#### PART III

invitation to Bid No. 58-2012
Gymnasium Roof Replacement for Charles Young Center
2012
1. FORM OF PROPOSAL
Place: Lexington, Kentucky  Date: MAY 1,2012
Date: MAY 1,2012
The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.
This Proposal Submitted by  PEARCE BLACKBURN ROFING  309 BLUE SKY PLWY LEXINGTON, KY 40509  (Name and Address of Bidding Contractor)
(Hereinaster called "Bidder"), organized and existing under the laws of the State of Kentury doing
business as <u>a corporation</u> "a corporation," "a partnership", or an "individual" as applicable
a corporation, a partitership, or an individual as applicable
To: Lexington-Fayette Urban County Government (Hereinafter called "OWNER" Office of the Director of Central Purchasing 200 East Main Street, Room 338 Lexington, KY 40507
Gentlemen:
The bidder, in compliance with your Invitation for Bids for the CHARLES YOUR CENTER LOOP REPARENT Lexington, Kentucky, having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the

Documents, of which this proposal is a part.

construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract

The Bidder hereby	agrees to	commence	Work und	er this Co	ontract (	on a c	date to	be spe	ecified	in a	written
"Notice to Proceed	" from the	OWNER an	d to fully	complete t	the Proj	ect by	/ Augus	st 8, 20	012.		

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No	Date 4(19/2012;	Addendum No.	Date
Addendum No	Date;	Addendum No.	Date
Addendum No	Date;	Addendum No.	Date
Addendum No	;	Addendum No.	Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

### 2. LEGAL STATUS OF BIDDER

idder	PEARCE BLACKBORN ROPING
ate _	PEARCE BLACKBORN ROOFING  MAY 1, 2012
1.	A corporation duly organized and doing business under the laws of the State of Kentucky, from Scot Caser, bearing the official title of Vice Plesionary, whose signature is affixed to the Proposal, is duly authorized to execute contracts.
2.	A Partnership, all of the members of which, with addresses are: (Designate general partners such)
3.	An individual, whose signature is affixed to this Proposal. (Print name)
	The hand

<sup>\*(</sup>The Bidder shall fill out the appropriate form and strike out the other two.)

	3. BIDDERS AFFIDAVIT
Comes	the Affiant, Scot Cases , and after being first duly
sworn,	states under penalty of perjury as follows:
1.	His/her name is Scot Casos
	and he/she is the individual submitting the bid or is the authorized representative of
	VERECE. BLACKBURN KOOFING , the
	entity submitting the bid (hereinafter referred to as "Bidder").
2.	Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County
	Government at the time the bid is submitted, prior to award of the contract and will
	maintain a "current" status in regard to those taxes and fees during the life of the contract.
3.	Bidder will obtain a Lexington-Fayette Urban County Government business license, if
	applicable, prior to award of the contract.
4.	Bidder has authorized the Division of Central Purchasing to verify the above-mentioned
	information with the Division of Revenue and to disclose to the Urban County Council that
	taxes and/or fees are delinquent or that a business license has not been obtained.
5.	Bidder has not knowingly violated any provision of the campaign finance laws of the
	Commonwealth of Kentucky within the past five (5) years and the award of a contract to
	the Bidder will not violate any provision of the campaign finance laws of the
	Commonwealth.
6.	Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette
	Urban County Government Code of Ordinances, known as the "Ethics Act."
7.	Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect
	to conduct or to circumstances described by a statute or ordinance defining an offense, that

a person is aware or should have been aware that his conduct is of that nature or that the

circumstance exists.

#### 4. BID SCHEDULE

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following lump sum and/or unit prices which shall include the furnishing of all labor, materials, supplies, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved. The Bidder must make the extensions and additions showing the total amount of bid.

#### **Gymnasium Roof Replacement for Charles Young Center**

Item No.	Unit To Bid On	Description w/Unit Bid Price Written In	1 Words	Unit Price	Total Amount Bid
Tennel.	Lump Sum	Roof Replacement as per Specs  Siving Out Theorems, Two Houses Test  Lump Sum	Dollars		s <u>61,210°°</u>
2	SF	Additional Wood Decking as required  Fige  Per [Unit] L(F)	Dollars	\$ 5.00/LF	

Respectfully Submitted,
BY: PEARCE. BLACKBURN ROOFING (NAME OF FIRM)
DATE: May 1, 2012
BY: Scot CASEY
TITLE: VICE PRESIDENT
OFFICIAL ADDRESS AND PHONE:
ZOG BUSE SKY PKNOY LEXINGTON, KY 40509
LEXINGTON, KY 40509
859. Z63. S137
(Seal if Bid is by Corporation)
by signing this form you agree to all of the terms and associated forms.

#### 5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the bidder's qualifications is required to be filled in, executed, and submitted with the Proposal: VEARCE BLACKBURN ROOFING 1. Name of Bidder: Permanent Place of Business: 309 Bus Sky Pawy LEXINGTON, KY 40509 2. When Organized:\_\_\_ 3. Where Incorporated: Kenzucky 4. 5. Construction Plant and Equipment Available for this Project: ROOF Cottee FORKLIFT, TYPICAL ON-ROOF EQUIPMENT. (Attach Separate Sheet If Necessary) 6. Financial Condition: If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by: 7. UNITED FIRE ECASUARTY COMPANY (Surety) \_\_\_\_\_(Representative of Surety) Signed:

NAME	<b>LOCATION</b>	CONTRACT SUM
KerTHSHIEE ELEWENTARY	LExington, KY	£362,420.00
LFUCG - SWITOW	LEXINGTON, KY	\$274,210,00
BEREA Corege-Science Bo	BEREA, KY	\$687,410.00
Steatlant Building	Richmona, KY	\$543,210.00
Coilice HALL	GEORGETOWN, KY	\$ 164,942.00
The bidder has now under contract a	and bonded the following project	ets:
NAME	<b>LOCATION</b>	CONTRACT SUM
Milloreck Elementary	Lexingbox KY	\$496,410.00
BUEGRASS STATION	Lexington, KY	4514,280.°°
JAMES LANE ALLEY	Lexingtons, KY	41,268,240,00
GEORGETOWN FUE STATION	George LY	d 16,940.00
List Key bidder Personnel who will	work on this Project.	**************************************
NAME	POSITION DESCRIPTIO	NO. OF YEAR WITH BIDDE
Scot Casey	PROJECT MANAGER	<u> </u>
Mrex Brews	SPERINTENDENT	

(LIST)	(SPECIFIC TYPE)		
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(USE AI	DDITIONAL SHEETS IF N	JECESSARY)	
our officers, office managem Owner, we will within 7 day history for insurance claims Owner)—all in accordance w	ys following the request substantial for the 3 most recent years.	mit audited fina	ancial statements
Respectfully submitte	ed:		
Name of Contracting	VAN ROOFINIG	entralista de la companya de la comp	
CAS .	C		
BY: Scy	Scor CAST		

# 6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

BRANCH OF WORK  - LIST EACH MAJOR ITEM Such as: Grading, bituminous paving concrete, seeding and protection, construction staking, etc.	<b>,</b>	SUBCONTRACTOR	yes/no \$ of proposed work
1. No SUBCONTEACTORS	_Name:		
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	Address:		
3	_Name:		
	Address:		
4	_Name:		
	Address:		
5	_Name:		
	Address:		
6	_Name:		-
	Address:		
7	_Name:		
	Address:		•

#### 7. AUTHENTICATION OF BID AND STATEMENT OF

#### NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

- 1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
- 3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- 5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky \_\_\_. Check the statement applicable.
- 6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
- 7. That I have fully informed myself regarding the accuracy of the statements made in this statement.

# **AUTHENTICATION OF BID AND STATEMENT OF**

# NON-COLLUSION AND NON-CONFLICT OF INTEREST (continued)

Firm:	PEARCE BLACKBURN ROPING	
Address:	309 BUE SKY PRWY	
	LEYINGTON, KY 40579	
Telephone:	<u>859.763.5737</u>	
Date:	5/1/2012	

# 8. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL:	William PEARCE
POSITION/TITLE:	Heure
STATEMENT OF EXPERIE	NCE: 53 years in ecoting business - Owner.
NAME OF INDIVIDUAL:	GREG BRACKBORN
POSITION/TITLE:	PRESIDENT
STATEMENT OF EXPERIE	NCE: 30+ YERRES IN ROOFING business Owner.
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	Scor Caser
POSITION/TITLE:	
STATEMENT OF EXPERIE	INCE: 17 years in Roofing business-Daniel.

NAME OF INDIVIDUAL:	
POSITION/TITLE:	
STATEMENT OF EXPERIENCE:	
NAME OF INDIVIDUAL:	
POSITION/TITLE:	
STATEMENT OF EXPERIENCE:	
	BY: PEARCE. B. ACKBURN ROFFING Name of Firm
	DATE: SIL ZOIZ
	BY: Son TITLE: Vice President

<sup>\*</sup> Include all officers, office management's, Affirmative Action officials, and field management personnel. Attach separate sheets if necessary.

#### 9. EQUAL OPPORTUNITY AGREEMENT

#### The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

<u>Bidders</u>	
I/We agree to com	ply with the Civil Rights Laws listed above that govern employment rights of
minorines, women	y Vietnam veterans, handicapped, and aged persons.
Signature /	Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;
- (3) The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.

The Act further provides:

### KRS 45.610. Hiring minorities - Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

#### KRS 45.620. Action against contractor - Hiring of minority contractor or subcontractor

(1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640,

the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.

- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

#### KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

#### KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions above quoted to be included as <u>special conditions</u> in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

Any project over \$50,000 will require a Performance Bond in an amount equal to 100% of the contract.

10. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY
It is the policy of PEARCE BLACK BURN ROPING
to assure that all applicants for employment and all employees are treated on a fair and equitable basis
without regard to their race, religion, sex, color, handicap, natural origin or age.
Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.
Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.
has been appointed Equal Employment Compliance (EEOC) Officer and shall be available for counseling, answering of questions in regards to this company policy, and to hear any complaints of discrimination. The EEOC Officer may be reached by calling 253.5137
Signature:  (Bidding Contractor)  Title: Vice Peesi new?
Date: \( \langle ( \langle 20(2)

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NOTE: Authorized signatures may be the agent's if agent has placed insurance through an ayearsy agreement with the insurer. If insurance is brokered, authorized signature insurer.	is the ezent's it egent has placed i	osunance flucuyh an nyedcy agreeme	the insurer. If insurance is he	okerst, authorized signature must be t	inust be that of authorized representative of	epresentative of
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IMPORTANT: CONTRACT. MAX NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THIS FORM FOR ALL COVERAGE'S LISTED ABOVE IS NOT PROVIDED,



LEXINGTON-FAYETTL URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT (Use separate form for each Agency or Broketsoe agreeing to account to the construction of the construc

Address:	BAKKBORN PROFINI		coverage)	Employee ID:
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Street Address LOUING TON	KU	40503	File / Walle I	Kana
City (859) 273	State * 6600	Zip	Authorized Signature 04-30-2012	AND LUCIONIS CONTRACTOR CONTRACTO
Telephone Number	(A)	and a discussion of the second	Date	\$1.000 = 1
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NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: CONTRACT MAY NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THIS FORM FOR ALL. COVERAGE'S LISTED ABOVE IS NOT PROVIDED.

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EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Penace. BLACKBORN PORTING

to assure that all applicants for employment and all employees are treated on a fair and equitable

basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment

advertising, layoff or termination, rates of pay and other forms of compensation, and selection for

training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected

class whenever feasible. This company also agrees to adhere to all applicable federal, state, and

local laws relating to Equal Employment Opportunity for all individuals.

has been appointed Equal Employment Compliance

(EEOC) Officer and shall be available for counseling, answering of questions in regards to this

company policy, and to hear any complaints of discrimination. The EEOC Office may be reached

by calling <u>859.763.5131</u>.

Signature:

(Bidding Contractor)

Title: VicePresident

Date:

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#### DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name:		ENECE.B	) CACKBURU	Pacri	of C	
Project:	CHARLES	Yours	Center	RECE	Cornerent	
Printed	Name	and	Title	of	Authorized	Representative:

Signature:

Date:

5/1/2012

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# Lexington-Fayette Urban County Government DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray Mayor

Jane C. Driskell Commissioner

#### ADDENDUM #1

Bid Number: <u>58-2012</u>

Date: April 19, 2012

Subject: Gymnasium Roof Replacement for Charles Young Center

Please address inquiries to: Sondra Stone, Buyer (859) 258-3324

#### TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced bid:

- 1) Asbestos testing was performed in March 2012 with negative results.
- 2) The Charles Young Center qualifies as an historic building and as such the Secretary of Interior's Standards must be followed throughout the Project. All bidders must have the ability and experience to comply with these Standards. Information can be found at http://www.nps.gov/hps/tps/standguide/.
- 3) The Sequence of Work has been changed. All HVAC work will be performed first with roofing work to follow. The HVAC Contractor is responsible for providing temporary roof protection to maintain the integrity of the roof during the performance of their work.
- 4) Please read the Q&A section posted on Economic Engine for additional information.
- 5) Mechanical drawings for bid #57-2012 HVAC Replacement at Charles Young Center are attached for information purposes.
- Pre-bid sign-in sheet attached.

Brian Marcum, Director Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: YEARCE BLACKOUSH ROOFING

ADDRESS: 309 BUE SKY PENY LEXINGTON KY 4050

SIGNATURE OF BIDDER

200 East Main Street

Lexington, KY 40507

(859) 425-2255

www.lexingtonky.gov

HORSE CAPITAL OF THE WORLD





# Lexington-Fayette Urban County Government DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray Mayor

Jane C. Driskell Commissioner

# Just a reminder! The LFUCG Minority Participation Goal is 10%

The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term growth and economic viability of Lexington-Fayette County.

Per resolution 167-91 adopted by LFUCG city council, we have in place a 10% participation goal for minority and women owned business enterprises on all construction and professional services contracts. That goal is not limited to construction and professional services contracts. Each division of LFUCG is also striving to reach that goal in all of its purchases.

#### Please don't forget to submit the following forms with your Bid/RFP:

- MBE/WBE Participation Form—list of MWBE subs you will be working with
- MBE/WBE Bid Summary Form—list all MWBE subcontractors that sent quotes to you for this project (please attach copies of all quotes received)
- Statement of Good Faith Efforts—list of efforts you made and methods you used to find MWBE subcontractors.
- IF YOU ARE AWARDED THE CONTRACT YOU HAVE TO DOCUMENT PAYMENT TO MWBE SUBCONTRACTORS—you are responsible for documenting the payment of MWBE subcontractors. A subcontractor payment form is included in your bid packet.
- IF YOU ARE AWARDED THE CONTRACT YOU HAVE TO GIVE ADVANCE NOTICE OF ANY SUBTITUTION FOR YOUR ORIGINAL MWBE SUBCONTRACTORS—you will have to notify Purchasing in advance of any substitution of MWBE subcontractors. A MWBE substitution form is also included in your bid packet.

Please email your specific request for MWBE subcontractors to me. In addition you can also find a list of certified MWBE firms on the LFUCG MBE web site at <a href="https://www.lexingtonky.gov/purchasing">www.lexingtonky.gov/purchasing</a> click the Minority Business Enterprise button. The lists are at the bottom of the page.

If you have any questions or need help finding MWBE subcontractors, please don't hesitate to contact me. Good luck!

Marilyn Clark, CCDP
Minority Business Enterprise Liaison
LFUCG Division of Central Purchasing
200 East Main Street
Lexington, KY 40507
mclark@lexingtonky.gov
www.lexingtonky.gov/purchasing

(859) 258-3323

200 East Main Street

Lexington, KY 40507 • (859) 425-2255 HORSE CAPITAL OF THE WORLD www.lexingtonky.gov

RE: Addendum to Bid 58-2012 Roofing for Charles Young Center MWBE Participation Goals

Please disregard the documents in your bid packet titled **Lexington-Fayette**, **Kentucky Affirmative Action Plan**. These documents are outdated and required minority participation goals that are in conflict with the LFUCG goals for this project.

Because of the funding, you must make every effort to meet the LFUCG 10% minority participation goal for this project.

Please contact me by email at <u>mclark@lexingtonky.gov</u> to find capable MWBE vendors for this project.

Best regards,
Marilyn Clark, CCDP
Minority Business Enterprise Liaison
LFUCG Division of Central Purchasing
200 East Main Street, Room 341
Lexington, KY 40507
859-258-3323
www.lexingtonky.gov/MWBE

PEROCE BACKBOOKS ROOFING

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# LFUCG MBE/WBE PARTICIPATION FORM Bid/RFP/Quote Reference #\_58-2012

The MBE/WBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval impositions.

Purchasing for approval immediately.								
MBE/WBE	Work to be	Total Dollar Value	% Value of Total					
Company, Name,	Performed	of the Work	Contract					
Address, Phone,								
Email								
1.								
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100								
SUBCO WILLOW								
2.								
	3							
3.								
		·						
4.								
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C	I	i	1					

The undersigned company representative submits the above list of MBE/WBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

PERROR. BENCEBORN ROFING	·Slux
Company Stil 2012	By Vice President
Date	Title
LFUCG MBE/WBE SUBSTITUTION FORM	

Bid/RFP/Quote Reference #_	58-2012
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The substituted MBE/WBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MBE/WBE Company Name, Address, Phone, Email	MBE/WBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. No Sobrontracti	25				
2.					
3.					
4.					
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The undersigned acknowledges that any misrepresentati and State laws concerning false statements and false clair	s.	n of the contract	and/or be subject	t to applicable Federal
PEARCE BLACKBORN KOTTONS  Company  Od	Ι	Date		
Company Representative	<u>lic</u>	<u>e Presi</u> Title	DENT	



## MBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference #\_58-2017

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

Company Name HEARCE DO ACC Address/Phone/Email	Sugal Res	W (AC)	Contac	Person (	ASCY			
Address/Phone/Email	^		Bid Pac	Bid Package / Bid Date				
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LENNATE I	44009	263513	1 100	F145 1	supere			
MBE/WBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	
No Subcour	PARES						Temare	
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(MBE designation / American)  The undersigned acknowled to applicable Formula (Company)	owledges that all ederal and State I	information is ac aws concerning f	curate. Any n	usrepresentat		rmination of the cont		
5/1/2017 Date			· ·		<u>Ulred</u> Title	NESCOET		



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MBE/WBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote #_58-2012								
Total Cont	ract Amou	nt Awarded	to Prime	Contractor f	or this Proje	ct		
Project Name/	Contract#			Work Period/ Fr	om:	To:	W3	
Company Name:				Address:				
Federal Tax ID:				Contact Person:				
	·····		i			<del>-</del>		
Subcontractor Vendor ID (name, address, phone, email	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date	
No Si	CONTRAC	TORS				Property Communication (Communication Communication Commun		
By the signatur of the represen prospoution un OALCO	e below of an au tations set forth derrapplicable F	thorized compar below is true. A dederal and State l	ny representati ny misreprese aws concernin	ve, you certify the ntations may sesu ng false statement	at the information alt in the termination and false claims.	is correct, an on of the con	d that each tract and/or	
Company					epresentativ			
<u>stil</u>	2012				esiari			
Date				Title				

# LFUCG STATEMENT OF GOOD FAITH EFFORTS Bid/RFP/Quote #\_58-2012

By the signature below of an authorized company representative, we certify that we have utilized the following methods to obtain the maximum practicable participation by minority and women owned business enterprises on the project. Please indicate which methods you used by placing an X in the appropriate place. Attended LFUCG Central Purchasing Economic Inclusion Outreach Event Sponsored Economic Inclusion event to provide networking opportunities Requested a list of MBE/WBE subcontractors or suppliers from LFUCG Economic Engine Advertised for MBE/WBE subcontractors or suppliers in local or regional newspapers Showed evidence of written notice of contracting and/or supplier opportunities to MBE/WBE firms at least seven days prior to the bid opening date Provided copies of quotations submitted by MBE/WBE firms which were not used and/or responses from firms indicating they would not be submitting a quote Provided plans, specifications, and requirements to interested MBE/WBE subcontractors UPON KEQUEST Other Please list any other methods utilized that aren't covered above. The undersigned acknowledges that all information is accurate. Any misrepresentations may result termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims. Company Company Representative

Date

### PART II

## INFORMATION FOR BIDDERS

## **INDEX**

- 1. RECEIPT AND OPENING OF BIDS
- 2. PREPARATION OF BID
- 3. SUBCONTRACTS
- 4. QUALIFICATION OF BIDDER
- 5. BID SECURITY
- 6. LIQUIDATED DAMAGES FOR FAILURE TO ENTER INTO CONTRACT
- 7. EXAMINATION OF CONTRACT DOCUMENTS AND SITE
- 8. ADDENDA AND INTERPRETATIONS
- 9. SECURITY FOR FAITHFUL PERFORMANCE
- 10. POWER OF ATTORNEY
- 11. TAXES AND WORKMAN'S COMPENSATION
- 12. LAWS AND REGULATIONS
- 13. PREVAILING WAGE LAW AND MINIMUM HOURLY RATES
- 14. AFFIRMATIVE ACTION PLAN
- 15. CONTRACT TIME
- 16. SUBSTITUTION OR "OR-EQUAL" CLAUSE
- 17. ALTERNATE BIDS
- 18. SIGNING OF AGREEMENT
- 19. ASSISTANCE TO BE OFFERED TO DISADVANTAGED BUSINESS ENTERPRISE CONTRACTORS



## Commercial/Industrial Roofing Systems

## Bituminous Flashings Specification DFE-1 (LB)

### **Base Flashing**

## For load-bearing masonry parapet construction with nailing facilities

#### General

This flashing specification is for use on masonry parapets that can accept and retain the required mechanical fasteners, and where the roof deck is supported by the parapet.

**Note:** All general instructions contained in the current JM Commercial/Industrial Roofing Systems Manual shall be considered part of this specification.

Wall Preparation: Any previously installed metal cap or counterflashing must be lifted or removed, to permit application of the base flashing.

Apply JM Concrete Primer to the masonry wall, to the full height of the proposed base flashing and counterflashing, and allow the primer to dry thoroughly.

#### Materials

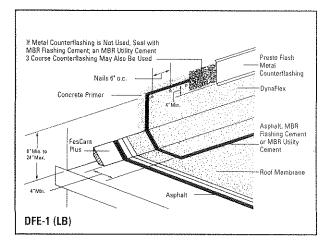
Base Flashing: Approximately 100 lineal feet (30.5 lineal meters) of base flashing (8" [203 mm] high above the roof) can be installed with the following materials. Usage will vary depending on actual flashing height and field conditions.

100 lin. ft. (30.5 lineal meters)
½ gal. (1.9 liters)
110 sq. ft. (10.2 m <sup>2</sup> )
35 lbs. (15.9 kgs.)
4 - 5 gal. (15.1 - 18.9 liters)
9 gal. (34.1 liters)

### Application

Base Flashing: The roofing membrane must extend to the top of the cant. The completed base flashing shall extend not less than 8" (203 mm) nor more than 24" (610 mm) above the level of the roof, and shall extend onto the roof membrane a minimum of 4" (102 mm). Cut the DynaFlex into sections that can be easily handled and installed (6' - 8' [1.83 m - 2.44 m] long), and position upside down on the membrane, to allow the product to relax.

Hot Asphalt Application: Starting just above the point on the parapet where the base flashing will terminate, mop the parapet, the surface of the roofing felts on the cant, and out onto the roof membrane with hot Type III\* or IV asphalt. Holding the upper corners of the DynaFlex, position its lower horizontal edge on the roof membrane (minimum 4" [102 mm] from base of cant) and lay it into place over the cant strip and up the wall. The sheet should be "worked-in" to ensure that it is firmly and uniformly bonded. The asphalt temperature shall be a minimum of 400°F (204°C) or at the EVT, whichever is higher, when the DynaFlex is set into it. This higher temperature maximizes the bonding of the base flashing. In cool or cold weather, the back of the DynaFlex sheet should also be mopped with the hot asphalt, and shorter lengths of DynaFlex should be used. PermaMop may not be used to install DynaFlex.



Cold Adhesive Application: Starting just above the point on the parapet where the base flashing will terminate, coat the parapet, the surface of the roofing felts on the cant, and out onto the roof membrane with a layer of MBR Flashing Cement approximately ½° (1.6 mm) thick, or a layer of MBR Utility Cement approximately ½° (3 mm) thick. Holding the upper corners of the DynaFlex, position its lower horizontal edge on the roof membrane (minimum 4" [102 mm] from base of cant) and lay it into place over the cant strip and up the wall. The sheet should be "worked-in" to ensure that it is firmly and uniformly bonded.

In both hot and cold applications, the vertical joints shall be overlapped a minimum of 4" (102 mm) and well sealed. Mechanically fasten the base flashing on 6" (152 mm) centers along its top edge. Fasteners must have a 1" (25 mm) minimum diameter integral cap, or be driven through 1" (25 mm) minimum diameter rigid metal discs. It is recommended that the vertical laps be covered with a ½" (3 mm) thick layer of MBR Flashing Cement, or a 4" (102 mm) wide strip of fiber glass mesh or ply felt embedded in and trowelled over with a layer of MBR Utility Cement.

**Metal Counterflashing:** Presto Flash metal counterflashings, when properly installed, are the preferred method of completing this flashing detail. They should be installed in accordance with the metal manufacturer's recommendations, or in accordance with SMACNA procedures, and should overlap the base flashing by a minimum of 4" (102 mm). Refer to Section 11 on "Roofing Accessories."

MBR Cement Counterflashing: If metal counterflashings are not used, the base flashing must be finished with a 4" (102 mm) wide, ½" (3 mm) thick layer of MBR Flashing Cement or a 4" (102 mm) wide strip of fiber glass mesh or ply felt, embedded in and trowelled over with a ½" (3 mm) thick layer of MBR Utility Cement, bringing the cement to a feather edge. This procedure should also be used if the application of metal counterflashings will be delayed.

Surfacing: DynaFlex requires no additional surfacing.

\* Consult a JM Technical Service Specialist regarding the use of Type III asphalt in hot climates.

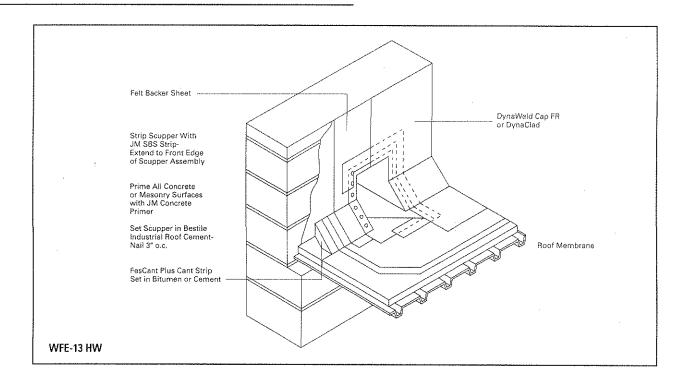
Refer to the Material Safety Data Sheet and Product Label prior to using this product.

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## Commercial/Industrial Roofing Systems

## Bituminous Flashings Specification WFE-13 HW



## Through-Wall Scupper

#### General

**Note:** All general instructions contained in the current JM Commercial/Industrial Roofing Systems Manual shall be considered part of this specification.

Refer to the Material Safety Data Sheet and Product Label prior to using this product.

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## **Document A310 TM - 2010**

Conforms with The American Institute of Architects AIA Document 310

## Bid Bond

CONTRACTOR:

(Name, legal status and address)

Pearce Blackburn Roofing LLC 309 Blue Sky Parkway Lexington, KY 40509

OWNER:

(Name, legal status and address) Lexington-Fayette Urban County Government

200 East Main Street Lexington, KY 40507

SURETY:

(Name, legal status and principal place of husiness) United Fire & Casualty Company

P. O. Box 73909

Cedar Rapids, IA 52407-3909 **Mailing Address for Notices** 

P.O. Box 73909

Cedar Rapids, IA 52407-3909

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

BOND AMOUNT: \$

5%

Five Percent of Amount Bid

PROJECT:

(Name, location or address, and Project number, if any)

Gymnasium Roof Replacement - Charles Young Center - 540 East Third Street - Lexington, KY 40507

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and scaled this

day of May, 2012

Pearce Blackburn Roofing LLC

(Principal)

(Surety)

(Seal)

STATE & CASUA

United Fire & Casualty Company

(Title) Deborah L. Burton

(Seul)

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# UNITED FIRE & CASUALTY COMPANY HOME OFFICE - CEDAR RAPIDS, IOWA CERTIFIED COPY OF POWER OF ATTORNEY

(Original on file at Home Office of Company - See Certification)

KNOW ALL MEN BY THESE PRESENTS. That the UNITED FIRE & CASUALTY COMPANY, a corporation duly organized and existing under the laws of the State of Iowa, and having its principal office in Cedar Rapids, State of Iowa, does make, constitute and appoint Deborah L. Burton its true and lawful Attorney-in-Fact with power and authority hereby conferred to sign, seal and execute in its behalf all lawful bonds, undertakings and other obligatory instruments of similar nature as follows:

Surety Bond Number: Bid Bond

Principal: Pearce Blackburn Roofing LLC

Obligee: Lexington-Favette Urban County Government

and to bind UNITED FIRE & CASUALTY COMPANY thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of UNITED FIRE & CASUALTY COMPANY and all the acts of said Attorney, pursuant to the authority hereby given are hereby ratified and confirmed.

The Authority hereby granted is continuous and shall remain in full force and effect until revoked by UNITED FIRE & CASUALTY COMPANY.

This power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by Board of Directors of the Company on April 18, 1973.

"Article V - Surety Bonds and Undertakings"

Section 2, Appointment of Attorney-in-Fact. "The President or any Vice President, or any other officer of the Company may, from time to time, appoint by written certificates attorneys-in-fact to act in behalf of the Company in the execution of policies of insurance, bonds, undertakings and other obligatory instruments of like nature. The signature of any officer authorized hereby, and the Corporate seal, may be affixed by facsimile to any power of attorney or special power of attorney or certification of either authorized hereby; such signature and seal, when so used, being adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed. Such attorneys-in fact, subject to the limitations set forth in their respective certificates of authority shall have full power to bind the Company by their signature and execution of any such instruments and to attach the seal of the Company thereto. The President or any Vice President, the Board of Directors or any other officer of the Company may at any time revoke all power and authority previously given to any attorney-in-fact.

IN WITNESS WHEREOF, the UNITED FIRE & CASUALTY COMPANY has caused these presents to be signed by its vice president and its corporate seal to be hereto affixed this August 23, 2010.



**UNITED FIRE & CASUALTY COMPANY** 

1 Cenn

Vice President

State of Iowa, County of Linn, ss:

On August 23, 2010, before me personally came Dennis J. Richmann to me known, who being by me duly sworn, did depose and say; that he resides in Cedar Rapids, State of lowa; that he is a Vice President of the UNITED FIRE & CASUALTY COMPANY, the corporation described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.



Mary A. Jansen Iowa Notarial Seal Commission number 713273 My Commission Expires 10/26/13 Mary alfansen
Notary Public

My commission expires: 10-26-13

#### CERTIFICATION

I, the undersigned officer of the UNITED FIRE & CASUALTY COMPANY, do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Section of the by-laws of said Company as set forth in said Power of Attorney, with the ORIGINALS ON FILE IN THE HOME OFFICE OF SAID COMPANY, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.

In testimony whereof I have hereunto subscribed my name and affixed the corporate seal of the said Company this 1st day of 2012.



Dand A. Jange Secretary

		A. S.