

INVITATION TO BID

Bid Invitation Number: #158-2013

Date of Issue: 12/03/13

Sealed bids will be received in the office of the Division of Central Purchasing, 200 East Main Street, Lexington, Kentucky, until **2:00 PM**, prevailing local time on **12/17/2013**. Bids must be received by the above-mentioned date and time. Mailed bids should be sent to:

Division of Central Purchasing
200 East Main Street, Room 338
Lexington, KY 40507, (859) 258-3320

The Lexington-Fayette Urban County Government assumes no responsibility for bids that are not addressed and delivered as indicated above. Bids that are not delivered to the Division of Central Purchasing by the stated time and date will be rejected.

All bids must have the company name and address, bid invitation number, and the commodity/service on the outside of the envelope.

Bids are to include all shipping costs to the point of delivery located at: West Hickman WWTP

Bid Security Required: Yes No Performance Bond Required: Yes No
Cashier Check, Certified Check, Bid Bond (Personal checks and company checks will not be acceptable).

Quantity	Commodity/Service
Price Contract	Demolition of Residential Structures UPC

<u>Check One:</u> Bid Specifications Met Exceptions to Bid Specifications. <i>Exceptions shall be itemized and attached to bid proposal submitted.</i>	<u>Proposed Delivery:</u> _____ days after acceptance of bid.
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<u>Procurement Card Usage</u> Yes The Lexington-Fayette Urban County Government will be using Procurement Cards to purchase goods and services and also to make payments. Will you accept Procurement Cards? No
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Submitted by: Solid Rock Construction Services, LLC
Firm
1350 Galena Pike
Address
West Portsmouth, OH 45663
City, State & Zip

Bid must be signed:
(original signature)
Allison Whitaker President
Signature of Authorized Company Representative - Title
Allison Whitaker
Representative's Name (Typed or printed)
740-858-3111 740-858-1972
Area Code - Phone - Extension Fax #
Solidrockconst1@aol.com
E-Mail Address

The Affidavit in this bid must be completed before your firm can be considered for award of this contract.



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

William O'Mara
Commissioner

ADDENDUM #1

Bid Number: **158-2013**

Date: December 6, 2013

Subject: **Demolition of Residential Structures UPC**

Please address inquiries to:
Betty Landrum @ bettyb@lexingtonky.gov
859.258.3329

TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced bid. The question below was asked previously.

Questions	Answers
Will there be any need of Pedestrian Access Routes during demolition?	Public sidewalks should remain open as much as practicable. If the public sidewalk must be closed, a closure permit from the Division of Traffic Engineering must be obtained, at no cost to the OWNER. Permit application is available at http://www.lexingtonky.gov/Modules/ShowDocument.aspx?documentid=5730

Regarding the # of prospective houses, there is no guarantee of any properties being available for demolition during the term of this contract. Properties for demolition become available as capital projects develop and the need for the various Departments and Divisions within LFUCG to acquire the property (ies) is defined. It appears a minimum of two (2) properties will be available for demolition in calendar year 2014. Additional properties may be available depending on the progress of other capital projects.

PLEASE NOTE THAT THE BID OPENING DATE HAS BEEN CHANGED TO DECEMBER 18, 2013

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Solid Rock Construction

ADDRESS: 1350 Galena Pike West Portsmouth, OH 45663

SIGNATURE OF BIDDER: Allison W. Staker

AFFIDAVIT

Comes the Affiant, Allison Whitaker, and after being first duly sworn under penalty of perjury as follows:

1. His/her name is Allison Whitaker and he/she is the individual submitting the bid or is the authorized representative of Solid Rock Construction.

the entity submitting the bid (hereinafter referred to as "Bidder").

2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.

3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.

4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.

5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.

6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."

7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

Allison Whitaker

STATE OF Ohio
COUNTY OF Scioto

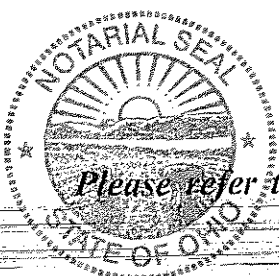
The foregoing instrument was subscribed, sworn to and acknowledged before me

by Allison Whitaker on this the 16th day of Dec, 2013.

My Commission expires: 9/24/2014

Heather Elliott
NOTARY PUBLIC, STATE AT LARGE

Heather L. Elliott
Notary Public, State of Ohio
My Commission Expires Sept. 24, 2014



Please refer to Section II. Bid Conditions, Item "U" prior to completing this form.

I. GREEN PROCUREMENT

A. ENERGY

The Lexington-Fayette Urban County Government is committed to protecting our environment and being fiscally responsible to our citizens.

The Lexington-Fayette Urban County Government mandates the use of Energy Star compliant products if they are available in the marketplace (go to www.Energystar.gov). If these products are available, but not submitted in your pricing, your bid will be rejected as non-compliant.

ENERGY STAR is a government program that offers businesses and consumers energy-efficient solutions, making it easy to save money while protecting the environment for future generations.

Key Benefits

- These products use 25 to 50% less energy
- Reduced energy costs without compromising quality or performance
- Reduced air pollution because fewer fossil fuels are burned
- Significant return on investment
- Extended product life and decreased maintenance

B. GREEN SEAL CERTIFIED PRODUCTS

The Lexington-Fayette Urban County Government is also committed to using other environmentally friendly products that do not negatively impact our environment. Green Seal is a non-profit organization devoted to environmental standard setting, product certification, and public education.

Go to www.GreenSeal.org to find available certified products. These products will have a reduced impact on the environment and on human health. The products to be used must be pre-approved by the LFUCG prior to commencement of any work in any LFUCG facility. If a Green Seal product is not available, the LFUCG must provide a signed waiver to use an alternate product. Please provide information on the Green Seal products being used with your bid response.

C. GREEN COMMUNITY

The Lexington-Fayette Urban County Government (LFUCG) serves as a principal, along with the University of Kentucky and Fayette County Public Schools, in the Bluegrass Partnership for a Green Community. The Purchasing Team component of the Partnership collaborates on economy of scale purchasing that promotes and enhances environmental initiatives. Specifically, when applicable, each principal is interested in obtaining best value products and/or services which promote environment initiatives via solicitations and awards from the other principals.

If your company is the successful bidder on this Invitation For Bid, do you agree to extend the same product/service pricing to the other principals of the Bluegrass Partnership for a Green Community (i.e. University of Kentucky and Fayette County Schools) if requested?

Yes No

II. Bid Conditions

- A. No bid may be withdrawn for a period of sixty (60) days after the date and time set for opening.
- B. No bid may be altered after the date and time set for opening. In the case of obvious errors, the Division of Central Purchasing may permit the withdrawal of a bid. The decision as to whether a bid may be withdrawn shall be that of the Division of Central Purchasing.
- C. Acceptance of this proposal shall be enactment of an Ordinance by the Urban County Council.
- D. The bidder agrees that the Urban County Government reserves the right to reject any and all bids for either fiscal or technical reasons, and to award each part of the bid separately or all parts to one vendor.
- E. Minor exceptions may not eliminate the bidder. The decision as to whether any exception is minor shall be entirely that of the head of the requisitioning Department or Division and the Director of the Division of Central Purchasing. The Urban County Government may waive technicalities and informalities where such waiver would best serve the interests of the Urban County Government.
- F. Manufacturer's catalogue numbers, trade names, etc., where shown herein are for descriptive purposes and are to guide the bidder in interpreting the standard of quality, design, and performance desired, and shall not be construed to exclude proposals based on furnishing other types of materials and/or services. However, any substitution or departure proposed by the bidder must be clearly noted and described; otherwise, it will be assumed that the bidder intends to supply items specifically mentioned in this Invitation for Bids.
- G. The Urban County Government may require demonstrations of the materials proposed herein prior to acceptance of this proposal.
- H. Bids must be submitted on this form and must be signed by the bidder or his authorized representative. Unsigned bids will not be considered.
- I. Bids must be submitted prior to the date and time indicated for opening. Bids submitted after this time will not be considered.
- J. All bids mailed must be marked on the face of the envelope:

“Bid on #158-2013 Demolition of Residential Structures UPC”

and addressed to: Division of Central Purchasing
 200 East Main Street, Room 338
 Lexington, Kentucky 40507

The Lexington-Fayette Urban County Government assumes no responsibility for bids that are not addressed and delivered as indicated above. Bids that are not delivered to the Division of Central Purchasing by the stated time and date will be rejected.

- K. Bidder is requested to show both unit prices and lot prices. In the event of error, the unit price shall prevail.
- L. A certified /cashier's check or Bid Bond in the amount of N/A percent of the bid price must be attached hereto. This check must be made payable to the Lexington-Fayette Urban County Government, and will be returned when the material and/or services specified herein have been delivered in accordance with specifications. In the event of failure to perform within the time period set forth in this bid, it is agreed the certified / cashier's check may be cashed and the funds retained by the Lexington-Fayette Urban County Government as liquidated damages. Checks of unsuccessful bidders will be returned when the bid has been awarded.
- M. The delivery dates specified by bidder may be a factor in the determination of the successful bidder.
- N. Tabulations of bids received may be mailed to bidders. Bidders requesting tabulations must enclose a stamped, self-addressed envelope with the bid.
- O. The Lexington-Fayette Urban County Government is exempt from Kentucky Sales Tax and Federal Excise Tax on materials purchased from this bid invitation. Materials purchased by the bidder for construction projects are not tax exempt and are the sole responsibility of the bidder.
- P. All material furnished hereunder must be in full compliance with OSHA regulations.
- Q. If more than one bid is offered by one party, or by any person or persons representing a party, all such bids shall be rejected.
- R. Signature on the face of this bid by the Bidder or his authorized representative shall be construed as acceptance of and compliance with all terms and conditions contained herein.
- S. The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.
- T. The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities - Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor - Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to*

45.640.

- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions above quoted to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

- U. Any party, firm or individual submitting a proposal pursuant to this invitation must be in compliance with the requirements of the Lexington-Fayette Urban County Government regarding taxes and fees before they can be considered for award of this invitation and must maintain a "current" status with regard to those taxes and fees throughout the term of the contract. The contractor must be in compliance with Chapter 13 from the Code of Ordinances of the Lexington-Fayette Urban County Government. The contractor must be in compliance with Ordinance 35-2000 pursuant to contractor registration with the Division of Building Inspection. If applicable, said business must have a Fayette County business license.

Pursuant to KRS 45A.343 and KRS 45A.345, the contractor shall

- (1) *Reveal any final determination of a violation by the contractor within the previous five year period pursuant to KRS Chapters 136 (corporation and utility taxes), 139 (sales and use taxes), 141 (income taxes), 337 (wages and hours), 338 (occupational safety and health of employees), 341 (unemployment and compensation) and 342 (labor and human rights) that apply to the contractor; and*
- (2) *Be in continuous compliance with the above-mentioned KRS provisions that apply to the contractor for the duration of the contract.*

A contractor's failure to reveal the above or to comply with such provisions for the duration of the contract shall be grounds for cancellation of the contract and disqualification of the contractor from eligibility for future contracts for a period of two (2) years.

- V. Vendors who respond to this invitation have the right to file a notice of contention associated with the bid process or to file a notice of appeal of the recommendation made by the Director of Central Purchasing resulting from this invitation.

Notice of contention with the bid process must be filed within 3 business days of the bid/proposal opening by (1) sending a written notice, including sufficient documentation to support contention, to the Director of the Division of Central Purchasing or (2) submitting a written request for a meeting with the Director of Central Purchasing to explain his/her contention with the bid process. After consulting with the Commissioner of Finance the Chief Administrative Officer and reviewing the documentation and/or hearing the vendor, the Director of Central Purchasing shall promptly respond in writing findings as to the compliance with bid processes. If, based on this review, a bid process irregularity is deemed to have occurred the Director of Central Purchasing will consult with the Commissioner of Finance, the Chief Administrative Officer and the Department of Law as to the appropriate remedy.

Notice of appeal of a bid recommendation must be filed within 3 business days of the bid recommendation by (1) sending a written notice, including sufficient documentation to support appeal, to the Director, Division of Central Purchasing or (2) submitting a written request for a meeting with the Director of Central Purchasing to explain his appeal. After reviewing the documentation and/or hearing the vendor and consulting with the Commissioner of Finance and the Chief Administrative Officer, the Director of Central Purchasing shall in writing, affirm or withdraw the recommendation.

III. Procurement Contract Bid Conditions

- A. The terms of this agreement shall be for 1 year(s) from the date of acceptance of this contract by the Lexington-Fayette Urban County Government. This agreement may be extended for an additional 3 (1) year renewals upon the written agreement of the bidder and the Lexington-Fayette Urban County Government. Said agreement must be in writing and must be executed prior to the expiration of the current agreement.

- B. Price Changes (Space Checked Applies)
 - (XXX) 1. Prices quoted in response to the Invitation shall be firm prices for the first 90 days of the Procurement Contract. After the first 90 days, prices may be subject to revision and such changes shall be based on general industry changes. Revision may be either increases or decreases and may be requested by either party. There will be no more than one (1) price adjustment per quarter. Requests for price changes shall be received in writing at least twenty (20) days prior to the effective date and are subject to written acceptance before becoming effective. Proof of the validity of a request for revision shall be responsibility of the requesting party. The Lexington-Fayette Urban County Government shall receive the benefit of any decline that the seller shall offer his other accounts.

 - () 2. No provision for price change is made herein. Prices are to be firm for the term of this contract.

 - () 3. Procurement Level Contract

- C. If any contract item is not available from the vendor, the Lexington-Fayette Urban County Government, at its option, may permit the item to be back-ordered or may procure the item on the open market.

- D. All invoices must bear reference to the Lexington-Fayette Urban County Government Purchasing document numbers which are being billed.

- E. This contract may be canceled by either party thirty (30) days after delivery by canceling party of written notice of intent to cancel to the other contracting party.

- F. This contract may be canceled by the Lexington-Fayette Urban County Government if it is determined that the Bidder has failed to perform under the terms of this agreement, such cancellation to be effective upon receipt of written notice of cancellation by the Bidder.

- G. No substitutions for articles specified herein may be made without prior approval of the Division of Central Purchasing.

GENERAL PROVISIONS OF BID CONTRACT

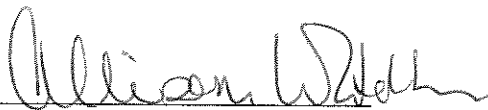
By signing the below, bidder acknowledges that it understands and agrees with the following provisions related to its bid response and the provision of any goods or services to LFUCG upon selection by LFUCG pursuant to the bid request:

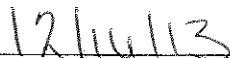
1. Bidder shall comply with all Federal, State & Local regulations concerning this type of service or good.
2. Failure to submit ALL forms and information required by LFUCG may be grounds for disqualification.
3. Addenda: All addenda, if any, must be considered by the bidder in making its response, and such addenda shall be made a part of the requirements of the bid contract. Before submitting a bid response, it is incumbent upon bidder to be informed as to whether any addenda have been issued, and the failure of the bidder to cover any such addenda may result in disqualification of that response.
4. Bid Reservations: LFUCG reserves the right to reject any or all bid responses, to award in whole or part, and to waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic needs.
5. Liability: LFUCG is not responsible for any cost incurred by bidder in the preparation of its response.
6. Changes/Alterations: Bidder may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the bid response, and received by LFUCG prior to the scheduled closing time for receipt of bids, will be accepted. The bid response when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of bid response".
7. Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from any bidder.
8. Bribery Clause: By his/her signature on its response, bidder certifies that no employee of his/hers, any affiliate or subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.
9. Additional Information: While not necessary, the bidder may include any product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the bid response. Additional documentation shall not serve as a substitute for other documentation which is required by the LFUCG to be submitted with the bid response.

10. Ambiguity, Conflict or other Errors: If a bidder discovers any ambiguity, conflict, discrepancy, omission or other error in the bid request of LFUCG, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
11. Agreement to Bid Terms: In submitting its bid response, the bidder agrees that it has carefully examined the specifications and all provisions relating to LFUCG's bid request, including but not limited to the bid contract. By submission of its bid response, bidder states that it understands the meaning, intent and requirements of LFUCG's bid request and agrees to the same. The successful bidder shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to bidder shall be authorized for services, expenses, or goods reasonably covered under these provisions that the bidder omits from its bid response.
12. Cancellation: LFUCG may unilaterally terminate the bid contract with the selected bidder(s) at any time, with or without cause, by providing at least thirty (30) days advance written notice unless a different advance written notice period is negotiated prior to contract approval. Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.
13. Assignment of Contract: The selected bidder(s) shall not assign or subcontract any portion of the bid contract with LFUCG without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
14. No Waiver: No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this bid proposal or bid contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
15. Authority to do Business: Each bidder must be authorized to do business under the laws of the Commonwealth of Kentucky and must be in good standing and have full legal capacity to provide the goods or services specified in the bid proposal. Each bidder must have all necessary right and lawful authority to submit the bid response and enter into the bid contract for the full term hereof including any necessary corporate or other action authorizing the bidder to submit the bid response and enter into this bid contract. If requested, the bidder will provide LFUCG with a copy of a corporate resolution authorizing this action and/or a letter from an attorney confirming that the proposer is authorized to do business in the Commonwealth of Kentucky. All bid responses must be

signed by a duly authorized officer, agent or employee of the bidder.

16. **Governing Law:** This bid request and bid contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this matter, the bidder agrees that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division and that the bidder expressly consents to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to these matters or any rights or obligations arising thereunder.
17. **Ability to Meet Obligations:** Bidder affirmatively states that there are no actions, suits or proceedings of any kind pending against bidder or, to the knowledge of the bidder, threatened against the bidder before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of bidder to perform its obligations under this bid response or bid contract, or which question the legality, validity or enforceability hereof or thereof.
18. Bidder understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Bidder is an independent contractor at all times related to the bid response or bid contract.
19. If any term or provision of this bid contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.


Signature


Date

PART III

Invitation to Bid No. 158-2013

Demolition of Residential Structures UPC

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: December 18th 2013

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Solid Rock Construction Services

1350 Galena Pike W. Ports, OH

(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Ohio, doing business as Solid Rock Construction Services LLC "a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **Demolition of Residential Structures UPC** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 12/6/13

Addendum No. _____ Date _____

Addendum No. _____ Date _____

Addendum No. _____ Date _____

Addendum No. _____ Date _____

Addendum No. _____ Date _____

Addendum No. _____ Date _____

Addendum No. _____ Date _____

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. LEGAL STATUS OF BIDDER

Bidder Solid Rock Construction Services

Date December 16th 2013

* 1. A corporation duly organized and doing business under the laws of the State of Ohio, for whom Allison Whitaker, bearing the official title of President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

N/A

* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

N/A

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. BIDDERS AFFIDAVIT

Comes the Affiant, Allison Whitaker, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Allison Whitaker and he/she is the individual submitting the bid or is the authorized representative of Solid Rock Construction Services, the entity submitting the bid (hereinafter referred to as "Bidder").
 2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
 3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
 4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
 5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
 6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
 7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.
- Further, Affiant sayeth naught.

Allison Whitaker
(Affiant)

STATE OF Ohio

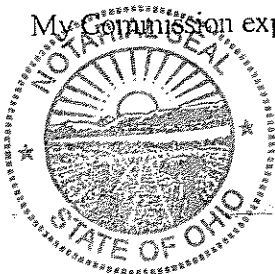
COUNTY OF Scioto

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Allison Whitaker on this the 16th day of Dec, 2013.

My Commission expires:

9/24/2014



Heather L. Elliott
Notary Public, State of Ohio
My Commission Expires Sept. 24, 2014

Heather Elliott
NOTARY PUBLIC, STATE AT LARGE

4. BID SCHEDULE – SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity), if applicable, per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers per line item.

The contract, if awarded, will be on the basis of materials and equipment specified in the specifications without consideration of possible substitute or "or equal" items.

	Unit	Description w/Unit Bid Price Written In Words	Unit Price
1.	SF	Masonry Structure for <u>four</u> Dollars <u>fourty-eight</u> Cents Per Square Foot of Floor Area	\$ 4.48
2.	SF	Frame Structure for <u>four</u> Dollars <u>fourty-eight</u> Cents Per Square Foot of Floor Area	\$ 4.48
3.	SY	Concrete Driveway & Sidewalk/ Walkway Removal <u>Seven</u> Dollars <u>Seventy-five</u> Cents Per Square Yard	\$ 7.75
4.	SY	Bituminous & Gravel Driveway & Sidewalk/ Walkway Removal <u>Seven</u> Dollars <u>lightly</u> Cents Per Square Yard	\$ 7.80
5.	EA	Septic Tank Disposal (if necessary) <u>five hundred</u> Dollars <u>00</u> Cents Each	\$ 500.00
6.	CY	Top Soil <u>Twenty</u> Dollars <u>00</u> Cents Per Cubic Yard	\$ 20.00

	Unit	Description w/Unit Bid Price Written In Words	Unit Price
7.	TON	No. 57 Crushed Stone <i>Twenty</i> Dollars 2 Cents Per Ton	\$ <u>20.00</u>
8.	SY	4 1/2 inch concrete sidewalk Construction <i>Nineteen</i> Dollars 0 Cents Per Square Yard	<u>98.00</u>
9.	LF	Concrete Curb Construction <i>Twentyfour</i> Dollars 0 Cents Per Linear Foot	<u>24.00</u>
10.	LS	Mobilization, Five Hundred Dollars, zero Cents Per Lump Sum	\$ <u>500.00</u>

Submitted by: Solid Rock Construction
 Firm

1350 Galena Pike
 Address

West Portsmouth, OH 45203
 City, State & Zip

**Bid must be signed:
 (original signature)**

Allison Whitaker, President
 Signature of Authorized Company Representative - Title

Allison Whitaker
 Representative/s Name (Typed or Printed)

740-858-3111 740-858-1972
 Area Code - Phone - Extension Fax #

Solidrockconst@aol.com
 E-Mail Address

OFFICIAL ADDRESS:

Solid Rock Construction

1350 Galena Pike

W. Ports., OH 45663

_____ (Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Solid Rock Construction Services, LLC
- 2. Permanent Place of Business: 1350 Galena Pike West Portsmouth OH
45663
- 3. When Organized: July 20th 2006
- 4. Where Incorporated: 1350 Galena Pike W. Port., OH 45663
- 5. Construction Plant and Equipment Available for this Project:

Excavator

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Solid Rock Construction (Surety)
Signed: Deborah White (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
See Attached Portfolio		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
City of Portsmouth	Portsmouth, OH	
Ironton Lawrence CAO	Ironton, OH	
Hometown Development	Morgantown, WV	
Hometown Development	Clarksburg, WV	

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
Bill Whitaker	Project Supervisor Operator / Driver	20+ yrs
Jason Dunham	Operator / Driver	2 yrs

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
<u>N/A</u>	<u>_____</u>	<u>_____</u>	<u>_____</u>
<u>Solid Rock Construction</u>	<u>is a certified</u>	<u>_____</u>	<u>_____</u>
<u>WBE and will perform</u>	<u>100% of the</u>	<u>_____</u>	<u>_____</u>
<u>contracted work.</u>	<u>_____</u>	<u>_____</u>	<u>_____</u>
<u>_____</u>	<u>_____</u>	<u>_____</u>	<u>_____</u>
<u>_____</u>	<u>_____</u>	<u>_____</u>	<u>_____</u>
<u>_____</u>	<u>_____</u>	<u>_____</u>	<u>_____</u>

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u> <u>Yes/No</u>	<u>% of Work</u>
1. <u>N/A</u>	Name: _____	_____	_____
	Address: _____		
2. _____	Name: _____	_____	_____
	Address: _____		
3. _____	Name: _____	_____	_____
	Address: _____		
4. _____	Name: _____	_____	_____
	Address: _____		
5. _____	Name: _____	_____	_____
	Address: _____		
6. _____	Name: _____	_____	_____
	Address: _____		
7. _____	Name: _____	_____	_____
	Address: _____		

(Attach additional sheet(s) if necessary.)

7. **LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE) and Disadvantaged (DBE) Business Enterprises as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned or Woman-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned or Woman-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
 - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
 - b. Included documentation of advertising in the above publications with the bidders good faith efforts package

- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- f. Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- j. Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a

MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Marilyn Clark
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
mclark@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

"A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises..."

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs in Economic Engine (<https://lfucg.economicengine.com>)

Business	Contact	Email Address	Phone
LFUCG	Marilyn Clark	mclark@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	tyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Sonya Brown	sbrown@tsmsdc.com	502-625-0137
Small Business Development Council	Dee Dee Harbut UK SBDC	ddharbut@uky.edu	
	Shirce Mack	smack@uky.edu	
Community Ventures Corporation	James Coles	jcoles@cvky.org	859-231-0054
KY Department of Transportation	Melvin Bynes	Melvin.bynes@ky.gov	502-564-3601
	Shella Eagle	Shella.Eagle@ky.gov	502-564-3601
Ohio River Valley Women's Business Council (WBENC)	Rea Waldon	rwaldon@gcul.org	513-487-6534
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner's Council (NWBOC)	Janet Harris-Lange	janet@nwbo.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozdeky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	paatricem@keynewsjournal.com	859-373-9428



LFUCG MWDBE PARTICIPATION FORM
 Bid/RFP/Quote Reference # 158-2013

The MWDBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. N/A			
2.	Solid Rock Construction is a certified WBE and will perform 100% of the contracted work.		
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Solid Rock Construction
 Company
12/16/13
 Date

Allison W. Dale
 Company Representative
President
 Title



LFUCG MWDBE SUBSTITUTION FORM
 Bid/RFP/Quote Reference # 158-2013

The substituted MWDBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. N/A					
2. Solid Rock Construction is a certified WBE and will perform 100% of the contracted work.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Solid Rock Construction
 Company
12/16/13
 Date

Allison W. [Signature]
 Company Representative
President
 Title



MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 158-2013

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

Company Name <u>Solid Rock Construction</u>	Contact Person <u>Heather Elliott</u>
Address/Phone/Email <u>1350 Galena Pike W. Parts 0445663 740-858-3111 Solidrockconst@aol.com</u>	Bid Package / Bid Date <u>Demolition of Residential Structures UPC 12/18/13</u>

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
<u>N/A</u>							
				<u>Solid Rock Construction is a certified</u>			<u>WBE</u>
				<u>and will perform 100% of the contracted work.</u>			

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Solid Rock Construction
Company
12/16/13
Date

Allison White
Company Representative
President
Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 158-2013

Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #: Demolition of Residential Structures 477	Work Period/ From: _____ To: _____
Company Name: Solid Rock Construction Services, LLC	Address: 1350 Galeana Pike W. P.O. Box 450663
Federal Tax ID: 20-5186281	Contact Person: Heather Elliott

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date
N/A							
	Solid Rock Construction is a certified WBE and will perform 100% of the contracted work.						

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Solid Rock Construction
Company
12/16/13
Date

Allison Whelan
Company Representative
President
Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 158-2013

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE business enterprises on the project and can supply the appropriate documentation.

_____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.

_____ Included documentation of advertising in the above publications with the bidders good faith efforts package

Attended LFUCG Central Purchasing Economic Inclusion Outreach event

Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities

_____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms

_____ Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).

_____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

_____ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

_____ Followed up initial solicitations by contacting MWDBEs to determine their level of interest.

_____ Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.

_____ Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce

- _____ Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- _____ Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- _____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.
- _____ Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- _____ Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.
- Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Solid Rock Construction
Company

Allison W. [Signature]
Company Representative

12/16/13
Date

President
Title

8. AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: Allison Whitaker

POSITION/TITLE: President

STATEMENT OF EXPERIENCE: See Attached resume

NAME OF INDIVIDUAL: Bill Whitaker

POSITION/TITLE: Project manager

STATEMENT OF EXPERIENCE: See Attached resume

NAME OF INDIVIDUAL: Jason Dunham

POSITION/TITLE: Supervisor

STATEMENT OF EXPERIENCE: See Attached resume

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

ALLISON R. WHITAKER

40 South Nile Lane, Stout, OH 45684
740-858-0700
Solidrockconst1@aol.com

.....
QUALIFICATIONS
.....

PRESIDENT

Seven years' experience with being a women owned demolition and asbestos contractor, Ohio & Kentucky Asbestos Abatement Supervisor, payroll, accounts payable & receivable. Member of WBE, EDGE Certification, HubZone, BWC & BWC Drug Free Workplace Program, Better Business Bureau, Portsmouth Area Chamber of Commerce, Southern Ohio Safety Council Safety performance Award, Received Gold Recognition in the LEED Program.

.....
EDUCATION, PROFESSIONAL REGISTRATION
.....

Administration Medical Assistant

Certificate of Completion — *Scioto County Joint Vocational School*

General

Diploma — *West High School*
.....

PROFESSIONAL EXPERIENCE
.....

President

2010-Present

Solid Rock Construction Solutions, LLC., West Portsmouth, OH

- Demolition Contractor
- Manage financial and accounting functions

President

2006-Present

Solid Rock Construction Services, LLC., West Portsmouth, OH

- Demolition Contractor
- Asbestos Contractor
- OH & KY Asbestos Abatement Contractor
- Manage financial and accounting functions

Office Manager

2004-2006

Shawnee Forestry Products & Land Development, West Portsmouth, OH

- Manage financial and accounting functions
- Customer Service
- Prepare Bid Documents

Bill Whitaker

40 S. Nile Lane • Stout, OH 45684 • (740)858-0700 / (740) 352-3111 • solidrockconst1@aol.com

CONSTRUCTION MANAGER / ON-SITE PROJECT MANAGER

I have been self employed since 1987 and a construction manager with over a 20-year record of success overseeing all phases of construction, infrastructure, superfund and environmental projects for government and private-sector clients. Experience includes managing crews of up to 100 in bridge improvements, asbestos abatements, and a variety of other construction/demolition projects. Backed by strong credentials and a proven history of on-time, on-budget and high-quality project completions without any lost time accidents.

Key Skills

- Construction/Demolition Projects
- Asbestos Testing/Abatement Projects
- Infrastructure Improvement Projects
- Environmental Remediation Projects
- QA/QC/Field Engineering
- Change Order Management
- Budgeting & Cost Controls
- Bidding/Estimating/Proposals
- Subcontractor/Crew Supervision
- Heavy Equipment Operator
- Class A CDL Holder
- Site Safety/OSHA Compliance

Employer Summary

SOLID ROCK CONSTRUCTION (West Portsmouth, OH) — **Construction Project Manager**, 7/2006 to Present

Established asbestos abatement operations at Solid Rock Construction. I have worked to build a solid reputation in the construction industry specializing in or as senior estimator, bidding procedures, demolition, asbestos abatement, lead abatement, excavation & site work. On a daily basis, I am responsible for overseeing and managing all workforce & manpower operations for Solid Rock Construction. I continue to strive to add to the services offered by Solid Rock Construction.

Eastern Kentucky University (2012 to Present) • **Budgets: \$537,000.00**

Served as general contractor for the demolition and building debris separation of multiple buildings. Installed erosion control materials, site utilities, new walks and curbs as well as site lighting, and blacktop parking and drives.

D & D Portsmouth Environmental Remediation (2011 to 2012) • **Budgets: \$198,000 +**

Prepared a proposal for asbestos abatement for the X333 & X-720 buildings for the DOE. Managed and overseen asbestos abatement crews.

Currently preparing a proposal for the asbestos abatement of the X-100 building for the DOE.

Bracken County Judicial Center Phase I & II (2010 to Present) • **Budgets: \$655,000.00**

Served as general contractor for the removal of asbestos abatement, demolition and mass excavation. Installed erosion control materials, site utilities and building debris separation and removal.

Bluegrass Career Technical College (2010 to 2011) • **Budgets: \$538,250.00**

Served as general contractor for the removal of asbestos abatement and demolition of the building. Overseen the daily operations of uncovering the deceased caskets to be moved to a new location. Recycled all materials of said building to appropriate facilities.

Bluegrass Station (2010 to 2011) • **Budgets: \$240,000.00**

Served as general contractor and constructed a new vehicle tactical road for the Department of Military Affairs.

Ironton Middle School (2010 to 2011) • **Budgets: \$737,000.00**

Served as general contractor for the removal of asbestos abatement and the demolition of Ironton Middle School. Installed erosion control materials, site utilities, new walks and curbs as well as site lighting, landscaping and blacktop parking and drives.

Ohio Department of Transportation (2007 to 2010) • **Budgets: \$219,000.00**

Completed diverse demolitions and asbestos abatements of commercial and residential properties and erosion control for the 823 Bypass project.

Education & Certifications

NORTHWEST HIGH SCHOOL (McDermott, OH) — **Diploma**, 1985
Asbestos Management Planner – OH License, 2006 to Present
Asbestos Building Inspector – OH License, 2006 to Present
Asbestos Abatement Project Supervisor – OH License, 2006 to Present
Asbestos Management Planner & Inspector – KY License, 2008 to Present
Asbestos Abatement Project Supervisor – KY License, 2008 to Present
Lead Abatement Contractor – OH License, 2011 to Present
Asbestos Management Planner & Inspector – WV License, 2011 to Present
Asbestos Abatement Project Supervisor – WV License, 2011 to Present
OSHA 30, CPR & First Aide Certified

Jason L. Dunham

2502 Pollock Road ■ McDermott, OH 45652 ■ 740-259-6157 ■ 740-352-1000

Construction General Foreman

General Foreman overseeing all phases of construction /demolition and asbestos abatement for residential, commercial and government projects.

Construction Skills

- | | | |
|----------------------|----------------------------|-------------------|
| ■ General Foreman | ■ Heavy Equipment Operator | ■ OSHA Compliance |
| ■ Asbestos Abatement | ■ Site Safety | ■ Plumbing |
| ■ Demolition | ■ Class A CDL Holder | ■ Block Work |
-

Experience

Solid Rock Construction – West Portsmouth, OH

General Foreman, 2011 to Present

As General Foreman my duties are as followed: direct and oversee employees on a daily basis for asbestos abatement and on construction/demolition projects and project setup. **Selected Achievements:**

- Asbestos Hazard Abatement Specialist OH License 2012 to Present
 - OSHA 30
 - Asbestos jobs I have supervised, performed personal air monitoring, etc.
 - Shawnee State University – Portsmouth, OH
 - Scioto Group Homes – Lucasville, OH *
 - Blake Pharmacy – Manchester, OH
 - Ironton-Lawrence CAO – Ironton, OH
 - City of Portsmouth – Portsmouth, OH
 - Scioto County Commissioners – Scioto Co., OH
 - Pike County CAC – Pike Co., OH *
 - LFUCG – Lexington, KY
 - City of Milford – Milford, OH
 - Osborne Cleaners – Portsmouth, OH
 - Southern Ohio Medical Center – Portsmouth, OH
- * Full containment, removal of plaster, ceilings, wall boards and walls

ODYS/Ohio River Valley – Franklin Furnace, OH

Correction Officer, 2008 to 2010

Juvenile Correction Officer

- Supervised juvenile felony offenders on a daily basis
- Responding to emergency situations
- Maintain safety and security of the facility

MPRI – Stationed in Baghdad, Iraq

Correction Officer Trainer, 2007 to 2008

International Correctional Officer Trainer

- Assist the Iraqi Prison Warden with the adherence policy and procedure to bring up to international standards
- Train prison staff in human rights, safety, sanitation, escape prevention and a variety of related elements in the correction field

Ohio Department of Rehabilitation and Correction – Ohio
Correction Officer, 1999 to 2007

Correction Officer

- Supervised adult felony offenders on a daily basis
 - Responding to emergency situations
 - Maintain safety and security of the facility
 - Instructed training classes
-

Education & Certifications

PORTSMOUTH WEST HIGH – WEST PORTSMOUTH, OH ■ General Studies, 1992

GREAT LAKES NAVAL TRAINING ACADEMY – TRAVERSE CITY, OH ■ Firearm Training, 1993

OHIO DEPARTMENT OF CORRECTIONS TRAINING ACADEMY – ORIENT, OH ■ Corrections Officer, 1999

OSHA 30 – PORTSMOUTH, OH ■ 2011

ASBESTOS HAZARD ABATEMENT SPECIALIST ■ OH License, 2012 to Present

10. EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.

- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

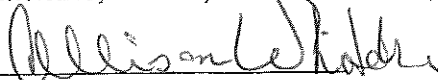
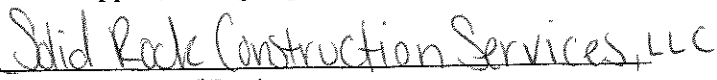
The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Signature Name of Business

11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Solid Rock Construction Services, LLC

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: Solid Rock Construction Services Date: 12 / 16 / 13

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators	1		1						1
Professionals									
Superintendents	1	1						1	
Supervisors	4	4						4	
Foremen									
Technicians									
Protective Service									
Para-Professionals									
Office/Clerical	3		3						3
Skilled Craft	17	17						17	
Service/Maintenance	3	3						3	
Total:	29	25	4					25	4

Prepared By: Heather Elliott, Office Manager

See Attached!

13. EVIDENCE OF INSURABILITY

LXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: _____ Employee ID: _____

Address: _____ Phone: _____

Project to be insured: "#158-2013 Demolition of Residential Structures UPC"

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - sec provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$			
SC-3, Section 2, Part 4.1 - sec provisions	AUTO	\$2,000,000/per occ.	\$			
SC-3, Section 2, Part 4.1 - sec provisions	WC	Statutory w/endorsement as noted	\$			

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Agency or Brokerage _____ Name of Authorized Representative _____

Street Address _____ Title _____

City _____ State _____ Zip _____ Authorized Signature _____

Telephone Number _____ Date _____

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

ACORD CERTIFICATE OF LIABILITY INSURANCE DATE (MM/DD/YY) 12/05/13

PRODUCER
 MARKET FINDERS INSURANCE CORPORATION
 9117 LEESGATE ROAD
 LOUISVILLE, KY 40222

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

INSURED
 SOLID ROCK CONSTRUCTION SERVICES, LLC
 c/o ALLISON & BILL WHITAKER
 1350 GALENA PIKE
 WEST PORTSMOUTH, OH 45663

INSURERS AFFORDING COVERAGE	NAIC #
INSURER A: UNITED SPECIALTY	
INSURER B	
INSURER C	
INSURER D	
INSURER E	

COVERAGES
 THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM, OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	ADD'L INSRD	TYPES OF INSURANCE	POLICY NUMBER	11/ 23/ 13	11/ 23/ 14	LIMITS	
A	X	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> STOP GAP - INCLUDED GEN'L AGGREGATE LIMIT APPLIES PER <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC	USA4016272			EACH OCCURRENCE	\$ 4,000,000
						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 100,000
						MED EXP (ANY ONE PERSON)	\$ 5,000
						PERSONAL & ADV INJURY	\$ 4,000,000
						GENERAL AGGREGATE	\$ 5,000,000
						PRODUCTS-COMP/OPO AGG	\$ 5,000,000
		AUTOMOBILE LIABILITY				COMBINED SINGLE LIMIT (Ea accident)	\$
		<input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS				BODILY INJURY (PER PERSON)	\$
						BODILY INJURY (PER ACCIDENT)	\$
						PROPERTY DAMAGE (PER ACCIDENT)	\$
		GARAGE LIABILITY				AUTO ONLY - EA ACCIDENT	\$
		<input type="checkbox"/> ANY AUTO <input type="checkbox"/> -				OTHER THAN EA ACC	\$
						AUTO ONLY: AGG	\$
		EXCESS/UMBRELLA LIABILITY				EACH OCCURANCE	\$
		<input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> DEDUCTIBLE <input type="checkbox"/> RETENTION				AGGREGATE	\$
							\$
							\$
							\$
		WORKERS COMPENSATION AND EMPLOYERS' LIABILITY				WC STATUTORY LIMITS	\$
		ANY PROPRIETOR/ PARTNER/ EXECUTIVE OFFICER/ MEMBER EXCLUDED? If yes, describe under SPECIAL PROVISIONS below				OTHER	\$
						E.L. EACH ACCIDENT	\$
						E.L. DISEASE - EA EMPLOYEE	\$
						E.L. DISEASE - POLICY LIMIT	\$
		OTHER					

DESCRIPTION OF OPERATIONS/ LOCATIONS/ VEHICLES/ EXCLUSIONS ADDED BY ENDORSEMENT/ SPECIAL PROVISIONS
DEMOLITION CONTRACTOR

CERTIFICATE HOLDER
 LEXINGTON FAYETTE
 URBAN COUNTY GOVERNMENT
 200 EAST MAIN STREET
 LEXINGTON, KY 40507

CANCELLATION
 SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 10 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE


IMPORTANT

If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

DISCLAIMER

The Certificate of Insurance on the reverse side of this form does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend or alter the coverage afforded by the policies listed hereon.

14. DEBARRED FIRMS

PROJECT NAME: ¹¹ Demolition of Residential Structures UPC
BID NUMBER: 158-2013

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Solid Rock Construction has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Solid Rock Construction Services, LLC
Name of Firm Submitting Bid

Allison White
Signature of Authorized Official

President
Title

12/16/13
Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Solid Rock Construction

Project: "Demolition of Residential Structures UPC"

Printed Name and Title of Authorized Representative: Allison Whitaker, President

Signature: Allison Whitaker

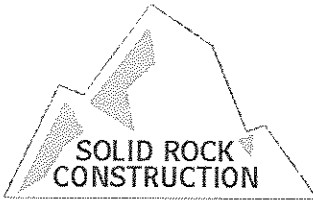
Date: 12/16/13

END OF SECTION

CERTIFICATE OF INSURANCE

DATE 12/13/2013

PRODUCER Kemi Direct 250 W Main Street Ste 900 Lexington KY 405071754 859-425-7800		THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.			
INSURED Solid Rock Construction Services Llc 1350 Galena Pike West Portsmouth, OH 45663		INSURER AFFORDING COVERAGE Kentucky Employers Mutual Insurance Lexington Financial Center 250 West Main Street, Suite 900 Lexington, KY 40507 (859) 425-7800 Fax (859) 425-7822			
COVERAGE					
THIS IS TO CERTIFY THAT THE POLICY OF INSURANCE LISTED BELOW HAS BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED AND IS IN FORCE ON THE DATE THIS CERTIFICATE IS ISSUED. NOTWITHSTANDING ANY REQUIREMENTS, TERMS OR CONDITIONS OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICY LISTED BELOW IS SUBJECT TO ALL OF THE TERMS, EXCLUSIONS AND CONDITIONS OF THE POLICY. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.					
TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE	POLICY EXPIRATION DATE	LIMITS	
WORKERS COMPENSATION AND EMPLOYERS' LIABILITY	365144	8/22/2013	8/22/2014	WC STATUTORY LIMITS	
				EL EACH ACCIDENT	\$1,000,000
				EL DISEASE-POLICY LIMIT	\$1,000,000
				EL DISEASE-EA EMPLOYEE	\$1,000,000
CERTIFICATE HOLDER		CANCELLATION			
Lfucg 200 E. Main Street Lexington, KY 40507 859-425-2255		SHOULD THE ABOVE DESCRIBED POLICY BE CANCELLED BEFORE THE EXPIRATION DATE KEMI WILL NOT PROVIDE WRITTEN NOTICE TO THE CERTIFICATE HOLDER. THIS CERTIFICATE SHALL NOT IMPOSE ANY OBLIGATION OR LIABILITY OF ANY KIND UPON KEMI OR ITS REPRESENTATIVES.			



Solid Rock Construction Services, LLC
1350 Galena Pike
West Portsmouth, Ohio 45663

Phone: 740-858-3111
Fax: 740-858-1972

Solid Rock Construction Services, LLC, a licensed Demolition and Asbestos Contractor, started business in July 2006 as a demolition contractor. Allison Whitaker, owner of the company along with her husband Bill, have worked to expand the company since that time. Solid Rock Construction Services now specializes in demolition as well as asbestos abatement testing, asbestos abatement removal, site development, excavation, and trucking.

Solid Rock Construction Services operates from the original location on Galena Pike in West Portsmouth, Ohio. Operating twelve dump trucks, six tractor trailers, as well as a large variety of excavating equipment, Solid Rock Construction is more than equipped to perform almost any project need.

The company, is a licensed Asbestos Abatement contractor in Ohio, Kentucky and West Virginia. A total of thirteen asbestos abatement certifications are currently held by the company and employees combined. Performing abatement testing and/or removal on numerous projects, including schools, city governments, etc., Solid Rock Construction comes very highly recommended.

Allison Whitaker coordinates operations in the office with three other office personnel. Project site operations are overseen by Bill Whitaker. Solid Rock Construction has highly qualified supervisors who are in charge of daily operations on various types of projects. 7 employees currently hold a class A commercial driver's license and operate tractor trailers for the purposes of hauling equipment, hauling demolition debris to landfills, etc. Solid Rock Construction employees 6 equipment operators who are highly qualified to operate the variety of heavy equipment owned by the company, including excavators, loaders, etc.

Solid Rock Construction Services takes pride in the fact that there has never been a loss time accident since the company began in 2006.

Solid Rock Construction Services, LLC holds certifications, are members or have been recognized for the following:

- BWC certification
- BWC Drug Free Workplace program
- WBE (Women Owned Business) certification
- EDGE (Encouraging Diversity, Growth and Equity Program) certification
- HubZone
- NFIB member
- Better Business Bureau member
- Portsmouth Area Chamber of Commerce member
- Southern Ohio Safety Council safety performance award
- Received *Gold* Recognition in the LEED program for recycling efforts performed on the Clark Montessori School in Cincinnati, Ohio.

Asbestos Abatement Projects

Client Name: City of Ironton
Client Address: 301 S. Third Street, Ironton, Ohio
Phone: 740-532-0198
Job Description: Asbestos Testing & Removal
Job Locations: **City of Ironton (Residential Structures)**
Start Date: 2006 to Present

Client Name: Ironton-Lawrence CAO
Client Address: 305 North 5th Street, Ironton, Ohio
Phone: 740-532-3534
Job Description: Asbestos Testing & Removal
Job Locations: **Lawrence County (Residential Structures)**
Start Date: 2006 to Present

Client Name: City of Portsmouth
Client Address: 728 Second Street, Portsmouth, OH 45662
Phone: 740-354-7557
Job Description: Asbestos Testing & Removal
Job Locations: **City of Portsmouth (Residential Structures)**
Start Date: 2008 to Present

Client Name: Christine Scott
Client Address: 6057 Carey's Run Pond Creek Rd., West Portsmouth, OH 45663
Client Phone: 740-351-0981
Job Description: Asbestos Removal
Job Location: **Columbia Music Hall, 832 Gallia Street, Portsmouth, OH 45662**
Date of Completion: May 2, 2008

Client Name: Ohio Department of Transportation
Client Address: 650 Eastern Avenue, Chillicothe, OH 45601
Client Phone: 740-774-8909
Job Description: Asbestos Removal
Job Location: **McHenry Schoolhouse, 315 State Route 140, Portsmouth, OH 45662**
Date of Completion: April 25, 2008

Client Name: City of Loveland Ohio
Client Address: 120 W. Loveland Avenue, Loveland, OH 45140
Client Phone: 513-683-0150
Job Description: Asbestos Removal 2 Structures
Job Location: **104 and 122 Broadway Street, Loveland, OH 45140**
Date of Completion: August 11, 2008

Client Name: Wheelersburg Local School District
Client Address: 620 Center Street, Wheelersburg, OH 45694
Construction Manager: Bovis Lend Lease, 800 Pirate Dr., Wheelersburg, OH 45694
Phone: 740-574-8490
Job Description: Asbestos Removal
Job Locations: **Wheelersburg High School, 701 Pirate Dr., Wheelersburg, OH 45694**
Wheelersburg Elementary, 1731 Dogwood Ridge, Wheelersburg, OH 45694
Start Date: October 2008

Client Name: Ohio Department of Transportation
Client Address: 650 Eastern Avenue, Chillicothe, OH 45601
Client Phone: 740-774-8909
Job Description: Asbestos Testing & Removal
Job Location: **31 Residential Structures**
Date of Completion: April 20, 2009

Client Name: The City of Ironton
Client Address: 301 S. Third Street, Ironton, Ohio
Phone: 740-532-0198
Job Locations: **Veterans Memorial Hall, Railroad Street, Ironton, Ohio 45638**
Start Date: December, 2009

Client Name: The City of Ironton
Client Address: 301 S. Third Street, Ironton, Ohio
Phone: 740-532-0198
Job Description: Asbestos Testing & Removal
Job Locations: **Ro-Na Theatre, 310 – 314 S. Third Street, Ironton, Ohio 45638**
Start Date: February, 2009

Client Name: Bracken Co. Fiscal Court
Client Address: 116 W, Miami Street, Brooksville, KY 41001
Phone: 606-735-2300
Job Description: Asbestos Removal
Job Locations: **Bracken Co. Judicial Center – 116 W. Miami Street, Brooksville, KY 41004**
Start Date: February, 2010

Client Name: Portsmouth Gaseous Diffusion Plant D&D Portsmouth
Client Address: US RT 23, Piketon, OH 45661
Phone: 740-443-7924
Job Description: Asbestos Removal
Job Locations: **Portsmouth Gaseous Diffusion Plant D&D Portsmouth –
US RT 23, Piketon, OH 45661**
Start Date: August, 2011

Client Name: Clay Local School District
Client Address: 44 Clay High Street, Portsmouth, OH 45662
Phone: 740-654-6645
Job Description: Asbestos Removal
Job Locations: **Clay Local Schools 44 Clay High Street, Portsmouth, OH 45662
(Clay High School, Rosemount and Rubyville Elementary Schools)**
Start Date: October, 2011

Client Name: Eastern Kentucky Pipe Line, Inc.
Client Address: 162 Left Fork Bull Creek, Prestonsburg, KY 41653
Phone: 606-874-9255
Job Description: Asbestos Removal
Job Locations: **Old Prestonsburg School 236 North Lake Drive, Prestonsburg, KY 41653**
Start Date: January, 2012

Client Name: Hill View Retirement Group
Client Address: 1610 28th Street, Portsmouth, OH 45662
Phone: 740-935-1192
Job Description: Asbestos Testing & Removal
Job Locations: **Hill View Retirement 2810 & 2814 Sherman Road, Portsmouth, OH 45662**
Start Date: May, 2012

Client Name: Southern Ohio Medical Center
Client Address: 1805 27th Street, Portsmouth, OH 45662
Phone: 740-356-5000
Job Description: Asbestos Testing/Removal
Job Locations: **Southern Ohio Medical Center 1805 27th Street, Portsmouth, OH 45662**
Start Date: July, 2012

Client Name: CLC Investments, Inc.
Client Address: 14 Laurelwood, Milford, OH 45150
Phone: 513-248-5093
Job Description: Asbestos Removal
Job Locations: **Clermont Lumber Co. 105 Water Street, Milford, OH 45150**
Start Date: August, 2012

Client Name: City of Portsmouth
Client Address: 728 2nd St Portsmouth, Ohio 45662
Phone: 740-354-7557
Job Description: Asbestos Testing and Removal
Job Locations: **Gateway Project – 30 residential structures throughout Portsmouth, Ohio**
Start Date: January, 2013

Client Name: CAC of Pike County
Client Address: 941 Market Street, Piketon, OH 45661
Phone: 740-289-2371
Job Description: Asbestos Removal
Job Locations: **Pike County (Residential Structures)**
Start Date: October, 2012

Client Name: New Boston Local School District
Client Address: 522 Glenwood Ave., New Boston, OH 45662
Phone: 740-456-4559
Job Description: Asbestos Removal
Job Locations: **New Boston Local School 522 Glenwood Ave., New Boston, OH 45662
(Oak Intermediate and Stanton Primary Schools)**
Start Date: March, 2013

Client Name: Hometown Development
Client Address: 711 Second St
Portsmouth, Oh 45662
Phone: 740-354-7711
Job Description: Asbestos Removal
Job Locations: **CVS Pharmacy Store No. 10124
1000, 1004 and 1008 Pineview Morgantown, WV**
Start Date: May 6, 2013

Client Name: Hometown Development
Client Address: 711 Second St
Phone: 740-354-7711
Job Description: Asbestos Removal
Job Locations: **CVS Pharmacy # 3186
128,132 & 136 Waldeck St Clarksburg, WV
125-127 Marshall St Clarksburg, WV
721 East Main St Clarksburg, WV**
Start Date: May 17, 2013

Client Name: Ross County Commissioners
Client Address: 2 N. Paint St., Suite H
Chillicothe, OH 45601
Phone: 740-702-305
Job Description: Asbestos Removal
Job Locations: **Ross County (Residential Structures)**
Start Date: August 12, 2013

Solid Rock Construction has also performed Asbestos Abatement on several residential and smaller structures that would be too numerous to list.

Solid Rock Constructions Services Project References

Shawnee State University

Residential Structures Demolition

Prime Contractor – Project 100% Complete

Contract Amount: \$ 14,829.00

Bonding Co.: Bond Brokers

Owner: Shawnee State University

Contact: John Temponeras, Shawnee State University, Construction Project Manager

Phone: 740-351-3012

Wheelersburg Asbestos Abatement Project

Asbestos Removal

Prime Contractor – Project 100% Complete

Contract Amount: \$ 70,800.00

Bonding Co.: Bond Brokers

Owner: Wheelersburg Local School District

Contact: Regina Francis, Bovis Lend Lease

Phone: 740-894-5208

Old Somerville School

Demolition of old school

Prime Contractor – Project 100% Complete

Contract Amount: \$ 46,800.00

Bonding Co.: Bond Brokers

Owner: Butler County Board of Commissioners

Contact: Desmond Maaytah,

Phone: 513-785-5391

Kentucky Dept. of Transportation Right of Way Project

Demolition of multiple residential structures

Prime Contractor – Project 100% Complete

Contract Amount: \$ 47,259.00

Bonding Co.: Bond Brokers

Owner: Kentucky Department of Transportation

Contact: Dan White

Phone: 859-341-2707

Lexington-Fayette Urban County Government

Demolition of multiple residential structures

Prime Contractor – Project 100% Complete

Contract Amount: Contract was bid as unit pricing – Amount is based on individual structures

Bonding Co.: Bond Brokers

Owner: Lexington-Fayette Urban Co. Gov.

Contact: Michael Clayborne

Phone: 859-258-3410

Ohio Department of Transportation Bypass Project

Demolition of multiple residential structures
Prime Contractor – Project 100% Complete
Contract Amount: \$ 143,000.00
Bonding Co.: Bond Brokers
Owner: Ohio Department of Transportation
Contact: Dan Beasley
Phone: 740-774-2247

14th Street Community Center/Parking Lot

Excavation/Sitework
Prime Contractor – Project 100% Complete
Contract Amount: \$ 41,500.00
Bonding Co.: Bond Brokers
Owner: Scioto County Commissioners
Contact: Connie Spencer
Phone: 740-355-8356

322 Pine Street, Ironton, Ohio

Emergency Demolition of Residential Structure
Prime Contractor – Project 100% Complete
Contract Amount: \$ 4,800.00
Bonding Co.: N/A
Owner: The City of Ironton
Contact: Karl Wentz
Phone: 740-532-0198

2433 S. 8th Street & 715 Depot Square, Ironton, Ohio

Demolition of Residential Structures
Prime Contractor – Project 100% Complete
Contract Amount: \$ 7,000.00
Bonding Co.: N/A
Owner: The City of Ironton
Contact: Karl Wentz
Phone: 740-532-0198

2012 S. Third Street, Ironton, Ohio

Demolition of Residential Structure
Prime Contractor – Project 100% Complete
Contract Amount: \$ 2,000.00
Bonding Co.: N/A
Owner: The City of Ironton
Contact: Karl Wentz
Phone: 740-532-0198

Veteran's Memorial Hall

Emergency Roof Removal & Wall Stabilization
Prime Contractor – Project 100% Complete
Contract Amount: \$ 183,510.00
Bonding Co.: N/A
Owner: The City of Ironton
Contact: Rich Blankenship
Phone: 740-532-0198

Ironton CAO

Demolition of Residential Structures
Prime Contractor – Project 100% Complete
Contract Amount: \$ 12,890.00
Bonding Co.: N/A
Owner: Ironton-Lawrence CAO
Contact: Cindy Anderson
Phone: 740-532-3534

Chesapeake Union Exempted Village School Dist.

Project 100% Complete
Contract Amount: \$618,425.00
Owner: Chesapeake Union Exempted Village School Dist.
Contact: BBL Construction Services
Phone: 614-221-3400

Lincoln Elementary

Demolition Project
Project 100% Complete
Contract Amount: \$181,000.00
Owner: Portsmouth Local School District
Contact: BBL Construction Services
Phone: 614-221-3400

Frankfort Cooling Plant

Demolition
Project 100% Complete
Contract Amount: \$193,490.00
Owner: Commonwealth of Kentucky
Contact: Jeff Joe Dunagin
Phone: 502-564-3155

Barbeque Road Dump Site Improvements

Excavation
Project 100% Complete
Contract Amount: \$335,992.25
Owner: Clay Commonwealth of Kentucky
Contact: Eddie Cooke
Phone: 502-564-3155

Road Widening Project

Various locations

Project 100% Complete

Contract Amount: \$172,542.00

Owner: Kentucky Transportation Cabinet

Contact: Dan White

Phone: 859-341-2707

Sneff Natatorium

Demolition

Project 100% Complete

Contract Amount: \$43,449.00

Owner: Morehead State University

Contact: Steve Leitz

Phone: 606-776-3662

South Point Middle School / Burlington Elementary School

Demolition

Project 100% Complete

Contract Amount: \$446,000.00

Owner: South Point Local Schools

Contact: Jim Hall

Phone: 740-894-5208

Western Local School

Demolition

Project 100% Complete

Contract Amount: \$285,000.00

Owner: Western Local School Dist.

Contact: 614-823-4949

Crystal Care Parking Lot

Parking Lot Installation

Prime Contractor – Project 100% Complete

Contract Amount: \$5,700.00

Bonding Co.: N/A

Owner: K.K.J., Inc.

Contact: Kim Nye

Phone: 740-285-2344

Scott Co., Ky Demolition

Residential Demolition

Prime Contractor – 100% Complete

Contract Amount: \$ 4,000.00

Bonding Co.: N/A

Owner: Kentucky Transportation Cabinet

Contact: Jennifer Houchin

Phone: 502-564-4630

Ironton City School District

Middle School Demolition & Phase II Site
Prime Contractor – Project 100% Complete
Contract Amount: \$ 652,900.00
Bonding Co.: Bond Brokers
Owner: Ohio Schools Facilities Commission
Contact: Randy Henderson
Phone: 614-221-3899

Gateway Environmental

Central Elementary Demolition
Sub Contractor – Project 100% Complete
Contract Amount: \$ 56,500.00
Bonding Co.: N/A
Owner: Gateway Environmental
Contact: Brian Wellen
Phone: 614-654-6264

New Boston Coke Corp.

Site Clean up
Prime Contractor – Project 100% Complete
Contract Amount: \$ 190,000.00
Bonding Co.: N/A
Owner: New Boston Coke Corp.
Contact: Ray Zetts
Phone: 216-763-0602

Scioto County Commissioners

Residential Demolition Project #4
Prime Contractor – Project 100% Complete
Contract Amount: \$ 11,700.00
Bonding Co.: N/A
Owner: Scioto Co. Commissioners
Contact: Connie Spencer
Phone: 740-355-8313

Bracken Co. Judicial Center

Asbestos Abatement, Demolition & Site Preparation
Prime Contractor – Project 100% Complete
Contract Amount: \$361,088.00
Bonding Co.: Bond Brokers
Owner: Bracken Co. Fiscal Court
Contact: Eddie Sorrell
Phone: 606-796-3867

PGI Development

Multiple Residential Demolitions
Prime Contractor – Project Start
Contract Amount: \$12,500.00
Bonding Co.: N/A
Owner: PGI Development Group
Contact: Pete Patel
Phone: 614-793-7767

Scioto County Commissioners

Multiple Residential Demolition Project # 5
Prime Contractor – Project 100% Complete
Contract Amount: \$13,900.00
Bonding Co.: N/A
Owner: Scioto County Commissioners
Contact: Connie Spencer
Phone: 740-355-8313

Scioto County Commissioners

Multiple Residential Demolition Project # 6
Prime Contractor
Contract Amount: \$10,700.00
Bonding Co.: N/A
Owner: Scioto County Commissioners
Contact: Connie Spencer
Phone: 740-355-8313

Stevens Construction

Residential Demolition
Prime Contractor – Project 100% Complete
Contract Amount: \$10,700.00
Owner: Stevens Construction
Contact: Jody Stevens
Phone: 740-353-0998

City of Portsmouth

Multiple Residential Demolition Project
Prime Contractor – Project 100% Complete
Contract Amount: \$29,200.00
Owner: City of Portsmouth
Contact: Becky Steele
Phone: 740-353-5153 ext. 227

Bob Clyse

Demolition / Renovation

Prime Contractor – Project 100% Complete

Contract Amount: \$40,000.00

Bonding Co.: N/A

Owner: Bob Clyse

Contact: Bob Clyse

Phone: 740-532-4011

Ohio Department of Transportation

Radio Tower Demolitions

Ironton, OH & Chillicothe, Ohio

Prime Contractor – Project 100% Complete

Contract Amount: \$22,500.00

Bonding Co.: N/A

Owner: ODOT

Contact: Shaun Bennett

Phone: 740-774-8857

Greater Portsmouth Regional Airport

Drainage Improvements

Prime Contractor – Project 100% Complete

Contract Amount: \$16,888.25

Bonding Co.: Bond Brokers

Owner: Scioto County Airport Authority

Construction Manager: Stantec Consulting Corporation

Contact: Steve Slusher

Phone: 614-486-4383

Ohio University

Residential Demolition

Ironton, OH

Prime Contractor – Project 100% Complete

Contract Amount: \$13,934.00

Bonding Co.: N/A

Owner: Ohio University Southern

Contact: Adam Riehl

Phone: 740-533-4618

Bluegrass Station

Tactical Road, Lexington, KY

Prime Contractor – Project 100% Complete

Contract Amount: \$166,657.00

Bonding Co.: Bond Brokers

Owner: Commonwealth of Kentucky

Contact: Ron Harris

Phone: 502-564-3155

Eastern State Hospital

Asbestos Abatement & Demolition
Prime Contractor – Project 100% Complete
Contract Amount: \$463,800.00
Bonding Co.: Bond Brokers
Owner: Bluegrass Community & Technical College
Construction Manager: Congleton–Hacker Co.
Contact: David Boien
Phone: 859-254-6481

Porter Township

Replacement of Storm Tiles
Prime Contractor – Project 100% Complete
Contract Amount: \$55,235.00
Bonding Co.: Bond Brokers
Owner: Porter Township Trustees
Construction Manager: N/A
Contact: Ted Adams
Phone: 740-574-4249

St. Mary's Medical Facility

Phase 2 – Site Development
Prime Contractor – Project 100% Complete
Contract Amount: \$533,117.00
Bonding Co.: N/A
Owner: St. Mary's Medical Center
Construction Manager: Setterlin Building Company
Contact: Mike Danyi
Phone: 614-586-4220

Portsmouth Gaseous Diffusion Plant D&D Portsmouth

Asbestos Abatement
Prime Contractor – Project 100% Complete
Contract Amount: \$198,671.90
Bonding Co.: N/A
Owner: DOE
Construction Manager: Wastren Advantage, Inc.
Contact: Gene Collins
Phone: 740-443-7924

Greater Portsmouth Regional Airport

Drainage Improvements
Prime Contractor – Project 100% Complete
Contract Amount: \$24,292.70
Bonding Co.: State Automobile Mutual Ins.
Owner: Scioto County Airport Authority
Construction Manager: Stantec
Contact: Jeffrey Cramer
Phone: 614-486-4383

Logan Waste Water Treatment Plant

Earthwork & Demolition

Sub Contractor – Project 85% Complete

Contract Amount: \$308,525.00

Bonding Co.: N/A

Owner: City of Logan

Construction Manager: Mechanical Construction Co.

Contact: Lee Glockner

Phone: 740-353-5668

Bracken Co. Judicial Center

Site Demolition & Earth Work

Prime Contractor – Project 100% Complete

Contract Amount: \$128,561.00

Bonding Co.: N/A

Owner: Bracken Co. Judicial Center

Construction Manager: Universal Contracting Corp.

Contact: Brad Wilhelm

Phone: 513-482-2700

New Boston Schools

Site Preparation for New School

Sub Contractor – Project 100% Complete

Contract Amount: \$397,995.00

Bonding Co.: N/A

Owner: New Boston Local School District

Construction Manager: Setterlin Building

Contact: Ron Webster

Phone: 740-370-5328

Clay Local Schools

Demolition

Prime Contractor – Project 100% Complete

Contract Amount: \$198,730.00

Bonding Co.: Bond Brokers

Owner: Clay Local School District

Construction Manager: Gandee & Associates

Contact: Chris Howard

Phone: 614-459-8338

Eastern Kentucky University

Demolition/Site Utility Relocation

Project 100% Complete

Contract Amount: \$537,900.00

Owner: State of Kentucky

Contact: Carroll McGill

Phone: 1-502-564-3155

Old Prestonsburg School

Asbestos Removal/Demolition

Project: 100% Complete

Contract Amount: 62,800.00

Owner: Eastern Kentucky Pipe Line, Inc.

Contact: Roland Gray

Phone: 606-874-9255

City of Portsmouth (2 residential structures)

Asbestos Removal/Demolition

Project: 100% Complete

Contract Amount: \$11,800.00

Owner: City of Portsmouth

Contact: David Malone

Phone: 740-354-7557

City of Portsmouth (2 residential structures)

Asbestos Removal/Demolition

Project: 100% Complete

Contract Amount: \$11,800.00

Owner: City of Portsmouth

Contact: David Malone

Phone: 740-354-7557

Village of New Boston (Pump Station 10)

Construction

Project: 100% Complete

Contract Amount: \$22,745.00

Owner: Village of New Boston

Contact: Steve Hamilton

Phone: 740-456-4103

Scioto Group Homes

Site Improvements

Project 100% Complete

Contract Amount: \$222,550.00

Owner: Provider Services

Contact: Chris Inverso

Phone: 440-477-9901

Hill View Retirement Group

Asbestos Removal, Demolition, Site Improvements

Project 100% Complete

Contract Amount: \$108,225.00

Owner: Hill View Retirement Group

Contact: Tom DeCamp

Phone: 740-935-1192

Clermont Lumber Co.

Asbestos Removal, Demolition, Site Improvements
Project 70% Complete
Contract Amount: \$417,958.00
Owner: CLC Investments, Inc.
Contact: Pam Holbrook
Phone: 513-248-5093

Chillicothe Group Homes

Site Improvements
Project 100% Complete
Contract Amount: \$81,277.00
Owner: Provider Services
Contact: Chris Inverso
Phone: 440-477-9901

City of Portsmouth (30 residential structures)

Asbestos Testing/Removal/Demolition
Project: 100% Complete
Contract Amount: \$149,600.00
Owner: City of Portsmouth
Contact: Crystal Weghorst
Phone: 740-354-7557

CAC of Pike County (7 residential structures)

Asbestos Testing/Removal
Project: 100% Complete
Contract Amount: \$11,890.00
Owner: CAC of Pike County
Contact: Don Watts
Phone: 740-289-2371

CAC of Pike County (3 residential structures)

Demolition
Project: 100% Complete
Contract Amount: \$22,270.00
Owner: CAC of Pike County
Contact: Don Watts
Phone: 740-289-2371

Ironton CAO (4 residential structures)

Asbestos Removal/Demolition
Project 100% Complete
Contract Amount: \$14,840.00
Owner: Ironton-Lawrence CAO
Contact: Cindy Anderson
Phone: 740-532-3534

New Boston Local School District (Oak & Stanton Schools)

Asbestos Removal/Demolition

Project 100% Complete

Contract Amount: \$378,700.00

Owner: New Boston Local School District

Contact: Mike Staggs

Phone: 740-456-4559

New Boston Local School District (Oak & Stanton Schools)

Asbestos Removal/Demolition

Project 100% Complete

Contract Amount: \$378,700.00

Owner: New Boston Local School District

Contact: Mike Staggs

Phone: 740-456-4559

CVS Pharmacy # 10124 (Morgantown WV)

Asbestos Abatement, Demolition & Site Preparation

Project 40% Complete

Contract Amount: \$760,316.00

Owner: Hometown Development

Contact: Jeff Albrecht

Phone: 740-354-7711

CVS Pharmacy # 3186 (Clarksburg WV)

Asbestos Abatement, Demolition & Site Preparation

Project 20% Complete

Contract Amount: \$530,000.00

Owner: Hometown Development

Contact: Jeff Albrecht

Phone: 740-354-7711

Ross County Commissioners (7 residential structures)

Asbestos Removal/Demolition

Project 100% Complete

Contract Amount: \$50,200.00

Owner: Ross County Commissioners & Ross County Building Department

Contact: Devon Shoemaker & Keith Putnam

Phone: 740-702-3085

**Solid Rock Construction Services, LLC
Truck & Equipment List**

Dump Trucks

1995 International
1991 Peterbilt
1990 Peterbilt
1984 Ford
1991 Peterbilt
1994 Freightliner
1996 Ford
1987 Ford

Storage Trailers

1986 Fruehauf
1984 Fruehauf
1980 Fruehauf
1964 Fruehauf
1960 Fruehauf

Misc. Equipment

Caterpillar 623B Scrapper
Tampco Vibratory Sheepfoot
Ditch Witch
Rockhound Chainlink
Dynapac Roller

Excavators

Caterpillar 322
Caterpillar 330
Komatsu PC 200 LC-6
Komatsu PC 2250 LC

Backhoes

Terex
416 Caterpillar
436 Caterpillar

Tractors (Semi)

1996 Peterbilt
1989 Peterbilt
2000 Freightliner
2001 International
2000 Freightliner
1989 Peterbilt

Dozers

Caterpillar D5
Caterpillar D6
Caterpillar D9

Trailers

1979 Equipment Trailer
2005 Delta Flatbed Trailer
1994 Trailstar Dump Trailer
1980 Fruehauf Log Trailer
1989 Utility
1972 General Lowboy
1999 USTS Dump Trailer
1987 Rogers Lowboy

Compaction

Rex 848 Vibratory Pad Foot
Bomag 84 Vibratory Smooth Drum
4 Raygo Ram 45 Drum Sheepfoot
Ingersol Rand Compactor

Misc. Trucks

1981 International Water Truck
1983 Mack Bucket Truck
1983 Ford Bucket Truck

Cranes

Grove RT 605 18 Ton

Service Trucks

2002 Chevy
2002 Chevy
1994 International
1998 Chevrolet
2002 Ford
2001 Ford
2001 Dodge
1980 International
2008 Ford
2000 Ford

Loaders

Bobcat T250 Track Loader

Hammer

Brodersaw Hydro Hammer
Rammer Hydro 6400

Grader

Huber D-1100

Solid Rock Construction Services, LLC

Employees

Allison Whitaker

- Owner
- Payroll
- Accounts Payable
- Asbestos Abatement Project Supervisor

Bill Whitaker

- Superintendant
- Supervisor
- Building Analysis
- Asbestos Abatement Building Inspector, Management Planner, & Project Supervisor
- Operator
- CDL Driver
- Estimating / Design
- Safety Administrator

Heather Elliott

- Office Manager
- Purchasing
- Scheduling
- Payroll
- Accounts Receivable
- Federal Motor Carrier
- Bidding

Melanie Donley

- Office Assistant
- Payroll
- Accounts Payable

Kristen Thompson

- Office Assistant
- Bidding

Bobby Whitaker

- Asbestos Abatement Worker
- Laborer

Anthony Thacker

- CDL Driver
- Operator
- Laborer

Chris Blanton

- Supervisor
- CDL Driver
- Operator
- Laborer

Mark Mallott

- Supervisor
- Operator
- Laborer

Jason Dunham

- Asbestos Abatement Supervisor
- CDL Driver
- Operator
- Laborer

Derek Slusher

- Asbestos Abatement Supervisor
- Mechanic
- Laborer

Dave Conschafsky

- Asbestos Abatement Worker
- CDL Driver

George Houston

- Asbestos Abatement Supervisor
- Laborer

Richard Osborne

- CDL Driver

Anthony Bolton

- Asbestos Abatement Worker
- Laborer

George Coburn

- Mechanic

Tyler Houston

- Asbestos Abatement Worker
- Laborer

Luke Waddell

- Laborer

Jacob Rhea

- CDL Driver

Billy Hiles

- Mechanic

Brent Ehrhart

- CDL Driver

Heath Hall

- Laborer

Trevor Hall

- Laborer

Tim Cooper

- Supervisor

Dennis Rigdon

- Laborer

Marvin Roe

- Laborer

Travis Houston

- Laborer

Tim Cooper

- Supervisor

Nathan Barnes

- Laborer

Tony Kidder

- Laborer

Nathan Barnes

- Laborer

Roger Stevens

- Laborer



Women's Business Enterprise
National Council

hereby grants

National Women's Business Enterprise Certification

to
Solid Rock Construction Services, LLC

who has successfully met WBENC's standards as a Women's Business Enterprise (WBE).

This certification affirms the business is woman-owned, operated and controlled; and is valid through the date herein.

WBENC National WBE Certification was processed and validated by Ohio River Valley - Women's Business Council, a WBENC Regional Partner Organization.

Authorized by Rea N. Waldon, Ph.D., Executive Director
Ohio River Valley Women's Business Council



Expiration Date: 10/07/2014
WBENC National Certificate Number: 2005111433

NAICS Codes: 238910

UNSPSC Codes: 22102000

