



**LEXINGTON**

**Lexington-Fayette Urban County Government**

Lexington, Kentucky  
Horse Capital of the World

**CONTRACT DOCUMENTS  
AND  
SPECIFICATIONS**

**DIVISION OF PARKS AND RECREATION**

**FOR**

**Parks Construction Unit Price Contract**

**Bid No. 77-2025**

**PART III**

**FORM OF PROPOSAL**

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### **PART III**

#### **Invitation to Bid No. 77-2025**

#### **Parks Construction Unit Price Contract**

##### **1. FORM OF PROPOSAL**

Place: Lexington, Kentucky

Date: July 2, 2025

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Bluegrass Contracting Corporation

1075 Red Mile Road, Lexington, KY 40504  
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as Bluegrass Contracting Corporation  
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of the Director of Purchasing  
200 East Main Street, 3rd Floor  
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the **Parks Construction Unit Price Contract** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. **LEGAL STATUS OF BIDDER**

Bidder Bluegrass Contracting Corporation

Date July 2, 2025

\* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Tanner McKenzie, bearing the official title of Vice President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

~~\* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)~~

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~~\* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)~~

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\*(The Bidder shall fill out the appropriate form and strike out the other two.)

3.

**BIDDERS AFFIDAVIT**

Comes the Affiant, Tanner McKenzie, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Tanner McKenzie and he/she is the individual submitting the bid or is the authorized representative of Bluegrass Contracting Corporation, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Procurement to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act"
6. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

  
Signature

Tanner McKenzie  
Printed Name

Vice President  
Title

7-2-25  
Date

Company Name Bluegrass Contracting Corporation

Address 1075 Red Mile Road, Lexington, KY 40504

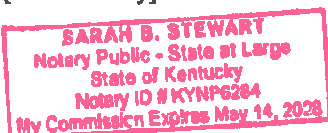
Subscribed and sworn to before me by Tanner McKenzie  
(Affiant)

Vice President  
(Title)

of Bluegrass Contracting Corporation this 2nd day of July, 20 25.  
(Company Name)

  
Notary Public  
[seal of notary]

My commission expires: 5-14-2028



#### **4. BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Bidders shall enter their unit prices on the attached Excel spreadsheet (Available through the LFUCG Ion Wave Procurement website), titled **77-2025 UPC BID SCHEDULE ELECTRONIC FORM.xlsx**, and submit it through Ion Wave.

**The Bidder is not required to bid on every item listed in the bid schedule. In instances where the Bidder chooses not to bid on a specific item, the Bidder shall enter the phrase “no bid” in the unit price column for that respective item. However, Bidders are cautioned that failure to submit a unit bid price on an item will disqualify the Bidder from any project requiring that item, even if they have submitted unit bid prices for all other work items pertaining to that particular project.**

**BIDDERS MUST COMPLETE THE EXCEL SPREADSHEET AVAILABLE ON THE LFUCG IONWAVE PROCUREMENT SITE at <https://lexingtonky.ionwave.net/Login.aspx> AND SUBMIT EXCEL SPREADSHEET, AS WELL AS, PRINTED AND SIGNED COPY OF SPREADSHEET VIA THE RESPONSE ATTACHMENTS TAB.**

| #  | Item  | Unit |
|----|---|------|
| 1  | Bituminous pavement for athletic courts: Base   | TN   |
| 2  | Bituminous pavement for athletic courts: Base Over 500                                  | TN   |
| 3  | Bituminous pavement for athletic courts: Surface  | TN   |
| 4  | Bituminous pavement for athletic courts: Surface Over 500                               | TN   |
| 5  | Bituminous pavement for athletic courts reinforced with aramid fibers: Base             | TN   |
| 6  | Bituminous pavement for athletic courts reinforced with aramid fibers: Base Over 500    | TN   |
| 7  | Bituminous pavement for athletic courts reinforced with aramid fibers: Surface          | TN   |
| 8  | Bituminous pavement for athletic courts reinforced with aramid fibers: Surface Over 500 | TN   |
| 9  | Bituminous pavement crack filler  | LF   |
| 10 | Bituminous pavement full depth crack repair   | LF   |
| 11 | Thermoplastic pavement striping-white or yellow   | LF   |
| 12 | ADA parking spot  | EA   |
| 13 | Asphalt sealing   | SY   |
| 14 | Parking lot striping  | LF   |

|    |  |    |
|----|--|----|
| 15 | Dense grade aggregate placed base                                    | TN |
| 16 | No. 2 stone  | TN |
| 17 | No. 9 Stone  | TN |
| 18 | No. 57 stone   | TN |
| 19 | Concrete sidewalk 4 1/2"   | SY |
| 20 | Concrete sidewalk 6"   | SY |
| 21 | Detectable Warning Surface Tile Imbedded                             | SF |
| 22 | Detectable Warning Surface Tile Overlay                              | SF |
| 23 | Playground Curb  | LF |
| 24 | Formed and finished class A concrete Less Than 10yd                  | CY |
| 25 | Formed and finished class A concrete More Than 10yd                  | CY |
| 26 | Geogrid Type 3 light duty  | SY |
| 27 | Geogrid Type 3 Heavy duty  | SY |
| 28 | Topsoil  | CY |
| 29 | Excavation   | CY |
| 30 | Embankment   | CY |
| 31 | Finish grading   | CY |
| 32 | Laser grading  | CY |
| 33 | Standard staked silt fence-installed                                 | LF |
| 34 | Wire back silt fence-installed                                       | LF |
| 35 | Construction fence/Tree Protection                                   | LF |
| 36 | Seeding & protection; General (standard seed mix)                    | SY |
| 37 | Seeding & protection: Athletic Field (special seed mix)              | SY |
| 38 | Sod: provide & installation  | SY |
| 39 | Site amenity install; trash/recycling receptacle                     | EA |
| 40 | Site amenity install; standard bench                                 | EA |
| 41 | Site amenity install: covered single bench                           | EA |
| 42 | Site amenity install: covered double bench                           | EA |
| 43 | Site amenity install: square picnic table                            | EA |
| 44 | Site amenity install: covered square picnic table                    | EA |
| 45 | Site amenity installation: 6 ft. rectangle picnic table              | EA |
| 46 | Site amenity installation: 8 ft. rectangle handicap picnic table     | EA |
| 47 | Site amenity installation: covered 6 ft. rectangle picnic table      | EA |
| 48 | Single block masonry retention wall                                  | SF |
| 49 | Supply and install ADA parking sign                                  | EA |
| 50 | 4 in. Polyethylene Perforated Pipe with incidental geotextile fabric | LF |
| 51 | 6 in. Polyethylene Perforated Pipe with incidental geotextile fabric | LF |
| 52 | Jackhammer with operator   | HR |
| 53 | Skid steer loader with operator                                      | HR |
| 54 | Backhoe (small) with operator  | HR |
| 55 | Roller/compactor with operator                                       | HR |



|    |   |    |
|----|---|----|
| 56 | Grader with operator                                      | HR |
| 57 | Dump truck (single axle) with driver                      | HR |
| 58 | Dump truck (tri-axle) with driver                         | HR |
| 59 | Removal of Concrete Pavement, Sidewalk, Entrance Pavement | SY |
| 60 | Removal of Curb and Gutter                                | LF |
| 61 | Removal of fence under 10ft tall                          | LF |
| 62 | Removal of Fence over 10ft tall                           | LF |
| 63 | Saw Cutting Walk, Curb, Pavement                          | LF |
| 64 | Bituminous Pavement Milling and Texturing                 | TN |

|  |
|--|
| Payment and Performance Bond cost for projects over \$50,000.00 ( <i>See Special Conditions Section 4</i> ).               |
| Minimum total project for consideration ( <i>See Special Conditions Section 2</i> )  |
| Unspecified incidental materials at cost plus 15%. ( <i>See Special Conditions Section 5</i> )                             |
| Unspecified incidental labor at direct wages plus certified overhead plus 15%. ( <i>See Special Conditions Section 5</i> ) |

Mobilization, Traffic Control, Construction Staking, and E&S Permitting will be paid on a sliding percentage scale for increments of the final price for Work, per the table below.

For example, if the final total of Bid Item Prices for the Work is \$24,000, Mobilization would be (\$10,000 X 15%) plus (\$10,000 X 10%) plus (\$4,000 X 5%) = \$2,700).

No additional compensation will be paid beyond a contract price of \$100,000. For example, the mobilization cost for a \$100,000 price of Work will be identical to that for a \$110,000 price of Work.

Applicability of Traffic Control, Construction Staking, and E&S Permitting will be agreed upon individually for each Purchase Order.

#### **Table of Incremental Costs for Mobilization and Additional Services**

| Increment Price for Work |             | (a)<br>Mobilization<br>Cost % | (b)<br>Traffic<br>Control<br>Cost % | (c)<br>Construction<br>Staking<br>Cost % | (d)<br>SWPP Etc.<br>Cost % |
|--------------------------|-------------|-------------------------------|-------------------------------------|--|----------------------------|
| \$0                      | - \$10,000  | 15.0                          | 7.5                                 | 7.5                                      | 5.0                        |
| \$10,000                 | - \$20,000  | 10.0                          | 4.5                                 | 4.5                                      | 0.5                        |
| \$20,000                 | - \$30,000  | 5.0                           | 3.0                                 | 3.0                                      | .05                        |
| \$30,000                 | - \$50,000  | 5.0                           | 2.5                                 | 2.5                                      | 0.5                        |
| \$50,000                 | - \$75,000  | 5.0                           | 2.5                                 | 2.5                                      | 0.5                        |
| \$75,000                 | - \$100,000 | 4.0                           | 2.0                                 | 2.0                                      | 0.5                        |

Bluegrass Contracting Corporation

1075 Red Mile Road

Lexington, KY 40504

***Bid must be signed:  
(original signature)***

*Signature of Authorized Company Representative – Title*

Representative/s Name (Typed or Printed)

(859) 231-0069

(859) 281-6457

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*Area Code – Phone – Fax #*

tannerm@bluegrasscontracting.com

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*E-Mail Address*

OFFICIAL ADDRESS:

1075 Red Mile Road

P.O. Box 11638

Lexington, KY 40504



(Seal if Bid is by Corporation)

**By signing this form you agree to ALL terms, conditions, and associated forms in this bid package**

**5. STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: Bluegrass Contracting Corporation

2. Permanent Place of Business: 1075 Red Mile Rd Lexington, KY 40504

3. When Organized: April 3, 1969

4. Where Incorporated: Kentucky

5. Construction Plant and Equipment Available for this Project:

See Attached Corporate Resume

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(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Procurement within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Fidelity and Deposit Company of Maryland

(Surety)

Signed:

Madison Haller

(Representative of Surety)

Madison Haller, Attorney-in-Fact



8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

| <u>NAME</u>                 | <u>LOCATION</u> | <u>CONTRACT SUM</u> |
|-----------------------------|-----------------|---------------------|
| <u>See Corporate Resume</u> | <u></u>         | <u></u>             |
| <u></u>                     | <u></u>         | <u></u>             |
| <u></u>                     | <u></u>         | <u></u>             |
| <u></u>                     | <u></u>         | <u></u>             |
| <u></u>                     | <u></u>         | <u></u>             |

9. The Bidder has now under contract and bonded the following projects:

| <u>NAME</u>                          | <u>LOCATION</u>         | <u>CONTRACT SUM</u>   |
|--------------------------------------|-------------------------|-----------------------|
| <u>Natl Mall &amp; Memorial Park</u> | <u>Washington, DC</u>   | <u>\$4,068,523.00</u> |
| <u>Rock Creek L St Bridge</u>        | <u>Washington, DC</u>   | <u>\$1,084,665.00</u> |
| <u>Assateague Island</u>             | <u>Chincoteague, VA</u> | <u>\$864,650.00</u>   |
| <u>TDOT CNY 265-003</u>              | <u>Knox County, TN</u>  | <u>\$826,500.00</u>   |
| <u></u>                              | <u></u>                 | <u></u>               |

10. List Key Bidder Personnel who will work on this Project.

| <u>NAME</u>                 | <u>POSITION DESCRIPTION</u> | <u>NO. OF YEARS WITH BIDDER</u> |
|-----------------------------|-----------------------------|---------------------------------|
| <u>See Corporate Resume</u> | <u></u>                     | <u></u>                         |
| <u></u>                     | <u></u>                     | <u></u>                         |
| <u></u>                     | <u></u>                     | <u></u>                         |
| <u></u>                     | <u></u>                     | <u></u>                         |
| <u></u>                     | <u></u>                     | <u></u>                         |

11. DBE Participation on current bonded projects under contract:

| <b><u>SUBCONTRACTORS</u></b><br><b><u>(LIST)</u></b> | <b><u>PROJECT</u></b><br><b><u>(SPECIFIC TYPE)</u></b> | <b><u>DBE</u></b> | <b><u>% of WORK</u></b> |
|--|--|-------------------|-------------------------|
| N/A  |  |                   |                         |
|  |  |                   |                         |
|  |  |                   |                         |
|  |  |                   |                         |
|  |  |                   |                         |
|  |  |                   |                         |
|  |  |                   |                         |
|  |  |                   |                         |
|  |  |                   |                         |

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

Respectfully submitted:

Bluegrass Contracting Corporation  
(Name of Contracting Firm)

BY:   
Tanner McKenzie

TITLE: Vice President

DATE 7/2/25

**6. LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

| <b><u>BRANCH OF WORK-LIST</u></b> | <b><u>DBE</u></b> | <b><u>% of Work EACH MAJOR ITEM</u></b> |
|-----------------------------------|-------------------|---|
| TBD                               |                   |   |
|                                   |                   |   |
|                                   |                   |   |
|                                   |                   |   |
|                                   |                   |   |
|                                   |                   |   |
|                                   |                   |   |
|                                   |                   |   |
|                                   |                   |   |

## **LIST OF MATERIALS/ SUPPLIERS**

Bidders are hereby advised that this list must be complete and submitted with the Bid.

Listing "as per plans and specifications", will not be considered as sufficient identification. Where more than one "Make or Brand" is listed for any one item, the Owner has the right to select the one to be used.

| Item | Brand Name, Manufacturer and/or Supplier |
|------|--|
| TBD  |  |
|      |  |
|      |  |
|      |  |
|      |  |
|      |  |



7. **LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS**



**LEXINGTON**

**MINORITY BUSINESS ENTERPRISE PROGRAM**

Sherita Miller, MPA, CPSD  
Minority Business Enterprise Liaison  
Division of Procurement  
Lexington-Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)  
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program (MBEP) is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long- term economic viability of Lexington-Fayette Urban County Government.

To that end the urban county council adopted and implemented Resolution 272-2024 – a Certified Minority and Women Business Enterprise seventeen percent (17%) minimum goal including minimum subgoals of five percent (5%) for Minority Business Enterprises (MBE) and a subgoal of twelve percent (12%) for Women Business Enterprises (WBE); a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and/or Certified Service- Disabled Veteran Owned Businesses; and a goal of utilizing Disadvantaged Business Enterprises (DBE), where applicable, for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals:

***Certified Disadvantaged Business Enterprise (DBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

***Certified Minority Business Enterprise (MBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. Black American, Asian American, Hispanic American, Native American)

***Certified Women Business Enterprise (WBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

***Certified Veteran-Owned Small Business (VOSB)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

***Certified Service -Disabled Veteran Owned Small Business (SDVOSB)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term “Certified” shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Procurement as having the appropriate credentials to make a determination as to the status of the business.

The following certifications are recognized and accepted by the MBEP:

Kentucky Transportation Cabinet (KYTC), Disadvantaged Business Enterprise (DBE)

Kentucky Minority and Women Business Enterprise (MWBE)

Women’s Business Enterprise National Council (WBENC)

National Women Business Owners Corporation (NWBOC)

National Minority Supplier Development Council (NMSDC)

Tri-State Minority Supplier Development Council (TSMSC)

U.S. Small Business Administration Veteran Small Business Certification (VetCert)

Kentucky Service- Disabled Veteran Owned Small Business (SDVOSB)

To comply with Resolution 272-2024, prime contractors, minority and women business enterprises, veteran owned small businesses, and service-disabled veteran owned small businesses must complete monthly contract compliance audits in the Diverse Business Management Compliance system, <https://lexingtonky.diversitycompliance.com/>

A list of organizations that certify and/or maintain lists of certified businesses (i.e. DBE, MBE, WBE, VOSB and/or SDVOSB) is available upon request by emailing, Sherita Miller, [smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov).



# LEXINGTON

## LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # \_\_\_\_\_

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to the Division of Procurement for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

| MWBE Company,<br>Name, Address,<br>Phone, Email | DBE/MBE<br>WBE/VOSB/SDVOSB | Work to be<br>Performed | Total<br>Dollar<br>Value of the<br>Work | % Value of<br>Total<br>Contract |
|---|----------------------------|-------------------------|---|---------------------------------|
| 1. TBD  |                            |                         |   |                                 |
| 2.  |                            |                         |   |                                 |
| 3.  |                            |                         |   |                                 |
| 4.  |                            |                         |   |                                 |

The undersigned company representative submits the above list of MDWBE and veteran firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

\_\_\_\_\_  
**Company**

\_\_\_\_\_  
**Company Representative**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Title**



## LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # \_\_\_\_\_

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to the Division of Procurement for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. **Note: Form required if a subcontractor is being substituted on a contract.**

| SUBSTITUTED<br>DBE/MBE/WBE/VOSB<br>Company Name, Address,<br>Phone, Email | DBE/MBE/WBE/VOSB/SDVOSB<br>Formally Contracted/ Name,<br>Address, Phone, Email | Work to Be<br>Performed | Reason for the<br>Substitution | Total Dollar<br>Value of the<br>Work | % Value of<br>Total<br>Contract |
|---|--|-------------------------|--------------------------------|--------------------------------------|---------------------------------|
| 1.  |  |                         |                                |                                      |                                 |
| 2.  |  |                         |                                |                                      |                                 |
| 3.  |  |                         |                                |                                      |                                 |
| 4.  |  |                         |                                |                                      |                                 |

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

\_\_\_\_\_  
Company

\_\_\_\_\_  
Company Representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title



## **DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS AND OUTREACH PLANS**

As affirmed in Resolution Number 272-2024, the Urban County Council has adopted an annual aspirational goal of utilizing at least seventeen percent (17%) of public funds spend from certain discretionary agreements with certified Minority Business Enterprises (MBEs) and certified Woman Business Enterprises (WBEs); utilizing at least three percent (3%) of public funds from certain discretionary agreements with Certified Veteran-Owned Small Business and Certified Service-Disabled Veteran-Owned Small Businesses (VOSBs); and utilizing Disadvantaged Business Enterprises (DBEs) where applicable. Bidders should make every effort to achieve these goals.

Therefore, as an element of the responsiveness of the bid, all Bidders are required to submit documentation of their good faith and outreach efforts to ensure all businesses, including small and disadvantaged businesses such as minority-, woman-, and veteran-owned businesses, have an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement. Examples of good faith and outreach efforts that satisfy this requirement to encourage the participation of, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs include:

1. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women, and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to participate.
2. Attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year to meet new small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to partner with on LFUCG contracts and procurements.
3. Attended pre-bid/pre-proposal meetings that were scheduled by LFUCG to inform small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs of subcontracting opportunities.
4. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs.
5. Requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
6. Contacted organizations that work with small, DBE, MBE, WBE, and VOSB companies for assistance in finding certified DBEs, MBEs, WBEs, VOSB and/or SDVOSBs to work on this project. Those contacted and their responses must be a part of the bidder's outreach efforts documentation.

7. Sent written notices, by certified mail, email, or facsimile, to qualified, certified small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
8. Followed up initial solicitations by contacting small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs via tailored communications to determine their level of interest.
9. Provided the interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs with adequate and timely information about the plans, specifications, and requirements of the contract.
10. Selected portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs in order to increase the likelihood of subcontracting participation. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate small, DBE, MBE, WBE, VOSB and/or SDVOSB participation, even when the prime contractor may otherwise perform these work items with its own workforce.
11. Negotiated in good faith with interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection must be so noted in writing with a description as to why an agreement could not be reached.
12. Included documentation of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs that were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
  - a. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a small business', DBE's MBE's, WBE's, VOSB's and/or SDVOSB's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy the participation goals.
13. Made an effort to offer assistance to or refer interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal.
14. Made efforts to expand the search for small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.

15. Other – any other evidence that the bidder submits that may demonstrate that the bidder has made reasonable efforts to include small, DBE, MBE, WBE, VOSB and/or SDVOSB participation.

Bidder must document, with specificity, each of the efforts it made to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs as subcontractors in the procurement, including the date on which each effort was made, the medium through which each effort was made, and the outcome of each effort.

**Note: Failure to submit the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the Bid, regardless of the proposed level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation in the procurement. If the Good Faith and Outreach Effort documentation is not submitted with the bid response, the bid may be rejected.**

#### OUTREACH EFFORTS EVALUATION

Outreach efforts demonstrated by the bidder or respondent will be evaluated on a pass/fail basis.

## ATTACHMENT A – SMALL AND DISADVANTAGED, MINORITY-, WOMEN-, AND VETERAN-OWNED BUSINESS OUTREACH PLAN

Proposer Name: \_\_\_\_\_

Date: \_\_\_\_\_

Project Name: \_\_\_\_\_

Project Number: \_\_\_\_\_

Contact Name: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

The mission of the Minority Business Enterprise Program is to facilitate the full participation of disadvantaged businesses, minority-, women-, veteran-, and service-disabled veteran-owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long-term economic viability of Lexington-Fayette Urban County Government.

To that end, small and disadvantaged businesses, including minority-, woman-, veteran-, and service-disabled veteran-owned businesses, must have an equal opportunity to be utilized in the performance of contracts with public funds spent from certain discretionary agreements. By submitting its offer, Bidder/Proposer certifies that it has taken, and if there are further opportunities will take, reasonable steps to ensure that small and disadvantaged businesses, including minority-, woman-, veteran-, and service-disabled veteran-owned businesses, are provided an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement.

The information submitted in response to this clause will not be considered in any scored evaluation. Failure to submit this form may cause the bid or proposal to be rejected.

**Is the Bidder/ Proposer a certified firm?** Yes ☐ No ☐

If yes, indicate all certification type(s):

DBE ☐

MBE ☐

WBE ☐

SBE ☐

VOSB/SDVOSB  
☐

and supply a copy of the certificate and/or certification letter if not currently listed on the city's Minority Business Enterprise Program's (MBEP) certified list.


**1. Include a list of firms that Bidder/ Proposer has had a contractual relationship with within the last two years that are minority-owned, woman-owned, veteran-owned or small businesses, regardless of their certification status.**

 Click or tap here to enter text. 

**2. Does Bidder/Proposer foresee any subcontracting opportunities for this procurement?**

Yes ☐ No ☐



If no, please explain why in the field below. Do not complete the rest of this form and submit this first page with your bid and/or proposal.  (Click or tap here to enter text.)

If yes, please complete the following pages and submit all pages with your bid and/or proposal.

**Describe the steps Bidder/Proposer took to solicit small and disadvantaged businesses, including MBEs, WBEs, VOSBs, and SDVOSBs, for subcontracting opportunities for this procurement.**

**3. Check the good faith and outreach efforts the Bidder/Proposer used to encourage the participation of small and disadvantaged businesses including, MBEs, WBEs, VOSBs and SDVOSBs:**

- ☐ Bidder placed advertisements in search of prospective small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs for the solicitation.
- ☐ Bidder attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year.
- ☐ Bidder attended pre-bid and/or pre-proposal meetings for this solicitation.
- ☐ Bidder sponsored an Economic Inclusion Outreach event.
- ☐ Bidder requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG.
- ☐ Bidder contacted organizations that work with small, DBE, MBE, WBE, VOSB and/or SDVOSB companies.
- ☐ Bidder sent written notices to certified small, DBE, MBE, WBE, VOSB and SDVOSB businesses.
- ☐ Bidder followed up to initial solicitations with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB.
- ☐ Bidder provided small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses interested in performing the solicited work with prompt access to the plans, specifications, scope of work, and requirements of the solicitation.
- ☐ Bidder made efforts to segment portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, including dividing sub-bid/partnership opportunities into economically feasible units/parcels, to facilitate participation.
- ☐ Bidder negotiated in good faith with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses.
- ☐ Bidder provided adequate rationale for rejecting any small business', DBEs, MBEs, WBEs, VOSBs or SDVOSBs for lack of qualifications.

- ☐ Bidder offered assistance in obtaining bonding, insurance, financial, equipment, or other resources to small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, in an effort to assist them in meeting project requirements.
- ☐ Bidder made efforts to expand the search for small businesses, DBEs MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
- ☐ Bidder made other reasonable efforts to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation.

**4. Bidder/Proposer must include documentation, including the date each effort was made, the medium through which each effort was made, and the outcome of each effort with this form, regardless of the level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation. Examples of required documentation include copies of email communications, copies of newspaper advertisements, or copies of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs or SDVOSBs.**

 Click or tap here to enter text. 

**For detailed information regarding outreach efforts that satisfy the MBE Program’s requirements, please see “Documentation Required for Good Faith Efforts and Outreach Plans” page.**

**Note: The Bidder/Proposer must be willing to report the identity of each subcontractor and the value of each subcontract to MBEP if awarded a contract from this procurement.**

**Failure to submit the documentation requested may be cause for rejection of the bid. Bidders may include any other documentation deemed relevant to this requirement, which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the bid, regardless of the proposed level of SBEs, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation in the procurement. If the Good Faith and Outreach Effort Form and associated documentation is not submitted with the bid response, the bid may be rejected.**

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

\_\_\_\_\_  
**Company**

\_\_\_\_\_  
**Company Representative**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Title**

8. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky   X  . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

**9.     STATEMENT OF EXPERIENCE**

NAME OF INDIVIDUAL: See Corporate Resume

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

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NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

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NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

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\* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

## 10. EQUAL OPPORTUNITY AGREEMENT

### Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the “Recipient”) hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the “Act”), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the “Regulations”) and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

### The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:  
*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*
- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:  
*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the

benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

### Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

  
Signature

Bluegrass Contracting Corporation  
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

KRS 45.640 Minimum skills

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which



he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

**11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Bluegrass Contracting Corporation  
to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

## 12. WORKFORCE ANALYSIS FORM

**Name of Organization:** Bluegrass Contracting Corporation

| Categories          | Total | White<br>(Not Hispanic or Latino) |   | Hispanic or Latino |   | Black or African-American<br>(Not Hispanic or Latino) |   | Native Hawaiian and Other Pacific Islander<br>(Not Hispanic or |   | Asian (Not Hispanic or Latino |   | American Indian or Alaskan Native<br>(not Hispanic or Latino |   | Two or more races (Not Hispanic or Latino |   | Total |   |
|---------------------|-------|-----------------------------------|---|--------------------|---|---|---|--|---|-------------------------------|---|--|---|---|---|-------|---|
|                     |       | M                                 | F | M                  | F | M   | F | M  | F | M                             | F | M  | F | M   | F | M     | F |
| Administrators      | 4     |                                   | 4 |                    |   |   |   |  |   |                               |   |  |   |   |   | M     | F |
| Professionals       | 3     | 3                                 |   |                    |   |   |   |  |   |                               |   |  |   |   |   | 3     | 4 |
| Superintendents     | 2     | 1                                 | 1 |                    |   |   |   |  |   |                               |   |  |   |   |   | 1     | 1 |
| Supervisors         |       |                                   |   |                    |   |   |   |  |   |                               |   |  |   |   |   |       |   |
| Foremen             | 4     | 4                                 |   |                    |   |   |   |  |   |                               |   |  |   |   |   | 4     |   |
| Technicians         |       |                                   |   |                    |   |   |   |  |   |                               |   |  |   |   |   |       |   |
| Protective Service  |       |                                   |   |                    |   |   |   |  |   |                               |   |  |   |   |   |       |   |
| Para-Professionals  |       |                                   |   |                    |   |   |   |  |   |                               |   |  |   |   |   |       |   |
| Office/Clerical     |       |                                   |   |                    |   |   |   |  |   |                               |   |  |   |   |   |       |   |
| Skilled Craft       | 41    | 35                                |   |                    |   | 6   |   |  |   |                               |   |  |   |   |   | 41    |   |
| Service/Maintenance | 3     | 3                                 |   |                    |   |   |   |  |   |                               |   |  |   |   |   | 3     |   |
| <b>Total:</b>       | 57    | 46                                | 5 |                    |   | 6   |   |  |   |                               |   |  |   |   |   | 52    | 5 |

**Prepared by:** Tanner McKenzie, VP  
(Name and Title)

**Date:** 07 / 02 / 25  
Revised 2015-Dec-15

### 13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT  
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Bluegrass Contracting Corporation

Employee ID: \_\_\_\_\_

Address: 1075 Red Mile Road, Lexington, KY 40504

Phone: \_\_\_\_\_

Project to be insured: \_\_\_\_\_

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

| Section Items         | Coverage | Minimum Limits and Policy Requirements         | Limits Provided To Insured | Name of Insurer         | A.M. Best's Code | Rating |
|-----------------------|----------|--|----------------------------|-------------------------|------------------|--------|
| SC-2 – see provisions | CGL      | \$1,000,000 per occ. And \$2,000,000 aggregate | \$ 1,000,000/\$2,000,000   | Travelers Insurance Co. | 004461           | A++;XV |
| SC-2 – see provisions | AUTO     | \$1,000,000 per occ.                           | \$                         | Travelers Insurance Co. | 004461           | A++;XV |
| SC-2 – see provisions | WC       | Statutory w/ endorsement as noted              | \$                         | Kentucky AGC            | 055002           | A-;VII |
| SC-2 – see provisions | EXC      | \$1,000,000 per occ.                           | \$                         |                         |                  |        |

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Marsh McLennan Agency

Paula Hardin

Agency or Brokerage

Name of Authorized Representative

360 E. Vine Street, 2nd Floor

Senior Account Manager

Street Address

Title

Lexington KY 40507

Paula Hardin

City State Zip

Authorized Signature

859-244-7637

02/19/25

Telephone Number

Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

**IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.**

#### 14. DEBARRED FIRMS

**PROJECT NAME:** Parks UPC Contract

**BID NUMBER:** 77-2025

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Bluegrass Contracting Corp has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Bluegrass Contracting Corporation  
Name of Firm Submitting Bid

  
Signature of Authorized Official

Vice President  
Title

07/02/25  
Date

## 15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Bluegrass Contracting Corporation

Project: Parks UPC Contract

Printed Name and Title of Authorized Representative: Tanner McKenzie, Vice President

Signature: 

Date: 07/02/25

END OF SECTION

Project: Parks Construction Unit Price Contract Bid# 77-2025  
 Bid Date: 7/2/2025

**Contractor & S Bluegrass Contracting Corporation**

| #  | Item  | Unit | Unit Price  |
|----|---|------|-------------|
| 1  | Bituminous pavement for athletic courts: Base   | TN   | \$ 220.00   |
| 2  | Bituminous pavement for athletic courts: Base Over 500                                  | TN   | \$ 200.00   |
| 3  | Bituminous pavement for athletic courts: Surface  | TN   | \$ 250.00   |
| 4  | Bituminous pavement for athletic courts: Surface Over 500                               | TN   | \$ 220.00   |
| 5  | Bituminous pavement for athletic courts reinforced with aramid fibers: Base             | TN   | \$ 250.00   |
| 6  | Bituminous pavement for athletic courts reinforced with aramid fibers: Base Over 500    | TN   | \$ 230.00   |
| 7  | Bituminous pavement for athletic courts reinforced with aramid fibers: Surface          | TN   | \$ 280.00   |
| 8  | Bituminous pavement for athletic courts reinforced with aramid fibers: Surface Over 500 | TN   | \$ 250.00   |
| 9  | Bituminous pavement crack filler  | LF   | \$ 15.00    |
| 10 | Bituminous pavement full depth crack repair   | LF   | \$ 15.00    |
| 11 | Thermoplastic pavement striping-white or yellow   | LF   | \$ 15.00    |
| 12 | ADA parking spot  | EA   | \$ 1,250.00 |
| 13 | Asphalt sealing   | SY   | \$ 8.00     |
| 14 | Parking lot striping  | LF   | \$ 12.00    |
| 15 | Dense grade aggregate placed base   | TN   | \$ 45.00    |
| 16 | No. 2 stone   | TN   | \$ 45.00    |
| 17 | No. 9 Stone   | TN   | \$ 40.00    |
| 18 | No. 57 stone  | TN   | \$ 45.00    |
| 19 | Concrete sidewalk 4 1/2"  | SY   | \$ 135.00   |
| 20 | Concrete sidewalk 6"  | SY   | \$ 145.00   |
| 21 | Detectable Warning Surface Tile Imbedded  | SF   | \$ 65.00    |
| 22 | Detectable Warning Surface Tile Overlay   | SF   | \$ 65.00    |
| 23 | Playground Curb   | LF   | \$ 75.00    |
| 24 | Formed and finished class A concrete Less Than 10yd                                     | CY   | \$ 750.00   |
| 25 | Formed and finished class A concrete More Than 10yd                                     | CY   | \$ 650.00   |
| 26 | Geogrid Type 3 light duty   | SY   | \$ 8.00     |
| 27 | Geogrid Type 3 Heavy duty   | SY   | \$ 12.00    |
| 28 | Topsoil   | CY   | \$ 75.00    |
| 29 | Excavation  | CY   | \$ 50.00    |
| 30 | Embankment  | CY   | \$ 50.00    |
| 31 | Finish grading  | CY   | \$ 40.00    |
| 32 | Laser grading   | CY   | \$ 165.00   |
| 33 | Standard staked silt fence-installed  | LF   | \$ 7.50     |
| 34 | Wire back silt fence-installed  | LF   | \$ 8.50     |
| 35 | Construction fence/Tree Protection  | LF   | \$ 30.00    |
| 36 | Seeding & protection; General (standard seed mix)                                       | SY   | \$ 3.00     |
| 37 | Seeding & protection: Athletic Field (special seed mix)                                 | SY   | \$ 5.00     |
| 38 | Sod: provide & installation   | SY   | \$ 18.00    |
| 39 | Site amenity install; trash/recycling receptacle  | EA   | \$ 1,500.00 |
| 40 | Site amenity install; standard bench  | EA   | \$ 2,000.00 |
| 41 | Site amenity install: covered single bench  | EA   | \$ 6,500.00 |
| 42 | Site amenity install: covered double bench  | EA   | \$ 7,500.00 |
| 43 | Site amenity install: square picnic table   | EA   | \$ 2,500.00 |
| 44 | Site amenity install: covered square picnic table                                       | EA   | \$ 3,500.00 |
| 45 | Site amenity installation: 6 ft. rectangle picnic table                                 | EA   | \$ 2,500.00 |
| 46 | Site amenity installation: 8 ft. rectangle handicap picnic table                        | EA   | \$ 3,500.00 |
| 47 | Site amenity installation: covered 6 ft. rectangle picnic table                         | EA   | \$ 4,000.00 |
| 48 | Single block masonry retention wall   | SF   | \$ 90.00    |
| 49 | Supply and install ADA parking sign   | EA   | \$ 750.00   |
| 50 | 4 in. Polyethylene Perforated Pipe with incidental geotextile fabric                    | LF   | \$ 25.00    |
| 51 | 6 in. Polyethylene Perforated Pipe with incidental geotextile fabric                    | LF   | \$ 27.00    |
| 52 | Jackhammer with operator  | HR   | \$ 230.00   |
| 53 | Skid steer loader with operator   | HR   | \$ 125.00   |
| 54 | Backhoe (small) with operator   | HR   | \$ 125.00   |
| 55 | Roller/compactor with operator  | HR   | \$ 165.00   |
| 56 | Grader with operator  | HR   | \$ 170.00   |
| 57 | Dump truck (single axle) with driver  | HR   | \$ 125.00   |
| 58 | Dump truck (tri-axle) with driver   | HR   | \$ 155.00   |
| 59 | Removal of Concrete Pavement, Sidewalk, Entrance Pavement                               | SY   | \$ 40.00    |
| 60 | Removal of Curb and Gutter  | LF   | \$ 30.00    |

|    |  |   |    |    |       |
|----|--|---|----|----|-------|
| 61 |  | Removal of fence under 10ft tall          | LF | \$ | 20.00 |
| 62 |  | Removal of Fence over 10ft tall           | LF | \$ | 30.00 |
| 63 |  | Saw Cutting Walk, Curb, Pavement          | LF | \$ | 8.00  |
| 64 |  | Bituminous Pavement Milling and Texturing | TN | \$ | 45.00 |

|  |  |  |  |  |                                     |
|--|--|--|--|--|-------------------------------------|
| Payment and Performance Bond Cost for Projects over \$50,000 (See Special Conditions, Section 4) - <b>Enter % of total project cost</b>        |  |  |  |  | 5%                                  |
| Minimum Total Project for Consideration (See Special Conditions, Section 2) - <b>Enter dollar amount</b>                                       |  |  |  |  | \$ 10,000.00                        |
| Unspecified Incidental Materials at Cost Plus 15% (See Special Conditions, Section 5) - <b>Check if Interested</b>                             |  |  |  |  | <input checked="" type="checkbox"/> |
| Unspecified Incidental Labor at Direct Wages Plus Certified Overhead Plus 15% (See Special Conditions, Section 5) - <b>Check if Interested</b> |  |  |  |  | <input checked="" type="checkbox"/> |