



LEXINGTON

RFP-6-2026

Davis H. Elliot Construction Company, Inc Supplier Response

Event Information

Number: RFP-6-2026
Title: Storm and Disaster Response and Recovery Services and Equipment
Type: Request For Proposal
Issue Date: 2/23/2026
Deadline: 3/10/2026 02:00 PM (ET)

Contact Information

Contact: Todd Slatin
Address: Central Purchasing
Government Center Building
200 East Main Street
Lexington, KY 40507
Phone: (859) 2583320
Fax: (859) 2583322
Email: tslatin@lexingtonky.gov

Davis H. Elliot Construction Company, Inc Information

Contact: Stephanie Allen
Address: 673 Blue Sky Parkway
Lexington, KY 40509
Phone: (859) 263-5148 x2101
Email: sallen@dhec.com
Web Address: www.dhec.com

ONLY ONLINE BIDS WILL BE ACCEPTED! By submitting your response, you certify that you are authorized to represent and bind your company and that you agree to all bid terms and conditions as stated in the attached bid/RFP/RFQ/Quote/Auction documents.

Reather Keith Simpson

Signature

sallen@dhec.com

Email

Submitted at 3/10/2026 12:02:23 PM (ET)

Response Attachments

RFP #6-2026 Storm & Disaster Response and Recovery Services & Equipment - DHEC SUBMIT.pdf

Submitted by Davis H. Elliot Construction Company, Inc.

Bid Lines

1	Motor Graders w/blade & escort/safety vehicle 125HP or less Quantity: <u> 1 </u> UOM: <u> HR </u>	No Bid
2	Motor Graders w/blade & escort/safety vehicle 126HP - 175HP Quantity: <u> 1 </u> UOM: <u> HR </u>	No Bid
3	Motor Graders w/blade & escort/safety vehicle 176HP - 250HP Quantity: <u> 1 </u> UOM: <u> HR </u>	No Bid
4	Motor Graders w/blade & escort/safety vehicle 251HP or larger Quantity: <u> 1 </u> UOM: <u> HR </u>	No Bid
5	Front-End Loader/Wheel Loader 40HP or less Quantity: <u> 1 </u> UOM: <u> HR </u>	No Bid
6	Front-End Loader/Wheel Loader 41HP - 74HP Quantity: <u> 1 </u> UOM: <u> HR </u>	No Bid
7	Front-End Loader/Wheel Loader 75HP - 109HP Quantity: <u> 1 </u> UOM: <u> HR </u>	No Bid
8	Front-End Loader/Wheel Loader 110HP - 199HP Quantity: <u> 1 </u> UOM: <u> HR </u>	No Bid

9	Front-End Loader/Wheel Loader 200HP or larger Quantity: <u> 1 </u> UOM: <u> HR </u>				No Bid
10	Front-End Loader - Other (i.e. Loader w/grapple, shaker, etc. please specify) Quantity: <u> 1 </u> UOM: <u> HR </u>				No Bid
11	Combo Backhoe/Loader 40HP or less Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$130.24"/>	Total: <input type="text" value="\$130.24"/>		
12	Combo Backhoe/Loader 41HP -70HP Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$130.24"/>	Total: <input type="text" value="\$130.24"/>		
13	Combo Backhoe/Loader 71HP - 89HP Quantity: <u> 1 </u> UOM: <u> HR </u>				No Bid
14	Combo Backhoe/Loader 90HP or larger Quantity: <u> 1 </u> UOM: <u> HR </u>				No Bid
15	Combo Backhoe/Loader Other (i.e. Loader w/grapple, Hoe Ram etc. please specify) Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$142.74"/>	Total: <input type="text" value="\$142.74"/>		
16	Dump Truck with Snowplow Single Rear Axle W/out spreader (26,000 GVWR or larger) Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$154.13"/>	Total: <input type="text" value="\$154.13"/>		
17	Dump Truck with Snowplow Single Rear Axle WITH spreader (26,000 GVWR or larger) Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$163.79"/>	Total: <input type="text" value="\$163.79"/>		
18	Dump Truck with Snowplow Multiple-Rear Axle W/out spreader (26,000 GVWR or larger) Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$158.86"/>	Total: <input type="text" value="\$158.86"/>		
19	Dump Truck with Snowplow Multiple-Rear Axle WITH spreader (26,000 GVWR or larger) Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$168.52"/>	Total: <input type="text" value="\$168.52"/>		
20	Dump Truck for debris hauling capacity: Less than Ten (10) yards Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$123.94"/>	Total: <input type="text" value="\$123.94"/>		
21	Dump Truck for debris hauling capacity: 11 - 19 yards Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$128.67"/>	Total: <input type="text" value="\$128.67"/>		
22	Dump Truck for debris hauling capacity: 20 - 29 yards Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$134.57"/>	Total: <input type="text" value="\$134.57"/>		
23	Dump Truck for debris hauling capacity: 30 or more yards Quantity: <u> 1 </u> UOM: <u> HR </u>				No Bid
24	Truck With Snowplow (7'min.) 4WD W/Salt Spreader (1.75yd min.) *Must have strobe lights Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$150.14"/>	Total: <input type="text" value="\$150.14"/>		

25	Truck With Snowplow (7'min.) 4WD W/out Salt Spreader *Must have strobe lights	Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$140.48"/>	Total: <input type="text" value="\$140.48"/>
26	Track hoe/Excavator Less than 10 Ton (Mini-Excavator)	Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$125.10"/>	Total: <input type="text" value="\$125.10"/>
27	Track hoe/Excavator - 10 - 19 Ton	Quantity: <u> 1 </u> UOM: <u> HR </u>		No Bid
28	Track hoe/Excavator - 20 - 29 Ton	Quantity: <u> 1 </u> UOM: <u> HR </u>		No Bid
29	Track hoe/Excavator - 30 - 45 Ton	Quantity: <u> 1 </u> UOM: <u> HR </u>		No Bid
30	Track hoe/Excavator - Greater than 45 Ton	Quantity: <u> 1 </u> UOM: <u> HR </u>		No Bid
31	Transport truck w/trailer (Flatbed/Lowboy/Open/Enclosed) less than 10 Ton	Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$177.49"/>	Total: <input type="text" value="\$177.49"/>
32	Transport truck w/trailer (Flatbed/Lowboy/Open/Enclosed) up to 24 Ton Capacity	Quantity: <u> 1 </u> UOM: <u> HR </u>		No Bid
33	Skid Steer/Skid Loader: Mini/Stand-up/Dingo	Quantity: <u> 1 </u> UOM: <u> HR </u>		No Bid
34	Skid Steer/Skid Loader: Small Frame Under 50 HP	Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$130.77"/>	Total: <input type="text" value="\$130.77"/>
35	Skid Steer/Skid Loader: Medium Frame 50-69 HP	Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$130.77"/>	Total: <input type="text" value="\$130.77"/>
36	Skid Steer/Skid Loader: Large Frame 70 HP or larger	Quantity: <u> 1 </u> UOM: <u> HR </u>		No Bid
37	Sanding Truck (5CY)	Quantity: <u> 1 </u> UOM: <u> HR </u>		No Bid
38	Knuckle Boom	Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$190.09"/>	Total: <input type="text" value="\$190.09"/>
39	Bucket Truck	Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$142.32"/>	Total: <input type="text" value="\$142.32"/>
40	Mobile Crane: Less than 30 Ton Capacity	Quantity: <u> 1 </u> UOM: <u> HR </u>	Price: <input type="text" value="\$190.09"/>	Total: <input type="text" value="\$190.09"/>

4
1

Mobile Crane: 31-60 Ton Capacity

Quantity: 1 UOM: HR

No Bid

Response Total: \$2,812.95



Lexington-Fayette Urban County Government

Request for Proposals

The Lexington-Fayette Urban County Government hereby requests proposals for **RFP #6-2026 Storm & Disaster Response and Recovery Services & Equipment** to be provided in accordance with terms, conditions and specifications established herein.

Sealed proposals will be received through Ion Wave until **2:00 PM**, prevailing local time, on **March 10, 2026**. All forms and information requested in RFP must be included and attached in Response Attachments tab in Ion Wave. <https://lexingtonky.ionwave.net/>

Proposals received after the date and time set for opening proposals will not be accepted. It is the sole responsibility of the Proposer to assure that his/her proposal is submitted in Ion Wave before the date and time set for opening proposals.

Proposals, once submitted, may not be withdrawn for a period of ninety (90) calendar days.

The Lexington-Fayette Urban County Government reserves the right to reject any or all proposals, and to waive technicalities and informalities when such waiver is determined by the Lexington-Fayette Urban County Government to be in its best interest.

Signature of this proposal by the Proposer constitutes acceptance by the Proposer of terms, conditions and requirements set forth herein.

Minor exceptions may not eliminate the proposal. Any exceptions to the specifications established herein shall be listed in detail on a separate sheet and attached hereto. The Lexington-Fayette Urban County Government shall determine whether any exception is minor. The LFUCG reserves the right to award to one or multiple vendors.

The Lexington-Fayette Urban County Government encourages the participation of minority- and women-owned businesses in Lexington-Fayette Urban County Government contracts. This proposal is subject to Affirmative Action requirements attached hereto.

Please do not contact any LFUCG staff member or any other person involved in the selection process other than the designated contact person(s) regarding the project contemplated under this RFP while this RFP is open and a selection has not been finalized. Any attempt to do so may result in disqualification of the firm's submittal for consideration.

Laws and Regulations

All applicable state laws, municipal ordinances and regulations of all authorities having jurisdiction over the project shall apply to the contract, and shall be deemed to be incorporated herein by reference.

Equal Employment Opportunity

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

Kentucky Equal Employment Opportunity Act

The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any "county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

"During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age, or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age, or national origin;
- (3) The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provision of the nondiscrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers'

representative of the contractor's commitments under the nondiscrimination clauses."

The Act further provides:

"KRS 45.610. Hiring minorities -- Information required

(1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetables.

(2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

KRS 45.620. Action against contractor -- Hiring of minority contractor or subcontractor

(1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.

(2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to 45.640.

(3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job."

It is recommended that all of the provisions above quoted be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his workforce in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

LFUCG Non-Appropriation Clause

Contractor acknowledges that the LFUCG is a governmental entity, and the contract validity is based upon the availability of public funding under the authority of its statutory mandate.

In the event that public funds are unavailable and not appropriated for the performance of the LFUCG's obligations under this contract, then this contract shall automatically expire without penalty to the LFUCG thirty (30) days after written notice to Contractor of the unavailability and non-appropriation of public funds. It is expressly agreed that the LFUCG shall not activate this non-appropriation provision for its convenience or to circumvent the requirements of this contract, but only as an emergency fiscal measure during a substantial fiscal crisis, which affects generally its governmental operations.

In the event of a change in the LFUCG's statutory authority, mandate and mandated functions, by state and federal legislative or regulatory action, which adversely affects the LFUCG's authority to continue its obligations under this contract, then this contract shall automatically terminate without penalty to the LFUCG upon written notice to Contractor of such limitation or change in the LFUCG's legal authority.

Contention Process

Vendors who respond to this invitation have the right to file a notice of contention associated with the RFP process or to file a notice of appeal of the recommendation made by the Director of Central Purchasing resulting from this invitation.

Notice of contention with the RFP process must be filed within 3 business days of the bid/proposal opening by (1) sending a written notice, including sufficient documentation to support contention, to the Director of the Division of Central Purchasing or (2)

submitting a written request for a meeting with the Director of Central Purchasing to explain his/her contention with the RFP process. After consulting with the Commissioner of Finance the Chief Administrative Officer and reviewing the documentation and/or hearing the vendor, the Director of Central Purchasing shall promptly respond in writing findings as to the compliance with RFP processes. If, based on this review, a RFP process irregularity is deemed to have occurred the Director of Central Purchasing will consult with the Commissioner of Finance, the Chief Administrative Officer and the Department of Law as to the appropriate remedy.

Notice of appeal of a RFP recommendation must be filed within 3 business days of the RFP recommendation by (1) sending a written notice, including sufficient documentation to support appeal, to the Director, Division of Central Purchasing or (2) submitting a written request for a meeting with the Director of Central Purchasing to explain his appeal. After reviewing the documentation and/or hearing the vendor and consulting with the Commissioner of Finance and the Chief Administrative Officer, the Director of Central Purchasing shall in writing, affirm or withdraw the recommendation.

SELECTION CRITERIA:

The LFUCG's Selection Committee shall consider the following factors when it evaluates the proposals received:

1. Qualifications 40 Points
2. Price 40 Points
3. References 20 Points

Proposals shall contain the appropriate information necessary to evaluate based on these criteria. A committee composed of government employees as well as representatives of relevant user groups will evaluate the proposals.

Questions regarding this RFP shall be addressed through:
<https://lexingtonky.ionwave.net>

Affirmative Action Plan

All vendors must submit as a part of the proposal package the following items to the Urban County Government:

1. Affirmative Action Plan for his/her firm;
2. Current Work Force Analysis Form;

Failure to submit these items as required may result in disqualification of the submitter from award of the contract

AFFIDAVIT

Comes the Affiant, Julia E. Bellendir, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Julia E. Bellendir and he/she is the individual submitting the proposal or is the authorized representative of Vice President, the entity submitting the proposal (hereinafter referred to as "Proposer").
2. Proposer will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the proposal is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Proposer will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Proposer has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Proposer has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Proposer will not violate any provision of the campaign finance laws of the Commonwealth.
6. Proposer has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."

Continued on next page

7. Proposer acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.



Julia E. Bellendir, Vice President

STATE OF Kentucky

COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me

by Julia E. Bellendir, Vice President on this the 10th day
of March, 2026.

My Commission expires: 11/12/2029




NOTARY PUBLIC, STATE AT LARGE
Stephanie Lee Allen, Notary Public

EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination

in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped and aged persons.



Signature Julia E. Bellendir, Vice President

Davis H. Elliot Construction Company, Inc.

Name of Business

WORKFORCE ANALYSIS FORM

Name of Organization: Davis H. Elliot Construction Company, Inc.

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	75	71	1									3				74	1
Professionals	123	90	19	3	1	1				1	2	1	2	2	1	98	25
Superintendents																	
Supervisors																	
Foremen																	
semi skilled operatives Technicians	283	197	11	34	1	5	2	1				21		13		271	12
Protective Service																	
Para-Professionals																	
Office/Clerical	114	47	58	1		2						1	1	1	3	52	62
Skilled Craft	2737	2422	24	103		29		2		2		82	2	70	1	2710	27
Laborers (unskilled) Service/Maintenance	162	142	10	1		3						2		4		152	10
Total:	3494	2969	121	142	2	40	2	3		3	2	110	5	90	5	3357	137

Prepared by: 
 (Name and Title) Julia Bellendir, Vice President

Date: 03 / 10 / 2026

Revised 2015-Dec-15

**DIRECTOR, DIVISION OF PROCUREMENT
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
200 EAST MAIN STREET
LEXINGTON, KENTUCKY 40507**

**NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL
EMPLOYMENT OPPORTUNITIES AND DBE CONTRACT PARTICIPATION**

The Lexington-Fayette Urban County Government has a Certified Minority and Women Business Enterprise seventeen percent (17%) minimum goal including minimum subgoals of five percent (5%) for Minority Business Enterprises (MBE) and a subgoal of twelve percent (12%) for Women Business Enterprises (WBE); a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and/or Certified Service- Disabled Veteran Owned Businesses; and a goal of utilizing Disadvantaged Business Enterprises (DBE), where applicable, for government contracts.

For assistance in locating certified DBEs, MBEs, WBEs, VOSBs and/or VOSBs, contact Sherita Miller at 859/258-3320 or by writing the address listed below:

Sherita Miller, MPA, CPSD
Minority Business Enterprise Liaison
Division of Procurement
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, Kentucky 40507
smiller@lexingtonky.gov
859-258-3323



LEXINGTON

MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA, CPSD
Minority Business Enterprise Liaison
Division of Procurement
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program (MBEP) is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long- term economic viability of Lexington-Fayette Urban County Government.

To that end the urban county council adopted and implemented Resolution 272-2024 – a Certified Minority and Women Business Enterprise seventeen percent (17%) minimum goal including minimum subgoals of five percent (5%) for Minority Business Enterprises (MBE) and a subgoal of twelve percent (12%) for Women Business Enterprises (WBE); a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and/or Certified Service- Disabled Veteran Owned Businesses; and a goal of utilizing Disadvantaged Business Enterprises (DBE), where applicable, for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals:

Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. Black American, Asian American, Hispanic American, Native American)

Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

Certified Veteran-Owned Small Business (VOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

Certified Service -Disabled Veteran Owned Small Business (SDVOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term “Certified” shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Procurement as having the appropriate credentials to make a determination as to the status of the business.

The following certifications are recognized and accepted by the MBEP:

Kentucky Transportation Cabinet (KYTC), Disadvantaged Business Enterprise (DBE)
Kentucky Minority and Women Business Enterprise (MWBE)
Women’s Business Enterprise National Council (WBENC)
National Women Business Owners Corporation (NWBOC)
National Minority Supplier Development Council (NMSDC)
Tri-State Minority Supplier Development Council (TSMSSDC)
U.S. Small Business Administration Veteran Small Business Certification (VetCert)
Kentucky Service- Disabled Veteran Owned Small Business (SDVOSB)

To comply with Resolution 272-2024, prime contractors, minority and women business enterprises, veteran owned small businesses, and service-disabled veteran owned small businesses must complete monthly contract compliance audits in the Diverse Business Management Compliance system, <https://lexingtonky.diversitycompliance.com/>

A list of organizations that certify and/or maintain lists of certified businesses (i.e. DBE, MBE, WBE, VOSB and/or SDVOSB) is available upon request by emailing, Sherita Miller, smiller@lexingtonky.gov.



LEXINGTON

LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # RFP-6-2026 Storm and Disaster Response and Recovery Services and Equipment

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to the Division of Procurement for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWBE Company, Name, Address, Phone, Email	DBE/MBE WBE/VOSB/SDVOSB	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. Form acknowledged. None to be anticipated.				
2.				
3.				
4.				

The undersigned company representative submits the above list of MDWBE and veteran firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Davis H. Elliot Construction Company, Inc.

Company

3/10/2026

Date

Company Representative

Vice President

Title



LEXINGTON

LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # RFP-6-2026 Storm and Disaster Response and Recovery Services and Equipment

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to the Division of Procurement for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. **Note: Form required if a subcontractor is being substituted on a contract.**

SUBSTITUTED DBE/MBE/WBE/VOSB Company Name, Address, Phone, Email	DBE/MBE/WBE/VOSB/SDVOSB Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. Form acknowledged. None to be anticipated. Please see attached.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Davis H. Elliot Construction Company, Inc.
Company

3/10/2026
Date


Company Representative

Vice President
Title



DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS AND OUTREACH PLANS

As affirmed in Resolution Number 272-2024, the Urban County Council has adopted an annual aspirational goal of utilizing at least seventeen percent (17%) of public funds spend from certain discretionary agreements with certified Minority Business Enterprises (MBEs) and certified Woman Business Enterprises (WBEs); utilizing at least three percent (3%) of public funds from certain discretionary agreements with Certified Veteran-Owned Small Business and Certified Service-Disabled Veteran-Owned Small Businesses (VOSBs); and utilizing Disadvantaged Business Enterprises (DBEs) where applicable. Bidders should make every effort to achieve these goals.

Therefore, as an element of the responsiveness of the bid, all Bidders are required to submit documentation of their good faith and outreach efforts to ensure all businesses, including small and disadvantaged businesses such as minority-, woman-, and veteran-owned businesses, have an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement. Examples of good faith and outreach efforts that satisfy this requirement to encourage the participation of, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs include:

1. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women, and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to participate.
2. Attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year to meet new small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to partner with on LFUCG contracts and procurements.
3. Attended pre-bid/pre-proposal meetings that were scheduled by LFUCG to inform small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs of subcontracting opportunities.
4. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs.
5. Requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
6. Contacted organizations that work with small, DBE, MBE, WBE, and VOSB companies for assistance in finding certified DBEs, MBEs, WBEs, VOSB and/or SDVOSBs to work

on this project. Those contacted and their responses must be a part of the bidder's outreach efforts documentation.

7. Sent written notices, by certified mail, email, or facsimile, to qualified, certified small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
8. Followed up initial solicitations by contacting small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs via tailored communications to determine their level of interest.
9. Provided the interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs with adequate and timely information about the plans, specifications, and requirements of the contract.
10. Selected portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs in order to increase the likelihood of subcontracting participation. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate small, DBE, MBE, WBE, VOSB and/or SDVOSB participation, even when the prime contractor may otherwise perform these work items with its own workforce.
11. Negotiated in good faith with interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection must be so noted in writing with a description as to why an agreement could not be reached.
12. Included documentation of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs that were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
 - a. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a small business', DBE's MBE's, WBE's, VOSB's and/or SDVOSB's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy the participation goals.
13. Made an effort to offer assistance to or refer interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal.

14. Made efforts to expand the search for small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
15. Other – any other evidence that the bidder submits that may demonstrate that the bidder has made reasonable efforts to include small, DBE, MBE, WBE, VOSB and/or SDVOSB participation.

Bidder must document, with specificity, each of the efforts it made to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs as subcontractors in the procurement, including the date on which each effort was made, the medium through which each effort was made, and the outcome of each effort.

Note: Failure to submit the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the Bid, regardless of the proposed level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation in the procurement. If the Good Faith and Outreach Effort documentation is not submitted with the bid response, the bid may be rejected.

OUTREACH EFFORTS EVALUATION

Outreach efforts demonstrated by the bidder or respondent will be evaluated on a pass/fail basis.

ATTACHMENT A – SMALL AND DISADVANTAGED, MINORITY-, WOMEN-, AND VETERAN-OWNED BUSINESS OUTREACH PLAN

Proposer Name: <u>Davis H. Elliot Construction Company, Inc.</u>	Date: <u>3/10/2026</u>
Project Name: <u>Storm and Disaster Response and Recovery Services and Equipment</u>	Project Number: <u>6-2026</u>
Contact Name: <u>Julia E. Bellendir</u>	Telephone: <u>859-263-5148</u>
Email: <u>jbellendir@dhec.com</u>	

The mission of the Minority Business Enterprise Program is to facilitate the full participation of disadvantaged businesses, minority-, women-, veteran-, and service-disabled veteran-owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long-term economic viability of Lexington-Fayette Urban County Government.

To that end, small and disadvantaged businesses, including minority-, woman-, veteran-, and service-disabled veteran-owned businesses, must have an equal opportunity to be utilized in the performance of contracts with public funds spent from certain discretionary agreements. By submitting its offer, Bidder/Proposer certifies that it has taken, and if there are further opportunities will take, reasonable steps to ensure that small and disadvantaged businesses, including minority-, woman-, veteran-, and service-disabled veteran-owned businesses, are provided an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement.

The information submitted in response to this clause will not be considered in any scored evaluation. Failure to submit this form may cause the bid or proposal to be rejected.

Is the Bidder/ Proposer a certified firm? Yes No

If yes, indicate all certification type(s):

DBE MBE WBE SBE VOSB/SDVOSB

and supply a copy of the certificate and/or certification letter if not currently listed on the city’s Minority Business Enterprise Program’s (MBEP) certified list.

1. Include a list of firms that Bidder/ Proposer has had a contractual relationship with within the last two years that are minority-owned, woman-owned, veteran-owned or small businesses, regardless of their certification status. See attached diversity supplier statement.

« Click or tap here to enter text. »

2. Does Bidder/Proposer foresee any subcontracting opportunities for this procurement?

Yes No

If no, please explain why in the field below. Do not complete the rest of this form and submit this first page with your bid and/or proposal. (Click or tap here to enter text.)

Bidder can 100% self-perform.

If yes, please complete the following pages and submit all pages with your bid and/or proposal.

Describe the steps Bidder/Proposer took to solicit small and disadvantaged businesses, including MBEs, WBEs, VOSBs, and SDVOSBs, for subcontracting opportunities for this procurement.

3. Check the good faith and outreach efforts the Bidder/Proposer used to encourage the participation of small and disadvantaged businesses including, MBEs, WBEs, VOSBs and SDVOSBs:

- Bidder placed advertisements in search of prospective small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs for the solicitation.
- Bidder attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year.
- Bidder attended pre-bid and/or pre-proposal meetings for this solicitation.
- Bidder sponsored an Economic Inclusion Outreach event.
- Bidder requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG.
- Bidder contacted organizations that work with small, DBE, MBE, WBE, VOSB and/or SDVOSB companies.
- Bidder sent written notices to certified small, DBE, MBE, WBE, VOSB and SDVOSB businesses.
- Bidder followed up to initial solicitations with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB.
- Bidder provided small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses interested in performing the solicited work with prompt access to the plans, specifications, scope of work, and requirements of the solicitation.
- Bidder made efforts to segment portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, including dividing sub-bid/partnership opportunities into economically feasible units/parcels, to facilitate participation.

- Bidder negotiated in good faith with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses.
- Bidder provided adequate rationale for rejecting any small business', DBEs, MBEs, WBEs, VOSBs or SDVOSBs for lack of qualifications.
- Bidder offered assistance in obtaining bonding, insurance, financial, equipment, or other resources to small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, in an effort to assist them in meeting project requirements.
- Bidder made efforts to expand the search for small businesses, DBEs MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
- Bidder made other reasonable efforts to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation.

Subcontractors not anticipated - Bidder can 100% self-perform.

4. Bidder/Proposer must include documentation, including the date each effort was made, the medium through which each effort was made, and the outcome of each effort with this form, regardless of the level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation. Examples of required documentation include copies of email communications, copies of newspaper advertisements, or copies of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs or SDVOSBs.

 Click or tap here to enter text.

Not applicable.

For detailed information regarding outreach efforts that satisfy the MBE Program's requirements, please see "Documentation Required for Good Faith Efforts and Outreach Plans" page.

Note: The Bidder/Proposer must be willing to report the identity of each subcontractor and the value of each subcontract to MBEP if awarded a contract from this procurement.


Failure to submit the documentation requested may be cause for rejection of the bid. Bidders may include any other documentation deemed relevant to this requirement, which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the bid, regardless of the proposed level of SBEs, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation in the procurement. If the Good Faith and Outreach Effort Form and associated documentation is not submitted with the bid response, the bid may be rejected.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Davis H. Elliot Construction Company, Inc.

Company
3/10/2026

Date



Company Representative
Vice President

Title

4870-1925-6809, v. 1

GENERAL PROVISIONS

1. Each Respondent shall comply with all Federal, State & Local regulations concerning this type of service or good.

The Respondent agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, *29 U.S.C. 650 et. seq.*, as amended, and KRS Chapter 338. The Respondent also agrees to notify the LFUCG in writing immediately upon detection of any unsafe and/or unhealthful working conditions at the job site. The Respondent agrees to indemnify, defend and hold the LFUCG harmless from all penalties, fines or other expenses arising out of the alleged violation of said laws.

2. Failure to submit ALL forms and information required in this RFP may be grounds for disqualification.
3. Addenda: All addenda and IonWave Q&A, if any, shall be considered in making the proposal, and such addenda shall be made a part of this RFP. Before submitting a proposal, it is incumbent upon each proposer to be informed as to whether any addenda have been issued, and the failure to cover in the bid any such addenda may result in disqualification of that proposal.
4. Proposal Reservations: LFUCG reserves the right to reject any or all proposals, to award in whole or part, and to waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic needs.
5. Liability: LFUCG is not responsible for any cost incurred by a Respondent in the preparation of proposals.
6. Changes/Alterations: Respondent may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the proposal, and received by LFUCG prior to the scheduled closing time for receipt of proposals, will be accepted. The proposal, when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of proposal".
7. Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from a Respondent.
8. Bribery Clause: By his/her signature on the bid, Respondent certifies that no employee of his/hers, any affiliate or Subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.

9. Additional Information: While not necessary, the Respondent may include any product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the Respondent's response. Additional documentation shall not serve as a substitute for other documentation which is required by this RFP to be submitted with the proposal,
10. Ambiguity, Conflict or other Errors in RFP: If a Respondent discovers any ambiguity, conflict, discrepancy, omission or other error in the RFP, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
11. Agreement to Bid Terms: In submitting this proposal, the Respondent agrees that it has carefully examined the specifications and all provisions relating to the work to be done attached hereto and made part of this proposal. By acceptance of a Contract under this RFP, proposer states that it understands the meaning, intent and requirements of the RFP and agrees to the same. The successful Respondent shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to Respondent shall be authorized for services or expenses reasonably covered under these provisions that the proposer omits from its Proposal.
12. Cancellation: If the services to be performed hereunder by the Respondent are not performed in an acceptable manner to the LFUCG, the LFUCG may cancel this contract for cause by providing written notice to the proposer, giving at least thirty (30) days notice of the proposed cancellation and the reasons for same. During that time period, the proposer may seek to bring the performance of services hereunder to a level that is acceptable to the LFUCG, and the LFUCG may rescind the cancellation if such action is in its best interest.

A. Termination for Cause

- (1) LFUCG may terminate a contract because of the contractor's failure to perform its contractual duties
- (2) If a contractor is determined to be in default, LFUCG shall notify the contractor of the determination in writing, and may include a specified date by which the contractor shall cure the identified deficiencies. LFUCG may proceed with termination if the contractor fails to cure the deficiencies within the specified time.
- (3) A default in performance by a contractor for which a contract may be terminated shall include, but shall not necessarily be limited to:
 - (a) Failure to perform the contract according to its terms, conditions and specifications;
 - (b) Failure to make delivery within the time specified or according

- to a delivery schedule fixed by the contract;
- (c) Late payment or nonpayment of bills for labor, materials, supplies, or equipment furnished in connection with a contract for construction services as evidenced by mechanics' liens filed pursuant to the provisions of KRS Chapter 376, or letters of indebtedness received from creditors by the purchasing agency;
 - (d) Failure to diligently advance the work under a contract for construction services;
 - (e) The filing of a bankruptcy petition by or against the contractor; or
 - (f) Actions that endanger the health, safety or welfare of the LFUCG or its citizens.


B. At Will Termination

Notwithstanding the above provisions, the LFUCG may terminate this contract at will in accordance with the law upon providing thirty (30) days written notice of that intent, Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

13. **Assignment of Contract:** The contractor shall not assign or subcontract any portion of the Contract without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
14. **No Waiver:** No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this Contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
15. **Authority to do Business:** The Respondent must be a duly organized and authorized to do business under the laws of Kentucky. Respondent must be in good standing and have full legal capacity to provide the services specified under this Contract. The Respondent must have all necessary right and lawful authority to enter into this Contract for the full term hereof and that proper corporate or other action has been duly taken authorizing the Respondent to enter into this Contract. The Respondent will provide LFUCG with a copy of a corporate resolution authorizing this action and a letter from an attorney confirming that the proposer is authorized to do business in the State of Kentucky if requested. All proposals must

be signed by a duly authorized officer, agent or employee of the Respondent.

16. **Governing Law:** This Contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this Contract, the Parties agree that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to this Contract or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.
17. **Ability to Meet Obligations:** Respondent affirmatively states that there are no actions, suits or proceedings of any kind pending against Respondent or, to the knowledge of the Respondent, threatened against the Respondent before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of Respondent to perform its obligations under this Contract, or which question the legality, validity or enforceability hereof or thereof.
18. Contractor understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Contractor is an independent contractor at all times during the performance of the services specified.
19. If any term or provision of this Contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.
20. Contractor [or Vendor or Vendor's Employees] will not appropriate or make use of the Lexington-Fayette Urban County Government (LFUCG) name or any of its trade or service marks or property (including but not limited to any logo or seal), in any promotion, endorsement, advertisement, testimonial or similar use without the prior written consent of the government. If such consent is granted LFUCG reserves the unilateral right, in its sole discretion, to immediately terminate and revoke such use for any reason whatsoever. Contractor agrees that it shall cease and desist from any unauthorized use immediately upon being notified by LFUCG.



Signature Julia E. Bellendir, Vice President

3/10/2026

Date

**RISK MANAGEMENT PROVISIONS
INSURANCE AND INDEMNIFICATION**

INDEMNIFICATION AND HOLD HARMLESS PROVISION

- (1) It is understood and agreed by the parties that Contractor hereby assumes the entire responsibility and liability for any and all damages to persons or property caused by or resulting from or arising out of any act or omission on the part of Contractor or its employees, agents, servants, owners, principals, licensees, assigns or subcontractors of any tier (hereinafter "CONTRACTOR") under or in connection with this agreement and/or the provision of goods or services and the performance or failure to perform any work required thereby.
- (2) CONTRACTOR shall indemnify, save, hold harmless and defend the Lexington-Fayette Urban County Government and its elected and appointed officials, employees, agents, volunteers, and successors in interest (hereinafter "LFUCG") from and against all liability, damages, and losses, including but not limited to, demands, claims, obligations, causes of action, judgments, penalties, fines, liens, costs, expenses, interest, defense costs and reasonable attorney's fees that are in any way incidental to or connected with, or that arise or are alleged to have arisen, directly or indirectly, from or by CONTRACTOR's performance or breach of the agreement and/or the provision of goods or services provided that: (a) it is attributable to personal injury, bodily injury, sickness, or death, or to injury to or destruction of property (including the loss of use resulting therefrom), or to or from the negligent acts, errors or omissions or willful misconduct of the CONTRACTOR; and (b) not caused solely by the active negligence or willful misconduct of LFUCG.
- (3) In the event LFUCG is alleged to be liable based upon the above, CONTRACTOR shall defend such allegations and shall bear all costs, fees and expenses of such defense, including but not limited to, all reasonable attorneys' fees and expenses, court costs, and expert witness fees and expenses, using attorneys approved in writing by LFUCG, which approval shall not be unreasonably withheld.
- (4) These provisions shall in no way be limited by any financial responsibility or insurance requirements, and shall survive the termination of this agreement.
- (5) LFUCG is a political subdivision of the Commonwealth of Kentucky. CONTRACTOR acknowledges and agrees that LFUCG is unable to provide indemnity or otherwise save, hold harmless, or defend the CONTRACTOR in any manner.
- (6) Notwithstanding, the foregoing with respect to any professional services performed by CONTRACTOR hereunder (and to the fullest extent permitted by law), CONTRACTOR shall indemnify, save, hold harmless and defend LFUCG from and against any and all liability, damages and losses, including but not limited to, demands, claims, obligations, causes of action, judgments, penalties, fines, liens, costs, expenses, interest, defense costs and reasonable attorney's fees, for any damage due to death or injury to any person or injury to any property (including the loss of use resulting therefrom) to the extent arising out of, pertaining to or

relating to the negligence, recklessness or willful misconduct of CONTRACTOR in the performance of this agreement.

FINANCIAL RESPONSIBILITY

BIDDER/CONTRACTOR understands and agrees that it shall demonstrate the ability to assure compliance with the above Indemnity provisions and these other risk management provisions prior to final acceptance of its bid and the commencement of any work or provision of goods.

INSURANCE REQUIREMENTS

YOUR ATTENTION IS DIRECTED TO THE INSURANCE REQUIREMENTS BELOW, AND YOU MAY NEED TO CONFER WITH YOUR INSURANCE AGENTS, BROKERS, OR CARRIERS TO DETERMINE IN ADVANCE OF SUBMISSION OF A RESPONSE THE AVAILABILITY OF THE INSURANCE COVERAGES AND ENDORSEMENTS REQUIRED HEREIN. IF YOU FAIL TO COMPLY WITH THE INSURANCE REQUIREMENTS BELOW, YOU MAY BE DISQUALIFIED FROM AWARD OF THE CONTRACT.

Required Insurance Coverage

BIDDER/CONTRACTOR shall procure and maintain for the duration of this contract the following or equivalent insurance policies at no less than the limits shown below and cause its subcontractors to maintain similar insurance with limits acceptable to LFUCG in order to protect LFUCG against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by CONTRACTOR. The cost of such insurance shall be included in any bid:

<u>Coverage</u>	<u>Limits</u>
General Liability (Insurance Services Office Form CG 00 01)	\$1 million per occurrence, \$2 million aggregate or \$2 million combined single limit
Worker's Compensation	Statutory
Employer's Liability	\$100,000
Professional Liability	\$1 million per occurrence

The policies above shall contain the following conditions:

- a. All Certificates of Insurance forms used by the insurance carrier shall be properly filed and approved by the Department of Insurance for the Commonwealth of Kentucky (DOI). LFUCG shall be named as an additional insured in the General Liability Policy

and Commercial Automobile Liability Policy using the Kentucky DOI approved forms.

- b. The General Liability Policy shall be primary to any insurance or self-insurance retained by LFUCG.
- c. The General Liability Policy shall include Premises and Operations coverage unless it is deemed not to apply by LFUCG.
- d. The General Liability Policy shall include Employment Practices Liability coverage or an endorsement in a minimum amount of \$1 million unless it is deemed not to apply by LFUCG.
- e. The Policy shall include Umbrella/Excess Liability coverage in the amount of \$1 million per occurrence, \$5 million aggregate, unless it is deemed not to apply by LFUCG.
- f. LFUCG shall be provided at least 30 days advance written notice via certified mail, return receipt requested, in the event any of the required policies are canceled or non-renewed.
- g. Said coverage shall be written by insurers acceptable to LFUCG and shall be in a form acceptable to LFUCG. Insurance placed with insurers with a rating classification of no less than Excellent (A or A-) and a financial size category of no less than VIII, as defined by the most current Best's Key Rating Guide shall be deemed automatically acceptable.

Renewals

After insurance has been approved by LFUCG, evidence of renewal of an expiring policy must be submitted to LFUCG, and may be submitted on a manually signed renewal endorsement form. If the policy or carrier has changed, however, new evidence of coverage must be submitted in accordance with these Insurance Requirements.

Deductibles and Self-Insured Programs

IF YOU INTEND TO SUBMIT A SELF-INSURANCE PLAN IT MUST BE FORWARDED TO LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT, DIVISION OF RISK MANAGEMENT, 200 EAST MAIN STREET, LEXINGTON, KENTUCKY 40507 NO LATER THAN A MINIMUM OF FIVE (5) WORKING DAYS PRIOR TO THE RESPONSE DATE.

Self-insurance programs, deductibles, and self-insured retentions in insurance policies are subject to separate approval by Lexington-Fayette Urban County Government's Division of Risk Management, upon review of evidence of BIDDER/CONTRACTOR's financial capacity to respond to claims. Any such programs or retentions must provide LFUCG with at least the same protection from liability and defense of suits as would be afforded by first-dollar insurance coverage

Safety and Loss Control

CONTRACTOR shall comply with all applicable federal, state, and local safety standards related to the performance of its works or services under this Agreement and take necessary

action to protect the life, health and safety and property of all of its personnel on the job site, the public, and LFUCG.

Verification of Coverage

BIDDER/CONTRACTOR agrees to furnish LFUCG with all applicable Certificates of Insurance signed by a person authorized by the insurer to bind coverage on its behalf prior to final award, and if requested, shall provide LFUCG copies of all insurance policies, including all endorsements.

Right to Review, Audit and Inspect

CONTRACTOR understands and agrees that LFUCG may review, audit and inspect any and all of its records and operations to insure compliance with these Insurance Requirements.

DEFAULT

BIDDER/CONTRACTOR understands and agrees that the failure to comply with any of these insurance, safety, or loss control provisions shall constitute default and that LFUCG may elect at its option any single remedy or penalty or any combination of remedies and penalties, as available, including but not limited to purchasing insurance and charging BIDDER/CONTRACTOR for any such insurance premiums purchased, or suspending or terminating the work.

00548704

Specifications

Background:

The Lexington-Fayette Urban County Government owns their own fleet vehicles that are equipped for plowing snow and spreading salt on primary roadways. As well as storm response and recovery for other events. In larger snowfalls and other events, this equipment may not be enough to clear neighborhood streets within reasonable time frame. In the event of a storm or a declared emergency condition that is beyond the capabilities of the LFUCG. LFUCG is seeking to establish unit price contracts for snow and ice removal equipment to supplement LFUCG resources. The vendors selected are offering to provide fully equipped and staffed vehicles and/or equipment on an on-call and as needed basis. ***As such, under this contract there WILL NOT be any fit-up cost reimbursement nor any annual guaranteed minimum contract amounts.***

For events with higher accumulations, or for a series of storms resulting in higher total accumulation of snow, ice storms, or other debris LFUCG may wish to activate supplemental roadway clearing services. Other determining factors include whether previous accumulations may be on the ground, or whether temperature predictions indicate a rapid melting or extended freezing conditions to follow a storm.

Therefore, it is the intent of this RFP to solicit proposals from contractors to provide services and equipment to include but not limited to snowplows with operators suited for roadway clearing from LFUCG streets as supplemental snow plowing operations, ice, or other debris removal operations.

The Lexington-Fayette Urban County Government has approved and adopted Snow Response Plan as well as Emergency Operations Plan. These plans set forth operational frameworks to include street priorities for snow removal and treatment of icy street surfaces by Lexington-Fayette Urban County Government or other emergency public safety situations and responses.

In addition to Snow/Ice response LFUCG may seek to use these same services and associated equipment to assist in debris removal activities following a flood, earthquake or other natural disaster requiring such services. This RFP is intended to secure a listing of private contractors having equipment and employees that could be hired at pre-determined rates to work in conjunction with LFUCG staff and equipment under the direction of LFUCG's Environmental Quality and Public Works Staff Department or Division of Emergency Management.

Equipment List

LFUCG may require the following equipment (or equivalent where manufacturer is listed):

1. Motor Graders w/blade & escort/safety vehicle 125HP or less
2. Motor Graders w/blade & escort/safety vehicle 126HP – 175HP
3. Motor Graders w/blade & escort/safety vehicle 176HP - 250HP
4. Motor Graders w/blade & escort/safety vehicle 251HP or larger

5. Front-End Loader/Wheel Loader 40HP or less
6. Front-End Loader/Wheel Loader 41HP – 74HP
7. Front-End Loader/Wheel Loader 75HP – 109HP
8. Front-End Loader/Wheel Loader 110HP - 199HP
9. Front-End Loader/Wheel Loader 200HP or larger
10. Front-End Loader – Other (i.e. Loader w/grapple, shaker, etc. please specify)
11. Combo Backhoe/Loader 40HP or less
12. Combo Backhoe/Loader 41HP -70HP
13. Combo Backhoe/Loader 71HP – 89HP
14. Combo Backhoe/Loader 90HP or larger
15. Combo Backhoe/Loader Other (i.e. Loader w/grapple, Hoe Ram etc. please specify)
16. Dump Truck with Snowplow Single Rear Axle W/out spreader (26,000 GVWR or larger)
17. Dump Truck with Snowplow Single Rear Axle WITH spreader (26,000 GVWR or larger)
18. Dump Truck with Snowplow Multiple-Rear Axle W/out spreader (26,000 GVWR or larger)
19. Dump Truck with Snowplow Multiple-Rear Axle WITH spreader (26,000 GVWR or larger)
20. Dump Truck for debris hauling capacity: Less than Ten (10) yards
21. Dump Truck for debris hauling capacity: 11 - 19 yards
22. Dump Truck for debris hauling capacity: 20 - 29 yards
23. Dump Truck for debris hauling capacity: 30 or more yards
24. Truck With Snowplow (7' min.) 4WD W/Salt Spreader (1.75yd min.) *Must have strobe lights
25. Truck With Snowplow (7' min.) 4WD W/out Salt Spreader *Must have strobe lights
26. Track hoe/Excavator Less than 10 Ton (Mini-Excavator)
27. Track hoe/Excavator – 10 - 19 Ton
28. Track hoe/Excavator – 20 - 29 Ton
29. Track hoe/Excavator – 30 - 45 Ton
30. Track hoe/Excavator – Greater than 45 Ton
31. Transport truck w/trailer (Flatbed/Lowboy/Open/Enclosed) less than 10 Ton
32. Transport truck w/trailer (Flatbed/Lowboy/Open/Enclosed) up to 24 Ton Capacity

33. Skid Steer/Skid Loader: Mini/Stand-up/Dingo
34. Skid Steer/Skid Loader: Small Frame Under 50 HP
35. Skid Steer/Skid Loader: Medium Frame 50-69 HP
36. Skid Steer/Skid Loader: Large Frame 70 HP or larger
37. Sanding Truck (5CY)
38. Knuckle Boom
39. Bucket Truck
40. Mobile Crane: Less than 30 Ton Capacity
41. Mobile Crane: 31-60 Ton Capacity

NOTE: EQUIPMENT RATES LISTED SHALL BE ALL INCLUSIVE. THIS INCLUDES ALL TIME, MATERIALS, FUEL, CONSUMABLES, AND/OR REPAIRS. LFUCG WILL NOT REIMBURSE FOR ANY ADDITIONAL COST THIS INCLUDES FUEL, MILAGE, OR MOBILIZATION. DUTY HOURS WILL NOT BEGIN UNTIL THE EQUIPMENT REPORTS TO THE STAGING AREA MANAGER "READY" FOR ASSIGNMENT.

All Roadway Salt or liquid treatment materials will be supplied by LFUCG. DO NOT include in your hourly rates.

Enter the hourly rate (including labor) for ALL pieces of equipment you can provide in Ion Wave on the Line Item Tab. If you cannot provide a piece of equipment please click the "no bid" button on the line item. If you can provide other equipment not listed here, please attach a separate sheet listing the equipment type and hourly rate.

Scope of Work

Each contracted company will be required to adhere to the following:

1. Contractors shall furnish all labor, materials and equipment for the removal of snow/ice/debris or other response activities as otherwise directed by LFUCG. This will include but not limited to the streets and/or parking lots.
2. Contractor shall furnish verification of ownership or control of equipment if leased (upon request). LFUCG reserves the right to inspect equipment for serviceability and capacity to complete contracted work. LFUCG reserves the right to reject equipment
3. Notification/request for services:

- *Contractors shall provide LFUCG with a 24/7 contact number for the coordination of the “On-Call” services.*
 - *LFUCG, whenever practical, will provide advanced notice for potential service needs based on National Weather Service forecasts or other sources as applicable related to any predicted event.*
 - *Once an event occurs requiring LFUCG to request services under this contract, LFUCG shall notify the contractor at the provided 24/7 contact number. The contractor shall have four (4) hours to accept/reject the request. The response must also include the equipment/services the contractor is able to supply and an estimated time the equipment will arrive at the LFUCG staging area.*
4. All operators of vehicles requiring a Commercial Driver’s License (CDL) licensed operator, must have a valid Commercial Driver’s License on their person while engaged in the performance of this Contract.
 5. To ensure the overall safety of all personnel involved in the snow operations, including the general public, the Contractor shall be required to provide drivers/operators who are able to communicate in the English language. Contractor shall be required to demonstrate that his/her drivers are capable of understanding instructions and/or maps in English. No payment will be made to the Contractor for failure to provide a driver capable of understanding these instructions.
 6. No compensation shall be allowed over and above the hourly rate for operator and equipment overtime or fringe benefits. Fixed Hourly Rates paid under this Contract shall only be for hours at the job site. Time spent for transportation of workers or movement of Contractor owned or rental equipment is not chargeable directly but is overhead and the cost shall be included in the fixed hourly rates. This hourly rate shall include the furnishing of all fuel, lubrication, supplies, repairs and maintenance to equipment.
 7. The Contractor shall be responsible for establishing schedules so that operators/drivers are not permitted to work more hours than that allowed under the Commercial Drivers License requirements. Any shift changes shall be made to ensure continuous operations.
 8. LFUCG reserves the right to require immediate removal of any Contractor employee or equipment from LFUCG services in the event they are deemed unfit for service for ANY reason not contrary to law. This right is non-negotiable, and the Contractor agrees to this condition by accepting this Contract. The Contractor should have enough qualified people to be able to provide a replacement within twenty-four (24) hours.

INITIAL INSPECTION: All equipment shall be of good general appearance, be free of human graphic art (Company logos and graphics are acceptable), be in excellent mechanical condition, and be approved for use by an LFUCG inspection team.

Vendors that are selected and awarded contracts shall upon request provide a written list of equipment, including make and model, year, VIN and a list of proposed required attachments to any proposed

equipment, including make and model numbers of equipment proposed to provide services under the contract.

Vendor vehicles and equipment shall be subject to inspection whenever reporting for a shift. Equipment must pass LFUCG's inspection and these requirements prior to being released to perform contracted services. Vehicles, Equipment, or Drivers failing to meet these requirements will be rejected. Providing Vehicles, Equipment, or Driver/Operators failing to meet the contract requirements may be subject to contract cancellation.

Any repairs or equipment parts required by the LFUCG inspection team, for passage of the inspection, shall be the responsibility of the Contractor and will be incidental to the bid submitted.

Vendor shall furnish additional support persons as needed at no additional cost to the LFUCG to assist in the coordination of the operation of the equipment or to make repairs to equipment that have broken down and are not back in service in a timely manner.

Vendor shall instruct operators to inform the base of operations for those times that the equipment is out of service, such as rest periods, lunch breaks, or other periods when the equipment is not in service. Failure to notify base of operations shall result in a penalty of \$250.00 per occurrence.

The LFUCG's supervisor's log will be the document used to determine the Contractor's payment for each pay period. It is the **vendors responsibility** to ensure their drivers/operators properly check in/out with LFUCG supervisor to accurately document contracted "In-Service" hours of operation for invoicing.

LFUCG Responsibilities

LFUCG shall inform the Vendor that his drivers/operators will be under the direct supervision of the LFUCG's supervisor at the base for operations.

LFUCG shall provide job tasking, routing, or other instructors to the Vendor's operators in the same manner as provided to the LFUCG's operators.

LFUCG shall furnish all de-icing materials and forms at the base for operations. At the conclusion of a callout event all salt remaining in the truck shall be unloaded at the LFUCG facility. Any de-icing material provided by LFUCG shall not be used for any other routes besides those assigned by LFUCG.

LFUCG shall furnish the Vendor's operators with their primary routes for snow and ice removal, along with an estimated time to complete plowing or salting operations and with an application rate for the de-icing chemicals that are to be applied.

A minimum time period of four (4) hours will be guaranteed payment for provided vehicle/equipment per the Unit Price on each call-out; in addition, a two (2) hour minimum guaranteed payment shall be made if conditions require a second or subsequent shift to relieve the prior shift due to having worked the maximum number of hours per State and Federal Regulations.

Vehicles/equipment that fail equipment or driver inspections and are unable to be placed into

contracted service WILL NOT be paid any minimums. It is the vendors responsibility to provide vehicles and equipment meeting all requirements of the contract.

Payment for this item will be made on a monthly basis for the hours recorded and verified in the LFUCG's logbook and the logbook of the Contractor's operator for each truck unit.

A vendor performance evaluation shall be completed after each snow season.

Changes and Modifications to the Contract

The LFUCG reserves the right to add new and similar items, with the consent of the vendor, to the unit price contract. If an addition is agreeable to both parties, the LFUCG will issue a Modification. The new work specified in the modification shall not commence until formal notice of the Modification is provided by LFUCG.

During the period of the contract, a modification shall not be permitted (with the exception of pricing as stated previously) in any of its conditions and specifications, unless the contractor receives electronic or written approval from the LFUCG. If the contractor finds at any time that existing conditions make modification in requirements necessary, the contractor shall report the matter promptly to the LFUCG for consideration and decision.

Required Submittals

Bid Table

1. Bidders shall complete RFP Bid Table on the Ionwave Portal. Bid table will list equipment mentioned in specifications and bidders will submit an operation rate per hour for each piece of equipment. Operation rate shall include furnishing of all fuel, lubrication, supplies, repairs, delivery, and maintenance costs of equipment.
2. Bidders **are not** required to submit on every piece of equipment and may submit additional equipment on a separate sheet uploaded with your proposal to specify types of service.

Contact Sheet

3. Bidders shall complete the RFP Company Information and Contact Sheet on page 13.

Statement of Qualifications

4. Bidders shall provide a brief history of the firm. Indicate the firm's background in providing these services to governmental entities. Provide a description of the Proposer's unique ability to meet the requirements of the RFP.
5. Statement of qualifications should be limited to 10 pages.

References

6. Bidders shall provide an executive summary of at least three examples of work of similar size and scope. Provide contact information for each example includes the Agency/Organization name, project manager, phone number, email address.

PROOF OF OWNERSHIP: Vendor shall upon request furnish proof of ownership or possession for each piece of equipment. This will include:

The vendor is responsible for ensuring any and all sub-contractor's trucks meet all contract requirements including but not limited to, licensing, registration, and insurance.

If/when applicable - All DOT numbers will be checked prior to award. Companies shall be in good standing on all Motor Carrier license(s) to include all taxes and fees. Prior to an award, if a company is not in good standing, the vendor will be notified in writing and given an opportunity to become compliant with all license(s) to include all taxes and fees required to operate. If at any time during the contract period a vendor is not in good standing, the LFUCG reserves the right to offset monies due the vendor in order to satisfy any vendor debt owed to the LFUCG. Furthermore, the LFUCG reserves the right to cancel the contract for vendor's failure to adhere to and perform per the contract terms, conditions, and specifications.

Use of sub-contractors is at LFUCG's discretion and approval: If using sub-contracts, the vendor is required to submit a list of subcontractors with a contact name and phone number with bid submission. Only approved sub-contractor equipment and personally may be used. The vendor is responsible to ensure all sub-contractor information remains accurate for the entire term of the contract. If sub-contracted equipment or personnel arrives for an event and they have not been previously submitted and approved, the vendor shall be assessed a \$250.00 penalty, and \$250.00 penalty per hour until an approved equipment or driver arrives to perform the contracted service.

EQUIPMENT: Vendor shall furnish equipment that is in good mechanical working condition with all maintenance and repairs including all fuel and lubrication necessary for its operation.

The LFUCG reserves the right to provide AVL (Automatic Vehicle Location) and/or GPS equipment to the vendor for installation or plug in on the Vendor's truck in conjunction with the Snow and Ice Removal Program. The AVL/GPS equipment shall be the property of the LFUCG, and the equipment shall be returned to the LFUCG at the end of the contract period. LFUCG shall be responsible for installation, maintenance, and removal of said equipment.

The Vendor will be granted access to LFUCG's web site for purposes of monitoring vendor's own trucks' activities and performance data during operations for LFUCG.

The Vendor shall be responsible for any damage to the AVL or GPS equipment beyond the normal wear and deterioration of the equipment.

LICENSING: Over the Road vehicles shall be properly registered as required by KRS 186.050 and in compliance with KRS 189.222 throughout the entire year for the duration of the contract. All units shall be verified for proper licenses prior to any payments. No payment will be made until verification is complete. If at any time trucks are found to not be properly licensed or insured, all payments will be stopped, the payments shall be withheld by the LFUCG, and the contract may be cancelled.

When applicable, this registered weight must be greater than the combined weight of the truck, the equipment, and the maximum amount of salt specified for the truck type (i.e. highest gross weight of truck, equipment, salt, and fuel during operation).

INSURANCE: Over the Road Vehicles shall be properly insured for the duration of the contract. Evidence will be furnished to the LFUCG each year that public liability (General Liability) insurance is carried on the above equipment and their operators in the amounts listed in the attached Risk Management Provisions.

Vendor must submit an ACORD Certificate (or equivalent) with the certificate holder listed as:

LFUCG Div. of Central Purchasing
200 East Main Street
Lexington, KY 40507

Any damage claims caused to the Vendor's equipment by LFUCG-owned equipment operated by LFUCG personnel will be submitted to the LFUCG for resolution. LFUCG shall be notified at the time of the damage and photo documentation of the damage shall be collected by LFUCG at the time of the incident in order for the vendor to proceed with a claim.

FINANCIAL CONDITION AND DISCLOSURE REQUIREMENT: Vendor shall furnish the LFUCG with the latest and most current financial disclosure statement upon request.



January 2, 2026

Evidence of Authority to Sign – Davis H. Elliot Construction Company, Inc.

This letter serves to certify that the individuals listed below are officers of Davis H. Elliot Construction Company, Inc. and that they have the authority to execute and enter into bids, contracts, bonds, affidavits, and any ancillary documents on behalf of the Company.

David S. Haskins, Chief Executive Officer
Brian T. Briley, President
Brian L. Sarrett, Senior Vice President
Reather Keith Simpson, Senior Vice President
Tyler S. Haskins, Senior Vice President
Scott A. Navis, Vice President - Chief Financial Officer, Secretary and Treasurer
Kenneth D. Keener Jr., Vice President - Operations Services
Robert M. Scott, Vice President – Financial Services
Donald R. Adkins, Vice President – Safety and Training
Robert W. Fronk, Jr., Vice President - Information Technology
John C. Haskins, Vice President – Region Director
Jacob W. Green, Vice President
Jeffrey R. Zellen, Vice President
Julia E. Bellendir, Vice President
James R. Kemper, Vice President

Sincerely,

A handwritten signature in black ink, appearing to read 'Scott A. Navis', is written over a white background.

Scott A. Navis

Vice President - Chief Financial Officer, Secretary and Treasurer



Davis H. Elliot Construction Company, Inc.

673 Blue Sky Parkway
Lexington, KY 40509
Phone: 859-263-5148
Fax: 859-263-5486
www.dhec.com

Davis H. Elliot Construction Company, Inc.

Subcontractor and Supplier Diversity and Inclusion Policy

Mission Statement

The Davis H. Elliot Company, Inc. (Elliot) strives to create an environment that promotes meaningful business opportunities, showcase viable M/W/DBE firm capabilities, build productive partnerships and exercise effective program management, targeted towards successful integration of M/W/DBE firms within the business structure of the Markets where we work.

The vast majority of Elliot's work is self-performed. Our diversity plan includes looking at every "non-labor" component of our cost structure to employ the use of diverse suppliers which will add value without unnecessary cost burden that would increase our cost of service to the Customer. Attached is a current listing of 2nd Tier Diverse Suppliers which Elliot utilizes. Elliot actively seeks opportunities to support disadvantaged and diverse subcontractors. We advertise, recruit, and otherwise encourage participation when project scope and constraints make subcontracting possible. Elliot maintains a list of suppliers, labor and equipment subcontractors, and specialized service providers in order to maximize our diversity participation.

Disabled, Minority and Women Business Enterprises

The Davis H. Elliot Company strives to maintain an environment that values a diverse supplier base. We believe that by taking the initiative in seeking qualified suppliers, creating, and increasing alliances with and purchasing from M/W/DBEs, and including our customers and consumers in our purchasing strategy, will help build thriving local communities and generate long-term growth, as well as a competitive advantage for us. We do this by offering the greatest possible opportunities for minority and women-owned businesses to contribute as suppliers, contractors, and sub-contractors in our business.



Davis H. Elliot Construction Company, Inc.

673 Blue Sky Parkway
Lexington, KY 40509
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Diverse Suppliers:

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Elliot actively seeks opportunities to support disadvantaged and diverse subcontractors. We advertise, recruit, and otherwise encourage participation when project scope and constraints make subcontracting possible. Elliot maintains a list of suppliers, labor and equipment subcontractors, and specialized service providers in order to maximize our diversity participation.



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2nd Tier Diverse Suppliers

Type of Work	Name of Subcontractor	Classification
Materials	ALH Construction	WBE
Auto Supplies	Baltimore Auto Supply	WBE
Materials	Bluegrass Contracting	VET
Catering	Blume's Catering	MBE
Materials	Captain Construction and Concrete	MBE
Auto Supplies	CBY Enterprises, Inc. (Tire & Tyre Co., LLC.)	MBE
Electrical services	Delta Utility Services, Inc.	WBE
Labor and equipment rental	Eco-Battery	MBE
Materials	Fox Engineering	MBE
Equipment rental	GDM	MBE
Safety consulting	Glenn Smith	WBE
Electrical supply	Gould Electric	MBE
Waste management	Hall Enterprises (dba Logistics Planning Services)	WBE
Traffic Control	Highway Safety Inc.	WBE
Office furniture	Hurst Office Suppliers	WBE
Lodging	Innovative Solutions	WBE
Landscaping	Kimberly Inc.	WBE
Rubber gloves & sleeves	Kinmar	WBE
Equipment rental	Lorenzo Construction Co, LLC	MBE
Materials	Lyndco Inc.	WBE
Security services	Mid-Atlantic Security Agency	MBE
Janitorial services	My Cleaning Service	MBE
Electrical supply	Old Dominion Electric Supply	MBE
Pavement marking	Payne's Parking Designs	MBE
Heating oil	Phoenix Petroleum	MBE
Electrical supply	Precision Contracting	WBE
Office supplies	Rudolph's Office & Computer	WBE
Traffic Control	Safety Caution Equipment	MBE
Materials	ShePaul Enterprises	MBE
Traffic Control	Superior Pavement Markings, Inc.	MBE
Traffic signs	TKM, Inc.	WBE
Equipment rental	TNE Global	MBE
Materials	Toni Levy & Associates (DBA Levy's Construction Building)	MBE
Electrical services	Tucker Construction Group Inc.	MBE
Electrical supply	United American Supply LLC	MBE
Delivery Svcs./Hauling/Fuel/ Equipment rental/Lodging	Xpress Management Solutions	MBE
Delivery Svcs.	Xpress Solutions	MBE

Affirmative Action Plan



2025-2026

Davis H. Elliot is an electrical contractor with approximately 3,100 employees. Our primary services include the construction and maintenance of overhead and underground distribution lines for investor-owned utilities, municipalities, and cooperatives.

Our current workforce in Kentucky, which stands at approximately 1,125 employees, includes 38 minorities, representing 3.4% of our total workforce. This is a significant improvement from the 15 minorities reported in 2022, and we are committed to further increasing our diversity to better reflect the communities around us. In the past two years, we have added 29 female workers to our payroll, doubling our female employee count from 2022.

To achieve success, we believe in establishing clear goals, communicating them effectively, and tracking our performance against those targets. Given the nature of our business, it is challenging to predict growth in any specific geographic market since utility contracts often have no volume guarantees, and we also bid on individual projects.

For planning purposes, we assume our Kentucky workforce will remain at its current level for the period 2025–2026. Based on historical trends, we project annual turnover as follows:

- 5% in Officials and Managers and Professional categories
- 10% in Clerical positions
- 20% in skilled positions
- 30% in semi-skilled positions
- 50% in entry-level laborer positions

Based on our projections, we anticipate over 700 job openings over the next four years, starting in 2025. Our specific goal is to hire at least 35 minorities by the end of 2029, which will contribute to a more representative workforce.

At Davis H. Elliot, we are unwavering in our commitment to addressing the underrepresentation of minorities and increasing the representation of females in Kentucky. We actively promote job openings through job fairs, local high schools, and lineman/trade schools. While we have made progress in minority hiring, we also recognize the importance of internal growth.

Sincerely,

A handwritten signature in blue ink that reads 'Clint Lester'.

Clint Lester

Director, HR & Risk

EQUAL EMPLOYMENT OPPORTUNITY STATEMENT



It has been and will continue to be a fundamental policy of Davis H. Elliot Company, Inc. (the "Company"), not to discriminate on the basis of race, color, religion, sex, national origin, age, disability, status as a Vietnam era veteran, special disabled veteran, recently separated veteran, other protected veteran, or any other status or condition protected by applicable federal, state or local law, with respect to recruitment, hiring, training, promotion, and other terms and conditions of employment.

Effective implementation and continuing administration of this policy will be the direct responsibility of this office. Clint Lester has been appointed as Affirmative Action Administrator with responsibilities to maintain and implement our Affirmative Action Plan and to ensure that the coordination, direction and review of equal employment opportunity policies, practices and programs is accomplished. Clint Lester will also be responsible for making reports to senior company management on our equal employment efforts on a periodic and continuing basis.

All supervisory personnel should make special efforts to assure that all employees reporting to them understand and effectively implement the policy. Supervisory employees will be evaluated on their adherence and commitment to our policy.

The Company does not condone and will not tolerate the harassment of any employee placed through our affirmative action efforts. Complaints of harassment of any type should be brought to the attention of Clint Lester or the President/CEO. Further, all complaints of discriminatory treatment in violation of this policy should be brought to the attention of Clint Lester or President/CEO so that an internal investigation may be undertaken.

We must all realize that it is the responsibility of each and every employee of Davis H. Elliot to give our policy of equal employment opportunity real meaning and full support.

A handwritten signature in black ink, appearing to read 'D. Haskins'.

David Haskins
President/CEO

A handwritten signature in black ink, appearing to read 'Clint Lester'.

Clint Lester
Human Resources Director