

DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION POLICY

PROJECT NAME: WOLF RUN 125 RELOCATION BID DATE: 2/26/13
CONTRACTS - INTERCEPTOR & FORCE MAIN

1. Name, address and telephone number of contact person on all DBE matters:

Prime Contractor's Name: HOWELL CONTRACTORS, INC.
 Contact Person: PAUL BRICKING, VICE PRESIDENT
 Address: 980 HELEN RUTH DR., FORT WRIGHT, KY 41017
 Phone: 859-331-5457
 Cell Phone: 859-393-6727
 Email: PBRICKING@HOWELLCONTRACTORS.COM
 Total Contract Amount: \$, 228, 435.00

2. Total dollar amount/percent of contract of MBE participation: \$495,354.86 / 11.7%3. Total dollar amount/percent of contract of WBE participation: \$58,778.46 / 1.4%4. Are certifications* for each MBE/WBE/DBE subcontractor enclosed; if no, please explain: Yes No5. Are MBE/WBE/DBE subcontracts or letters of intent signed by both parties enclosed; if no, please explain: Yes No

6. List of MBE Subcontractors:

Name: HTA ENTERPRISES, INC.
 Contact Person: HAROLD VALENTINE
 Address: PO BOX 72635, LOUISVILLE, KY 40272
 Phone: 502-933-5900
 Cell Phone: _____
 Email: _____
 Type of Contract: SUB
 Work to be Done: BLASTING
 Amount: \$ 345,000.00

7. List of WBE Subcontractors:

Name: EARTH IMAGES, INC.
 Contact Person: JEFF DETHY
 Address: 5868 STILLER RD., FLOYD'S KNOBS, IN 47119
 Phone: 812-923-8386
 Cell Phone: _____
 Email: _____
 Type of Contract: SUB
 Work to be Done: SEEDING / EROSION CONTROL
 Amount: \$ 58,778.46

Attach Additional Sheets, If Necessary

*Self-certification: Self certification of MBE/WBE/DBE firms will NOT be accepted as a valid form of certification of MBE/WBE/DBE status.

DOW -- October 2011

CON0047407-B/021412

00803 - 31

DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION POLICY

PROJECT NAME: WOLF RUN 175 RELOCATION BID DATE: 2/26/15
CONTRACT B - INTERCEPTOR & FORCE MAIN

1. Name, address and telephone number of contact person on all DBE matters:
- Prime Contractor's Name: HOWELL CONTRACTORS, INC.
 Contact Person: PAUL BRICKING, VICE PRESIDENT
 Address: 980 HELEN RUTH DR., FORT WRIGHT, KY 41017
 Phone: 859-331-5967
 Cell Phone: 859-393-6727
 Email: PBRICKING@HOWELLCONTRACTORS.COM
 Total Contract Amount: 4,228,435.00
2. Total dollar amount/percent of contract of MBE participation: _____
3. Total dollar amount/percent of contract of WBE participation: _____
4. Are certifications* for each MBE/WBE/DBE subcontractor enclosed; if no, please explain: Yes No
5. Are MBE/WBE/DBE subcontracts or letters of intent signed by both parties enclosed; if no, please explain: Yes No
6. List of MBE Subcontractors:
- Name: THOMPSON DISTRIBUTION
 Contact Person: DEXTER THOMPSON
 Address: 2225 N. COLLEGE AVE., INDIANAPOLIS, IN 46205
 Phone: 317-923-2581
 Cell Phone: _____
 Email: _____
 Type of Contract: SUPPLY
 Work to be Done: SUPPLY
 Amount: \$ 88,070.00
7. List of ~~WBE~~ MBE Subcontractors:
- Name: UNITED CONSTRUCTION & DESIGN GROUP, INC.
 Contact Person: JERROLD SANDERS
 Address: 4637 ILLINOIS AVE., LOUISVILLE, KY 40213
 Phone: 502-451-3388
 Cell Phone: _____
 Email: _____
 Type of Contract: SUB
 Work to be Done: CONSTRUCTION STAKING
 Amount: \$ 12,284.86

Attach Additional Sheets, If Necessary

*Self-certification: Self certification of MBE/WBE/DBE firms will NOT be accepted as a valid form of certification of MBE/WBE/DBE status.

DOW - October 2011

CON0047407-B/021412

00803 - 31

DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION POLICY

PROJECT NAME: WOLF RUN 175 RELOCATION BID DATE: 2/26/13
CONTRACTS - INTERCEPTOR & FORCE MAIN

1. Name, address and telephone number of contact person on all DBE matters:

Prime Contractor's Name: HOWELL CONTRACTORS, INC.
Contact Person: PAUL BRICKING, VICE PRESIDENT
Address: 980 HELEN RUTH DR., FORT WRIGHT, KY 41017
Phone: 859-331-5467
Cell Phone: 859-393-6727
Email: PBRICKING@HOWELLCONTRACTORS.COM
Total Contract Amount: 4,228,435.00

2. Total dollar amount/percent of contract of MBE participation: _____

3. Total dollar amount/percent of contract of WBE participation: _____

4. Are certifications* for each MBE/WBE/DBE subcontractor enclosed; if no, please explain: Yes No

5. Are MBE/WBE/DBE subcontracts or letters of intent signed by both parties enclosed; if no, please explain: Yes No

6. List of MBE Subcontractors:

Name: MESSIER & ASSOCIATES, INC
Contact Person: FERNANDO MESSIER
Address: PO BOX 21293, LOUISVILLE KY 21293
Phone: 502-515-2451
Cell Phone: _____
Email: _____
Type of Contract: SUB
Work to be Done: HALLING
Amount: \$50,000.00

7. List of WBE Subcontractors:

Name: _____
Contact Person: _____
Address: _____
Phone: _____
Cell Phone: _____
Email: _____
Type of Contract: _____
Work to be Done: _____
Amount: _____

Attach Additional Sheets, If Necessary

*Self-certification: Self certification of MBE/WBE/DBE firms will NOT be accepted as a valid form of certification of MBE/WBE/DBE status.

8. Information and documentation concerning efforts taken to comply with EPA's "six good faith efforts"

- (i). Ensure DBE construction firms or material suppliers are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities; including placing DBEs on solicitation lists and soliciting them whenever they are potential sources. A good source for a list of DBEs is the Kentucky Transportation's website: <http://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/Certified-DBE-Directory.aspx>.

The prime contractor certifies that a bidders list (see example sheet below) of qualified vendors, including DBEs, was developed for current and future solicitations and that the list will be maintained. *Submit a copy of the list as documentation.*

- (ii). Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process; including, whenever possible, posting solicitation for bids or proposals for a sufficient amount of time as to receive a competitive bid or proposal pool.

The prime contractor certifies that every opportunity was provided to a number of DBEs to encourage their participation in the competitive process and that an adequate amount of time was provided for response.

- a. List each DBE construction firm or material supplier to which a solicitation was attempted. *Submit copies of letters, emails, faxes, telecommunication logs, certified mail receipts, returned envelopes, certified mail return receipts, etc. as documentation.*

Company name and phone number: see attached

Area of work expertise: _____

Date of any follow-ups and person spoke to: _____

- b. Advertisements, if applicable: List each publication in which an announcement or notification was placed. *Submit a tear sheet of each announcement from each publication as documentation.*

Name of publication: None - Not Needed

Date(s) of advertisement: _____

Specific subcontract areas announced: _____

- c. Other, if applicable: List each notification method in which an announcement or outreach was used; list serve, public meeting, etc. *Submit applicable information to document effort.*

Method of notification: None - Not Needed

Date(s) of notification: _____

- (iii). Consider in the contracting process whether firms competing for large contracts could subcontract with DBEs; including dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.

The prime contractor certifies that the project was broken into its basic elements (i.e., dirt hauling, landscaping, painting, pipe installation, material supplies, etc.) and that a determination was made whether it's economically feasible to bid the elements separately and that the analysis of this effort was documented with a short memo to the project file.

(iv). Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women's business enterprises.

The prime contractor certifies that they established delivery schedules which would allow DBEs to participate in the projects.

(v). Use the services and assistance of the Small Business Administration (SBA) and the Minority Business Development Agency (MBDA) of the U.S. Department of Commerce. The easiest way to utilize the services of SBA and MBDA is to visit their websites: www.sba.gov and www.mbda.gov and use the electronic tools available there or you may send the nearest SBA and MBDA office a certified letter that generally describes the solicitation, the dates it will be open, the types of vendors you are seeking and applicable SIC or NAIC codes if known. You may also use the services and assistance of the Kentucky Procurement Assistance Program (KPAP). The easiest way to utilize the services of KPAP is to send an email: ced.kpap@ky.gov and provide information on forthcoming opportunities available to DBEs.

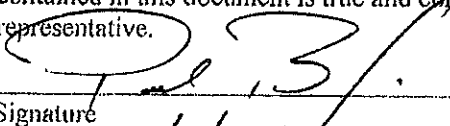
SBA, MBDA OR KPAP ARE NOT NEEDED AS WE WILL BE TO USE OUR OWN DBE CONTACT.
The prime contractor certifies that the assistance of the SBA, MBDA, and/or KPAP was utilized. *Submit pages printed off the SBA and MBDA websites which evidence efforts to register a solicitation on those sites or submit copies of the letter sent and certified mail receipt as documentation; submit copies of emails with KPAP as documentation.*

(vi). If a subcontractor awards any subcontracts, require the subcontractor to take the steps in numbers (i) through (v) above.

The prime contractor certifies that subcontractors used for this project will be required to follow the steps of the "six good faith efforts" as listed above.

9. **Signature and date:**

To the best of my knowledge and belief, all "six good faith efforts" have been met and the information contained in this document is true and correct; the document has been duly authorized by the legal representative.


Signature

PAUL BECKING, Vice Pres
Print name and title

3/7/13
Date

Wolf Run PS Relocation, Contract B

DBE Contacts for Bid

Company	Type	How	Date	Work Type	Quote	Due Date
Thompson Dist.	MBE	Phone	2/13/2013	Material Supply	Yes	2/25/2013
Messier & Assoc.	MBE	Phone	2/13/2013	Trucking	Yes	2/25/2013
HTA Enterprises	MBE	Phone	2/13/2013	Blasting	Yes	2/25/2013
Earth Images	MBE	Phone	2/13/2013	Seeding	Yes	2/25/2013
United Const	MBE	Phone	2/13/2013	Staking	Yes	2/25/2013

Louisville MSD Certified MBE List

Company Name	Product/Service	Name	Phone	Email Address
Advanced Underground	Sewer Cleaning	Sheila Olivio	734-721-0081	
Bancroft Group	RM Concrete	Eric Winston	502-235-6210	ewinston@bancroftgroup.us
Circle City Rebar	Rebar	Frank Davis	317-917-8566	fdavis@circlecityrebar.com
ClasSickle, Inc.	Surveying	A. Sickles	502-493-2722	asickles@classikle.com
HTA Enterprises, Inc.	Blasting	Harold Valentine	502-933-5900q	
Ideal Const., LLC	Pipe Supply	Lanier Hobbs	502-365-5333	sales@idealconstr.com
Integrated Engineering	Surveying	Harsha Wijersiri	859-368-0145	harsha@int-engineering.com
Island Electric	Electric Const.	Dwayne Harris	502-301-8940	dharris@islandelectricllc.com
Jacobi, Toombs & Lanz Inc.	Surveying	Teri Pifine	502-583-5994	t.pifine@jtleng.com
Jostin Concrete Const.	Conc. Const.	Kim Coleman	513-559-9390	kcoleman@jostinconstruction.com
Messier & Assoc., Inc.	Trucking	Fernando Messier	502-515-2451	fermessier@messainc.com
Metro Fence Ind., Inc.	Fence Const.	Brenda Jett	502-458-8701	david@metrofenceinc.com
Seven Seas Const.	Conc. Const.	Soran Walker	502-231-7395	swalker@sevensc.net
Sang Corporation	Dumpsters	Charlie Sang	502-368-0315	
T.E.M. Electric Co., Inc.	Electric Const.	Tom Masterson	502-454-0101	tmasterson@temelectric.com
TesTech, Inc.	Material Testing	Sherif Aziz	502-261-0462	aziz@testechinc.com
Thompson Dist. Co., Inc.	Pipe Supply	Dexter Thompsom	317-923-2581	ddestert@thomdist.com
Time Services, LLC	Demolition	Joe Phillips	502-447-5720	joelee@americanreadymix.biz

Certified WBE List

A & A Conc. Sawing	Pave. Sawing	Melissa Malone	502-995-7500	aaconcretesawing@msn.com
Advance Ready Mix	RM Concrete	Camilla Schroeder	502-587-1881	camilla@advancereadymix.com
Advanced Paving & Const.	Asphalt Paving	Sylvia Gilezan	502-245-8935q	advancedpaving@aol.com
CA Fulkerson, LLC	Seeding, Erosion	Carol Fulkerson	812-952-1777	cafulkersonlls@aol.com
Construction Solutions	Seeding, Erosion	Melissa Trego	502-935-1088	
Dunaway Engineering	Surveying	Kim Dunaway	502-671-0060	kdunaway@dunawayengineering.com
Earth Images, Inc.	Seeding, Erosion	Laura Yurs	800-325-2543	laurayurs@gmail.com
Effective Erosion Control	Seeding, Erosion	Carol Childers	502-639-8425	carolcdeeb@aol.com
Kentuckiana Seismic	Preblast Surveys	Ann Matingly	502-384-0337	ann@kyanaseismic.com
Kimberly Rash Fence	Fence Const.	Kim Rash	502-744-3136	rashka05@aol.com
Lykins Reinforcing, Inc.	Rebar Install	Donna Lykins	502-634-5030	lykinsky@aol.com
Professional Fence	Fence Const.	Bob Osmer	502-222-0513	bobosmer@bellsouth.net
Ronnie Whitacre Trucking	Trucking	Barbara Whitacre	502-239-2709	bwhitacre5046@bellsouth.net
S & M Precast	Precast MH's	Becky Graf	812-246-6258	bgraf@smprecast.com
S & S Blasting	Blasting	Laura Davis	502-367-8540	daviskrjr@aol.com
Shamrock Trucking LLC	Trucking	Jessica Luetzow	502-904-0839	jessica.luetzow@gmail.com
Summers Grading Service	Seeding, Erosion	Charlene Summers	812-246-4839	dcsommers@shcglobal.net
Terry Gollar Trucking	Trucking	Sandy Gollar	502-957-3423	sandygollar@hotmail.com

Lexington Fayette Urban County Government Certified M/WBE List

Company Name	Product/Service	Name	Phone	Email Address
AAA Flexible Pipe Cleaning	Sewer Cleaning	Brian Nix	216-341-2900	bnix@aaaflexiblepipe.com
Allen Engineering	Surveying	Lilli Allen	859-229-7362	lmallen@2509@yahoo.com
BA Engineers, Inc.	Surveying	Jeffrey Bryant	502-775-5741	jcbryant@bryant-engrs.com
ClasSickle, Inc.	Surveying	A. Sickles	502-493-2722	asickles@classikle.com
G & G Paving & Const, Inc.	Apshalt Paving	Marvin Gateskill	859-255-6029	ggpaving@insightbb.com
Intuitive Technologies, Inc.	Sewer Evaluation	Susan Dakak	865-250-0821	susan.dakak@intuitive-tv.com
Messier & Associates	Const./Trucking	Fernando Messier	502-533-4573	fermessier@messainc.com
Professional Fence Co.	Fence Const.	Bob Osmer	502-222-0513	bobosmer@bellsouth.net
Seven Seas Const.	Conc. Const.	Mellisa Elliot	502-231-7395	melliot@sevenseasconstruction.net
Spartan Construction, Inc.	Utility Const.	Joe Garera	859-371-3538	joegarera@spartanconstruction.com
T.E.M. Electric Co.	Electric Const.	Debbie Swain	859-2592660	dswain@temelectric.com
Team Fishel	HDD/Const.	Rich Maulden	502-456-2900	rich.maulden@fishelco.com
Tes Tech, Inc	Material Testing	Linda Powell	937-435-3200	powell@testechinc.com

Certified DBE List

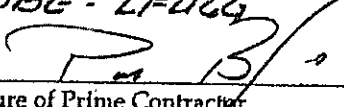
Atwood Fence Co., Inc.	Gaurdrail, Fence	Kay Atwood	662-289-6338	atwoodfence@aol.com
Bansal Const., Inc	Traffic Signals	Anurag Bansal	513-874-5410	BANSALCONS@yahoo.com
Big Sandy Gaurdrail, Inc.	Gaurdrail, Fence	Barbara Adams	606-432-3166	
C. A. Fulkerson, LLC	Seeding	Carol Fulkerson	812-952-1777	cafulkersonllc@aol.com
Cedar Valley Seeding, Inc.	Seeding	Susan Redmon	859-987-1497	cvseeding@msn.com
Contractors Corporation	Clearing	Jackie Reynolds	270-846-0989	contcoro@aol.com
CR Clearing	Clearing	SandyHockensmith	270-866-5600	crclear@duo-county.com
Earth Images, Inc.	Seeding, Erosion	Dave Slusser	812-923-8386	jeffd@earthimagesinc.com
Francompany Clean Up	Clearing, Seeding	Francis Elam	606-743-4877	www.francompany.com
M.A.S. Markers, Inc.	Pavement Markers	Michele Johnson	317-769-7200	chelejohanson@tds.com
S. & S. and Assoc., Inc	Blasting	Laura Davis	502-367-4331	ssassoc@bellsouth.net
S. J. Earth Boring, Inc.	Horizontal Boring	Sheila Jo Burke	859-865-2063	sjgburke@cs.com
Spartan Const., Inc	Laser Pipe Inspec.	Dianne Brossart	859-371-3538	
Striping Solutions, LLC	Pavement Striping	Bree Blevins	606-796-9702	bblevins@windstream.net



Environmental
Protection Agency

OMB Control No: 2090-0030
Approved: 05/01/2008
Approval Expires: 01/31/2011

Disadvantaged Business Enterprise Program
DBE Subcontractor Performance Form

NAME OF SUBCONTRACTOR' <i>EARTH IMAGES, INC.</i>		PROJECT NAME LFUCG Wolf Run Pump Station Relocation, Contract B
ADDRESS <i>5808 STILLER RD. FLOYDS KNOBS, IN 47119</i>		BID/PROPOSAL NO. Bid No. 3-2013
TELEPHONE NO. <i>812-923-8386</i>	E-MAIL ADDRESS <i>jeffd@earthimagesinc.com</i>	
PRIME CONTRACTOR NAME <i>HOWELL CONTRACTORS, INC</i>		
CONTRACT ITEM NO.	ITEM OF WORK OR DESCRIPTION OF SERVICES BID TO PRIME	PRICE OF WORK SUBMITTED TO PRIME CONTRACTOR
<i>4 thru 7</i>	<i>PERMANENT SEEDING</i>	<i>\$ 26,858.46</i>
<i>40</i>	<i>STREAM RESTORATION</i>	<i>\$ 15,360.00</i>
<i>41</i>	<i>SILT FENCE</i>	<i>\$ 16,560.00</i>
Currently certified as an MBE or WBE under EPA's DBE Program? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<i>DBE - LFUCG</i> 		<i>3/7/13</i>
Signature of Prime Contractor		Date
<i>PAUL BRICKLING</i>		<i>V.P.</i>
Print Name		Title
<i>Laura Z. Yurs</i>		<i>03/06/13</i>
Signature of Subcontractor		Date
<i>Laura Yurs</i>		<i>President</i>
Print Name		Title

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



5868 Stiller Road
 Floyds Knobs IN 47119
 Phone: (812)923-8386
 Fax: (812) 923-7505
 www.earthimagesinc.com

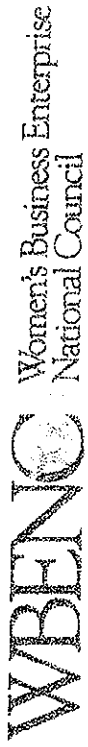
Customer	HOWELL CONTRACTORS, INC 980 HELEN RUTH DRIVE FORT WRIGHT KY 41017	Date Quoted		Quote No.	
Phone	859-331-5457	Bid Date	2/26/13	Job Name	WOLF RUN P/S RELOCATION
Fax	859-331-6768	Location		Revised	
Attention	MIKE WILEY	Revisions		Estimated by	JEFF DETHY

LINE ITEM	BID ITEM	DESCRIPTION	QTY	UNIT	UNIT PRICE	AMOUNT
		TEMPORARY SILT FENCE	11500.00	LFT	\$ 1.44	\$ 16,560.00
		PERMANENT SEEDING	67917.00	SYS	\$ 0.38	\$ 25,808.46
		STREAMBANK RESTORATION	4.00	EACH	\$ 3,840.00	\$ 15,360.00
		MOB AND DEMOB FOR SEEDING		EACH	\$ 1,050.00	
		STREAMBANK RESTORATION INLCUDES: NATIVE SEEDING WITH NAG SC-150 BLANKET, LIVE STAKES ON 3' CENTER ON BANK, SEEDLINGS ON 9' CENTERS ALONG TOP OF SLOPE				

TOTAL: \$ 57,728.46

SPECIAL CONDITIONS

1	Quantities are estimated. Payment will be based on actual installed quantities.
2	Performance and payment bonds are available, but have not been included in this quotation.
3	Traffic control has not been included in this quotation.
4	Topsoil, topsoil placement, soil amendments other than commercial fertilizer, and sod notching are not included in this quotation.
5	Erosion control items do not include maintenance or removal.
6	Removal of rocks, existing vegetation, and debris from seed, sod and planting areas has not been included in this quotation.
7	Mobilization for seeding shall be charged for each move in. (Seeding operations only)
8	Lawn and plant maintenance, including watering, mowing, spraying and post fertilization is not included.
9	Sod price includes watering for 30 days.



hereby grants

National Women's Business Enterprise Certification

to


Earth Images, Inc.

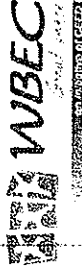
who has successfully met WBENC's standards as a Women's Business Enterprise (WBE).

This certification affirms the business is woman-owned, operated and controlled; and is valid through the date herein.

WBENC National WBE Certification was processed and validated by Women's Business Enterprise Council - Great Lakes, a WBENC Regional Partner Organization.

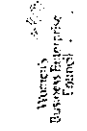
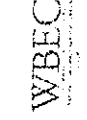
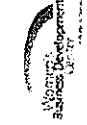
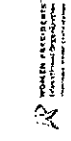
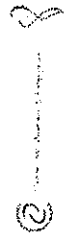
Expiration Date: 03/09/2013
WBENC National Certificate Number: 2005119993


Authorized by Michelle Richards, President,
Women's Business Enterprise Council - Great Lakes



NAICS Codes: 238910, 238990, 237990, 561730

UNSPSC Codes: 72140000, 30120000, 70131503, 72153900



Indiana DBE Certification Program

In accordance with 49 CFR Part 26 as published in the code of federal regulations, the Indiana Department of Transportation acknowledge

Earth Images, Inc.

as a certified DBE.

Date Issued: December 5, 2011 Annual Renewal Due: December 31 (each year)

Reference the Indiana Department of Transportation's DBE Public Search at <http://www.in.gov/indot/2392.htm> for the most current information regarding this certification

This certificate is valid through: March 31, 2015



Derrick Casson, DBE Certification
Manager





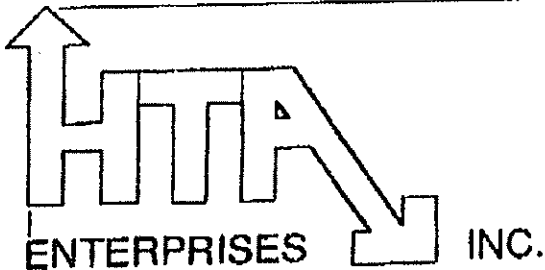
Environmental
Protection Agency

OMB Control No: 2090-0030
Approved: 05/01/2008
Approval Expires: 01/31/2011

Disadvantaged Business Enterprise Program
DBE Subcontractor Performance Form

NAME OF SUBCONTRACTOR <i>HTA ENTERPRISES, INC.</i>		PROJECT NAME <i>LFUCG Wolf Run Pump Station Relocation, Contract B</i>
ADDRESS <i>PO BOX 72635</i> <i>LOUISVILLE, KY 40272</i>		BID/PROPOSAL NO. <i>Bid No. 3-2013</i>
TELEPHONE NO. <i>502-933-5900</i>		E-MAIL ADDRESS
PRIME CONTRACTOR NAME <i>HOWELL CONTRACTORS, INC.</i>		
CONTRACT ITEM NO.	ITEM OF WORK OR DESCRIPTION OF SERVICES BID TO PRIME	PRICE OF WORK SUBMITTED TO PRIME CONTRACTOR
<i>4</i>	<i>BLASTING 48" SEWER TRENCH</i>	<i>\$ 125,250.00</i>
<i>5</i>	<i>BLASTING 48" SEWER TRENCH</i>	<i>\$ 30,300.00</i>
<i>7</i>	<i>BLASTING 30" FM TRENCH</i>	<i>\$ 187,450.00</i>
Currently certified as an MBE or WBE under EPA's DBE Program? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>MBE - LOUISVILLE MSD, DBE - LFUCG</i>		
Signature of Prime Contractor <i>[Signature]</i>		Date <i>3/7/13</i>
Print Name <i>PAUL BRICKER</i>		Title <i>V.P.</i>
Signature of Subcontractor <i>[Signature]</i>		Date <i>3-6-13</i>
Print Name <i>NANCY COLE-ALLEN</i>		Title <i>VICE PRES.</i>

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



February 18, 2013

ATTN: ALL BIDDERS

KURT GLEASON
ESTIMATOR / BLASTER

RE: Wolf Run Pump Station Relocation Contract B
Lexington, KY

Based on the Documents available at the time of bid, HTA Enterprises, Inc. is pleased to propose the following Pricing to provide supervision, labor, materials and equipment to drill and blast rock for the above referenced project. Scope and pricing is as follows:

<u>ITEM</u>	<u>UNIT PRICE</u>	48" Sewer - North of Old Farm Rd 153,375 ÷ 2500 = 60/LF
General Conditions	\$13,500.00	
48" Diameter Trench Blasting (Approx. Sta. 10+00 to 14+00)	\$ 140.00/LF × 400' = 56,000	} 48" Sewer 154,375
48" Diameter Trench Blasting (Wolf Run Creek Crossing)	\$ 235.00/LF × 75 LF = 17,625	
48" Diameter Trench Blasting (Approx. Sta. 14+75 to 23+25)	\$ 95.00/LF × 850' = 80,750	} 30" FM 190,650
30" Diameter Trench Blasting (Approx. Sta. 10+00 to 32+50)	\$ 77.00/LF × 2250 = 173,250	
30" Diameter Trench Blasting (Town Branch Crossing)	\$ 155.00/LF × 75 = 11,625	} 30" FM 190,650
30" Diameter Trench Blasting (Approx. Sta. 33+25 to 34+00)	\$ 77.00/LF × 75 = 5,775	
		30" FM 190,650 ÷ 2400 = 79.44/LF

This is a prevailing wage project.

HTA acknowledges receipt of Addendum Number 1

The above mentioned price includes the following provisions:

- A. There will be no back charges associated with this work.
- B. No allowances have been made for soundings to refusal. If soundings are required they shall be provided at the rate of \$250.00/HR.
- C. All rock will be shot to required subgrade.
- D. No allowances on overshooting or any materials to backfill such.
- E. *Covering of shots and/or placing mats, if necessary by Prime Contractor. After initial mobilization, all local moves of HTA equipment is by the Prime Contractor.*
- F. *Hoe Ramming around existing utilities by others.*
- G. *No allowance for staking, easement preparation or restoration, or damage to existing roads.*

TOTAL BLASTING COST
48" SEWER 154,375
30" FM 190,650
345,025

MINORITY BUSINESS ENTERPRISE
EQUAL OPPORTUNITY EMPLOYER

P.O. Box 72635
Louisville, Kentucky 40272-0635
Office: (502) 933-5900 • Fax: (502) 933-0501



Steven L. Beshear
Governor

TRANSPORTATION CABINET
Frankfort, Kentucky 40622
www.transportation.ky.gov/

Michael W. Hancock, P.E.
Secretary

August 30, 2012

Harold Valentine, President
HTA ENTERPRISES, INC.
P. O. Box 72635
Louisville, KY 40272

Dear Mr. Valentine:

The Kentucky Transportation Cabinet, DBE Certification Committee, has determined that HTA ENTERPRISES, INC. is a minority-owned business that remains program-eligible according to the existing standards established for certifying a **Disadvantaged Business Enterprise (DBE)** as outlined in 49 CFR Part 26. Therefore, your company's certification has been renewed to participate in the Kentucky Transportation Cabinet's DBE Program.

Your firm has been certified to perform the following item(s) of work: **grade and drain, storm drainage and storm sewer, rock blasting, site development, bridge construction and excavations; also a supplier of explosive materials.** Absent a finding of noncompliance or a successful third party challenge, **this certification will be up for renewal on August 30, 2015.** Certification, however, shall expire immediately due to any change in the ownership or control of your firm. A revised Unified Certification Program (UCP) application must be submitted to this office within seven (7) days occurrence of any change in the firm's ownership and control.

You will be required to submit an affidavit annually to determine whether your firm continues to meet the standards as set forth in 49 CFR Part 26 continue to be met. An application for recertification must be submitted at least thirty (30) days prior to the expiration of this notice.

Sincerely,

Melvin Bynes
DBE Liaison Officer
Small Business Development Branch

MB/MRT

Enclosure

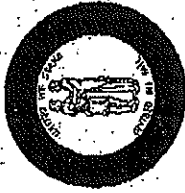


An Equal Opportunity Employer M/F/D

Steven L. Beshear
Governor

Mike W. Hancock, P.E.
Secretary
Kentucky Transportation Cabinet

COMMONWEALTH OF KENTUCKY



Transportation Cabinet
certifies that

HTA ENTERPRISES, INC.

P. O. Box 72635, Louisville, KY 40272

has met all eligibility requirements
to participate in the
Disadvantaged Business Enterprise Program

This certificate is issued pursuant to 49 CFR Part 26 and is subject to suspension or revocation.

August 30, 2015

Renewal Date

DBE Liaison Officer



Tri-State Minority Supplier Development Council



TRISTATE MINORITY SUPPLIER DEVELOPMENT COUNCIL
10000 W. 10TH AVENUE, SUITE 1000, DENVER, CO 80202

THIS CERTIFIES THAT

HTA Enterprises, Inc.

Has met the requirements for certification as a bona fide Minority Business Enterprise as defined by the National Minority Supplier Development Council, Inc. (NMSDC®) and as adopted by the Tri-State Minority Supplier Development Council.

**NAICS Codes: 237310, 236910, 237990, 237110, 238320

**Description of the ir products/services as defined by the North American Industry Classification System (NAICS)

01/22/2013

Issued Date

12/19/2013

Expiration Date

KY1317

Certificate Number

Ralph E. de Chabert

Ralph de Chabert, TSMSDC Chairman

By using your assigned (through NMSDC only) password, NMSDC Corporate Members may view the original certificate by logging in at: <http://www.nmsdc.org>



An affiliate of the National Minority Supplier Development Council, Inc. (NMSDC®)



Environmental
Protection Agency

OMB Control No. 2090-0030
Approved: 05/01/2008
Approval Expires: 01/31/2011

Disadvantaged Business Enterprise Program
DBE Subcontractor Performance Form

NAME OF SUBCONTRACTOR <i>MESSIER & ASSOCIATES, INC.</i>		PROJECT NAME LFUCG Wolf Run Pump Station Relocation, Contract B
ADDRESS <i>PO BOX 21293 LOUISVILLE, KY 40221</i>		BID/PROPOSAL NO. Bid No. 3-2013
TELEPHONE NO. <i>502-515-2451</i>	E-MAIL ADDRESS <i>FERMESSIER@MESSA INC.COM</i>	
PRIME CONTRACTOR NAME <i>HOWELL CONTRACTORS, INC.</i>		
CONTRACT ITEM NO.	ITEM OF WORK OR DESCRIPTION OF SERVICES BID TO PRIME	PRICE OF WORK SUBMITTED TO PRIME CONTRACTOR
<i>4 THRU 20</i>	<i>HAULLING SPOILS - HOURLY RATES</i>	<i>\$50,000.00</i>
Currently certified as an MBE or WBE under EPA's DBE Program? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<i>DBE - LFUCG</i> <i>Paul Brickman</i>		<i>3/7/13</i>
Signature of Prime Contractor		Date
<i>PAUL BRICKMAN</i>		<i>V.P.</i>
Print Name		Title
<i>Fernando Messier</i>		<i>3/6/13</i>
Signature of Subcontractor		Date
<i>Fernando Messier</i>		<i>(CEO)</i>
Print Name		Title

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

"A powerful ally for your business,
 creating value through performance and customer satisfaction"

February 26th, 2013

From: Fernando Messier
 Messier & Associates, Inc.
 (502) 533 4573
fermessier@messainc.com

Dear Estimator/Project Manager,

Messier & Associates, Inc. is interested in bidding **Wolf Run PS Relocation Project** as a certified **DBE** sub-contractor, and would like the opportunity to present to you our service rates.

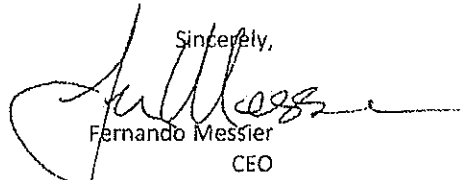
For Non Prevailing Wage Projects

Item Description	Quantity	Regular Time \$/Hour	O.T. & Saturday \$/Hour
Tri Axle Dump Truck + Driver	1	\$ 83.00	\$ 95.00

For Prevailing Wage Projects

Item Description	Quantity	Regular Time \$/Hour	O.T. & Saturday \$/Hour
Tri Axle Dump Truck + Driver	1	\$ 92.00	\$ 103.00

Notes: This quote is valid for 30 days after the date this quote is issued.
 There will be a 10% surcharge for tonnage rates when hauling shot rock.
 Hourly charges will be to the nearest ¼ hour.
 Damages incurred to equipment due to negligence of the contractor will be charged to the contractor.
 The following quote is based on a \$3.80 per gallon of diesel fuel cost.
 If fuel cost increases above \$3.80/Gal, price will increase by 1% for every 0.10\$/Gal.
 The Contract is to be paid Net 15 from receipt of invoice which will be submitted weekly.
 Prices will be increased by at least 1% by the 1st of January for the next calendar year for every year on the project.
 Trucks must be loaded within legal limits. Any overweight tickets WILL be the responsibility of the Prime Contractor and will be forwarded to them.
 Travel time will be billed at above rates for anything further than 30 miles from downtown Louisville KY
 Notice for cancellation of trucks after and order has been placed must be given with at least 4 hours. If no notice is given a minimum of 4 hours will be charged, unless the cancellation was due to weather.
 A minimum of 4 hours will be billed on any given scheduled work day.
 If prevailing wage rates change during the life of the project, new hourly & tonnage rates will have to be negotiated.
 Overtime will be any work more than 8 hrs. per day and/or 40 hrs. per week.

Sincerely,

 Fernando Messier
 CEO

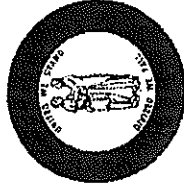
Some of our Certifications



Mike W. Hancock, P.E.
Secretary
Kentucky Transportation Cabinet

Steven L. Beshear
Governor

COMMONWEALTH OF KENTUCKY



Transportation Cabinet

certifies that

MESSIER & ASSOCIATES, INC.

P. O. Box 21293, Louisville, KY 40221

has met all eligibility requirements
to participate in the
Disadvantaged Business Enterprise Program

This certificate is issued pursuant to 49 CFR Part 26 and is subject to suspension or revocation.

October 30, 2014

Renewal Date

[Signature]
DBE Liaison Officer



Indiana DBE Certification Program

In accordance with 49 CFR Part 26 as published in the code of federal regulations, the Indiana Department of Transportation acknowledges

Messier & Associates Inc

as a certified DBE.

Date Issued: April 12, 2012 Annual Renewal Due: April 30 (each year)

Reference the Indiana Department of Transportation's DBE Public Search at <http://www.in.gov/indot/2392.htm> for the most current information regarding this certification.

This certificate is valid through: July, 2015

Tiffany M. Mulligan

Tiffany M. Mulligan, Economic Opportunity and
Prequalifications Director

Derrick Casson

Derrick Casson, DBE Certification
Manager





U.S. SMALL BUSINESS ADMINISTRATION
WASHINGTON, D.C. 20416

May 22, 2010

Fernando Messier, President
Messier & Associates, Inc.
P.O. Box 21293
Louisville, KY 40221

Congratulations! Your firm has been certified as a Participant in the U.S. Small Business Administration's (SBA) 8(a) Business Development Program. Your nine (9) year program term begins on the date of this letter.

During participation in the 8(a) Business Development Program, you will receive business development assistance from an assigned Business Development Specialist in the Kentucky District Office located at 600 Dr. Martin Luther King Jr Place Room 188, Louisville, KY 40202-2254. The phone number is (502) 582-5971.

Your firm will become eligible to receive 8(a) Business Development contracts after you submit a business plan using SBA Form 1010C and receive SBA's approval of the plan. We are sending a copy of this certification letter to the SBA Kentucky District Office. That office will send you the business plan form.

SBA requires that the 8(a) participant's President or Chief Executive Officer sign a Participation Agreement to show that he or she understands the conditions of 8(a) program participation. Please read the Agreement carefully, sign and date one copy and return it to the SBA Kentucky District Office at the address shown in the third paragraph above. The second copy is for your records.

Even though your firm's approved North American Industry Classification System (NAICS) Code is 541990, your firm may be awarded contracts under other NAICS Codes, as long as your firm is qualified to perform the required service or task. In this regard, please note that contracts awarded under 8(a) Business Development Program authority generally result from the self-marketing efforts of participating firms. While your firm's acceptance into the 8(a) Business Development program is not a guarantee of contract support, SBA will make every effort to assist you in your marketing efforts.

I welcome you as an 8(a) Business Development Program participant and wish you every possible success
Sincerely,

A handwritten signature in cursive script that reads "LeAnn Delaney".

LeAnn Delaney
Acting Associate Administrator
for Business Development



Environmental
Protection Agency

OMB Control No: 2090-0030
Approved: 05/01/2008
Approval Expires: 01/31/2011

Disadvantaged Business Enterprise Program
DBE Subcontractor Performance Form

NAME OF SUBCONTRACTOR/SUPPLIER <i>THOMPSON DISTRIBUTION</i>		PROJECT NAME LFUCG Wolf Run Pump Station Relocation, Contract B
ADDRESS <i>2225 N. COLLEGE AVE. INDIANAPOLIS IN 46205</i>		BID/PROPOSAL NO. Bid No. 3-2013
TELEPHONE NO. <i>317-923-2581</i>		E-MAIL ADDRESS <i>DEXTER.T@THOMDIST.COM</i>
PRIME CONTRACTOR NAME <i>HOWELL CONTRACTORS, INC.</i>		
CONTRACT ITEM NO.	ITEM OF WORK OR DESCRIPTION OF SERVICES BID TO PRIME	PRICE OF WORK SUBMITTED TO PRIME CONTRACTOR
<i>8 THRU 16 31</i>	<i>PRECAST MANHOLES ARV VAULTS</i>	<i>\$82,070.00 \$6000.00</i>
Currently certified as an MBE or WBE under EPA's DBE Program? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No MBE ONLY		
<i>P. B.</i> Signature of Prime Contractor		<i>3/7/13</i> Date
<i>PAUL BRICKING</i> Print Name		<i>J.P.</i> Title
<i>[Signature]</i> Signature of Subcontractor		<i>3-7-13</i> Date
<i>DEXTER L. THOMPSON</i> Print Name		<i>DIRECTOR OF SALES</i> Title

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



2225 N. COLLEGE AVENUE
 INDIANAPOLIS, IN 46205
 PHONE: 317-923-2581
 FAX: 317-923-4819

WOLF RUN PUMP STATION RELOCATION
 CONTRAC B - LEXINGTON, KY

QUOTATION

DATE: February 25, 2013

Item Description	Quantity	Units	Unit Price	Extension
Standard Manhole, 10 ft. Dia.	2		\$ 5,700.00	\$ 11,400.00
Standard Manhole, 8ft. Dia. Bolt Down	3		\$ 4,420.00	\$ 13,260.00
Standard Manhole, 6 ft. Dia.	2		\$ 2,800.00	\$ 5,600.00
Standard Manhole, 6ft. Dia. Bolt Down	5		\$ 2,800.00	\$ 14,000.00
Standard Manhole, 4 ft. Dia.	3		\$ 740.00	\$ 2,220.00
Manhole Barrel Estensions, 10ft. Dia	29		\$ 455.00	\$ 13,195.00
Manhole Barrel Estensions, 8ft. Dia	21		\$ 375.00	\$ 7,875.00
Manhole Barrel Estensions, 6ft. Dia	70		\$ 205.00	\$ 14,350.00
Manhole Barrel Estensions, 4ft. Dia	2		\$ 85.00	\$ 170.00
Sewage Combination Air Valve & Vault, Automatic	2		\$ 3,000.00	\$ 6,000.00

Notes: Payment Terms are, Net 30 days, NO RETAINAGE

SUB TOTAL \$ 88,070.00
 SALES TAX NOT INCLUDED

Signature

Dexter L. Thompson
 Director of Sales

**Tri-State Minority Supplier
Development Council**



TRI-STATE MINORITY SUPPLIER DEVELOPMENT COUNCIL

THIS CERTIFIES THAT

Thompson Distribution Company, Inc.

Has been granted **RECIPROCAL SERVICES** status as a bona fide Minority Business Enterprise as defined by the National Minority Supplier Development Council, Inc.® (NMSDC®), and *certified by the:

***Indiana Minority Supplier Development Council**

**NAICS Code(s): 332996 ; 423320 ; 42339 ; 423510 ; 423620

**Description of their product/services as defined by the North American Industry Classification System (NAICS)

02/18/2013

Issued Date

01/18/2014

Expiration Date

KY5127IN

Certificate Number - Reciprocal Services Only

Ralph E. de Chabert

Ralph de Chabert, TSMSDC Chairman

By using your assigned (through NMSDC only) password, NMSDC Corporate Members may view the original certificate by logging in at: <http://www.nmsdc.org>.



An affiliate of the National Minority Supplier Development Council, Inc.® (NMSDC®)



Environmental
Protection Agency

OMB Control No: 2090-0030
Approved: 05/01/2008
Approval Expires: 01/31/2011

Disadvantaged Business Enterprise Program
DBE Subcontractor Performance Form

NAME OF SUBCONTRACTOR <i>UNITED CONST. & DESIGN GROUP, INC.</i>	PROJECT NAME <i>LFUCG Wolf Run Pump Station Relocation, Contract B</i>
ADDRESS <i>4637 ILLINOIS AVE LOUISVILLE, KY 40213</i>	BID/PROPOSAL NO. <i>Bid No. 3-2013</i>
TELEPHONE NO. <i>502-451-3388</i>	E-MAIL ADDRESS

PRIME CONTRACTOR NAME *HOWELL CONTRACTORS, INC.*

CONTRACT ITEM NO.	ITEM OF WORK OR DESCRIPTION OF SERVICES BID TO PRIME	PRICE OF WORK SUBMITTED TO PRIME CONTRACTOR
<i>4 THRU 20</i>	<i>CONSTRUCTION STAKING</i>	<i>\$12,284.86</i>

Currently certified as an MBE or WBE under EPA's DBE Program? Yes No

MBE - KTC

Signature of Prime Contractor *[Signature]*

Date *3/7/13*

Print Name *PAUL BRICKING*

Title *V.P.*

Signature of Subcontractor *[Signature]*

Date *3/6/2013*

Print Name *Maurice L. Swearing*

Title *President*

*Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

United Construction & Design Group, Inc.
 4637 Illinois Avenue
 Louisville, KY 40213
 Phone:451-3388 Fax:451-3328

Estimate

Date	Estimate #
2/26/2013	521

Survey Layout for

**Wolf Run Pump Station
 Relocation Contract "B"
 Interceptor & Force Main
 Lexington, Kentucky**



Surveyors

MBE Certified by
 KYDOT

Project

Wolf Run Sewer Lexington

Description

Layout of Sanitary Sewer with offsets at 100' stations for Lines "A" and "B".

Stake force main at 100' stations (alignment only)

Required Data Entry

Note:

1. Requires Horizontal and Vertical control to be provided by the design engineer.
2. Requires detailed Construction Drawings and Auto Cad File or equivalent.
3. Does not include staking of any clearing limits, construction easements, temporary easements, or permanent easements.

Rates for changes, additions and re-stake:

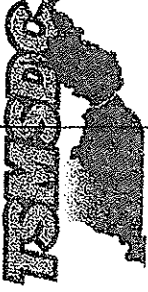
Two man field crew robotic \$150.00/hr
 Office Data Entry/Analysis \$75.00/hr

Note: This is a prevailing wage proposal

Total

\$12,284.86

Tri-State Minority Supplier
Development Council



TRI-STATE MINORITY SUPPLIER DEVELOPMENT COUNCIL

THIS CERTIFIES THAT

United Construction & Design Group, Inc.

Has met the requirements for certification as a bona fide Minority Business Enterprise as defined by the National Minority Supplier Development Council, Inc.® (NMSDC®) and as adopted by the Tri-State Minority Supplier Development Council

NAICS Code(s): 541370

Description of their product/services as defined by the North American Industry Classification System (NAICS)

02/18/2013

Issued Date

02/18/2014

Expiration Date

KY1354

Certificate Number

Ralph E. de Chabert

Ralph de Chabert, TSMDC Chairman

By using your assigned (through NMSDC only) password, NMSDC Corporate Members may view the original certificate by logging in at: <http://www.nmsdc.org>.



An affiliate of the National Minority Supplier Development Council, Inc.® (NMSDC®)

Mike W. Hancock, P.E.
Secretary
Kentucky Transportation Cabinet

Steven L. Beshear
Governor

COMMONWEALTH OF KENTUCKY



Transportation Cabinet
certifies that

UNITED CONSTRUCTION & DESIGN GROUP, INC.

4637 Illinois Avenue, Louisville, KY 40213

has met all eligibility requirements
to participate in the
Disadvantaged Business Enterprise Program

This certificate is issued pursuant to 49 CFR Part 26 and is subject to suspension or revocation.

August 30, 2014

Renewal Date

A handwritten signature in black ink, appearing to be "M. Beshear", written over a horizontal line.

DBE Liaison Officer

Kentucky
UNBRIDLED SPIRIT™



*Louisville and Jefferson County Metro
Human Relations Commission*
Certifies that

United Construction & Design Group, Inc.

Has met all the *COMMONWEALTH OF KENTUCKY, TRANSPORTATION CABINET*
certification requirements and is hereby deemed a Reciprocally Certified

Minority Business Enterprise (MBE)


Carolyn Miller-Cooper
Executive Director

Date of Issue: 02/09/2012
Renewal Date: 02/09/2014



Environmental
Protection Agency

Disadvantaged Business Enterprise Program
DBE Subcontractor Utilization Form

BID/PROPOSAL NO. Bid No. 3-2013	PROJECT NAME LFUCG Wolf Run PS Relocation, Contract B
NAME OF PRIME BIDDER/PROPOSER HOWELL CONTRACTORS, INC	E-MAIL ADDRESS PBRICKING@HOWELLCONTRACTORS.COM
ADDRESS 980 HELEN RUTH DR., FORT WRIGHT, KY 41017	
TELEPHONE NO. 859-331-5457	FAX NO. 859-331-6768

The following subcontractors will be used on this project:

COMPANY NAME, ADDRESS, PHONE NUMBER, AND E-MAIL ADDRESS	TYPE OF WORK TO BE PERFORMED	ESTIMATED DOLLAR AMOUNT	CURRENTLY CERTIFIED AS AN MBE OR WBE?
HTR ENTERPRISES INC PO BOX 72635 LOUISVILLE KY 40272 502-933-5900	BLASTING	\$345,000	MBE
EARTH IMAGES INC 5868 STILLER RD FLOYDS KNOBS IN 47119 812-923-8386	SEEDING/EROSION	\$58,778.46	WBE

I certify under penalty of perjury that the forgoing statements are true and correct. In the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302(c).

Signature of Prime Contractor: [Signature] Date: 3-7-13

Print Name: PAUL BRICKING Title: VICE PRESIDENT

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



Environmental
 Protection Agency

Disadvantaged Business Enterprise Program
 DBE Subcontractor Utilization Form

BID/PROPOSAL NO. Bid No. 3-2013	PROJECT NAME LFUCG Wolf Run PS Relocation, Contract B
NAME OF PRIME BIDDER/PROPOSER HOWELL CONTRACTORS, INC	E-MAIL ADDRESS PDRICKING@HOWELLCONTRACTORS.COM
ADDRESS 980 HELEN RUTH DR., FORT WRIGHT, KY 41017	
TELEPHONE NO. 859-331-5457	FAX NO. 859-331-6768

The following subcontractors will be used on this project:

COMPANY NAME, ADDRESS, PHONE NUMBER, AND E-MAIL ADDRESS	TYPE OF WORK TO BE PERFORMED	ESTIMATED DOLLAR AMOUNT	CURRENTLY CERTIFIED AS AN MBE OR WBE?
THOMPSON DISTRIBUTION 2225 N. COLLEGE INDIANAPOLIS IN 46205 317-923-2581	SUPPLIER	\$88,070	MBE
UNITED CONSTRUCTION & DESIGN GROUP, INC 4637 ILLINOIS AVE LOUISVILLE KY 40213 502-451-3388	CONST. STAKING	\$12,284.86	MBE

I certify under penalty of perjury that the foregoing statements are true and correct. In the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302(c).

Signature of Prime Contractor: [Signature] Date: 3-7-13

Print Name: PAUL BRICKING Title: VILE PRESIDENT

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



Disadvantaged Business Enterprise Program
 DBE Subcontractor Utilization Form

BID/PROPOSAL NO. Bid No. 3-2013		PROJECT NAME LFUCG Wolf Run PS Relocation, Contract B	
NAME OF PRIME BIDDER/PROPOSER HOWELL CONTRACTORS, INC		E-MAIL ADDRESS PBRICKING@HOWELLCONTRACTORS.COM	
ADDRESS 980 HELEN RUTH DR., FORT WRIGHT, KY 41017			
TELEPHONE NO. 859-331-5457		FAX NO. 859-331-6768	

The following subcontractors will be used on this project:

COMPANY NAME, ADDRESS, PHONE NUMBER, AND E-MAIL ADDRESS	TYPE OF WORK TO BE PERFORMED	ESTIMATED DOLLAR AMOUNT	CURRENTLY CERTIFIED AS AN MBE OR WBE?
MESSIER & ASSOCIATES INC PO BOX 21293 LOUISVILLE, KY 21293 502-515-2451	HAULING	\$50,000	MBE
CAPITOL TUNNELING 2214 REFUGEE RD. COLUMBUS, OH 43207 614-444-0255	BORING	\$328,000	NO

I certify under penalty of perjury that the foregoing statements are true and correct. In the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302(c).

Signature of Prime Contractor

Date

Print Name

Title

PAUL BRICKING

VICE PRESIDENT

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



Environmental
 Protection Agency

Disadvantaged Business Enterprise Program
 DBE Subcontractor Utilization Form

BID/PROPOSAL NO. Bid No. 3-2013	PROJECT NAME LFUCG Wolf Run PS Relocation, Contract B
NAME OF PRIME BIDDER/PROPOSER HOWELL CONTRACTORS, INC	E-MAIL ADDRESS PBRICKING@HOWELLCONTRACTORS.COM
ADDRESS 980 HELEN RUTH DR., FORT WRIGHT, KY 41017	
TELEPHONE NO. 859-331-5457	FAX NO. 859-331-6768

The following subcontractors will be used on this project:			
COMPANY NAME, ADDRESS, PHONE NUMBER, AND E-MAIL ADDRESS	TYPE OF WORK TO BE PERFORMED	ESTIMATED DOLLAR AMOUNT	CURRENTLY CERTIFIED AS AN MBE OR WBE?
TELEVAC ENVIRONMENTAL 7611 GARY ST MASON, OH 45040 513-398-4521	VIDEO INSPECTION	18370-	NO
CONCRETE CURING INC 624 BIZZELL DR LEXINGTON, KY 40510 859-233-0367	SAWING	14085-	NO

I certify under penalty of perjury that the foregoing statements are true and correct. In the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302(c).

Signature of Prime Contractor: *Paul Bricking* Date: 3-7-13
 Print Name: PAUL BRICKING Title: VICE PRESIDENT

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



Disadvantaged Business Enterprise Program
 DBE Subcontractor Utilization Form

BID/PROPOSAL NO. Bid No. 3-2013	PROJECT NAME LFUCG Wolf Run PS Relocation, Contract B
NAME OF PRIME BIDDER/PROPOSER HOWELL CONTRACTORS, INC	E-MAIL ADDRESS PBRICKING@HOWELLCONTRACTORS.COM
ADDRESS 980 HELEN RUTH DR., FORT WRIGHT, KY 41017	
TELEPHONE NO. 859-331-5457	FAX NO. 859-331-6768

The following subcontractors will be used on this project:

COMPANY NAME, ADDRESS, PHONE NUMBER, AND E-MAIL ADDRESS	TYPE OF WORK TO BE PERFORMED	ESTIMATED DOLLAR AMOUNT	CURRENTLY CERTIFIED AS AN MBE OR WBE?
GRASSHOOPER PO Box 99902 LOUISVILLE KY 40269 502-266-6312	CLEARING	\$25,250-	NO

I certify under penalty of perjury that the forgoing statements are true and correct. In the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302(c).

T. H. S.
 Signature of Prime Contractor
PAUL BRICKING
 Print Name

3-7-13
 Date
VICE PRESIDENT
 Title

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

BIDDER'S LIST FORM

OWNER Lexington-Fayette Urban County Government

LOAN NO: A10-08

PROJECT TITLE Wolf Run Pump Station Relocation, Contract B

BID DATE: 2/26/2013

Instructions:

1. This list must include all firms that bid or quote on prime or subcontracts under EPA assisted projects (i.e. SRF Projects), included both DBE's and non DBE's.
2. SRF loan participants must keep the Bidders List until the project period for the identified loan has ended and no funds are remaining.
3. This list must be submitted to DOW in the ATA Package. Contract Award Approval cannot be given until this form has been received by SRF.
4. The following information must be obtained from all prime and sub-contractor's. Please complete the form below.

ENTITY'S NAME	MAILING ADDRESS	CONTACT PERSON	PHONE#	E-MAIL ADDRESS	M/WBE?
HTA ENTERPRISES INC	PO BOX 72638 LOUISVILLE, KY 40272	MARCO MURKIN	502-933-5900		MBE
EVERETT IMAGES, INC	5868 STILLER RD FLOYDS KNOXES/IN 47119	JEFF DETRY	812-923-8586		WBE
CAPITAL TUNNELING	2216 REPAIRS RD COLUMBUS, OH 43207	KYLE LUCAS	614-444-0255		NO
TELE-VAC ENVIRONMENTAL	7611 EASY ST MADON, OH 45040	LARRY MC CULLY	513-378-1521		NO
UNITED CONSTRUCTION A DESIGN GROUP, INC	7657 LINDERS AVE. LOUISVILLE KY 40213	TREBOW SANDERS	502-461-3588		MBE
MENZIES ASSOC. INC	P.O. BOX 21293 LOUISVILLE KY 40221	FERNANDO MEDRER	502-515-2451		MBE
CONCRETE CORING CO	624 BIZZELL DR LEXINGTON KY 40510	JIM	859-233-0367		NO
GRASSHOPPERS	PO BOX 99902 LOUISVILLE KY 40269	BILLY CLINDIFF	502-266-6312		NO
BOB RAY COMPANY INC	723 LYNDON LANE LOUISVILLE KY	BOB RAY	502-425-7654		NO
CONSTRUCTION VIDEO MARK	111 E. NEWBERRY ROME, MO. 64465	DAWN H. FRANKLIN	800-346-3542		NO
JACK SEISMIC, INC	3710 7 TH AVE SOUTH OVERMOUNTAIN AL 30222	SANDY NICHOLS	502-261-7225		NO
KENTUCKIAN SEISMIC	1300 GARDNER LANE LOUISVILLE KY 40213	TAM TREVINO-DIXIE	502-384-0397		NO

