PART III

Invitation to Bid No. 111-2025

Mounted Police Ventilation Repairs

1. FORM OF PROPOSAL

	Place: Lexington, Kentucky
	Date: 10/22/25
The follo	owing Form of Proposal shall be followed exactly in submitting a proposal for this Work.
This Proj	posal Submitted by C3 Mechanical
	(Name and Address of Bidding Contractor)
(Hereina	fter called "Bidder"), organized and existing under the laws of the State of doing business as on individual "a corporation," "a partnership", or an "individual" as applicable.
То:	Lexington-Fayette Urban County Government (Hereinafter called "OWNER") Office of the Director of Purchasing 200 East Main Street, 3rd Floor Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the Mounted Police Ventilation Repairs having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

The Bidder hereby ack	mowledges receipt of the following addenda:
Addendum No	Date 10/14/25
	_ Date
Addendum No	
Addendum No	_ Date
Addendum No	_ Date
Addendum No	_ Date
Addendum No	Date
Addendum No.	Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.



TODD SLATIN DIRECTOR PROCUREMENT

ADDENDUM #1

Bid Number: #111-2025

Date: October 14, 2025

Subject: LFUCG Mounted Police Ventilation Repairs

Address inquiries to: Kristie Thomas (859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

Pre-bid sign-in sheet attached.

Todd Slatin, Director Division of Procurement

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: C3 Mechanical

SIGNATURE OF BIDDER: Jam Joones







2. <u>LEGAL STATUS OF BIDDER</u>

11	122	25												
	corpora												of bear d/Pr	the ing the
	horized						, whos	c signe						
	Partners ch)	nip, a	ll of	the m	ember	s of w	hich, w	rith ad	dresse	es are:	(Des	ignate	gene	eral p
_														
_														
-														
A	ı individ	iual.	whos	se sign	nature	is affi	xed to	this Bi	id/Pro	posal	(pleas	se prin	t nai	me)
	Jim													
	71111	VVI												

3. <u>BIDDERS AFFIDAVIT</u>
Comes the Affiant,, and after being first duly sworn,
states under penalty of perjury as follows:
1. His/her name is and he/she is the
individual submitting the bid or is the authorized representative of
, the entity
submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the
time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those
taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to
award of the contract.
4. Bidder has authorized the Division of Procurement to verify the above-mentioned information with the
Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a
business license has not been obtained.
5. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County
Government Code of Ordinances, known as the "Ethics Act."
6. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to
circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been
ware that his conduct is of that nature or that the circumstance exists.
Lim Comes Jim Comes
Signature Printed Name
General Manager 10/22/25
Title
02 10-1
Company Name Co Mechanical
11 . 12 . 1 . A . 10 101
Address 166 Buchannan Ave. Bardstown, KY 40004
line Contract
Subscribed and sworn to before me by(Affinit)
Canaral Manader
General Manager (Title)
of C3 Mechanical this 22 day of October 2025.
(Company Name)
(Karena A mage
Notary Public [seel of notary] My commission expires: \$122128
Charena Augger

4. BID SCHEDULE – SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except: If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

Pricing should be submitted in the Line Items tab on IonWave. Pages P-8 must be fully executed and attached to bid submission or bid will be considered non-responsive.

Item No.	Description	Unit	Quantity
1.	Mount Police Ventilation Repairs, as per specs.	LS	1

Submitted by:	C3 Mechanical
	166 Buchannan Ave.
	Bardstown, KY 40004 City, State & Zip
Bid must be signed: (original signature)	Signature of Authorized Company Representative – Title
	Jim Coomes
	Representative/s Name (Typed or Printed)
	<u>502 - 352 - 2303</u> Area Code – Phone –Fax #
	im, c3mech@gmail.com E-Mail Address
OFFICIAL ADDRESS:	
166 Buchannar	Ave.
Bandstown, Ky	40004
	(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

	llowing statement of the Bidder's qualifications is required to be filled in, executed, and ted with the Proposal:
1.	Name of Bidder: C3 Methamical
2.	Permanent Place of Business: Use Buchannan Ave. Bandstown, Ky 40004
3.	When Organized: 2016
4.	Where Incorporated: Kentuky
5.	Construction Plant and Equipment Available for this Project:
	All necessary material & labor.
	(Attach Separate Sheet If Necessary)
6.	Financial Condition:
	If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Procurement within seven (7) calendar days following the bid opening.
7.	In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:
	West Band (Surety)
	Signed: (Representative of Surety)

necessary).	milar projects performed by the Bido	ici. (Attacii separate si
NAME See attached	LOCATION	CONTRACT SUM
NAME	contract and bonded the following pr	CONTRACT SUM
Mate Chulding A VIV	ox Fort Knox Ky	742,500.00
Mates Building Ft. Kno Cynthiana Housing A	h. Cynthiana KY	608,000.00
Cynthiana Housing A	h. Cynthiana KY	608,000.00
Cynthiana Housing A	h. <u>Cynthiana KY</u> _	
Cynthiana Housing A	who will work on this Project. POSITION DESCRIPTION	NO. OF YEA
List Key Bidder Personnel	h. Cynthiana K	NO. OF YEA

US Department of Housing and Urban Development

Office of Housing/Federal Housing Commissioner

Farmers Home Administration US Department of Agriculture

3. Los	1. Ag	Reas	Part
3. Loan or Contract amount \$	 Agency name and City where the application is filed 	Reason for submission:	Part I to be completed by Principals of Multifamily Projects (See instructions)
4. Number of Units or Beds			ultifamily Projects (See instructions)
5. Section of Act	Project Name, Project Number, City and Zip Code		For HUD HQ/FmHA use only
6. Type of Project (check one) ☐ Existing ☐ Rehabilitation ☐ Proposed (New	and Zip Code		y
roposed (New)			

7. List all proposed Principals and attach organization chart for all organizations Name and address of Principals and Affiliates (Name: Last, First, Middle Initial) proposing to participate Coomes, James P 8 Role of Each Principal in Project Gen. Mgr Number 9. SSN or IRS Employer 81-1175876

statements made on this form are true, complete and correct to the best of their knowledge and belief and are made in good faith, including any Exhibits attached to this form. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. The principal(s) further certify that to the best of their knowledge and belief: Certifications: The principal(s) listed above hereby apply to HUD or USDA FmHA, as the case maybe, for approval to participate as principal(s) in the role(s) and project listed above. The principal(s) each certify that all the

1. Schedule A contains a listing, for the last ten years, of every project assisted or insured by HUD, USDA FmHA and/or State and local government housing finance agencies in which the principal(s) have participated or area

- For the period beginning 10 years prior to the date of this certification, and except as shown on the certification
- a. No mortgage on a project listed has ever been in default, assigned to the Government or foreclosed, nor has it received mortgage relief from the mortgagee
- The principals have no defaults or noncompliance under any Conventional Contract or Turnkey Contract of Sale in connection with a public housing project
- There are no known unresolved findings as a result of HUD audits, management reviews or other Governmental investigations concerning the principals or their projects;
- . There has not been a suspension or termination of payments under any HUD assistance contract due to the principal's fault or negligence;
- e. The principals have not been convicted of a felony and are not presently the subject of a complaint or indictment charging a felony. (A felony is defined as any offense punishable by imprisonment for a term exceeding one year, but does not include any offense classified as a misdemeanor under the laws of a State and punishable by imprisonment of two years or less);
- f. The principals have not been suspended, debarred or otherwise restricted by any Department or Agency of the Federal Government or of a State Government from doing business with such Department or Agency:
- The principals have not defaulted on an obligation covered by a surety or performance bond and have not been the subject of a claim under an employee fidelity bond.
- All the names of the principals who propose to participate in this project are listed above.
- 4. None of the principals is a HUD/FmHA employee or a member of a HUD/FmHA employee's immediate household as defined in Standards of Ethical Conduct for Employees of the Executive Branch in 5 C.F.R. Part 2635 (57 FR 35006) and HUD's Standard of Conduct in 24 C.F.R. Part 0 and USDA's Standard of Conduct in 7 C.F.R. Part 0 Subpart B. None of the principals is a participant in an assisted or insured project as of this date on which construction has stopped for a period in excess of 20 days or which has been substantially completed for more than 90 days and
- 6. None of the principals have been found by HUD or FmHA to be in noncompliance with any applicable fair housing and civil rights requirements in 24 CFR 5.105(a). (If any principals or affiliates have been found to be in noncompliance with any requirements, attach a signed statement explaining the relevant facts, circumstances, and resolution, if any) documents for closing, including final cost certification, have not been filed with HUD or FmHA
- None of the principals is a Member of Congress or a Resident Commissioner nor otherwise prohibited or limited by law from contracting with the Government of the United States of America
- 8. Statements above (if any) to which the principal(s) cannot certify have been deleted by striking through the words with a pen, and the relevant principal(s) have initialed each deletion (if any) and have attached a true and accurate signed statement (if applicable) to explain the facts and circumstances

00	This form prepared by (print name) Charessa Duoger	N. Carlotte and Ca		James Coomes	Name of Principal
	Area		~	Jim (somes	Signature of Principal
	Area Code and Tel. No. 502-352-2303			09/18/2025	Certification Date(mm/dd/yyyy
	03			502-352-2303	Area Code and Tel. No.

																												C3 MECHANICAL	C2 Mashanian	Coomes, James	2			3	1. Principals Name (Last, First)
(Privately Funded)	AT&T Somerset	(Privately Funded)	AT&T Henderson	(Privately Funded)	Frankfort Adult Daycare	LFUCG- Lexington Fayette Urban Co. Gov't	(The Housing Partnership Inc.)	KDVA Cambridge	(The Housing Partnership Inc.)	KDVA Mackenzie	(The Housing Partnership Inc.)	KDVA Briar Hill	(HUD)	Cynthiana Housing Ath.	(HUD)	ITVIDE FIGURING AUG.	(A. Housing Corp.)	(IV) Harrison Common	Al CO Columbia	(HUD)	Columbia Housing Ath.	(HUD)	Maysville Housing Ath.	(HUD)	Springfield Housing Ath.	(HUD)	Pikeville Housing Ath.	(HUD)	Russellville Housing Ath.	(KY Housing Corp.)	ALCO Scottsville		9	project ID and, Govt. agency involved)	List of previous projects (Project name,
	(\$40,500)		(S44,750)		(\$60,795)	(\$356,748.33)		(\$30,474)		(\$47,910)		(\$100,211)		(\$24,850)		(200,0026)	(35) 3053)	(O'CONTON)	(8420.788)		(\$348,448.80)		(\$62,525)		(\$26,335)		(\$165,500)		(\$150,250)		(\$282,548.60)			olved)	ct name,
(Complete)	07/2016 HVAC Subcontractor	(Complete)	04/2016 HVAC Subcontractor	(Complete)	07/2017 HVAC Subcontractor	(Complete)	01/2017 HVAC Prime Contractor	(Complete)	03/2016 HVAC Subcontractor	(Complete)	03/2016 HVAC Subcontractor	(Complete)	03/2016 HVAC Subcontractor	(Complete)	08/2017 HVAC Subcontractor	(Complete)	04/2017 HVAC Subcontractor	(Complete)	03/2017 HVAC Subcontractor	(Complete)	01/2017 HVAC Prime Contractor	(Complete)	03/2017 HVAC Subcontractor	(Complete)	09/2016 HVAC Subcontractor	(Complete)	06/2016 HVAC Subcontractor	(Complete)	06/2016 HVAC Subcontractor	(Complete)	02/2016 HVAC Subcontractor	participant)	fee or identity of interest	(indicate dates participated, and if	3.List Principals' Role(s)
																																	assigned, foreclosed)	(current, defaulted,	 Status of loan
*		*		*		*		*		*		*		*		*		*		*		*	6	*		*		*		*		Yes No If yes, explain	participation	in default during your	Was the Project ever
																																	date	Physical Insp. Score and	Last MOR rating and

Part II- For HUD Internal Processing Only

Received and checked by me for accuracy and completeness; recommend approval or refer to Headquarters after checking appropriate box

Supervisor		Staff	Date (mm/dd/yyyy)
Director of Housing		Processing and Control	Tel No. and area code
Director of Housing/Director, Multifamily Division	B. Name match in system	recommended.	A. No adverse information; form HUD-2530 approval
Approved Date	D. Other (attach memorandum)		roval C. Disclosure or Certification problem
Date (mm/dd/yyyy)	iorandum)		fication problem

																								C3 Mechanical		Coomes, James	•			1. 1 Illicipais Ivanic (Last, 1 list)	1 Dringingle Name (I get Firet)
(HUD)	Harrodsburg Housing Ath.	(LFUCG)	West Hickman WWTP Solids Processing	(Commonwealth of Kentucky)	Oakwood Services Somerset	(нии)	Fulton Housing Authority	(1100)	(MIIII)	Harlan Housing Authority	(Commonwealth of Kentucky)	Corbin State Office Building	(HUD)	Shelbyville Housing Ath.	(HUD)	Cynthiana Housing Ath.	(111vaior) 1 mided)	(Privately Funded)	High Grove at Tates Creek	(KY Houisng Corp.)		(KY Housing Corp.)	ALCO Tompkinsville	(HUD)	Harrodsburg Housing Ath.	(HUD)	Springfield Housing Ath.			project ID and, Govt, agency involved)	7 List of previous projects (Project name
	(\$306,603)	(\$222,888)	essing	(\$340,000)		(3240,783)	(824/ 705)		,	(\$132,500)		(\$144,586)		(\$597,102)		(000,2018)	200		(\$262,400)		(\$714,642)		(\$829,100)		(\$218,238)		(\$117,654)			red)	namp
		(Complete)	10/2018 HVAC Prime Contractor	(Complete)	10/2018 HVAC Prime Contractor	(Complete)	10/2018 HVAC Prime Contractor	(Complete)	10/2018 HVAC Prime Contractor	(Complete)	10/2018 HVAC Prime Contractor	(Complete)	07/2018 HVAC Prime Contractor	(Ongoing)	05/2018 HVAC Prime Contractor	(Complete)	11/2017 HVAC Prime Contractor	(Complete)	05/2018 HVAC Subcontractor	(Complete)	01/2018 HVAC Subcontractor	(Complete)	01/2018 HVAC Subcontractor	(Complete)	01/2018 HVAC Prime Contractor	(Complete)	12/2017 HVAC Prime Contractor	participant)	fee or identity of interest	(indicate dates participated, and if	3 List Principals' Role(s)
									₩																				assigned, foreclosed)	(current, defaulted,	4 Status of loan
	•	*		*		*		*		*	0	*		*		*		de		*		*		*		*		Yes No If yes, explain	participation	in default during your	S Was the Project ever
																													date	Physical Insp. Score and	6 Last MOR rating and

Part II- For HUD Internal Processing Only

Received and checked by me for accuracy and completeness; recommend approval or refer to Headquarters after checking appropriate box.

	Supervisor		Staff Processing and Control	Date (mm/dd/yyyy) Tel No. and area code
	Director of Housing/Director, Multifamily Division			
	r, Multifamily Division	B. Name match in system	recommended.	A. No adverse information; form HUD-2530 approval
☐ Yes ☐ No	Approved	D. Other (attach memorandum))	oval C. Disclosure
	Date (mm/dd/yyyy)	sch memorandum)		C. Disclosure or Certification problem

																										_		
																						C3 Mechanical	Co Machaniani	Coomes, James				1. Principals Name (Last, First)
		(LFUCG)	oing	(Private Funding)	Woodford County EMS	(Private Funding)	Swans's Landing (\$		Housing Authority of Hodgenville (\$	(Commonwealth of Kentucky) (\$	Sayre Building @ EKU	(LFUCG)	The Bell House ((HUD)	Housing Authority of Liberty	(חטט)	Authority of Richmond		***	(The Housing Partnership Inc.)	KCDAV Hazard (\$	(KY Housing Corp)		(HUD)	Morgantown Housing Ath. (\$		project to may corn about morrow	List of previous projects (Project name, project ID and Govt agency involved)
			(\$185,000)		(\$54,000)		(\$107,950)		\$137,500	\$595,850			\$77,210)		(\$76,388)		(\$19,400)		(\$101,850)		118,000)		\$559,450		(\$189,189)			e,
(Complete)	09/2019 HVAC Prime Contractor	(Complete)	09/2019 HVAC Prime Contractor	(Complete)	COLUMN TO STATE OF ST	07/2019 HVAC Prime Contractor	(Complete)	07/2019 HVAC Prime Contractor	(\$137,500) (Complete)	(\$595,850) 04/2019 HVAC Prime Contractor	(Complete)	04/2019 HVAC Prime Contractor	(\$77,210) (Complete)	04/2019 HVAC Prime Contractor		(Complete)	04/2019 HVAC Prime Contractor	(Complete)	03/2019 HVAC Prime Contractor	(Complete)	(\$118,000) 01/2019 HVAC Subcontractor	(Complete)	(\$559,450) 01/2019 HVAC Subcontractor	(Complete)	01/2019 HVAC Prime Contractor	participant)	fee or identity of interest	 List Principals' Role(s) (indicate dates participated and if
																											assigned, foreclosed)	Status of loan (current defaulted)
	•		340	٠	1 8				•	•			. 7	•	•	/*	•		•		•	•	*	*	¥	Yes No If yes, explain	participation	5. Was the Project ever in default during your
																											date	6. Last MOR rating and Physical Insp. Score and

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Supervisor		Staff Processing and Control	Date (mm/dd/yyyy) Tel No. and area code
Director of Housing/Director, Multifamily Division	N.	Control	530
	B. Name match in system	recommended.	A. No adverse information; form HUD-2530 approval
Approved Yes No	D. Other (attach memorandum)	ļ	
Date (mm/dd/yyyy)	ch memorandum)		C. Disclosure or Certification problem

	Coomes, James C3 Mechanical	1. Principals Name (Last, First)
Magnolia Terrace Apartments (TN Housing Corp.) Fulton Housing Authority Pt. 2 (HUD) The Housing Authority of Beaver Dam (HUD) Whitley Co. Middle School (Commonwealth of KY) First Assembly of God/Summit Christian School (Private Funding) Lyric Theatre (LFUCG) White Dog Distillery (Private Funding) Mates Building-Fort Knox (Commonwealth of KY) Rocky Mountian ATV (Private Funding) Liberty Housing Authority (HUD) The Colony Apartments (Covington) (Private Funding) Magnolia Terrace Apartments (TN Housing Corp.)	LFUCG Boilers (LFUCG) EKU Boiler (EKU) Fayette Commons	List of previous projects (Project name, project ID and, Govt. agency involved)
(\$41,400) (\$133,250) (\$141,000) (\$112,500) (\$244,700) (\$189,500) (\$189,500) (\$229,000) (\$229,000) (\$229,000) (\$2146,750) (\$31,975) (\$31,975) (\$31,975)	(\$221,000) (\$70,000) (\$65,500)	3
HYAC Prime Contractor (022020 Complete) HYAC Prime Contractor (012020 Complete) HYAC Prime Contractor (052020 Complete) HYAC Prime Contractor (052020 Complete) HYAC Prime Contractor (052020 Complete) HYAC Prime Contractor (042020 Complete) HYAC Prime Contractor (032020 Complete) HYAC Prime Contractor (032020 Complete) HYAC Prime Contractor (032020 Complete) HYAC Prime Contractor (042021 Complete) HYAC Subcontractor (052021 Complete)	(S221,000) HVAC Prime Contractor (03/2020 Complete) (S70,000) HVAC Prime Contractor (02/2020 Complete) (S65,500) HVAC Subcontractor	3.List Principals' Role(s) (indicate dates participated, and if fee or identity of interest participant)
		Status of loan (current, defaulted, assigned, foreclosed)
* * * * * * * * * * * * * * *	* * *	5. Was the Project ever in default during your participation Yes No If yes, explain
		6. Last MOR rating and Physical Insp. Score and date

Part II- For HUD Internal Processing Only
Received and checked by me for accuracy and completeness; recommend approval or refer to Headquarters after checking appropriate box.

	Supervisor		Staff	Date (mm/dd/yyyy)
	Dire		Processing and Control	Tel No. and area code
	Director of Housing/Director, Multifamily Division			_
	Multifamily Division	B. Name match in system	recommended.	A. No adverse information; form HUD-2530 approval
☐ Yes ☐ No	Approved	D. Other (attach memorandum)	
	Date (mm/dd/yyyy)	ch memorandum)		C. Disclosure or Certification problem

																					C3 Mechanical	Courtes, sames	Coomes James				(2003)	1 Principals Name (Last First)
	(Private Funding)	White Dog Distillery 3	(HUD)	Clifton Place Apartments	(LFUCG)	WWTP Solids Building	(HUD)	ma Housing Authority		Bardstown Housing Authority (\$244,000)	(HUD)	Cambridge Square Apartments (\$1,406,529)	(KY Housing Corp)	ALCO Scottsville Burn Reno	(Private Funding)	White Dog Distillery 2	(Private Funding)	Rocky Mt Atv 2	9	Great Onyx	(Private Funding)	Rocky Mt. Atv Direct	(USDA Job Corp)	Pine Knot			project ID and, Govt. agency involved)	List of previous projects (Project name.
		(\$40,700)		(\$150,901)		(\$17,875)		(\$335,500)		(\$244,000)		\$1,406,529)		(\$14,585)		(\$14,250)		(\$288,750)		(\$10,200)		(\$14,500)		(\$75,100)			ed)	name
0.000	(04/2022 Complete)	HVAC Prime Contractor	(04/2022 Complete)	HVAC Subcontractor	(04/2022 Complete)	HVAC Prime Contractor	(02/2022 Complete)	HVAC Prime Contractor	(02/2022 Complete)	HVAC Prime Contractor	(12/2021 Complete)	HVAC Subcontractor	(08/2021 Complete)	HVAC Subcontractor	(08/2021 Complete)	HVAC Subcontractor	(07/2021 Complete)	HVAC Subcontractor	(06/2021 Complete)	HVAC Prime Contractor	(07/2021 Complete)	HVAC Prime Contractor	(05/2021 Complete)	HVAC Prime Contractor	participant)	fee or identity of interest	(indicate dates participated, and if	3. List Principals' Role(s)
																									•	assigned, foreclosed)	(current, defaulted,	 Status of loan
	,	+	*	*		*	*	*	к	F	*	*	*	•	*	*	ж	te s	*	*	*	6	*	*	Yes No If yes, explain	participation	in default during your	5. Was the Project ever
	(A)																									date	Physical Insp. Score and	6. Last MOR rating and

Part II- For HUD Internal Processing Only
Received and checked by me for accuracy and completeness; recommend approval or refer to Headquarters after checking appropriate box.

Date (mm/dd/yyyy)	Tel No. and area code	A. No adverse information; form HUD-2530 approval	oval C. Disclosure or Certification problem
Staff	Processing and Control	recommended.	19
		B. Name match in system	D. Other (attach memorandum)
Supervisor	Director of Housing/Dire	Director of Housing/Director, Multifamily Division	Approved Date (mm/dd/yyyy)
			☐ Yes ☐ No

LFUCG Fans (LFUCG)	(\$73,850)	20 8400	assigned, toreclosed)	Yes No If yes, explain * *	date
(LFUCG) Carrollton Ntnl Guard Armory (Commonwealth of Kentucky) Carrollton Housing Authority (HUD)	(\$112,000) (\$79,775)	(03/2023 Complete) HVAC Prime Contractor (02/2023 Complete) HVAC Subcontractor (02/2023 Complete)		* * * *	
Post Clinic Mt. Sterling (Private Funding)	(\$134,000)	HVAC Subcontractor (01/2023 Complete)		* *	
Hodgenville Housing Authority (HUD)	(\$118,015)	HVAC Prime Contractor (02/2023 Complete)		* *	
90	\$46,954.50)	HVAC Subcontractor (01/2023 Complete)		* *	
Spencer County Public Library (Private Funding)	(\$47,500)	HVAC Subcontractor (05/2023 Complete)		b #	
Carrollton Housing Authority (HUD)	(\$125,500)	HVAC Prime Contractor (06/2023 Complete)		* 4	
Cynthiana Housing Authority (HUD)	(\$165,750)	HVAC Prime Contractor (06/2023 Complete)		* *	
Hodgenville Housing Authority (HUD)	(\$202,540)	HVAC Prime Contractor (11/2023 Complete)		* *	
Danville Housing Authority HUD)	(\$56,152)	HVAC Subcontractor (08/2024 Complete)		* *	
Hunt Tower	\$1,248,854)	HVAC Subcontractor (06/2025 In Progress)		* *	
Beecher Terrace Apts (\$ (HUD)	4,731,800)	HVAC Subcontractor (07/2025 In Progress)			
	LFUCG Fans (LFUCG) Carrollton Ntnl Guard Armory (Commonwealth of Kentucky) Carrollton Housing Authority (HUD) Post Clinic Mt. Sterling (Private Funding) Hodgenville Housing Authority (HUD) FFA Cabins Brandenburg (Commonwealth of KY) Spencer County Public Library (Private Funding) Carrollton Housing Authority (HUD) Carrollton Housing Authority (HUD) Carrollton Housing Authority (HUD) Hodgenville Housing Authority (HUD) J Ross Hunt Tower (HUD) Beecher Terrace Apts (\$\$	LFUCG Fans (LFUCG) (LFUCG) (Carrollton Ntnl Guard Armory (S112,000) (Commonwealth of Kentucky) (Carrollton Housing Authority (S79,775) (HUD) Post Clinic Mt. Sterling (S134,000) (Private Funding) Hodgenville Housing Authority (S118,015) (HUD) FFA Cabins Brandenburg (S46,954.50) (Commonwealth of KY) Spencer County Public Library (S47,500) (Private Funding) (Carrollton Housing Authority (S125,500) (HUD) (HUD) (HUD) Hodgenville Housing Authority (S165,750) (HUD) J Ross Hunt Tower (S1,248,854) (HUD) Beecher Terrace Apts (S4,731,800)	(\$73.850) (\$73.850) (\$73.850) (\$112,000) (\$112,000) (\$134,000) (\$134,000) (\$118,015) (\$118,015) (\$46,954.50) (\$47,500) (\$125,500) (\$125,500) (\$1,248,854) (\$1,248,854) (\$4,731,800)	Participant Participant	(\$73,850) Participant)

Part II- For HUD Internal Processing Only

Received and checked by me for accuracy and completeness; recommend approval or refer to Headquarters after checking appropriate box

Supervisor		Staff	Date (mm/dd/yyyy)
D	ě	Processing and Control	Tel No. and area code
Director of Housing/Director, Multifamily Division			
, Multifamily Division	B. Name match in system	recommended.	A. No adverse information; form HUD-2530 approval
Approved Yes No	D. Other (attach memorandum)		oval C. Disclosure
Date (mm/dd/yyyy)	ch memorandum)	8	C. Disclosure or Certification problem

need more space. Double check for accuracy. If no previous projects, write by your name, "No previous participation, First Experience".	n sheet carefully. Make full disclosure	Schedule A: List of Previous Projects and Section 8 Contracts. Below is a complete list of the principals' previous participation projects and participation
	. Add extra sheets if yo	on history in multifamil

			C3 Mechanical	Coomes James	L FIHCIPAIS NAME (LASS, FIIS)
	Mates Building 2 (Commonwealth of Kentucky)	Cynthiana Housing Authority (HUD)	(HUD)	Danville Housing Authority (project ID and, Govt. agency involved)
	(\$742,500)	(\$608,000)		(\$722,750.00)	lved)
X	HVAC Prime Contractor (10/2025 Under Contract)	(09/2025 In Progress)	(09/2024 Complete)	HVAC Prime Contractor	(indicate dates participated, and if fee or identity of interest participant)
		Ħ			(current, defaulted, assigned, foreclosed)
* * * * * * * * * * * * * * * * * * * *	* *	* *	*	*	in default du participation Yes No If ye
					in default during your participation Yes No If yes, explain
					Physical Insp. Score and date

Part II- For HUD Internal Processing Only
Received and checked by me for accuracy and completeness; recommend approval or refer to Headquarters after checking appropriate box.

	Staff	Date (mm/dd/yyyy)
	Processing and Control	Tel No. and area code
B. Name match in system	recommended.	A. No adverse information; form HUD-2530 approval
D. Other (attach memorandum)		roval C. Disclosure or Certification problem
		Processing and Control Description Processing and Control Proces

11. DBE Participation on current bonded projects under contract:

SUBCONTRACTORS (LIST)	PROJECT (SPECIFIC TYPE)	<u>DBE</u>	% of WORK
Hill's Electric	Electric	WBE	_5_
	-	-	
:			
9	·	(
1		·	
		-	
		-	
			-
			

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

Respectfully submitted:

C3 Mechanical

(Name of Contracting Firm)

TITLE: Gieneral Manager

DATE 10 22 25

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK-LIST	DBE % of EACH MAJOR ITEM Work
Na	
<u>v</u>	

LIST OF MATERIALS/ SUPPLIERS

Bidders are hereby advised that this list must be complete and submitted with the Bid.

Listing "as per plans and specifications", will not be considered as sufficient identification. Where more than one "Make or Brand" is listed for any one item, the Owner has the right to select the one to be used.

Item	Brand Name, Manufacturer and/or Supplier	
Fans	Skyblade	
		



LFUCG MWDBE PARTICIPATION FORM Bid/RFP/Quote Reference # ||| 2025

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to the Division of Procurement for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWBE Company, Name, Address, Phone, Email	DBE/MBE WBE/VOSB/SDVOSB	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. N/a				
2.				
3.				-
4.				

The undersigned company representative submits the above list of MDWBE and veteran firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

C3 Mechanical	Company Representative
Company	Company Representative
10/22/25	General Manager
Date	Title



LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # 111-26	25
----------------------------------	----

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to the Division of Procurement for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. **Note: Form required if a subcontractor is being substituted on a contract.**

SUBSTITUTED DBE/MBE/WBE/VOSB Company Name, Address, Phone, Email	DBE/MBE/WBE/VOSB/SDVOSB Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. N/a					
2.					
3.					
4.			-		

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

C3 Mechanical	Company Representative
Company	Company Representative
10/22/25	General Manager
Date	Title

ATTACHMENT A – SMALL AND DISADVANTAGED, MINORITY-, WOMEN-, AND VETERAN-OWNED BUSINESS OUTREACH PLAN

Proposer Name:	C3 Mechanical	Date:	10/22/25	
Project Name:	Mounted Police Ventilation	Repairs Project Number:	111-2025	
Contact Name:	Jim Coomes	Telephone:	502-352-2	303
Email:	jim.c3mech@gmail.co	m		
disadvantaged bu in the procureme	the Minority Business Enterprisinesses, minority-, women-, vet nt process and to promote econormic viability of Lexington-Fayet	eran-, and service-disa mic inclusion as a busi	bled veteran-owned ness imperative esse	businesses
disabled veteran- of contracts with Bidder/Proposer steps to ensure tl service-disabled	Il and disadvantaged businesses, owned businesses, must have ar public funds spent from certain certifies that it has taken, and if that small and disadvantaged businesses, are performance of any subcontracts.	n equal opportunity to n discretionary agreer there are further opposinesses, including min provided an equal op	be utilized in the penents. By submitting ortunities will take, nority-, woman-, very portunity to compe	erformance g its offer, reasonable teran-, and
	submitted in response to this cla this form may cause the bid or p			evaluation.
Is the Bi	dder/ Proposer a certified firm	? Yes□ No 🏿		
If yes, indicate a	all certification type(s):			
DBE □	MBE \square	WBE □	SBE \square	VOSB/SDVOSB □
	py of the certificate and/or certificate Program's (MBEP) certified		rently listed on the c	ity's Minority
last two years regardless of the Hill's	of firms that Bidder/ Proposer that are minority-owned, working certification status. ELECTRIC - WEE tap here to enter text.	has had a contractua man-owned, veteran	l relationship with -owned or small b	within the ousinesses,
2. Does Bidder/	Proposer foresee any subcontra	acting opportunities	for this procureme	nt?

Y	res 🗆 No 🗖
If no, first pag	please explain why in the field below. Do not complete the rest of this form and submit this e with your bid and/or proposal. Click or tap here to enter text. Our firm can do au of twork.
If yes,	please complete the following pages and submit all pages with your bid and/or proposal.
	the steps Bidder/Proposer took to solicit small and disadvantaged businesses, including VBEs, VOSBs, and SDVOSBs, for subcontracting opportunities for this procurement.
3. Check participa SDVOSI	the good faith and outreach efforts the Bidder/Proposer used to encourage the ation of small and disadvantaged businesses including, MBEs, WBEs, VOSBs and Bs:
	Bidder placed advertisements in search of prospective small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs for the solicitation.
	Bidder attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year.
W	Bidder attended pre-bid and/or pre-proposal meetings for this solicitation.
	Bidder sponsored an Economic Inclusion Outreach event.
	Bidder requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG.
	Bidder contacted organizations that work with small, DBE, MBE, WBE, VOSB and/or SDVOSB companies.
	Bidder sent written notices to certified small, DBE, MBE, WBE, VOSB and SDVOSB businesses.
	Bidder followed up to initial solicitations with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB.
₩.	Bidder provided small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses interested in performing the solicited work with prompt access to the plans, specifications, scope of work, and requirements of the solicitation.

opportunities into economically feasible units/parcels, to facilitate participation.

Bidder made efforts to segment portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, including dividing sub-bid/partnership

	Bidder negotiated in good faith with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses.
	Bidder provided adequate rationale for rejecting any small business', DBEs, MBEs, WBEs, VOSBs or SDVOSBs for lack of qualifications.
	Bidder offered assistance in obtaining bonding, insurance, financial, equipment, or other resources to small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, in an effort to assist them in meeting project requirements.
	Bidder made efforts to expand the search for small businesses, DBEs MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
	Bidder made other reasonable efforts to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation.
medium regardle Exampl newspa DBEs, I	er/Proposer must include documentation, including the date each effort was made, the a through which each effort was made, and the outcome of each effort with this form, ess of the level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation. es of required documentation include copies of email communications, copies of per advertisements, or copies of quotations received from interested small businesses, MBEs, WBEs, VOSBs or SDVOSBs. We contacted Hill's Electric via phone (WBE) they decined due to their workload.

For detailed information regarding outreach efforts that satisfy the MBE Program's requirements, please see "Documentation Required for Good Faith Efforts and Outreach Plans" page.

<u>Note</u>: The Bidder/Proposer must be willing to report the identity of each subcontractor and the value of each subcontract to MBEP if awarded a contract from this procurement.

Failure to submit the documentation requested may be cause for rejection of the bid. Bidders may include any other documentation deemed relevant to this requirement, which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the bid, regardless of the proposed level of SBEs, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation in the procurement. If the Good Faith and Outreach Effort Form and associated documentation is not submitted with the bid response, the bid may be rejected.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Company 10102 las

Date

4870-1925-6809, v. 1

Company Representative
Cheneval Manager
Title

8. <u>AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST</u>

I hereby swear (or affirm) under the penalty for false swearing:

- 1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
- 3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- 5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky _____. Check the statement applicable.
- 6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
- 7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- 8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

Jim Joens

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL:
POSITION/TITLE: General Manager
STATEMENT OF EXPERIENCE: 35 + years experience doing
HVAC & electric.
NAME OF INDIVIDUAL: Charessa Dugger
POSITION/TITLE: Office Manager
STATEMENT OF EXPERIENCE: 9 years administrative
experience.
NAME OF INDIVIDUAL: Tim Shelton
POSITION/TITLE: Job Supervisor
STATEMENT OF EXPERIENCE: 20 years experience doing
HVAC & electric.

NAME OF INDIVIDUAL:
POSITION/TITLE:
STATEMENT OF EXPERIENCE:
NAME OF INDIVIDUAL:
POSITION/TITLE:
STATEMENT OF EXPERIENCE:
NAME OF INDIVIDUAL:
POSITION/TITLE:
STATEMENT OF EXPERIENCE:

^{*} Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
 - The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal

for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;
- (3) The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

KRS 45.620. Action against contractor - Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as <u>special conditions</u> in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

basis without regard to their race, religion, sex, color, handicap, natural origin or age.

It is the policy of <u>C3 Mechanical</u> to assure that all applicants for employment and all employees are treated on a fair and equitable

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: (3 Mtchawica)

	Total	White (Not Hispanic or Latino)	e cor cor	Hispanic or Latino	o c	Black or African- American (Not Hispanic or Latino	الم الم الم الم الم الم الم الم الم الم	Native Hawaiian and Other Pacific Islander (Not	ve iian ther fic der	Asian (Not Hispanic or Latino	20,000	American Indian or Alaskan Nati (not Hispanic Latino	American Indian or Alaskan Native (not Hispanic or Latino	Two or more races (Not Hispanic or Latino	More (Not nic or no	Total	<u></u>
		Σ	ш	Σ	ш	Σ	ш	Σ	ш	Σ	ш	Σ	ш	Σ	ш	Σ	ш
_		_														_	
							-										
7		7														7	
3		3														8	
7			7														1
				_												_	
Service/Maintenance	224																
		9	7														

Prepared by: Charlessa, Dugger OFFice Marvager (Name and Title)

Date: 18 / 22 / 25

Revised 2015-Dec-15

P-33

EVIDENCE OF INSURABILITY 13.

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Cal
chan
5 Me
S
Insured:
mes

Employee ID:

Address: [Low Buchannan Ave. Bardstown K-1 40004

Phone: 502-352-2303

Project to be insured: Mounted Police Ventilation Kupairs

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section		Minimum Limits and	Limits Provided	Name of	A.M. Best's	s
Items	Coverage	Policy Requirements	To Insured	Insurer	Code	Rating
SC-2 – see provisions	TDO	\$1,000,000 per occ. And	s 1,000,000 ea	Erie Insurance		
		\$2,000,000 aggregate	2,000,000 Bagtegate			
SC-2 – see provisions	AUTO	\$1,000,000/per occ.	8,000,000,18	Brie Insurance		
SC-2 – see provisions	WC	Statutory w /endorsement as noted	s 1,000,000 ed	Erie Insurance		
SC-2 – see provisions	EXC	\$2,000.000 per occ.	\$ 2,000,000 ca	Erie Insurance		

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

State Agency or Brokerage Street Address City

ines

Name of Authorized Representative

Telephone Number

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: Mounted Pouce Ventilation Repairs
BID NUMBER: 111-2025
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT LEXINGTON, KY
All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.
All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.
The undersigned hereby certifies that the firm of C3 McMam Cal has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.
Name of Firm Submitting Bid
Signature of Authorized Official
General Manager Title
10 /22 /25 Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name:	C3 Mechanical		
Project:	Mounted Police Ventilation	Repairs	
Printed Nam	e and Title of Authorized Representative:	Jim Coomes	General Manager
Signature:	Linfoomes		
Date:	10/22/25		
	END OF SEC	TION	