PART III

Invitation to Bid No. 37-2022

Construction of New Police East Sector Roll Call

1. FORM OF PROPOSAL

	Place: Lexington, Kentucky Date: My 1 2022	
The following Form of 1	Proposal shall be followed exactly in submitting a proposal for this Work.	
This Proposal Submitted	d by Rising Sun Developing, Inc	
•	2555 Palumbo Drive-Suite #110, Lexington, KY 40509	
	(Name and Address of Bidding Contractor)	
(Hereinafter called "Bid business as Rising Su	dder"), organized and existing under the laws of the State of Kentucky in Developing, Inc	, doing
corporation," "ACCOMMEN	ShipKXiXXiXiXiXiXiXiIII as applicable.	
(Hereina Office of 200 East	on-Fayette Urban County Government of the Director of Purchasing Main Street, 3rd Floor on, KY 40507	

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the Construction of New Police East Sector Roll Call having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1	_ Date _ April 7, 2022
Addendum No. 2	_Date _May 3, 2022
Addendum No. 3	Date May 5, 2022
Addendum No. 4	Date May 8, 2022
Addendum No.	_ Date
Addendum No.	_ Date
Addendum No.	
Addendum No.	Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. <u>LEGAL STATUS OF BIDDER</u>

Bidder	Rising Sun Developing, Inc.		
ate_	My 16 2022		
1.	A corporation duly organized and doing business under the laws of the State of	Kentucky fficial title , is duly authoriz	_, 1 :ed
2.	X Patricular all of the meaning at orbital years and a later and a consideration of the control	100e(xxx0d)	
		Order	
	ACCAMINGULANT SHEARING THE MINISTER WAS ACCUMENTABLE OF THE SHEARING T	<	
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3.

BIDDERS AFFIDAVIT

Comes t	he Affian	t, Jason Akers	, and after being first duly sworn, states under			
penalty	of perjury	as follows:				
	1. His/h	r name is Jason Akers	and he/she is the individual			
	submitti	ng the bid or is the authorized representative of Rising Sun Developing, Inc	, the entity submitting the bid			
	(hereina	fter referred to as "Bidder").				
	2. Bidde	r will pay all taxes and fees, which are owed to the	Lexington-Fayette Urban County Government at the time the bid			
	is submi	tted, prior to award of the contract and will maintai	n a "current" status in regard to those taxes and fees during the life			
	of the co	ntract.				
	3. Bidde contract.		Government business license, if applicable, prior to award of the			
	4. Bidde	r has authorized the Division of Central Purchasin	g to verify the above-mentioned information with the Division of			
	Revenue	and to disclose to the Urban County Council that	taxes and/or fees are delinquent or that a business license has not			
	been obt	ained.				
\wedge	5. Bidde	has not knowingly violated any provision of Chap	pter 25 of the Lexington-Fayette Urban County Government Code			
	of Ordin	ances, known as the "Ethics Act."				
11	6. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances					
11	describe	by a statute or ordinance defining an offense, that	a person is aware or should have been aware that his conduct is of			
	that natur	or that the circumstance exists.				
		Jason Aki	ers			
lignatur	V	Printed Nam	e			
resid	ent	516	2011			
Title		Date				
Compan	y Name	Rising Sun Developing, Inc				
Address		2555 Palumbo Drive - Suite #110 Lo	exington, KY 40509			
Subscrib	ed and sv	Jason Akers				
		(Affiant)				
		President				
		(Title)				
ofF		Sun Developing, Inc this 16th	lay of <u>May</u> , 20 22.			
Notary P scal of n		My commission expires:	4MAY24			

KYNP6478

4. BID SCHEDULE - SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except: If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

Enter Bid Schedule pricing in line items tab in IonWave.

Item No.	Description	Unit	Quantity
1,	Base Bid Police East Roll Call construction as per specs.	LS	1
2.	Alternate 1 - Add. Provide and install all work related to providing and installing landscape plantings, mulch, etc. Scope is to include all work to complete the construction of the project as described above and in the construction documents including but not limited to the Landscape plan, and specification 329300 Plants.	LS	1
3.	Alternate 2 - Add. Provide and install all work related to providing Limestone in lieu of Cast Stone. Scope is to include all work to complete the installation of the Limestone as described above and in the construction documents including but not limited to the drawings and specification 044313.13 Anchored Stone Masonry Veneer.	LS	1

Item No.	Description	Unit	Quantity
4.	Alternate 3 — Deduct. Remove all work related to Commissioning and Testing, Adjustments, Balancing (TAB) in the project. Scope is to remove all work to complete these tasks, as described above and in the construction documents. If this is accepted, the commissioning will not be performed and the TAB work will be conducted outside of the contract by LFUCG.	LS	1
5.	Alternate 4 – Deduct. Remove all work related to Enhanced Finishes and replacing with the replacement finishes. Scope is to include all work to adjust and complete the construction of the project as described above and in the construction documents. Contractor to replace wood accent walls and tile walls with paint as specified in the project for walls. Replace Metal Panel Ceilings with acoustical ceiling tile as specified elsewhere in the project.	LS	1

LIST OF UNIT PRICES

The following List of Unit Prices is required by the Owner to be completely executed and submitted with each Bidder's Proposal. Each unit price shall include the furnishing of all labor, materials, supplies and services, and shall include all items of cost, overhead and profit for the Contractor and any Sub-Contractors involved, and shall be used uniformly, without modification, for either additions or deductions from the Bid. These unit prices as established shall also be used to determine the equitable adjustment of the Contract Price in connection with changes, or extra work performed under the Contract. The "Rules of Measurement" set forth in the Special Conditions shall govern where volume units are concerned.

	DESCRIPTION OF WORK	UNIT PRICE	· · · · · · · · · · · · · · · · · · ·
1.	Removal of Unsatisfactory Soil (Offsite Disposal) and Replacement with Satisfactory Material (Imported)	\$45.00	CY
2.	Rock excavation (Offsite Disposal) and Replacement with Satisfactory Material (Imported)	\$205.00	CY
3.	Mass Excavation (Earth) with Offsite Disposal	\$25.00	CY
4.	Trench Excavation-Up to 5' deep (Offsite Disposal) with Satisfactory Compacted Backfill (Imported)	\$48.00	CY
5.	Gypsum Board Assembly Installed and Painted	\$10.45	SF
6.	Concrete Sidewalk with Reinforcing Installed	\$9.00	SF
7.	Emergency Light Fixture Type E, Installed	\$358.00	EA
8.	Concrete Curb Installed	\$36.00	LF

0.1	Rising Sun Developing, Inc
Submitted by:	Firm
	2555 Palumbo Drive - Suite # 110
	Address Lexington, KY/40509
Bid must be signed:	City State & Zip
(original signature)	Signature of Authorized Company Representative - Title
	/Jason Akers - President
	Representative/s Name (Typed or Printed)
	859-543-0205 859-543-0230
	Area Code - Phone -Fax #
	scottc@rsdinc.com
	E-Mail Address
OFFICIAL ADDRESS:	
Same as above	
*	
	(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S OUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal: Rising Sun Developing, Inc. Name of Bidder: 1. 2555 Palumbo Dr - Suite #110, Lexington, KY 40509 2. Permanent Place of Business: 1997 3. When Organized: Kentucky 4. Where Incorporated: 5. Construction Plant and Equipment Available for this Project: Backhoe, Dumptruck (Attach Separate Sheet If Necessary) б. **Financial Condition:** If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by: 7. Fidelity and Deposit Company of Maryland (Surety) Signed: Mary Elliot (Representative of Surety)

Afterney - in - fact

The Bidder has now under contract and bonded the following projects: NAME LOCATION CONTRACT SUM See attached List Key Bidder Personnel who will work on this Project. NO. OF YEARS NAME POSITION DESCRIPTION WITH BIDDER Shane Carpenter Operations Manager 1 years	NAME See attached	LOCATION	CONTRACT SUM
NAME LOCATION CONTRACT SUM See attached List Key Bidder Personnel who will work on this Project. NO. OF YEARS NAME POSITION DESCRIPTION WITH BIDDER			
NO. OF YEARS NAME POSITION DESCRIPTION WITH BIDDER	NAME		
NO. OF YEARS NAME POSITION DESCRIPTION WITH BIDDER			
	•		NO. OF YEARS
	·		

The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

8.

Madison Co Area Technology Center

BONDED

Richmond, KY \$17,134,300

Owner: Madison Co Board of Education - David Gilliam, 859-624-4500

Architect: Clotfelter Samokar, 859-273-3700

Project Responsibilities: RSD is the GC. Managing all scopes of work. Self-performing: excavation, storm drainage, concrete, general trades, (ie: setting door frames, hanging doors, installing hardware, interior blocking, etc.), final grading, landscaping, final cleaning

Madison Co Career Tech Center

BONDED

Berea, KY \$14,114,030

Owner: Madison Co Board of Education - David Gilliam, 859-624-4500

Architect: Clotfelter Samokar, 859-273-3700

Project Responsibilities: RSD is the GC. Managing all scopes of work. Self-performing: excavation, storm drainage, concrete, general trades, (ie: setting door frames, hanging doors, installing hardware, interior blocking, etc.), structural steel erection, final grading, landscaping, final cleaning.

FedEx Chavies

Chavies, KY \$3,671,500 Owner: FedEx

G/C: Brett Construction Co. - Dennis Johnson, 859-255-7901 Engineer: Brown+Kubican - Dan Kubican, 859-543-0933

Project Responsibilities: RSD is the concrete sub-contractor and we are excavating for our work

Hamilton Compressor Station

Hamilton, Michigan

\$1,973,371

Owner: ANR Pipeline Co., 832-320-5000

Prime: Bi-Con Services - Stephen Warner, 740-685-2542

Project Responsibilities: RSD is the concrete sub-contractor and we are excavating for our work

Asbury University Stuce & Courtyard

Wilmore,KY \$6,150,000.00

Owner: Asbury University - Joe Kelly, 859-229-1785

Architect: Necto Architecture - Jay Copley, 859-338-4835

Project Responsibilities: RSD is the GC. Managing all scopes of work. Self-performing: excavation, storm drainage, concrete, general trades, (ie: setting door frames, hanging doors, installing hardware, interior blocking, etc.), structural steel erection, final grading, landscaping, final cleaning. This is a design/build project.

Kentucky Transportation Warehouse

BONDED

Frankfort, KY \$997,000

Owner: State of Kentucky - Bernie Engleman, 502-782-0319

Architect: White | Pollard architects, 859-469-9177

Project Responsibilities: RSD is the GC. Managing all scopes of work. Self-performing: demo, concrete,

installing new metal siding panels, final cleaning.

Lexington Motor Sports

Lexington, KY \$4,500,000

Owner: S&P Real Estate Holdings - Jason Hunter, 859-983-8679

Architect: B&P Design Inc. Architects

859-231-8404

Project Responsibilities: RSD is the GC. Managing all scopes of work. Self-performing: excavation, concrete, general trades, (ie: setting door frames, hanging doors, installing hardware, interior blocking, etc.), structural steel erection, installing new metal siding panels, final cleaning.

Garrison Elementary School Vanceburg, KY

BONDED

\$3,945,580

Owner: Lewis Co Board of Education - Jamie Weddington, 606-796-2811

Architect: RossTarrant Architects, 859-254-4018

Project Responsibilities: RSD is performing general trades scope, ie: concrete, excavating, interior

woodwork, etc

Community Trust Bank

Versailles, KY \$1,359,000

Owner: Community Trust Bank - Brian Hatmaker, 606-433-2675

Architect: Summit Architectural Services, Kevin Gilliam, 859-264-9860

Project Responsibilities: RSD is the GC. Managing all scopes of work. Self-performing: excavation, storm drainage, concrete, general trades, (ie: setting door frames, hanging doors, installing hardware, interior blocking, etc.), structural steel erection, final grading, landscaping, final cleaning.

Bardstown Elementary School

BONDED

Bardstown, KY \$6,970,000

Owner: Bardstown City Schools Bd of Ed

CM: Codell Construction - Jim Codell, 859-744-2222

Architect: Sherman Carter Barnhart - Tony Pham, 859-224-1351

Project Responsibilities: RSD is performing general trades scope, ie: concrete, ICF, interior woodwork,

etc

Completed jobs:

Pikeville Spec Buildings #1 & #2: RSD was the GC. Managing all scopes of work. Self-performing: excavation, storm drainage, concrete, general trades, (ie: setting door frames, hanging doors, installing hardware, interior blocking, etc.), structural steel erection, final grading, landscaping, final cleaning.

Morgan Co High: RSD was the GC. Managing all scopes of work. Self-performing: excavation, storm drainage, concrete, ICF, general trades, (ie: setting door frames, hanging doors, installing hardware, interior blocking, etc.), structural steel erection, final grading, landscaping, final cleaning.

Spencer Co. ELC and Bus Garage: RSD was the GC. Managing all scopes of work. Self-performing: excavation, storm drainage, concrete, general trades, (ie: setting door frames, hanging doors, installing hardware, interior blocking, etc.), structural steel erection, PEMB erection, final grading, landscaping, final cleaning.

Ecofibre: RSD was a general trades contractor. Self-performing: excavation, storm drainage, concrete, general trades, (ie: setting door frames, hanging doors, installing hardware, interior blocking, etc.), structural steel erection, installing exterior metal panels, final grading, landscaping, final cleaning.

Rumpke Transfer Station: RSD was a general trades contractor. Self-performing: excavation, storm drainage, concrete, general trades, (ie: setting door frames, hanging doors, installing hardware, interior blocking, etc.), PEMB erection, final grading, landscaping, final cleaning

DBE Participation on current bonded projects under contract:			
SUBCONTRACTORS (LIST)	PROJECT (SPECIFIC TYPE)	DBE	% of WORK
-			

11.

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

Respectfully submitted:	Λ
Risi	g Sun Developing, Inc
(Name of	Contracting Firm)
BY:	
TITLE:	President
DATE	May 16, 2022

6. <u>LIST OF PROPOSED SUBCONTRACTORS</u>

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK-LIST	<u>DBE</u> Work	% of <u>EACH MAJOR ITEM</u>
APPON ELECTRIC	ELECTRIC	14%
CEC HOWALL	HULC PLUMPING	13%

LIST OF MATERIALS/ SUPPLIERS

Bidders are hereby advised that this list must be complete and submitted with the Bid.

Listing "as per plans and specifications", will not be considered as sufficient identification. Where more than one "Make or Brand" is listed for any one item, the Owner has the right to select the one to be used.

Category	Manufacturer/Supplier	Date Quoted/Good The		
12				
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7. Lexington-Fayette Urban County Government MWDBE PARTICIPATION GOALS

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their bids.
- Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.
- 4) The LFUCG has also established a 3% of total procurement costs as a Goal for participation for of Veteran-Owned Businesses.
- 5) It is therefore a request of each Bidder to include in its bid, the same goal (3%) for Veteran-Owned participation and other requirements as outlined in this section.

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned or Veteran-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE and Veteran-Owned subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as

being at least 51% owned, managed and controlled by one or more women.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned, managed and controlled by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor/supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
 - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission

- of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
- b. Included documentation of advertising in the above publications with the bidders good faith efforts package
- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs and/or Veteran-Owned businesses soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.
- j. Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.
- o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.
- q. Other—any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

<u>Note</u>: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented Resolution 484-2017 – A Certified Minority, Women and Disadvantaged Business Enterprise ten percent (10%) minimum goal and a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and Certified Service Disabled Veteran – Owned Businesses for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals (a full copy is available in Central Purchasing):

Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. African American, Asian American/Pacific Islander, Hispanic Islander, Native American/Native Alaskan Indian) as defined in federal law or regulation as it may be amended from time-to-time.

Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

Certified Veteran-Owned Small Business (VOSB) — a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

Certified Service Disabled Veteran Owned Small Business (SDVOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term "Certified" shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Purchasing as having the appropriate credentials to make a determination as to the status of the business.

To comply with Resolution 484-2017, prime contractors and minority, women and veteran owned businesses must

enroll in the new Diverse Business Management Compliance system, https://lexingtonky.diversitycompliance.com/

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (https://lexingtonky.ionwave.net)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Susan Mareton	smarston@tsmsdc.com	502-365-9762
Small Business Development Council	Shawn Rogers UK SBDC	sbawn.rogers@uky.edu	859-257-7666
Community Ventures Corporation	Phyllis Alcorn	palcom@cvky.org	859-231-0054
KY Transportation Cabinet (KYTC)	Melvin Bynes	Melvin.bynes2@ky.gov	502-564-3601
KYTC Pre-Qualification	Shella Eagle	Shella Eagle@ky.gov	502-782-4815
Ohio River Valley Women's Business Council (WBENC)	Sheila Mixon	smixon@orvwbc.org	513-487-6537
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner's Council (NWBOC)	Janet Harris-Lange	janet@nwboc.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffe y@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozdeky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	production@keynewsjournal.com	859-685-8488



LFUCG MWDBE PARTICIPATION FORM Bid/RFP/Quote Reference #__ 37-2022

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. ARONELEGING 435 SHERHANNERD LOUISVINE, K-140218		ELEGIAL	627,600-	14%
2. COCHOSONISC ALOCOSHELLY MT. STEPLING KY 40354		LUBE PLUMMING	542,600 -	13%
3.				
4.		Λ		

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Rising Sun Developing, Inc	4.1
Company	Company Representative
May 16, 2022	//President
Date	- V

THIS CERTIFIES THAT

B&S Electric Supply Co., Inc. (Corporate Plus)



* Nationally certified by the: GEORGIA MINORITY SUPPLIER DEVELOPMENT COUNCIL

*NAICS Code(s): 423610: 423390: 423840; 423990

* Description of their product/services as defined by the North American Industry Classification System (NAICS)

Issued Date

01/13/2022

Ying McGuire
NMSDC CEO and President

Expiration Date

01/31/2023

AT01387

Certificate Number

Staces Rus

Stacey Key, President and CEO

By using your password (NMSDC issued only), authorized users may log into NMSDC Central to view the entire profile: http://nmsdc.org

Certify, Develop, Connect, Advocate.

* MBEs certified by an Affiliate of the National Minority Supplier Development Council, Inc.®



LFUCG MWDBE SUBSTITUTION FORM Bid/RFP/Quote Reference # 37-2022

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBB Company Name, Address, Phone, Email	MWDBR Formally Contracted/Name, Address, Phone, Email	Work to Be Performed	Resson for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

	ny misrepresentation may result in termination of the contract and/or be subject the eming false statements and false claims.
Rising Sun Developing, Inc	
Company	Company Representative
May 16, 2022	President
Date	Tide

1



The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name Rising Sun Developing, Inc	Contact Person	Scott Connell
Address/Phone/Email	Bid Package / Bid D	ate
2555 Palumbo Dr - Ste #110 Lexington, KY 40509	General Contractor	1
859-543-0205 scottc@rsdinc.com	May 16, 2022	

MWDBE Company Addres	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
					<u>.</u> ,			
		_						
		-						

	IA= Hispanic American/AS = Asian American/Pacific Islander/
NA= Native American)	// /
The undersigned acknowledges that all information contract and/or be subject to applicable Federal and	is accurate. Any misrepresentation may result in termination of the d State laws concerning false statements and claims.
Rising Sun Developing, Inc	
Company	Company Representative
May 16, 2022	President
Date	Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran contractors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Project Name/	Contract #			W	ork Period/	From:		To:
Company Name				Ad	ldress:			
Federal Tax ID:				Co	ntact Person	1:		
Subcontractor Vendor ID (name, address, phone, email	Description of Work	Total Subcontract Amount	% of Total Contrac Awarde to Prim for this Project	ed ie	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date
			NO 300 TO 100			4		
					0.520	**		
					1	- 2		
By the signature be of the representation prosecution under s Rising Sun Dev	ns set forth belo applicable Feder	w is true. Any:	misrepres	ent	tions may res	ult in the termina	tion of the co	and that eac
Company					party Repres	enlative		
May 16, 2022			Р	res	d ent			

LFUCG STATEMENT OF GOOD FAITH EFFORTS Bid/RFP/Quote # 37-2022

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate. Included documentation of advertising in the above publications with the bidders good faith efforts package Attended LFUCG Central Purchasing Economic Inclusion Outreach event Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses Х Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s). Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest. Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation,

Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries. Other—any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation. NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met. The undersigned acknowledges that all information is accurate. Any misrepresentative President to the requirement which is abject to approval by the MBE Liaison. President T		even when the prime contractor may otherwise perform these work items with its own workforce
firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.		businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in
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Rising Sun Developing, Inc Company May 16, 2022 Company Representative President	in termination	of the contract and/or be subject to applicable Federal and State laws concerning
Company May 16, 2022 Company Representative President		
May 16, 2022 // President		
	Company May 16, 202	Company Representative President

8. <u>AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST</u>

I hereby swear (or affirm) under the penalty for false swearing:

- 1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
- 3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- 5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky X... Check the statement applicable.
- 6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
- 7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- 8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: See attached	
POSITION/TITLE:	
STATEMENT OF EXPERIENCE:	
NAME OF INDIVIDUAL:	
POSITION/TITLE:	
STATEMENT OF EXPERIENCE:	
NAME OF INDIVIDUAL:	
POSITION/TITLE:	
STATEMENT OF EXPERIENCE:	
NAME OF INDIVIDUAL:	
POSITION/TITLE:	

100

^{*} Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)



Shane Carpenter

Projects and Operations Manager Shane @rsdinc.com 859-333-8380

Professional Experience

2003-Present

Rising Sun, Projects and Operations Manager

- Coordination of daily operations
- Coordinate all Project Logistics
- Forecast Staffing and Corporate needs
- Coordination and cost analysis for the equipment fleet
- Communicate and build relations with clients and vendors.

Completed Projects

- Morgan County Wellness Center
- Elkhorn City Elem. Renovation/Addition
- New Millard Elem School
- Floyd Central High School
- Scott County Road Maintenance Facility
- Georgetown Police Department
- EKU Football West Side Expansion
- EKU Softball and Baseball Stadiums / Team Buildings
- Boyd County Middle School Renovation
- Ashland Middle School Renovation/ Addition
- (4) Double Kwik Convenient Stores
- Ceredo Compression Station
- Rural Valley Compression Station
- Jupiter Compression Station
- Sherwood Compression
- KM 119 Compression
- Strasburg Compression
- Macnew Compression
- Marietta Compression
- Shamrock Compression
- Southside Elem Addition
- Silverliner Spec Building



- Juniper Health Facility
- Bob Amos Event Center
- Alice Lloyd College Gymnasium
- Kentucky Bank
- Boyd County Athletics

1994-2003

UPS, Facilities and Projects Engineer

- Managed a team of supervisors, Unionized Mechanics and Contractors
- Responsible for day to day physical plant operations in ½ of Kentucky
- Managed capital improvement projects
- Maintained and Audited OSHA and EPA Compliance

Completed Projects

- IDS/EDS Implementation
- PAS System Implementation (Various locations)
- Lexington Building Expansion (1)
- Lexington Parking Expansion (1)
- Centennial Hub Construction Team
- Various Conveyor Modification Projects

James Russell Leake

Home:

155 Old Park Avenue

Lexington Kentucky, 40502

Telephone:

859-550-3494

Born:

August 30, 1959

Professional

Status:

Owner/President - James R. Leake & Son, Inc.

Construction Consultant

Education:

Model Laboratory School 1964-1977 University of Kentucky 1977-1981

Bachelor of General Studies - College of Arts & Sciences

Professional

Experience:

Commercial, Industrial and Institutional Construction, 1982 - present,

Contractor and Consultant

Family:

Married 37 years to Janice Syers Leake

Two adult children: Rudy Leake, (Anne), two Grandchildren Ginny Smith, (Trey), one Grandchild

Business

Enterprises:

James R. Leake & Son, Inc., General Contractor, Richmond, Kentucky -

President

A & J Properties, Real Estate Development, Richmond, Kentucky - Partner C & L Properties, LLC, Real Estate Development, Richmond, Kentucky -

Partner

Civic Positions:

Leadership Madison County- Completed February 1991

Vice President Public Affairs - Richmond Chamber of Commerce - 1994 Vice President Internal Affairs - Richmond Chamber of Commerce -

1996-1997

President Richmond Chamber of Commerce - May 1997 - January 1998

Secretary Richmond Chamber of Commerce - 1999

Appointed to Business Advisory Council for the Federal Reserve Bank of

Cleveland - 2000 - 2002

Appointed to Board of Directors Peoples Bank of Madison County,

Richmond, Kentucky – 2007 - 2020

Appointed to Board of Directors Whitaker Bank Corporation of Kentucky

January 2021

Mason - Lodge #25 Richmond, Kentucky

Scottish Rite of Freemasonry Lexington, Kentucky

Shriner – Lexington, Kentucky Elks Lodge – Richmond, Kentucky



February 16, 2021

Jack Hammons

Resume' of Completed Work

Pikeville College Osteopathic Medicine
Pikeville College Health Professions Facility
Morgan County High School
Boyd County Athletic Complex
Morgan County Wellness Center
Conkwright Elementary
Honey Branch Spec. Building

10. EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer
 to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national
 origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 States:
 - The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:
 - The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In

following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Rising Sun Developing, Inc.

Signature

Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;
- (3) The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the

labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.

The Act further provides:

KRS 45.610. Hiring minorities - Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as <u>special conditions</u> in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of _	Rising Sun Developing, Inc
to assure that all applican	ts for employment and all employees are treated on a fair and equitable
basis without regard to the	eir race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: Provide SW Developing Inc

Categories	Total	White (Not Hispanic or Latino)	of or or or or	Hispanic or Latino	0 0 0 0	Black or African- American (Not Hispanic or Latino	an an	Native Hawaiian and Other Pacific Islander (Not		Asian (Not Hispanic or Latino		American Indian or Alaskan Native (not Hispanic or Latino	Two or more races (Not Hispanic or Latino	nore Not c or	Total	
		Σ	ш	M	ш	Z	ъ	M	F	ш	M	L	Σ	ட	×	ш
Administrators		×														
Professionals	4	×													4	
Superintendents	D	×													Ð	
Supervisors	4	4													Þ	
Foremen								$\frac{1}{1}$								
Technicians								-	\dashv							
Protective Service																
Para-Professionals																
Office/Clerical	2		×													'n
Skilled Craft								-	\dashv							
Service/Maintenance	2	×							_						7	
Total:	M															

Prepared by: R Sent Consell

(Name and Title)

Revised 2015-Dec-15

EVIDENCE OF INSURABILITY 13

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT (Use separate form for each Agency or Brokerage agreeing to provide coverage)

In lieu of obtaining certificates of impurance at this time, the undersigned a	s time, the undersigned	agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance	Insured with the minimum o	overage listed below. These an	e outlined in the In	SUPPLOS
and rest management of rat v (Special Conditions), inclining all require	काडी, कटककार क्या एक					
Section		Minimum Limits and	Limits Provided	Name of	A.M. Beat's	
Items	Coverage	Policy Requirements	To Insured	Insurer		Ruting
SC-2 - see provisions	TEX	\$1,000,000 per occ. And \$2,000,000 aggregate	\$1,000,000 per occ & \$2,000,000 aggregate	\$1,000,000 per occ & FCCI Insurance Company 10178 \$2,000,000 aggregate		4
SC-2 – see provisions	AUTO	\$1,000,000/per occ.	\$1,000,000 CSL	FFCI Insurance Company 10178	10178	4
SC-2 – see provisions	WC	Statutory w tendorsement as noted	\$1,000,000 accident \$1,000,000 limit \$1,000,000 employee	KEMI	10320	₹
SC-2 – see provisions	EXC	\$5,000.000 per occ.	\$ 10,000,000	FCCI Insurance Company 10178	10178	∢

ted otherwise

Section 2 includes required provisions, statements regarding incurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless state when submitting.	Jeff McIntosh	Name of Authorized Representative	Producer	7 / 857	IN THE WAY	Approized Signature	5/6/2022	Date
ing insurance nequirements					40509	άZ		
isions, statements regard	ic.				Ķ	State		
Section 2 includes required prov when submitting.	Energy Insurance Agency, Inc.	Agency or Brokenge	JOSO AIRIIISON DIWE	Street Address	Lexington	Cig.	(859) 273-1549	Telephone Number

NOTE: Authorized signatures may be the agent's if agent has placed insurance durough an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

AWELSH



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 5/6/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED

REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER. IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(les) must have ADDITIONAL INSURED provisions or be endorsed.

If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s). CONTACT Energy Insurance Agency, Inc. P O Box 55268 Lexington, KY 40555 PHONE (A/C, No, Ext): (859) 273-1549 FAX (A/C, No): (859) 272-0075 E-MAIL ADDRESS: eia@energyinsagency.com INSURER(8) AFFORDING COVERAGE NAIC # INSURER A : FCCI Insurance Company 10178 INSURED INSURER B: Kentucky Employers Mutual Ins 10320 INSURER C: Rising Sun Developing Company, Inc. 2555 Palumbo Drive, Suite 110 INSURER D: Lexington, KY 40509 INSURER E INSURER F: **COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:** THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. ADDL SUBR POLICY EFF POLICY EXP **POLICY NUMBER** TYPE OF INSURANCE LIMITS 1,000,000 Α X COMMERCIAL GENERAL LIABILITY EACH OCCURRENCE 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) CLAIMS-MADE | X OCCUR CPP100029118-04 8/30/2021 8/30/2022 E&O \$500,000 10.000 X MED EXP (Any one person) 1,000,000 PERSONAL & ADV INJURY 2,000,000 GEN'L AGGREGATE LIMIT APPLIES PER: GENERAL AGGREGATE 2,000,000 POLICY X 配 X LOC PRODUCTS - COMP/OP AGG 100,000 JOBSITE POLLUT COMBINED SINGLE LIMIT 1.000.000 **AUTOMOBILE LIABILITY** X CA100004746-05 8/30/2021 8/30/2022 ANY AUTO BODILY INJURY (Per person) OWNED AUTOS ONLY SCHEDULED AUTOS **BODILY INJURY (Per accident)** NON-OWNED AUTOS ONLY PROPERTY DAMAGE (Per accident) HIRED AUTOS ONLY 10,000,000 Α X UMBRELLA LIAB OCCUR EACH OCCURRENCE 8/30/2021 8/30/2022 10.000.000 EXCESS LIAB UMB100017145-05 CLAIMS-MADE AGGREGATE 10.000 DED X RETENTION \$ X PER STATUTE В WORKERS COMPENSATION AND EMPLOYERS' LIABILITY 376931 8/30/2021 8/30/2022 1,000,000 ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) E.L. EACH ACCIDENT 1,000,000 E.L. DISEASE - EA EMPLOYEI If yes, describe under DESCRIPTION OF OPERATIONS below 1,000,000 E.L. DISEASE - POLICY LIMIT LEASED/RENTED EQUIP CPP100029118-04 8/30/2021 8/30/2022 \$1000 ded 650,000 DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required) Project: 37-2022 Construction of New Police East Sector Roll Call **CERTIFICATE HOLDER** CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN **LFUCG** ACCORDANCE WITH THE POLICY PROVISIONS. 101 East Vine Street, 2nd Floor Lexington, KY 40507 **AUTHORIZED REPRESENTATIVE**

14. **DEBARRED FIRMS**

PROJECT NAME:	Construction of New Police East Sector Roll Call
BID NUMBER:	37-2022
LEXINGTON-FAYE LEXINGTON, KY	TTE URBAN COUNTY GOVERNMENT
that has been debarred	shall certify that Subcontractors have not and will not be awarded to any firms for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights led, Executive Order 11246 As Amended or any other Federal Law.
with the bid proposal.	lete the attached certification in duplicate and submit both copies to the Owner The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban Division of Community Development, within fourteen (14) days after bid opening.
will not award a subconfirm that has been deba	y certifies that the firm of Rising Sun Developing, Inc. has not and ntract, in connection with any contract award to it as the result of this bid, to any arred for noncompliance with the Federal labor Standards, Title VI of the civil ecutive Order 11246 as amended or any Federal Law.
Hising Sun Develop	
Name of Firm Submitti	
President	
Title	
May 16, 2022	

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name:	Alsing Sun Developing, Inc
Project:	Construction of New Police East Sector Roll Call
Printed Name	Jason Akers and Vitle of Authorized Representative:
Signature:	
Date:	May 16, 2022

Digina Sun Dovolonina Inc



TODD SLATIN DIRECTOR CENTRAL PURCHASING

ADDENDUM #1

Bid Number: #37-2022

Date: April 27, 2022

Subject: Construction of New Police East Sector Roll Call

Address Inquiries to: Sondra Stone sstone@lexingtonky.gov

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

- 1. See attached pre-bid attendees sheet.
- 2. Page AB-3. Section 6. Bid Withdrawal, change to: No bidder may withdraw his bid for a period of **forty-five (45)** calendar days after the closing date for receipt of bids.
- 3. Page IB-4. Section 7. Time of Completion and Liquidated Damages, change to: Bidder must agree also to pay \$500 per day thereafter deadline for substantial completion and \$250.00 per day thereafter deadline for final completion.
- 4. Page GC-20. Section 5.8.3, change to: The CONTRACTOR shall perform on the site, and with its own organization, work equivalent to at least **thirty (30)** percent of the total amount of Work to be performed under the Contract.
- 5. Specification Section 034100 Plant Precast Structural Concrete Subsection 1.3 Performance Requirements
 - a. There are two live loads listed 1) 100 psf (in service design live load) and 2) 340 psf (event loading which includes an impact factor of 2). These loads do not act concurrently, and each case will have different code required deflection requirements.
 - b. The wind load requirements for the storm shelter are listed on sheet S-001. The loads listed in this table are not service loads and shall be reduced as allowed by code for design. Negative values shall be treated as an uplift load case and not a net uplift value.



6. Specification Section: 042000 - Unit Masonry

Page 3, Items B,1,a and B,5,c: remove reference to Spectra Glaze and replace with Belden Brick Company, Glazed, color Starry Night or Wrangler (selected by owner).

7. Specification Section: 075423 - TPO Roofing

Page 4, item 2.1, A should read: Acceptable Manufacturer: Firestone Roofing Products, UltraPty TPO SA Self Adhering (0.60") thick reinforced TPO...

8. Specification Section: 095123 - Acoustical Tile Ceilings

Page 2, Item B,1 should read: Basis-of-Design Product: Subject to compliance with requirements, provide Acoustical Ceiling Armstrong Ultima Health Zone Beveled Tegular 15/16" Item 1936, or comparable product by one of the following:

SUBSTITUTION REQUEST APPROVALS:

- Specification Section: 071113 Bituminous Dampproofing Include as Approved Equal: Henry 788 Cold-applied, emulsified-asphalt dampproofing, Henry Protection Board (as recommended in Data Sheet) does not meet Spec.
- 2. Specification Section: 081113 Hollow Metal Doors and Frames
 - a. Include as Approved Equal: Atlas Metal Products; de La Fontaine Industries and MPI doors and frames.
 - b. *Not approved for Storm Shelter/ICC 500 2014 rated doors.
- 3. Specification Section: 095123 Acoustical Tile Ceilings Include as Approved Equal: CertainTeed Ceilings
- Specification Section: 101400 Signage
 Include as Approved Equal: FASTSIGNS (Louisville Construction Division)
- 5. Specification Section: 087100 Door Hardware
 - a. 2.2 HANGING DEVICES, Section B: add Ives
 - b. 2.3 POWER TRANSFER DEVICES, Section A: add Allegion Connect and Von Duprin EPT-10
 - c. 2.4 DOOR OPERATING TRIM, Section A. 5: add Ives
 - d. 2.9 ELECTRIC STRIKES, Section A, 1; add Von Duprin
 - e. 2.10 CONVENTIONAL EXIT DEVICES, Section C, 1: add Von Duprin WS98/99



f. 2.12 ARCHITECTURAL TRIM, Section Section A, 6: add Ives g. 2.13 DOOR STOPS AND HOLDERS, Section B, 1 and C, 1: add Ives and Glynn Johnson h. 2.15 ELECTRONIC ACCESSORIES, Section B, 1: add Von Duprin PS900

Todd Slatin, Director
Division of Central Purchasing

July St

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

ADDRESS: 2555 PLUMBO De. #110 LEX KY 40500



TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #2

Bid Number: #37-2022 Date: May 3, 2022

Subject: Construction of New Police East Sector Roll Call

Address Inquiries to: Sondra Stone

sstone@lexingtonky.gov

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

- 1. Exterior steps
 - a. Steps require top and front edges painted safety yellow.
 - b. See attached revised C-501.

2. Sheet SU-100

- a. Refer to sheet note 1. Note shall read as follows: "NEW PADMOUNT TRANSFORMER BY UTILITY. CONTRACTOR TO PROVIDE CONCRETE PAD AND METER BASE IN ACCORDANCE WITH UTILITY REQUIREMENTS. TURN PRIMARY CONDUITS UP AT TRANSFORMER PAD."
- b. Refer to sheet note 7. Note shall read as follows: "PROVIDE (2) 4" SCHEDULE 80 PVC AT UTILITY POLE. TURN CONDUITS UP AT UTILITY POLE AND EXTEND UP AS REQUIRED FOR USE BY THE UTILITY COMPANY. FIELD COORDINATE EXACT LOCATION AND ROUTING WITH UTILITY COMPANY."
- C. Refer to sheet note 14. Note shall read as follows: "PROVIDE EMPTY 1-1/2"C FOR FUTURE POWER AND A 1"C FOR FUTURE DATA. PROVIDE BOTH CONDUITS WITH PULL STRING TO HANDHOLE FOR FUTURE ELECTRIC CHARGING STATION CONNECTION(S). EXTEND BOTH CONDUITS AND PENETRATE INTO MECHANICAL ROOM. CAP BOTH CONDUITS WITIN 2' OF ENETERING ROOM."
- d. Refer to sheet note 15. Note shall read as follows: "ROUTE CIRCUIT THROUGH EXTERIOR LIGHTING CONTROL RELAY ADJACENT TO PANEL LPP, SEE DETAILS ON SHEET E506 FOR CIRCUIT AND CONTROL CONNECTIONS.



3. Sheet E-101. Refer to attached sketch E-101A for modified lighting layout. Removal of exit sign from Roll Call 116. Fixture Type F added to comidor above electric water fountain. Ceiling grid recentered to accommodate light fixture.

4. Sheet E-101

- a. Refer to attached sketch E-101A for modified lighting layout and ceiling grid relocation on First Floor Plan.
- b. Refer to attached sketch E-101B for modified lighting layout on Basement Floor Plan.
- c. Addition of sheet note 14. Note to read as follows: "PROVIDE 1" CONDUIT FOR TWO CONDUCTOR WIRE HARNESS FOR ALL TYPE R FIXTURE TYPES EXTENDING TO CONCEALED ACCESSIBLE CEILING SPACE FOR REMOTE DRIVER."

5. Sheet E-201

- Refer to attached sketch E-201A. Removal of disconnect switches serving HP-01, HP-02, HP-03, and HP-04. Note 20 is no longer used. Addition of duplex receptacles to circuit LPP-32.
- b. Refer to attached sketch E-2018. Addition of duplex receptacle in Commander Office 104. Addition of duplex receptacle in Lieutenants Office 105.
- c. Refer to attached sketch E-201C. The relocation of switch serving motorized shades in Roll Call 116.
- d. Refer to sheet note 3. Note to read as follows: "DUAL CONTROLLED DUPLEX RECEPTACLE. TYPICAL OF 6. REFER TO DETAIL ON SHEET E501 FOR MORE INFORMATION."

6. Sheet E-301

- a. Refer to sheet note 3. Note to read as follows: "FLOOR BOX FOR VOIC/DATA WIRING ACCESS TO SYSTEMS FURNITURE. ROUTE DATA CABLES IN A MINIMUM OF A 1" CONDUIT TO DATA ROOM 007.
- b. Refer to sheet note 4. Note to read as follows: "WALL MOUNTED JUNCTION BOX FOR VOICE/DATA WIRING ACCESS TO SYSTEMS FURNITURE. ROUTE DATA CABLES IN A MINIMUM OF A 1" CONDUIT TO DATA ROOM 007."
- c. Refer to general note B. Note to read as follows: "UNLESS NOTED OTHERWISE J-HOOKS ARE ONLY PERMITTED ABOVE CONCEALED AND ACCESSIBLE CEILING."
- d. Refer to attached sketch E-301A. Wall mounted controller for A/V system has been relocated. Addition of sheet note 17.
- e. Refer to sheet note 9. Note to read as follows: "PROVIDE JUNCTION BOX FOR FUTURE VIDEO SURVEILLANCE CAMERA. EXTEND CONDUIT(S) TO NEAREST CONCEALED ACCESSBIBLE CEILING. COORDINATE MOUNTING HEIGHT AND SPECIFIC LOCATION WITH OWNER."
- f. Refer to sheet note 10. Note to read as follows: "PROVIDE VIDEO INTERCOM MASTER STATION WITH DOOR RELEASE CONTROL. AIPHONE JF-2MED SERIES OR EQUAL. COORDINATE WIRING AND CONNECTION REQUIREMENTS TO ELECTRIFIED HARDWARE WITH DOOR HARDWARE SUPPLIER. PROVIDE SYSTEM WIRING PER MANUFACTURER REQUIREMENTS WITH MINIMUM 1" CONDUIT TO ACCESSIBLE CEILING SPACE. PROVIDE



POWER FROM NEAREST RECEPTACLE OR DOOR POWER SUPPLY CIRCIT. VERIFY LOCATION WITH OWNER PRIOR TO ROUGH-IN. PROVIDE SHOP DRAWINGS."

g. Refer to sheet note 11. Note to read as follows: "PROVIDE VIDEO INTERCOM DOOR STATION, SURFACE MOUNTED, AIPHONE JF-DV SERIES OR EQUAL. COORDINATE WIRING AND CONNECTION REQUIREMENTS TO ELECTRIFED DOOR HARDWARE WITH DOOR HARDWARE SUPPLIER. PROVIDE SYSTEM WIRING PER MANUFACTURER REQUIREMENTS. PROVIDE BACKBOX IN ACCORDANCE WITH MANUFACTURER REQUIREMENTS. VERIFY LOCATION WITH OWNER PRIOR TO ROUGH-IN. PROVIDE SHOP DRAWINGS."

7. Sheet E-501

- a. Refer to DATA OUTLET DETAIL Note 2. Note to read as follows: "QUANTITY OF CATEGORY 6
 JACKS AND CABLES PER OUTLET AS INDICATED ON DRAWINGS."
- b. Refer to sketch E-501A. Modification to TYPICAL PLUG LOAD CONTROL RISER.

8. Sheet E-501

Refer to ROLL CALL 116 A/V ONE-LINE DIAGRAM. The final item in the ROLL CALL A/V DIAGRAM LEGEND HAS BEEN MODIFIED TO SAY "CATEGORY 6 CABLE"

9. Sheet E-601

- a. Refer to sketch E-601A. Modification of one line diagram.
- Refer to sketch e-601B. Modification of one line diagram and addition of item 16 to one line – schedule.
- c. Refer to sheet note 4. Note to read as follows: "TRANSFORMER AND METERING BY UTILITY, SEE SITE PLAN SU-100."
- 10. See attached revisions to L- 102 Landscape Planting Plan:
 - a. Added: VUA statistics and requirements per Article 18-3(a)(2) of the Zoning Ordinance.
 - b. Added: General Note #7 noting screening and landscaping shall be provided as required by Article 18 of the Zoning Ordinance.
 - c. Added: General Note #8 noting all plant material shall be installed according to the planting specifications of the LFUCG Planting Manual

11. Sheet M-101

Provide an exhaust grille in Janitor 110 and route 6" duct to ERU exhaust duct.

12. Sheet M-201

- a. Air Separator shown on sheet M-201 shall be a Bell and Gossett (or equivalent) R-3F rated for 80 GPM. ASME rated complete with internal strainer and automatic air vent.
- b. Expansion tank shown on sheet M-201 shall be a Bell and Gossett (or equivalent) B-100. ASME rated, 100 psig pressure rating.



13. Sheet P-101

- a. Provide 3/4 " hot water recirculation line from \$2 in Conference room 117 back to hot water recirculation pump in the lower level per detail. Pump shall be TACO (or equivalent) 8 GPM, 12 feet of heatd 115 Volt pump. Coordinate with electrical contractor to provide connection.
- b. Provide %" Cold water line for make up water station in Mechanical/Electrical 006.
- c. Provide electronic trap primer one in Mechanical/Electrical 006 and one in Janitor 110 to serve the floor drains on each floor. Trap primers shall be Precision Plumbing products PTS, shall be mounted 48" A.F.F., (Sloux chief, Zurn are acceptable). 120 Volt single phase (coordinate power with electrical contractor.
- d. Provide Water Hammer Arrestors (scheduled) on all flush valve fixtures (WC1, WC1A, U1 and U1A).
- 14. Specification Section: 042000 Unit Masonry.
 - a. Page 3, Items B,5,a: Approved Alternate: Belden Brick Company, color 691-693.
 - b. Page 3, Items B,5,b: Approved Alternate: Belden Brick Company, Utility Size, color Black Diamond Velour.
- 15. Specification Section: 074113.16 Standing-Seam Metal Roof Panels.

Include as Approved Equal: PAC-CLAD by Petersen Aluminum Corporation.

16. Specification Section: 074113.16 - Standing-Seam Metal Roof Panels and 075423 - TPO Roof.

Disregard references to substrate board for membrane and metal roofing systems.

17. Specification Section: 081113 - Hollow Metal Doors and Frames

Include as Approved Equal: Republic DF Series and Steelcraft Paladin PW Series ICC 500 rated doors and frames.

18. Specification Section: 087100 – Door Hardware.

Include as Approved Equal: 2.11, B, 1: Door Closers Dorma 8916 Series Closer and Best HD8016 Series Closer.

- 19. Provide Stand alone controller for Pumps P-01 and P-02 that shall control run time and cyle pumps to have the same amount of runtime. The pump controller shall have the ability to schedule pumps on and off and shall include motor start/stop controls. Pump controller shall be able to have schedule for 7 days a week with occupied and unoccupied times.
- 20. Provide standalone control for ERU-01 that shall have the capability of time of day schedule for occupied/unoccupied control.



- 21. Provide stand alone control for all water source heat pumps with the capability of time of day schedule for occupied/unoccupied control.
- 22. All controllers shall have BACnet capabilities to be remotely viewed/controlled if necessary.
- 23. Question: Clarification on Stair 001. The finish schedule for 001 says "Rubber"- that's all. Stair detail A-402 shows the treads to be poured concrete with a brush finish, but nothing about rubber treads. Do just the landings get rubber tile? Do the stairs get rubber treads? Answer: Stair treads and risers to be prepped for vinyl tread / riser coverings. See attached revised detail D3/A-402.
- 24. Question: Is a substrate board required? It's listed in the specs but not in drawings.

 Answer: Disregard references to substrate board for membrane and metal roofing systems in Specification sections 074113.16 Standing-Seam Metal Roof Panels and 075423 TPO Roof.
- 25. **Question**: Can you confirm that the ISO is mechanically attached? **Answer**: Yes
- 26. Question: What gauge is the metal coping?
 Answer: Per Specification Section: 077100 Roof Specialties Section 2.2 Copings, A.2: Formed Aluminum Sheet Coping Caps: Aluminum sheet, 0.040 inch thick.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME:

ADDRESS.

SIGNATURE OF BIDDER



TODD SLATIN DIRECTOR CENTRAL PURCHASING

ADDENDUM#3

Bid Number: #37-2022

Date: May 5, 2022

Subject: Construction of New Police East Sector Roll Call

Address Inquiries to: Sondra Stone sstone@lexingtonkv.gov

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

- 1. Bid opening is extended to May 12, 2022, 2:00 pm.
- 2. Question: Can MC cable be used for branch wiring in walls and to connect lights as daisy chains? Answer: Per Spec section 26 05 33, 2.1 CONDUCTORS AND CABLES Item C the use of MC cable is not permitted.
- 3. Question: Tree Demo- Do we need tree protection fence? Its not real clear on how much to demo, any clarification on the area would be helpful. Answer: Stop at perimeter. Existing trees are 'scrub' trees.
- 4. Question: Curbs-Ptans have details for curbs but none are shown on the lay out sheet? Are there any curbs required on this site? Answer: Curb details are shown for reference as needed. There are no curbs interior of the site.
- 5. Question: Infiltration Trench- The detail shows a #1 stone, what size is this? Would a #2/#3 stone work? Do you expect fabric in the ditch, none-shown on the detail? Answer: Graded rock with no fines. No fabric required in infiltration trench.
- 6. Question: Sanitary-Can you provide a casing detail? The plans show a bore but no casing requirements or details. I'm assuming a 12" or 16" casing would work? Answer: No casing.



- 7. Question: C-001- Note # 8 under grading and drainage says that all excavating material not used can be stockpiled on surrounding sites? What does these mean? Are there other sites owned by the client that we are to use for off-site storage or barrow? Also Note #33 contradicts note #8? Answer: Site should be singular. Location negotiated with contractor.
- 8. Question: Proposal Form- This sheet requires unit price to filled out, does this mean the site is classified or unclassified? Answer: Site is unclassified.
- 9. Question: Geo Report- Do you know where this can be found? The specs show that LE Gregg Associates has on for viewing. Answer: See spec section 003132 Geotechnical Data
- 10. Question: Storm- Line BB just stop right at the edge of a concrete apron, what is the intent of this line? Can we switch line D-G to HP pipe rated as RCP. 12" RCP is hard to get a 15" would be easier. Answer: Refer to Pipe Chart on C-301, note pipe length should be 40' long rather than 20'. Type of pipe of must remain RCP.

SUBSTITUTION REQUEST APPROVALS:

Specification Section: 095123 - Acoustical Tile Ceilings. Include as Approved Equal: Spec Section 2.3, E: CSI Creative, Soundcore Blade Grid UV002.

CHANGES TO SPECIFICATIONS:

101400 - Signage .

1.1, A, 1 - remove reference to Plaques and remove section 2.2 in its entirety.

CHANGES TO DRAWINGS:

Dumpster enclosure detail 122 / C-502: Note width of enclosure widened by 24". See attached details A122/C-502 and D122/C-502.

Todd Slatin, Director
Division of Central Purchasing

2/1 SC

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME

ADDRESS:

SIGNATURE OF BIDDER



TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM #4

Bid Number: #37-2022 Date: May 8, 2022

Subject: Construction of New Police East Sector Roll Call Address Inquiries to:

Sondra Stone

sstone@lexingtonky.gov

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced bid:

- 1. Bid opening is extended to May 16, 2022, 2:00 pm.
- 2. Elevation B3/A-701 shows mailboxes. Is this a buyout by others or laminated by the casework fabricator?
 - a. See included "Change to Specification" section 104000 Misc Building Specialties within this document.
 - b. Not part of casework.
- 3. Is this an AWI QCP Project or do you just want casework fabricators to be AWI Quality certified? AWI Certified, not a Quality Certification Program project.
- 4. Will .018 or 3mm PVC be accepted in lieu of laminate edging on casework edges? This product is more durable & an industry norm. Edge banding to be Laminate.
- 5. Will white thermo-fused melamine be accepted for casework interiors behind door fronts? Per Spec: High-pressure decorative laminate.
- Foundation detail E2 on S301 and wall detail B3 and B5 on S401 are conflicting on the rebar spacing. Clarification will make quite a bit of difference in CMU pricing for this job.
 See comments below.



- 7. Foundation detail D4 on \$301 and wall details E3 and E4 on \$401 are confliction on the grouting. One says fill every cell with grout and one says @ bar locations with grout. Clarification will make quite a bit of difference in CMU pricing for this job.
 - a. Sheet S-301
 - i. Section E2/S-301: The foundation dowels and wall reinforcing shall be spaced at 8" o.c. and fully grouted.
 - ii. Section D4/S-301: The foundation dowels and wall reinforcing shall be spaced at 8" o.c. and fully grouted.
 - b. Refer to Attachment SA4-1 for Revised Section E3/S-401
 - c. Refer to Attachment SA4-2 for Revised Section E4/S-401
 - d. Refer to Attachment SA4-3 for Revised Section B3/S-401
 - e. Refer to Attachment SA4-4 for Revised Section B4/S-401
 - f. Refer to Attachment SA4-5 for Revised Section A1/S-401
 - g. Refer to Attachment SA4-6 for Revised Section A3/S-401
- 8. Can details and specs be provided for bollards on D1/A-201? Other bollards on site refer to the 8" Bollard Detail on A-201, but C-201 states "ENTRY BOLLARDS SEE ARCHITECTURAL PLANS FOR DETAILS AND EXACT LOCATION". There are no details in the Architectural Plans for these bollards. See included "Change to Specification" section 055000 Metal Fabrications within this document.
- 9. Detail A1 on A-304, 2" perimeter insulation is necessary on the outside of the concrete wall instead of inside. Note where 2" Perimeter Insulation as called out on wall is somewhat incorrect, wall is type C1 as indicated on sheet G-102 and has 2" Rigid at interior wall location. The perimeter insulation at slab edge is correct.
- There is not a specification for the blast rated doors
 See spec section 084113 Aluminum-Framed Entrances and Storefronts, section 2.1,B: Basis of Design: Insulgard Security Products TH600. Approved equal: Armortex.
- 11. There is not a specification for the blast rated glazing See spec section 084113 - Aluminum-Framed Entrances and Storefronts, section 2.1,B: Basis of Design: Insulgard Security Products TH600. Approved equal: Armortex.
- 12. There is not a specification for the blast rated storefront

 See spec section 084113 Aluminum-Framed Entrances and Storefronts, section 2.1,B: Basis of
 Design: Insulgard Security Products TH600. Approved equal: Armortex.
- 13. There are not any dimensions for Window M transaction window See detail D1/A-603 for dimension.



- 14. There is a manufacturer listed for Window M but not a model number or basis of design
 - a. Armortex Bullet Resistant Fixed Center Panel Speak Around Window
 - b. Model # WI-TW-HM-NV
- 15. Please clarify what "delegated design" is in Spec 088000 Glazing
 Any potential wind or differential shading concerns. See spec section 088000 Glazing,
 section 1.2

CHANGES TO SPECIFICATIONS:

- 1. 055000 Metal Fabrications: Insert the following
 - 2.11 METAL BOLLARDS (CRASH-RATED WITH COVER)

Crash-rated bollards with Decorative Cover

- a. Basis-of-Design Product: Reliance Foundry Co. Ltd
 - i. Crash-rated bollard model: R-1009-40
 - A. Height: 66 in
 - B. Diameter: 6-5/8 in
 - C. Finish: Prime paint coated
 - D. Installation: Concrete Embedded per manufacturer's instructions.
 - ii. Stainless Steel Bollard Cover model: R-7310
 - A. Height: 44 in
 - B. Diameter: 10-3/4 in
 - C. Finish: Satin No. 6, buffed
- b. Or approved equal.
- 2. 104000 Misc Building Specialties
 - 2.2 MAIL SORTER:

Wall Mounted Mail Sorter With 50 Pockets system shall be provided and installed as manufactured by USA Building Supplies, LLC or approved equivalent.

- a. Provide (2) Wall Mounted Mail Sorter With 50 Pockets, SKU: DM-SR3105WM, dimensions: 31 1/2"H x 62 3/8"W x 12 1/4"D
- b. Color selected by Architect from manufacturers full range.
- c. Provide wood wall blocking with all necessary accessories for a complete install. Comply with manufacturer's written instructions for install.

CHANGES TO DRAWINGS:

Structural

- a. Sheet S-301
 - i. Section E2/S-301: The foundation dowels and wall reinforcing shall be spaced at 8" o.c. and fully grouted.
 - ii. Section D4/S-301: The foundation dowels and wall reinforcing shall be spaced at 8" o.c. and fully grouted.



- b. Refer to Attachment SA4-1 for Revised Section E3/S-401
- c. Refer to Attachment SA4-2 for Revised Section E4/S-401
- d. Refer to Attachment SA4-3 for Revised Section B3/S-401
- e. Refer to Attachment SA4-4 for Revised Section B4/S-401
- f. Refer to Attachment SA4-5 for Revised Section A1/S-401
- g. Refer to Attachment SA4-6 for Revised Section A3/S-401

Todd Slatin, Director
Division of Central Purchasing

July Slatin

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: SD			
ADDRESS: 2555 Phures	DR MIN	LEXKY	450
SIGNATURE OF BIDDER:	- Courte		



Bid Bond

CONTRACTOR:

(Name, legal status and address)

Rising Sun Developing Inc. 2555 Palumbo Dr., Ste 110 Lexington, KY 40509

SURETY:

(Name, legal status and principal place of business)

Fidelity and Denosit Company

Fidelity and Deposit Company of Maryland

1299 Zurich Way Schaumburg, IL 60196

OWNER:

(Name, legal status and address)
Lexington Fayette Urban County Government
200 East Main Street
Lexington, KY 40507

BOND AMOUNT: \$ (5%) Five Percent of the Amount Bid

PROJECT:

(Name, location or address, and Project number, if any)
New Police Roll Call Building
Lexington, KY

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond. between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so

This document has important tegal consequences.

Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable. furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 12thday of May, 2022

Rising Sun Developing Inc.

(Contractor as Brincipal) (Seal)

(Sharety) (Seal)

(Tills), Mary Elliott, Attorney-in-fact

Init.

ZURICH AMERICAN INSURANCE COMPANY COLONIAL AMERICAN CASUALTY AND SURETY COMPANY

FIDELITY AND DEPOSIT COMPANY OF MARYLAND POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Illinois, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Illinois (herein collectively called the "Companies"), by Robert D. Murray, Vice President, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint Stave SIMMONS, Todd CARLISLE, Mary ELLIOTT, Jeffery MCINTOSH and Daniel B. PIKAR, all of Lexington, Kentucky, EACH its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York, the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland, in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereumo subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND. this 5th day of May, A.D. 2020.







ATTEST:
ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND

By: Robert D. Murray Vice President

Dawn & Brown

By: Dawn E. Brown
Secretary

State of Maryland County of Baltimore

On this 5th day of May, A.D. 2020, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, Robert D. Murray, Vice President and Dawn E. Brown, Secretary of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, deposeth and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

my a

Constance A Dunn, Notary Public My Commission Expires: July 9, 2023

EXTRACT FROM BY-LAWS OF THE COMPANIES

"Article V, Section 8, Attorneys-in-Fact. The Chief Executive Officer, the President, or any Executive Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify of revoke any such appointment or authority at any time."

CERTIFICATE

I, the undersigned, Secretary of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 5th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced scal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have bereunto subscribed my name and affixed the corporate seals of the said Companies, this 12th_day of May 2022.







By:

Brian M. Hodges Vice President

Kan Hodge

TO REPORT A CLAIM WITH REGARD TO A SURETY BOND, PLEASE SUBMIT A COMPLETE DESCRIPTION OF THE CLAIM INCLUDING THE PRINCIPAL ON THE BOND, THE BOND NUMBER, AND YOUR CONTACT INFORMATION TO:

Zurich Surety Claims
1299 Zurich Way
Schaumburg, IL 60196-1056
www.reportsfclaims@zurichna.com
800-626-4577