PART III

Invitation to Bid No. 4-2025

Cadentown School Improvements

1. FORM OF PROPOSAL

	Place: Lexington, Kentucky
	Date: February 13, 2025
The following	Form of Proposal shall be followed exactly in submitting a proposal for this Work.
This Proposal	Submitted by Bishop Carter II
	1591 Winchester Rd Suite 103 Lexington, Ky 40505 (Name and Address of Bidding Contractor)
(Hereinaster Kentucky	called "Bidder"), organized and existing under the laws of the State of, doing business as Allen LLC "a corporation," "a partnership", or an "individual" as applicable.
Co: Lexington-Fayette Urban County Government (Hereinafter called "OWNER") Office of the Director of Purchasing 200 East Main Street, 3rd Floor Lexington, KY 40507	

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the <u>Cadentown School Improvements</u> having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No	Date <u>1-5 - 25</u>
Addendum No. 2	Date <u>2-6-25</u>
Addendum No	Date
Addendum No	Date
Addendum No	Date
Addendum No.	Date
Addendum No	Date
Addendum No.	Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

MAYOR LINDA GORTON



TODD SLATIN
DIRECTOR
DIVISION OF PROCUREMENT

ADDENDUM #1

Bid Number: #4-2025 Date: February 5, 2025

Subject: Cadentown School Improvements Address Inquiries to:

Sondra Stone

sstone@lexingtonky.gov

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

- 1. A second walk-thru is scheduled for February 6, 2025, 11:00 am.
- 2. The IonWave Q&A deadline has been extended to February 6, 2025, 1:00 pm.
- 3. Bid will now be a best value bid with the following scoring criteria:
 - a. Past record and performance on contracts with the Urban County government or other governmental agencies with respect to such factors as control of cost, quality of work and ability to meet schedule. Provide General Contractor's Project Experience with LFUCG in the past 10 years with contact info. 30 points
 - Experience with Historic Preservation projects with respect to cost, quality of work and ability to meet schedule. Provide General Contractor's Project Experience in the past 10 years with contact info. 10 points
 - c. Cost. 60 points
- 4. Information must be submitted with bid to allow scoring of all criteria or bid will be considered nonresponsive.



5. See attached pre-bid sign-in sheet.

Todd Slatin, Director **Division of Procurement**

Iroll Station

All other terms and conditions of the bid and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: Elime Allen LLC
ADDRESS: 1591 Winchester Rd Svite 103 Lexington, KY 40505
SIGNATURE OF BIDDER: 71. Kg ?. Lata - 62





Elaine Allen LLC - Historical Preservation Experience

St. Mary's School - Brannon House, Paris, KY

Elaine Allen was awarded a renovation project of St. Mary's School's Brannon House in November 2023. St Mary's is a catholic school in Paris, KY.

The Brannon House is a 3600 SF structure next door to St. Mary's School located at 1115 Main St. in the district of Paris, KY. The house was gifted/acquired by St. Mary's School to be renovated and turned into an educational facility.

Work of the project included the renovation of the interior of the existing Brannon house, refinishing of the existing walls, flooring and doors, the addition of new electrical, plumbing and mechanical components, addition of a fire protection system, new steel egress stairs and an accessible ramp and railings.

Special consideration and care was made to keep and protect the historical presence of the structure. The existing interior folding doors were kept, some closed and fixed in place, some open, folded back in place to serve as a feature. The wood floor were protected, saved and cleaned. The ground floor restrooms were renovated to be ADA compliant, however one upstairs restroom was repaired and restored to reflect its original state.

Renovation started in January 2024 and was completed in early August 2024. The renovation was an \$840,000 project.



"BUILDING FORWARD"

Elaine Allen LLC – Past Record and Performance on LFUCG or other Governmental Agencies

Agency: Lexington Home Ownership Commission II, Austin Sims - President

Project: Race on Third – Construction of Six Townhomes (431 and 433 East Third Street) on the corner of Race Street and Third Street, Lexington KY.

Summary: Project was completed within budget; quality of work was approved by the owner with warranty. The contract specified the time of completion within 486 calendar days from notice to proceed (May 2022). Substantial completion was in 396 days (June 1, 2023), and final completion was in 426 days (June 30, 2023). Total project bid was \$1,600,628.00



TODD SLATIN
DIRECTOR
DIVISION OF PROCUREMENT

ADDENDUM #2

Bid Number: #4-2025 Date: February 6, 2025

Subject: Cadentown School Improvements

Address Inquiries to:

Sondra Stone

sstone@lexingtonky.gov

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

- Add as an add/alternate, replace existing condenser and air handler to a like size, capacity and voltage, heating and cooling.
- 2. Supply a linear per foot cost for wood siding, matching existing grade and profile.
- 3. Replace rear door sweep.
- 4. Replace deteriorated window glazing. Assume 25% replacement in your price.
- 5. Existing gaps in ceiling and wall wood beaded materials will remain as part of the existing historic fabric. Re-attach loose boards. Repair/re-attach broken corners of boards. Any questionable items shall be brought to the attention of the architect before repair.

6. Install the following Groundhog prevention measure:

- a. Install (3') foot wide black vinyl chain link fence material horizontally four (4") inches to six (6") inches below finish grade around the perimeter of the building
- b. The fence material shall extend to a minimum of three (3') feet from the edge of the building in all directions. The fence material shall be within two (2") inches of the building at all points.
- c. The fence material shall be a two (2") inch vinyl coated nine (9) gauge chain link with knuckle ends on each side of the fence material.
- d. The fence material shall be joined every six (6") inches with nine (9) gauge metal wire ties at each fence material seam.



e. Remove the existing wood bottom skirt board that surrounds the building. Install a new pressure treated skirt board at least 2" above finished grade and extend to below grade to the new chain link fence. Attach the pressure treated wood skirt board to the chain link fence every 12".

> Todd Slatin, Director **Division of Procurement**

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All other terms and conditions of the bid and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: Elaine Allen LLC

ADDRESS: 1591 Winchester Rd. Ste 103 Lexington, KY

SIGNATURE OF BIDDER: 3,-17. L.



2. <u>LEGAL STATUS OF BIDDER</u>

Bidde	r_ Elaine Allen LLC
Date_	February 13, 2025
* 1.	A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Bishop Carter II, bearing the officia title of owner, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.
* 2.	A Partnership, all of the members of which, with addresses are: (Designate general partners as such)
* 3,	An individual, whose signature is affixed to this Bid/Proposal (please print name)

^{*(}The Bidder shall fill out the appropriate form and strike out the other two.)

3. <u>BIDDERS AFFIDAVIT</u>
Comes the Affiant, Bishop Carter II , and after being first duly sworn,
states under penalty of perjury as follows:
1. His/her name is and he/she is the
individual submitting the bid or is the authorized representative of
Elaine Allen LLC , the entity
submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the
time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those
taxes and fees during the life of the contract.
Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Procurement to verify the above-mentioned information with the
Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County
Government Code of Ordinances, known as the "Ethics Act."
6. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to
circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been
aware that his conduct is of that nature or that the circumstance exists.
R. N. 3. Line Carter TV
Signature Printed Name
Operations Manager 2-13-25
Title Date
Company Name Elaine Allen LLC
Address 1591 Winchester Rd. Suite 103 Lexington, KY 40505
Subscribed and sworn to before me by
Owner Operations Manager (Title)
of Flaine, Allen LLC this 13 day of February, 20 25.
(Company Name)
Notary Public II (17 / 2 (
[seal of notary] My commission expires: 11/1// 20 MY COMMISSION EXPIRES 11/17/2026
MINISTE AND SEE THE
AT LAROUMENT

4. <u>BID SCHEDULE – SCHEDULE OF VALUES</u>

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except: If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

Pricing should be submitted in the Line Items tab on IonWave. Pages P-8 must be fully executed and attached to bid submission or bid will be considered non-responsive.

Item No.	Description	Unit	Quantity
1,	Cadentown School Improvements, as per specs.	LS	1

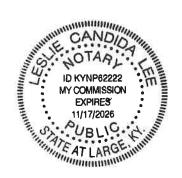
LIST OF UNIT PRICES

The following List of Unit Prices is required by the Owner to be completely executed and submitted with each Bidder's Proposal. Each unit price shall include the furnishing of all labor, materials, supplies and services, and shall include all items of cost, overhead and profit for the Contractor and any Sub-Contractors involved, and shall be used uniformly, without modification, for either additions or deductions from the Bid. These unit prices as established shall also be used to determine the equitable adjustment of the Contract Price in connection with changes, or extra work performed under the Contract. The "Rules of Measurement" set forth in the Special Conditions shall govern where volume units are concerned.

DESCRIPTION OF WORK	UNIT
Roof Sheathing	4'x8' Sheet \$ 29°
2. Fascia Material	Linear Foot * 2 94
3. Soffit Material	Linear Foot \$2 ⁵⁹

Submitted by:	Elaine Allen LLC			
	1591 Winchester Rd Svite 103 Address			
	Lexington, KY 40505 City, State & Zip			
Bid must be signed: (original signature)	Signature of Authorized Company Representative - Title			
	Bishop Carter IL Representative's Name (Typed or Printed)			
	859-368-7790			
	Area Code – Phone –Fax #			
	E-Mail Address			
	L-Man Addi ess			
OFFICIAL ADDRESS:				
1591 Winchester Rd.				
Suite 103				
Lexington, KY 4050	25			
(Seal if Bid is by Corporation)				

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package



5. STATEMENT OF BIDDER'S QUALIFICATIONS

	ollowing statement of the Bidder's qualifications is required to be filled in, executed, and itted with the Proposal:
1.	Name of Bidder: Elaine Allen LLC
2.	Permanent Place of Business: 1591 Winchester Rd. Ste. 103 Lexington, Ky 40505
3.	When Organized: May 1, 2017
4.	Where Incorporated: Lexington, KY (State of Kentucky)
5.	Construction Plant and Equipment Available for this Project:
	N/A
	(Attach Separate Sheet If Necessary)
6.	Financial Condition:
	If specifically requested by the OWNER, the apparent low Bidder is required to submit its
	latest three (3) years audited financial statements to the OWNER'S Division of Procurement within seven (7) calendar days following the bid opening.
7.	In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:
	Granite Re, Inc. (Surety)
	Signed: (Representative of Surety)

	The following is a list of sinnecessary).	milar projects performed by the Bido	der: (Attach separate sheet if
	NAME St. Mary's School-Paris Camel Club Bourbon 'n Toulouse	LOCATION 1/21 Main St. Paris, KY 509 E. Main, Lexivigton KY 1781 Sharkey Way Lexigton, K	CONTRACT SUM 840, 000° 1,092,597° 170,255°
×	<u>NAME</u>	contract and bonded the following pr LOCATION nage 1396 D Trant Blad Lexington, KV	ojects: CONTRACT SUM SUM SUM SUM SUM SUM SUM SUM SUM SUM
0.	List Key Bidder Personnel v	who will work on this Project. POSITION DESCRIPTION	NO. OF YEARS WITH BIDDER
	Bishop Carter II Leslie Lee	Owner, Operations Mgr Project Administrator	7 years 3 years
	Kem Johnson	Superintendent	years
	Chancellor Scott	Project Manager	year

DBE Participation on current bonded projects under contract:

SUBCONTRACTORS
PROJECT
SPECIFIC TYPE)

Premier Restoration
Restoration
DBE
% of WORK
(LIST)

Removation Restoration
100%

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

Respectfully submitted:

Elaine Allen LLC (Name of Contracting Firm)

DATE 2-13-25

6. <u>LIST OF PROPOSED SUBCONTRACTORS</u>

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK-LIST	<u>DBE</u>	Work	% of <u>EACH MAJOR ITEM</u>
Elaine Allers - Roofing			100%
Elaine Allen · Exterior Decking / Woodwar	k		100%
Prophetic Painting - Exterior / Enterior Fa	unting		100%
Comfort Masker Heating & Air - HVAC Elike Service Solutions - Electrical)		100%
Elite Service Solutions - Electrical			100%

LIST OF MATERIALS/ SUPPLIERS

Bidders are hereby advised that this list must be complete and submitted with the Bid.

Listing "as per plans and specifications", will not be considered as sufficient identification. Where more than one "Make or Brand" is listed for any one item, the Owner has the right to select the one to be used.

ltem	Brand Name, Manufacturer and/or Supplier	
As phalt Shungles Lighting	Owens Corning - Oakridge Ethingles Lithonia	
		_
		_



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA, CPSD
Minority Business Enterprise Liaison
Division of Procurement
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program (MBEP) is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long-term economic viability of Lexington-Fayette Urban County Government.

To that end the urban county council adopted and implemented Resolution 272-2024 – a Certified Minority and Women Business Enterprise seventeen percent (17%) minimum goal including minimum subgoals of five percent (5%) for Minority Business Enterprises (MBE) and a subgoal of twelve percent (12%) for Women Business Enterprises (WBE); a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and/or Certified Service- Disabled Veteran Owned Businesses; and a goal of utilizing Disadvantaged Business Enterprises (DBE), where applicable, for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals:

Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. Black American, Asian American, Hispanic American, Native American)

Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

Certified Veteran-Owned Small Business (VOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

Certified Service -Disabled Veteran Owned Small Business (SDVOSB) — a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term "Certified" shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Procurement as having the appropriate credentials to make a determination as to the status of the business. The following certifications are recognized and accepted by the MBEP:

Kentucky Transportation Cabinet (KYTC), Disadvantaged Business Enterprise (DBE)
Kentucky Minority and Women Business Enterprise (MWBE)
Women's Business Enterprise National Council (WBENC)
National Women Business Owners Corporation (NWBOC)
National Minority Supplier Development Council (NMSDC)
Tri-State Minority Supplier Development Council (TSMSDC)
U.S. Small Business Administration Veteran Small Business Certification (VetCert)
Kentucky Service- Disabled Veteran Owned Small Business (SDVOSB)

To comply with Resolution 272-2024, prime contractors, minority and women business enterprises, veteran owned small businesses, and service-disabled veteran owned small businesses must complete monthly contract compliance audits in the Diverse Business Management Compliance system, https://lexingtonky.diversitycompliance.com/

A list of organizations that certify and/or maintain lists of certified businesses (i.e. DBE, MBE, WBE, VOSB and/or SDVOSB) is available upon request by emailing, Sherita Miller, smiller@lexingtonky.gov.



LFUCG MWDBE PARTICIPATION FORM Bid/RFP/Quote Reference # 4-2025

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to the Division of Procurement for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWBE Company, Name, Address, Phone, Email	DBE/MBE WBE/VOSB/SDVOSB	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. NA			35.28	
2.				
3.			/	
4.			/	

The undersigned company representative submits the above list of MDWBE and veteran firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Elaine Allen LLC	Bishop Carter IV
Company	Company Representative
2-13-25	Operations Manager
Date	Title (



LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # 4 - 2015

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to the Division of Procurement for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. **Note: Form required if a subcontractor is being substituted on a contract.**

SUBSTITUTED DBE/MBE/WBE/VOSB Company Name, Address, Phone, Email	DBE/MBE/WBE/VOSB/SDVOSB Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. - NA					a a
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Elaine Allen LLC Company	Company Representative
2-13-25 Date	Operations Manager Title



DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS AND OUTREACH PLANS

As affirmed in Resolution Number 272-2024, the Urban County Council has adopted an annual aspirational goal of utilizing at least seventeen percent (17%) of public funds spend from certain discretionary agreements with certified Minority Business Enterprises (MBEs) and certified Woman Business Enterprises (WBEs); utilizing at least three percent (3%) of public funds from certain discretionary agreements with Certified Veteran-Owned Small Business and Certified Service-Disabled Veteran-Owned Small Businesses (VOSBs); and utilizing Disadvantaged Business Enterprises (DBEs) where applicable. Bidders should make every effort to achieve these goals.

Therefore, as an element of the responsiveness of the bid, all Bidders are required to submit documentation of their good faith and outreach efforts to ensure all businesses, including small and disadvantaged businesses such as minority-, woman-, and veteran-owned businesses, have an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement. Examples of good faith and outreach efforts that satisfy this requirement to encourage the participation of, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs include:

- 1. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women, and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to participate.
- 2. Attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year to meet new small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to partner with on LFUCG contracts and procurements.
- Attended pre-bid/pre-proposal meetings that were scheduled by LFUCG to inform small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs of subcontracting opportunities.
- 4. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs.
- 5. Requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- 6. Contacted organizations that work with small, DBE, MBE, WBE, and VOSB companies for assistance in finding certified DBEs, MBEs, WBEs, VOSB and/or SDVOSBs to work on this project. Those contacted and their responses must be a part of the bidder's outreach efforts documentation.

- 7. Sent written notices, by certified mail, email, or facsimile, to qualified, certified small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- Followed up initial solicitations by contacting small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs via tailored communications to determine their level of interest.
- Provided the interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs with adequate and timely information about the plans, specifications, and requirements of the contract.
- 10. Selected portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs in order to increase the likelihood of subcontracting participation. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate small, DBE, MBE, WBE, VOSB and/or SDVOSB participation, even when the prime contractor may otherwise perform these work items with its own workforce.
- 11. Negotiated in good faith with interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection must be so noted in writing with a description as to why an agreement could not be reached.
- 12. Included documentation of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs that were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
 - a. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a small business', DBE's MBE's, WBE's, VOSB's and/or SDVOSB's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy the participation goals.
- 13. Made an effort to offer assistance to or refer interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal.
- 14. Made efforts to expand the search for small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.

15. Other – any other evidence that the bidder submits that may demonstrate that the bidder has made reasonable efforts to include small, DBE, MBE, WBE, VOSB and/or SDVOSB participation.

Bidder must document, with specificity, each of the efforts it made to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs as subcontractors in the procurement, including the date on which each effort was made, the medium through which each effort was made, and the outcome of each effort.

<u>Note</u>: Failure to submit the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the Bid, regardless of the proposed level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation in the procurement. If the Good Faith and Outreach Effort documentation is not submitted with the bid response, the bid may be rejected.

OUTREACH EFFORTS EVALUATION

Outreach efforts demonstrated by the bidder or respondent will be evaluated on a pass/fail basis.

ATTACHMENT A – SMALL AND DISADVANTAGED, MINORITY-, WOMEN-, AND VETERAN-OWNED BUSINESS OUTREACH PLAN

Proposer Name:	Elaine Allen LLC	Date:	2-13-25	
Project Name:	Cadentown School Improve	Project Number:	4-2025	
Contact Name:	Bishop Carter II	Telephone:	859-368-	1790
Email:	bishop, cartero elauncalle	nlk.com		
disadvantaged but in the procurement	the Minority Business Enterprises sinesses, minority-, women-, vetont process and to promote econormic viability of Lexington-Fayet	eran-, and service-disal mic inclusion as a busi	oled veteran-owned ness imperative ess	businesses
disabled veteran- of contracts with Bidder/Proposer steps to ensure the service-disabled	Il and disadvantaged businesses, owned businesses, must have an public funds spent from certain certifies that it has taken, and if nat small and disadvantaged bus veteran-owned businesses, are performance of any subcontracts	equal opportunity to n discretionary agreen there are further oppo- inesses, including mir provided an equal op	be utilized in the p nents. By submitting portunities will take, nority-, woman-, ver portunity to comp	erformance ng its offer, reasonable eteran-, and
	submitted in response to this cla this form may cause the bid or p		ered in any scored	evaluation.
Is the Bio	lder/ Proposer a certified firm	? Yes ☑ No □		
If yes, indicate a	all certification type(s):			
DBE ☑	MBE ☑	WBE □	SBE □	VOSB/SDVOSB □
	by of the certificate and/or certificate Program's (MBEP) certified		ently listed on the	city's Minority
last two years t	of firms that Bidder/ Proposer in that are minority-owned, workir certification status.		_	
Click or t	ap here to enter text.			

2. Does Bidder/Proposer foresee any subcontracting opportunities for this procurement?



SDVOSB companies.

If no, please explain why in the field below. Do not complete the rest of this form and submit this first page with your bid and/or proposal. Click or tap here to enter text. If yes, please complete the following pages and submit all pages with your bid and/or proposal. Describe the steps Bidder/Proposer took to solicit small and disadvantaged businesses, including MBEs, WBEs, VOSBs, and SDVOSBs, for subcontracting opportunities for this procurement. 3. Check the good faith and outreach efforts the Bidder/Proposer used to encourage the participation of small and disadvantaged businesses including, MBEs, WBEs, VOSBs and SDVOSBs: Bidder placed advertisements in search of prospective small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs for the solicitation. Bidder attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year. Bidder attended pre-bid and/or pre-proposal meetings for this solicitation. Bidder sponsored an Economic Inclusion Outreach event. П Bidder requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG.

Bidder sent written notices to certified small, DBE, MBE, WBE, VOSB and SDVOSB businesses.

Bidder contacted organizations that work with small, DBE, MBE, WBE, VOSB and/or

Bidder followed up to initial solicitations with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB.

Bidder provided small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses interested in performing the solicited work with prompt access to the plans, specifications, scope of work, and requirements of the solicitation.

Bidder made efforts to segment portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, including dividing sub-bid/partnership opportunities into economically feasible units/parcels, to facilitate participation.

Bidder negotiated in good faith with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses.
Bidder provided adequate rationale for rejecting any small business', DBEs, MBEs, WBEs, VOSBs or SDVOSBs for lack of qualifications.
Bidder offered assistance in obtaining bonding, insurance, financial, equipment, or other resources to small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, in an effort to assist them in meeting project requirements.
Bidder made efforts to expand the search for small businesses, DBEs MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
Bidder made other reasonable efforts to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation.

4. Bidder/Proposer must include documentation, including the date each effort was made, the medium through which each effort was made, and the outcome of each effort with this form, regardless of the level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation. Examples of required documentation include copies of email communications, copies of newspaper advertisements, or copies of quotations received from interested small businesses, DBEs, MBEs, VOSBs or SDVOSBs.

Click or tap here to enter text.

For detailed information regarding outreach efforts that satisfy the MBE Program's requirements, please see "Documentation Required for Good Faith Efforts and Outreach Plans" page.

<u>Note</u>: The Bidder/Proposer must be willing to report the identity of each subcontractor and the value of each subcontract to MBEP if awarded a contract from this procurement.

Failure to submit the documentation requested may be cause for rejection of the bid. Bidders may include any other documentation deemed relevant to this requirement, which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the bid, regardless of the proposed level of SBEs, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation in the procurement. If the Good Faith and Outreach Effort Form and associated documentation is not submitted with the bid response, the bid may be rejected.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Elaine Allen LLC	
Company	
2-13-25	
Date	==

Bishoc Carter II
Company Representative
Operations Manager
Title

4870-1925-6809, v₌1

8. <u>AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST</u>

I hereby swear (or affirm) under the penalty for false swearing:

- 1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
- 3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- 5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky _____. Check the statement applicable.
- 6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
- 7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- 8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: Chancellar Scott
POSITION/TITLE: Project Manager
STATEMENT OF EXPERIENCE: Interior / exterior renovations, retail
fit-ups.
NAME OF INDIVIDUAL: Kem Johnson
POSITION/TITLE: <u>Superintendent</u>
STATEMENT OF EXPERIENCE: 40+ years finish carpentry, drywall,
basic electric : plumbing experience
NAME OF INDIVIDUAL:
POSITION/TITLE:
STATEMENT OF EXPERIENCE:
NAME OF INDIVIDUAL:
POSITION/TITLE:
STATEMENT OF EXPERIENCE.

NAME OF INDIVIDUAL:	
POSITION/TITLE:	
STATEMENT OF EXPERIENCE:	
NAME OF INDIVIDUAL:	
POSITION/TITLE:	
STATEMENT OF EXPERIENCE:	

^{*} Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal

for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Signature Elaine Allen LLC
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;
- (3) The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as <u>special conditions</u> in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which

he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Elane Allen LLC

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12.	WORKFORCE	ANALYSIS	FORM

Name of Organization: Elaine Allen LLC

Categories	Total	(N Hispa	hite Not anic or ino)		anic or tino	Blac Afric Amei (N Hispa Lati	an- rican ot nic or	Haw and Pa Isla (N	tive valian Other cific nder Not	His	n (Not panic atino	Ind Alaska (not Hi	erican lian or an Native ispanic or atino	race Hispa	or more s (Not anic or atino	To	otal
		M	F	М	F	M	F	М	F	М	F	м	F	М	F	м	F
Administrators															1		1
Professionals		1				3										4	
Superintendents						2										2	
Supervisors																	
Foremen																	
Technicians																	
Protective Service																	
Para-Professionals					4:	4	1			İ						1	2
Office/Clerical		2		2	1			1						1		6	
Skilled Craft																	
Service/Maintenance																	
Total:		3		2	2	6	1	1						١	1	13	4

Prepared by: Deron Seals, Estimator
(Name and Title)

Date: 2 / 13 / 25

Revised 2015-Dec-15

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT

(Use separate form for each Agency or Brokerage agreeing to provide coverage)

,	4 40505	
	K	i.
	Lexination,	nprovements
	(03	7-
77	- 72d Ste. 103 L	School
Elaune Allen LLC	Wunchester "	Cadentown &
	1591	e insured:
Names Insured:	Address:	Project to be insured:

Employee ID: 82 - 137 3074
Phone: 854 - 368 - 7740

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements and conditions:

Sction Items Coverage Policy Requirements Provided Items Limits Provided Insurer To Insurer To Insurer To Insurer Code Rating Code A.M. Best's Code Rating Code Rating Code Rating Code Rating Code Rating Code Rating S2.000,000 aggregate S2.000,000 aggregate SC-2 - see provisions \$ 1,000,000 per occ. And S1,000,000 per occ. And S1,000,000 per occ. And S1,000,000 per occ. And S2.000,000 per occ.	and this planted them of the transfer of the potential them is an equipment of the community and com	total, metaming an requ	HIGHINGIES, MICH COMMINGES				
CGL S1,000,000 per occ. And S 1,000,000 Shapherd Tush Code	Section		Minimum Limits and	Limits Provided	Name of	A.M. Best's	
CGL \$1,000,000 per occ. And \$22,000,000 aggregate \$1,000,000 AUTO \$1,000,000/per occ. \$1,000,000 WC Statutory w /endorsement as noted \$ 4,500,000 EXC \$5,000.000 per occ. \$ 4,000,000	Items	Coverage	Policy Requirements	To Insured	Insurer		ы
AUTO \$1,000,000/per occ. \$ 1,000,000	SC-2 – see provisions	T9D	\$1,000,000 per occ. And \$2,000,000 aggregate	000'000'1	Shupherd Ins		
WC Statutory w /endorsement as 4, 500 , 600 / 100 er occ. 85,000.000 per occ. 8 4, 000, 000 · 100 / 1	SC-2 – see provisions	AUTO	\$1,000,000/per occ.	_ 000 000 1 _{\$}	Shepherd Ins		
EXC \$5,000.000 per occ. \$ 4,000,000	SC-2 – see provisions	ЭM	Statutory w /endorsement as noted	, 000' 005'h s	Shipherd Ins		
	SC-2 – see provisions	EXC	\$5,000.000 per occ.	8 4,000,000°	Shipherd Ins		

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise Acab H. GrAVES when submitting.

Name of Authorized Representative

SEWIOR HOUSE

when submitting.

Shepherd Insurance
Agency or Brokerage

11420 Bluegrass Pkuny

Street Address

Louswelle, Ky 40299

City

City

State

Zi

Accol H Jacon Machine Authorized Signature 3-13-3025

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

Telephone Number

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: <u>Cadentown School Improvements</u>
BID NUMBER: 4-2025
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT LEXINGTON, KY
All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.
All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.
The undersigned hereby certifies that the firm of <u>Elaine Allen LLC</u> has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.
Elaine Allen LLC
Name of Firm Submitting Bid
Signature of Authorized Official
Operations Manager Title
2-13-25 Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name:	Elaine Allen LLC		
Project:	Cadentown School Improvement	ts	
Printed Name	and Title of Authorized Representative:	Bishop Carter IV, Operations	Mgr.
Signature:	313/43.LIF		
Date:	2-13-25		



Bid Bond

CONTRACTOR:

(Name, legal status and address)
Elaine Allen, LLC

1200 North Limestone Lexington, KY 40505 **OWNER:**

(Name, legal status and address)
LFUCG-Division of Central Purchasing
200 East Main Street , Third Floor, Rm 338
Lexington, KY 40507

BOND AMOUNT: 5% of Amount Bid

SURETY:

(Name, legal status and principal place of business)
Granite Re, Inc.
14001 Quailbrook Dr
Oklahoma City, OK 73134

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

WHITHHOIDIN

PROJECT:

Init.

(Name, location or address, and Project number, if any)

Cadentown School Improvements

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 013th	day of February 2025
(Witness)	functor (Principal) (Seal)
Emma Harney	Graphe Re, Inc. (Surety) (Seal)
(Witness)	(Title) Mellssa Propst, Attorney-in-Fact
CABTION: You should sign an original AIA Co	intract Document, on which this text appears in DED. 4

CAUTION: You should sign an original AIA Contract Document, on which this text appears in RED. An original assures that changes will not be obscured.

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GRANITE RE, INC. GENERAL POWER OF ATTORNEY

Know all Men by these Presents:

That GRANITE RE, INC., a corporation organized and existing under the laws of the State of MINNESOTA and having its principal office at the City of OKLAHOMA CITY in the State of OKLAHOMA does hereby constitute and appoint:

STEVEN M. BAAS; BRIAN L. SEWELL; MELISSA PROPST; ADAM HARRIS; KENNY ALBERT; LAKALA CARTER its true and lawful Attorney-in-Fact(s) for the following purposes, to wit:

To sign its name as surety to, and to execute, seal and acknowledge any and all bonds, and to respectively do and perform any and all acts and things set forth in the resolution of the Board of Directors of the said GRANITE RE, INC. a certified copy of which is hereto annexed and made a part of this Power of Attorney; and the said GRANITE RE, INC. through us, its Board of Directors, hereby ratifies and confirms all and whatsoever the said:

STEVEN M. BAAS; BRIAN L. SEWELL; MELISSA PROPST; ADAM HARRIS; KENNY ALBERT; LAKALA CARTER may lawfully do in the premises by virtue of these presents.

In Witness Whereof, the said GRANITE RE, INC. has caused this instrument to be sealed with its corporate seal, duly attested by the signatures of its President and Assistant Secretary, this 3rd day of January, 2020.

STATE OF OKLAHOMA)

SS:
COUNTY OF OKLAHOMA)



Kenneth D. Whittington, Presiden

Kyle . McDonald, Assistant Secretary

On this 3rd day of January, 2020, before me personally came Kenneth D. Whittington, President of the GRANITE RE, INC. Company and Kyle P. McDonald, Assistant Secretary of said Company, with both of whom I am personally acquainted, who being by me severally duly sworn, said, that they, the said Kenneth D. Whittington and Kyle P. McDonald were respectively the President and the Assistant Secretary of GRANITE RE, INC., the corporation described in and which executed the foregoing Power of Attorney; that they each knew the seal of said corporation; that the seal affixed to said Power of Attorney was such corporate seal, that it was so fixed by order of the Board of Directors of said corporation, and that they signed their name thereto by like order as President and Assistant Secretary, respectively, of the Company.

My Commission Expires: April 21, 2027 Commission #: 11003620



Notary Public

GRANITE RE, INC.

Certificate

THE UNDERSIGNED, being the duly elected and acting Assistant Secretary of Granite Re, Inc., a Minnesota Corporation, HEREBY CERTIFIES that the following resolution is a true and correct excerpt from the July 15, 1987, minutes of the meeting of the Board of Directors of Granite Re, Inc. and that said Power of Attorney has not been revoked and is now in full force and effect.

"RESOLVED, that the President, any Vice President, the Assistant Secretary, and any Assistant Vice President shall each have authority to appoint individuals as attorneys-in-fact or under other appropriate titles with authority to execute on behalf of the company fidelity and surety bonds and other documents of similar character issued by the Company in the course of its business. On any instrument making or evidencing such appointment, the signatures may be affixed by facsimile. On any instrument conferring such authority or on any bond or undertaking of the Company, the seal, or a facsimile thereof, may be impressed or affixed or in any other manner reproduced; provided, however, that the seal shall not be necessary to the validity of any such instrument or undertaking."

IN WITNESS WHEREOF, the undersigned has subscribed this Certificate and affixed the corporate seal of the Corporation this

13th day of 100, 2025.



Kyle P. McDonald, Assistant Secretary

GR0800-1