PART III

Invitation to Bid No. 181-2015

2016 CAPACITY ASSURANCE PROGRAM TEMPORARY FLOW MONITORING FIELD SERVICES

1. FORM OF PROPOSAL Place: Lexington, Kentucky Date: 12/15/15 The following Form of Proposal shall be followed exactly in submitting a proposal for this Work. This Proposal Submitted by Sewer System Evaluations, Inc. 2500 W. Arthington St. Chicago, IL 60612 (Name and Address of Bidding Contractor) (Hereinaster called "Bidder"), organized and existing under the laws of the State of Thinois, doing business as <u>Sewer System Evaluations</u>, <u>Inc.</u> "a corporation," "a partnership", or an "individual" as applicable. Lexington-Fayette Urban County Government

To:

(Hereinafter called "OWNER") Office of the Director of Purchasing 200 East Main Street, 3rd Floor Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for 2016 Capacity Assurance Program Temporary Flow Monitoring Field Services having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of \$\frac{\$400.00}{\$}\$ for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No	Date 12/2 (15
Addendum No.	Date
	Date
Addendum No	Date
Addendum No	Date
Addendum No.	Date
Addendum No.	Date
Addendum No.	Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. <u>LEGAL STATUS OF BIDDER</u>

Bidder	Sewer System Evaluations, Inc.
)ate _	12/15/15
1.	A corporation duly organized and doing business under the laws of the State of ———————————————————————————————————
2.	A Partnership, all of the members of which, with addresses are: (Designate general partners as such)
3.	An individual, whose signature is affixed to this Bid/Proposal (please print name)
	*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Come under	s the Affiant, Allow Berkner, and after being first duly sworn, states penalty of perjury as follows:				
1.	His/her name is Allan Berkner and he/she is the individual submitting the bid or is the authorized representative of Sever System Evaluations Inc., the entity submitting the bid (hereinafter referred to as "Bidder").				
2.	Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.				
3.	Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.				
4.	Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.				
5.	Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.				
6.	Bidder has not knowingly violated any provision of Chapter 25 of the Lexington- Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."				
7.	respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists. Further, Affiant sayeth naught.				
STATE OF	(Affiant)				
COUNTY O					
The foregoing	instrument was subscribed, sworn to and acknowledged before me by				
Allan Berkner on this the 15th day of December, 2015.					
My Commission expires: 11/26/2017					
"OFFICIAL SEAL" CAROL A. NERI NOTARY PUBLIC, STATE AT LARGE P-5 My Commission Expires 11/26/2017					

4. BID SCHEDULE – SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Division uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

The contract, if awarded, will be on the basis of materials and equipment specified in the specifications without consideration of possible substitute or "or equal" items.

The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantity	Unit	Unit Price	Total Bid Amount
1.	Installation, Removal & Calibration of Temporary Flow Meter for One thousand six hundred & Dollars and Zero Cents (per Each)	25	Each	\$ <u>1,635</u>	\$ <u>40,875</u>
2.	Operation & Maintenance of Temporary Flow Meter for One hundred & eighty - seven Dollars and Fifty Cents (per Meter-month)	100	Meter- month	\$187.50	\$ <u>18,750</u>
3.	Installation, Removal & Calibration of Temporary Rain Gage for Three hundred & seventy-five Dollars and Zero Cents (per Each)	3	Each	\$ 375	\$1,125

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantity	Unit	Unit Price	Total Bid Amount
4.	Operation & Maintenance of Temporary Rain Gage for One hand red & twenty - five Dollars and Zero Cents (per Gage-month)	12	Gage- month	#125	*1,500
5.	Monthly Data Packet for two thousand, five hundred Dollars and Zero Cents (per Each)	4	Each	\$2,500	\$10,000
6.	Final Report for Five thousand Dollars and Zero Cents (per Each)	1	Each	\$5,000	\$5,000
7.	Kickoff/Progress Meetings for seventy-five three throwsand eight hundred, Dollars and Zero Cents (per Each)	4	Each	\$3,875	\$15,500

TOTAL OF ALL BID PRICES FOR Assurance TFM Project (Items 1 through 7) in words and figures.

In case of discrepancy, the amount shown in words will govern.

Ninety-two thousand seven hundred and fifty-two dollars

and zero cents (\$ 92,750.00).

The quantities indicated in the Bid Schedule reflect those anticipated for the 2016 monitoring season. Estimated quantities may change after the contract is awarded. Final quantities will be at the OWNER's discretion. The CONTRACTOR shall specify the unit price for each item in the Bid Schedule without regard to quantity. The CONTRACTOR will not be permitted to alter the unit price identified in the Bid Schedule for changes in estimated quantities for any Item No.

Additional information regarding the preliminary monitoring sites for 2016 is provided in Section 4.06 of the Technical Specifications.

Monitoring equipment used to perform the work shall be furnished by the CONTRACTOR. Ownership of monitoring equipment remains with the CONTRACTOR. No equipment is to be provided to the OWNER as part of this contract.

Submitted by:	Sewer System Evaluations, Inc.
Bid must be signed: (original signature)	Firm 2500 W. Arthington St. Address Chicago IL 60612 City, State & Zip Clean Cerl Signature of Authorized Company Representative – Title
	Allan J. Berkner Representative/s Name (Typed or Printed)
	(312) 666-2295 (312) 666-5810 Area Code - Phone - Extension Fax #
	ajb@ Sseservice. Com E-Mail Address

OFFICIAL ADDRESS:

2500 W. Arthington St Chicago, IL 60612-4108

(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

	following statement of the Bidder's qualifications is required to be filled in, executed, and submitted the Proposal:
1.	Name of Bidder: Sewer Syster Evaluations, Inc.
2.	Permanent Place of Business: 2500 W. Arthorton St. Chicago, IL Golul
3.	When Organized: 1973
4.	Where Incorporated: 1973
5.	Construction Plant and Equipment Available for this Project:
	17 Hach FL 900 meters 25 Telog Ry-33 flow meters
	17 Hach FL 900 meters 25 Telog Ry-33 flow meters 2 AV 9000 / FL 900 meters 25 Telog pressure sensors
	10 Hach FloTote 3 meters 25 Telog burid antennas
	4 Rainger rain gauges
	2 Flo Mate velocity meters
	3 Signe 910 meters
	(Attach Separate Sheet If Necessary)
6.	Financial Condition:
	If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.
7.	In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:
	Travelers Casualty and Surety Company of America (Surety)
	Signed: (Representative of Surety) Oscar F. Rincon, Attorney-in-Fact
8.	The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	LOCATION	CONTRACT SUM
Sc	e attached referen	ces
		
		 .
The Ridder has now under co	ontract and bonded the following pr	oiects: M. a.e
<u>NAME</u>	<u>LOCATION</u>	CONTRACT SUM
List Key Bidder Personnel w	ho will work on this Project.	
	,	NO. OF YEARS
NAME	POSITION DESCRIPTION	WITH BIDDER
Allan Berkner	President / Engineer	24
Will Connors	Engineer	(
Doug Spangerberg	IT/ Data Review	10
John Kofod	Safety Manager	12
Amanda Meza	Office Assistant	2
MANAY MICH		

SEWER SYSTEM EVALUATIONS, INC. -FLOW MONITORING PROJECT REFERENCES

Carl Rundquist, P.E.
Water & Sewer Superintendent
Borough of Chambersburg
(717) 264-5151
100 South 2nd Street
Chambersburg, PA 17201

Chambersburg, PA (2008-2009)
15 flow monitoring locations for 13 months
\$160,000

Debra Mathias, P.E. (563) 264-6600 Stanley Consultants, Inc. 225 Iowa Avenue Muscatine, IA 52761 Bettendorf, IA (2008 and 2009) 19 flow monitoring locations \$40,000

Dennis Keene (562) 436-7600 National Plant Services, Inc. 1461 Harbor Ave. Long Beach, CA 90813-2741 2 Flow meters, Long Beach CA (2002) 23 Flow meters for four months, Anaheim CA (2004)

David Weakley Director of Public Works (708) 598-3400 10335 S. Roberts Road Palos Hills, IL 60465 Palos Hills, IL (2009-2010) 16 flow monitoring locations \$25,000

Larry Boettcher Director of Public Works (708) 598-7855 7700 West 98th St. Hickory Hills, IL 60457 Hickory Hills, IL (2012) 2 flow monitoring locations \$5,000

Ed Coggin, P.E. 815/385-1778 HR Green, Inc. 420 N. Front St. Suite 100 McHenry, IL 60050 Lisle, IL (2011)
4 flow monitoring locations
\$8,000

Jeff Freeman, P.E. (630) 466-9350 Engineering Enterprises, Inc. 52 Wheeler Rd. Sugar Grove, IL 60554 5 Flow meters, Elgin, IL (2011) 3 Flow meters, Libertyville, IL (2010) \$18,000

Ron Wilkes (877) 986-5436 Select Environmental Services, Inc. 12221 N. US Highway 301 Thonotosassa, FL 33592 5 Flow meters for four months, Largo, FL (2014) \$32,000

Salem M. Akhras, P.E. 708/599-1101 Akhras Associates,inc. 9306 South Odell Avenue Bridgeview, IL 60455-2114 10 Flow meters, Robbins, IL (2011) \$25,000

11.	DBE Participation on current bonded projects under contract:			
	SUBCONTRACTORS (LIST)	PROJECT (SPECIFIC TYPE)	<u>DBE</u>	% of WORK

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

6. <u>LIST OF PROPOSED SUBCONTRACTORS</u>

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK - LIST EACH MAJOR ITEM Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	SUBCONTRACTOR	DBE Yes/No	% of Work
1. Assistance with neter installation and service	Name: EVelez, LLC PO BOX 2(156 Address: Louisville, Ky 40221	<u>Yes</u>	17.6
2	Name:		
	Address:		
3	Name:		
	Address:		
4	Name:		
	Address:		
5	Name:		
	Address:		
6	Name:		
	Address:		
7	Name:		
	Address:		

(Attach additional sheet(s) if necessary.)



LFUCG MWDBE PARTICIPATION FORM Bid/RFP/Quote Reference # 181 - 2015

The MWDBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
Phone, Email 1. EVERT, LLC PD BOX 21152 Louisville KY 40221 (502) 644 - 2075 ugevelezehotmail.com 2.	Assistance with meter installation, service meters as needed	\$16,370	17.6
2.			
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Sevier System Evaluations Inc	Allan Berkner
Company	Company Representative
12/15/15	President
Date	Title

MWDBE QUOTE SUMMARY FORM Bid/RFP/Quote Reference # | 181 - 2015

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

Company Name	r System E	valuations, I	Contact	Person A(an Berk	ner		
Address/Phone/Email 2500 W. Acth. (3:2) 666-2295	associate	hicago, IL61 service.co	0612 Bid Pac m # 18	kage / Bid Da	12/1°	7/2015		
MWDBE	Contact	Contact	Date	Services	Method of	Total dollars \$\$	MBE *	
Company Address	Person	Information (work phone, Email, cell)	Contacted	to be performed	Communication (email, phone meeting, ad,	Do Not Leave Blank (Artach	AA HA AS	

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
EVELEZ, LLC 10 BOX 21156 Lousville, KY	Sugeria Velez	502) 644- 2015	12/7/15	Field	email	\$16,370	MWBE
Louisville, ky 40221		ugeveleza hotmail.com					

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract

and/or be subject to applicable Federal and State laws concerning fa	alse statements and claims.
Server System Evaluations, Inc.	alle Burn
Company	Company Representative
12/15/15	President
Date	Title



QUOTE.
DATE: 12/09/2015
FOR: SEWER SYSTEMS EVALUATIONS, INC.
As per your request, I am quoting the following for a flow monitoring project in Lexington, Ky.:
One worker plus vehicle traveling to Lexington \$ 713.90 per day.
Two workers plus vehicle traveling to Lexington \$ 1,018.20 per day.
The quote is for an 8 hour day, including travel time. It does not include overtime or Sunday
work.
Thanks,
Tildiks,
Eugenia Velez

Tel: 502-644-2075

8. <u>AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND</u> CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

- 1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
- 3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- 5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky ____. Check the statement applicable.
- 6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
- 7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- 8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. <u>STATEMENT OF EXPERIENCE</u>

NAME OF INDIVIDUAL: Allan Berkner
POSITION/TITLE: President
STATEMENT OF EXPERIENCE: See attached resure
NAME OF INDIVIDUAL: Will Connors
POSITION/TITLE: Engineer
STATEMENT OF EXPERIENCE: Mr. Connors joined SSE in
July of 2015 as an assistant engineer. In this
short time, he has already completed data analysis
on multiple flow monitoring project.
NAME OF INDIVIDUAL: Doug Spangen berg
POSITION/TITLE: It Technician
STATEMENT OF EXPERIENCE: Mr. Spangenberg is
responsible for data review and preparation.
He has worked for SSE for the past ten years.



SEWER SYSTEM EVALUATIONS, INC.

The Environmental Protection Specialists
2500 W. Arthington Street ● Chicago, IL 60612-4108 ● 312-666-1900 ● Fax: 312-666-5810

E-Mail: ajb@sseservice.com Web page: caryloncorp.com

-Alian Berkner, P.E.
Project Engineer

Education

University of Minnesota - B.S., Agricultural Engineering, 1974

Registration/License

Civil Engineer, Illinois

Professional Societies

Member - American Public Works Association.

Certificates

40-Hour Hazardous Waste Operation & Emergency Response

8 Hour Refresher Training

PACP Trainer since 2005

MACP & LACP trainer since 2011

Experience Summary

Responsible for data analysis, engineering supervision, report preparation, client and government liaison and project planning. He has directed data analysis and supervised report preparation for I/I Analysis and SSES projects at Sewer System Evaluations for the past twenty-three years.

Representative Projects

Flow Monitoring Study: Chambersburg, Pa. The Borough was under a consent decree to monitor flows at a minimum of 15 locations for at least one year. SSE provided all field services, data reporting, and analysis of the existing sanitary sewer flows. The intent of the project was to determine potential overloading or surcharge locations within the system. 15 flow meters and two rain gauges were installed, the flow data collected and analyzed on a monthly basis. A report was prepared outlining the summary of the flow results and recommendations.

Infiltration/Inflow Study: Anaheim, CA –This project was done with National Plant Services with 23 meters installed for six months. Project Engineer responsible for analyzing existing sanitary sewer for I/I, and provide recommendations for improvements and further testing. The report was prepared in the same format and organization as the ADS report for Orange County consisting of study description, analysis and recommendations. Flow data was presented in tabular and graphical form.

Flow Monitoring Study: Stanley Consultants; Bettendorf, Ia. — The City had experienced backups in its downtown area and was required by the City of Davenport to monitor flows to determine I/I and other potential sources for the overloading. Monitored at 19 locations for six weeks and collected rain data at three sites. SSE provided all field services, data reporting, and analysis of the existing sanitary sewer flows. The intent of the project was to prioritize the 19 flow basins for potential investigative work and continue with manhole inspections and smoke testing where required.

NAME OF INDIVIDUAL: John Kofod
POSITION/TITLE: Safety Manager
STATEMENT OF EXPERIENCE: Mr. Kotod has norked as
the company's safety manager and assistant
affirmative action officer for twelve years
NAME OF INDIVIDUAL: Amanda Meza
POSITION/TITLE: Office Assistant
STATEMENT OF EXPERIENCE: MS. Meza norts as a data
entry clerk and assists in report preparation.
NAME OF INDIVIDUAL:
POSITION/TITLE:
STATEMENT OF EXPERIENCE:
STATEMENT OF EAR ENGINEER.

^{*} Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Signature Sewer System Evaluations Inc.

Name of Business

11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of <u>Sewer System Evaluations</u>, <u>Inc.</u>

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

EVIDENCE OF INSURABILITY 13.

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT

Steller)	Employee ID:	Phone: (312) (6/6- 2;	
(See September 1911) and the second of the s	System Fraliations, Inc.	~ St. Chiajo Ic 60612	Capacity Assurance Program - Bid No. 181-2015
	Names Insured: Sewer System	Address: 2500 W. Arthington	Project to be insured: 2016 Capacif

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section		Minimum Limits and	Limits Provided	Name of	A.M. Best's	
Items	Coverage	Policy Requirements	To Insured	Insurer	Code Rating	Sating
SC-3, Section 2, Part 4.1 – see provisions	CGL	\$1,000,000 per occ. And	Slaunder acc.	2 ' '	200010	
		\$2,000,000 aggregate	Es use, as agazegote	Escusion aggregate turier America 01717 /4	CL/ L/0	1 t
SC-3, Section 2, Part 4.1 – see provisions	AUTO	\$2,000,000/per occ.	\$ Zyono, our CSC		2000	11
				Loveh America	0110	ζ
SC-3, Section 2, Part 4.1 – see provisions	WC	Statutory w /endorsement as	S Statutura with	ı	011/10	-
			endersements noted	Rudusements noted America turich	00017	レス

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise

when submitting.	{-		
Marsh, USA 14c.	46.		Kim Luchstetter
Agency or Brokerage			Name of Authorized Representative
540 W. Madisin Soite 1200	Sur Soite 1	200	Manasking Director
Street Address			Title
Chicaso	77	6066 (An Cuchin
City	State	Zip	/ Authorized Signature
(315) (27- (2000)	00		12/16/15
Telephone Number			Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer. IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: 2016 Capacity Assurance Program
Temporary Flow Monitoring Field Services
BID NUMBER: 181-2015 **BID NUMBER:** LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT LEXINGTON, KY All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law. All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening. The undersigned hereby certifies that the firm of Sewer System Evaluations Inc has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law. Sever System Evaluations, Inc.
Name of Firm Submitting Bid Signature of Authorized Official President
Title

12/15/2015

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name:	Sever System (Valuations, Inc		
Project:	181-2015		
Printed Name	and Title of Authorized Representative:	Allan Berkner,	President
Signature:	Alla Ber		
Date:	12/15/2015		

END OF SECTION

AFFIRMATIVE ACTION PLAN OF SEWER SYSTEM EVALUATIONS, INC. MARCH 1, 1988

I. Purpose

The purpose of this Affirmative Action Plan is to comply with the Civil Rights Act of 1964, Executive Order 11246, and all applicable amendments. The program is designed to insure that specific procedures are applied in good faith to foster equal employment opportunity without regard for race, color, religion, national origin, age, sex or handicap. The program includes analyses of areas to determine whether or not Sewer System Evaluations, Inc. are deficient in the utilization of qualified minority people or females, so that good faith efforts will be directed to correct deficiencies at every level and in every segment of the act of the activities of Sewer System Evaluations, Inc..

II. Statement of Policy

Sewer System Evaluations, Inc. will administer their policies without regard to race, religion, sex, age, color, national origin, ancestry, or handicap, except where sex or age is a valid occupational qualification.

Sewer System Evaluations, Inc. will comply with federal, state and local laws governing the equal employment opportunities employees and qualified applicants for employment.

Sewer System Evaluations, Inc. will take affirmative action to insure that an increasing number of qualified members of minority groups and females, present in the employment.

Sewer System Evaluations, Inc. will take affirmative action to insure that an increasing number of qualified members of minority groups and females, present in the employment areas of various company establishments are fairly evaluated for employment in various job classifications throughout the company.

III. Responsibility for E.E.O. Policy

The Director of E.E.O. is responsible for overall administration of this program to assure that implementation of Sewer System Evaluations, Inc. Equal Employment Opportunity Policy.

The Director's responsibilities include:

- 1. Counsel division managers and management personnel on implementation of the company's Equal Employment Opportunity Policy.
- 2. Assure all recruiting sources are advised in writing of the corporate E.E.O. Policy.
- 3. Assist and counsel division managers in identifying areas of underutilization and establishing goals and timetables commensurate with the local area.
- 4. Conduct annual audits to insure compliance at each location.
- 5. Assure that required posters and notices are properly displayed.
- 6. Assure that all facilities are desegregated.
- 7. Disseminate the corporate E.E.O. Policy and assure that proper records are maintained internally at each location.
- 8. Assure that women and minorities have an equal opportunity to compete for any available position they are qualified to perform.
- 9. Disseminate the corporate E.E.O. Policy to the public.
- 10. Include in all orientation programs for new employees, corporate E.E.O. Policy.
- Where possible, maintain an active association with local minority groups and women's organizations.

IV. Publication of Policy and Compliance Program

- 1. The E.E.O. Policy and Compliance Program will be communicated to all employees through employee publications, orientation programs, management training sessions, union representatives and labor union contracts.
- 2. The E.E.O. Policy statement and government E.E.O. posters and notices will be appropriately displayed.
- 3. Recruiting sources, advertising, employment agencies, schools, and colleges will be made aware of Sewer System Evaluations, Inc. commitment to hiring women or minority group employees under the corporate E.E.O. Policy

4. All purchase orders and sub-agreements shall contain an E.E.O. statement.

V. Analysis of Employment

- 1. Assist division managers in analyzing by job title or classification based on the labor statistics provided by the local employment services, to help determine the number of minorities, women, and potential age group applicants available in the local labor recruiting area if these figures are available.
- 2. Analyze current flow at each location, identifying women and minorities and protected age group applicants to determine the availability of these applicants.
- 3. Analyze all job titles to determine if minorities and women are being underutilized in any job classifications.
- 4. Compare the percentage of minorities and women in the present workforce with the workforce available in the immediate labor area.
- 5. Establish annual goals and timetables based on the above analysis, consistent with corporate policy statement on E.E.O. and the availability of applicants.

VI. Job Classifications and Pay Scales

- 1. Job descriptions and job requirements will be reviewed regularly to insure they do not discriminate against women or minorities.
- 2. Salaries and pay scales will be administered and regularly reviewed to assure that there are no differences in rates of pay based on an employee's race, color, age, religion, sex or national origin.

VII. Affirmative Action

Sewer System Evaluations, Inc. are committed to take affirmative action to resolve the problem of underutilization of minorities and women. To this goal, the following points will be our guide.

1. We will continue to seek qualified women and minority applicants for all job categories and at all levels of employment.

- 2. We will continue to insure that schools, colleges, employment agencies and employment services are informed and understand our policy of Equal Employment Opportunity.
- 3. Standards for employment will be continually monitored to be certain that they are consistent with qualifications needed for satisfactory job performance.
- 4. Employment application forms will be reviewed to insure they conform with all legal requirements. All job applicants will be given an opportunity to fill out an application which will be held in an active status for six months from date of action.
- 5. Screening procedures, such as the interview, reference checks, and credit checks, will be conducted in accordance with applicable laws and personnel practices.
- 6. Sewer System Evaluations, Inc. will insure that all qualified employees, including minorities and women receive equal consideration for opportunities for advancement, including promotions, salary increases, and transfers. In the event that reductions in the workforce become necessary, Sewer System Evaluations, Inc. will be certain that layoffs are made without regard to race, color, religion, age, sex or national origin.
- 7. As outlined in Analysis of Employment, Sewer System Evaluations, Inc. will periodically make reviews of underutilization of minorities and women. Included in the review will be:
 - a. Review will be made of the qualifications of all individual employees for selection, training, and/or advancement without discriminatory bias who are qualified for higher paying jobs.
 - Reasonable and appropriate objectives on a continuing basis for the upgrading of women and minorities will be an ongoing project.
 If the objectives cannot be met, good faith effort toward achievement will be shown.
 - c. Sewer System Evaluations, Inc. will make certain that promotional standards used in upgrading employees are realistically related to job requirements, and applied in a non-discriminatory manner.
- 8. All Sewer System Evaluations, Inc. facilities will continue to be maintained on a non-segregated basis.

- 9. Sewer System Evaluations, Inc. will support community educational, vocational training programs for minorities and women on an equal basis with all other employees and will take steps to inform the communities of this policy. Local media will be used to inform minorities and women of opportunities with Sewer System Evaluations, Inc.. All advertising will designate that Sewer System Evaluations, Inc. is an Equal Opportunity employer.
- 10. Each union contract will continue to contain a clause stating mutual obligation to assure non-discriminatory practices.
- 11. Sewer System Evaluations, Inc. are complying and will continue to comply with all reporting requirements of Executive Order 11246 and all applicable requirements of the Equal Employment Opportunity Commission and the Office of Federal Contract Compliance. Reports will be submitted to federal, state, or local agencies as they may be required by law. These reports will be made available for inspection by bona fide representatives of state and local compliance agencies.

VIII. Executive Order 11701 - Employment of Veterans

Sewer System Evaluations, Inc. will continue their policy of recruiting and hiring qualified applicants who are veterans while striving to maintain the company's total affirmative action commitment to Equal Employment Opportunity for every job applicant.

IX. Affirmative Action for Employment of Handicapped Persons

Sewer System Evaluations, Inc. will not discriminate against any employee or applicant because of physical or mental handicap in regard to any position for which the employee or applicant is qualified.

Sewer System Evaluations, Inc. will treat handicapped employees without discrimination in all employment practices and employee benefit programs. All qualified handicapped employees will be given equal consideration for advancement, including promotions and transfer.

X. Goals and Timetables

Sewer System Evaluations, Inc. will strive to achieve the end of any underutilization of minorities and women working. To this end will undertake the following steps:

1. Continue our policy that minorities and women are in jobs where their training and abilities are appropriately utilized.

- 2. Examine pay scale relationships between women and men with the goal to equalize their status.
- 3. Where investigation reveal disproportionate ratios of women and minorities, set goals to correct this problem.
- 4. Sewer System Evaluations, Inc. will continue their open promotion policy. All promotional opportunities will be communicated to all employees. Objective selection procedures will be followed. They are: job requirements to be stated, evaluation of each candidate's qualifications, prepared reasons for selection of a specific candidate.
- 5. Where practical, women and minorities will be rotated in various job levels to provide developmental opportunities.
- 6. Women and minorities are encouraged to participate in study courses that would prepare them for future promotions.
- 7. Sewer System Evaluations, Inc. will actively recruit women and minorities for professional level jobs. To this end, a professional organization will be contacted for assistance.
- 8. Sewer System Evaluations, Inc. company's E.E.O. Policy will be communicated throughout the company. All officers, managers and supervisors will be aware of our policy and its meaning.
- 9. Auditing of all Sewer System Evaluations, Inc. company's personnel policies and procedures has been and continues to be an ongoing process. All policies and procedures will be periodically examined to assure that all employees are treated equally, without discrimination in all employment practices and employed benefit programs.
- 10. Specific timetables will be set for the preceding goals as soon as the annual analysis is completed.

SEWER SYSTEM EVALUATIONS, INC.

Allan Berkner

Director for E.E.O.

LYSIS FORM	
RCE ANA	
WORKFOF	
12.	

Name of Organization:		Systen	Evalua	ster Evaluation, Inc.		Date:	Date: 12 / 15		
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Service/Maintenance									
Total:	8	4	1	7			-	9	2

Prepared By: Allaw Berkner

BID BOND

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

(Name, legal status and principal place of business)

Travelers Casualty and Surety Company of America

Hartford, Connecticut 06183

CONTRACTOR:

(Name, legal status and address)

Sewer System Evaluations, Inc. 2500 W. Arthington Street Chicago, IL 60612

OWNER:

(Name, legal status and address):

Lexington-Fayette Urban County Government Division of Water Quality 125 Lisle Industrial Avenue, Lexington, KY 40511

BOND AMOUNT: Five Percent of the Total Amount Bid (5%)

PROJECT:

(Name, location or address, and Principal number, if any)

2016 Capacity Assurance Program Temporary Flow Monitoring Field Services Bid No. 181-2015

SURETY:

215 Shuman Boulevard

Naperville, IL 60563

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this

day of December, 2015

(Principal)

Sewer System Evaluations, Inc.

Travelers Casualty and Surety Company of America

(Surety)

(Seal)

(Seal)

(Witness) Gina M. Damato

(Title)

Attorney-in-Fact

The Company executing this bond vouches that this document conforms to American Institute of Architects Document A310, 2010 Edition



POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company Travelers Casualty and Surety Company Travelers Casualty and Surety Company of America United States Fidelity and Guaranty Company

Attorney-In Fact No.

229832

Certificate No.

006184630

KNOW ALL MEN BY THESE PRESENTS: That Farmington Casualty Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company are corporations duly organized under the laws of the State of Connecticut, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Carol F. Tasciotti, Evonne Brown, Adele M. Korezak, Grace Villarreal, Gail Schroeder, Gina M. Damato, Thomas A. Pictor, Michael Damewood, Luisa Seymour, Thomas N. Tague, Brenda D. Hockberger, Carlina A. Oswald, Tara S. Petersen, Todd D. Baraniak, Dale F. Poquette, Oscar F. Rincon, Moises Alcantar, James P. Fagan, Stephanie Miller, Grace Lawrence, Ann Mulder, Launa Reidenbach, William Matthews, Mary D. Thomas, Amber Derkson, Dan Hasson, Dan Orna, Kristan Retusnic, Kathleen Stewart, Mary Jo Campbell, Carrie Smith, Adam Kveton, Jessica Hernandez, Robin Vinci, Jason Cummings, Deb Bartz, Emily Swatkowski, Renee D. Davis, and Tiffany Uribe

of the City of Chicago / each in their separate capacity if	Naperville	, State of	Illin	nois	, t	heir true and law	ful Attorney(s)-in-Fact
each in their separate capacity if other writings obligatory in the r contracts and executing or guarar	uature thereof on beha	If of the Companie	s in their busine	ss of guaranteein	g the fidelity of p	ersons, guarantee	itional undertakings and eing the performance of
IN WITNESS WHEREOF, the day of	Companies have cause	ed this instrument t	o be signed and t	their corporate sea	ds to be hereto aff	ixed, this	19th
	Farmington Casual			St. I	Paul Mercury Ins	urance Compan	у
Fidelity and Guaranty Insurance Fidelity and Guaranty Insurance						palty and Surety Company palty and Surety Company of America	
	St. Paul Fire and N St. Paul Guardian	Aarine Insurance (Company		ted States Fidelity		•
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State of Connecticut City of Hartford ss.				Ву:	Solet L. Rane	y, Senior Vice Pres	udent
On this the	.doi: of August		2015		nananana Dahara	I Day I	1 1 1 11 16

In Witness Whereof, I hereunto set my hand and official seal. My Commission expires the 30th day of June, 2016.



instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

Marie C. Tetreault, Notary Public

Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, and that he, as such, being authorized so to do, executed the foregoing



STATE OF ILLINOIS COUNTY OF KENDALL

I, Gina M. Damato	_ a Notary Public in and for said County, do hereby
certify that Oscar F. Rincon	Attorney -in-Fact, of the:
Travelers Casualty & Surety Company	
TRAVELERS CASUALTY & SURETY COMPANY OF AMERI	CA
FARMINGTON CASUALTY COMPANY	
SEABOARD SURETY COMPANY	
UNITED STATES FIDELITY AND GUARANTY COMPA	NY
ST. PAUL FIRE AND MARINE INSURANCE COMPANY	
FIDELITY AND GUARANTY INSURANCE COMPANY	
FIDELITY AND GUARANTY INSURANCE UNDERWRIT	TERS, INC.
ST. PAUL GUARDIAN INSURANCE COMPANY	
ST. PAUL MERCURY INSURANCE COMPANY	
Who is personally known to me to be the same personstrument, appeared before me this day in person, delivered said instrument for and on behalf of:	son, whose name is subscribed to the foregoing, and acknowledged that they signed, sealed, and
TRAVELERS CASUALTY & SURETY COMPANY	
TRAVELERS CASUALTY & SURETY COMPANY OF AMERI	CA
FARMINGTON CASUALTY COMPANY	
SEABOARD SURETY COMPANY	
UNITED STATES FIDELITY AND GUARANTY COMPA	NY
ST. PAUL FIRE AND MARINE INSURANCE COMPANY	
FIDELITY AND GUARANTY INSURANCE COMPANY	
FIDELITY AND GUARANTY INSURANCE UNDERWRI	TERS, INC.
ST. PAUL GUARDIAN INSURANCE COMPANY	
ST. PAUL MERCURY INSURANCE COMPANY	
For the uses and purposed therein set forth.	
Given under my hand and notarial seal at my office County, this17th_day ofDecember_	in the City of Naperville in said A.D. 20 15
Notary Public	OFFICIAL SEAL GINA M. DAMATO NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES APRIL 05, 2016