



# Equitable Sharing Agreement and Certification



OMB Number 1123-0011  
Expires 7-31-2011

- Police Department**  
  **Sheriff's Office**  
  **Task Force (Complete Table A, page2)**  
 **Prosecutor's Office**  
  **Other (specify)** \_\_\_\_\_

**Agency Name:** Lexington-Fayette Urban County Government

**NCIC/ORI/Tracking Number:**

|   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|
| K | Y | 0 | 3 | 4 | 0 | 2 | 0 | 0 |
|---|---|---|---|---|---|---|---|---|

**Street Address:** 200 E Main St

**City:** Lexington

**State:** KY

**Zip:** 40507

**Contact:** Title: Ms. First: Lorie Last: Gambill

**Contact:** Phone: 859/258-3621 E-mail: lgambill@lexingtonky.gov

Same as Contact **Preparer:** First: Lorie Last: Gambill  
**Preparer:** Phone: 859/258-3621 E-mail: lgambill@lexingtonky.gov

**Last Fiscal Year End:** 06/30/2010 **Agency Current Fiscal Year Budget:** \$59,566,470.00

- New Participant:** Read the Equitable Sharing Agreement (page 5) and sign the Affidavit (page 6)  
 **Existing Participant:** Complete the Annual Certification Report, read the Equitable Sharing Agreement (page 5), and sign the Affidavit (page 6)  
 **Amended Form:** Revise the Annual Certification Report, read the Equitable Sharing Agreement (page 5), and sign the Affidavit (page 6).

## Annual Certification Report

| Summary of Equitable Sharing Activity |  | Justice Funds <sup>1</sup> | Treasury Funds <sup>2</sup> |
|---------------------------------------|--|----------------------------|-----------------------------|
| 1                                     | Beginning Equitable Sharing Fund Balance (must match Ending Equitable Sharing Fund Balance from prior FY)                  | \$1,522,933.52             | \$0.00                      |
| 2                                     | Federal Sharing Funds Received   | \$449,894.03               |                             |
| 3                                     | Federal Sharing Funds Received from Other Law Enforcement Agencies and Task Forces (complete Table B, page 2)              |                            |                             |
| 4                                     | Other Income   | \$376,432.75               |                             |
| 5                                     | Interest Income Accrued<br>Non-Interest Bearing <input type="radio"/><br>Interest Bearing <input checked="" type="radio"/> | \$527.84                   |                             |
| 6                                     | Total Equitable Sharing Funds (total of lines 1 - 5)   | \$2,349,788.14             | \$0.00                      |
| 7                                     | Federal Sharing Funds Spent (total of lines a - m below)   | \$662,804.95               | \$0.00                      |
| 8                                     | Ending Balance (difference between line 7 and line 6)  | \$1,686,983.19             | \$0.00                      |

<sup>1</sup> Justice Agencies are: FBI, DEA, ATF, USPIS, USDA, DCIS, DSS, and FDA.

<sup>2</sup> Treasury Agencies are: IRS, ICE, CBP, USSS, and USCG.

| Summary of Shared Monies Spent  |  | Justice Funds | Treasury Funds |
|---|--|---------------|----------------|
| a   | Total spent on salaries for new, temporary, not-to-exceed one year employees Refer to § VIII.A.2.a.3 of the <i>Justice Guide</i> | \$0.00        |                |
| b   | Total spent on overtime  | \$0.00        |                |
| c   | Total spent on informants, "buy money," and rewards  | \$0.00        |                |
| d   | Total spent on travel and training   | \$142,316.87  |                |
| e   | Total spent on communications and computers  | \$57,810.31   |                |
| f   | Total spent on weapons and protective gear   | \$3,900.00    |                |
| g   | Total spent on electronic surveillance equipment   | \$0.00        |                |
| h   | Total spent on buildings and improvements  | \$113,035.62  |                |
| i   | Total transfers to other state and local law enforcement agencies (complete Table C, page 2)                                     |               |                |
| j   | Total spent on other law enforcement expenses (complete Table D, page 3)   | \$345,742.15  |                |
| k   | Total Expenditures in Support of Community-based Programs (complete Table E, page 3)   |               |                |
| l   | Total Windfall Transfers to Other Government Agencies (complete Table F, page 3)   |               |                |
| m   | Total spent on matching grants (complete Table G, page 3)  |               |                |
| <b>Total</b>  |  | \$662,804.95  | \$0.00         |
| Did you receive non-cash assets? <input checked="" type="radio"/> Yes <input type="radio"/> No If yes, complete Table H, page 4 |  |               |                |

Please fill out the following tables, if applicable.

**Table A: Members of Task Force**  
Agency Name

| Agency Name | NCIC/ORI/Tracking Number   |  |  |  |  |  |  |  |  |  |  |
|-------------|--|--|--|--|--|--|--|--|--|--|--|
|             | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; height: 20px;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> </tr> </table> |  |  |  |  |  |  |  |  |  |  |
|             |  |  |  |  |  |  |  |  |  |  |  |

**Table B: Equitable Sharing Funds Received from other Agencies**

Total the amount transferred to each agency on separate lines

| Transferring Agency Name, City, and State  | Justice Funds | Treasury Funds |  |  |  |  |  |  |  |  |
|--|---------------|----------------|--|--|--|--|--|--|--|--|
| Agency Name: <input style="width: 90%;" type="text"/>  |               |                |  |  |  |  |  |  |  |  |
| NCIC/ORI/Tracking Number: <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; height: 20px;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> </tr> </table> |               |                |  |  |  |  |  |  |  |  |
|  |               |                |  |  |  |  |  |  |  |  |

**Table C: Equitable Sharing Funds Transferred to Other Agencies**

Total the amount transferred to each agency on separate lines

| Receiving Agency Name, City, and State   | Justice Funds | Treasury Funds |  |  |  |  |  |  |  |  |
|--|---------------|----------------|--|--|--|--|--|--|--|--|
| Agency Name: <input style="width: 90%;" type="text"/>  |               |                |  |  |  |  |  |  |  |  |
| NCIC/ORI/Tracking Number: <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; height: 20px;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> </tr> </table> |               |                |  |  |  |  |  |  |  |  |
|  |               |                |  |  |  |  |  |  |  |  |

**Table D: Other Law Enforcement Expenses**

| Description of Expense                                   | Justice Funds | Treasury Funds |
|--|---------------|----------------|
| initial uniforms, & equipment - (20) new Police Recruits | \$104,047.76  |                |
| Dual Purpose, Untitled, German Shepherd                  | \$7,000.00    |                |
| (4) Segway   | \$25,216.00   |                |
| Helicopter upgrades & maintenance                        | \$50,149.10   |                |
| Electric ATV   | \$14,227.00   |                |
| Kustom Signals Pro Laser 3                               | \$8,787.00    |                |
| Mobile Surveillance Tower                                | \$43,024.24   |                |
| (41) desktop computers                                   | \$29,867.11   |                |
| Forensic Recovery of Evidence Device (FRED) F1100        | \$6,349.00    |                |
| (6) multifunction printers                               | \$20,226.96   |                |
| (1) Network scanner & (2) hand held scanners             | \$14,085.98   |                |
| Power Inverter 2000 W                                    | \$5,544.00    |                |
| 16' X 12' Dura Built Storage Building                    | \$3,125.00    |                |
| Operating supplies-plaques, printing, etc                | \$14,093.00   |                |

**Table E: Expenditures in Support of Community-based Programs**

Refer to § VIII.A.1.m and Appendix C of the *Justice Guide*

| Recipient | Justice Funds | Treasury Funds |
|-----------|---------------|----------------|
|           |               |                |

**Table F: Windfall Transfers to Other Government Agencies**

Refer to § VIII.A.1.n of the *Justice Guide* and pp. 25-26 of the *Treasury Guide*

| Recipient | Justice Funds | Treasury Funds |
|-----------|---------------|----------------|
|           |               |                |

**Table G: Matching Grants**

Refer to § VIII.A.1.h of the *Justice Guide* and p. 22 of the *Treasury Guide*

| Matching Grant Name | Justice Funds | Treasury Funds |
|---------------------|---------------|----------------|
|                     |               |                |

**Table H: Other Non-Cash Assets Received**

**Description of Asset**

|  |
|--|
| (2) 50" Sanyo plasma flat screen televisions |
| (1) 2001 Dodge Dakota pickup                 |

**Table I: Civil Rights Cases**

| Name of Case  | Type of Discrimination Alleged      |                                |  |  |
|---|-------------------------------------|--------------------------------|--|--|
| Taylor Douglas et al v. LFUCG et al; Fayette Circuit Court, Eighth Division;<br>Case No. 08-CI-i 065<br>(Pending) | <input type="checkbox"/> Race       | <input type="checkbox"/> Color | <input type="checkbox"/> National Origin | <input checked="" type="checkbox"/> Gender |
|   | <input type="checkbox"/> Disability | <input type="checkbox"/> Age   | <input type="checkbox"/> Other _____     |  |

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# Equitable Sharing Agreement

This Federal Equitable Sharing Agreement, entered into among (1) the Federal Government, (2) the above-stated law enforcement agency ("Agency"), and (3) the governing body, sets forth the requirements for participation in the federal equitable sharing program and the restrictions upon the use of federally forfeited cash, property, proceeds, and any interest earned thereon, which are equitably shared with participating law enforcement agencies. By its signatures, the Agency agrees that it will be bound by the statutes and guidelines that regulate shared assets and the following requirements for participation in the federal equitable sharing program. Receipt of the signed Equitable Sharing Agreement and Certification (this "Document") is a prerequisite to receiving any equitably shared cash, property, or proceeds.

**1. Submission.** This Document must be submitted to [aca.submit@usdoj.gov](mailto:aca.submit@usdoj.gov) within 60 days of the end of the Agency's fiscal year. This Document must be submitted electronically with the Affidavit/Signature page (page 6) submitted by fax. This will constitute submission to the Department of Justice and the Department of Treasury.

**2. Signatories.** This agreement must be signed by the head of the Agency and the head of the governing body. Examples of Agency heads include police chief, sheriff, director, commissioner, superintendent, administrator, chairperson, secretary, city attorney, county attorney, district attorney, prosecuting attorney, state attorney, commonwealth attorney, and attorney general. The governing body's head is the person who allocates funds or approves the budget for the Agency. Examples of governing body heads include city manager, mayor, city council chairperson, county executive, county council chairperson, director, secretary, administrator, commissioner, and governor.

**3. Uses.** Any shared asset shall be used for law enforcement purposes in accordance with the statutes and guidelines that govern the federal equitable sharing program as set forth in the current edition of the Department of Justice's *Guide to Equitable Sharing (Justice Guide)*, and the Department of the Treasury's *Guide to Equitable Sharing for Foreign Countries and Federal, State, and Local Law Enforcement Agencies (Treasury Guide)*.

**4. Transfers.** Before the Agency transfers cash, property, or proceeds to other state or local law enforcement agencies, it must first verify with the Department of Justice or the Department of Treasury, depending on the source of the funds, that the receiving agency is a federal equitable sharing program participant and has a current Equitable Sharing Agreement and Certification on file.

**5. Internal Controls.** The Agency agrees to account separately for federal equitable sharing funds received from the Department of Justice and the Department of the Treasury. Funds from state and local forfeitures and other sources must not be commingled with federal equitable sharing funds. The Agency shall establish a separate revenue account or accounting code for state, local, Department of Justice, and Department of the Treasury forfeiture funds. Interest income generated must be accounted for in the appropriate federal forfeiture fund account.

The Agency agrees that such accounting will be subject to the standard accounting requirements and practices employed for other public monies as supplemented by requirements set forth in the current edition of the *Justice Guide* and the *Treasury Guide*, including the requirement in the *Justice Guide* to maintain relevant documents and records for five years.

The misuse or misapplication of shared resources or the supplantation of existing resources with shared assets is prohibited. Failure to comply with any provision of this agreement shall subject the recipient agency to the sanctions stipulated in the current edition of the *Justice* or *Treasury Guides*, depending on the source of the funds/property.

**6. Audit Report.** Audits will be conducted as provided by the Single Audit Act Amendments of 1996 and OMB Circular A-133. The Department of Justice and Department of the Treasury reserve the right to conduct periodic random audits.

## Affidavit - Amended Form

Under penalty of perjury, the undersigned officials certify that **they have read and understand their obligations under the Equitable Sharing Agreement** and that the information submitted in conjunction with this Document is an accurate accounting of funds received and spent by the Agency under the *Justice* and/or *Treasury Guides* during the reporting period and that the recipient Agency is in compliance with the National Code of Professional Conduct for Asset Forfeiture.

The undersigned certify that the recipient Agency is in compliance with the nondiscrimination requirements of the following laws and their Department of Justice implementing regulations: Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*), Title IX of the Education Amendments of 1972 (20 U.S.C. § 1681 *et seq.*), Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794), and the Age Discrimination Act of 1975 (42 U.S.C. § 6101 *et seq.*), which prohibit discrimination on the basis of race, color, national origin, disability, or age in any federally assisted program or activity, or on the basis of sex in any federally assisted education program or activity. The Agency agrees that it will comply with all federal statutes and regulations permitting federal investigators access to records and any other sources of information as may be necessary to determine compliance with civil rights and other applicable statutes and regulations.

**During the past fiscal year: (1) has any court or administrative agency issued any finding, judgment, or determination that the Agency discriminated against any person or group in violation of any of the federal civil rights statutes listed above; or (2) has the Agency entered into any settlement agreement with respect to any complaint filed with a court or administrative agency alleging that the Agency discriminated against any person or group in violation of any of the federal civil rights statutes listed above?**       **Yes**     **No**

**If you answered yes to the above question, complete Table I**

**Agency Head**

See ¶ 2 on page

Signature: *Ronnie Bastin*  
 Name: Ronnie Bastin  
 Title: Chief of Police  
 Date: 11-15-11

**Governing Body Head**

See ¶ 2 on page

Signature: \_\_\_\_\_  
 Name: Jim Gray  
 Title: Mayor  
 Date: \_\_\_\_\_

**Subscribe to Equitable Sharing Wire:**

The Equitable Sharing Wire is an electronic newsletter that gives you important, substantive, information regarding Equitable Sharing policies, practices, and procedures.

|                          |
|--------------------------|
| lgambill@lexingtonky.gov |
|                          |
|                          |

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FY End: 06/30/2010

Date Printed: November 01, 2011 13:34

NCIC: KY0340200    Agency: Lexington-Fayette Urban County Government    Phone: 859/258-3621

State: KY    Contact: Lorie Gambill

E-mail: [lgambill@lexingtonky.gov](mailto:lgambill@lexingtonky.gov)