



JUSTIFICATION FOR SOLE SOURCE CERTIFICATION

Sole Source Purchases are defined clearly, based upon a legitimate need, and are limited to a single supplier. Sole source purchases are normally not allowed except when based upon strong technological grounds such as operational compatibility with existing equipment and related parts or upon a clearly unique and/or cost effective feature requirement. The use of sole source purchases must be justified and shall be limited only to those specific instances in which compatibility or technical performance needs are being satisfied.

Sole Source Services are defined as a service provider providing technical expertise of such a unique nature that the service provider is clearly and justifiably the only practicable source available to provide the service. The justification shall be based on the uniqueness of the service, sole availability at the location required, or warranty or defect correction service obligations of the service provider.

This form must be filled out for the request to purchase any good or non-professional service that requires a competitive procurement process (informal quotes (\$1001-\$10,000), formal quotes (\$10,001 - \$19,999.99), or formal bid (\$20,000 or more) as defined in the LFUCG's Purchasing Manual. This form must be completed in its entirety and attached to the purchase requisition.

Note: Sole Source Purchase requests for goods exceeding \$20,000 will require approval by the Urban County Council by submitting an Administrative Review Form. A copy of this form must be signed off by Central Purchasing and attached to the Administrative Review Form.

Requesting Division

Name: **Christina King**

Division/Dept: **Water Quality**

Phone: **(859)425-2441**

Email: **cking@lexingtonky.gov**

Type of Purchase: () Goods/Materials/Equipment (X) Services

Cost: **Total Cost: \$80,673.12**

Sole Source Request for the Purchase of: **Manufacturer recommended/required inspection, service and/or component(s) repair/replacement of Emergency Vapor Scrubber Systems (EVSS) and LoPro Scrubber Systems located at the Town Branch and West Hickman WWTP(s).**

One Time Purchase

To Establish Sole Source Provider Contract
(Subject to annual review and approval by Central Purchasing and/or Urban County Council)

Vendor Information

Business Name: **Evoqua Water Technologies, LLC**

Contact Name: **Kenneth Lowry**

Address: **2650 Tallevast Rd. Sarasota, FL 34243**

Phone: **(941)359-7910 or 1(800)345-3982** Email: **kenneth.lowry@evoqua.com**



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STATEMENT OF NEED: (Add additional pages as needed)

My division/department's recommendation for sole source is based upon an objective review of the product/service required and appears to be in the best interest of the LFUCG. I know of no conflict of interest on my part, and I have no personal involvement in any way with this request. No gratuities, favors, or compromising actions have taken place. Neither has my personal familiarity with particular brands, types of equipment, materials, persons or firms been a deciding influence on my request to sole source this purchase when there are other known suppliers to exist.

1. Describe the product or service and list the necessary features this product provides that are not available from any other option.

Evoqua Water Technologies, LLC is the only factory trained and authorized provider of service contracts for the Emergency Vapor Scrubber Systems and LoPro Scrubber Systems in service at the Town Branch and West Hickman WWTP(s).

The services to be performed by Evoqua are;

- **Evacuate and dispose of existing chemicals contained in each chemical storage tank associated with each scrubber unit, perform acid wash, as needed, and rinse each chemical storage tank**
- **Facilitate the re-fill of the appropriate amount and type of chemical for each scrubber unit and tank**
- **Inspect/calibrate any and all sensors/probes associated with each scrubber unit and repair/replace as necessary**
- **Inspect and repair/replace any and all ancillary components associated with each scrubber unit to ensure each unit is operating as specified by Original Equipment Manufacturer**
- **Provide report/invoice for activities associated with each scrubber unit**

2. Below are eligible reasons for sole source. Check one and describe.

Licensed or patented product or service. No other vendor provides this. Warranty or defect correction service obligations to the consultant. Describe why it is mandatory to use this licensed or patented product or service.

Existing LFUCG equipment, inventory, custom-built information system, custom-built data inventory system, or similar products or programs. Describe. If product is off-the-shelf, list efforts to find other vendors (i.e. web site search, contacting the manufacturer to see if other dealers are available to service this region, etc.)

Uniqueness of the service. Describe.

The LFUCG has established a standard for this manufacturer, supplier, or provider and there is only one vendor. Attach documentation from manufacturer to confirm that only one dealer provides the product.

Factory-authorized warranty service available only from this single dealer. Sole availability at the location required. Describe.



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- Used item with bargain price (describe what a new item would cost). Describe.
- Other – The above reasons are the most common and established causes for an eligible sole source. If you have a different reason, please describe:

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3. Describe efforts to find other vendors or consultants (i.e. phone inquires, web site search, contacting the manufacturer to see if other dealers are available to service region, etc.).

The manufacturer of the aforementioned Emergency Vapor Scrubber Systems and LoPro Scrubber Systems was contacted to identify manufacturer factory trained and/or authorized/recommended service providers to perform recommended service, maintenance and/or repairs. (See attached letter from Evoqua Water Technologies)

4. How is the price offered determined to be fair and reasonable?

(Explain what the basis was for comparison and include cost analyses as applicable.)

As the equipment manufacturer identified Sole Source service provider and after detailed review of scope of work to be perform by Evoqua Water Technologies, LLC the final cost of services to be performed have been determined to be fair and reasonable.

5. Describe any cost savings realized or costs avoided by acquiring the goods/services from this supplier.

For this specific Sole Source Certification request the costs avoided by acquiring the services from this factory trained and authorized service provider is best described by limiting the risk exposure to the Lexington-Fayette Urban County Government by selecting and/or approving the services to be performed by a non-factory trained or authorized service provider that could possibly result in improper/inadequate operation of the Emergency Vapor Scrubber Systems and LoPro Scrubber Systems and thereby posing the risk of serious injury or harm to employees, visitors, the surrounding communities and/or the environment.