

# Education Agreement



## Office of Strategic Healthcare Contracting

### Contract Summary Sheet

College: Medicine  
Department: Toxicology and Cancer Biology  
External Contracting Entity: LFUCG Division of Water Quality  
SHC Team Member or Legal Counsel: Margo Weaver

New       Renewal

Type of Contract:

Off-site       Other  
 On-site

Template belongs to:

External Contracting Entity  
 UK

Were there modifications made to UK standard template?

Yes (SHC team member initials )  
 No

Reviewed by:

- Director, SHC
- Contract Coordinator, SHC
- Dean
- Department Chair
- Clinical Coordinator
- Legal (if non-UK template)
- COM Registrar
- GME
- Chief Medical Officer

Date:

Date:

Date:

Date: 06/21/21

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CLINICAL EDUCATION AGREEMENT  
BETWEEN  
THE UNIVERSITY OF KENTUCKY  
AND  
LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT  
DIVISION OF WATER QUALITY

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This agreement between the University of Kentucky, hereinafter known as “UNIVERSITY”, and Lexington Fayette Urban County Government, Division of Water Quality, hereinafter known as “AFFILIATE”, located at 125 Lisle Industrial, Lexington, KY regarding an affiliation for cooperative pursuit of their respective goals, is entered into this 1<sup>st</sup> day of July, 2021.

I. PURPOSE

The purpose of this document is to establish an agreement between the above parties in regard to their cooperation in the implementation of education experience opportunities for UNIVERSITY students in various fields of healthcare related studies. It defines the basis on which AFFILIATE will supervise students assigned for experiences within said AFFILIATE. The scope of this agreement is focused on the general activities planned and the assignment of responsibility between the parties.

II. UNIVERSITY DIVISIONS

UNIVERSITY is divided into several Colleges, Departments and Divisions comprised of students pursuing degrees in various fields of study. Prior to a student from one of UNIVERSITY’s divisions accepting a rotation at AFFILIATE or any of its facilities, the parties hereto shall execute an addendum to this agreement setting forth any additional terms that may be required either by the division or its accrediting organization.

III. SCHEDULE OF ACTIVITIES

The scheduling of activities for students will be in accordance with the schedule of courses at the UNIVERSITY and the AFFILIATE will be explored and planned with the appropriate personnel of the AFFILIATE. Student assignments, planned by the instructor in consultation with the appropriate supervisory personnel, will be selected in accordance with the particular experience and the opportunities available.

The number of students assigned to AFFILIATE and the times of rotations will be mutually agreed upon.

IV. UNIVERSITY RESPONSIBILITIES

The UNIVERSITY shall:

- A. Maintain responsibility and authority for all academic and educational matters and subsequent evaluation of students.
- B. Retain control of the educational program for students through faculty of the UNIVERSITY. Department chairs of the UNIVERSITY have authority to assure faculty and student access to appropriate resources, including the numbers and types of patients, for medical student education. The UNIVERSITY will regularly assess the learning environment of the Affiliate to identify any positive and negative influences on professional standards and conduct of students.
- C. Provide a statement of philosophy and the objectives of curricular and clinical education.
- D. Assign only such students as are, to the extent of the UNIVERSITY's knowledge, in good health at the time of reporting for assignment at AFFILIATE.
- E. Forward to AFFILIATE a summary of the student's training and experience which shall include at least information on the student's general health, related education, and experience.
- F. Assign students subject to the availability of the AFFILIATE's personnel for teaching and supervising.
- G. Appoint an Academic Coordinator who will be the liaison representative of the UNIVERSITY.
- H. Reserve the right to revoke any assignment prior to the student's entry into the clinical education program at AFFILIATE.
- I. Withdraw any student from the clinical experience at the request of the AFFILIATE if the student's performance is unsatisfactory. To assist UNIVERSITY in its due process obligations to a student removed from the program, AFFILIATE agrees to provide a written statement of the reasons for the withdrawal or exclusion.
- J. Consider suggestions from the AFFILIATE regarding curriculum improvements subject to curriculum committee approval.

V. AFFILIATE RESPONSIBILITIES

The AFFILIATE shall:

- A. For the duration of student rotations, make available appropriate resources to facilitate student education. Students may participate in education or continuing education activities of the AFFILIATE as appropriate.

- B. Provide clinical education experiences as stated in the objectives and philosophy of the UNIVERSITY and supervision appropriate to the academic and clinical level of assigned students.
- C. Complete all applicable forms requested by the UNIVERSITY relating to student evaluation and information regarding the teaching site in a timely manner.
- D. Request the UNIVERSITY to withdraw the student from the assigned clinical education experience, when his/her conduct or performance is deemed unsatisfactory. Said request shall be provided to the UNIVERSITY in writing.
- E. Provide information and/or training on appropriate security and personal safety measures to all UNIVERSITY students and faculty assigned to AFFILIATE in all locations where instruction occurs.
- F. Allow student rotations to take place at all facilities owned and/or operated by AFFILIATE, as appropriate.

## VI. STUDENT RESPONSIBILITIES

The STUDENT shall:

- A. Be permitted AFFILIATE holidays.
- B. Have the responsibility of transportation to and from the AFFILIATE's facilities and on any reasonable special assignment by AFFILIATE.
- C. Provide medical insurance or other financial means to cover him/herself as to expenses which may arise as the result of illness or injury occasioned during his/her period of clinical rotations at the AFFILIATE, acknowledging that since the STUDENT is not an employee of the UNIVERSITY or the AFFILIATE, the STUDENT is not protected by Worker's Compensation and neither the AFFILIATE nor the UNIVERSITY assumes liability for injuries or illness in the absence of a showing of actual negligence on the part of the UNIVERSITY or AFFILIATE or any of their agents.
- D. Complete health forms requested by the AFFILIATE.
- E. Be responsible for following administrative policies of the AFFILIATE.
- F. Notify the AFFILIATE of his/her intended time of arrival and be responsible for reporting to the designated individual at the AFFILIATE on time.
- G. Be responsible for his/her own housing during clinical education assignments.
- H. Be subject to the rules and regulations of the AFFILIATE.
- I. Not be considered an employee of the AFFILIATE, but a student in the clinical education phase of his/her professional education.

VII. STUDENT MEDICAL TREATMENT

In the event that a student is exposed to infectious or environmental hazards, the student will be seen in the AFFILIATE emergency department or employee health department, if any, where the student will be evaluated and initial treatment will be started as appropriate. The student and/or department must notify Student Services at the UNIVERSITY in order to arrange subsequent management of the exposure. Initial medical care and any follow-up care and costs will be provided by the UNIVERSITY or student insurance.

VIII. FINANCE

There are no financial obligations for the function of training activities for either party while students are assigned to the AFFILIATE.

IX. EQUAL OPPORTUNITY

The University of Kentucky complies with the federal and state constitutions, and all applicable federal and state laws, regarding nondiscrimination. The University provides equal opportunities for qualified persons in all aspects of University operations, and does not discriminate on the basis of race, color, national origin, ethnic origin, religion, creed, age, physical or mental disability, veteran status, uniformed service, political belief, sex, sexual orientation, gender identity, gender expression, pregnancy, marital status, genetic information, social or economic status, or whether the person is a smoker or nonsmoker, as long as the person complies with University policy concerning smoking.

X. LIABILITY

The UNIVERSITY is an agency and instrumentality of the Commonwealth of Kentucky, is vested with sovereign immunity and is subject to the provisions of the Kentucky Claims Commission and the statutory provisions of KRS 49.030 seq for the recovery of tort claims made against the School, its agents, officers or employees. The UNIVERSITY is self-insured pursuant to the provisions of KRS 164.939 et seq which provides for the paying of claims or judgments resulting from any tort or breach of duty based on health care services rendered or which should have been rendered by the UNIVERSITY or its agents. Agents of the UNIVERSITY include members of the Board of Trustees, faculty, staff, nurses, volunteer workers, employees, students, physicians and dentists providing care within the scope of their duties or courses of study. In addition, UNIVERSITY maintains professional, commercial excess general and medical malpractice liability insurance for itself, its agents, officers, employees and students.

AFFILIATE is an urban county government created under KRS Chapter 67A and is a county government and a political subdivision of the Commonwealth of Kentucky vested with sovereign immunity on state law damage claims sounding in tort, in contract, or in negligence. Moreover, AFFILIATE is a governmental, self-insured entity with regard to

claims or losses on which it is alleged to be liable. To the extent permitted by law, AFFILIATE shall be responsible for any and all losses, claims, demands, damages, actions, costs and charges for any injury to property or loss of life or property resulting from, directly connected with, arising or alleged to have arisen from AFFILIATE'S activities pursuant to this Agreement, unless such injury or loss arises from the negligence of the UNIVERSITY, its officers, agents, or employees. AFFILIATE is not responsible for the negligent or willful acts or omissions of UNIVERSITY, its officers, agents, or employees.

Nothing herein is intended to operate or act as a waiver of any defense, specifically including but not limited to the defense of sovereign immunity, which may be available to AFFILIATE.

#### XI. RISK MANAGEMENT

AFFILIATE's administrator and UNIVERSITY's Office of Risk Management will inform each other of any lawsuit which is threatened, or any patient care event which causes or contributes to injury or death, and could result in a lawsuit, if a UNIVERSITY student, resident, or faculty member is involved with said patient's care.

#### XII. CORPORATE COMPLIANCE

AFFILIATE affirms that it is not excluded from participation, and is not otherwise ineligible to participate in a "Federal health care program" as defined in 42 U.S.C. section 1320a-7b(f) or in any other state or federal government payment program. In the event that AFFILIATE is excluded from participation, or becomes otherwise ineligible to participate in any such program, during the term of this agreement, AFFILIATE will notify the University of Kentucky Medical Center, Office of Corporate Compliance, 2333 Alumni Park Plaza, Suite 200, Lexington, Kentucky 40517 in writing, by certified mail within 48 hours after said notice, and upon the occurrence of any such event, whether or not appropriate notice is given, the UNIVERSITY, shall immediately terminate this Agreement upon written notice.

Additionally, AFFILIATE affirms that it is aware that UNIVERSITY operates in accordance with a corporate compliance program, employs a Corporate Compliance Officer and operates a 24 hour, seven day a week compliance Comply-Line. AFFILIATE has been informed that a copy of the compliance plan is on file in the Purchasing Office or can be viewed online at <http://ukhealthcare.uky.edu/staff/corporate-compliance/policy-manual> and is encouraged to review the plan from time to time during the term of this Agreement. It is understood that should AFFILIATE be found to have violated the UNIVERSITY's compliance plan, UNIVERSITY can, at its sole discretion, terminate this Agreement upon written notice. AFFILIATE recognizes that it is under an affirmative obligation to immediately report to UNIVERSITY's Corporate Compliance Officer through the comply-line 1-877-898-6072, in writing or directly (859) 323-8002 any actions by an agent or employee of UNIVERSITY which AFFILIATE believes, in good faith, violates an ethical, professional or legal standard.

Nothing in this Agreement contemplates or requires that any party act in violation of federal or state law. Nonetheless, should any term or condition set forth in this Agreement later be credibly alleged, suspected or determined to be illegal, the parties agree to immediately cease the questioned activity and negotiate modification to the effected portion of the Agreement of the Agreement for a thirty (30) day period. If at the end of this period, no compromise can be reached, the Agreement will terminate.

### XIII. HEALTH REQUIREMENTS

The UNIVERSITY requires students to be in compliance with all current University of Kentucky Medical Center immunization and tuberculin testing policies. Proof of inoculations will be provided upon request to AFFILIATE.

### XIV. PERSONAL INFORMATION SECURITY

To the extent AFFILIATE receives Personal Information as defined by and in accordance with Kentucky's Personal Information Security and Breach Investigation Procedures and Practices Act, KRS 61.931, 61.932 and 61.933 (the "Act"), AFFILIATE shall secure and protect the Personal Information by, without limitation: (i) complying with all requirements applicable to non-affiliated third parties set forth in the Act; (ii) utilizing security and breach investigation procedures that are appropriate to the nature of the Personal Information disclosed, at least as stringent as UNIVERSITY's and reasonably designed to protect the Personal Information from unauthorized access, use, modification, disclosure, manipulation, or destruction; (iii) notifying UNIVERSITY of a security breach relating to Personal Information in the possession of AFFILIATE or its agents or subcontractors within seventy-two (72) hours of discovery of an actual or suspected breach unless the exception set forth in KRS 61.932(2)(b)2 applies and AFFILIATE abides by the requirements set forth in that exception; (iv) cooperating with UNIVERSITY in complying with the response, mitigation, correction, investigation, and notification requirements of the Act, (v) paying all costs of notification, investigation and mitigation in the event of a security breach of Personal Information suffered by AFFILIATE; and (vi) at UNIVERSITY's discretion and direction, handling all administrative functions associated with notification, investigation and mitigation.

### XV. NOTICE

Whenever any notice, demand or consent is required by the terms of this agreement, it shall be delivered by mail, postage prepaid to the following addresses:

If to AFFILIATE:                      Lexington Fayette Urban County Government  
    Division of Water Quality  
    125 Lisle Industrial Avenue  
    Lexington, KY 40511

If to UNIVERSITY:                      Office of Strategic Healthcare Contracting  
University of Kentucky  
317 Charles T. Wethington Building  
900 South Limestone  
Lexington, KY 40536-0200

XVI. ELECTRONIC STORAGE AND SIGNATURES

The parties hereto agree and stipulate that the original of this Agreement, including the signature page, may be scanned and stored in a computer database or similar device, and that any printout or other output readable by sight, the reproduction of which is shown to accurately reproduce the original of this document, may be used for any purpose just as if it were the original, including proof of the content of the original writing.

This Agreement may be executed in any number of counterparts, each of which shall be deemed an original, but all of which, when taken together, shall constitute one and the same agreement. Any electronically transmitted signature or photocopy of a signature to this Agreement shall be deemed an original signature to this Agreement and shall have the same force and effect as an original signature. For purposes of this Section, an "electronically transmitted signature" means a manually-signed original signature that is sent via the internet as a "pdf" (portable document format) attached to an e-mail message.

XVII. HIPAA

Each party agrees to abide by all applicable federal and state law and regulations, including, but not limited to, the HIPAA privacy regulations set forth at 45 CFR Parts 160 and 164 (the "Privacy Rule"). Because neither party uses or discloses the Protected Health Information to perform services on behalf of the other, each party acknowledges and agrees that neither is the business associate of the other and therefore the parties are not required to enter into a business associate contract, as these terms are defined in the Privacy Rule.

XVIII. NO AGREEMENT TO REFER

Notwithstanding anything contained herein to the contrary, the parties agree that neither party nor any other person has agreed to make any referral (and neither party nor any other person shall hereafter have any obligation to make any referral). Nor will either party or any other person receive or be entitled to receive any compensation from the other for any referral and the services provided shall at no time be subject to any unlawful agreement, whatsoever arising, express or implied, with respect to the referral of any patient or patients to any person or entity for the provision of health care services.

XIX. FERPA



AFFILIATE shall maintain and protect the confidentiality of student education records as required by the Family Education Rights and Privacy Act (FERPA). Student information that is submitted by the UNIVERSITY and those records generated by AFFILIATE regarding students is confidential and shall be used only for the purposes stated in this Agreement. AFFILIATE agrees not to share or disclose this data with any third-party outside of the purposes stated in this Agreement, unless required to do so by law or other agency regulations. AFFILIATE shall notify the UNIVERSITY in writing immediately upon learning of any such required release of records and also upon learning of any such unauthorized release of the records or the information contained therein. Failure to comply with the requirement to protect the students' education records will result in the cancellation of the Agreement and eligibility to receive any student information from the UNIVERSITY for a period of no less than five (5) years.

AFFILIATE agrees to destroy the student information with permission of the UNIVERSITY in a manner that completely protects the confidentiality of the student information or return the information to the UNIVERSITY upon the expiration of this Agreement.

XX. TERM OF THE AGREEMENT

A. This Agreement shall be effective from the date first written above and shall be reviewed annually by UNIVERSITY's Vice President for Clinical Academic Affairs or designated reviewer, and AFFILIATE's designated reviewer. The duration of the agreement shall be continuous.

B. This agreement is subject to mutually agreed upon modifications. Any modifications shall be in writing and added as attachments to this agreement.

C. This agreement may be terminated by either party provided written notice is sent to the other party at least ninety (90) days prior to the proposed date of termination.

D. Any student currently enrolled in a rotation at the AFFILIATE's facilities at the time a notice of termination is given by either party shall have six (6) months from the time such notice is given to complete their clinical program with AFFILIATE.

XXI. MISCELLANEOUS

A. This Agreement is being executed and delivered in the Commonwealth of Kentucky and shall be construed and enforced in accordance with the laws of the Commonwealth of Kentucky.

B. Nothing contained in this Agreement confers on either party the right to use the other party's name or likeness without prior written permission, or constitutes an endorsement of any commercial product or services by either party.

C. The invalidity or unenforceability of any provision of this Agreement will not affect the validity or enforceability of any other provision.

D. No party may assign or subcontract any portion of this Agreement without the prior written consent of the other party; provided, however, that the AFFILIATE expressly acknowledges that any assignment by the UNIVERSITY to an entity controlled by, controlling, or under common ownership with the UNIVERSITY or arising out of any merger, reorganization or consolidation of the UNIVERSITY shall not require the consent of the AFFILIATE.

E. The individuals executing this Agreement on behalf of the UNIVERSITY and the AFFILIATE hereby represent and warrant that the execution, delivery and performance of this Agreement has been approved by all requisite corporate action and such individuals have been duly authorized to execute and deliver this Agreement.

## XXII. SIGNATURES

In testimony whereof, Witness the duly authorized signatures of the parties hereto to the duplicate originals:

APPROVED FOR:

UNIVERSITY OF KENTUCKY

LEXINGTON FAYETTE  
URBAN COUNTY GOVERNMENT



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Robert S. DiPaola, MD  
Vice President for Clinical Academic Affairs

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Linda Gorton  
Mayor, City of Lexington

ADDENDUM TO  
EDUCATION AGREEMENT BETWEEN  
THE UNIVERSITY OF KENTUCKY  
AND  
LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT  
DIVISION OF WATER QUALITY

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This addendum supplements the Education Agreement, the AGREEMENT, between the University of Kentucky, the UNIVERSITY, and Lexington Fayette Urban County Government Division of Water Quality, AFFILIATE, dated \_\_\_\_\_, 2021.

I. ADDITIONS TO THE AGREEMENT

The following DEPARTMENT shall be added to the AGREEMENT pursuant to Section II. of the AGREEMENT and pertains only to students in the below named DEPARTMENT:

Toxicology and Cancer Biology

Except as modified by this Addendum, the AGREEMENT shall continue in force and effect in accordance with its terms. In the event of a conflict between this Addendum and the AGREEMENT, the provisions of this Addendum shall govern.

II. SIGNATURES

In Testimony whereof, witness the duly authorized signatures of the parties hereto to the duplicate originals.

UNIVERSITY OF KENTUCKY

LEXINGTON FAYETTE  
URBAN COUNTY GOVERNMENT



Robert S. DiPaola, MD  
Vice President of Clinical Academic Affairs

Linda Gorton  
Mayor, City of Lexington

Recommended by:

Isabel Mellon

Digitally signed by Isabel Mellon  
DN: cn=Isabel Mellon, o=University of Kentucky,  
ou=Toxicology and Cancer Biology,  
email=imellon@uky.edu, c=US  
Date: 2021.06.21 14:17:03 -0400

Dr. Isabel Mellon