

PART III

---

Invitation to Bid No. 51-2018

HORSE PARK PUMP STATION GENERATOR REPLACEMENT

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: May 15, 2018

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by HERRICK COMPANY, INC.

2176 Waddy Road, Lawrenceburg, KY 40342

(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as a corporation  
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of the Director of Purchasing  
200 East Main Street, 3rd Floor  
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the Horse Park Pump Station Generator Replacement having examined the Contract Documents with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this Proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

Bidder hereby agrees to commence work under this Contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. Bidder further agrees to pay liquidated damages, the sum of \$850.00 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date May 7, 2018

Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

**2. LEGAL STATUS OF BIDDER**

Bidder Herrick Company, Inc.

Date May 15, 2018

\* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Donna S. Herrick, bearing the official title of Chief Executive Officer, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

\* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

\_\_\_\_\_  
\_\_\_\_\_

\*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Donna S. Herrick, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Donna S. Herrick and he/she is the individual submitting the Bid or is the authorized representative of Herrick Company, Inc. the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the Contract and will maintain a "current" status in regard to those taxes and fees during the life of the Contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a Contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists. Further, Affiant sayeth naught.

*Donna S. Herrick*

(Affiant) Donna S. Herrick

STATE OF KENTUCKY

COUNTY OF ANDERSON

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Donna S. Herrick on this the 15 day of April, 2018.

My Commission expires: March 2, 2019

*Sabrina K. Hubbard*

NOTARY PUBLIC, STATE AT LARGE

**4. BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Drawings for the following proposed lump sum price, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of Bid.

Form of proposal must include lump sum price(s) written in words, lump sum price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, lump sum bid price written in words will prevail followed by lump sum price written in numbers then total amount bid per line item.

For a lump sum based Bid, the item total is the Bid amount the Division uses for Bid comparison.

For a unit price based Bid, the sum of the item totals is the Bid amount the Division uses for Bid comparison.

The LFUCG's decision on the Bid amount is final.

The Contract, if awarded, will be on the basis of materials and equipment specified in the Specifications without consideration of possible substitute or "or equal" items.

The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

The Owner's decision on the bid amount is final.

**BID SCHEDULE**

Item	Description	Qty	Unit	Unit Price	Item Bid Price
1.	Removal/demolition of the existing diesel-fueled standby generator, new 80kW diesel-fueled standby generator, new platform/railing, concrete slab additions, site work and all associated electrical appurtenances included and shown on the Contract Documents.	1	LS	146,000. <sup>00</sup>	146,000. <sup>00</sup>
<b>TOTAL BID (Items 1 thru 1)</b>				<b>146,000.<sup>00</sup></b>	

**Alternate Equipment Information**

Equipment Item	Base Bid Equipment Manufacture
1. Generator Set	<p>Caterpillar</p> <p>Cummins / Onan</p> <p>MTU / Detroit Diesel</p>

Notes: 1. Where more than one Base Bid equipment manufacturer is listed above, the Bidder has the option of including any of the listed manufacturers in the Base Bid. The Bidder must indicate the Base Bid equipment included in the Bid by circling the selected manufacturer at the time of the submission of the Bid. The design has been completed using the first listed Base Bid equipment manufacturer. Should the Bidder list the second, or third Base Bid equipment, the Bidder, at no additional cost to the Owner, shall make any changes to structure, concrete, piping controls, electrical, instrumentation, architectural, mechanical, etc. that may be necessary to accommodate this equipment.

TOTAL OF ALL BID PRICES FOR the Horse Park Generator Replacement Project (Items 1 through 1) in words and figures. In case of discrepancy, the amount shown in words will govern.

\_\_\_\_\_

\_\_\_\_\_

(\$ \_\_\_\_\_).

Submitted by: HERRICK COMPANY, INC.  
*Firm*

2176 Waddy Road  
*Address*

Lawrenceburg, KY 40342  
*City, State & Zip*

*Bid must be signed:*  
*(original signature)* Donna S Herrick CEO  
*Signature of Authorized Company Representative – Title*

Donna S. Herrick  
*Representative/s Name (Typed or Printed)*

502-839-3484 502-839-0939  
*Area Code – Phone – Extension* *Fax #*

hci@dcr.net  
*E-Mail Address*

Herrick Company, Inc.  
2176 Tracy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 Fax

**Bid:** Horse Park Pump Station Generator Replacement

**Item 5.5 (Page P-7): Equipment Available**

- 35 ton Crane
- 15 ton Boom Truck
- 50 ton Crane
- 15 ton P&H Crane
- CAT D5 Dozer
- CAT 953 Loader
- CAT 315 Excavator
- CAT 416 Backhoe
- CAT 420 Backhoe
- CAT 420E Backhoe
- CAT 302.5 Excavator
- Hyundai R35ZCR Mini-Excavator
- Bobcat Skid Steer Loader
- International Flat Bed Dump
- (2) 185 Air Compressors
- 6" Diesel Pump
- Kundel 8' x 20' trench box
- (8) pickup trucks
- Miscellaneous small equipment and tools

OFFICIAL ADDRESS:

Herrick Company, Inc.

2176 Waddy Road

Lawrenceburg, KY 40342

\_\_\_\_\_ (Seal if Bid is by Corporation)

**By signing this form, you agree to ALL terms, conditions, and associated forms in this Bid package**

**5. STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Bid:

1. Name of Bidder: HERRICK COMPANY, INC.

2. Permanent Place of Business: 2176 Waddy Road, Lawrenceburg, KY 40342

3. When Organized: January 26, 1996

4. Where Incorporated: State of Kentucky

5. Construction Plant and Equipment Available for this Project:

Please see attached schedule.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Attach Separate Sheet If Necessary)

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939  
hci@dcr.net

BID: Horse Park Pump Station Generator Replacement

Bid Date: May 15, 2018

## Item 5.8 List of Similar Projects

<u>Project Name</u>	<u>Location</u>	<u>Contract Amount</u>
Columbia WTP Improvements	Columbia, KY	\$2,610,995
WWTP Generator & SCADA System Additions	Frankfort, KY	\$1,909,659.85
WWTP # 1 Digester Sludge Pump Replacement	Georgetown, KY	\$232,250
WWTP Improvements – Phosphorous Removal	Hardinsburg, KY	\$1,930,382.19



Herrick Company, Inc  
1385 Tracy Road  
Lawrenceburg, KY 40342  
May 15, 2018

**Item 5.9 (Page P-8) Current Projects (BONDED CONTRACTS ON HAND)**

<u>Project Name</u>	<u>Amount</u>	<u>Completion</u>
WWTP Generator & SCADA System, et al Frankfort, KY Owner: Frankfort Sewer Department – Kenny Hogsten @ 1200 KY Avenue, Frankfort, KY 502-229-6312 HMB Professional Engineers: Ray Bascom, PE @ 3 HMB Circle, Frankfort, KY 502-695-9800	\$2,279,000.00	July 2018
Contract 11 – WWTP Improvements / Chemical Feed & Phosphorous Removal Hardinsburg, KY Owner: City of Hardinsburg – 351 N. Main Street, Hardinsburg, KY KY Engineering Group, PLLC: Ryan Carr, PE, @ PO Box 1034, Versailles, KY 859-251-4127	\$1,930,389.19	May 2018
Contract 1 New County Farm Pump Station - West Laurel PS London, KY Owner: West Laurel Water Association, 1670 E Hal Rogers Pkwy, London, KY Kenvirons, Inc.: Vaughn Williams @ 452 Versailles Road, Frankfort, KY 502-695-4357	\$497,725.00	September 2018
Contract 3 WTP Modifications Lebanon, KY Owner: Lebanon Water Works Company, Inc., 120 South Proctor Knott Avenue, Lebanon, KY KY Engineering Group, PLLC: Jim Thompson, PE @ PO Box 1034, Versailles, KY 859-251-4127	\$172,750.00	September 2018
Lincoln Trail Lift Station Rehab Project Radcliff, KY Owner: Hardin County Water District No. 1, 1400 Rogersville Road, Radcliff, KY Bell Engineering: David Schrader, 2480 Fortune Drive, Suite 350, Lexington, KY 859-278-5412	\$673,250.00	January 2019
High Service Pump Station Replacement Valves Frankfort, KY Owner: Frankfort Plant Board GRW Engineering: Joe Henry, 801 Corporate Drive, Lexington, KY 859-223-3999	\$167,600.00	August 2018

*Donna S Herrick*

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

United Fire & Casualty Company / Smith Manus of Louisville, KY (Surety)

Signed: Leigh McCarthy (Representative of Surety)  
Leigh McCarthy, Attorney-in-Fact

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>Please see attached schedule.</u>		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>Please see attached schedule.</u>		

10. List Key Bidder Personnel who will work on this Project.



<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
Please see attached schedule.		
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS (LIST)</u>	<u>PROJECT (SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
Please see attached schedule.			
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

BID: Horse Park Pump Station Generator Replacement Bid No. 51-2018  
Item 5.10 Key Employees (Page P-8 & P-9) and Item 9. Statement of Experience (Page P-24)

Donna S. Herrick - Chief Executive Officer

HCI Start Date: 1/26/96 (Years in construction - 22)

**Experience:** Management, cost-tracking, asset acquisition, budgeting, financial planning, risk management and control, project analysis, corporate taxes, human resources and payroll, new business start-ups

---

H. Douglas Herrick - President

HCI Start Date: 1/26/96 (Years in construction - 46)

**Experience:** Management, SFR contract builder, carpentry, estimating, supervisor on water- and waste-water treatment projects, including new construction, renovation, maintenance and emergency work

---

Samuel G. Thorpe - Superintendent

HCI Start Date: 12/26/06 (Years in construction - 49)

**Experience:** Bridge construction, carpentry, equipment maintenance & control, environmental & safety officer, supervisor

---

Eddie R. Johnson - Superintendent

HCI Start Date: 5/22/99 (Years in construction - 47)

**Experience:** Carpentry, electrical, plumber, layout & design, supervisory

---

Ryan P. Gabbard - Project Manager / Superintendent

HCI Start Date: 12/30/00 (Years in construction - 18)

**Experience:** Laborer, rebar foreman, layout, carpentry, tower construction, supervisory; project manager

---

Larry T. Boian - Superintendent

HCI Start Date: 3/29/99 (Years in construction - 36)

**Experience:** Operator, rebar, carpentry, welding, supervisory

---

Chadrick D. Holbrook - Superintendent

HCI Start Date: 1/19/18 (Years in construction - 17 )

**Experience:** Operator, carpentry, supervisory

---

Sabrina K. Gabbard - Office Manager

HCI Start Date: 10/09/06 (Years in construction - 12)

**Experience:** Payroll administrator, project reporting, equipment control & maintenance, payables & receivables, year-end information reporting, quarterly tax filing, field work as labor & forklift operator

---

BY: HERRICK COMPANY, INC.

DATE: May 15, 2018

BY:



Donna S. Herrick

TITLE: Chief Executive Officer

BID: Horse Park Pump Station Generator Replacement

Bid Date: May 15, 2018

## Item 5.11 DBE Participation on Current Bonded Projects

<u>Subcontractor</u>	<u>Project</u>		<u>DBE % Work</u>
Richmond Mechanical	Frankfort WWTP Generator & SCADA	N	0.47%
McKinney Painting	Frankfort WWTP Generator & SCADA	Y	0.10%
Badger Daylighting	Frankfort WWTP Generator & SCADA	N	0.79%
J L Davis Electrical	Frankfort WWTP Generator & SCADA	N	25.27%
Timmons Electric	Hardinsburg WWTP Improvements	N	6.14%
McKinney Painting	Hardinsburg WWTP Improvements	Y	1.98%
Samson Steel	Hardinsburg WWTP Improvements	N	0.86%
Nationwide Fence	Hardinsburg WWTP Improvements	N	0.20%
Complete Electrical Systems	W Laurel New County Farm PS	N	8.31%
McKinney Painting	W Laurel New County Farm PS	Y	1.79%
Cave City Contracting	W Laurel New County Farm PS	N	2.22%
Hume Masonry	W Laurel New County Farm PS	N	5.40%
Microcomm, Inc.	W Laurel New County Farm PS	N	3.70%
J L Davis Electrical	Lincoln Trail Lift Station Rehab	N	9.54%
McKinney Painting	Lincoln Trail Lift Station Rehab	Y	7.31%
The Fence Company	Lincoln Trail Lift Station Rehab	Y	1.21%
J L Davis Electrical	Frankfort HS PS Replacement Valves	N	5.01%
McKinney Painting	Frankfort HS PS Replacement Valves	Y	0.73%

**6. LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the Bidder's FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u>	<u>% of Work</u>	<u>Yes/No</u>
1. <u>Electrical</u>	Name: <u>JSC Electric</u> Address: <u>Lexington, Ky</u>		<u>12%</u>	<u>No</u>
2. <u>Asphalt</u>	Name: <u>G &amp; G Paving</u> Address: <u>Lexington, Ky</u>		<u>2.4%</u>	<u>Yes</u>
3. _____	Name: _____ Address: _____			
4. _____	Name: _____ Address: _____			
5. _____	Name: _____ Address: _____			
6. _____	Name: _____ Address: _____			
7. _____	Name: _____ Address: _____			

(Attach additional sheet(s) if necessary.)

7. **LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their Bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this Contract.
- 3) The LFUCG has also established a 3% of total procurement costs as a Goal for participation of Veteran-Owned Small Businesses.
- 4) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation, the same goal (3%) veteran participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful Bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Veteran-Owned and Woman-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Veteran-Owned or Woman-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, Bidders may contact:
  - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE and veteran subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the Project.

C. DEFINITIONS

- 1) A MBE is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A WBE is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.

- 3) A DBE is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) A VOSB is defined as a business which is certified as being at least 51% owned and operated by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a Bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the Bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The Bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the Bidder; however, Bidders not meeting the goal are required to furnish with their Bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the Bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If Bid includes no MWDBE participation, Bidder shall enter "None" on the subcontractor / supplier form). In addition, the Bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
  - a. Advertised opportunities to participate in the Contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
  - b. Included documentation of advertising in the above publications with the Bidders good faith efforts package



- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this Project. Those contacted and their responses should be a part of the Bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.
- j. Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the Contract goals. This includes, where appropriate, breaking out Contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the Contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a Bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the Bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the Bid.

p. Made efforts to expand the search for MWDBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

q. Other--any other evidence that the Bidder submits which may show that the Bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

**Note: Failure to submit any of the documentation requested in this section may be cause for rejection of Bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**



## MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA  
Minority Business Enterprise Liaison  
Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)  
859-258-3323

**OUR MISSION:** The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

*“A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises...”*

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

In addition, to that end the city council also adopted and implemented resolution 167-91—Veteran-owned Businesses, 3% Goal Plan in July of 2015. The resolution states in part (a full copy is available in Central Purchasing):

*"A resolution adopting a three percent (3%) minimum goal for certified veteran-owned small businesses and service disabled veteran-owned businesses for certain of those Lexington-Fayette Urban County contracts related to construction for professional services, and authorizing the Division of Purchasing to adopt and implement guidelines and/or policies consistent with the provisions and intent of this resolution by no later than July 1, 2015."*

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	<a href="mailto:smiller@lexingtonky.gov">smiller@lexingtonky.gov</a>	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	<a href="mailto:ttvra@commercelexington.com">ttvra@commercelexington.com</a>	859-226-1625
Tri-State Minority Supplier Diversity Council	Susan Marston	<a href="mailto:smarston@tsmsdc.com">smarston@tsmsdc.com</a>	502-365-9762
Small Business Development Council	Shirie Hawkins UK SBDC	<a href="mailto:smack@uky.edu">smack@uky.edu</a> <i>incorrect</i>	859-257-7666
Community Ventures Corporation	Phyllis Alcorn	<a href="mailto:palcorn@cvky.org">palcorn@cvky.org</a>	859-231-0054
KY Transportation Cabinet (KYTC)	Melvin Bynes	<a href="mailto:Melvin.bynes2@ky.gov">Melvin.bynes2@ky.gov</a>	502-564-3601
KYTC Pre-Qualification	Shella Eagle	<a href="mailto:Shella.Eagle@ky.gov">Shella.Eagle@ky.gov</a>	502-782-4815
Ohio River Valley Women's Business Council (WBENC)	Sheila Mixon	<a href="mailto:smixon@orvwbc.org">smixon@orvwbc.org</a>	513-487-6537
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	<a href="mailto:Yvette.Smith@ky.gov">Yvette.Smith@ky.gov</a>	502-564-8099
National Women Business Owner's Council (NWBOC)	Janet Harris-Lange	<a href="mailto:janet@nwbo.org">janet@nwbo.org</a>	800-675-5066
Small Business Administration	Robert Coffey	<a href="mailto:robertcoffey@sba.gov">robertcoffey@sba.gov</a>	502-582-5971
LaVoz de Kentucky	Andres Cruz	<a href="mailto:lavozy@yaho.com">lavozy@yaho.com</a>	859-621-2106
The Key News Journal	Patrice Muhammad	<a href="mailto:patricem@keynewsjournal.com">patricem@keynewsjournal.com</a>	859-373-9428



**LFUCG MWDBE PARTICIPATION FORM**  
 Bid/RFP/Quote Reference # 51-2018

The MWDBE and/or Veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. G & G PAVING LEXINGTON, KY	Blacktop	3,500.00	2.4%
2.			
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc.  
 Company  
May 15, 2018

Donna S. Herrick *Donna S Herrick*  
 Company Representative  
 Chief Executive Officer

Date

Title



**LFUCG MWDBE SUBSTITUTION FORM**

Bid/RFP/Quote Reference # 51-2018

The substituted MWDBE and/or Veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

*NONE*

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc.  
Company

May 15, 2018  
Date

Donna S. Herrick *Donna S. Herrick*  
Company Representative

Chief Executive Officer  
Title



**MWDBE QUOTE SUMMARY FORM**  
 Bid/RFP/Quote Reference # 51-2018

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit a completed form may cause rejection of the bid.

Company Name <b>Herrick Company, Inc.</b>	Contact Person <b>Donna S. Herrick</b>
Address/Phone/Email 2176 Waddy Road Lawrenceburg, KY 40342	Bid Package / Bid Date <b>Horse Park Pump Station - Bid No. 51-2018</b> May 15, 2018

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
L Watson Trucking	Larry Watson	lwatson@watsontrucking.com	5-3-18	Trucking	email	\$85/Hour	MBE	
G & G Paving	Marvin Gates	ggpaving@mw-twb.com	5-3-18	Asphalt	email	3,500.00	SBE, MBE, DBE	

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Herrick Company, Inc.  
 Company  
May 15, 2018  
 Date

Donna S. Herrick *Donna S Herrick*  
 Company Representative  
Chief Executive Officer  
 Title



## LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 51-2018  
 Total Contract Amount Awarded to Prime Contractor for this Project \_\_\_\_\_

Project Name/ Contract # <b>Horse Park PS Generator Replacement</b>	Work Period/ From: _____ To: _____
Company Name: <b>Herrick Company, Inc.</b>	Address: <b>2176 Waddy Road, Lawrenceburg, KY 40342</b>
Federal Tax ID: <b>61-1296034</b>	Contact Person: <b>Donna S. Herrick</b>

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

<u>Herrick Company, Inc.</u> Company  <u>May 15, 2018</u>	<u>Donna S. Herrick</u> <i>Donna S Herrick</i> Company Representative  <u>Chief Executive Officer</u>
--	--



Date

Title

**LFUCG STATEMENT OF GOOD FAITH EFFORTS**

Bid/RFP/Quote # 51-2018

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

Included documentation of advertising in the above publications with the bidders good faith efforts package

Attended LFUCG Central Purchasing Economic Inclusion Outreach event

Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

**NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Herrick Company, Inc.  
Company  
May 15, 2018  
Date

Donna S. Herrick *Donna S Herrick*  
Company Representative  
Chief Executive Officer  
Title

**8. AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached Bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the Bid or Bids, and will not be communicated to any such person, prior to the official opening of the Bid or Bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky  X . Check the statement applicable.
6. This offer is for 60 calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a Contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. **STATEMENT OF EXPERIENCE**

NAME OF INDIVIDUAL:  Please see attached schedule.

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

---

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

---

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

---

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

---

\* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

**10. EQUAL OPPORTUNITY AGREEMENT**

The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:

*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*

- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.



Signature

Herrick Company, Inc.

Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such*

*certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*

- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

KRS 45.640 Minimum skills

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.



11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Herrick Company, Inc.

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

**12. WORKFORCE ANALYSIS FORM**

Name of Organization: \_\_\_\_\_

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F		
Administrators	2	1	1													1	1
Professionals																	
Superintendents																	
Supervisors	4	4														4	
Foremen																	
Technicians																	
Protective Service																	
Para-Professionals																	
Office/Clerical	2	1	1													1	1
Skilled Craft	8	8															
Service/Maintenance																8	
<b>Total:</b>	<b>16</b>	<b>14</b>	<b>2</b>													<b>14</b>	<b>2</b>

Prepared by: Donna S. Herrick, CEO

*Donna S. Herrick*

(Name and Title)

**13. EVIDENCE OF INSURABILITY**

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT  
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Herrick Company, Inc. Employee ID: 61-1296034  
 Address: 1385 Tracy Road Phone: 502-839-3484  
Lawrenceburg, KY 40342

Project to be insured: LFUCG Horse Park Pump Station Generator

Lexington-Fayette Urban County Government, KY

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC 1.3.D.1 - see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ 1,000,000/ 2,000,000	Cincinnati Insurance Co.	004289	A+;XV
SC 1.3.D.1 - see provisions	AUTO	\$2,000,000/per occ.	\$ 1,000,000	Cincinnati Insurance Co.	004289	A+;XV
SC 1.3.D.1 - see provisions	WC	Statutory w/endorsement as noted	\$4,000,000 each accident \$4,000,000 disease/empl \$4,000,000 disease/pol	Associated General Contractors of Kentucky	055002	A+;VII

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

J. Smith Lanier & Co., A Marsh & McLennan Agency LLC company

Chris Barnett

Agency or Brokerage

Name of Authorized Representative

360 East Vine Street

Senior Vice President

Street Address

Title

Lexington KY 40507

Authorized Signature

City State Zip

Date

859-254-8023

5-9-18

Telephone Number

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

Excess Liability

\$5,000,000

Travelers Property & Casualty Co. 004461 A++

**15. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the Bid.


The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three-year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Herrick Company, Inc.

Project: Horse Park Pump Station Generator Replacement

Printed Name and Title of Authorized Representative:  
Donna S. Herrick, Chief Executive Officer

Signature: 

Date: May 15, 2018

END OF SECTION

**15. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the Bid.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three-year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: \_\_\_\_\_

Project: \_\_\_\_\_

Printed Name and Title of Authorized Representative:  
\_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

END OF SECTION

**15. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the Bid.

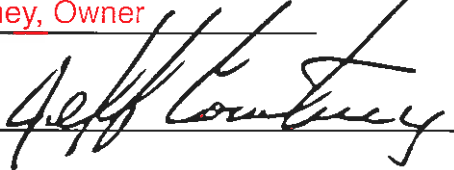
The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three-year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: JSC Electric, Inc.

Project: Horse Park PS Generator Replacement

Printed Name and Title of Authorized Representative:  
Jeff Courtney, Owner

Signature: 

Date: 5-12-18

END OF SECTION

**15. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the Bid.

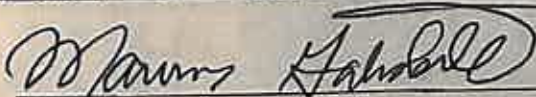
The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three-year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: G & G Paving + const Inc

Project: Horse Park Pump Station Generator Replacement

Printed Name and Title of Authorized Representative:  
MARVIN E GATESKILL

Signature: 

Date: 5/14/18

END OF SECTION

# AIA® Document A310™ – 2010

## Bid Bond

**CONTRACTOR:**

(Name, legal status and address)

Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342

**SURETY:**

(Name, legal status and principal place of business)

United Fire & Casualty Company  
PO Box 73909  
Cedar Rapids, IA 52407-3909

**OWNER:**

(Name, legal status and address) Lexington-Fayette Urban County Government - Division of Water Quality  
125 Lisle Industrial Ave  
Lexington, KY 40511

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

**BOND AMOUNT:** Five Percent of the Amount Bid

(\$ 5% of Bid )

**PROJECT:**

(Name, location or address, and Project number, if any)

Bid No. 51-2018 - Horse Park Pump Station Generator Replacement, Lexington, KY

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.


When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 15th day of

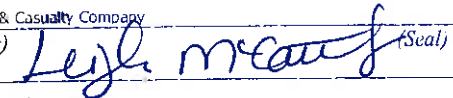
May

2018

  
(Witness)

Herrick Company, Inc.   
(Principal) Chief Executive Officer (Seal)  
(Title)

  
(Witness)

United Fire & Casualty Company  
(Surety)   
(Title) Leigh McCarthy (Seal)  
Attorney-in-Fact

Int.





UNITED FIRE & CASUALTY COMPANY, CEDAR RAPIDS, IA  
UNITED FIRE & INDEMNITY COMPANY, WEBSTER, TX  
FINANCIAL PACIFIC INSURANCE COMPANY, ROCKLIN, CA  
CERTIFIED COPY OF POWER OF ATTORNEY

Inquiries: Surety Department  
118 Second Ave SE  
Cedar Rapids, IA 52401

(original on file at Home Office of Company - See Certification)

KNOW ALL PERSONS BY THESE PRESENTS, That UNITED FIRE & CASUALTY COMPANY, a corporation duly organized and existing under the laws of the State of Iowa; UNITED FIRE & INDEMNITY COMPANY, a corporation duly organized and existing under the laws of the State of Texas; and FINANCIAL PACIFIC INSURANCE COMPANY, a corporation duly organized and existing under the laws of the State of California (herein collectively called the Companies), and having their corporate headquarters in Cedar Rapids, State of Iowa, does make, constitute and appoint JAMES T. SMITH, JAMES H. MARTIN, BROOK T. SMITH, RAYMOND M. HUNDLEY, DEBORAH NEICHTER, BONNIE J.

ROWE, MICHELE LACROSSE, SUMMER BETTING, JASON CROMWELL, LEIGH MCCARTHY, EACH INDIVIDUALLY OF LOUISVILLE KY

their true and lawful Attorney(s)-in-Fact with power and authority hereby conferred to sign, seal and execute in its behalf all lawful bonds, undertakings and other obligatory instruments of similar nature provided that no single obligation shall exceed \$75,000,000.00 and to bind the Companies thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of the Companies and all of the acts of said Attorney, pursuant to the authority hereby given and hereby ratified and confirmed.

The Authority hereby granted shall expire the 1st day of August, 2018 unless sooner revoked by UNITED FIRE & CASUALTY COMPANY, UNITED FIRE & INDEMNITY COMPANY, AND FINANCIAL PACIFIC INSURANCE COMPANY.

This Power of Attorney is made and executed pursuant to and by authority of the following bylaw duly adopted on May 15, 2013, by the Boards of Directors of UNITED FIRE & CASUALTY COMPANY, UNITED FIRE & INDEMNITY COMPANY, and FINANCIAL PACIFIC INSURANCE COMPANY.

"Article VI - Surety Bonds and Undertakings"

Section 2, Appointment of Attorney-in-Fact. "The President or any Vice President, or any other officer of the Companies may, from time to time, appoint by written certificates attorneys-in-fact to act in behalf of the Companies in the execution of policies of insurance, bonds, undertakings and other obligatory instruments of like nature. The signature of any officer authorized hereby, and the Corporate seal, may be affixed by facsimile to any power of attorney or special power of attorney or certification of either authorized hereby; such signature and seal, when so used, being adopted by the Companies as the original signature of such officer and the original seal of the Companies, to be valid and binding upon the Companies with the same force and effect as though manually affixed. Such attorneys-in-fact, subject to the limitations set forth in their respective certificates of authority shall have full power to bind the Companies by their signature and execution of any such instruments and to attach the seal of the Companies thereto. The President or any Vice President, the Board of Directors or any other officer of the Companies may at any time revoke all power and authority previously given to any attorney-in-fact.

IN WITNESS WHEREOF, the COMPANIES have each caused these presents to be signed by its vice president and its corporate seal to be hereto affixed this 1st day of August, 2016

UNITED FIRE & CASUALTY COMPANY  
UNITED FIRE & INDEMNITY COMPANY  
FINANCIAL PACIFIC INSURANCE COMPANY

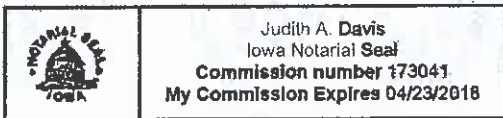
By: *Dennis J. Richman* Vice President



State of Iowa, County of Linn, ss:

On 1st day of August, 2016, before me personally came Dennis J. Richmann

to me known, who being by me duly sworn, did depose and say; that he resides in Cedar Rapids, State of Iowa; that he is a Vice President of UNITED FIRE & CASUALTY COMPANY, a Vice President of UNITED FIRE & INDEMNITY COMPANY, and a Vice President of FINANCIAL PACIFIC INSURANCE COMPANY the corporations described in and which executed the above instrument; that he knows the seal of said corporations; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporations and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporations.



*Judith A. Davis* Notary Public  
My commission expires: 04/23/2018

I, David A. Lange, Secretary of UNITED FIRE & CASUALTY COMPANY and Assistant Secretary of UNITED FIRE & INDEMNITY COMPANY, and Assistant Secretary of FINANCIAL PACIFIC INSURANCE COMPANY, do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Section of the bylaws and resolutions of said Corporations as set forth in said Power of Attorney, with the ORIGINALS ON FILE IN THE HOME OFFICE OF SAID CORPORATIONS, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.

In testimony whereof I have hereunto subscribed my name and affixed the corporate seal of the said Corporations this 15th day of May, 2018.



By: *David A. Lange*

Secretary, UF&C  
Assistant Secretary, UF&I/FPIC

**From:** noreply@adperfect.com  
**Sent:** Monday, April 30, 2018 11:04 AM  
**To:** ryanhci@dcr.net  
**Subject:** Your **Herald-Leader classified ad** receipt LEX0039300

Your Lexington Herald classified ad receipt LEX0039300



## Your Ad Has Been Approved

Do not reply to the email address above. This email is for informational purposes only.

Hello Ryan,

Your Lexington Herald ad placed on Kentucky.com has been approved for publication on the following dates:

**Ad number:** LEX0039300

**Run Schedule:** Print Ad: May 6, 2018 to May 6, 2018 Web Ad: May 1, 2018 to May 30, 2018 \*

Run schedule is the period your ad will run and may not reflect the actual days.


Your ad has been charged as follows:


**Sub total:** 242.45

**Grand total:** 242.45

**Billed amount:** 242.45

Any applicable charges will appear as **McClatchy or McClatchy Interactive** on your statement.

 [Friend on Facebook](#)

 [Follow on Twitter](#)

 [Email Support](#)

**The McClatchy Company** is a leading news and information provider dedicated to the values of quality journalism, free expression and community service.

**Learn more about us**  
[http://www.mcclatchy.com/about\\_us/](http://www.mcclatchy.com/about_us/)



### View our classified network



**Protect your personal information**  
Credit card thieves have called advertisers whose phone numbers are in their ads, claiming to be classified customer service representatives and asking for credit card information. Customers should not provide credit card information to someone who calls them about an ad. If you have any concerns about the billing for your classified ad, please call the Online Member Services directly at 1-877-223-7355.

You can view, make changes or renew this and all previous ads through your classifieds account. To access your account, sign in at <http://lexingtonherald.adperfect.com>

If you have any questions about your order, please contact us at 1-877-223-7355, Monday-Friday, between 9 am and 5:00 pm Eastern time or click [here](#) to send us an email and reference ad number LEX0039300.

Thank you for choosing Lexington Herald.

[follow on Twitter](#) | [friend on Facebook](#) | [email support](#)



# CLASSIFIED

**BUY IT. SELL IT. FIN!**  
859-233-7878 » 1-800-933-7355

**EMPLOYMENT**

**Construction**

Dump Truck drivers w/CDL,  
preferably w/ Asphalt exp.  
Hiring Immediately.  
859-252-8099

00184312-01  
**General Labor**

13 Temporary Farm  
Workers Needed  
Michael W. Roberts - Emi-

**Legal**

**DBE** Subcontractors/Suppliers-  
Wanted Herrick Company  
is bidding on a General  
Contractor on Horse Park  
P5 Generator  
Replacement. On  
Tuesday, 5/15/18. All  
minority subcontractors/  
suppliers are encouraged  
to provide us a quote.  
Please contact us at 502-  
639-3484 or hcrick@emc.com  
for questions or to view  
plans/specs.

Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
Tel: (502) 839-3484  
Fax: (502) 839-0939

## **Ad Proofs**

**Project Name:** Horse Park Pump Station Generator  
Replacement

**Contract/Bid #:** Bid No. 51-2018

**Awarding Agency:** LFUCG - Division of Water Quality

# Focus Journal Ad

Publication: DBE GoodFaith (DBEGoodFaith.com)

Published On: 05/03/2018 @ 01:26:22 PM PST

Expired On: 05/15/2018 @ 11:59:59 PM PST

Messages Notifications Sent To: hci@dcr.net

Published At: [https://www.dbegoodfaith.com/good-faith-ad.php?ad\\_adid=41544&co\\_name=Herrick+Company%2C+Inc.](https://www.dbegoodfaith.com/good-faith-ad.php?ad_adid=41544&co_name=Herrick+Company%2C+Inc.)

## Herrick Company, Inc.

Is seeking qualified DBEs, MBEs, WBEs, DVBES

Project Name

**Horse Park Pump Station Generator Replacement**

Bid/Contract #

**Bid No. 51-2018**

Awarding Agency

**LFUCG - Division of Water Quality**

Project Location

**Lexington, Fayette County, KY**

Bid Date

**05/15/2018 at 02:00**

Project Details

**Electrical subcontractors, Suppliers for following material: generator system; reinforcing steel; grout, miscellaneous metals such as stairs, handrails & railings; gratings**

**Plans/specs are available from Herrick Company, Inc. upon email request (digital copy only).**

## Get in Touch

Outreach Coordinator

**Donna Herrick**

Project Estimator

**Ryan Gabbard**

Telephone

**(502) 839-3484 Ext. 12**

Fax

**(502) 839-0939**

Address

**2176 Waddy Road  
Lawrenceburg, KY 40342**

[Send a message](#)

## Trade Journal Ad

Publication: DBE Journal (DBEJournal.com)

Published On: 05/03/2018 @ 01:26:22 PM PST

Expired On: 05/15/2018 @ 11:59:59 PM PST

Published At:

[http://dbejournal.com/index.php?show\\_ad=41544&ad\\_project\\_name=Horse+Park+Pump+Station+Generator+Replacement&co\\_name=Herrick+Company%2C+Inc.](http://dbejournal.com/index.php?show_ad=41544&ad_project_name=Horse+Park+Pump+Station+Generator+Replacement&co_name=Herrick+Company%2C+Inc.)

Outreach Coordinator

Donna Herrick

Estimator

Ryan Gabbard

Contact Information

2176 Waddy Road  
Lawrenceburg, KY 40342

Telephone

(502) 839-3484 Ext. 12

Fax

(502) 839-0939

## Herrick Company, Inc.

is seeking qualified DBEs, MBEs, WBEs, DVBEs

Project Name

Horse Park Pump Station Generator Replacement

Bid/Contract #

Bid No. 51-2018

Awarding Agency

LFUCG - Division of Water Quality

Project Location

Lexington, Fayette County, KY

Bid Date

05/15/2018 at 02:00

Project Details

Electrical subcontractors, Suppliers for following material: generator system; reinforcing steel; grout, miscellaneous metals such as stairs, handrails & railings; gratings

Plans/specs are available from Herrick Company, Inc. upon email request (digital copy only).



Herrick Company, Inc.

Project: HERSE PARK PS

Date: \_\_\_\_\_

DBE'S TO SEND APPROVAL LETTER

- CITY PAVING + CONSTRUCTION INC - MARVIN GATESKILL      GCPAVING@MW.TWCBC.COM
- CRITICAL ENERGY SOLUTIONS, BOB SOFFEL -      BOB@CES.CRITICALENERGYSOLUTIONS.COM
- ED DAVIS CONTRACTING,      ED@EDAVISLEX@GMAIL.COM
- MICHEL ELECTRIC, DENNIS GAMBRELL      DENNIS@CJMIS.NET
- RIMAR ELECTRIC, SARAH PERRY      RIMARELECTRIC@GMAIL.COM
- TEAM - DONE ✓
- BANSAL CONSTRUCTION, INC, TRACY POWELL      TRACY.POWELL@BANSALINC.COM  
ELECTRIC SUB
- MM MANUFACTURING - DONE ✓
- GECO ENTERPRISES, INC, GIGI BACON      GIGIBACON@WINDSTREAM.NET  
ALBAR
- L WATSON TRUCKING, LARRY WATSON      LWATSONTRUCKING@ICLOUD.COM  
PULV, ASPHALT

**WATER AND WASTEWATER PLANTS**

Herrick Company, Inc.  
1385 Tracy Road  
Lawrenceburg, KY 40342

- Construction
- Renovation
- Maintenance
- Emergency Work

tel: (502) 839-3484  
fax: (502) 839-0939  
email: hci@dcr.net



Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:11 AM  
**To:** 'ggpaving@mw.twcbc.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

5.6 PAVING & GENERATOR

NB

Marvin,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:13 AM  
**To:** 'bob@ces-criticalenergysolutions.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

CRITICAL ENERGY SOLUTIONS

Bob

Bob,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:14 AM  
**To:** 'eddiedavislex@gmail.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

EDDIE DAVISLEX CONSULTING

1/31

Eddie,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:16 AM  
**To:** 'dennis@cjmis.net'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement



Dennis,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*



Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:17 AM  
**To:** 'rimarelectric@gmail.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

Ryan P. Gabbard

DL

Sarah,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:18 AM  
**To:** 'tracy.powell@bansalinc.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

Tracy,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:19 AM  
**To:** 'gigibacon@windstream.net'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

*[Redacted]*

Gigi,

Please see attached bid announcement.

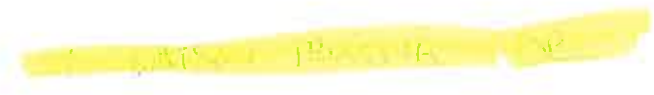
Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:20 AM  
**To:** 'lwatsontrucking@icloud.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement



Larry,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*



Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, April 26, 2018 11:17 AM  
**To:** 'Daniel Hodges'  
**Subject:** KY Horse Park PS Generator  
**Attachments:** Attachments



Daniel,

Please see below announcement, plans, and all metal specs.

---

**ShareFile Attachments**

Expires October 23, 2018

Horse Park PS Announcement.pdf	2.4 KB
LFUCG-Horse Park Pump Stn Plans.pdf	5 MB
Metals Specs.pdf	77.9 KB



Ryan Gabbard uses ShareFile to share documents securely. [Learn More.](#)

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

## Ryan P. Gabbard

---

**From:** Doug <hci@dcr.net>  
**Sent:** Tuesday, May 8, 2018 11:36 AM  
**To:** 'Ryan P. Gabbard'  
**Subject:** FW: L Watson Trucking Re: Horse Park PS Announcement.pdf  
**Attachments:** Into letter.pdf; Hazen and Sawyer Bid Proposal Horse Park.pdf

Doug Herrick

Herrick Company, Inc.  
2176 Waddy Road (Hwy 395)  
Lawrenceburg, KY 40342

Office: 502-839-3484  
Fax: 502-839-0939  
Cell: 502-682-6901  
Email: hci@dcr.net

**From:** jwatson888@aol.com [mailto:jwatson888@aol.com]  
**Sent:** Tuesday, May 08, 2018 9:52 AM  
**To:** hci@dcr.net  
**Subject:** L Watson Trucking Re: Horse Park PS Announcement.pdf

L Watson Trucking would like to submit a Bid Proposal for Trucking  
Attached: Introduction letter to our company  
Bid Proposal  
If there is anything else you need, please contact

Jane Watson, Office Project Manager  
502-727-1713  
[jwatson888@aol.com](mailto:jwatson888@aol.com)

Larry Watson, Owner  
502-387-9211  
[lwatsontrucking@icloud.com](mailto:lwatsontrucking@icloud.com)

-----Original Message-----

**From:** Larry Watson <[lwatsontrucking@icloud.com](mailto:lwatsontrucking@icloud.com)>  
**To:** jwatson888 <[jwatson888@aol.com](mailto:jwatson888@aol.com)>  
**Sent:** Thu, May 3, 2018 9:57 am  
**Subject:** Horse Park PS Announcement.pdf

Sent from my iPhone

L WATSON TRUCKING  
211 N Beckley Station  
Rd. Louisville, Ky 40245

PH 502-387-9211

FAX 502-290-0407

**National Minority Supplier Development Council Certified**

**MSD; Louisville Metro & Lexington Metro Gov Certified**

**Member Home Builder Industry Association**

L Watson Trucking provides the following services: **Tri Axle Dump Truck ; Dumpster; and Demolition certified with the Metro Housing in Jefferson County, Louisville, Ky**

Mr. Watson is certified MBE with the above highlighted organizations

Mr. Watson is an Equal Opportunity employer and hires from the local areas.

The following are some of the companies we have past and current sub contracted on bids on projects with Louisville MSD & Louisville Water Co. and private contracts

1. Jefferson Co Stone (Rogers Group) - 19400 Old Henry Rd. Louisville Ky 40223 Shirley Emge -502-2544359
2. MAC Construction & Excavating New Albany Ind. , 812-941-0699
3. Garney Construction Nashville, Tenn. 615-708-3941
4. Theineman Construction Westfield, IN Michelle Press 317-887-3462
5. J. F. Shea Construct INC (Ohio River Tunnel Project) Walnut, CA 909-594-9500
6. Flynn Brothers-1213 Outer Loop, Louisville Ky 40219 Theresa Shireman 502-884-7497
7. Advanced Paving Eastwood, Ky Daniel Lee 502-245-8935

We also do jobs for Local Builders in Jefferson, Bullitt, and Shelby Co

Mr. Watson has also contracted in Eastern Kentucky in the past

We are on the MBE list in both Louisville and Lexington. We can complete any other necessary Forms your company uses if f you chose to use our company.

If you have any questions or require further forms, please call Jane at 502-727-1713

We would appreciate the opportunity to work with your company.

**L WATSON TRUCKING**

211 N Beckley Station Rd. Louisville, KY 40245

PH 502-727-1713

FAX 502-290-0407

National Minority Supplier Diversity Development Council Certified

MSD; Louisville Metro: & Lexington Metro GOV.

Certified Trucking (Haul); Dumpster; Wrecking

TRUCKING BID

DATE: 5-07-18

**HAZEN AND SAWYER**

**PROJECT: HORSE PUMP STATION GENERATOR REPLACEMENT, LEXINGTON, KY**

ATT: Project Manager

**Details: TO PROVIDE TRUCKING: Rate \$85/HR**

**Equipment to be used: Tri Axle Dump Truck**

**90% of Laborers live in the surrounding counties (Jefferson, Bullitt, Spencer Shelby, Clarksville, In**

Thank you for considering Watson for your future projects. We strive to be your number one contact for all your trucking needs.

Contacts: Larry Watson      President      502-387-9211      [lwatsontrucking@icloud.com](mailto:lwatsontrucking@icloud.com)

Jane Watson      Office Project Manager      502-727-1713      [jwatson888@aol.com](mailto:jwatson888@aol.com)

**PLEASE LET US KNOW IF YOU WILL BE USING OUR BID**

A handwritten signature in cursive script that reads "Larry Watson". The signature is written in black ink and is positioned in the lower right quadrant of the page.

**hci@dcr.net**

---

**From:** Bynes, Melvin (KYTC) <Melvin.Bynes2@ky.gov>  
**Sent:** Friday, May 11, 2018 8:52 AM  
**To:** hci@dcr.net  
**Subject:** RE: Herrick Company - General Contractor

Here is the link to our DBE directory.

<https://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/Certified-DBE-Directory.aspx>

**From:** hci@dcr.net <hci@dcr.net>  
**Sent:** Thursday, May 10, 2018 5:27 PM  
**To:** Bynes, Melvin (KYTC) <Melvin.Bynes2@ky.gov>  
**Subject:** Herrick Company - General Contractor

Dear Mr. Bynes,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

**hci@dcr.net**

---

**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:31 PM  
**To:** 'smarston@tsmsdc.com'  
**Subject:** FW: Herrick Company - General Contractor

So sorry – I typed incorrect email address.

**From:** hci@dcr.net <hci@dcr.net>  
**Sent:** Thursday, May 10, 2018 5:13 PM  
**To:** 'smarston@tsmsde.com' <smarston@tsmsde.com>  
**Subject:** Herrick Company - General Contractor

Ms. Marston,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:29 PM  
**To:** 'paatricem@keynewsjournal.com'  
**Subject:** Herrick Company - General Contractor

Dear Patrice,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:15 PM  
**To:** 'palcorn@cvky.org'  
**Subject:** Herrick Company - General Contractor

Dear Ms. Alcorn,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax



**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:14 PM  
**To:** 'smack@uky.edu'  
**Subject:** Herrick Company - General Contractor

Dear Shirie,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

hci@dcr.net

---

**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:31 PM  
**To:** 'smarston@tsmsdc.com'  
**Subject:** FW: Herrick Company - General Contractor

So sorry -- I typed incorrect email address.

**From:** hci@dcr.net <hci@dcr.net>  
**Sent:** Thursday, May 10, 2018 5:13 PM  
**To:** 'smarston@tsmsde.com' <smarston@tsmsde.com>  
**Subject:** Herrick Company - General Contractor

Ms. Marston,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

hci@dcr.net

---

**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:11 PM  
**To:** 'ttyra@commercelexington.com'  
**Subject:** Herrick Company Projects

Mr. Tyra,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

**From:** Tyrone Tyra <ttyra@commercelexington.com>  
**Sent:** Thursday, May 10, 2018 5:18 PM  
**To:** hci@dcr.net  
**Subject:** Automatic reply: Herrick Company Projects

Hello,

Thank you for writing. I will be out of the office until Friday May 11th. I will have limited email ability so if you write I will try to get back to you. If you need immediate assistance please give Lauren Gross a call at 859-226-1621.

Best,  
Tyrone

**Disclaimer**

The information contained in this communication from the sender is confidential. It is intended solely for use by the recipient and others authorized to receive it. If you are not the recipient, you are hereby notified that any disclosure, copying, distribution or taking action in relation of the contents of this information is strictly prohibited and may be unlawful.

This email has been scanned for viruses and malware by **Mimecast Ltd.**