



# STATEMENT - OF - QUALIFICATIONS

CONTRACT 7: GEOTECHNICAL TESTING, ANALYSIS AND DESIGN  
& CONTRACT 8: CONSTRUCTION INSPECTION



LFUCG PROFESSIONAL ENGINEERING SERVICES  
MARCH 26, 2014

ELECTRONIC COPY





March, 26 2014

Ms. Theresa Maynard  
Buyer Senior  
Lexington-Fayette Urban County Government  
Room 338, Government Center  
200 East Main Street  
Lexington, KY 40507

**RE: RFP #13-2014 – Request for Qualifications for Professional Engineering Services;  
Category 7: Geotechnical Testing, Analysis, and Design  
Category 8: Construction Inspection**

Dear Ms. Maynard,

**L.E. Gregg Associates** is a name that has been associated with **reliability and experience for 57 years**. We have completed projects in North Dakota, Pennsylvania, Indiana, Ohio, West Virginia and throughout the State of Kentucky. We are pleased to submit our Statement of Qualifications for prequalification in **Category 7: Geotechnical Testing, Analysis and Design** and **Category 8: Construction Inspection**. We believe that our knowledge and experience from working in the city of Lexington for the past 57 years offers LFUCG the best and most cost effective service possible for these categories. L.E. Gregg brings the following to your projects:

- **Project team recently completed work on the Blue Sky Pump Station & Force Main Project, Rogers Road Area Stormwater Improvements Design, and Woodland Storm Sewer Replacement with LFCUG,**
- **Project team members are familiar with LFCUG's engineering standards and specifications,**
- **L.E. Gregg Associates is a registered Small Business with the SBA,**
- **Team members Abbie Jones Consulting and Vision Engineering are certified LFUCG DBE's,**
- **All of the project team firms are headquartered locally in Lexington, Kentucky,**
- **100% Central Kentucky based staff to perform work.**

On behalf of L.E. Gregg Associates, we thank you for the opportunity to display our experience and **outline the strengths that our team can bring to Category 7: Geotechnical Testing, Analysis and Design and Category 8: Construction Inspection**. We look forward to the opportunity to provide superior engineering services to the Lexington-Fayette Urban County Government through this and many future projects.

Sincerely,  
L.E. GREGG ASSOCIATES

A handwritten signature in blue ink, appearing to read 'Jason Ainslie', is written over a white background.

Jason Ainslie, P.E.  
President

**STATEMENT OF QUALIFICATIONS  
LFUCG Dept. of Engineering  
Professional Engineering Services  
Contract 7 & Contract 8**



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# STATEMENT OF QUALIFICATIONS

## LFUCG Dept. of Engineering

### Professional Engineering Services

#### Contract 7 & Contract 8



#### 1.0 FIRM QUALIFICATIONS

L.E. Gregg Associates was **founded in Lexington, Kentucky in 1957** to provide engineering and materials testing services. Early projects mostly involved highway design, construction and testing. Over time, the firm became **well known for geotechnical investigations, materials testing and special inspections** for many types of projects including commercial, industrial, and public/municipal facilities. Our firm also provides environmental services such as Phase I and II Environmental Site Assessments as well as geology services.

- Founded in Lexington in 1957
- Locally owned and operated
- 100% of work performed by staff located in Kentucky
- Registered small business with SBA

L.E. Gregg is **well staffed to complete a variety of projects on time and within budget while still delivering professional and quality work**. We have completed geotechnical investigations and construction inspection projects in North Dakota, Pennsylvania, Indiana, Ohio, West Virginia and throughout all regions of Kentucky. Located on High Street, near downtown Lexington, **the company has been locally owned and operated for the past 57 years**.

“The staff at L.E. Gregg are very **professional and knowledgeable**. The company provides the **highest level of professional service** to their clients.”

- Mr. Fred Eastridge, Director of Civil Engineering  
ECSI, LLC

Our **in-house soils and concrete laboratory is run by a professional geologist**, Mr. Jim Zimmer, who has **38 years of experience** in the industry. The L.E. Gregg lab is **certified bi-annually** by the Cement and Concrete Reference Laboratory (CCRL) for **concrete, aggregate and mortar testing** and the AASHTO Materials Reference Laboratory

(AMRL) for **soils testing**. We are also currently **the only lab in Kentucky that is CCRL certified for ASTM C140**, which is for the compressive strength of CMU block. The L.E. Gregg lab is certified with the Kentucky Transportation Cabinet (KYTC) and our firm is also KYTC pre-qualified for geotechnical, environmental and construction testing services.

Through the relationships we have developed with our clients and by performing quality work, L.E. Gregg has developed a reputation for our knowledge and experience in providing geotechnical engineering and construction inspection services. We strive not only to meet the needs of our clients, but to anticipate and exceed them whenever possible. This is done by communicating with our clients on a regular basis regarding project progress and goals, and through dedicated cost management.

**Geotechnical, Environmental & Materials Engineering Since 1957**



**STATEMENT OF QUALIFICATIONS**  
**LFUCG Dept. of Engineering**  
**Professional Engineering Services**  
**Contract 7 & Contract 8**



The L.E. Gregg team will also include Abbie Jones Consulting and Vision Engineering. The combined experience and resources our companies offer will ensure that any geotechnical or construction inspection project our team is selected for will exceed LFCUG's expectations.

Ms. Abbie Jones founded **Abbie Jones Consulting, a LFCUG Certified DBE**, in Lexington in 2011 with a vision to provide professional engineering and land surveying focused on building sustainable communities for future generations. Abbie Jones Consulting will **provide professional land surveying services** as needed on LFCUG geotechnical projects including boring location staking, topographic surveying, boundary surveys and elevation certification.

Another key member of our team is **Vision Engineering**, which is also a **LFCUG Certified DBE**. Vision Engineering was founded in 2003 and specializes in environmental and water resources engineering, as well as surveying and easement acquisition services. As a member of our team, Vision will **provide surveying services and preparation of record drawings/as-builts**.

The L.E. Gregg Associates team is well qualified to provide engineering services to the Lexington-Fayette Urban County Government Department of Engineering for Category 7: Geotechnical Testing, Analysis, and Design and Category 8: Construction Inspections because of our **team's knowledge and experience with similar projects for LFCUG and many other projects throughout Kentucky and surrounding states**.

**"Complete, responsive service.** The company is a great referral to my clients. L.E. Gregg has an **excellent reputation in our industry**".

**- Jay Million, Principal Architect**  
**Million Architecture**

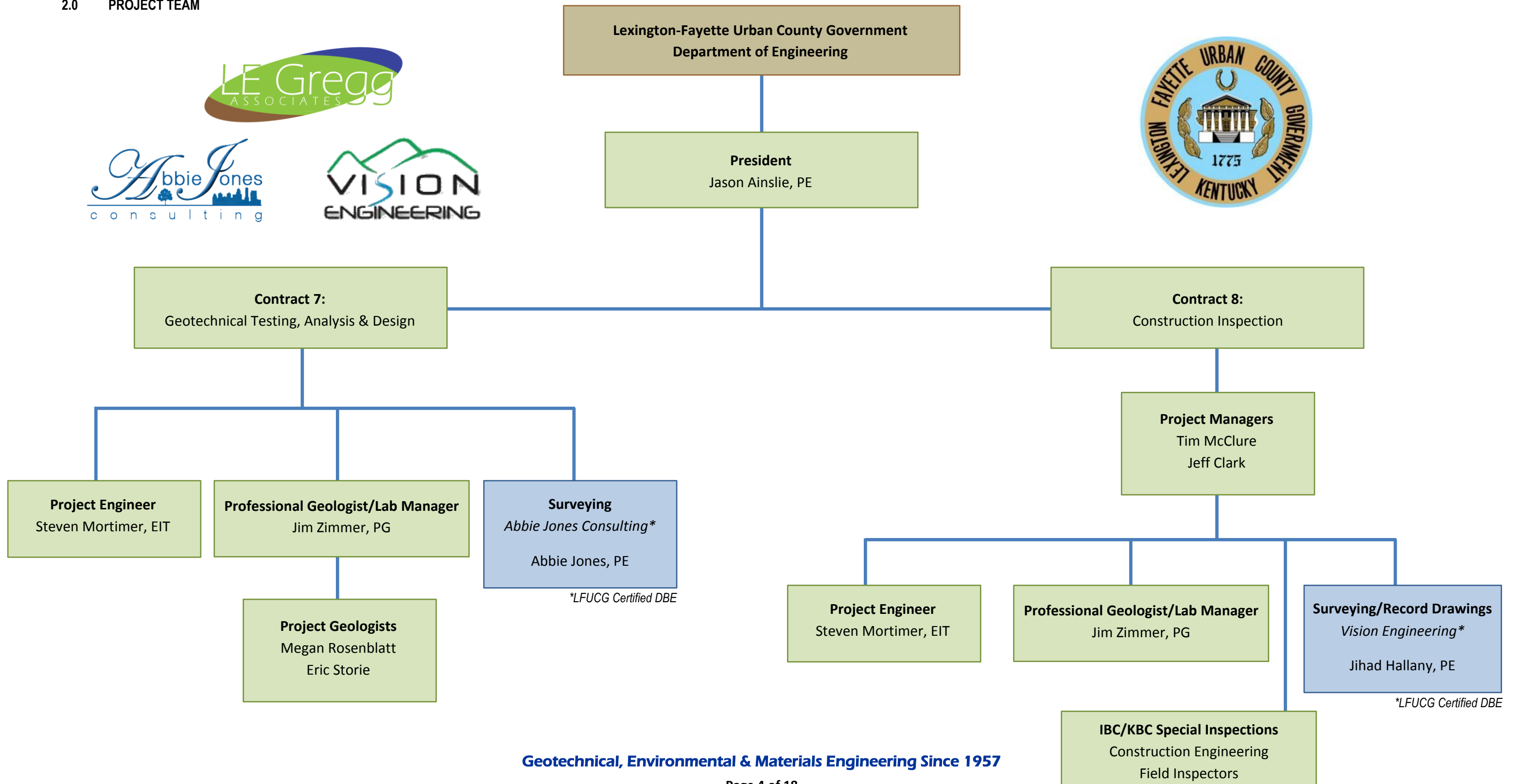
There are numerous challenges that a subsurface investigation can discover that can and do alter the entire path and cost of a project. **As a result of our experience in the geotechnical field**, we can advise our clients on how to address these issues with **cost effective engineering principles** starting from the inception of a project all the way through project completion. These services prove beneficial to both a project's schedule and budget.



Our knowledge and experience in the area of **construction inspections and International Building Code (IBC)/Kentucky Building Code (KBC) Special Inspections** will provide LFUCG with the assurance that your projects are completed with the quality materials and superior workmanship that the specifications demand.

**STATEMENT OF QUALIFICATIONS**  
**LFUCG Dept. of Engineering**  
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2.0 PROJECT TEAM



**Geotechnical, Environmental & Materials Engineering Since 1957**

**Jason Ainslie, PE - President and Principal Engineer**  
**Contracts 7 & 8**  
**Lexington, KY**

Mr. Ainslie is a Registered PE with more than 13 years of experience in the industry. Jason will be the principal engineer for services provided to LFUCG DOE and will be responsible for the oversight and engineering support for geotechnical and construction inspection projects.

**Professional Licenses/Certifications:**

Professional Engineer KY, #23677  
Professional Engineer IN, #10310508  
Professional Engineer WV, # 20248  
Professional Engineer ND, # 8834  
ICC Masonry Inspector  
OSHA 40 Hour HAZWOPER

**Education:**

BS, Geological Engineering, University of  
Missouri – Rolla; Rolla, Missouri, 1999

**Representative Experience:**

**Bluegrass Steeplechase Estates** – Mr. Ainslie was the principal engineer for the geotechnical investigation for this assisted living project which consisted of 6 buildings with total foot print of approximately 7,700 sf. The project encountered many geotechnical issues including shallow bedrock, soft soils and uncontrolled fill materials.

**Bluegrass Airport T-Hangars** – Mr. Ainslie was the principal engineer for the geotechnical investigation for this project which consisted of 3 T-Hangar buildings with a total foot print of approximately 53,000 sf. The project encountered many geotechnical issues including shallow bedrock, potential karst activity, springs, fill materials and high plasticity clays.

**Bowling Green Metal Forming** – Mr. Ainslie planned and executed the geotechnical exploration for this 1.2 million sf manufacturing facility. Exploration included 127 bores; many to depths of 100 ft. In addition, Mr. Ainslie was the project manager for the site during construction and managed a team of construction inspection technicians. Inspection considerations included over 700 caissons, environmental liners, dozens of sinkholes, structural steel, soil fill and concrete testing.

**Glasgow Municipal Airport** – Mr. Ainslie was the project engineer in charge of the construction inspection of this 800 ft long runway extension with fills exceeding 50 ft in depth. Jason managed a team of technicians providing around the clock testing. In addition, Jason was responsible for the quality control procedures enacted during asphalt placement.

**Lafarge Cement Plant** – Mr. Ainslie was project manager for this multiphase investigation into failures and complications with the deep pile foundation systems used in the construction of a new plant. Responsibilities included the planning and execution of a drilling program with more than 100 borings; each to a minimum depth of 100 ft, managing and coordinating 6 drill crews, providing daily feedback to Lafarge management as well as developing boring logs and analysis of subsurface conditions.

**Steven Mortimer, EIT – Project Engineer**  
**Contracts 7 & 8**  
**Lexington, KY**

Mr. Mortimer is a Radiation Safety Officer (RS) and project engineer at L.E. Gregg. Steven also holds degrees in Environmental Science as well as Civil Engineering. His responsibilities include overseeing and reporting results of geotechnical investigations, coordinating daily field testing activities for inspections, reviewing the status of materials testing and special investigations projects on a daily basis as well as marketing support for L.E. Gregg.

**Professional Licenses/Certifications:**

EIT, #ET31200348  
ACI Level I  
APGNA Hazardous Materials  
APGNA Nuclear Gauge  
APGNA RSO

**Education:**

BS, Civil Engineering, University of Southern  
Indiana; Evansville, Indiana, 2012  
BS, Environmental Science, University of  
Evansville; Evansville, Indiana, 2007

**Representative Experience:**

Project Engineer, L.E. Gregg Associates, Lexington, KY 2012 – Present

Field and Lab Technician, Patriot Engineering and Environmental, Evansville, IN 2011 - 2012

**Greensburg WTP** – Mr. Mortimer was responsible for reviewing the data from the subsurface investigation and preparing the geotechnical report for the Greensburg Water Treatment Plant. The investigation included 50 soil test borings and approximately 120 feet of rock core.

**Lancaster WTP** – Mr. Mortimer planned and executed the subsurface investigation for the Lancaster Water Treatment Plant. The investigation consisted of 72 soil test borings and 30 feet of rock coring. After completing the field portion of the investigation, Mr. Mortimer also prepared the geotechnical report.

**Richmond Centre; Richmond, KY** – Mr. Mortimer supervised the subsurface investigation of a failing slope in the Richmond Centre shopping development. Along with the geotechnical report, Mr. Mortimer also prepared a maintenance plan and image inventory for all of the significant slopes in the shopping development.

**Bluegrass Airport SRE & Maintenance Facility; Lexington, KY** – Mr. Mortimer was a member of the design team for the Bluegrass Regional Airport SRE & Maintenance Facility. The project required an extensive subsurface investigation for the design and placement of the facility. Due to the highly karstic nature of the site, multiple mobilizations including 36 soil borings, 50 feet of rock core, and a geophysical survey were needed to complete the geotechnical report for this site.





**Jim Zimmer, PG, Professional Geologist and Lab Manager**  
**Contracts 7 & 8**  
**Lexington, KY**

Mr. Zimmer is a professional geologist with more than 35 years' experience in the industry. Jim is responsible for running the in-house materials testing and soils lab, performing Phase I and Phase II Environmental Site Assessments, as well as performing geologic site investigations in support of ongoing projects.

**Professional Licenses/Certifications:**

Professional Geologist KY, #1141  
Certified Professional Geologist, #10584  
KYTC Aggregate Technician

**Education:**

BS Geology, University of Kentucky;  
Lexington, KY 1990  
BA Anthropology, University of Kentucky;  
Lexington, KY 1974

**Representative Experience:**

September 2003-Present; L.E. Gregg Associates; Lexington, Kentucky

**Project Geologist/Hydrogeologist: Environmental and Engineering Services.** Laboratory coordinator for soil and rock testing and evaluation, Hydrogeological studies including on-site permeability determinations of soil and rock for dams and landfills, Phase I and II Environmental Site Assessments and Karst Inventories.

April 1997-September 2003; Rhodes Incorporated; Lexington, Kentucky

**Project Geologist/Hydrogeologist: Environmental and Engineering Services.** Foundation inspections including bearing capacity determinations of soil and rock, Geophysical investigations for resistivity of soil and rock including field and laboratory classifications for soil and rock, direction of monitoring well installations and development and performance of Phase I and II Environmental Site Assessments.

August 1985-December 1995; S&ME, Inc.; North Carolina

**Environmental Department Manager.** Direction of Sparging Well, Vapor Extraction Well and Methane Monitoring Well installations using Hollow Stem Auger, Mud Rotary Wash and Air Rotary techniques, familiarity with pre-development, development and re-development methods for Groundwater Monitoring Wells as well as EPA sampling protocols, OVA testing methods and on-site permeability testing and performed and directed Phase I and II Environmental Site Assessments.

August 1976-December 1984; Rhodes and Associates, Inc.; Lexington, Kentucky

**Lab Manager, Soils Technician, and Inspector.** Supervised and performed mechanical analysis of soil and rock materials in the lab and identified and tested bearing media for foundation systems in the field.

**Megan Rosenblatt, Project Geologist**  
**Contract 7**  
**Lexington, KY**

Ms. Rosenblatt is a project/field geologist at L.E. Gregg. She holds a B.S. in Geology and Environmental Science as well as a M.S. in Geosciences. Her responsibilities include maintaining and supervising our in-house concrete laboratory as well as overseeing subsurface investigations and performing Phase I Environmental Site Assessment's. She also assists in the soils lab in support of ongoing projects.

**Education:**

MS Geosciences, University of Montana; Missoula, MT 2010

BS Geology & Environmental Sciences, College of Charleston; Charleston, SC 2006

**Representative Experience:**

Project/Field Geologist; L.E. Gregg Associates; Lexington, KY 2003-Present

Project Geologist; Holman Consulting Engineers 2010-2012

Geology Teaching Assistant & Research Assistant; University of Montana 2007-2009

**Scott County Elementary School; Georgetown, KY** - Miss Rosenblatt was responsible for analyzing a questionable rock source and preparing the geologic report for Scott County Elementary School. The analysis included sampling the rock from the quarry and conducting appropriate tests.

**Bojangles; London, KY** - Miss Rosenblatt supervised the subsurface investigation consisting of 7 soil borings and 10 feet of rock coring. Miss Rosenblatt also analyzed and classified the rock core.

**Nicholasville Road FEMA; Lexington, KY** - Miss Rosenblatt supervised the subsurface investigation for Nicholasville Road FEMA. The investigation consisted of 22 soundings and the determination of rock bearing capacity. After completing the field portion of the investigation, Miss Rosenblatt also prepared the geologic report.

**Hanson Tower; Bowling Green, KY** - Miss Rosenblatt supervised the subsurface investigation for the Hanson Tower. The investigation consisted of one soil boring and 20 feet of rock core. Miss Rosenblatt was also responsible for the soil and rock analysis as well as the stratigraphic analysis.

**Bluegrass Airport SRE & Maintenance Facility; Lexington, KY** - Miss Rosenblatt analyzed 50 feet of rock core and conducted multiple slope analysis and permeability tests for the Bluegrass Regional Airport SRE & Maintenance Facility. Miss Rosenblatt also assisted in the subsurface investigations.

**Tim McClure - Project Manager and Estimator**  
**Contract 8**  
**Lexington, KY**

Mr. McClure is a Project Manager with almost ten years' experience in the industry and overseeing materials testing and Special Inspection projects. His responsibilities include managing the overall progress of materials testing and Special Inspection projects, supervising and assisting technicians, as well as estimating and marketing in support of new project development.

**Certifications:**

ACI Field Level I  
ACI Strength Testing Technician  
ICC Reinforced Masonry Special Inspector  
ICC Reinforced Concrete Special Inspector  
Hazmat Certified  
Nuclear Gauge Safety Trained  
National Collegiate Soils Judging  
Competition– Auburn University

**Education:**

AS Turfgrass Management, Eastern Kentucky  
University; Richmond, KY 2006

**Representative Experience:**

Project Manager and Estimator; L.E. Gregg Associates, Lexington, KY 2001 – Present  
Project Manager and Engineering Technician, MACTEC Engineering and Consulting, Inc.,  
Lexington, KY 2006 – 2008

**Wausau Paper; Harrodsburg, KY** – Mr. McClure served as the senior technician and Project Manager for Special Inspection Services for this \$250,000,000 expansion for a new tissue machine and additional warehouse space. The main phase of this project was the foundation for the tissue machine, which included over 6,000 cubic yards of concrete, often placed in around the clock operations.

**Fox Creek Dam; Flemingsburg, KY** – Mr. McClure served as the Project Manager for this first of its kind project which included the use of Roller Compacted Concrete (RCC) for the dam face and foundation. Over 13,000 cubic yards of RCC was used during construction. Mr. McClure was responsible for the setup of a fully equipped on-site testing laboratory. Also included in this project was the installation and monitoring of vibrating wire piezometers.

**Deep Springs Pump Station; Lexington, KY** - Mr. McClure served as the Project Manager for the Special Inspections for this large pump station built in the middle of a residential area. This project was designed to look like a traditional residential home and included the installation and load testing of rock anchors in a known karst environment as well as traditional concrete testing and reinforcing steel inspections.

**Jeff Clark - Project Manager and Estimator**  
**Contract 8**  
**Lexington, KY**

Mr. Clark is a Project Manager with more than twenty five years' experience in the industry. Jeff is a Project Manager for materials testing and Special Investigations projects. His responsibilities include managing the overall progress of materials testing and Special Investigation projects, supervising and assisting technicians, as well as estimating and marketing in support of new project development.

**Certifications:**

ACI Level I  
Troxler Nuclear Gauge Safety  
KYTC Aggregate Technician  
LFUCG Fundamentals of Erosion &  
Sediment Control Workshop

**Education:**

BS Industrial Technology, Morehead State  
University; Morehead, KY 1985  
AS Welding Technology, Morehead State  
University; Morehead, KY 1982

**Representative Experience:**

Project Manager and Estimator; L.E. Gregg Associates, Lexington, KY 2003 – Present  
Sr Engineering Technician, Rhodes, Inc., Lexington, KY 1996 – 2003  
Sr Engineering Technician, Central Associated Engineers, Lexington, KY 1994 – 1995  
Sr Engineering Technician, James Winstead & Associates, Louisville, KY 1991 – 1994  
Engineering Technician, Haworth, Meyer, & Boleyn, Inc., Frankfort, KY 1988 – 1991

**Wildcat Coal Lodge; Lexington, KY** - Mr. Clark served as the Project Manager for the Special Inspections during the construction of a new dormitory for the UK Men's Basketball team. Mr. Clark was responsible for the daily client interaction between the University and L.E. Gregg.

**AA Highway; Ashland to Alexandria, KY** - Mr. Clark served as the Lead Quality Control Technician for this state highway project. During this project, Mr. Clark was responsible for the oversight of other technicians, soil/cement stabilization, and survey crews.

**University of Pikeville – School of Osteopathic Medicine; Pikeville, KY** - Mr. Clark served as the Project Manager for this 11 story structure. This structure was built on the side of a mountain and required caisson inspections as well as reinforcing steel inspections at heights of 150 feet above the ground and higher. Mr. Clark was also responsible for client interactions and served as a main point of contact for the construction manager.



**STATEMENT OF QUALIFICATIONS  
LFUCG Dept. of Engineering  
Professional Engineering Services  
Contract 7 & Contract 8**








**3.0 CONTRACT 7 – GEOTECHNICAL TESTING, ANALYSIS & DESIGN**

L.E. Gregg has completed geotechnical testing, analysis and design projects for a variety of clients throughout Central Kentucky. This section includes a list of clients with contact information along with a list of projects.

Client/Project Name	Contact Information
<b>Bluegrass Regional Airport</b> - Concourse B Addition - Phase I, Phase II & Phase IIIA - Taxiway D Phase I & Phase II and Taxiway Bravo - Runway 9-27 Phase I & 9-27 Phase II, Nav aids Phase III - Air Carrier Ramp Project - Corporate T-Hangars - Snow Removal Equipment (SRE) & Maintenance Facility	<b>Contact Name:</b> Mark Day, P.E. <b>Title:</b> Director of Engineering and Maintenance <b>Phone:</b> 859-425-3100 <b>Email:</b> mday@bluegrassairport.com
<b>University of Kentucky</b> - Wildcat Coal Lodge - UK/Nicholasville Road FEMA Flood Mitigation Project	<b>Contact Name:</b> Leon Roberts <b>Title:</b> Capital Construction Contracting Officer <b>Phone:</b> 859-323-6457 <b>Email:</b> lrobe2@uky.edu  <b>Contact Name:</b> Keith Ingram <b>Title:</b> Project Manager <b>Phone:</b> 859-257-5911 ext. 237 <b>Email:</b> kingram@uky.edu
<b>Lexington Fayette Urban County Government</b> - Blue Sky Pump Station - Rogers Road Soundings	<b>Contact Name:</b> Steve Farmer, PE <b>Title:</b> Project Manager <b>Phone:</b> 859-425-2404 <b>Email:</b> sfarmer@lexingtonky.gov
<b>Fayette County Public Schools</b> - Glendover, Stonewall & Clays Mill Elementary Schools - Jessie Clark Middle School	<b>Contact Name:</b> Bill Wallace <b>Title:</b> Director of Facility Design and Construction <b>Phone:</b> 859-381-3824 <b>Email:</b> bill.wallace@fayette.kyschools.us
<b>City of Somerset</b> - Somerset Water Treatment Plant	<b>Contact Name:</b> Charles Dick <b>Title:</b> General Manager <b>Phone:</b> 606-678-4466 <b>Email:</b> cdick@cityofsomerset.com
<b>Parsons Brinkerhoff</b> - Snow Removal Equipment (SRE) & Maintenance Facility	<b>Contact Name:</b> Jennifer Kuchinski <b>Title:</b> Assistant Vice President <b>Phone:</b> 314-206-4210 <b>Email:</b> kuchinski@pbworld.com
<b>JRA Architects</b> - Squires Elementary School	<b>Contact Name:</b> Rob Deal <b>Title:</b> Architect <b>Phone:</b> 859-252-6781 <b>Email:</b> rdeal@jrarchitects.com
<b>Lockett &amp; Farley</b> - University of Kentucky Soccer Complex	<b>Contact Name:</b> Kyle Beasley <b>Title:</b> Manager of Structural Engineering <b>Phone:</b> 502-585-4181 <b>Email:</b> rdeal@jrarchitects.com

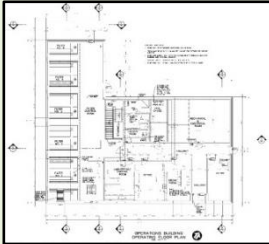




**CONTRACT 7 – GEOTECHNICAL TESTING, ANALYSIS & DESIGN  
LIST OF PROJECTS**



Project Name/Client		Description	Services Provided	Project Team			
				Jason Ainslie, PE	Steven Mortimer, EIT	Jim Zimmer, PG	Megan Rosenblatt
	<p><b>Snow Removal Equipment (SRE) &amp; Maintenance Facility</b></p> <p><b>Parsons Brinkerhoff and Bluegrass Regional Airport</b></p> <p><i>Geotechnical Report Completed: 2013</i></p>	<p>In order to aid in the design and placement of the new SRE Facility, L.E. Gregg devised the subsurface exploration plan based on the needs of the client and the design team. The exploration included 36 soil test borings and soundings, 7 rock cores and a geophysical survey. The site posed some challenges which included a highly karstic topography, uncontrolled fill materials, varying soil plasticity and areas of shallow bedrock. The drilling was completed using multiple mobilizations in order for the team to alter the design of the facility based on L.E. Gregg's findings.</p> <p>The geophysical survey revealed sinkholes, faults and voids within the underlying bedrock which required the placement of the facility to be modified during the design process.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Design</li> <li>• Geotechnical Drilling</li> <li>• Laboratory Testing</li> <li>• Geophysical Survey</li> <li>• Pavement Design</li> <li>• Construction &amp; Foundation Recommendations</li> </ul>	✓	✓	✓	✓
	<p><b>Corporate Hangars</b></p> <p><b>J.R. Miller and Associates &amp; Bluegrass Regional Airport</b></p> <p><i>Geotechnical Report Completed: 2013</i></p>	<p>This project was for the addition of two new T-Hangars and the relocation of one existing hangar for the airport's corporate clients. The exploration included 18 soil test borings and 3 rock cores. The airport sits in a highly karstic area, which could cause sinkholes or voids in the underlying bedrock. The pavement sections for this project had to be designed in accordance with FAA specifications for light aircraft. Drainage for the project also had to be designed in accordance with FAA specifications.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Geotechnical Drilling</li> <li>• Laboratory Testing</li> <li>• Pavement Design</li> <li>• Construction &amp; Foundation Recommendations</li> </ul>	✓	✓	✓	
	<p><b>UK/Nicholasville Road Flood Mitigation Project</b></p> <p><b>University of Kentucky</b></p> <p><i>Geotechnical Report Completed: 2013</i></p>	<p>L.E. Gregg completed rock line soundings and pavement design for this project. The project includes many water quantity and quality control measures for the Nicholasville Road area near Commonwealth Stadium that will eliminate drainage and flooding issues. The soundings were performed in order to verify the placement of detention basins, water lines and storm sewer lines.</p> <p>The project will include realignment of Alumni Drive, removal of Shawneetown Drive and the relocation of waterways. The area will also serve as a passive park with a 900 ft. shared use asphalt trail and viewing areas overlooking the relocated stream. The project received 75% funding through a FEMA Hazard Mitigation Grant.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Geotechnical Drilling</li> <li>• Laboratory Testing</li> <li>• Pavement Design</li> </ul>	✓		✓	✓
	<p><b>New Blue Sky Pump Station-Force Main Construction</b></p> <p><b>Lexington-Fayette Urban County Government</b></p> <p><i>Geotechnical Report Completed: 2013</i></p>	<p>L.E. Gregg performed rock line soundings in order to aid in the design of the New Blue Sky Pump Station and Force Main. The existing Blue Sky Waste Water Treatment Plant (WWTP) will be eliminated and a new pump station and force main will be constructed that ties into the East Hickman WWTP. L.E. Gregg planned and executed 121 rock line soundings starting at the East Hickman WWTP and ending at the Blue Sky WWTP. This involved coordination with the design firm, utility locates, and the drilling team in order to mark and drill the sounding locations at approximately every 200 feet along the 5 mile force main alignment.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Geotechnical Drilling</li> </ul>	✓	✓		
	<p><b>Living Arts &amp; Science Center Addition</b></p> <p><b>Living Arts &amp; Science Center</b></p> <p><i>Geotechnical Report Completed: 2013</i></p>	<p>This project was completed in order to aid in the design of an addition to the Living Arts and Science Center (LASC) in Lexington. The new building will include Lexington's only Planetarium, an expanded hands-on Discovery Exhibit, new art and science classrooms, a Digital Art Studio, additional Art Gallery Space, and a Clay Studio. The subsurface exploration included eight soil test borings and percolation testing.</p> <p>After the initial report was completed, we were informed by the client that a large amount of fill material would need to be placed on site to bring the new building to grade. Due to this, L.E. Gregg performed additional soil sampling and testing in order to perform a settlement analysis of the site.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Geotechnical Drilling</li> <li>• Laboratory Testing</li> <li>• Settlement Analysis</li> <li>• Construction &amp; Foundation Recommendations</li> <li>• Pavement Design</li> </ul>	✓	✓	✓	

**CONTRACT 7 – GEOTECHNICAL TESTING, ANALYSIS & DESIGN  
LIST OF PROJECTS**



Project Name/Client		Description	Services Provided	Project Team			
				Jason Ainslie, PE	Steven Mortimer, EIT	Jim Zimmer, PG	Megan Rosenblatt
	<p><b>Lancaster Water Treatment Plant</b> City of Lancaster</p> <p><i>Geotechnical Report Completed: 2013</i></p>	<p>L.E. Gregg performed a subsurface investigation to aid in the design and placement of a new Water Treatment Plant (WTP) in Lancaster, Kentucky. The new plant includes an operations building, clear well, high service pump station, settling and flocculation basins, and two large lagoons. The subsurface investigation included 72 soil test borings laid out in a grid over the proposed project site. The site posed some difficulties with most of the natural soils being composed of high plasticity clays and areas of shallow bedrock. It was recommended to either place foundations directly on the underlying bedrock or chemical treat the site with lime to stabilize the soils.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Geotechnical Drilling</li> <li>• Laboratory Testing</li> <li>• Construction &amp; Foundation Recommendations</li> </ul>	✓	✓	✓	
	<p><b>Winchester Raw and Finished Water Transmission Mains</b> Winchester Municipal Utilities</p> <p><i>Geotechnical Report Completed: 2014</i></p>	<p>L.E. Gregg performed rock line soundings in order to aid in the design and placement of water transmission mains for Winchester Municipal Utilities. L.E. Gregg planned and executed rock line soundings at approximately 50 to 100 foot intervals along the alignment. This involved coordination with the design firm, utility locates, and the drilling team in order to mark and drill the sounding locations along the 5 mile transmission main alignment.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Geotechnical Drilling</li> </ul>	✓		✓	✓
	<p><b>Jessie Clark Middle School</b> Fayette County Public Schools</p> <p><i>Geotechnical Report Completed: 2013</i></p>	<p>This project included the complete renovation of the existing Jessie Clark Middle School with a new 2-story addition. L.E. Gregg performed a subsurface investigation to aid in the design of the addition. The investigation included 10 soil test borings and rock line soundings, percolation testing for the placement of permeable pavement, and humidity testing of the existing floor slabs. Pavement design was also provided for the new parking and drive areas.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Geotechnical Drilling</li> <li>• Laboratory Testing</li> <li>• Pavement Design</li> <li>• Percolation Testing</li> <li>• In Slab Humidity Testing</li> <li>• Construction &amp; Foundation Recommendations</li> </ul>	✓	✓	✓	
	<p><b>Somerset Water Treatment Plant</b> City of Somerset</p> <p><i>Geotechnical Report Completed: 2011</i></p>	<p>L.E. Gregg performed a subsurface investigation to aid in the design and placement of new additions to the existing Somerset Water Treatment Plant (WTP). The additions included a chemical feed building, plate settler building, water tanks, and a membrane filter building. The investigation included 70 soil test borings and soundings as well as 110 feet of rock coring. The site posed many issues such as uncontrolled fill materials, high plasticity clays, and a highly karstic terrain. As a result of these issues, L.E. Gregg recommended the use of rammed aggregate piers coupled with grout injection. L.E. Gregg also performed Kentucky Building Code Special Inspections for this project during construction.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Geotechnical Drilling</li> <li>• Laboratory Testing</li> <li>• Construction &amp; Foundation Recommendations – Rammed Aggregate Piers &amp; Grout Injection</li> </ul>	✓		✓	
	<p><b>Richmond Centre Slope Evaluation</b> Faison &amp; Associates</p> <p><i>Geotechnical Report Completed: 2012</i></p>	<p>The Richmond Centre in Richmond, Kentucky has several tall, steep slopes that surround the property. The slopes had begun to show signs of movement and one slope in particular had showed signs of imminent failure. L.E. Gregg performed soil test borings at the top and bottom of one of the failing slopes in order to provide engineering recommendations for remediation. As part of the project, L.E. Gregg also developed a comprehensive maintenance plan and image inventory for all of the significant slopes throughout the property.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Geotechnical Drilling</li> <li>• Laboratory Testing</li> <li>• Slope Analysis</li> <li>• Slope Remediation Recommendations</li> <li>• Design Maintenance Plan &amp; Image Inventory</li> </ul>	✓	✓	✓	

**STATEMENT OF QUALIFICATIONS  
 LFUCG Dept. of Engineering  
 Professional Engineering Services  
 Contract 7 & Contract 8**



**4.0 CONTRACT 8 – CONSTRUCTION INSPECTION**

L.E. Gregg has performed construction inspection on numerous projects for a variety of clients throughout Central Kentucky. This section includes a list of clients with contact information along with a list of projects.

Client/Project Name	Contact Information
<b>Bluegrass Regional Airport</b> - Concourse B Addition - Phase I, Phase II & Phase IIIA - Taxiway D Phase I & Phase II and Taxiway Bravo - Runway 9-27 Phase I & 9-27 Phase II - Nav aids Phase III - Air Carrier Ramp Project - Corporate T-Hangars - Snow Removal Equipment (SRE) & Maintenance Facility	<b>Contact Name:</b> Mark Day, P.E. <b>Title:</b> Director of Engineering and Maintenance <b>Phone:</b> 859-425-3100 <b>Email:</b> mday@bluegrassairport.com
<b>Brown and Kubican, PSC</b> - WB Muncy Elementary School - Clays Mill Elementary School	<b>Contact Name:</b> Dan Kubican, P.E. <b>Title:</b> Principal Owner <b>Phone:</b> 859-543-0933 <b>Email:</b> dkubican@bkse.net
<b>Rockcastle Regional Hospital</b> - Medical Arts Expansion	<b>Contact Name:</b> Gary Asher <b>Title:</b> Director of Facilities <b>Phone:</b> 606-256-2195 <b>Email:</b> g.asher@rhrc.com
<b>Fayette County Public Schools</b> - Glendover Elementary School - Jessie Clark Middle School - Stonewall Elementary School - Clays Mill Elementary School	<b>Contact Name:</b> Bill Wallace <b>Title:</b> Director of Facility Design and Construction <b>Phone:</b> 859-381-3824 <b>Email:</b> bill.wallace@fayette.kyschools.us
<b>Wausau Tissue</b> - Tissue Machine #3 Addition	<b>Contact Name:</b> Chris Weiler <b>Title:</b> Project Manager <b>Phone:</b> 859-733-7956 <b>Email:</b> cweiler@wausaupaper.com
<b>Legend Reality Services</b> - Tractor Supply Store – Grayson, Kentucky - Tractor Supply Store – Spencer, West Virginia - Tractor Supply Store – Belle, West Virginia - Tractor Supply Store – Pikeville, Kentucky - Tractor Supply Store – Paintsville, Kentucky - Tractor Supply Store – Monticello, Kentucky - Tractor Supply Store – Austintown, Ohio - Tractor Supply Store – Rennelear, Indiana - Tractor Supply Store – Bottineau, North Dakota - Tractor Supply Store – Watford City, North Dakota - Tractor Supply Store – Stanley, North Dakota	<b>Contact Name:</b> Dave Howitz <b>Title:</b> Owner <b>Phone:</b> 630-464-1797 <b>Email:</b> dave@legendrealityservices.com
<b>JRA Architects</b> - Wildcat Coal Lodge	<b>Contact Name:</b> Rob Deal <b>Title:</b> Architect <b>Phone:</b> 859-252-6781 <b>Email:</b> rdeal@jrarchitects.com







**CONTRACT 8 – CONSTRUCTION INSPECTION  
LIST OF PROJECTS**



Project Name/Client		Description	Services Provided	Project Team			
				Jason Ainslie, PE	Steven Mortimer, EIT	Tim McClure	Jeff Clark
	<p><b>Woodland Avenue Sanitary Sewer Project</b> City of Lexington</p> <p><i>Completed:</i> 2014 <i>Construction Cost:</i> \$700,000</p>	<p>Due to the high traffic count and the need to perform this work during the winter months, LFUCG decided to try a different method of compacting material in a trench setting; utilizing wet jetting. This method aided the contractor in completing the project at a faster rate than typical by using sand and flooding the area with water. The compaction was monitored by L.E. Gregg personnel and the results were reported directly to the contractor and LFUCG. This was one of the first times this technology was used in Lexington.</p>	<ul style="list-style-type: none"> <li>• Quality Control</li> <li>• Nuclear Density Testing</li> <li>• Laboratory Analysis</li> <li>• Compaction Observation</li> </ul>	✓	✓		✓
	<p><b>Elkhorn Crossing Technical Center – Sinkhole Remediation</b> Scott County Board of Education</p> <p><i>Completed:</i> 2009 <i>Estimated Construction Cost:</i> \$8,000,000</p>	<p>This project represented a joint effort by the Scott County Board of Education and the Georgetown/Scott County Industrial members to construct a facility designed to introduce students to industrial manufacturing. During the construction of this 63,000 sq ft. facility, two separate sinkholes were encountered. L.E. Gregg was asked to evaluate these karst features, determine if they posed any threat to the new structure and offer suggestions as to how to deal with fixing these areas without affecting the surrounding area.</p>	<ul style="list-style-type: none"> <li>• Geotechnical Evaluation</li> <li>• Planning</li> <li>• Bedrock Evaluation</li> <li>• Design</li> <li>• Sinkhole Remediation</li> </ul>			✓	✓
	<p><b>Bluegrass Airport Runway 9-27</b> Bluegrass Airport</p> <p><i>Completed:</i> 2009 <i>Construction Cost:</i> \$27,000,000</p>	<p>Kicking off one of the busiest construction seasons in Bluegrass Airport history, the airport identified the need to realign and relocate their general aviation runway. L.E. Gregg was chosen to provide the Geotechnical and Quality Control Services for this 4,000 foot runway and associated taxiways. Due to the massive amount of site development required to bring the site to grade, L.E. Gregg also provided nuclear soil density testing for 9 consecutive months.</p>	<ul style="list-style-type: none"> <li>• Geotechnical Evaluation</li> <li>• Design</li> <li>• Quality Control Services</li> <li>• Laboratory Analysis of Soil</li> </ul>			✓	✓
	<p><b>Corporate Hangars</b> J.R. Miller and Associates and Bluegrass Regional Airport</p> <p><i>Scheduled Completed:</i> 2014</p>	<p>This project included the addition of two new T-Hangars and the relocation of one existing hangar for the airport's corporate clients. Due to the airports close proximity to the Keeneland Race Course and the rich horse racing tradition in Lexington, the airport chose to incorporate this tradition into the design of the new hangars. The hangars will resemble horse barns that are common to the area and will occupy a footprint of nearly 18,000 square feet each. In an effort to reduce the cost of soil import, the decision was made to utilize concrete recycled from previous airport improvement projects. L.E. Gregg performed aggregate gradations during the crushing portion and is currently providing nuclear density testing for the building pads utilizing this crushed material.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Geotechnical Evaluation</li> <li>• Design</li> <li>• Pre-Construction Activities</li> <li>• Nuclear Density Testing</li> <li>• Concrete Testing</li> <li>• Laboratory Analysis</li> </ul>	✓	✓	✓	
	<p><b>Danville Municipal Center</b> City of Danville</p> <p><i>Completed:</i> 2011 <i>Construction Cost:</i> \$1,204,851</p>	<p>This project consisted of the renovation of an existing old structure into a new municipal facility that brought many of the City of Danville departments together under one roof. This project required the careful demolition of portions of the existing structure and replacement with new building components. During the demolition of the existing basement, old, undocumented, underground cisterns were encountered requiring a recommendation for sealing these structures off to prevent future soil migration into the tanks. The new portion of the structure consisted of masonry load bearing walls with a structural steel framework.</p>	<ul style="list-style-type: none"> <li>• Soil Evaluation</li> <li>• Concrete Testing</li> <li>• Masonry Testing and Observation</li> <li>• Structural Steel Observations</li> </ul>			✓	✓

**CONTRACT 8 – CONSTRUCTION INSPECTION  
LIST OF PROJECTS**



Project Name/Client		Description	Services Provided	Project Team			
				Jason Ainslie, PE	Steven Mortimer, EIT	Tim McClure	Jeff Clark
	<p><b>Somerset Water Treatment Plant</b> City of Somerset</p> <p><i>Completed:</i> 2014 <i>Construction Cost:</i> \$25,000,000</p>	<p>This project includes the newest technology in water treatment, membrane filtration, and became one of the first of its kind in the State of Kentucky. L.E. Gregg provided geotechnical evaluation of the site soils and the underlying bedrock. Due to the severe risk for karst activities in this area, L.E. Gregg recommended a combination of grout injection and rammed aggregate piers to account for the karstic environment and the heavy loads of the new structures. L.E. Gregg also provided the Special Inspection Testing Services for this marquee project. The structures were designed with concrete load bearing walls below grade and masonry walls above grade.</p>	<ul style="list-style-type: none"> <li>• Geotechnical Evaluation</li> <li>• Design</li> <li>• Quality Control Services</li> <li>• Laboratory Analysis of Soil</li> <li>• Concrete Testing</li> <li>• Structural Steel Inspections</li> <li>• Masonry Observations</li> </ul>	✓	✓	✓	✓
	<p><b>Fox Creek MPS #4</b> Natural Resource Conservation Service (NRCS)</p> <p><i>Completed:</i> 2011 <i>Construction Cost:</i> \$4,000,000</p>	<p>This project was the first of its kind in the Commonwealth of Kentucky. State legislation was changed in order to accommodate this project and the new type of technology being implemented in the design of this multi-purpose spillway. For the first time, water was allowed to top a spillway as a means of controlling excess water in the lake. L.E. Gregg was tasked with providing Quality Control Services at our on-site laboratory. Also unique to this project was the use of Roller Compacted Concrete (RCC) for the dam facing and using Grout Enriched Rolled Compacted Concrete (GERCC) for the exposed areas of the spillway. L.E. Gregg provided concrete mix design analysis, uniformity testing, gradations, nuclear density testing of concrete and vebe consistency testing. Due to the success of this project, the Kentucky Office of Dam Safety now uses the same method to rehabilitate other dams in the state.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Quality Control</li> <li>• Concrete Mix Design</li> <li>• Laboratory Analysis</li> <li>• Nuclear Density Testing</li> <li>• Piezometer Installation</li> </ul>			✓	✓
	<p><b>School of Osteopathic Medicine</b> University of Pikeville</p> <p><i>Completed:</i> 2011 <i>Construction Cost:</i> \$25,000,000</p>	<p>This marquee project was designed to accommodate the University's expanding School of Osteopathic Medicine. This new structure rises 11 stories and provides the massive square footage needed for new academic space. The foundation system consists of drilled piers utilizing both skin friction and end bearing capacities. Due to the erratic bedrock elevations encountered during construction, the depth of the caissons varied from 3' to 40'. L.E. Gregg provided down-hole inspections to determine the competency of the bedrock and to locate any voids or seams that could jeopardize the foundation system. L.E. Gregg was also tasked with providing observations for the reinforcing steel used in the construction of the stair towers and elevator shafts, often at heights of over 150 ft. L.E. Gregg maintained an onsite representative for almost one year. This representative was also in charge of providing the compressive strength testing at a local laboratory.</p>	<ul style="list-style-type: none"> <li>• Bedrock Evaluation</li> <li>• Caisson Inspection</li> <li>• Concrete Testing</li> <li>• Spray Applied Fire Proofing Testing</li> <li>• Bidding</li> <li>• Structural Steel Inspections</li> <li>• Ultra Sonic Testing of welds</li> <li>• Floor Flatness/Levelness</li> </ul>	✓		✓	✓
	<p><b>Rockcastle Industrial Park South - Roadway</b> Rockcastle Industrial Authority</p> <p><i>Completed:</i> 2011 <i>Construction Cost:</i> \$4,500,000</p>	<p>This 95 acre tract of land was purchased and developed to serve as a second industrial park for the Rockcastle County Industrial Authority. Within this project, L.E. Gregg performed testing and observation services for approximately one mile of roadway. This project included 20,000 cubic yards of soil fill. During the construction phase, L.E. Gregg worked with local aggregate and asphalt suppliers to determine the optimal density for the DGA and bituminous asphalt used for the roadway.</p>	<ul style="list-style-type: none"> <li>• Quality Control</li> <li>• Nuclear Density Testing</li> <li>• Asphalt Testing</li> <li>• Laboratory Analysis</li> </ul>			✓	✓
	<p><b>Ferus Nitrogen Gas Plant</b> Jenkins, Kentucky</p> <p><i>Completed:</i> 2011 <i>Construction Cost:</i> \$30,000,000</p>	<p>This liquid nitrogen facility plant has the capacity to produce 200 tons of liquid nitrogen per day and is strategically situated within the Appalachian natural gas field. Due to this site being situated on a former coal strip mine, special attention was given to the foundation system for this large facility. L.E. Gregg was selected to perform quality control and Special Inspection services during the construction phase.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Quality Control</li> <li>• Nuclear Density Testing</li> <li>• Concrete Testing</li> </ul>	✓		✓	✓

**STATEMENT OF QUALIFICATIONS  
 LFUCG Dept. of Engineering  
 Professional Engineering Services  
 Contract 7 & Contract 8**



**5.0 LOCAL OFFICE**

**Attachment 1**

**Project Team Location(s)**

<b>Prime Consultant</b>	<b>Location (City, State)</b>	<b>Date Office Established</b>	<b>Total Number of Employees</b>	<b>No. of Employees expected to work on DOE projects</b>
Headquarters	446 E. High Street, Suite 140 Lexington, KY 40507	1957	15	10
Local Office				
PM Location	Lexington, KY			
<b>Subconsultants Name:</b>	Abbie Jones Consulting			
Service Provided	Surveying			
Headquarters	1022 Fontaine Road Lexington, KY 40502	2011	4	4
Local Office				
Name:	Vision Engineering			
Service Provided	Surveying/Civil			
Headquarters	3399 Tates Creek Road, Suite 250 Lexington, KY 40502	2003	9	3
Local Office				

**Notes:**

1. "Headquarters" refers to the corporate office that provides project support to the local office, if applicable. If support comes from multiple locations, use the blank spaces in the form to provide relevant information.
2. Listing of sub-consultants is optional and should only be provided if the prime consultant considers the sub-consultant(s) services to be essential to meeting the required qualifications. In this event, documentation from the sub-consultant(s) shall be submitted in the SOQ that provides a commitment to be a part of the prime consultant's team in providing the stated services. In such cases, for the purpose of evaluating the proposals, committed sub-consultants will be considered to be part of the prime consultant's workforce. Prime consultants face potential disqualification from future work if DOE finds that the identified sub-consultants are not being utilized to deliver assigned work products.

**STATEMENT OF QUALIFICATIONS  
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**6.0 DBE STATEMENT**

L.E. Gregg Associates understands the Lexington-Fayette Urban County Government's (LFUCG) goal that not less than ten percent of the total value of work conducted on projects be performed by certified DBE's. We are committed to meeting and exceeding this goal on any projects our team is selected for as a result of the Department of Engineering's prequalification of firms to perform Contract 7: Geotechnical Testing, Analysis and Design and Contract 8: Construction Inspection Services.

As a local firm, L.E. Gregg understands and is committed to the importance of supporting other local businesses and DBE's. We are proud to offer LFUCG a project team that includes 100% locally headquartered businesses along with LFUCG Certified DBE's.

Our staff have attended events and pre-proposal meetings held to increase DBE exposure and we also have requested LFUCG's list of certified DBE firms which is kept on file and referenced when opportunities for teaming arise. L.E. Gregg has also established relationships with several DBE firms in Lexington whom we have worked with on a number of other opportunities. We are glad to include both Vision Engineering and Abbie Jones Consulting to provide services on projects our team is selected for.

Please see Appendix A for the completed LFUCG MBE/WBE Participation Form.





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**APPENDIX A – TABLE OF CONTENTS**

**AFFIDAVIT**

**FIRM INFORMATION**

**EQUAL OPPORTUNITY AGREEMENT**

**AFFIRMATIVE ACTION PLAN**

**WORKFORCE ANALYSIS FORM**

**MBE/WBE PARTICIPATION FORM**

**GENERAL PROVISIONS**

## AFFIDAVIT

Comes the Affiant, Jason Ainslie, P.E., and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Jason Ainslie, P.E. and he/she is the individual submitting the proposal or is the authorized representative of LE Gregg Associates, the entity submitting the proposal (hereinafter referred to as "Proposer").

2. Proposer will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the proposal is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.

3. Proposer will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.

4. Proposer has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.

5. Proposer has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Proposer will not violate any provision of the campaign finance laws of the Commonwealth.

6. Proposer has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."

**Continued on next page**

7. Proposer acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

Jason Ainslie

STATE OF Kentucky

COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me by Jason Ainslie, P.E. on this the 26 day of March, 2014.

My Commission expires: Oct 14 2017

David Ball  
NOTARY PUBLIC, STATE AT LARGE

Firm Submitting Proposal: LE Gregg Associates

Complete Address: 446 E. High Street, Suite 140, Lexington, KY 40507  
Street City Zip

Contact Name: Jason Ainslie Title: President

Telephone Number: 859/252-7558 Fax Number: 859/255-0940

Email address: jainslie@legregg.com



**EQUAL OPPORTUNITY AGREEMENT**

The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states:

*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*

- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

\*\*\*\*\*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

*I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped and aged persons.*

  
Signature

LE Gregg  
Name of Business

**AFFIRMATIVE ACTION PLAN FOR  
EQUAL EMPLOYMENT OPPORTUNITY AT  
L.E. GREGG ASSOCIATES**

1. Policy

It is the policy of this firm to provide equal employment opportunity to all persons without regard to their race, color, religion, sex, age, veteran status, handicap, or national origin, and to promote the full realization of equal employment opportunity through a positive continuing program. The firm will assure that applicants are recruited and hired, and that employees are treated without regard to their race, color, religion, sex, age, veteran status, handicap, or national origin. Equal opportunity and equal consideration will be afforded to all applicants and employees in personnel actions which include recruiting and hiring, selection for training, promotion, fixing rates of pay or other compensation, transfer and layoff or termination. It is our intention, in the implementation of this policy, to provide full employment opportunities for members of minority groups. Furthermore, it is our policy to coordinate the affirmative action program directed at seeking personnel from minority groups for employment with the firm and to provide encouragement and direction to our staff to advance within the limits of each individual's capabilities.

2. Dissemination of Policy

Our equal employment opportunity is and will be communicated to all relevant audiences within and outside the firm. These include non-discrimination policy statements in our personnel manuals and memoranda, and employee information literature; posting of EEO posters on bulletin boards; periodic written and oral statements of policy from the firm to its management staff; discussion of policy and affirmative action plans in management meetings and development sessions; recruiting practices that will provide equal opportunity to all persons possessing requisite skills seeking employment with this firm.

3. Responsibility for Implementation of Policy

A firm officer will be responsible for the implementation of our affirmative action policy and will direct our equal employment opportunity program. His responsibilities will include: (1) developing policy statements, affirmative action programs, internal and external communication techniques; (2) assisting in the identification of problem areas; (3) assisting project engineers and project managers in arriving at solutions to problems; (4) designing and implementing audit and report systems that will measure the effectiveness of the program, indicate a need for remedial action, and determine the degree to which the goals and objectives of the firm's progress have been attained; (5) serving as liaison between the firm and enforcement agencies, minority organizations and community action groups; (6) keeping the management informed of the latest developments in the entire equal employment opportunity area.

4. Analysis of Firm Employment

The attached Table 1 provides a breakdown of total employment into job categories based on position description having equivalent pay grades. Also, the table contains minority and women employment per job category, overall percentage within our recruiting area, and the percentage of those possessing the necessary employment skills. Our recruiting area for engineers is nationwide while that for engineering technicians, draftspersons, and administrative/Clerical is mostly state-wide. Beginning engineering technicians, draftspersons, administrative assistants and secretaries are recruited within the Standard Metropolitan Statistical Area.

In analyzing our utilization in each job category, we have arrived at the following conclusions:

- a. Job Category: Consultant  
Discussion: Consultants are long-term employees, presently retired from full-time service but still working part-time, providing a special expertise to the firm.  
Conclusion: This is not an under-utilization area. In furthering our equal employment opportunity policy, we will continue to encourage and promote full opportunity to minority and women employees with the requisite skill and tenure to advance to this position.
- b. Job Category: Principal  
Discussion: Principals are the owners of the firm. Openings for these positions are created only through action of the Board of Directors. All principals own stock in the firm and any new principal will have to purchase available stock.  
Conclusion: Because openings in this category are extremely limited, the establishment of specific goals cannot realistically be accomplished. In furthering our equal employment opportunity policy, we will encourage and promote full opportunity for minority and women employees with the requisite skill and tenure to advance to this position.
- c. Job Category: Engineer  
Discussion: Within the recruiting area, minorities possessing the requisite skills for this category represent approximately 5.3 percent of the experienced work force.  
Conclusion: This is not an under-utilization area. However, in furthering our equal employment opportunity policy, we will encourage and promote full opportunity for minority and women employees with the requisite skills and education.
- d. Job Category: Engineering Technician  
Discussion: Within the recruiting area, minorities possessing the requisite skills for this category represent approximately 20.1 percent of the experienced work force.  
Conclusion: When an opening occurs we will encourage and promote full opportunity for minority and women employees with the requisite skills and education.
- e. Job Category: Draftsperson  
Discussion: Within the recruiting area, minorities possessing the requisite skills for this category represent approximately 23.7 percent of the experienced work force.  
Conclusion: When an opening occurs, we will encourage and promote full opportunity for minority and women employees with the requisite skills to fill such positions.
- f. Job Category: Administrative Assistant  
Discussion: Within the recruiting area minorities and women possessing the requisite skills for this category represent approximately 49.1 percent of the experienced work force. When an opening occurs, we will encourage and promote full opportunity for minority and women employees with the requisite skills to fill such positions.  
Conclusion: This is not an under-utilization area.
- g. Job Category: Secretary  
Discussion: Within the recruiting area minorities and women possessing the requisite skills for this category represent approximately 98.9 percent of the experienced work force. When an opening occurs, we will encourage and promote full opportunity for minority and women employees with the requisite skills to fill such positions.  
Conclusion: This is not an under-utilization area.

5. Goals and Timetables

Because of the current business climate, we do not anticipate an increase in our total number of employees in the next six to 12 months. During this time it is expected that new employees will be hired only to replace those employees who resign or leave for other reasons. Any immediate goals in terms of specific numbers will be on the conservative side if we expect to realistically attain these goals. The largest turn-over of employment is in the job category of engineering technician, so our maximum emphasis of recruiting minorities will be in these categories.

Although primarily dependent upon the work load and the needs to fill vacancies, the immediate goals of this firm are to increase minority and women employment in the job categories of engineering technician, draftsperson and secretary.

The firm will strive to fill any vacancies in a manner that will meet the goals set forth as follows:

<u>Position</u>	<u>New Minority Employees</u>	<u>Target Date</u>
Secretary	1	1 year
Engineering Technician	1	2 years
Draftsperson	1	1 year

At every six-month period our goals will be reevaluated in light of business conditions and the success of our recruiting efforts. Our long-range goal is to employ a percentage of minorities and women with requisite skills at least equal to the percentage of minorities within our recruiting area.

6. Development and Execution of Program

a. Recruiting

Our recruiting program will be conducted in a manner to ensure we are reaching minority and women prospects. At such times that openings may occur within our staff, we will place notices of employment in appropriate media readily available to all persons seeking employment.

b. Training

We have previously hired minorities from the local technical institute and plan to utilize this source in the future. It is our present policy to provide on-the-job training for new employees. This policy is and will continue to be applicable to minority and women employees. As employees progress, they will be promoted to a higher position of responsibility and compensation commensurate to their skills and contributions.

c. Personnel Actions

Personnel actions of every type including hiring, upgrading, promotion, transfer, demotion, layoff, and termination will be periodically reviewed to ensure the action was taken without bias. During meetings with supervisory personnel, their actions will be reviewed to ensure their support of the firm's equal employment policy.

d. Community Relations

Representatives of the firm will cooperate with the appropriate agencies and groups within the community in the further development of community acceptance and adoption of nondiscrimination practices in employment.

7. Audit Report and Evaluate

The firm has instituted an audit and reporting system which consists of the following:

a. Job Openings

A list of applicants will be kept for each job opening. An informal record solely for statistical purposes will be maintained to identify minority and women applicants where practical. If the minority and women applicant is not hired, the reason will be recorded.

b. Separation

A record of separation and the reason of separation will be maintained and minority and women group employees will be specifically identified. A thorough check will be made to ensure that discrimination did not enter the separation.

c. Promotions

A record of promotions by employee name and category will be maintained with minority and women employees specifically identified. Again this information will be maintained solely for statistical purposes.

The firm member in charge will periodically review and evaluate the status of the affirmative action program, and will report at each meeting of the firm members and associates on the progress and success of our program.

8. Adoption of Policy

The Affirmative Action Plan for equal employment opportunity is hereby adopted the first day of January, 2012.

By Jason Ainslie  
Jason Ainslie, President

Date 3-26-14



**WORKFORCE ANALYSIS FORM**Name of Organization: LE GreggDate: 03 / 10 / 2014

Categories	Total	White		Latino		Black		Other		Total	
		M	F	M	F	M	F	M	F	M	F
Administrators	1		1								1
Professionals	4	3	1							3	1
Superintendents											
Supervisors	4	4								4	
Foremen											
Technicians	9	8	1							8	1
Protective Service											
Para-Professionals											
Office/Clerical											
Skilled Craft											
Service/Maintenance											
<b>Total:</b>	<b>18</b>	<b>15</b>	<b>3</b>							<b>15</b>	<b>3</b>

*Prepared By:* Steven Mortimer, Project Engineer

Name &amp; Title

**LFUCG MBE/WBE PARTICIPATION FORM**

Bid/RFP/Quote Reference # RFP #13-2014 Professional Engineering Services


The MBE/WBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

<b>MBE/WBE Company, Name, Address, Phone, Email</b>	<b>Work to be Performed</b>	<b>Total Dollar Value Of the Work</b>	<b>% Value of Total Contract</b>
1. Abbie Jones Consulting Abbie Jones, P.E. 1022 Fontaine Road Lexington, KY 40502 859/559-3443 abbie@abbie-jones.com	Surveying Services	N/A	At least 10%
2.			
3.			
4.			

The undersigned company representative submits the above list of MBE/WBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

LE Gregg Associates  
Company

March 26, 2014  
Date

By   
President  
Title

LFUCG MBE/WBE PARTICIPATION FORM

Bid/RFP/Quote Reference # RFP #13-2014 Professional Engineering Services

The MBE/WBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

<b>MBE/WBE Company, Name, Address, Phone, Email</b>	<b>Work to be Performed</b>	<b>Total Dollar Value Of the Work</b>	<b>% Value of Total Contract</b>
1. Vision Engineering Jihad Hallany, P.E. 3399 Tates Creek Road, Suite 250 Lexington, KY 40502 859/559-0516 jhallany@visionengr.com	Surveying Services Record Drawings Review	N/A	At least 10%
2.			
3.			
4.			

The undersigned company representative submits the above list of MBE/WBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

LE Gregg Associates  
Company

March 26, 2014  
Date

By 

President  
Title

## GENERAL PROVISIONS

1. Each Respondent shall comply with all Federal, State & Local regulations concerning this type of service or good.

The Respondent agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, *29 U.S.C. 650 et. seq.*, as amended, and KRS Chapter 338. The Respondent also agrees to notify the LFUCG in writing immediately upon detection of any unsafe and/or unhealthful working conditions at the job site. The Respondent agrees to indemnify, defend and hold the LFUCG harmless from all penalties, fines or other expenses arising out of the alleged violation of said laws.

2. Failure to submit ALL forms and information required in this RFP may be grounds for disqualification.
3. Addenda: All addenda, if any, shall be considered in making the proposal, and such addenda shall be made a part of this RFP. Before submitting a proposal, it is incumbent upon each proposer to be informed as to whether any addenda have been issued, and the failure to cover in the bid any such addenda may result in disqualification of that proposal.
4. Proposal Reservations: LFUCG reserves the right to reject any or all proposals, to award in whole or part, and to waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic needs.
5. Liability: LFUCG is not responsible for any cost incurred by a Respondent in the preparation of proposals.
6. Changes/Alterations: Respondent may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the proposal, and received by LFUCG prior to the scheduled closing time for receipt of proposals, will be accepted. The proposal, when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of proposal".
7. Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from a Respondent.
8. Bribery Clause: By his/her signature on the bid, Respondent certifies that no employee of his/hers, any affiliate or Subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.
9. Additional Information: While not necessary, the Respondent may include any

product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the Respondent's response. Additional documentation shall not serve as a substitute for other documentation which is required by this RFP to be submitted with the proposal.

10. **Ambiguity, Conflict or other Errors in RFP:** If a Respondent discovers any ambiguity, conflict, discrepancy, omission or other error in the RFP, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
11. **Agreement to Bid Terms:** In submitting this proposal, the Respondent agrees that it has carefully examined the specifications and all provisions relating to the work to be done attached hereto and made part of this proposal. By acceptance of a Contract under this RFP, proposer states that it understands the meaning, intent and requirements of the RFP and agrees to the same. The successful Respondent shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to Respondent shall be authorized for services or expenses reasonably covered under these provisions that the proposer omits from its Proposal.
12. **Cancellation:** If the services to be performed hereunder by the Respondent are not performed in an acceptable manner to the LFUCG, the LFUCG may cancel this contract for cause by providing written notice to the proposer, giving at least thirty (30) days notice of the proposed cancellation and the reasons for same. During that time period, the proposer may seek to bring the performance of services hereunder to a level that is acceptable to the LFUCG, and the LFUCG may rescind the cancellation if such action is in its best interest.

A. Termination for Cause

- (1) LFUCG may terminate a contract because of the contractor's failure to perform its contractual duties
- (2) If a contractor is determined to be in default, LFUCG shall notify the contractor of the determination in writing, and may include a specified date by which the contractor shall cure the identified deficiencies. LFUCG may proceed with termination if the contractor fails to cure the deficiencies within the specified time.
- (3) A default in performance by a contractor for which a contract may be terminated shall include, but shall not necessarily be limited to:
  - (a) Failure to perform the contract according to its terms, conditions and specifications;
  - (b) Failure to make delivery within the time specified or according to a delivery schedule fixed by the contract;

- (c) Late payment or nonpayment of bills for labor, materials, supplies, or equipment furnished in connection with a contract for construction services as evidenced by mechanics' liens filed pursuant to the provisions of KRS Chapter 376, or letters of indebtedness received from creditors by the purchasing agency;
- (d) Failure to diligently advance the work under a contract for construction services;
- (e) The filing of a bankruptcy petition by or against the contractor; or
- (f) Actions that endanger the health, safety or welfare of the LFUCG or its citizens.

#### B. At Will Termination

Notwithstanding the above provisions, the LFUCG may terminate this contract at will in accordance with the law upon providing thirty (30) days written notice of that intent. Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

13. **Assignment of Contract:** The contractor shall not assign or subcontract any portion of the Contract without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
14. **No Waiver:** No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this Contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
15. **Authority to do Business:** The Respondent must be a duly organized and authorized to do business under the laws of Kentucky. Respondent must be in good standing and have full legal capacity to provide the services specified under this Contract. The Respondent must have all necessary right and lawful authority to enter into this Contract for the full term hereof and that proper corporate or other action has been duly taken authorizing the Respondent to enter into this Contract. The Respondent will provide LFUCG with a copy of a corporate resolution authorizing this action and a letter from an attorney confirming that the proposer is authorized to do business in the State of Kentucky if requested. All proposals must be signed by a duly authorized officer, agent or employee of the Respondent.



16. **Governing Law:** This Contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this Contract, the Parties agree that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to this Contract or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.
17. **Ability to Meet Obligations:** Respondent affirmatively states that there are no actions, suits or proceedings of any kind pending against the Respondent or, to the knowledge of the Respondent, threatened against the Respondent before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of Respondent to perform its obligations under this Contract, or which question the legality, validity or enforceability hereof or thereof.
18. Contractor understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Contractor is an independent contractor at all times during the performance of the services specified.
19. If any term or provision of this Contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.

  
\_\_\_\_\_  
Signature

3-26-14  
\_\_\_\_\_  
Date



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

03/20/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b>  The Underwriters Group, Inc. 1700 Eastpoint Parkway P.O. Box 23790 Louisville, KY 40223	<b>CONTACT NAME:</b> <b>PHONE (A/C. No. Ext):</b> 502-244-1343 <b>E-MAIL ADDRESS:</b>	<b>FAX (A/C. No):</b> 502-244-1411													
	<table border="1"> <thead> <tr> <th>INSURER(S) AFFORDING COVERAGE</th> <th>NAIC #</th> </tr> </thead> <tbody> <tr> <td><b>INSURER A:</b> Kentucky Employers' Mutual Insurance</td> <td>10320</td> </tr> <tr> <td><b>INSURER B:</b> XL Specialty Insurance Company</td> <td>37885</td> </tr> <tr> <td><b>INSURER C:</b></td> <td></td> </tr> <tr> <td><b>INSURER D:</b></td> <td></td> </tr> <tr> <td><b>INSURER E:</b></td> <td></td> </tr> <tr> <td><b>INSURER F:</b></td> <td></td> </tr> </tbody> </table>		INSURER(S) AFFORDING COVERAGE	NAIC #	<b>INSURER A:</b> Kentucky Employers' Mutual Insurance	10320	<b>INSURER B:</b> XL Specialty Insurance Company	37885	<b>INSURER C:</b>		<b>INSURER D:</b>		<b>INSURER E:</b>		<b>INSURER F:</b>
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<b>INSURER F:</b>															
<b>INSURED</b> JJJ, Inc. dba L.E. Gregg Associates, Inc  c/o Bell Engineering 2480 Fortune Drive, Suite 350 Lexington, KY 40509															

**COVERAGES****CERTIFICATE NUMBER:****REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS		
	<b>GENERAL LIABILITY</b> <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						EACH OCCURRENCE	\$	
							DAMAGE TO RENTED PREMISES (Ea occurrence)	\$	
							MED EXP (Any one person)	\$	
							PERSONAL & ADV INJURY	\$	
							GENERAL AGGREGATE	\$	
							PRODUCTS - COMPOP AGG	\$	
								\$	
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS  <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						COMBINED SINGLE LIMIT (Ea accident)	\$	
							BODILY INJURY (Per person)	\$	
							BODILY INJURY (Per accident)	\$	
							PROPERTY DAMAGE (Per accident)	\$	
								\$	
	<b>UMBRELLA LIAB</b> <input type="checkbox"/> EXCESS LIAB  <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE  <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE	\$	
							AGGREGATE	\$	
								\$	
A	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below			369595	04/01/2013	04/01/2014	X	WC STATUTORY LIMITS	OTHER
								E.L. EACH ACCIDENT	\$1,000,000
								E.L. DISEASE - EA EMPLOYEE	\$1,000,000
								E.L. DISEASE - POLICY LIMIT	\$1,000,000
B	Professional Liability			DPR9703856	04/01/2013	04/01/2014		Each Claim	1,000,000
								Aggregate	2,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Project: Lexington-Fayette Urban Co Government, Lexington, KY

**CERTIFICATE HOLDER**
 Lexington-Fayette Urban County Government  
 Theresa Maynard-Buyer Senior  
 200 East Main Street  
 Room 338 Government Center  
 Lexington, KY 40507
**CANCELLATION**

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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Lexington-Fayette Urban County Government  
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray  
Mayor

William O'Mara  
Commissioner

**ADDENDUM #1**

RFP Number: **#13-2014**

Date: March 3, 2014

Subject: **Request for Qualifications for  
Professional Engineering Services**

Please address inquiries to:  
Theresa Maynard (859) 258-3320

**TO ALL PROSPECTIVE BIDDERS:**

Please be advised of the following clarifications to the above referenced RFP:

*Paragraph two on page one should read as follows and agree with the date on the website:*

"Sealed proposals will be received in the Division of Central Purchasing, Room 338, Government Center, 200 East Main Street, Lexington, KY, 40507, until **2:00 PM**, prevailing local time, on **March 26, 2014.**"

*Paragraph one on page two should read as follows:*

**Deadline for questions after the Pre-proposal meeting shall be Tuesday, MARCH 12<sup>th</sup>, 2014 at 2:00 PM local time.**

Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your RFP.

**COMPANY:** LE Gregg Associates

**ADDRESS:** 446 East High Street, Suite 140, Lexington, KY 40507

**SIGNATURE OF PROPOSER:** 



Lexington-Fayette Urban County Government  
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray  
Mayor

William O'Mara  
Commissioner

**ADDENDUM #2**

RFP Number: **#13-2014**

Date: March 7, 2014

Subject: **Request for Qualifications for  
Professional Engineering Services**

Please address inquiries to:  
Theresa Maynard (859) 258-3320

**TO ALL PROSPECTIVE BIDDERS:**

Please be advised of the following clarifications to the above referenced RFP:

*Disregard Addendum #1 issued on this page earlier today, March 7, 2014. That addendum was for RFP #14-2014 Request for Qualifications – Supplemental Legal Services and posted to the page for RFP #13-2014 in error.*

Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your RFP.

**COMPANY:** LE Gregg Associates

**ADDRESS:** 446 E. High Street, Suite 140, Lexington, KY 40507

**SIGNATURE OF PROPOSER:** 





Lexington-Fayette Urban County Government  
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray  
Mayor

William O'Mara  
Commissioner

**ADDENDUM #3**

RFP Number: **#13-2014**

Date: March 17, 2014

Subject: **Request for Qualifications for  
Professional Engineering Services**

Please address inquiries to:  
Theresa Maynard (859) 258-3320

**TO ALL PROSPECTIVE BIDDERS:**

Please be advised of the following clarifications to the above referenced RFP:

- Sign in sheets from March 10<sup>th</sup>, 2014 Pre-Proposal Meeting attached
- Questions and Answers attached

Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your RFP.

**COMPANY:** LE Gregg Associates

**ADDRESS:** 446 East High Street, Suite 140, Lexington, KY 40507

**SIGNATURE OF PROPOSER:**

## SIGN-IN SHEET

RFP #13-2014 Request for Qualifications for Professional Engineering Services  
 March 10<sup>th</sup>, 10:00 am 101 E Vine St, Lexington KY 40507

Representative	Company Name	Phone #	Email Address
Theresa Maynard	LFUCG – Central Purchasing	258-3320	theresam@lexingtonky.gov
CHASE WRIGHT	STRAND	225-8500	chase.wright@strand.com
Mark Astin	strand	225-8500	Mark.astin@strand.com
MICHAEL DAVIS	STRAND ASSOCIATES	225.8500	mike.davis@strand.com
Fred Eastridge	ECSE, LLC	233-2103	feastridge@engr-services.com
Arlen Sandlin	Parsons Brinckerhoff	245-3867	sandlin@pbworld.com
Paul WARSEN	THELEN ASSOCIATES	226-0761	PWARSEN@thelensac.com
Laura Mize	Lockner	224-4476	LMize@hwlockner.com
ABBIE JONES	ABBIE JONES CONSULTING	859.559.3443	abbie@abbie-jones.com
Greg Isaacs	Palmer	859 389 9293	gisaacs@palmer.net.com
Kevin Damron	Palmer	859.537.6657	kdamron@palmer.net.com
MIKE MERRIMAN	S&ME	859-293-5518	M.MERRIMAN@S&MEINC.COM
Megan Kendall	Bell Engineering	859-278-5412	mkendall@hkbell.com
David Schrader	Bell Engineering	859-278-5412	dschrader@hkbell.com
JUSTIN ANDERSON	HDR ENGINEERING	859-583-0732	justin.anderson@hdrinc.com
Jihad Hallany	Vision Engineering	859-559-0516	Jhallany@visionengr.com
Ethan Buell	BFMJ, INC	859.278.5050	e.buell@bfmj.com
Nicole Povelich	BFMJ Structural Eng	859-278-5050	n.povelich@bfmj.com
BRAD FRAZIER	LFUCG ENG	859-258-3410	bfrazier@lexingtonky.gov
Jason Anslie	L.F. Legregg	859-252-7558	jainslie@legregg.com
WALTER BOWMAN	W. Bowman Assoc.	859 619 0129	waltbowman@twc.com







## Questions for RFP #13-2014

Is it possible to expand somewhat on what each contract will entail. For example, contract 2 could be construed to merely entail negotiation and acquisition of properties which would not require professional engineering services. It could also be construed to require Professional surveying services. Please expand if possible.

**ANSWER:** At this point, the Contract descriptions must be general and fairly broad. But as an individual project is brought forward, a more detailed scope of required services will be developed for that project.

---

Is the proposal to be submitted as a single proposal or individual proposals for each contract?

**ANSWER:** The responses shall be a single proposal with a section for each Contract being responded to by the vendor, and clearly titled as a response to the Contract number, 1 through 8. Vendors may respond to one, some, or all Contract 1 through 8.

A listing acknowledging which of the Contract numbers the vendor's response contains shall be listed in the Table of Contents of the Vendor's Response.

If the SOQ is to be submitted as a single SOQ, should submit resumes in one tab/section for all the SOQ's or submit resumes for each individual Contract with the section covering that Contract.

**ANSWER:** If the vendor response contains sections for more than one of the Contracts number 1 through 8, they need to only include one set of resumes. The response for each individual Contract shall contain an organizational chart showing the individuals involved in that particular Contract response, and whose resume is included in the resume section of the total Response.

---

During the pre-proposal meeting, it was mentioned that regardless of the number of contracts you were requesting prequalification on, one document was to be submitted for all. Section 4 (page 4) of the RFQ states that each firm responding to this RFQ shall submit individual SOQ's for each project category for which they request to be prequalified. Are we to submit 1 document (with 1 master hardcopy, 7 duplicates and 1 electronic version) including information on all contracts prequalification is being requested on or are we to submit individual documents (with 1 master hardcopy, 7 duplicates and 1 electronic version) for each contract we are requesting prequalification on?

**ANSWER:** ONE document with sections for each contract you are responding to, with them listed in the Table of Contents. (see above)

If 1 document is to be submitted for all contracts prequalification is being requested on, are the page limits for contract specific information then multiplied by the number of contracts? For example, if we plan on submitting on 3 contracts, are we then allowed 3 pages for list of clients for which similar work has been performed in order to discuss 3 contracts or is it still a limit of 1 page? Does the same apply for qualifications for the specific type of contract, project team and list of similar projects?

**ANSWER:** It is still a limit of 1 page per contract response, and the same applies for qualifications, you may list the team on each section you're responding to, but you only need to submit the resumes once. (see above)



- 
1. What forms are actually required for this qualifications package? **Specifically:**
    - a. If our firm meets the DBE goal with our subconsultant partner(s), are we still required to fill out the Good Faith Efforts form?
    - b. Are the MWDBE substitution, MWDBE quote summary form, or subcontractor monthly payment report needed for this proposal or are they documents that would be used once under contract/letter agreement? Please confirm whether these forms should be included with our qualifications package.

**ANSWER:** If the vendor meets the goals for the project, firms are still required to return the Good Faith Efforts form and check all the things they did to find MWBEs for the job. The Quote Summary Form is part of the documentation needed if the vendor does not meet the goals for the project. It is not a required form for submittals. The Substitution Form and the Monthly Payment Report are documents that will be used by the company selected to work on the project.

2. Do the one-page resumes count against the 6-page count in section 3 Project Team? May we include these resumes as an appendix?

**ANSWER:** If the organizational chart varies between Contracts, provide individual charts with each Contract section. The Project Team Section (No. 3) should be held to 6 pages maximum.

3. Please confirm how many copies are needed. Page 35 of the RFP PDF says "six (8)" (contradicts), while RFP PDF page 37 indicates a total resulting in 8.

**ANSWER:** Re: Page 35: There are a total of EIGHT contracts being solicited in this RFQ.

The Proposer must submit one (1) master (hardcopy), (1) electronic version in PDF format on a flashdrive or CD and seven (7) duplicates (hardcopies) of their proposal for evaluation purposes.

4. Is there a maximum letter agreement/assignment amount for projects released under this contract? In other words, is it possible that LFUCG will need to advertise some projects separately from these contracts that exceed a certain dollar amount?

**ANSWER:** No fee cap has been set for total project assignments to any single consultant, nor has a project dollar limit been set. However, we anticipate that projects of considerable size which will utilize federal funding will need to have separate, individual RFQ's. At the other extreme, in the case of a very small project with an anticipated small consulting fee (for instance say less than \$20,000), LFUCG reserves the right to simply offer the work to the firm at the top of the list rather than ask three firms to expend inordinate resources in working up bids.



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