# **EXHIBIT A**

# SCOPE OF PROFESSIONAL SERVICES

# RFP#9-2015

# **Phase I Predevelopment Services**

# Phase 1A - \$500,000

#### A. Assemble and Manage Project Personnel

- IA-A1. Provide over-all project and program management
- IA-A2. Assist the LFUCG in scoping and providing key development team personnel
- IA-A3. Coordinate preparation of plans and specifications; manage cost-control and project schedule
- IA-A4. Provide oversight through direction of third-party engineering and testing services
- IA-A5. Manage and oversee Construction Management pre-development work; act as LFUCG's agent in negotiation of construction management contract and agreement
- IA-A6. Provide coordination between outside legal counsel and accounting services with the LFUCG Department of Law and Finance Commissioner
- IA-A7. Provide regular updates and direction as necessary to the LFUCG, elected officials, and the public
- IA-A8. Investigate state historic tax credit requirements and approval process to determine eligibility for expanded state historic program

### B. Establish Operating Program for Project

IA-B1. Evaluate uses and lease/operating arrangements with regard to tax credit obligations while meeting desired policy outcomes set by the LFUCG.

IA-B3. Identify and negotiate solutions to operational issues such as parking, vehicular loading/unloading, and interface with Cheapside Pavilion.

### C. Tax Credit Applications

IA-C2. Prepare Part I and Part II applications for both federal and state tax credit programs

#### D. Oversee Design

- IB-D1. Provide direction and guidance to architectural team; maintain cost-control and project schedule; ensure compliance with Secretary of Interior Standards.
- IB-D2. Coordinate third-party engineering and testing services.

# Phase 1B - \$175,000

# B. Establish Lease Arrangements for Project

IB-B2. Negotiate lease or operating agreement on behalf of LFUCG.

# C. Assemble and Close Financing for the Project

- IB-C1. Prepare or assist in preparing financial models, development pro formas, cash flows and other analysis required for the viability of the project
- IB-C3. Represent the LFUCG in negotiations with both federal and state regulators regarding participation in tax credit programs
- IB-C4. Obtain all required approvals for tax credit participation
- IB-C5. Provide coordination between outside legal counsel and the LFUCG Department of Law
- IB-C6. Prepare and distribute all information required for investors, tax credit entities, financial institutions, and other public or private entities.
- IB-C7. Solicit and secure investors for the project, represent the LFUCG in negotiations or financial agreements.

# D. Oversee Design and Setting of Construction Budget

- IB-D3. Identify and anticipate utility locations and hookups, service delivery, and emergency access conflicts and issues
- IB-D4. Review construction bids and estimates, negotiate final construction budget and draw schedule.

# Phase II Services through Construction - \$450,000

# A) Quality Control and Construction Management

- II-A1. Provide over-all project and program management
- II-A2. Oversee work of the Construction Manager and Project Architect
- II-A3. Monitor work and approve payment of construction draws
- II-A4. Monitor workmanship and departures from the Plans and Specifications; negotiate change orders, and recommend courses of action due to changes on site, conditions, or schedule/scope issues
- II-A5. Monitor and recommend utilization of owner's contingency
- II-A6. Maintain cash flow reports, project budget, and forecasts
- II-A7. Coordinate fit-up with operators/leases
- II-A8. Provide regular updates and directions as necessary to the LFUCG, elected officials, and the public

### **B)** Tax Credit Compliance

- II-B1. Ensure compliance with historic approvals during construction
- II-B2. Coordinate Part III for tax credit programs

# PHASE III Tax Credit Compliance - \$150,000

### A) Tax Credit Compliance

- III-A1. Monitor operations of project to ensure compliance with KRS and IRS regulations as applicable to tax credit projects
- III-A2. Oversee final accounting and distribution of tax credits.