



LEXINGTON

Bid 131-2024 Addendum 4

Herrick Company, Inc.

Supplier Response

Event Information

Number: Bid 131-2024 Addendum 4
Title: Town Branch and West Hickman WWTP UV Disinfection Process
Replacement Project
Type: Competitive Bid
Issue Date: 9/3/2024
Deadline: 10/15/2024 02:00 PM (ET)

Contact Information

Contact: Brian Marcum
Address: Central Purchasing
Government Center Building
200 East Main Street
Lexington, KY 40507
Phone: (859) 2583320
Fax: (859) 2583322
Email: brianm@lexingtonky.gov

Herrick Company, Inc. Information

Contact: Cody M Lokits
Address: 780 Enterprise Drive
Lexington, KY 40510
Phone: (859) 592-2022
Fax: (502) 839-0939
Email: cody@hci96.com

ONLY ONLINE BIDS WILL BE ACCEPTED! By submitting your response, you certify that you are authorized to represent and bind your company and that you agree to all bid terms and conditions as stated in the attached bid/RFP/RFQ/Quote/Auction documents.

Cody M Lokits

Signature

cody@hci96.com

Email

Submitted at 10/15/2024 01:48:29 PM (ET)

Response Attachments

Bid Form Complete - LFUCG TB & WH WWTPs UV Replacement.pdf

Required Bid Attachment

Bid Lines

1	Replace Unsuitable Foundation Material for Structures and Roads (Section 31 23 00–Excavation, Fill, Backfill, and Grading) (Line excluded from response total)	Quantity: <u>100</u> UOM: <u>Cubic Yard</u>	Price: <u>\$125.00</u>	Total: <u>\$12,500.00</u>
2	Replace Unsuitable Foundation Material for Utility Trenches (Section 31 23 00–Excavation, Fill, Backfill, and Grading) (Line excluded from response total)	Quantity: <u>100</u> UOM: <u>Cubic Yard</u>	Price: <u>\$125.00</u>	Total: <u>\$12,500.00</u>
3	Lump Sum Base Bid	Quantity: <u>1</u> UOM: <u>Lump Sum</u>	Price: <u>\$13,700,000.00</u>	Total: <u>\$13,700,000.00</u>

Response Total: \$13,700,000.00

BIDDER: Herrick Company, Inc.

BID FORMS

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KENTUCKY
TOWN BRANCH AND WEST HICKMAN WWTP UV DISINFECTION
PROCESS REPLACEMENT PROJECT
CONTRACT 131-2024

Prepared by:

STRAND ASSOCIATES, INC.®
651 Perimeter Drive, Suite 220
Lexington, KY 40517
www.strand.com

Issued for Bid
September 3, 2024



PART III

FORM OF PROPOSAL

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PART III
FORM OF PROPOSAL

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KENTUCKY
TOWN BRANCH AND WEST HICKMAN WWTP
UV DISINFECTION PROCESS REPLACEMENT PROJECT
BID NO. 131-2024

Place: Lexington, Kentucky

Date: 10/15/24

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Herrick Company, Inc.

780 Enterprise Dr, Lexington, KY 40510
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of
Kentucky, doing business as a corporation
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Sir or Madam:

The Bidder, in compliance with your Invitation for Bids for the **TOWN BRANCH AND WEST HICKMAN WWTP UV DISINFECTION PROCESS REPLACEMENT PROJECT** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of **\$1,500.00** for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 9/24/24

Addendum No. 2 Date 10/2/24

Addendum No. 3 Date 10/8/24

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. **LEGAL STATUS OF BIDDER**

Bidder Herrick Company, Inc.

Date 10/15/24

* 1. A corporation duly organized and doing business under the laws of the State of Kentucky _____, for whom Cody M. Lokits _____, bearing the official title of President _____, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

~~* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)~~

~~* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)~~

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Cody M. Lokits, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Cody M. Lokits and he/she is the individual submitting the bid or is the authorized representative of Herrick Company, Inc., the entity submitting the bid (hereinafter referred to as "Bidder").

2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.

3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.

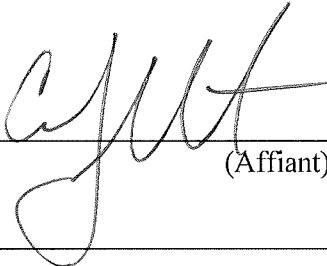
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.

5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.

6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."

7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.


Further, Affiant sayeth naught.

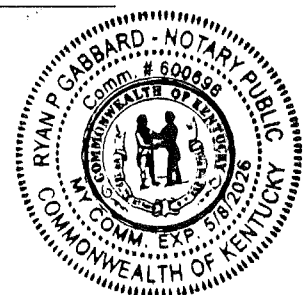

(Affiant)

STATE OF Kentucky

COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me by
Cody M. Lokits on this the 15th day of October
2024.

My Commission expires: 5/8/26

NOTARY PUBLIC, STATE AT LARGE



4. LUMP SUM BASE BID

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following lump sum and/or unit prices which shall include the furnishing of all labor, materials, supplies, services, equipment and/or vehicle usage, all items of cost, overhead, taxes (federal, state, local), and profit for the CONTRACTOR and any Subcontractor involved. The Bidder must make the extensions and additions showing the total amount of bid. The contract, if awarded, will be on the basis of material and equipment specified in the specification without consideration of possible substitute or "Or equal" items. (Per article 18 of the Information for Bidders). The LFUCG reserves the right to award the bid in its best interest and within fiscal constraints.

Amount shall be shown in both words and figures. In case of discrepancy, the amount shown in words will govern.

LUMP SUM BASE BID:

Thirteen Million, Seven Hundred Thousand

	Dollars \$	13,700,000.00
(Words)		(Numbers)

See Section 01 29 00—Contract Considerations for discussion of cash allowances to include in the Bid.

Contract award will be made based on the Lump Sum Base Bid. The price for all Base Bid Equipment items shall be included in the Lump Sum Base Bid.

OWNER reserves the right to accept or reject any Equipment Alternatives to the Lump Sum Base Bid. If Alternatives designated by the OWNER are considered in the Award, the Alternatives shall be accepted in the sequence identified below and the lowest Lump Sum Bid shall be computed on the Base Bid. Consideration of Equipment Alternatives of the selected Bidder will be made by OWNER within 60 days after the Effective Date of the Agreement.

CASH ALLOWANCES

The following Cash Allowances shall be included in the Lump Sum Base Bid. The Cash Allowances for non Lump Sum items shall be equal to the product of the quantity included in the Lump Sum Base Bid and the Unit Price. The Cash Allowances will be adjusted in the event that estimated quantities to be included in the Lump Sum Base Bid are different from final measured quantities. A single Unit Price shall be bid for each item. Failure to include one or more of the following Unit Price items may result in rejection of the entire Bid as nonconforming. For items with a quantity of 1, the Cash Allowance shall be adjusted based on actual final costs.

Item Number	Description	Estimated Quantity Included in the Lump Sum Base Bid	Unit	Bid Unit Price	Total Bid Price Included in the Lump Sum Base Bid
1.	Replace Unsuitable Foundation Material for Structures and Roads (Section 31 23 00—Excavation, Fill, Backfill, and Grading)	100	CY	\$ 125.00	\$ 12,500.00
2.	Replace Unsuitable Foundation Material for Utility Trenches (Section 31 23 00—Excavation, Fill, Backfill, and Grading)	100	CY	\$ 125.00	\$ 12,500.00

Submitted by: Herrick Company, Inc.
Firm

780 Enterprise Dr
Address

Lexington, KY 40510
City, State & Zip

***Bid must be signed:
(original signature)***  Pres./CEO
Signature of Authorized Company Representative – Title

Cody M Lokits, President
Representative/s Name (Typed or Printed)

859-699-2961 502-839-0939
Area Code – Phone – Extension *Fax #*

cody@hci96.com
E-Mail Address

OFFICIAL ADDRESS:

Herrick Company, Inc.

780 Enterprise Dr

Lexington, KY 40510

(Seal if Bid is by Corporation)

by signing this form you agree to ALL of the terms and associated forms.

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: Herrick Company, Inc.
2. Permanent Place of Business: 780 Enterprise Dr, Lexington, KY 40510
3. When Organized: January 1996
4. Where Incorporated: Kentucky
5. Construction Plant and Equipment Available for this Project:

See attached.

(Attach Separate Sheet If Necessary)

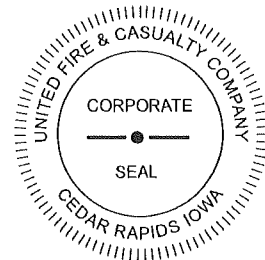
6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

United Fire & Casualty Company (Surety)

Signed: Leigh McCarthy (Representative of Surety)
Leigh McCarthy, Attorney-in-Fact



8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
See attached.		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
See attached.		

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
See attached.		

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>MAJORITY</u>
See attached.			

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within seven (7) calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within seven (7) days following the request submit audited financial statements and loss history for insurance claims for the three (3) most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH</u> <u>MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u> <u>Yes/No</u>	<u>% of Work</u>
1. <u>Electrical</u>	Name: <u>AE Electrical Solutions</u> Address: <u>Frankfort, KY</u>	<u>No</u>	<u>16%</u>
2. <u>HVAC</u>	Name: <u>Bison Services</u> Address: <u>Foster, KY</u>	<u>No</u>	<u>1%</u>
3. <u>Paving</u>	Name: <u>Imperial Asphalt</u> Address: <u>Frankfort, KY</u>	<u>No</u>	<u>2%</u>
4. <u>Painting</u>	Name: <u>McKinney Painting</u> Address: <u>Versailles, KY</u>	<u>Yes</u>	<u>1%</u>
5. _____	Name: _____ Address: _____	_____	_____
6. _____	Name: _____ Address: _____	_____	_____
7. _____	Name: _____ Address: _____	_____	_____

(Attach additional sheet(s) if necessary.)

7. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND
NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky ☒ _____. Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.

READ CAREFULLY – SIGN IN SPACE BELOW – FAILURE TO SIGN INVALIDATES BID.

Signed by , Pres./CEO
Cody M. Lokits

Firm Herrick Company, Inc.

Address 780 Enterprise Dr

Lexington, KY 40510

Telephone 859-699-2961

Date 10/15/24

*See attached

8. **STATEMENT OF EXPERIENCE**
(this section must be completed or your bid will be considered as non-responsive)

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

BY: Herrick Company, Inc.
Name of Firm

DATE: 10/15/24

BY: 
Cody M. Lokits

TITLE: President

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

9. EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

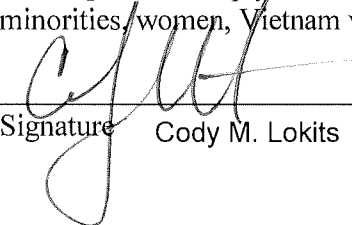
The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.



Signature Cody M. Lokits

Herrick Company, Inc.

Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- a. *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- b. *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

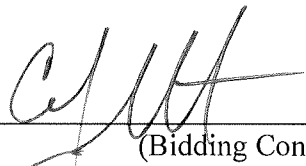
It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

10. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Herrick Company, Inc.
to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

Signature: 
(Bidding Contractor) Cody M. Lokits

Title: President

Date: 10/15/24

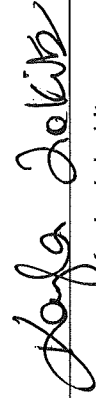
11. WORKFORCE ANALYSIS FORM

Name of Organization: Herrick Company, Inc.

Date: 10 / 15 / 24

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators	4	4						4	
Professionals									
Superintendents	5	5						5	
Supervisors									
Foremen	2	2						2	
Technicians									
Protective Service									
Para-Professionals									
Office/Clerical	2		2						2
Skilled Craft	26	21				5		26	
Service/Maintenance									
Total:	39							37	2

Prepared By: _____


Kayla J. Lokits

12. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Herrick Company, Inc.

Employee ID: _____

Address: 780 Enterprise Dr

Phone: 502-839-3484

Lexington, KY 40510

Umbrella Policy Limit \$5,000,000
Cincinnati Casualty Co
004289 A+

Project to be insured: TB & WH WWTP UV Disinfection Process Replacement Project

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC 1.3.D.1 – see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ \$2,000,000 Aggregate \$1,000,000 Occurrence	Selective Ins Company of America	000826	A+
SC 1.3.D.1 – see provisions	AUTO	\$2,000,000/per occ.	\$ \$1,000,000 Combined Single Limit	Selective Ins Co of America	000826	A+
SC 1.3.D.1 – see provisions	WC	Statutory w /endorsement as noted	\$ \$4,500,000 ea accident \$4,500,000 ea employee	KY Assoc of General Contractors	055002	A-

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions of the coverage's checked above unless stated otherwise when submitting.

Marsh McLennan Agency

Sandy Q Black

Agency or Brokerage
360 East Vine Street Ste 200

Name of Authorized Representative
Senior Account Manager

Street Address
Lexington KY 40507

Title
Sandy Q Black

City
859-254-8023

Authorized Signature
10/09/2024

Telephone Number

Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

13. DEBARRED FIRMS

PROJECT NAME: Town Branch and West Hickman WWTP UV Disinfection Process Replacement Project

BID NUMBER: 131-2024

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY**

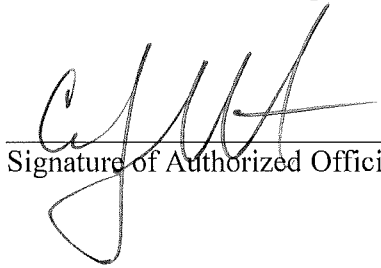
All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Herrick Company, Inc. has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Herrick Company, Inc.

Name of Firm Submitting Bid



Signature of Authorized Official Cody M. Lokits

President

Title

10/15/24

Date

14. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

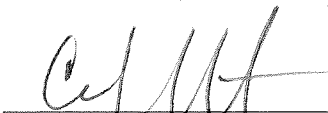
The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Herrick Company, Inc.

Project: TB & WH WWTP UV Disinfection Process Replacement Project

Printed Name and Title of Authorized Representative: Cody M Lokits, President

Signature: 

Date: 10/15/24

END OF SECTION

Document A310™ – 2010

Conforms with The American Institute of Architects AIA Document 310

Bid Bond

CONTRACTOR:

(Name, legal status and address)

Herrick Company, Inc.
780 Enterprise Dr
Lexington, KY 40510

SURETY:

(Name, legal status and principal place of business)

United Fire & Casualty Company
PO Box 73909
Cedar Rapids, IA 52407-3909

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

OWNER:

(Name, legal status and address)

Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507

BOND AMOUNT: \$ 5%

Five Percent of Amount Bid

PROJECT:

(Name, location or address, and Project number, if any)

Town Branch and West Hickman WWTP UV Disinfection Process Replacement Project, Lexington, KY

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 15th day of October, 2024


(Witness) RYAN P GABBARD

Herrick Company, Inc.

(Principal)

(Seal)

By:

(Title)

PRESIDENT

CODY M. LOKITS

United Fire & Casualty Company

(Surety)

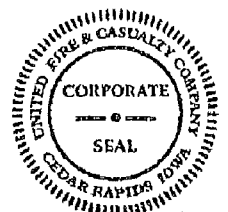
(Seal)

By:

(Title) Leigh McCarthy

Attorney-in-Fact


(Witness) Suzanna Knight





UNITED FIRE & CASUALTY COMPANY, CEDAR RAPIDS, IA
UNITED FIRE & INDEMNITY COMPANY, WEBSTER, TX
FINANCIAL PACIFIC INSURANCE COMPANY, LOS ANGELES, CA

Inquiries: Surety Department
118 Second Ave SE
Cedar Rapids, IA 52401

CERTIFIED COPY OF POWER OF ATTORNEY
(original on file at Home Office of Company – See Certification)

KNOW ALL PERSONS BY THESE PRESENTS, That UNITED FIRE & CASUALTY COMPANY, a corporation duly organized and existing under the laws of the State of Iowa; UNITED FIRE & INDEMNITY COMPANY, a corporation duly organized and existing under the laws of the State of Texas; and FINANCIAL PACIFIC INSURANCE COMPANY, a corporation duly organized and existing under the laws of the State of California (herein collectively called the Companies), and having their corporate headquarters in Cedar Rapids, State of Iowa, does make, constitute and appoint Leigh McCarthy their true and lawful Attorney-in-Fact with power and authority hereby conferred to sign, seal and execute in its behalf all lawful bonds, undertakings and other obligatory instruments of similar nature provided that no single obligation shall exceed \$100,000,000.00

Surety Bond Number: Bid Bond
Principal: Herrick Company, Inc.
Obligee: Lexington-Fayette Urban County Government

and to bind the Companies thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of the Companies and all of the acts of said Attorney, pursuant to the authority hereby given and hereby ratified and confirmed.

The Authority hereby granted is continuous and shall remain in full force and effect until revoked by UNITED FIRE & CASUALTY COMPANY, UNITED FIRE & INDEMNITY COMPANY, AND FINANCIAL PACIFIC INSURANCE COMPANY.

This Power of Attorney is made and executed pursuant to and by authority of the following bylaw duly adopted by the Boards of Directors of UNITED FIRE & CASUALTY COMPANY, UNITED FIRE & INDEMNITY COMPANY, and FINANCIAL PACIFIC INSURANCE COMPANY.

“Article VI – Surety Bonds and Undertakings”

Section 2, Appointment of Attorney-in-Fact. “The President or any Vice President, or any other officer of the Companies may, from time to time, appoint by written certificates attorneys-in-fact to act in behalf of the Companies in the execution of policies of insurance, bonds, undertakings and other obligatory instruments of like nature. The signature of any officer authorized hereby, and the Corporate seal, may be affixed by facsimile to any power of attorney or special power of attorney or certification of either authorized hereby; such signature and seal, when so used, being adopted by the Companies as the original signature of such officer and the original seal of the Companies, to be valid and binding upon the Companies with the same force and effect as though manually affixed. Such attorneys-in-fact, subject to the limitations set forth in their respective certificates of authority shall have full power to bind the Companies by their signature and execution of any such instruments and to attach the seal of the Companies thereto. The President or any Vice President, the Board of Directors or any other officer of the Companies may at any time revoke all power and authority previously given to any attorney-in-fact.

IN WITNESS WHEREOF, the COMPANIES have each caused these presents to be signed by its vice president and its corporate seal to be hereto affixed this 21st day of September, 2023

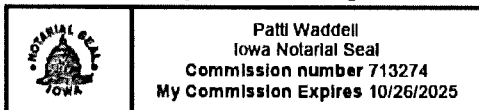


UNITED FIRE & CASUALTY COMPANY
UNITED FIRE & INDEMNITY COMPANY
FINANCIAL PACIFIC INSURANCE COMPANY

By: *Kyanna M. Saylor* Vice President

State of Iowa, County of Linn, ss:

On 21st day of September, 2023, before me personally came Kyanna M. Saylor to me known, who being by me duly sworn, did depose and say; that she resides in Cedar Rapids, State of Iowa; that she is a Vice President of UNITED FIRE & CASUALTY COMPANY, a Vice President of UNITED FIRE & INDEMNITY COMPANY, and a Vice President of FINANCIAL PACIFIC INSURANCE COMPANY the corporations described in and which executed the above instrument; that she knows the seal of said corporations; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporations and that she signed her name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporations.



Patti Waddell Notary Public
My commission expires: 10/26/2025

I, Mary A. Bertsch, Assistant Secretary of United Fire & Casualty Company and Assistant Secretary of United Fire & Indemnity Company, and Assistant Secretary of Financial Pacific Insurance Company, do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Section of the bylaws and resolutions of said Corporations as set forth in said Power of Attorney, with the ORIGINALS ON FILE IN THE HOME OFFICE OF SAID CORPORATIONS, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.

In testimony whereof I have hereunto subscribed my name and affixed the corporate seal of the said Corporations
this 15th day of October 2024.



By: *Mary A. Bertsch*

Assistant Secretary,
UF&C & UF&I & FPIC

MAYOR LINDA GORTON



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #1

Bid Number: **#131-2024**

Date: September 24 2024

Subject: Town Branch & West Hickman WWTP UV Disinfection Process Replacement Project

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. Please see the attached information.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Herrick Company, Inc.

ADDRESS: 780 Enterprise Dr, Lexington, KY 40510

SIGNATURE OF BIDDER: 

Cody M. Lokits



MAYOR LINDA GORTON



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #2

Bid Number: **#131-2024**

Date: October 2, 2024

Subject: Town Branch & West Hickman WWTP UV Disinfection Process Replacement Project

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. Please see the attached information.
2. Geotechnical and original plant drawings are available to download at Lynn Imaging.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Herrick Company, Inc.

ADDRESS: 780 Enterprise Dr, Lexington, KY 40510

SIGNATURE OF BIDDER: _____

Cody M. Lokits



MAYOR LINDA GORTON



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #3

Bid Number: **#131-2024**

Date: October 8, 2024

Subject: Town Branch & West Hickman WWTP UV Disinfection Process Replacement Project

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. Please see the attached information.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Herrick Company, Inc.

ADDRESS: 780 Enterprise Dr, Lexington, KY 40510

SIGNATURE OF BIDDER:

Cody M. Lokits



Herrick Company, Inc.
780 Enterprise Dr
Lexington, KY 40510
502-839-3484
502-839-0939 Fax

Bid: TB & WH WWTPs UV Process Replacement

Date: October 15, 2024

Required Attachment: Bidders Qualification Statement, #5

- 35-ton Grove RT Crane
- 15-ton Boom Truck
- 50-ton P&H Truck Crane
- CAT D5 Dozer
- CAT 953 Loader
- CAT 315 Excavator
- CAT 323 Excavator
- CAT 416 Backhoe
- CAT 420 Backhoe
- CAT 420E Backhoe
- CAT 302.5 Mini-Excavator
- Hyundai R35ZCR Mini-Excavator
- Hyundai Robex 80CR Midsize Excavator
- JLG 450AJ 45' Articulating Boom Man Lift
- Ingersol Rand VR 70B Tele-Handler Fork Lift
- Bobcat Skid Steer Loader
- Bobcat Track Skid Steer Loader
- CAT 279 Skid Steer Loader
- International Flat Bed Dump
- (2) 185 Air Compressors
- 6" Diesel Pump
- Two (2) Kundel 8' x 20' trench boxes
- (11) pickup trucks
- Miscellaneous small equipment and tools

Purchase dates vary. All equipment in good condition with above-average values.

Bidder Qualifications, SCH A - Contracts on Hand Bonded
As of 7/22/24

Herrick Company, Inc.
780 Enterprise Dr
Lexington, KY 40510

Project Name	Owner's Contact Person	Design Engineer	Contract Date	Type of Work	Status	Cost of Work
Water System Improvements, Contract 12 Sandy Hook, KY – Water Treatment Plant	Sandy Hook Water Dist., Kevin Winkelman, 606-738-6282, 474 Howards Creek Rd, Sandy Hook, KY	Bluegrass Engineering, Bryan Lovan, 859-351-1714, 222 E Main St, Georgetown, KY	22-Jun	WTP Renovation	In Process	\$7,150,000
Pirtle Springs WTP Filter Media Replacement Cecilia, KY – Water Treatment Plant	Hardin County Water District No. 1	HCWD No. 1, Daniel Clifford, 1400 Rogersville Road, Radcliff, KY (270-351-3222)	22-Dec	WTP Renovation	Complete, Pending Final Payment	\$2,157,500
Dry Run Influent Pump Station Shelbyville, KY – Wastewater Treatment Plant	Shelbyville Municipal Water & Sewer Commission	HDR Engineering, Inc., Reese Walton, 401 W Main Street, Louisville, KY	22-Oct	WWTP Renovation	In Process	\$4,299,800
Westside WWTP Clarifier No. 3 Madisonville, KY – Wastewater Treatment Plant	City of Madisonville, KY, 67 N. Main Street, Madisonville, KY	Eclipse Engineers, PLLC, Alan Robinson, 113 W Mt. Vernon St., Somerset, KY (606-451-0959)	22-Dec	WWTP Renovation	In Process	\$2,911,812
W. Hickman WWTP RAS/WAS Pump Station Improvements LFUCG, KY – Wastewater Treatment Plant	LFUCG, Emily Epperson, 301 Jimmie Campbell Drive, Lexington, KY (859-425-2406)	Strand Associates, Inc., Mike Davis, 1525 Bull Lea Road, Ste. 100, Lexington, KY (859-225-8500)	23-Mar	WTP Renovation	In Process	\$2,690,200
Contract 7 – WTP Improvements Lebanon, KY – Water Treatment Plant	Lebanon Water Works Company, 1205 Proctor Knott Avenue, Lebanon, KY	KY Engineering Group, Ryan Carr, 101 High Street, Versailles, KY (859-251-4127)	23-Apr	WTP Renovation	Complete, Pending Final Payment	\$891,098
Lawrenceburg WWTP Clarifier City of Lawrenceburg, KY -Wastewater Treatment Plant	City of Lawrenceburg, KY, 100 North Main Street Lawrenceburg, KY 40342 (502-839-5372)	N/A	23-May	WWTP Renovation	Complete, Pending Final Payment	\$113,000
Water Treatment Plant Improvements: Filters No. 1 through No. 8 Renovations & Sedimentation Basin No. 5 Rehabilitation City of Campbellsville - Water Treatment Plant	City of Campbellsville, Neil Graham, 110 South Columbia Avenue Campbellsville, KY 42718	Monarch Engineering, Inc. Deron Byrne, 556 Carlton Dr. Lawrenceburg, KY 40342	23-Jun	WTP Renovation	In Process	\$1,170,055
CSWR Kentucky - CPCN Wastewater Treatment Plants - North Kentucky	CSWR Kentucky C/O Keeley Construction Group, Matt Pfaff, 500 S Ewing Suite G, St. Louis MO 63103 (573-535-1008)	21 Design Group, Inc.	23-May	WWTP Renovation	In Process	\$1,463,349
Wastewater Treatment Plant Improvements - City of Lebanon, KY	City of Lebanon, 240 W Main St. Lebanon, KY 40033 Corey Benedict, cbenedict@lebanonky.org	Gresham Smith, 222 Second Avenue South, Suite 1400, Nashville, TN 37201-2308	23-Jul	WWTP Renovation	In Process	\$3,500,000
Water Treatment Plant Filter Improvements - City of Brandenburg, KY	City of Brandenburg, 737 High Street, Brandenburg, KY 40108 TJ Hughes TJHughes@brandenburgcity.com	GRW Engineers, Inc. 801 Corporate Drive, Lexington, KY 40503	23-Jun	WTP Renovation	Complete, Pending Final Payment	\$313,100
Picadome Pump Station Upgrades - LFUCG Sewer Pump Station	LFUCG, Division of Water Quality, Craig Prator, Lexington, KY	Strand Associates, Inc., Mike Davis, 1525 Bull Lea Road, Ste. 100, Lexington, KY (859-225-8500)	23-Jul	Sewer Pump Station Renovation	Complete, Pending Final Payment	\$339,000
Project Name	Owner's Contact Person	Design Engineer	Contract Date	Type of Work	Status	Cost of Work

Bidder Qualifications, SCH A - Contracts on Hand Bonded
As of 7/22/24

Herrick Company, Inc.
780 Enterprise Dr
Lexington, KY 40510

Wastewater Treatment Plant UV Disinfection System Replacement- City of Kevil, KY	City of Kevil, 1009 Clyde M Elrod Avenue, Kevil, KY 42053	Klingner & Associates, P.C. 2150 West Main Street Carbondale, IL 62901	Pending	WWTP Renovation	Pending Contract	\$109,500
Spears Creek Pump Station Improvements, Danville, KY - City of Danville	City of Danville, 445 W. Main Street Danville, KY 40422	HDR Engineering, Inc., Rich Smith, 401 W Main Street, Louisville, KY (859-629-4844)	23-Dec	Sewer Pump Station Renovation	In Process	\$2,552,000
City of Jackson Water Treatment Plant Improvements	City of Jackson, 333 Broadway Jackson, KY 41339 Laura Thomas (606-666-7069)	Nesbitt Engineering, Inc. 227 North Upper Street Lexington, KY 40507-1016, Mike Wilmoth (859-338-0840)	23-Dec	WTP Renovation	In Process	\$2,395,000
Contract 223 - Byrdstown Settling and Backwash Basin - Town of Byrdstown	Town of Byrdstown, 109 West Main Street, Byrdstown, TN 38549	James C. Hailey & Company 1619 Galleria Boulevard Brentwood, TN 37027-2926, Nathaniel Green (615-883-4933)	24-Jan	WTP Renovation	In Process	\$3,864,000
Muddy Creek Booster Pump Station Rehabilitation and Zone Metering Project - East Clark County Water District	East Clark County Water District, 118 Hopkins Lane, Winchester, KY 40391	Bell Engineering, 2480 Fortune Dr Suite 350, Lexington, KY 40509 (859-278-5412) Michael Lile	23-Oct	BPS Renovation	In Process	\$536,000
Raw Water Intake Valves No. 3&4 Replacement Raw Water - City of Nicholasville WTP	City of Nicholasville 517 N. Main Street Nicholasville, KY 40356	GRW Engineers, Inc. 801 Corporate Drive, Lexington, KY 40503 Mike Jacobs (859-552-0406)	23-Dec	WTP Renovation	Complete, Pending Final Payment	\$99,650
Wastewater Treatment Plant Improvements - Morgantown Utilities Commission	Morgantown Utilities Commission 1308 South Main Street Morgantown, KY 42261 Randell Gaskey (270-526-3623)	Kentucky Engineering Group, PLLC P.O. Box 1034 Versailles, KY 40383 Robert Taylor (859-251-4127)	24-Feb	WWTP Renovation	In Process	\$202,000
Water Treatment Plant & Booster Pump Station Improvements - City of Cynthiana	City of Cynthiana 141 E Pike St Cynthiana, KY 41031	Kentucky Engineering Group, PLLC P.O. Box 1034 Versailles, KY 40383	Pending	WTP Renovation	In Process	\$2,530,000
Wastewater Treatment Plant #2 UV Disinfection Upgrades and Modifications - Georgetown Municipal Water and Sewer Service	Georgetown Municipal Water and Sewer Service 1000 W Main St. Georgetown, KY 40324	Kentucky Engineering Group, PLLC P.O. Box 1034 Versailles, KY 40383 Ryan Carr (859-251-4127)	24-Jan	WWTP Renovation	In Process	\$644,000
Water Treatment Plant Filter Isolation Valve Replacement Project	Frankfort Water and Electric Plant Board	Kentucky Engineering Group, PLLC P.O. Box 1034 Versailles, KY 40383 Ryan Carr (859-251-4127)	24-Apr	WTP Renovation	In Process	\$2,447,000

<u>Sandy Hook WTP</u>	\$ 6,340,000.00	
Koehring	\$ 172,840.00	2.7%
McKinney Painting	\$ 149,000.00	2.4%
Mills Supply	\$ 17,500.00	0.3%
M&M Mfg.	\$ 47,963.00	0.8%
<u>HCWD1 Pirtle Spring WTP, etc.</u>	\$ 2,157,500.00	
Metro Fence	\$ 11,754.00	0.5%
M&M Mfg.	\$ 122,191.00	5.7%
Mills Supply	\$ 382.00	0.0%
<u>Madisonville Westside WWTP</u>	\$ 2,888,000.00	
McKinney Painting	\$ 62,500.00	2.2%
<u>Shelbyville Dry Run IPS</u>	\$ 4,299,800.00	
McKinney Painting	\$ 144,900.00	3.4%
M&M Mfg.	\$ 18,614.00	0.4%
<u>W. Hickman WWTP WAS/RAS PS</u>	\$ 2,690,200.00	
McKinney Painting	\$ 24,900.00	0.9%
M&M Mfg.	\$ 3,139.00	0.1%
<u>Campbellsville WTP Improv.</u>	\$ 1,170,055.00	
McKinney Painting	\$ 22,400.00	1.9%
<u>Lebanon WWTP Improv.</u>	\$ 3,500,000.00	
McKinney Painting	\$ 44,900.00	1.3%
Mills Supply	\$ 10,345.00	0.3%
<u>Brandenburg WTP Filters</u>	\$ 313,100.00	
McKinney Painting	\$ 4,900.00	1.6%
<u>Spears Creek PS</u>	\$ 2,552,000.00	
M&M Mfg.	\$ 62,553.00	2.5%
McKinney Painting	\$ 64,900.00	2.5%
Mills	\$ 2,000.00	0.1%
Metro Fence	\$ 20,933.00	0.8%
<u>Jackson WTP Improv.</u>	\$ 2,395,000.00	
M&M Mfg.	\$ 5,600.00	0.2%
Mills Supply	\$ 1,500.00	0.1%
<u>Byrdstown, TN Settling Basins</u>	\$ 3,864,000.00	
Mills Supply	\$ 221,400.00	5.7%
McKinney Painting	\$ 18,530.00	0.5%
<u>Muddy Creek BPS</u>	\$ 536,000.00	
Mills Supply	\$ 400.00	0.1%
<u>Cynthiana WTP & BPS Improv.</u>	\$ 2,530,000.00	
Mills Supply	\$ 2,000.00	0.1%
McKinney Painting	\$ 32,900.00	130.0%

HERRICK COMPANY, INC.

5-year Project History

PROJECT NAME / Type of Work	Owner / Contact Info	Engineer	Status: Complete	Contract Amount
Campbellsville WTP Valve Replacement	City of Campbellsville, 110 S. Columbia Ave., Campbellsville, KY 42718	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2022	\$403,704.00
Campbellsville WWTP Influent Meter	City of Campbellsville, 110 S. Columbia Ave., Campbellsville, KY 42718	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2022	\$416,783.00
Hazard Water and Wastewater Treatment Plant Improve	Harshaw Trane Hazard, KY	Bell Engineering 2480 Fortune Dr. Suite 350 Lexington, KY 40509	2022	\$142,894.00
HWEA Moss Gate and Hatch	Hopkinsville Water Environment Authority 401 East Ninth Street Hopkinsville, KY 42240	NA	2022	\$58,100.00
Lawrenceburg WTP Sodium Hypo Nov 2021	City of Lawrenceburg, Monty Rhody, 100 N Main St, Lawrenceburg, KY (502-839-5372)	NA	2022	\$339,700.00
Lebanon WWTP Ditch 10-2021	Lebanon WWTP 100 Clearwater Dr Lebanon KY 40033	NA	2022	\$122,000.00
Muldraugh WTP Improvements	Hardin County Water District 1400 Rogersville Road Radcliff, KY 40160	Stantec Consulting Services Inc. 3052 Beaumont Centre Circle Lexington, KY 40513	2022	\$692,565.00
Town Branch WWTP Influent Gate 3-2022	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2022	\$91,250.00
West Hickman WWTP Strainer 1-2022	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2022	\$57,935.00
Campbellsville WTP Contract 1 - Improvements/Modifications	City of Campbellsville, 110 S. Columbia Ave., Campbellsville, KY 42718	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2021	\$6,042,010.56
City Springs WTP - Building new pump station and installing new equipment	Hardin County Water District #2, 360 Ring Rd, Elizabethtown, KY 42701, 270-737-1056	Kenviron, Inc., Frankfort, KY 40601, 502-695-4357	2021	\$1,514,771.00
Everts WTP Refurbish - Replacing flocculation systems and pumps	City of Everts, Everts KY 40828	Kenviron, Inc., Frankfort, KY 40601, 502-695-4357	2021	\$209,853.00
Henry County Media #2 - Remove and install media	Henry County Water District, Campbellsville, KY 40011, Keith Morris 502-777-4591	No engineer involved	2021	\$60,500.00
Hopkinsville WTP Filters - Replace support beams in filters	Hopkinsville Water Environmental Authority, Hopkinsville, KY 42240	Owner - Internal engineers involved	2021	\$49,900.00
Lewisport WWTP Improvements - Constructing new piping	City of Lewisport, 350 Carolina St, Lewisport, KY 42351	Bluegrass Engineering, Georgetown, KY 40324 - Bryan Lovan 859-351-1714, Matt Curtis 502-370-6551	2021	\$95,350.00
Town Branch Chlorine Catwalk Project	Lexington-Fayette Urban County Government, Lexington, KY 40507, Brian Marcum 859-258-3325	Owner - Internal engineers involved	2021	\$74,350.00
Town Branch Secondary Digesters - Improvements, replacing and installing pumps	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2021	\$1,462,578.07
Town Branch/West Hickman Eyewash - Eyewash replacement	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2021	\$473,590.00
Creation Museum WWTP - New pump station	Creation Museum - Tom Van Huss, Petersburg, KY 41080, 888-582-4253	Owner - Contractor design-build	2020	\$51,000
Edmonton Dam Spillway - Grout	City of Edmonton, KY 42129, 270-432-2811	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2020	\$39,500
Frankfort Sludge Valve Replacement WTP	Frankfort Plant Board, 305 Hickory Dr, Frankfort, KY 40601, 502-352-4372	Owner - Internal engineers involved	2020	\$82,151
Frankfort WWTP Splitter Box - Replace splitter box and slide gates	City of Frankfort, KY 40601, 502-875-2448	Owner - Contractor design-build	2020	\$32,750
Fort Knox Clarifiers WWTP - Replace mechanisms and clarifier	Hardin County Water District - Daniel Clifford, Radcliff, KY 40160, 207-737-1056	Owner - Internal engineers involved	2020	\$110,500
Lebanon Rotors WWTP - Oxidation ditch rotor replacements	Lebanon Water Works Co., Lebanon, KY 40033, 270-692-2491	Owner - Internal engineers involved	2020	\$34,730
Max Rhodes WWTP UV channels	Regional Water Resource Agency - Victor Cernus, 2101 Grimes Ave, Owensboro, KY 42303, 270-687-8450	Strand Associates - Mark Sneve, Louisville, KY 40202, 502-583-7020	2020	\$360,870
McCreary WWTP Chemical Room - Replace pumps and tanks	McCreary County Water District, 456 North Hwy 27, Whitley City, KY 42653, 606-376-2540	KY Engineering Group - Ryan Carr, Versailles, KY 40383, 859-251-4127	2020	\$277,567
Midway WWTP Miscellaneous Repairs	City of Midway, KY 40347, 859-846-4413	Contract design-build	2020	\$76,351
Morehead WWTP Blower - Replace blowers	Morehead Utility Plant Board, - Holly McGrath-Rosas 135 S Wilson Ave, Morehead, KY 40351, 606-784-5538	Eclipse Engineers - Alan Robinson, PE, Somerset, KY 42501, 606-451-0959	2020	\$315,500
West Kickman Scum WWTP - Pipes and valves Installation	LFUCG Division of Water Quality, 125 Lisle Industrial Ave, Lexington, KY 40511, 859-425-2400	Strand Associates - Mike Davis, Lexington, KY 40511, 859-225-8500	2020	\$1,486,537
Big Spring Parks Aerial Sewer Pier Replacement - WWTP Pier Replacement	City of Versailles, KY - Mitzi Delius, 859-573-5436	GRW Engineers, Inc. - Mike Jacobs, 859-223-3999	2019	\$186,750
Buffalo Trace Mixer / Install gear box on floating aerator	Buffalo Trace Distillery, Frankfort, KY - B. Sauders or Travis Hammond, 502-696-6942	Contractor design-build	2019	\$58,400
KY Horse Park PS Generator: Replace pump stations generator	LFUCG Division of Water Quality - Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Hazen & Sawyer - Kurt Zehnher, Lexington, KY, 859-219-1126	2019	\$145,525
WWTP Improvements / Rotors & screw pumps	City of Lebanon, KY - Eddie Masterson, 270-692-6272	Contractor design-build	2019	\$84,721
Lincoln Trail Lift Station Rehabilitation Project: WTP Improvements	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Bell Engineering, Inc. - David Schrader, 859-278-5412	2019	\$784,890

HERRICK COMPANY, INC.

5-year Project History

PROJECT NAME / Type of Work	Owner / Contact Info	Engineer	Status: Complete	Contract Amount
West Hickman WWTP Blower / Wastewater Treatment	LFUCG Division of Water Quality, Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Internal engineers involved	2019	\$95,000
WWTP Improvements: Chemical Feed & Phosphorous Removal	City of Hardinsburg, KY - David Wakefield, 270-756-6719	KY Engineering Group, LLC - Ryan Carr, Versailles, KY, 859-251-4127	2018	\$1,930,382
Water Treatment Plant Valve Replacement - Valves	Wood Creek Water District - Robert Doan, 606-843-7113	Kenvirons, Inc. - Vaughn Williams, 502-695-4357	2018	\$111,250
W Hickman WWTP Zone 2 Aeration - Aeration System Improvements	LFUCG Division of Water Quality, Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Internal engineers involved	2018	\$531,400
Redstone Pump Station - Replace 3 Pump Stations & 1 New Force Main	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Internal engineers involved	2018	\$293,030
Water Treatment Plant Modifications - HSPS Valves Replacement, et al	Lebanon Water Works Company - Daren Thompson, 270-692-2491	KY Engineering Group, LLC - Jim Thompson, Versailles, KY, 859-251-4127	2018	\$174,250
WWTP Generator & SCADA System / Non-Potable Water System - Replace Generator, Install SCADA, etc.	City of Frankfort Sewer Department, Kenny Hogsten, 1200 KY Avenue, Frankfort, KY, 502-875-2448	HMB Professional Engineers, Ray Bascom, 3 HMB Circle, Frankfort, KY, 502-695-9800	2018	\$1,909,660
Frankfort WWTP Clarifiers - Clarifier Rehab	City of Frankfort Sewer Department, Kenny Hogsten, 1200 KY Avenue, Frankfort, KY, 502-875-2448	Internal engineers involved	2018	\$186,500
Scottsville WWTP Miscellaneous Modifications - Modifications to Existing Grit Chamber, et al	City of Scottsville, KY - City Clerk Gayle Davis, 270-237-3238	GRW - Adalyn Haney, Louisville KY, 502-489-8484	2018	\$193,714
Campbellsville WTP Improvements - High Service Pump No. 2 Replacement	City of Campbellsville, KY - Mayor Tony Young, 270-465-7011	Monarch Engineering, Inc., Lawrenceburg, KY, 502-839-1310	2017	\$242,002
Frankfort WWTP Septage Receiving Station	Frankfort Sewer Department - Kenny Hogsten, 502-875-2448	Strand Associates, Inc. - John Herriford, Louisville, KY, 502-583-7020	2017	\$69,700
Water Treatment Plant Improvements - Contract 2	Cave Run Water Commission - Edward Bryant, 606-768-6665	KY Engineering Group, LLC - Bryan Lovan, Versailles, KY, 859-251-4127	2017	\$563,813
GMWSS No. 1 Digester Sludge Pump Replacement	GMWSS - Brad Parrent, C5- 859-509-5673	KY Engineering Group, LLC - Bryan Lovan, Versailles, KY, 859-251-4127	2017	\$232,250
Radcliff WWTP Mechanical Bar Screen	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Hardin County Water District No. 1, Jim Boyken, PE, 1400 Rogersville Road, Radcliff, KY 270-352-3222	2017	\$227,700
Radcliff WWTP Ultraviolet Disinfection System	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Hardin County Water District No. 1, Jim Boyken, PE, 1400 Rogersville Road, Radcliff, KY 270-352-3222	2017	\$404,400
Bardstown Tricking Filter Media - Water Treatment	City of Bardstown, KY - Mark Hufford, North Finn Street, Bardstown 502-348-6723	Owner/Engineer - Jessica Filiatreau, PE, Bardstown, KY 502-348-5947	2016	\$39,650
McCreary WTP - Phase No. 2 / Water Treatment	McCreary County Water District, Raymond Taylor, 19 Crit King Road, Whitley City, KY, 606-376-2545	Monarch Engineering, Inc., Deron Byrne, Lawrenceburg, KY, 502-839-1310	2016	\$1,544,274
Campbellsville WWTP Chemical Feed System / Wastewater Treatment	City of Campbellsville, KY - Mayor Tony Young, 270-465-7011	Monarch Engineering, Inc., Lawrenceburg, KY, 502-839-1310	2016	\$776,846
Contract 1 - WWTP Improvements & Sludge Conditioning System Upgrade / Wastewater Treatment	Columbia/Adair County Utilities District - Rudy Higginbotham, 270-384-2181	Monarch Engineering, Inc., Lawrenceburg, KY, 502-839-1310	2016	\$1,904,309
Fort Knox Wastewater Treatment Plant De-Gritter & Conveyor Replacement / Wastewater Treatment	Hardin County Water District No. 1, Daniel Clifford, 1400 Rogersville Road, Radcliff, KY 270-351-3222	Hardin County Water District No. 1, Jim Boyken, PE, 1400 Rogersville Road, Radcliff, KY 270-352-3222	2016	\$98,500
KAWC Millersburg Booster Station / Water Treatment	KY American Water Company - Adam Tilley, Project Manager, 2300 Richmond Road, Lexington, KY, 859-335-3416	Owner/Engineer - Adam Tilly	2016	\$114,250
KAWC Owenton Ultraviolet System / Wastewater Treatment	KY American Water Company - Cole Mitcham, Project Manager, 2300 Richmond Road, Lexington, KY, 859-335-3415	Owner/Engineer - Cole Mitcham	2016	\$133,025
Liberty WWTP Oxidation Ditch Repairs / Wastewater Treatment	City of Liberty, Mayor Steven Brown, 518 Middleburg Street, Liberty, KY 42359	Owner/Engineer	2016	\$109,650
KAWC Millersburg WTP Carbon / Water Treatment	KY American Water Company - Adam Tilley, Project Manager, 2300 Richmond Road, Lexington, KY, 859-335-3416	Owner/Engineer - Internal engineer involved (Adam Tilly)	2016	\$94,969
Paris - Lexington Road Pump Station / Wastewater Treatment	City of Paris - Patrick Harney, Superintendent, 6500 Bypass Road, Paris, KY, 859-987-2116	Owner/Engineer - Patrick Harney	2016	\$303,624
Redstone Arsenal Pump Station / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2016	\$161,450
Burnside WTP Improvements / Water Treatment	City of Burnside, 7929 S Hwy. 27, Burnside, KY, 606-561-4113	HMB Professional Engineers, Jeff Reynolds, 3 HMB Circle, Frankfort, KY, 502-695-9800	2015	\$704,778
CSX Paris Sanitary Sewer Repair / Wastewater Treatment	CSX Transportation, Inc, Levi Hudlow, Sr. Design Engineer, 500 Water Street, Jacksonville, FL, 904-366-4160	Amec Foster Wheeler Environment & Infrastructure, Inc., Randy K. Zeiger, PE, 3600 Ezell Road, Nashville, TN, 615-333-0630	2015	\$226,794
Frankfort Vactor Disposal Facility / Wastewater Treatment	City of Frankfort Sewer Department, Adam Lanning, 1200 KY Avenue, Frankfort, KY, 502-875-2448	Jacobi, Toombs & Lanz, Inc., Mark Lacy, EIT, 1400 S 1st Street, Louisville, KY, 502-583-5994	2015	\$209,735
Hardin County WTP Aqua Ammonia & Bulk Carbon Feed Systems / Water Treatment	Hardin County Water District No. 2, Michael Bell, 360 Ring Road, Elizabethtown, KY 270-737-1056	Kenvirons, Inc., Vaughn William, PE, Frankfort, KY	2015	\$1,202,063

HERRICK COMPANY, INC.

5-year Project History

PROJECT NAME / Type of Work	Owner / Contact Info	Engineer	Status: Complete	Contract Amount
Middlesboro WTP Facility Improvements / Water Treatment	Water Service Corporation of KY, James Leonard, PO Box 818, Middlesboro, KY 606-248-236	Bell Engineering, Michael Lile, EIT, 2480 Fortune Drive, Lexington, KY, 859-276-5412	2015	\$325,510
Paris Train Wreck Emergency / Wastewater Treatment	City of Paris - Patrick Harney, Superintendent, 6500 Bypass Road, Paris, KY, 859-987-2116	Owner/Engineer - Patrick Harney	2015	\$380,941
Bardstown WWTP Sludge Pump Repairs / Wastewater	City of Bardstown, KY - Mark Hufford, North Finn Street, Bardstown 502-348-6723	Owner/Engineer - Jessica Fillatreau, PE, Bardstown, KY 502-348-5947	2014	\$59,592
Campbellsville High Service Pump / Water Treatment	City of Campbellsville, KY - Mayor Tony Young, 270-465-7011	Monarch Engineering, Inc., Lawrenceburg, KY, 502-839-1310	2014	\$296,164
Jenkins WTP Improvements / Water Treatment	City of Jenkins, Todd?, 9409 KY Hwy 805, Jenkins, KY, 606-832-2141	Nesbitt Engineering, Inc., Steve Vogelsberg, 227 N Upper Street, Lexington, KY, 859-685-4528	2014	\$410,633
KAWC Ammonia Project / Water Treatment	KY American Water Company - Zachary Dukes, PE, 2300 Richmond Road, Lexington, KY, 859-335-3416	Owner/Engineer - Zachary Dukes, Justin Sensabaugh	2014	\$153,974
KAWC Chemical Scrubber Project / Water Treatment	KY American Water Company - Zachary Dukes, PE, 2300 Richmond Road, Lexington, KY, 859-335-3416	Owner/Engineer - Zachary Dukes, Justin Sensabaugh	2014	\$91,951
Redstone WWTP Pump Station / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2014	\$348,865
Redstone UV Installation / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2014	\$85,806
Columbia WTP Improvements / Water Treatment	Columbia/Adair County Utilities District - Rudy Higginbotham, 270-384-2181	Monarch Engineering, Inc., David Bowles, 556 Carlton Drive, Lawrenceburg, KY, 502-839-1310	2013	\$2,610,995
Cynthiana WTP Filter Improvements / Water Treatment	Cynthiana City Water Department, Gary Royalty, 104 E Pleasant Street, Cynthiana, KY, 859-954-1447	Owner - Gary Royalty	2013	\$57,836
Drakesboro WWTP Replacement of Return Sludge Pump / Wastewater Treatment	City of Drakesboro, Philip ?, 212 Mose Rager Blvd., Drakesboro, KY, 270-476-8986	GRW Engineers, Inc., Louis Robbins, PE, 404 BNA Drive, Nashville, TN, 615-366-1600	2013	\$83,144
Frankfort WTP Sludge Collection / Water Treatment	Frankfort Plant Board, David Billings, 305 Hickory Avenue, Frankfort, KY, 502-352-4468	Owner - Sharmista Dutta, PE	2013	\$244,490
EC McManis WWTP Grit Chamber Modifications / Wastewater Treatment	Frankfort WWTP, Bob Peterson, 1200 KY Avenue, Frankfort, KY, 502-875-2448	GRW Engineers, Inc., John Martin, 801 Corporate Drive, Lexington, KY, 859-223-3999	2013	\$83,657
McCreary WTP No. 1 Improvements / Water Treatment	McCreary County Water District, Raymond Taylor, 19 Crit King Road, Whitley City, KY, 606-376-2545	Monarch Engineering, Inc., David Bowles, 556 Carlton Drive, Lawrenceburg, KY, 502-839-1310	2013	\$2,216,879
Redstone Hansen / Thickol PS / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2013	\$445,699
West Hickman Gravity Thickener / Wastewater Treatment	LFUCG Division of Water Quality, Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Strand Associates, Inc., Mike Davis, 1525 Bull Lea Road, Lexington, KY, 859-225-8501	2013	\$244,650
Barbourville WWTP Improvements / Wastewater Treatment	Barbourville Utility Commission, 202 Daniel Drive, Barbourville, KY	Vaughn & Melton Consulting Engineers, Inc., Mitchell Brunsma, PE, 109 S 24th Street, Middlesboro, KY, 606-248-6600	2012	\$384,690
Frankfort High Service PS MCC Replacement / Water Treatment	Frankfort Plant Board, David Billings, 305 Hickory Avenue, Frankfort, KY, 502-352-4468	GRW Engineers, Inc., Monty Maynard, PM, 801 Corporate Drive, Lexington, KY, 859-223-3999	2012	\$1,153,607
Delaplain WWTP Air Header / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2012	\$51,482
Frankfort WWTP Oxidation Ditch / Wastewater Treatment	Frankfort Sewer Department, William Scalf, PE, 1200 Kentucky Avenue, Frankfort, KY, 502-875-2448	HIM Professional Engineers, Inc., Ray Bascom, 3 HMB Circle, Frankfort, KY, 502-695-9800	2012	\$386,052
Hardin County Raw Water Intake Screen / Water Treatment	Hardin County Water District No. 2, Michael Bell, 360 Ring Road, Elizabethtown, KY 270-737-1056	Kenvirons, Inc., Vaughn William, PE, Frankfort, KY, 502-695-4357	2012	\$279,480
Redstone WWTP NASA/Hanson Grinders / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2012	\$85,900
Redstone WWTP Sludge Building / Wastewater Treatment	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Owner/Engineer - E.C. Ray	2012	\$142,250
Winchester - Ecton Road Pump Station / Water Treatment	East Clark County Water District, PO Box 112, Winchester, KY	Bell Engineering, Mike Williams, 2480 Fortune Drive, Lexington, KY, 859-278-5412	2012	\$131,004

HERRICK COMPANY, INC.
BID: TB & WH WWTPs UV Process Replacement
Key ADMINISTRATIVE Personnel: Statement of Experience

Cody M. Lokits, PE - President/CEO, Safety Director, QC Manager

HCI Start Date: 2/8/22 (Years in WTP/WWTP industry - 16)

Experience: Project Engineer - Ulliman Schutte Construction (3yrs), Senior Project Manager - 3D Enterprises (7yrs), Executive VP - The Walker Company (2yrs), President/CEO - HCI

Responsibilities: BS in Civil Engineering, Professional Engineering License (KY)

Responsible for the overall safety, quality control, supervision, and success of the company. Responsibilities include fostering safety culture, cost management of corporate & project budgets, supervision of labor force and capital equipment requirements, project management, implementation of strategic plans, and the overall administration of the company along with direct coordination and collaboration with consultants and owners.

H. Douglas Herrick

HCI Start Date: 01/1996 (Years in WTP/WWTP industry - 48)

Experience: Superintendent - London Bridge, Superintendent - Building Crafts, President - HCI (29 yrs)

Responsibilities: Prepares estimates and bids, performs quality control, field supervision, and safety reviews for individual projects and the company as a whole. Manages supervisors and personnel. Ensures project equipment needs are met and assists in the day-to-day operations of HCI in all aspects of construction, management, and operations.

Ryan P. Gabbard - Project Manager

HCI Start Date: 12/30/00 (Years in WTP/WWTP industry - 24)

Experience: Laborer, rebar foreman, layout, carpentry, tower construction, supervisory, project manager

Responsibilities: Oversees the building process through review of submittal documents, communicates with the Owner/Engineer, vendors, and subcontractors for planning and management purposes. Manages deadlines, budgets, and issues throughout the construction process. Provides supports for estimating and bidding. Develops and implements work plans with the Site Supervisor. Ensures timely delivery of materials and equipment to meet the needs of the project.

Reno R. Dell'Acqua - Project Manager

HCI Start Date: 5/25/22 (Years in WTP/WWTP industry - 10)

Experience: WTP Operator, Carpentry, Mechanical Installations, Plumbing, Welding, Concrete finishing

Responsibilities: Oversees the building process through review of submittal documents, communicates with the Owner/Engineer, vendors, and subcontractors for planning and management purposes. Manages deadlines, budgets, and issues throughout the construction process. Provides supports for estimating and bidding. Develops and implements work plans with the Site Supervisor. Ensures timely delivery of materials and equipment to meet the needs of the project.

HERRICK COMPANY, INC.
BID: TB & WH WWTPs UV Process Replacement
Key ADMINISTRATIVE Personnel: Statement of Experience

Kayla Lokits - Controller

HCI Start Date: 06/2023 (Years in Accounting/Finance - 14)

Responsibilities: Manages all financial aspects of the company. Assists in the preparation of Bid Documents, Statement of Qualifications, etc. Performs Human Resources functions for employee on-boarding, benefits, etc. Manages Accounts Payables Processes and insures on-time and accurate payment of vendors/subs. Manages Accounts Receivables Processes and insures on-time and accurate payment to HCI. Manages month-end close and provides financial reporting for use in monthly/quarterly financial statements. Prepares and provides monthly/quarterly financial statements to stakeholders for review and discussion. Manages project cost reporting to President for review with project management team.

Donna S. Herrick

HCI Start Date: 01/1996 (Years in WTP/WWTP industry - 29)

Experience: Management, cost-tracking, asset acquisition, budgeting, financial planning, risk management and control, project analysis, corporate taxes, human resources and payroll, new business start-ups

Responsibilities: Assists with the preparation of corporate finance reporting, tax preparation and reporting, accounting software maintenance and implementation, banking, and overall financial management of the company.

Sabrina K. Taylor - Office Manager / Payroll Administrator

HCI Start Date: 10/09/06 (Years in WTP/WWTP industry - 18)

Responsibilities: Oversees proper input, approval, and payment of vendor/sub invoices. Payroll/AP/AR Communicates with Controller to improve processes and procedures to streamline accounting functions. Oversees payroll processing, certified payroll, and proper project cost allocations. Performs project set-up in accounting system and assists in establishing SOV. Manages Construction Equipment weekly hours and vehicle mileage reporting. Inputs Construction Equipment charges Assists in preparing monthly progress billings and ensures payment. Ensures vendor/sub payments are accurate and cost coded accordingly. Tracks project revenue and change orders within accounting system. Assists in Human Resources functions and cost tracking. Performs employee on-boarding.

HERRICK COMPANY, INC.
BID: TB & WH WWTP UV Process Replacement
Key FIELD SUPERVISION Personnel : Statement of Experience

Chad Holbrook - Superintendent

HCI Start Date: 01/2018 (Years in WTP/WWTP industry - 20)

Experience: Carpenter/Foreman - Judy Construction (14 yrs), Superintendent - HCI (6yrs)

Responsibilities: Assists with determination of manpower needs for a project. Establishes daily, weekly, and monthly production goals. Coordinates, directs, and monitors the activities of subcontractors. Creates and manages two-week look aheads. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts and prepares weekly coordination meetings. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Manages the overall schedule of the project with assistance from Project Managers. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

Bill Robinson - Superintendent

HCI Start Date: 07/2022 (Years in WTP/WWTP industry - 47)

Experience: Carpenter/Superintendent - Judy Construction (45 yrs), Superintendent - HCI (2 yrs)

Responsibilities: Assists with determination of manpower needs for a project. Establishes daily, weekly, and monthly production goals. Coordinates, directs, and monitors the activities of subcontractors. Creates and manages two-week look aheads. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts and prepares weekly coordination meetings. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Manages the overall schedule of the project with assistance from Project Managers. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

David Webb - Superintendent

HCI Start Date: 03/2023 (Years in WTP/WWTP industry - 20)

Experience: Working Foreman - 3D Enterprises (7 yrs), Foreman - W&O (2 yrs), Superintendent - HCI (2 yrs)

Responsibilities: Assists with determination of manpower needs for a project. Establishes daily, weekly, and monthly production goals. Coordinates, directs, and monitors the activities of subcontractors. Creates and manages two-week look aheads. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts and prepares weekly coordination meetings. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Manages the overall schedule of the project with assistance from Project Managers. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

HERRICK COMPANY, INC.
BID: TB & WH WWTP UV Process Replacement
Key FIELD SUPERVISION Personnel : Statement of Experience

Dennis Stearle - Superintendent

HCI Start Date: 5/2024 (Years in WTP/WWTP industry - 25)

Experience: Carpenter/Superintendent - Judy Construction (15 years), Superintendent - HCI (<1yr)

Responsibilities: Assists with determination of manpower needs for a project. Establishes daily, weekly, and monthly production goals. Coordinates, directs, and monitors the activities of subcontractors. Creates and manages two-week look aheads. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts and prepares weekly coordination meetings. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Manages the overall schedule of the project with assistance from Project Managers. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

Eddie Johnson - Superintendent

HCI Start Date: 01/1996 (Years in WTP/WWTP industry - 45)

Experience: Superintendent - HCI (28 years)

Responsibilities: Assists with determination of manpower needs for a project. Establishes daily, weekly, and monthly production goals. Coordinates, directs, and monitors the activities of subcontractors. Creates and manages two-week look aheads. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts and prepares weekly coordination meetings. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Manages the overall schedule of the project with assistance from Project Managers. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

Dustin Holbrook - Foreman

HCI Start Date: 10/2019 (Years in WTP/WWTP industry - 8)

Experience: Carpenter/Welder/Laborer - Judy Construction (3yrs), Carpenter/Foreman - HCI (5 yrs)

Responsibilities: Assists with determination of manpower needs for maintenance, service, and repair projects as well as small projects and individual scopes within large projects. Coordinates, directs, and monitors the activities of subcontractors. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

HERRICK COMPANY, INC.
BID: TB & WH WWTP UV Process Replacement
Key FIELD SUPERVISION Personnel : Statement of Experience

Zack Jefferies - Foreman

HCI Start Date: 10/2021 (Years in WTP/WWTP industry - 5)

Experience: Carpenter - EC Matthews (2 years), Carpenter/Foreman - HCI (3 years)

Responsibilities: Assists with determination of manpower needs for maintenance, service, and repair projects as well as small projects and individual scopes within large projects. Coordinates, directs, and monitors the activities of subcontractors. Ensures projects meet and/or exceed labor budget goals. Leads site safety efforts. Completes all necessary project documentation including daily reports, safety documentation, photographs, etc. Facilitates the relationship with the Owner/Engineer through clear communication. Maintains an overall knowledge of all aspects of the construction and trades process for W/WTP work.

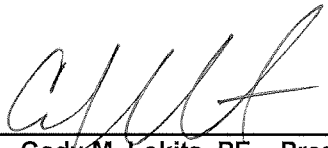
AFFIRMATIVE ACTION PLAN

ADOPTED BY

HERRICK COMPANY, INC.

AS REQUIRED UNDER SECTION 503 AND EXECUTIVE ORDER 11246

APPROVED BY: _____


Cody M. Lokits, PE – President

DATE APPROVED: _____

Policy Statement on Equal Employment Opportunity for Protected Veterans [41 C.F.R. § 60-300.44(a)]

As the Chief Executive Officer of Herrick Company, Inc., I am committed to the principles of affirmative action and equal employment opportunity for protected veterans. Therefore, it is the policy of HERRICK COMPANY, INC. not to discriminate because of protected veteran status and to take affirmative action to employ and advance in employment qualified protected veterans at all levels within the company. HERRICK COMPANY, INC. will ensure that all employment actions, including but not limited to recruitment, hiring, selection for training, promotion, transfer, demotion, layoff, recall, termination, rates of pay or other forms of compensation, will be administered without regard to status as a protected veteran. HERRICK COMPANY, INC. will also provide qualified applicants and employees who are disabled veterans with needed reasonable accommodations, as required by law, and will ensure that all employment decisions are based only on valid job requirements. HERRICK COMPANY, INC. prohibits harassment of employees and applicants because they are protected veterans and will conduct training to try to prevent any harassment or discrimination before it occurs. HERRICK COMPANY, INC. also prohibits retaliation against employees and applicants for filing a complaint, opposing any discriminatory act or practice, assisting or participating in any manner in a review, investigation, or hearing or otherwise seeking to obtain their legal rights under any Federal, State, or local EEO law requiring equal employment opportunity for protected veterans. Prohibited retaliation includes, but is not limited to, harassment, intimidation, threats, coercion or other adverse actions that might dissuade someone from asserting their rights. In furtherance of HERRICK COMPANY, INC.'s policy regarding affirmative action and equal employment opportunity, HERRICK COMPANY, INC. has developed a written Affirmative Action Program (AAP) that sets forth the policies, practices and procedures that HERRICK COMPANY, INC. is committed to in order to ensure that its policy of nondiscrimination and affirmative action for qualified protected veterans is accomplished. This AAP is available for inspection by any employee or applicant for employment upon request, during normal business hours, in HERRICK COMPANY, INC.'s Administrative Department office located at 2480 Woodfield Circle, Lexington, KY. Interested persons should contact the Human Resources Office at 859-699-2961. for assistance. In order to ensure employment opportunity and affirmative action throughout all levels of HERRICK COMPANY, INC., I have designated HERRICK COMPANY, INC.'s Director of Human Resources, Kayla Lokits, as the Equal Employment Opportunity (EEO) Officer for HERRICK COMPANY, INC.. The EEO Officer will establish and maintain an internal audit and reporting system that will track and measure the effectiveness of HERRICK COMPANY, INC.'s AAP and show where additional action is needed to meet HERRICK COMPANY, INC.'s objectives.

Policy Statement on Equal Employment Opportunity for Individuals with Disabilities [41 CFR 60-741.44(a)]

As the Chief Executive Officer of HERRICK COMPANY, INC., I am committed to the principles of affirmative action and equal employment opportunity for individuals with disabilities. Therefore, it is the policy of HERRICK COMPANY, INC. not to discriminate on the basis of disability and to take affirmative action to employ and advance in employment qualified individuals with disabilities at all levels within the company. HERRICK COMPANY, INC. will ensure that all employment actions, including but not limited to recruitment, hiring, selection for training, promotion, transfer, demotion, layoff, recall, termination, rates of pay or other forms of compensation, will be administered without regard to disability. HERRICK COMPANY, INC. will also provide qualified applicants and employees with disabilities with needed reasonable accommodations, as required by law, and will ensure that all employment decisions are based only on valid job requirements. HERRICK COMPANY, INC. prohibits harassment of employees and applicants on the basis of disability and will conduct training to try to prevent any harassment or discrimination before it occurs. HERRICK COMPANY, INC. also prohibits retaliation or punishment against employees and applicants for filing a complaint, opposing any discriminatory act or practice, assisting or participating in any manner in a review, investigation, or hearing regarding HERRICK COMPANY, INC.'s employment practices, or otherwise seeking to obtain their legal rights under any Federal, State, or local EEO law requiring equal employment opportunity for individuals with disabilities. Prohibited retaliation includes, but is not limited to harassment, intimidation, threats, coercion or other adverse actions that might dissuade someone from asserting their rights. In furtherance of HERRICK COMPANY, INC.'s policy regarding affirmative action and equal employment opportunity, HERRICK COMPANY, INC. has developed a written Affirmative Action Program (AAP) that sets forth the policies, practices and procedures that HERRICK COMPANY, INC. is committed to in order to ensure that its policy of nondiscrimination and affirmative action for qualified individuals with disabilities is accomplished. This AAP is available for inspection by any employee or applicant for employment upon request, during normal business hours, in HERRICK COMPANY, INC.'s Administrative Department office located at 2480 Woodfield Circle, Lexington, KY. Interested persons should contact the Human Resources Office at 859-699-2961 for assistance. In order to ensure equal employment opportunity and affirmative action throughout all levels of HERRICK COMPANY, INC., I have designated HERRICK COMPANY, INC.'s Director of Human Resources, Kayla Lokits, as the Equal Employment Opportunity (EEO) Officer for HERRICK COMPANY, INC.. The EEO Officer will establish and maintain an internal audit and reporting system that will track and measure the effectiveness of HERRICK COMPANY, INC.'s AAP and show where additional action is needed to meet HERRICK COMPANY, INC.'s objectives.

Guidelines on Discrimination Because of Religion or National Origin

It is the policy of HERRICK COMPANY, INC., to take affirmative action to insure that applicants are employed, without regard to their religion or national origin. Such action includes, but is not limited to the following employment practices: hiring, promotion, demotion, transfer, recruitment or recruitment advertising, layoff, termination, rates of pay or other forms of compensation and selection for training. Employment practices have been reviewed to determine whether members of the various religions and/or ethnic groups are receiving fair consideration for job opportunities. Attention has been directed toward executive and middle management levels.

1. The policy concerning HERRICK COMPANY, INC.'s obligation to provide equal employment opportunity without regard to religion or national origin is communicated to all employees via employee handbooks, policy statement and the Affirmative Action Program.
2. Internal procedures have been developed in this program to insure that HERRICK COMPANY, INC.'s obligation to provide equal employment opportunity without regard to religion or national origin is being fully implemented.
3. Employees are informed at least annually of HERRICK COMPANY, INC.'s commitment to equal employment opportunity for all persons, without regard to religion or national origin.
4. Recruiting sources have been informed of our commitment to provide equal employment opportunity without regard to religion or national origin.
5. Employment records of all employees are reviewed to determine the availability of promotable and transferable employees.
6. Contacts with religious and ethnic organizations will be made for purposes of advice, education, technical assistance and referral of potential employees as necessary to accomplish the purpose of this program.
7. HERRICK COMPANY, INC. engages in recruitment activities at educational institutions with substantial enrollments of students from various ethnic and religious groups.
8. Ethnic and religious media may be used for employment advertising.

Reasonable accommodations to the religious observances and practices of employees or prospective employees will be made, unless doing so would result in undue hardship. In determining whether undue hardship exists, factors such as the cost to the company and the impact on the rights of other employees would be considered.

SECTION I - INTRODUCTION

Herrick Company, Inc. enters into this Affirmative Action Plan (AAP) with good faith for the purpose of promoting equality of opportunity into its corporate structure. Herrick Company, Inc. seeks to increase the recruitment of qualified women and/or minorities for possible selection into the company in the event women and/or minorities are underutilized in the company. Herrick Company, Inc. hereby adopts the following nondiscriminatory pledge and the AAP.

Any changes made to the program by Herrick Company, Inc. will become part of this written AAP.

SECTION II - EQUAL OPPORTUNITY PLEDGE

Herrick Company, Inc. commits to the following Equal Opportunity Pledge:

“The recruitment, selection, employment, and training of employees, shall be without discrimination because of race, color, religion, national origin, or sex. Herrick Company, Inc. will take affirmative action to provide equal opportunity in employment and will operate the company as required under Title 29 of the Code of Federal Regulations, part 30.”

SECTION III - UTILIZATION AND ANALYSIS, GOALS AND TIMETABLES

In order to allow positive recruitment and full utilization of minorities and women in the company, Herrick Company, Inc. pledges to identify outreach efforts under Section IV which will be undertaken. The purpose of the analysis is to determine the minority and women's labor force in Herrick Company, Inc.'s labor market area. Once the labor force is determined, Herrick Company, Inc. can determine if deficiencies exist in terms of underutilization of minorities and/or women in the occupations registered with the Registration Agency. (See attached Affirmative Action Plan Workforce Analysis Worksheet)

SECTION IV - OUTREACH AND POSITIVE RECRUITMENT

Herrick Company, Inc.'s AAP includes the following “checked” outreach and positive recruitment efforts that would reasonably be expected to increase minority and women's participation in the company by expanding the opportunity of minorities and women to become eligible for employment selection. **Once those efforts have been checked, Herrick Company, Inc. will set forth the specific steps they intend to take under each identified effort.** Herrick Company, Inc. will identify a **significant number of activities** in order to enable it to meet its obligation under Title 29, CFR part 30.4(c).

A. An announcement of specific employment openings must be disseminated thirty (30) days in advance of the earliest date for application at each interval to the following agencies/organizations:

- Registration Agency
- Women's Organizations/Centers
- Local Schools
- Employment Service Centers
- One Stop Centers
- Vocational Education Schools
- Other Organizations/Centers (which can effectively reach minorities and women)
- Newspapers (which are circulated in the minority community and among women)

The announcement will include the nature of the job opening, requirements for the role, availability of opportunities, sources of applications, and Herrick Company, Inc.'s equal opportunity policy. The period for accepting applications as established by Herrick Company, Inc. is **30 days**.

B. Participation in annual workshops conducted by employment service agencies for the purpose of familiarizing school, employment service and other appropriate personnel with the company and current opportunities.

C. Cooperation with school boards and vocational educational systems to develop programs for preparing students to meet the standards and criteria required to qualify for entry into the company.

D. Internal communication of Herrick Company, Inc.'s equal opportunity policy should be conducted in such a manner to foster understanding, acceptance, and support among Herrick Company, Inc.'s various officers, supervisors, employees, and members, and to encourage such persons to take the necessary action to aid in meeting its obligation under Title 29, CFR part 30.

E. Engaging in programs such as outreach for the positive recruitment and preparation of potential applicants for employment opportunities; where appropriate and feasible, such programs will provide for pre-testing experience and training. In initiating and conducting these programs, Herrick Company, Inc. may be required to work with other employers and appropriate community organizations. Herrick Company, Inc. will also initiate programs to prepare women and encourage women to enter traditionally male programs.

- F. Encouraging the establishment and utilization of programs of apprenticeship, preparatory trade training, or others designed to afford related work experience or prepare candidates for employment opportunities. Herrick Company, Inc. will make appropriate provisions in its AAP to assure that those who complete such programs are afforded full and equal opportunity for admission into the company.
 - G. Utilizing journeyworkers to assist in the implementation of affirmative action in the company.
 - H. Granting advance standing or credit on the basis of previously acquired experience, training, skills, or aptitude for all applicants equally.
 - I. Other appropriate action to ensure that the recruitment, selection, employment, and training of apprentices during their apprenticeship will be without discrimination because of race, color, religion, national origin, or sex (e.g., general publication of apprenticeship opportunities and advantages in advertisements, industry reports, articles, etc., use of present minority and women apprentices and journeyworkers as recruiters; career counseling; development of reasonable procedures to ensure employment opportunity, including reporting systems, on-site reviews, briefing sessions).
-

SECTION V - ANNUAL REVIEW OF AFFIRMATIVE ACTION PLAN

Herrick Company, Inc. will make an annual review of its current AAP and its overall effectiveness and institute any revisions or modifications warranted. The review will analyze (independently and collectively) the affirmative action steps taken by Herrick Company, Inc. for evaluating the positive impact, as well as the adverse impact in the areas of outreach and recruitment, selection, employment, and training. They will work diligently to identify the cause and effect that result from their affirmative action measures. Herrick Company, Inc. will continually monitor these processes in order to identify the need for a new affirmative action effort and/or deletion of ineffective existing activity(ies). Herrick Company, Inc. will continually monitor the participation rates of minorities and women in the company in an effort to identify any type of underutilization. If underutilization exists, corrective action will be immediately implemented. The goals and timetables also will be reviewed periodically as determined by corporate management and updated where necessary.

SECTION VI - OFFICIAL ADOPTION

Herrick Company, Inc. hereby officially adopts this Affirmative Action Plan on this 17th day of August 2021.



SIGNATURE OF PRESIDENT

Cody M. Lokits, P.E.

PRINTED NAME

SAMPLE WORKFORCE ANALYSIS WORKSHEET FOR EMPLOYMENT OPPORTUNITIES AVAILABLE

A. EMPLOYER INFORMATION

Program Number:	001, Rev. 0		
Name of Employer:	Herrick Company, Inc.		
Address:	2176 Waddy Road		
City/State/Zip Code:	Lawrenceburg, KY 40342		
Contact Person:	Cody Lokits, PE		
Phone Number:	859-699-2961	FAX Number:	N/A
E-Mail Address:	cody@hci96.com		

B. OCCUPATIONAL INFORMATION

Occupational Title: *	
Labor Market Area description:	

C. LABOR MARKET AREA DATA

Total Labor Force in Labor Market Area *		
Number of Women:		% of labor force
Number of Minorities:		% of labor force
Working Age Population in Labor Market Area *		
Number of Women:		% of labor force
Number of Minorities:		% of labor force
Apprentices in Particular Craft in Labor Market Area **		
Number of Women:		% of apprentices
Number of Minorities:		% of apprentices
The General Availability of Minorities and Women with the Present or Potential Capacity for Apprenticeship in Program Sponsor's Labor Market Area. ***		
Number of Women:		
Number of Minorities:		

Resources for obtaining labor market information.

* http://www.census.gov/hhes/www/eeoindex/page_c.html

** Data available from Registration Agency.

*** Program Sponsors may use any reasonable method for determining the general availability of minorities and women with the present or potential capacity for apprenticeship, including relying on the data recorded in Section C for "Total Labor Force", "Working Age Population", and "Apprentices in Particular Craft" to propose the entries for "The General Availability of Minorities and Women."

D. EMPLOYERS'S WORKFORCE DATA

E-1 Total Number of Journey/Craft Workers Employed:	2	
Number of Women:	3	10% of work force
Number of Minorities:	0	0% of work force
E-2 Total Percentage of Employees of Applicant Pool (depending on selection method used)		
Numerical percentage of Women employees or women in applicant pool:	0	%
Numerical percentage of Minority employees or minorities in applicant pool:	0	%

E. DETERMINATION OF UTILIZATION

Analysis	Yes	No
Minority Underutilization:		
Female Underutilization:		

F. EMPLOYER'S GOALS:

The program sponsor proposes and agrees to make a good faith effort to attain the goal of selecting 10 % minorities and 10 % women during the next EEO Review cycle. These goals will not be used to discriminate against any qualified applicant on the basis of race, color, religion, national origin or sex.

The number of new employees to be hired during the next year (or selection period) is estimated to be: 30

Instructions for preparing and completing this worksheet

The purpose of this workforce analysis worksheet is to establish a benchmark against which the demographic composition of Herrick Company, Inc.'s company can be compared. Herrick Company, Inc. must separately determine the availability of minorities and women for each occupational title represented by the employment opportunity. In determining availability, Herrick Company, Inc. must consider, at the very least, the factors identified at 29 CFR 30.4(e) in order to determine whether barriers to equal employment opportunity may exist with a particular occupational title.

Part A The Employer information section may be prepared by Herrick Company, Inc. representative or servicing Registration Agency Representative.

Part B Occupational information will be taken from the registered program standards, and may be prepared by Herrick Company, Inc.. A Workforce Analysis Worksheet must be completed for each occupational title identified.

Part C Employers must use the most current and discrete statistical data available in determining availability estimates for the labor market area specified by Herrick Company, Inc. in Part B. Census data is one example of an appropriate source of statistical information. Other sources include data from local job service offices and data from colleges or other training institutions.

For purposes of this section, the term "labor force" is defined to include both those individuals who are employed and those who are unemployed but looking for employment. The term "working age population" means persons ages 15 years and over whether or not they are currently in the labor force or looking for employment.

Part D Herrick Company, Inc. must provide current workforce data as described in Part D. If Herrick Company, Inc. utilizes either Selection Method §30.5(b) (1) or (2), the data in D-2 will be reflective of the "pool" from which selections will be made. If Herrick Company, Inc. utilizes the Selection Method under §30.5(b) (3) or (4), the data in D-2 will be reflective of the current apprentices registered in the program.

Part E Utilizing the data found in Parts C and D, the Herrick Company, Inc. is to determine if minorities and/or women are underutilized and must check the appropriate response.

Part F If the analysis determines that minorities and/or women are underutilized, Herrick Company, Inc., utilizing the resource data found in Parts C and D, will establish goals which are reasonable in consideration of the results which could be expected from its good faith efforts to make its overall affirmative action program successful. Herrick Company's AAP management team will review and assess the proposed goals and if found to be reasonable and attainable, will set this as Herrick Company, Inc.'s goals for minorities and/or women.

7. **Lexington-Fayette Urban County Government**
MWDBE PARTICIPATION GOALS

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**
- 4) The LFUCG has also established a 3% of total procurement costs as a Goal for participation for of Veteran-Owned Businesses.
- 5) **It is therefore a request of each Bidder to include in its bid, the same goal (3%) for Veteran-Owned participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned or Veteran-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - a) The Lexington-Fayette Urban County Government Division of Procurement (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE and Veteran-Owned subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by one or more women.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned, managed and controlled by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.**

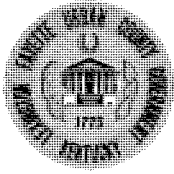
E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
 - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
 - b. Included documentation of advertising in the above publications with the bidders good faith efforts package

- c. Attended LFUCG Procurement Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email, or facsimile, to qualified, certified MWDBEs and/or Veteran-Owned businesses soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.
- j. Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce.
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

- o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- p. Made efforts to expand the search for MWDBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.
- q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

Note: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA
Minority Business Enterprise Liaison
Division of Procurement
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long-term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented Resolution 484-2017 – A Certified Minority, Women and Disadvantaged Business Enterprise ten percent (10%) minimum goal and a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and Certified Service-Disabled Veteran – Owned Businesses for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals (a full copy is available in Procurement):

Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. African American, Asian American/Pacific Islander, Hispanic Islander, Native American/Native Alaskan Indian) as defined in federal law or regulation as it may be amended from time-to-time.

Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

Certified Veteran-Owned Small Business (VOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

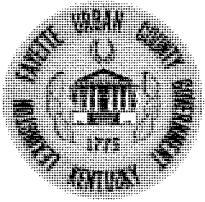
Certified Service Disabled Veteran Owned Small Business (SDVOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term “Certified” shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Procurement as having the appropriate credentials to make a determination as to the status of the business.

To comply with Resolution 484-2017, prime contractors and minority, women and veteran owned businesses must enroll in the new Diverse Business Management Compliance system, <https://lexingtonky.diversitycompliance.com/>

We have compiled the list below to help you locate certified DBE, MBE, WBE and VOSB certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Susan Marston	smarston@tsmsdc.com	502-365-9762
Small Business Development Council	Shawn Rogers UK SBDC	shawn.rogers@uky.edu	859-257-7666
Community Ventures Corporation	Phyllis Alcorn	palcorn@cvky.org	859-231-0054
KY Transportation Cabinet (KYTC)	Melvin Byne	Melvin.bynes2@ky.gov	502-564-3601
KYTC Pre-Qualification	Shella Eagle	Shella.Eagle@ky.gov	502-782-4815
Ohio River Valley Women's Business Council (WBENC)	Sheila Mixon	smixon@orvwbc.org	513-487-6537
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner's Council (NWBOC)	Janet Harris-Lange	janet@nwbo.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozdeky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	production@keynewsjournal.com	859-685-8488



*See List of Proposed Subcontractors (Revised P-12)

LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 131-2024

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Procurement for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.				
2.				
3.				
4.				

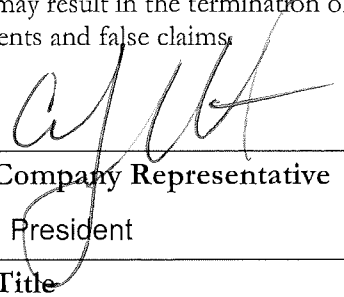
The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc.

Company

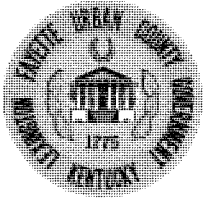
10/15/24

Date


Company Representative Cody M. Lokits

President

Title



N/A at this time.

LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # 131-2024

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Procurement for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

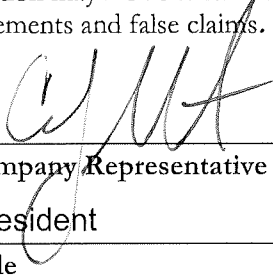
The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc.

Company

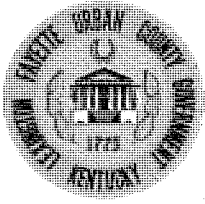
10/15/24

Date


Company Representative Cody M. Lokits

President

Title



*See attached for any MWDBE quotes received.

MWDBE QUOTE SUMMARY FORM
Bid/RFP/Quote Reference # 131-2024

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name	Contact Person
Address/Phone/Email	Bid Package / Bid Date

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc.)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/
NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Herrick Company, Inc.

Company

10/15/24

Date

Cody M. Lokits
Company Representative

President

Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 131-2024

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

 Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

 Included documentation of advertising in the above publications with the bidder's good faith efforts package

 Attended LFUCG Procurement Economic Inclusion Outreach event

 X Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

 Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

 X Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

 X Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

 X Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

 X Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

 X Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

 X Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

 X Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of

their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

X Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

X Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

X Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

X Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

X Other--any other evidence that the bidder submits which may show that the bidder has made reasonably good faith efforts to include MWDBE and Veteran participation.

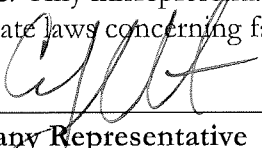
NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Herrick Company, Inc.

Company
10/15/24

Date


Company Representative Cody M. Lokits
President

Title

Ryan Gabbard

From: Sherita Miller <smiller@lexingtonky.gov>
Sent: Wednesday, September 25, 2024 3:18 PM
To: Ryan Gabbard
Subject: RE: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT
Attachments: LFUCG Certified List_August 2024_.xlsx

Hi Ryan,

Attached is a copy of LFUCG's certified list of minority, women, and veteran owned businesses. This is an overall list of businesses with various specialties.

Thanks, Sherita

Sherita Miller, MPA, CPSD
Minority Business Enterprise Liaison
Division of Procurement

859.258.3323 office
[lexingtonky.gov](mailto:smiller@lexingtonky.gov)



1775 – 2025

From: Ryan Gabbard <Ryan@HCI96.COM>
Sent: Wednesday, September 25, 2024 3:12 PM
To: Sherita Miller <smiller@lexingtonky.gov>
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

[EXTERNAL] Use caution before clicking links and/or opening attachments.

Sherita Miller - Minority & Business Enterprise Liaison - LFUCG,

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

BID DATE: Tuesday, October 15, 2024
BID TIME: 2:00 PM CST

We invite your firm to provide a proposal on any portion of the project as described below, per the Plans & Specifications:

This project includes the following:

- a. Select demolition work at the Town Branch WWTP and West Hickman WWTP.
- b. Installation of complete UV Disinfection System and all related work at Town Branch WWTP and West Hickman WWTP.
- c. Removal and replacement of non-potable water pumps at Town Branch WWTP.
- d. Electrical work at Town Branch WWTP and West Hickman WWTP.
- e. Installation of new chemical feed systems at Town Branch WWTP and West Hickman WWTP.

as shown on the drawings and described in the specifications.

We are seeking proposals on the following scopes of work:

- Concrete/Rebar
- Miscellaneous Metals
- Fiberglass Weirs, Baffles, & Troughs
- Metal Roofing
- FRP Doors/Aluminum Frames
- Aluminum Windows
- Painting
- Specialties
- Fencing
- HVAC
- Electrical
- SCADA
- Standby Power System / ATS
- Pipe/Fittings/Valves
- Sluice & Slide Gates
- Instrumentation & Controls
- Hoists/Cranes
- Submersible Pumps
- Liquid Chlorination Equipment
- Scum Decant Pipe
- Diffusers
- UV Disinfection System

Electronic bidding documents and job site pictures may be accessed at the following link:

241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.

Federal wage rates DO apply to this project. AIS requirements DO apply to this project.

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Monday, October 14, 2024** for review and consideration. You may send your proposals to ryan@hci96.com, cody@hci96.com, luke@hci96.com, or hci@hci96.com.

Thank you,

Ryan Gabbard

Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 Email: ryan@hci96.com

Ryan Gabbard

From: Ryan Gabbard
Sent: Wednesday, September 25, 2024 3:12 PM
To: brad.putty@ky.gov
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Brad Putty - Office for Civil Rights & Small Bus. Development - KYTC,

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

BID DATE: Tuesday, October 15, 2024

BID TIME: 2:00 PM CST

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- Electrical
- SCADA
- Standby Power System / ATS
- Pipe/Fittings/Valves
- Sluice & Slide Gates
- Instrumentation & Controls
- Hoists/Cranes
- Submersible Pumps
- Liquid Chlorination Equipment

- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

Electronic bidding documents and job site pictures may be accessed at the following link:

241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.

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Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Monday, October 14, 2024** for review and consideration. You may send your proposals to ryan@hci96.com, cody@hci96.com, luke@hci96.com, or hci@hci96.com.

Thank you,

Ryan Gabbard

Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 **Email:** ryan@hci96.com

Ryan Gabbard

From: Ryan Gabbard
Sent: Wednesday, September 25, 2024 3:12 PM
To: sheila.eagle@ky.gov
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Shella Jarvis - Office for Civil Rights & Small Bus. Development - KYTC,

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

BID DATE: Tuesday, October 15, 2024

BID TIME: 2:00 PM CST

We invite your firm to provide a proposal on any portion of the project as described below, per the Plans & Specifications:

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- e. Installation of new chemical feed systems at Town Branch WWTP and West Hickman WWTP.

as shown on the drawings and described in the specifications.

We are seeking proposals on the following scopes of work:

- Concrete/Rebar
- Miscellaneous Metals
- Fiberglass Weirs, Baffles, & Troughs
- Metal Roofing
- FRP Doors/Aluminum Frames
- Aluminum Windows
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- Specialties
- Fencing
- HVAC
- Electrical
- SCADA
- Standby Power System / ATS
- Pipe/Fittings/Valves
- Sluice & Slide Gates
- Instrumentation & Controls
- Hoists/Cranes
- Submersible Pumps
- Liquid Chlorination Equipment

- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

Electronic bidding documents and job site pictures may be accessed at the following link:

241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.

Federal wage rates DO apply to this project. AIS requirements DO apply to this project.

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Monday, October 14, 2024** for review and consideration. You may send your proposals to ryan@hci96.com, cody@hci96.com, luke@hci96.com, or hci@hci96.com.

Thank you,

Ryan Gabbard
Herrick Company, Inc.
780 Enterprise Dr.
Lexington, KY 40510
Office: 502-839-3484 **Email:** ryan@hci96.com

Ryan Gabbard

From: Steven Koenig <steven.k@cfstransportation.com>
Sent: Wednesday, September 25, 2024 3:51 PM
To: Ryan Gabbard
Subject: FW: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT
Attachments: CFS Capabilities 2024.pdf; CFS Insurance 2025.pdf; CFS Bondability Letter.pdf

Hello Ryan;

We are a KY certified DBE providing freight transportation services via flatbed and dry van, oversize and weight OK, LTL & FTL, ocean, rail and warehousing Sir.

Steven Koenig

Vice President

Sales & Diversity

Cell: (310) 363-3262

Email: steven.k@cfstransportation.com



CELESTIAL FREIGHT SOLUTIONS

11630 Pike Street

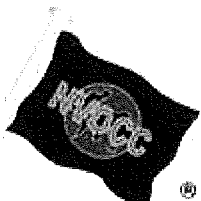
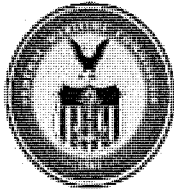
Santa Fe Springs, CA 90670

Phone: (888) 999-2915

Fax: (323) 261-7991

www.cfstransportation.com

Rates: laxrates@cfstransportation.com



All transactions are subject to Celestial Freight Systems Inc. terms and conditions. These Terms and conditions can be seen on line at www.cfstransportation.com under Terms and conditions. The terms incorporated are essential and may exclude the company's liability and include certain indemnities which benefit the company. All shipments have a maximum liability of .50 cents per LB or 100,000 dollars as described in our terms and conditions on our website.

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From: Tillman, Megan R (KYTC) <Megan.Tillman@ky.gov>
Sent: Wednesday, September 25, 2024 12:42 PM
Cc: Putty, Brad B (KYTC) <brad.putty@ky.gov>; Youssefi, Tony T (KYTC) <tony.youssefi@ky.gov>
Subject: FW: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Good afternoon DBEs,

Please review and consider the e-mail below regarding the LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT project. If you have any questions please contact Ryan Gabbard at Herrick Company.

Ryan Gabbard
Herrick Company, Inc.
780 Enterprise Dr.
Lexington, KY 40510
Office: 502-839-3484 Email: ryan@hci96.com

Thank you.

Megan Tillman
Office for Civil Rights and Small Business Development
502-782-4808
Megan.Tillman@ky.gov



NOTICE OF CONFIDENTIALITY: This e-mail, including any attachments, is intended only for the use of the individual or entity to which it is addressed and may contain confidential information that is legally privileged and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, you are notified that any review, use, disclosure, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please contact the sender by reply e-mail and destroy all copies of the original message.

From: Putty, Brad B (KYTC) <brad.putty@ky.gov>
Sent: Wednesday, September 25, 2024 3:30 PM
To: Tillman, Megan R (KYTC) <Megan.Tillman@ky.gov>
Subject: FW: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

From: Ryan Gabbard <Ryan@HCI96.COM>
Sent: Wednesday, September 25, 2024 3:12 PM
To: Putty, Brad B (KYTC) <brad.putty@ky.gov>
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Brad Putty - Office for Civil Rights & Small Bus. Development - KYTC,

Ryan Gabbard

From: Nihelsky Espinoza-Santos <nespinoza@deltaservicesllc.com>
Sent: Friday, September 27, 2024 9:57 AM
To: Ryan Gabbard
Subject: RE: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Thank you for reaching out, Ryan. We will have to pass on this due to location distance.

Sincerely,

Nihelsky Espinoza-Santos
Senior Estimator
4676 Jennings Lane Louisville, KY 40218
Mobile: (646) 228-6052
nespinoza@deltaservicesllc.com | www.deltaservicesllc.com



From: Ryan Gabbard <Ryan@HCI96.COM>
Sent: Wednesday, September 25, 2024 3:14 PM
To: Nihelsky Espinoza-Santos <nespinoza@deltaservicesllc.com>
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Nihelsky Espinoza - Beltline Electric,

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

BID DATE: Tuesday, October 15, 2024
BID TIME: 2:00 PM CST

We invite your firm to provide a proposal on any portion of the project as described below, per the Plans & Specifications:

This project includes the following:

- a. Select demolition work at the Town Branch WWTP and West Hickman WWTP.
 - b. Installation of complete UV Disinfection System and all related work at Town Branch WWTP and West Hickman WWTP.
 - c. Removal and replacement of non-potable water pumps at Town Branch WWTP.
 - d. Electrical work at Town Branch WWTP and West Hickman WWTP.
 - e. Installation of new chemical feed systems at Town Branch WWTP and West Hickman WWTP.
- as shown on the drawings and described in the specifications.

We are seeking proposals on the following scopes of work:

- Concrete/Rebar

- *Miscellaneous Metals*
- *Fiberglass Weirs, Baffles, & Troughs*
- *Metal Roofing*
- *FRP Doors/Aluminum Frames*
- *Aluminum Windows*
- *Painting*
- *Specialties*
- *Fencing*
- *HVAC*
- *Electrical*
- *SCADA*
- *Standby Power System / ATS*
- *Pipe/Fittings/Valves*
- *Sluice & Slide Gates*
- *Instrumentation & Controls*
- *Hoists/Cranes*
- *Submersible Pumps*
- *Liquid Chlorination Equipment*
- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

Electronic bidding documents and job site pictures may be accessed at the following link:

241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.

Federal wage rates DO apply to this project. AIS requirements DO apply to this project.

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Monday, October 14, 2024** for review and consideration. You may send your proposals to ryan@hci96.com, cody@hci96.com, luke@hci96.com, or hci@hci96.com.

Thank you,

Ryan Gabbard

Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 **Email:** ryan@hci96.com

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

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Ryan Gabbard

From: Leon Key, Jr. <lefamille@msn.com>
Sent: Friday, October 4, 2024 6:06 PM
To: Ryan Gabbard
Subject: Re: NEW ADDENDUM (AD-2, AS BUILTS, GEOTECH) - INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT
Attachments: Le Famille Concrete Pricing.docx

Please accept these concrete pricing for your bidding estimations. I look forward to venturing with your company on this project and any other available.

Thanks

Brenton Key, DBA Le Famille
4407 Cosby Court
Louisville KY 40218
P:5027187259
<https://www.linkedin.com/in/brenton-keye-07833b28a>
ACDBE Certified
DBE Certified (CA, TX, AZ, KY, OH, WA, HI, NY, NJ, LA)
SBE, MBE Certified - Louisville HRC
Sam.gov Certified -EGC5CF5CX7A9
SDVOSB Certified

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From: Tillman, Megan R (KYTC) <Megan.Tillman@ky.gov>
Sent: Thursday, October 3, 2024 3:41:24 PM
Cc: Putty, Brad B (KYTC) <brad.putty@ky.gov>; Youssefi, Tony T (KYTC) <tony.youssefi@ky.gov>
Subject: FW: NEW ADDENDUM (AD-2, AS BUILTS, GEOTECH) - INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Good afternoon,

Please review the e-mail below regarding the New Addendum - Invitation to Bid - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT with Herrick Company.

If you have questions, please contact:

Ryan Gabbard
Herrick Company, Inc.
780 Enterprise Dr.
Lexington, KY 40510
Office: 502-839-3484
Email: ryan@hci96.com

Thank you.

Megan Tillman
Office for Civil Rights and Small Business Development
502-782-4808
Megan.Tillman@ky.gov



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Brad Putty - Office for Civil Rights & Small Bus. Development - KYTC,

Link below now contains AD-1, AD-2, As Builts & Geotech also

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

BID DATE: Tuesday, October 15, 2024

BID TIME: 2:00 PM CST

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- HVAC
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- SCADA
- Standby Power System / ATS
- Pipe/Fittings/Valves

- *Sluice & Slide Gates*
- *Instrumentation & Controls*
- *Hoists/Cranes*
- *Submersible Pumps*
- *Liquid Chlorination Equipment*
- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

Electronic bidding documents and job site pictures may be accessed at the following link:

241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.

Federal wage rates DO NOT apply to this project. AIS requirements DO NOT apply to this project.

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Monday, October 14, 2024** for review and consideration. You may send your proposals to ryan@hci96.com, cody@hci96.com, luke@hci96.com, or hci@hci96.com.

Thank you,

Ryan Gabbard

Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 Email: ryan@hci96.com

LE FAMILLE

CONCRETE PRICING

Prices Effective April 1,2024

3000 PSI Base Per Cubic YD. \$152.00
\$44.00 Environmental/Fuel Surcharge per Load

Flowable Fill	\$20.00 less per yard than base
2500psi	Less \$3.00 per yard
3000psi	BASE PRICE \$152.00
3500psi	Add \$3.50 per yard
4000psi	Add \$7.00 per yard
4500psi	Add \$10.00 per yard
5000psi	Add \$13.00 per yard
Chip	Add \$1.25 per yard
No Air Mixes	Add \$2.25 per yard
Straight Cement (Except Slurry or Grout)	Add \$3.50 per yard
Curb Mix	Add \$9.00 per yard
Peagravel & Pea/Chip Blends	Add \$3.50 per yard
2" Line Pump Mixes	Add \$11.00 per yard
Coarse Grout	Call for Quote
Sand Grout & Shotcrete Mixes	Call for Quote
Specialty Concrete Mixes	Call for Quote
Winter Charge	Add \$4.50 per yard
Retarder	Add \$1.25 per yard – per percent
½ Fiber Dose	Add \$3.50 per yard
Full Fiber Dose	Add \$7.00 per yard
1% Non Chloride	Add \$5.00 per yard
2% Non Chloride	Add \$10.00 per yard
1% Liquid Calcium Chloride	Add \$3.50 per yard
2% Liquid Calcium Chloride	Add \$7.00 per yard
Delivery less than 6 yards	\$110 per load
Delivery less than 3 yards	\$165 per load
Delivery less than 2 yards	\$220 per load

Concrete purchases are due the 30th of the following month.
Example: Concrete bought on the 5th of May. Is not due until the 30th of June.

Invoices paid by the 10th of the next month will receive a \$2.00 discount per yard if there are no outstanding invoices.

Invoices later than the 30th will be placed on hold on the following business day.

Ryan Gabbard

From: Phil Hargrove <tecphil@owens.twcbc.com>
Sent: Tuesday, October 8, 2024 9:32 AM
To: Ryan Gabbard
Subject: RE: NEW ADDENDUM (AD-2, AS BUILTS, GEOTECH) - INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Ryan,

We will not be bidding this one.

Thanx,

Phil

From: Ryan Gabbard <Ryan@HCI96.COM>
Sent: Thursday, October 3, 2024 11:35 AM
To: tecphil@owens.twcbc.com
Subject: NEW ADDENDUM (AD-2, AS BUILTS, GEOTECH) - INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Phil Hargrove - Timmons Electric,

Link below now contains AD-1, AD-2, As BUILTS & Geotech also

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

BID DATE: Tuesday, October 15, 2024

BID TIME: 2:00 PM CST

We invite your firm to provide a proposal on any portion of the project as described below, per the Plans & Specifications:

This project includes the following:

- a. Select demolition work at the Town Branch WWTP and West Hickman WWTP.
- b. Installation of complete UV Disinfection System and all related work at Town Branch WWTP and West Hickman WWTP.
- c. Removal and replacement of non-potable water pumps at Town Branch WWTP.
- d. Electrical work at Town Branch WWTP and West Hickman WWTP.
- e. Installation of new chemical feed systems at Town Branch WWTP and West Hickman WWTP.

as shown on the drawings and described in the specifications.

We are seeking proposals on the following scopes of work:

- Concrete/Rebar
- Miscellaneous Metals
- Fiberglass Weirs, Baffles, & Troughs
- Metal Roofing

- FRP Doors/Aluminum Frames
- Aluminum Windows
- Painting
- Specialties
- Fencing
- HVAC
- Electrical
- SCADA
- Standby Power System / ATS
- Pipe/Fittings/Valves
- Sluice & Slide Gates
- Instrumentation & Controls
- Hoists/Cranes
- Submersible Pumps
- Liquid Chlorination Equipment
- Scum Decant Pipe
- Diffusers
- UV Disinfection System

Electronic bidding documents and job site pictures may be accessed at the following link:

[241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT](#)

Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.

Federal wage rates DO NOT apply to this project. AIS requirements DO NOT apply to this project.

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Monday, October 14, 2024** for review and consideration. You may send your proposals to ryan@hci96.com, cody@hci96.com, luke@hci96.com, or hci@hci96.com.

Thank you,

Ryan Gabbard

Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 Email: ryan@hci96.com

Ryan Gabbard

From: Titanium Steel <titanium.steel.22@gmail.com>
Sent: Tuesday, October 8, 2024 11:45 AM
To: Ryan Gabbard
Subject: Proposal

Good Morning,

Estimate and proposal

I wish you and team are in a wonderful day.
We are available to bring service, safety and development

price per ton: \$ 1,400 / **Flat Price**

All included

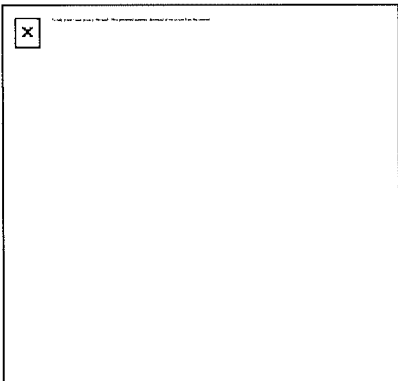
* All tie wire supply

*Including licensed operator to unload all rebar.

Thank you and we look forward to working with you on this project!

If you have any questions or concerns please contact us.

Thank you to choosing **TITANIUM STEEL.**



Esvin Herrera
(502)741-6616
Amilcar Perez
(813)856-6422
9318 Pinto Court
Fairdale KY 40118
titanium.steel.22@gmail.com

Ryan Gabbard

From: Ryan Gabbard
Sent: Wednesday, September 25, 2024 3:12 PM
To: sbloomin@gmail.com
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Cindy England - Somethin Bloomin,

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

BID DATE: Tuesday, October 15, 2024

BID TIME: 2:00 PM CST

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as shown on the drawings and described in the specifications.

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- Aluminum Windows
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- Electrical
- SCADA
- Standby Power System / ATS
- Pipe/Fittings/Valves
- Sluice & Slide Gates
- Instrumentation & Controls
- Hoists/Cranes
- Submersible Pumps
- Liquid Chlorination Equipment

- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

Electronic bidding documents and job site pictures may be accessed at the following link:

241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.

Federal wage rates DO apply to this project. AIS requirements DO apply to this project.

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Monday, October 14, 2024** for review and consideration. You may send your proposals to ryan@hci96.com, cody@hci96.com, luke@hci96.com, or hci@hci96.com.

Thank you,

Ryan Gabbard
Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 **Email:** ryan@hci96.com

Ryan Gabbard

From: Ryan Gabbard
Sent: Wednesday, September 25, 2024 3:12 PM
To: 'mlbaker0622@gmail.com'
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Linda - GEICO Enterprises (DBE reseller),

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

BID DATE: Tuesday, October 15, 2024

BID TIME: 2:00 PM CST

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- Submersible Pumps
- Liquid Chlorination Equipment

- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

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241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

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Thank you,

Ryan Gabbard

Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 **Email:** ryan@hci96.com

Ryan Gabbard

From: Ryan Gabbard
Sent: Wednesday, September 25, 2024 3:12 PM
To: 'craig@frankiethompson.com'
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Craig Zupan - Frankie Thompson Enterprises (DBE reseller),

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

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- Sluice & Slide Gates
- Instrumentation & Controls
- Hoists/Cranes
- Submersible Pumps
- Liquid Chlorination Equipment

- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

Electronic bidding documents and job site pictures may be accessed at the following link:

[241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT](#)

Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.

Federal wage rates DO apply to this project. AIS requirements DO apply to this project.

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Monday, October 14, 2024** for review and consideration. You may send your proposals to ryan@hci96.com, cody@hci96.com, luke@hci96.com, or hci@hci96.com.

Thank you,

Ryan Gabbard
Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 Email: ryan@hci96.com

Ryan Gabbard

From: Ryan Gabbard
Sent: Wednesday, September 25, 2024 3:12 PM
To: 'nona@metrofenceinc.com'
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Nona Winebrenner - Metro Fence Industries, Inc.,

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

BID DATE: Tuesday, October 15, 2024

BID TIME: 2:00 PM CST

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- Hoists/Cranes
- Submersible Pumps
- Liquid Chlorination Equipment

- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

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[241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT](#)

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Thank you,

Ryan Gabbard

Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 Email: ryan@hci96.com

Ryan Gabbard

From: Ryan Gabbard
Sent: Wednesday, September 25, 2024 3:13 PM
To: 'brian@millssupply.net'
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Brian Ackerman - Mills Supply Co., Inc,

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

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- Hoists/Cranes
- Submersible Pumps
- Liquid Chlorination Equipment

- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

Electronic bidding documents and job site pictures may be accessed at the following link:

241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

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Thank you,

Ryan Gabbard

Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 **Email:** ryan@hci96.com

Ryan Gabbard

From: Ryan Gabbard
Sent: Wednesday, September 25, 2024 3:13 PM
To: 'daniel@m-mmanufacturing.com'
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Daniel Hodges - M&M Manufacturing,

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

BID DATE: Tuesday, October 15, 2024

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- Hoists/Cranes
- Submersible Pumps
- Liquid Chlorination Equipment

- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

Electronic bidding documents and job site pictures may be accessed at the following link:

241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

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Thank you,

Ryan Gabbard

Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 Email: ryan@hci96.com

Ryan Gabbard

From: Ryan Gabbard
Sent: Wednesday, September 25, 2024 3:13 PM
To: 'greg@veteranscontractingllc.com'
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Greg Langham - Veterans Contracting (old Diamond),

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

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- Submersible Pumps
- Liquid Chlorination Equipment

- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

Electronic bidding documents and job site pictures may be accessed at the following link:

241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

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Federal wage rates DO apply to this project. AIS requirements DO apply to this project.

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Thank you,

Ryan Gabbard

Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 Email: ryan@hci96.com

Ryan Gabbard

From: Ryan Gabbard
Sent: Wednesday, September 25, 2024 3:13 PM
To: 'matt@mckinneypainting.com'
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Matt Gamlin - McKinney Painting,

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

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- Liquid Chlorination Equipment

- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

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241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

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Thank you,

Ryan Gabbard

Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 Email: ryan@hci96.com

Ryan Gabbard

From: Ryan Gabbard
Sent: Wednesday, September 25, 2024 3:14 PM
To: 'tclark@temgroupinc.com'
Subject: INVITATION TO BID - LFUCG WH & TB WWTP UV DISINFECTION PROCESS REPLACEMENT

Tom Clark - TEM Group,

Herrick Company, Inc. will be bidding as a General Contractor on the following Project:

LFUCG - TB & WH WWTP UV DISINFECTION PROCESS REPLACEMENT

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- *Scum Decant Pipe*
- *Diffusers*
- *UV Disinfection System*

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241015 – LFUCG WH & TB WWTP UV PROCESS REPLACEMENT

Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.

Federal wage rates DO apply to this project. AIS requirements DO apply to this project.

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Monday, October 14, 2024** for review and consideration. You may send your proposals to ryan@hci96.com, cody@hci96.com, luke@hci96.com, or hci@hci96.com.

Thank you,

Ryan Gabbard
Herrick Company, Inc.

780 Enterprise Dr.

Lexington, KY 40510

Office: 502-839-3484 Email: ryan@hci96.com

M&M Manufacturing, Inc.

709 Sportsman Lake Road
Franklin, Kentucky 42134
Phone: (270) 586-8208
Fax: (270) 586-6424
www.m-mmanufacturing.com

October 15, 2024

**Town Branch & West Hickman WWTP
Lexington, KY
-Quote-**

West Hickman WWTP –

Meter Valve Vault

1. (1) 9' x 16' Area of aluminum unpunched plank grating with aluminum supports
2. (2) Aluminum ladders at 11' tall each
*No cages, safety climb systems or fall protection included with ladders
3. (1) 2' x 2' Area of aluminum rectangular bar grating with supports at the sump
*Sump by others

Chlorination Influent Flow Splitter Box

4. Approximately 32 SF aluminum rectangular bar grating with aluminum supports
(Sheet 16)

UV Disinfection

5. (1) 5-Tread aluminum stair with 1 ½" 2-line aluminum handrail
6. (2) 8-Tread aluminum stairs with 1 ½" 2-line aluminum handrail
7. Approximately 470 LF 1 ½" 2-line aluminum handrail
8. Approximately 130 SF removable aluminum unpunched plank grating with aluminum supports above the flow straightener plates
*Flow straightener plates by others
9. Approximately 600 SF aluminum unpunched plank grating with aluminum supports
10. Approximately 193 SF aluminum rectangular bar grating with aluminum supports
11. (2) 13' 8" x 15' 4" Steel canopy's (per sheet 27)
*Finish paint by others
*Standing seam roof by others
12. (1) 12' 2" x 167' 7" Steel bridge crane canopy (per sheet 28)
13. (1) W10 x 26# Steel crane beam at 12' 2" long
*All crane hoists by others
*Connections from crane beam to runway beams by others
*Finish paint by others
*Standing seam roof by others

Town Brach WWTP –

UV Disinfection

14. (1) 5-Tread aluminum stair with 1 ½” 2-line aluminum handrail
15. Approximately 365 SF aluminum unpunched plank grating with aluminum supports
16. Approximately 11 SF removable aluminum unpunched plank grating with aluminum supports at the flow straightener plates
 - *Flow straightener plates by others**
17. Approximately 123 SF aluminum bar grating with aluminum supports
18. (1) 24’ 6” x 40’ 8” Steel canopy (per sheet 49)
 - *Finish paint by others**
 - *Standing seam roof by others**
19. (1) 8’ x 20’ 8” Steel monorail canopy (per sheet 50)
 - *Finish paint by others**
 - *Standing seam roof by others**
20. (2) 78’ 8” Long areas of W10 x 22# Stainless steel beam supports for troughs
 - *Beams will not be in full length pieces, splicers will be used**
21. (9) W8 x 15# Stainless steel columns at 8’ 4” tall with base plates
 - *All galvanized steel chain link fencing by others**
 - *Stainless steel guide rails by others**
 - *Stainless steel lifting chains by others**

- *Generator platforms not included in quote**
- *All flow straightener plates by others**
- *All gutters and downspouts at canopy’s by others**
- *All pipe supports by others**
- *All hatches by others**
- *All slide and sluice gates by others**
- *All FRP weirs, weir troughs and baffles by others**
- *All galvanized steel chain link fencing by others**
- *Field measurements not included, field measurements to be provided by contractor**
- *Engineer stamp not included**
- *Freight to each jobsite included in quote**

TOTAL: \$670,500.00

***ADDS:**

Add for 462 LF of 1/4" x 4" galvanized steel toe board to attach to chain link fence at the Town Branch WWTP

***Field drilling will be required**

***All chain link fence by others**

ADD: \$14,990.00

This quote is subject to the attached terms. Please call with any additions and/or deletions. Plus tax if applicable.

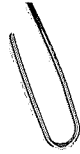
*Thanks,
Jessica Vaughn
Jessica@m-mmmanufacturing.com*

DBE Certified *by: State of Kentucky Transportation Cabinet*

*Certified as a **WBE** by:*



*Approved by: **Louisville MSD** Louisville/Jefferson County Metro Sewer District*



McKinney Painting, Inc.

104 Kuhlman Drive
Versailles KY 40383
(859) 873-6424 Phone
(859) 873-1312 Fax

Woman's Business Enterprise

Email: matt@mckinneypainting.com

www.mckinneypainting.com

Scope Of Work and Pricing

Please Review Scope Thoroughly, Call With Any Questions/Concerns

Project: West Hickman & Town Branch UV Disinfection Process Replacement

Date: October 15, 2024

No. Of Addendums Acknowledged: 3

Division of Work Section : 09 91 00 Painting

Brand of Materials : Tnemec, Carboline or SW

Area and Included Surfaces List:

West Hickman WWTP Portion

New Bridge Crane
Columns
Beams and Runway
New Canopy Support Steel
Misc. Pipe Painting - New Gate
Valves / etc.
New NPW Draw Off- Line
Connections
Pipe Supports for Draw Off -Line

Town Branch WWTP Portion

8" Drainpipe & 12" Decant
Connections
6" TDW Piping
New Pumps
New Canopy Steel
Columns
Beams
Handwheel Operator & Gate Valve
Monorail Canopy Steel

Existing Non-Potable Water Pump Station

Clean and Repaint Ceiling Walls and
Floors
New Piping and Connections

Labor, Equipment and Materials - \$49,900.00

Alternates: None

Exclusions: Removal of Surface Concrete or Mud from other trades, dewatering of any structures and any items not listed on detailed scope above.

Clarifications: No containment or heating included.

Vice President: Matt Gamlin, ext. 129.

Please feel free to call with any questions or concerns. My cellular number is (859) 983-4515.



Concrete and Masonry Chemicals & Accessories to the Construction Industry

2910 Blankenbaker Road Louisville, KY 40299 502-561-0700	1100 S. 9 th Street Louisville, KY 40203 502-561-0700	1452 Production Dr. Burlington, KY 41005 859-441-0440	1612 Distribution Dr. Burlington, KY 41005 859-283-1900	1055 Whites Creek Pike Nashville, TN 37207 615-601-3110
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www.millssupply.net

Quotation

Date: 10/11/2024

Project: TOWN BRANCH & WEST HICKMAN WWTP UV DISINFECTION
301 JIMMIE CAMPBELL DRIVE
Location: LEXINGTON, KY
ADDENDA: 1, 2, 3 NOTED

50.17 TONS-CONCRETE REBAR for a lump sum price		\$52,680.00
88EA #5 Threaded Setting Bar w/ 90 Bend BS-F A=10.00" B= 31.00"	\$13.24 each	\$1,165.12
88 EA #5 Threaded Splice bar-Straight BS-H C= 38.00"	\$7.71 each	\$678.48
Threaded bar Freight estimates		\$159.00

SALES TAX NOT INCLUDED

57,682

Due to price volatility, prices only good when accepted within 15 days of the quote, and when delivery is taken within 30 days.

Prices ARE NOT good for the duration of the job."

2024 escalators are determined based on market increases and will be evaluated based on longevity of project from bid/award date. An unsigned/e-mail po# does not guarantee pricing for duration of project.

Commodities: wood, mesh, plastic, etc. good while supplies last. Subject to availability.
Direct Ship/special order Wire Mesh: Price subject to change without notice.

The approximate tonnage shown above is an estimate only. Any increase or decrease in tonnage will not affect the lump

Sum price unless the contract drawings change. First 4 hours of re-detailing due to changes is at no charge, additional time needed will be billed at \$85.00 per hour.

Payment terms are Net 30 days from date of shipment. Mills Supply company's right to payment is not subject to any payment provisions enforced upon Buyer by the terms of the Buyer's Contract with the Owner (or General Contractor) including the withholding of retainage. The obligation of the Buyer to make any payment is also not subject to the condition that the Buyer has been paid by the Owner (or General Contractor)

General Exclusions: Site Rebar (Drainage Boxes, Curbs, Light Standards), Landscape Rebar, Rebar / Mesh Support, Threaded or Welded Bars, Rebar Couplers, Smooth Round Bar, Reinforcing for Precast, Epoxy Coated Rebar, Retainage Not Allowed. Our price does not include any additional material that may be required but not specifically quantified and located on Architectural, Structural or Site drawings. Lump Sum Rebar Price is a hard number. The weights are approximate weights. If the weight is less or more than what is estimated, the lump sum price is still what will be invoiced.

ORDER AVAILABILITY IS SUBJECT TO SUPPLY CHAIN LIMITATIONS. ANY SUBSTITUTIONS, DELAYS OR INCREASED COST WILL BE AT THE OWNER/CONTRACTOR'S EXPENSE. MILLS SUPPLY IS NOT RESPONSIBLE FOR SHORTAGE OF MATERIALS, DELAYS, OR INCREASED COST DUE TO SUPPLY CHAIN ISSUES.