

PART III

Invitation to Bid No. 131-2018

2018 Construction Unit Price Contract

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: 10/15/18

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by The Allen Company, Inc.
3009 Atkinson Ave., Lexington, Ky 40509
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Delaware, doing business as The Allen Company, Inc.
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **2018 Construction Unit Price Contract** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue purchase orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under agreed-to Purchase Order accepted under this Contract and to complete the Project(s) within the time provided by the Purchase Order.

The Bidder hereby acknowledges receipt of the following addenda:

- Addendum No. ___ Date NONE
- Addendum No. ___ Date _____
- Addendum No. ___ Date _____
- Addendum No. ___ Date _____
- Addendum No. ___ Date _____
- Addendum No. ___ Date _____
- Addendum No. ___ Date _____
- Addendum No. ___ Date _____

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. LEGAL STATUS OF BIDDER

Bidder The Allen Company, Inc.

Date _____

* 1. A corporation duly organized and doing business under the laws of the State of Delaware, for whom Jason B. Gabbard, bearing the official title of Executive Vice President whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. BIDDERS AFFIDAVIT

Comes the Affiant, Jason B. Gabbard, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Jason B. Gabbard and he/she is the individual submitting the bid or is the authorized representative of The Allen Company, Inc., the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

Jason B. Gabbard
(Affiant) *Exc VP*

STATE OF

Kentucky

COUNTY OF

Franklin

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Jason B. Gabbard on this the 27th day of September, 2018.

My Commission expires:

7/22/20

Kimberley A. Mulder

NOTARY PUBLIC, STATE AT LARGE

4. BID SCHEDULE – SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

In addition to completing the following schedule of values, Bidders shall enter their unit prices on the attached Excel spreadsheet (Available through the LFUCG IonWave Procurement website), titled **2018 Construction Unit Price Contract Bid Schedule.xls**, and submit it with their bid on a CD or flash drive. Any discrepancies between entries in the table below and the electronic spreadsheet will defer to the unit price as written in the schedule below.

The Bidder is not required to bid on every item listed in the bid schedule. In instances where the Bidder chooses not to bid on a specific item, the Bidder shall enter the phrase “no bid” in the unit price column for that respective item. However, Bidders are cautioned that failure to submit a unit bid price on an item will disqualify the Bidder from any project requiring that item, even if they have submitted unit bid prices for all other work items pertaining to that particular project.

Unit prices shall be clearly written in numerical format to the penny (\$ XX.xx) . Any entries deemed illegible shall be treated as a no-bid.

IN ADDITION TO COMPLETING THE LINE ITEM UNIT PRICES BELOW, BIDDERS MUST COMPLETE THE EXCEL SPREADSHEET AVAILABLE ON THE LFUCG IONWAVE PROCUREMENT at <https://lexingtonky.ionwave.net/Login.aspx> WEBSITE AND RETURN IT WITH THEIR SUBMITTAL ON A CD OR FLASH DRIVE.

#	Item	Unit	Unit Price
1	Excavation and Placement	CY	12.75
2	Embankment	CY	15.30
3	Rock Excavation (Mechanical)	CY	204.00
4	Remove Portland Cement Concrete Pavement	SY	30.60
5	Remove Curb and Gutter	LF	10.20
6	Remove Sidewalk, Entrance Pavement	SY	25.50
7	Remove Bituminous Concrete Pavement	SY	11.73
8	Remove Pipes less than 24" - up to 8' deep	LF	15.30
9	Remove Pipes 30"- 48" - up to 8' deep	LF	19.38
10	Remove Fence	LF	5.10
11	Remove Headwalls and Inlet Structures	EA	510.00
12	Remove Tree (5" dia. to 12" dia.)	EA	510.00
13	Remove Tree (>12" dia. to 24" dia.)	EA	1,530.00

14	Remove Tree (>24" dia. to 36" dia.)	EA	2,448.00
15	Remove Tree (>36" dia. and up)	EA	3,060.00
16	Dense Graded Aggregate Base	TN	26.01
17	No. 2 Stone	TN	32.13
18	No. 9 Stone	TN	32.13
19	No. 57 Stone	TN	32.13
20	Steel Reinforcement for Concrete	LB	2.55
21	Unfinished Concrete less than 10 CY	CY	188.70
22	Unfinished Concrete more than 10 CY	CY	183.60
23	Formed Class A Concrete less than 10 CY	CY	1,020.00
24	Formed Class A Concrete more than 10 CY	CY	969.00
25	4-1/2" Concrete Sidewalk	SY	45.90
26	6" Concrete Sidewalk	SY	51.00
27	6" Concrete Entrance Pavement	SY	66.30
28	Sidewalk Ramp	SY	81.60
29	Header Curb	LF	26.52
30	Curb and Gutter, Type 1	LF	26.52
31	Curb and Gutter, Type 4	LF	26.52
32	Bituminous Pavement Milling and Texturing	TN	40.80
33	Bituminous Base	TN	81.60
34	Class I, Bituminous Surface less than 50 tons	TN	102.00
35	Class I, Bituminous Surface greater than 50 tons	TN	96.90
36	Bituminous Material for Tack	TN	816.00
37	Type A Surface Inlet	EA	3,621.00
38	Type B Surface Inlet	EA	3,621.00
39	Curb Box Inlet Type A (LFUCG)	EA	4,284.00
40	Curb Box Inlet Type B (LFUCG)	EA	4,284.00
41	Curb Box Inlet Type C (LFUCG)	EA	4,284.00
42	Curb Box Inlet Type D (LFUCG)	EA	3,187.50
43	Curb Box Inlet Type B (KDOH)	EA	4,284.00
44	Drop Box Inlet Type 13 (KDOH)	EA	4,472.70
45	Drop Box Inlet Type 16 (KDOH)	EA	3,309.90
46	Lex Storm Sewer Manhole (4' dia.) (0-8' No rock)	EA	2,524.50

47	Lex Storm Sewer Manhole (5' dia.) (0-8' No rock)	EA	3,580.20
48	Lex Storm Sewer Manhole (6' dia.) (0-8' No rock)	EA	6,222.00
49	Pipe Tie-in into Manhole or Curb Box Inlet	EA	790.50
50	15" RCP Storm Sewer (0-8' No rock)	LF	52.99
51	18" RCP Storm Sewer (0-8' No rock)	LF	56.10
52	24" RCP Storm Sewer (0-8' No rock)	LF	73.95
53	30" RCP Storm Sewer (0-8' No rock)	LF	83.64
54	36" RCP Storm Sewer (0-8' No rock)	LF	99.96
55	42" RCP Storm Sewer (0-8' No rock)	LF	121.38
56	48" RCP Storm Sewer (0-8' No rock)	LF	137.70
57	15" HDPE Storm Sewer (0-8' No rock)	LF	51.00
58	18" HDPE Storm Sewer (0-8' No rock)	LF	54.06
59	24" HDPE Storm Sewer (0-8' No rock)	LF	71.40
60	30" HDPE Storm Sewer (0-8' No rock)	LF	82.62
61	36" HDPE Storm Sewer (0-8' No rock)	LF	93.84
62	15" PP Storm Sewer (0-8' No rock)	LF	45.90
63	18" PP Storm Sewer (0-8' No rock)	LF	51.00
64	24" PP Storm Sewer (0-8' No rock)	LF	61.20
65	30" PP Storm Sewer (0-8' No rock)	LF	73.44
66	36" PP Storm Sewer (0-8' No rock)	LF	86.70
67	15" Elliptical RCP Storm Sewer	LF	70.38
68	18" Elliptical RCP Storm Sewer	LF	86.70
69	24" Elliptical RCP Storm Sewer	LF	106.08
70	30" Elliptical RCP Storm Sewer	LF	139.74
71	36" Elliptical RCP Storm Sewer	LF	173.40
72	42" Elliptical RCP Storm Sewer	LF	206.04
73	48" Elliptical RCP Storm Sewer	LF	265.20
74	Internal Inspection of Sewer Pipe: CCTV	LF	2.55
75	15" Straight Headwall - Standard or Raised	EA	1,530.00
76	18" Straight Headwall - Standard or Raised	EA	1,530.00
77	24" Straight Headwall - Standard or Raised	EA	2,091.00
78	15" Pipe Culvert Headwall	EA	1,224.00
79	18" Pipe Culvert Headwall	EA	1,275.00

80	24" Pipe Culvert Headwall	EA	1,326.00
81	30" Pipe Culvert Headwall	EA	1,938.00
82	36" Pipe Culvert Headwall	EA	2,244.00
83	42" Pipe Culvert Headwall	EA	2,550.00
84	48" Pipe Culvert Headwall	EA	3,417.00
85	18" Sloped and Flared Box Inlet-Outlet	EA	2,193.00
86	24" Sloped and Flared Box Inlet-Outlet	EA	3,009.00
87	30" Sloped and Flared Box Inlet-Outlet	EA	4,692.00
88	36" Sloped and Flared Box Inlet-Outlet	EA	6,018.00
89	15" Impact Stilling Basin	EA	2,927.40
90	18" Impact Stilling Basin	EA	3,146.70
91	24" Impact Stilling Basin	EA	4,080.00
92	30" Impact Stilling Basin	EA	5,355.00
93	36" Impact Stilling Basin	EA	6,675.90
94	48" Impact Stilling Basin	EA	8,160.00
95	Bottom Paved Ditch	SY	91.80
96	Aggregate Channel Lining for Slope Protection	TN	43.35
97	Seeding and Protection	SY	2.81
98	Sodding	SY	8.42
99	Gabion Mattress Channel Lining	CY	280.50
100	4" HDPE Perforated Pipe	LF	15.30
101	6" HDPE Perforated Pipe	LF	15.30
102	4" PVC Pipe	LF	25.50
103	6" PVC Pipe	LF	28.56
104	8" PVC Sanitary Sewer (0-8' No Rock)	LF	34.78
105	10" PVC Sanitary Sewer (0-8' No Rock)	LF	36.31
106	12" PVC Sanitary Sewer (0-8' No Rock)	LF	41.36
107	15" PVC Sanitary Sewer (0-8' No Rock)	LF	51.20
108	18" PVC Sanitary Sewer (0-8' No Rock)	LF	81.60
109	8" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	51.00
110	10" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	60.69
111	12" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	65.28
112	14" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	93.84

113	Sanitary Sewer By-Pass Pumping	DAY	765.00
114	Two Way Sewer Service Cleanout	EA	382.50
115	4"x 8" Sanitary Sewer Tee & up to 6' of lateral pipe	EA	107.10
116	6"x 8" Sanitary Sewer Tee & up to 6' of lateral pipe	EA	117.30
117	Lex Sanitary Sewer Manhole (4' dia.) (0-8' No rock)	EA	2,652.00
118	Lex Sanitary Sewer Manhole (5' dia.) (0-8' No rock)	EA	3,570.00
119	Lex Sanitary Sewer Manhole (6' dia.) (0-8' No rock)	EA	5,967.00
120	Sanitary Sewer Manhole MIC Protection	VF	40.80
121	Manhole-Additional vertical depth > 8' (4' dia.)	VF	306.00
122	Manhole-Additional vertical depth > 8' (5' dia.)	VF	408.00
123	Manhole-Additional vertical depth > 8' (6' dia.)	VF	459.00
124	Manhole-Additional for adjustable frame and cover	EA	1,632.00
125	Woven Wire Fence 4' height	LF	20.40
126	Chain Link Fence 4' height	LF	35.70
127	Privacy Fence (installation)	LF	86.70
128	Backhoe (small) with Operator	HR	102.00
129	Dump Truck (single axle) with driver	HR	76.50
130	Dump Truck (tri-axle) with driver	HR	81.60
131	Jackhammer with Operator	HR	76.50
132	Skid Loader with Operator	HR	76.50
133	Check Dam	TN	51.00
134	Sediment Trap	CY	51.00
135	Sediment Pond	CY	40.80
136	Silt Fence	LF	3.57
137	Storm Drain Inlet Protection	EA	280.50
138	Filter Strip	SY	19.89
139	Stream Crossing	EA	5,100.00
140	Pump-Around Flow Diversion	DAY	2,448.00
141	Construction Dewatering	DAY	2,448.00
142	Geotextile Construction Type I	SY	4.08
143	Geotextile Construction Type II	SY	4.08
144	Geotextile Construction Type III	SY	4.08
145	Geotextile Construction Type IV	SY	4.08

146	Edge Key	LF	10.20
147	Pipe Plugging for Pipes less than or equal to 24"	EA	459.00
148	Pipe Plugging for Pipes 30"- 48"	EA	765.00
149	Flowable Fill	CY	178.50
150	Fiber Reinforced PCC Pavement	CY	306.00
151	Single Block Masonry Retaining Wall	SF	26.52
152	Degradable Erosion Control Mat	SY	NO BID
153	Turf Reinforcement Mat	SY	9.44
154	Project Sign	EA	561.00
155	Steel W Beam Guardrail and End Treatments	LF	55.08
156	Articulating Concrete Block	SY	NO BID
157	Reinf Conc Pipe Crack Repairs and Manhole Rehab	LF	NO BID
158	Saw cutting	LF	2.40
159	Precast Reinforced Concrete Box Culvert 3' X 2'	LF	382.50
160	Precast Reinforced Concrete Box Culvert 3' X 3'	LF	413.10
161	Precast Reinforced Concrete Box Culvert 4' X 2'	LF	443.70
162	Precast Reinforced Concrete Box Culvert 4' X 3'	LF	469.20
163	Detectable Warning Surface Tile-Overlay	SF	50 ⁰⁰
164	Detectable Warning Surface Tile-Imbedded	SF	65 ⁰⁰
165	Thermoplastic Pavement Striping - White or Yellow	LF	3.00
166	Removal of Existing Pavement Striping	LF	3.00
167	Bulb-out: Gutter Cover	LF	NO BID
168	Bulb-out: Asphalt Repair	SF	NO BID
169	Grader with Operator	HR	142.80
170	Roller/Compactor with Operator	HR	117.30
171	Furnish and Place Topsoil	CY	22.95
172	Spreading Stockpiled Topsoil	CY	15.30
173	2" PVC Conduit Under Non-traffic Surface	LF	15.30
174	2" PVC Conduit Under Roadway or Driveway	LF	15.30
175	Junction Box, KYTC Type A	EA	918.00
176	Junction Box, KYTC Type C	EA	1,632.00
177	Pedestal Base for Pedestrian Pole	EA	NO BID

Payment and Performance Bond Cost, required for Projects over \$50,000 5.0% Allowance of Total Project Cost* * See Special Conditions, Section 6, Payment for Cost of P&P Bonds	5.0 % max.
Unspecified, Incidental Materials at Cost Plus 15% Overhead and Profit (To be submitted as Contract progresses and as needed) * See Special Conditions, Section 7, Payment for Unspecified Materials and Labor. Check if interested	✓
Unspecified, Incidental Labor at Direct Wages Plus Certified Overhead Plus 15% Profit (To be submitted as Contract progresses and as needed) * See Special Conditions, Section 7, Payment for Unspecified Materials and Labor. Check if interested	✓
Minimum Project Total For Which Contractor will consider the project. (See Special Conditions, Section 2, Contractors Performance)	\$ <u>25,000.00</u>

Mobilization, Traffic Control, Construction Staking and E&S Permitting will be paid on a sliding percentage scale for increments of the final price for Work, per the table below.

(For example, if the final total of Bid Item Prices for the work is \$24,000, Mobilization would be (\$10,000 X 15%) plus (\$10,000 X 10%) plus (\$4,000 X 5%) = \$2,700)

No additional compensation will be paid beyond a contract price of \$100,000. For example, the mobilization cost for a \$100,000 price of work will be identical to that for a \$110,000 price of work.

Applicability of Traffic Control, Construction Staking and E&S Permitting will be agreed upon individually for each Purchase Order.

Table of Incremental Costs for Mobilization and Additional Services

Increment Price for Work	(a) Mobilization Cost %	(b) Traffic Control Cost %	(c) Construction Staking Cost %	(d) SWPP Etc. Cost %
\$0 - 10,000	15.0	7.5	7.5	5.0
\$10,000 - 20,000	10.0	4.5	4.5	0.5
\$20,000 - 30,000	5.0	3.0	3.0	0.5
\$30,000 - 50,000	5.0	2.5	2.5	0.5
\$50,000 - 75,000	5.0	2.5	2.5	0.5
\$75,000 - 100,000	4.0	2.0	2.0	0.5

Project: Construction Unit Price Contract Bid# 131-2018

Bid Date: October 15, 2018

Contractor: THE ALLEN COMPANY, INC.

#	Item	Unit	Spec Sec.	Unit Price
1	Excavation and Placement	CY	3	\$12.75
2	Embankment	CY	3	\$15.30
3	Rock Excavation (Mechanical)	CY	5	\$204.00
4	Remove Portland Cement Concrete Pavement	SY	6	\$30.60
5	Remove Curb and Gutter	LF	6	\$10.20
6	Remove Sidewalk, Entrance Pavement	SY	6	\$25.50
7	Remove Bituminous Concrete Pavement	SY	6	\$11.73
8	Remove Pipes less than 24" - up to 8' deep	LF	6	\$15.30
9	Remove Pipes 30"- 48" - up to 8' deep	LF	6	\$19.38
10	Remove Fence	LF	6	\$5.10
11	Remove Headwalls and Inlet Structures	EA	6	\$510.00
12	Remove Tree (5" dia. to 12" dia.)	EA	7	\$510.00
13	Remove Tree (>12" dia. to 24" dia.)	EA	7	\$1,530.00
14	Remove Tree (>24" dia. to 36" dia.)	EA	7	\$2,448.00
15	Remove Tree (>36" dia. and up)	EA	7	\$3,060.00
16	Dense Graded Aggregate Base	TN	8	\$26.01
17	No. 2 Stone	TN	9	\$32.13
18	No. 9 Stone	TN	9	\$32.13
19	No. 57 Stone	TN	9	\$32.13
20	Steel Reinforcement for Concrete	LB	10	\$2.55
21	Unfinished Concrete less than 10 CY	CY	11	\$188.70
22	Unfinished Concrete more than 10 CY	CY	11	\$183.60
23	Formed Class A Concrete less than 10 CY	CY	11	\$1,020.00
24	Formed Class A Concrete more than 10 CY	CY	11	\$969.00
25	4-1/2" Concrete Sidewalk	SY	12	\$45.90

Project: Construction Unit Price Contract Bid# 131-2018

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Contractor: THE ALLEN COMPANY, INC.

#	Item	Unit	Spec Sec.	Unit Price
26	6" Concrete Sidewalk	SY	12	\$51.00
27	6" Concrete Entrance Pavement	SY	13	\$66.30
28	Sidewalk Ramp	SY	14	\$81.60
29	Header Curb	LF	15, 59	\$26.52
30	Curb and Gutter, Type 1	LF	15	\$26.52
31	Curb and Gutter, Type 4	LF	15	\$26.52
32	Bituminous Pavement Milling and Texturing	TN	16	\$40.80
33	Bituminous Base	TN	17	\$81.60
34	Class I, Bituminous Surface less than 50 tons	TN	18	\$102.00
35	Class I, Bituminous Surface greater than 50 tons	TN	18	\$96.90
36	Bituminous Material for Tack	TN	19	\$816.00
37	Type A Surface Inlet	EA	20	\$3,621.00
38	Type B Surface Inlet	EA	20	\$3,621.00
39	Curb Box Inlet Type A (LFUCG)	EA	21	\$4,284.00
40	Curb Box Inlet Type B (LFUCG)	EA	21	\$4,284.00
41	Curb Box Inlet Type C (LFUCG)	EA	21	\$4,284.00
42	Curb Box Inlet Type D (LFUCG)	EA	21	\$3,187.50
43	Curb Box Inlet Type B (KDOH)	EA	21	\$4,284.00
44	Drop Box Inlet Type 13 (KDOH)	EA	21	\$4,472.70
45	Drop Box Inlet Type 16 (KDOH)	EA	21	\$3,309.90
46	Lex Storm Sewer Manhole (4' dia.) (0-8' No rock)	EA	22	\$2,524.50
47	Lex Storm Sewer Manhole (5' dia.) (0-8' No rock)	EA	22	\$3,580.20
48	Lex Storm Sewer Manhole (6' dia.) (0-8' No rock)	EA	22	\$6,222.00
49	Pipe Tie-in into Manhole or Curb Box Inlet	EA	24	\$790.50
50	15" RCP Storm Sewer (0-8' No rock)	LF	25	\$52.99

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#	Item	Unit	Spec Sec.	Unit Price
51	18" RCP Storm Sewer (0-8' No rock)	LF	25	\$56.10
52	24" RCP Storm Sewer (0-8' No rock)	LF	25	\$73.95
53	30" RCP Storm Sewer (0-8' No rock)	LF	25	\$83.64
54	36" RCP Storm Sewer (0-8' No rock)	LF	25	\$99.96
55	42" RCP Storm Sewer (0-8' No rock)	LF	25	\$121.38
56	48" RCP Storm Sewer (0-8' No rock)	LF	25	\$137.70
57	15" HDPE Storm Sewer (0-8' No rock)	LF	25	\$51.00
58	18" HDPE Storm Sewer (0-8' No rock)	LF	25	\$54.06
59	24" HDPE Storm Sewer (0-8' No rock)	LF	25	\$71.40
60	30" HDPE Storm Sewer (0-8' No rock)	LF	25	\$82.62
61	36" HDPE Storm Sewer (0-8' No rock)	LF	25	\$93.84
62	15" PP Storm Sewer (0-8' No rock)	LF	25	\$45.90
63	18" PP Storm Sewer (0-8' No rock)	LF	25	\$51.00
64	24" PP Storm Sewer (0-8' No rock)	LF	25	\$61.20
65	30" PP Storm Sewer (0-8' No rock)	LF	25	\$73.44
66	36" PP Storm Sewer (0-8' No rock)	LF	25	\$86.70
67	15" Elliptical RCP Storm Sewer	LF	25	\$70.38
68	18" Elliptical RCP Storm Sewer	LF	25	\$86.70
69	24" Elliptical RCP Storm Sewer	LF	25	\$106.08
70	30" Elliptical RCP Storm Sewer	LF	25	\$139.74
71	36" Elliptical RCP Storm Sewer	LF	25	\$173.40
72	42" Elliptical RCP Storm Sewer	LF	25	\$206.04
73	48" Elliptical RCP Storm Sewer	LF	25	\$265.20
74	Internal Inspection of Sewer Pipe: CCTV	LF	26	\$2.55
75	15" Straight Headwall - Standard or Raised	EA	27	\$1,530.00

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Contractor: THE ALLEN COMPANY, INC.

#	Item	Unit	Spec Sec.	Unit Price
76	18" Straight Headwall - Standard or Raised	EA	27	\$1,530.00
77	24" Straight Headwall - Standard or Raised	EA	27	\$2,091.00
78	15" Pipe Culvert Headwall	EA	27	\$1,224.00
79	18" Pipe Culvert Headwall	EA	27	\$1,275.00
80	24" Pipe Culvert Headwall	EA	27	\$1,326.00
81	30" Pipe Culvert Headwall	EA	27	\$1,938.00
82	36" Pipe Culvert Headwall	EA	27	\$2,244.00
83	42" Pipe Culvert Headwall	EA	27	\$2,550.00
84	48" Pipe Culvert Headwall	EA	27	\$3,417.00
85	18" Sloped and Flared Box Inlet-Outlet	EA	27	\$2,193.00
86	24" Sloped and Flared Box Inlet-Outlet	EA	27	\$3,009.00
87	30" Sloped and Flared Box Inlet-Outlet	EA	27	\$4,692.00
88	36" Sloped and Flared Box Inlet-Outlet	EA	27	\$6,018.00
89	15" Impact Stilling Basin	EA	28	\$2,927.40
90	18" Impact Stilling Basin	EA	28	\$3,146.70
91	24" Impact Stilling Basin	EA	28	\$4,080.00
92	30" Impact Stilling Basin	EA	28	\$5,355.00
93	36" Impact Stilling Basin	EA	28	\$6,675.90
94	48" Impact Stilling Basin	EA	28	\$8,160.00
95	Bottom Paved Ditch	SY	29	\$91.80
96	Aggregate Channel Lining for Slope Protection	TN	30	\$43.35
97	Seeding and Protection	SY	31	\$2.81
98	Sodding	SY	32	\$8.42
99	Gabion Mattress Channel Lining	CY	33	\$280.50
100	4" HDPE Perforated Pipe	LF	34	\$15.30

Project: Construction Unit Price Contract Bid# 131-2018

Bid Date: October 15, 2018

Contractor: THE ALLEN COMPANY, INC.

#	Item	Unit	Spec Sec.	Unit Price
101	6" HDPE Perforated Pipe	LF	34	\$15.30
102	4" PVC Pipe	LF	35	\$25.50
103	6" PVC Pipe	LF	35	\$28.56
104	8" PVC Sanitary Sewer (0-8' No Rock)	LF	35	\$34.78
105	10" PVC Sanitary Sewer (0-8' No Rock)	LF	35	\$36.31
106	12" PVC Sanitary Sewer (0-8' No Rock)	LF	35	\$41.36
107	15" PVC Sanitary Sewer (0-8' No Rock)	LF	35	\$51.20
108	18" PVC Sanitary Sewer (0-8' No Rock)	LF	35	\$81.60
109	8" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	35	\$51.00
110	10" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	35	\$60.69
111	12" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	35	\$65.28
112	14" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	35	\$93.84
113	Sanitary Sewer By-Pass Pumping	DAY	35	\$765.00
114	Two Way Sewer Service Cleanout	EA	36	\$382.50
115	4"x 8" Sanitary Sewer Tee & up to 6' of lateral pipe	EA	37	\$107.10
116	6"x 8" Sanitary Sewer Tee & up to 6' of lateral pipe	EA	37	\$117.30
117	Lex Sanitary Sewer Manhole (4' dia.) (0-8' No rock)	EA	22	\$2,652.00
118	Lex Sanitary Sewer Manhole (5' dia.) (0-8' No rock)	EA	22	\$3,570.00
119	Lex Sanitary Sewer Manhole (6' dia.) (0-8' No rock)	EA	22	\$5,967.00
120	Sanitary Sewer Manhole MIC Protection	VF	22	\$40.80
121	Manhole-Additional vertical depth > 8' (4' dia.)	VF	23	\$306.00
122	Manhole-Additional vertical depth > 8' (5' dia.)	VF	23	\$408.00
123	Manhole-Additional vertical depth > 8' (6' dia.)	VF	23	\$459.00
124	Manhole-Additional for adjustable frame and cover	EA	22	\$1,632.00
125	Woven Wire Fence 4' height	LF	38	\$20.40

Project: Construction Unit Price Contract Bid# 131-2018

Bid Date: October 15, 2018

Contractor: THE ALLEN COMPANY, INC.

#	Item	Unit	Spec Sec.	Unit Price
126	Chain Link Fence 4' height	LF	38	\$35.70
127	Privacy Fence (installation)	LF	38	\$86.70
128	Backhoe (small) with Operator	HR	39	\$102.00
129	Dump Truck (single axle) with driver	HR	40	\$76.50
130	Dump Truck (tri-axle) with driver	HR	40	\$81.60
131	Jackhammer with Operator	HR	39	\$76.50
132	Skid Loader with Operator	HR	39	\$76.50
133	Check Dam	TN	41	\$51.00
134	Sediment Trap	CY	41	\$51.00
135	Sediment Pond	CY	41	\$40.80
136	Silt Fence	LF	41	\$3.57
137	Storm Drain Inlet Protection	EA	41	\$280.50
138	Filter Strip	SY	41	\$19.89
139	Stream Crossing	EA	41	\$5,100.00
140	Pump-Around Flow Diversion	DAY	41	\$2,448.00
141	Construction Dewatering	DAY	41	\$2,448.00
142	Geotextile Construction Type I	SY	42	\$4.08
143	Geotextile Construction Type II	SY	42	\$4.08
144	Geotextile Construction Type III	SY	42	\$4.08
145	Geotextile Construction Type IV	SY	42	\$4.08
146	Edge Key	LF	43	\$10.20
147	Pipe Plugging for Pipes less than or equal to 24"	EA	44	\$459.00
148	Pipe Plugging for Pipes 30"- 48"	EA	44	\$765.00
149	Flowable Fill	CY	45	\$178.50
150	Fiber Reinforced PCC Pavement	CY	46	\$306.00

Project: Construction Unit Price Contract Bid# 131-2018

Bid Date: October 15, 2018

Contractor: THE ALLEN COMPANY, INC.

#	Item	Unit	Spec Sec.	Unit Price
151	Single Block Masonry Retaining Wall	SF	47	\$26.52
152	Degradable Erosion Control Mat	SY	48	NO BID
153	Turf Reinforcement Mat	SY	48	\$9.44
154	Project Sign	EA	49	\$561.00
155	Steel W Beam Guardrail and End Treatments	LF	50	\$55.08
156	Articulating Concrete Block	SY	51	NO BID
157	Reinf Conc Pipe Crack Repairs and Manhole Rehab	LF	52	NO BID
158	Saw cutting	LF	53	\$2.40
159	Precast Reinforced Concrete Box Culvert 3' X 2'	LF	54	\$382.50
160	Precast Reinforced Concrete Box Culvert 3' X 3'	LF	54	\$413.10
161	Precast Reinforced Concrete Box Culvert 4' X 2'	LF	54	\$443.70
162	Precast Reinforced Concrete Box Culvert 4' X 3'	LF	54	\$469.20
163	Detectable Warning Surface Tile-Overlay	SF	55	\$50.00
164	Detectable Warning Surface Tile-Imbedded	SF	55	\$65.00
165	Thermoplastic Pavement Striping - White or Yellow	LF	58	\$3.00
166	Removal of Existing Pavement Striping	LF	58	\$3.00
167	Bulb-out: Gutter Cover	LF	59	NO BID
168	Bulb-out: Asphalt Repair	SF	59	NO BID
169	Grader with Operator	HR	60	\$142.80
170	Roller/Compactor with Operator	HR	61	\$117.30
171	Furnish and Place Topsoil	CY	62	\$22.95
172	Spreading Stockpiled Topsoil	CY	62	\$15.30
173	2" PVC Conduit Under Non-traffic Surface	LF	63	\$15.30
174	2" PVC Conduit Under Roadway or Driveway	LF	63	\$15.30
175	Junction Box, KYTC Type A	EA	64	\$918.00

Project: Construction Unit Price Contract Bid# 131-2018

Bid Date: October 15, 2018

Contractor: THE ALLEN COMPANY, INC.

#	Item	Unit	Spec Sec.	Unit Price
176	Junction Box, KYTC Type C	EA	64	\$1,632.00
177	Pedestal Base for Pedestrian Pole	EA	65	NO BID

Max. Allowable P/P bond % for projects >\$50,000		5.00%
Unspecified incidental materials at cost plus 15%	(Check if interested.)	CHECK
Unspecified incidental labor at cost plus 15%	(Check if interested.)	CHECK
Minimum project total for consideration		\$ 25,000.00

Submitted by:

The Allen Company, Inc.

Firm

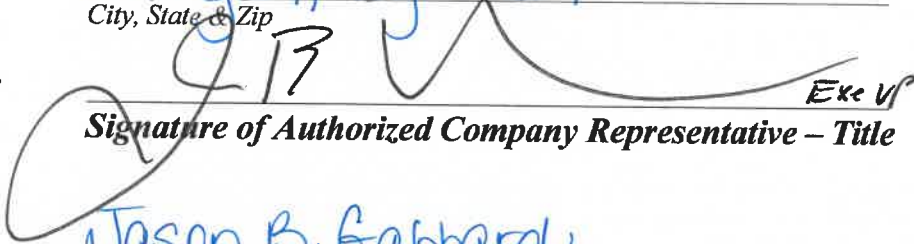
3009 Atkinson Ave., Ste. 300

Address

Lexington, Ky 40509

City, State & Zip

Bid must be signed:
(original signature)

 Exec VP

Signature of Authorized Company Representative - Title

Jason B. Gabbard

Representative/s Name (Typed or Printed)

859-543-3361

Area Code - Phone - Extension

859-543-3362

Fax #

vincent_lemieux@theallen.com

E-Mail Address

OFFICIAL ADDRESS:

The Allen Company, Inc.
3009 Atkinson Ave., Ste. 300
Lexington, Ky 40509

(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: The Allen Company, Inc.
2. Permanent Place of Business: 3009 Atkinson Ave. Ste 300, Lexington, Ky 40509
3. When Organized: 1939
4. Where Incorporated: Delaware
5. Construction Plant and Equipment Available for this Project:
attached

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Hartford Fire Insurance Company (Surety)

Signed: Kim Watson (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
Clays Mill Road Sect 2C	Lexington	\$4287750.91
Wilson Downing Drainage Improvements	Lexington	\$951165.00
Galvesway Trail	Lexington	\$370432.80
other jobs available upon request		
Southland Drive Blue Lanes	Lexington	542,298.80

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
Jessamine Co. SE Nicholasville Bypass	Nicholasville	17,150,992.17
Madison Co. W.S. 25 Reconst.	Berea	8,224,672.22
Lanes Run Infrastructure	Georgetown	5,303,000.00
other jobs list available upon request		

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
See attached		

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
Bartie Inc.	Nicholasville Bypass	✓	0.279%
Big Meadow Oil	(Supplier) " "	✓	6.749%
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE Yes/No</u>	% of Work
1. <u>To Be determined</u>	Name: _____	_____	_____
	Address: _____		
2. _____	Name: _____	_____	_____
	Address: _____		
3. _____	Name: _____	_____	_____
	Address: _____		
4. _____	Name: _____	_____	_____
	Address: _____		
5. _____	Name: _____	_____	_____
	Address: _____		
6. _____	Name: _____	_____	_____
	Address: _____		
7. _____	Name: _____	_____	_____
	Address: _____		

(Attach additional sheet(s) if necessary.)

7. **LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) and Veteran-Owned Small Business (VOSB) Enterprises as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) The LFUCG has also established a 3% of total procurement costs as a Goal for participation of Veteran-Owned Small Businesses.
- 4) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned subcontractors or Veteran-Owned and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.

- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.
- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned and operated by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:

- a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
- b. Included documentation of advertising in the above publications with the bidders good faith efforts package
- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.
- j. Provided the interested MWBDE firm and/or Veteran-Owned businesses with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough

investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented Resolution 484-2017 – A Certified Minority, Women and Disadvantaged Business Enterprise ten percent (10%) minimum goal and a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and Certified Service Disabled Veteran – Owned Businesses for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals (a full copy is available in Central Purchasing):

Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. African American, Asian American/Pacific Islander, Hispanic Islander, Native American/Native Alaskan Indian) as defined in federal law or regulation as it may be amended from time-to-time.

Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

Certified Veteran-Owned Small Business (VOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

Certified Service Disabled Veteran Owned Small Business (SDVOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term “Certified” shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Purchasing as having the appropriate credentials to make a determination as to the status of the business.

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Susan Marston	smarston@tsmsdc.com	502-365-9762
Small Business Development Council	Shirie Hawkins UK SBDC	smack@uky.edu	859-257-7666
Community Ventures Corporation	Phyllis Alcorn	palcorn@cvky.org	859-231-0054
KY Transportation Cabinet (KYTC)	Melvin Bynes	Melvin.bynes2@ky.gov	502-564-3601
KYTC Pre-Qualification	Shella Eagle	Shella.Eagle@ky.gov	502-782-4815
Ohio River Valley Women’s Business Council (WBENC)	Sheila Mixon	smixon@orvwbc.org	513-487-6537
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner’s Council (NWBOC)	Janet Harris-Lange	janet@nwbo.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozydeky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	paatricem@keynewsjournal.com	859-373-9428



LFUCG MWDBE PARTICIPATION FORM
 Bid/RFP/Quote Reference # 131-2018

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. <i>To be determined if awarded specific project</i>			
2.			
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

The Allen Company, Inc.

Company

10/15/18

Date

[Signature]
 Exec VP

Company Representative

Executive Vice President

Title



LFUCG MWDBE SUBSTITUTION FORM
 Bid/RFP/Quote Reference # 131-2018

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. <i>To be determined if awarded specific project</i>					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

The Allen Company, Inc.

Company

10/15/18

Date

[Signature]
 EXEC VP
 Company Representative
Executive Vice President
 Title



MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 131-2018

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name <u>The Allen Company, Inc.</u>	Contact Person <u>Vincent Lemieux</u>
Address/Phone/Email <u>3009 Atkinson Ave., Ste. 300 Lexington, Ky 40509</u>	Bid Package / Bid Date <u>#131-2018 10/15/18</u>

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
<i>To be determined if awarded specific project</i>							

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

The Allen Company, Inc.
Company
10/15/18
Date

[Signature]
Company Representative
Executive Vice President
Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 131-2018

Total Contract Amount Awarded to Prime Contractor for this Project _____

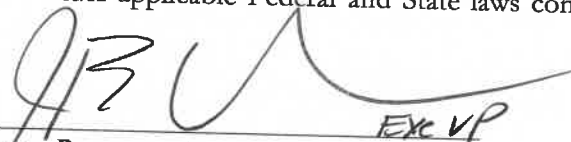
Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address: _____
Federal Tax ID:	Contact Person: _____

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date
<i>To be determined if awarded specific project</i>							

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

The Allen Company, Inc.
Company

10/15/18
Date



Company Representative
Executive Vice President
Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS
Bid/RFP/Quote # 131-2018

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

- _____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
- _____ Included documentation of advertising in the above publications with the bidders good faith efforts package
- _____ Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- _____ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities
- _____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses
- _____ requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- _____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- _____ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- _____ Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.
- _____ Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- _____ Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

To be determined if awarded specific project

To be determined if awarded specific project

- _____ Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- _____ Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- _____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.
- _____ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- _____ Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.
- _____ Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

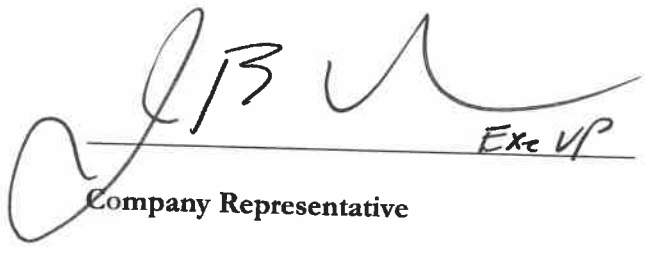
NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

The Allen Company, Inc.

Company

10/15/18
Date


Company Representative

Executive Vice President
Title

8. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: See attached

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Signature

Exc VP

Name of Business

JLB
The Allen Company, Inc.

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of The Allen Company, Inc.

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: The Allen Company, Inc.

Categories	Total		White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	<i>All attached</i>																	
Professionals																		
Superintendents																		
Supervisors																		
Foremen																		
Technicians																		
Protective Service																		
Para-Professionals																		
Office/Clerical																		
Skilled Craft																		
Service/Maintenance																		
Total:																		

Date: 10 / 15 / 18

Revised 2015-Dec-15

Prepared by: [Signature]
(Name and Title) Exec VP

5.

The Allen Company

Equipment Listing

As of 10/2/2018

Purchase Price \$10,000 and Over

Equipment Category	Manufacturer	Model	ModelYr
12 Graders	Caterpillar	12G	1982
14 Graders	Caterpillar	14M	2008
14 Graders	Caterpillar	14H ELECT	2001
140 Graders	Caterpillar	140M3 BR	2015
140 Graders	Caterpillar	140H	2004
140 Graders	Caterpillar	140H	2003
140 Graders	Caterpillar	140H ELECT	1996
140 Graders	Caterpillar	140G ELECT	1994
140 Graders	Caterpillar	140H	1998
25 Ton Articulated Trks	Volvo	A25D	2007
25 Ton Articulated Trks	Volvo	A25D	2007
25 Ton Articulated Trks	Caterpillar	725	2000
25 Ton Articulated Trks	Caterpillar	725	2000
3" Pumps	Tsurumi	LH322W-61	2008
3" Pumps		#4 Mine Pump	2003
3" Pumps	Tsurumi	LH322W	2018
3" Pumps	Piranha		1992
3" Pumps	Tsurumi	LH322W-61	2010
3" Pumps	Tsurumi	DLH3224-61	2009
30 Ton Articulated Trks	Volvo	A30F	2014
4" Pumps	Godwin	100	2009
4" Pumps	Gorman R	84A2-F3L914	2009
4" Pumps	Gormann	14C2-F3L	2008
4" Pumps	Gorman		2006
40 Ton Articulated Trks	Komatsu	HM-400-5	2017
40 Ton Articulated Trks	Caterpillar	740	2011
40 Ton Articulated Trks	Caterpillar	740	2011
40 Ton Articulated Trks	Volvo	A40G	2014
40 Ton Articulated Trks	Volvo	A40G	2014
40 Ton Articulated Trks	Volvo	A40D	2006
40 Ton Articulated Trks	Volvo	A40D	2006
40 Ton Articulated Trks	Volvo	A40F	2013
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Volvo	A40E	2008
40 Ton Articulated Trks	Volvo	A40D	2005
40 Ton Articulated Trks	Volvo	A40F	2011
6" Pumps	Dri Prime	NC150	2014
6" Pumps	Dri Prime	NC150	2014
6" Pumps	Gorman-R	GR B3564B	2008
621 Scrapers	Caterpillar	621G	2005
621 Scrapers	Caterpillar	32	2005

The Allen Company
Equipment Listing
As of 10/2/2018
Purchase Price \$10,000 and Over

Equipment Category	Manufacturer	Model	ModelYr
621 Scrapers	Caterpillar	621F	1996
Ac Tanks			2014
Ac Tanks			2001
Ac Tanks			1997
Ac Tanks	CHILDERS	20,000 GALLON	2000
Ac Unloading, Metering, Calibr			2014
Ac Unloading, Metering, Calibr			2001
Ac Unloading, Metering, Calibr			2006
Ac Unloading, Metering, Calibr			1997
Ac Unloading, Metering, Calibr			2006
Ac Unloading, Metering, Calibr			2006
Ac Unloading, Metering, Calibr CHILDERS			2000
Ac Unloading, Metering, Calibr			2000
Agg Screen & Weigh Conveyor			2014
Agg Screen & Weigh Conveyor			2001
Agg Screen & Weigh Conveyor			1997
Agg Screen & Weigh Conveyor ASTEC			1988
Air Compressor Portable	Atlas	COPCO	2002
Air Compressor Portable	Horizon Atlas Copco	20HP Duplex 120G	2016
Arrow Boards	Addco Sentinel		2018
Arrow Boards	Addco Sentinel		2018
Arrow Boards			2017
Arrow Boards			2017
Asphalt Joint Sealer	Crafco	SS125DC	2013
Asphalt Paver-10'	Caterpillar	AP1055F	2016
Asphalt Paver-10'	Caterpillar	AP-1055D	2008
Asphalt Paver-10'	Caterpillar	AP1000F	2015
Asphalt Paver-8'	Caterpillar	AP600F	2016
Asphalt Paver-8'	Vogele	5100-2	2012
Asphalt Paver-8'	Caterpillar	AP1000E	2016
Asphalt Paver-8'	Blaw Kno	PF-2181	2006
Asphalt Paver-Peanut	Leeboy	8500-B	2013
Asphalt Tankers	Etnyre	TANK TRL	2015
Asphalt Tankers	Etnyre	TANK TRL	2015
Asphalt Tankers	Etnyre		1988
Asphalt Tankers	Harmon	7000 GAL	1995
Automobiles	Chevrolet	Silverado	2015
Automobiles	Jeep	GRAND CHEROKEE	2014
Automobiles	Chevrolet	TRAVERSE	2013
Baghouse & Ductwork			2014
Baghouse & Ductwork			2001
Baghouse & Ductwork			1997
Baghouse & Ductwork	ASTEC		1988
Baghouse & Ductwork	Maxam		2012

The Allen Company
 Equipment Listing
 As of 10/2/2018
 Purchase Price \$10,000 and Over

Equipment Category	Manufacturer	Model	ModelYr
Baghouse & Ductwork			2016
Baghouse & Ductwork	Quincy	QGB-50	2009
Balers	John Deere	568	2008
Balers	Massey	MF1840	2013
Batchers & Transfer Conveyor			2014
Batchers & Transfer Conveyor	ASTECC		1988
Batchers & Transfer Conveyor			2001
Batchers & Transfer Conveyor			1997
Boom Truck	Peterbilt	335	2006
Burner			2014
Burner			2001
Burner	Gencor	Ultra II-135	2008
Burner			1997
Burner	Miscellaneous		0000
Chip Spreaders	Etnyre	ENTYRE	1996
Cold Feed Bins And Collecting			2014
Cold Feed Bins And Collecting			2001
Cold Feed Bins And Collecting			1997
Cold Feed Bins And Collecting	ASTECC		1988
Concrete Trucks	Ford	F800	1998
Conveyors		Undergrnd Conveyo	2011
Conveyors		Undergrnd Conveyo	2011
Conveyors			2013
Conveyors		Undergrnd Conveyo	2011
Conveyors		Conveyor 1	2011
Conveyors		Undergrnd Conveyo	2011
Conveyors		Conveyor 3	2011
Conveyors		Conveyor 17	2011
Conveyors		Conveyor 18	2011
Conveyors		Conveyor 26	2011
Conveyors		Conveyor 29	2011
Conveyors			2016
Conveyors		Conveyor 24	2011
Conveyors		Conveyor 25	2011
Conveyors		Conveyor 20	2011
Conveyors		Conveyor 21	2011
Conveyors		Conveyor 28	2011
Conveyors		Conveyor 19	2011
Conveyors		Conveyor 34	2012
Conveyors		Conveyor 22	2011
Conveyors		Conveyor 23	2011
Conveyors		Conveyor 27	2011
Conveyors		Conveyor 31	2011
Conveyors		Conveyor 2	2011

The Allen Company
 Equipment Listing
 As of 10/2/2018
 Purchase Price \$10,000 and Over

Equipment Category	Manufacturer	Model	ModelYr
Conveyors			2012
Conveyors		#2 Conveyor 153`x3`	2000
Conveyors		Conveyor Pugmill	2011
Conveyors		Conveyor 32	2011
Conveyors		Conveyor 5	2011
Conveyors		Conveyor 4	2011
Conveyors		Conveyor 7	2011
Conveyors		Conveyor 14	2011
Conveyors		Conveyor 16	2011
Conveyors		Conveyor 30	2011
Conveyors		Conveyor 13	2011
Conveyors		Conveyor 8	2011
Conveyors		Conveyor 6	2011
Conveyors		Conveyor 12	2011
Conveyors		Conveyor 9	2011
Conveyors		Conveyor 15	2011
Conveyors		Conveyor 11	2011
Conveyors		Conveyor Recycle	2012
Conveyors		Conveyor 10	2011
Conveyors			2018
Conveyors	Richwood	1C-ITC-48	2013
Conveyors	Richwood	1C-ITC-60	2013
Conveyors	Richwood	IC-IRC-48	2013
Conveyors	Richwood	1C-ITC-30	2012
Conveyors	Richwood	1C-ITC-48	2012
Conveyors	Richwood	1C-ITC	2012
Conveyors	Richwood	1C-BM IC-ITC	2013
Conveyors	Richwood	1C-ITC-42	2012
Conveyors	Richwood	1C-ITC-48	2012
Conveyors	Richwood	1C-ITC-36	2012
Conveyors	Richwood	1C-30	2000
Conveyors	Milltron		2013
Conveyors	Milltron		2013
Conveyors			1984
Conveyors			1984
Cranes	Linkbelt	RTC-805011	2008
Cranes			2015
Cranes	Gallon	150F	1979
Cranes	Broderson	IC20002B	1996
Cranes	Grove	RT630B	1988
Crushers		Bradley Mill	2008
Crushers		Cone Crusher Shortt	2011
Crushers		Cone Crusher Std	2011
Crushers		Electrical & Control	2008

The Allen Company
 Equipment Listing
 As of 10/2/2018
 Purchase Price \$10,000 and Over

Equipment Category	Manufacturer	Model	ModelYr
Crushers			2011
Crushers			2015
Crushers		Concrete Bradley	2008
Crushers	Hazmag	APS-1620/HK	2001
Crushers		Bradley Mill Bldg	2008
Crushers			2011
Crushers	Symons	#1 Cone Crusher	2000
Crushers		Classifier	2008
Crushers		Raw Feed Silos	2008
Crushers		Pug Mill	2001
Crushers	Symons	#2 Cone Crush 200 T	2000
Crushers			2011
Crushers		Duct Work Dust Sys	2008
Crushers		Hauk Burner	2008
Crushers	Hewitt R	Jaw Crusher 3042	1997
Crushers		#3 Finish Prod Silo	2008
Crushers		Over Head Crane	2008
Crushers		Dump Hopper #1 Co	2008
Crushers			2011
Crushers	Steadman	Crusher 6460	1997
Crushers		Electric Motors	2008
Crushers		Cup Line	2008
Crushers		Cyclone	2008
Crushers	Hewitt R	VL11	2000
Crushers		Main Fan	2008
Crushers	Steadman		2016
Crushers		Bag House	2008
Crushers			2011
Crushers		Pneumatic Lines	2008
Crushers		Screw Conveyor	2008
Crushers	Lippman	Millwakee Jaw Crusl	1984
Crushers			1984
Crushers	Hammer M		2013
Crushers		Silo Vents	2008
Crushers		200 Hp Blower	2008
Crushers	Sullair	Air Compressor	2008
Crushers	Dings	Magnet	2001
Crushers		40 Hp Blower	2008
Crushers			1984
Crushers		Air Locks	2008
D Duster			2011
D Duster			2011
D Duster	Flex-Kle	120-WSWC-196	1996
D Duster	Carrie	QAD	2007

The Allen Company
Equipment Listing
As of 10/2/2018
Purchase Price \$10,000 and Over

Equipment Category	Manufacturer	Model	ModelYr
D Duster	Air Heat	9000 SCFM AH	1996
D6 Dozers	Caterpillar	D6TXLVP	2014
D6 Dozers	Caterpillar	D6R XL	2007
D6 Dozers	Caterpillar	D6N XL	2013
D6 Dozers	Caterpillar	D6T XL DS	2013
D6 Dozers	Caterpillar	D6R XL	2003
D6 Dozers	Caterpillar	D6 N	2012
D6 Dozers	Caterpillar	D6-R	1999
D8 Dozers	Caterpillar	D8T	2007
D8 Dozers	Caterpillar	D8T	2006
D8 Dozers	Caterpillar	D8T	2007
D8 Dozers	Caterpillar	D8T	2008
D8 Dozers	Caterpillar	D8T	2008
D8 Dozers	Caterpillar	D8RII	2004
D8 Dozers	Caterpillar	D8RII	2003
D8 Dozers	Caterpillar	D8R II	2003
D8 Dozers	Caterpillar	D8R II	2002
Debris Blower	Tuthill	7021-A3L2CV2-A	2018
Debris Blower	Buffalo	BT-MEGA	2012
Disc Mower	Bush Hog	2815	2016
Disc Mower	John Deere	Z930M	2018
Drag Conveyor			2014
Drag Conveyor			2001
Drag Conveyor			1997
Drag Conveyor	ASTECC		1988
Drills-590	Furukawa	HCR900	2007
Drills-590	Furukawa	HCR900	2007
Drills-660	Furukawa	HCR1200 ED11	2017
Drills-660	Furukawa	HCR1200 ED11	2017
Drills-660	Furukawa	HCR1200 EDII	2017
Drills-660	Ir	ECM660	2004
Drills-720	Reed Drill	400 CR	2004
Drills-Jumbo	Jumbo Dr		2004
Drills-Jumbo	Cannon	DPI-HD	2000
Drills-Jumbo	Cannon	DPI-HD	2005
Drum			2014
Drum			2001
Drum	ASTECC		1988
Drum			1997
Elevating Scrapers	Caterpillar	615C	1997
Elevating Scrapers	Caterpillar	615 C II	2001
Excavators -- 200 Class	Komatsu	PC308 USLC-3	2009
Excavators -- 200 Class	Komatsu	PC290 LC-10	2013
Excavators -- 200 Class	Komatsu	PC290 LC-10	2013

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Equipment Category	Manufacturer	Model	ModelYr
Excavators -- 200 Class	Komatsu	PC290 LC-10	2013
Excavators -- 200 Class	Komatsu	PC290LC-10	2013
Excavators -- 200 Class	Volvo	EW180B	2006
Excavators -- 200 Class	Komatsu	PC228USLC	2013
Excavators -- 300 Class	Komatsu	PC360LC-11	2015
Excavators -- 300 Class	John Deere	350G	2018
Excavators -- 300 Class	Komatsu	PC450HRD-7	2007
Excavators -- 300 Class	Komatsu	PC300LC-7L	2005
Excavators -- 300 Class	Komatsu	PC 360 LC-10	2015
Excavators -- 300 Class	Komatsu	PC360LC-10	2013
Excavators -- 300 Class	Komatsu	PC360LC-10	2013
Excavators -- 300 Class	Caterpillar	336 EL	2014
Excavators -- 300 Class	Komatsu	PC350LC-8	2010
Excavators -- 300 Class	Komatsu	PC300LC-8	2008
Excavators -- 300 Class	Komatsu	PC300LC7E0	2008
Excavators -- 300 Class	Caterpillar	6DR1393	1997
Excavators -- 400 Class	Komatsu	PC490LC-11	2015
Excavators -- 400 Class	Komatsu	PC400LC5	2002
Excavators -- 400 Class	Caterpillar	345 BL	2002
Excavators -- 600 Class	Hitachi	ZX670 LC-5	2015
Excavators -- 600 Class	Caterpillar	365CL	2008
Excavators -- 600 Class	Caterpillar	375 L	1995
Excavators -- Mini	Komatsu	PC138USLC-10	2015
Excavators -- Mini	Komatsu	PC88MR-10	2014
Excavators -- Mini	Komatsu	PC88MR-8	2013
Excavators -- Mini	John Deere	85G	2017
Excavators -- Mini	John Deere	85G	2018
Farm Tractor	John Deere	6140D	2012
Farm Tractor	John Deere	4555	1991
Farm Tractor	John Deere	6120	2002
Farm Tractor	John Deere	6320	2003
Farm Tractor	New Holland	WORKMASTER 4	2011
Feeders			2011
Feeders	Grizzly		2011
Feeders			2011
Feeders		Feeder 1 Fmc	2011
Feeders		Feeder 2 Fmc	2011
Feeders		Breaker @ Feeder	2000
Feeders			1984
Flat Bed Dump Trucks	Frtliner	FL-70	2003
Flat Bed Dump Trucks	GMC	6500	1998
Flat Bed Dump Trucks	Intl	4300	2011
Flat Bed Dump Trucks	Intl	4300	2011
Flat Bed Dump Trucks	Intl	4300	2011

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Equipment Category	Manufacturer	Model	ModelYr
Flat Bed Dump Trucks	GMC	7000	1989
Fork Lift	JLG	G12-55A	2009
Fork Lift	Lull	1044C-54	2004
Fork Lift	Case	TX742	2016
Fork Lift	Case	588G	2005
Fork Lift	Mitsubishi	FD40	2000
Fork Lift	Clark	C500Y	1995
Fork Lift	Hyster	H80 XL	1998
Fuel Trucks	Peterbilt	337	2016
Fuel Trucks	Peterbilt	337	2017
GPS Machine Control	Trimble	SEE SCAN	2008
GPS Machine Control	Trimble	GCS900	2010
GPS Machine Control	Trimble		2009
GPS Machine Control	Trimble		2009
GPS Machine Control	Trimble	GCS900	2010
GPS Machine Control	Trimble		2013
GPS Machine Control	Sitech	CB460	2018
GPS Machine Control	Sitech	CB460	2018
GPS Machine Control	Trimble	SPS851	2009
GPS Machine Control	Sitech	R-MS992C-113	2015
GPS Machine Control	Sitech	R-MS992-19	2015
GPS Machine Control	Sitech	TD520-LQ	2018
GPS Machine Control	Sitech	TR-MS992	2015
GPS Machine Control	Sitech		2018
GPS Machine Control	Trimble		2013
GPS Machine Control	Trimble		2012
GPS Machine Control	Trimble		2012
GPS Machine Control	Trimble	GCS900	2009
GPS Machine Control	Sitech		2017
GPS Machine Control	Sitech	FRU MT900	2014
GPS Machine Control	Sitech	TR-PM400C	2016
GPS Machine Control	Trimble		2012
GPS Machine Control	Trimble	SEE TRIMBLE	2008
GPS/Survey EQ (Hand)	Trimble	J008RW	2008
GPS/Survey EQ (Hand)	Trimble	SPS881	2008
GPS/Survey EQ (Hand)	Sitech		2016
GPS/Survey EQ (Hand)	Ebee	202-13-01	2014
GPS/Survey EQ (Hand)	Trimble	SPS851	2010
GPS/Survey EQ (Hand)	Sitech	SPS930	2015
GPS/Survey EQ (Hand)	Sitech	R-MS992C	2014
GPS/Survey EQ (Hand)	Trimble	SPS930	2013
GPS/Survey EQ (Hand)	Trimble	K44498	2014
GPS/Survey EQ (Hand)	Trimble	K44498	2014
GPS/Survey EQ (Hand)	Trimble		2013

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Equipment Category	Manufacturer	Model	ModelYr
GPS/Survey EQ (Hand)	Sitech	SPS855	2015
GPS/Survey EQ (Hand)	Topcon		2001
GPS/Survey EQ (Hand)	Topcon		2008
GPS/Survey EQ (Hand)	Sitech	SPS882	2015
Grapples		Grapple	2007
Grapples			2008
Grease Trucks	Peterbilt	355	2006
Grease Trucks	Kenworth	T300	2007
Grease Trucks	Hino	338-13	2013
Grease Trucks	Ford	F650	2002
Grease Trucks	GMC	C7500	2007
Hoe Rams -- Large	Process		2014
Hoe Rams -- Large	Npk	GH15	2015
Hoe Rams -- Large	Npk	GH15	2015
Hoe Rams -- Large	Atlas	HB3000	2000
Hoe Rams -- Large	Tramac	V46	2000
Hoe Rams -- Large	Tramac	brh-470	1999
Hoe Rams -- Large	Tramac	TZ300	2006
Hoe Rams -- Large	Independent Breake	SM-4505	2012
Hoe Rams -- Large	Tramac	TZ 300	2007
Hoe Rams -- Large	Tramac	CDB-60	1991
Hoe Rams -- Small	Caterpillar	420F H95E	2014
Jersey Spreader Rock Box	Jersey	103	2001
Large Curb Machines	POWER CURBER	5700 B01 Super	2005
Loader -- 950/966	Caterpillar	966H	2009
Loader -- 980	Caterpillar	982M	2014
Loader -- 980	Komatsu	WA-500-8	2016
Loader -- 980	Caterpillar	980K	2013
Loader -- 980	Komatsu	WA-500-7	2013
Loader -- 980	Caterpillar	980H	2009
Loader -- 980	Komatsu	WA-500-7	2015
Loader -- 980	Komatsu	WA-500-6	2008
Loader -- 980	Komatsu	WA-500-6	2009
Loader -- 980	Komatsu	WA-500-6	2008
Loader -- 980	Komatsu	WA500-7YL	2014
Loader -- 988	Caterpillar	988H	2008
Loader -- 988	Caterpillar	988G	2004
Loader -- 988	Caterpillar	988G	2013
Loader -- 988	Caterpillar	988G	2016
Loader -- Crawler	Caterpillar	963B	1999
Loader -- Crawler	Caterpillar	963B	1994
Loader -- IT	Komatsu	WA270-8	2016
Loader -- IT	Komatsu	WA250 PTL5	2006
Loader -- IT	Komatsu	WA250 PT-5	2007

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Equipment Category	Manufacturer	Model	ModelYr
Loader -- IT	Komatsu	WA250 PTL5	2006
Loader -- Skid Steer	Caterpillar	272D XHP	2012
Loader -- Skid Steer	Bobcat	T650 T4	2017
Loader -- Skid Steer	Bobcat	T650 T4	2014
Loader -- Skid Steer	Bobcat	T650 T4	2014
Loader -- Skid Steer	Bobcat	T650 T4	2014
Loader -- Skid Steer	Bobcat	S740 T4	2017
Loader -- Skid Steer	Caterpillar	262D XPS	2015
Loader -- Skid Steer	Bobcat	T650	2012
Loader -- Skid Steer	Bobcat	T650	2012
Loader -- Skid Steer	Caterpillar	226B3	2014
Loader -- Skid Steer	Toro Dingo	TX525W-22324	2018
Loader -- Skid Steer	Ditch Witch	SK600	2018
Loader -- Skid Steer	Gehl	SL5240E	2008
Loader -- Skid Steer	Caterpillar	226B2	2007
Loader -- Skid Steer	Caterpillar	226B2	2008
Loader -- Skid Steer	Caterpillar	226	2003
Loader -- Skid Steer	Gehl 5625 DX	5L5625	1996
Low Boy Trailers	Talbert	SSTA5053	2008
Low Boy Trailers	Talbert	SSTA5053	2007
Low Boy Trailers	Etnyre	PRTN55TD-3	2008
Low Boy Trailers	Etnyre	PRTN55TD-3	2008
Low Boy Trailers	TRAIL KING		2006
Low Boy Trailers	Rogers	60 TON	1994
Lt's/ Foreman Trucks	Chevrolet	Silverado 2500	2018
Lt's/ Foreman Trucks	Chevrolet	SILVERADO	2015
Lt's/ Foreman Trucks	Dodge	RAM 2600	2010
Lt's/ Foreman Trucks	Ford	F-150	2013
Lt's/ Foreman Trucks	Dodge	Ram 1500 ST	2018
Lt's/ Foreman Trucks	Chevrolet	CK2500	2008
Lt's/ Foreman Trucks	Chevrolet	SILVERADO	2013
Lt's/ Foreman Trucks	Dodge	2500	2012
Lt's/ Foreman Trucks	Ford	F250	2013
Lt's/ Foreman Trucks	Chevrolet	C2500	2013
Lt's/ Foreman Trucks	Chevrolet	CIC20903	2008
Lt's/ Foreman Trucks	Dodge	RAM 1500	2014
Lt's/ Foreman Trucks	Ford	F-250	2013
Lt's/ Foreman Trucks	Toyota	Tacoma	2014
Lt's/ Foreman Trucks	Ford	F-250	2011
Lt's/ Foreman Trucks	Chevrolet	C15 XCAB	2008
Lt's/ Foreman Trucks	Ford	F250	2010
Lt's/ Foreman Trucks	Toyota	TACOMA	2014
Lt's/ Foreman Trucks	Chevrolet	Silverado 2500	2008
Lt's/ Foreman Trucks	Chevrolet	Silverado 2500	2008

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Equipment Category	Manufacturer	Model	ModelYr
Major Components/Repairs			2017
Major Components/Repairs			2017
Major Components/Repairs			2017
Major Components/Repairs			2017
Major Components/Repairs			2017
Major Components/Repairs			2017
Major Components/Repairs			2017
Major Components/Repairs			2017
Major Components/Repairs			2018
Man Lifts	Jgl	150 HAX	2010
Man Lifts	Jlb	13500SLP	2002
Man Lifts	Jlg	860SJ	2008
Man Lifts	JLG	1200SJP	2005
Man Lifts	Genie	S-80	1998
Man Lifts	Jlg	660SJ	2004
Man Lifts	Jlg	601S	2004
Man Lifts	Jlg	400S	2000
Mechanic Truck	Kenworth	T270	2013
Mechanic Truck	Ford	F-650	2011
Mechanic Truck	Ford	F-750	2015
Mechanic Truck	Ford	F550 4x4 Titan	2018
Mechanic Truck	Ford	F550	2016
Mechanic Truck	Ford	F650	2006
Mechanic Truck	Ford	F550	2012
Mechanic Truck	Ford	F550	2012
Mechanic Truck	Ford	F650	2004
Mechanic Truck	Chevrolet	C5500	2008
Mechanic Truck	Ford	F550	2012
Mechanic Truck	Hino	268A	2013
Mechanic Truck	Chevrolet	C70	2007
Mechanic Truck	Ford	F650	2011
Mechanic Truck	Chevrolet	Silverado 3500	2018
Mechanic Truck	Dodge Ram	5500 Chassis	2018
Mechanic Truck	Ford	F650	2008
Mechanic Truck	Ford	F-350	2012
Mechanic Truck	Ford	F450	2011
Mechanic Truck	Ford	F-250	2011
Mechanic Truck	Ford	F-450	1997
Message Boards	Addco	DH 1000 SOLA	2008
Message Boards	Addco	DH 1000 SOLA	2008
Message Boards	Als	DH1000	2010
Message Boards	Als	DH1000	2010
Milling Machine	Wirtgen	W210i	2013
Milling Machine	Caterpillar	PM-201	2009

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Equipment Category	Manufacturer	Model	ModelYr
Mine Fans	Hartzell	AT-00-144	2015
Mine Fans	Hartzell	A10R	2007
Mine Fans	Hartzell	A10S	2004
Mine Fans	Deutz	PF-96-40D	2016
Mine Fans	Hartzell	A105-144	2010
Mine Fans	Deutz	F3L2011	2014
Mine Fans	Deutz	F2L2011	2014
Mine Fans			2000
Mine Fans		60-16	2000
Mine Fans		Fan	2005
Mine Fans			2012
Mulcher Attachment for PC30C Denis Ci		DAH-150 ER	2012
Office Trailers			1996
Oil Distributor Trucks	Intl	7300	2010
Oil Distributor Trucks	Intl	7300 CENT	2007
Oil Distributor Trucks	Intl	4X2	2002
Oil Distributor Trucks	Ford	F800	1997
Oil Distributor Trucks	Chevrolet	7000 KODIAC	1996
Oil Distributor Trucks			1987
One Ton Trucks	Ford	F-450	2016
One Ton Trucks	Ford	F450	2011
One Ton Trucks	Dodge	RAM 3500	2010
One Ton Trucks	Chevrolet	C/K 4500	2008
One Ton Trucks	Chevrolet	CC36403	2016
One Ton Trucks	Ford	F350	2010
One Ton Trucks	Dodge	RAM 3500	2011
One Ton Trucks	GMC	Savana	2011
One Ton Trucks	GMC	G33903	2010
Peanut Grader	Case	RG80	2004
Portable Crushers	POWER SCREEN	Trackpactor	2014
Portable Stacking Conveyor	Mgl	7436	2014
Portable Stacking Conveyor	Pmi	SC 30X60 L	2005
Powder Trucks	Kenworth	T370	2012
Powder Trucks	Ford	F750	2004
Powder Trucks	Kenworth	T370	2010
Powder Trucks	Frtliner	FL-80	2001
Powder Trucks	Dodge	5500	2011
Pug Mill			2011
Pug Mill			2011
Pug Mill			2011
Pug Mill			1996
Pug Mill			2011
Rap Bins & Collecting Conveyor			2015
Rap Bins & Collecting Conveyor			2014

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Equipment Category	Manufacturer	Model	ModelYr
Rap Bins & Collecting Conveyor			2015
Rap Bins & Collecting Conveyor			2001
Rap Bins & Collecting Conveyor			1997
Rap Bins & Collecting Conveyo	CMI	PRB-120	2000
Rap Screen & Weigh Conveyor			2014
Rap Screen & Weigh Conveyor			2001
Rap Screen & Weigh Conveyor			1997
Rap Screen & Weigh Conveyor	CMI	PRB-120	2000
Rigid Frame Trucks	Caterpillar	773G	2012
Rigid Frame Trucks	Caterpillar	773G	2012
Rigid Frame Trucks	Caterpillar	773F	2007
Rigid Frame Trucks	Komatsu	HD465-7	2006
Rigid Frame Trucks	Caterpillar	773D	2001
Rigid Frame Trucks	Euclid	EH 700	2004
Road Broom	Broce	RCT-350	2014
Road Broom	Brandies	SWEEP/4930	2014
Road Broom	Rosco	RB48/4880	2000
Road Broom	Superior	SM80K	2015
Road Broom	Waldon	SWP250	2005
Road Broom	Leeboy	4890	2013
Road Broom	Waldon	SWP250	2005
Road Broom	John Deere	5525	2007
Road Broom	Superior	DT80J	2015
Road Broom	John Deere	6215	2017
Road Tractors	Kenworth	T-800	2007
Road Tractors	Kenworth	T-800	2007
Road Tractors	Peterbilt	389	2012
Road Tractors	Caterpillar	CT660S	2013
Road Tractors	Caterpillar	CT660L	2013
Road Tractors	Mack	CH613	2000
Road Tractors	Mack	CV713	2006
Road Tractors	Capacity		1991
Road Widners	Barber	BG-730	1996
Road Widners	Road Widener	B-R	2011
Rollers -- Large DD	Caterpillar	CB54 XW	2013
Rollers -- Large DD	Caterpillar	CB54 XW	2014
Rollers -- Large DD	Caterpillar	CB54 XW	2014
Rollers -- Large DD	Caterpillar	CB54 XW	2014
Rollers -- Large DD	Caterpillar	CB54 XW	2013
Rollers -- Large DD	Caterpillar	CB54 XW	2013
Rollers -- Large DD	Caterpillar	CB54	2014
Rollers -- Large DD	Caterpillar	CB54	2013
Rollers -- Single Drum	Caterpillar	CP56	2012
Rollers -- Single Drum	Caterpillar	CS563E	2004

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Equipment Category	Manufacturer	Model	ModelYr
Salt Spreaders/Snow Plows	Tebco	STATE OF KY	2014
Salt Spreaders/Snow Plows	Tebco	STATE OF KY	2014
Salt Spreaders/Snow Plows	Tebco	STATE OF KY	2014
Salt Spreaders/Snow Plows	Tebco	STATE OF KY	2014
Salt Spreaders/Snow Plows	Tebco	STATE OF KY	2014
Salt Spreaders/Snow Plows	Tebco	STATE OF KY	2014
Salt Spreaders/Snow Plows	Tebco	STATE OF KY	2014
Salt Spreaders/Snow Plows	Tebco	STATE OF KY	2014
Salt Spreaders/Snow Plows	Tebco	STATE OF KY	2014
Salt Spreaders/Snow Plows	Tebco	State of KY	2015
Salt Spreaders/Snow Plows	Tebco	State of KY	2015
Salt Spreaders/Snow Plows	Tebco	State of KY	2015
Salt Spreaders/Snow Plows	Tebco	State of KY	2015
Salt Spreaders/Snow Plows	Tebco	State of KY	2015
Salt Spreaders/Snow Plows	Tebco	State of KY	2015
Shuttle Buggy	Roadtec	SB2500D	2010
Shuttle Buggy	Roadtec	SB-2500D	2006
Single Axle Dump Trucks	GMC	TOPKICK	2006
Single Axle Dump Trucks	GMC	TOPKICK	2006
Single Axle Dump Trucks	Stewart & Stevens	M1079 LMTV 4x4 V6	1995
Skid Loader Milling Head	Caterpillar	PC408B	2012
Skid Loader Milling Head	Caterpillar	PC412B	2012
Skid Loader Milling Head	Caterpillar	PC310B Cold Planer	2016
Skid Loader Milling Head	Caterpillar	PC9	2002
Small Curb Machines (Extruder)	Gomaco	CC1200	2002
Small Dozers	Caterpillar	D4K2 XL	2014
Small Dozers	Caterpillar	D4K2 XL	2014
Small Dozers	Caterpillar	D4G XL	2005
Small Dozers	Caterpillar	D7H	1990
Steam Jenny Truck	International	4300	2005
Steam Jenny Truck	NorthStar		2015
Straw Blower	Finn	B-70	2004
Straw Blower	Vermeer	TOPGUN	2000
Supply Trucks	Ford	F650	2010
Supply Trucks	Freightliner	M2	2012
Supply Trucks	GMC	TOP KICK	2006
Supply Trucks	Ford	F-250	2011
Sweeper Truck	Frtliner	M2	2010
Tandem Rock Bed Trucks	Peterbilt	348	2015
Tandem Rock Bed Trucks	Frtliner	FL-80	2001
Tandem Rock Bed Trucks	Frtliner	FL-80	2001
Tandem Rock Bed Trucks	Intl	8100	1997
Tandem Tag Trailers	East	Genesis	2006
Tandem Tag Trailers	TRAIL KING	TK24LP	2013

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Equipment Category	Manufacturer	Model	ModelYr
Tandem Tag Trailers	Big Tex	25G	2016
Tandem Tag Trailers	Ditch Wi	T12SE	2013
Trash Burner	Airplus		1992
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Caterpillar	CT660S	2015
Tri Axle Dump Trucks	Caterpillar	CT660S	2015
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Mack	GU713	2018
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Caterpillar	CT660S	2014
Tri Axle Dump Trucks	Mack	GU713	2013
Tri Axle Dump Trucks	Mack	GU713	2013
Tri Axle Dump Trucks	Kenworth	T880	2017
Tri Axle Dump Trucks	Mack	GU713	2016
Tri Axle Dump Trucks	Mack	GU713	2017
Tri Axle Dump Trucks	Mack	GU713	2015
Tri Axle Dump Trucks	Mack	GU713	2008
Tri Axle Dump Trucks	Mack	CV713	2006
Tri Axle Dump Trucks	Mack	RD-886 SX	1989

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Equipment Category	Manufacturer	Model	ModelYr
Tri Axle Dump Trucks	Mack	RD-866 SX	1988
Tri Axle Dump Trucks	Mack	RD-867 SX	1976
Tri Axle Dump Trucks	GMC	Kodiak	1992
Tri Axle Tag Trailers	Interstate	50DTL	2006
Truck Scales			2014
Truck Scales			2001
Truck Scales			1997
Utility Vehicle	Kubota	1100	2014
Utility Vehicle	Kubota	RTV900	2007
Vacuum Excavator	Ditch	FX30	2013
Warm Mix System			2014
Water Supply Trailer	Homemade		2009
Water Supply Trailer	Smith	5000 GAL	2007
Water Trucks	Volvo	A25D	2007
Water Trucks	Volvo	A25E	2008
Water Trucks	Volvo	A25E	2008
Water Trucks	Intl	3654-3000	1993
Water Trucks	Peterbilt	357	2001
Water Trucks	Intl	3654	1998
Water Trucks	Frtliner	M2	2012
Water Trucks	GMC	7000	1994
Water Trucks	American	M927A1	1986
Water Trucks	GMC	7000	1990

10. **Key Personnel**

The Allen Company, Inc.

NAME OF INDIVIDUAL: Jeff T. Monohan

POSITION/TITLE: President, The Allen Company, Inc.

STATEMENT OF EXPERIENCE: Mr. Monohan has been with the Company since 1994. He worked as a laborer, operator and foreman while attending Centre College and earning a BS in Economics. He went full time in 1998 as a crew foreman. Jeff progressed from Foreman to assistant Superintendent to Project Superintendent to Vice President and to Executive Vice President in 2013. He has 24 years' experience.

NAME OF INDIVIDUAL: Jason B. Gabbard

POSITION/TITLE: Executive Vice President, The Allen Company, Inc.

STATEMENT OF EXPERIENCE: Mr. Gabbard has been with the Company since 1992 starting as a part time laborer while attending college. Jason has a BS in Business Administration from UK and an MBA from East Tennessee State University. He returned to the company in 2001 as Operations Manager for the quarries and asphalt plants. He was promoted to Vice President in 2013. Mr. Gabbard also serves as the Affirmative Action Officer for the company. He has 26 years' experience.

NAME OF INDIVIDUAL: Grant Gabbard

POSITION/TITLE: Executive Vice President, The Allen Company, Inc.

STATEMENT OF EXPERIENCE: Mr. Gabbard has been with the Company since 2000. He started as a part-time employee while attending the University of Kentucky earning a BS in Civil Engineering. He became full-time in 2005 and was promoted to Vice President in 2013. He has 18 years' experience.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: A. Vincent Lemieux

POSITION/TITLE: Senior Project Engineer

STATEMENT OF EXPERIENCE: Mr. Lemieux has been a Project Engineer for over 30 years in charge of cost estimates and bids, project coordination and management, contract and specification compliance, construction stakeout, field engineering. Mr. Lemieux is a former Kentucky Department of Highways Assistant Resident Engineer. He is also a Registered Land Surveyor.

NAME OF INDIVIDUAL: Lee Gallion

POSITION/TITLE: Superintendent

STATEMENT OF EXPERIENCE: Mr. Gallion has been employed by The Allen Company, Inc. for 25 years. His work experience includes, but is not limited to: Madison County US 25 Reconstruction: Milling, Asphalt Paving, Concrete work, Concrete Sawing, Entrance Pavement, Sidewalks, Curbs, Gutters. Bratcher Lane Improvements: Asphalt Paving, Concrete Entrance Pavement, Curb and Gutter, Undercut Excavation, Channel Lining, Sidewalk, Pipe Construction, Headwalls, Utility work, Seeding, Fencing, Culverts. Berea Bypass Chip and Seal, DGA Base, Pipeline Construction, Soil Stabilization, Concrete Headwalls, Curb and Gutter, Entrance Pavement, Rock Blasting, Remove & Place Materials, Portland Cement, Traffic Control, Barrier Walls, Steel Pipe.

NAME OF INDIVIDUAL: Tommy Lisle

POSITION/TITLE: Superintendent

STATEMENT OF EXPERIENCE: Mr. Lisle has been with The Allen Company, Inc. for 30 years. His experience includes, but is not limited to: Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base. Clark County I-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching. Madison Airport Runway Trenching, Road Planning & Surface Removal, Embankment in Place, Asphalt Paving, Concrete Work, Ditching and Shouldering.

NAME OF INDIVIDUAL: Nick Mullins

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Pre, Signs, Paving DGA Base. Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters. Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control.

NAME OF INDIVIDUAL: Stevie Tipton

POSITION: Foreman

STATEMENT OF EXPERIENCE: Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base. Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters. Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control. Clark County I-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching.

NAME OF INDIVIDUAL: Herb Alcorn

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Amazon Winchester Customer Services Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base. Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters. Clark County K-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching.

NAME OF INDIVIDUAL: HD Chenault

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control. Berea Bypass Chip and Seal, DGA Base, Pipeline Construction, Soil Stabilization, Concrete Headwalls, Curb and Gutter, Entrance Pavement, Rock Blasting, Remove & Place Materials, Portland Cement, Traffic Control, Barrier Walls, Steel Pipe.

NAME OF INDIVIDUAL: Richard Curtis

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Clark Regional Medical Center Signs, Paving, Utilities, Pipeline Construction, Rock Blasting, Traffic Control. Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base. Clark County I-64 & KY 627 DGA Base, Grinding & Milling, Milling & Texturing, Crash Cushion, Trenching.

NAME OF INDIVIDUAL: Terry Griggs

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Amazon Winchester Customer Service Center Earth Work, Pipeline Construction, Utilities, Traffic Control, Fencing, Rock Blasting, Demolition, Remove and Place Materials, Soil Stabilization, Landscape Site Prep, Signs, Paving, DGA Base. Wilmore Streetscapes Signs, Fencing, Traffic Control, Asphalt Paving, Concrete Work, Surface Planning & Texturing, Decorative Pavers, Pavement Grinding and Grooving, Entrance Pavement, Sidewalks, Curbs and Gutters. Clark Regional Medical Center Signs, Paving, Site Work, Rock Blasting, Traffic Control.

12. PR Department of Labor EEO-1 Report

Company: 1 The Allen Company, Inc.

Job Categories	Number of Employees (Report employees in only one category)														Total Col A - N	
	Hispanic or Latino		Race/Ethnicity										Total			
	Male	Female	White	Black or African American	Native Hawaiian or Other Pacific Islander	Asian	American Indian or Alaska Native	Two or more races	White	Black or African American	Native Hawaiian or Other Pacific Islander	Asian	American Indian or Alaska Native	Two or more races		
Executive/Sr Officials & Mng	0	0	13	0	0	0	0	0	0	0	0	0	0	0	0	13
First/Mid-level Officials & Mng	0	0	20	0	0	0	0	0	1	0	0	0	0	0	0	21
Professionals	0	0	14	0	0	0	0	0	3	0	0	0	0	0	0	17
Technicians	0	0	7	0	0	0	0	0	0	0	0	0	0	0	0	7
Sales Workers	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
Administrative Support Workers	0	0	2	0	0	0	0	0	8	0	0	0	0	0	0	10
Craft Workers	0	0	93	0	0	0	0	0	2	0	0	0	0	0	0	95
Operatives	0	0	99	3	0	0	0	0	1	0	0	0	0	0	0	103
Laborers and Helpers	0	0	68	2	0	0	0	0	4	0	0	0	0	0	0	74
Service Workers	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	2
Total	0	0	319	5	0	0	0	0	19	0	0	0	0	0	0	343
Previous Year Total	0	0	370	6	0	0	0	0	19	0	0	0	0	0	0	395

Date(s) of payroll period used: 09/01/18-09/30/18 (Omit on the Consolidated Report.)

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: The Allen Company, Inc. Employee ID: 01-0110918
 Address: 3009 Addison Ave, Ste 300, Lexington, Ky 40509 Phone: 859-543-3301
 Project to be insured: 131-2018

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

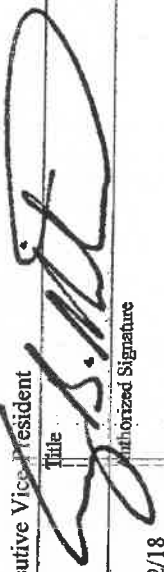
Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Res's Code	Rating
SC-3, Section 2, Part 4.1 - see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ 1,000,000 per occ. \$2,000,000 Agg.	Phoenix Ins Co. (Travelers)	A++	XV
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$1,000,000/per occ.	\$ 1,000,000 CSL	Travelers Property Casualty Co of America	A++	XV
SC-3, Section 2, Part 4.1 - see provisions	WC	Statutory w/endorsement as noted	\$ 1,000,000/1,000,000/1,000,000	Kentucky Employers Mutual Ins (KEMI)	A-	VIII

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

J. Smith Lanier & Co a Marsh & McLennan Agency company
 Agency or Brokerage

Gregory B Milward

360 E Vine St 2nd Floor
 Street Address
 Lexington KY 40507
 City State Zip
 859-244-7640
 Telephone Number

Name of Authorized Representative
 Executive Vice President
 Title

 Authorized Signature
 10/2/18
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: 2018 Construction Unit Price Contract

BID NUMBER: 131-2018

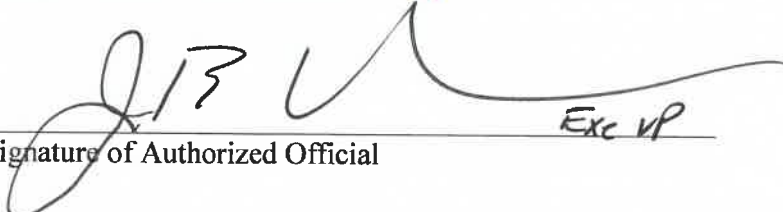
**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of The Allen Company, Inc. has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

The Allen Company, Inc.
Name of Firm Submitting Bid


Signature of Authorized Official Exc VP

Executive Vice President
Title

10/15/18
Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: The Allen Company Inc.

Project: 2018 Construction Unit Price Contract

Printed Name and Title of Authorized Representative: Jason B. Gabbard, Exec. Vice Pres.

Signature:  Exec VP

Date: 10/15/18

END OF SECTION