

PURCHASE OF SERVICE AGREEMENT

THIS PURCHASE OF SERVICE AGREEMENT (hereinafter "Agreement"), made and entered into on the first day of July, 201, by and between the **LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT**, an urban county government of the COMMONWEALTH OF KENTUCKY created pursuant to KRS chapter 67A (hereinafter "Government"), 200 East Main Street, Lexington, Kentucky 40507, on behalf of its Department of Social Services (hereinafter "Sponsor"), and, **Nursing Home Ombudsman of the Bluegrass, Inc.** with offices located at 3138 Custer Drive, Suite 110, Lexington, Kentucky 40517, (hereinafter "Organization").

WITNESSETH

That for and in consideration of the mutual promises and covenants herein expressed, the Government and the Organization agree as follows:

1. Government hereby retains Organization for the period beginning on **July 1, 201**, and continuing for a period of twelve (12) months from that date unless within that period Government gives the Organization thirty (30) days written notice of termination of this Agreement in which case this Agreement shall terminate thirty (30) days from the date notice is given to the Organization.

2. Government shall pay Organization the sum of **Forty-Eight Thousand, Six Hundred and Thirty Dollars (\$48,630)** for the services required by this Agreement, said services being more particularly described in the Addendum attached hereto and incorporated herein by reference, one-fourth (1/4th) of which shall be payable in July 2016 or shortly thereafter upon receipt of an invoice, with one-fourth (1/4th) payable each quarter thereafter upon submission of a quarterly financial report and invoice, and a detailed quarterly program report. **Quarterly financial reports, invoices, and detailed program**

reports shall be submitted by October 14th, 2016, January 13th, 2017 and April 14th, 2017. A year-end program report shall be submitted by July 14th, 2017. Both reports shall reflect the services and programs directly related to the funding provided by Lexington Fayette Urban County Government with emphasis on measurable outcomes, and specifically outlined in the funding application. Forms for both the quarterly financial and program reports will be provided.

3. In the event of termination of this Agreement by Government as provided for in paragraph 1 above, Organization shall be entitled to that portion of total compensation due under this Agreement as the service rendered bears to the service required herein.

4. Organization shall perform all duties and services included in the Addendum *(Description of the specific uses of funds allocated by program name(s) and details of the expected client and agency outcomes) attached hereto faithfully and satisfactorily at the time, place and for the duration prescribed herein. Compensation paid pursuant to this Agreement shall be used exclusively for the services set forth in the Addendum and for no other purpose. Any alteration in the nature of such services and duties constitutes an amendment to this Agreement and must be in writing signed by both parties. Organization shall keep itself fully informed of all federal and state laws and all municipal ordinances and regulations in any manner affecting the work or performance of this Agreement, and shall at all times observe and comply with such laws, ordinances and regulations, whether or not such laws, ordinances or regulations are mentioned herein, and shall indemnify Government, its officers, agents and employees against any claim or liability arising from and based on the Organization's violation of any such laws, ordinances or regulations.

5. Organization represents that it has filed all federal, state and local income tax returns required by law in the legally prescribed time and manner. This Agreement shall not become effective unless and until copies of all of the executed originals of the

aforementioned tax returns filed for the Organization have been registered for the current tax year by the Organization in the office of the Sponsor, and the Organization shall not be compensated unless and until such registration has taken place.

6. The Organization shall, on such forms as the Sponsor shall provide, submit to Sponsor an annual report and financial statement which summarize the previous year's activities regarding the services enumerated in the addendum attached hereto.

7. Books of accounts shall be kept by the Organization and entries shall be made therein of all money, goods, effects, debts, sales, purchases, receipts, payments and any other transactions of the Organization. The books of accounts, together with all bonds, notes, bills, letters and other writings belonging to the Organization, shall be maintained at the principal place of business of the Organization as set forth in this Agreement. Government shall have free and complete access to the books, papers and affairs of the Organization, that relate to the performance of this Agreement, at all reasonable times, and if it desires, it may have the books and papers of the Organization, that relate to the performance of this Agreement, audited and examined by auditors, accountants or attorneys. Any examination shall be at the expense of the Government.

8. Government may designate such persons as may be necessary to monitor and evaluate the services rendered by the Organization. The Government, its agents and employees, shall, at all times, have unrestricted access to all places where or in which the services required hereunder are being carried on and conducted. Inspection and monitoring of the work by these authorities shall in no manner be presumed to relieve in any degree the responsibility or obligations of Organization, nor to constitute the Organization as an agent of the Government.

9. Organization shall provide equal opportunity in employment for all qualified persons, shall prohibit discrimination in employment because of race, color, creed, national

origin, sex or age, shall promote equal employment through a positive, continuing program of equal employment, and shall cause each of its subcontracting agencies to do so. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

10. Organization shall adopt a written sexual harassment policy, which shall, at a minimum, contain a statement of current law; a list of prohibited behaviors; a complaint process; and a procedure which provides for a confidential investigation of all complaints. The policy shall be given to all employees and clients and shall be posted at all locations where Organization conducts business. The policy shall be submitted to Sponsor for review within thirty (30) days of the execution of this Agreement.

11. This instrument, and the Addendum *(Description of the specific uses of funds allocated by program name(s) and details of the expected client and agency outcomes) incorporated herein, contains the entire agreement between the parties, and no statement, promises or inducements made by either party or agent of either party that is not contained in this written Agreement shall be valid and binding; and this Agreement may not be enlarged, modified or altered except in writing signed by the parties and endorsed hereon.

12. Organization agrees that it shall apply all funds received by it from the Urban County Government in accordance with the following investment policy guidelines:

- A. Objectives: Capital preservation with surety of income. Reasonable competitive income consistent with high investment quality and purpose of funds. All investments shall conform with state and local law and regulations and these policies.
- B. Investment Funds Management: The governing board may elect to either:
 - (1) Manage its investment through its executive director where the size or complexity of funds to be managed is deemed by the board to

be within the training, expertise and/or available time capacity of the executive director and the operating staff; or

(2) Utilize the professional investment management facilities of a local bank trust department acting in a fiduciary capacity within the same approved investment policies and federal, state, local and trust laws and regulation. The trust department may utilize its regular short-term one hundred percent (100%) US Treasury Fund for daily funds investment.

The election of option 1 or 2 should be made consistent with the relative cost incurred and in the case of option 2 the cost shall be competitive among local trust departments.

C. Investment Policies - - Safety and Prudence.

(1) Short-term liquidity funds shall be invested in "riskless" investment, i.e., deposits in Kentucky commercial banks or savings and loan associations that are fully federally insured or deposits collateralized by U.S. Treasury securities with a current market value of at least one hundred percent (100%), or in direct obligations of U.S. Treasury securities.

Investments shall be diversified according to maturity in order to meet projected cash flow needs.

Collateral pledged to secure uninsured deposits shall be held at a federal reserve bank with the receipt providing absolute control by the agency.

(2) Retirement funds, endowment funds, long-term capital reserve funds and any other special funds may be held and invested by a local bank trust department under investment objectives and diversification in accordance with the individual nature of the funds and pursuant to the "prudent man" investment rule as well as general trust law.

(3) All investments shall be reviewed monthly by a finance or investment committee of the agency.

(4) Local brokerage firms may hold and invest funds provided that investments are located within Kentucky and are full insured.

D. Audit - - All investments shall be audited at least annually by independent certified public accountant who shall express an opinion as to whether or not investments during the year audited have conformed with state and local law and regulation and with the approved investment policies.

13. Notice – Any written notice required by the Agreement shall be delivered by certified mail, return receipt requested, to the following:

For Organization:

Attn: _____

For Government:

Lexington-Fayette Urban County Gov.
200 East Main Street
Lexington, Kentucky 40507

Attn: Chris Ford, Commissioner
Department of Social Services

IN WITNESS WHEREOF, the parties have executed this Agreement at Lexington, Kentucky, the day and year first above written.

LEXINGTON-FAYETTE URBAN
COUNTY GOVERNMENT

NURSING HOME OMBUDSMAN OF THE
BLUEGRASS, INC.

BY: _____
Jim Gray, Mayor

BY: _____
Title: _____

ATTEST:

Clerk of the Urban
County Council

* The addendum referenced in items 4 and 11 must be attached to this document and approved prior to the start of fiscal year payments.

Addendum

Agency: Nursing Home Ombudsman Agency of the Bluegrass, Inc.

Program Name: Ombudsman Program

LFUCG Extended Social Resource Grant Program FY17 Funding: \$48,630

Program Summary: NHOA's Ombudsman Program provides a variety of services to seniors in long-term care facilities and their families. These services include: 1) making regular unannounced visits with residents to check on their well-being and quality of care; 2) identifying and helping to resolve needs, concerns, or complaints of residents; 3) providing information and education to long-term care residents and their families on their rights and how to get good care; 4) advocating for residents in disputes with facilities through a specific problem-solving process; 5) offering ongoing community and provider education related to rights, abuse/neglect prevention, and long-term care.

NHOA's 3 full-time and 13 part-time certified long-term care ombudsmen in Fayette County provide services on a daily basis. Each Ombudsman is assigned an individual schedule every month based on the number of residents in long-term care facilities. Services are primarily provided during the day between 8:00 am and 4:30 pm, but ombudsmen work varying schedules so they can monitor care on weekends and at night.

Over the course of the two-year program and considering turnover, 7,000 long-term care residents, families, and staff in Fayette County will be served. The primary target audience for our services is senior adults and their families who are in or planning for the use of long-term care facilities. Secondary audiences include long-term care providers and the general public. Program goals include: protecting the rights of nursing home residents and empowering residents to make informed choices; educating the community to plan for long term care choices and providers to improve the quality of care; and working to enact laws to better protect residents.

Long-Term Program Goals:

- Consumers will rely on NHOA to monitor the care of residents and advocate for their rights and needs.
- The provider community will view NHOA as a partner in providing quality care.

ACTIVITIES	OUTPUTS	OUTCOMES
To address needs effectively, we will carry out the following activities: General ombudsman services/advocacy	Our activities will produce the following evidence of service delivery: Ombudsman case management services will be provided to 294 long-term care facility residents and/or their caregivers	294 consumers will have healthcare complaints resolved as a result of ombudsman services provided.
To address needs effectively, we will carry out the following activities: Information and placement counseling services	Our activities will produce the following evidence of service delivery: Individual meetings or phone calls will take place with 874 consumers to provide information, assistance, and/or placement counseling related to long-term care	874 consumers will have received information that increases their knowledge about accessing healthcare and exercising their rights with relation to long-term care.
To address needs effectively, we will carry out the following activities: Community education	Our activities will produce the following evidence of service delivery: 24 education sessions related to planning for and accessing long-term care will be presented to the public	600 consumers will have received information that increases their knowledge about accessing healthcare and exercising their rights with relation to long-term care.
To address needs effectively, we will carry out the following activities: Provider consultations	Our activities will produce the following evidence of service delivery: 26 consultations will be conducted with long-term care providers	100% providers of long-term care services will have received information and training that increases their knowledge about residents' rights and recognition and prevention of abuse, neglect, and exploitation.

INDICATOR	MEASUREMENT TOOL/APPROACH	SAMPLING STRATEGY & SIZE	FREQUENCY & SCHEDULE OF DATA COLLECTION
206 (70%) of 294 complaints will be resolved to the satisfaction of the resident.	State mandated database, OmbudsManager, in which staff record their ombudsman work. Monthly reports gathered from NHOA staff.	Every complainant, complaint, and case is tracked for program measurement.	Ombudsmen record their complaint case work as it occurs. It is then reviewed by supervisors prior to entry into the state mandated database, Ombudsmanager, by the NHOA central office. Complaint work is entered into the database monthly.
450 (100%) of clients in need of placement counseling will receive information about accessing quality healthcare.	State mandated database, OmbudsManager, in which staff record their ombudsman work. Monthly reports gathered from NHOA staff.	Every instance of information, assistance, and placement counseling is tracked for program measurement.	(Same as above)
1,164 (90%) of 1,294 clients will receive residents' rights information upon admission to a facility.	State mandated database, OmbudsManager, in which staff record their ombudsman work. Monthly reports gathered from NHOA staff.	Every instance of information, assistance, and placement counseling is tracked for program measurement.	(Same as above)
70 (70%) of 100 survey respondents at community education sessions will indicate increased awareness in accessing healthcare and exercising their rights.	State mandated database, OmbudsManager, in which staff record their ombudsman work. Monthly reports gathered from NHOA staff.	Every community education session is evaluated and tracked for program measurement.	Ombudsmen record their instances of community education sessions as they occur. This information is then reviewed by supervisors prior to entry into the state mandated