

**PART III**

**MEADOWS-NORTHLAND-ARLINGTON NEIGHBORHOOD IMPROVEMENT PROJECT**

**PHASES 6B-1 & 6B-2**

**Bid # 41-2021**

**FORM OF PROPOSAL**

Place: Lexington, Kentucky

Date: 5-19-21

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Lagco Inc.  
1490 Sunshine Lane Lexington, Ky 40505  
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Ky, doing  
business as a corporation  
"a corporation," "a partnership", or "an individual" as applicable

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of the Director of Purchasing  
200 East Main Street, 3rd Floor  
Lexington, KY 40507

Gentlemen:

The bidder, in compliance with your Invitation for Bids for the **MEADOWS-NORTHLAND-ARLINGTON NEIGHBORHOOD IMPROVEMENT PROJECT, PHASES 6B-1 & 6B-2**, Lexington, Kentucky, having examined all the bid documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 5-10-21; Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Addendum No. 2 Date 5-12-21; Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_\_ Date \_\_\_\_\_; Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_\_ Date \_\_\_\_\_; Addendum No. \_\_\_\_\_ Date \_\_\_\_\_

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

**FORM OF PROPOSAL**

**LEGAL STATUS OF BIDDER**

Bidder Lagco Inc.

Date 5-19-21

\* 1. A corporation duly organized and doing business under the laws of the State of Ky, for whom Randy Greene, bearing the official title of President, whose signature is affixed to this Proposal, is duly authorized to execute contracts.

\* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

N/A

\* 3. An individual, whose signature is affixed to this Proposal.

\*(The Bidder shall fill out the appropriate form and strike out the other two.)

**BIDDERS AFFIDAVIT**

Comes the Affiant, Randy Greene, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Randy Greene and he/she is the individual submitting the bid or is the authorized representative of Lagco Inc.  
\_\_\_\_\_, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught. Randy here

**COMMONWEALTH OF KENTUCKY**

**COUNTY OF FAYETTE**

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Randy Greene Randy here on this the 19<sup>th</sup> day of May, 2021

My Commission expires:

8/26/22  
John Nicholas Smith

NOTARY PUBLIC, STATE AT LARGE, KY

*Please refer to Part I, Notice of Requirements for Compliance with LFUCG Taxes and Fees, Item "15" prior to completing this form.*

**FORM OF PROPOSAL**

**BID SCHEDULE – SCHEDULE OF VALUES**  
**MNA PHASE 6B-1 & 6B-2**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein.

All pricing must be submitted correctly in Ionwave to reflect the correct total of your bid. Contractors are responsible for entering this total in the Line Items tab in Ionwave.

The LFUCG’s decision on the bid amount is final.

The contract, if awarded, will be on the basis of materials and equipment specified in the specifications without consideration of possible substitute or “or equal” items.

The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

Lexington-Fayette Urban County Government reserves the right to contract for work associated with the most qualified, responsive, and lowest bidder for MNA Phase 6A-1 and Phase 6B-1.

**Digital Bid Submittals:**

**Bidders shall submit Bid Schedule Excel spreadsheet via Ion Wave. Page P-17 must be fully executed and submitted via IonWave. The excel spreadsheet is available through the LFUCG’s Ion Wave website (<https://lexingtonky.ionwave.net>).**

**CDBG ITEMS**

<b>PHASE 6B-1 – BID GROUP A</b>			
<b>BID ITEM NO.</b>	<b>UNIT DESCRIPTION</b>	<b>UNIT</b>	<b>APPROX. QUANTITY</b>
A-1	Mobilization	LS	1

20,010-

A-2	Performance & Payment Bonds	LS	1	21,760-
A-3	Demobilization	LS	1	500-
A-4	Erosion and Sediment Control and Conformance with SWPPP	LS	1	15,272-
A-5	Project Signs	EA	1	900-
A-6	Clearing & Grubbing	LS	1	66,240-
A-7	Excavation, Milling & Grading	LS	1	109,802-
A-8	Maintenance of Traffic	LS	1	30,015-
A-9	Construction Staking	LS	1	17,250-
A-10	Remove & Replace 6' Wood Privacy Fence and Footings	LF	380 x 40 =	15,200-
A-11	Remove & Replace 4' Chain Link Fence and Footings	LF	490 x 21 =	10,290-
A-12	Bituminous Pavement Milling	TON	55 x 50 =	2,750-

A-13	Asphalt Pavement Surface - Roadway Reconstruction	TON	421	$\times 97 = 40,837 -$
A-14	Asphalt Pavement Binder - Roadway Reconstruction	TON	695	$\times 77 = 53,515 -$
A-15	6" Depth Concrete Residential Driveway Reconstruction	SY	375	$\times 91 = 34,125 -$
A-16	DGA - Residential Driveway Reconstruction	TON	168	$\times 30 = 5,040 -$
A-17	DGA - Sidewalk Reconstruction	TON	405	$\times 30 = 12,150 -$
A-18	DGA - Roadway Reconstruction	TON	2325	$\times 29 = 67,425 -$
A-19	No. 2 Stone Bridging - Roadway Reconstruction (as directed by engineer)	TON	948	$\times 51 = 48,348 -$
A-20	LFUCG Curb & Gutter Type 1 - Roadway Construction	LF	2306	$\times 27 = 62,262 -$
A-21	2" Asphalt Overlay	SY	170	$\times 158 = 26,860 -$
A-22	Biaxial Geogrid Type 2 (as directed by engineer)	SY	1262	$\times 4 = 5,048 -$

A-23	Concrete Steps	CY	3 x 1331 =	3,993-
A-24	Concrete Retaining Wall w/solid PVC thru-wall drain pipe & animal guard /screen	CY	25 x 1469 =	36,725-
A-25	ADA Tactile Warning Tile – Installation ONLY – Pavers provided by Owner	SF	112 x 21 =	2352-
A-26	Geotextile Fabric (as directed by engineer)	SY	1262 x 2 =	2524-
A-27	4-1/2-inch Depth Concrete Walkway with Reinforcement - Residential	SY	20 x 65 =	1300-
A-28	4-1/2-inch Depth Concrete Walkway with Reinforcement - Public Street	SY	1107 x 63 =	69,741-
A-29	6-inch Depth Concrete Driveway Entrance Apron with reinforcement	SY	205 x 101 =	20,705-
A-30	LFUCG Curb Inlet Type "A"	EA	2 x 5,429 =	10,858-
A-31	LFUCG Curb Inlet Type "D"	EA	9 x 5240 =	47,160-
A-32	Modified LFUCG Curb Inlet Type "D"	EA	1 x 5,515	
A-33	LFUCG Manhole Type "A" 4' Dia.	EA	4 x 4779 =	19,116-



A-34	Storm Pipe (15" Dia.)	LF	542 x 152 = 82,384-
A-35	Storm Pipe (18" Dia.)	LF	402 x 154 = 61,908-
A-36	4" Dia. Perforated Drain Pipe (at Curb Inlets and Behind Wall)	LF	600 x 19 = 11,400-
A-37	Tie-In to Existing Storm	EA	1 x 1196-
A-38	Seeding and Protection	SY	140 x 2 = 280
A-39	Sod	SY	1205 x 14 = 16,870-
A-40	Final Cleanup	LS	1 x 8280
A-41	Video Inspection of All New Storm Sewer Piping	LF	944 x 4 = 3776-
A-42	Utility Coordination	LS	1 x 24,150-
A-43	Re-build Wood Handicap Ramp at 333 Blue Grass Avenue	LS	1 x 1,783-

PHASE 6B-1 SANITARY SEWER – BID GROUP A			
BID ITEM NO.	UNIT DESCRIPTION	APPROX.	
		UNIT	QUANTITY
A-44	Maintenance of Traffic	LS	1 x 1380-
A-45	LFUCG Sanitary Manhole Type "A" 4' Dia.	EA	8 x 5665 = 45,320-
A-46	8" PVC Sanitary Sewer	LF	1216 x 140 = 170,240-
A-47	6" Dia. PVC Lateral Sanitary Sewer Pipe	LF	420 x 85 = 35,700-
A-48	Exterior LFUCG Cleanout	EA	21 x 680 = 14,280-
A-49	6" x 8" PVC Tees	EA	21 x 184 = 3,864-
A-50	Cleaning and Video of New Sanitary Sewer Pipe	LF	1216 x 4 = 4,864-

Group A - Total - \$ 1,373,263 -

PHASE 6B-2 – BID GROUP B				
BID ITEM NO.	UNIT DESCRIPTION	UNIT	APPROX. QUANTITY	
B-1	Mobilization	LS	1	14,375-
B-2	Performance & Payment Bonds	LS	1	19,694-
B-3	Demobilization	LS	1	500-
B-4	Erosion and Sediment Control and Conformance with SWPPP	LS	1	21,103-
B-5	Project Signs	EA	1	900-
B-6	Clearing & Grubbing	LS	1	66,240-
B-7	Excavation, Milling & Grading	LS	1	99,354-
B-8	Maintenance of Traffic	LS	1	17,020-
B-9	Construction Staking	LS	1	17,250-
B-10	Remove & Replace 6' Wood Privacy Fence and Footings	LF	51	x 40- 2040-

B-11	Remove & Replace 4' Chain Link Fence and Footings	LF	503 x 21 =	10,563-
B-12	Bituminous Pavement Milling	TON	95 x 50 =	4,750-
B-13	Asphalt Pavement Surface - Roadway Reconstruction	TON	323 x 97 =	31,331-
B-14	Asphalt Pavement Binder - Roadway Reconstruction	TON	455 x 77 =	35,035-
B-15	6" Depth Concrete Residential Driveway Reconstruction	SY	380 x 91 =	34,580-
B-16	DGA - Residential Driveway Reconstruction	TON	196 x 30 =	5,880-
B-17	DGA - Sidewalk Reconstruction	TON	300 x 30 =	9,000-
B-18	DGA - Roadway Reconstruction	TON	1652 x 29 =	47,908-
B-19	No. 2 Stone Bridging - Roadway Reconstruction (as directed by engineer)	TON	673 x 51 =	34,323-
B-20	LFUCG Curb & Gutter Type 1 - Roadway Construction	LF	1834 x 27 =	49,518-
B-21	Biaxial Geogrid Type 2 (as directed by engineer)	SY	809 x 4 =	3,236-

B-22	ADA Tactile Warning Tile – Installation ONLY – Pavers provided by Owner	SF	16 x 21 =	336-
B-23	Geotextile Fabric (as directed by engineer)	SY	809 x 2 =	1,618-
B-24	4-1/2-inch Depth Concrete Walkway with Reinforcement - Residential	SY	33 x 65 =	2,145-
B-25	4-1/2-inch Depth Concrete Walkway with Reinforcement – Public Street	SY	796 x 63 =	50,148-
B-26	6-inch Depth Concrete Driveway Entrance Apron with reinforcement	SY	299 x 101 =	30,199-
B-27	LFUCG Curb Inlet Type “A”	EA	2 x 5,133 =	10,266-
B-28	LFUCG Curb Inlet Type “D”	EA	5 x 5,253 =	26,265-
B-29	LFUCG Surface Inlet Type “B” (36” x 36”)	EA	1 x 3,082 =	3,082-
B-30	Sloped and Flared Headwall – 15”	EA	1 x 1,221 =	1,221-
B-31	Storm Pipe (15” Dia.)	LF	1266 x 178 =	225,348-
B-32	4” Dia. Perforated Drain Pipe (at Curb Inlets and Behind Wall)	LF	350 x 19 =	6,650-

B-33	Tie-In to Existing Storm	EA	1	1,196-
B-34	Seeding and Protection	SY	110 x 2 =	220-
B-35	Sod	SY	950 x 14 =	13,300-
B-36	Gabion Mattress Channel Lining	CY	2 x 782 =	1564-
B-37	Final Cleanup	LS	1	8280-
B-38	Video Inspection of All New Storm Sewer Piping	LF	1266 x 4 =	5,064-
B-39	Utility Coordination	LS	1	9,200-

PHASE 6B-2 SANITARY SEWER – BID GROUP B				
BID ITEM NO.	UNIT DESCRIPTION	APPROX.		
		UNIT	QUANTITY	
B-40	Maintenance of Traffic	LS	1	1380-
B-41	LFUCG Sanitary Manhole Type "A" 4' Dia.	EA	5 x 7,129 =	35,645-

B-42	LFUCG Sanitary Drop Manhole Type "A" 4' Dia.	EA	1	15,072-
B-43	8" PVC Sanitary Sewer	LF	1084 x 160 =	173,440-
B-44	6" Dia. PVC Lateral Sanitary Sewer Pipe	LF	770 x 84 =	64,680-
B-45	Exterior LFUCG Cleanout	EA	32 x 680 =	21,760-
B-46	6" x 8" PVC Tees	EA	32 x 184 =	5,888-
B-47	Cleaning and Video of New Sanitary Sewer Pipe	LF	1084 x 4 =	4,336-

Group B - Total \$ 1,242,903.00

Respectfully Submitted,

BY: Lagco Inc.  
(NAME OF FIRM)

DATE: 5-19-21

BY: J. A. Meene

TITLE: President

OFFICIAL ADDRESS:

1490 Sunshine Lane  
Lexington Ky 40505

J. A. Meene (Seal if Bid is by Corporation)

By signing this form, you agree to all of the terms and associated forms.



**FORM OF PROPOSAL****STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: Lagco, Inc.
2. Permanent Place of Business: 1490 Surfshine Lane, Lexington, KY 40505
3. When Organized: 1-1-1980
4. Where Incorporated: KY
5. Construction Plant and Equipment Available for this Project:  
whatever needed

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Great American Insurance Company (Surety)

Signed: James H. Martin (Representative of Surety)  
James H. Martin, Attorney-in-Fact

8. The following is a list of similar projects performed by the bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
See	Attached	

9. The bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>

10. List Key bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>MAJORITY</u>
Cedar Valley Seeding-	Cane Run Sewer	WBE	?
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low bidder, we will submit to the Owner within 7 calendar days following the Bid Opening, a sworn statement on the Owner's form regarding all current work on hand and under contract, and a statement on the Owner's form of the experience of our officers, office management and field management personnel. Additionally, if requested by the Owner, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the Owner)—all in accordance with the Bid Documents.

Respectfully submitted:

Lagco Inc.  
(Name of Contracting Firm)

BY: J. N. Meen

TITLE: President

DATE 5-19-21, 2016



**FORM OF PROPOSAL**

**AUTHENTICATION OF BID AND STATEMENT OF  
NON-COLLUSION AND NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment, or services described in the Invitation to Bid, designed to limit independent bidding or competition.
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids.
4. That the Bidder is legally entitled to enter the contracts with the Lexington-Fayette Urban County Government and is not in violation of any prohibited conflict of interest.
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky \_\_\_\_\_. *Check the statement applicable.*
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.

**READ CAREFULLY - SIGN IN SPACE BELOW - FAILURE TO SIGN INVALIDATES BID.**

Signed by A. N. Moore  
Firm Lagco Inc.  
Address 1490 Sunshine Lane  
Lexington, Ky 40505  
Telephone 859-293-7473  
Date 5-19-21

**FORM OF PROPOSAL**

**STATEMENT OF EXPERIENCE**

NAME OF INDIVIDUAL: See Attached

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

BY: Lagco Inc.  
Name of Firm

DATE: 5-19-21

BY: J. H. Meier

TITLE: President

\* Include all officers, office management's, Affirmative Action officials, and field management personnel.

Attach separate sheets if necessary.

## EQUAL OPPORTUNITY AGREEMENT

### The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:

*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*

- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government Practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above - mentioned ordinances may cause a contract to be cancelled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

### Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

J. P. Heare  
Signature

Zagco Inc.  
Name of Business



**EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Lagco Inc.

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

Mark Smith has been appointed Equal Employment Compliance (EEOC) Officer and shall be available for counseling, answering of questions in regards to this company policy, and to hear any complaints of discrimination. The EEOC Officer may be reached by calling 859-293-7473.

Signature: A. N. Heere  
(Bidding Contractor)

Title: President

Date: 5-19-21



13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT  
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Lagco Inc. Employee ID: 610964578  
 Address: 1490 Sunshine Lane Lexington Ky 40505 Phone: 859-293-7473  
 Project to be insured: MNA 66-1 & 66-2

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - see provisions	OGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ 1,000,000 2,000,000	Westfield Ins. Co.	A	XIV
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$2,000,000/per occ.	\$ 1,000,000	Westfield Ins. Co.	A	XIV
SC-3, Section 2, Part 4.1 - see provisions	WC	Statutory w/endorsement as noted	\$ 4,000,000	Ky Assoc. Gen. Contractors	A-	

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Agency or Brokerage: Arthur J. Gallagher + Co. Name of Authorized Representative: Sandra Burnash  
 Street Address: 9300 Shelbyville Rd Title: Asst. Manager  
 City: Louisville State: Ky Zip: 40222 Authorized Signature: [Signature]  
 Telephone Number: 502-716-7851 Date: 5-19-21

NOTE: Authorized signatures may be the agents if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

**Lexington-Fayette Urban County Government**  
**MWDBE and Veteran-Owned Business Participation Goals**

**A. GENERAL**

1. The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE) Business Enterprises and Veteran-Owned Businesses as subcontractors or suppliers in their bids.
2. Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned and Woman-Owned Businesses on this contract.
3. It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.
4. The LFUCG has also established 3% of total procurement costs as a Goal for participation of Veteran-Owned Businesses.
5. It is therefore a request of each Bidder to include in its bid the same goal (3%) for Veteran-Owned participation and other requirements as outlined in this section.

**B. PROCEDURES**

1. The successful bidder will be required to report to the LFUCG, the dollar amounts of all purchase orders submitted to Minority-Owned, Woman-Owned or Veteran-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
2. Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
3. For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
  - a. The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
4. The LFUCG will make every effort to notify interested MWDBE subcontractors and Veteran-Owned suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

## C. DEFINITIONS

1. A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
2. A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.
3. A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned and operated by a person or persons that are economically and socially disadvantaged.
4. A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned and operated by a veteran and/or a service-disabled veteran.
5. Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outline in this document will be considered, along with any other relevant factors.

## D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

1. **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MBE/WBE subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
2. Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
3. The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
4. **Failure to submit this information as requested may be cause for rejection of bid.**

## **E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS**

1. Bidders reaching the Goal are required to submit only the “MWDBE Participation Form.” The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated and is to be submitted with the bid.
2. Bidders not reaching the Goal must submit the “MWDBE Participation Form”, the “Quote Summary Form” and a written statement documenting their Good Faith Effort to do so. (If bid includes no MWDBE participation, bidder shall enter “None” on the subcontractor/supplier form). In addition, the bidder may submit the following as proof of Good Faith Efforts to meet the Participation Goal:
  - a. Advertised opportunities to participate in the contract by the in at least two (2) publications of general circulation media; trade and professional publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
  - b. Included documentation of advertising in the above publications with the bidder’s good faith efforts package.
  - c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
  - d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs if subcontracting opportunities.
  - e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms.
  - f. Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s)
  - g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted, and their responses should be a part of the bidder’s good faith efforts documentation.
  - h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
  - i. Followed up initial solicitations by contacting MWDBEs to determine their level of interest.

- j. Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce.
- l. Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.
- o. Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal.
- p. Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.
- q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonably good faith efforts to include MWDBE participation.

**Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.**



# LEXINGTON

## MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller  
Minority Business Enterprise Liaison  
Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)  
859-258-3323

**OUR MISSION:** The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long-term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented Resolution 484-2017 – A Certified Minority, Women and Disadvantaged Business Enterprise ten percent (10%) minimum goal and a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and Certified Service Disabled Veteran – Owned Businesses for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals (a full copy is available in Central Purchasing):

***Certified Disadvantaged Business Enterprise (DBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as defined by 49 CFR subpart 26.

***Certified Minority Business Enterprise (MBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. African American, Asian American/Pacific Islander, Hispanic Islander, Native American/Native Alaskan Indian) as defined in federal law or regulation as it may be amended from time-to-time.

***Certified Women Business Enterprise (WBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

***Certified Veteran-Owned Small Business (VOSB)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.



***Certified Service-Disabled Veteran Owned Small Business (SDVOSB)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term “Certified” shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Purchasing as having the appropriate credentials to make a determination as to the status of the business.

To comply with Resolution 484-2017, prime contractors and minority, women and veteran owned businesses must enroll in the new Diverse Business Management Compliance system, <https://lexingtonky.diversitycompliance.com/>

We have compiled the list below to help you locate certified DBE, MBE, WBE and VOSB certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

<b>Business</b>	<b>Contact</b>	<b>Email Address</b>	<b>Phone</b>
LFUCG	Sherita Miller	<a href="mailto:smiller@lexingtonky.gov">smiller@lexingtonky.gov</a>	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	<a href="mailto:tyra@commercelexington.com">tyra@commercelexington.com</a>	859-226-1625
Tri-State Minority Supplier Diversity Council	Susan Marston	<a href="mailto:smarston@tsmsdc.com">smarston@tsmsdc.com</a>	502-365-9762
Small Business Development Council	Shawn Rogers UK SBDC	<a href="mailto:shawn.rogers@uky.edu">shawn.rogers@uky.edu</a>	859-257-7666
Community Ventures Corporation	Phyllis Alcorn	<a href="mailto:palcorn@cvky.org">palcorn@cvky.org</a>	859-231-0054
KY Transportation Cabinet (KYTC)	Melvin Byne	<a href="mailto:Melvin.bynes2@ky.gov">Melvin.bynes2@ky.gov</a>	502-564-3601
KYTC Pre-Qualification	Shella Eagle	<a href="mailto:Shella.Eagle@ky.gov">Shella.Eagle@ky.gov</a>	502-782-4815
Ohio River Valley Women’s Business Council (WBENC)	Sheila Mixon	<a href="mailto:smixon@orvwbc.org">smixon@orvwbc.org</a>	513-487-6537
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	<a href="mailto:Yvette.Smith@ky.gov">Yvette.Smith@ky.gov</a>	502-564-8099
National Women Business Owner’s Council (NWBOC)	Janet Harris-Lange	<a href="mailto:janet@nwbo.org">janet@nwbo.org</a>	800-675-5066
Small Business Administration	Robert Coffey	<a href="mailto:robertcoffey@sba.gov">robertcoffey@sba.gov</a>	502-582-5971
LaVoz de Kentucky	Andres Cruz	<a href="mailto:lavozydeky@yahoo.com">lavozydeky@yahoo.com</a>	859-621-2106



# LEXINGTON

## LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 41-2021-

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1 Cedar Valley Seeding - 859-749-8006	WBE	Sod	Unit Price estimate - \$ 21,550	100% estimate
2.				
3.				
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Lagoo Inc.

J.P. Meare

Company

Company Representative

Date

Title



**LEXINGTON**

**LFUCG MWDBE SUBSTITUTION FORM**

Bid/RFP/Quote Reference # 41-2021-

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. <i>N/A</i>					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Lagco Inc.  
Company

J.P. Moore  
Company Representative

Date

Title



# LEXINGTON

## MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 41-2021 -

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name <i>Lagco Inc.</i>	Contact Person <i>Jarrod Conn</i>
Address/Phone/Email <i>1490 Sunshme Lane Lexington, ky 40505 859-293-7473 jarrod@lagco.com</i>	Bid Package / Bid Date <i>5-19-21</i>

MWDBE Company Addr	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc.)	Total dollars \$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
<i>Paris ky -</i>	<i>Susan</i>	<i>859-749-8006</i>	<i>5-6-21</i>	<i>Sod-</i>	<i>email -</i>	<i>21,550 estimate</i>	<i>Fem</i>	<i>/</i>

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

*Lagco Inc.*  
Company

*J. A. Heer*  
Company Representative



**LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT**

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran contractors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 41-2021 -  
Total Contract Amount Awarded to Prime Contractor for this Project ?

Project Name/ Contract # <i>MVA GB1 41-2021</i>	Work Period/ From: <i>?</i> To: <i>?</i>
Company Name: <i>Lagco Inc.</i>	Address: <i>1490 Sunshine Lane Lexington Ky 40505</i>
Federal Tax ID: <i>610964578</i>	Contact Person: <i>Jarrod Conn -</i>

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

*Lagco Inc.*  
*J.A. Meier*

Company

Company Representative

Date

Title

**LFUCG STATEMENT OF GOOD FAITH EFFORTS**

Bid/RFP/Quote # 41-2021-

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE business enterprises on the project and can supply the appropriate documentation.

- Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
- Included documentation of advertising in the above publications with the bidder's good faith efforts package.
- Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- Followed up initial solicitations by contacting MWDBEs to determine their level of interest.

- ✓  
\_\_\_\_\_ Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- ✓  
\_\_\_\_\_ Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce
- \_\_\_\_\_ Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- \_\_\_\_\_ Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- \_\_\_\_\_ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.
- \_\_\_\_\_ Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal.
- \_\_\_\_\_ Made efforts to expand the search for MWDBE firms beyond the usual geographic boundaries.
- \_\_\_\_\_ Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

**Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.**

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Company Lagco Inc.

Company Representative Randy Greene

5-19-21  
Date

President -  
Title