

PART III

Invitation to Bid No. 111-2025

Mounted Police Ventilation Repairs

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: 10/22/25

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by C3 Mechanical

166 Buchanan Ave. Bardstown, KY 40004
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as an individual
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the **Mounted Police Ventilation Repairs** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 10/14/25
Addendum No. _____ Date _____
Addendum No. _____ Date _____
Addendum No. _____ Date _____
Addendum No. _____ Date _____
Addendum No. _____ Date _____
Addendum No. _____ Date _____
Addendum No. _____ Date _____

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

MAYOR LINDA GORTON



LEXINGTON

TODD SLATIN
DIRECTOR
PROCUREMENT

ADDENDUM #1

Bid Number: **#111-2025**

Date: October 14, 2025

Subject: **LFUCG Mounted Police Ventilation Repairs**

Address inquiries to:
Kristie Thomas
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

Pre-bid sign-in sheet attached.

Todd Slatin, Director
Division of Procurement

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: C3 Mechanical

ADDRESS: 166 Buchanan Ave. Bardstowh, KY 40004

SIGNATURE OF BIDDER: Jim Coomes



2. **LEGAL STATUS OF BIDDER**

Bidder C3 Mechanical

Date 10/22/25

* 1. A corporation duly organized and doing business under the laws of the State of _____, for whom _____, bearing the official title of _____, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

Jim Coomes

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3.

BIDDERS AFFIDAVIT

Comes the Affiant, Jim Coomes, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Jim Coomes and he/she is the individual submitting the bid or is the authorized representative of C3 Mechanical, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Procurement to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
6. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Jim Coomes
Signature

General Manager
Title

Jim Coomes
Printed Name

10/22/25
Date

Company Name C3 Mechanical

Address 166 Buchanan Ave. Bardstown, KY 40004

Subscribed and sworn to before me by Jim Coomes
(Affiant)

General Manager
(Title)

of C3 Mechanical this 22 day of October, 2025.
(Company Name)

Charena Dugger
Notary Public
[seal of notary]

My commission expires: 8/22/28



4. **BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except: If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

Pricing should be submitted in the Line Items tab on IonWave. Pages P-8 must be fully executed and attached to bid submission or bid will be considered non-responsive.

Item No.	Description	Unit	Quantity
1.	Mount Police Ventilation Repairs, as per specs.	LS	1

Submitted by:

C3 Mechanical

Firm

166 Buchanan Ave.

Address

Bardstown, KY 40004

City, State & Zip

**Bid must be signed:
(original signature)**

Jim Coomes

General Manager

Signature of Authorized Company Representative – Title

Jim Coomes

Representative/s Name (Typed or Printed)

502-352-2303

Area Code – Phone – Fax #

jim.c3mech@gmail.com

E-Mail Address

OFFICIAL ADDRESS:

166 Buchanan Ave.

Bardstown, KY 40004

(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. **STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: C3 Mechanical
2. Permanent Place of Business: 1166 Buchanan Ave. Bardonia, NY 10904
3. When Organized: 2016
4. Where Incorporated: Kentucky
5. Construction Plant and Equipment Available for this Project:
All necessary material & labor.

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Procurement within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

West Bend (Surety)

Signed:  (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
see attached		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
Mates Building Ft. Knox	Fort Knox KY	742,500.00
Cynthiana Housing Auth.	Cynthiana KY	608,000.00

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
Jim Coomes	General Manager	9
Charessa Dugger	Office Manager	9
Tim Shelton	Job Supervisor	9

Previous Participation Certification

OMB Approval No. 2502-0118
(Exp. 05/31/2019)US Department of Housing and Urban Development
Office of Housing/Federal Housing CommissionerUS Department of Agriculture
Farmers Home Administration

Part I to be completed by Principals of Multifamily Projects (See instructions)

For HUD HQ/FmHA use only

Reason for submission:

1. Agency name and City where the application is filed

2. Project Name, Project Number, City and Zip Code

3. Loan or Contract amount \$

4. Number of Units or Beds

5. Section of Act

6. Type of Project (check one)
☐ Existing ☐ Rehabilitation ☐ Proposed (New)

7. List all proposed Principals and attach organization chart for all organizations

Name and address of Principals and Affiliates (Name: Last, First, Middle Initial) proposing to participate

Coomes, James P.

8 Role of Each Principal in Project

Gen. Mgr.

9. SSN or IRS Employer Number

81-1175876

Certifications: The principal(s) listed above hereby apply to HUD or USDA FmHA, as the case maybe, for approval to participate as principal(s) in the role(s) and project listed above. The principal(s) each certify that all the statements made on this form are true, complete and correct to the best of their knowledge and belief and are made in good faith, including any Exhibits attached to this form. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. The principal(s) further certify that to the best of their knowledge and belief:

- Schedule A contains a listing, for the last ten years, of every project assisted or insured by HUD, USDA FmHA and/or State and local government housing finance agencies in which the principal(s) have participated or are now participating.
- For the period beginning 10 years prior to the date of this certification, and except as shown on the certification:
 - No mortgage on a project listed has ever been in default, assigned to the Government or foreclosed, nor has it received mortgage relief from the mortgagee;
 - The principals have no defaults or noncompliance under any Conventional Contract or Turnkey Contract of Sale in connection with a public housing project;
 - There are no known unresolved findings as a result of HUD audits, management reviews or other Governmental investigations concerning the principals or their projects;
 - There has not been a suspension or termination of payments under any HUD assistance contract due to the principal's fault or negligence;
 - The principals have not been convicted of a felony and are not presently the subject of a complaint or indictment charging a felony. (A felony is defined as any offense punishable by imprisonment for a term exceeding one year, but does not include any offense classified as a misdemeanor under the laws of a State and punishable by imprisonment of two years or less);
 - The principals have not been suspended, debarred or otherwise restricted by any Department or Agency of the Federal Government or of a State Government from doing business with such Department or Agency;
 - The principals have not defaulted on an obligation covered by a surety or performance bond and have not been the subject of a claim under an employee fidelity bond;
 - All the names of the principals who propose to participate in this project are listed above.
- None of the principals is a HUD/FmHA employee or a member of a HUD/FmHA employee's immediate household as defined in Standards of Ethical Conduct for Employees of the Executive Branch in 5 C.F.R. Part 2635 (57 FR 35006) and HUD's Standard of Conduct in 24 C.F.R. Part 0 and USDA's Standard of Conduct in 7 C.F.R. Part 0 Subpart B.
- None of the principals is a participant in an assisted or insured project as of this date on which construction has stopped for a period in excess of 20 days or which has been substantially completed for more than 90 days and documents for closing, including final cost certification, have not been filed with HUD or FmHA.
- None of the principals have been found by HUD or FmHA to be in noncompliance with any applicable fair housing and civil rights requirements in 24 CFR 5.105(a). (If any principals or affiliates have been found to be in noncompliance with any requirements, attach a signed statement explaining the relevant facts, circumstances, and resolution, if any).
- None of the principals is a Member of Congress or a Resident Commissioner nor otherwise prohibited or limited by law from contracting with the Government of the United States of America.
- Statements above (if any) to which the principal(s) cannot certify have been deleted by striking through the words with a pen, and the relevant principal(s) have initialed each deletion (if any) and have attached a true and accurate signed statement (if applicable) to explain the facts and circumstances.

Name of Principal	Signature of Principal	Certification Date(mm/dd/yyyy)	Area Code and Tel. No.
James Coomes	<i>Jim Coomes</i>	09/18/2025	502-352-2303
This form prepared by (print name)	Charessa Dugger	Area Code and Tel. No.	502-352-2303

Previous Participation Certification

OMB Approval No. 2502-0118
(Exp. 05/31/2019)

Schedule A: List of Previous Projects and Section 8 Contracts. Below is a complete list of the principals' previous participation projects and participation history in multifamily Housing programs of HUD/FmHA, State and local Housing Finance Agencies. **Note:** Read and follow the instruction sheet carefully. Make full disclosure. Add extra sheets if you need more space. Double check for accuracy. If no previous projects, write by your name, **"No previous participation, First Experience"**.

1. Principals Name (Last, First)	2. List of previous projects (Project name, project ID and, Govt. agency involved)	3 List Principals' Role(s) (indicate dates participated, and if fee or identity of interest participant)	4. Status of loan (current, defaulted, assigned, foreclosed)	5 Was the Project ever in default during your participation Yes No If yes, explain	6. Last MOR rating and Physical Insp. Score and date
Coomes, James C3 Mechanical	ALCO Scottsville (KY Housing Corp.)	(\$282,548.60)	02/2016 HVAC Subcontractor (Complete)	*	
	Russellville Housing Auth.	(\$150,250)	06/2016 HVAC Subcontractor (Complete)	*	
	(HUD)		06/2016 HVAC Subcontractor (Complete)	*	
	Pikeville Housing Auth.	(\$165,500)	09/2016 HVAC Subcontractor (Complete)	*	
	(HUD)		03/2017 HVAC Subcontractor (Complete)	*	
	Springfield Housing Auth.	(\$26,335)	01/2017 HVAC Prime Contractor (Complete)	*	
	(HUD)		03/2017 HVAC Subcontractor (Complete)	*	
	Maysville Housing Auth.	(\$62,525)	03/2017 HVAC Subcontractor (Complete)	*	
	(HUD)		03/2017 HVAC Subcontractor (Complete)	*	
	Columbia Housing Auth.	(\$348,448.80)	03/2017 HVAC Subcontractor (Complete)	*	
	(HUD)		04/2017 HVAC Subcontractor (Complete)	*	
	ALCO Columbia (KY Housing Corp.)	(\$420,288)	04/2017 HVAC Subcontractor (Complete)	*	
	Irvine Housing Auth.	(\$206,635)	08/2017 HVAC Subcontractor (Complete)	*	
	(HUD)		03/2016 HVAC Subcontractor (Complete)	*	
	Cynthiana Housing Auth.	(\$24,850)	03/2016 HVAC Subcontractor (Complete)	*	
	(HUD)		03/2016 HVAC Subcontractor (Complete)	*	
	KDVA Briar Hill (The Housing Partnership Inc.)	(\$100,211)	03/2016 HVAC Subcontractor (Complete)	*	
	KDVA Mackenzie (The Housing Partnership Inc.)	(\$47,910)	03/2016 HVAC Subcontractor (Complete)	*	
	KDVA Cambridge (The Housing Partnership Inc.)	(\$30,474)	01/2017 HVAC Prime Contractor (Complete)	*	
	LFUCG- Lexington Fayette Urban Co. Gov't	(\$356,748.33)	07/2017 HVAC Subcontractor (Complete)	*	
	Frankfort Adult Daycare (Privately Funded)	(\$60,795)	04/2016 HVAC Subcontractor (Complete)	*	
	AT&T Henderson (Privately Funded)	(\$44,750)	07/2016 HVAC Subcontractor (Complete)	*	
	AT&T Somerset (Privately Funded)	(\$40,500)		*	
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Part II- For HUD Internal Processing Only

Received and checked by me for accuracy and completeness; recommend approval or refer to Headquarters after checking appropriate box.

Date (mm/dd/yyyy)	Tel No. and area code	☐ A. No adverse information; form HUD-2530 approval recommended. ☐ C. Disclosure or Certification problem	
Staff	Processing and Control	☐ B. Name match in system ☐ D. Other (attach memorandum)	
Supervisor	Director of Housing/Director, Multifamily Division	Approved ☐ Yes ☐ No	Date (mm/dd/yyyy)

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Coomes, James C3 Mechanical	Springfield Housing Ath. (HUD)	(S117,654)	12/2017 HVAC Prime Contractor (Complete)	*	
	Harrodsburg Housing Ath. (HUD)	(\$218,238)	01/2018 HVAC Prime Contractor (Complete)	*	
	ALCO Tompkinsville (KY Housing Corp.)	(\$829,100)	01/2018 HVAC Subcontractor (Complete)	*	
	ALCO Edmonon (KY Housing Corp.)	(\$714,642)	01/2018 HVAC Subcontractor (Complete)	*	
	High Grove at Tates Creek (Privately Funded)	(\$262,400)	03/2018 HVAC Subcontractor (Complete)	*	
	Cynthiana Housing Ath. (HUD)	(\$102,500)	11/2017 HVAC Prime Contractor (Complete)	*	
	Shelbyville Housing Ath. (HUD)	(\$597,102)	05/2018 HVAC Prime Contractor (Ongoing)	*	
	Cortin State Office Building (Commonwealth of Kentucky)	(\$144,586)	07/2018 HVAC Prime Contractor (Complete)	*	
	Harlan Housing Authority (HUD)	(\$132,500)	10/2018 HVAC Prime Contractor (Complete)	*	
	Fulton Housing Authority (HUD)	(\$246,785)	10/2018 HVAC Prime Contractor (Complete)	*	
	Oakwood Services Somerset (Commonwealth of Kentucky)	(\$340,000)	10/2018 HVAC Prime Contractor (Complete)	*	
	West Hickman WWTP Solids Processing (LFUCG)	(\$222,888)	10/2018 HVAC Prime Contractor (Complete)	*	
	Harrodsburg Housing Ath. (HUD)	(\$306,603)		*	

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1. Principals Name (Last, First)	2. List of previous projects (Project name, project ID and, Govt. agency involved)	3. List Principals' Role(s) (Indicate dates participated, and if fee or identity of interest participant)	4. Status of loan (current, defaulted, assigned, foreclosed)	5. Was the Project ever in default during your participation Yes No If yes, explain	6. Last MOR rating and Physical Insp. Score and date
Coomes, James C3 Mechanical	Morgantown Housing Auth. (HUD)	(\$189,189) (Complete)	01/2019 HVAC Prime Contractor	*	
	ALCO Horse Cave (KY Housing Corp)	(\$559,450) (Complete)	01/2019 HVAC Subcontractor	*	
	KCDAY Hazard (The Housing Partnership Inc.)	(\$118,000) (Complete)	01/2019 HVAC Subcontractor	*	
	Danville Employment Building (Commonwealth of Kentucky)	(\$101,850) (Complete)	03/2019 HVAC Prime Contractor	*	
	Housing Authority of Richmond (HUD)	(\$19,400) (Complete)	04/2019 HVAC Prime Contractor	*	
	Housing Authority of Liberty (HUD)	(\$76,388) (Complete)	04/2019 HVAC Prime Contractor	*	
	The Bell House (LFUCG)	(\$77,210) (Complete)	04/2019 HVAC Prime Contractor	*	
	Sayre Building @ EKV (Commonwealth of Kentucky)	(\$595,850) (Complete)	04/2019 HVAC Prime Contractor	*	
	Housing Authority of Hodgenville (HUD)	(\$137,500) (Complete)	07/2019 HVAC Prime Contractor	*	
	Swans Landing (Private Funding)	(\$107,950) (Complete)	07/2019 HVAC Prime Contractor	*	
	Woodford County EMS (Private Funding)	(\$54,000) (Complete)	09/2019 HVAC Prime Contractor	*	
	WWTP Sewer Piping (LFUCG)	(\$185,000) (Complete)	09/2019 HVAC Prime Contractor	*	

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Supervisor	Director of Housing/Director, Multifamily Division		Approved ☐ Yes ☐ No	Date (mm/dd/yyyy)

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Coomes, James C3 Mechanical	LFUCG Boilers (LFUCG)	HVAC Prime Contractor (03/2020 Complete)		*	
	EKU Boiler (EKU)	HVAC Prime Contractor (02/2020 Complete)		*	
	Fayette Commons (Private Funding)	HVAC Subcontractor (01/2020 Complete)		*	
	Magolia Terrace Apartments (TN Housing Corp.)	HVAC Prime Contractor (02/2020 Complete)		*	
	Fulton Housing Authority Pl. 2 (HUD)	HVAC Prime Contractor (01/2020 Complete)		*	
	The Housing Authority of Beaver Dam (HUD)	HVAC Prime Contractor (05/2020 Complete)		*	
	Whitley Co. Middle School (Commonwealth of KY)	HVAC Prime Contractor (05/2020 Complete)		*	
	First Assembly of God/Summit Christian School (Private Funding)	HVAC Prime Contractor (04/2020 Complete)		*	
	Lytic Theatre (LFUCG)	HVAC Prime Contractor (03/2020 Complete)		*	
	White Dog Distillery (Private Funding)	HVAC Prime Contractor (08/2020 Complete)		*	
	Mares Building-Fort Knox (Commonwealth of KY)	HVAC Prime Contractor (10/2020 Complete)		*	
	Rocky Mountain ATV (Private Funding)	HVAC Prime Contractor (04/2021 Complete)		*	
	Liberty Housing Authority (HUD)	HVAC Subcontractor (05/2021 Complete)		*	
	The Colony Apartments (Covington) (Private Funding)	HVAC Subcontractor (08/2021 Complete)		*	
	Magolia Terrace Apartments (TN Housing Corp.)	HVAC Subcontractor (08/2021 Complete)		*	

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Coomes, James C3 Mechanical	Pine Knot (USDA Job Corp)	HVAC Prime Contractor (05/2021 Complete)		*	
	Rocky Mt. Atv Direct (Private Funding)	HVAC Prime Contractor (07/2021 Complete)		*	
	Great Onyx (USDA Job Corp)	HVAC Prime Contractor (06/2021 Complete)		*	
	Rocky Mt Atv 2 (Private Funding)	HVAC Subcontractor (07/2021 Complete)		*	
	White Dog Distillery 2 (Private Funding)	HVAC Subcontractor (08/2021 Complete)		*	
	ALCO Scottsville Burn Reno (KY Housing Corp)	HVAC Subcontractor (08/2021 Complete)		*	
	Cambridge Square Apartments (\$1,406,529) (HUD)	HVAC Subcontractor (12/2021 Complete)		*	
	Bardstown Housing Authority (HUD)	HVAC Prime Contractor (02/2022 Complete)		*	
	Cynthiana Housing Authority (HUD)	HVAC Prime Contractor (02/2022 Complete)		*	
	WWTP Solids Building (LFUCG)	HVAC Prime Contractor (04/2022 Complete)		*	
	Clifton Place Apartments (HUD)	HVAC Subcontractor (04/2022 Complete)		*	
	White Dog Distillery 3 (Private Funding)	HVAC Prime Contractor (04/2022 Complete)		*	

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Supervisor	Director of Housing/Director, Multifamily Division	Approved ☐ Yes ☐ No	Date (mm/dd/yyyy)

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(Exp. 05/31/2019)

Schedule A: List of Previous Projects and Section 8 Contracts. Below is a complete list of the principals' previous participation projects and participation history in multifamily housing programs of HUD/FmHA, State and local Housing Finance Agencies. **Note:** Read and follow the instruction sheet carefully. Make full disclosure. Add extra sheets if you need more space. Double check for accuracy. If no previous projects, write by your name, **"No previous participation, First Experience"**.

1. Principals Name (Last, First)	2. List of previous projects (Project name, project ID and, Govt. agency involved)	3 List Principals' Role(s) (indicate dates participated, and if fee or identity of interest participant)	4. Status of loan (current, defaulted, assigned, foreclosed)	5. Was the Project ever in default during your participation Yes No If yes, explain	6. Last MOR rating and Physical Insp. Score and date
Coomes, James C3 Mechanical	LFUCG Fans (LFUCG)	HVAC Prime Contractor (03/2023 Complete)		*	
	Carrollton Ntnl Guard Armory (Commonwealth of Kentucky)	HVAC Prime Contractor (02/2023 Complete)		*	
	Carrollton Housing Authority (HUD)	HVAC Subcontractor (02/2023 Complete)		*	
	Post Clinic Mt. Sterling (Private Funding)	HVAC Subcontractor (01/2023 Complete)		*	
	Hodgenville Housing Authority (HUD)	HVAC Prime Contractor (02/2023 Complete)		*	
	FFA Cabins Brandenburg (Commonwealth of KY)	HVAC Subcontractor (01/2023 Complete)		*	
	Spencer County Public Library (Private Funding)	HVAC Subcontractor (05/2023 Complete)		*	
	Carrollton Housing Authority (HUD)	HVAC Prime Contractor (06/2023 Complete)		*	
	Cynthiana Housing Authority (HUD)	HVAC Prime Contractor (06/2023 Complete)		*	
	Hodgenville Housing Authority (HUD)	HVAC Prime Contractor (11/2023 Complete)		*	
	Danville Housing Authority (HUD)	HVAC Subcontractor (08/2024 Complete)		*	
	J Ross Hunt Tower (HUD)	HVAC Subcontractor (06/2025 In Progress)		*	
	Beecher Terrace Apts (HUD)	HVAC Subcontractor (07/2025 In Progress)		*	

Part II- For HUD Internal Processing Only

Received and checked by me for accuracy and completeness; recommend approval or refer to Headquarters after checking appropriate box.

Date (mm/dd/yyyy)	Tel No. and area code	☐ A. No adverse information; form HUD-2530 approval recommended. ☐ C. Disclosure or Certification problem	
Staff	Processing and Control	☐ B. Name match in system ☐ D. Other (attach memorandum)	
Supervisor	Director of Housing/Director, Multifamily Division	Approved ☐ Yes ☐ No	Date (mm/dd/yyyy)

OMB Approval No. 2502-0118
(Exp. 05/31/2019)

need more space. Double check for accuracy. If no previous projects, write by your name, "No previous participation, First Experience"

[illegible]

Received and checked by me for accuracy and completeness; recommend approval or refer to Headquarters after checking appropriate box.

Date (mm/dd/yyyy)	Tel No. and area code	☐ A. No adverse information, form HUD-2530 approval ☐ B. Name match in system ☐ C. Disclosure or Certification problem ☐ D. Other (attach memorandum)		
Staff	Processing and Control			
Supervisor	Director of Housing/Director, Multifamily Division		Approved ☐ Yes ☐ No	Date (mm/dd/yyyy)

11. DBE Participation on current bonded projects under contract:

[illegible]

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

Respectfully submitted:

C3 Mechanical
(Name of Contracting Firm)

BY: Jim Jones

TITLE: General Manager

DATE 10/22/25

6. **LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK-LIST

DBE

% of EACH MAJOR ITEM
Work

N/a

LIST OF MATERIALS/ SUPPLIERS

Bidders are hereby advised that this list must be complete and submitted with the Bid.

Listing "as per plans and specifications", will not be considered as sufficient identification. Where more than one "Make or Brand" is listed for any one item, the Owner has the right to select the one to be used.

Item	Brand Name, Manufacturer and/or Supplier
Fans	Skyblade



LEXINGTON

LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 111-2025

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to the Division of Procurement for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWBE Company, Name, Address, Phone, Email	DBE/MBE WBE/VOSB/SDVOSB	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. <u>N/a</u>				
2.				
3.				
4.				

The undersigned company representative submits the above list of MDWBE and veteran firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

C3 Mechanical
Company

10/22/25
Date

Jim Jones
Company Representative

General Manager
Title



LEXINGTON

LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # 111-2025

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to the Division of Procurement for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. **Note: Form required if a subcontractor is being substituted on a contract.**

SUBSTITUTED DBE/MBE/WBE/VOSB Company Name, Address, Phone, Email	DBE/MBE/WBE/VOSB/SDVOSB Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. N/a					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

C3 Mechanical
Company

10/22/25
Date

Jim Forns
Company Representative

General Manager
Title

ATTACHMENT A – SMALL AND DISADVANTAGED, MINORITY-, WOMEN-, AND VETERAN-OWNED BUSINESS OUTREACH PLAN

Proposer Name: C3 Mechanical Date: 10/22/25
Project Name: Mounted Police Ventilation Repairs Project Number: 111-2025
Contact Name: Jim Coomes Telephone: 502-352-2303
Email: jim.c3mech@gmail.com

The mission of the Minority Business Enterprise Program is to facilitate the full participation of disadvantaged businesses, minority-, women-, veteran-, and service-disabled veteran-owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long-term economic viability of Lexington-Fayette Urban County Government.

To that end, small and disadvantaged businesses, including minority-, woman-, veteran-, and service-disabled veteran-owned businesses, must have an equal opportunity to be utilized in the performance of contracts with public funds spent from certain discretionary agreements. By submitting its offer, Bidder/Proposer certifies that it has taken, and if there are further opportunities will take, reasonable steps to ensure that small and disadvantaged businesses, including minority-, woman-, veteran-, and service-disabled veteran-owned businesses, are provided an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement.

The information submitted in response to this clause will not be considered in any scored evaluation. Failure to submit this form may cause the bid or proposal to be rejected.

Is the Bidder/ Proposer a certified firm? Yes ☐ No ☒

If yes, indicate all certification type(s):

DBE ☐

MBE ☐

WBE ☐

SBE ☐

VOSB/SDVOSB
☐

and supply a copy of the certificate and/or certification letter if not currently listed on the city's Minority Business Enterprise Program's (MBEP) certified list.

1. Include a list of firms that Bidder/ Proposer has had a contractual relationship with within the last two years that are minority-owned, woman-owned, veteran-owned or small businesses, regardless of their certification status.

Hill's Electric - WBE

(Click or tap here to enter text.)

2. Does Bidder/Proposer foresee any subcontracting opportunities for this procurement?

NO

Yes ☐ No ☒

If no, please explain why in the field below. Do not complete the rest of this form and submit this first page with your bid and/or proposal. (Click or tap here to enter text.) *Our firm can do all of the work.*

If yes, please complete the following pages and submit all pages with your bid and/or proposal.

Describe the steps Bidder/Proposer took to solicit small and disadvantaged businesses, including MBEs, WBEs, VOSBs, and SDVOSBs, for subcontracting opportunities for this procurement.

3. Check the good faith and outreach efforts the Bidder/Proposer used to encourage the participation of small and disadvantaged businesses including, MBEs, WBEs, VOSBs and SDVOSBs:

- ☐ Bidder placed advertisements in search of prospective small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs for the solicitation.
- ☐ Bidder attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year.
- ☒ Bidder attended pre-bid and/or pre-proposal meetings for this solicitation.
- ☐ Bidder sponsored an Economic Inclusion Outreach event.
- ☐ Bidder requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG.
- ☐ Bidder contacted organizations that work with small, DBE, MBE, WBE, VOSB and/or SDVOSB companies.
- ☐ Bidder sent written notices to certified small, DBE, MBE, WBE, VOSB and SDVOSB businesses.
- ☐ Bidder followed up to initial solicitations with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB.
- ☒ Bidder provided small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses interested in performing the solicited work with prompt access to the plans, specifications, scope of work, and requirements of the solicitation.
- ☒ Bidder made efforts to segment portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, including dividing sub-bid/partnership opportunities into economically feasible units/parcels, to facilitate participation.

- ☐ Bidder negotiated in good faith with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses.
- ☐ Bidder provided adequate rationale for rejecting any small business', DBEs, MBEs, WBEs, VOSBs or SDVOSBs for lack of qualifications.
- ☐ Bidder offered assistance in obtaining bonding, insurance, financial, equipment, or other resources to small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, in an effort to assist them in meeting project requirements.
- ☐ Bidder made efforts to expand the search for small businesses, DBEs MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
- ☒ Bidder made other reasonable efforts to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation.

4. Bidder/Proposer must include documentation, including the date each effort was made, the medium through which each effort was made, and the outcome of each effort with this form, regardless of the level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation. Examples of required documentation include copies of email communications, copies of newspaper advertisements, or copies of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs or SDVOSBs.

*we contacted Hill's Electric via phone (WBE)
they declined due to their workload.
10/2/25*

(Click or tap here to enter text.)

For detailed information regarding outreach efforts that satisfy the MBE Program's requirements, please see "Documentation Required for Good Faith Efforts and Outreach Plans" page.

Note: The Bidder/Proposer must be willing to report the identity of each subcontractor and the value of each subcontract to MBEP if awarded a contract from this procurement.

Failure to submit the documentation requested may be cause for rejection of the bid. Bidders may include any other documentation deemed relevant to this requirement, which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the bid, regardless of the proposed level of SBEs, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation in the procurement. If the Good Faith and Outreach Effort Form and associated documentation is not submitted with the bid response, the bid may be rejected.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

C3 Mechanical
Company
10/22/25
Date

Jim Gombas
Company Representative
General Manager
Title

4870-1925-6809, v. 1

8. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky _____. Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.



9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: Jim Coomes

POSITION/TITLE: General Manager

STATEMENT OF EXPERIENCE: 35+ years experience doing HVAC & electric.

NAME OF INDIVIDUAL: Charessa Dugger

POSITION/TITLE: Office Manager

STATEMENT OF EXPERIENCE: 9 years administrative experience.

NAME OF INDIVIDUAL: Tim Shelton

POSITION/TITLE: Job Supervisor

STATEMENT OF EXPERIENCE: 20+ years experience doing HVAC & electric.

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal

for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Jim Coomes
Signature

C3 Mechanical
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of C3 Mechanical

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: C3 Mechanical

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African- American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	1	1														1	
Professionals																	
Superintendents	2	2														2	
Supervisors																	
Foremen	3	3														3	
Technicians																	
Protective Service																	
Para-Professionals	2		2														2
Office/Clerical	1			1												1	
Skilled Craft																	
Service/Maintenance																	
Total:		6	2	1													

Prepared by: Chgressa Dugger Office Manager
(Name and Title)

Date: 10 / 22 / 25

Revised 2015-Dec-15

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: C3 Mechanical Employee ID: _____

Address: 166 Buchanan Ave. Bardstown KY 40004 Phone: 502-392-2303

Project to be insured: Mounted Police Ventilation Repairs

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-2 - see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$1,000,000 ea 2,000,000 aggregate	Eric Insurance		
SC-2 - see provisions	AUTO	\$1,000,000/per occ.	\$1,000,000 ea	Eric Insurance		
SC-2 - see provisions	WC	Statutory w /endorsement as noted	\$1,000,000 ea	Eric Insurance		
SC-2 - see provisions	EXC	\$2,000,000 per occ.	\$2,000,000 ea	Eric Insurance		

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Agency or Brokerage _____ Name of Authorized Representative Carrie Yates

_____ Title Comm Lines Manager

Street Address _____ Authorized Signature Carrie Yates

City _____ State _____ Zip _____ Date 10-22-25

Telephone Number _____

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: Mounted Police Ventilation Repairs

BID NUMBER: 111-2025

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of C3 Mechanical has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

C3 Mechanical

Name of Firm Submitting Bid

Jim Coomes

Signature of Authorized Official

General Manager

Title

10/22/25

Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

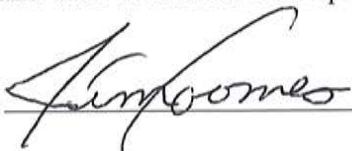
The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: C3 Mechanical

Project: Mounted Police Ventilation Repairs

Printed Name and Title of Authorized Representative: Jim Coomes General Manager

Signature: 

Date: 10/22/25

END OF SECTION