



LEXINGTON

**Bid 42-2020 Addendum 2
Third Rock Consultants, LLC
Supplier Response**

Event Information

Number: Bid 42-2020 Addendum 2
Title: Greenway Maintenance
Type: Competitive Bid
Issue Date: 3/12/2020
Deadline: 4/16/2020 02:00 PM (ET)

Contact Information

Contact: Kristie Thomas
Address: Central Purchasing
Government Center Building
Room 338
200 East Main Street
Lexington, KY 40507
Phone: (859) 2583320
Fax: (859) 2583322
Email: kthomas@lexingtonky.gov

Third Rock Consultants, LLC Information

Address: 2526 Regency Road
Suite 180
Lexington, KY 40503
Phone: (859) 977-2000

ONLY ONLINE BIDS WILL BE ACCEPTED FOR THIS SOLICITATION.

Molly Foree Cummins

Signature

Submitted at 4/16/2020 12:59:58 PM

mforee@thirdrockconsultants.com

Email

Response Attachments

Third Rock LFUCG_Workforce_Analysis_Form.pdf

Workforce Analysis Form

Transmittal Letter 4-15-20.pdf

Letter

Third Rock Affidavit.pdf

affidavit

Third Rock 42-2020_Addendum_#1.pdf

add 1

Third Rock 42-2020_Addendum_#2.pdf

add 2

Third Rock LFUCG_MWDBE_Participation_Forms.pdf

MWDBE

Attachment A - List of Projects and References.pdf

References

Attachment B - Key Staff List with Credentials.pdf

resumes

This Affidavit must be completed before your firm can be considered for award of this contract.

AFFIDAVIT

Comes the Affiant, Molly Foree Cummins, and after being first duly sworn under penalty of perjury as follows:

1. His/her name is Molly Foree Cummins and he/she is the individual submitting the bid or is the authorized representative of Third Rock Consultants, LLC, the entity submitting the bid (hereinafter referred to as "Bidder")
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught. Molly Foree Cummins

STATE OF Kentucky

COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me by Molly Foree Cummins on this the 16th day of April, 2020.

My Commission expires: 7-13-2020

BECKY J. WEATHERFORD
NOTARY PUBLIC
Kentucky, State At Large
I.D. # 560763
My Commission Expires 7/13/2020

Becky J Weatherford
NOTARY PUBLIC, STATE AT LARGE

Please refer to Section II, Bid Conditions, Item "U" prior to completing this form.

WORKFORCE ANALYSIS FORM

Name of Organization: Third Rock Consultants, LLC

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
<i>Full Time Staff</i>																	
Administrators	1		1														1
Professionals	8	4	4													4	4
Superintendents																	
Supervisors	2	1	1													1	1
Foremen	2	2														2	
Technicians	4	3	1													3	1
Protective Service																	
Para-Professionals																	
Office/Clerical	2		2														2
Skilled Craft																	
Service/Maintenan																	
Total:	19															10	9

Prepared by: Molly Foree Cummins, President
(Name and Title)

Date: 04 / 16 / 2020



LEXINGTON

LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 42-2020 Greenway Maintenance

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. Third Rock Consultants, LLC 2526 Regency Road, Ste 180 Lexington, KY 40503	WBE	All tasks		100%
2.				
3.				
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Third Rock Consultants, LLC
Company

4-16-2020
Date

Mally Fore Cummins
Company Representative

President
Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 42-2020 Greenway Maintenance

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

_____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

_____ Included documentation of advertising in the above publications with the bidders good faith efforts package

_____ Attended LFUCG Central Purchasing Economic Inclusion Outreach event

_____ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

_____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

_____ Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

_____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

_____ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

_____ Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

_____ Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

_____ Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

_____ Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

_____ Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

_____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

_____ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

_____ Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

 X Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

Third Rock Consultants, LLC is a WBE- certified, small business entity.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Third Rock Consultants, LLC
Company

April 16, 2020
Date

Mally Fore Cummins
Company Representative

President
Title

Attachment

Third Rock Consultants, LLC
Response to LFUCG Invitation to Bid
#42-2020 Greenway Maintenance

List of Similar Projects
and References



Project Name / Location	Client / Owner / User Agency	Performed in the Past Three Years	Reference (Contact)	Tasks Similar to those Requested by this RFP					
				Streambank Stabilization		Native Plant(s)		Invasive Species Control	
				Design	Construction	Planting Plan	Installation	Planning	Implementation
Phillips Lighting Plan Northern Drainage Area Restoration Boyle County, Kentucky	Arcadis US, Inc. Phillips Lighting North American Corporation	Yes	Amanda Kohler, P.E., Project Manager Arcadis US, Inc. (315) 671-9333 amanda.kohler@arcadis.com See attached summary that follows					✓	✓
Goose Creek Stream Stabilization and Wetland Restoration Casey County, Kentucky	Kentucky Stream Restoration, LLC KY Finance and Administration Cabinet KY Department of Fish and Wildlife Resources	Yes	Joe Asher, Jr., President Kentucky Stream Restoration (606) 682-2229 kystream@icloud.com See attached summary that follows	✓	✓	✓		✓	
Laurel Gorge Stream Stabilization Mabry Wildlife Management Area Elliott County, Kentucky	KY Finance and Administration Cabinet KY Department of Fish and Wildlife Resources	Yes	Josh Morgan, PE, Project Manager KY Finance and Administration Cabinet (502) 782-0320 joshn.morgan@ky.gov See attached summary that follows	✓	✓	✓	✓	✓	✓
Cypress Creek Stream Stabilization and Wetland Restoration Wendell H. Ford Training Center Muhlenberg County, KY	KY Finance and Administration Cabinet KY Department of Military Affairs	Yes	Chuck Ammons, Assistant Director Kentucky Department of Military Affairs (502) 607-1356 chuck.c.ammonsjr.nfg@mail.mil See attached summary that follows	✓		✓		✓	
Wetland Restoration Ashton Park Subdivision Hardin County, Kentucky	Blue Ribbon, LLC	Yes	Glenn Turner, PE, PLS Blue Ribbon, LLC (270) 766-7679 gsturner@msn.com See attached summary that follows			✓	✓		
North Watershed Remediation Cowley Crossing Subdivision Hardin County, Kentucky	Phillips Brothers Construction, LLC Hardin County Developers, LLC	Yes	Larry Phillips, President Phillips Brothers Construction, LLC (270) 877-6303 larryphillips13@yahoo.com See attached summary that follows	✓		✓	✓	✓	
Whitley Branch and Town Branch Stream Stabilization and Wetland Restoration Laurel County, Kentucky	KY Natural Resources and Environmental Protection Cabinet, Division of Water The City of London		<i>See attached summary that follows</i>	✓	✓	✓	✓	✓	
Levi Jackson State Park Stormwater Wetland and Outdoor Education Center Laurel County, Kentucky	KY Natural Resources and Environmental Protection Cabinet, Division of Water The City of London		<i>See attached summary that follows</i>			✓	✓	✓	✓
Iroquois Park BMP Installation Jefferson County, KY	Olmstead Parks Conservancy		<i>See attached summary that follows</i>	✓	✓	✓	✓		
Billtown Road Interceptor Stream Stabilization Jefferson County, Kentucky	Flynn Brothers Contracting, Inc. Louisville Metropolitan Sewer District (MSD)		<i>See attached summary that follows</i>	✓	✓	✓	✓		

Project Name / Location	Client / Owner / User Agency	Performed in the Past Three Years	Reference (Contact)	Tasks Similar to those Requested by this RFP					
				Streambank Stabilization		Native Plant(s)		Invasive Species Control	
				Design	Construction	Planting Plan	Installation	Planning	Implementation
Stormwater Wetlands Woodford County, KY	Midway Woman's Club		See attached summary that follows			✓	✓		

Arcadis - Northern Drainage Area Remediation



Herbicide application with ATV sprayer



Herbicide application on left descending bank south of culvert



Herbicide application with backpack sprayer



Left descending bank post herbicide application and re-seeding; south of culvert



Evidence of select control on targeted species; post herbicide application



Johnsongrass post herbicide treatment

Goose Creek Stream Stabilization and Wetland Restoration



Pre-restoration view (upstream) of highly eroded, unstable bank and overwidened Goose Creek



Pre-restoration view (upstream) of typical degraded tributary with downcutting & overwidening



Stable constructed riffle, Goose Creek,



Stable tributary pattern with habitat/grade control



Rootwad bank habitat, Goose Creek,



Stable tributary with habitat/grade control structures,

Laurel Gorge Stream Stabilization



Eroding bank prior to restoration



Multiflora rose before herbicide application



Multiflora rose after herbicide application



Casey Mattingly assessing existing site conditions



Ryan McGregor collecting geomorphic data

Ashton Park Subdivision - Wetland Restoration



Photo Station 1 - Trees Located in Drainage Swale Prior to Installation 20161206_152633.jpg



Photo Station 2 - Planting Trees along Pond's Edge 20161207_121114.jpg



Photo Station 3 - Planting Trees within Drainage Swale 20161207_125322.jpg



Photo Station 4 - Tree with PVC Wrap and Weed Barrier 20161207_142753.jpg



Photo Station 5 - Completed Plantings along Pond Edge 20161207_150844.jpg



Photo Station 6 - Completed Planting within Drainage Swale 20161207_152637.jpg

North Watershed Remediation



Rock step structure installation, Tributary #2



Casey Mattingly gathering data along Tributary #2



Casey Mattingly and Rain Storm meet on site with the owner and his construction supervisor to review the project



Initial grading of Tributary #1 channel



Newly constructed channel with X-Weir and coir blanket bank protection – plantings to follow in December



X-Weir structure installation

Whitely Branch and Town Branch



Rain Storm evaluate unstable bank conditions on Whitely Branch



Whitely Branch with eroding banks and mid-channel bar before restoration



City personnel installing a habitat log structure under Third Rock supervision



Town Branch – altered with little in-stream habitat adjacent to London's waste transfer and recycling station



Successful emergent wetland restoration within the 80-acre site.



Casey Mattingly oversees installation of brush and woody debris

Levi Jackson Stormwater Wetland



Stormwater Storage Shortly After a Rain Event



Conceptual Design / Rendering of Stormwater Wetland



Native Wildflower Meadow Provides Upland Buffer



Excavation of Stormwater Wetland



Emergent Wetland Vegetation Provides Stormwater Treatment



Application of Site-Specific Native Seed and Straw Mulch

Iriquois Park BMPs



Constructed Step Pool Sequence



Constructed Rain Gardens



Constructed Stormwater BMP

Billtown Road Stream Bank Stabilization



Innovative Stream Crossing Installed by Third Rock



Completed Bank Stabilization

Midway Rain Gardens



Planted Rain Garden, Women's Club Site



Plantings Installed, Shockley Site



Excavation of Soil and Ash at Women's Club Site



Women's Club Rain Garden, September 2009

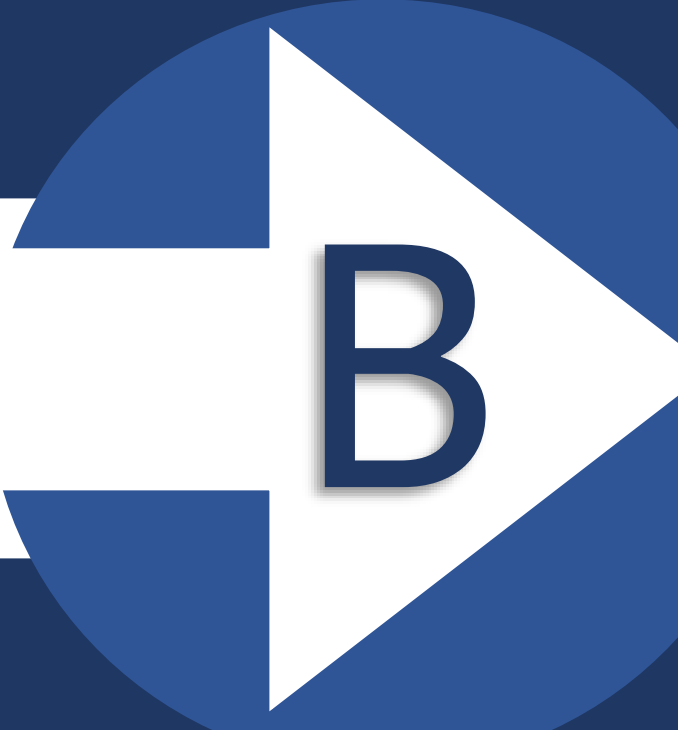


Excavation, Shockley Site

Attachment

Third Rock Consultants, LLC
Response to LFUCG Invitation to Bid
#42-2020 Greenway Maintenance

List of Key Staff with Relevant
Credentials and Qualifications



Attachment B - Key Staff List

Name	Anticipated Assignment(s)	Total Yrs Exp	Yrs With TRC	Education	Licenses / Registrations / Certifications	Specialized Training
Molly Foree Cummins	Contract Administration	27	19	JD University of Kentucky College of Law, 1993 BA in English, University of Kentucky, 1990	KBA #84944	
Casey Mattingly, PE	Stream Stabilization Design (Lead)	15	8	BS in Biosystems and Agricultural Engineering, University of Kentucky, 2004 BS in Physics, Morehead State University, 2005	KY PE #27059 TN PE #117195 WV PE #20629 KEPSC Roadway Inspector #161100080	KEPSC for Roadway Inspectors Requalification Course, Kentucky Transportation Center, 2019 Stream Functions Pyramid Workshop, Stream Mechanics, 2018 NC State EcoStream Conference, 2018 Best Practices for Managing Construction Documentation, KSPE Convention, 2017 Performance-Based Practical Design, KSPE Convention, 2017 Post-Construction Stormwater Management Workshop, LFUCG, 2017 KEPSC for Roadway Inspectors Qualification Course, University of Kentucky, 2016 Pathogens in Urban Stormwater Systems: Understanding and Identifying Sources, ASCE, 2015 Pathogens in Urban Stormwater Systems: A Practical Guide for MS4s, ASCE, 2015 Voodoo Hydrology - Pitfalls of Urban Hydrology Methods and What You Need to Know, Forester University, 2014 Innovations in Urban Stream Restoration, Stormwater Management Research and Training Center, University of Tennessee, 2013 Advancing Green Infrastructure in Lexington, Kentucky River Watershed Watch, 2013 Post-Construction BMP Design Workshop, Sanitation District #1, 2011 Stream Restoration Design and Monitoring, Canadian Rivers Institute, 2008 River Restoration / Natural Channel Design, Wildland Hydrology (Rosgen Level IV), 2007 River Assessment / Monitoring, Pilot View Resource Conservation and Development (Rosgen Level III), 2007 River Morphology / Applications, Wildland Hydrology (Rosgen Level II), 2006 Applied Fluvial Geomorphology, Pilot View Resource Conservation and Development (Rosgen Level I), 2006 Natural Rivers: Mechanisms, Morphology, and Management, Dr. Hey, Instructor, 2006
Ginar Morris, PG	Design (Soils) Erosion and Sediment Control	45	15	MS in Geology, Eastern Kentucky University, 1975 BS in Geology, Eastern Kentucky University, 1970	KY PG #112205 TN PG #5183 KEPSC Roadway Inspector #K18030048 OSHA Hazwoper 40-Hr Professional Wetland Scientist #000590	KEPSC for Roadway Inspectors Qualification Course, Kentucky Transportation Center, 2018 KEPSC for Roadway Inspectors Requalification Course, Kentucky Transportation Center, 2013 Basic Processes in Hydric Soils, University of Georgia and North Carolina State University, 2005 Ecosystem Enhancement Program, FHWA and NC DOT, 2003 Applied Fluvial Geomorphology, Pilot View Resource Conservation and Development (Rosgen Level I), 2003 Advanced Wetland Delineation, Wetland Training Institute, 1994 Wetland Delineation, U.S. Army Corps of Engineers, 1992 Wetland Delineation, Classification, and Functional Assessment, University of Georgia, 1992 Federal Wetland Regulations, Wetland Training Institute, 1991
Cory Bloyd	Site Supervisor (Stream Stabilization) Stream Stabilization Construction (Operator) Invasive Species Control (Operator/Applicator) Erosion and Sediment Control	15	15	BS in Natural Resources Conservation and Management, University of Kentucky, 2005	Commercial Pesticide Operator #33339 (O2, O5) KEPSC Roadway Inspector #191100221 TN EPSC Level I #132327 OSHA Hazwoper 24-Hr NWI Certified Wetland Delineator	KEPSC for Roadway Inspectors Requalification Course, Kentucky Transportation Center, 2019 Construction Management, LFUCG, 2019 Post-Construction Stormwater Management Workshop, LFUCG, 2019 Invasive Species Management Techniques, KY Wildlife Society, 2018 Stream and BMP Monitoring, Center for Watershed Protection, 2018 Post-Construction Stormwater Management Workshop, LFUCG, 2018 Vegetation Management Association 37th Annual Meeting, 2017 TC3 Erosion and Sediment Control, AASHTO, 2017 KEPSC for Roadway Inspectors Requalification Course, University of Kentucky, 2016 TDEC Level I Fundamentals of Erosion Prevention and Sediment Control for Construction Sites, 2015 Aquatic Plant and Algae Control Training, Kentucky State University, 2015 Tree Care Training, University of Kentucky Cooperative Extension Office / Jarvis Tree Service, 2015 Commercial Pesticide Applicator Training, Morehead State University, 2014 KEPSC for Roadway Inspectors Requalification Course, Kentucky Transportation Center, 2013 Wolf Creek Wetland Construction Workshop, Thomas R. Biebighauser, Instructor, Center for Wetlands and Stream Restoration, 2009 Wetland Delineator Certification Program, Wetland Training Institute, 2009 Trail Master Trails Training Course, Michael T Riter, Instructor, 2008 University of Kentucky, Turner School of Construction Management, 2008 Stream System Analysis, University of Kentucky, 2005
Rain Storm	Native Plants Design (Lead) Native Plants Installation (Supervisor)	20	15	BS in Wildlife Management, Eastern Kentucky University, 2000	Wetland Training Institute, Certified Wetland Delineator	Stream Functions Pyramid Workshop, Stream Mechanics, 2018 EcoStream - Stream Ecology and Restoration Conference, NC State University Stream Restoration Program, 2014 River Restoration Hydraulics, Canadian Rivers Institute, Bob Newberry, Ph.D., Instructor, 2014 Innovations in Urban Stream Restoration, Stormwater Management Research and Training Center at the University of Tennessee, 2013 USACE Regional Supplemental Seminar, Wetland Training Institute, 2010 Rapanos Guidance for Approved Jurisdictional Determinations, Completing Documentation, USACE, 2009 Wetland Restoration, Eastern Regional Wetland Restoration Institute, 2007 Planning, Site Selection, and Hydrology Models for Constructed Wetlands, Wetland Training Institute, 2006 Wetland Flora, Institute of Botanical Training, 2006 Basic Processes in Hydric Soils, Dr. M.J. Vepraskas, Instructor, North Carolina State University, 2005 Wetland Delineator Certification Program, Wetland Training Institute, 2004 River Restoration / Natural Channel Design, Wildland Hydrology (Rosgen Level IV), 2003 River Assessment / Monitoring, Pilot View Resource Conservation and Development, (Rosgen Level III), 2003 River Morphology / Applications, Wildland Hydrology (Rosgen Level II), 2002 Applied Fluvial Geomorphology, Pilot View Resource Conservation and Development (Rosgen Level I), 2002

Attachment B - Key Staff List

Name	Anticipated Assignment(s)	Total Yrs Exp	Yrs With TRC	Education	Licenses / Registrations / Certifications	Specialized Training
Bert Remley	Native Plants Design Native Plants Installation (Labor)	23	19	MS in Biology, Morehead State University, 1997 BS in Anthropology/Minor in Biology, University of Kentucky, 1991	Wetland Training Institute, Certified Wetland Delineator	Stream and BMP Monitoring, Center for Watershed Protection, 2018 Pathogens in Urban Stormwater Systems: Understanding and Identifying Sources, ASCE, 2015 Pathogens in Urban Stormwater Systems: A Practical Guide for MS4s, ASCE, 2015 River Morphology / Applications, Wildland Hydrology (Rosgen Level II), 2009 Wetland Delineator Certification Program, Wetland Training Institute, 2009 Applied Fluvial Geomorphology, Pilot View Resource Conservation and Development (Rosgen Level I), 2007
James Storm	Native Plants Design Native Plants Installation (Labor)	15	10	BS in Wildlife Management, Eastern Kentucky University, 2005	Wetland Training Institute, Certified Wetland Delineator	Stream and BMP Monitoring, Center for Watershed Protection, 2018 Applied Fluvial Geomorphology, Pilot View Resource Conservation and Development (Rosgen Level I), 2014 Wetland Delineator Certification Program, Wetland Training Institute, 2013
William "Mac" Hall, EIT	Stream Stabilization Design Stream Stabilization Construction (Oversight) Erosion and Sediment Control	2	2	BS in Civil Engineering, University of Kentucky, 2018	KY EIT #15691 KEPSC Roadway Inspector #191100249 MSD EPSC Contractor #15705019	Post-Construction Stormwater Management Workshop, LFUCG, 2019 KEPSC for Roadway Inspectors Qualification Course, Kentucky Transportation Center, 2019 MSD EPSC Ordinance / Contractor Training, 2019
Jared Looney, EIT	Stream Stabilization Design Stream Stabilization Construction (Labor) Erosion and Sediment Control	1	1	BS in Biosystems and Agricultural Engineering, University of Kentucky, 2019	KY EIT #15983 KEPSC Roadway Inspector #191100258 ICC Soils Special Inspector #9208263	Post-Construction Stormwater Management Workshop, LFUCG, 2019 KEPSC for Roadway Inspectors Qualification Course, Kentucky Transportation Center, 2019
Ryan McGregor	Stream Stabilization Construction (Labor) Native Plants Installation (Labor) Invasive Species Control (Applicator)	3	6	BS in Natural Resources and Environmental Science, University of Kentucky, 2017	Commercial Pesticide Applicator #48302 (A2, A5) Wetland Training Institute, Certified Wetland Delineator	Post-Construction Stormwater Management Workshop, LFUCG, 2019 Stream and BMP Monitoring, Center for Watershed Protection, 2018 Basic Wetland Delineation, Wetland Training Institute, 2018 ATV Safety Institute E-Course, 2017
Kenton Hall	Stream Stabilization Construction (Labor) Native Plants Installation (Labor)	1	1	BS in Biology, University of Pikeville, 2019		
Lisa Stratton	GIS Analysis AutoCAD	19	19	BS in Natural Resource Conservation and Management, University of Kentucky, 2001 Associates in Science, Lexington Community College, 1998		Using Google Earth Pro with Microstation, KYTC, 2015 Kentucky GIS Conference, Kentucky Association of Mapping Professionals, 2013 MicroStation for Civil Professionals, Kentucky Society of Professional Engineers, 2009 Geoprocessing CAD Data with ArcGIS, ESRI, 2005 Migrating from ArcView 3.x to ArcView 8, ESRI Virtual Campus and Environmental Systems Research Institute, 2003 Geology, GIS, and Planning, Kentucky Geological Survey, 2003 National Hydrography Dataset (NHD) Concepts, ESRI, 2003 Hydrogeology of Karst Aquifers in the Bluegrass, Kentucky Water Resources, 2002 Use of Basin Maps to Prevent Non-Point Source Pollution, Kentucky Water Resources, 2002 Introduction to ArcView GIS, Shannon L. Pickett, Instructor, ESRI, 2001



ADDENDUM #1

Bid Number: **#42-2020**

Date: April 9, 2020

Subject: **Greenway Maintenance**

Address inquiries to:
Kristie Thomas
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. The bid has been changed to an online only submission. Required submission forms have been uploaded to Ionwave replacing original bid document file.
2. Complete and sign (where appropriate) the bid documents and upload them to the Ion Wave system on the Response Attachments tab of the bid page.
3. Enter your name and email address which indicates your acceptance of the bid terms and conditions on the Response Submission tab.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Third Rock Consultants, LLC

ADDRESS: 2526 Regency Road, Suite 180, Lexington, Kentucky 40503

SIGNATURE OF BIDDER: Mally Fore Cummins





ADDENDUM #2

Bid Number: **#42-2020**

Date: April 9, 2020

Subject: **Greenway Maintenance**

Address inquiries to:
Kristie Thomas
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

- 1. LFUCG Risk Management Provisions have been uploaded to Ionwave.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Third Rock Consultants, LLC

ADDRESS: 2526 Regency Road, Suite 180, Lexington, Kentucky 40503

SIGNATURE OF BIDDER: Mally Foree Cummins





April 15, 2020

Todd Slatin, Director
Lexington-Fayette Urban County Government
Division of Central Purchasing
200 East Main Street, Room 338
Lexington, Kentucky 40507

Re: Invitation to Bid #42-2020
Greenway Maintenance

Mr. Slatin:

On behalf of Third Rock Consultants, LLC (Third Rock), I am pleased to submit this letter of interest and supporting documentation in response to the above-referenced invitation to bid. Third Rock is an environmental planning, design and construction company formed in 2001 and located in Lexington, Kentucky. I am the sole owner and President, singularly responsible for the day-to-day management of the business. As such, Third Rock is a small, woman-owned business certified by the Lexington-Fayette Urban County Government (LFUCG), as well as numerous federal and state governmental entities.

Third Rock's proven, turn-key approach to green infrastructure design, installation, and maintenance is unique and evidenced by the fact that we are the only Kentucky company prequalified by both the Kentucky Transportation Cabinet (KYTC) Construction Branch (*eligibility in the area of stream restoration construction*) and Office of Professional Services (*in the areas Wetlands, Water Quality, Botany, and Stream Mitigation*). As such, we are well-qualified to provide the following greenway management services cost-effectively including:

- controlling noxious weeds and invasive species thru chemical and mechanical removal methods; and/or
- design and installation of native plants (herbaceous and woody) in new or existing naturalized areas; and/or
- general maintenance of naturalized areas and plants (e.g. pollinator beds, rain gardens, wetlands, stream buffers, etc.; and/or
- design and installation of streambank stabilization.

My staff is comprised of 19 full-time and 6 part-time (seasonal) employees who maintain professional licensure, registration and certification in Kentucky. Their disciplines and areas of expertise include:

- engineers, geologists, planners, and technical writers
- ecologists and wetland scientists (*with specialties in botany, wetland plants, water quality, and stream restoration*)
- construction supervisors, equipment operators, and skilled laborers
- licensed commercial pesticide operators/applicators
- erosion and sediment control inspectors

In addition to the Lexington-Fayette Urban County Government (LFUCG), our clients have included the Kentucky Transportation Cabinet, Finance and Administration Cabinet, and Department of Fish and Wildlife Resources; the Tennessee Department of Transportation; Louisville Metropolitan Sewer District and Metro Parks; 21st Century Parks; Olmstead Parks Conservancy; and the City of Prospect. In addition to holding the prime contract for most of our larger turn-key projects, as a certified small, woman-owned business (WBE), we routinely provide “finish” services such as green infrastructure construction, native plant installation, and erosion and sediment control to large, grade and drain contractors in Kentucky.

Relevant, representative projects include:


- the design and/or construction of more than 20 miles of stream bank stabilization and riparian buffer restoration/enhancement
- the design and/or installation of large and small stormwater best management practices (BMP) such as rain gardens, vegetated bioswales, and stormwater wetlands
- construction of approximately 15 miles of natural surface trail

The following documentation is provided for your review, each presented as a separate attachment:

- Attachment “A” A list of representative projects and references, four (4) of which for jobs performed in the last three (3) years
- Attachment “B” A list of key staff who will work on the contract, including relevant credentials and professional qualifications
- Attachment “C” Valid business license
- Attachment “D” Proof of professional liability insurance
- Attachment “E” Proof of bonding capacity

Thank you in advance for your consideration and I look forward to the opportunity to provide LFUCG with greenway maintenance services.

Very truly yours,

A handwritten signature in blue ink that reads "Molly Foree Cummins". The signature is written in a cursive, flowing style.

Molly Foree Cummins, JD
President