%) for Minority Business Enterprises (MBE) and a subgoal of twelve percent (12%) for Women Business Enterprises (WBE); a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and/or Certified Service- Disabled Veteran Owned Businesses; and a goal of utilizing Disadvantaged Business Enterprises (DBE), where applicable, for government contracts.

For assistance in locating certified DBEs, MBEs, WBEs, VOSBs and/or VOSBs, contact Sherita Miller at 859/258-3320 or by writing the address listed below:

Sherita Miller, MPA, CPSD
Minority Business Enterprise Liaison
Division of Procurement
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, Kentucky 40507
smiller@lexingtonky.gov
859-258-3323



#### MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA, CPSD
Minority Business Enterprise Liaison
Division of Procurement
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program (MBEP) is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long- term economic viability of Lexington-Fayette Urban County Government.

To that end the urban county council adopted and implemented Resolution 272-2024 – a Certified Minority and Women Business Enterprise seventeen percent (17%) minimum goal including minimum subgoals of five percent (5%) for Minority Business Enterprises (MBE) and a subgoal of twelve percent (12%) for Women Business Enterprises (WBE); a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and/or Certified Service- Disabled Veteran Owned Businesses; and a goal of utilizing Disadvantaged Business Enterprises (DBE), where applicable, for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals:

Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. Black American, Asian American, Hispanic American, Native American)

Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

Certified Veteran-Owned Small Business (VOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

Certified Service -Disabled Veteran Owned Small Business (SDVOSB) — a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term "Certified" shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Procurement as having the appropriate credentials to make a determination as to the status of the business.

The following certifications are recognized and accepted by the MBEP:

Kentucky Transportation Cabinet (KYTC), Disadvantaged Business Enterprise (DBE)

Kentucky Minority and Women Business Enterprise (MWBE)

Women's Business Enterprise National Council (WBENC)

National Women Business Owners Corporation (NWBOC)

National Minority Supplier Development Council (NMSDC)

Tri-State Minority Supplier Development Council (TSMSDC)

U.S. Small Business Administration Veteran Small Business Certification (VetCert)

Kentucky Service- Disabled Veteran Owned Small Business (SDVOSB)

To comply with Resolution 272-2024, prime contractors, minority and women business enterprises, veteran owned small businesses, and service-disabled veteran owned small businesses must complete monthly contract compliance audits in the Diverse Business Management Compliance system, <a href="https://lexingtonky.diversitycompliance.com/">https://lexingtonky.diversitycompliance.com/</a>

A list of organizations that certify and/or maintain lists of certified businesses (i.e. DBE, MBE, WBE, VOSB and/or SDVOSB) is available upon request by emailing, Sherita Miller, <a href="mailto:smiller@lexingtonky.gov">smiller@lexingtonky.gov</a>.



#### 

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to the Division of Procurement for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWBE Company, Name, Address, Phone, Email	DBE/MBE WBE/VOSB/SDVOSB	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. None				
2.				
3.				
4.				

The undersigned company representative submits the above list of MDWBE and veteran firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Eco (200	JAMES HANSSEN
Company	Company Representative
6.17.25	President
Date	Title



#### LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference #_	78	-2025	

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to the Division of Procurement for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. Note: Form required if a subcontractor is being substituted on a contract.

SUBSTITUTED DBE/MBE/WBE/VOSB Company Name, Address, Phone, Email	DBE/MBE/WBE/VOSB/SDVOSB Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.	NIA				
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Eco fro	HOURS HAVESSEN
Company	Company Representative
6.17.25	Preadut
Date	Title



#### DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS AND OUTREACH PLANS

As affirmed in Resolution Number 272-2024, the Urban County Council has adopted an annual aspirational goal of utilizing at least seventeen percent (17%) of public funds spend from certain discretionary agreements with certified Minority Business Enterprises (MBEs) and certified Woman Business Enterprises (WBEs); utilizing at least three percent (3%) of public funds from certain discretionary agreements with Certified Veteran-Owned Small Business and Certified Service-Disabled Veteran-Owned Small Businesses (VOSBs); and utilizing Disadvantaged Business Enterprises (DBEs) where applicable. Bidders should make every effort to achieve these goals.

Therefore, as an element of the responsiveness of the bid, all Bidders are required to submit documentation of their good faith and outreach efforts to ensure all businesses, including small and disadvantaged businesses such as minority-, woman-, and veteran-owned businesses, have an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement. Examples of good faith and outreach efforts that satisfy this requirement to encourage the participation of, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs include:

- Advertised opportunities to participate in the contract in at least two (2) publications of general
  circulation media; trade and professional association publications; small and minority business or trade
  publications; and publications or trades targeting minority, women, and disadvantaged businesses not
  less than fifteen (15) days prior to the deadline for submission of bids to allow, DBEs, MBEs, WBEs,
  VOSBs and/or SDVOSBs to participate.
- Attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year to meet new small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to partner with on LFUCG contracts and procurements.
- Attended pre-bid/pre-proposal meetings that were scheduled by LFUCG to inform small businesses, DBEs, MBEs, VOSBs and/or SDVOSBs of subcontracting opportunities.
- Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs.
- Requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- Contacted organizations that work with small, DBE, MBE, WBE, and VOSB companies for assistance
  in finding certified DBEs, MBEs, WBEs, VOSB and/or SDVOSBs to work on this project. Those
  contacted and their responses must be a part of the bidder's outreach efforts documentation.
- Sent written notices, by certified mail, email, or facsimile, to qualified, certified small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- 8. Followed up initial solicitations by contacting small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs via tailored communications to determine their level of interest.

- Provided the interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs with adequate and timely information about the plans, specifications, and requirements of the contract.
- 10. Selected portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs in order to increase the likelihood of subcontracting participation. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate small, DBE, MBE, WBE, VOSB and/or SDVOSB participation, even when the prime contractor may otherwise perform these work items with its own workforce.
- 11. Negotiated in good faith with interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection must be so noted in writing with a description as to why an agreement could not be reached.
- 12. Included documentation of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs that were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
  - a. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a small business', DBE's MBE's, WBE's, VOSB's and/or SDVOSB's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy the participation goals.
- 13. Made an effort to offer assistance to or refer interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal.
- Made efforts to expand the search for small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
- 15. Other any other evidence that the bidder submits that may demonstrate that the bidder has made reasonable efforts to include small, DBE, MBE, WBE, VOSB and/or SDVOSB participation.

Bidder must document, with specificity, each of the efforts it made to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs as subcontractors in the procurement, including the date on which each effort was made, the medium through which each effort was made, and the outcome of each effort.

Note: Failure to submit the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the Bid, regardless of the proposed level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation in the procurement. If the Good Faith and Outreach Effort documentation is not submitted with the bid response, the bid may be rejected.

#### **OUTREACH EFFORTS EVALUATION**

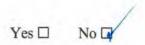
Outreach efforts demonstrated by the bidder or respondent will be evaluated on a pass/fail basis.

# ATTACHMENT A – SMALL AND DISADVANTAGED, MINORITY-, WOMEN-, AND VETERAN-OWNED BUSINESS OUTREACH PLAN

Proposer Name:	Eugho	Date:	6.17	.25	
Project Name:	Greenua, Manteraire	Project Number:	6.17 78-20 859 231	25	
Contact Name:	James Harsen	Telephone:	859 731	0.500	
Email:	Eur (veenua) Manterauce James Hanssen Jim @ elogro.net	-			
businesses, minority	Minority Business Enterprise y-, women-, veteran-, and servic omic inclusion as a business imp	Program is to facilitate-disabled veteran-own	ned businesses in	the procuremen	t process
owned businesses, n from certain discreti are further opportu- minority-, woman-,	and disadvantaged businesses, inclust have an equal opportunity to conary agreements. By submittinities will take, reasonable step veteran-, and service-disabled ticipate in the performance of an	be utilized in the perform its offer, Bidder/Propose to ensure that small veteran-owned business	rmance of contract poser certifies that and disadvantageses, are provided	ets with public fur t it has taken, and ged businesses, it an equal oppor	nds spent d if there including
	omitted in response to this clause the bid or proposal to be rejecte		in any scored eva	luation. Failure t	to submit
Is the Bidde	er/ Proposer a certified firm?	Yes□ No 🗹			
If yes, indicate all o	ertification type(s):				
DBE □	MBE □	WBE □	SBE □	VOSB/SDV	/OSB □
	of the certificate and/or certificate and/or certificate (MBEP) certified list.	tion letter if not current	ly listed on the cit	y's Minority Bus	siness
	irms that Bidder/ Proposer ha				
Click or tap	None here to enter text.				

2. Does Bidder/Proposer foresee any subcontracting opportunities for this procurement?

NO



If no, please explain why in the field below. Do not complete the rest of this form and submit this first page with your bid and/or proposal. Click or tap here to enter text. Dub contracty is forbidden in the specifications If yes, please complete the following pages and submit all pages with your bid and/or proposal. Describe the steps Bidder/Proposer took to solicit small and disadvantaged businesses, including MBEs, WBEs, VOSBs, and SDVOSBs, for subcontracting opportunities for this procurement. 3. Check the good faith and outreach efforts the Bidder/Proposer used to encourage the participation of small and disadvantaged businesses including, MBEs, WBEs, VOSBs and SDVOSBs: Bidder placed advertisements in search of prospective small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs for the solicitation. Bidder attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year. Bidder attended pre-bid and/or pre-proposal meetings for this solicitation. Bidder sponsored an Economic Inclusion Outreach event. Bidder requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG. Bidder contacted organizations that work with small, DBE, MBE, WBE, VOSB and/or SDVOSB companies. Bidder sent written notices to certified small, DBE, MBE, WBE, VOSB and SDVOSB businesses. Bidder followed up to initial solicitations with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB. Bidder provided small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses interested in performing the solicited work with prompt access to the plans, specifications, scope of work, and requirements of the solicitation. Bidder made efforts to segment portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, including dividing sub-bid/partnership opportunities into

economically feasible units/parcels, to facilitate participation.

Bidder negotiated in good faith with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses.
Bidder provided adequate rationale for rejecting any small business', DBEs, MBEs, WBEs, VOSBs or SDVOSBs for lack of qualifications.
Bidder offered assistance in obtaining bonding, insurance, financial, equipment, or other resources to small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, in an effort to assist them in meeting project requirements.
Bidder made efforts to expand the search for small businesses, DBEs MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
Bidder made other reasonable efforts to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation.

4. Bidder/Proposer must include documentation, including the date each effort was made, the medium through which each effort was made, and the outcome of each effort with this form, regardless of the level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation. Examples of required documentation include copies of email communications, copies of newspaper advertisements, or copies of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs or SDVOSBs.

Click or tap here to enter text.

For detailed information regarding outreach efforts that satisfy the MBE Program's requirements, please see "Documentation Required for Good Faith Efforts and Outreach Plans" page.

<u>Note</u>: The Bidder/Proposer must be willing to report the identity of each subcontractor and the value of each subcontract to MBEP if awarded a contract from this procurement.

Failure to submit the documentation requested may be cause for rejection of the bid. Bidders may include any other documentation deemed relevant to this requirement, which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the bid, regardless of the proposed level of SBEs, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation in the procurement. If the Good Faith and Outreach Effort Form and associated documentation is not submitted with the bid response, the bid may be rejected.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Company

Company Representative

Date

Title

4870-1925-6809, v. 1

# 13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT

(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Eurployce ID: 61-1392019 Phone: 859-231-0500 Names Insured: Aby WONTED MURPHING FROMOBYES INC. Address: PO (Sox 23273 (exington, 164 4/0522

Project to be insured: 77-2025

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Disk Management of Part V. Consists Conditional including all continuous and conditions.

Section		Minimum Limits and	Limits Provided	Name of	A.M. Best's	
Items	Coverage	Policy Requirements	To Insured	Insurer	Code	Rating
SC-2 – see provisions	TDO	\$1,000,000 per occ. And \$2,000,000 aggregate	SAM DOCUS.	libest hest forcesty 10172	10172	44
SC-2-see provisions	АПТО	\$1,000,000/per occ.	150 MF s	Secure	018381	K
SC-2 – see provisions	WC	Statutory w /endorsement as noted	MS'H/WS'HS	AGG 04124	055002	A.
SC-2 – see provisions	EXC	\$5,000.000 per occ.	S IN	WestCheste Sord is 10172 At	10172	At

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise Rebert H. Main when submitting.

Agency or Brokerage

343 Waller Ase
Street Address

Lexitation

State

State

Zip

Vice Prestolat
Trite
MACH MACHAMA
Authorized Signature

Date 6-16-25

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurance. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

Telephone Number

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

#### **INSURANCE REQUIREMENTS**

YOUR ATTENTION IS DIRECTED TO THE INSURANCE REQUIREMENTS BELOW, AAND YOU MAY NEED TO CONFER WITH YOUR INSURANCE AGENTS, BROKERS, OR CARRIERS TO DETERMINE IN ADVANCE OF SUBMISSION OF A RESPONSE THE AVAILABILITY OF THE INSURANCE COVERAGES AND ENDORSEMENTS REQUIRED HEREIN. IF YOU FAIL TO COMPLY WITH THE INSURANCE REQUIREMENTS BELOW, YOU MAY BE DISQUALIFIED FROM AWARD OF THE CONTRACT.

#### Required Insurance Coverage

CONTRACTOR shall procure and maintain for the duration of this contract the following or equivalent insurance policies at no less than the limits shown below and cause its subcontractors to maintain similar insurance with limits acceptable to LFUCG in order to protect LFUCG against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work or services hereunder by CONTRACTOR. The cost of such insurance shall be included in any bid:

Coverage	<u>Limits</u>
General Liability (Insurance Services Office Form CG 00 01)	\$1 million per occurrence, \$2 million aggregate or \$2 million combined single limit
Commercial Automobile Liability (Insurance Services Office Form CA 0001)	combined single, \$1 million per occurrence
Worker's Compensation	Statutory
Employer's Liability	\$100,000.00
Excess/Umbrella Liability	\$1 million per occurrence

The policies above shall contain the following conditions:

- a. All Certificates of Insurance forms used by the insurance carrier shall be properly filed and approved by the Department of Insurance for the Commonwealth of Kentucky. LFUCG shall be named as an additional insured in the General Liability Policy and Commercial Automobile Liability Policy using the Kentucky DOI approved forms.
- The General Liability Policy shall be primary to any insurance or self-insurance retained by LFUCG.
- c. LFUCG shall be provided at least 30 days advance written notice via certified mail, return receipt requested, in the event any of the required policies are canceled or non-renewed.
- d. Said coverage shall be written by insurers acceptable to LFUCG and shall be in a form acceptable to LFUCG. Insurance placed with insurers with a rating classification of no less than Excellent (A or A-) and a financial size category of no less than VIII, as defined by the most current Best's Key Rating Guide shall be deemed automatically acceptable.

#### Renewals

After insurance has been approved by LFUCG, evidence of renewal of an expiring policy must be submitted to LFUCG, and may be submitted on a manually signed renewal endorsement form. If the policy or carrier has changed, however, new evidence of coverage must be submitted in accordance with these Insurance Requirements.

#### Deductibles and Self-Insured Programs

IF YOU INTEND TO SUBMIT A SELF-INSURANCE PLAN IT MUST BE FORWARDED TO LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT, DIVISION OF MANAGEMENT, 200 EAST MAIN STREET, LEXINGTON, KENTUCKY 40507 NO LATER THAN A MINIMUM OF FIVE (5) WORKING DAYS PRIOR TO THE RESPONSE DATE. Self-insurance programs, deductibles, and self-insured retentions in insurance policies are subject to separate approval by Lexington-Fayette Urban County Government's Division of Risk Management, upon review of evidence of CONTRACTOR's financial capacity to respond to claims. Any such programs or retentions must provide LFUCG with at least the same protection from liability and defense of suits as would be afforded by first-dollar insurance coverage. If CONTRACTOR satisfies any portion of the insurance requirements through deductibles, self-insurance programs. or self-insured retentions, CONTRACTOR agrees to provide Lexington-Fayette Urban County Government, Division of Risk Management, the following data prior to the final acceptance of bid and the commencement of any work:

- Latest audited financial statement, including auditor's notes.
- Any records of any self-insured trust fund plan or policy and related accounting statements.
- Actuarial funding reports or retained losses.
- Risk Management Manual or a description of the self-insurance and risk management program.
- A claim loss run summary for the previous five (5) years.
- Self-Insured Associations will be considered.

#### Safety and Loss Control

CONTRACTOR shall comply with all applicable federal, state, and local safety standards related to the performance of its works or services under this Agreement and take necessary action to protect the life, health and safety and property of all of its personnel on the job site, the public, and LFUCG.

#### Verification of Coverage

CONTRACTOR agrees to furnish LFUCG with all applicable Certificates of Insurance signed by a person authorized by the insurer to bind coverage on its behalf prior to final award, and if requested, shall provide LFUCG copies of all insurance policies, including all endorsements.

#### Right to Review, Audit and Inspect

Consulant understands and agrees that LFUCG may review, audit and inspect any and all of its records and operations to insure compliance with these Insurance Requirements.

#### **DEFAULT**

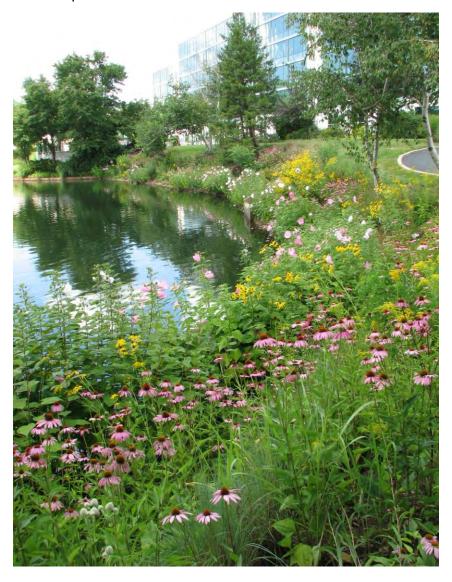
CONTRACTOR understands and agrees that the failure to comply with any of these insurance, safety, or loss control provisions shall constitute default and that LFUCG may elect at its option any single remedy or penalty or any combination of remedies and penalties, as available, including but not limited to purchasing insurance and charging CONTRACTOR for any such insurance premiums purchased, or suspending or terminating the work.

00410168

# Statement of Qualifications for LFUCG Greenway Maintenance

Bid Number: #78-2025 Prepared for:

LFUCG Department of Environmental Services



Submitted by:



June 17, 2025



June 17, 2025

LFUCG Division of Central Purchasing 200 East Main Street, Room 338 Lexington, Kentucky 40507

Dear LFUCG Division of Central Purchasing and Division of Environmental Services:

It is a worthwhile undertaking by LFUCG Department of Environmental Services to improve the quality and ecological health of Fayette County through greenway maintenance. Thank you for the opportunity to help in that endeavor by allowing us to submit on Bid #78-2025 - Greenway Maintenance.

As you review our proposal you will see that EcoGro helps our clients improve the ecological value of greenways by providing design, installation, and long-term stewardship / maintenance services for native habitat restoration and environmental projects. Our team has provided these services locally for multiple LFUCG branches (primarily DES and Parks) as well as several projects within the Bluegrass Region in the past 15 years. Our services include:

#### Design of

- Stream channels, bank stabilizations, constructed wetlands, etc.
- Green infrastructure
- Native landscapes
- Natural areas management plans
- Stormwater Pollution Prevention Plans and erosion Best Management Practices
- Outdoor classrooms, adventure play areas and educational features
- Signs, graphics and kiosks for interpretative areas

#### Installation & Maintenance of

- Urban forests, street trees, tree care and removal
- Invasive species management plans and removal
- General maintenance of natural areas
- Turf / pasture mowing
- Native landscaping and installations of various habitat types
- Streambank stabilization and stream restoration construction
- Green infrastructure
- Stormwater Pollution Prevention Plans and erosion Best Management Practices
- Outdoor classrooms, adventure play areas and educational features
- Signs, graphics and kiosks for interpretative areas

www.EcoGro.net (859) 231-0500 P.O. Box 22273, Lexington, KY 40522 We have long-term experience establishing and maintaining native vegetation for governmental and private clients. Several contracts have spanned multiple years and clients see our vested interest and long-term commitment to stewardship and developing a project to its best potential.

We guarantee you will be satisfied with our greenways and natural areas services, ability to work within your schedule, ability to meet the project budget, with personnel and equipment dedicated to the project, and timely communications. We appreciate opportunities to help LFUCG projects be more successful.

Sincerely,

Jim Hanssen Project Manager

EcoGro

www.EcoGro.net (859) 231-0500 P.O. Box 22273, Lexington, KY 40522

# Narrative On Scope Of Work Experience

LFUCG should be commended for their continuing efforts to establish and maintain healthy greenways. The project team of EcoGro, Inc. (EcoGro) will provide an excellent interdisciplinary team with extensive knowledge and experience in every type of work requested within this bid. EcoGro has worked on greenspace, stream, wetland, stormwater and water quality projects for the past 20+ years. With the increase in projects aimed at re-establishing the health and function of our waterways, there has been a growing need for competent professionals qualified to implement this unique type of ecological improvement. Our knowledge of environmental processes, ecological succession, native plant establishment, fluvial geomorphology, stabilization structures, restoration techniques and wildlife habitat, coupled with the skills, experiences and equipment to implement this type of work is essential for the success of our projects. An adept contractor can also identify unforeseen opportunities to enhance the project, reduce unnecessary costs, minimize environmental impacts during the construction phase and provide results that meet or exceed goals beyond the scope of contract.

By working with a variety of environmental professionals to support academic research, innovative applications and educational programs in the field of ecological restoration and management of natural areas. Our team is recognized as industry leaders that have contributed to outstanding habitat restoration projects in Kentucky, Tennessee, Ohio and West Virginia.

#### Our core values are:

- Safety of our employees and public
- Integrity of our work and employees
- Quality of service and products
- Leadership in environmental restoration
- Profitable and financially sound business

#### Other items we contribute:

- We are proficient in Design / Build partnerships.
- We share a passion for our work.
- We communicate regularly and effectively.
- We are cost effective and we meet project budgets.
- We seek exceptional projects and innovative applications or techniques.
- We can incorporate stormwater BMPs and address water quality concerns.
- We look for opportunities to include academic research, professional development, educational programs, publications and community involvement.



Cove Spring Park, Frankfort, KY

## Required Submittal Items Listed in Bid #78-2025

The numbered list below is addressing the 6 items listed under the "Required Submittal" heading.

- 1. **EcoGro's primary business is the design,** installation and maintenance of ecologically-focused projects and natural areas within public greenways, private properties and schools. The scope of services exceeds items listed in the Request For Qualifications. We have been actively engaged in all bid categories for the past 20+ years. Additional information for item #1 is addressed in the company letter and narrative through the first three pages of this submittal.
- 2. A list of 4 references is provided for jobs performed in the past three years that are similar in scope to the work required under this contract. Because you may be looking for examples outside of LFUCG, we have not listed the numerous projects we have conducted for the Division of Environmental Services or Parks & Recreation.
- 3. Key staff that will be available to work on the projects are listed below. Recognitions, memberships, and certifications are listed for individuals and company.
- 4. A list of designated Site Supervisors is also provided.
- 5. EcoGro has a valid LFUCG Business License (#12723600) and is licensed to work in Kentucky, Tennessee, Ohio and Indiana. EcoGro is current on all payments to LFUCG Division of Revenue.
- 6. EcoGro (a DBA of Advanced Mulching Technologies, Inc.) is a registered contractor with the City of Lexington #14323.

## **Item 2 - References**



University of Kentucky, multiple projects Mr. Jerry Hart

100 Peterson Service Building

Lexington, KY 40506 Phone: (859) 257-3105 Email: jphart@uky.edu

Services Performed: <u>Installation</u> of additional trees, and <u>Maintenance</u> of urban forests / trees, naturalized areas, landscape beds, green infrastructure, stream restoration sites, etc. Additional services include inspections of stormwater structures (inlets, outlets, etc.), as well as

collaborations on student engagement activities and educational events.

Materials Supplied: B&B trees and sediment control BMPs.

Annual Cost: ~\$50,000



Centenary Church, LFUCG Stormwater Quality Incentive Grant Project (Class B: Infrastructure) Rhonda Strouse 2800 Tates Creek Road Lexington, KY 40502 Phone: (859) 269-2800 Email: Rhonda@lexchurch.com Services Performed: Design and permitting of stormwater infrastructure, water quality retrofits, green infrastructure. streambank stabilization, and native landscaping.

<u>Installation</u> of stormwater infrastructure, water quality retrofits, green infrastructure, streambank stabilization, repairs/replacement of failing infrastructure, turf areas. <u>Maintenance</u> of streambanks by invasive species identification and removal by forestry grinder. Additional services include design and installation of educational signs and project management.

Materials Supplied: Design plans, native trees, native seed, turf seed, stormwater infrastructure, rock, erosion prevention and sediment control BMPs

Project Cost: \$385,000





Fayette County Public Schools, LFUCG Stormwater Quality Incentive Grant Project (Class B: Education) Mrs. Tresine Logsdon Energy & Sustainability Coordinator 128 Walton Ave. Lexington, KY 40502 Phone: (859) 619-6472 Email:

tresine.logsdon@fayette.kyschools.us

Services Performed: <u>Design</u> of green infrastructure and native landscaping as well as site analysis of stormwater features, tree inventories and measurements of land cover (impervious, tree canopy, turf, etc.). <u>Installation</u> of trees and green infrastructure outdoor educational areas. <u>Maintenance</u> of streambanks and restoration areas via invasive species identification and removal. Additional services include educational curriculum, professional development, student engagement as well as coordination with Hickman Creek Conservancy and West Hickman Watershed Management Plan.

Materials Supplied: Native trees, wood chips and educational materials.

Project Cost: \$49,642





Sunny Slope Farm HOA
Greenspace, LFUCG
Stormwater Quality
Incentive Grant
Project (Class A)
Mr. Joshua Smith, HOA
145 Constitution Street
Lexington, KY 40507
Phone: (859) 388-4770
Email: jdspercussion
@gmail.com
Services Performed:
Design of native
landscaping. Installation

of trees and coordination for volunteer planting event. <u>Maintenance</u> of streambanks via invasive species identification and removal by forestry grinder, chipper and power tools.

Materials Supplied: Native trees and seed.

Project Cost: \$68,517

## **Item 3 - Key Personnel**



Jim Hanssen will be the Project Manager and licensed to work as a contractor in Kentucky. Mr. Hanssen has a bachelor of arts degree in business administration from Cedarville University ('93) and has been working as a business owner and contractor ever since. Mr. Hanssen is dedicated to doing whatever is necessary to make the project successful. Mr. Hanssen has over 26 years of experience in the "green industry", running all aspects of an ecosystem restoration centered business, including design, construction, and maintenance of streams and wetlands, landscapes, native plant stewardship and green infrastructure. Mr. Hanssen has been involved in the design, installation and maintenance of stream restoration projects and stormwater green infrastructure BMPs such as constructed wetlands, rain gardens, and riparian buffers. He regularly prepares storm water pollution

prevention plans (SWPPP) and inspects construction sites for erosion and sediment control compliance. Mr. Hanssen has worked either directly, as a vendor, or as a subcontractor for many large contractors, consultants, businesses, mitigation banks and governmental agencies such as: INDOT, KYTC, Lexington-Fayette Urban County Government, Kentucky Department of Fish and Wildlife Resources, US Fish and Wildlife Service, Tennessee Stream Mitigation Program, US Forest Service, Southern Conservation Corporation, Strodes Creek Conservancy, The Nature Conservancy, University of Kentucky, the University of Louisville Stream Institute, Coca-Cola Refreshments, Link Belt and Lexmark International.

- Cedarville University, B.A. Business Administration
- Kentucky Commercial Pesticide Operator & Applicator Certification (License # 63304)
- Kentucky Contractor
- KESPC license #191100250
- TNEPSC #141685

Russ Turpin, of EcoGro, will serve as Senior Environmental Specialist. With over 25 years of experience in the "Green" industry, he is EcoGro's lead for design, installation and maintenance of stream restoration projects, green infrastructure, native landscaping designs, arboriculture, and invasive exotic species management programs. Mr. Turpin manages restoration stewardship programs for EcoGro's projects where clients desire a turn-key solution for start to finish solutions. He oversees the selection, procurement and installation of native plant materials as well as

monitoring their growth and development. Mr. Turpin is a our education and outreach specialist. He regularly coordinates community education/outreach events and is often invited to give presentations on natural areas and water quality.





- U.T. Chattanooga, B.S. Environmental Science: Geology
- Kentucky Commercial Pesticide Operator & Applicator Certification (License #34670)
- ISA Certified Arborist (#KY-9864A)

- LFUCG Greenspace Trust & Stormwater Stakeholders Advisory Committee
- U.K. Landscape Architecture Dept., Advisory Board
- KEEC, Professional Environmental Education Certification
- U.K. Kentucky Master Naturalist
- Lexington Mayor Jim Gray, Spirit of Lexington Award

#### Jordan Thomas

Jordan graduated from Robert Morris University in 2011. He moved to Kentucky in 2020 and has become well versed in native, naturalized, and invasive plant species in central Kentucky.

- Robert Morris University
- Kentucky Commercial Pesticide Applicator Certification (License # 63101)
- ISA Certified Arborist (pending)
- OSHA 30: Construction Industry Outreach Training Course
- First Aid/CPR/AFD

#### Shawn Hutchins

Shawn is a lifelong Kentuckian and naturalist, who graduated from Berea College in 2015 with a B.S. in Technology and Industrial Arts. Certifications include Pesticide Application, First Aid/CPR/AED Training, and OSHA 30. Pending testing, he is currently seeking ISA certification as an arborist and is passionate about sharing knowledge and the natural world.

- Berea College, Technology and Industrial Arts
- Kentucky Commercial Pesticide Applicator Certification (License # 67953)
- OSHA 30: Construction Industry Outreach Training Course
- First Aid/CPR/AED
- Applied to test for ISA Certified Arborist

#### Tyler Tungate

Tyler is a passionate naturalist with an extensive hands-on knowledge of the Bluegrass region's native plant communities and ecosystems. His work has focused on land stewardship, invasive species management, stormwater management, and tree care. He holds certifications in Pesticide Application, First Aid/CPR/AED, and OSHA 30, and is currently preparing for the ISA Certified Arborist exam.

- Kentucky Commercial Pesticide Applicator Certification (License # 73465)
- OSHA 30: Construction Industry Outreach Training Course
- Accruing years of professional service to test for ISA Certified Arborist

EcoGro's ability to adapt and allocate additional labor and/or equipment resources within the team is a valuable resource for streamlining the completion of successful restoration projects. In the event where a stamped set of design sheets or construction documents are required, we have a proven history working with the following Engineering and/or Landscape Architecture firms as subconsultants for multiple projects:

- EarthCycle Design
  - B. Scott Southall, PLA, AICP, LEED AP BD+C, ASLA
  - Denise Y. O'Meara, PLA, LEED AP
- Stantec
  - Sam Lee, PE
- Resourece Environmental Solutions (RES)
  - Brad Anderson, PE, CPESC, LEED A

## Awards, Memberships, Certifications, etc.

Our team has received numerous recognitions for projects we have work on through the years. Some are listed here along with a summary of business certifications and affiliated organizational involvement:

- Lexington-Fayette Urban County Government Business Occupational License #12723600
- Lexington-Fayette Urban County Government Contractor #14323
- Multiple completed projects through other LFUCG Unit Price Contracts (Horticulture, Arboriculture, Parks Construction and Road, Land & Stream)
- KYTC Prequalified Contractor for Stream, Wetland and Vegetation
- Numerous LFUCG Environmental Commission Awards for our projects
- ACEC (American Council of Engineering Companies) Grand Conceptor award for the Hatchery Creek Stream Restoration
- ACEC Grand Conceptor Award for Lexmark International Bioretention System/Rainfall Harvest System
- University of Kentucky Contractor #337977
- State of Tennessee Board for Licensing Contractor #62868
- Indiana Public Works Department Prequalified Contractor

# **Item 4 – Site Supervisors**

- Jim Hanssen
- Russ Turpin
- Jordan Thomas
- Tyler Tungate
- Shawn Hutchins

### Item 5 - Business License



Division of Revenue 200 East Main Street Lexington, KY 40507 Customer ID: 12723600

Location No:

1

Date:

June 16, 2025

# **BUSINESS OCCUPATIONAL LICENSE**

Is issued for the period:

2025

ISSUED

JUN 1 6 2025

ADVANCED MULCHING TECHNOLOGIES INC PO BOX 22273 LEXINGTON KY 40522 **LFUCG** 

This license is issued pursuant to Section 13-5 and 13-9, of the Code of Ordinance, Lexington-Fayette Urban County Government (the "Code") and shall not be taken as permission to do business in Fayette County without also having complied with all other requirements of the Code and other local ordinances and regulations. This license is not transferable, and must be renewed annually with Form 228, Net Profits License Fee Return, due April 15th or the 15th day of the 4th month following the close of the licensee's fiscal year.

# **Item 6 - Contractor Registration Status**

#### **Lexington Fayette**

# Urban County Government CONTRACTOR REGISTRATION



# Be It Known That

#### ADVANCED MULCHING TECHNOLOGIES INC. DBA ECOGRO

is hereby granted

Registration # 14323

as a

Specialty Contractor

in Lexington-Fayette County

Expiration Date: 12/31/2025

HERE IS YOUR WALLET CARD. YOU MAY CUT OUT AND SIGN THE BACK TO CARRYWITH YOU.

#### LEXINGTON-FAYETTE URBAN COUNTY REGISTERED CONTRACTOR



ADVANCED MULCHING
TECHNOLOGIES INC. DBA
ECOGRO
is registered as a

Registration # 14323

Expiration Date 12/31/2025



#### CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 07/07/2025

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER CONTACT Robert Blain									
Al Torstrick Insurance Agency				PHONE (A/C, No, Ext): FAX (A/C, No):					
343 Waller Ave #101				E-MAIL ADDRESS: rblain@altorstrick.com					
				ı	NAIC #				
Lexington KY 40504			INSURER A: Westchester Surplus Lines Insurance Company				10172		
INSURED			INSURER B: Secura		22543				
Advanced Mulching Technologie	es, Inc., DBA: Ecogro			INSURER C: KY.AGC/SIF				100	
P.O. Box 22273				INSURER D :					
				INSURER E :					
Lexington			KY 40522	INSURER F:					
COVERAGES CER	TIFICATE NUMBER: 2025-2026			REVISION NUMBER:					
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD									
INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS,									
EXCLUSIONS AND CONDITIONS OF SUCH PO						ODDECT TO ALL THE TERMO,			
INSR LTR TYPE OF INSURANCE	ADDL INSD	SUBR	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	3	-	
COMMERCIAL GENERAL LIABILITY	III	****		(,22,	(,	EACH OCCURRENCE	\$ 1,000	0,000	
CLAIMS-MADE OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 50,00	00	
02 11110 1111 12 [1-3] 000011						MED EXP (Any one person)	\$ 5,000	)	
		Y	G48969203 001	03/01/2025	03/01/2026	PERSONAL & ADV INJURY	\$ 1,000	0,000	
GEN'L AGGREGATE LIMIT APPLIES PER:							\$ 3,000,000		
POLICY PRO- JECT LOC						PRODUCTS - COMP/OP AGG	2 000 000		
OTHER:							\$ 1,000	0,000	
AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000	0,000	
X ANY AUTO			A 3299913		03/01/2026		\$		
B OWNED SCHEDULED AUTOS		Υ		03/01/2025		BODILY INJURY (Per accident)	\$		
HIRED AUTOS ONLY NON-OWNED AUTOS ONLY						PROPERTY DAMAGE (Per accident)	\$		
							\$		
➤ UMBRELLA LIAB OCCUR						EACH OCCURRENCE	\$ 1,000	0,000	
A EXCESS LIAB CLAIMS-MADE	Υ	Υ	G48969215 001	03/01/2025	04/01/2026	AGGREGATE	\$ 1,000	0,000	
DED RETENTION \$							\$		
WORKERS COMPENSATION AND EMPLOYERS' LIABILITY						➤ PER STATUTE OTH-ER			
C ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED?	N/A		16876	01/01/2025	01/01/2026	E.L. EACH ACCIDENT	\$ 4,500		
(Mandatory in NH)						E.L. DISEASE - EA EMPLOYEE	φ .	0,000	
If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - POLICY LIMIT	\$ 4,500	),000	
Pollution Liability						Occurrence	1,000	0,000	
A Professional Liability			G48969203 001	03/01/2025	03/01/2026	Aggregate	3,000	0,000	
DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)									
Project: 78-2025 Greenway Maintenance									
LFUCG is considered an additional insured in respect to the general liability and auto liability including completed operations if required by written contract.									
Coverage is primary and non-contributory including a Waiver of Subrogation in favor of LFUCG in respect to the General and Auto liability if required by									
written contract. 30 day notice of cancellation applies									

CERTIFICATE HOLDER

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Lexington

KY 40507

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE