



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

David L. Holmes
Commissioner

ADDENDUM #1

Bid Number: **#109-2015**

Date: August 12, 2015

Subject: Lower Cane Run Wet Weather Storage

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

	Questions	Answers
1	Please identify the Contractor's access route to the work on the north side of I-75/I-64 (for the bore and jack work), including any special terms or conditions.	This question is no longer relevant as the I-75/I-64 bore and jack has been removed from the project.
2	Given the amount of information that must be entered onto the Bid Form, please consider allowing the Bidders to submit Bid Form Page 00410-7 (the Equipment Manufacturer listing) within 24 hours after the bid opening deadline.	LFUCG agrees with this request.
3	Reference is made to "competent rock" in the project plans. Please identify what is to be considered "competent rock" (provide a top-of-rock elevation to be used by bidders, or confirm that auger refusal would indicate competent	For bidding purposes use an elevation of 886.0.

	rock).	
4	Please confirm the requirement that blasting will not be allowed on this project, per the Site Specific Notes	Blasting is not allowed.
5	Section 00890, Permits, includes a copy of correspondence from the Kentucky State Clearinghouse, which mentions the potential need for a Groundwater Protection Plan. Please confirm the Owner will be responsible for providing this plan if it is required.	LFUCG will provide the Groundwater Protection Plan, if required.
6	With regard to Section 05520, please consider adding Pleasant Mount Welding Inc., and Tuttle Railing Systems, to the list of approved suppliers.	LFUCG agrees to include these as approved equals.
7	With regard to Section 08370, please consider adding Halliday Products, and USF Fabricators, to the list of approved suppliers.	LFUCG agrees to include these as approved equals.
8	<p>With regard to Section 08370, please consider the following revisions:</p> <ul style="list-style-type: none"> • Item 2.03.A.: Change "... and furnished by the pump supplier, ..." to "and can be furnished by the pump supplier, ...". • Item 2.03.B.: Delete this paragraph in its entirety. This is a safety issue, and we are of the opinion this is not an OSHA-approved 	LFUCG agrees to both revisions.

	application.	
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PRE-BID MEETING SUMMARY

1. Please see the attached Pre-bid meeting summary which includes the contractor's questions and LFUCG responses.

DRAWINGS


1. **Sheet 01D006, Geotechnical Report Reference:** On sheet 01D006, delete the reference to the CSI Geotechnical Report Dated July 3, 2014 and Addendum dated April 15, 2015. The only geotechnical report used for reference is provided in Appendix D in the Technical Specifications.
2. **Sheets 01C012, 01C013, & 01C014:** Delete the listed sheets from the project. The new sewer lines to the existing Lower Cane Run Pump Station inlet box, including the force main installation under I-64/I-75 has been removed from the project.
3. **Sheets 01C006, 01C007, 01C008, 01C009 and 01C0010:** Delete all references to ductile iron (DI) pipe.
4. **Sheet 01C001, Site Plan:** Replace sheet 01C001 with the attached sheet 01C001.
 - a. Point of change is the removal on the new sewer lines that connect to the existing Lower Cane Run Pump Station inlet box, including the force main under I/64/I-75.

SPECIFICATIONS

1. **Bid Form:** Replace the existing bid form with the attached bid form.
2. **Section 01025 – Measurement and Payment:** Replace the existing Section 01025 with the attached Section 01025.
3. **Section 13200 - Pre-stressed Concrete Tank Experience Requirement:** Replace specification Section 13200 with the attached specification Section 13200.
4. **Section 03300 – Cast-In-Place Concrete:** Replace specification Section 03300 with the attached specification Section 03300.

CLARIFICATIONS

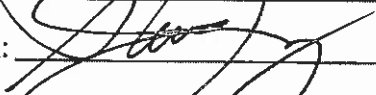
- 1. The method for non-paved site restoration is Method B as the procedure is described in the General Notes.
- 2. No blasting is allowed for construction as stated in the Project Specific Notes


 Todd Slatin, Director
 Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
 This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Judy Construction Company

ADDRESS: 103 S. Church Street, PO Box 457, Cynthiana, KY 41031

SIGNATURE OF BIDDER:  _____
Steve Judy, President



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

David L. Holmes
Commissioner

ADDENDUM #2

Bid Number: **#109-2015**

Date: August 25, 2015

Subject: Lower Cane Run Wet Weather Storage

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

	Questions	Answers
1	We are requesting that Taylor Power Systems is approved as an equal bidder on this and would also like for this to be released on upcoming addendum so we have a chance to quote the bidding contractors.	See Clarification No.1.
2	Can you please confirm the iron and steel on this project is required to be domestic subject to the requirements of (AIS) Consolidated Appropriations Act, 2014?	Yes
3	Consider Generic Industrial Power as an alternate for the emergency generator.	Generac is an approved emergency generator in accordance with 00410-7.
4	Spec section 09961 requires non-immersion metal to be primed with 1 PurplePrime. PurplePrime is no longer manufactured by Tnemec. Will DI piping primed with Tnemec series 104 be acceptable?	Yes
5	Key Note #6 on Drawing 01E001 references the proposed location of the Scada tower and installation of antenna cable back to PRTU Panel in the new electrical building. Will this scope of work be furnished and installed under the Allowance #3 Control Touch Scada Information.	No. This is the contractor's responsibility under Bid Item No. 1

6	<p>Title: DI or PVC allowed for gravity lines</p> <p>Since all references to ductile iron (DI) pipe have been deleted by addendum 1 on sheets 01C006, 01C007, 01C008, 01C009 and 01C0010, does the gravity piping have the material option of DI or PVC per spec section 02532?</p>	Yes, in compliance with the specifications.
7	<p>Title: DIP Lining</p> <p>Please confirm all DI pipe and fittings on this project is to be 401 lined except for water lines.</p>	Yes
8	<p>Title: Restraint for Force Main</p> <p>Are all joints on the 36" force main required to be restrained?</p>	Yes
9	<p>Regarding Section 11310 – Non-Clog Submersible Sewage Pumps for the subject project. The pump schedule mentions each pump capable of producing 5,000 GPM @ 76.08' TDH, while part E. of Section 2.02 calls for the total of three pumps operating is 20 MGD. Can you advise the expected TDH at 20 MGD flow?</p>	76.01' is the TDH for 20 mgd with three pumps operating.
10	<p>Addendum No. 1, Item 2, provides a competent rock elevation for bidding purposes of 886.0. Per the provided geotechnical report, ground elevation is approximately 886.25' and auger refusal is approximately 882.21'. Please verify your competent rock elevation.</p>	Survey data indicates an average ground elevation of 886.0' at the tank site with geotech data indicating an average top of rock elevation of 882.0. This supersedes Addendum, Item 3.
11	<p>Electrical Building elevation views illustrate brick masonry from elevation 885.25 to 902.00. Sheet No. 01-S-008, Section B, and Sheet 01-A-002, Typical Wall Detail, show concrete (only) up to elevation 892.00 and only 10'-0" of masonry. Please clarify what is intended.</p>	Masonry should extend from 885.25 to 902.00. See the attached detail.
12	<p>Section 01210, Item 1.01.C.1 indicates the allowance for Control Touch is \$20,000. Section 00410 (Bid Form), Schedule A, Item 3, indicates the allowance for Control Touch SCADA Integration is \$49,669.00. Please clarify the correct amount for this allowance.</p>	The allowance for Control Touch SCADA integration is \$49,669.00.

13	<p>Bid Form\Schedule A, No. 13, refers to a "Six (6) ft. Double Chain Link Fence Gate ...". Please confirm this is intended to provide a 12-foot wide gate (two 6-foot wide gate panels).</p>	<p>Confirmed</p>
14	<p>Please identify the depth to which Probe Holes are to be drilled (Bid Form\Schedule A, No. 14).</p>	<p>Five (5) feet rock penetration</p>
15	<p>Bid Form\Schedule A, No. 7, is described as "Paved Site Restoration: Pump Station Access Road and Wet Weather Storage Parking Area", and the approximate quantity is 3,500 square yards. Is this item intended to include the new full-depth asphalt pavement for the site roadway from McGrathiana Parkway to the limit shown for "New Pavement" on Sheet 01C001, and the new pavement area providing access to the new Pump Station? Is the "Full Width Paving, Street" pavement design shown on Sheet 00G003 intended for these locations? In what item on Schedule A is the removal of the site roadway (15.5" deep) from McGrathiana Parkway to the "New Pavement" limit to be included? Will this removal be measured for payment, similar to the new pavement?</p>	<p>Please refer to the attached revised Sheets 01C001 and 00G004 for pavement designations</p>
16	<p>Bid Form\Schedule A, No. 8, is described as "Paved Site Restoration: Lower Cane Run Pumping Station Parking Lot", and the approximate quantity is 1,800 square yards. Is this intended to include the site roadway area from the parking lot to the "Overlay" limit shown on Sheet 01C001, as well as the parking lot? No pavement design details for this restoration are found in the bid documents. Please provide the necessary information.</p>	<p>Please refer to the attached revised Sheets 01C001 & 00G004 for pavement designations.</p>
17	<p>Please provide expected flow rates (average and peak) for the existing system.</p>	<p>Average = 5.5 mgd Peak = 26.0 mgd</p>
18	<p>Section 03300, Item 3.10.E.2., indicates the</p>	<p>See Addendum 1, specifications, No.4 Section 03300</p>

	concrete testing frequency is every 100 cubic yards. This is then expressed in numbers as 50 cubic yards. Please clarify what is intended.	
19	Is it intended that full-depth crushed stone backfill be installed where new pipe lines/trenches are located in ultimate pavement areas?	Yes, #9 crushed stone
20	Given the last-minute nature of receiving quotations from a multitude of subcontractors on the bid day, we request the Owner allow additional time after the bid deadline for Bidders to submit Bid Form pages 16 through 23. Subcontractors won't be known until the last minute (literally), and it is impossible to obtain and submit the requested information along with our bid without the possibility of inaccurate or incorrect information.	Yes, with the requirement to submit to Brian Marcum, LFUCG, by 2:00 pm on the day following the bid opening.
21	Section 13200-Item 2.10.C, mentions a roof-ventilator and a liquid level indicator. As these items are not shown on the drawings, please confirm they are not required. Similarly, no through-wall pipe sleeves with modular seals are shown on the drawings, but these are mentioned in Item 2.10.D. Please confirm these are not required.	This is not a roof ventilator but there is an ultrasonic liquid level indicator.
22	Sheet 01D010, the plan view (left side of page) illustrates a float switch access hatch, a float switch terminal switch, and an ultrasonic level sensor mount. None of these items are shown in the plan view of the top of the tank on Sheet 01D005. Please clarify what is intended, and provide details for the float switch access hatch, if applicable. Sheet 01E008, top right of page, illustrates the level sensor mounting detail using a dome probe curb. Please confirm a total of three dome probe curbs will be required.	<p>The Ultrasonic level sensor will be mounted to a "Probe Curb" per 01E008 and 01D010. The level sensor flanged mounting pipe shall be a minimum of 2" larger in diameter than the diameter of the selected level sensor. There will be a total of three Dome Probe Curbs, 1 dedicated for the level sensor and two for other purposes (I am not sure what the other two are for).</p> <p>The float switch access hatch (hand hole) shall be a minimum of 18"x18" square. The construction of the float switch access hatch curb shall be similar to curbs shown on sheet 01D009. The hatch will provide access to weighted float switches hung from stainless steel hooks with cable strain relief grips. The float switch manufacturer's cable shall be ran in conduit from the access hatch to the terminal box. The float switch cable</p>

		<p>conduit opening shall be readily accessible at the float switch access hatch.</p> <p>The terminal box will be provided to transition from the float switch manufacturer's cable to field cable. The terminal box shall meet the requirements of the specifications.</p>
23	The LFUCG, Department of Public Works and Development, schedule of building permit fees indicates "Tanks" are subject to a fee rate of ".002 X Gallons (Min \$100)". This rate will generate a permit fee of \$22,000 for this project/tank. Will the Owner be responsible for, or otherwise waive, this permit fee?	Yes
24	Section 08370, Item 2.01.C., 2.01., and 2.03., indicate that hatches are to be equipped with an "odor resistant gasket". Is it the intent that <u>all</u> hatches are so equipped?	Yes
25	Please add Thompson Fabricating and Nystrom as acceptable hatch manufactures for this project.	See Clarification #1
26	Section 03100, Item 3.08.B. (table), indicates wall forms can not be removed less than 7 days after the pour if temperatures are below 50 degrees. Please revise this requirement such that wall form removal will be per ACI cold-weather specifications.	Bid as specified
27	Can you please provide backfill requirements, for around structures, for this project. Should backfill be granular, lean concrete, or earth?	Unless called out differently in the specifications or on the drawings or in the geotechnical report, backfill around structures shall be granular, #57 stone.
28	Attached is two pump data sheets covering Fairbanks Morse 14" Model 5731 submersible pumps for this project. Both pumps are rated for 5000gpm @ 76"TDH. First pump selection will pass 3.25" solid and other pump will pass 5.25" solid. We would like to bid on Fairbanks Morse pumps for this project. Please advise if Fairbanks Morse is acceptable and if their name can be added to bid form? Thank you	See Clarification #1

	for your consideration and advise if you need any additional information.	
29	Per Add#1, I am requesting clarification of AIS requirements. Do any of the pipe, valves, or fittings have to be domestic?	Yes
30	For the Lines shown on 01C008 thru 01C0010, you deleted DIP. I am assuming you want PVC C905 instead per Force Main Spec Section 02531. Is this correct?	DIP pipe has not been removed from the project, only the reference deleted from the sheet. DIP is still eligible for use as well as other pipe materials. Pipe material must comply with the Technical Specifications.
31	The pre-bid meeting minutes, Page 2, Item 3, g indicate the Contractor shall provide Builder's Risk Insurance. The documents directly conflict this statement. General Conditions 5.06 Property Insurance specifically states "Owner will purchase and maintain property insurance upon the Work at the Site". Article 5.06 A, 1 and 2 further state the insurance will include the interests of Owner, Contractor, Subcontractors and Engineer and be written on a Builder's Risk "all risk" policy form. This language is not supplemented or revised by the Supplementary Conditions and Builder's Risk insurance is not addressed in Section 00600. Please resolve the conflict between the statements at the pre-bid and the written requirements of the bid documents regarding Builder's Risk Insurance. If the Owner will furnish the Builder's Risk insurance, please define the deductible to Bidders may evaluate if gap coverage is necessary.	<u>Builder's Risk Insurance</u> – Contractor shall provide Builder's Risk Insurance. Insert into Section 00800 – Supplementary Conditions, Article 5 – Bonds and Insurance, Paragraph "5.05 Builder's Risk Insurance – Contractor shall provide Builder's Risk Insurance."
32	The Bid Form and Agreement clearly define the requirement for Substantial Completion as being fixed at 403 consecutive calendar days. However, we do not find a defined duration from Substantial Completion to Final Completion. If LFUCG expects Final Completion within a specific number of consecutive calendar days after Substantial Completion, please so stipulate by addendum.	<u>Substantial-Final Completion</u> – Substantial Completion is as defined in Section 00700 – General Conditions, Article 1, Paragraph 1.01 A.44.
33	Sheet 01D0108 provides rock anchor notes and a typical rock anchor elevation from which we can obtain capacity, embedment, spacing, etc. The geotech report also provides baseline information and discusses the anchors being grouted or epoxied. What the documents don't	See attached specification 02363

include however is an actual rock anchor specification containing actual material specifications, testing procedures, etc. Please provide in the upcoming addenda.	
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DRAWINGS

1. **Sheets 01C003 and 01C004:** Replace sheets 01C003 and 01C004 with the attached sheets 01C003 and 01C004.
 - i. Points of change are additional devices for stormwater control.
2. **Sheet 01C001:** Replace Sheet 01C001 with the attached Sheet 01C001.
 - i. Points of change are identification of the pavement types
 - ii. Deletion of pipe material references
 - iii. Indication of the location for replacement of 36" gravity sewer line with 48" sewer line.
3. **Sheets 01C001, 01C005, 01C006:** Change the diameter of the gravity pipe from 36" to 48" for the sections that connect at manhole A-4 and continues through the Diversion Structure and reconnects at the Lower Cane Run Pump Station Inlet Junction Box. This change includes upsizing manholes A-1, A-2, A-3, A-4, and the existing manhole immediately before the Lower Cane Run Pump Station Inlet Junction Box from 6' diameter to 8' diameter. The pipe entrance at the Lower Cane Run Pump Station Inlet Junction Box must be enlarged to accommodate the new 48" gravity pipe. This also includes increasing the 48" pipe entrance and exit at the Diversion Structure. All 48" pipe is to be installed at the pipe invert elevations as indicated on the drawings.
4. **Sheets 01D001 and 01S003:** To comply with the change in gravity pipe size from 36" to 48", the Diversion Structure flow - through channel width shall be increased from 5 feet to 6 feet. Any required changes related to reinforcing steel will be addressed during shop drawing submittals. Replace the 36" x 36" motor operated sluice gate with a 48" x 48" motor operated sluice gate and install with adequate clearances for gate installation and operation.
5. **Sheet 01C011:** Replace Sheet 01C011 with the attached Sheet 01C011 and see the thrust block detail page.
 - i. Deletion of the Femco coupling at the water meter structure and removal of one water meter.
 - ii. Thrust block size schedule (see attached)
6. **Sheet 01C005:** Delete all references to pipe material.

7. **Sheet 01G004:** Replace Sheet 01G004 with the attached Sheet 00G004.
8. **Sheet 01C005:** Delete the word "transformer". The transformer location is shown on Sheet 01E001.
9. **Sheet 00G002:** The existing Utilities Section, note 4 has a typographical error and should read "It".
10. **Sheet 00C002:** Replace Sheet 01C002 with the attached Sheet 01C002.
 - i. Point of change is an enlarged view of the drainage pipe, valves and fittings
11. **Sheet 01D006:** Insert note "Contractor to excavate to competent rock and fill to design floor elevation with lean concrete. Refer to the geotechnical report (Appendix D) for recommendations."
12. **Sheet 01A002:** Change notes "SBS" roof to "as specified" and label compacted crushed stone as #57.
13. **Sheet 01E005:** Replace existing sheet 01E005 with attached Sheet 01E005.
 - i. Point of change is to provide title and notes that were cut off during printing.

SPECIFICATIONS

1. **Section 00410, page 7:** replace Section 00410, page 7 with the attached page 7.
 - i. Point of change is the deletion of all references to "other"
2. **Section 01210:** Replace Section 01210 with the attached Section 01210.
 - i. Point of change is the addition of allowance items.
3. **Section 02200:** Add the attached Specification 02200, Earthwork
4. **Section 02363:** Add the attached Specification 02363, Rock Anchors

CLARIFICATIONS

1. Bidders are advised that Section 00410 Bid Form, Equipment Manufacturer has been modified to delete all references to other. Materials and/or equipment not listed in the Equipment Manufacturer form may be considered in the submittal approval process in accordance with paragraph 1.18 of Section 00300.
2. Per Section 13200, Paragraph 1.04.B, the following tank contractors are pre-approved and do not need to submit a prequalification package:

- The Crom Corporation, Gainesville, Florida
- Precon Corporation, Newberry, Florida
- Preload, Inc. of Louisville, Kentucky



Todd Statin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Judy Construction Company

ADDRESS: 103 S. Church Street, PO Box 457, Cynthiana, KY 41031

SIGNATURE OF BIDDER: 

Steve Judy, President



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

William O'Mara
Commissioner

ADDENDUM #3

Bid Number: **#109-2015**

Date: August 25, 2015

Subject: Lower Cane Run Wet Weather Storage

Address inquiries to:
Brian Marcum
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. Full Scale drawings are issued with this addendum which were not full scale in Addendum#2.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Judy Construction Company

ADDRESS: 103 S. Church Street, PO Box 457, Cynthiana, KY 41031

SIGNATURE OF BIDDER: 

Steve Judy, President



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

David L. Holmes
Commissioner

ADDENDUM #4

Bid Number: **#109-2015**

Date: August 27, 2015

Subject: Lower Cane Run Wet Weather Storage

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

	Questions	Answers
1	As a bidding contractor we should be told what the maximum anticipated flow for by-pass pumping is expected. This is very similar to the by-pass pumping the owner provided at TB WW Storage Facility and all contractors knew the GPD at bid time. This was fair for all parties.	The bypass pumping requirement is based on providing a firm capacity of 26 mgd. Firm capacity is defined as the total combined pumping capacity of the system with the largest pump out of service.
2	Post addenda 2 & 3, will PVC pipe for gravity, up to 48" be allowed for the project, as spec 02532-4 2.02 B only specifies sizes through 27" ?	Please comply with the specifications.
3	Please confirm that new 48" piping from MH-A1 to the Existing LCRPS Inlet Junction Box clouded on drawing 01C001 from addenda #3 is new work that was not previously included?	New as added in Addendum 2 and 3
4	Drawing 01C001 of addenda #3 shows the work deleted in addenda #1 as clouded. Please confirm that this work was deleted in addenda #1 and not included in this project	Yes

SPECIFICATIONS

- 1. **Section 00410, page 7:** Replace Section 00410.
 - i. Point of change is the addition of a pavement pay item (#7) and the insertion of page 7 which was issued in Addendum 2.

- 2. **Section 01025:** Replace Section -1-25 that was issued with Addendum 1 with the attached Section 01025.
 - i. Point of change is the addition of a pavement pay item (#7).

CLARIFICATIONS

- 1. The bid submittal/opening has been **rescheduled to September 3, 2015**. "Sealed proposals will be received by the Lexington-Fayette Urban County Government until 2:00 PM, local time, September 3, 2015."

- 2. Bid is based on the sum of the total of 22 bid items, 1 through 22.



Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Judy Construction Company

ADDRESS: 103 S. Church Street, Cynthiaana, KY 41031

SIGNATURE OF BIDDER:  _____

Steve Judy, President



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

David L. Holmes
Commissioner

ADDENDUM #5

Bid Number: **#109-2015**

Date: August 27, 2015

Subject: Lower Cane Run Wet Weather Storage

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

	Questions	Answers
1	Post addenda 2 & 3, will PVC pipe for gravity, up to 48" be allowed for the project, as spec 02532-4, 2.02 only specifies sizes through 27"?	LFUCG will accept up to 48" PVC pipe

Todd Slatin ^(B)

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Judy Construction Company

ADDRESS: 103 S. Church Street, Cynthiaana, KY 41031

SIGNATURE OF BIDDER:  Steve Judy, President

SECTION 00410 – BID FORM

Lower Cane Run Wet Weather Storage

Division of Water Quality
Lexington-Fayette Urban County Government

LFUCG Bid No. 109 - 2015

1.01 GENERAL

Place: Lexington, Kentucky

Date: 09/03/2015

The following Bid Form shall be followed exactly in submitting a Bid for this Work.

This Bid Form Submitted by Judy Construction Company
103 S. Church Street, Cynthiana, KY 41031
(Name and Address of Bidder)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as a corporation
"a corporation," "a partnership", or an "individual" as applicable

To: Lexington-Fayette Urban County Government
(Hereinafter called "Owner")
Office of the Director of Central Purchasing
200 East Main Street, Room 338
Lexington, KY 40507

The Bidder, in compliance with your Advertisement for Bids for the Lower Cane Run Wet Weather Storage; Lexington, Kentucky, having examined the Contract Documents including the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions and any and all addendums surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this Bid is a part.

The Bidder hereby agrees to commence Work under this Contract on a date to be specified in a written "Notice to Proceed" of the Owner and to substantially complete the Project within 403 days consecutive calendar days. Bidder further agrees to pay liquidated damages, the sum of one thousand dollars and zero cents (\$1,000) for each consecutive day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 08/12/2015; Addendum No. 5 Date 08/27/2015
Addendum No. 2 Date 08/25/2015; Addendum No. Date
Addendum No. 3 Date 08/25/2015; Addendum No. Date
Addendum No. 4 Date 08/27/2015; Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

1.02 LEGAL STATUS OF BIDDER

Bidder Judy Construction Company

Date September 3, 2015

*A. A corporation duly organized and doing business under the laws of the State of Kentucky,
for whom Steve Judy, bearing the
official title of President, whose signature is
affixed to this Bid is duly authorized to execute contracts.

~~*B. A Partnership, all of the members of which, with addresses are: (Designate general partners
as such)~~

~~*C. An individual, whose signature is affixed to this Bid. (Print name)~~

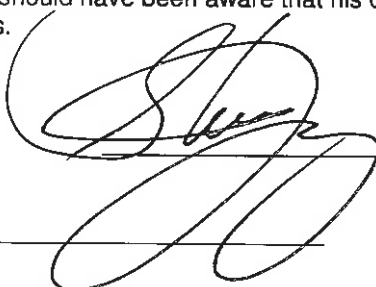
* The Bidder shall fill out the appropriate form and strike out the other two.

1.03 BIDDERS AFFIDAVIT

Comes the Affiant, Steve Judy, and after being first duly sworn, states under penalty of perjury as follows:

- A. His/her name is Steve Judy and he/she is the individual submitting the Bid or is the authorized representative of Judy Construction Company, the entity submitting the Bid (hereinafter referred to as "Bidder").
- B. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the Bid is submitted, prior to award of the Agreement and will maintain a "current" status in regard to those taxes and fees during the life of the Agreement.
- C. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the Agreement.
- D. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
- E. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of an Agreement to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
- F. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
- G. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.



Affiant Signature Steve Judy, President

STATE OF Kentucky

COUNTY OF Harrison

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Steve Judy on this the 3rd day of September, 2015.

My Commission expires: August 1, 2019

Jeanie Anness
NOTARY PUBLIC, STATE AT LARGE Jeanie Anness

1.04 BID SCHEDULE

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Owner uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Owner uses for bid comparison.

The Owner's decision on the bid amount is final.

SCHEDULE A

No.	Item	Unit	Approximate Quantity	Unit Price	Total Price
1	Base Bid for the General Construction of the Lower Cane Run Wet Weather Storage Facility per the Contract Documents and Exclusive of Bid Item Nos. 2 through 21	LS	1	7,703,232	7,703,232
2	Allowance - Westech Romag Screen with Ultrasonic Level Sensor	LS	1	\$180,000.00	\$180,000.00
3	Allowance - ControlTouch SCADA Integration	LS	1	\$49,699.00	\$49,699.00
4	Allowance - Project Documentation Photography	LS	1	\$30,000.00	\$30,000.00
5	Paved Site Restoration: Legacy Trail - Impervious Pavement	SY	1,500	30.00	45,000
6	Paved Site Restoration: Legacy Trail - Pervious Pavement	SY	1,000	82.50	82,500
7	Paved Site Restoration: Pump Station Access Road	SY	3,250	31.00	100,750
8	Paved Site Restoration: Wet Weather Storage Parking Area	SY	470	54.00	25,380
9	Paved Site Restoration Lower Cane Run Pumping Station Parking Lot	SY	1,800	8.25	14,850
10	Non-Paved Site Restoration: Method A	SY	100	7.75	775
11	Non-Paved Site Restoration: Method B	SY	51,500	0.40	20,600
12	Non-Paved Site Restoration: Method C	SY	100	1.50	150
13	Six (6) ft. Chain Link Fence w/3-strand Barbed Wire	LF	700	20.00	14,000
14	Six (6) ft. Double Chain Link Fence Gate w/3-strand Barbed Wire	EA	1	1,325.00	1,325
15	Drilling of Probe Holes for Competent Rock	EA	20	20.00	400
16	Additional Drilling of Probe Holes for Competent Rock	VF	100	3.50	350
17	Rock Anchors	EA	340	831.00	282,540
18	Additional Rock Anchor Embedment	VF	100	51.50	5,150
19	Additional Rock Removal	CY	100	88.00	8,800
20	Additional Lean Concrete for Engineered Fill	CY	5,500	113.00	621,500
21	Allowance - Brick for Electrical Building	LS	1	\$10,000.00	\$10,000.00
22	Allowance - Miscellaneous Site Improvements	LS	1	\$500,000.00	\$500,000.00
				Total Bid Price	9,697,000

TOTAL LUMP SUM BID AMOUNT:

_____ Dollars (\$ 9,697,000)

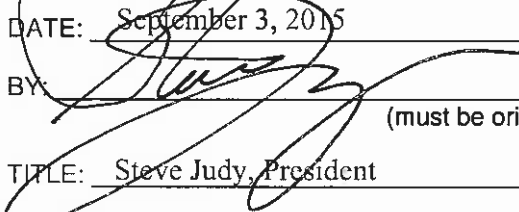
Respectfully Submitted,

FIRM: Judy Construction Company

ADDRESS: 103 S. Church Street

CITY/STATE/ZIP: Cynthiana, KY 41031

DATE: September 3, 2015

BY:  _____
(must be original signature)

TITLE: Steve Judy, President

PHONE: 859-234-6900 FAX: 859-234-3480
(area code, number & extension)

EMAIL ADDRESS: sjudy@judyconstructionco.com

OFFICIAL ADDRESS AND PHONE:

103 S. Church Street

Cynthiana, KY 41031

859-234-6900 (Seal if Bid is by Corporation)

By signing this form you agree to all of the terms and associated forms.

WILL PROVIDE WITHIN 24 HOURS OF BID OPENING

Equipment Manufacturer (circle one)	
Pre-stressed Concrete Tank	Crom Precon Preload
Pumps	ABS Flygt KSB
Mechanical Screen	Westech
Grinder Sump Pumps	Myers Flygt Hydromatic Zoeller
Check Valves	Apco Golden Anderson Val-matic
Modulating Plug Valve	Dezurik Golden Anderson Henry Pratt
Slide Gates	Aquanox H. Fontaine Waterman Golden Harvest
Actuators	Limatorque Auma EIM
Generator	Generac CaterpiJjar Cummins/ONAN MTU/Detroit Diesel Kohler
Level Transmitters	Endress+Hauser Foxboro Siemens Yokogawa
VFDs	SquareD Eaton Robicon Allen Bradley
SCADA PLC's	Allen Bradley Compactlogix
Motor Control Centers	SquareD Cutler Hammer Allen Bradley

1.05 STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Bid:

A. Name of Bidder: Judy Construction Company

B. Permanent Place of Business: 103 S. Church Street, Cynthiana, KY 41031

C. When Organized: April 9, 1974

D. Where Incorporated: Kentucky

E. Financial Condition:

If specifically requested by the Owner, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the Owner's Division of Central Purchasing within seven (7) calendar days following the Bid opening.

F. In the event the Agreement is awarded to the undersigned, Performance, Payment, Erosion and Sediment Control, and Warranty bonds will be furnished by:

Continental Casualty Company (Surety)

Signed: Paula J. Teague (Representative of Surety)
Paula J. Teague, Atty-in-Fact

G. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
	SEE ATTACHMENT A	

H. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
	SEE ATTACHMENT B	

I. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
	SEE ATTACHMENT C	

J. MWDBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS (LIST)</u>	<u>PROJECT (SPECIFIC TYPE)</u>	<u>MWDBE</u>	<u>% of WORK</u>
	SEE ATTACHMENT B		

(USE ADDITIONAL SHEETS IF NECESSARY)

K. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the Owner within seven (7) calendar days following the Bid Opening, a sworn statement regarding all office management and field management personnel. Additionally, if requested by the Owner, we will within seven (7) days following the request submit audited financial statements and loss history for insurance claims for the three (3) most recent years (or a lesser period if stipulated by the Owner)

1.06 LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the Owner to be executed, completed and submitted with the Bid Form. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

<u>BRANCH OF WORK**</u> (List each major item)	<u>SUBCONTRACTOR</u>	<u>MWDBE (yes/no)</u>	<u>% of WORK</u>
1. <u>PRE STRESSED TANK</u>	Name: <u>CROM COLP</u> Address: <u>GAINSVILLE FL</u>	<u>NO</u>	<u> </u>
2. <u>ELECTRICAL</u>	Name: <u>JL DAVES</u> Address: <u>BANBURNVILLE, KY</u>	<u>NO</u>	<u> </u>
3. <u>PAINTING</u>	Name: <u>BL RADDEN</u> Address: <u>LEXINGTON, KY</u>	<u>NO</u>	<u> </u>
4. <u>PRE STRESSED TANK</u>	Name: <u>PRE-LOAD</u> Address: <u>LOUISVILLE KY</u>	<u>NO</u>	<u> </u>
5. _____	Name: _____ Address: _____	_____	_____
6. _____	Name: _____ Address: _____	_____	_____

** Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.

1.07 AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

- A. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the Bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- B. That the attached Bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Advertisement for Bid, designed to limit independent bidding or competition;
- C. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the Bid or Bids, and will not be communicated to any such person, prior to the official opening of the Bid or Bids;
- D. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- E. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky. X [Check the statement applicable.]
- F. This offer is for ninety (90) calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items Bid above, an Agreement shall thereby be created with respect to the items accepted.
- G. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- H. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

Judy Construction Company
Company

September 3, 2015
Date


Representative Steve Judy, President

1.08 STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: SEE ATTACHMENT C

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management, Affirmative Action officials, and field management personnel. Attach separate sheets if necessary.

1.09 EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

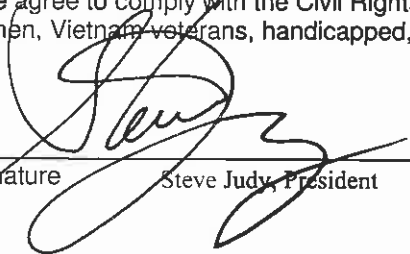
The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause an Agreement to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.



 Signature Steve Judy, President

Judy Construction Company

 Name of Business

The Entity (regardless of whether construction Contractor, non-construction Contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities - Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor - Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to 45.640.*
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

In the case of an Agreement exceeding \$250,000, the Contractor will be required within seven (7) days following the Bid Opening to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the Contract.

WILL PROVIDE WITHIN 24 HOURS AFTER OPENING OF BID

1.10 MWDBE SUBCONTRACTOR PARTICIPATION FORM



OMB Control No: 2090-0030
 Approved: 8/13/2013
 Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Participation Form**

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE¹ subcontractor² the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

Subcontractor Name		Project Name	
Bid/ Proposal No.	Assistance Agreement ID No. (if known)	Point of Contact	
Address			
Telephone No.		Email Address	
Prime Contractor Name Judy Construction Company		Issuing/Funding Entity:	

Contract Item Number	Description of Work Received from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Amount Received by Prime Contractor

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-2 (DBE Subcontractor Participation Form)

WILL PROVIDE WITHIN 24 HOURS AFTER OPENING OF BID



OMB Control No: 2090-0030
 Approved: 8/13/2013
 Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Participation Form**

Please use the space below to report any concerns regarding the above EPA-funded project:

Subcontractor Signature	Print Name
Title	Date

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

EPA FORM 6100-2 (DBE Subcontractor Participation Form)

WILL PROVIDE WITHIN 24 HOURS AFTER OPENING OF BID

1.11 MWDBE SUBCONTRACTOR PERFORMANCE FORM



OMB Control No: 2090-0030
 Approved: 8/13/2013
 Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractors bid or proposal package.

Subcontractor Name		Project Name	
Bid/ Proposal No.	Assistance Agreement ID No. (if known)	Point of Contact	
Address			
Telephone No.		Email Address	
Prime Contractor Name Judy Construction Company		Issuing/Funding Entity:	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
DBE Certified By: <input type="checkbox"/> DOT <input type="checkbox"/> SBA		Meets/ exceeds EPA certification standards?
<input type="checkbox"/> Other: _____		<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Unknown

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)

WILL PROVIDE WITHIN 24 HOURS AFTER OPENING OF BID



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

 Prime Contractor Signature	Print Name
	Steve Judy
Title	Date
President	September 3, 2015

Subcontractor Signature	Print Name
Title	Date

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)

WILL PROVIDE WITHIN 24 HOURS AFTER BID OPENING

1.12 MWDBE SUBCONTRACTOR UTILIZATION FORM



OMB Control No: 2090-0030
 Approved: 8/13/2013
 Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
 DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE¹ subcontractors² and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name Judy Construction Company		Project Name Lower Cane Run Wet Weather Storage	
Bid/ Proposal No. 109-2015	Assistance Agreement ID No. (if known)	Point of Contact Steve Judy	
Address 103 S. Church Street, Cynthiana, KY 41031			
Telephone No. 859-234-6900		Email Address sjudy@judyconstructionco.com	
Issuing/Funding Entity:			

I have identified potential DBE certified subcontractors	___ YES	___ NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?

Continue on back if needed

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-4 (DBE Subcontractor Utilization Form)

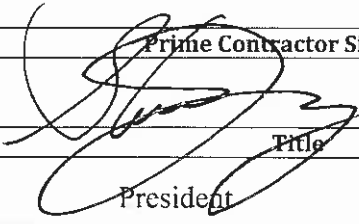
WILL PROVIDE WITHIN 24 HOURS AFTER BID OPENING



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

 Prime Contractor Signature	Print Name
	Steve Judy
Title	Date
President	September 3, 2015

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

EPA FORM 6100-4 (DBE Subcontractor Utilization Form)

1.13 MWDBE PARTICIPATION POLICY

PROJECT NAME: Lower Cane Run Wet Weather Storage
Lexington-Fayette Urban County Government

LFUCG BID NO. 109-2015 BID DATE: September 3, 2015

A. Name, address and telephone number of contact person on all MWDBE matters:

Prime Contractor's Name Judy Construction Company
Contact Person: Steve Judy
Address: 103 S. Church Street, Cynthiana, KY 41031
Phone: 859-234-6900 Cell:
Email: sjudy@judyconstructionco.com
Total Contract Amount:

B. Total dollar amount/percent of contract of DBE participation:

C. Total dollar amount/percent of contract of MBE participation:

D. Total dollar amount/percent of contract of WBE participation:

E. Are certifications* for each MWDBE subcontractor enclosed; if no, please explain:

Yes No

F. Are MWDBE subcontracts or letters of intent signed by both parties enclosed; if no, please explain: Yes No

G. List of DBE Subcontractors:

Name:
Contact Person:
Address:
Phone: Cell:
Email:
Type of Contract:
Work to be Done:
Amount:

H. List of MBE Subcontractors:

Name:
Contact Person:
Address:
Phone: Cell:
Email:
Type of Contract:
Work to be Done:
Amount:

I. List of WBE Subcontractors:

Name: _____
Contact Person: _____
Address: _____
Phone: _____ Cell: _____
Email: _____
Type of Contract: _____
Work to be Done: _____
Amount: _____

Attach additional sheets, if necessary.

*Self-certification: Self-certification of MWDBE firms will NOT be accepted as a valid form of certification of MWDBE status.

J. **Information and documentation concerning efforts taken to comply with EPA's "six good faith efforts"**

1. Ensure MWDBE construction firms or material suppliers are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities; including placing MWDBEs on solicitation lists and soliciting them whenever they are potential sources. A good source for a list of MWDBEs is the Kentucky Transportation's website: <http://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/Certified-DBE-Directory.aspx>.

The prime contractor certifies that a bidders list (see example sheet below) of qualified vendors, including MWDBEs, was developed for current and future solicitations and that the list will be maintained. *Submit a copy of the list as documentation.*

2. Make information on forthcoming opportunities available to MWDBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by MWDBEs in the competitive process; including, whenever possible, posting solicitation for bids or proposals for a sufficient amount of time as to receive a competitive bid or proposal pool.

The prime contractor certifies that every opportunity was provided to a number of MWDBEs to encourage their participation in the competitive process and that an adequate amount of time was provided for response.

- a. List each MWDBE construction firm or material supplier to which a solicitation was attempted. *Submit copies of letters, emails, faxes, telecommunication logs, certified mail receipts, returned envelopes, certified mail return receipts, etc. as documentation.*

Company Name and Phone Number: _____

Area of Work Expertise: _____

Date of any Follow-Ups and Person Spoke to: _____

- b. Advertisements, if applicable: List each publication in which an announcement or notification was placed. *Submit a tear sheet of each announcement from each publication as documentation.*

Name of Publication: _____

Date(s) of Advertisement: _____

WILL PROVIDE WITHIN 24 HOURS AFTER BID OPENING

Specific Subcontract Areas Announced: _____

- c. Other, if applicable: List each notification method in which an announcement or outreach was used; list serve, public meeting, etc. Submit applicable information to document effort.

Method of Notification: _____

Date(s) of Notification: _____

- 3. Consider in the contracting process whether firms competing for large contracts could subcontract with MWDBEs; including dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by MWDBEs in the competitive process.

[X] The prime contractor certifies that the project was broken into its basic elements (i.e. dirt hauling, landscaping, painting, pipe installation, material supplies, etc.) and that a determination was made whether it's economically feasible to bid the elements separately and that the analysis of this effort was documented with a short memo to the project file.

- 4. Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women's business enterprises.

[X] The prime contractor certifies that they established delivery schedules which would allow MWDBEs to participate in the projects.

- 5. Use the services and assistance of the Small Business Administration (SBA) and the Minority Business Development Agency (MBDA) of the U.S. Department of Commerce. The easiest way to utilize the services of SBA and MBDA is to visit their websites: www.sba.gov and www.mbda.gov and use the electronic tools available there or you may send the nearest SBA and MBDA office a certified letter that generally describes the solicitation, the dates it will be open, the types of vendors you are seeking and applicable SIC or NAIC codes if known. You may also use the services and assistance of the Kentucky Procurement Assistance Program (KPAP). The easiest way to utilize the services of KPAP is to send an email: ced.kpap@ky.gov and provide information on forthcoming opportunities available to MWDBEs.

[X] The prime contractor certifies that the assistance of the SBA, MBDA, and/or KPAP was utilized. Submit pages printed off the SBA and MBDA websites which evidence efforts to register a solicitation on those sites or submit copies of the letter send and certified mail receipt as documentation; submit copies of emails with KPAP as documentation.

- 6. If a subcontractor awards any subcontracts, require the subcontractor to take the steps in numbers 1 and 5 above.

[X] The prime contractor certifies that subcontractors used for this project will be required to follow the steps of the "six good faith efforts" as listed above.

Signature and Date:

To the best of my knowledge and belief, all "six good faith efforts" have been met and the information contained in this document is true and correct; the document has been duly authorized by the legal representative.

[Handwritten Signature]

Signature

Steve Judy, President
Print Name and Title

September 3, 2015

Date

1.14 EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Judy Construction Company
(Name of Bidder)

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

1.15 WORKFORCE ANALYSIS FORM

SEE ATTACHMENT D

Name of Organization: _____ Date: ____ / ____ / ____

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators									
Professionals									
Superintendents									
Supervisors									
Foremen									
Technicians									
Protective Service									
Para-Professionals									
Office/Clerical									
Skilled Craft									
Service/Maintenance									
Total									

Prepared By: _____

1.16 EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE UBAN COUNTY GOVERNMENT CONTRUCTION PROJECT
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Judy Construction Company
 Address: 103 South Church Street, Cynthiana, KY 41031
 Project to be insured: Lower Cane Run Wet Weather Storage, LFUCG Bid No. 109-2015

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Section 00600 - Bonds and Certifications, including all requirements, and conditions:

Article Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided to Insured	Name of Insurer	A.M. Best's	
					Code	Rating
1.05.D.1	CGL	\$1,000,000/per occ., \$2,000,000/aggregate or \$2,000,000 combined single limit Requirements (a) through (e)	\$1,000,000 OCC \$2,000,000 AGG	Travelers	A++	XV
1.05.D.1	Auto	Combined single \$1,000,000/per occ. aggregate Requirements (a) through (e)	\$1,000,000 CSL	Travelers	A++	XV
1.05.D.1	WC	\$ Statutory	Statutory	KY AGC	A-	VII
1.05.D.1	Employer's Liability	\$500,000	\$4,000,000	KY AGC	A-	VII

Section 00600 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting

GCH Insurance Group
 Agency or Brokerage
 2250 Thunderstick Drive, Suite 1104
 Street Address
 Lexington, KY 40505
 City
 859-254-1836
 Telephone Number

John W. Hampton
 Name of Authorized Representative
 Producer
 Title
 Authorized Signature
 August 21, 2015
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insured. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: CONTRACT MAY NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THIS FORM FOR ALL COVERAGES LISTED ABOVE IS NOT PROVIDED.

1.17 DEBARRED FIRMS

PROJECT NAME: Lower Cane Run Weather Storage

LFUCG BID NO.: 109-2015

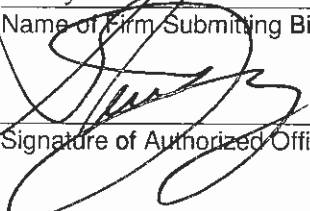
**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All Bidders shall complete the Debarment Certification in duplicate and submit both copies to the Owner with the Bid Form. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development within fourteen (14) days after Bid opening.

The undersigned hereby certifies that the firm of Judy Construction Company has not and will not award a subcontract, in connection with any Agreement award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964, Executive Order 11246 As Amended or any Federal Law.

Judy Construction Company
Name of Firm Submitting Bid


Signature of Authorized Official

Steve Judy, President
Title

September 3, 2015
Date

1.18 DEBARMENT CERTIFICATION

All Contractors/Subcontractors shall complete this certification.

The Contractor/Subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.
 - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c. Have not within a three (3) year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Judy Construction Company

Project: Lower Cane Run Wet Weather Storage

Printed Name: Steve Judy

Title of Authorized Representative: President

Signature: 

Date: September 3, 2015

1.19 CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty for not less than \$10,000 and not more than \$100,000 for each such failure.

Steve Judy, President

Typed Name & Title of Authorized Representative

Signature of Authorized Representative

September 3, 2015

Date

____ I am unable to certify to the above statements. My explanation is attached.

**CERTIFICATION REGARDING DEBARMENT,
SUSPENSION AND OTHER RESPONSIBILITY MATTERS**

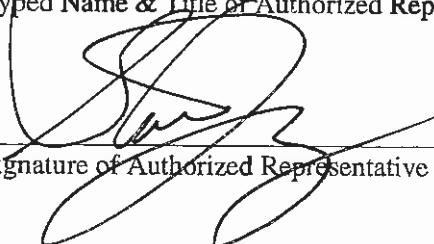
The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or Local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Steve Judy, President

Typed Name & Title of Authorized Representative



Signature of Authorized Representative

September 3, 2015

Date

_____ I am unable to certify to the above statements. My explanation is attached.

CERTIFICATION REGARDING LOBBYING
Certification for Contracts, Grants,
Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

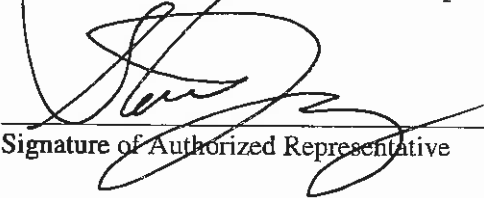
(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Steve Judy, President

Typed Name & Title of Authorized Representative



Signature of Authorized Representative

September 3, 2015

Date

_____ I am unable to certify to the above statements. My explanation is attached.

DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION POLICY

PROJECT NAME: Lower Cane Run Wet Weather Storage

BID DATE: September 3, 2015

1. Name, address and telephone number of contact person on all DBE matters:

Prime Contractor's Name: Judy Construction Company
Contact Person: Steve Judy
Address: 103 S. Church Street, Cynthiana, KY 41031
Phone: 859-234-6900
Cell Phone: _____
Email: sjudy@judyconstructionco.com
Total Contract Amount: _____

2. Total dollar amount/percent of contract of MBE participation: _____

3. Total dollar amount/percent of contract of WBE participation: _____

4. Are certifications* for each MBE/WBE/DBE subcontractor enclosed; if no, please explain: Yes No

5. Are MBE/WBE/DBE subcontracts or letters of intent signed by both parties enclosed; if no, please explain: Yes No

6. List of MBE Subcontractors:

Name: _____
Contact Person: _____
Address: _____
Phone: _____
Cell Phone: _____
Email: _____
Type of Contract: _____
Work to be Done: _____
Amount: _____

7. List of WBE Subcontractors:

Name: _____
Contact Person: _____
Address: _____
Phone: _____
Cell Phone: _____
Email: _____
Type of Contract: _____
Work to be Done: _____
Amount: _____

Attach Additional Sheets, If Necessary

*Self-certification: Self certification of MBE/WBE/DBE firms will NOT be accepted as a valid form of certification of MBE/WBE/DBE status.

8. **Information and documentation concerning efforts taken to comply with EPA's "six good faith efforts"**

(i). Ensure DBE construction firms or material suppliers are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities; including placing DBEs on solicitation lists and soliciting them whenever they are potential sources. A good source for a list of DBEs is the Kentucky Transportation's website: <http://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/Certified-DBE-Directory.aspx>.

The prime contractor certifies that a bidders list (see example sheet below) of qualified vendors, including DBEs, was developed for current and future solicitations and that the list will be maintained. *Submit a copy of the list as documentation.*

(ii). Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process; including, whenever possible, posting solicitation for bids or proposals for a sufficient amount of time as to receive a competitive bid or proposal pool.

The prime contractor certifies that every opportunity was provided to a number of DBEs to encourage their participation in the competitive process and that an adequate amount of time was provided for response.

a. List each DBE construction firm or material supplier to which a solicitation was attempted. *Submit copies of letters, emails, faxes, telecommunication logs, certified mail receipts, returned envelopes, certified mail return receipts, etc. as documentation.*

Company name and phone number: _____

Area of work expertise: _____

Date of any follow-ups and person spoke to: _____

b. Advertisements, if applicable: List each publication in which an announcement or notification was placed. *Submit a tear sheet of each announcement from each publication as documentation.*

Name of publication: _____

Date(s) of advertisement: _____

Specific subcontract areas announced: _____

c. Other, if applicable: List each notification method in which an announcement or outreach was used; list serve, public meeting, etc. *Submit applicable information to document effort.*

Method of notification: _____

Date(s) of notification: _____

(iii). Consider in the contracting process whether firms competing for large contracts could subcontract with DBEs; including dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.

The prime contractor certifies that the project was broken into its basic elements (i.e., dirt hauling, landscaping, painting, pipe installation, material supplies, etc.) and that a determination was made whether it's economically feasible to bid the elements separately and that the analysis of this effort was documented with a short memo to the project file.

JUDY CONSTRUCTION COMPANY
Major Completed Water & Wastewater
Treatments Projects
Past Five Years

Project Location and Description	Owner and Contact	Design Engineer and Contact	Year of Completion	Contract Price
Bowling Green, KY Wastewater Treatment Plant Expansion	Bowling Green Municipal Utilities Mike Garner (270) 535-4366	Gresham Smith Nashville, TN Mike Burgett (615) 770-8531	2012	\$40,055,533
Richmond, KY Otter Creek New Wastewater Treatment Plant	Richmond Utilities Richmond, KY Scott Althausser (859) 623-2323	CDP Engineers, Inc. Lexington, KY Morey Lampson (859) 264-7500	2010	\$30,584,780
Maryville, TN Const Mgmt Wastewater Treatment Plant Expansion	City of Maryville, TN Maryville, TN Baron Swafford (865) 273-3304	J.R Wauford & Company Nashville, TN Kevin Young (615) 883-3243	2010	\$30,460,506
Somerset, KY Water Treatment Plant Expansion	Somerset Water Department Somerset, KY Charlie Dick (606) 678-6642	Bell Engineering Lexington, KY David Schrader (859) 278-5412	2014	\$22,732,469
Louisville, KY Buechel Basin Wastewater System	Louisville & Jefferson County MSD William Marshall (502) 540-6608	Burgess & Nipple Louisville, KY Dakotah DeRoche (502) 254-2344	2014	\$20,585,364
Shelbyville, TN Wastewater Treatment Plant Expansion	Shelbyville Power, Water & Sewer Shelbyville, TN David Crowell (931) 685-0113	J.R Wauford & Company Nashville, TN Kevin Young (615) 883-3243	2012	\$18,068,419
Knoxville, TN Daugherty Water Treatment Plant Upgrade	West Knox Utilities Knoxville, TN Drexel Heidel (865) 690-2521	GRW Engineers, Inc. Nashville, TN Lewis Robbins (615) 366-1600	2014	\$17,292,026
Shepherdsville, KY Wastewater Treatment Plant Expansion	City of Shepherdsville Shepherdsville, KY Larry Hatfield (502) 543-2923	QK4 Louisville, KY Rob Campbell (502) 992-2927	2012	\$14,089,145
Nicholasville, KY Water Treatment Plant Expansion	City of Nicholasville Nicholasville, KY Tom Calkins (859) 885-9473	GRW Engineers, Inc. Lexington, KY Joe Henry (859) 223-3999	2010	\$12,668,694
Irvine, KY Regional Wastewater Treatment Plant	City of Irvine Irvine, KY Bee Williams (606) 723-2197	CDP Engineers, Inc. Lexington, KY Morey Lampson (859) 264-7500	2012	\$11,463,646
Abingdon, VA Middle Fork Water Treatment Plant Expansion	Washington Co. Service Authority Abingdon, VA Robbie Cornett (276) 783-7159	The Lane Group Chilhowie, VA Bobby Lane (276) 646-2520	2014	\$10,588,331
Hyden, KY Water Treatment Plant Expansion	Hyden-Leslie Co. Water District Hyden, KY Leihman Howard (606) 672-2791	Sisler-Maggard Engineering Lexington, KY Mike Maggard (859) 271-2978	2012	\$8,278,682

JUDY CONSTRUCTION COMPANY

Work on Hand
August 1, 2015

Owner	Project	Project Amount	Completion %	DBE Participation %
City of Pigeon Forge Pigeon Forge, TN	New Wastewater Treatment Plant	\$31,124,082	98.2%	2.3%
City of Murfreesboro Murfreesboro, TN	Wastewater Treatment Plant Expansion	\$7,800,000	94.5%	0.2%
Glasgow Water Company Glasgow, KY	Wastewater Treatment Plant Upgrade	\$10,997,266	95.8%	3.9%
Tazwell Co Public Service Auth Tazwell, VA	Wastewater Treatment Plant Upgrade	\$5,699,659	93.9%	1.6%
City of Danville Danville, KY	Water Treatment Plant Expansion	\$23,824,712	52.9%	4.1%
Metropolitan Sewer District Louisville, KY	Fairmount Rd PS and SSO Storage Basin	\$11,023,000	64.3%	28.9%
Fayetteville Public Utilities Fayetteville, TN	Wastewater Treatment Plant Upgrade	\$7,992,000	45.1%	0.7%
Consolidated Utility District Murfreesboro, TN	Water Treatment Plant Improvements	\$2,298,000	65.9%	2.0%
Lexington-Fayette Urban Co Govt Lexington, KY	Town Branch Wet Weather Storage Facility	\$19,108,000	44.1%	1.3%
Metropolitan Sewer District Louisville, KY	Nightingale PS and SSO Storage Basin	\$33,408,000	12.3%	21.4%
Lexington-Fayette Urban Co Govt Lexington, KY	Wolf Run Wet Weather Storage Facility	4,740,000	9.5%	1.6%
DeKalb Utility District Smithville, TN	Water System Improvements Raw Water Intake	4,149,500	1.2%	0.5%
First Utility District Knoxville, TN	Wastewater Treatment Plant Improvements	4,362,750	6.2%	0.0%
Kentucky Dept of Transportation Frankfort, KY	Garrard - Madison Cos. 121GR13D004-FE02 STP	\$3,012,552	82.7%	2.0%
Kentucky Dept of Transportation Frankfort, KY	Mercer County STP BRZ 0703 (325)	\$1,053,083	4.8%	7.5%

This is a true representation of our current work in progress.

BY: 

Steve Judy, President

JUDY CONSTRUCTION COMPANY
Key Employees

Name	Position	Years of Const. Experience	Type of Work	In What Capacity
Steve Judy	President	31	General Construction	Construction Management
Morgan Judy	Exec. Vice President	31	General Construction	Construction Management
Dale Wilson, P.E.	Vice President	46	General Construction	Civil Engineering
Ben Williams	Vice President	26	General Construction	Construction Management
James K. Cowley	Secretary/Treasurer	36	Accounting	Controller
Owen Yocum	Project Manager	37	General Construction	Construction Management
Danny Howard	Safety Director	17	General Construction	Occupational Safety
Tim Courtnay	Engineer	20	General Construction	Civil Engineering
Kista Thomas, E.I.T.	Engineer	17	General Construction	Civil Engineering
John Whalen, E.I.T.	Engineer	16	General Construction	Civil Engineering
Seth Nutt, E.I.T.	Engineer	16	General Construction	Civil Engineering
Clinton Jones	Estimator	17	General Construction	Civil Engineering
Paul Lawrence	Project Management	16	General Construction	Construction Management
Jeanie Anness	Office Manager	36	Clerical	Construction Management
Darrell McGrew	Superintendent	46	General Construction	Office Manager
David Shackelford	Superintendent	44	General Construction	Construction Supervision
Randy Campbell	Superintendent	39	General Construction	Construction Supervision
Jimmie James	Superintendent	39	General Construction	Construction Supervision
Bill Robinson	Superintendent	37	General Construction	Construction Supervision
Ray Sandlin	Superintendent	37	General Construction	Construction Supervision
Dennis Scalf	Superintendent	35	General Construction	Construction Supervision
Guy Waters	Superintendent	34	General Construction	Construction Supervision
Rickey Raisor	Superintendent	31	General Construction	Construction Supervision
Jimmy Gregory	Superintendent	30	General Construction	Construction Supervision
Darryl Wells	Superintendent	30	General Construction	Construction Supervision
Steven Sandlin	Superintendent	28	General Construction	Construction Supervision
Steve Sullivan	Superintendent	22	General Construction	Construction Supervision
Macy Gibson	Superintendent	16	General Construction	Construction Supervision

Commonwealth of Kentucky
Trey Grayson, Secretary of State

4/9/2009

Division of Corporations
Business Filings

P. O. Box 718
Frankfort, KY 40602
(502) 564-2848
<http://www.sos.ky.gov>

Certificate of Existence

Authentication Number: 78947
Jurisdiction: Judy Construction Company
Visit <http://apps.sos.ky.gov/business/obdb/certvalidate.aspx> to authenticate this certificate.

I, Trey Grayson, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

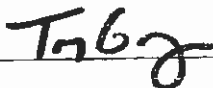
JUDY CONSTRUCTION COMPANY

is a corporation duly incorporated and existing under KRS Chapter 271B, whose date of incorporation is April 9, 1974 and whose period of duration is perpetual.

I further certify that all fees and penalties owed to the Secretary of State have been paid; that articles of dissolution have not been filed; and that the most recent annual report required by KRS 271B.16-220 has been delivered to the Secretary of State.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my Official Seal at Frankfort, Kentucky, this 9th day of April, 2009.




Trey Grayson
Secretary of State
Commonwealth of Kentucky
78947/0114048



P.O. BOX 457 CYNTHIANA, KENTUCKY 41031
Telephone (859) 234-6900 Fax (859) 234-3480
www.judyconstructionco.com

AFFIRMATIVE ACTION PROGRAM

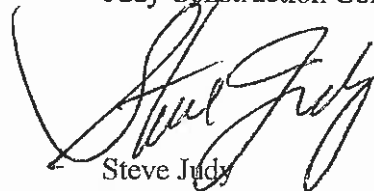
Judy Construction Company hereby adopts the following affirmative action program and agrees to its immediate implementation:

- Maintain a working environment free of harassment, intimidation, and coercion at all work sites and all facilities where our employees are assigned.
- Keep current listing of minority and female recruitment sources. When employment opportunities arise, provide written notification to these sources and other community organizations and maintain a record of the organizations' responses.
- Maintain current listing, including name, addresses and telephone numbers, of all minority and female applicants and what action was taken in regard to each individual.
- Immediately contact appropriate state and federal agencies if we believe any recruitment source has impeded our efforts to meet our minority and female obligations.
- Provide on-the-job apprenticeship training programs, approved by the U.S. Department of Labor, for minority and female employees that can lead to their advancement.
- Maintain a written Equal Employment Opportunity policy and conspicuously post Equal Employment Opportunity policy on all jobsite bulletin boards.
- Conduct an annual meeting with all supervisory employees to review Equal Employment Opportunity policy and affirmative action commitments.
- Include in all advertisements for employment the statement that we are an "Equal Opportunity Employer". Notify all subcontractors with whom we are working of our EEO commitment.
- Direct recruitment efforts to minority, female and community organizations, to schools with large minority and female enrollment and to minority and female recruitment and training organizations serving our area and employment needs.
- Encourage all employees to recruit any competent minority and female applicants with whom they are acquainted.
- Validate all selection requirements for advancement to ensure that they do not create adverse impact against minorities and females.



- Prepare, at least annually, an inventory and evaluation of all minority and female employees for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, such opportunities.
- Confirm that seniority practices, job classifications, work assignments and all other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that our EEO policy and affirmative action objectives are being carried out.
- Ensure all facilities and company activities shall be nonsegregated except that separate or single user toilet and necessary changing facilities shall be provided to assure privacy between sexes.
- Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including distributions of solicitations to minority and female contractor associations and other business associations.
- Conduct an annual review, of all supervisory personnel adherence to our EEO policy and affirmative action obligations.

Judy Construction Company

A handwritten signature in black ink, appearing to read "Steve Judy", is written over the printed name and title.

Steve Judy
President

BID BOND

Bond Number: Bid Bond

KNOW ALL MEN BY THESE PRESENTS, that we Judy Construction Company
as principal (the "Principal") and Continental Casualty Company

hereinto called Surety, are held and firmly bound unto

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
200 East Main Street, Third Floor
Lexington, Kentucky 40507

as obligee (the "Obligee"), in the penal sum of Five Percent of Total Amount Bid dollars
for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind
ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by
these presents.


WHEREAS, the Principal has submitted a bid for
Bid No. 109-2015 Lower Cane Run Wet Weather Storage

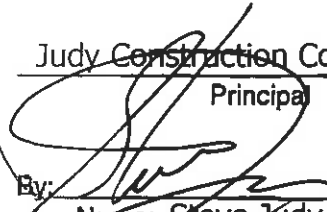
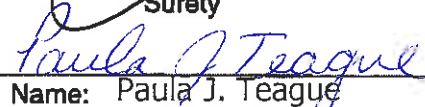
NOW, THEREFORE, if the Obligee shall accept the bid of the Principal within the period specified therein,
or, if no period be specified, within ninety (90) days after opening, and the Principal shall enter into a
contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may
be specified in the bidding or contract documents, or in the event of the failure of the Principal to enter into
such contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference in
money not to exceed the penal sum hereof between the amount specified in said bid and such larger
amount for which the Obligee may in good faith contract with another party to perform the work covered by
said bid, then this obligation shall be null and void; otherwise to remain in full force and effect. In no event
shall the liability hereunder exceed the penal sum thereof.


PROVIDED AND SUBJECT TO THE CONDITION PRECEDENT, that any claim by Obligee under this
bond must be submitted in writing by registered mail, to the attention of the Surety Law Department at the
address above, within 120 days of the date of this bond. Any suit under this bond must be instituted before
the expiration of one (1) year from the date of this bond. If the provisions of this paragraph are void or
prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of
the suit shall apply.

DATED as of this 3rd day of September, 2015.

WITNESS / ATTEST:


Principal (Secretary)
James K. Cowley, Secretary-Treasurer

Judy Construction Company
Principal
By:  (seal)
Name: Steve Judy
Title: President
Continental Casualty Company
Surety
By:  (seal)
Name: Paula J. Teague
Title: Atty-in-Fact

SEE ATTACHED POWER OF ATTORNEY
Surety (Secretary)
By: 
Monica A. Kaiser Witness

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That Continental Casualty Company, an Illinois insurance company, National Fire Insurance Company of Hartford, an Illinois insurance company, and American Casualty Company of Reading, Pennsylvania, a Pennsylvania insurance company (herein called "the CNA Companies"), are duly organized and existing insurance companies having their principal offices in the City of Chicago, and State of Illinois, and that they do by virtue of the signatures and seals herein affixed hereby make, constitute and appoint

Paula J. Teague , Individually

of Louisville, KY their true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on their behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

Surety Bond No.: Bid Bond
Principal: Judy Construction Company
Obligee: Lexington-Fayette Urban County Government

and to bind them thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of their insurance companies and all the acts of said Attorney, pursuant to the authority hereby given is hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law and Resolutions, printed on the reverse hereof, duly adopted, as indicated, by the Boards of Directors of the insurance companies.

In Witness Whereof, the CNA Companies have caused these presents to be signed by their Vice President and their corporate seals to be hereto affixed on this 22nd day of May, 2015.



Continental Casualty Company
National Fire Insurance Company of Hartford
American Casualty Company of Reading, Pennsylvania

Paul T. Bruflat

Paul T. Bruflat Vice President

State of South Dakota, County of Minnehaha, ss:

On this 22nd day of May, 2015, before me personally came Paul T. Bruflat to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of Continental Casualty Company, an Illinois insurance company, National Fire Insurance Company of Hartford, an Illinois insurance company, and American Casualty Company of Reading, Pennsylvania, a Pennsylvania insurance company described in and which executed the above instrument; that he knows the seals of said insurance companies; that the seals affixed to the said instrument are such corporate seals; that they were so affixed pursuant to authority given by the Boards of Directors of said insurance companies and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said insurance companies.



My Commission Expires February 12, 2021

S. Eich

S. Eich Notary Public

CERTIFICATE

I, D. Bult, Assistant Secretary of Continental Casualty Company, an Illinois insurance company, National Fire Insurance Company of Hartford, an Illinois insurance company, and American Casualty Company of Reading, Pennsylvania, a Pennsylvania insurance company do hereby certify that the Power of Attorney herein above set forth is still in force, and further certify that the By-Law and Resolution of the Board of Directors of the insurance companies printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said insurance companies this 3rd day of September, 2015.



Continental Casualty Company
National Fire Insurance Company of Hartford
American Casualty Company of Reading, Pennsylvania

D. Bult

D. Bult Assistant Secretary

Authorizing By-Laws and Resolutions

ADOPTED BY THE BOARD OF DIRECTORS OF CONTINENTAL CASUALTY COMPANY:

This Power of Attorney is made and executed pursuant to and by authority of the following resolution duly adopted by the Board of Directors of the Company at a meeting held on May 12, 1995:

"RESOLVED: That any Senior or Group Vice President may authorize an officer to sign specific documents, agreements and instruments on behalf of the Company provided that the name of such authorized officer and a description of the documents, agreements or instruments that such officer may sign will be provided in writing by the Senior or Group Vice President to the Secretary of the Company prior to such execution becoming effective."

This Power of Attorney is signed by Paul T. Bruflat, Vice President, who has been authorized pursuant to the above resolution to execute power of attorneys on behalf of Continental Casualty Company.

This Power of Attorney is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of the Company by unanimous written consent dated the 25th day of April, 2012:

"Whereas, the bylaws of the Company or specific resolution of the Board of Directors has authorized various officers (the "Authorized Officers") to execute various policies, bonds, undertakings and other obligatory instruments of like nature; and

Whereas, from time to time, the signature of the Authorized Officers, in addition to being provided in original, hard copy format, may be provided via facsimile or otherwise in an electronic format (collectively, "Electronic Signatures"); Now therefore be it resolved: that the Electronic Signature of any Authorized Officer shall be valid and binding on the Company. "

ADOPTED BY THE BOARD OF DIRECTORS OF NATIONAL FIRE INSURANCE COMPANY OF HARTFORD:

This Power of Attorney is made and executed pursuant to and by authority of the following resolution duly adopted by the Board of Directors of the Company by unanimous written consent dated May 10, 1995:

"RESOLVED: That any Senior or Group Vice President may authorize an officer to sign specific documents, agreements and instruments on behalf of the Company provided that the name of such authorized officer and a description of the documents, agreements or instruments that such officer may sign will be provided in writing by the Senior or Group Vice President to the Secretary of the Company prior to such execution becoming effective."

This Power of Attorney is signed by Paul T. Bruflat, Vice President, who has been authorized pursuant to the above resolution to execute power of attorneys on behalf of National Fire Insurance Company of Hartford.

This Power of Attorney is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of the Company by unanimous written consent dated the 25th day of April, 2012:

"Whereas, the bylaws of the Company or specific resolution of the Board of Directors has authorized various officers (the "Authorized Officers") to execute various policies, bonds, undertakings and other obligatory instruments of like nature; and

Whereas, from time to time, the signature of the Authorized Officers, in addition to being provided in original, hard copy format, may be provided via facsimile or otherwise in an electronic format (collectively, "Electronic Signatures"); Now therefore be it resolved: that the Electronic Signature of any Authorized Officer shall be valid and binding on the Company. "

ADOPTED BY THE BOARD OF DIRECTORS OF AMERICAN CASUALTY COMPANY OF READING, PENNSYLVANIA:

This Power of Attorney is made and executed pursuant to and by authority of the following resolution duly adopted by the Board of Directors of the Company by unanimous written consent dated May 10, 1995:

"RESOLVED: That any Senior or Group Vice President may authorize an officer to sign specific documents, agreements and instruments on behalf of the Company provided that the name of such authorized officer and a description of the documents, agreements or instruments that such officer may sign will be provided in writing by the Senior or Group Vice President to the Secretary of the Company prior to such execution becoming effective."

This Power of Attorney is signed by Paul T. Bruflat, Vice President, who has been authorized pursuant to the above resolution to execute power of attorneys on behalf of American Casualty Company of Reading, Pennsylvania.

This Power of Attorney is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of the Company by unanimous written consent dated the 25th day of April, 2012:

"Whereas, the bylaws of the Company or specific resolution of the Board of Directors has authorized various officers (the "Authorized Officers") to execute various policies, bonds, undertakings and other obligatory instruments of like nature; and

Whereas, from time to time, the signature of the Authorized Officers, in addition to being provided in original, hard copy format, may be provided via facsimile or otherwise in an electronic format (collectively, "Electronic Signatures"); Now therefore be it resolved: that the Electronic Signature of any Authorized Officer shall be valid and binding on the Company. "