## **Document A310<sup>™</sup> – 2010**

175 Berkeley Street

**Mailing Address for Notices** 

1001 4th Ave., Suite 1700

Boston, MA 02116

Seattle, WA 98154

SURETY:

Conforms with The American Institute of Architects AIA Document 310

(Name, legal status and principal place of husiness) Liberty Mutual Insurance Company

#### **Bid Bond**

CONTRACTOR:

(Name, legal status and address)

Dugan & Meyers LLC 11110 Kenwood Road Cincinnati, OH 45242

OWNER:

(Name, legal status and address)

Lexington-Fayette Urban County Government 200 East Main Street Lexington, KY 40507

**BOND AMOUNT: 5%** 

Five Percent of Amount Bid

PROJECT:

(Name, location or address, and Project number, if any)

Town Branch WWTP, Thickening Process Upgrades, GRW Project No. 4649, Bid Number: #137-2018

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond. Signed and scaled this day of November, 2018.

(Witness)

Countersigned By: Shellee Marie Wagner, Kentucky Resident Agent Kentucky License #346766 Dugan & Meyers LLC

(Principal)

(Seal)

Liberty Mutual Insurance Company (Surgey)

(Title) Kentucky License #773385

Attorney-in-

(Seal)

This document has important

with an attorney is encouraged with respect to its completion or

Any singular reference to

plural where applicable.

Contractor, Surety, Owner or other party shall be considered

modification.

legal consequences. Consultation

# ACKNOWLEDGEMENT OF PRINCIPAL IF LIMITED LIABILITY COMPANY

STATE OF OHO } COUNTY OF HAMILTON
On this 8th day of November 2018 before me personally appeared MARTINIT. SCHIEMER to me known and known to me to be to service in the superior of Dugane May Bes Luc
a Limited Liability Company, described in and who executed the foregoing insurance instrumen and acknowledged to me that (s)he executed the foregoing insurance instrument and acknowledged to me that (s)he executed the same as and for the act and deed of said Limited
Liability Company.  Notary Public

BETH A. DEVER

Notary Public, State of Ohio

My Commission Expires 03-06-2021

## ACKNOWLEDGMENT OF SURETY COMPANY

STATE OF New York }
COUNTY OF Nassau
On this November 8, 2018, before me personally came _Colette R. Chisholm to me known, who, being by me duly sworn, did depose and say; that he/she resides in New York County, State of New York that he/she is the Attorney-In-Fact of the Liberty Mutual Insurance Company the corporation described in which executed the above instrument; that he/she knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that is was so affixed by the Board of Directors of said corporation; and that he/she signed his/her name thereto by like order; and the affiant did further depose and say that the Superintendent of Insurance of the State of New York, has pursuant to Section 1111 of the Insurance Law of the State of New York, issued to Liberty Mutual Insurance Company (Surety) his/her certificate of qualification evidencing the qualification of said Company and its
sufficiency under any law of the State of New York as surety and guarantor, and the propriety of accepting and approving is as such; and that such certificate has not been revoked.  Notary Public
00
NY acknowledgement  JACQUELINE McNEIL  Notary Public, State of New York  No. 01MC6156390  Qualified in Queens County  Commission Expires November 27, 2018



This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

> Liberty Mutual Insurance Company The Ohio Casualty Insurance Company West American Insurance Company

Certificate No: 8194306 - 969603

on any business day

EST

9:00 am and 4:30 pm

Attorney

#### POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Object Converted Programme Converted Pro
KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that
Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that under the laws of the State of Indiana (herein collectively called the "Companies") pursuant to and by authority begin and West American Insurance Company is a corporation duly organized
under the laws of the State of Indiana (herein collectively called the "Companies") or pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Colette R. Ferrucci, Peter F. Jones, Gerard S. Macholz, Camille Maitland, Nelly Repoblished, Rite Services, Thomas Bean, George O. Brewster, Desiree Cardlin, Lee
Ferrucci, Peter F. Jones, Gerard S. Macholz, Camille Maitland, Nelly Renchiwich, Rita Sagistano, Vincent A. Walsh
state 8. Macholz, Califfic Mandalli, Nelly Kenchiwich, Rita Sagistano, Vincent A. Walsh

all of the city of Uniondale state of each individually if there be more than one named, its true and lawful attorney-in-fact to make, New York execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed

INSUA





Liberty Mutual Insurance Company The Ohio Casualty Insurance Company West American Insurance Company

David M. Carey, Assistant Secretary

State of PENNSYLVANIA County of MONTGOMERY SS

On this 3rd day of October, 2018 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



#### COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Teresa Pastella, Notary Public Upper Merion Twp., Montgomery County My Commission Expires March 28, 2021 Member, Pennsylvania Association of Notaries

this Power of This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS: Section 12. Power of Attorney.

confirm the validity of t 10-832-8240 between Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts: Section 5. Surety Bonds and Undertakings.

Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-infact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 8th day of







Renee C. Llewellyn, Assistant Secretary

LMS-12873 LMIC OCIC WAIC Multi Co\_062018



## LIBERTY MUTUAL INSURANCE COMPANY

## FINANCIAL STATEMENT — DECEMBER 31, 2017

Assets	Liabilities
Cash and Bank Deposits \$370,003,299	Unearned Premiums
*Bonds — U.S Government 1,331,664,975	Reserve for Claims and Claims Expense
*Other Bonds	Funds Held Under Reinsurance Treaties
*Stocks	Reserve for Dividends to Policyholders 967,520
Deal Estate	Additional Statutory Reserve 52,491,027
Real Estate	Reserve for Commissions, Taxes and
Agents' Balances or Uncollected Premiums 5,258,657,823	Other Liabilities
Accrued Interest and Rents	Total\$31,489,431,268
Other Admitted Assets	Special Surplus Funds
	Capital Stock
	Paid in Surplus
The state of the s	Unassigned Surplus 4,860,776,066
Total Admitted Assets <u>\$46,020,754,541</u>	Surplus to Policyholders 14,531,323,273
	Total Liabilities and Surplus\$46,020,754,541



I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2017, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this  $16^{th}$  day of March, 2018.

**Assistant Secretary** 

TAMilolajewski.

<sup>\*</sup> Bonds are stated at amortized or investment value; Stocks at Association Market Values.

The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

### PART III

## **Invitation to Bid No. 137-2018**

## TOWN BRANCH WWTP THICKENING PROCESS UPGRADES

#### 1. **FORM OF PROPOSAL**

	Place: Lexington, Kentucky
	Date: November 19, 2018
The following Form of Propos	al shall be followed exactly in submitting a proposal for this Work.
This Proposal Submitted by	Dugan & Meyers LLC
	2700 River Green Circle, Louisville, KY 40206
	(Name and Address of Bidding Contractor)
Ohio and Kentucky , doing busing	), organized and existing under the laws of the State of
	poration," "a partnership", or an "individual" as applicable.

(Hereinafter called "OWNER")

Office of the Director of Purchasing

200 East Main Street, 3rd Floor

Lexington, KY 40507

#### Gentlemen:

The Bidder, in compliance with your Invitation for Bids for Town Branch WWTP Thickening Process Upgrades having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of \$750 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1	Date 11/2/2018
Addendum No. 2	Date 11/6/2018
Addendum No. 3	Date 11/6/2018
Addendum No. 4	Date 11/8/2018
Addendum No	Date
Addendum No	Date
Addendum No	Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

MAYOR JIM GRAY



CHARLES MARTIN DIRECTOR WATER QUALITY

#### ADDENDUM No. 1

November 2, 2018

Bid Number: #137-2018

- 1. Section 2.01.B Added Evoqua as acceptable supplier
- 2. Section 2.03.A Changed "prequalified" to "approved"
- 3. Section 2.04.A Removed manufacturer statement.
- 4. Section 2.06.A.6 Removed ECS.
- H. Spec Section 467113 Gravity Thickener Equipment
  - Remove Section 2.01.L. The gravity thickener drive control panel is not required. Equipment starters and controls are MCC mounted.
- I. Spec Section 467510 Rotary Lobe Sludge Pump

1. Removed Section 1.01.C

Todd Slatin, Director Division of Central Purchasing

Joold State P

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NA	ME: Dugar	a & Meyers LLC
ADDRESS:	2700 Rive	er Green Circle, Louisville, KY 40206
SIGNATURE O	F BIDDER:	Martin J. Schirmer, Senior Vice President
		Martin J. Schirmer, Senior Vice President



CHARLES MARTIN DIRECTOR WATER QUALITY

## **ADDENDUM No. 2**

November 6, 2018

Bid Number: #137-2018

#### 1. DRAWINGS

- A. Sheets A-01-101, A-02-101, A-03-101 Added guard rails and guard rail detail.
- B. Sheet M-03-104 Added Aluminum Grating to Keynote 4.

Todd Slatin, Director Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME:	Dugan & Meyers	LLC
---------------	----------------	-----

ADDRESS: 2700 River Green Circle, Louisville, KY 40206

SIGNATURE OF BIDDER: // artur / Schum

Martin J. Schirmer, Senior Vice President



CHARLES MARTIN DIRECTOR WATER QUALITY

**ADDENDUM No. 3** 

November 6, 2018

Bid Number: #137-2018

Subject: Town Branch WWTP

Thickening Process Upgrades GRW Project No. 4649

Address inquiries to: Brian Marcum brianm@lexingtonky.gov (859) 258-3325

NOTE: BID DATE HAS BEEN CHANGED TO NOVEMBER 19, 2018

## **TO ALL PROSPECTIVE SUBMITTERS:**

Please be advised of the following clarifications to the above referenced Bid:

	Questions	Answers
1.	On Sheet No. M-04-301, Section 1 depicts what appear to be flexible couplings on the piping connection to the new centrifuge. Please provide applicable specifications for these flexible couplings.	Please use restrained EPDM expansion joints as manufactured by Redflex or approved equal.
2.	Please consider changing the project bid date (to November 16 or 20), due to this project and two others being currently scheduled to bid on November 15.	The bid date has been changed to November 19. Same time and location.

Todd Slatin, Director Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME	:_ Dugan & Meyers LLC
ADDRESS:	2700 River Green Circle, Louisville, KY 40206
SIGNATURE OF E	BIDDER: Martin & Cherman
	Martin J. Schirmer, Senior Vice President



CHARLES MARTIN DIRECTOR WATER QUALITY

**ADDENDUM No. 4** 

November 8, 2018

Bid Number: #137-2018

Subject: Town Branch WWTP
Thickening Process Upgrades
GRW Project No. 4649

Address inquiries to: Brian Marcum brianm@lexingtonky.gov (859) 258-3325

## TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

#### 1. SPECIFICATIONS

- A. Spec Section 012100 Allowances1. Section 1.09.A.1 Changed \$150,000 to \$80,000
- 2. DRAWINGS
  - A. Sheet E-03-103
    - The sludge blanket meter control box (BB1) shall be relocated outside of the gravity thickener. It shall be handrail-mounted in an accessible non-obstructive location on the elevated platform/walkway

Todd Slatin, Director Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Dugan & Meyers LLC

ADDRESS: 2700 River Green Circle, Louisville, KY 40206

SIGNATURE OF BIDDER: Martin La forme

Martin J. Schirmer, Senior Vice President

## 2. <u>LEGAL STATUS OF BIDDER</u>

Bidder	
Date _	November 19, 2018
	Limited Liability Company  A -corporation-duly organized and doing business under the laws of the State of Ohio  Ohio  Martin J. Schirmer  , bearing the
	official title of Senior Vice President , whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.
* 2	A Partnership, all of the members of which, with addresses are: (Designate general partners as such)
2	
,	
4	
9	
* 3 <sub>7</sub>	An individual, whose signature is affixed to this Bid/Proposal (please print name)
9	
_	
k	*(The Bidder shall fill out the appropriate form and strike out the other two.)

## 3. <u>BIDDERS AFFIDAVIT</u>

	s the Affiant,, and after being first duly sworn, states penalty of perjury as follows:	
1.	His/her name is Martin J. Schirmer and he/she is the individual submitting the bid or is the authorized representative of Dugan & Meyers LLC , the entity submitting the bid (hereinafter referred to as "Bidder").	
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.		
3.	Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.	
4.	Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.	
5.	Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.	
6.	Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."	
7.		
	Martin J. Schirmer  (Affiant) Martin J. Schirmer	
STATE OF	Ohio	
COUNTY OF	Hamilton	
The foregoing	instrument was subscribed, sworn to and acknowledged before me by	
Martin J. Schi	on this the 19th day of November , 2018	
My Commission		

#### 4. <u>BID SCHEDULE - SCHEDULE OF VALUES</u>

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum price, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

For a lump sum based bid, the item total is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

The contract, if awarded, will be on the basis of materials and equipment specified in the specifications without consideration of possible substitute or "or equal" items.

Bidder will complete the Work in accordance with the Contract Documents for the following price(s):

Notes:

- 1. Bids shall include all sales tax, where required, and all other applicable taxes and fees.
- 2. All specific cash allowances are included in the price(s) set forth and have been computed in accordance with Paragraph 13.02 of the General Conditions and Specification Section 012100 Allowances.
- 3. See Specification 012100 for Description of Allowances. Allowances shall be included in the LUMP SUM CONTRACT PRICE.

BASE BID	PRICE	(LESS SCADA	PROGRAMMING	SERVICES).

Three Million One Hundred Forey Two Thousand	\$3,142,000
(USE WORDS)	(FIGURES)
SCADA PROGRAMMING SERVICES:	
EIGHTY THOUSAND DOLLARS	\$80,000.00
(USE WORDS)	(FIGURES)
TOTAL LUMP SUM CONTRACT PRICE:	
Three Millian Two Hundred Twenty Two Thousand	\$3,222,000
(USE WORDS)	(FIGURES)

Proposed Major Equipment Manufacturers: The Bidder's proposed major equipment manufacturers included in their Base Bid price shall be listed below for the requested items.

ALTERNATIVE EQUIPMENT INFORMATION ADD.1			
Equipment Item	Base Bid Equipment Manufacturer	Alternate Bid Equipment Manufacturer	Lump Sum Deduction
1. Rotary Lobe Pumps	Boerger	a. Vogelsang	a. 70,000
		b.	b.
		c.	C.
2. Check Valves	Golden Anderson	a.	a.
		b.	b.
		c.	c.
3. Plug Valves	Golden Anderson	a.	a.
		b.	b.
		c.	c.
4. Centrifuge	Flottweg	a. Andritz	a. No bid.
		b.	b.
		C.	c.
		d.	d.
5. Gravity Thickener	Ovivo	a.	a.
Equipment	Evoqua	b.	b.
		c.	c.
		d.	d.
6. In-Line Grinder	JWC	a. Vogelsang	a. 22,000
		b.	b.
		c.	c.
7. Odor Control	ECS	a.	b.
Equipment	Evoqua	b.	b.
	EcoVerde	c.	c.
8. Chopper Pump	Vaughan	a. Wemco	a. No bid.
		b.	b.
		c.	c.
O Moonatio File No.	Г. 1.	d.	d.
9. Magnetic Flow Meter	Foxboro	a.	a.
		b.	b.
		C.	c.

ALTERNATIVE EQUIPMENT INFORMATION ADD.1			
Equipment Item	Base Bid Equipment Manufacturer	Alternate Bid Equipment Manufacturer	Lump Sum Deduction
10. Sludge Blanket	Cerlic	a.	a.
Sensor		b.	b.
		c.	C.
11. Valve Actuators	Limitorque	a.	a.
		b.	b.
		c.	c.
12. Level Transmitters	Ohmart Vega	a.	a.
(sludge hopper)		b.	b.
		c.	c.
13. SCADA PLCs	Allen Bradley Control Logix	a.	a.
		b.	b.
		c.	c.
14. Motor Control	Schneider (Square D)	a.	a.
Center/ Power House		b.	b.
		c.	c.
15. VFDs	Schneider (Square D)	a.	a.
		b.	b.
		c.	c.

- Notes: 1. Where more than one Base Bid equipment manufacturer is listed above, the Bidder has the option of including any of the listed manufacturers in the Base Bid. The bidder must indicate the Base Bid equipment included in the Bid by circling the selected manufacturer at the time of the submission of the Bid. The design has been completed using the first listed Base Bid equipment manufacturer. Should the Bidder list the second, third, or fourth Base Bid equipment, the Bidder, at no additional cost to the Owner, shall make any changes to structure, piping controls, electrical, instrumentation, architectural, mechanical, etc. that may be necessary to accommodate this equipment.
  - 2. Space is provided within the above list for BIDDERS to offer lump sum deductions for alternate equipment not listed under the Base Bid equipment manufacturer. BIDDERS are not required to offer deductive alternates. However, should the BIDDER choose to offer for consideration to the OWNER, any alternate manufacturers/products to those listed above, the BIDDER shall provide a detailed submittal of applicable items such as catalog cut sheets, pump curves, hydraulic calculations, specifications, wiring diagrams, P&ID's, technical literature, dimensional drawings, etc., or any other information requested by the OWNER. This submittal information shall be included with the BIDDER's bidding documents for proper evaluation by the OWNER. These submittal items shall be in addition

- to the submittal requirements listed in the respective technical specifications section of the equipment item or product hereinafter. Alternates will not be evaluated or pre-qualified prior to BID opening.
- 3. The lowest BIDDER will be determined based on the lowest Base Bid Contract Price. Bid Alternate equipment prices will not be used in determining the best and lowest BIDDER. After selection of the best and lowest BIDDER, the OWNER reserves the right to select any, all, or none of the proposed alternates offered by the selected BIDDER. ADD. 1

Submitted by:	Dugan & Meyers LLC Firm		
	2700 River Green Circle,  Address  Louisville, KY 40206		
Bid must be signed: (original signature)	City, State & Zip  Mathy Johnson - Sn. V. P.  Signature of Authorized Company Representative - Title		
	Martin J. Schirmer, Senior Vice President		
	Representative/s Name (Typed or Printed)		
	513.891.4300	513.891.0704	
	Area Code – Phone – Extension	Fax#	
	mschirmer@dugan-meyers.com		
	E-Mail Address		
OFFICIAL ADDRESS:			
11110 Kenwood Road			
Cincinnati, OH 45242			
	(Seal if Rid is by Composition)		

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

## 5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1.	Name of Bidder: Dugan & Meyers LLC
2.	Permanent Place of Business: 11110 Kenwood Road, Cincinnati, OH 45242
3.	Dugan & Meyers Construction Co. was established in 1935 and originally incorporated in 1960. DMCC was acquired by Baker Construction Enterprises on January 3, 2017 and began operating as Dugan & Meyers LLC at that time.
4.	Where Incorporated: Ohio
5.	Construction Plant and Equipment Available for this Project:
	See attached equipment list.
	(Attach Separate Sheet If Necessary)
6.	Financial Condition:
	If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.
7.	In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:
	Liberty Mutual Insurance Company and Fidelity and Deposit Company of Maryland  Signed: (Kentucky Resident Agent)  Signed: Shelkee Marje Wagner, Kentucky License #346766  (Representative of Surcty)  Colette R. Chisholm, Attorney-In-Fact Kentucky License #773385
8.	The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).  See a Hached Treatment (18)

## ACKNOWLEDGMENT OF SURETY COMPANY

STATE OF New York SS
COUNTY OF Nassau
On this November 8, 2018 , before me personally came Colette R. Chisholm to me known, who, being by me duly sworn, did depose and say; that he/she resides in New York County , State of New York
the corporations described in which executed the above instrument; that he/she knows the seal of said corporations; that the seal affixed to said instrument is such corporate seal; that is was so affixed by the Board of Directors of said corporations; and that he/she signed his/her name thereto by like order; and the affiant did further depose and say that the Superintendent of Insurance of the State of New York, has pursuant to Section 1111 of the Insurance Law of the State of New York, issued to Liberty Mutual Insurance Company and Fidelity and Deposit Company of Maryland
(Sureties) his/her certificate of qualification evidencing the qualification of said Companies and its sufficiency under any law of the State of New York as surety and guarantor, and the propriety of accepting and approving is as such; and that such certificate has not been revoked.  Notary Public
JACQUELINE McNEIL Notary Public, State of New York No. 01MC6156390 Qualified in Queens County Commission Expires November 27, 2018



This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

> Liberty Mutual Insurance Company The Ohio Casualty Insurance Company West American Insurance Company

Certificate No: 8194306 - 969603

on any business day

EST

confirm 1 310-832-8

#### DOMED OF ATTORNEY

1 OWER OF ATTORNEY
KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Colette R. Chisholm, Dana Granice, Susan Lupski, Robert T. Pearson, Michelle Wannamaker, Katherine Acosta, Thomas Bean, George O. Brewster, Desiree Cardlin, Lee Ferrucci, Peter F. Jones, Gerard S. Macholz, Camille Maitland, Nelly Renchiwich, Rita Sagistano, Vincent A. Walsh
all of the city of Uniondale state of New York each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this \_\_3rd \_\_day of \_\_October , 2018

INS/





Liberty Mutual Insurance Company The Ohio Casualty Insurance Company West American Insurance Company

David M. Carey, Assistant Secretary

State of PENNSYLVANIA County of MONTGOMERY

2018 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance On this 3rd day of October , 2018 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



#### COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Teresa Pastella, Notary Public Upper Merion Twp., Montgomery County My Commission Expires March 28, 2021

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS: Section 12. Power of Attorney.

the validity of this Power of Attorney -8240 between 9:00 am and 4:30 pm Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts: Section 5. Surety Bonds and Undertakings.

Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-infact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 8th day of November, 2018







Renee C. Llewellyn, Assistant Secretary



## LIBERTY MUTUAL INSURANCE COMPANY

## FINANCIAL STATEMENT — DECEMBER 31, 2017

Assets	Liabilities
Cash and Bank Deposits	Unearned Premiums
*Bonds — U.S Government	Reserve for Claims and Claims Expense
*Other Bonds	Funds Held Under Reinsurance Treaties
*Stocks	Reserve for Dividends to Policyholders
	Additional Statutory Reserve
Real Estate	Reserve for Commissions, Taxes and
Agents' Balances or Uncollected Premiums 5,258,657,823	Other Liabilities
Accrued Interest and Rents	Total\$31,489,431,268
Other Admitted Assets	Special Surplus Funds
11,172,267,530	Capital Stock
	Paid in Surplus
	Unassigned Surplus 4,860,776,066
Total Admitted Assets <u>\$46,020,754,541</u>	Surplus to Policyholders 14,531,323,273
	Total Liabilities and Surplus\$46,020,754,541



I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2017, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 16th day of March, 2018.

Assistant Secretary

TAMilolajewski.

<sup>\*</sup> Bonds are stated at amortized or investment value; Stocks at Association Market Values.

The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

#### ZURICH AMERICAN INSURANCE COMPANY COLONIAL AMERICAN CASUALTY AND SURETY COMPANY FIDELITY AND DEPOSIT COMPANY OF MARYLAND POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Maryland, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Maryland (herein collectively called the "Companies"), by Michael P. Bond, Vice President, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint Robert T. PEARSON, Rita SAGISTANO, Gerard S. MACHOLZ, Susan LUPSKI, Thomas BEAN, Colette R. CHISHOLM, George O. BREWSTER, Desiree CARDLIN, Dana GRANICE, Michelle WANNAMAKER and Nelly RENCHIWICH, all of Uniondale, New York, its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 12th day of February, A.D. 2018.

ATTEST: ZURICH AMERICAN INSURANCE COMPANY COLONIAL AMERICAN CASUALTY AND SURETY COMPANY FIDELITY AND DEPOSIT COMPANY OF MARYLAND

By: Michael P. Bond Vice President

MILOR.





Dawn & Brown

By: Dawn E. Brown

Secretary

State of Maryland County of Baltimore

On this 12th day of February, A.D. 2018, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, Michael P. Bond, Vice President and Dawn E. Brown, Secretary of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, deposeth and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

Constance A. Dunn, Notary Public My Commission Expires: July 9, 2019

Constance a. Dun

### THE FIDELITY AND DEPOSIT COMPANY

OF MARYLAND 600 Red Brook Blvd., Suite 600, Owings Mills, MD 21117

#### Statement of Financial Condition As Of December 31, 2017

#### ASSETS

AGSETS	
Bonds	\$ 131,463,323
Stocks	23,365,385
Cash and Short Term Investments	15,943,690
Reinsurance Recoverable	7,520,824
Federal Income Tax Recoverable	62,266
Other Accounts Receivable	
TOTAL ADMITTED ASSETS	\$ 214,027,911
	φ 214,027,611 ===========
LIABILITIES, SURPLUS AND OTHER FUNDS Reserve for Taxes and Expenses	£ 590,000
Ceded Reinsurance Premiums Payable	\$ 580,990 42,235,595
Securities Lending Collateral Liability	42,233,393
TOTAL LIABILITIES	\$ 42,816,584
Capital Stock, Paid Up	-,010,001
Surplus	
Surplus as regards Policyholders	171 211 226
Total	214 027 011
	D Z14.UZ/.011

Securities carried at \$62,198,396 in the above statement are deposited with various states as required by law.

Securities carried on the basis prescribed by the National Association of Insurance Commissioners. On the basis of market quotations for all bonds and stocks owned, the Company's total admitted assets at December 31, 2017 would be \$213,515,173 and surplus as regards policyholders \$170,698,588.

I, DENNIS F. KERRIGAN, Corporate Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing statement is a correct exhibit of the assets and liabilities of the said Company on the 31st day of December, 2017.

Corporate Secretary

State of Illinois City of Schaumburg

SS:

Subscribed and sworn to, before me, a Notary Public of the State of Illinois, in the City of Schaumburg, this 9th day of March, 2018.

Davy Je ren Notary Public

OFFICIAL SEAL DARRYL JOINER Notary Public - State of Illinois My Commission Expires 2/24/2022

<u>NAME</u>	<b>LOCATION</b>	<b>CONTRACT SUM</b>
See attached treatment e	experience list.	
	<del>-</del> , , <del>-</del>	
The Bidder has now und	ler contract and bonded the following pr	rojects:
NAME	<b>LOCATION</b>	<b>CONTRACT SUM</b>
Please see attached cu	urrent projects list.	
List Key Bidder Personn	el who will work on this Project.	
<u>NAME</u>	POSITION DESCRIPTION	NO. OF YEARS WITH BIDDER
Dan Haney	Project Manager	15
Keith Hall	Superintendent	4

11. DBE Participation on current bonded projects under contract:

SUBCONTRACTORS (LIST)	PROJECT (SPECIFIC TYPE)	<u>DBE</u>	% of WORK
TEM	Electrical Subcontractor	MBE	3.01%
HB Masonry	Mason	WBE	1.47%
Metro Fence Company	Fencing	MBE	0.30%
Lykins Reinforcing	Reinforcing Steel Installation	WBE	3.11%
HTA Industries	Blasting	MBE	0.59%
McKinney Painting	Painter	WBE	0.18%
Jostin Construction	Place and Finish Concrete	e MBE	0.72%

## (USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

## 6. <u>LIST OF PROPOSED SUBCONTRACTORS</u>

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK - LIST EACH MAJOR ITEM Such as: Grading, bituminous paving, concrete, seeding and	SUBCONTRACTOR	DBE Yes/No	% of Work
protection, construction staking, etc.			14.98%
1. TEM Group (Electric)	Name: TEM Group	Kes	15.97 MJ.S
	Address:		1.98%
2. Painting	Name: Mckinney Painting	Yes	2.H (H.J.S)
	Address:		
3	Name:		<del></del>
	Address:		
4	Name:		
	Address:		
5	Name:		
	Address:		
6	Name:		
	Address:		
7	Name:		
	Address:		

(Attach additional sheet(s) if necessary.)

## 7. Lexington-Fayette Urban County Government MWDBE PARTICIPATION GOALS

#### A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their bids.
- Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.
- 4) The LFUCG has also established a 3% of total procurement costs as a Goal for participation for of Veteran-Owned Businesses.
- 5) It is therefore a request of each Bidder to include in its bid, the same goal (3%) for Veteran-Owned participation and other requirements as outlined in this section.

#### B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned or Veteran-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
  - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE and Veteran-Owned subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

#### C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by one or more women.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned, managed and controlled by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

#### D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.

#### E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
  - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
  - b. Included documentation of advertising in the above publications with the bidders good faith efforts package

- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs and/or Veteran-Owned businesses soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.
- j. Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

- o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.
- q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

<u>Note</u>: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.



#### MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented Resolution 484-2017 – A Certified Minority, Women and Disadvantaged Business Enterprise ten percent (10%) minimum goal and a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and Certified Service Disabled Veteran – Owned Businesses for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals (a full copy is available in Central Purchasing):

Certified Disadvantaged Business Enterprise (DBE) — a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. African American, Asian American/Pacific Islander, Hispanic Islander, Native American/Native Alaskan Indian) as defined in federal law or regulation as it may be amended from time-to-time.

Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

Certified Veteran-Owned Small Business (VOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

Certified Service Disabled Veteran Owned Small Business (SDVOSB) — a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term "Certified" shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Purchasing as having the appropriate credentials to make a determination as to the status of the business.

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (https://lexingtonky.ionwave.net)

Business	Contact	Email Address	Phone	
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323	
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625	
Tri-State Minority Supplier Diversity Council	Susan Marston	smarston@tsmsdc.com	502-365-9762	
Small Business Development Council	Shawn Rogers UK SBDC	shawn.rogers@uky.edu	859-257-7666	
Community Ventures Corporation	Phyllis Alcorn	palcorn@cvky.org	859-231-0054	
KY Transportation Cabinet (KYTC)	Melvin Bynes	Melvin.bynes2@ky.gov	502-564-3601	
KYTC Pre-Qualification	Shella Eagle	Shella.Eagle@ky.gov	502-782-4815	
Ohio River Valley Women's Business Council (WBENC)	Sheila Mixon	smixon@orvwbc.org	513-487-6537	
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099	
National Women Business Owner's Council (NWBOC)	Janet Harris-Lange	janet@nwboc.org	800-675-5066	
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971	
LaVoz de Kentucky	Andres Cruz	lavozdeky@yahoo.com	859-621-2106	
The Key News Journal	Patrice Muhammad	production@keynewsjournal.com	859-685-8488	



## LFUCG MWDBE PARTICIPATION FORM Bid/RFP/Quote Reference #\_\_\_\_\_ 13 7 - 2018

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. TEM GINUP	MBE	Electric	\$497,181	14.98 %
2. Mckinney Painting	WBE	Painting	\$63,900	1.98%
3.				
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

DUGAN & MEYERS LIC	Martin J Schrier Company Representative
Company	Company Representative
11/19/18	SENIOR VICE-PRESIDENT
Date	Title



## LFUCG MWDBE SUBSTITUTION FORM Bid/RFP/Quote Reference # 137 - 2018

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

MARTIN J. SCHIRMER

DUGAN & MEYERS LIC	Martin 1 Schermer
Company	Company Representative
	SENIOR VICE-PRESIDENT
Date /	Title



MWDBE QUOTE SUMMARY FORM
Bid/RFP/Quote Reference # 1372018

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name  DUGAN & MEYERS LIC	Contact Person	
DUGAN & MEYERS LIC	ANDY ZALLA	
Anoress/Phone/Email	Bid Package / Bid Date	
2700 RIVER GREEN CIRCLE	1372018	. / . /
LOUIVILLE, KY 40206		11/19/18
507-894-4481 acalla e dugan-m	CURS. COM	, ,

MWDBE Company Address		Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
PEM Group Mobinney Painting Norwood	Tom Clark	\$02-454 -0101		Electric		\$497,181	MBE	
Mckinney Painting	Matt Gamlin	859-873		Painting		\$ 63,900	WBE	
Norwood								
						,		

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

DUGAN & MEYERS LLC	MARTIN J. SCHIRMER Martin J Schirmer
Company 11/19/18	Company Representative  SENIOR VICE-PRESIDENT
Date	Title



### LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran contractors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quo	ote #							
Total Contract	Amount Awa	rded to Prime	e Contra	ctor	for this Pro	oject		
Project Name/	Contract #			Wo	ork Period/	From:		То:
Company Name	<b>:</b> :			Ad	dress:			
Federal Tax ID:				Co	ntact Person	ı:		
Subcontractor Vendor ID (name, address, phone, email	Description of Work	Total Subcontract Amount	% of Total Contra Awarde to Prim for this Project	ed ne	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date
By the signature b of the representat and/or prosecutio	tions set forth b	pelow is true.	Any misi	repre	sentations m	ay result in the	termination o	, and that each f the contract
Company				Com	pany Repres	sentative		
 Date			Ş	Title	:			

## LFUCG STATEMENT OF GOOD FAITH EFFORTS Bid/RFP/Quote # Town Branch WWTP Thickening Process Upgrades

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate. Included documentation of advertising in the above publications with the bidders good faith efforts package \_ Attended LFUCG Central Purchasing Economic Inclusion Outreach event Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s). Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest. Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran

	participation, even when the prime contractor may otherwise perform these items with its own workforce	∍ work
	Negotiated in good faith with interested MWDBE firms and Veronned businesses not rejecting them as unqualified without sound reasons on a thorough investigation of their capabilities. Any rejection should be so in writing with a description as to why an agreement could not be reached.	based
	Included documentation of quotations received from interested MV firms and Veteran-Owned businesses which were not used due to uncomp pricing or were rejected as unacceptable and/or copies of responses from indicating that they would not be submitting a bid.	etitive
	Bidder has to submit sound reasons why the quotations were consunacceptable. The fact that the bidder has the ability and/or desire to perform contract work with its own forces will not be considered a sound reason rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing it provision shall be construed to require the bidder to accept unreasonable quotorder to satisfy MWDBE and Veteran goals.	rm the on for in this
	Made an effort to offer assistance to or refer interested MWDBE firm Veteran-Owned businesses to obtain the necessary equipment, supplies, mat insurance and/or bonding to satisfy the work requirements of the bid proposa	erials,
	Made efforts to expand the search for MWBE firms and Veteran-C businesses beyond the usual geographic boundaries.	wned
	Otherany other evidence that the bidder submits which may show the bidder has made reasonable good faith efforts to include MWDBE and Verparticipation.	
	NOTE: Failure to submit any of the documentation requested in this section in cause for rejection of bid. Bidders may include any other documentation do relevant to this requirement which is subject to approval by the MBE Li Documentation of Good Faith Efforts must be submitted with the Bid, participation Goal is not met.	eemed iaison.
*		
	ned acknowledges that all information is accurate. Any misrepresentations may render of the contract and/or be subject to applicable Federal and State laws concerning that and claims.  Martin J. Jahrine	
Dugan & Meyers		
11/19/2018	Company Representative Senior Vice President	
Date	Title	

# 8. <u>AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND CONFLICT OF INTEREST</u>

I hereby swear (or affirm) under the penalty for false swearing:

- 1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
- 3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- 5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky \_\_\_\_\_. Check the statement applicable.
- 6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
- 7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- 8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

### 9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: Steven J. Klinker
POSITION/TITLE: General Manager
STATEMENT OF EXPERIENCE: Industry experience: 36 years
Dugan & Meyers experience: 34 years
Steve has extensive experience in field operations, estimating, pre-construction,
executive management and overall company management.
NAME OF INDIVIDUAL:Andy Zalla
POSITION/TITLE: Vice President
STATEMENT OF EXPERIENCE: Industry experience: 35 years
Dugan & Meyers experience: 5 years
Andy has extensive knowledge in both water and waste water plants in field
operations, estimating and executive management.
NAME OF INDIVIDUAL: Martin J. Schirmer
POSITION/TITLE: Senior Vice President
STATEMENT OF EXPERIENCE: Industry experience: 35 years
Dugan & Meyers experience: 15 years
Highly skilled in predicting cost, production and unit-rates for civil, architectural,
structural and process mechanical work. Oversees project estimating and bidding

NAME OF INDIVIDUAL: Marcy Weaver
POSITION/TITLE: HR Director
STATEMENT OF EXPERIENCE: _Industry experience: 20 years
Dugan & Meyers experience: 12 years
PHR certified, Affirmative Action lead
NAME OF INDIVIDUAL: Dan Haney
POSITION/TITLE: Project Manager
STATEMENT OF EXPERIENCE: Industry experience: 17 years
Dugan & Meyers experience: 15 years
Bachelor of Science in Civil Engineering; LEED AP Accredited
NAME OF INDIVIDUAL: Keith Hall
POSITION/TITLE: Superintendent
STATEMENT OF EXPERIENCE: Industry experience: 38 years
Dugan & Meyers experience: 4 years
Apprenticeship training; field management, purchasing and inventory experience

<sup>\*</sup> Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

### 10. EQUAL OPPORTUNITY AGREEMENT

### Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

### The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the

full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

### **Bidders**

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

**Dugan & Meyers LLC** 

Signature Martin J. Schirmer

Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its subcontracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;
- (3) The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.

### The Act further provides:

### KRS 45.610. Hiring minorities – Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

### KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

### KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

### KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as <u>special conditions</u> in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

### 11. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

Dugan & Meyers LLC

It is the policy of \_\_ to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

Workforce Analysis Form Name of Organization: Dugan & Meyers LLC

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Total	Σ	7	43	18	7	=					152	က	241	
Two or more races (Not Hispanic or Latino	ட													8
Two or m races (N Hispanic Latino	Σ													/2018
American Indian or Iskan Native ot Hispanic or Latino	ш													1 /19
American Indian or Alaskan Native (not Hispanic or Latino	Z													Date: 11
Asian (Not Hispanic or Latino	L													
	Σ								-		-		~	
Native Hawaiian and Other Pacific Islander (Not Hispanic	ш													
Hay and Pe	2													
k or an- ican ot nic or no	u										-		_	
Black or African- American (Not Hispanic or Latino	Σ										9		ဖ	
Hispanic or Latino	Ľ.													
Hispanic Latino	Σ					7					17		19	
White (Not Hispanic or Latino)	UL.	-	7							ဖ			6	eralist
Wł Hispa Lati	2	7	43	18	7	တ					128	က	215	e Gene
Total		ω	45	18	7	11				9	153	က	251	/, Administrativ
Categories		Administrators	Professionals	Superintendents	Supervisors	Foremen	Technicians	Protective Service	Para-Professionals	Office/Clerical	Skilled Craft	Service/Maintenance	Total:	Prepared by: Sharon Stabley, Administrative Generalist

Prepared by: Sharon Stabley, Administrative Generalist (Name and Title)

Revised 2015-Dec-15

P-35

# EVIDENCE OF INSURABILITY $\Xi$

LENINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT

Enployee ID: FEIN 81-4294887 513.891.4300 MANK Har separate form for each Agency or Brokerage agreeing to provide coverage) Propertions manned. Town Branch WWTP Thickening Process Upgrades. 11110 Kenwood Road, Cincinnati, OH 45242 Names Insured Dugan & Meyers LLC

In hea of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named insured with the minimum coverage lined below. These are carlined in the fisurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions.

Constitution of					
FERRA		Ministra Limb and	Limits Provided	Name of	AM Best
<b>A17</b>	Coverage	Palicy Requirements	To house	120014	Code Research
SC 3 Section 2, Part 4.1 see provisions	(.01)	\$1,000,000 per occ. And	/000'000'T <	Arch Insurance	
		\$2,000,000 aggreegete	2,000,000		
SC 3 Section 2. Part 4.1 See problems	AUFO	SZJARD,ORD/per cac	\$2,000,000 with umbr	2,000,000 with umbr Arch - Auto; Zurich - umbr	
		(with umbrella)			
St. Section 2 Part 4.1 sec provisions	WC	Statutury w fenduscrikm as	Statutory		
		mini		aton montance	

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abude by all provisions for the coverage's checked above unless stated otherwise where submitting

Superior of Brokeringe  9891 Broken Land Parkway  11/7/18  Superior of Holes of Authorized Representative  Superior Authorized Standard  Authorized Standard  Authorized Standard  Authorized Standard  11/7/18  Place	Alliant Illsurance services, Inc.	. <u>.</u>		Mary Beth Matsko
21046 Apr	Arence of Brokering			Name of Authorized Representative
MD 21046 State /կյ	9891 Broken Land Parkwa	>		CONS
MD 21046 Siste /μι 81 1	area Address	e sussession	of the state of th	Title /
State Apr 11	Columbia	MD	21046	1862
	***	757	- T	Authorzed Signature
	443-283-7881			11/7/18
	ckphur. Number			Phile

NOTE. Authorized signatures may be the agent's if agent has placed usurance through an agency agreement with the insurer. If insurance is brokered, anthorized signature must be that of authorized representative of mourer

### 14. DEBARRED FIRMS

PROJECT NAME:	Town Branch WWTP Thickenin	g Process Upgrades
BID NUMBER:	137-2018	
LEXINGTON-FAYE LEXINGTON, KY	TTE URBAN COUNTY GOVER	NMENT
firms that has been deb	shall certify that Subcontractors have parred for noncompliance with the Fe 64 As Amended, Executive Order 11	ederal Labor Standards, Title VI of the
Owner with the bid pro Fayette Urban County days after bid opening.		ansmit one copy to the Lexington- y Development, within fourteen (14)
not and will not award this bid, to any firm tha	at has been debarred for noncompliar	has y contract award to it as the result of nee with the Federal labor Standards, 1246 as amended or any Federal Law.
Dugan & Meyers LL		=
Name of Firm Submitti	Ing Bid	
Signature of Authorized	official Martin J. Schirmer	
Senior Vice Presider	nt	
Title		5.
November 19, 2018		
Date		

### 15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name:	Dugan & Meyers LLC	
Project:	Town Branch WWTP Thickening Pro	cess Upgrades
Printed Name	and Title of Authorized Representative:	Martin J. Schirmer, Senior Vice President
Signature:	Martin J Schermen	
Date:	November 19, 2018	

END OF SECTION



### RESOLUTION

### **Dugan & Meyers LLC**

### Effective June 21, 2018

**Resolved**, that the following officers are authorized to sign on behalf of Dugan & Meyers LLC for contracts, change orders, bid forms, bid documents, and indebtedness for the Company effective June 21, 2018.

Rich Farr

Member

Steven J. Klinker

General Manager

Jeffrey P. Kelly

Regional Financial Manager

Lincoln E. Ketterer

Senior Vice President

Andrew M. Zalla III

Vice President

Justin Koenes

Vice President

Martin J. Schirmer

Senior Vice President

Robert Baker

Treasurer / Secretary

Robert Baker

Treasurer / Secretary

Federal ID #81-4526414

Coho MBd

Subscribed and sworn to before me this

218

day of June 2018.

(Notary Public)

THE REAL PROPERTY OF THE PARTY OF THE PARTY

BETH A. DEVER Notary Public, State of Ohio My Commission Expires 03-06-2021

# Dugan & Meyers LLC Explanation of Financial Statements

The assets of Dugan and Meyers Construction Company (established in 1935) were substantially purchased by Baker Construction Enterprises, Inc. on January 3, 2017. On that date, Dugan & Meyers Construction Co. began operating as Dugan & Meyers LLC. In the transition, Dugan & Meyers LLC has retained all former Dugan & Meyers Construction Company management (less our shareholders who retired). We also maintained our bonding through Liberty Mutual with the addition of Zurich American and Fidelity and Deposit as cosureties. Dugan & Meyers LLC is a private owned entity and typically does not share our financial statements outside our organization and our banking and bonding relationships. That said, we have attached a letter from our agent, Alliant, indicating D&M LLC's bonding capacity. Further information can be provided as needed on a case by case basis.

If you have any questions please feel free to contact:

Steve Klinker – General Manager sklinker@dugan-meyers.com



### **DUGAN & MEYERS MAJOR EQUIPMENT SUMMARY - 2018**

	Quantity
Equipment Type	
	23
Air Hammers, Air Breakers	58
Backhoes/Trackhoes/Minis/Forks/Buckets	12
Blanket Racks	16
Blankets	2389
Blower Fans	35
Bobcats/Forks/Sweepers/Buckets	19
Compactor/Compactor Equipment/Roller	11
Compressors	9
Concrete Buckets	25
Concrete Trowels	5
Cranes	4
Trucks/Gators/Water Vehicles	2
Dozers	2
Drills/Sanders/Screwguns	48
Dumpsters/Trash Chutes/Etc.	96
Forklift/Skytrack/Lull/Stackers	26
Fuel Tanks	60
Generators	136
Grinders	68
Hammerdrills/Rotodrills/Rotohammers	81
Heaters	102
Lasers/Survey Equipment	30
Nailers/Fasteners	14
Pallet Jacks	6
Pressure Washers	51
Pumps - Electric/Water/Gas	199
Radios	261
Safety Equipment	312
Saws	22
Scissorlifts	98
Tool Boxes/Gangboxes/Knack Box	36
Trailers/Office/Semi/U-Haul/Misc.	78
Vibrators	8
Welding Equipment	6
Winches/Chain Hoists	80
Wrenches/Impact, Etc.	
Forms Systems	
Peri Forms	
Peri-Positioners	
Symons Forms	
Fitan Drop Shore Forms	
Cunningham Garage System	

# Dugan Meyers LLC Treatment Plant Experience

Project	Owner	Architect / Engineer	Contract Amount Cor	Year Completed
SSO 700 Reading, OH	Metropolitan Sewer District Cincinnati, OH Erwin Mamacos 513.244.5137	Black & Veatch Cincinnati, OH Jared Htuchins 513.936.5137	\$6,483,996	2020
L'Oreal Water Reclamation Project Florence, KY	L'Oreal USA Florence, KY Josh Noris 859.342.4322	McGill Smith Punshon Cincinnati, OH Steve Stidham 513.759.0004	\$3,840,500	2019
Dayton IPS Dayton, OH	City of Dayton	RA	\$2,272,600	2019
Newark WW/TP Digester Improvements	City of Newark Newark, OH Roger Loomis 740.349.6768	Hazen & Sawyer Columbus, OH Mark Strahota & Scott Phipps 614.781.9655	\$8,674,606	2019
Combined Sewer Overlfow Phase 2 Maysville, KY	City of Maysville Maysville, KY Ray Young 606.564.9419	Can-Tech LLC Lawrenceburg, KY Matthew Baker 502.859.0907	\$2,912,200	2019

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Project	Owner	Architect / Engineer	Contract Amount Co	Year Completed
Ashland RTC Facility Upgrade Louisville, KY	Louisville & Jefferson County MSD Louisville, KY David Coe 502.540.6000		\$328,000	2019
CSO 181 Real Time Control Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Steve Moss 513.244.1300	CDM Smith Cincinnati, OH Brandon Raybourne 513.583.9800	\$2,884,997	2019
Portland CSO Basin Louisville, KY 40212	Louisiville & Jefferson Co. MSD Louisville, KY Jacob Mathis jacob.mathis@louisvillemsd.org	Heritage Engineering, LLC Louisville, KY 40202 502.562.1413	\$28,175,316	2019
Beargrass Creek Flood Pump Stn Electrical Improvements Louisville, KY	Louisville & Jefferson Co. MSD Louisville, KY Larry Boone 502-396-7989	Jacobs Cincinnati, OH	\$3,204,000	2018
Werk & Westbourne EHRT Faciility Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Anthony Yee 513-244-1300	Black & Veatch Cincinnati, OH 513-984-6630	\$25,496,000	2018
Barton 1792 Distillery Pretreatment Improvements	Barton Brands of Kentucky Bardstown, KY Eric Ringo 502.331.4811	QK4 Louisivlle, KY Angi Johnston 502.585.222	\$2,397,900	2018

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Project	Owner	Architect / Engineer	Contract	Year Completed
Muddy Creek Belt Press Replace Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Anthony Yee 513-244-1300	Jacobs Cincinnati Debbie Schafer 513-595-7500	\$6,285,264	2018
Wilmore WWTP Sludge Handling Improvements Wilmore, KY	City of Wilmore Wilmore, KY Harold Rainwater 859-858-4411	GRW Engineers Lexington, KY 40503	\$3,342,000	2018
Miamisburg Eastside Pump Station Miamisburg, OH	City of Miamisburg Miamisburg, OH Dave Reinker 937.866.3303	Hazen & Sawyer Cincinnati, OH Ed Brown 513.469.2750	\$9,795,000	2018
Shelbyville, KY	Shelbyville Municipal Water & Sewer Shelbyville, KY Mike Stearman 502.633.4562	NA	\$39,000	2018
Taylor Mill Treatment Plant Belt Filter Press Replacement Taylor Mill, KY	Northern Kentucky Water District Erlanger, KY		\$886,800	2018
Georgetown WWTP #2 Aerators Replacement	Georgetown Municipal Water/Sewer Georgetown, KY		\$1,065,000	2018

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Project	Owner	Architect / Engineer	Contract Amount C	Year Completed
Rivershore Farms WWTP Rehab Hebron, KY	Sanitation District No. 1 Ft. Wright, KY Daniel Doss	Jac obs Cincinnati, OH Dinesh Palaniswamy 513.595.7500	\$196,000	2018
Shelbyville WTP Shelbyville, KY	Shelbyville Municipal Water & Sever Commission Mike Stearman 502.633.4562		\$140,175	2018
Oak Hill Booster Pump Station Louisville, KY	Louisville Water Company Louisville, KY 40202 Renee Fromme 502-596-3600		\$348,000	2018
Glenview Pump Station Upgrade Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Sara Cramer 513.244.1300	Jacobs Cincinnati, OH Dinesh Palaniswamy 513.595.7500	\$480,380	2018
Derek R Guthrie WQTC Secondary Clarfier Louisville, KY	Louisville & Jefferson County Metropolitan Sewer District Louisville, KY Joseph Bentley	Hazen Sawyer Louisville, KY	\$1,689,993	2017
Springfield T & M Work Springfield, KY	Springfield Water & Sewer Comm Springfield, KY Dr. John Isaacs 859.336.5454	HDR Engineering Lexington, KY 859.336.5454	\$5,778	2017

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Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Logan Street CSO Louisville, KY	Garney Construction Kansas City , MO Jordan Carrier	N/A	\$1,113,044	2017
Western Regional Plant Drainage West Carrollton, OH	Montgomery County Dayton, OH Saa Shemsu 937-781-2623	NA	\$1,000,000	2017
DM-1 Pump Station Dayton, OH 45422	Montgomery County Board Of Comm Kettering, OH Renee Milter 937-781-2626	CDM Smith Inc. Cincinnati, Ohn Jeff Macomber 513-716-6533	\$1,787,000	2017
Contract 26 - Colesburg Pump St Lebanon Junction, KY	Hardin County Water District #3 Elizabethtown, KY 270.737.1056	Kenvirons Frankfort, KY 502.695.4357	\$1,574,264	2017
Mt. Orab WWTP Improvements Mt. Orab, OH	The Village of Mt. Orab Mt. Orab, OH Jeff Stine 937-444-4141	CDM Smith, Inc. Cincinnati, OH Andrew Bohlen, PE 513-583-9800	\$2,834,000	2017
Shelbyville WWTP Shelbyville, KY	Shelbyville Municipal Water Sewer Shelbyville, KY William Bryant 520.633.4548	N/A	000'06\$	2016

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Project	Owner	Architect /	Contract	Year
Patton & 8th St PS Rehab Covington, KY	N. KY Sanitation District No. 1 Ft. Wright, KY Daniel Doss 859-547-1668	Hazen & Sawyer Cincinnati, OH Will Leadbitter 513-469-2750	\$2,100,000	2016
Mill Creek Blower Upgrade Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Jeff Dean 513-244-1300	Brown & Caldwell Cincinnati, OH Tim Koch 513-719-6021	\$9,500,000	2016
Wards Corner WWTP Rehabilitation Loveland, OH	Clermont County Water Resources Batavia, OH Biil Beyer 513.831.8124	CH2M Hill Gainsville, FL Mike Fox 407-650-2120	\$2,640,000	2016
City of Urbana WPCF Improvements Urbana, OH	City of Urbana, OH Urbana, OH Chad Hall 937.652.4302	CDM SMITH Columbus, OH Dave Stewart 614.847.8340	\$18,500,000	2016
B.E Payne WTP Mixer Renovation Prospect, KY	Louisville Water Company Louisville, KY Renee Fromme 502.596.3600	N/A	\$2,087,832	2016
City of Madison Water Pollution Control Facility Impr. Madison, IN	City of Madison, IN Madison, IN 812-265-8300	Donohue & Associates Indianapolis, IN Emily Wehmeyer 317.267.8200	\$3,597,894	2016

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Project	Owner	Architect / Engineer	Contract Amount C	Year Completed
New Regional WTP Louisville, KY	Oldham County Environmental Authority LaGrange, KY	Hagerty Consulting, LLC Prospect, KY James Hagerty	\$8,672,500	2016
	502.225.9477	502.548.0598		
Raw Water Intake Improvements Winchester, KY	Winchester Municipal Utilities Winchester, Ky	Bell Engineering Lexington, KY 859.278.5412	\$1,213,123	2016
Muddy Creek Grit Replacement Cincinnati, OH	Metropolitian Sewer District Cincinnati, OH Anthony Yee 513.244.1300	Jacobs Cincinnati, OH Debbie Schafer 513.595.7500	\$3,492,000	2016
Western Regional WRF Activated Sludge Improvements West Carrollton, OH	Montgomery County Dayton, OH Saa Shemsu 937-781-2623	Malcolm Pimie - Arcadis Columbus, OH Derek Dalton 614-985-9100	\$3,600,000	2016
Raw Water Pump Station Reno Lebanon, KY	Lebanon Water Works Company Lebanon, KY Dick Moraja	O'Brien & Gere Floyds Knobs, IN Ray Ihlenburg 502.693.0718	\$444,676	2016
INEOS C-4 Replacement Addyston, OH	INEOS ABS Addyston, OH Jessica Reece 513.467.2321	N/A	\$338,577	2016

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Project	Owner	Architect / Engineer	Contract Amount C	Year Completed
KSR1 High Service Pump #15 Lexington, KY	Kentucky American Water Lexington, KY Zachery Dukes 859.268.6325	HDR Lexington,KY Benton Harris 859.629.4800	\$248,787	2016
Salt River Booster Pumping Station Shepherdsville, OH	Louisville Water Company Louisville, KY Renee Fromme 502.596.3600	N/A	\$828,704	2016
Crescent Hill Filter Plant 480 Volt Louisville, KY	Louisville, Water Company Louisville, KY	Glenwood Electric Walton, KY Steve Thaman 859.485.3700	\$210,872	2015
Broadway Pump Station Dayton, OH	City of Dayton Dayton, OH Paul MacCallum 937-423-2934	Hazen and Sawyer Cincinnati, OH Steven Reese 513.469.2750	\$4,757,876	2015
Hamilton WRF Phase 2 Improv. Hamilton, OH	City of Hamilton Hamilton, OH Billy Slaven 513.868.5971	Arcadis US Inc. Cincinnati, OH David Vicarel 513.860.8700	\$4,300,000	2015
INEOS Sludge Pit Steel Replacement	INEOS ABS Addyston, OH Jessica Reece 513-467-2321	N/A	\$202,000	2015

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Project	Owner	Architect / Engineer	Contract Amount Co	Year Completed
Happy Hollow Lift Station Miami Twp., OH	Clermont County Water Resources Batavia, OH Kevin Kappers 513-732-8864	NA	\$600,000	2015
INEOS EQ Tank Slab Repair Addyston, OH	INEOS ABS Addyston, OH Jessica Reece 513-467-2321	N/A	\$37,057	2014
Jeffersonville Odor Control Jeffersonville, IN	City of Jeffersonville Jeffersonville, IN Tom Galligan 812.285.6476	Bernardin Lochmueller & Associates Indianapolis, IN Jeff DeWitt 888.830.6977	\$823,525	2014
Generator Building Frankfort WTP Frankfort, KY	Frankfort Plant Board Frankfort, KY 502.540.6000	GRW Engineers Lexington, KY 859.223.3999	\$2,473,679	2014
17th Street Flood Pump Station Louisville, KY	Louisville & Jefferson Co Metropolitian Sewar District 502-540-6000	HDR Engineering Louisville, KY 502.584.4118	\$478,463	2014
Y-Bridge Pump Station Zanesville, OH	The City of Zanesville Zanesville, OH Michael Sims 740.455.0650	CH2M Hill Columbus, OH Gary Long 614.888.3100	\$2,765,234	2014

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Project	Owner	Architect / Engineer	Contract	Year Completed
OSU Geothermal Well Fields South High Rise BP#4 Columbus, OH	The Ohio State University Columbus, OH Smoot Construction (CM) Greg Palmer	Schooley Caldwell Associates Columbus, OH Tom Matheny (614) 628-0300	\$10,000,000	2013
Lakeview Pump Station Sewage Pump Replacement Fort Wright, KY	N. KY Sanitation District No. 1 Fort Wright, KY Robert Schroeder (859) 547-1668	HDR Engineers, Inc. Lexington, KY John LaRue (859) 223-3755	\$6,272,000	2013
INEOS ABS WWTP Conveyor Addyston, OH	INEOS ABS (USA), Corp Addyston, OH Baron Wair 513-467-2029		\$202,000	2013
Jeffersonville North WWTP Jeffersonville, IN New Plant	City of Jeffersonville Jeffersonville, IN Tom Galligan, Mayor (812) 285-6476	Bernardin Lochmueller & Associates Indianapolis, IN Jeff DeWitt, P.E. (888) 830-6977	\$17,692,000	2013
Hamilton Water Reclamation Facility Phase 1 Aeration Improvements Hamilton, OH	City of Hamilton Hamilton, OH Rich Engle (513) 785-7273	CHZM Hill Cincinnati, OH Robert J. Kuhn (513) 530-5520	\$4,747,000	2013
LMTP & MuCTP Blower Upgrade MSD Little Miami / Muddy Creek TP Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Jeffrey Dean (513) 244-1300	Brown & Caldwell Cincinnati, OH Tim Koch (513) 719-6100	\$7,100,000	2013

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Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Taylor Creek WWTP Floating Supernatant Decanters Cleves, OH	Metropolitan Sewer District Cincinnati, OH Ryan Welsh (513) 352-4900	N/A	\$132,900	2012
Western Regional Water Reclamation Facility Self-Peform Work Petersburg, KY	N. KY Sanitation District No. 1 Ft. Wright, KY Chris Novak (859) 578-6885	GRW Engineers Lexington, KY John Martin (859) 223-3999	\$24,302,858	2012
Western Regional Water Reclamation Facility Petersburg, KY - JVT w/Walbridge	N. KY Sanitation District No. 1 Ft. Wright, KY Chris Novak (859) 578-6885	GRW Engineers, Inc. Lexington, KY John Martin (859) 223-3999	\$69,200,000	2012
Lebanon Water Pump Station Lebanon, OH	Greater Cincinnati Water Works Cincinnati, OH Josh Waldroff (513) 638-5053	Arcadis Cincinnati, OH Allen Aspacher (513) 860-8700	\$3,054,604	2012
Derek R. Guthrie WQTC Wet Weather Louisville, KY	Louisville & Jefferson County MSD Louisville, KY Larry Boone (502) 540-6770	HDR Engineering, Inc. Louisville, KY Steve Keiber (502) 584-4115	\$26,574,592	2012
Memorial Parkway WTP Advanced Treatment Bldg. Ft. Thomas, KY	N. Kentucky Water District Erlanger, KY Amy Kramer, PE (859) 991-1617	CH2M Hill Cincinnati, OH Nicholas E. Winnike, PE (513) 337-9351	\$12,227,000	2012

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Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Oldham County WTP Expansion Westport, KY	Oldham County Water District LaGrange, KY Phillip Ward (502) 222-1690	GRW Louisville, KY Shanna Stone, E.I.T. (502) 489-8484	\$4,000,144	2011
Reverse Osmosis WTP Improvements Pickerington, OH	City of Pickerington Pickerington, OH Greg Bachman, PE, PS (614) 833-2221	URS Corporation Columbus, OH Robert Shoaf (614) 464-4500	\$734,647	2011
Derek R. Guthrie WQTP Blower Replacement Louisville, KY	Louisville & Jefferson Co. MSD Louisville, KY Larry Boone (502) 540-6770	CH2M Hill Louisville, KY Richard Tomko (502) 584-6052	\$776,349	2011
City of Sidney Distribution System Improvements Sidney, OH	City of Sidney Sidney, OH Chris Clark (937) 498-8142	CH2MHiil Columbus, OH Gary Long (614) 888-3100	\$1,356,749	2011
General Electric Groundwater IRM Phase 2 Cincinnati, OH	General Electric Company (Aviation) Cincinnati, OH Casey Hartman (513) 243-1502	CH2M Hill Engineers, Inc. Cincinnati, OH Daniel Bouchard (513) 243-7155 O'Brien and Gere Engineers, Inc. Cincinnati, OH Norton Fogel (513) 697-2020	\$3,089,827	2011
Xenia Ford Road WWTP Xenia, OH	City of Xenia, OH Xenia, OH	Hazen & Sawyer Env. Engrs/Scientists Cincinnati, OH Jamie Gellner (513) 469-2750	\$1,679,588	2010

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Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Dublin Road Water Plant Full Scale Pilot Plant Columbus, OH	City of Columbus Dept. of Public Utilities Columbus, OH Miriam Siegfried, PE	AECOM Columbus, OH Cheryl Green (614) 890-7421	\$12,756,658	2010
Dry Creek WW/TP Solids Loadout Villa Hills, KY	N. KY Sanitation District No. 1 Ft. Wright, KY Chris Novak (859) 578-7450	Hazen and Sawyer Env. Engrs. Lexington, KY Jerry McClary (859) 219-1126	\$5,387,434	2010
Dublin Road Water Plant Low Service Pump Addition Columbus, OH	City of Columbus Columbus, OH Miriam Siegfried, PE (614) 645-7100	CH2M Hill Columbus, OH Greg Mosure (614) 888-3100	\$1,300,000	2010
West Hickman WWTP Aerated Sludge Holding Tank Impr. Nicholasville, KY	Lexington-Fayette Urban Cty Gov. Lexington, KY Tiffany Rank (859) 258-3320	DLR Consultants Lexington, KY (859) 313-5005	\$590,000	2009
Buchanan Street Pump Station Channel Monster Louisville, KY	Louisville /Jefferson County MSD Louisville, KY Don Szymansky (502) 540-6308	NONE	\$85,363	2009
Lakeview Pump Station Surge Improvements Ft. Wright, KY	N. KY Sanitation District No. 1 Ft. Wright, KY Christopher Novak (859) 578-0885	Hazen and Sawyer Cincinnati, OH W. James Gellner II (513) 469-2750	\$1,890,573	2009

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Project	Owner	Architect / Engineer	Contract Amount C	Year Completed
Residuals Polymer System Taylor Mill Treatment Plant Taylor Mill, KY	Northern Kentucky Water District Erlanger, KY Amy Kramer (859) 578-9898	GRW Engineers, Inc. Louisville, KY Steve Vogelsberg (502) 489-8484	\$109,533	2009
Morris Forman WWTP Combustible Gas Purge System Louisville, KY	Louisville & Jefferson County MSD Louisville, KY Larry Boone	N/A	\$215,000	2008
Corydon WWTP Corydon, IN	Corydon Municipal Water Works Corydon, IN Fred Cammack (812) 738-3958	Midwestern Engineers Loogootee, IN (812) 295-2800	\$3,940,000	2008
Cedar Creek Maintenance Facility Louisville, KY	Louisville & Jefferson County MSD Louisville, KY John Loechle (502) 540-6000	Architectural Investments Louisville, KY Amos Benjamin (502) 562-9220	\$1,850,000	2008
Morris Forman WWTP Primary Sedimentation Tanks Modif. Louisville, KY	Louisville & Jefferson County MSD Louisville, KY Don Szymansky (502) 540-6000	N/A	\$496,339	2008
Englewood WTP Filter Modifications Engelwood, OH	City of Englewood WTP Englewood, OH 45322 Vern Brown (937) 836-2434	ATS Engineering West Chester, OH 45069 Ed Brown (513) 755-9842	\$73,325	2008

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Project	Owner	Architect / Engineer	Contract Amount	Year Completed
West Hickman WWTP Screw Pump Replacement Nicholasville, KY	Lexington-Fayette Urban County Gov. Lexington, KY Tiffany Rank (859) 258-3320	By Owner	\$1,250,000	2008
Taylor Mill WTP Backwash Treatment System Taylor Mill, KY	Northern Kentucky Water District Erlanger, KY Amy Kramer (859) 426-2734	Ch2M Hill Cincinnati, OH Frank Duran (513) 489-0779	\$1,700,000	2008
Morris Forman WWTP Biotower Odor Control Project Louisville, KY	Louisville & Jefferson County MSD Louisville, KY Don Szymansky (502) 540-6000	N/A	\$1,350,000	2008
Tate Monroe Water Association US 52 Water Treatment Plant Moscow, OH	Tate Monroe Water Association Bethel, OH Greg Stanley (513) 734-2236	Burgess & Niple Cincinnati, OH Sam Swanson / Ken Timko (513) 579-0042	\$10,565,000	2008
SHOC Retrofit Emergency Solids Handling Odor Control Louisville, KY	Louisville & Jefferson Cnty. MSD Louisville, KY Don Szymansky (502) 540-6000		\$1,258,310	2008
West Hickman WWTP Tank Improvements Nicholasville, KY	Lexington-Fayette Urban County Gov. Lexington, KY Tiffany Rank (859) 258-3320	By Owner	\$661,291	2008

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Project	Owner	Architect / Engineer	Contract Amount	Year Completed
FPS Sluice Gate WWTP Actuator Replacement Louisville, KY	Louisville & Jefferson County MSD Louisville, KY Cathy Cornish (502) 540-6000	N/A	\$64,000	2007
Morris Forman WW/TP Fume Incinerator Replacement Louisville, KY	Louisville & Jefferson County MSD Louisville, KY Don Szymansky (502) 540-6000	Webster Environmental Associates Louisville, KY (502) 253-3443	\$1,222,344	2007
Liberty Fairfield Water Pump Station Fairfield, OH	Butler County Dept. of Environment Srvc Hamilton, OH Steve Seitz (513) 785-6370	Malcolm Pirnie Cincinnati, OH Steve Moss (513) 677-8380	\$4,271,585	2007
Pickaway Correctional Institution WWTP Improvements Orient, OH	General Services Division Office of the Ohio State Architect Vijay Bhalla (614) 466-4761	CT Consultants, Inc. Willoughby, OH Tom Voldrich (440) 951-9000	\$6,318,233	2007
Town Branch WWTP Fine Screen Replacement Lexington, KY	Lexington Fayette Urban County Gov. Lexington, KY (859) 258-3320	Town Branch Sanitary Sewers Lexington, KY Tiffany Rank, P.E. (859) 425-2406	\$620,000	2007
City of Simpsonville Water Treatment Plant Simpsonville, KY	Simpsonville Municipal Sewer Board Simpsonville, KY (502) 722-5634	Derrick Engineering Louisville, KY Dave Derrick (502) 636-9273	\$2,392,500	2007

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Project	Owner	Architect / Engineer	Contract Amount C	Year
Town of Grandview WWTP Improvements Grandview, IN	Town of Grandview Grandview, IN Amy Kramer (812) 649-2573	Commonwealth Engineers Evansville, IN Eric Parsley (812) 474-1177	\$605,866	2007
West County WWTP Influent Pump Station Odor Control Improvements Louisville, KY	Louisville & Jefferson County MSD Louisville, KY Eric Brady (502) 540-6000	N/A	\$109,245	2007
MSD - Hunting Creek North WWTP Secondary Modifications Prospect, KY	Louisville & Jefferson Co. MSD Louisville, KY John Lacley (502) 540-6000	CH2M Hill, Inc. Louisville, KY Chuck Parker (502) 584-6056	\$117,091	2006
Buchanan Street Pump Station Louisville, KY	Louisville/Jefferson County MSD Louisville, KY Don Szymansky (502) 540-6308	CH2M Hill, Inc. Louisville, KY John Morse (502) 586-6052	\$9,417,112	2006
Mason Water Reclamation Plant Mason, OH	City of Mason Mason, OH Scott Lahrmer (513) 229-8500	Finkbeiner, Pettis & Strout, Inc. Hamilton, OH Allen Aspacher, P.E. (513) 860-8700	\$32,635,925	2006
Jeffersonville WWTP Anoxic Selector Jeffersonville, IN	City of Jeffersonville Jeffersonville, IN Mike Meyers (812) 285-6451	Strand Associates Louisville, KY Mark Sneve (502) 583-2076	\$1,968,717	2006

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Project	Owner	Architect / Engineer	Contract Amount C	Year Completed
GMWSS Auxiliary Waste Receiving Treatment System Georgetown, KY	Georgetown Municipal Water & Sewer Services Georgetown, KY (502) 863-7861	DLR Consultants Lexington, KY Paul Danheiser (859) 313-5040	\$136,636	2006
Locust Street Sewer Rehabilitation Owensboro, KY	City of Owensboro Owensboro, KY (270) 687-8534	Strand Associates Louisville, KY	\$1,798,427	2006
INEOS C- Roof Replacement Addyston, OH	INEOS Addyston, OH Jessica Reece 513.467.2321	N/A	\$338,577	2005
City of New Albany WWTP Upgrade New Albany, IN	City of New Albany, IN New Albany, IN Dick Bliss (812) 948-5336	Clark & Deitz, Donahue & Assoc. Indianapolis, IN James Miller (317) 267-8200	\$16,892,094	2005
Northeastern Sanitary Trunk Sewer Cleaning Louisville, KY	Louisville & Jefferson County MSD Louisville, KY (502) 540-6000	O'Brien & Gere Louisville, KY im Kraus (502) 561-3414	\$529,000	2005
MSD - Hunting Creek North WWTP Northern Ditch Pump Replacement Louisville, KY	Louisville & Jefferson Co. HSD Louisville, KY John Lacley (502) 540-6000	CH2M Hill, Inc. Louisville, KY Chuck Parker (502) 584-6056	\$712,091	2005

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Project	Owner	Architect / Engineer	Contract	Year Completed
Frankfort Electric & Water Plant Low Service Pump Control Valves Frankfort, KY	Frankfort Electric and Water Plant Bd. Frankfort, KY (502) 352-4372	N/A	\$124,750	2004
West County WWTP Sluice Gate Installation Louisville, KY	Louisville & Jefferson Co. Louisville, KY Metropolitan Sewer District (502) 540-6000	CH2M Hill, Inc. Louisville, KY John Morse (502) 586-6052	\$200,000	2004
Cincinnati Water Works WQ&T Facility Cincinnati, OH	Cincinnati Water Works Cincinnati, OH Jeff Pieper (513) 624-5827	Environmental Engrg. & Tech., Inc. Newport News, VA Dean Ramsey (757) 873-1534	\$8,707,036	2003
Louisville Water Company Louisville, KY	Louisville Water Company Louisville, KY Tom Raderer (502) 596-3600	Fuller Mossbarger, Scott & May Engrs. Lexington, KY Hugo Aparicio (589) 422-3000	\$1,337,000	2002
West County WWTP Odor Control Louisville, KY	Louisville & Jefferson Co. MSD Louisville, KY Don Symansky (502) 540-6308	CH2M Hill, Inc. Louisville, KY John Morse (502) 586-6052	\$2,855,762	2002
Network Operations Center Frankfort, KY	City of Frankfort Electric & Water Plant Board Frankfort, KY Mr. David Sandige	Scott-Klausing & Co. LaGrange, KY Chuck Wright (502) 222-5366	\$1,658,181	2001

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Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Westport Road Transfer Station Louisville, KY	Louisville Water Company Louisville, KY John C. Beyke (502) 569-3600	CH2M Hill, Inc. Louisville, KY John Morse (502)-584-6052	\$2,514,848	2000
Southwest Pump Station WWTP Modifications Louisville, Ky	Metropolitan Sewer District Louisville, KY John C. Beyke (502) 540-6000	CH2M Hill, Inc. Louisville, KY Alex Novak (502) 584-6052	\$2,893,292	2000
West County WWTP Phase II Louisville, KY	Metropolitan Sewer District Louisville, KY John C. Beyke (502) 540-6000	CH2M Hill, Inc. Louisville, KY Alex Novak (502) 584-6052	\$6,721,574	2000
Scottsburg Water Treatment Plant Scottsburg, IN	City of Scottsburg Scottsburg, IN 47170 Lance Hounshell (812) 752-2477	Aquasource WWT Engineers Louisville, KY 9502) 458-1750	\$4,322,800	1999
Kenton County River Intake Structure Ft. Thomas, KY	Kenton County Bd of Commissioners Ft. Thomas, KY FRU-CON Construction (GC) Ann McCormick	Black & Veatch Cincinnati, OH Donnie Ginn (513) 984-6630	\$1,600,000	1997
Raceway Plaza Treatment Plant Indianapolis, IN	The Reynolds Group Indianapolis, IN William Reynolds (317) 574-4372	Capital Engineering Indianapolis, IN Bill Saegesser (317) 574-4383	\$421,000	1995

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Project	Owner	Architect / Engineer	Contract Amount C	Year Completed
Cincinnati Water Works Expansion of WTP Cincinnati, OH	Cincinnati Water Works Cincinnati, OH William Flynn (513) 352-4643	Black & Veatch Kansas City, MO Bob McCloud (913) 339-2000	\$12,358,078	1983
Lakeland WTP Lakeland, FL	City of Lakeland Lakeland, FL Electric & Water Util. Dept. (813) 687-3636	Camp Dress & McKee Clearwater, FL Paul Chabot (813) 530-9984	\$10,792,405	1983
Bloomington WWTP Bloomington, IN	City of Bloomington Bloomington, IN Office of Public Utilities Mike Philips	Black & Veatch Kansas City, MO Mr. Bremser (913) 339-2000	\$16,340,000	1982
Columbus Southerly WWTP Columbus, OH	City of Columbus Columbus, OH Gisel B. Rosenbaum (614) 222-8290	Brundage, Baker & Stauffer Columbus, OH (614) 888-3100	\$13,385,000	1982
Parsons Avenue WTP Columbus, OH	City of Columbus Columbus, OH Gisela B. Rosenbaum (614) 222-8290	A.E. Stilson & Assoc. Columbus, OH Mr. Kammer (614) 228-4385	\$13,660,000	1982
Alpine Dam WT Impoundment Bayard, WV	Island Creek Coal Company Lexington, KY J.J. Stapleton (606) 223-3636	Orbital Engineering Pittsburgh, PA (412) 261-9100	\$1,720,000	1980

Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Little Miami WWTP Cincinnati, OH	City of Cincinnati Cincinnati, OH James Krusing (513) 352-3260	Havens & Emerson Cleveland, OH (216) 621-2407	\$39,400,000	1977
Industrial Waste Treatment Facility General Motors Cincinnati, OH	General Motors/Fisher Body Div. Warren, MI Arthur Collins (313) 556-5000	Argonaut Realty Co. Detroit, MI (313) 556-5000	\$2,500,000	1977

# Dugan and Meyers LLC Current Project List

Project	Owner	Architect / Engineer	Contract Amount	<b>Delivery</b> <b>Method</b>	Year Complete
SSO 700 Reading, OH	Metropolitan Sewer District Cincinnati, OH Erwin Mamacos 513.244.5137	Black & Veatch Cincinnati, OH Jared Htuchins 513.936.5137	\$6,483,996	General Contractor	2020
Ashland RTC Facility Upgrade Louisville, KY	Louisville & Jefferson County MSD Louisville, KY David Coe 502.540.6000		\$328,000		2019
Cambria Hotel Louisville, KY	Killian Construction Co. Louisville, KY	Morley Newburgh, IN 812.464.9585	\$1,732,400	General Contractor	2019
Combined Sewer Overfow Phase 2 Maysville, KY	City of Maysville Maysville, KY Ray Young 606.564.9419	Can-Tech LLC Lawrenceburg, KY Matthew Baker 502.859.0907	\$2,912,200		2019

Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
Court & Walnut - Garage Cincinnati, OH	Court & Walnut, LLC Cincinnati, OH Katie Westbrook 513.977.8054	GBBN Architects Inc. Cincinnati, OH Joe Northcutt 513.241.8700	\$8,323,038		2019
Court & Walnut Residential Cincinnati, OH	CW Joint Venture, LLC Cincinnati, OH Tony Hobson 513.721.2744	GBBN Architects, Inc. Cincinnati, OH Joe Northcutt 513.241.8700	\$4,146,079	General Contractor	2019
CSO 181 Real Time Control Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Steve Moss 513.244.1300	CDM Smith Cincinnati, OH Brandon Raybourne 513.583.9800	\$2,884,997		2019
Dayton IPS Dayton, OH	City of Dayton	RA	\$2,272,600		2019

Project	Owner	Architect / Engineer	Contract	<b>Delivery</b> Method	Year Complete
L'Oreal Water Reclamation Project Florence, KY	L'Oreal USA Florence, KY Josh Noris 859.342.4322	McGill Smith Punshon Cincinnati, OH Steve Stidham 513.759.0004	\$3,840,500		2019
Madison & Whetsel Cincinnati, OH	Ackerman Group Cincinnati, OH	Glaserworks Cincinnati, OH 513.665.9555	\$1,649,665		2019
NCH Conference Facility & Data Ctr Columbus, OH	Nationwide Children's Hospital Columbus, OH	Gensler Columbus, OH 312-456-0123	\$2,942,172		2019
Newark WWTP Digester Improvements	City of Newark Newark, OH Roger Loomis 740.349.6768	·Hazen & Sawyer Columbus, OH Mark Strahota & Scott Phipps 614.781.9655	\$8,674,606		2019

Project	Owner	Architect / Engineer	Contract Amount	<b>Delivery</b> <b>Method</b>	Year Complete
Portland CSO Basin Louisville, KY 40212	Louisiville & Jefferson Co. MSD Louisville, KY Jacob Mathis jacob.mathis@louisvillemsd.org	Heritage Engineering, LLC Louisville, KY 40202 502.562.1413	\$28,175,316		2019
The Alexander Columbus, OH	Borror Columbus, OH Jeff Rankey 614.356.5672	Mark Daniels Columbus, OH 614.764.0407	\$4,044,908	General Contractor	2019
711 North High Columbus, OH	Wood Companies Columbus, OH 43201 Marc Wood	Lupton Rausch Architecture Columbus, OH David Goth 614.224.9050	\$22,629,144	Construction Manager	2018
Canopy by Hilton at NW Blvd Columbus, OH	Indus 77 Partners, LLC Columbus, OH Ben Richards 614.824.2742	Braun & Steidl Architects, Inc. Columbus, OH 43215 Craig Vander Veen 614.224.9555	\$4,839,568	General Contractor	2018

Project	Owner	Architect / Engineer	Contract Amount	<b>Delivery</b> <b>Method</b>	Year Complete
Miamisburg Eastside Pump Station Miamisburg, OH	City of Miamisburg Miamisburg, OH Dave Reinker 937.866.3303	Hazen & Sawyer Cincinnati, OH Ed Brown 513.469.2750	\$9,795,000	General Contractor	2018
Oak Hill Booster Pump Station Louisville, KY	Louisville Water Company Louisville, KY 40202 Renee Fromme 502-596-3600		\$348,000		2018
OhioHealth Garage Corporate Headquarters Columbus, OH	OhioHealth Columbus, OH Mark Davis 614.440.7073	The Daimler Group, Inc. Columbus, OH	\$17,378,000	Construction Manager	2018
OhioHealth Grant Hospital Garage Columbus, OH	OhioHealth Columbus, OH Mark Davis 614.440.7073	The Daimler Group, Inc. (JV) Columbus, OH Todd Sloan 614.488.4424	000'000'6\$	Design / Build	2018

Project	Owner	Architect / Engineer	Contract	<b>Delivery</b> Method	Year Complete
Saint Elizabeth Cancer Care Center Edgewood, KY 41017	St. Elizabeth Medical Center, Inc. Edgewood, KY	HGA Architects and Engineers LLC Milwaukee, WI Paul Widlarz 414.278.8200	\$765,000		2018
Vintage Club Condominiums Cincinnati, OH	Traditions Building Group Cincinnati, OH Bill Howell 513.446.2883	Studio A Architectural Services LLC Cincinnati, OH Greg Albright 513.607.9599	\$1,484,000		2018