



**CONTRACT DOCUMENTS  
AND  
SPECIFICATIONS**

**DIVISION OF WATER QUALITY**

**FOR**

**HORSE PARK PUMP STATION  
GENERATOR REPLACEMENT**

**Bid No. 51-2018**

**Conformed Set**

**PREPARED BY: HAZEN AND SAWYER**

**DIVISION OF WATER QUALITY  
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT**



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**HORSE PARK GENERATOR REPLACEMENT**

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## ADVERTISEMENT FOR BIDS

### 1. INVITATION

Sealed Bids for the following work will be received by the Lexington-Fayette Urban County Government until **2:00 p.m., local time, May 15, 2018** for furnishing all labor and/or materials and performing all work as set forth by this advertisement, conditions (general and special), Specifications, and/or the Drawings prepared by Hazen and Sawyer. Immediately following the scheduled closing time for reception of Bids, all proposals which have been submitted in accordance with the above will be publicly opened and read aloud.

### 2. DESCRIPTION OF WORK

The Project includes providing all construction supervision, labor, materials, tools, test equipment necessary for the removal/demolition of the existing diesel-fueled standby generator and replacement with new 80kW diesel-fueled standby generator located at the Horse Park Pump Station (HPPS), platform/railing, concrete slab addition, site work and all associated electrical appurtenances included and shown on the Contract Documents.

### 3. OBTAINING PLANS, SPECIFICATIONS, AND BID DOCUMENTS

Plans, Specifications, and Contract Documents may be obtained from the official bid document distributor, Lynn Imaging, 328 Old Vine Street, Lexington, KY 40507, (859) 255-1021 or ([www.lynnimaging.com](http://www.lynnimaging.com)) and click on plan room for a non-refundable price of reproduction for each full set of plans and documents.

Specifications, Plans, and Bid Documents may be examined at the following places:

LFUCG  
Division of Central Purchasing  
200 East Main Street, Third Floor, Rm 338  
Lexington, Kentucky 40507  
(859) 258-3320

LFUCG  
Division of Water Quality  
125 Lisle Industrial Avenue, Suite 180  
Lexington, Kentucky 40511  
(859) 425-2400

### 4. METHOD OF RECEIVING BIDS

Bids will be received from Prime Contracting firms on a lump sum basis, for total Project Area. Bids shall be submitted in the manner and subject to the conditions as set forth and described in the Instruction to Bidders and Special Conditions.

Sealed bids shall be clearly marked on the outside of the container as follows: Company Name and Address, Bid Invitation Number, and the Project Name. Bids are to remain sealed until official Bid closure time.

Mailed bids/proposals should be sent to the Director, Division of Central Purchasing, 200 East Main Street, Lexington, KY 40507.

**5. METHOD OF AWARD**

The Contract, if awarded, will be to the lowest, qualified responsible Bidder for the total project whose qualifications indicate the award will be in the best interest of the OWNER and whose bid/proposal complies with all the prescribed requirements. No Notice of Award will be given until the OWNER has concluded such investigation as deemed necessary to establish the responsibility, qualifications and financial ability of Bidders to do the work in accordance with the Contract Documents to the satisfaction of the OWNER within the time prescribed. The OWNER reserves the right to reject the Bid of any Bidder who does not pass such investigation to the OWNER's satisfaction. In analyzing Bids, the OWNER may take into consideration alternate and unit prices, if requested by the Bid forms.

**6. BID WITHDRAWAL**

No Bidder may withdraw his bid for a period of sixty (60) calendar days after the closing date for receipt of Bids. Errors and omissions will not be cause for withdrawal of Bid without forfeit of Bid Bond. Bids may be withdrawn in person prior to the closing date of receipt of bids.

**7. BID SECURITY**

If the bid is \$50,000 or greater, bid shall be accompanied by a certified / cashier's check or Bid Bond payable to the Lexington-Fayette Urban County Government in an amount not less than Five Percent (5%) of the base bid. Bid Bond shall be executed by a Surety Company authorized to do business in the Commonwealth of Kentucky and countersigned by a licensed Kentucky Resident Agent, representing the Surety Company. Bid Bonds are not required for Bids under \$50,000. A certified check or cashier's check is also acceptable forms of bid security.

**8. SUBMISSION OF BIDS**

CONTRACTORS shall submit their Bids to the Lexington-Fayette Urban County Government, Division of Purchasing, Third Floor, 200 East Main Street, Lexington, Kentucky 40507. Bids shall be submitted in a sealed envelope not later than 2:00 p.m., local time, May 15, 2018. Sealed Bids shall be clearly marked on the outside of the container as follows: Company Name and Address, Bid Invitation Number, and Project Name to be **opened at 2:00 pm local time, May 15, 2018**. Bids received after the scheduled closing time for receipt of Bids will not be considered and will be returned unopened.

**9. RIGHT TO REJECT**

The Purchasing Agent for the Lexington-Fayette Urban County Government reserves the right to reject any and all Bids and to waive all informalities and/or technicalities where the best interest of the Lexington-Fayette Urban County Government may be served.

**10. NOTIFICATION TO THE LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT FOR AFFIRMATIVE ACTION PLAN AND CURRENT WORKFORCE**

The successful Bidder must submit with their Bid the following items to the Lexington-Fayette Urban County Government:

1. Affirmative Action Plan for his/her firm.
2. Current Workforce Analysis Form.

Failure to submit these items as required herein may result in disqualification of the Bidder from the award of the Contract.

All submissions should be directed to:

Lexington-Fayette Urban County Government  
Division of Purchasing  
200 East Main Street, 3<sup>rd</sup> Floor, Room 338  
Lexington, Kentucky 40507

#### **11. NOTICE CONCERNING MWDBE GOAL**

Notice of requirement for Affirmative Action to ensure Equal Employment Opportunities and Disadvantaged Business Enterprises (DBE) Contract participation. Disadvantaged Business Enterprises (DBE) consists of Minority-Owned Business Enterprises (MBE), Veteran-Owned Small Businesses (VOSB) and Woman-Owned Business Enterprises (WBE).

The Lexington-Fayette Urban County Government has set a goal that not less than ten percent (10%) of the total value of this Contract be subcontracted to Disadvantaged Business Enterprises, which is made up of MBEs and WBEs. The Lexington Fayette Urban County Government also has set a goal that not less than three percent (3%) of the total value of this Contract be subcontracted to Veteran-Owned Small Businesses. The goal for the utilization of Disadvantaged Business Enterprises as well as Veteran-Owned Small Businesses as subcontractors is a recommended goal. Contractor(s) who fail to meet such goal will be expected to provide written explanations to the Director of the Division of Purchasing of efforts they have made to accomplish the recommended goal, and the extent to which they are successful in accomplishing the recommended goal will be a consideration in the procurement process. Depending on the funding source, other DBE goals may apply.

For assistance in locating Disadvantaged Business Enterprises Subcontractors contact:

Sherita Miller, Minority Business Enterprise Liaison  
Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street, 3<sup>rd</sup> Floor, Room 338  
Lexington, Kentucky 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)

#### **12. PRE-BID MEETING**

A non-mandatory pre-bid meeting will be held at **10:00 am (local time), May 1, 2018, LFUCG-DWQ, 125 Lisle Industrial Blvd, Lexington, KY 40511, 1<sup>st</sup> floor conference room.**

#### **13. CONSENT DECREE REQUIREMENTS**

There are no Consent Decree requirements in this Contract.

#### **14. STATE REVOLVING LOAN REQUIREMENTS**

This project is **not** funded by the Kentucky Infrastructure Revolving Loan Fund.

Bidders must comply with Title VI of the Civil Rights Act of 1964, the Anti-Kickback Act, and the Contract Work Hours Standard Act.

Bidders must comply with the President's Executive Order No. 11246 as amended, which prohibits discrimination in employment regarding race, creed, color, sex, or national origin.

Successful Bidder shall comply with 41 CFR 60-4, in regard to affirmative action, to insure equal opportunity to females and minorities and will apply the time tables and goal set forth in 40 CFR 60-4.

Successful Bidder shall make positive efforts to use small, minority, women-owned, and disadvantaged businesses.

Attention of Bidders is particularly called to the conditions of employment to be observed and minimum wage rates to be paid under the Contract, Section 3, Segregated Facility, Section 109 and E.O. 11246 and Title VI. Minority bidders are encouraged to bid.

**Successful Bidder is required to employ the six "Good Faith Efforts" as listed in EPA's Disadvantaged Business Enterprise Program when soliciting subcontractors and suppliers. Documentation of these efforts will be a required submittal prior to Contract Award.**

The Contract Award will be made in writing to the lowest responsive and responsible Bidder whose qualifications indicate the award will be in the best interest of the OWNER and whose Bid/Proposal complies with all the prescribed requirements. No Notice of Award will be given until the OWNER has concluded such investigation as deemed necessary to establish and responsibility, qualifications and financial ability of Bidders to do the work in accordance with the Contract Documents to the satisfactions of the OWNER within the time prescribed. The OWNER reserves the right to reject the Bid of any Bidder who does not pass such investigation to the OWNER's satisfaction. In analyzing Bids, the OWNER may take into consideration alternate and unit prices, if requested by the Bid forms.

END OF SECTION



**PART II**  
**INFORMATION FOR BIDDERS**

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**PART II**  
**INFORMATION FOR BIDDERS**

**1. RECEIPT AND OPENING OF BIDS**

The Lexington-Fayette Urban County Government (herein called the OWNER) invites bids from firms on the project described in the Advertisement for Bids. The OWNER will receive Bids at the Division of Purchasing, at the time and in the manner set forth in the Advertisement for Bids, and the Bids will then be publicly opened and read aloud. The OWNER may consider informal any bid not prepared and submitted in accordance with the provisions hereof and may waive any informalities or reject any and all Bids. Any Bid may be withdrawn prior to the scheduled time for the opening of Bids or authorized postponement thereof. Any Bid received after the time and date specified shall not be considered. No Bidder may withdraw a bid within 60 days after the actual time and date of the Bid opening, but OWNER may, in its sole discretion, release any bid and return the Bid Security prior to that date.

The Lexington-Fayette Urban County Government assumes no responsibility for Bids that are not addressed and delivered as indicated above. Bids that are not delivered to the Division of Central Purchasing by the stated time and date will be rejected

**2. PREPARATION OF BID**

Each Bid must be submitted on the prescribed Form of Proposal. All blank spaces for the Bid prices must be filled in, either in ink or typewritten, for both unit prices and extensions. Totals for each Bid item must be added to show the total amount of the Bid. Each Bid must be submitted in a sealed envelope bearing on the outside the name of the Bidder, their address, the name of the project, the invitation number and time and date for which the Bid is submitted. Bids must be addressed to the Director of Purchasing, Lexington-Fayette Urban County Government, Third Floor, 200 East Main Street, Lexington, Kentucky 40507. If forwarded by mail, the sealed envelope containing the Bid must be enclosed in another envelope addressed as specified above.

**3. SUBCONTRACTS**

The Bidder is specifically advised that any person, firm, or other party to whom it is proposed to award a subcontract under this Contract must be acceptable to the OWNER. All proposed subcontractors must be identified on the Form of Proposal. Prior to the award of Contract, the OWNER or the OWNER'S representative will advise the CONTRACTOR of the acceptance and approval thereof or of any action necessary to be taken. Should any Subcontractor be rejected by the OWNER, the CONTRACTOR shall present a new name and/or firm to the OWNER at no change in the Contract Price.

**4. QUALIFICATION OF BIDDER**

The OWNER may make such investigations as the OWNER deems necessary to determine the ability of the Bidder to perform the Work, and the Bidder shall furnish to



the OWNER all such information and data for this purpose as the OWNER may request. The OWNER reserves the right to reject any bid if the evidence submitted by, or investigation of, such Bidder fails to satisfy the OWNER that such Bidder is properly qualified to carry out the obligations of the Contract and to complete the Work contemplated therein. Conditional Bids will not be accepted.

In evaluating Bids, OWNER shall consider the qualifications of the Bidders, whether or not the Bids comply with the prescribed requirements, and alternatives and unit prices, as requested. OWNER may consider maintenance requirements, performance data, and disruption or damage to private property. It is OWNER'S intent to accept alternatives, if requested by the Bid forms, in the order in which they are listed in the Bid Form but OWNER may accept or decline them in any order or combination. The Contract, if awarded, will be awarded to the lowest, qualified, responsible Bidder based upon OWNER'S evaluation which indicates that the award will be in the best interest of OWNER and the general public.

In the event there is any question as to the Bidder's qualifications and ability to complete the work, a final determination will be made in accordance with a fair evaluation by the Urban County Government of the above listed elements.

- A. If the OWNER requires filling out a detailed financial statement, the Bidder may provide its current certified financial statement(s) for the required time interval.
- B. Corporate firms are required to be registered and in good standing with the requirements and provisions of the Office of the Secretary of State, Commonwealth of Kentucky.
- C. Good standing with Public Works Act - any CONTRACTOR and/or subcontractors in violation of any wage or work act provisions (KRS 337.510 to KRS 337.550) are prohibited by Statutory Act (KRS 337.990) from bidding on or working on any and all public works contracts, either in their name or in the name of any other company, firm or other entity in which he might be interested. No bid from a prime contractor in violation of the Act can be considered, nor will any subcontractor in violation of the Act be approved and/or accepted. The responsibility for the qualifications of the subcontractor is solely that of the Contractor.
- D. Documents Required of CONTRACTOR - (1) A sworn statement signed by the President or owner of the Company regarding all current work in progress anywhere; (2) A document showing the percent of completion of each project and the total worth of each project; and (3) Documentation showing the percentage of the DBE employment levels on each project of the Bidder's current work force, and DBE participation levels for Subcontractors.
- E. Optional OWNER Requirements - The OWNER, at its discretion, may require the Bidder/CONTRACTOR to provide: (1) a current detailed financial statement for a period including up to 3 prior years; (2) financial security or insurance in amounts and kinds acceptable to the OWNER to meet the financial responsibility

requirements for the CONTRACTOR to indemnify the OWNER. (3) Additional information and/or DBE work force data, as well as DBE participation data.

**Each Bidder agrees to waive any claim it has or may have against the Owner, the Architect/Engineer, and their respective employees, arising out of or in connection with the administration, evaluation, or recommendation of any bid.**

**5. BID SECURITY**

- A. Each bid must be accompanied by a Bid Bond prepared on a Form of Bid Bond and attached hereto, duly executed by the bidder as principal and having as surety thereon a surety company approved by the OWNER, in the amount of 5% of the Bid. Such Bid Bond will be returned to the unsuccessful Bidder(s) only upon written request to the Director of Central Purchasing within seven (7) days of opening of Bids. Bid Bond shall be made payable to the Lexington-Fayette Urban County Government. Bid security is not required for projects under \$50,000.
- B. Bonds shall be placed with an agent licensed in Kentucky with surety authorized to do business within the state. When the premium is paid for such coverage, the full commission payable shall be paid to such local agent who shall not divide such commission with any person other than a duly licensed resident local agent.

**6. LIQUIDATED DAMAGES FOR FAILURE TO ENTER INTO CONTRACT**

The successful Bidder, upon his failure or refusal to execute and deliver the Contract and bonds required within ten (10) days after he has received notice of the acceptance of his Bid, shall forfeit to the OWNER, as liquidated damages for such failure or refusal, the Bid security deposited with his Bid.

**7. TIME OF COMPLETION AND LIQUIDATED DAMAGES**

Bidder must agree to commence work on or before a date to be specified in a written "Notice to Proceed" from the OWNER and to fully complete the Project within the time as specified in the Contract. Bidder must agree also to pay \$850.00 per day as liquidated damages, or the sum as specified in the Contract for each consecutive calendar day thereafter as hereinafter provided in the General Conditions.

**8. EXAMINATION OF CONTRACT DOCUMENTS AND SITE**

- A. It is the responsibility of each Bidder before submitting a Bid, to (a) examine the Contract Documents thoroughly, (b) visit the site(s) to become familiar with local conditions that may affect cost, progress, performance or furnishing of the work, (c) consider Federal, State and Local laws and regulations that may affect cost, progress, performance or furnishing of the work, (d) study and carefully correlate

Bidder's observations with the Contract Documents, and (e) notify Engineer of all conflicts, errors or discrepancies in the Contract Documents.

- B. Bidders should examine the requirements of section 4 of the General Conditions for information pertaining to subsurface conditions, underground structures, underground facilities, and availability of lands, easements, and rights-of-way. The completeness of data, presented in the Contract Documents, pertaining to subsurface conditions, underground structures, and underground facilities for the purposes of bidding or construction is not assured. The Bidder will, at Bidder's own expense, make or obtain any additional examinations, investigations, explorations, tests and studies and obtain any additional information and data which pertain to the physical conditions (surface and subsurface) which may affect cost, progress, performance or furnishing of the Work and which Bidder deems necessary to determine its Bid for performing and furnishing the Work in accordance with the time, price, and other terms and conditions of the Contract Documents. On request in advance, OWNER will provide access to the site to conduct such explorations and tests as each Bidder deems necessary for submission of a Bid. Bidder shall fill all holes, clean up and restore the site to its former condition upon completion of such explorations.
- C. The submission of a Bid will constitute an incontrovertible representation by the Bidder that Bidder has complied with every requirement of this paragraph 8; that without exception the Bid is premised upon furnishing and performing the Work required by the Contract Documents and such means, methods, techniques, sequences or procedures of construction as may be indicated in or required by the Contract Documents; and that the Contract Documents are sufficient in scope and detail to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.

## **9. ADDENDA AND INTERPRETATIONS**

No interpretation of the meaning of the Contract Documents will be made to any Bidder orally. Every request for such interpretation should be in writing addressed to the Director of Central Purchasing, or emailed to Brian Marcum at [BrianM@LexingtonKY.gov](mailto:BrianM@LexingtonKY.gov) who in turn will have an Addendum issued for the Lexington-Fayette Urban County Government, and to be given consideration must be received prior to the date fixed for the opening of Bids. Any and all such interpretations and any supplemental instructions will be in the form of written addenda to the Specifications. Acknowledgement of the receipt of addenda must be included with all submitted bids. Failure of any Bidder to receive any such addendum or interpretation shall not relieve such Bidder from any obligation under his Bid as submitted. All addenda so issued shall become part of the Contract Documents.

## **10. SECURITY FOR FAITHFUL PERFORMANCE**

- A. Simultaneously with his delivery of the executed Contracts, the CONTRACTOR shall furnish a surety bond or bonds as security for the faithful performance of this Contract and for payment of all persons performing labor on the Project

under this Contract and furnishing materials in connection with this Contract, as specified in the General Conditions. The surety on such bond or bonds shall be a duly authorized surety company satisfactory to the OWNER and authorized to do business in the Commonwealth of Kentucky.

- B. All bonds required by this Contract and laws of this State shall be placed with agents licensed in the State of Kentucky. When the premium is paid for such coverage's, the full commission shall be paid to such local agent who shall not divide such commission with any person other than a duly licensed resident local agent.
- C. Contractor shall use standard Performance and Payment Bond forms such as documents provided with this Contract book or AIA form A312-1984 (or later). Each document will be for 100% of the Contract Bid Amount.

#### **11. POWER OF ATTORNEY**

Attorney-in-fact who signs Bid Bonds or Contract bonds must file with each bond a certified and effectively dated copy of their power of attorney.

#### **12. TAXES AND WORKMEN'S COMPENSATION**

The CONTRACTOR and subcontractor will be required to accept liability for payment of all payroll taxes, sales and use tax, and all other taxes or deductions required by local, state or federal law, such as old age pension, social security, or annuities measured by wages. Each shall carry Workmen's Compensation Insurance to the full amounts as required by Statutes and shall include the cost of all foregoing items in the Bid. The CONTRACTOR will not otherwise be reimbursed or compensated for such tax payments. The CONTRACTOR is urged to ascertain at his own risk his actual tax liability in connection with the execution or performance of his Contract.

#### **13. LAWS AND REGULATIONS**

The Bidder's attention is directed to the fact that all applicable state laws, municipal ordinances, and the rules and regulations of all authorities having jurisdiction over construction of the Project shall apply to the Contract throughout, and they will be deemed to be included in the contract, the same as though herein written out in full.

#### **14. EROSION AND SEDIMENT CONTROL AND PERMITS**

The CONTRACTOR and Subcontractors performing work on projects on behalf of the OWNER shall also comply with all applicable federal, state, and local environmental regulations and all requirements and conditions set forth in "special" permits including but not limited to Corp of Engineers 404 permits, 401 Water Quality Certifications, Stream Crossing and Floodplain Encroachment Permits as described in Part 4 General Conditions Paragraph 5.17.

**15. PREVAILING WAGE LAW AND MINIMUM HOURLY RATES**

Federal or state wage rates and regulations, if required for this Project, will be as described in the Special Conditions.

**16. AFFIRMATIVE ACTION PLAN**

The successful Bidder must submit with their Bid, the following items to the Urban County Government:

1. Affirmative Action Plan of the firm
2. Current Work Force Analysis Form
3. Good Faith Effort Documentation
4. List of Disadvantaged Business Enterprise Subcontractors and the dollar value of each subcontract

A Work Force Analysis Form shall be submitted for each Contract. Failure to submit these items as required herein may result in disqualification of the Bidder from award of the Contract.

All submissions should be directed to:

Director, Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street, Third Floor  
Lexington, KY 40507

**17. CONTRACT TIME**

The number of calendar days within which the Work is to be substantially completed and ready for final payment (the Contract Time) is set forth in the Form of Proposal and the Agreement.

**18. SUBSTITUTE OR "OR-EQUAL" ITEMS**

The Contract, if awarded, will be on the basis of materials and equipment described in the Drawings or specified in the Specifications without consideration of possible substitute or "or-equal" items. Whenever it is indicated in the Drawings or specified in the Specifications that a substitute or "or-equal" item of material or equipment may be furnished or used by the CONTRACTOR if acceptable to the ENGINEER and OWNER, application for such acceptance will not be considered by the ENGINEER and OWNER until after the effective date of the Agreement. The procedure for submission of any such application by the CONTRACTOR and consideration by the ENGINEER and OWNER is set forth in the General Conditions.

**19. ALTERNATE BIDS**

Bidders shall submit alternate Bids/Proposals only if and when such alternate bids/Proposals have been specifically requested in an Invitation for Bids. If alternate Bids/Proposals are requested in an Invitation for Bids, the form of submission of such alternate Bid and the conditions under which such alternate Bids will be considered for award of a Contract will be established in the Invitation.

Any Bidder who submits a bid incorporating an alternate proposal when alternate bids/proposals have not been requested in the Invitation for Bids shall have their Bid rejected as non-responsive.

Any Bidder who submits a bid incorporating two (2) or more prices for an item or groups of items (unless such method of pricing is requested in the Invitation for Bids), or which imposes conditions for acceptance other than those established in the Invitation for Bids, shall have their Bid rejected as non-responsive.

**20. SIGNING OF AGREEMENT**

When OWNER gives a Notice of Award to the successful Bidder, it will be accompanied by the required number of unsigned counterparts of the Agreement with all other written Contract Documents attached. Within ten days thereafter, CONTRACTOR shall sign and deliver the required number of counterparts of the Agreement and attached documents to OWNER with the required Bonds, Certificate of Insurance, and Power of Attorney. The OWNER will deliver one fully signed counterpart to CONTRACTOR at such time as it has been signed by the Mayor.

**21. ASSISTANCE TO BE OFFERED TO DISADVANTAGED BUSINESS ENTERPRISE (MWDBE) CONTRACTORS**

A. Outreach for MWDBE(s)

The Lexington-Fayette Urban County Government (LFUCG) maintains a database of MWDBE contractors and organizations. When a LFUCG construction project is advertised for bidding, notices are sent to companies registered at <https://lexingtonky.ionwave.net>. The notices describe the project and indicate the deadline for submitting bids.

If you wish to be added to the LFUCG MWDBE contractor database, please contact:

Sherita Miller, Minority Business Enterprise Liaison  
Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street, Room 338  
Lexington, Kentucky 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)

B. Bid Bond Assistance for MWDBE(s)

For those MWDBE contractors who wish to bid on LFUCG project, Bid Bond assistance is available. This Bid Bond assistance is in the form of a "Letter of Certification" which is accepted by the LFUCG's Division of Purchasing, in lieu of a Bid Bond. The "Letter of Certification" must be included in the Bid package when it is submitted to the Division of Purchasing. The "Letter of Certification" will reference the specific project for which the Bid is being submitted, and the time and date on which the Bid is due. Bid Bond assistance must be requested from the Lexington-Fayette Urban County Government's Division of Central Purchasing.

C. Eligibility for Bid Bond Assistance for MWDBE(s)

In order to be eligible for any Bid bonding assistance, a MWDBE construction company must be owned or controlled at the level of 51% or more by a member or members of a minority group or females. Prior to receiving assistance, a statement providing evidence of ownership and control of the company by a member or members of a minority group or females must be signed by the Owner or corporate officer and by an attorney or accountant submitted to:

Sherita Miller, Minority Business Enterprise Liaison  
Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street, Room 338  
Lexington, Kentucky 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)

D. MWDBE and Veteran Subcontractors

The LFUCG will, upon request, assist Contractors in the procurement of eligible DBE subcontractors in an effort to achieve 10% minimum MWDBE and 3% minimum veteran goal.

For a list of eligible subcontractors, please contact:

Sherita Miller, Minority Business Enterprise Liaison  
Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street, Room 338  
Lexington, Kentucky 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)

END OF SECTION





**PART III**  
**FORM OF PROPOSAL**  
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PART III

Invitation to Bid No. 51-2018

HORSE PARK PUMP STATION GENERATOR REPLACEMENT

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: May 15, 2018

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by HERRICK COMPANY, INC.

2176 Waddy Road, Lawrenceburg, KY 40342

(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as a corporation

"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of the Director of Purchasing  
200 East Main Street, 3rd Floor  
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the Horse Park Pump Station Generator Replacement having examined the Contract Documents with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this Proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

Bidder hereby agrees to commence work under this Contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. Bidder further agrees to pay liquidated damages, the sum of \$850.00 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date May 7, 2018

Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_  
Addendum No. \_\_\_\_ Date \_\_\_\_\_

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

**2. LEGAL STATUS OF BIDDER**

Bidder Herrick Company, Inc.

Date May 15, 2018

\* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Donna S. Herrick, bearing the official title of Chief Executive Officer, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

\* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)  
\_\_\_\_\_  
\_\_\_\_\_

\*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. BIDDERS AFFIDAVIT

Comes the Affiant, Donna S. Herrick, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Donna S. Herrick and he/she is the individual submitting the Bid or is the authorized representative of Herrick Company, Inc. the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the Contract and will maintain a "current" status in regard to those taxes and fees during the life of the Contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a Contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists. Further, Affiant sayeth naught.

Donna S Herrick

(Affiant) Donna S. Herrick

STATE OF KENTUCKY

COUNTY OF ANDERSON

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Donna S. Herrick on this the 15 day of April, 2018.

My Commission expires: March 2, 2019

Sabrina K. Hubbard

NOTARY PUBLIC, STATE AT LARGE

**4. BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Drawings for the following proposed lump sum price, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of Bid.

Form of proposal must include lump sum price(s) written in words, lump sum price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, lump sum bid price written in words will prevail followed by lump sum price written in numbers then total amount bid per line item.

For a lump sum based Bid, the item total is the Bid amount the Division uses for Bid comparison.

For a unit price based Bid, the sum of the item totals is the Bid amount the Division uses for Bid comparison.

The LFUCG's decision on the Bid amount is final.

The Contract, if awarded, will be on the basis of materials and equipment specified in the Specifications without consideration of possible substitute or "or equal" items.

The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

The Owner's decision on the bid amount is final.

**BID SCHEDULE**

Item	Description	Qty	Unit	Unit Price	Item Bid Price
1.	Removal/demolition of the existing diesel-fueled standby generator, new 80kW diesel-fueled standby generator, new platform/railing, concrete slab additions, site work and all associated electrical appurtenances included and shown on the Contract Documents.	1	LS	146,000 <sup>00</sup>	146,000 <sup>00</sup>
<b>TOTAL BID (Items 1 thru 1)</b>				146,000 <sup>00</sup>	

**Alternate Equipment Information**

Equipment Item	Base Bid Equipment Manufacture
1. Generator Set	<p align="center">Caterpillar</p> <p>Cummins / Onan</p> <p>MTU / Detroit Diesel</p>

Notes: 1. Where more than one Base Bid equipment manufacturer is listed above, the Bidder has the option of including any of the listed manufacturers in the Base Bid. The Bidder must indicate the Base Bid equipment included in the Bid by circling the selected manufacturer at the time of the submission of the Bid. The design has been completed using the first listed Base Bid equipment manufacturer. Should the Bidder list the second, or third Base Bid equipment, the Bidder, at no additional cost to the Owner, shall make any changes to structure, concrete, piping controls, electrical, instrumentation, architectural, mechanical, etc. that may be necessary to accommodate this equipment.

TOTAL OF ALL BID PRICES FOR the Horse Park Generator Replacement Project (Items 1 through 1) in words and figures. In case of discrepancy, the amount shown in words will govern.

\_\_\_\_\_

\_\_\_\_\_

(\$ \_\_\_\_\_).

Submitted by: HERRICK COMPANY, INC.  
*Firm*

2176 Waddy Road  
*Address*

Lawrenceburg, KY 40342  
*City, State & Zip*

**Bid must be signed:  
(original signature)**

Donna S Herrick CEO  
*Signature of Authorized Company Representative – Title*

Donna S. Herrick  
*Representative/s Name (Typed or Printed)*

502-839-3484 502-839-0939  
*Area Code – Phone – Extension* *Fax #*

hci@dcr.net  
*E-Mail Address*

Herrick Company, Inc.  
2176 Tracy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 Fax

**Bid:** Horse Park Pump Station Generator Replacement

**Item 5.5 (Page P-7): Equipment Available**

- 35 ton Crane
- 15 ton Boom Truck
- 50 ton Crane
- 15 ton P&H Crane
- CAT D5 Dozer
- CAT 953 Loader
- CAT 315 Excavator
- CAT 416 Backhoe
- CAT 420 Backhoe
- CAT 420E Backhoe
- CAT 302.5 Excavator
- Hyundai R35ZCR Mini-Excavator
- Bobcat Skid Steer Loader
- International Flat Bed Dump
- (2) 185 Air Compressors
- 6" Diesel Pump
- Kundel 8' x 20' trench box
- (8) pickup trucks
- Miscellaneous small equipment and tools

OFFICIAL ADDRESS:

Herrick Company, Inc.

2176 Waddy Road

Lawrenceburg, KY 40342

\_\_\_\_\_ (Seal if Bid is by Corporation)

**By signing this form, you agree to ALL terms, conditions, and associated forms in this Bid package**

**5. STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Bid:

1. Name of Bidder: HERRICK COMPANY, INC.
2. Permanent Place of Business: 2176 Waddy Road, Lawrenceburg, KY 40342
3. When Organized: January 26, 1996
4. Where Incorporated: State of Kentucky
5. Construction Plant and Equipment Available for this Project:  
Please see attached schedule.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Attach Separate Sheet If Necessary)



# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939  
hci@dcr.net

BID: Horse Park Pump Station Generator Replacement

Bid Date: May 15, 2018

## Item 5.8 List of Similar Projects

<u>Project Name</u>	<u>Location</u>	<u>Contract Amount</u>
Columbia WTP Improvements	Columbia, KY	\$2,610,995
WWTP Generator & SCADA System Additions	Frankfort, KY	\$1,909,659.85
WWTP # 1 Digester Sludge Pump Replacement	Georgetown, KY	\$232,250
WWTP Improvements – Phosphorous Removal	Hardinsburg, KY	\$1,930,382.19

Herrick Company, Inc  
1385 Tracy Road  
Lawrenceburg, KY 40342  
May 15, 2018

**Item 5.9 (Page P-8) Current Projects (BONDED CONTRACTS ON HAND)**

<u>Project Name</u>	<u>Amount</u>	<u>Completion</u>
WWTP Generator & SCADA System, et al Frankfort, KY Owner: Frankfort Sewer Department – Kenny Hogsten @ 1200 KY Avenue, Frankfort. KY 502-229-6312 HMB Professional Engineers: Ray Bascom, PE @ 3 HMB Circle, Frankfort. KY 502-695-9800	\$2,279,000.00	July 2018
Contract 11 – WWTP Improvements / Chemical Feed & Phosphorous Removal Hardinsburg, KY Owner: City of Hardinsburg – 351 N. Main Street, Hardinsburg, KY KY Engineering Group, PLLC: Ryan Carr, PE, @ PO Box 1034, Versailles, KY 859-251-4127	\$1,930,389.19	May 2018
Contract 1 New County Farm Pump Station - West Laurel PS London, KY Owner: West Laurel Water Association, 1670 E Hal Rogers Pkwy, London, KY Kenvirons, Inc.: Vaughn Williams @ 452 Versailles Road, Frankfort, KY 502-695-4357	\$497,725.00	September 2018
Contract 3 WTP Modifications Lebanon, KY Owner: Lebanon Water Works Company, Inc., 120 South Proctor Knott Avenue, Lebanon, KY KY Engineering Group, PLLC: Jim Thompson, PE @ PO Box 1034, Versailles, KY 859-251-4127	\$172,750.00	September 2018
Lincoln Trail Lift Station Rehab Project Radcliff, KY Owner: Hardin County Water District No. 1, 1400 Rogersville Road, Radcliff, KY Bell Engineering: David Schrader, 2480 Fortune Drive, Suite 350, Lexington, KY 859-278-5412	\$673,250.00	January 2019
High Service Pump Station Replacement Valves Frankfort, KY Owner: Frankfort Plant Board GRW Engineering: Joe Henry, 801 Corporate Drive, Lexington, KY 859-223-3999	\$167,600.00	August 2018

*Donna S Herrick*

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

United Fire & Casualty Company / Smith Manus of Louisville, KY (Surety)

Signed: Leigh McCarthy (Representative of Surety)  
Leigh McCarthy, Attorney-in-Fact

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>Please see attached schedule.</u>		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>Please see attached schedule.</u>		

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
Please see attached schedule.		

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS (LIST)</u>	<u>PROJECT (SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
Please see attached schedule.			

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

BID: Horse Park Pump Station Generator Replacement Bid No. 51-2018  
Item 5.10 Key Employees (Page P-8 & P-9) and Item 9. Statement of Experience (Page P-24)

Donna S. Herrick - Chief Executive Officer

HCI Start Date: 1/26/96 (Years in construction - 22)

**Experience:** Management, cost-tracking, asset acquisition, budgeting, financial planning, risk management and control, project analysis, corporate taxes, human resources and payroll, new business start-ups

---

H. Douglas Herrick - President

HCI Start Date: 1/26/96 (Years in construction - 46)

**Experience:** Management, SFR contract builder, carpentry, estimating, supervisor on water- and waste-water treatment projects, including new construction, renovation, maintenance and emergency work

---

Samuel G. Thorpe - Superintendent

HCI Start Date: 12/26/06 (Years in construction - 49)

**Experience:** Bridge construction, carpentry, equipment maintenance & control, environmental & safety officer, supervisor

---

Eddie R. Johnson - Superintendent

HCI Start Date: 5/22/99 (Years in construction - 47)

**Experience:** Carpentry, electrical, plumber, layout & design, supervisory

---

Ryan P. Gabbard - Project Manager / Superintendent

HCI Start Date: 12/30/00 (Years in construction - 18)

**Experience:** Laborer, rebar foreman, layout, carpentry, tower construction, supervisory; project manager

---

Larry T. Boian - Superintendent

HCI Start Date: 3/29/99 (Years in construction - 36)

**Experience:** Operator, rebar, carpentry, welding, supervisory

---

Chadrick D. Holbrook - Superintendent

HCI Start Date: 1/19/18 (Years in construction - 17 )

**Experience:** Operator, carpentry, supervisory

---

Sabrina K. Gabbard - Office Manager

HCI Start Date: 10/09/06 (Years in construction - 12)

**Experience:** Payroll administrator, project reporting, equipment control & maintenance, payables & receivables, year-end information reporting, quarterly tax filing, field work as labor & forklift operator

---

BY: HERRICK COMPANY, INC.

DATE: May 15, 2018

BY: Donna S. Herrick Donna S. Herrick  
TITLE: Chief Executive Officer

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939  
hcl@dcr.net

BID: Horse Park Pump Station Generator Replacement

Bid Date: May 15, 2018

## Item 5.11 DBE Participation on Current Bonded Projects

<u>Subcontractor</u>	<u>Project</u>		<u>DBE % Work</u>
Richmond Mechanical	Frankfort WWTP Generator & SCADA	N	0.47%
McKinney Painting	Frankfort WWTP Generator & SCADA	Y	0.10%
Badger Daylighting	Frankfort WWTP Generator & SCADA	N	0.79%
J L Davis Electrical	Frankfort WWTP Generator & SCADA	N	25.27%
Timmons Electric	Hardinsburg WWTP Improvements	N	6.14%
McKinney Painting	Hardinsburg WWTP Improvements	Y	1.98%
Samson Steel	Hardinsburg WWTP Improvements	N	0.86%
Nationwide Fence	Hardinsburg WWTP Improvements	N	0.20%
Complete Electrical Systems	W Laurel New County Farm PS	N	8.31%
McKinney Painting	W Laurel New County Farm PS	Y	1.79%
Cave City Contracting	W Laurel New County Farm PS	N	2.22%
Hume Masonry	W Laurel New County Farm PS	N	5.40%
Microcomm, Inc.	W Laurel New County Farm PS	N	3.70%
J L Davis Electrical	Lincoln Trail Lift Station Rehab	N	9.54%
McKinney Painting	Lincoln Trail Lift Station Rehab	Y	7.31%
The Fence Company	Lincoln Trail Lift Station Rehab	Y	1.21%
J L Davis Electrical	Frankfort HS PS Replacement Valves	N	5.01%
McKinney Painting	Frankfort HS PS Replacement Valves	Y	0.73%

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the Bidder's FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u>	<u>% of Work</u>	<u>Yes/No</u>
1. <u>Electrical</u>	Name: <u>JSC Electric</u> Address: <u>Lexington, Ky</u>		<u>12%</u>	<u>No</u>
2. <u>Asphalt</u>	Name: <u>G &amp; G Paving</u> Address: <u>Lexington, Ky</u>		<u>2.4%</u>	<u>Yes</u>
3. _____	Name: _____ Address: _____		_____	_____
4. _____	Name: _____ Address: _____		_____	_____
5. _____	Name: _____ Address: _____		_____	_____
6. _____	Name: _____ Address: _____		_____	_____
7. _____	Name: _____ Address: _____		_____	_____

(Attach additional sheet(s) if necessary.)

7. LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their Bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this Contract.
- 3) The LFUCG has also established a 3% of total procurement costs as a Goal for participation of Veteran-Owned Small Businesses.
- 4) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation, the same goal (3%) veteran participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful Bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Veteran-Owned and Woman-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Veteran-Owned or Woman-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, Bidders may contact:
  - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE and veteran subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the Project.

C. DEFINITIONS

- 1) A MBE is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A WBE is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.



- 3) A DBE is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) A VOSB is defined as a business which is certified as being at least 51% owned and operated by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a Bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the Bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The Bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the Bidder; however, Bidders not meeting the goal are required to furnish with their Bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the Bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If Bid includes no MWDBE participation, Bidder shall enter "None" on the subcontractor / supplier form). In addition, the Bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
  - a. Advertised opportunities to participate in the Contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
  - b. Included documentation of advertising in the above publications with the Bidders good faith efforts package

- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this Project. Those contacted and their responses should be a part of the Bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.
- j. Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the Contract goals. This includes, where appropriate, breaking out Contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the Contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a Bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the Bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the Bid.

p. Made efforts to expand the search for MWDBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

q. Other--any other evidence that the Bidder submits which may show that the Bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

**Note: Failure to submit any of the documentation requested in this section may be cause for rejection of Bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**



## MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA  
Minority Business Enterprise Liaison  
Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)  
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

*"A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises..."*

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

In addition, to that end the city council also adopted and implemented resolution 167-91—Veteran-owned Businesses, 3% Goal Plan in July of 2015. The resolution states in part (a full copy is available in Central Purchasing):

*"A resolution adopting a three percent (3%) minimum goal for certified veteran-owned small businesses and service disabled veteran-owned businesses for certain of those Lexington-Fayette Urban County contracts related to construction for professional services, and authorizing the Division of Purchasing to adopt and implement guidelines and/or policies consistent with the provisions and intent of this resolution by no later than July 1, 2015."*

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

<b>Business</b>	<b>Contact</b>	<b>Email Address</b>	<b>Phone</b>
<b>LFUCG</b>	Sherita Miller	<a href="mailto:smiller@lexingtonky.gov">smiller@lexingtonky.gov</a>	859-258-3323
<b>Commerce Lexington – Minority Business Development</b>	Tyrone Tyra	<a href="mailto:ttvra@commercelexington.com">ttvra@commercelexington.com</a>	859-226-1625
<b>Tri-State Minority Supplier Diversity Council</b>	Susan Marston	<a href="mailto:smarston@tsmsdc.com">smarston@tsmsdc.com</a>	502-365-9762
<b>Small Business Development Council</b>	Shirle Hawkins UK SBDC	<a href="mailto:smack@uky.edu">smack@uky.edu</a> incorrect	859-257-7666
<b>Community Ventures Corporation</b>	Phyllis Alcorn	<a href="mailto:palcorn@cvky.org">palcorn@cvky.org</a>	859-231-0054
<b>KY Transportation Cabinet (KYTC)</b>	Melvin Bynes	<a href="mailto:Melvin.bynes2@ky.gov">Melvin.bynes2@ky.gov</a>	502-564-3601
<b>KYTC Pre-Qualification</b>	Shella Eagle	<a href="mailto:Shella.Eagle@ky.gov">Shella.Eagle@ky.gov</a>	502-782-4815
<b>Ohio River Valley Women's Business Council (WBENC)</b>	Sheila Mixon	<a href="mailto:smixon@orvwbc.org">smixon@orvwbc.org</a>	513-487-6537
<b>Kentucky MWBE Certification Program</b>	Yvette Smith, Kentucky Finance Cabinet	<a href="mailto:Yvette.Smith@ky.gov">Yvette.Smith@ky.gov</a>	502-564-8099
<b>National Women Business Owner's Council (NWBOC)</b>	Janet Harris-Lange	<a href="mailto:janet@nwboc.org">janet@nwboc.org</a>	800-675-5066
<b>Small Business Administration</b>	Robert Coffey	<a href="mailto:robertcoffey@sba.gov">robertcoffey@sba.gov</a>	502-582-5971
<b>LaVoz de Kentucky</b>	Andres Cruz	<a href="mailto:lavozydeky@yahoo.com">lavozydeky@yahoo.com</a>	859-621-2106
<b>The Key News Journal</b>	Patrice Muhammad	<a href="mailto:patricem@keynewsjournal.com">patricem@keynewsjournal.com</a>	859-373-9428



**LFUCG MWDBE PARTICIPATION FORM**  
 Bid/RFP/Quote Reference # 51-2018

The MWDBE and/or Veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. G & G PAVING LEXINGTON, KY	Blacktop	3,500. <sup>00</sup>	2.4%
2.			
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc.  
 Company  
May 15, 2018

Donna S. Herrick *Donna S Herrick*  
 Company Representative  
 Chief Executive Officer

Date

Title



**LFUCG MWDBE SUBSTITUTION FORM**  
**Bid/RFP/Quote Reference # 51-2018**

The substituted MWDBE and/or Veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

*NONE*

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc.  
 Company

May 15, 2018  
 Date

Donna S. Herrick *Donna S. Herrick*  
 Company Representative

Chief Executive Officer  
 Title



**MWDBE QUOTE SUMMARY FORM**  
**Bid/RFP/Quote Reference # 51-2018**

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit a completed form may cause rejection of the bid.

Company Name <b>Herrick Company, Inc.</b>	Contact Person <b>Donna S. Herrick</b>
Address/Phone/Email <b>2176 Waddy Road Lawrenceburg, KY 40342</b>	Bid Package / Bid Date <b>Horse Park Pump Station - Bid No. 51-2018 May 15, 2018</b>

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
L Watson Trucking	Larry Watson	lwatson@watsontrucking@icloud.com	5-3-18	Trucking	email	\$85/Hour	MBE	
G & G Paving	Marvin Gates	9420.nog@mw.twb.com	5-3-18	Asphalt	email	3,500.00	SBE, MBE, DBE	

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Herrick Company, Inc.  
 Company  
May 15, 2018  
 Date

Donna S. Herrick *Donna S Herrick*  
 Company Representative  
Chief Executive Officer  
 Title





## LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDDBE and Veteran vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507

**Bid/RFP/Quote #** 51-2018

**Total Contract Amount Awarded to Prime Contractor for this Project** \_\_\_\_\_

<b>Project Name/ Contract #</b> <b>Horse Park PS Generator Replacement</b>	<b>Work Period/ From:</b> _____ <b>To:</b> _____
<b>Company Name:</b> <b>Herrick Company, Inc.</b>	<b>Address:</b> <b>2176 Waddy Road, Lawrenceburg, KY 40342</b>
<b>Federal Tax ID:</b> <b>61-1296034</b>	<b>Contact Person:</b> <b>Donna S. Herrick</b>

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc.  
 Company  
May 15, 2018

Donna S. Herrick *Donna S Herrick*  
 Company Representative  
Chief Executive Officer

Date

Title

**LFUCG STATEMENT OF GOOD FAITH EFFORTS**  
Bid/RFP/Quote # 51-2018

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media: trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

Included documentation of advertising in the above publications with the bidders good faith efforts package

Attended LFUCG Central Purchasing Economic Inclusion Outreach event

Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

**NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Herrick Company, Inc.  
Company  
May 15, 2018  
Date

Donna S. Herrick *Donna S. Herrick*  
Company Representative  
Chief Executive Officer  
Title

**8. AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached Bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the Bid or Bids, and will not be communicated to any such person, prior to the official opening of the Bid or Bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky  X . Check the statement applicable.
6. This offer is for 60 calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a Contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. **STATEMENT OF EXPERIENCE**

NAME OF INDIVIDUAL: Please see attached schedule.

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

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NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

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NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

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NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

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\* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:  
*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*
- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:  
*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Donna S Herrick

Signature

Herrick Company, Inc.

Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such*



*certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*

- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

KRS 45.640 Minimum skills

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Herrick Company, Inc.

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

**12. WORKFORCE ANALYSIS FORM**

Name of Organization: \_\_\_\_\_

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	2	1	1													1	1
Professionals																	
Superintendents																	
Supervisors	4	4														4	
Foremen																	
Technicians																	
Protective Service																	
Para-Professionals																	
Office/Clerical	2	1	1													1	1
Skilled Craft	8	8															
Service/Maintenance																8	
<b>Total:</b>	<b>16</b>	<b>14</b>	<b>2</b>													<b>14</b>	<b>2</b>

Prepared by: Donna S. Herrick, CEO *Donna S. Herrick* Date: May / 15 / 2018

(Name and Title)

**13. EVIDENCE OF INSURABILITY**

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT  
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Herrick Company, Inc.

Address: 1385 Tracy Road

Lawrenceburg, KY 40342

Employee ID: 61-1296034

Phone: 502-839-3484

Project to be insured: LFUCG Horse Park Pump Station Generator

Lexington-Fayette Urban County Government, KY

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A. M. Best's Code	Rating
SC 1.3.D.1 - see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ 1,000,000/ 2,000,000	Cincinnati Insurance Co.	004289	A+; XV
SC 1.3.D.1 - see provisions	AUTO	\$2,000,000/per occ.	\$ 1,000,000	Cincinnati Insurance Co.	004289	A+; XV
SC 1.3.D.1 - see provisions	WC	Statutory w/endorsement as noted	\$ 4,000,000 each accident \$ 4,000,000 disease/empl \$ 4,000,000 disease/pol \$ 55,000,000	Associated General Contractors of Kentucky Cincinnati Insurance Co.	055002	A+; VII

\*See Excess Liability below

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

J. Smith Lanier & Co., A Marsh & McLennan Agency LLC company

Chris Barnett  
 Name of Authorized Representative

Agency or Brokerage  
360 East Vine Street

Street Address

Lexington KY 40507

City State Zip

859-254-8023

Telephone Number

Senior Vice President  
 Title

Authorized Signature

5-9-18

Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

Excess Liability \$5,000,000 Travelers Property & Casualty Co. 004461 A++

**15. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the Bid.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three-year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Herrick Company, Inc.

Project: Horse Park Pump Station Generator Replacement

Printed Name and Title of Authorized Representative:  
Donna S. Herrick, Chief Executive Officer

Signature: *Donna S Herrick*

Date: May 15, 2018

END OF SECTION

**15. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the Bid.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three-year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: \_\_\_\_\_

Project: \_\_\_\_\_

Printed Name and Title of Authorized Representative:

\_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

END OF SECTION

**15. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the Bid.

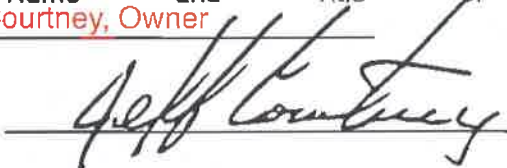
The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three-year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: JSC Electric, Inc.

Project: Horse Park PS Generator Replacement

Printed Jeff Courtney, Owner Name and Title of Authorized Representative:

Signature: 

Date: 5-12-18

END OF SECTION

**15. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the Bid.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:


- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three-year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.

2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: G & G Paving + Const Inc

Project: Horse Park Pump Station Generator Replacement

Printed Name and Title of Authorized Representative:  
MARVIN E GATESKILL

Signature: 

Date: 5/14/18

END OF SECTION



# AIA<sup>®</sup> Document A310<sup>™</sup> – 2010

## Bid Bond

**CONTRACTOR:**

(Name, legal status and address)

Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342

**SURETY:**

(Name, legal status and principal place of business)

United Fire & Casualty Company  
PO Box 73909  
Cedar Rapids, IA 52407-3909

**OWNER:**

(Name, legal status and address) Lexington-Fayette Urban County Government - Division of Water Quality  
125 Lisle Industrial Ave  
Lexington, KY 40511

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

**BOND AMOUNT:** Five Percent of the Amount Bid

( \$ 5% of Bid )

**PROJECT:**

(Name, location or address, and Project number, if any)

Bid No. 51-2018 - Horse Park Pump Station Generator Replacement, Lexington, KY

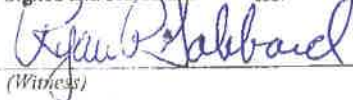
The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

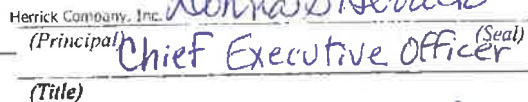
If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 15th day of

May 2018

  
(Witness)

Herrick Company, Inc.   
(Principal) Chief Executive Officer (Seal)  
(Title)

  
(Witness)

United Fire & Casualty Company   
(Surety) Leigh McCarthy (Seal)  
(Title) Leigh McCarthy  
Attorney-in-Fact

Int.



UNITED FIRE & CASUALTY COMPANY, CEDAR RAPIDS, IA  
 UNITED FIRE & INDEMNITY COMPANY, WEBSTER, TX  
 FINANCIAL PACIFIC INSURANCE COMPANY, ROCKLIN, CA  
 CERTIFIED COPY OF POWER OF ATTORNEY  
 (original on file at Home Office of Company - See Certification)

Inquiries: Surety Department  
 118 Second Ave SE  
 Cedar Rapids, IA 52401

KNOW ALL PERSONS BY THESE PRESENTS, That UNITED FIRE & CASUALTY COMPANY, a corporation duly organized and existing under the laws of the State of Iowa; UNITED FIRE & INDEMNITY COMPANY, a corporation duly organized and existing under the laws of the State of Texas; and FINANCIAL PACIFIC INSURANCE COMPANY, a corporation duly organized and existing under the laws of the State of California (herein collectively called the Companies), and having their corporate headquarters in Cedar Rapids, State of Iowa, does make, constitute and appoint JAMES T. SMITH, JAMES H. MARTIN, BROOK T. SMITH, RAYMOND M. HUNDLEY, DEBORAH NEICHTER, BONNIE J. ROWE, MICHELE LACROSSE, SUMMER BETTING, JASON CROMWELL, LEIGH MCCARTHY, EACH INDIVIDUALLY of LOUISVILLE KY

their true and lawful Attorney(s)-in-Fact with power and authority hereby conferred to sign, seal and execute in its behalf all lawful bonds, undertakings and other obligatory instruments of similar nature provided that no single obligation shall exceed \$75,000,000.00 and to bind the Companies thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of the Companies and all of the acts of said Attorney, pursuant to the authority hereby given and hereby ratified and confirmed.

The Authority hereby granted shall expire the 1st day of August, 2018 unless sooner revoked by UNITED FIRE & CASUALTY COMPANY, UNITED FIRE & INDEMNITY COMPANY, AND FINANCIAL PACIFIC INSURANCE COMPANY.

This Power of Attorney is made and executed pursuant to and by authority of the following bylaw duly adopted on May 15, 2013, by the Boards of Directors of UNITED FIRE & CASUALTY COMPANY, UNITED FIRE & INDEMNITY COMPANY, and FINANCIAL PACIFIC INSURANCE COMPANY.

**"Article VI - Surety Bonds and Undertakings"**

Section 2, Appointment of Attorney-in-Fact. "The President or any Vice President, or any other officer of the Companies may, from time to time, appoint by written certificates attorneys-in-fact to act in behalf of the Companies in the execution of policies of insurance, bonds, undertakings and other obligatory instruments of like nature. The signature of any officer authorized hereby, and the Corporate seal, may be affixed by facsimile to any power of attorney or special power of attorney or certification of either authorized hereby; such signature and seal, when so used, being adopted by the Companies as the original signature of such officer and the original seal of the Companies, to be valid and binding upon the Companies with the same force and effect as though manually affixed. Such attorneys-in-fact, subject to the limitations set forth in their respective certificates of authority shall have full power to bind the Companies by their signature and execution of any such instruments and to attach the seal of the Companies thereto. The President or any Vice President, the Board of Directors or any other officer of the Companies may at any time revoke all power and authority previously given to any attorney-in-fact.

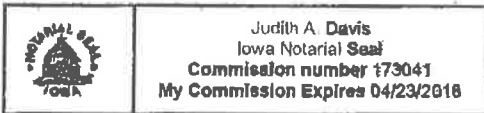
IN WITNESS WHEREOF, the COMPANIES have each caused these presents to be signed by its vice president and its corporate seal to be hereto affixed this 1st day of August, 2016

UNITED FIRE & CASUALTY COMPANY  
 UNITED FIRE & INDEMNITY COMPANY  
 FINANCIAL PACIFIC INSURANCE COMPANY

By: *Dennis J. Richmann* Vice President

State of Iowa, County of Linn, ss:  
 On 1st day of August, 2016, before me personally came Dennis J. Richmann

to me known, who being by me duly sworn, did depose and say; that he resides in Cedar Rapids, State of Iowa; that he is a Vice President of UNITED FIRE & CASUALTY COMPANY, a Vice President of UNITED FIRE & INDEMNITY COMPANY, and a Vice President of FINANCIAL PACIFIC INSURANCE COMPANY the corporations described in and which executed the above instrument; that he knows the seal of said corporations; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporations and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporations.



*Judith A. Davis* Notary Public  
 My commission expires: 04/23/2018

I, David A. Lange, Secretary of UNITED FIRE & CASUALTY COMPANY and Assistant Secretary of UNITED FIRE & INDEMNITY COMPANY, and Assistant Secretary of FINANCIAL PACIFIC INSURANCE COMPANY, do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Section of the bylaws and resolutions of said Corporations as set forth in said Power of Attorney, with the ORIGINALS ON FILE IN THE HOME OFFICE OF SAID CORPORATIONS, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.

In testimony whereof I have hereunto subscribed my name and affixed the corporate seal of the said Corporations this 15th day of May, 2018



By: *David A. Lange*  
 Secretary, UF&C  
 Assistant Secretary, UF&I/FPIC

**Ryan P. Gabbard**

---

**From:** noreply@adperfect.com  
**Sent:** Monday, April 30, 2018 11:04 AM  
**To:** ryanhci@dcr.net  
**Subject:** Your Herald-Leader classified ad receipt LEX0039300

Your Lexington Herald classified ad receipt LEX0039300



## Your Ad Has Been Approved

Do not reply to the email address above. This email is for informational purposes only.

Hello Ryan,

Your Lexington Herald ad placed on Kentucky.com has been approved for publication on the following dates:

**Ad number:** LEX0039300

**Run Schedule:** Print Ad: May 6, 2018 to May 6, 2018  
Web Ad: May 1, 2018 to May 30, 2018 \*

Run schedule is the period your ad will run and may not reflect the actual days.

Your ad has been charged as follows:

**Sub total:** 242.45

**Grand total:** 242.45

**Billed amount:** 242.45

Any applicable charges will appear as **McClatchy or McClatchy Interactive** on your statement.

-  [Friend on Facebook](#)
-  [Follow on Twitter](#)
-  [Email Support](#)

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**View our classified network**



**Protect your personal information**  
Credit card thieves have called advertisers whose phone numbers are in their ads, claiming to be classified customer service representatives and asking for credit card information. Customers should not provide credit card information to someone who calls them about an ad. If you have any concerns about the billing for your classified ad, please call the Online Member Services directly at 1-877-223-7355.

You can view, make changes or renew this and all previous ads through your classifieds account. To access your account, sign in at <http://lexingtonherald.adperfect.com>

If you have any questions about your order, please contact us at 1-877-223-7355, Monday-Friday, between 9 am and 5:00 pm Eastern time or click [here](#) to send us an email and reference ad number LEX0039300.

Thank you for choosing Lexington Herald.

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# CLASSIFIED

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### EMPLOYMENT

### Construction

Dump Truck drivers w/CDL, preferably w/ Asphalt exp. Hiring Immediately. 859-252-8099

### General Labor

13 Temporary Farm Workers Needed  
Michael W. Roberts - Emi-

### Legal

**DBE** Subcontractors  
Wanted Herrick Company  
is bidding as General  
Contractor on Horse Park  
Replacement, Generator  
Replacement, 5/15/18. All  
minority subcontractors/  
suppliers are encouraged  
to provide us a quote.  
Please contact us at 502-  
839-3484, or rick@hcr.net,  
for questions or to view  
plans/specs.

**Herrick Company, Inc.**  
2176 Waddy Road  
Lawrenceburg, KY 40342  
Tel: (502) 839-3484  
Fax: (502) 839-0939

## **Ad Proofs**

**Project Name:** Horse Park Pump Station Generator  
Replacement

**Contract/Bid #:** Bid No. 51-2018

**Awarding Agency:** LFUCG - Division of Water Quality

## Focus Journal Ad

Publication: DBE GoodFaith (DBEGoodFaith.com)

Published On: 05/03/2018 @ 01:26:22 PM PST

Expired On: 05/15/2018 @ 11:59:59 PM PST

Messages Notifications Sent To: hci@dcr.net

Published At: [https://www.dbegoodfaith.com/good-fallh-ad.php?ad\\_adid=41544&co\\_name=Herrick+Company%2C+Inc.](https://www.dbegoodfaith.com/good-fallh-ad.php?ad_adid=41544&co_name=Herrick+Company%2C+Inc.)

### Herrick Company, Inc.

Is seeking qualified DBEs, MBEs, WBEs, DVBEs

#### Project Name

**Horse Park Pump Station Generator Replacement**

#### Bid/Contract #

**Bid No. 51-2018**

#### Awarding Agency

**LFUCG - Division of Water Quality**

#### Project Location

**Lexington, Fayette County, KY**

#### Bid Date

**05/15/2018 at 02:00**

#### Project Details

**Electrical subcontractors, Suppliers for following material: generator system; reinforcing steel; grout, miscellaneous metals such as stairs, handrails & railings; gratings**

**Plans/specs are available from Herrick Company, Inc. upon email request (digital copy only).**

### Get in Touch

#### Outreach Coordinator

**Donna Herrick**

#### Project Estimator

**Ryan Gabbard**

#### Telephone

**(502) 839-3484 Ext. 12**

#### Fax

**(502) 839-0939**

#### Address

**2176 Waddy Road  
Lawrenceburg, KY 40342**

[Send a message](#)

## Trade Journal Ad

Publication: DBE Journal (DBEJournal.com)

Published On: 05/03/2018 @ 01:26:22 PM PST

Expired On: 05/15/2018 @ 11:59:59 PM PST

Published At:

[http://dbejournal.com/index.php?show\\_ad=41544&ad\\_project\\_name=Horse+Park+Pump+Station+Generator+Replacement&co\\_name=Herrick+Company%2C+Inc.](http://dbejournal.com/index.php?show_ad=41544&ad_project_name=Horse+Park+Pump+Station+Generator+Replacement&co_name=Herrick+Company%2C+Inc.)

Outreach Coordinator

Donna Herrick

Estimator

Ryan Gabbard

Contact Information

2176 Waddy Road  
Lawrenceburg, KY 40342

Telephone

(502) 839-3484 Ext. 12

Fax

(502) 839-0939

## Herrick Company, Inc.

is seeking qualified DBEs, MBEs, WBEs, DVBEs

Project Name

Horse Park Pump Station Generator Replacement

Bid/Contract #

Bid No. 51-2018

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Plans/specs are available from Herrick Company, Inc. upon email request (digital copy only).





Herrick Company, Inc.

Project: HERVE PARK PS

Date: \_\_\_\_\_

DRG TO SEND ANNOUNCEMENT

- CITE PAVING, + CONSTRUCTION INC - MARVIN GATESKILL GGPAVING@NW.TWCBC.COM
- CRITICAL ENERGY SOLUTIONS, BOB SOFFEL BOB@CES.CRITICALENERGY/SOLUTIONS.COM
- ED DAVIS CONTRACTING, ED.DAVIS@LEX@GMAIL.COM
- MICHEL ELECTRIC, DENNIS GAMBRELL DENNIS@CJMIS.NET
- RIMAR ELECTRIC, SARAH PERRY RIMARELECTRIC@GMAIL.COM
- TEAM DELE ✓
- BANSAL CONSTRUCTION, INC., TRACY POWELL TRACY.POWELL@BANSALINC.COM  
ELECTRIC SOB
- MM MANUFACTURING - NONE ✓
- GECO ENTERPRISES, INC., GIGI BACON GIGIBACON@WINDSTREAM.NET  
ACBAR
- L WATSON TRUCKING, LARRY WATSON LWATSONTRUCKING@ICLOUD.COM  
PUM, ASPHALT

**WATER AND WASTEWATER PLANTS**

Herrick Company, Inc.  
1385 Tracy Road  
Lawrenceburg, KY 40342

- Construction
- Renovation
- Maintenance
- Emergency Work

tel: (502) 839-3484  
fax: (502) 839-0939  
email: hci@dcr.net

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:11 AM  
**To:** 'ggpaving@mw.iwcbc.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

AS G Paving - 5-10-18

SR

Marvin,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:13 AM  
**To:** 'bob@ces-criticalenergysolutions.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

CRITICAL ENERGY SOLUTIONS

Bob,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
Superintendent/Project Manager  
Herrick Company, Inc.  
2176 Waddy Rd.  
Lawrenceburg, KY 40342  
502-839-3484 Office  
502-682-6903 Cell

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:14 AM  
**To:** 'eddiedavislex@gmail.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

Eddie,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:16 AM  
**To:** 'dennis@cjmis.net'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

Dennis,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:17 AM  
**To:** 'rimarelectric@gmail.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

Sarah,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, May 3, 2018 8:18 AM  
**To:** 'tracy.powell@bansalinc.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

Tracy,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

---

**From:** Ryan P. Gabbard <ryanhci@dcrc.net>  
**Sent:** Thursday, May 3, 2018 8:19 AM  
**To:** 'gigibacon@windstream.net'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement

Gigi,

Please see attached bid announcement.

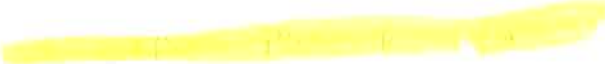
Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*



Ryan P. Gabbard

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Send:** Thursday, May 3, 2018 8:20 AM  
**To:** 'lwatsontrucking@icloud.com'  
**Subject:** KY Horse Park PS Generator Replacement  
**Attachments:** Horse Park PS Announcement



Larry,

Please see attached bid announcement.

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

Ryan P. Gabbard

**From:** Ryan P. Gabbard <ryanhci@dcr.net>  
**Sent:** Thursday, April 26, 2018 11:17 AM  
**To:** 'Daniel Hodges'  
**Subject:** KY Horse Park PS Generator  
**Attachments:** Attachments

Daniel,

Please see below announcement, plans, and all metal specs.

ShareFile Attachments

Expires October 23, 2018

Horse Park PS Announcement.pdf	1.4 KB
LFUCG-Horse Park Pump Stn Plans.pdf	5 MB
Metals Specs.pdf	77.9 KB



Ryan Gabbard uses ShareFile to share documents securely. [Learn More.](#)

Thanks,

*Ryan P. Gabbard*  
*Superintendent/Project Manager*  
*Herrick Company, Inc.*  
*2176 Waddy Rd.*  
*Lawrenceburg, KY 40342*  
*502-839-3484 Office*  
*502-682-6903 Cell*

## Ryan P. Gabbard

---

**From:** Doug <hci@dcr.net>  
**Sent:** Tuesday, May 8, 2018 11:36 AM  
**To:** 'Ryan P. Gabbard'  
**Subject:** FW: L Watson Trucking Re: Horse Park PS Announcement.pdf  
**Attachments:** Into letter.pdf; Hazen and Sawyer Bid Proposal Horse Park.pdf

Doug Herrick

Herrick Company, Inc.  
2176 Waddy Road (Hwy 395)  
Lawrenceburg, KY 40342

Office: 502-839-3484  
Fax: 502-839-0939  
Cell: 502-682-6901  
Email: hci@dcr.net

**From:** jwatson888@aol.com [mailto:jwatson888@aol.com]  
**Sent:** Tuesday, May 08, 2018 9:52 AM  
**To:** hci@dcr.net  
**Subject:** L Watson Trucking Re: Horse Park PS Announcement.pdf

L Watson Trucking would like to submit a Bid Proposal for Trucking  
Attached: Introduction letter to our company  
Bid Proposal  
If there is anything else you need, please contact

Jane Watson, Office Project Manager 502-727-1713 <a href="mailto:jwatson888@aol.com">jwatson888@aol.com</a>	Larry Watson, Owner 502-387-9211 <a href="mailto:lwatsontrucking@icloud.com">lwatsontrucking@icloud.com</a>
---	---

-----Original Message-----  
**From:** Larry Watson <[lwatsontrucking@icloud.com](mailto:lwatsontrucking@icloud.com)>  
**To:** jwatson888 <[jwatson888@aol.com](mailto:jwatson888@aol.com)>  
**Sent:** Thu, May 3, 2018 9:57 am  
**Subject:** Horse Park PS Announcement.pdf

Sent from my iPhone

L WATSON TRUCKING  
211 N Beckley Station  
Rd. Louisville, Ky 40245  
PH 502-387-9211  
FAX 502-290-0407

**National Minority Supplier Development Council Certified**

**MSD; Louisville Metro & Lexington Metro Gov Certified**

**Member Home Builder Industry Association**

L Watson Trucking provides the following services: **Tri Axle Dump Truck ; Dumpster; and Demolition certified with the Metro Housing in Jefferson County, Louisville, Ky**

Mr. Watson is certified MBE with the above highlighted organizations

Mr. Watson is an Equal Opportunity employer and hires from the local areas.

The following are some of the companies we have past and current sub contracted on bids on projects with Louisville MSD & Louisville Water Co. and private contracts

1. Jefferson Co Stone (Rogers Group) - 19400 Old Henry Rd. Louisville Ky 40223 Shirley Emge -502-2544359
2. MAC Construction & Excavating New Albany Ind. , 812-941-0699
3. Garney Construction Nashville, Tenn. 615-708-3941
4. Theineman Construction Westfield, IN Michelle Press 317-887-3462
5. J. F. Shea Construct INC (Ohio River Tunnel Project) Walnut, CA 909-594-9500
6. Flynn Brothers-1213 Outer Loop, Louisville Ky 40219 Theresa Shireman 502-884-7497
7. Advanced Paving Eastwood, Ky Daniel Lee 502-245-8935

We also do jobs for Local Builders in Jefferson, Bullitt, and Shelby Co

Mr. Watson has also contracted in Eastern Kentucky in the past

We are on the MBE list in both Louisville and Lexington. We can complete any other necessary Forms your company uses if f you chose to use our company.

If you have any questions or require further forms, please call Jane at 502-727-1713

We would appreciate the opportunity to work with your company.

**L WATSON TRUCKING**

211 N Beckley Station Rd. Louisville, KY 40245

PH 502-727-1713

FAX 502-290-0407

National Minority Supplier Diversity Development Council Certified

MSD; Louisville Metro: & Lexington Metro GOV.

Certified Trucking (Haul); Dumpster; Wrecking

TRUCKING BID

DATE: 5-07-18

**HAZEN AND SAWYER**

PROJECT: HORSE PUMP STATION GENERATOR REPLACEMENT, LEXINGTON, KY

ATT: Project Manager

**Details: TO PROVIDE TRUCKING: Rate \$85/HR**

**Equipment to be used: Tri Axle Dump Truck**

**90% of Laborers live in the surrounding counties (Jefferson, Bullitt, Spencer Shelby, Clarksville, In**

Thank you for considering Watson for your future projects. We strive to be your number one contact for all your trucking needs.

Contacts: Larry Watson      President      502-387-9211      [lwatsontrucking@icloud.com](mailto:lwatsontrucking@icloud.com)

Jane Watson      Office Project Manager      502-727-1713      [jwatson888@aol.com](mailto:jwatson888@aol.com)

**PLEASE LET US KNOW IF YOU WILL BE USING OUR BID**

A handwritten signature in cursive script that reads "Larry Watson". The signature is written in dark ink and is positioned in the lower right quadrant of the page.

**hci@dcr.net**

---

**From:** Bynes, Melvin (KYTC) <Melvin.Bynes2@ky.gov>  
**Sent:** Friday, May 11, 2018 8:52 AM  
**To:** hci@dcr.net  
**Subject:** RE: Herrick Company - General Contractor

Here is the link to our DBE directory.

<https://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/Certified-DBE-Directory.aspx>

**From:** hci@dcr.net <hci@dcr.net>  
**Sent:** Thursday, May 10, 2018 5:27 PM  
**To:** Bynes, Melvin (KYTC) <Melvin.Bynes2@ky.gov>  
**Subject:** Herrick Company - General Contractor

Dear Mr. Bynes,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

**hci@dcr.net**

---

**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:31 PM  
**To:** 'smarston@tsmsdc.com'  
**Subject:** FW: Herrick Company - General Contractor

So sorry – I typed incorrect email address.

**From:** hci@dcr.net <hci@dcr.net>  
**Sent:** Thursday, May 10, 2018 5:13 PM  
**To:** 'smarston@tsmsde.com' <smarston@tsmsde.com>  
**Subject:** Herrick Company - General Contractor

Ms. Marston,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

**hci@dcr.net**

---

**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:29 PM  
**To:** 'paatricem@keynewsjournal.com'  
**Subject:** Herrick Company - General Contractor

Dear Patrice,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax



**hci@dcr.net**

---

**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:15 PM  
**To:** 'palcorn@cvky.org'  
**Subject:** Herrick Company - General Contractor

Dear Ms. Alcorn,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

**hci@dcr.net**

---

**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:14 PM  
**To:** 'smack@uky.edu'  
**Subject:** Herrick Company - General Contractor

Dear Shirie,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

hci@dcr.net

---

**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:31 PM  
**To:** 'smarston@tsmsdc.com'  
**Subject:** FW: Herrick Company - General Contractor

So sorry – I typed incorrect email address.

**From:** hci@dcr.net <hci@dcr.net>  
**Sent:** Thursday, May 10, 2018 5:13 PM  
**To:** 'smarston@tsmsde.com' <smarston@tsmsde.com>  
**Subject:** Herrick Company - General Contractor

Ms. Marston,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

**hci@dcr.net**

---

**From:** hci@dcr.net  
**Sent:** Thursday, May 10, 2018 5:11 PM  
**To:** 'ttyra@commercelexington.com'  
**Subject:** Herrick Company Projects

Mr. Tyra,

We are a general contractor in the water and wastewater treatment plant construction industry. While we are majority-owned by a woman, I have not yet completed the application process for certification. Therefore, we continue to seek ways to meet local municipal goals for MWDBE participation. You are listed as a contact in the specifications of a project we are currently bidding for LFUCG. I have previously contacted Sherita Miller and received a list of MWDBEs in the surrounding areas. Do you have any additional sources that we can try as we search for qualified subcontractors and suppliers?

Any assistance you can give us will be appreciated. If you have questions for us, please call or email me.

Thank you,

Donna Herrick  
Herrick Company, Inc.  
2176 Waddy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 fax

**From:** Tyrone Tyra <ttyra@commercelexington.com>  
**Sent:** Thursday, May 10, 2018 5:18 PM  
**To:** hci@dcr.net  
**Subject:** Automatic reply: Herrick Company Projects

Hello,

Thank you for writing. I will be out of the office until Friday May 11th. I will have limited email ability so if you write I will try to get back to you. If you need immediate assistance please give Lauren Gross a call at 859-226-1621.

Best,  
Tyrone

**Disclaimer**

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**PART III**  
**FORM OF PROPOSAL**  
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**PART III**

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**Invitation to Bid No. 51-2018**

**HORSE PARK PUMP STATION GENERATOR REPLACEMENT**

**1. FORM OF PROPOSAL**

Place: Lexington, Kentucky

Date: \_\_\_\_\_

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by \_\_\_\_\_

\_\_\_\_\_  
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of \_\_\_\_\_, doing business as \_\_\_\_\_  
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of the Director of Purchasing  
200 East Main Street, 3rd Floor  
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the Horse Park Pump Station Generator Replacement having examined the Contract Documents with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this Proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

Bidder hereby agrees to commence work under this Contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. Bidder further agrees to pay liquidated damages, the sum of \$850.00 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. \_\_\_\_ Date \_\_\_\_\_



Addendum No. \_\_\_\_ Date \_\_\_\_\_  
 Addendum No. \_\_\_\_ Date \_\_\_\_\_  
 Addendum No. \_\_\_\_ Date \_\_\_\_\_  
 Addendum No. \_\_\_\_ Date \_\_\_\_\_  
 Addendum No. \_\_\_\_ Date \_\_\_\_\_  
 Addendum No. \_\_\_\_ Date \_\_\_\_\_  
 Addendum No. \_\_\_\_ Date \_\_\_\_\_

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

**2. LEGAL STATUS OF BIDDER**

Bidder \_\_\_\_\_

Date \_\_\_\_\_

\* 1. A corporation duly organized and doing business under the laws of the State of \_\_\_\_\_, for whom \_\_\_\_\_, bearing the official title of \_\_\_\_\_, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

\* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

\* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

\_\_\_\_\_  
 \_\_\_\_\_

\*(The Bidder shall fill out the appropriate form and strike out the other two.)

**3. BIDDERS AFFIDAVIT**

Comes the Affiant, \_\_\_\_\_, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is \_\_\_\_\_ and he/she is the individual submitting the Bid or is the authorized representative of \_\_\_\_\_, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the Contract and will maintain a "current" status in regard to those taxes and fees during the life of the Contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a Contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.  
Further, Affiant sayeth naught.

\_\_\_\_\_  
(Affiant)

**STATE OF** \_\_\_\_\_

**COUNTY OF** \_\_\_\_\_

The foregoing instrument was subscribed, sworn to and acknowledged before me by

\_\_\_\_\_ on this the \_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

My Commission expires: \_\_\_\_\_

NOTARY PUBLIC, STATE AT LARGE

**4. BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Drawings for the following proposed lump sum price, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of Bid.

Form of proposal must include lump sum price(s) written in words, lump sum price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, lump sum bid price written in words will prevail followed by lump sum price written in numbers then total amount bid per line item.

For a lump sum based Bid, the item total is the Bid amount the Division uses for Bid comparison.

For a unit price based Bid, the sum of the item totals is the Bid amount the Division uses for Bid comparison.

The LFUCG's decision on the Bid amount is final.

The Contract, if awarded, will be on the basis of materials and equipment specified in the Specifications without consideration of possible substitute or "or equal" items.

The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

The Owner's decision on the bid amount is final.

**BID SCHEDULE**

Item	Description	Qty	Unit	Unit Price	Item Bid Price
1.	Removal/demolition of the existing diesel-fueled standby generator, new 80kW diesel-fueled standby generator, new platform/railing, concrete slab additions, site work and all associated electrical appurtenances included and shown on the Contract Documents.	1	LS		
<b>TOTAL BID (Items 1 thru 1)</b>					

**Alternate Equipment Information**

Equipment Item	Base Bid Equipment Manufacture
1. Generator Set	Caterpillar Cummins / Onan MTU / Detroit Diesel

Notes: 1. Where more than one Base Bid equipment manufacturer is listed above, the Bidder has the option of including any of the listed manufacturers in the Base Bid. The Bidder must indicate the Base Bid equipment included in the Bid by circling the selected manufacturer at the time of the submission of the Bid. The design has been completed using the first listed Base Bid equipment manufacturer. Should the Bidder list the second, or third Base Bid equipment, the Bidder, at no additional cost to the Owner, shall make any changes to structure, concrete, piping controls, electrical, instrumentation, architectural, mechanical, etc. that may be necessary to accommodate this equipment.

TOTAL OF ALL BID PRICES FOR the Horse Park Generator Replacement Project (Items 1 through 1) in words and figures. In case of discrepancy, the amount shown in words will govern.

\_\_\_\_\_

\_\_\_\_\_

(\$ \_\_\_\_\_).

Submitted by:

\_\_\_\_\_

*Firm*

\_\_\_\_\_

*Address*

\_\_\_\_\_

*City, State & Zip*

***Bid must be signed:  
(original signature)***

\_\_\_\_\_

***Signature of Authorized Company Representative – Title***

\_\_\_\_\_

*Representative/s Name (Typed or Printed)*

\_\_\_\_\_

*Area Code – Phone – Extension* *Fax #*

\_\_\_\_\_

*E-Mail Address*

OFFICIAL ADDRESS:

---

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(Seal if Bid is by Corporation)

**By signing this form, you agree to ALL terms, conditions, and associated forms in this Bid package**

**5. STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Bid:

1. Name of Bidder: \_\_\_\_\_
2. Permanent Place of Business: \_\_\_\_\_
3. When Organized: \_\_\_\_\_
4. Where Incorporated: \_\_\_\_\_
5. Construction Plant and Equipment Available for this Project:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

\_\_\_\_\_ (Surety)

Signed: \_\_\_\_\_ (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS (LIST)</u>	<u>PROJECT (SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

**6. LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the Bidder's FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

**BRANCH OF WORK - LIST EACH MAJOR ITEM** Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.      **SUBCONTRACTOR**      **DBE** % of Work      **Yes/No**

1.		Name: _____			
		Address: _____			
2.		Name: _____			
		Address: _____			
3.		Name: _____			
		Address: _____			
4.		Name: _____			
		Address: _____			
5.		Name: _____			
		Address: _____			
6.		Name: _____			
		Address: _____			
7.		Name: _____			
		Address: _____			

(Attach additional sheet(s) if necessary.)



**7. LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS**

**A. GENERAL**

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their Bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this Contract.
- 3) The LFUCG has also established a 3% of total procurement costs as a Goal for participation of Veteran-Owned Small Businesses.
- 4) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation, the same goal (3%) veteran participation and other requirements as outlined in this section.**

**B. PROCEDURES**

- 1) The successful Bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Veteran-Owned and Woman-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Veteran-Owned or Woman-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, Bidders may contact:
  - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE and veteran subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the Project.

**C. DEFINITIONS**

- 1) A MBE is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A WBE is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.

- 3) A DBE is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) A VOSB is defined as a business which is certified as being at least 51% owned and operated by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a Bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the Bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The Bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the Bidder; however, Bidders not meeting the goal are required to furnish with their Bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the Bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If Bid includes no MWDBE participation, Bidder shall enter "None" on the subcontractor / supplier form). In addition, the Bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
  - a. Advertised opportunities to participate in the Contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
  - b. Included documentation of advertising in the above publications with the Bidders good faith efforts package
  - c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event

- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this Project. Those contacted and their responses should be a part of the Bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.
- j. Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the Contract goals. This includes, where appropriate, breaking out Contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the Contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a Bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the Bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the Bid.

p. Made efforts to expand the search for MWDBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

q. Other--any other evidence that the Bidder submits which may show that the Bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

**Note: Failure to submit any of the documentation requested in this section may be cause for rejection of Bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**



## MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA  
Minority Business Enterprise Liaison  
Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)  
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

*“A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises...”*

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

In addition, to that end the city council also adopted and implemented resolution 167-91—Veteran-owned Businesses, 3% Goal Plan in July of 2015. The resolution states in part (a full copy is available in Central Purchasing):

*“A resolution adopting a three percent (3%) minimum goal for certified veteran-owned small businesses and service disabled veteran-owned businesses for certain of those Lexington-Fayette Urban County contracts related to construction for professional services, and authorizing the Division of Purchasing to adopt and implement guidelines and/or policies consistent with the provisions and intent of this resolution by no later than July 1, 2015.”*

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

<b>Business</b>	<b>Contact</b>	<b>Email Address</b>	<b>Phone</b>
<b>LFUCG</b>	Sherita Miller	<a href="mailto:smiller@lexingtonky.gov">smiller@lexingtonky.gov</a>	859-258-3323
<b>Commerce Lexington – Minority Business Development</b>	Tyrone Tyra	<a href="mailto:ttyra@commercelexington.com">ttyra@commercelexington.com</a>	859-226-1625
<b>Tri-State Minority Supplier Diversity Council</b>	Susan Marston	<a href="mailto:smarston@tsmsdc.com">smarston@tsmsdc.com</a>	502-365-9762
<b>Small Business Development Council</b>	Shirie Hawkins UK SBDC	<a href="mailto:smack@uky.edu">smack@uky.edu</a>	859-257-7666
<b>Community Ventures Corporation</b>	Phyllis Alcorn	<a href="mailto:palcorn@cvky.org">palcorn@cvky.org</a>	859-231-0054
<b>KY Transportation Cabinet (KYTC)</b>	Melvin Byne	<a href="mailto:Melvin.bynes2@ky.gov">Melvin.bynes2@ky.gov</a>	502-564-3601
<b>KYTC Pre-Qualification</b>	Shella Eagle	<a href="mailto:Shella.Eagle@ky.gov">Shella.Eagle@ky.gov</a>	502-782-4815
<b>Ohio River Valley Women’s Business Council (WBENC)</b>	Sheila Mixon	<a href="mailto:smixon@orvwbc.org">smixon@orvwbc.org</a>	513-487-6537
<b>Kentucky MWBE Certification Program</b>	Yvette Smith, Kentucky Finance Cabinet	<a href="mailto:Yvette.Smith@ky.gov">Yvette.Smith@ky.gov</a>	502-564-8099
<b>National Women Business Owner’s Council (NWBOC)</b>	Janet Harris-Lange	<a href="mailto:janet@nwbo.org">janet@nwbo.org</a>	800-675-5066
<b>Small Business Administration</b>	Robert Coffey	<a href="mailto:robertcoffey@sba.gov">robertcoffey@sba.gov</a>	502-582-5971
<b>LaVoz de Kentucky</b>	Andres Cruz	<a href="mailto:lavozdeky@yahoo.com">lavozdeky@yahoo.com</a>	859-621-2106
<b>The Key News Journal</b>	Patrice Muhammad	<a href="mailto:paatricem@keynewsjournal.com">paatricem@keynewsjournal.com</a>	859-373-9428



**LFUCG MWDBE PARTICIPATION FORM**

**Bid/RFP/Quote Reference #** \_\_\_\_\_

The MWDBE and/or Veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.			
2.			
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

\_\_\_\_\_  
**Company**

\_\_\_\_\_  
**Company Representative**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Title**



**LFUCG MWDBE SUBSTITUTION FORM**

**Bid/RFP/Quote Reference #** \_\_\_\_\_

The substituted MWDBE and/or Veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

\_\_\_\_\_  
**Company**

\_\_\_\_\_  
**Company Representative**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Title**





**MWDBE QUOTE SUMMARY FORM**

Bid/RFP/Quote Reference # \_\_\_\_\_

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit a completed form may cause rejection of the bid.

Company Name	Contact Person
Address/Phone/Email	Bid Package / Bid Date

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

\_\_\_\_\_  
Company

\_\_\_\_\_  
Company Representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title



## LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

**Bid/RFP/Quote #** \_\_\_\_\_

**Total Contract Amount Awarded to Prime Contractor for this Project** \_\_\_\_\_

<b>Project Name/ Contract #</b>	<b>Work Period/ From:</b> _____ <b>To:</b> _____
<b>Company Name:</b>	<b>Address:</b>
<b>Federal Tax ID:</b>	<b>Contact Person:</b>

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

\_\_\_\_\_  
**Company**

\_\_\_\_\_  
**Company Representative**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Title**

**LFUCG STATEMENT OF GOOD FAITH EFFORTS**

**Bid/RFP/Quote # \_\_\_\_\_**

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

\_\_\_\_\_ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

\_\_\_\_\_ Included documentation of advertising in the above publications with the bidders good faith efforts package

\_\_\_\_\_ Attended LFUCG Central Purchasing Economic Inclusion Outreach event

\_\_\_\_\_ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

\_\_\_\_\_ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

\_\_\_\_\_ Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

\_\_\_\_\_ Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

\_\_\_\_\_ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

\_\_\_\_\_ Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

\_\_\_\_\_ Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

\_\_\_\_\_ Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items

into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

\_\_\_\_\_ Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

\_\_\_\_\_ Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

\_\_\_\_\_ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

\_\_\_\_\_ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

\_\_\_\_\_ Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

\_\_\_\_\_ Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

**NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

\_\_\_\_\_  
**Company**

\_\_\_\_\_  
**Company Representative**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Title**

**8. AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached Bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the Bid or Bids, and will not be communicated to any such person, prior to the official opening of the Bid or Bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky \_\_\_\_\_. Check the statement applicable.
6. This offer is for 60 calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a Contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

**9. STATEMENT OF EXPERIENCE**

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

**10. EQUAL OPPORTUNITY AGREEMENT**

The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:  
*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*
- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:  
*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Name of Business



The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

#### KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

#### KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*

- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

**KRS 45.630 Termination of existing employee not required, when**

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

**KRS 45.640 Minimum skills**

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

**11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of \_\_\_\_\_  
to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.



**12. WORKFORCE ANALYSIS FORM**

Name of Organization: \_\_\_\_\_

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators																	
Professionals																	
Superintendents																	
Supervisors																	
Foremen																	
Technicians																	
Protective Service																	
Para-Professionals																	
Office/Clerical																	
Skilled Craft																	
Service/Maintenance																	
<b>Total:</b>																	

Prepared by: \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

(Name and Title)

**13. EVIDENCE OF INSURABILITY**

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT**  
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: \_\_\_\_\_ Employee ID: \_\_\_\_\_  
 Address: \_\_\_\_\_ Phone: \_\_\_\_\_

Project to be insured: \_\_\_\_\_

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code Rating
SC-3, Section 2, Part 4.1 – see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$		
SC-3, Section 2, Part 4.1 – see provisions	AUTO	\$2,000,000/per occ.	\$		
SC-3, Section 2, Part 4.1 – see provisions	WC	Statutory w/endorsement as noted	\$		

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Agency or Brokerage \_\_\_\_\_ Name of Authorized Representative \_\_\_\_\_  
 Street Address \_\_\_\_\_ Title \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ Authorized Signature \_\_\_\_\_  
 Telephone Number \_\_\_\_\_ Date \_\_\_\_\_

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

**IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the Bid.**

**14. DEBARRED FIRMS**

**PROJECT NAME:** Horse Park Pump Station Generator Replacement

**BID NUMBER:** \_\_\_\_\_

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All Bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the Bid. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of \_\_\_\_\_ has not and will not award a subcontract, in connection with any Contract award to it as the result of this Bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

\_\_\_\_\_  
Name of Firm Submitting Bid

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

**15. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the Bid.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three-year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: \_\_\_\_\_

Project: \_\_\_\_\_

Printed Name and Title of Authorized Representative: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

END OF SECTION



**PART IV**  
**GENERAL CONDITIONS**  
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## PART IV

### GENERAL CONDITIONS

#### 1. DEFINITIONS

Wherever used in these General Conditions or the other Contract Documents, the following terms have the meanings indicated which are applicable to both the singular and plural thereof.

**1.1 Addenda**

Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bid Documents or the Contract Documents.

**1.2 Agreement**

The written agreement between OWNER and CONTRACTOR covering the Work to be performed; other Contract Documents are attached to the Agreement and made a part thereof as provided therein.

**1.3 Application for Payment**

The form accepted by ENGINEER which is to be used by CONTRACTOR in requesting progress or final payments and which is to include such supporting documentation as is required by the Contract Documents.

**1.4 Bid**

The offer or proposal of the Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.

**1.5 Bidder**

An individual, partnership, or corporation, who submit a Bid for a prime Contract with the OWNER, for the Work described in the proposed Contract Documents.

**1.6 Bonds**

Bid, performance and payment bonds and other instruments of security.

**1.7 Calendar Day**

A calendar day of twenty-four hours measured from midnight to the next midnight shall constitute a day.

**1.8 Change Order**

A document recommended by ENGINEER, which is signed by CONTRACTOR and OWNER and authorizes an addition, deletion or revision in the Work, or an adjustment in the Contract Price or the Contract Time, issued on or after the Effective Date of the Agreement.

**1.9 Contract Documents**

The Advertisement for Bidders, Information for Bidders, Agreement, Addenda (which pertain to the Contract Documents), CONTRACTOR'S Bid (including documentation accompanying the Bid and any post-bid documentation submitted prior to the Notice of Award) when attached as an exhibit to the Agreement, the Bonds, these General Conditions, the Special Conditions, the Specifications and the Drawings as the same

are more specifically identified in the Agreement, together with all amendments, modifications and supplements.

- 1.10 Contract Unit Price**  
The monies payable by OWNER to CONTRACTOR under the Contract Documents as stated in the Agreement. Unit Prices are to be firm for the term of this Contract.
- 1.11 Contract Time**  
The number of consecutive calendar days between the date of issuance of the Notice to Proceed and the Contract completion date.
- 1.12 CONTRACTOR**  
The person, firm or corporation with whom OWNER has entered into the Agreement.
- 1.13 Defective**  
An adjective which when modifying the word Work refers to Work that is unsatisfactory, faulty or deficient, or does not conform to the Contract Documents, or does not meet the requirements of any inspection, reference standard, test or approval referred to in the Contract Documents, or has been damaged prior to ENGINEER'S recommendation of final payment (unless responsibility for the protection thereof has been assumed by OWNER).
- 1.14 Drawings**  
The Drawings which show the character and scope of the Work to be performed and which have been prepared or approved by ENGINEER and are referred to in the Contract Documents.
- 1.15 Effective Date of the Agreement**  
The date indicated in the Agreement on which it becomes effective.
- 1.16 ENGINEER**  
The Lexington-Fayette Urban County Government Division of Water Quality or its authorized representative.
- 1.17 Field Order**  
A documented order issued by ENGINEER which orders minor changes in the Work, but which does not involve a change in the Contract Price or the Contract Time.
- 1.18 Giving Notice**  
Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if delivered in person to the individual or to a member of the firm or to an officer of the corporation for whom it is intended, or if delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the giver of the notice.
- 1.19 Laws and Regulations**  
Laws, rules, regulations, ordinances, codes and/or orders.

- 1.20 Notice of Award**  
The written notice by OWNER to the apparent successful Bidder stating that upon compliance by the apparent successful Bidder with the conditions enumerated therein, within the time specified, OWNER will sign and deliver the Agreement.
- 1.21 Notice to Proceed**  
A written notice given by OWNER to CONTRACTOR fixing the date on which the Contract Time will commence to run and on which CONTRACTOR shall start to perform CONTRACTOR'S obligations under the Contract Documents.
- 1.22 OWNER**  
The Lexington-Fayette Urban County Government.
- 1.23 Partial Utilization**  
Placing a portion of the Work in service for the purpose for which it is intended (or related purpose) before reaching Completion for all the Work.
- 1.24 Project**  
The total construction of which the Work to be provided under the Contract Documents may be the whole, or a part as indicated elsewhere in the Contract Documents.
- 1.25 Inspector**  
The authorized representative of the ENGINEER who is assigned to the site or any part thereof.
- 1.26 Shop Drawings**  
All drawings, diagrams, illustrations, schedules and other data which are specifically prepared by or for CONTRACTOR to illustrate some portion of the Work and all illustrations, brochures, standard schedules, performance charts, instructions, diagrams and other information prepared by a Supplier and submitted by CONTRACTOR to illustrate material or equipment for some portion of the Work.
- 1.27 Specifications**  
Those portions of the Contract Documents consisting of written technical descriptions of materials, equipment, construction systems, standards and workmanship as applied to the Work and certain administrative details applicable thereto.
- 1.28 Standard Specifications**  
The "Standard Specifications for Road and Bridge Construction", Transportation Cabinet, Department of Highways, Commonwealth of Kentucky, current edition. MUTCD shall refer to the "Manual of Uniform Traffic Control Devices.
- 1.29 Subcontractor**  
An individual, firm or corporation having a direct contract with CONTRACTOR or with any other Subcontractor for the performance of a part of the Work at the site.
- 1.30 Special Conditions**  
The part of the Contract Documents which amends or supplements these General Conditions.

**1.31 Supplier**

A manufacturer, fabricator, supplier, distributor, materialman or vendor.

**1.32 Underground Facilities**

All pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels or other such facilities or attachments, and any encasements containing such facilities which have been installed underground to furnish any of the following services or materials: electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, sewage and drainage removal, traffic or other control systems or water.

**1.33 Unit Price Work**

Not applicable

**1.34 Work**

The entire completed construction or the various separately identifiable parts thereof required to be furnished under the Contract Documents. Work is the result of performing services, furnishing labor and furnishing and incorporating materials and equipment into the construction, all as required by the Contract Documents.

**1.35 Time Period**

When any period of time is referred to in the Contract Documents by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

**2. PRELIMINARY MATTERS**

**2.1 Delivery of Bonds**

When the CONTRACTOR delivers the executed Agreements to OWNER, CONTRACTOR shall also deliver to OWNER, such Bonds, Insurance Certificate, and Power of Attorney as CONTRACTOR may be required to furnish.

**2.2 Copies of Documents**

Owner shall furnish to CONTRACTOR up to three copies (unless otherwise specified in the Special Conditions) of the Contract Documents as are reasonably necessary for the execution of the Work. Additional copies will be furnished, upon request, at the cost of reproduction.

**2.3 Commencement of Contract Time; Notice to Proceed**

The Contract Time will commence to run on the day specified in the Notice to Proceed.

**2.4 Starting the Project**

CONTRACTOR shall start to perform the Work on the date when the Contract Time commences to run, but no Work shall be done at the site prior to the date on which the Contract Time commences to run.



**2.5 Before Starting Construction**

Before undertaking each part of the Work, CONTRACTOR shall carefully study and compare the Contract Documents and check and verify pertinent figures shown thereon and all applicable field measurements. CONTRACTOR shall promptly report in writing to ENGINEER any conflict, error or discrepancy which CONTRACTOR may discover and shall obtain a written interpretation or clarification from ENGINEER before proceeding with any Work affected thereby; however, CONTRACTOR shall not be liable to OWNER or ENGINEER for failure to report any conflict, error or discrepancy in the Contract Documents, unless CONTRACTOR had actual knowledge thereof or should reasonably have known thereof.

**2.6 Submittal of Schedules**

Within ten days after the effective date of the Agreement (unless otherwise specified) CONTRACTOR shall submit to ENGINEER for review:

**2.6.1** an estimated progress schedule indicating the starting and completion dates of the various stages of the Work;

**2.6.2** a preliminary schedule of Shop Drawing submissions; and

**2.6.3** a preliminary schedule of values for all of the Work which will include quantities and prices of items aggregating the Contract Price and will subdivide the Work into component parts in sufficient detail to serve as the basis for progress payments during construction. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work which will be confirmed in writing by CONTRACTOR at the time of submission.

**2.7 Preconstruction Conference**

Before CONTRACTOR starts the Work at the proposed site, a conference attended by CONTRACTOR, ENGINEER, EEO-Affirmative Action Officer, and other appropriate parties will be held to discuss the following issues: (1) The scheduling of the Work to be completed; (2) The procedures for handling shop drawings and other submittals; (3) The processing of applications for payment; (4) The establishment of an understanding among the involved parties in regard to the proposed project; and (5) The establishment of procedures for effectively implementing the LFUCG's 10% minimum DBE goals.

**2.8 Finalizing Schedules**

At least ten days before submission of the first Application for Payment a conference attended by CONTRACTOR, ENGINEER and others as appropriate will be held to finalize the schedules submitted in accordance with paragraph 2.6. The finalized progress schedule will be acceptable to ENGINEER as providing orderly progression of the Work to completion within the Contract Time, but such acceptance will neither impose on ENGINEER responsibility for the progress or scheduling of the Work nor relieve CONTRACTOR from full responsibility thereof. The finalized schedule of Shop Drawing submissions will be acceptable to ENGINEER as providing a workable arrangement for processing the submissions. The finalized schedule of values will be acceptable to ENGINEER as to form and substance.

**3. CONTRACT DOCUMENTS: INTENT, CONFLICTS, AMENDING AND REUSE**

**3.1 General**

The Contract Documents comprise the entire Agreement between OWNER and CONTRACTOR concerning the Work. The Contract Documents are complementary; what is called for by one is as binding as if called for by all. The Contract Documents will be construed in accordance with the law of the place of the Project.

**3.2 Intent**

It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents. Any Work, materials or equipment that may reasonably be inferred from the Contract Documents as being required to produce the intended result will be supplied whether or not specifically called for. When words which have a well-known technical or trade meaning are used to describe Work, materials or equipment such words shall be interpreted in accordance with that meaning. Reference to standard specifications, manuals or codes of any technical society, organization or association, or to the laws or regulations of any governmental authority, whether such reference be specific or by implication, shall mean the latest standard specification, manual, code or laws or regulations in effect at the time of opening of Bids (or, on the Effective Date of the Agreement if there were no Bids), except as may be otherwise specifically stated. However, no provision of any referenced standard specification, manual or code (whether or not specifically incorporated by reference in the Contract Documents) shall be effective to change the duties and responsibilities of OWNER, CONTRACTOR or ENGINEER, or any of their consultants, agents or employees from those set forth in the Contract Documents, nor shall it be effective to assign to ENGINEER, or any of ENGINEER'S consultants, agents or employees, any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraph 8.12.3 or 8.12.4. Clarifications and interpretations of the Contract Documents shall be issued by ENGINEER as provided in paragraph 8.4.

**3.3 Conflicts**

If, during the performance of the Work, CONTRACTOR finds a conflict, error or discrepancy in the Contract Documents, CONTRACTOR shall so report to ENGINEER in writing at once and before proceeding with the Work affected thereby shall obtain a written interpretation or clarification from ENGINEER; however, CONTRACTOR shall not be liable to OWNER or ENGINEER for failure to report any conflict, error or discrepancy in the Contract Documents unless CONTRACTOR had actual knowledge thereof or should reasonably have known thereof.

In resolving such conflicts, errors and discrepancies, the documents shall be given precedence in the following order:

1. Agreement
2. Field and Change Orders
3. Addenda
4. Special Conditions
5. Instruction to Bidders
6. General Conditions
7. Specifications and Drawings

Figure dimension on drawings shall govern over scale dimensions and detailed Drawings shall govern over general Drawings.

**3.4 Amending and Supplementing Contract Documents**

The Contract Documents may be amended to provide for additions, deletions and revisions in the Work or to modify the terms and conditions thereof by means of a Change Order or a Field Order. Contract Price and Contract Time may only be changed by a Change Order.

**3.5 Reuse of Documents**

Neither CONTRACTOR nor any subcontractor or supplier or other person or organization performing or furnishing any of the Work under a direct or indirect contract with OWNER shall have or acquire any title to or ownership rights in any of the Drawings, Specifications or other documents (or copies of any thereof) prepared by or bearing the seal of ENGINEER; and they shall not reuse any of them on extensions of the Project or any other project without written consent of OWNER and ENGINEER and specific written verification or adaptation by ENGINEER.

**4. AVAILABILITY OF LANDS; PHYSICAL CONDITIONS, REFERENCE POINTS**

**4.1 Availability of Lands**

OWNER shall furnish, as indicated in the Contract Documents, the lands upon which the Work is to be performed, rights-of-way and easements for access thereto, and such other lands which are designated for the use of CONTRACTOR. Easements for permanent structures or permanent changes in existing facilities will be obtained and paid for by OWNER, unless otherwise provided in the Contract Documents. If CONTRACTOR believes that any delay in OWNER'S furnishing these lands, rights-of-way or easements entitles CONTRACTOR to an extension of the Contract Time, CONTRACTOR may make a claim therefor as provided in Article 11. ENGINEER shall determine if the claim is legitimate or not. CONTRACTOR shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

**4.2 Physical Conditions**

**4.2.1 Explorations and Reports**

Reference is made to the Special Conditions for identification of those reports of explorations and tests of subsurface conditions at the site that have been utilized by ENGINEER in preparation of the Contract Documents. CONTRACTOR may rely upon the accuracy of the technical data contained in such reports, but not upon non-technical data, interpretations or opinions contained therein or for the completeness thereof for CONTRACTOR'S purposes. Except as indicated in the immediately preceding sentence and in paragraph 4.2.6, CONTRACTOR shall have full responsibility with respect to subsurface conditions at the site.

4.2.2 Existing Structures

Reference is made to the Special Conditions for identification of those drawings of physical conditions in or relating to existing surface and subsurface structures (except Underground Facilities referred to in paragraph 4.3 which are at or contiguous to the site that have been utilized by ENGINEER in preparation of the Contract Documents. CONTRACTOR may rely upon the accuracy of the technical data contained in such drawings, but not for the completeness thereof for CONTRACTOR'S purposes. Except as indicated in the immediately preceding sentence and in paragraph 4.2.6, CONTRACTOR shall have full responsibility with respect to physical conditions in or relating to such structures.

4.2.3 Report of Differing Conditions

If CONTRACTOR believes that:

4.2.3.1 any technical data on which CONTRACTOR is entitled to rely as provided in paragraphs 4.2.1 and 4.2.2 is inaccurate, or

4.2.3.2 any physical conditions uncovered or revealed at the site differ materially from that indicated, reflected or referred to in the Contract Documents,

CONTRACTOR shall, promptly after becoming aware thereof and before performing and WORK in connection therewith (except in an emergency) notify OWNER and ENGINEER in writing about the inaccuracy or difference.

4.2.4 ENGINEER'S Review

Engineer will promptly review the pertinent conditions, determine the necessity of obtaining additional explorations or tests with respect thereto and advise CONTRACTOR of ENGINEER'S findings and conclusions.

4.2.5 Possible Document Change

If ENGINEER concludes that there is a material error in the Contract Documents or that because of newly discovered conditions a change to the Contract Documents is required, a Change Order will be issued as provided in Article 10 to reflect and document the consequences of the inaccuracy or difference.

4.2.6 Possible Price and Time Adjustments

In each such case, an increase or decrease in the Contract Price or an extension or shortening of the Contract Time, or any combination thereof, will be allowable to the extent that they are attributable to any such inaccuracy or difference.

### **4.3 Physical Conditions-Underground Facilities**

#### **4.3.1 Shown or Indicated**

The information and data shown or indicated in the Contract Documents with respect to existing underground facilities at or contiguous to the site is based on information and data furnished to OWNER or ENGINEER by the owners of such underground facilities or by others. Unless it is otherwise expressly provided in the Special Conditions:

4.3.1.1 OWNER and ENGINEER shall not be responsible for the accuracy or completeness of any such information or data; and,

4.3.1.2 CONTRACTOR shall have full responsibility for reviewing and checking all such information and data; for locating all underground facilities shown or indicated in the Contract Documents; for coordination of the Work with the owners of such underground facilities during construction; and for the safety and protection thereof and repairing any damage thereto resulting from the Work, the cost of all of which will be considered as having been included in the Contract Price.

#### **4.3.2 Not Shown or Indicated**

If an underground facility is uncovered or revealed at or contiguous to the site which was not shown or indicated in the Contract Documents and which CONTRACTOR could not reasonably have been expected to be aware of, CONTRACTOR shall, promptly after becoming aware thereof and before performing any Work affected thereby (except in an emergency), identify the owner of such underground facility and give written notice thereof to that owner and to OWNER and ENGINEER. ENGINEER will promptly review the underground facility to determine the extent to which the Contract Documents should be modified to reflect and document the consequences of the existence of the underground facility, and the Contract Documents will be amended or supplemented to the extent necessary. During such time, CONTRACTOR shall be responsible for the safety and protection of such underground facility. CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, to the extent that they are attributable to the existence of any underground facility that was not shown or indicated in the Contract Documents and which CONTRACTOR could not reasonably have been expected to be aware of.

### **4.4 Reference Points**

OWNER shall provide engineering surveys to establish reference points for construction which in ENGINEER'S judgment are necessary to enable CONTRACTOR to proceed with the Work. CONTRACTOR shall be responsible for laying out the Work (unless otherwise specified), shall protect and preserve the established reference points and shall make no changes or relocations without the prior written approval of OWNER. CONTRACTOR shall report to ENGINEER whenever any reference point is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points by a Registered Land Surveyor.

## **5. CONTRACTOR'S RESPONSIBILITIES**

### **5.1 Supervision**

CONTRACTOR shall supervise and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. CONTRACTOR shall assure that all CONTRACTOR personnel (including subcontractors, etc.) conduct themselves in a courteous and respectful manner toward the ENGINEER and the general public. Failure to comply with this condition of the Contract will result in immediate suspension of the Work. Following a review by the Commissioner of Public Works, the Contract may be terminated (see GC section 14). CONTRACTOR shall be solely responsible for the means, methods, techniques, sequences and procedures of construction, but CONTRACTOR shall not be responsible for the negligence of others in the design or selection of a specific means, method, technique, sequence or procedure of construction which is indicated in and required by the Contract Documents. CONTRACTOR shall be responsible to see that the finished Work complies accurately with the Contract Documents.

### **5.2 Superintendence**

CONTRACTOR shall keep on the Work at all times during its progress a competent resident superintendent, who shall not be replaced without written notice to OWNER and ENGINEER except under extraordinary circumstances. The superintendent will be CONTRACTOR'S representative at the site and shall have authority to act on behalf of CONTRACTOR. All communications given to the superintendent shall be as binding as if given to CONTRACTOR.

### **5.3 General Manager**

CONTRACTOR shall keep at all times during Contract progress a COMPETENT General Manager, who shall not be replaced without written notice to OWNER except under extraordinary circumstances. Owner must approve this person. Owner may request removal and replacement of General Manager at any time. If so, Contractor shall have thirty (30) days to make replacement. The General Manager will be CONTRACTOR'S main representative for all technical, billing, data management, subcontractor coordination, and complaint resolutions and shall have authority to act on behalf of CONTRACTOR. The General Manager shall spend a minimum of eighty (80) percent of their time in the office. All communications given to the General Manager shall be as binding as if given to CONTRACTOR.

### **5.4 Labor**

CONTRACTOR shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. CONTRACTOR shall at all times maintain good discipline and order at the site. Except in connection with the safety or protection of persons or the Work or property at the site or adjacent thereto, and except as otherwise indicated in the Contract Documents, all Work at the site shall be performed during regular working hours, and CONTRACTOR will not permit overtime work or the performance of Work on Saturday, Sunday or any legal holiday without OWNER'S written consent given after prior written notice to ENGINEER.

**5.5 Start-Up and Completion of Work**

Unless otherwise specified, CONTRACTOR shall furnish and assume full responsibility for all materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities and all other facilities and incidentals necessary for the furnishing, performance, testing, start-up and completion of the Work.

**5.6 Materials and Equipment**

All materials and equipment shall be of good quality and new, except as otherwise provided in the Contract Documents. If required by ENGINEER, CONTRACTOR shall furnish satisfactory evidence (including reports of required tests) as to the kind and quality of materials and equipment. All materials and equipment shall be applied, installed, connected, erected, used, cleaned and conditioned in accordance with the instructions of the applicable supplier except as otherwise provided in the Contract Documents; but no provision of any such instructions will be effective to assign to ENGINEER, or any of ENGINEER'S consultants, agents or employees, any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraph 8.12.3 or 8.12.4.

**5.6.1 Not Clearly Specified or Indicated**

In all instances where materials specified are obtainable in different sizes, weights, trade grades, qualities or finishes, etc., whose weights, trade grades, qualities or finishes, etc., are not clearly specified or indicated on the Drawings, the CONTRACTOR shall notify the ENGINEER of all such instances at least five (5) days in advance of receiving the proposals. The Engineer will then determine which size, weight, trade grade, quality, finish, etc., is required.

**5.6.2 Coordination of Work**

The CONTRACTOR shall see that for his own Work and for the work of each subcontractor, proper templates and patterns necessary for the coordination of the various parts of the Work are prepared. The CONTRACTOR shall furnish or require the subcontractor to furnish such duplicates as will enable the subcontractors to fit together and execute fully their respective portions of the Work.

**5.7 Adjusting Progress Schedule**

CONTRACTOR shall submit to ENGINEER for acceptance (to the extent indicated in paragraph 2.8) adjustments in the progress schedule to reflect the impact thereon of new developments; these will conform generally to the progress schedule then in effect and additionally will comply with any provisions of the Contract Documents applicable thereto.

**5.8 Substitutes or "Or-Equal" Items**

**5.8.1 General**

Whenever materials or equipment are specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular supplier, the naming of the item is intended to establish the type, function,

and quality required. Unless the name is followed by words indicating that no substitution is permitted, materials or equipment of other suppliers may be accepted by OWNER/ENGINEER if sufficient information is submitted by CONTRACTOR to allow OWNER/ENGINEER to determine that the material or equipment proposed is equivalent or equal to that named. The procedure for review by OWNER/ENGINEER will include the following. Requests for review of substitute items of material and equipment will not be accepted by OWNER/ENGINEER from anyone, other than CONTRACTOR. If CONTRACTOR wishes to furnish or use a substitute item of material or equipment, CONTRACTOR shall make written application to OWNER/ENGINEER for acceptance thereof, certifying that the proposed substitute will perform adequately the functions and achieve the results called for by the general design, be similar and of equal substance to that specified and be suited to the same use as that specified. The application will state that the evaluation and acceptance of the proposed substitute will not prejudice CONTRACTOR'S achievement of completion on time, whether or not acceptance of the substitute for use in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with OWNER for work on the Project) to adapt the design to the proposed substitute and whether or not incorporation or use of the substitute in connection with the Work is subject to payment of any license fee or royalty. All variations of the proposed substitute from that specified will be identified in the application and available maintenance, repair and replacement service will be indicated. The application will also contain an itemized estimate of all costs that will result directly or indirectly from acceptance of such substitute, including costs of redesign and claims of other contractors affected by the resulting change, all of which shall be considered by OWNER/ENGINEER in evaluating the proposed substitute. OWNER/ENGINEER may require CONTRACTOR to furnish at CONTRACTOR'S expense additional data about the proposed substitute.

#### 5.8.2 Substitutes

If a specific means, method, technique, sequence or procedure of construction is indicated in or required by the Contract Documents, CONTRACTOR may furnish or utilize a substitute means, method, sequence, technique or procedure of construction acceptable to OWNER/ENGINEER, if CONTRACTOR submits sufficient information to allow OWNER/ENGINEER to determine that the substitute proposed is equivalent to that indicated or required by the Contract Documents. The procedure for review by OWNER/ENGINEER will be similar to that provided in paragraph 5.7.1 as applied by OWNER/ENGINEER.

#### 5.8.3 OWNER/ENGINEER'S Approval

OWNER/ENGINEER will be allowed a reasonable time within which to evaluate each proposed substitute. OWNER/ENGINEER will be the sole judge of acceptability, and no substitute will be ordered, installed or utilized without OWNER/ENGINEER'S prior written acceptance which will be evidenced by either a Change Order or an approved Shop Drawing. OWNER may require CONTRACTOR to furnish at CONTRACTOR'S expense a special performance guarantee or other surety with respect to any substitute. OWNER/ENGINEER will record time required by



OWNER/ENGINEER and OWNER/ENGINEER'S consultants in evaluating substitutions proposed by CONTRACTOR and in making changes in the Contract Documents occasioned thereby. Whether or not OWNER/ENGINEER accepts a proposed substitute, CONTRACTOR shall reimburse OWNER for the charges of OWNER/ENGINEER and OWNER/ENGINEER'S consultants for evaluating each proposed substitute.

## **5.9 Subcontractors, Suppliers, and Others**

### **5.9.1 Acceptable to ENGINEER**

CONTRACTOR shall not employ any subcontractor, supplier or other person or organization (including those acceptable to OWNER and ENGINEER as indicated in paragraph 5.8.2), whether initially or as a substitute, against whom OWNER or ENGINEER may have reasonable objection. CONTRACTOR shall not be required to employ any subcontractor, supplier or other person or organization to furnish or perform any of the Work against whom CONTRACTOR has reasonable objection.

### **5.9.2 Objection After Due Investigation**

If the Contract Documents require the identity of certain Subcontractors, Suppliers or other persons or organizations (including those who are to furnish the principal items of materials and equipment) to be submitted to OWNER in advance of the specified date prior to the Effective Date of the Agreement for acceptance by OWNER and ENGINEER and if CONTRACTOR has submitted a list thereof, OWNER'S or ENGINEER'S acceptance (either in writing or by failing to make written objection thereto by the date indicated for acceptance or objection in the bidding documents or the Contract Documents) of any such subcontractor, supplier or other person or organization so identified may be revoked on the basis of reasonable objection after due investigation, in which case CONTRACTOR shall submit an acceptable substitute. No acceptance by OWNER or ENGINEER of any such Subcontractor, Supplier or other person or organization shall constitute a waiver of any right of OWNER or ENGINEER to reject defective Work.

### **5.9.3 Contractor Responsible for Acts of Subcontractors**

The CONTRACTOR shall perform on the site, and with its own organization, work equivalent to at least fifty (50) percent of the total amount of Work to be performed under the Contract. This percentage may be reduced by a supplemental agreement to this Contract if, during performing the Work, the CONTRACTOR requests a reduction and the Owner determines that the reduction would be to the advantage of the Urban County Government.

The CONTRACTOR shall, at the time he submits his proposal for the Contract, notify the OWNER in writing of the names of subcontractors proposed for the Work. He shall not employ any subcontractor without the prior written approval of the OWNER.

CONTRACTOR shall be fully responsible to OWNER and ENGINEER for all acts and omissions of the subcontractors, suppliers and other persons and organizations performing or furnishing any of the Work under a direct or

indirect contract with CONTRACTOR just as CONTRACTOR is responsible for CONTRACTOR'S own acts and omissions. Nothing in the Contract Documents shall create any contractual relationship between OWNER or ENGINEER and any such subcontractor, supplier or other person or organization, nor shall it create any obligation on the part of OWNER or ENGINEER to pay or to see to the payment of any moneys due any such subcontractor, supplier or other person or organization except as may otherwise be required by Laws and Regulations.

**5.9.4 Division of Specifications**

The divisions and sections of the Specifications and the identifications of any Drawings shall not control CONTRACTOR in dividing the Work among subcontractors or suppliers or delineating the Work to be performed by any specific trade.

**5.9.5 Agreement Between Contractor and Subcontractors**

All Work performed for CONTRACTOR by a subcontractor will be pursuant to an appropriate agreement between CONTRACTOR and the Subcontractor which specifically binds the subcontractor to the applicable terms and conditions of the Contract Documents for the benefit of OWNER and ENGINEER.

**5.9.6 Statements and Comments by CONTRACTOR**

Neither the CONTRACTOR, his employees, nor his subcontractors shall at any time make any statement or comment as to the project scope, nature, intention, design, or construction method to any third party or parties without the explicit written consent of the OWNER.

Any third party requesting such information shall be referred to the OWNER or his representative.

Should there be any change from the original intent of the project as a result of any statement or comment by the Contractor, his employees or subcontractors, Contractor shall be held liable for any change in the scope, nature, design, or construction method and shall bear the full cost for the previously mentioned changes.

**5.10 Patent Fees and Royalties**

CONTRACTOR shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product or device which is the subject of patent rights or copyrights held by others.

**5.11 Permits**

Unless otherwise provided in the Special conditions, CONTRACTOR shall obtain and pay for all construction permits and licenses. OWNER shall assist CONTRACTOR, when necessary, in obtaining such permits and licenses. CONTRACTOR shall pay all governmental charges and inspection fees necessary for the prosecution of the Work, which are applicable at the time of opening of Bids, or if there are no Bids on the Effective Date of the Agreement. CONTRACTOR shall pay all charges of utility

owners for connections to the Work, and OWNER shall pay all charges of such utility owners for capital costs related thereto such as plant investment fees.

## **5.12 Laws and Regulations**

### **5.12.1 CONTRACTOR to Comply**

CONTRACTOR shall give all notices and comply with all laws and regulations applicable to furnishing and performance of the Work. Except where otherwise expressly required by applicable laws and regulations, neither OWNER nor ENGINEER shall be responsible for monitoring CONTRACTOR'S compliance with any laws and regulations.

### **5.12.2 Specifications and Drawings at Variance**

If CONTRACTOR observes that the Specifications or Drawings are at variance with any laws or regulations, CONTRACTOR shall give ENGINEER prompt written notice thereof, and any necessary changes will be authorized by one of the methods indicated in paragraph 3.4. If CONTRACTOR performs any Work knowing or having reason to know that it is contrary to such laws, or regulations, and without such notice to ENGINEER, CONTRACTOR shall bear all costs arising therefrom; however, it shall not be CONTRACTOR'S primary responsibility to make certain that the Specifications and Drawings are in accordance with such laws and regulations.

## **5.13 Taxes**

CONTRACTOR shall pay all sales, consumer, use and other similar taxes required to be paid by CONTRACTOR in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work. Any party, firm or individual submitting a proposal pursuant to invitation must have paid all taxes owed to the Lexington-Fayette Urban County Government at the time the Bid is submitted, and must maintain a "current" status in regard to those taxes throughout the Contract. If applicable, business must be licensed in Fayette County.

## **5.14 Use of Premises**

### **5.14.1 Project Site**

CONTRACTOR shall confine construction equipment, the storage of materials and equipment and the operations of workers to the staging areas or work site areas identified in and permitted by the Contract Documents and other land and areas permitted by laws and regulations, rights-of-way, permits and easements, and shall not unreasonably encumber the premises with construction equipment or other materials or equipment. CONTRACTOR shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereof or of any land or areas contiguous thereto, resulting from the performance of the Work. Should any claim be made against OWNER or ENGINEER by any such owner or occupant because of the performance of the Work, CONTRACTOR shall promptly attempt to settle with such other party by agreement or otherwise resolve the claim by arbitration or at law. CONTRACTOR shall, to the fullest extent permitted by laws and regulations, indemnify and hold OWNER and ENGINEER harmless from and against all claims, damages, losses and expenses (including, but

not limited to, fees of engineers, architects, attorneys and other professionals and court and arbitration costs) arising directly, indirectly or consequentially out of any action, legal or equitable, brought by any such other party against OWNER or ENGINEER to the extent based on a claim arising out of CONTRACTOR'S performance of the Work.

#### 5.14.2 Clean UP

During the progress of the Work, CONTRACTOR shall keep the premises free from accumulations of waste materials, rubbish and other debris resulting from the Work. At the completion of the Work, CONTRACTOR shall remove all waste materials, rubbish and debris from and about the premises as well as all tools, appliances, construction equipment and machinery, and surplus materials, and shall leave the site clean and ready for occupancy by OWNER. CONTRACTOR shall restore to original condition all property not designated for alteration by the Contract Documents.

#### 5.14.3 Loading of Structures

CONTRACTOR shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall CONTRACTOR subject any part of the Work or adjacent property to stresses or pressures that will endanger it.

### 5.15 **Record Drawings**

CONTRACTOR shall maintain in a safe place at the site one record copy of all Drawings, Specifications, Addenda, Change Orders, Field Orders and written interpretations and clarifications (issued pursuant to paragraph 9.4) in good order and annotated to show all changes made during construction. These record documents together with all approved samples and a counterpart of all approved Shop Drawings will be available to ENGINEER for reference. Upon completion of the Work, these record documents, samples and Shop Drawings will be delivered to ENGINEER for OWNER.

### 5.16 **Shop Drawings and Samples**

#### 5.16.1 Shop Drawing Submittals

After checking and verifying all field measurements and after complying with applicable procedures specified, CONTRACTOR shall submit to ENGINEER for review and approval in accordance with the accepted schedule of Shop Drawing submissions (see paragraph 2.8), or for other appropriate action if so indicated in the Special Conditions, five copies (unless otherwise specified) of all Shop Drawings, which will bear a stamp or specific written indication that CONTRACTOR has satisfied CONTRACTOR'S responsibilities under the Contract Documents with respect to the review of the submission. All submissions will be identified as ENGINEER may require. The data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials and similar data to enable ENGINEER to review the information as required.

#### 5.16.2 Sample Submittals

CONTRACTOR shall also submit to ENGINEER for review and approval with such promptness as to cause no delay in Work, all samples required by the

Contract Documents. All samples will have been checked by and accompanied by a specific written indication that CONTRACTOR has satisfied CONTRACTOR'S responsibilities under the Contract Documents with respect to the review of the submission and will be identified clearly as to material, supplier, pertinent data such as catalog numbers and the use for which intended.

5.16.3 Review by CONTRACTOR

Before submission of each Shop Drawing or sample CONTRACTOR shall have determined and verified all quantities, dimensions, specified performance criteria, installation requirements, materials, catalog numbers and similar data with respect thereto and reviewed or coordinated each Shop Drawing or sample with other Shop Drawings and samples and with the requirements of the Work and the Contract Documents.

5.16.4 Notice of Variation

At the time of each submission, CONTRACTOR shall give ENGINEER specific written notice of each variation that the Shop Drawings or samples may have from the requirements of the Contract Documents, and, in addition, shall cause a specific notation to be made on each Shop Drawing submitted to ENGINEER for review and approval of each such variation.

5.16.5 ENGINEER'S Approval

ENGINEER will review and approve with reasonable promptness Shop Drawings and samples, but ENGINEER'S review and approval will be only for conformance with the design concept of the Project and for compliance with the information given in the Contract Documents and shall not extend to means, methods, techniques, sequences or procedures of construction (except where a specific means, method, technique, sequence or procedure of construction is indicated in or required by the Contract Documents) or to safety precautions or programs incident thereto. The review and approval of a separate item as such will not indicate approval of the assembly in which the item functions. CONTRACTOR shall make corrections required by ENGINEER, and shall return the required number of corrected copies of Shop Drawings and submit, as required, new samples for review and approval. CONTRACTOR shall direct specific attention in writing to revisions other than the corrections called for by ENGINEER on previous submittals.

5.16.6 Responsibility for Errors and Omissions

ENGINEER'S review and approval of Shop Drawings or samples shall not relieve CONTRACTOR from responsibility for any variation from the requirements of the Contract Documents unless CONTRACTOR has in writing called ENGINEER'S attention to each such variation at the time of submission as required by paragraph 5.15.4 and ENGINEER has given written approval of each such variation by a specific written notation thereof incorporated in or accompanying the Shop Drawing or sample approval; nor will any approval by ENGINEER relieve CONTRACTOR from responsibility for errors or omissions in the Shop Drawings or from responsibility for having complied with the provisions of paragraph 5.15.3.

5.16.7 Cost of Related Work

Where a Shop or sample is required by the Specifications, any related Work performed prior to ENGINEER'S review and approval of the pertinent submission will be the sole expense and responsibility of CONTRACTOR.

**5.17 Continuing the Work**

CONTRACTOR shall carry on the Work and adhere to the progress schedule during all disputes or disagreements with OWNER. No Work shall be delayed or postponed pending resolutions of any disputes or disagreements, except as permitted by paragraph 14.5 or as CONTRACTOR and OWNER may otherwise agree in writing.

**5.18 Erosion and Sediment Control**

5.18.1 General Environmental Requirements

The CONTRACTOR and subcontractors performing work on projects on behalf of the OWNER shall comply with all applicable federal, state, and local environmental regulations and all requirements and conditions set forth in "special" permits including but not limited to Corp of Engineers 404 permits, 401 Water Quality Certifications, Stream Crossing and Floodplain Encroachment Permits.

Any fines or penalties resulting from the failure to comply with the terms of the federal, state or local permits or perform necessary corrective action are solely the obligation of the CONTRACTOR.

5.18.2 Stormwater Pollution Prevention

A. The CONTRACTOR shall exercise due care to prevent or minimize any damage to any stream or wetland from pollution by debris, sediment or other material. The operation of equipment and/or materials in a jurisdictional wetland is expressly prohibited. Water that has been used for washing or processing, or that contains oils, sediments or other pollutants shall not be discharged from the job site. Such waters shall be collected and properly disposed of by the CONTRACTOR in accordance with applicable local, state and federal law.

B. The CONTRACTOR is solely responsible for securing all required state and local permits associated with stormwater discharges from the project including, but not necessarily limited to the KY Notice of Intent to Disturb (NOI) for Coverage of Storm Water Discharges Associated with Construction Activities under the KPDES Storm Water General Permit KYR100000 and the LFUCG, Land Disturbance Permit. Permit application preparation and all required documentation are the responsibility of the CONTRACTOR. The CONTRACTOR is solely responsible for maintaining compliance with the stormwater pollution prevention plan or erosion and sediment control plan and ensuring the following:

- a. That the Stormwater Pollution Prevention Plan (SWPPP) or erosion control plan (ECP) is current and available for review on site;

- b. That any and all stormwater inspection reports required by the permit are conducted by qualified personnel and are available for review onsite; and
- c. That all best management practices (BMPs) are adequately maintained and effective at controlling erosion and preventing sediment from leaving the site.

C. The CONTRACTOR shall provide the necessary equipment and personnel to perform any and all emergency measures that may be required to contain any spillage or leakage and to remove materials, soils or liquids that become contaminated. The collected spill material shall be properly disposed at the CONTRACTOR's expense.

D. Upon completion of the Work and with the concurrence of the OWNER, the CONTRACTOR must file a Notice of Termination (NOT) of Coverage Under the KPDES General Permit for Storm Water Discharges Associated with Construction Activity with the appropriate local and state authorities.

E. Any fines or penalties resulting from the failure to comply with the terms of the state or local stormwater permits or perform necessary corrective action are solely the obligation of the CONTRACTOR.

## **6. OTHER WORK**

### **6.1 Related Work at Site**

OWNER may perform other work related to the Project at the site by OWNER'S own forces, have other work performed by utility owners or let other direct contracts therefor which shall contain General Conditions similar to these. If the fact that such other work is to be performed was not noted in the Contract Documents, written notice thereof will be given to CONTRACTOR prior to starting any such other work; and, if such performance will involve additional expense to CONTRACTOR or requires additional time, a Change Order to the Contract will be negotiated.

### **6.2 Other Contractors or Utility Owners**

CONTRACTOR shall afford each utility owner and other contractor who is a party to such a direct contract (or OWNER, if OWNER is performing the additional work with OWNER'S employees) proper and safe access to the site and a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such work, and shall properly connect and coordinate the Work with theirs. CONTRACTOR shall do all cutting, fitting and patching of the Work that may be required to make its several parts come together properly and integrate with such other work. CONTRACTOR shall not endanger any work of others by cutting, excavating or otherwise altering their work and will only cut or alter their work with the written consent of ENGINEER and the others whose work will be affected. The duties and responsibilities of CONTRACTOR under this paragraph are for the benefit of such utility owners and other contractors to the extent that there are comparable provisions for the benefit of CONTRACTOR in said direct contracts between OWNER and such utility owners and other contractors.

### **6.3 Delays Caused by Others**

If any part of CONTRACTOR'S Work depends for proper execution or results upon the work of any such other contractor or utility owner (or OWNER), CONTRACTOR shall inspect and promptly report to ENGINEER in writing any delays, defects or deficiencies in such work that render it unavailable or unsuitable for such proper execution and results. CONTRACTOR'S failure so to report will constitute an acceptance of the other work as fit and proper for integration with CONTRACTOR'S Work except for latent or non-apparent defects and deficiencies in the other work.

**6.4 Coordination**

If OWNER contracts with others for the performance of other work on the Project at the site, the person or organization who will have authority and responsibility for coordination of the activities among the various prime contractors will be identified in the Special Conditions, and the specific matters to be covered by such authority and responsibility will be itemized, and the extent of such authority and responsibilities will be provided, in the Special Conditions.

**7. OWNER'S RESPONSIBILITIES**

**7.1 Communications**

OWNER shall issue all communications to CONTRACTOR through ENGINEER.

**7.2 Data and Payments**

OWNER shall furnish the data required of OWNER under the Contract Documents promptly after they are due.

**7.3 Lands, Easements, and Surveys**

OWNER'S duties in respect of providing lands and easements and providing engineering surveys to establish reference points are set forth in paragraphs 4.1 and 4.4. Paragraph 4.2 refers to OWNER'S identifying and making available to CONTRACTOR copies of reports of explorations and tests of subsurface conditions at the site and in existing structures which have been utilized by ENGINEER in preparing the Drawings and Specifications.

**7.4 Change Orders**

OWNER is obligated to execute Change Orders as indicated in paragraph 9.4.

**7.5 Inspections, Tests and Approvals**

OWNER'S responsibility in respect to certain inspections, tests and approvals is set forth in paragraph 13.3.

**7.6 Stop or Suspend Work**

In connection with OWNER'S right to stop Work or suspend Work, see paragraph 12.4 and 14.1 Paragraph 14.2 deals with OWNER'S rights to terminate services of CONTRACTOR under certain circumstances.



## **8. ENGINEER'S STATUS DURING CONSTRUCTION**

### **8.1 OWNER'S Representative**

ENGINEER will be OWNER'S representative during the construction period. The duties and responsibilities and the limitations of authority of ENGINEER as OWNER'S representative during construction are set forth in the Contract Documents and shall not be extended without written consent of OWNER and ENGINEER.

### **8.2 Visits to Site**

ENGINEER will make visits to the site at intervals appropriate to the various stages of construction to observe the progress and quality of the executed Work and to determine, in general, if the Work is proceeding in accordance with the Contract Documents. ENGINEER will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. ENGINEER'S efforts will be directed toward providing for OWNER a greater degree of confidence that the completed Work will conform to the Contract Documents. On the basis of such visits and on-site observations, ENGINEER will keep OWNER informed of the progress of the Work and will endeavor to guard OWNER against defects and deficiencies in the Work.

### **8.3 Project Representation**

ENGINEER will provide an Inspector to assist ENGINEER in observing the performance of the Work. If OWNER designates another agent to represent OWNER at the site who is not ENGINEER'S agent or employee, the duties, responsibilities and limitations of authority of such other person will be as provided in the Special Conditions.

### **8.4 Clarifications and Interpretations**

ENGINEER will issue with reasonable promptness such written clarifications or interpretations of the requirements of the Contract Documents (in the form of Drawings or otherwise) as ENGINEER may determine necessary, which shall be consistent with or reasonably inferable from the overall intent of the Contract Documents.

### **8.5 Authorized Variations in Work**

ENGINEER may authorize minor variations in the Work from the requirements of the Contract Documents which do not involve an adjustment in the Contract Price or the Contract Time and are consistent with the overall intent of the Contract Documents. These may be accomplished by a Field Order.

### **8.6 Rejecting Defective Work**

ENGINEER will have authority to disapprove or reject Work which ENGINEER believes to be defective, and will also have authority to require special inspection or testing of the Work as provided in paragraph 12.3, whether or not the Work is fabricated, installed or completed.

**8.7 Shop Drawings**

In connection with ENGINEER'S responsibility for Shop Drawings and samples, see paragraphs 5.15.1 through 5.16 inclusive.

**8.8 Change Orders**

In connection with ENGINEER'S responsibilities as to Change Orders, see Articles 10, 11 and 12.

**8.9 Payments**

In connection with ENGINEER'S responsibilities with respect to Applications for Payment, etc., see Article 13.

**8.10 Determinations for Unit Prices**

ENGINEER will determine the actual quantities and classifications of unit price work performed by CONTRACTOR.

ENGINEER will review with CONTRACTOR ENGINEER'S preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise).

**8.11 Decision on Disputes**

ENGINEER will be the initial interpreter of the requirements of the Contract Documents and judge of the acceptability of the Work thereunder. Claims, disputes and other matters relating to the acceptability of the Work or the interpretation of the requirements of the Contract Documents pertaining to the performance and furnishing of the Work and claims under Articles 10 and 11 in respect of changes in the Contract Price or Contract Time will be referred initially to ENGINEER in writing with a request for a formal decision in accordance with this paragraph, which ENGINEER will render in writing within a reasonable time. Written notice of each such claim, dispute and other matter will be delivered to ENGINEER promptly (but in no event later than thirty days) after the occurrence of the event giving rise thereto, and written supporting data will be submitted to ENGINEER within sixty days after such occurrence unless ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim.

**8.12 Limitations on Engineer's Responsibilities**

**8.12.1 CONTRACTOR, Supplier, or Surety**

Neither ENGINEER'S authority to act under this Article 8 or elsewhere in the Contract Documents nor any decision made by ENGINEER in good faith either to exercise or not exercise such authority shall give rise to any duty or responsibility of ENGINEER to CONTRACTOR, any subcontractor, any supplier, or any other person or organization performing any of the Work, or to any surety for any of them.

#### 8.12.2 To Evaluate the Work

Whenever in the Contract Documents the terms "as ordered", "as directed", "as required", "as allowed", "as approved" or terms of like effect or import are used, or the adjectives "reasonable", "suitable", "acceptable", "proper", or "satisfactory" or adjectives or like "effect" or "import" are used to describe a requirement, direction, review or judgment of ENGINEER as to the Work, it is intended that such requirement, direction, review or judgment will be solely to evaluate the Work for compliance with the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective shall not be effective to assign ENGINEER any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraph 8.12.3 or 8.12.4.

#### 8.12.3 CONTRACTOR'S Means, Methods, Etc.

ENGINEER will not be responsible for CONTRACTOR'S means, methods, techniques, sequences or procedures of construction, or the safety precautions and programs incident thereto, and ENGINEER will not be responsible for CONTRACTOR'S failure to perform or furnish the Work in accordance with the Contract Documents.

#### 8.12.4 Acts of Omissions of CONTRACTOR

ENGINEER will not be responsible for the acts or omissions of CONTRACTOR or of any subcontractor, any supplier, or of any other person or organization performing or furnishing any of the Work.

### 9. **CHANGES IN THE WORK**

#### 9.1 **OWNER May Order Change**

Without invalidating the Agreement and without notice to any surety, OWNER may, at any time or from time to time, order additions, deletions or revisions in the Work; these will be authorized by a Change Order. Upon receipt of such notice, CONTRACTOR shall promptly proceed with the Work involved which will be performed under the applicable conditions of the Contract Documents (except as otherwise specifically provided).

#### 9.2 **Claims**

Claims for an increase or decrease in the Contract Price or an extension or shortening or the Contract Time that should be allowed as a result of a Change Order will be settled as provided for in Article 10 or Article 11.

#### 9.3 **Work Not in Contract Documents**

CONTRACTOR shall not be entitled to an increase in the Contract Price or an extension of the Contract Time with respect to any Work performed that is not required by the Contract Documents as amended, modified and supplemented as provided in paragraph 3.4, except in the case of an emergency and except in the case of uncovering Work as provided in paragraph 12.3.4.

#### 9.4 **Change Orders**

OWNER and CONTRACTOR shall execute appropriate Change Orders covering:

9.4.1 changes in the Work which are ordered by OWNER pursuant to paragraph 9.1, are required because of acceptance of defective Work under paragraph 12.7 or corrective defective Work under paragraph 12.8, or are agreed to by the parties;

9.4.2 changes in the Contract Price or Contract Time which are agreed to by the parties; and

9.4.3 changes in the Contract Price or Contract Time which embody the substance of any written decision rendered by ENGINEER pursuant to paragraph 8.11; provided that, in lieu of executing any such Change Order, an appeal may be taken from any such decision in accordance with the provisions of the Contract Documents and applicable laws and regulations, but during any such appeal, CONTRACTOR shall carry on the Work and adhere to the progress schedule as provided in paragraph 5.16.

**9.5 Notice of Change**

If notice of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Time) is required by the provisions of any bond to be given to a surety, the giving of any such notice will be CONTRACTOR'S responsibility, and the amount of each applicable bond will be adjusted accordingly.

**10. CHANGE OF CONTRACT PRICE**

**10.1 Total Compensation**

The Contract Price constitutes the total compensation (subject to authorized adjustments) payable to CONTRACTOR for performing the Work. All duties, responsibilities and obligations assigned to or undertaken by CONTRACTOR shall be at his expense without change in the Contract Price.

**10.2 Claim for Increase or Decrease in Price**

The Contract Price may only be changed by a Change Order. Any claim for an increase or decrease in the Contract Price shall be based on written notice delivered by the CONTRACTOR to the ENGINEER promptly (but in no event later than thirty days) after the occurrence of the event giving rise to the claim and stating the general nature of the claim. Notice of the amount of the claim with supporting data shall be delivered within sixty days after such occurrence (unless ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim) and shall be accompanied by CONTRACTOR'S written statement that the amount claimed covers all known amounts (direct, indirect, and consequential) to which the CONTRACTOR is entitled as a result of the occurrence of said event.

**10.3 Value of Work**

The value of any Work covered by a Change Order or of any claim for an increase or decrease in the Contract Price shall be determined in one of the following ways:

**10.3.1 Unit Prices**

Where the Work involved is covered by unit prices contained in the Contract Documents, by application of unit prices to the quantities of

the items involved (subject to the provisions of paragraphs 10.9.1. through 10.9.3, inclusive).

**10.3.2 Lump Sum**

By mutual acceptance of a lump sum (which may include an allowance for overhead and profit not necessarily in accordance with paragraph 10.6.2.1).

**10.3.3 Cost Plus Fee**

On the basis of the Cost of the Work (determined as provided in paragraphs 10.4 and 10.5) plus a CONTRACTOR'S fee for overhead and profit (determined as provided in paragraphs 10.6 and 10.7).

**10.4 Cost of the Work**

The term Cost of the Work means the sum of all costs necessarily incurred and paid by CONTRACTOR in the proper performance of the Work. Except as otherwise may be agreed to in writing by OWNER, such costs shall be in amounts no higher than those prevailing in the locality of the Project; shall include only the following items; and shall not include any of the costs itemized in paragraph 10.5:

**10.4.1 Payroll Costs**

Payroll costs for employees in the direct employ of CONTRACTOR in the performance of the Work under schedules of job classifications agreed upon by OWNER and CONTRACTOR. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits which shall include social security contributions, unemployment, excise and payroll taxes, workers' or workmen's compensation, health and retirement benefits, bonuses, sick leave, vacation and holiday pay applicable thereto. Such employees shall include superintendents and foremen at the site. The expenses of performing Work after regular working hours, on Saturday, Sunday or legal holidays, shall be included in the above to the extent authorized by OWNER.

**10.4.2 Materials and Equipment Costs**

Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to CONTRACTOR unless OWNER deposits funds with CONTRACTOR with which to make payments, in which case the cash discounts shall accrue to OWNER. All trade discounts, rebates and refunds and all returns from sale of surplus materials and equipment shall accrue to OWNER, and CONTRACTOR shall make provisions so that they may be obtained.

**10.4.3 Subcontractor Costs**

Payments made by CONTRACTOR to the subcontractors for Work performed by subcontractors. If required by OWNER, CONTRACTOR shall obtain competitive bids from subcontractors

acceptable to CONTRACTOR and shall deliver such bids to OWNER who will then determine, with the advice of ENGINEER, which bids will be accepted. If a subcontract provides that the subcontractor is to be paid on the basis of cost of the work plus a fee, the subcontractor's cost of the Work shall be determined in the same manner as CONTRACTOR'S cost of the work. All subcontracts shall be subject to the other provisions of the Contract Documents insofar as applicable.

#### 10.4.4 Special Consultant Costs

Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys and accountants) employed for services specifically related to the Work.

#### 10.4.5 Supplemental Costs

10.4.5.1 The proportion of necessary transportation, travel and subsistence expenses of CONTRACTOR'S employees incurred in discharge of duties connected with the Work.

10.4.5.2 Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office and temporary facilities at the site and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost less market value of such items used but not consumed which remain the property of CONTRACTOR.

10.4.5.3 Rentals of all construction equipment and machinery and the parts thereof whether rented from CONTRACTOR or others in accordance with rental agreements approved by OWNER with the advice of ENGINEER, and the costs of transportation, loading, unloading, installation, dismantling and removal shall be in accordance with terms of said rental agreements. The rental of any such equipment, machinery or parts shall cease when the use thereof is no longer necessary for the Work.

10.4.5.4 Sales, consumer, use or similar taxes related to the Work, and for which CONTRACTOR is liable, imposed by laws and regulations.

10.4.5.5 Deposits lost for causes other than negligence of CONTRACTOR, any subcontractor or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.

10.4.5.6 Losses and damages (and related expenses), not compensated by insurance or otherwise, to the Work or

otherwise sustained by CONTRACTOR in connection with the performance and furnishing of the Work (except losses and damages within the deductible amounts of property insurance established by OWNER), provided they have resulted from causes other than the negligence of CONTRACTOR, any subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of OWNER. No such losses, damages and expenses shall be included in the Cost of the Work for the purpose of determining CONTRACTOR'S fee. If, however, any such loss or damage requires reconstruction and CONTRACTOR is placed in charge thereof, CONTRACTOR shall be paid a fee proportionate to that stated in paragraph 10.6.2 for services.

10.4.5.7 The cost of utilities, fuel and sanitary facilities at the site.

10.4.5.8 Minor expenses such as telegrams, long distance telephone calls, telephone service at the site, expressage and similar petty cash items in connection with the Work.

10.4.5.9 Cost of premiums for additional bonds and insurance required because of changes in the Work and premiums for property insurance coverage within the limits of the deductible amounts established by OWNER.

## **10.5 Not to Be Included in Cost of the Work**

The term cost of the work shall not include any of the following:

### **10.5.1 Costs of Officers and Executives**

Payroll costs and other compensation of CONTRACTOR'S officers, executives, principals (of partnership and sole proprietorships), general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks and other personnel employed by CONTRACTOR whether at the site or in CONTRACTOR'S principal or a branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in paragraph 10.4.1 or specifically covered by paragraph 10.4.4 - all of which are to be considered administrative costs covered by the CONTRACTOR'S fee.

### **10.5.2 Principal Office**

Expenses of CONTRACTOR'S principal and branch offices other than CONTRACTOR'S office at the site.

10.5.3 Capital Expense

Any part of CONTRACTOR'S capital expenses, including interest on CONTRACTOR'S capital employed for the Work and charges against CONTRACTOR for delinquent payments.

10.5.4 Bonds and Insurance

Cost of premiums for all bonds and for all insurance whether or not CONTRACTOR is required by the Contract Documents to purchase and maintain the same (except for the cost of premiums covered by subparagraph 10.4.5.9 above).

10.5.5 Costs Due to Negligence

Costs due to the negligence of CONTRACTOR, any subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied and making good any damage to property.

10.5.6 Other Costs

Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in paragraph 10.4.

**10.6 Contractor's Fee**

The CONTRACTOR'S Fee allowed to CONTRACTOR for overhead and profit shall be determined as follows:

10.6.1 a mutually acceptable fixed fee; or if none can be agreed upon,

10.6.2 a fee based on the following percentages of the various portions of the cost of the work:

10.6.2.1 for costs incurred under paragraphs 10.4.1 and 10.4.2, the CONTRACTOR'S fee shall be fifteen percent;



10.6.2.2 for costs incurred under paragraph 10.4.3, the CONTRACTOR'S fee shall be five percent; and if a subcontract is on the basis of Cost of the Work Plus a fee, the maximum allowable to CONTRACTOR on account of overhead and profit of all subcontractors shall be fifteen percent;

10.6.2.3 no fee shall be payable on the basis of costs itemized under paragraphs 10.4.4, 10.4.5 and 10.5;

10.6.2.4 the amount of credit to be allowed by CONTRACTOR to OWNER for any such change which results in a net decrease in cost will be the amount of the actual net decrease plus a deduction in CONTRACTOR'S Fee by an amount equal to ten percent of the net decrease; and

10.6.2.5 when both additions and credits are involved in any one change, the adjustment in CONTRACTOR'S fee shall be computed on the basis of the net change in accordance with paragraphs 10.6.2.1 through 10.6.2.4, inclusive.

#### **10.7 Itemized Cost Breakdown**

Whenever the cost of any Work is to be determined pursuant to paragraph 10.4 or 10.5, CONTRACTOR will submit in form acceptable to ENGINEER an itemized cost breakdown together with supporting data.

#### **10.8 Cash Allowances**

It is understood that CONTRACTOR has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be done by such subcontractors or suppliers and for such sums within the limit of the allowances as may be acceptable to ENGINEER, CONTRACTOR agrees that:

##### **10.8.1 Materials and Equipment**

The allowances include the cost to CONTRACTOR (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the site, and all applicable taxes; and

##### **10.8.2 Other Costs**

CONTRACTOR'S costs for unloading and handling on the site, labor, installation costs, overhead, profit and other expenses contemplated for the allowances have been included in the Contract Price and not in the allowances. No demand for additional payment on account of any thereof will be valid.

##### **10.8.3 Change Order**

Prior to final payment, an appropriate Change Order will be issued as recommended by ENGINEER to reflect actual amounts due CONTRACTOR on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

## **10.9 Unit Price Work**

### **10.9.1 General**

Where the Contract Documents provide that all or part of the Work is to be unit price work, initially the Contract Price will be deemed to include for all unit price work an amount equal to the sum of the established unit prices for each separately identified item of unit price work times the estimated quantity of each item as indicated in the Agreement. The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Determinations of the actual quantities and classifications of unit price work performed by CONTRACTOR will be made by ENGINEER in accordance with Paragraph 8.10.

### **10.9.2 Overhead and Profit**

Each unit price will be deemed to include an amount considered by CONTRACTOR to be adequate to cover CONTRACTOR'S overhead and profit for each separately identified item.

### **10.9.3 Claim for Increase in Unit Price**

Where the quantity of any item of unit price work performed by CONTRACTOR differs materially and significantly from the estimated quantity of such item indicated in the Agreement and there is no corresponding adjustment with respect to any other item of Work and if CONTRACTOR believes that CONTRACTOR has incurred additional expense as a result thereof, CONTRACTOR may make a claim for an increase in the Contract Price in accordance with Article 10.

## **11. CHANGE OF CONTRACT TIME**

### **11.1 Change Order**

The Contract Time may only be changed by a Change Order. Any claim for an extension or shortening of the Contract Time shall be based on written notice delivered to ENGINEER promptly (but in no event later than thirty days) after the occurrence of the event giving rise to the claim and stating the general nature of the claim. Notice of the extent of the claim with supporting data shall be delivered within sixty days after such occurrence (unless ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim) and shall be accompanied by the claimant's written statement that the adjustment claimed is the entire adjustment to which the claimant has reason to believe it is entitled as a result of the occurrence of said event. All claims for adjustment in the Contract Time shall be determined by ENGINEER in accordance with paragraph 8.11. No claim for an adjustment in the Contract Time will be valid if not submitted in accordance with the requirements of this paragraph 11.1.

### **11.2 Justification for Time Extensions**

The Contract Time will be extended in an amount equal to time lost due to delays beyond the control of CONTRACTOR if a claim is made therefore as provided in paragraph 11.1. Such delays shall include, but not be limited to, acts or neglect by OWNER or others performing additional work as contemplated by Article 6, or to fires, floods, labor disputes, epidemics, abnormal weather conditions or acts of God.

**11.3 Time Limits**

All time limits stated in the Contract Documents are of the essence of the Agreement. The provisions of this Article 11 shall not exclude recovery for damages (including but not limited to fees and charges of engineers, architects, attorneys and other professionals and court costs) for delay by either party.

**12. WARRANTY AND GUARANTEE; TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK**

**12.1 Warranty and Guarantee**

CONTRACTOR warrants and guarantees to OWNER and ENGINEER that all Work will be in accordance with the Contract Documents and will not be defective. All defective Work, whether or not in place, may be rejected, corrected or accepted as provided in this Article 12.

**12.2 Access to Work**

ENGINEER and ENGINEER'S representatives, other representatives of OWNER, testing agencies and governmental agencies with jurisdictional interests will have access to the Work at reasonable times for their observation, inspecting and testing. CONTRACTOR shall provide proper and safe conditions for such access.

**12.3 Tests and Inspections**

**12.3.1 Timely Notice**

CONTRACTOR shall give ENGINEER timely notice of readiness of the Work for all required inspections, tests or approvals.

**12.3.2 Requirements and Responsibilities**

The ENGINEER may require such inspection and testing during the course of the Work as he/she deems necessary to ascertain and assure the integrity and acceptable quality of the materials incorporated and the work performed. Inspection presence may be either full-time or intermittent, and neither the presence nor absence at any time of the ENGINEER or the INSPECTOR shall relieve the CONTRACTOR of sole responsibility for the acceptability and integrity of the Work or any part thereof.

The costs of sampling, testing, and inspection on-site to ascertain acceptability of the Work and materials will be borne by the OWNER except as otherwise provided. The OWNER will select a testing laboratory to perform such sampling and testing. Sampling and/or testing required by the CONTRACTOR or necessitated by failure of Work or materials to meet the above acceptability test shall be at the expense of the CONTRACTOR.

Inspection services may be performed by the employees of the OWNER or by others selected or designated by the OWNER or the ENGINEER.

Sampling and/or testing required for manufacturing quality and/or process control, for certification that raw mineral materials or manufactured products are the quality specified in the Contract, or to assure the acceptability for

incorporation into the Work shall be borne by the CONTRACTOR or the material supplier.

Cost for inspection, sampling, testing, and approvals required by the laws or regulations of any public body having competent jurisdiction shall be borne by the CONTRACTOR or the material supplier.

Sampling and testing will be in accord with pertinent codes and regulations and with appropriate standards of the American Society of Testing Materials (ASTM) or other specified standards.

**12.3.3 On-Site Construction Test and Other Testing**

All inspections, tests or approvals other than those required by laws or regulations of any public body having jurisdiction shall be performed by organizations acceptable to OWNER and CONTRACTOR (or by ENGINEER if so specified).

**12.3.4 Covered Work**

If any Work (including the work of others) that is to be inspected, tested or approved is covered without written concurrence of ENGINEER, it must, if requested by ENGINEER, be uncovered for observation. Such uncovering shall be at CONTRACTOR'S expense unless CONTRACTOR has given ENGINEER timely notice of CONTRACTOR'S intention to cover the same and ENGINEER has not acted with reasonable promptness in response to such notice.

**12.3.5 CONTRACTOR'S Obligation**

Neither observations by ENGINEER nor inspections, tests or approvals by others shall relieve CONTRACTOR from CONTRACTOR'S obligations to perform the Work in accordance with the Contract Documents.

**12.4 OWNER May Stop the Work**

If the Work is defective, or CONTRACTOR fails to supply sufficient skilled workers or suitable materials or equipment, or fails to furnish or perform the Work in such a way that the completed Work will conform to the Contract Documents, OWNER may order CONTRACTOR to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of OWNER to stop the Work shall not give rise to any duty on the part of OWNER to exercise this right for the benefit of CONTRACTOR or any other party.

**12.5 Correction or Removal of Defective Work**

If required by ENGINEER, CONTRACTOR shall promptly, as directed, either correct all defective Work, whether or not fabricated, installed or completed, or, if the Work has been rejected by ENGINEER, remove it from the site and replace it with non-defective Work. CONTRACTOR shall bear all direct, indirect and consequential costs of such correction or removal (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) made necessary thereby.

**12.6 One Year Correction Period**

If within one year after the date of Completion or such longer period of time as may be prescribed by laws or regulations or by the terms of any applicable special

guarantee required by the Contract Documents or by any specific provision of the Contract Documents, any Work is found to be defective, CONTRACTOR shall promptly, without cost to OWNER and in accordance with OWNER'S written instructions, either correct such defective Work, or, if it has been rejected by OWNER, remove it from the site and replace it with non-defective Work. If CONTRACTOR does not promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, OWNER may have the defective Work corrected or the rejected Work removed and replaced, and all direct, indirect and consequential costs of such removal and replacement (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) will be paid by CONTRACTOR. In special circumstances where a particular item of equipment is placed in continuous service before Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications or by Change Order.

**12.7 Acceptance of Defective Work**

If, instead of requiring correction or removal and replacement of defective Work, OWNER prefers to accept it, OWNER may do so. CONTRACTOR shall bear all direct, indirect and consequential costs attributable to OWNER'S evaluation of and determination to accept such defective Work (such costs to be approved by ENGINEER as to reasonableness and to include but not be limited to fees and charges of engineers, architects, attorneys and other professionals).

**12.8 OWNER May Correct Defective Work**

If CONTRACTOR fails within a reasonable time after written notice of ENGINEER to proceed to correct and to correct defective Work or to remove and replace rejected Work as required by ENGINEER in accordance with paragraph 12.5, or if CONTRACTOR fails to perform the Work in accordance with the Contract Documents, or if CONTRACTOR fails to comply with any other provision of the Contract Documents, OWNER may, after seven days' written notice to CONTRACTOR, correct and remedy any such deficiency. In exercising the rights and remedies under this paragraph OWNER shall proceed expeditiously. To the extent necessary to complete corrective and remedial action, OWNER may exclude CONTRACTOR from all or part of the site, take possession of all or part of the Work, and suspend CONTRACTOR'S services related thereto, take possession of CONTRACTOR'S tools, appliances, construction equipment and machinery at the site and incorporate in the Work all materials and equipment stored at the site or for which OWNER has paid CONTRACTOR but which are stored elsewhere. CONTRACTOR shall allow OWNER, OWNER'S representatives, agents and employees such access to the site as may be necessary to enable OWNER to exercise the rights and remedies under this paragraph. All direct, indirect and consequential costs of OWNER in exercising such rights and remedies will be charged against CONTRACTOR in an amount approved as to reasonableness by ENGINEER, and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and OWNER shall be entitled to an appropriate decrease in the Contract Price. Such direct, indirect and consequential costs will include but not be limited to fees and charges of engineers, architects, attorneys and other professionals, all court costs and all costs of repair and replacement of work of others destroyed or damaged by correction, removal or replacement of CONTRACTOR'S defective Work. CONTRACTOR shall not be allowed an extension of the Contract Time because of any delay in performance of

the Work attributable to the exercise by OWNER of OWNER'S rights and remedies hereunder.

### **13. PAYMENTS TO CONTRACTOR AND COMPLETION**

#### **13.1 Schedule of Values**

The schedule of values established as provided in paragraph 2.8 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to ENGINEER. Progress payments on account of unit price work will be based on the number of units completed.

#### **13.2 Application for Progress Payment**

At least ten days before each progress payment is scheduled (but not more often than once a month), CONTRACTOR shall submit to ENGINEER for review an Application for Payment filled out and signed by CONTRACTOR covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice or other documentation warranting that OWNER has received the materials and equipment free and clear of all liens, charges, security interests and encumbrances (which are hereinafter in these General Conditions referred to as "Liens") and evidence that the materials and equipment are covered by appropriate property insurance and other arrangements to protect OWNER'S interest therein, all of which will be satisfactory to OWNER. OWNER shall, within thirty (30) calendar days of presentation to him of an approved Application for Payment, pay CONTRACTOR the amount approved by ENGINEER. Monthly progress payments shall be ninety (90) percent of the sum obtained by applying the respective bid unit prices to the approved estimated quantities of work completed by the Contractor during the preceding month. The remaining ten (10) percent will be held by the Owner, as retainage. At such time as the Engineer deems appropriate - based on the quality of work performed, progress of cleanup, and other pertinent factors - the rate of retainage, or the total amount retained, may be reduced; although, any reduction in retainage, below the ten (10) percent level, is made solely at the Engineer's discretion. All remaining retainage held will be included in the final payment to the Contractor.

#### **13.3 CONTRACTOR'S Warranty of Title**

CONTRACTOR warrants and guarantees that title to all Work, materials and equipment covered by any Application for Payment, whether incorporated in the Project or not, will pass to OWNER no later than the time of payment free and clear of all Liens.

## **13.4 Review of Applications for Progress Payment**

### **13.4.1 Submission of Application for Payment**

ENGINEER will, after receipt of each Application for Payment, either indicate in writing a recommendation of payment and present the Application to OWNER, or return the Application to CONTRACTOR indicating in writing ENGINEER'S reasons for refusing to recommend payment. In the latter case, CONTRACTOR may make the necessary corrections and resubmit the Application.

### **13.4.2 ENGINEER'S Recommendation**

ENGINEER may refuse to recommend the whole or any part of any payment, if, in ENGINEER'S opinion, it would be incorrect to make such representations to OWNER. ENGINEER may also refuse to recommend any such payment, or, because of subsequently discovered evidence or the results of subsequent inspections or tests, nullify any such payment previously recommended, to such extent as may be necessary in ENGINEER'S opinion to protect OWNER from loss because:

13.4.2.1 the Work is defective, or completed Work has been damaged requiring correction or replacement;

13.4.2.2 the Contract Price has been reduced by Written Amendment or Change Order;

13.4.2.3 OWNER has been required to correct defective Work or complete Work in accordance with paragraph 12.8; or

13.4.2.4 of ENGINEER'S actual knowledge of the occurrence of any of the events enumerated in paragraphs 14.2.1 through 14.2.9 inclusive.

## **13.5 Partial Utilization**

OWNER at any time may request CONTRACTOR in writing to permit OWNER to use any such part of the Work which OWNER believes to be ready for its intended use and has been completed. If CONTRACTOR agrees, CONTRACTOR will certify to OWNER that said part of the Work is complete and request that a Certificate of Completion be issued for that part of the Work.

## **13.6 Final Inspection**

Upon written notice from CONTRACTOR that the entire Work or an agreed portion thereof is complete, ENGINEER will make a final inspection with CONTRACTOR and will notify CONTRACTOR in writing of all particulars in which this inspection reveals that the Work is incomplete or defective. CONTRACTOR shall immediately take such measures as are necessary to remedy such deficiencies.

## **13.7 Final Application for Payment**

After CONTRACTOR has completed all such corrections to the satisfaction of ENGINEER and delivered all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, marked-up record documents (as provided in paragraph 5.14) and other documents - all as required by the Contract Documents, and after ENGINEER has indicated that the Work is acceptable (subject

to the provisions of paragraph 13.10), CONTRACTOR may make application for final payment following the procedure for progress payments. The final Application for Payment shall be accompanied by all documentation called for in the Contract Documents, together with complete and legally effective releases or waivers (satisfactory to OWNER) of all Liens arising out of or filed in connection with the Work. In lieu thereof and as approved by OWNER, CONTRACTOR may furnish receipts or releases in full; an affidavit of CONTRACTOR that the releases and receipts include all labor, services, material and equipment for which a Lien could be filed, and that all payrolls, material and equipment bills, and other indebtedness connected with the Work for which OWNER or OWNER'S property might in any way be responsible, have been paid or otherwise satisfied; and consent of the surety, if any, to final payment. If any Subcontractor or Supplier fails to furnish a release or receipt in full, CONTRACTOR may furnish a bond or other collateral satisfactory to OWNER to indemnify OWNER against any lien.

### **13.8 Final Payment and Acceptance**

#### **13.8.1 ENGINEER'S Approval**

If, on the basis of ENGINEER'S observation of the Work during construction and final inspection, and ENGINEER'S review of the final Application for Payment and accompanying documentation - all as required by the Contract Documents, ENGINEER is satisfied that the Work has been completed and CONTRACTOR'S other obligations under the Contract Documents have been fulfilled, ENGINEER will, after receipt of the final Application for Payment, indicate in writing ENGINEER'S recommendation of payment and present the Application to OWNER for payment. Thereupon ENGINEER will give written notice to OWNER and CONTRACTOR that the Work is acceptable, subject to the provisions of paragraph 13.10. Otherwise, ENGINEER will return the Application to CONTRACTOR, indicating in writing the reasons for refusing to recommend final payment, in which case CONTRACTOR shall make the necessary corrections and resubmit the Application.

#### **13.8.2 Delay in Completion of Work**

If, through no fault of CONTRACTOR, final completion of the Work is significantly delayed, OWNER shall, upon receipt of CONTRACTOR'S final Application for Payment and recommendation of ENGINEER, and without terminating the Agreement, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance to be held by OWNER for Work not fully completed or corrected is less than the retainage stipulated in the Agreement, and if bonds have been furnished as required in paragraph 10 of Part II, Information for Bidders, the written consent of the surety to the payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by CONTRACTOR to ENGINEER with the Application for such payment. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of claims.

### **13.9 CONTRACTOR'S Continuing Obligation**

CONTRACTOR'S obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. Neither recommendation of any progress



or final payment by ENGINEER, nor the issuance of a certificate of Completion, nor any payment by OWNER to CONTRACTOR under the Contract Documents, nor any use or occupancy of the Work or any part thereof by OWNER, nor any act of acceptance by OWNER nor any failure to do so, nor any review and approval of a Shop Drawing or sample submission, nor any correction of defective Work by OWNER will constitute an acceptance of Work not in accordance with the Contract Documents or a release of CONTRACTOR'S obligation to perform the Work in accordance with the Contract Documents (except as provided in paragraph 13.10).

**13.10 Waiver of Claims**

The making and acceptance of final payment will constitute:

**13.10.1** a waiver of all claims by OWNER against CONTRACTOR, except claims arising from unsettled liens, from defective Work appearing after final inspection or from failure to comply with the Contract Documents or the terms of any special guarantees specified therein; however, it will not constitute a waiver by OWNER of any rights in respect of CONTRACTOR'S continuing obligations under the Contract Documents; and

**13.10.2** a waiver of all claims by CONTRACTOR against OWNER other than those previously made in writing and still unsettled.

**14. SUSPENSION OF WORK AND TERMINATION**

**14.1 OWNER May Suspend Work**

OWNER may, at any time and without cause, suspend the Work or any portion thereof for a period of not more than ninety days by notice in writing to CONTRACTOR and ENGINEER which will fix the date on which Work will be resumed. CONTRACTOR shall resume the Work on the date so fixed. CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, directly attributable to any suspension if CONTRACTOR makes an approved claim therefor as provided in Articles 10 and 11.

**14.2 OWNER May Terminate**

The OWNER may terminate the Work upon the occurrence of any one or more of the following events:

**14.2.1** if CONTRACTOR commences a voluntary case under any chapter of the Bankruptcy Code (Title 11, United States Code), as now or hereafter in effect, or if CONTRACTOR takes any equivalent or similar action by filing a petition or otherwise under any other federal or state law in effect at such time relating to the bankruptcy or insolvency;

**14.2.2** if a petition is filed against CONTRACTOR under any chapter of the Bankruptcy Code as now or hereafter in effect at the time of filing, or if a petition is filed seeking any such equivalent or similar relief against CONTRACTOR under any other federal or state law in effect at the time relating to bankruptcy or insolvency;

**14.2.3** if CONTRACTOR makes a general assignment for the benefit of creditors;

**14.2.4** if a trustee, receiver, custodian or agent of CONTRACTOR is appointed under applicable law or under contract, whose appointment or authority to take charge of property of CONTRACTOR is for the purpose of enforcing a Lien against such property or for the purpose of general administration of such property for the benefit of CONTRACTOR'S creditors;

**14.2.5** if CONTRACTOR admits in writing an inability to pay its debts generally as they become due;

**14.2.6** if CONTRACTOR persistently fails to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the progress schedule established under paragraph 2.8 as revised from time to time);

**14.2.7** if CONTRACTOR disregards laws or regulations of any public body having jurisdiction;

**14.2.8** if CONTRACTOR disregards the authority of ENGINEER, or

**14.2.9** if CONTRACTOR otherwise violates in any substantial way any provisions of the Contract Documents;

OWNER may, after giving CONTRACTOR (and the surety) seven days' written notice and to the extent permitted by Laws and Regulations, terminate the services of CONTRACTOR, exclude CONTRACTOR from the site and take possession of the Work and of all CONTRACTOR'S tools, appliances, construction equipment and machinery at the site and use the same to the full extent they could be used by CONTRACTOR (without liability to CONTRACTOR for trespass or conversion), incorporate in the Work all materials and equipment stored at the site or for which OWNER has paid CONTRACTOR but which are stored elsewhere, and finish the Work as OWNER may deem expedient. In such case CONTRACTOR shall not be entitled to receive any further payment until the Work is finished. If the unpaid balance of the Contract Price exceeds the direct, indirect and consequential costs of completing the Work (including but not limited to fees and charges of engineers, architects, attorneys and other professionals and court and arbitration costs) such excess will be paid to CONTRACTOR. If such costs exceed such unpaid balance, CONTRACTOR shall pay the difference to OWNER. Such costs incurred by OWNER will be approved as to reasonableness by ENGINEER and incorporated in a Change Order, but when exercising any rights or remedies under this paragraph OWNER shall not be required to obtain the lowest price for the Work performed.

**14.2.10** If safety violations are observed and brought to the Contractors attention and Contractor fails to take immediate corrective measures any repeat of similar safety violations, Owner will order an immediate termination of Contract.

Note: it is the Contractor's responsibility to know proper safety measures as they pertain to construction and OSHA.

**14.2.11** This Contract may be canceled by either party thirty (30) days after delivery by canceling party of written notice of intent to cancel to the other contracting party.

**14.2.12** This Contract may be canceled by the Lexington-Fayette Urban County Government if it is determined that the Bidder has failed to perform under the terms of this agreement, such cancellation to be effective upon receipt of written notice of cancellation by the Bidder.

**14.3 CONTRACTOR'S Services Terminated**

Where CONTRACTOR'S services have been so terminated by OWNER, the termination will not affect any rights or remedies of OWNER against CONTRACTOR then existing or which may thereafter accrue. Any retention or payment of moneys due CONTRACTOR by OWNER will not release CONTRACTOR from liability.

**14.4 Payment After Termination**

Upon seven days' written notice to CONTRACTOR, OWNER may, without cause and without prejudice to any other right or remedy, elect to abandon the Work and terminate the Agreement. In such case, CONTRACTOR shall be paid for all Work executed and any expense sustained plus reasonable termination expenses, which will include, but not be limited to, direct, indirect and consequential costs (including, but not limited to, fees and charges of engineers, architects, attorneys and other professionals and court and arbitration costs).

**14.5 CONTRACTOR May Stop Work or Terminate**

If, through no act or fault of CONTRACTOR, the Work is suspended for a period of more than ninety days by OWNER or under an order of court or other public authority, or ENGINEER fails to act on any Application for Payment within sixty days after it is submitted, or OWNER fails for sixty days to pay CONTRACTOR any sum finally determined to be due, then CONTRACTOR may, upon seven days' written notice to OWNER and ENGINEER, terminate the Agreement and recover from OWNER payment for all Work executed and any expense sustained plus reasonable termination expenses. In addition and in lieu of terminating the Agreement, if ENGINEER has failed to act on an Application for Payment or OWNER has failed to make any payment as aforesaid, CONTRACTOR may upon seven days' written notice to OWNER and ENGINEER stop the Work until payment of all amounts then due. The provisions of this paragraph shall not relieve CONTRACTOR of the obligations under paragraph 5.16 to carry on the Work in accordance with the progress schedule and without delay during disputes and disagreements with OWNER.

**15. MISCELLANEOUS**

**15.1 Claims for Injury or Damage**

Should OWNER or CONTRACTOR suffer injury or damage to person or property because of any error, omission or act of the other party or of any of the other party's employees or agents or others for whose acts the other party is legally liable, claim

will be made in writing to the other party within a reasonable time of the first observance of such injury or damage. The provisions of this paragraph 15.1 shall not be construed as a substitute for or a waiver of the provisions of any applicable statute of limitations or repose.

**15.2 Non-Discrimination in Employment**

The CONTRACTOR shall comply with the following requirements prohibiting discrimination:

**15.2.1** That no person (as defined in KRS 344.010) shall bid on Lexington-Fayette Urban County Government construction projects, or bid to furnish materials or supplies to the LFUCG, if, within six months prior to the time of opening of bids, said person shall have been found, by declaratory judgment action in Fayette Circuit Court, to be presently engaging in an unlawful practice, as hereinafter defined. Such declaratory judgment action may be brought by an aggrieved individual or upon an allegation that an effort at conciliation pursuant to KRS 344.200 has been attempted and failed, by the Lexington-Fayette County Human Rights Commission.

**15.2.2** That it is an unlawful practice for an employer:

**15.2.2.1** to fail or refuse to hire, or to discharge any individual or otherwise to discriminate against an individual, with respect to his compensation, terms, conditions, or privileges of employment, because of such individual's race, color, religion, sex, age, or national origin; or

**15.2.2.2** to limit, segregate or classify his employees in any way which would deprive or tend to deprive an individual of employment opportunities or otherwise adversely affect his status as an employee because of such individual's sex, race, color, religion, age, or national origin.

**15.2.3** That it is an unlawful practice for an employer, labor organization, or joint-labor management committee controlling apprenticeship or other training or retraining, including on-the-job training programs to discriminate against an individual because of his race, color, religion, sex, age, or national origin in admission to, or employment in, any program established to provide apprenticeship or other training.

**15.2.4** That a copy of this Ordinance shall be furnished all suppliers and made a part of all bid specifications.

**15.2.5** This Ordinance shall take effect after it is signed, published and recorded, as required by law.

**15.3 Temporary Street Closing or Blockage**

The CONTRACTOR will notify the ENGINEER at least 72 hours prior to making any temporary street closing or blockage. This will permit orderly notification to all concerned public agencies. Specific details and restrictions on street closure or blockage are contained in the Special Conditions.

**15.4 Percentage of Work Performed by prime CONTRACTOR**

The CONTRACTOR shall perform on site, and with its own organization, Work equivalent to at least fifty (50%) percent of the total amount of Work to be performed under the Contract. This percentage may be reduced by a supplemental agreement to this Contract if, during performing the Work, the CONTRACTOR requests a reduction and the ENGINEER determines that the reduction would be to the advantage of the OWNER.

**15.5 Clean-up**

Cleanup shall progress, to the greatest degree practicable, throughout the course of the Work. The Work will not be considered as completed, and final payment will not be made, until the right-of-way and all ground occupied or affected by the Contractor in connection with the Work has been cleared of all rubbish, equipment, excess materials, temporary structures, and weeds. Rubbish and all waste materials of whatever nature shall be disposed of, off of the project site, in an acceptable manner. All property, both public and private, which has been damaged in the prosecution of the Work, shall be restored in an acceptable manner. All areas shall be draining, and all drainage ways shall be left unobstructed, and in such a condition that drift will not collect or scour be induced.

**15.6 General**

The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto, and, in particular but without limitation, the warranties, guarantees and obligations imposed upon CONTRACTOR by paragraphs 12.1, 12.3.5, 13.3, and 15.2 and all of the rights and remedies available to OWNER and ENGINEER thereunder, are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by laws or regulations, by special warranty or guarantee or by other provisions of the Contract Documents, and the provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right and remedy to which they apply. All representations, warranties and guarantees made in the Contract Documents will survive final payment and termination or completion of the Agreement.

**15.7 Debris Disposal**

For all LFUCG projects any trash, construction demolition debris, yard waste, dirt or debris of any kind that is removed from the project site must be disposed of in accordance with local, state, and federal regulations. The disposal site or facility must be approved in advance by the LFUCG and disposal documentation is required. The Contractor will be responsible for payment of any fines associated with improper disposal of material removed from the project site.

END OF SECTION



**PART V**  
**SPECIAL CONDITIONS**  
**INDEX**

1	BLASTING .....	SC-2
2	RISK MANAGEMENT PROVISIONS – INSURANCE AND INDEMNIFICATION .....	SC-3
3	WAGE SCALE (if applicable).....	SC-6
4	Shop Drawings .....	SC-6
5	Contract Documents .....	SC-6

**1. BLASTING**

Blasting is not allowed as part of this Contract.

**2. RISK MANAGEMENT PROVISIONS  
INSURANCE AND INDEMNIFICATION**

---

**INDEMNIFICATION AND HOLD HARMLESS PROVISION**

- (1) It is understood and agreed by the parties that Contractor hereby assumes the entire responsibility and liability for any and all damages to persons or property caused by or resulting from or arising out of any act or omission on the part of Contractor or its employees, agents, servants, owners, principals, licensees, assigns or subcontractors of any tier (hereinafter "CONTRACTOR") under or in connection with this agreement and/or the provision of goods or services and the performance or failure to perform any work required thereby.
- (2) CONTRACTOR shall indemnify, save, hold harmless and defend the Lexington-Fayette Urban County Government and its elected and appointed officials, employees, agents, volunteers, and successors in interest (hereinafter "LFUCG") from and against all liability, damages, and losses, including but not limited to, demands, claims, obligations, causes of action, judgments, penalties, fines, liens, costs, expenses, interest, defense costs and reasonable attorney's fees that are in any way incidental to or connected with, or that arise or are alleged to have arisen, directly or indirectly, from or by CONTRACTOR's performance or breach of the agreement and/or the provision of goods or services provided that: (a) it is attributable to personal injury, bodily injury, sickness, or death, or to injury to or destruction of property (including the loss of use resulting therefrom), or to or from the negligent acts, errors or omissions or willful misconduct of the CONTRACTOR; and (b) not caused solely by the active negligence or willful misconduct of LFUCG.
- (3) In the event LFUCG is alleged to be liable based upon the above, CONTRACTOR shall defend such allegations and shall bear all costs, fees and expenses of such defense, including but not limited to, all reasonable attorneys' fees and expenses, court costs, and expert witness fees and expenses, using attorneys approved in writing by LFUCG, which approval shall not be unreasonably withheld.
- (4) These provisions shall in no way be limited by any financial responsibility or insurance requirements, and shall survive the termination of this agreement.
- (5) Not used.
- (6) LFUCG is a political subdivision of the Commonwealth of Kentucky. CONTRACTOR acknowledges and agrees that LFUCG is unable to provide indemnity or otherwise save, hold harmless, or defend the CONTRACTOR in any manner.

**FINANCIAL RESPONSIBILITY**

BIDDER/CONTRACTOR understands and agrees that it shall, prior to final acceptance of its Bid and the commencement of any work, demonstrate the ability to assure compliance with the above Indemnity provisions and these other risk management provisions.



**INSURANCE REQUIREMENTS**

YOUR ATTENTION IS DIRECTED TO THE INSURANCE REQUIREMENTS BELOW, AAND YOU MAY NEED TO CONFER WITH YOUR INSURANCE AGENTS, BROKERS, OR CARRIERS TO DETERMINE IN ADVANCE OF SUBMISSION OF A RESPONSE THE AVAILABILITY OF THE INSURANCE COVERAGES AND ENDORSEMENTS REQUIRED HEREIN. IF YOU FAIL TO COMPLY WITH THE INSURANCE REQUIREMENTS BELOW, YOU MAY BE DISQUALIFIED FROM AWARD OF THE CONTRACT.

**Required Insurance Coverage**

BIDDER/CONTRACTOR shall procure and maintain for the duration of this Contract the following or equivalent insurance policies at no less than the limits shown below and cause its subcontractors to maintain similar insurance with limits acceptable to LFUCG in order to protect LFUCG against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by CONTRACTOR. The cost of such insurance shall be included in any bid:

<b><u>Coverage</u></b>	<b><u>Limits</u></b>
General Liability aggregate (Insurance Services Office Form CG 00 01)	\$1 million per occurrence, \$2 million or \$2 million combined single limit
Commercial Automobile Liability occurrence (Insurance Services Office Form CA 0001)	combined single, \$1 million per
Worker's Compensation	Statutory
Employer's Liability	\$500,000.00

The policies above shall contain the following conditions:

- a. All Certificates of Insurance forms used by the insurance carrier shall be properly filed and approved by the Department of Insurance for the Commonwealth of Kentucky (DOI). LFUCG shall be named as an additional insured in the General Liability Policy and Commercial Automobile Liability Policy using the Kentucky DOI approved forms.
- b. The General Liability Policy shall be primary to any insurance or self-insurance retained by LFUCG.
- c. The General Liability Policy shall include a Products and Completed Operations endorsement or Premises and Operations Liability endorsement unless it is deemed not to apply by LFUCG.
- d. The General Liability Policy shall include an Explosion-Collapse Underground (XCU) endorsement.
- e. The General Liability Policy shall include a Pollution liability and/or Environmental Casualty endorsement unless it is deemed not to apply by LFUCG.

- f. LFUCG shall be provided at least 30 days advance written notice via certified mail, return receipt requested, in the event any of the required policies are canceled or non-renewed.
- g. Said coverage shall be written by insurers acceptable to LFUCG and shall be in a form acceptable to LFUCG. Insurance placed with insurers with a rating classification of no less than Excellent (A or A-) and a financial size category of no less than VIII, as defined by the most current Best's Key Rating Guide shall be deemed automatically acceptable.
- h. Owner requests that the Bidder obtain an Umbrella Liability endorsement to the CGL policy for a limit of liability of \$ N/A and that this CGL policy endorsement be renewed for one (1) year after completion of this project.

#### Renewals

After insurance has been approved by LFUCG, evidence of renewal of an expiring policy must be submitted to LFUCG and may be submitted on a manually signed renewal endorsement form. If the policy or carrier has changed, however, new evidence of coverage must be submitted in accordance with these Insurance Requirements.

#### Deductibles and Self-Insured Programs

**IF YOU INTEND TO SUBMIT A SELF-INSURANCE PLAN IT MUST BE FORWARDED TO LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT, DIVISION OF RISK MANAGEMENT, 200 EAST MAIN STREET, LEXINGTON, KENTUCKY 40507 NO LATER THAN A MINIMUM OF FIVE (5) WORKING DAYS PRIOR TO THE RESPONSE DATE.**

Self-insurance programs, deductibles, and self-insured retentions in insurance policies are subject to separate approval by LFUCG's Division of Risk Management, upon review of evidence of BIDDER/CONTRACTOR's financial capacity to respond to claims. Any such programs or retentions must provide LFUCG with at least the same protection from liability and defense of suits as would be afforded by first-dollar insurance coverage. If BIDDER/CONTRACTOR satisfies any portion of the insurance requirements through deductibles, self-insurance programs, or self-insured retentions, BIDDER/CONTRACTOR agrees to provide LFUCG, Division of Risk Management, the following data prior to the final acceptance of bid and the commencement of any work:

- a. Latest audited financial statement, including auditor's notes.
- b. Any records of any self-insured trust fund plan or policy and related accounting statements.
- c. Actuarial funding reports or retained losses.
- d. Risk Management Manual or a description of the self-insurance and risk management program.
- e. A claim loss run summary for the previous five (5) years.
- f. Self-Insured Associations will be considered.

### Safety and Loss Control

CONTRACTOR shall comply with all applicable federal, state, and local safety standards related to the performance of its works or services under this Agreement and take necessary action to protect the life, health and safety and property of all of its personnel on the job site, the public, and LFUCG.

### Verification of Coverage

BIDDER/CONTRACTOR agrees to furnish LFUCG with all applicable Certificates of Insurance signed by a person authorized by the insurer to bind coverage on its behalf prior to final award, and if requested, shall provide LFUCG copies of all insurance policies, including all endorsements.

### Right to Review, Audit and Inspect

CONTRACTOR understands and agrees that LFUCG may review, audit and inspect any and all of its records and operations to insure compliance with these Insurance Requirements.

### DEFAULT

BIDDER/CONTRACTOR understands and agrees that the failure to comply with any of these insurance, safety, or loss control provisions shall constitute default and that LFUCG may elect at its option any single remedy or penalty or any combination of remedies and penalties, as available, including but not limited to purchasing insurance and charging BIDDER/CONTRACTOR for any such insurance premiums purchased, or suspending or terminating the work.

3. **WAGE SCALES** – Not applicable.
4. **SHOP DRAWINGS**-The Shop Drawings for this Contract are the Division of Engineering Standard Drawings 2017 located at <https://www.lexingtonky.gov/new-development>.
5. **CONTRACT DOCUMENTS**-The Contract Documents concerning the construction on Sanitary Sewer facilities and infrastructure are the Sanitary Sewer and Pumping Station Manual 2009 located at <https://www.lexingtonky.gov/new-development>.
6. **SUBMITTALS** - See Specification Section 01300 for additional requirements on submittals.
7. **RECORD DRAWINGS** - See Specification Section 01785 for additional requirements in Record Drawings.

END OF SECTION



PART VI  
CONTRACT AGREEMENT  
INDEX

1. SCOPE OF WORK
2. TIME OF COMPLETION AND LIQUIDATED DAMAGES
3. ISSUANCE OF WORK ORDERS
4. THE CONTRACT SUM
5. PROGRESS PAYMENTS
6. ACCEPTANCE AND FINAL PAYMENT
7. THE CONTRACT DOCUMENTS
8. EXTRA WORK
9. CONSENT DECREE REQUIREMENTS
10. ENUMERATION OF SPECIFICATIONS AND DRAWINGS



PART VI

CONTRACT AGREEMENT

THIS AGREEMENT, made on the 7<sup>th</sup> day of June, 2018, by and between **Lexington-Fayette Urban County Government**, acting herein called "OWNER" and **Herrick and Company Inc.**, doing business as \*(a corporation) located in the City of **Lawrenceburg**, County of **Anderson**, and State of **Kentucky** hereinafter called "CONTRACTOR."

WITNESSETH: That the CONTRACTOR and the OWNER in consideration of **one hundred forty six thousand dollars and 00/00 Cents (\$146,000.00)** quoted in the proposal by the CONTRACTOR, dated MAY 15, 2018, hereby agree to commence and complete the construction described as follows:

**1. SCOPE OF WORK**

The CONTRACTOR shall furnish all the materials, supplies, machinery, equipment, tools, superintendence, labor, insurance, and other accessories and services necessary to complete the said project in accordance with the conditions and prices stated in the Proposal, the General Conditions, and the Special Conditions of the Contract, the Specifications and Contract Documents therefore as prepared by Hazen and Sawyer the **HORSE PARK PUMP STATION GENERATOR REPLACEMENT** project.

**2. TIME OF COMPLETION AND LIQUIDATED DAMAGES**

The time period estimated and authorized by the OWNER for the proper execution of the Work by the Contract, in full, is hereby fixed as 180 days calendar days. The time shall begin ten (10) days after the CONTRACTOR is given the Notice to Proceed with the Work. **TIME IS OF THE ESSENCE IN THE PERFORMANCE OF THIS AGREEMENT AND CONTRACTOR SHALL BE LIABLE AND RESPONSIBLE FOR DAMAGES SUFFERED BY OWNER AS A RESULT OF THE DELAY CAUSED BY CONTRACTOR.**

Should the contractor fail or refuse to complete the work within the time specified in his Proposal and/or Contract (or extension of time granted by the owner), the Contractor shall pay liquidated damages in an amount of **\$850.00 per day**. The amount of liquidated damages shall in no event be considered as a penalty, nor other than an amount agreed upon by the Contractor and the Owner for damages, loses, additional engineering, additional resident representation and other cost that will be sustained by the owner, if the Contractor fails to complete the work within the specified time. Liquidated Damages will be applied on a rate per day for each and every calendar day (Sundays and holidays included) beyond the Contract expiration date stipulated in the Contract Documents, considering all time extension granted. **These Liquidated Damages are in addition to any other damages/fees/penalties that are incurred as a result of Consent Decree requirements.**

**3. ISSUANCE OF WORK ORDERS**

Notice to begin Work will be given in whole or for part of the Work as determined by the OWNER pending the availability of funds. The order of construction will be as determined by the ENGINEER after consultation with the CONTRACTOR and the OWNER.





**4. THE CONTRACT SUM**

The OWNER agrees to pay the CONTRACTOR in current funds for the performance of the Contract, as quoted in the proposal, subject to any additions and deductions, as provided therein.

**5. PROGRESS PAYMENTS**

The OWNER shall make payments on account of the Contract, as provided in accordance with the General Conditions, as estimated by the ENGINEER, less the aggregate of previous payments.

**6. ACCEPTANCE AND FINAL PAYMENT**

Final payment shall be due within ninety (90) days after completion of the Work, provided the Work be then fully completed and the Contract fully accepted.

Before issuance of final certificate, the CONTRACTOR shall submit evidence satisfactory to the ENGINEER that all payrolls, material bills, and other indebtedness connected with the Work has been paid.

If, after the Work has been substantially completed, full completion thereof is materially delayed through no fault of the CONTRACTOR, and the ENGINEER so certifies, the OWNER shall upon certificate of the ENGINEER, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of claims.

**7. THE CONTRACT DOCUMENTS**

The Advertisement for Bids, Information for Bidders, the General Conditions, Performance and Payment Bonds, Contract Agreement, Special Conditions, Technical Specifications, any and all Addenda, and Proposal, and Drawings form the Contract, and they are fully a part of the Contract as if hereto attached or herein repeated.

**8. EXTRA WORK**

The OWNER, without invalidating the Contract, may order extra work or make changes by altering, adding to or deducting from the Work, the Contract Sum being adjusted accordingly. All such Work shall be executed and paid for in accordance with the General Conditions, which is a part of this Contract.

**9. CONSENT DECREE REQUIREMENTS**

This project has no Consent Decree requirements.

**10. THE FOLLOWING IS AN ENUMERATION OF THE SPECIFICATIONS AND DRAWINGS (CONTRACT DOCUMENTS):**



## SPECIFICATIONS

SECTION NO.	TITLE	PAGES
I	Advertisement for Bids	AB 1 thru 5
II	Information for Bidders	IB 1 thru 10
III	Form of Proposal	P 1 thru 35
IV	General Conditions	GC 1 thru 50
V	Special Conditions	SC 1 thru 7
VI	Contract Agreement	CA 1 thru 6
VII	Performance and Payment Bonds	PB 1 thru 7
VIII	Addenda	AD 1 thru 1
IX	Technical Specifications	TS 1 thru 10

(See Table of Contents for complete list of Specifications)

## DRAWINGS

DRAWING NO.	TITLE
G01	Cover Sheet
G02	Legends, Symbols, and Abbreviations
G03	Civil General Notes 1
G04	Civil General Notes 2
C01	Existing Site Plan
S01	General Structural Notes
S02	General Structural Notes
S03	Demolition Generator Pad
S04	Proposed Generator Pad
E01	Legend and Symbols
E02	Abbreviations and Notes
E03	Demolition Plan
E04	Proposed Plan
E05	Odor Control Building Plan and Single Line Diagram
D01	Miscellaneous Standard Details
D02	Miscellaneous Standard Details



IN WITNESSETH WHEREOF, the parties hereto have executed this Contract as of the date and year above written.

(Seal)

Lexington-Fayette Urban County Government.  
Lexington, Kentucky

(Owner)

ATTEST:

*[Handwritten Signature]*  
Clerk of the Urban County Council

BY:

*[Handwritten Signature]*  
MAYOR

(Witness)

(Title)

(Seal)

Herrick Company, Inc.

(Contractor)

*[Handwritten Signature]*  
(Secretary)\* H. Douglas Herrick

BY:

*[Handwritten Signature]*  
Donna S. Herrick

*[Handwritten Signature]*  
(Witness)

Chief Executive Officer

(Title)

2176 Waddy Road  
Lawrenceburg, KY 40342

(Address and Zip Code)

IMPORTANT: \*Strike out any non-applicable terms.

Secretary of the Owner should attest. If the CONTRACTOR is corporation, Secretary should attest. Give proper title of each person-executing Contract.

END OF SECTION



**ACORD**<sup>TM</sup>**CERTIFICATE OF LIABILITY INSURANCE**

DATE (MM/DD/YYYY)

05/29/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER <b>J Smith Lanier &amp; Co-Lexington</b> <b>Marsh &amp; McLennan Agency, LLC</b> <b>P O Box 2030</b> <b>Lexington, KY 40588</b>	CONTACT NAME: <b>Karen Marshall</b>	
	PHONE (A/C, No, Ext): <b>800 796-3567</b> FAX (A/C, No): <b>859 254-8020</b>	
INSURED <b>Herrick Company, Inc.</b> <b>1385 Tracy Road</b> <b>Lawrenceburg, KY 40342</b>	INSURER(S) AFFORDING COVERAGE	NAIC #
	INSURER A : <b>CinInnatl Insurance Co.</b>	<b>10677</b>
	INSURER B : <b>KY Assoc. General Contractors</b>	
	INSURER C : <b>Travelers</b>	
	INSURER D : <b>Philadelphia Insurance Companies</b>	
	INSURER E :	
INSURER F :		

**COVERAGES****CERTIFICATE NUMBER:****REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> <b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> <b>PD Ded:500</b> GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			<b>EPP0347245</b>	<b>10/11/2017</b>	<b>10/11/2018</b>	EACH OCCURRENCE \$ <b>1,000,000</b> DAMAGE TO RENTED PREMISES (Ea occurrence) \$ <b>500,000</b> MED EXP (Any one person) \$ <b>10,000</b> PERSONAL & ADV INJURY \$ <b>1,000,000</b> GENERAL AGGREGATE \$ <b>2,000,000</b> PRODUCTS - COMP/OP AGG \$ <b>2,000,000</b> \$
A	<input checked="" type="checkbox"/> <b>AUTOMOBILE LIABILITY</b> <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> Drive Oth Car <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS			<b>EBA0347245</b>	<b>10/11/2017</b>	<b>10/11/2018</b>	COMBINED SINGLE LIMIT (Ea accident) \$ <b>1,000,000</b> BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	<input checked="" type="checkbox"/> <b>UMBRELLA LIAB</b> <input type="checkbox"/> EXCESS LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ <b>0</b>			<b>EPP0347245</b>	<b>10/11/2017</b>	<b>10/11/2018</b>	EACH OCCURRENCE \$ <b>5,000,000</b> AGGREGATE \$ <b>5,000,000</b> \$
B	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input checked="" type="checkbox"/> N <input type="checkbox"/> Y/N (Mandatory In NH) If yes, describe under DESCRIPTION OF OPERATIONS below			<b>007033</b>	<b>01/01/2018</b>	<b>01/01/2019</b>	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ <b>4,000,000</b> E.L. DISEASE - EA EMPLOYEE \$ <b>4,000,000</b> E.L. DISEASE - POLICY LIMIT \$ <b>4,000,000</b>
A	<b>Builders Risk</b>			<b>EPP0347245</b>	<b>10/11/2017</b>	<b>10/11/2018</b>	<b>\$3,000,000 - Limit</b> <b>\$2,500 - Deductible</b>
C	<b>Excess Umbr Liab</b>			<b>ZUP15T4005217NF</b>	<b>04/28/2018</b>	<b>10/11/2018</b>	<b>\$5,000,000-Each Occ/Agg</b>

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Coverages continued...

Pollution Liability

Insurer Letter: D

Policy Number: PPK1235310

Policy Term: 09/05/2016 - 09/05/2018

(See Attached Descriptions)

**CERTIFICATE HOLDER****CANCELLATION**

**Division of Water Quality**  
**Lexington Fayette Urban Co Government**  
**200 East Main Street, 3rd Floor**  
**Lexington, KY 40507-0000**

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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## DESCRIPTIONS (Continued from Page 1)

\$2,000,000 - Each Occurrence

\$6,000,000 - Aggregate

\$5,000 - Deductible

**Project: Horse Park Pump Station Generator Replacement**

Division of Water Quality Lexington Fayette Urban County Government (Owner) and Hazen and Sawyer, 230 Lexington Green Circle, Suite 520, Lexington, KY 40503 are included as additional insured when required by written contract but only with respects to the auto liability and general liability insurance and subject to the provisions and limitations of the policy. Thirty (30) day written notice of cancelation in favor of holder. Insured's coverage is primary. CGL does not have an XCU exclusion endorsement. There is a separate Pollution Liability policy in place.



**PART VII**

**PERFORMANCE AND PAYMENT BONDS**

1. PERFORMANCE BOND
2. PAYMENT BOND



**PART VII**

**PERFORMANCE BOND**

KNOW ALL MEN BY THESE PRESENTS, that

Herrick Company, Inc.  
(Name of CONTRACTOR)

2176 Waddy Road, Lawrenceburg, KY 40342  
(Address of CONTRACTOR)

a Corporation  
hereinafter  
(Corporation, Partnership, or Individual)

called Principal, and

United Fire & Casualty Company  
(Name of Surety)

118 Second Avenue SE, Cedar Rapids, IA 52401  
(Address of Surety)

hereinafter called Surety, are held and firmly bound unto

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
200 East Main Street, Third Floor  
Lexington, Kentucky 40507

hereinafter called "OWNER" in the penal sum of:  
One Hundred Forty Six Thousand and No/100----- Dollars, (\$ 146,000.00 ), for the  
payment of whereof Principal and Surety bind themselves, their heirs, executors, administrators,  
successors, and assigns, jointly and severally, firmly by these presents.

WHEREAS, Principal by written agreement is entering into a Contract with OWNER  
for **HORSE PARK PUMP STATION GENERATOR REPLACEMENT** in accordance  
with drawings and specifications prepared by: Hazen and Sawyer  
which Contract is by reference made a part hereof, and is hereinafter referred to as the Contract.

NOW THEREFORE, THE CONDITION OF THIS OBLIGATION is such that if the Principal  
shall promptly and faithfully perform said Contract, then this obligation shall be null and void;  
otherwise it shall remain in full force and effect.

The Surety hereby waives notice of any alteration or extension of time made by the OWNER.

Whenever, Principal shall be, and declared by OWNER to be in default under the Contract,  
the OWNER having performed OWNER'S obligations thereunder, the Surety may promptly  
remedy the default, or shall promptly:

- (1) Complete the Contract in accordance with its terms and conditions or



- (2) Obtain a bid or bids for completing the Contract in accordance with its terms and conditions, and upon determination by Surety of the lowest responsible bidder, or if the OWNER elects, upon determination by the OWNER and Surety jointly of the lowest responsible bidder, arrange for a Contract between such bidder and OWNER, and make available as Work progresses (even though there may be a default or a succession of defaults under the Contract or Contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion less the balance of the Contract Price; but not exceeding, including other costs and damages for which the Surety may be liable hereunder, the amount set forth in the first paragraph hereof. The term "balance of the Contract Price", as used in this paragraph shall mean the total amount payable by OWNER to Principal under the Contract and any amendments thereto, less the amount properly paid by OWNER to Principal.

Any suit under this bond must be instituted before the expiration of two (2) years from the date on which final payment under the Contract falls due.

No right of action shall accrue on this bond to or for the use of any person or corporation other than the OWNER named herein or the heirs, executors, administrators or successors of OWNER.



IN WITNESS WHEREOF, this instrument is executed in 4 counterparts, each one of (number)

which shall be deemed an original, this the 7th day of June, 2018

ATTEST:

[Signature]  
(Principal) Secretary

Herrick Company, Inc.  
Principal

BY: Donna S Herrick (s)

2176 Waddy Road  
(Address)  
Lawrenceburg, KY 40342

[Signature]  
Witness as to Principal

2176 Waddy Road  
(Address)  
Lawrenceburg KY 40342

United Fire & Casualty Company  
Surety

BY: Leigh McCarthy  
Leigh McCarthy Attorney-in-Fact  
118 Second Avenue SE  
(Address)  
Cedar Rapids, IA 52401

ATTEST:

[Signature]  
(Surety) Secretary

(SEAL)

Barbara Duncan  
Witness as to Surety  
2307 River Road, Suite 200  
(Address)  
Louisville, KY 40206

~~XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX~~  
Surety

~~XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX~~

TITLE: Underwriting Assistant

NOTE: The number of executed counterparts of the bond shall coincide with the number of executed counterparts of the Contract.





PART VII

PAYMENT BOND

Bond No. 54-220292

KNOW ALL MEN BY THESE PRESENT: that

Herrick Company, Inc.

(Name of Contractor)

2176 Waddy Road, Lawrenceburg, KY 40342

(Address of Contractor)

a Corporation

hereinafter

(Corporation, Partnership or Individual)

called Principal, and

United Fire & Casualty Company

(Name of Surety)

118 Second Avenue SE, Cedar Rapids, IA 52401

(Address of Surety)

hereinafter called Surety, are held and firmly bound unto:

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
200 East Main Street, Third Floor  
Lexington, Kentucky 40507

Obligee, hereinafter called OWNER, for the use and benefit of claimants as hereinafter defined, in the amount of One Hundred Forty Six Thousand and No/100-----(\$146,000.00) Dollars the payment whereof Principal and Surety bind themselves, their heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

WHEREAS, Principal by written agreement is entering into a Contract with OWNER for HORSE PARK PUMP STATION GENERATOR REPLACEMENT in accordance with drawings and specifications prepared by: Hazen and Sawyer which Contract is by reference made a part hereof, and is hereinafter referred to as the Contract.

NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION is such that, if Principal shall promptly make payment to all claimants as hereinafter defined for all labor and material used or reasonably required for use in the performance of the Contract, then this obligation shall be void; otherwise it shall remain in full force and effect, subject, however, to the following conditions.

1. A claimant is defined as one having a direct contract with the Principal or with a Subcontractor of the Principal for labor, material, or both, used or reasonably required for use in the performance of the Contract, labor and material being construed to include that



part of water, gas, power, light, heat, oil, gasoline, telephone service or rental of equipment directly applicable to the Contract.

2. The above named Principal and Surety hereby jointly and severally agree with the OWNER that every claimant as herein defined, who has not been paid in full before the expiration of a period of ninety (90) days after the date on which the last of such claimant's work or labor was done or performed, or materials were furnished by such claimant, may sue on this bond for the use of such claimant, prosecute the suit to final judgment for such sum or sums as may be justly due claimant, and have execution thereon. The OWNER shall not be liable for the payment of any costs or expenses of any such suit.
3. No suit or action shall be commenced hereunder by any claimant:
  - (a) Unless claimant, other than one having a direct contract with the Principal, shall have given written notice to any two of the following: The Principal, the OWNER, or the Surety above named, within ninety (90) days after such claimant did or performed the last of the Work or labor, or furnished the last of the materials for which said claim is made, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were furnished, or for whom the Work or labor was done or performed. Such notice shall be served by mailing the same by registered mail or certified mail, postage prepaid, in an envelope addressed to the Principal, OWNER, or Surety, at any place where an office is regularly maintained for the transaction of business, or served in any manner in which legal process may be served in the state in which the aforesaid project is located, save that such service need not be made by a public officer.
  - (b) After the expiration of one (1) year following the date on which Principal ceased Work on said Contract, it being understood, however, that if any limitation embodied in this bond is prohibited by any law controlling the construction hereof such limitation shall be deemed to be amended so as to be equal to the minimum period of limitation permitted by such law.
  - (c) Other than in a state court of competent jurisdiction in and for the county or other political subdivision of the state in which the project, or any part thereof, is situated, or in the United States District Court for the district in which the project, or any part thereof, is situated, and not elsewhere.
4. The amount of this bond shall be reduced by and to the extent of any payment or payments made in good faith hereunder, inclusive of the payment by Surety of mechanics' liens which may be filed of record against aid improvement, whether or not claim for the amount of such lien be presented under and against this bond.



IN WITNESS WHEREOF, this instrument is executed in 4 counterparts, each one of (number)

which shall be deemed an original, this the 7th day of June, 20 18

ATTEST:

[Signature]  
(Principal) Secretary

Herrick Company, Inc.  
(Principal)

(SEAL)

BY: Donna S Herrick (s)  
2176 Waddy Road  
(Address)  
Lawrenceburg, KY 40342

[Signature]  
(Witness to Principal)  
2176 Waddy Road  
(Address)  
Lawrenceburg KY 40342

United Fire & Casualty Copany  
(Surety)

ATTEST:

[Signature]  
(Surety) Secretary

BY: [Signature]  
Leigh McCarthy (Attorney-in-Fact)

(SEAL)

Barbara Duncan  
Witness as to Surety  
2307 River Road, Suite 200  
(Address)  
Louisville, KY 40206

118 Second Avenue SE  
(Address)  
Cedar Rapids, IA 52401

NOTE: The number of executed counterparts of the bond shall coincide with the number of executed counterparts of the Contract.

END OF SECTION







UNITED FIRE & CASUALTY COMPANY, CEDAR RAPIDS, IA  
UNITED FIRE & INDEMNITY COMPANY, WEBSTER, TX  
FINANCIAL PACIFIC INSURANCE COMPANY, ROCKLIN, CA  
CERTIFIED COPY OF POWER OF ATTORNEY

Inquiries: Surety Department  
118 Second Ave SE  
Cedar Rapids, IA 52401

(original on file at Home Office of Company - See Certification)

KNOW ALL PERSONS BY THESE PRESENTS, That UNITED FIRE & CASUALTY COMPANY, a corporation duly organized and existing under the laws of the State of Iowa; UNITED FIRE & INDEMNITY COMPANY, a corporation duly organized and existing under the laws of the State of Texas; and FINANCIAL PACIFIC INSURANCE COMPANY, a corporation duly organized and existing under the laws of the State of California (herein collectively called the Companies), and having their corporate headquarters in Cedar Rapids, State of Iowa, does make, constitute and appoint JAMES T. SMITH, JAMES H. MARTIN, BROOK T. SMITH, RAYMOND M. HUNDLEY, DEBORAH NEICHTER, BONNIE J. ROWE, MICHELE LACROSSE, SUMMER BETTING, JASON CROMWELL, LEIGH MCCARTHY, EACH INDIVIDUALLY of LOUISVILLE KY

their true and lawful Attorney(s)-in-Fact with power and authority hereby conferred to sign, seal and execute in its behalf all lawful bonds, undertakings and other obligatory instruments of similar nature provided that no single obligation shall exceed \$75,000,000.00 and to bind the Companies thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of the Companies and all of the acts of said Attorney, pursuant to the authority hereby given and hereby ratified and confirmed.

The Authority hereby granted shall expire the 1st day of August, 2018 unless sooner revoked by UNITED FIRE & CASUALTY COMPANY, UNITED FIRE & INDEMNITY COMPANY, AND FINANCIAL PACIFIC INSURANCE COMPANY.

This Power of Attorney is made and executed pursuant to and by authority of the following bylaw duly adopted on May 15, 2013, by the Boards of Directors of UNITED FIRE & CASUALTY COMPANY, UNITED FIRE & INDEMNITY COMPANY, and FINANCIAL PACIFIC INSURANCE COMPANY.

“Article VI – Surety Bonds and Undertakings”

Section 2, Appointment of Attorney-in-Fact. “The President or any Vice President, or any other officer of the Companies may, from time to time, appoint by written certificates attorneys-in-fact to act in behalf of the Companies in the execution of policies of insurance, bonds, undertakings and other obligatory instruments of like nature. The signature of any officer authorized hereby, and the Corporate seal, may be affixed by facsimile to any power of attorney or special power of attorney or certification of either authorized hereby; such signature and seal, when so used, being adopted by the Companies as the original signature of such officer and the original seal of the Companies, to be valid and binding upon the Companies with the same force and effect as though manually affixed. Such attorneys-in-fact, subject to the limitations set forth in their respective certificates of authority shall have full power to bind the Companies by their signature and execution of any such instruments and to attach the seal of the Companies thereto. The President or any Vice President, the Board of Directors or any other officer of the Companies may at any time revoke all power and authority previously given to any attorney-in-fact.

IN WITNESS WHEREOF, the COMPANIES have each caused these presents to be signed by its vice president and its corporate seal to be hereto affixed this 1st day of August, 2016

UNITED FIRE & CASUALTY COMPANY  
UNITED FIRE & INDEMNITY COMPANY  
FINANCIAL PACIFIC INSURANCE COMPANY

By: *Dennis J. Richmann* Vice President

State of Iowa, County of Linn, ss:  
On 1st day of August, 2016, before me personally came Dennis J. Richmann

to me known, who being by me duly sworn, did depose and say; that he resides in Cedar Rapids, State of Iowa; that he is a Vice President of UNITED FIRE & CASUALTY COMPANY, a Vice President of UNITED FIRE & INDEMNITY COMPANY, and a Vice President of FINANCIAL PACIFIC INSURANCE COMPANY the corporations described in and which executed the above instrument; that he knows the seal of said corporations; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporations and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporations.



*Judith A. Davis* Notary Public  
My commission expires: 04/23/2018

I, David A. Lange, Secretary of UNITED FIRE & CASUALTY COMPANY and Assistant Secretary of UNITED FIRE & INDEMNITY COMPANY, and Assistant Secretary of FINANCIAL PACIFIC INSURANCE COMPANY, do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Section of the bylaws and resolutions of said Corporations as set forth in said Power of Attorney, with the ORIGINALS ON FILE IN THE HOME OFFICE OF SAID CORPORATIONS, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.

In testimony whereof I have hereunto subscribed my name and affixed the corporate seal of the said Corporations this 7th day of June, 2018.

By: *David A. Lange*  
Secretary, UF&C  
Assistant Secretary, UF&I/FPIC













PART IX  
TECHNICAL SPECIFICATIONS

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SECTION 1	GENERAL SCOPE AND SPECIAL PROVISIONS .....	TS1-1 – TS1-9
SECTION 10	MEASUREMENT AND PAYMENT .....	TS1-10
SECTION 12	ADDITIONAL TECHNICAL SPECIFICATIONS .....	
	(See Table of Contents for complete list of Specifications)	

**TECHNICAL SPECIFICATIONS  
SECTION 1  
GENERAL SCOPE & SPECIAL PROVISIONS**

1.01 GENERAL SCOPE OF WORK PERFORMED UNDER THIS CONTRACT

A. PURPOSE

The purpose of this section is to define inspection methods and repairs required to reduce storm water inflow and groundwater infiltration into the Lexington-Fayette Urban County Government's sanitary sewer system.

B. The Contractor shall provide all materials, labor, supervision, and equipment necessary for completion of the Contract. The Contract Documents are intended to provide the basis for proper completion of the work suitable for the intended use of the Owner. Anything not expressly set forth but which is reasonably implied or necessary for proper performance of the Project shall be included.

C. Continuous Operations: The existing system must be maintained in continuous operation in such a manner that it meets all local, state, and federal requirements. The contractor is responsible not to deactivate, demolish, or interfere with any system component required for continuous operation until a new or temporary permanent-like system has been installed and is operational. The Contractor is responsible for payment of all fines resulting from any action or inaction on his part or the part of his subcontractors during performance of the Work that causes the facility/facilities to operate in an illegal manner or fail to operate in a legal manner.

1.02 SCOPE OF WORK

The project includes providing all construction supervision, labor, materials, tools, test equipment necessary for the removal/demolition of the existing diesel-fueled standby generator and replacement with new 80kW diesel-fueled standby generator located at the Horse Park Pump Station (HPPS), platform/railing, concrete slab addition, site work and all associated electrical appurtenances included and shown on the Contract Plans and Documents.

1.03 DESIGNATION OF PARTIES

All references in the specifications, contract documents and drawings to "Owner" shall mean the Lexington-Fayette Urban County Government (LFUCG); all references to "Engineer" shall mean the LFUCG Division of Water Quality or authorized representative.

1.04 ACCESS TO AND INSPECTION OF WORK

Representatives of the Kentucky Department of Health, the Kentucky Department for Natural Resources and Environmental Protection and the local public health agencies shall at all times have full access to the project sites for inspection of the work accomplished under this contract and for inspection of all materials intended for use under this contract. The contractor shall provide proper facilities for such access and inspection.

1.05 UTILITIES REQUIRED BY CONTRACTOR

All water, electric current and/or utility service required by the Contractor shall be furnished at his own expense.

1.06 TAXES, WORKMEN'S COMPENSATION AND PREVAILING WAGE

Proposals shall be made to include any applicable taxes on payrolls, materials, equipment, vehicles, utilities, etc., including the Kentucky Sales Taxes and shall include compensation for such taxes on all work under this contract.

The Contractor will be required to accept liability for payment of all payroll taxes, sales and use tax, and all other taxes or deductions required by local, state or federal law, such as social security. The Contractor shall carry Workmen's Compensation Insurance to the full amounts as required by Statutes and shall include the cost of all foregoing items in the proposal. The Contractor will not otherwise be reimbursed or compensated for such tax payments. The Contractor is urged to ascertain at his own risk and actual tax liability in connection with the execution or performance of this contract.

\* Federal or state wage rates and regulations, if required for this Contract, will be as described in the Special Conditions Section. State Wage Scale is not required on this contract.

1.07 WORK ON PRIVATE PROPERTY

Private property is defined as property other than that belonging to the Owner. Highway and railroad rights-of-way, public parks, schoolyards and other such properties shall be considered private properties for the purpose of this contract.

In connection with any work performed on "private property", the Contractor shall confine his equipment, the storage of materials and the operations of his workmen to rights-of-way provided for the project by the Owner, and shall take every precaution to avoid damage to the buildings, grounds and facilities of the owners of private property. The Contractor shall be responsible for any damages to public and/or private property resulting from any work under this contract. If, in the Engineer's judgment, prior to or during a repair, it becomes evident that resultant damage will occur, the Engineer shall have the option to change the repair to a Time and Materials basis.

Other responsibilities involving access to work shall be as provided for in the General Conditions Part IV, 12.2.

1.08 RIGHT-OF-WAY REQUIREMENTS

Public Right-of-Way shall be maintained on state and LFUCG highways and streets at all times during inspection and/or construction of pipelines across or alongside said highways and streets. On LFUCG maintained streets and alleys, the Contractor shall abide by Chapter 17C of the Lexington Fayette County Urban County Government concerning Public Rights of Way. The Contractor shall obtain any permits related to or required by, the Work in this Contract. The initial LFUCG ROW permit fee will be paid for by DWQ for

this contract, but any extension or fine shall be paid by the Contractor. A copy of the Public Right-of-Way Ordinance can be found at [https://library.municode.com/ky/lexington-fayette\\_county/codes/code\\_of\\_ordinances?nodeId=COOR\\_CH17CPURI-W](https://library.municode.com/ky/lexington-fayette_county/codes/code_of_ordinances?nodeId=COOR_CH17CPURI-W).

- A. It shall be the Contractor's responsibility to notify the LFUCG Police Department's Safety Officer (859) 258-3600 prior to performing any construction work, which might interfere with traffic or compromise the public welfare or safety.
- B. Access to all existing subdivisions and private residences shall also be maintained unless otherwise directed.

#### 1.09 EROSION AND SEDIMENT CONTROL

All excavation activities involved in this contract shall comply with Chapter 11 of the LFUCG's Stormwater Manual. A copy of the Stormwater Manual can be found at [https://drive.google.com/file/d/0B\\_VhcJmdL\\_nhTThoZnJsWIBmZkk/view](https://drive.google.com/file/d/0B_VhcJmdL_nhTThoZnJsWIBmZkk/view)

See Specification Section Sections 02371 & 02372 for additional ESC measures.

#### 1.10 SCHEDULING OF WORK AND REPORTING

Not used.

#### 1.11 RECORD DRAWINGS

The Contractor shall keep accurate records on the construction progress (type of work performed, extent of repairs, location, etc.) on a day-to-day basis. A qualified representative of the Contractor shall enter these into a construction logbook. Entries and notations shall be made in a neat and legible manner, and these logs delivered to the Engineer upon completion of construction. Approval for final payment will be contingent upon compliance with this provision.

See Specification Section 01785 for additional information.

#### 1.12 DRAWINGS AND INFORMATION TO BE FURNISHED BY THE CONTRACTOR

- A. The Contractor shall review and check shop drawings and submittals. He shall indicate his review by initials and date, and shall also reference each of the applicable items, section or division of the specifications. If the drawings or submittals deviate from the Standard Drawings or these Technical Specifications, the Contractor shall advise the Engineer, in writing, of the deviation and the reasons therefore.
- B. In the event the Contractor obtains the Engineer's acceptance for the use of material or equipment other than that which is shown on the Standard Drawings or these Technical Specifications, the Contractor shall, at his own expense, and using methods acceptable to the Engineer, make any changes to structures, piping, electrical work, etc., that may be necessary to accommodate this equipment.
- C. Review by the Engineer of shop drawings or submittals of material and equipment shall not relieve the Contractor from the responsibility of furnishing same of proper dimension, size, quality, quantity, materials, and all performance characteristics to efficiently perform the requirements and intent of the Standard Drawings and these

Technical Specifications. Review shall not relieve the Contractor from responsibility for errors of any kind on the shop drawings. Review is intended only to assure conformance with the design concept of the project and compliance with the information in the Standard Drawings and these Technical Specifications.

#### 1.13 COMPLIANCE WITH SAFETY REGULATIONS

- A. The equipment items and work furnished shall comply with all governing federal and state laws regarding safety, including all requirements of the Occupation Health and Safety Act of 1970 (OSHA). **It shall be the contractor's responsibility to provide signs, traffic control devices, and all equipment and devices needed to comply with OSHA rules and regulations throughout the duration of this contract and is to be included in the cost of work to be done, except for flaggers or arrow boards which must be approved by the Engineer and will be a separate pay item. Flaggers shall be trained and equipped to regulate traffic when construction operations or traffic encroach on public traffic lanes. CONTRACTOR's foreman shall be OSHA certified as a competent person.**
- B. Contractor shall abide by OSHA, County and State regulations governing utility construction work, and LFUCG Engineering Construction Manuals.
- C. Traffic control shall be provided according to the Kentucky Department of Highways Manual on Uniform Traffic Control devices for Streets and Highways.

#### 1.14 MAINTENANCE AND OPERATIONS MANUAL

- A. Every piece of equipment furnished and installed shall be furnished with complete maintenance and operations manuals. These shall be detailed in instructions to the Owner's personnel.
- A. All bulletins, brochures, instructions, parts lists, and warranties packaged with and accompanying materials and products delivered and installed on the project shall be saved and transmitted to the Owner through the Engineer.

#### 1.15 PERFORMANCE BONDS AND PAYMENT BONDS

- A. Performance bonds and payment bonds, as specified in Article 10, of Part II INFORMATION FOR BIDDERS, shall continue for a period of one (1) year after acceptance of the work by the Owner and Engineer. These bonds shall be executed on the forms provided as part of the Contract Documents.
- B. If the Contract is extended for additional years, the Performance and Payment bonds must be renewed prior to the extension.

#### 1.16 CONCRETE PAVEMENT REMOVAL

- A. Not applicable.

#### 1.17 ROCK REMOVAL

No rock blasting is anticipated for this project. Any necessary rock removal shall be accomplished by mechanical means. Rock removal is incidental and included in the price of the project. Concrete filled ditches as well as concrete encased around pipe shall be considered trench rock.

#### 1.18 OBSTRUCTIONS

- A. In cases where storm sewers, sanitary sewers, gas lines, water lines, telephone lines, electric lines, or other underground structures are encountered, they shall not be displaced or disturbed unless necessary, in which case they shall be replaced in as good condition as found as quickly as possible. All such lines or underground structures damaged or disturbed by the construction shall be replaced at the Contractor's expense, unless in the opinion of the Engineer, such damage was caused through no fault of the Contractor.
- B. The Contractor shall notify the utility companies a minimum of 72 hours prior to any excavation adjacent to their facilities, and shall locate all such facilities with their assistance.
- C. Utility markings are the responsibility of the Contractor and he shall follow all requirements associated to BUD/ utility markings. Any fines given out because of failure to comply with requirements will be paid for by the Contractor at his own expense.

#### 1.20 WARRANTY AND ACCEPTANCE

- A. The Contractor shall warranty all work to be free of defects in workmanship or materials for a period of one year from the date of completion of all construction. If work meets these specifications, a letter of acceptance, subject to the one-year warranty period, shall be given at the time of completion. A final acceptance letter shall be given upon final inspection at the end of the warranty period, provided the work still complies with these specifications. In the event deficiencies are discovered during the warranty period, they shall be corrected by the Contractor before the final acceptance. The determination of the necessity during the warranty period for the Contractor to repair or replace the work in whole or in part shall rest with the Owner whose decision in the matter shall be final and obligatory upon the Contractor.
- B. All work covered by the Public Right-of-Way Ordinance (see Section 13) shall be warranted by the Contractor for a period of two years from the date of completion of work.

#### 1.21 EMERGENCIES

The Contractor shall provide the Owner and Engineer with an emergency telephone number where he or his coordinator may be reached on a 24 hour, daily basis. The Contractor, upon notification by the Owner of needed emergency repairs, shall start such work within four hours and complete such work within twelve hours of said notification by telephone. If repairs are not started or completed within the above time limits, the Owner, at its option, shall make such repairs and invoice the Contractor the actual cost of labor, equipment, and materials plus actual overhead. The Contractor shall also be liable for costs of pumping sewage, if done by the Owner, as an emergency measure.



## 1.22 SEEDING, SODDING AND TOPSOIL

- A. All sod and seed work required from work in this contract is included in the cost of the work to be done. There is no separate pay item for sod, seeding or any other work associated with sod or seeding listed herein.
- B. The work covered by this section shall include the establishment or restoration of all ground cover including areas to be sodded. This work consists of furnishing all labor, equipment, and materials and all operations in connection with the placement of sod on all finished graded areas not occupied by structures, roads, curbs and gutters, sidewalks, and concrete slab walls, etc., and including grassed areas destroyed or damaged by the Contractor.
- C. Sod shall be bluegrass or fine fescue sod strongly rooted and free of pernicious weeds. It shall be a uniform thickness of not more than 1-1/2 inches and shall have not less than 3/4 inches of soil. All sod shall be grown on a commercial turf farm and no pasture sod shall be acceptable. The source of the sod must be approved by the Engineer before it is cut for delivery.
- D. The sod shall be delivered and installed within 48 hours of being harvested by the producer.
- E. The areas where sod is to be placed shall be thoroughly tilled to a m depth of at least 4 inches by discing, harrowing, or other approved methods until the condition of the soil is acceptable to the Engineer. After harrowing or discing, the sod bed shall be dragged and/or hand raked to 1/2 inch below finish grade.
- F. The incorporation of the fertilizer shall be a part of the tillage operation and shall be applied not less than 24 hours nor more than 48 hours before the sod is to be placed. The entire area to be sodded shall be fertilized with 5-10-5 at the rate of 5 pounds per 1,000 square feet.
- G. Prior to the sod being placed, the area to be sodded shall be lightly watered to moisten the soil surface. The sod shall be carefully unrolled and trimmed to fit irregular areas, with the edges of the sod strips placed tightly together in such a manner as to conceal the joints between the strips. Weed roots shall be removed as the sod is laid. Following placement, the sod shall be lightly watered (approximately a 1/4" application) and rolled with a medium weight lawn roller to minimize any ridging at the seams. The finished surface shall true to grade, even and equally firm at all points. Well-screened topsoil shall be lightly sprinkled over the sodded areas and shall be raked to ensure sealing of the sod joints. Contractor shall be required to thoroughly water sod twice weekly for a period of 30 days and shall be included in the price of the repair. If private property owner's water is to be used Contractor must get permission from owner/s and their name submitted to Engineer to keep in his records. Logs shall be turned in on a weekly basis showing point repairs where lawns were watered to allow tracking to avoid missing yards needing attention. If sod is neglected by contractor and it fails to live the contractor will be required to replace the sod at their cost.
- H. Sod may be placed whenever the sod is not dormant, and the ground is not frozen or muddy. Sod may not be placed at any other time. When the weather does not permit sod to be placed the area should be covered with winter fescue and straw until the conditions change to the point when sod work can be done.

- I. In the event a resident does not want sod but would rather have seed and straw the Contractor must do the following. For seed and straw all graded areas shall be left smooth and thickly sown with a mixture of grasses as are specified by the Engineer, at a rate of not less than one pound of seed per 1,000 square feet. Unless otherwise specified, the mixture shall consist of 60 percent Italian Rye Grass, 20 percent Kentucky Fescue #31 and 20 percent Kentucky Bluegrass by weight. When the final grading has been completed, the entire area to be seeded shall be fertilized with 5-10-5 at the rate of five pounds per 1,000 square feet. After the fertilizer has been distributed, the Contractor shall rake, disc or harrow the ground to thoroughly work the fertilizer into the soil. The seed shall then be broadcast either by hand or by approved sowing equipment at the rate specified. After the seed has been distributed, the Contractor shall then lightly cover the seed by use of a drag or other approved device. All seed shall be certified. The seeded area shall then be covered with straw to a depth of approximately 1-1/2 inches. The Contractor prior to final acceptance shall accomplish any necessary reseeding or repairing.
- J. If the construction work is brought to completion when, in the opinion of the Engineer, the season is not favorable for seeding, then the Contractor shall put down a winter fescue with straw until the conditions change to the point when they can seed it as stated above in this section. Temporary seeding is not a pay item and is incidental to the final sod or seeding.

#### 1.23 COMMUNICATIONS

The Contractor shall also provide, for the duration of the contract, for the Engineer and/or the Owner's representative(s) a means of direct communication acceptable to the Engineer. This may be in the form of a cell phone number or email address. The form of communication and pertinent information related to the mode of communication must be provided to the Engineer and/or the Owner prior to start of construction.

#### 1.24 HIGHWAY RIGHT-OF-WAY MARKERS

The Contractor shall accurately reference all highway right-of-way markers and LFUCG survey monuments that are destroyed or displaced by construction under this Contract, and shall restore and replace all such destroyed or displaced right-of-way markers in kind accurately and complete in place. This replacement shall be coordinated with the Engineer prior to completion.

#### 1.25 FLOW CONTROL

- A. The Contractor shall furnish and install all sewer plugs, bypass piping and pumping equipment where necessary to adequately handle existing flow rates during the inspection, testing, sealing and repair processes. The Contractor will also provide monitoring of bypass or plugging until such time they can be removed and flow restored to normal operation. Any overflow shall be reported to the Engineer and the Kentucky Division of Water. (See Section 4: SEWER FLOW CONTROL if applicable.)
- B. In general, the pumping equipment shall be positioned in or near the upstream end of the sewer section with piping laid to the next downstream manhole. Sewage shall only be bypassed to a downstream sanitary manhole or adjacent sanitary sewers. No overflow will be permitted.
- C. Whenever flows in a sewer line are blocked or plugged, sufficient precautions shall be taken by the Contractor to protect the sewer lines from damage that might be inflicted by excessive

sewer surcharging. Further, precautions shall be taken by the Contractor to insure that sewer flow control operations do not cause flooding or damage to public or private property being served by the sewers involved. If such damage occurs, it shall be the Contractors responsibility to clean, disinfect, and replace, where appropriate, any and all damaged public or private property as quickly as possible. The Contractor shall be available or make the necessary arrangements to perform work of this nature.

- D. Whenever bypass pumping is included in a pay item this being main lines 12" or below, (main line point repairs, pipe bursting, removing and replacing mains, etc...) it includes set up and the hourly time and employee to monitor equipment to avoid damage caused by equipment failure or other factors that may lead to property damage.

#### 1.26 STANDARD DRAWINGS

Any reference to Standard Drawings herein refers to the "Standard Drawings 2017" and "The Division of Engineering Manuals" issued by the Lexington-Fayette Urban County Government, Department of Environmental Quality, and Division of Engineering. The Contractor is advised to obtain a copy of all these documents prior to the commencement of any contract work.  
[https://www.lexingtonky.gov/sites/default/files/2017-11/LFUCG%20Standard%20Drawings%202017\\_Optimized\\_0.pdf](https://www.lexingtonky.gov/sites/default/files/2017-11/LFUCG%20Standard%20Drawings%202017_Optimized_0.pdf)

#### 1.27 DAILY CLEAN UP

At the end of each working day, the Contractor shall conduct a daily clean up of trash, product containers, and misc. debris, at the individual work sites where he has performed or is performing repairs, as directed by the Engineer.

#### 1.28 TEMPORARY TRENCH PROTECTION

In the event that repairs cannot be completed by the end of the normal working day, this being between the hours of 7:30am to 4:00 pm temporary fencing and flash barricades shall be installed around the open trench as necessary to ensure that the open pit is highly visible and to impede access. In streets that must be opened to traffic prior to completion of the repair, the trench shall be covered with steel plates capable of bearing traffic loads. At no time shall traffic be allowed to run on DGA or any other stone backfill. If plates are not utilized, temporary blacktop or cold patch may be substituted at no additional cost.

#### 1.29 FINAL CLEAN UP

The work will not be considered as completed and payment will not be made until all final cleanup is complete and the Contractor has affected site restoration in a manner satisfactory to the Engineer. The final cleanup of each individual work site shall be performed within 5 working days of the sewer repair work. Any excess material shall be removed from site at no additional cost and shall be incidental to the line item work being performed.

#### 1.30 FEDERAL, STATE, AND LOCAL LAWS

It shall be the Contractor's responsibility to research, understand, and comply with all federal, state, and local laws, codes, regulations, ordinances, etc., which relate to performing the work as described within this contract.

1.31 LOCATION OF WORK SITES

- A. In general, the work site contained in this contract are located at one common area.
- B. It shall be the Contractor's responsibility to locate all work sites, including individual manholes. The Contractor shall verify his locations with the Engineer prior to commencement of any work. The Engineer will provide the drawings.

1.32 COORDINATION MEETINGS:

The Contractor's project coordinator shall be required to attend any scheduled meetings with the Owner and Engineer. The Engineer will announce the location, date and time of any meeting scheduled. The purpose of these meetings will be to insure proper communication between all parties, convey pertinent information, and to discuss the status of the project.

END OF SECTION

**TECHNICAL SPECIFICATIONS  
SECTION 10  
MEASUREMENT AND PAYMENT**

10.01 WORK INCLUDED

The Contractor shall furnish all Supervision, labor, machinery, tools, apparatus, equipment and vehicles, materials, services and other supplies necessary to perform all work shown on the Drawings and/or described in the Specifications and Contract Documents at the Contract lump sum enumerated in Part 2.

All scrap material and its disposal, and disposal of all spoil material is incidental to the Contract.

All dress up, site restoration and sod is incidental to the pay item work being performed and it not a pay item.

10.02 BID SCHEDULE DESCRIPTION

This contract is a lump sum bid for all work included and described in the Contract Documents.

10.03 ESTIMATED QUANTITIES OF WORK

Wherever the estimated quantities of work to be done and materials to be furnished under this Contract are shown in any of the documents, including the Bid Proposal, they are given for use in comparing bids and the right is specifically reserved, except as otherwise limited by the Contract Documents, to increase or diminish them as may be deemed reasonably necessary or desirable by the Owner to complete the Work contemplated by this Contract. Such increase or diminution shall be accompanied by an adjustment in the Contract Amount in accordance with the Contract Conditions, and shall not give cause for claims or liability for damages against the Owner or the Engineer, due to such increase or diminution.

END OF SECTION



SECTION 01300

SUBMITTALS

PART 1 - GENERAL

1.01 THE REQUIREMENT

A. Progress Schedule

1. Within ten (10) days after execution of the Agreement, but at least 20 days prior to submitting the first application for a progress payment, the Contractor shall prepare and submit five (5) copies of his proposed construction schedule to the Engineer for review and approval.
2. If so required, the schedule shall be revised until it is approved by the Engineer.
3. Schedule shall be updated monthly, depicting progress to the last day of the month and five (5) copies submitted to the Engineer not later than the 20th day of the month with the application for progress payment.
4. Schedule shall be prepared in the form of a horizontal bar chart showing in detail the proposed sequence of the work and identifying construction activities for each structure and for each portion of Work.
5. Schedule shall be time scaled, identifying the first day of each week. The Schedule shall be provided with estimated dates for Early Start, Early Finish, Late Start and Late Finish. The Work shall be scheduled to complete the Project within the Contract time. The Late Finish date shall equal the Contract Completion Date.
6. Schedule shall show duration (number of days) and float for each activity. Float shall be defined as the measure of leeway in starting or completing a scheduled activity without adversely affecting the Project completion date established by the Contract Documents.
7. Updated schedule shall show all changes since the previous submittal.
8. All revisions to the schedule must have the prior approval of the Engineer.

B. Equipment and Material Orders Schedule

1. Contractor shall prepare and submit five (5) copies of his schedule of principal items of equipment and materials to be purchased to the Engineer for review and approval.
2. If so required, the schedule shall be revised until it is approved by the Engineer.
3. Schedule shall be updated monthly and five (5) copies submitted to the Engineer not later than the fifth day of every month with the application for progress payment.

4. The updated schedule shall be based on the Progress Schedule developed under the requirements of Paragraph 1.01(A) of this Section.
5. Schedule shall be in tabular form with appropriate spaces to insert the following information for principal items of equipment and materials:
  - a. Dates on which Shop Drawings are requested and received from the manufacturer.
  - b. Dates on which certification is received from the manufacturer and transmitted to the Engineer.
  - c. Dates on which Shop Drawings are submitted to the Engineer and returned by the Engineer for revision.
  - d. Dates on which Shop Drawings are revised by manufacturer and resubmitted to the Engineer.
  - e. Date on which Shop Drawings are returned by Engineer annotated either "Furnish as Submitted" or "Furnish as Corrected".
  - f. Date on which accepted Shop Drawings are transmitted to manufacturer.
  - g. Date of manufacturer's scheduled delivery.
  - h. Date on which delivery is actually made.

C. Working Drawings

1. Within thirty (30) days after the Notice to Proceed, Contractor shall prepare and submit five (5) copies of his preliminary schedule of Working Drawing submittals to the Engineer for review and approval. If so required, the schedule shall be revised until it is approved by the Engineer.
2. Working Drawings include, but are not limited to, Shop Drawings, layout drawings in plan and elevation, installation drawings, elementary wiring diagrams, interconnecting wiring diagrams, manufacturer's data, etc. Contractor shall be responsible for securing all of the information, details, dimensions, Drawings, etc., necessary to prepare the Working Drawings required and necessary under this Contract and to fulfill all other requirements of his Contract. Contractor shall secure such information, details, Drawings, etc., from all possible sources including the Drawings, Working Drawings prepared by subcontractors, Engineers, suppliers, etc.
3. Working Drawings shall accurately and clearly present the following:
  - a. All working and installation dimensions.
  - b. Arrangement and sectional views.



- c. Units of equipment in the proposed positions for installation, details of required attachments and connections, and dimensioned locations between units and in relation to the structures.
  - d. Necessary details and information for making connections between the various trades including, but not limited to, power supplies and interconnecting wiring between units, accessories, appurtenances, etc.
- 4. In the event that the Engineer is required to provide additional engineering services as a result of a substitution of materials or equipment by the Contractor, the additional services will be provided in accordance with the Contract Documents, and will be covered in supplementary or revised Drawings which will be issued to the Contractor. All changes indicated that are necessary to accommodate the equipment and appurtenances shall be incorporated into the Working Drawings submitted to the Engineer.
- 5. Working Drawings specifically prepared for this Project shall be on clean white paper or other approved reproducible material sheets of the same size as the Drawings. Working Drawings shall conform to recognized drafting standards and be neat, legible and drawn to a large enough scale to show in detail the required information.
- 6. The Drawings are used for engineering and general arrangement purposes only and are not to be used for Working Drawings.
- 7. Shop Drawings
  - a. Contractor shall submit for review by the Engineer Shop Drawings for all fabricated work and for all manufactured items required to be furnished by the Contract Documents.
  - b. Structural and all other layout Drawings prepared specifically for the Project shall have a plan scale of not less than 1/4-inch = 1 foot.
  - c. Where manufacturer's publications in the form of catalogs, brochures, illustrations or other data sheets are submitted in lieu of prepared Shop Drawings, such submittals shall specifically indicate the item for which approval is requested. Identification of items shall be made in ink, and submittals showing only general information are not acceptable.
- 8. Layout and Installation Drawings
  - a. Contractor shall prepare and submit for review by the Engineer layout and installation drawings for all pipes, valves, fittings, sewers, drains, heating and ventilation ducts, all electrical, heating, ventilating and other conduits, plumbing lines, electrical cable trays, lighting fixture layouts, and circuiting, instrumentation, interconnection wiring diagrams, communications, power supply, alarm circuits, etc., under this Contract. The final dimensions, elevation, location, etc., of pipe, valves, fittings, sewers, ducts, conduits, electrical cable trays, equipment, etc., may depend upon the dimensions of equipment and valves to be furnished by the Contractor.

- b. Layout and installation drawings are required for both interior and exterior piping, valves, fittings, sewers, drains, heating and ventilation ducts, conduits, plumbing lines, electrical cable trays, etc.
- c. Layout and installation Drawings shall show connections to structures, equipment, sleeves, valves, fittings, etc.
- d. Drawings shall show the location and type of all supports, hangers, foundations, etc., and the required clearances to operate valves, equipment, etc.
- e. The Drawings for pipes, ducts, conduits, etc., shall show all 3-inch and larger electrical conduits and pressure piping, electrical cable trays, heating and ventilation ducts or pipes, structure, manholes or any other feature within four (4) feet (measured as the clear dimension) from the pipe duct, conduit, etc., for which the profile is drawn.

#### 9. Contractor Responsibilities

- a. All submittals from subcontractors, manufacturers or suppliers shall be sent directly to the Contractor for checking. Contractor shall thoroughly check all Drawings for accuracy and conformance to the intent of the Contract Documents. Drawings found to be inaccurate or otherwise in error shall be returned to the subcontractors, manufacturers, or suppliers by the Contractor for correction before submitting them to the Engineer.
- b. All submittals shall be bound, dated, properly labeled and consecutively numbered. Information on the label shall indicate Specification section, Drawing number, subcontractor's, manufacturer's or supplier's name and the name or type of item the submittal covers. Each part of a submittal shall be marked and tabulated.
- c. Working Drawings shall be submitted as a single complete package including all associated drawings relating to a complete assembly of the various parts necessary for a complete unit or system.
- d. Shop Drawings shall be submitted as a single complete package for any operating system and shall include all items of equipment and any mechanical units involved or necessary for the functioning of such system. Where applicable, the submittal shall include elementary wiring diagrams showing circuit functioning and necessary interconnection wiring diagrams for construction.
- e. All submittals shall be thoroughly checked by the Contractor for accuracy and conformance to the intent of the contract documents before being submitted to the Engineer and shall bear the Contractor's stamp of approval certifying that they have been so checked. Submittals without the Contractor's stamp of approval will not be reviewed by the Engineer and will be returned to the Contractor. Any comments added to the drawings by the Contractor shall be done in green ink so as to denote any Contractor notes.

- f. If the submittals contain any departures from the Contract Documents, specific mention thereof shall be made in the Contractor's letter of transmittal. Otherwise, the review of such submittals shall not constitute approval of the departure.
- g. No materials or equipment shall be ordered, fabricated or shipped or any work performed until the Engineer returns to the Contractor the submittals, herein required, annotated either "Furnish as Submitted" or "Furnish as Corrected".
- h. Where errors, deviations, and/or omissions are discovered at a later date in any of the submittals, the Engineer's prior review of the submittals does not relieve the Contractor of the responsibility for correcting all errors, deviations, and/or omissions.

10. Procedure for Review

- a. Submittals shall be transmitted in sufficient time to allow the Engineer at least thirty (30) working days for review and processing.
- b. Contractor shall transmit two (2) prints of each submittal to the Engineer for review for all Drawings greater than 11-inches by 17-inches in size, as well as seven (7) copies of all other material.
- c. Submittal shall be accompanied by a letter of transmittal, in duplicate, containing date, project title, Contractor's name, number and titles of submittals, notification of departures and any other pertinent data to facilitate review.
- d. Submittals will be annotated by the Engineer in one of the following ways:
  - "Furnish as Submitted" - no exceptions are taken
  - "Furnish as Corrected" - minor corrections are noted and shall be made.
  - "Revise and Resubmit" - major corrections are noted and a resubmittal is required.
  - "Rejected" - Based on the information submitted, the submission is not in conformance with the Contract Documents. The deviations from the Contract Documents are too numerous to list and a completely revised submission of the proposed equipment or a submission of other equipment is required.
- e. If a submittal is satisfactory to the Engineer, the Engineer will annotate the submittal "Furnish as Submitted" or "Furnish as Corrected", retain four (4) copies and return remaining copies to the Contractor. If reproducible transparencies are submitted, the Engineer will retain the copies and return the reproducible transparencies to the Contractor.
- f. If a resubmittal is required, the Engineer will annotate the submittal "Revise and Resubmit" and transmit four (4) copies to the Contractor for appropriate

action. If reproducible transparencies are submitted, the Engineer will retain the copies and return the reproducible transparencies to the Contractor.

- g. Contractor shall revise and resubmit submittals as required by the Engineer until submittals are acceptable to the Engineer. It is understood by the Contractor that Owner may charge the Contractor the Engineer's charges for review in the event a submittal is not approved (either "Furnish as Submitted" or "Furnish as Corrected") by the third submittal for a system or piece of equipment. These charges shall be for all costs associated with engineering review, meetings with the Contractor or manufacturer, etc., commencing with the fourth submittal of a system or type of equipment submitted for a particular Specification Section.
- h. Acceptance of a Working Drawing by the Engineer will constitute acceptance of the subject matter for which the Drawing was submitted and not for any other structure, material, equipment or appurtenances indicated or shown.

#### 11. Engineer's Review

- a. Engineer's review of the Contractor's submittals shall in no way relieve the Contractor of any of his responsibilities under the Contract. An acceptance of a submittal shall be interpreted to mean that the Engineer has no specific objections to the submitted material, subject to conformance with the Contract Drawings and Specifications. The Engineer will denote any notes in Red ink so as to record his comments.
- b. Engineer's review will be confined to general arrangement and compliance with the Contract Drawings and Specifications only, and will not be for the purpose of checking dimensions, weights, clearances, fittings, tolerances, interferences, coordination of trades, etc.

#### 12. Record Working Drawings

- a. Prior to final payment, the Contractor shall furnish the Engineer one complete set of all accepted Working Drawings, including Shop Drawings, for equipment, piping, electrical work, heating system, ventilating system, air conditioning system, instrumentation system, plumbing system, structural, interconnection wiring diagrams, etc.
- b. Manufacturer's publications, submitted in lieu of prepared Shop Drawings, will not be required in reproducible form. However, three (3) sets of such material shall be furnished by the Contractor to the Engineer.
- c. Working Drawings furnished shall be corrected to include any departures from previously accepted Drawings.

#### D. Preconstruction and Construction Photographs

- 1. The General Contractor shall engage a competent photographer to take photographs at the locations and at such stages of the construction as directed by the Engineer. Preconstruction video and photographs shall be

completed prior to any construction activities. Digital format shall be used. Provide all pictures for a given period on a CD or DVD. The preconstruction video(s) and photographs shall be submittal to the project.

2. Provide the equivalent of 36 different exposures per month for the duration of the Contract time. When directed by the Engineer, frequency of photographs may be increased to weekly sessions provided that the equivalent number of exposures is not exceeded. Engineer may waive requirements for photographs during inactive construction periods in favor of increased photographs during active construction sequences.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

END OF SECTION



SECTION 01785

PROJECT RECORD DOCUMENTS

PART 1 -- GENERAL

1.01 MAINTENANCE OF DOCUMENTS

- A. Maintain at job site, one copy of:
  - 1. Contract Drawings
  - 2. Specifications
  - 3. Addenda
  - 4. Reviewed Shop Drawings
  - 5. Change Orders
  - 6. Other Modifications to Contract
- B. Store documents in approved location, apart from documents used for construction.
- C. Provide files and racks for storage of documents.
- D. Maintain documents in clean, dry, legible condition.
- E. Do not use record documents for construction purposes.
- F. Make documents available at all times for inspection by Engineer and Owner.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Submittals: Section 01300.

1.03 MARKING DEVICES

- A. Provide colored pencil or felt-tip marking pen for all marking.

1.04 RECORDING

- A. Label each document "PROJECT RECORD" in 2-inch high printed letters.
- B. Keep record documents current.
- C. Do not permanently conceal any work until required information has been recorded.
- D. Contract Drawings: Legibly mark to record actual construction:

1. Horizontal and vertical location of underground utilities and appurtenances referenced to permanent surface improvements.
  2. Location of internal utilities and appurtenances concealed in construction referenced to visible and accessible features of structure.
  3. Field changes of dimension and detail.
  4. Changes made by Change Order or Field Order.
  5. Details not on original Contract Drawings.
- E. Specifications and Addenda: Legibly mark up each section to record:
1. Manufacturer, trade name, catalog number, and supplier of each product and item of equipment actually installed.
  2. Changes made by Change Order or Field Order.
  3. Other matters not originally specified.
- F. Shop Drawings: Maintain as record documents; legibly annotate shop drawings to record changes made after review.

#### 1.05 SUBMITTALS

- A. At completion of project, deliver two hard copies and one CD with pdf of all record documents to Engineer.
- B. Accompany submittal with transmittal letter, in duplicate, containing:
1. Date.
  2. Project Title and Number.
  3. Contractor's Name and Address.
  4. Title and Number of each Record Document.
  5. Certification that each Document as Submitted is Complete and Accurate.
  6. Signature of Contractor, or His Authorized Representative.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

END OF SECTION



SECTION 02200

EARTHWORK

PART 1 - GENERAL

1.01 THE REQUIREMENT

- A. Furnish all labor, equipment and materials required to complete all work associated with excavation, including off-site borrow excavation, dewatering, backfill, drainage layers beneath and around structures, foundation and backfill stone, filter fabric, embankments, stockpiling topsoil and any excess suitable material in designated areas, in place compaction of embankments, backfill and subgrades beneath foundations and roadways, excavation support, disposing from the site all unsuitable materials, providing erosion and sedimentation control grading, site grading and preparation of pavement and structure subgrade, and other related and incidental work as required to complete the work shown on the Drawings and specified herein.
- B. All excavations shall be in conformity with the lines, grades, and cross sections shown on the Drawings or established by the Engineer.
- C. It is the intent of this Specification that the Contractor conduct the construction activities in such a manner that erosion of disturbed areas and off-site sedimentation be absolutely minimized.
- D. All work under this Contract shall be done in conformance with and subject to the limitations of the latest editions of the Kentucky Standard Specifications for Roads and Structures and the Kentucky Erosion and Sediment Control Planning and Design Manual.

1.02 REFERENCE SPECIFICATIONS, CODES, AND STANDARDS

- A. Without limiting the generality of the other requirements of the Specifications, all work herein shall conform to the applicable requirements of the following documents. All referenced Specifications, codes, and standards refer to the most current issue available at the time of Bid.

1. Kentucky Standard Specifications for Roads and Structures, latest edition.

2. American Society for Testing and Materials (ASTM):

ASTM C 127 Test for Specific Gravity and Absorption of Coarse Aggregate.

ASTM C 136 Test for Sieve Analysis of Fine and Coarse Aggregates.

ASTM D 422 Particle Size Analysis of Soils.

ASTM D 423 Test for Liquid Limit of Soils.

ASTM D 424 Test for Plastic Limit and Plasticity Index of Soils.

ASTM C 535 Test for Resistance to Degradation of Large Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine.

ASTM D 698 Standard Method of Test for the Moisture - Density Relations of Soils Using a 5.5 lb. (2.5 kg) Rammer and a 12-inch (305 mm) Drop.

ASTM D1556 Test for Density of Soil in Place by the Sand-Cone Method.

ASTM D1557 Test for Moisture-Density Relations of Soils and Soil Aggregate Mixtures Using 10-lbs. (4.5 kg) Rammer and 18-inch (457 mm) Drop.

ASTM D2049 Test Method for Relative Density of Cohesionless Soils.

ASTM D2167 Test for Density of Soil in Place by the Rubber-Balloon Method.

ASTM D2216 Test for Laboratory Determination of Water (Moisture) Content of Soil, Rock, and Soil Aggregate Mixtures.

ASTM D2487 Test for Classification of Soils for Engineering Purposes.

ASTM D2922 Test for Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth).

#### 1.03 SUBSURFACE CONDITIONS

- A. Information on subsurface conditions is referenced under Division 1, General Requirements.
- B. Attention is directed to the fact that there may be water pipes, storm drains and other utilities located in the area of proposed excavation. Perform all repairs to same in the event that excavation activities disrupt service.

#### 1.04 SUBMITTALS

- A. In accordance with the procedures and requirements set forth in Section 01300 - Submittals, the Contractor shall submit the following:
  - 1. Name and location of all material suppliers.
  - 2. Certificate of compliance with the standards specified above for each source of each material.
  - 3. List of disposal sites for waste and unsuitable materials and all required permits for use of those sites.
  - 4. Plans and cross sections of open cut excavations showing side slopes and limits of the excavation at grade.
  - 5. Samples of synthetic filter fabric and reinforced plastic membrane with manufacturer's certificates or catalog cuts stating the mechanical and physical properties. Samples shall be at least one (1) foot wide and four (4) feet long taken across the roll with the warp direction appropriately marked.

6. Construction drawings and structural calculations for any types of excavation support required. Drawings and calculations shall be sealed by a currently registered Professional Engineer in the State of Kentucky.
7. Monitoring plan and pre-construction condition inspection and documentation of all adjacent structures, utilities, and roadways near proposed installation of excavation support systems.
8. Dewatering procedures.

#### 1.05 PRODUCT HANDLING

- A. Soil and rock material shall be excavated, transported, placed, and stored in a manner so as to prevent contamination, segregation and excessive wetting. Materials which have become contaminated or segregated will not be permitted in the performance of the work and shall be removed from the site.

### PART 2 - PRODUCTS

#### 2.01 SELECT FILL

- A. Soils from the excavations meeting requirements stipulated herein with the exceptions of topsoil and organic material may be used as select fill for backfilling, constructing embankments, reconstructing existing embankments, and as structural subgrade support.
- B. Select fill used for embankment construction shall be a silty or clayey soil material with a Maximum Liquid Limit (LL) of 50 and a Plasticity Index (PI) between 7 and 20.
- C. Select fill used for backfilling shall either be material as described in Paragraph B above or a granular soil material with a Maximum Plasticity Index (PI) of 6.
- D. Regardless of material used as select fill, materials shall be compacted at a moisture content satisfactory to the Engineer, which shall be approximately that required to produce the maximum density except that the moisture content shall not be more than 2% below nor more than 3% above the optimum moisture content for the particular material tested in accordance with the ASTM D698.
- E. Select fill used as subgrade support shall be a coarse aggregate material meeting the gradation requirements of #57 or #78 aggregates in accordance with ASTM C-33 or Kentucky Standard Specifications for Roads and Bridges. Excavated soils deemed suitable by the Engineer may be used as backfill beneath structures. Regardless of the material used, the backfill shall be compacted as required by these specifications.
- F. Where excavated material does not meet requirements for select fill, Contractor shall furnish off-site borrow material meeting the specified requirements herein. Determination of whether the borrow material will be paid for as an extra cost will be made based on Article 4 of the General Conditions, as amended by the Supplementary Conditions. When the excavated material from required excavations is suitable for use as backfill, bedding, or embankments,

but is replaced with off-site borrow material for the Contractor's convenience, the costs associated with such work and material shall be borne by the Contractor.

## 2.02 TOPSOIL

- A. Topsoil shall be considered the surface layer of soil and sod, suitable for use in seeding and planting. It shall contain no mixture of refuse or any material toxic to plant growth.

## PART 3 - EXECUTION

### 3.01 STRIPPING OF TOPSOIL

- A. In all areas to be excavated, filled, paved, or graveled the topsoil shall be stripped to its full depth and shall be deposited in storage piles on the site, at locations designated by the Engineer, for subsequent reuse. Topsoil shall be kept separated from other excavated materials and shall be piled free of roots and other undesirable materials.
- B. Topsoil shall not be removed from the site and unless otherwise instructed by the Engineer or Owner, should not be moved from one property to another.

### 3.02 EXCAVATION

- A. All material excavated, regardless of its nature or composition, shall be classified as UNCLASSIFIED EXCAVATION. Excavation shall include the removal of all soil, rock, weathered rock, rocks of all types, boulders, conduits, pipe, and all other obstacles encountered and shown to be removed within the limits of excavation shown on the Drawings or specified herein. The cost of excavation shall be included in the Lump Sum Bid Price and no additional payment will be made for the removal of obstacles encountered within the excavation limits shown on the Drawings and specified herein.
- B. All suitable material removed in the excavation shall be used as far as practicable in the formation of embankments, subgrades, and shoulders, and at such other places as may be indicated on the Drawings or indicated by the Engineer. No excavation shall be wasted except as may be permitted by the Engineer. Refer to the drawings for specific location and placement of suitable excavated materials in the formation of embankments, backfill, and structural and roadway foundations. THE ENGINEER WILL DESIGNATE MATERIALS THAT ARE UNSUITABLE. The Contractor shall furnish off site disposal areas for the unsuitable material. Where suitable materials containing excessive moisture are encountered above grade in cuts, the Contractor shall construct above grade ditch drains prior to the excavation of the cut material when in the opinion of the Engineer such measures are necessary to provide proper construction.
- C. All excavations shall be made in the dry and in such a manner and to such widths as will give ample room for properly constructing and inspecting the structures and/or piping they are to contain and for such excavation support, pumping and drainage as may be required. Excavation shall be made in accordance with the grades and details shown on the Drawings and as specified herein.
- D. Excavation slopes shall be flat enough to avoid slides that will cause disturbance of the subgrade or damage of adjacent areas. Excavation requirements and slopes shall be as

indicated in the Drawings. The Contractor shall intercept and collect surface runoff both at the top and bottom of cut slopes. The intersection of slopes with natural ground surfaces, including the beginning and ending of cut slopes, shall be uniformly rounded as shown on the Drawings or as may be indicated by the Engineer. Concurrent with the excavation of cuts the Contractor shall construct intercepting berm ditches or earth berms along and on top of the cut slopes at locations shown on the Drawings or designated by the Engineer. All slopes shall be finished to reasonably uniform surfaces acceptable for seeding and mulching operations. No rock or boulders shall be left in place which protrude more than 1 foot within the typical section cut slope lines, and all rock cuts shall be cleaned of loose and overhanging material. All protruding roots and other objectionable vegetation shall be removed from slopes. The Contractor shall be required to submit plans of open-cut excavation for review by the Engineer before approval is given to proceed.

- E. It is the intent of these Specifications that all structures shall bear on an aggregate base, crushed stone or screened gravel bedding placed to the thickness shown on the Drawings, specified in these Specifications, or not less than 6-inches. Bedding for process piping shall be as specified or as shown on the Drawings.
- F. The bottom of all excavations for structures and pipes shall be examined by the Engineer for bearing value and the presence of unsuitable material. If, in the opinion of the Engineer, additional excavation is required due to the low bearing value of the subgrade material, or if the in-place soils are soft, yielding, pumping and wet, the Contractor shall remove such material to the required width and depth and replace it with thoroughly compacted select fill, and/or crushed stone or screened gravel as indicated by the Engineer. Payment for such additional work ordered by the Engineer shall be made as an extra by a Change Order in accordance with the General Conditions and Division 1. No payment will be made for subgrade disturbance caused by inadequate dewatering or improper construction methods.
- G. All cuts shall be brought to the grade and cross section shown on the Drawings, or established by the Engineer, prior to final inspection and acceptance by the Engineer.
- H. Slides and overbreaks which occur due to negligence, carelessness or improper construction techniques on the part of the Contractor shall be removed and disposed of by the Contractor as indicated by the Engineer at no additional cost to the Owner. If grading operations are suspended for any reason whatsoever, partially completed cut and fill slopes shall be brought to the required slope and the work of seeding and mulching or other required erosion and sedimentation control operations shall be performed.
- I. Where the excavation exposes sludge, sludge contaminated soil or other odorous materials, the Contractor shall cover such material at the end of each workday with a minimum of 6-inches and a maximum of 24-inches of clean fill. The work shall be an odor abatement measure and the material shall be placed to the depth deemed satisfactory by the Engineer for this purpose.

### 3.03 EXCAVATION SUPPORT

- A. The Contractor shall furnish, place, and maintain such excavation support which may be required to support sides of excavation or to protect pipes and structures from possible damage and to provide safe working conditions. If the Engineer is of the opinion that at any point sufficient or proper supports have not been provided, he may order additional supports put in at the expense of the Contractor. The Contractor shall be responsible for the

adequacy of all supports used and for all damage resulting from failure of support system or from placing, maintaining and removing it.

- B. Selection of and design of any proposed excavation support systems is exclusively the responsibility of the Contractor. Contractor shall submit drawings and calculations on proposed systems sealed by a Professional Engineer currently registered in the State of Kentucky.
- C. The Contractor shall exercise caution in the installation and removal of supports to insure that excessive or unusual loadings are not transmitted to any new or existing structure. The Contractor shall promptly repair at his expense any and all damage that can be reasonably attributed to installation or removal of excavation support system.
- D. Contractor shall monitor movement in the excavation support systems as well as movement at adjacent structures, utilities and roadways near excavation supports. Contractor shall submit a monitoring plan developed by the excavation support design engineer. All pre-construction condition assessment and documentation of adjacent structures on-site and off-site shall be performed by the Contractor. If any sign of distress such as cracking or movement occurs in any adjacent structure, utility or roadway during installation of supports, subsequent excavation, service period of supports, subsequent backfill and construction, or removal of supports, Engineer shall be notified immediately. Contractor shall be exclusively responsible for any damage to any roadway, structure, utility, pipes, etc. both on-site and off-site, as a result of his operations.
- E. All excavation supports shall be removed upon completion of the work except as indicated herein. The Engineer may permit supports to be left in place at the request and expense of the Contractor. The Engineer may order certain supports left permanently in place in addition to that required by the Contract. The cost of the materials so ordered left in place, less a reasonable amount for the eliminated expense of the removal work omitted, will be paid as an extra by a Change Order in accordance with the General Conditions and Division 1. Any excavation supports left in place shall be cut off at least two (2) feet below the finished ground surface or as directed by the Engineer.

#### 3.04 PROTECTION OF SUBGRADE

- A. To minimize the disturbance of bearing materials and provide a firm foundation, the Contractor shall comply with the following requirements:
  - 1. Use of heavy rubber-tired construction equipment shall not be permitted on the final subgrade unless it can be demonstrated that drawdown of groundwater throughout the entire area of the structure is at least 3 feet below the bottom of the excavation (subgrade). Even then, the use of such equipment shall be prohibited should subgrade disturbance result from concentrated wheel loads.
  - 2. Subgrade soils disturbed through the operations of the Contractor shall be excavated and replaced with compacted select fill or crushed stone at the Contractor's expense as indicated by the Engineer.
  - 3. The Contractor shall provide positive protection against penetration of frost into materials below the bearing level during work in winter months. This protection can

consist of a temporary blanket of straw or salt hay covered with a plastic membrane or other acceptable means.

### 3.05 PROOFROLLING

- A. The subgrade of all structures and all areas that will support pavements or select fill shall be proofrolled. After stripping of topsoil, excavation to subgrade and prior to placement of fills, the exposed subgrade shall be carefully inspected by probing and testing as needed. Any topsoil or other organic material still in place, frozen, wet, soft, or loose soil, and other undesirable materials shall be removed. The exposed subgrade shall be proofrolled with a heavily loaded tandem-wheeled dump truck to check for pockets of soft material hidden beneath a thin crust of better soil. Any unsuitable materials thus exposed shall be removed and replaced with an approved compacted material.

### 3.06 DEWATERING

- A. The Contractor shall do all dewatering as required for the completion of the work. Procedures for dewatering proposed by the Contractor shall be submitted to the Engineer for review prior to any earthwork operations. All water removed by dewatering operations shall be disposed of in accordance with the Kentucky Erosion Prevention & Sedimentation Field Guide.
- B. The dewatering system shall be of sufficient size and capacity as required to control groundwater or seepage to permit proper excavation operations, embankment construction and reconstruction, subgrade preparation, and to allow concrete to be placed in a dry condition. The system shall include a sump system or other equipment, appurtenances and other related earthwork necessary for the required control of water. The Contractor shall drawdown groundwater to at least 3 feet below the bottom of excavations (subgrade) at all times in order to maintain a dry and undisturbed condition.
- C. The Contractor shall control, by acceptable means, all water regardless of source. Water shall be controlled and its disposal provided for at each berm, structure, etc. The entire periphery of the excavation areas shall be ditched and diked to prevent water from entering the excavation. The Contractor shall be fully responsible for disposal of the water and shall provide all necessary means at no additional expense to the Owner. The Contractor shall be solely responsible for proper design, installation, proper operation, maintenance, and any failure of any component of the system.
- D. The Contractor shall be responsible for and shall repair without cost to the Owner, any damage to work in place and the excavation, including damage to the bottom due to heave and including removal of material and pumping out of the excavated area. The Contractor shall be responsible for damages to any other area or structure caused by his failure to maintain and operate the dewatering system proposed and installed by the Contractor.
- E. The Contractor shall take all the steps that he considers necessary to familiarize himself with the surface and subsurface site conditions, and shall obtain the data that is required to analyze the water and soil environment at the site and to assure that the materials used for the dewatering systems will not erode, deteriorate, or clog to the extent that the dewatering systems will not perform properly during the period of dewatering.

- F. Prior to the execution of the work, the Contractor, Owner and Engineer shall jointly survey the condition of adjoining structures. Photographs and records shall be made of any prior settlement or cracking of structures, pavements, and the like, that may become the subject of possible damage claims.

### 3.07 EMBANKMENTS

- A. The Contractor shall perform the construction of embankments in such a manner that cut and fill slopes will be completed to final slopes and grade in a continuous operation. The operation of removing excavation material from any cut and the placement of embankment in any fill shall be a continuous operation to completion unless otherwise permitted by the Engineer.
- B. Surfaces upon which embankments are to be constructed shall be stripped of topsoil, organic material, rubbish and other extraneous materials. After stripping and prior to placing embankment material, the Contractor shall compact the top 12-inches of in place soil as specified under Paragraph 3.09, COMPACTION.
- C. Any soft or unsuitable materials revealed before or during the in place compaction shall be removed as indicated by the Engineer and replaced with select fill.
- D. Ground surfaces on which embankment is to be placed, shall be scarified or stepped in a manner which will permit bonding of the embankment with the existing surface. The embankment soils shall be as specified under Part 2 - Products, and shall be deposited and spread in successive, uniform, approximately horizontal layers not exceeding 8-inches in compacted depth for the full width of the cross section, and shall be kept approximately level by the use of effective spreading equipment. Hauling shall be distributed over the full width of the embankment, and in no case will deep ruts be allowed to form during the construction of the embankment. The embankment shall be properly drained at all times. Each layer of the embankment shall be thoroughly compacted to the density specified under Paragraph 3.09, COMPACTION.
- E. The embankment or fill material in the layers shall be of the proper moisture content before rolling to obtain the prescribed compaction. Wetting or drying of the material and manipulation when necessary to secure a uniform moisture content throughout the layer shall be required. Should the material be too wet to permit proper compaction or rolling, all work on all portions of the embankment thus affected shall be delayed until the material has dried to the required moisture content. Samples of all embankment materials for testing, both before and after placement and compaction, will be taken at frequent intervals. From these tests, corrections, adjustments, and modifications of methods, materials, and moisture content will be made to construct the embankment.
- F. Where embankments are to be placed and compacted on hillsides, or when new embankment is to be compacted against embankments, or when embankment is built in part widths, the slopes that are steeper than 4:1 shall be loosened or plowed to a minimum depth of 6 inches or, if in the opinion of the Engineer, the nature of the ground is such that greater precautions should be taken to bind the fill to the original ground then benches shall be cut in the existing ground as indicated by Engineer.
- G. When rock and other embankment material are excavated at approximately the same time, the rock shall be incorporated into the outer portions of the embankments and the other



material which meets the requirements for select fill shall be incorporated into the formation of the embankments. Stones or fragmentary rock larger than 4-inches in their greatest dimension will not be allowed within the top 6-inches of the final grade. Stones, fragmentary rock, or boulders larger than 12-inches in their greatest dimension will not be allowed in any portions of embankments and shall be disposed of by the Contractor as indicated by the Engineer. When rock fragments or stone are used in embankments, the material shall be brought up in layers as specified or directed and every effort shall be exerted to fill the voids with finer material to form a dense, compact mass which meets the densities specified for embankment compaction.

### 3.08 BACKFILLING

- A. All structures and pipes shall be backfilled with the type of materials shown on the Drawings. Select fill shall be deposited in successive, uniform, approximately horizontal layers not exceeding 8-inches in compacted depth for the full width. Stones or fragmentary rock larger than 4-inches in their greatest dimension will not be allowed within the top 6-inches of the ground nor within 6 inches of pipes. No stone or fragmentary rock larger than 12-inches in their greatest dimension will be allowed for any portion of backfill. Compaction shall be in accordance with the requirements of Paragraph 3.09, COMPACTION.
- B. Where excavation support is used, the Contractor shall take all reasonable measures to prevent loss of support beneath and adjacent to pipes and existing structures when supports are removed. If significant volumes of soil cannot be prevented from clinging to the extracted supports, the voids shall be continuously backfilled as rapidly as possible. The Contractor shall thereafter limit the depth below subgrade that supports will be installed in similar soil conditions or employ other appropriate means to prevent loss of support.
- C. All lean clay backfill shall be compacted with lightweight compaction equipment in 4-inch to 6-inch lifts to at least 95 percent of the standard Proctor maximum dry density (ASTM D698). The moisture content of the lean clay at the time of compaction shall be between the optimum moisture content and 2 percent above the optimum moisture content. The top 2 feet of backfill shall consist of clayey soils so as to minimize surface water infiltration.

### 3.09 COMPACTION

- A. The Contractor shall compact embankments, backfill, crushed stone, aggregate base, and in place subgrade in accordance with the requirements of this Section. The densities specified herein refer to percentages of maximum density as determined by the noted test methods. Compaction of materials on the project shall be in accordance with the following schedule:

	Density % Std. Proctor (D698)	Density % Mod. Proctor (D1557)	Max. Lift Thickness as Compacted Inches
Embankments Beneath Structures*	98	95	8
Other Embankments	95	92	8
Backfill Around Structures	95	92	8
Backfill in Pipe Trenches	95	92	8

	Density % Std. Proctor (D698)	Density % Mod. Proctor (D1557)	Max. Lift Thickness as Compacted Inches
Crushed Stone Beneath Structures	**	**	12
Select Sand	--	98	8
Aggregate Base Course (ABC) Beneath Pavements and Structures	--	98	8
Crushed Stone Backfill	**	**	12
Crushed Stone Pipe Bedding	**	**	12
In place Subgrade Beneath Structures	98	95	Top 12-inches

\* Embankments beneath structures shall be considered to include a zone 10 feet out from the foundation of the structure extending down to the natural ground on a 45° slope.

\*\* The aggregate shall be compacted to a degree acceptable to the Engineer by use of a vibratory compactor and/or crawler tractor.

B. Field density tests will be made by the Engineer to determine if the specified densities have been achieved, and these tests shall be the basis for accepting or rejecting the compaction. In-place density tests will be performed in accordance with ASTM D 1556, ASTM D 2167, or ASTM D 2922. The Engineer will be the sole judge as to which test method will be the most appropriate. Failure to achieve the specified densities shall require the Contractor to re-compact the material or remove it as required. The Contractor shall, if necessary, increase his compactive effort by increasing the number of passes, using heavier or more suitable compaction equipment, or by reducing the thickness of the layers. The Contractor shall adjust the moisture contents of the soils to bring them within the optimum range by drying them or adding water as required.

C. Testing will be performed as frequently as deemed necessary by the Engineer. As a minimum, one in-place density test shall be performed for each 1000 cubic yards of embankment placed and 500 cubic yards of backfill placed or one test performed each day for either.

### 3.10 REMOVAL OF EXCESS AND UNSUITABLE MATERIALS

A. The Contractor shall remove and dispose of off-site all unsuitable materials. Within thirty (30) consecutive days after Notice to Proceed, the Contractor shall submit to the Engineer for review all required permits and a list of disposal sites for the unsuitable materials. If the disposal site is located on private property, the submittal shall also include written permission from the owner of record.

B. All unsuitable materials shall be disposed of in locations and under conditions that comply with federal, state and local laws and regulations.

C. The Contractor shall obtain an off-site disposal area prior to beginning demolition or excavation operations.

- D. All excess and unsuitable materials shall be hauled in trucks of sufficient capacity and tight construction to prevent spillage. Trucks shall be covered to prevent the propagation of dust.
- E. When all excess and unsuitable material disposal operations are completed, the Contractor shall leave the disposal sites in a condition acceptable to the Owner and Owner(s) of the disposal site(s).
- F. The 'Agreement to Accept Clean Fill' form located at the end of this shall be completed and a copy returned to the Owner prior to hauling and dumping spoil fill on private property.

### 3.11 BORROW EXCAVATION

#### A. Description

The work covered by this section consists of the excavation of approved material from borrow sources and the hauling and utilization of such material as required on the Drawings or directed by the Engineer. It shall also include the removing, stockpiling, and replacement of topsoil on the borrow source; the satisfactory disposition of material from the borrow source which is not suitable for use; and the satisfactory restoration of the borrow source and haul roads to an acceptable condition upon completion of the work.

Borrow excavation shall not be used before all available suitable unclassified excavation has been used for backfill and incorporated into the embankments.

#### B. Coordination with Seeding Operations

The Contractor shall coordinate the work covered by this section with the construction of embankments so that the requirements of Section 02200 are met.

#### C. Materials

All material shall meet the requirements of Division 2 shown below:

Borrow Material Section 02200, Subsection 2.01 - Select Fill

#### D. Construction Methods

##### 1. General

The surface of the borrow area shall be thoroughly cleared and grubbed and cleaned of all unsuitable material including all organics, topsoil, etc., before beginning the excavation. Disposal of material resulting from clearing and grubbing shall be in accordance with the Contract Documents.

Each borrow operation shall not be allowed to accumulate exposed, erodible slope area in excess of 1 acre at any one given time without the Contractor's beginning permanent seeding and mulching of the borrow source or other erosion control measures as may be approved by the Engineer.

The topsoil shall be removed and stockpiled at locations that will not interfere with the borrow operations and that meet the approval of the Engineer. Temporary erosion

control measures shall be installed as may be necessary to prevent the erosion of the stockpile material. Once all borrow has been removed from the source or portion thereof, the stockpiled topsoil shall be spread uniformly over the source.

Where it is necessary to haul borrow material over existing roads, the Contractor shall use all necessary precautions to prevent damage to the existing roads. The Contractor shall also conduct his hauling operations in such a manner as to not interfere with the normal flow of traffic and shall keep the traffic lanes free from spillage at all times.

## 2. Owner Furnished Sources

Where borrow sources are furnished by the Owner the location of such sources will be as designated on the Drawings or as directed by the Engineer.

The Owner will furnish the necessary haul road right-of-way at locations designated by the Engineer. All haul roads required shall be built, maintained, and when directed by the Engineer, obliterated, at no cost to the Owner. Where the haul road is to be reclaimed for cultivation the Contractor shall plow or scarify the area to a minimum depth of 8 inches.

The borrow sources shall be left in a neat and presentable condition after use. All slopes shall be smoothed, rounded, and constructed not steeper than 3:1. Where the source is to be reclaimed for cultivation the source shall be plowed or scarified to a minimum depth of 8 inches, disc harrowed, and terraces constructed. The source shall be graded to drain such that no water will collect or stand and a functioning drainage system shall be provided.

## 3. Contractor Furnished Sources

Prior to the approval of any off-site borrow source(s) developed for use on this project, the Contractor shall obtain certification from the State Historic Preservation Officer of the State Department of Cultural Resources certifying that the removal of the borrow material from the borrow source(s) will have no effect on any known district, site building, structure, or object that is included or eligible for inclusion in the National Register of Historic Places. A copy of this certification shall be furnished to the Engineer prior to performing any work on the proposed borrow source.

The approval of borrow sources furnished by the Contractor shall be subject to the following conditions:

- a. The Contractor shall be responsible for acquiring the right to take the material and any rights of access that may be necessary; for locating and developing the source; and any clearing and grubbing and drainage ditches necessary.

Such right shall be in writing and shall include an agreement with the Owner that the borrow source may be dressed, shaped, seeded, mulched, and drained as required by these Specifications after all borrow has been removed.

- b. Except where borrow is to be obtained from a commercial source, the Contractor and the property owner shall jointly submit a borrow source development, use, and reclamation plan to the Engineer for his approval prior to engaging in any

land disturbing activity on the proposed source other than material sampling that may be necessary. The Contractor's plan shall address the following:

(1) Drainage

The source shall be graded to drain such that no water will collect or stand and a functioning drainage system shall be provided. If drainage is not practical, and the source is to serve as a pond, the minimum average depth below the water table shall be 4 feet or the source graded so as to create wetlands as appropriate.

(2) Slopes

The source shall be dressed and shaped in a continuous manner to contours which are comparable to and blend in with the adjacent topography, but in no case will slopes steeper than 3:1 be permitted.

(3) Erosion Control

The plan shall address the temporary and permanent measures that the Contractor intends to employ during use of the source and as a part of the reclamation. The Contractor's plan shall provide for the use of staged permanent seeding and mulching on a continual basis while the source is in use and the immediate total reclamation of the source when no longer needed.

4. Maintenance

During construction and until final acceptance the Contractor shall use any methods approved by the Engineer which are necessary to maintain the work covered by this section so that the work will not contribute to excessive soil erosion.

3.12 AGREEMENT TO ACCEPT CLEAN FILL

- A. IN THE EVENT THAT EXCESS MATERIAL IS PLACED ON PRIVATE PROPERTY, THE FORM ON THE FOLLOWING PAGE SHALL BE USED AND COMPLETED BY THE CONTRACTOR PRIOR TO PLACEMENT OF ANY EXCESS MATERIAL FROM THE CONSTRUCTION SITE. COPIES SHALL BE PROVIDED TO THE PROPERTY OWNER, THE CONTRACTOR, THE FRANKFORT SEWER DEPARTMENT, AND THE ENGINEER.

AGREEMENT TO ACCEPT CLEAN FILL

I, \_\_\_\_\_ (Property Owner), for the amount of One Dollar (\$1.00) and other good and valuable consideration, hereby agree to accept excess clean fill (rock, soil, concrete or bricks) for placement on my property at \_\_\_\_\_, \_\_\_\_\_ (City), Kentucky, by \_\_\_\_\_ (Contractors Name); which clean fill was excavated during the \_\_\_\_\_ Project. I hereby release the LFUCG and \_\_\_\_\_ (Engineer), and their officers, employees and agents, from any and all responsibility and liability arising out of the placement of this fill on my property, including but not limited to responsibility and/or liability for any material found within the clean fill that may be deemed unsafe or hazardous. The Contractor hereby affirms that all material that will be placed on my property has been appropriately inspected and tested, and to the best of Contractor's knowledge does not contain any hazardous substances or materials. The Property Owner and the Contractor agree that the clean fill will be placed in compliance with the applicable federal, state and local laws, regulations and ordinances.

Property Owner

Contractor

\_\_\_\_\_  
\_\_\_\_\_

Print Name: \_\_\_\_\_

Print

Name:

Title: \_\_\_\_\_

Title:

Date: \_\_\_\_\_

Date:

Address

Address

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone No.: \_\_\_\_\_

Telephone No.:

\_\_\_\_\_

END OF SECTION

SECTION 02370

STORM WATER POLLUTION PREVENTION PLAN (SWPPP)

PART 1 -- GENERAL

1.01 GENERAL

- A. The Contract Documents include a Storm Water Pollution Prevention Plan (SWPPP) that has been approved by LFUCG and the Engineer. This SWPPP as well as the erosion and sediment control (ESC) details within the Contract Documents shall be used for establishing quantities and a lump sum (LS) price for providing the Erosion and Sediment Control Measures throughout the project area.
- B. The Contractor may use this SWPPP to obtain any required permits. If Contractors chooses to use this SWPPP, the Contractor take sole responsibility for the contents of the SWPPP and the implementation of the SWPPP during construction.
- C. The Contractor may also choose to prepare its own SWPPP and submit to the FSD for approval. The SWPPP and ECS plan shall incorporate all requirements of the included permits to the project.
- C. No additional payment will be allowed for the Erosion and Sediment Control and conformance with SWPPP pay item.

PART 2 – PRODUCTS

2.01 GENERAL

- A. See the included SWPPP in Specification Section 02371.

END OF SECTION





SECTION 02371

CONSTRUCTION SITE STORMWATER POLLUTION PREVENTION PLAN

This Stormwater Pollution Prevention Plan (SWPPP) narrative and the attached plan sheets address requirements of the Kentucky Division of Water's Best Management Practices (BMP) Plan and the LFUCG's Erosion and Sediment Control (ESC) Plan.

**Plan Preparer:** Kurt Zehnder, P.E. 859.286.1265, KZehnder@hazenandsawyer.com

**Date:** April 2018      **KY DOW NOI Attached\*** Yes \_\_\_ No \_\_\_  
\*KY NOI-SWCA Permitting instructions can be found Drawing G04.

**1. CONTACT INFORMATION AND SITE DESCRIPTION**

**Project Name and Location**

Horse Park Generator Replacement, LFUCG, Lexington, KY 40511

**Site Owner Name and Contact Information**

Mr. Steve Farmer  
LFUCG-DWQ  
125 Lisle Industrial Ave., Suite 180  
Lexington, KY 40511

**Construction Site SWPPP/BMP Plan Manager and Contact Information**

General Contractor: \_\_\_\_\_

Name of Contractor: \_\_\_\_\_

Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

**Project Start and End Dates**

Start: July 2018  
End: January 2018

**Description – Existing Site Conditions, Purpose, and Types of Soil Disturbing Activities**

The existing site is a sanitary pump station. This project will include the removal and replacement of the existing generator and platform/railing, additional concrete foundation installed, site work and a new standby generator for the pump station. This described work together will all related work as specified and shown on the Contract Documents.

**Runoff Coefficient**

Current Runoff Coefficient = 0.38; Final Runoff Coefficient = 0.38

**Site Area and Disturbed Acreage**

This is a small sized site-specific utility type project with total disturbances of 0.036 acres. The entire site will be disturbed at one time and project falls under the 1 acre of disturbance limit for the state ESC permitting.

Erosion and Sedimentation Controls are specified in Spec Section 02372 and details included in the Construction Drawings.

**Sequence of Major Activities**

<b>Construction Activity</b>	<b>Schedule Considerations</b>
Work crew orientation	Pre-project briefing to review permits, plans, schedule, and staffing.
Construction access – install entrance to site, initial construction routes, initial areas designated for vehicle parking	This is the first land-disturbing activity. Minimal clearing/grading will be done to install stabilized #2 rock site exit with geotextile underliner, at least 50 ft long. Downgradient silt fences will be installed below areas to be cleared, grubbed, graded, or cut/filled. Do-not-disturb areas will be marked off.
Sediment traps and barriers – basins, traps, sediment fences, outlet protection	ID locations and install temporary sediment traps as needed to intercept flow. Build basins prior to upgradient work where possible, and seed/mulch/blanket slopes immediately. Relocate and reinstall silt fences as necessary prior to upgradient work. Maintain and remove sediment as necessary.
Runoff and run-on controls – diversion ditches or berms, perimeter dikes	Install controls as needed to divert clean flows around or through site. Key practices will be installed after the installation of principal sediment traps and before land grading. Additional runoff control measures may be installed during grading.
Bore pits excavated; open cut trench excavation for utility line installation	Top soil is preserved for final backfilling. Trees and buffer areas around streams, sinkholes, and other protected areas will be marked for preservation.
Runoff conveyance system - storm drains, channels, inlet and outlet protection, slope drains	Inlet and outlet protection measures will be installed as needed. Drainage ditches will be stabilized immediately with sod or seed with erosion control blanket. Slope drains will be installed as indicated on site drawings. A minimum 25 ft vegetated buffer will be maintained around all streams and sinkholes.
Surface stabilization— temporary and permanent seeding, mulching, sodding, riprap	All disturbed areas will be graded and stabilized as soon as possible. Stabilization will begin within 14 days on areas of the site where construction has permanently or temporarily ceased. Temporary and permanent stabilization will comply with the Stormwater Manual. Erosion control blankets and turf reinforcement mats will be used on slopes in accordance with the Stormwater Manual.

Construction of utilities & paving	During construction, erosion and sedimentation control measures will be installed as needed, such as construction entrances and downgradient silt fences and sediment traps. Areas at final grade not in the immediate construction area will be seeded/mulched as soon as possible.
Landscaping and final stabilization—topsoiling, trees and shrubs, permanent seeding, mulching, sodding.	This is the last construction phase. All remaining disturbed areas will be stabilized, including borrow and spoil areas. Temporary control structures will be removed and the area will be seeded and mulched.

## 2. SITE DESCRIPTION, MAPS, AND DRAWINGS (INCLUDED BELOW OR ATTACHED)

The Contractor has a set of construction plans “marked up”, showing his proposed erosion control features and the placement of them throughout the project.

### Site Plan Showing Pre/Post Construction Topography, Construction, Drainage Features, and all BMPs

#### Name of Receiving Waters

The whole project site will drain into Cane Run Creek, which flows into the North Elkhorn Creek, into the Elkhorn Creek and then the Kentucky River. It is the Contractor’s responsibility for all erosion control and drainage features on the project.

#### Potential Sources of Pollutants

Sediment from land clearing and grading; fertilizer; oil/fuel/grease from equipment; trash/debris.

## 3. EROSION PREVENTION AND SEDIMENT CONTROL MEASURES

### Limits of Disturbance and Project Phasing

Without exception, no more than 25 acres will be disturbed at any one time. If 25 acres of disturbed area exist on the site, no new disturbed areas will be created until previously disturbed areas are temporarily or permanently stabilized on an acre-for-acre basis. Land disturbance activities will be phased to minimize the amount of soil exposed and the length of exposure time. The overall objective will be to achieve final grades as quickly as possible, and to stabilize all areas with seed, mulch or blankets/mats within 14 days after final grade is achieved, or after grading work is suspended on that portion of the site.

### Stabilization Practices

*Temporary Stabilization* – Top soil stockpiles and disturbed portions of the site where construction activity stops for 14 days or more will be stabilized with temporary seed or straw mulch no later than 14 days from the last construction activity in that area (portion) of the site. Seeding rates will be consistent with the Kentucky Erosion Prevention and Sediment Control Field Guide Lime and fertilizer will be applied only when necessary. After seeding, each area shall be mulched with at least 3,000 pounds per acre of blown or hand-scattered straw. The straw will be netted down or crimped into place by a disk harrow with the blades set straight. Slopes will be covered with blankets or mats consistent with the KY Stormwater Manual. Areas

of the site which are to be paved will be temporarily stabilized by applying geotextile and stone sub-base until bituminous pavement can be applied. Dust will be controlled by water sprayed from a tanker truck as needed during dry weather.

*Permanent Stabilization* – Disturbed portions of the site where construction activities are completed will be stabilized with permanent seed no later than 14 days after completion of grading in that area. Seed and mulch will be applied consistent with the Kentucky Erosion Protection and Sediment Control Field Guide. Lime and fertilizer will be applied only if needed. After seeding, each area will be mulched with 4,000 pounds per acre of straw. The straw mulch will be netted down or crimped into place by a disk harrow with blades set straight. Slopes will be covered with erosion control blankets or turf reinforcement mats consistent with the KY Stormwater Manual. Ditches will be triple-seeded and lined with erosion control blanket or turf reinforcement matting.

### **Structural Practices**

*Earthen Berm* – will be constructed along the uphill perimeter (north) of the site. This berm will divert clean run-on water around the construction site. Another berm on the east side will collect runoff from the disturbed area and direct the runoff to the sediment basin. Berms will be seeded and mulched immediately after construction. Erosion control blankets will be used on top of seed in berm ditches with slopes of 5-10 percent. Turf reinforcement mats will be used in berm ditches with slopes exceeding 10 percent. Blankets or mats will be used on slopes in accordance with the KY Stormwater Manual.

*Sediment Traps* – will be sited and constructed as needed, according to the attached drawings and through field adaptations to changing grades and emergence of gullies that need to be controlled. Traps will consist of rock or rock bag berms across concentrated flow areas and be designed to intercept, detain, and settle out these flows. Traps installed as field adaptations will be logged on the erosion control plan sheets.

*Sediment Basin* – will be constructed at the common drainage location on the south side of the construction site. The basin will be formed by constructing an embankment across an existing gully and excavating a storage pond with a volume of 134 cubic yards for each upgradient disturbed acre. The basin will drain through a perforated corrugated metal riser and outlet pipe to a riprap outlet apron. The riser will have ½ inch holes 3-6 inches apart, with no large holes or slots in the lower two-thirds of the riser. Sediment will be removed before the basin is half full. Also, once construction activities are nearly complete, the accumulated sediment will be removed from the basin. The sediment basin and surrounding area will be seeded and mulched immediately after construction. Blankets or mats will be used on slopes in accordance with the Stormwater Manual. Basin outlet will be protected with a rock berm during construction, to pond up and detain incoming flow.

*Inlet Protection Measures* – will be used to detain, pond, and settle (or filter) out sheet and concentrated flows moving toward curb, drop, or other inlets. Inlet protection structures will consist of rock bags, #2 rock berms, trenched in silt fence on framing, or commercial devices.

*Outlet Protection Measures* – will be used where culverts discharge to ditches or channels, and consist of turf reinforcement matting over triple seeding, erosion control blanket over triple seeding, or channel lining, depending on the scour flows and consistent with the Kentucky Division of Water's BMP Technical Specifications Manual.

*Ditch Check Dams* – will be installed as needed to control ditch downcutting, trap sediment, and stabilize ditches. Check dam installation will be consistent with the Kentucky Erosion Protection and Sediment Control Field Guide and BMP Technical Specifications Manual.

### **Site Runoff Management**

Sediment will be prevented from leaving the site to the maximum extent practicable. Storm water drainage will be provided mostly by grassed swales, with sheet runoff from parking lots and building drains leading to a permanent stormwater pond on the south side of the site. The pond will be modified for sediment retention during the construction phase. Runoff will be diverted onto undisturbed vegetated areas and revegetated areas where possible for infiltration. Landscaped areas with no buildings or roads will be brought to grade and planted/seeded/mulched within 14 days. Two acres of the site, along existing drainage areas and some slopes, will be flagged off-limits to equipment and remain in its current natural state. When construction is complete the entire site will drain to the south side detention basin (the detention basin will be in the location of the temporary sediment basin). The areas on the sides of the basin will be seeded and mulched after construction. The detention pond is designed with a permanent pool volume of 1,333 cubic yards. This is equivalent to one inch of runoff for the drainage area. It is expected that this detention pond design will remove 80 percent removal of total suspended solids in the site runoff. The pond has been designed by a professional engineer to keep peak flow rates from the two and ten year 24-hour storms at pre-development rates. The outlet of the detention basin will be stabilized by a riprap apron. The inlet will be modified during construction by installation of a 3 ft high rock berm around the inlet to increase detention time and sediment removal. The berm will be removed after the entire site is stabilized.

## **4. OTHER CONTROL MEASURES**

### **Offsite Vehicle Tracking**

A stabilized #2 and larger rock construction exit with geotextile underliner will be installed to help reduce vehicle tracking of sediments at all exits onto paved roads. The stabilized exit will be 100 ft where possible, and at least 50 ft in length. The paved street adjacent to the site entrance will be swept/cleaned daily if necessary to remove any excess mud, dirt, or rock tracked from the site. The rock exit will be grubbed lightly or otherwise maintained as needed to clear (shake down) dry mud. Dump trucks hauling material from the construction site will be covered with a tarpaulin.

### **Waste Disposal**

*Waste Materials* – All waste materials that may leach pollutants (paint and paint containers, caulk tubes, oil/grease containers, liquids of any kind, soluble materials, etc.) will be collected and stored in a covered metal dumpster rented from a company who is a licensed solid waste management company. The dumpster will meet all state solid waste management regulations. Construction debris and other wastes that do not leach pollutants will be deposited in a covered or open-topped dumpster. The dumpster will be emptied when full, and the contents will be hauled to a licensed landfill. No construction waste materials will be buried onsite. All personnel will be instructed regarding the correct procedure for waste disposal. Notices stating these practices will be posted in the office trailer and the Contractor who manages the day-to-day site operations, will be responsible for seeing that these procedures are followed.

*Hazardous Waste* – All waste materials will be disposed of in the manner specified by local or state regulation or by the manufacturer. Site personnel will be instructed in these practices and

the Contractor, the individual who manages day-to-day site operations, will be responsible for seeing that these practices are followed

*Sanitary Waste* – Portable toilets will be used on site for sanitary wastes. All sanitary waste will be collected from the portable units as needed to prevent excessive odors and overflows by a licensed sanitary waste management contractor, as required by local regulation. Portable units will be placed away from storm drain inlets, ditches, creeks, and other water bodies

#### **Timing of Control Measures**

As indicated in the Sequence of Major Activities, the stabilized construction exit, earthen diversion berm, silt fences / sediment barriers, and sediment basin will be constructed prior to clearing or grading of any other portions of the site. Sediment traps will be constructed as needed in areas where gulying occurs. Ditches will be built and triple seeded/mulched (or blanketed) after construction. Areas where construction activity temporarily ceases for more than 14 days will be stabilized with temporary seed and/or mulch within 14 days of the last disturbance. Once construction activity ceases permanently in an area, that area will be seeded and mulched within 14 days. Temporary controls in permanently stabilized areas, such as silt fences, sediment barriers, ditch checks, temporary sediment traps, etc., will be removed. Controls will remain in place until all vegetation is established and ditches are stable.

### **5. OTHER STATE AND LOCAL PLANS**

#### **Certification of Compliance with Federal, State, and Local Regulations**

This Stormwater Pollution Prevention Plan (BMP Plan) reflects Kentucky Division of Water requirements for stormwater management and erosion and sediment control. To ensure compliance, this plan was prepared in accordance with the Kentucky BMP Planning and Technical Specifications Manual published by KY DOW and KY DOC. There is an approved KY DOW Floodplain Permit and KY DOW Section 401 Water Quality Certification Permit which copies were given to the Contractor at the Pre-Construction meeting and their responsibility to maintain on the project site.

### **6. MAINTENANCE PROCEDURES**

#### **Stormwater, Erosion, and Sediment Control Maintenance Practices**

Maintenance of all BMPs at the site will be handled by the Contractor. Other workers on-site will be trained in BMP installation, maintenance, and good housekeeping by the Contractor. These are the inspection and maintenance practices that will be used to maintain erosion and sediment controls:

- Less than ½ of the site or 5 acres, whichever is less, will be cleared of vegetation at one time; areas at final grade will be seeded and mulched within 14 days.
- All measures will be maintained in good working order; if a repair is necessary, it will be initiated within 24 hours of being reported. This information will be logged on the SWPPP/BMP Plan
- Silt fences will be inspected for bypassing, overtopping, undercutting, depth of sediment, tears, and to ensure attachment to secure posts. Bypasses will be repaired immediately.
- Built-up sediment will be removed from behind the silt fence before it has reached halfway up the height of the fence.
- The sediment basin will be inspected for depth of sediment, and built-up sediment will be removed when it reaches 30 percent of the design capacity and at the end of the job.

- Diversion dikes and berms will be inspected and any breaches promptly repaired. Areas that are eroding or scouring will be repaired and re-seeded / mulched as needed.
- Temporary and permanent seeding and mulching will be inspected for bare spots, washouts, and healthy growth. Bare or eroded areas will be repaired as needed.

## **7. INSPECTION PROCEDURES**

### **Stormwater, Erosion, and Sediment Control Inspection Practices**

Inspection of all BMPs at the site will be handled by the Contractor.

- All erosion prevention and sediment control measures will be inspected at least once each week and following any rain of one-half inch or more.
- Inspections will be conducted by the Contractor, who has been trained by the KY DOW and KEPSC. The Contractor will train three people who will be responsible for assisting in the inspections and installing, maintaining, and repairing the controls on the site.
- Inspection reports will be written, signed, dated, and kept on file for two years.

## **8. NON-STORMWATER DISCHARGES**

It is expected that the following non-storm water discharges will occur from the site during the construction period:

- Water from force main flushings and pressure testing the force main.
- Pavement wash waters (where no spills or leaks of toxic or hazardous materials have occurred).
- Uncontaminated groundwater and rain water (from dewatering during excavation).

All non-storm water discharges will be directed to a sediment basin, filter bag, or filter fence enclosure in a flat vegetated infiltration area prior to discharge, to remove sediment and other contaminants.

**The materials or substances listed below are expected to be present onsite during construction:**

- Concrete
- Detergents
- Paints (epoxy, enamel and latex)
- Fertilizers
- Petroleum Based Products
- Cleaning Solvents
- Wood
- PVC
- HDPE
- Ductile Iron
- Steel
- Aluminum

### **Spill Prevention and Material Management Practices**

The following material management practices will be used to reduce the risk of spills or other accidental exposure of materials and substances to exposure to the weather and/or runoff.

### **Good Housekeeping**

The following good housekeeping practices will be followed onsite during the construction project.

- An effort will be made to store only enough product required to do the job
- Products and materials will be stored away from the surface drainage system.
- All materials stored onsite will be stored in a neat, orderly manner in their appropriate containers and, if possible, under a roof or other enclosure
- Products will be kept in their original containers with the original manufacturer's label
- Substances will not be mixed with one another unless recommended by the manufacturer
- Whenever possible, all of the product will be used up before disposing of the container
- Manufacturers' recommendations for proper use and disposal will be followed
- The site superintendent will inspect daily to ensure proper use and disposal of materials onsite.
- Dust will be controlled by water sprayed from a tanker truck as needed during dry weather.

### **Hazardous Products**

These practices will be used to reduce the risks associated with any and all hazardous materials.

- Products will be kept in original containers unless they are not resealable.
- Original labels and material safety data sheets (MSDS) will be reviewed and retained.
- If surplus product must be disposed of, manufacturers' or state/local recommended methods for proper disposal will be followed.

### **Petroleum Products**

All onsite vehicles will be fueled and maintained off-site, monitored for leaks, and receive regular preventative maintenance to reduce the chance of leakage. Petroleum products stored onsite (oil, gas for tump and pump) will be stored in tightly sealed containers, which are clearly labeled. Any asphalt substances used onsite will be applied according to the manufacturer's recommendations.

### **Fertilizers**

If used, fertilizers used will be applied only in the minimum amounts recommended by the manufacturer. Once applied, fertilizer will be covered with mulch or blankets or worked into the soil to limit exposure to storm water. Storage will be in a covered shed. The contents of any partially used bags of fertilizer will be transferred to a sealable plastic bin to avoid spills.

### **Paints**

All containers will be tightly sealed and stored indoors or under roof when not being used. Excess paint or paint wash water will not be discharged to the drainage or storm sewer system but will be properly disposed of according to manufacturers' instructions or state and local regulations.



### **Concrete Truck Washout**

Concrete truck mixers and chutes will not be washed on pavement, near storm drain inlets, or within 75 feet of any ditch, stream, wetland, lake, or sinkhole. Where possible, excess concrete and wash water will be discharged to areas prepared for pouring new concrete, flat areas to be paved that are away from ditches or drainage system features, or other locations that will not drain off site. Where this approach is not possible, a constructed wash basin lined with plastic sheeting will be installed away from ditches to receive the wash water. Washout locations are indicated on the attached drawings.

### **Spill Control Practices**

In addition to the good housekeeping and material management practices discussed in the previous sections of this plan, the following practices will be followed for spill prevention and cleanup:

- Manufacturers' recommended methods for spill cleanup will be clearly posted. All personnel will be made aware of procedures and the location of the information and cleanup supplies.
- Materials and equipment necessary for spill cleanup will be kept in the material storage area. Equipment and materials will include but not limited to brooms, dust pans, mops, rags, gloves, kitty litter, sand, sawdust, and plastic and metal trash containers.
- All spills will be cleaned up immediately after discovery.
- The spill area will be kept well ventilated and personnel will wear appropriate protective clothing to prevent injury from contact with a hazardous substance.
- Spills of toxic or hazardous material will be reported to the appropriate state/local agency.
- The spill prevention plan will be adjusted as needed to prevent spills from reoccurring and improve spill response and cleanup.
- The site superintendent responsible for the day-to-day site operations, will be the spill prevention and cleanup coordinator. He will designate at least three other people onsite to receive spill prevention/cleanup training and assist in cleanups. Their names will be posted in the material storage area and in the office trailer outside.

## **9. CONTRACTOR AND SUBCONTRACTOR CERTIFICATIONS**

### **SWPPP Files, Updates, and Amendments**

This SWPPP Plan and related documents (e.g., NOI, inspection reports, US ACE permits, etc.) will be kept on file at the construction site by the Contractor. The SWPPP will be updated by the Owner and/or Site Manager to reflect any and all significant changes in site conditions, selection of BMPs, the presence of any unlisted potential pollutants on site, or changes in the Site Manager, contractor, subcontractors, or other key information. Updates and amendments will be made in writing within 7 days and will be appended to the original BMP Plan and available for review.

### **Stormwater Pollution Prevention Plan Certification**

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate,

and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

I certify under penalty of law that I understand the terms and conditions of the general KPDES permit that authorizes the storm water discharges associated with the construction site activity identified as part of this certification.

**Subcontractor Certification**

The subcontractors below certify under penalty of law that they understand the terms and conditions of the general KPDES permit that authorizes the storm water discharges associated with the construction site activity identified as part of this certification.

Signed: \_\_\_\_\_  
\_\_\_\_\_

Print: Name: \_\_\_\_\_  
\_\_\_\_\_

Name of Company: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Phone Number: \_\_\_\_\_  
\_\_\_\_\_

**Construction Site Inspection Report**

<b>Company:</b>	<b>Site:</b>	<b>County:</b>
<b>Site Operator:</b>		<b>Inspection Date:</b>
<b>Receiving Water:</b>	<b>Total Site Area (acres):</b>	<b># Disturbed Acres:</b>
<b>Inspector Name:</b>	<b>Inspector Qualifications:</b>	
<b>Inspection Type:</b> Weekly or ½ Inch Rain	<b>Days Since Last Rainfall</b> _____	<b># Inches of Last Rainfall:</b>

**Field Inspection Observations**

<b>BMP Category</b>	<b>Compliance</b>		<b>Field Indicators for Compliance</b>
	<b>Poor</b>	<b>Fair</b>	
Project Operations			Notice of Intent (KPDES permit) and other local/state permits on file BMP Plan on site and available for review; project activities following BMP plan Weekly inspection and rain-event reports on BMPs available for review Diversions, silt checks/traps/basins, and silt fences/barriers installed prior to clearing Grading and clearing conducted in phases to minimize exposed soil areas No vegetation removal or operations in stream or sinkhole buffer area (25 ft min) Rock pad with underliner in place on all construction site exits leading to paved roads No sediment, mud, or rock on paved public roads in project area Dust control if needed when working in residential areas during dry conditions
Drainage Management			Upland runoff diverted around bare soil areas with vegetated/lined ditches/berms Drainage channels exiting the site are lined with grass/blanket/rock and stabilized Discharges from dewatering operations cleaned in silt fence enclosure or other filter No muddy runoff leaving site after rains up to 1½ inches
Erosion Protection			Exposed soil seeded/mulched after 2 weeks if no work is planned for the next 7 days Soils on steep slopes seeded/mulched/blanketed as needed to prevent rutting
Sediment Barriers			Silt fence, rock filter, or other sediment barrier below all bare soil areas on slopes Barrier installed across slope on the contour, trenched in, posts on downhill side Multiple sediment barriers at least 125 ft apart on unseeded slopes steeper than 4:1 J-hook interceptors along silt fence where heavy muddy flows run along fencing No visible undercutting or bypassing or blowout of sediment barrier Accumulated sediment is less than halfway to the top of sediment barrier
Slope Protection			Slopes tracked, disked, or conditioned after final grade is established Slopes seeded, mulched, or blanketed within 14 days, no unmanaged rills or

	<p>gullying  Heavy downslope flows controlled by lined down drain channels or slope drain pipes  No muddy runoff from slopes into streams, rivers, lakes, or wetlands</p>
Inlet Protection	<p>Inlet dam/device or filtration unit placed at all inlets receiving muddy flows  No visible undercutting, bypassing, or blowout of inlet protection dam or device  Accumulated sediment is less than halfway to the top of the inlet protection dam/device</p>
Outlet Protection	<p>High flow discharges have rock or other flow dissipaters of adequate sizing at outlet  Culvert outlets show no visible signs of erosion/scour, bank failure, or collapse</p>
Ditch and Channel Stabilization	<p>No unmanaged channel bank erosion or bottom scouring visible within or below site  Ditches with slopes more than 3% have check dams spaced as needed, if not grassed  Ditch check dams tied in to banks, with center 4" lower than sides, and no bypassing  Ditches with slopes of up to 5% are thickly seeded with grass (minimum requirement)  Ditches 5% to 15% are lined with thick grass and erosion control blankets as needed  Ditches 15% to 33% are lined with thick grass and matting or other approved product  Ditches exceeding 33% are paved or lined with rock or other approved product</p>
Sediment Traps and Basins	<p>Storage volume is at least 134 cubic yards for each acre of bare soil area drained  Trap or basin is seeded/mulched and stabilized; no collapsing sidewalls or banks  Outlet structure is stable and consists of rock-lined notched overflow or outlet riser  Rock overflow is 6" lower in center to control overflow discharge  Outlet riser pipe has concrete &amp; rock base, ½ inch holes every 3" to 6", and trash rack  Area near pipe outlet or overflow is stable, with no scour or erosion  Sediment removed before trap or basin is halfway full; disposal is away from ditches</p>
Maintenance of EPSC Management Practices	<p>Sediment behind silt fence and other filters does not reach halfway to top  Sediment traps and basins are less than half full of sediment  Gullies repaired, silt fences and other controls inspected and repaired/replaced  Written documentation of controls installed, inspection results, and repairs performed  All controls removed and areas graded, seeded, and stabilized before leaving site</p>





SECTION 02372

EROSION AND SEDIMENT CONTROL

PART 1 - GENERAL

1.01 SCOPE OF WORK

- A. The Contractor shall furnish all labor, materials, and equipment required for installing, maintaining, amending, and removing temporary soil erosion, sediment, and pollutant controls as shown in the Stormwater Pollution Prevention Plan (SWPPP) and as specified herein and as required by the LFUCG Land Disturbance Permit, Chapter 16-Article X, Division 5 of the LFUCG Code of Ordinances, and the KPDES General Permit for Stormwater Discharges Associated with Construction Activities (KYR10).
- B. The Contractor shall take all site management measures necessary to minimize erosion and contain sediment, construction materials (including excavation and backfill), and pollutants (such as chemicals, fuels, lubricants, bitumen, raw sewage, and other harmful waste) and prevent them from being discharged into or alongside any body of water or into natural or man-made channels leading thereto.
- C. The Contractor shall at all times minimize disturbance and the period of time that the disturbed area is exposed without stabilization practices. In "critical areas" (within 25 feet of a stream) erosion prevention measures such as erosion control mats/blankets, mulch, or straw blown in and stabilized with tackifiers or by treading, etc shall be implemented on disturbed areas within 24 hours or "as soon as practical" after completion of disturbance/grading or following cessation of activities.
- D. Temporary erosion controls include, but are not limited to grassing, mulching, seeding, providing erosion control and turf reinforcement mats on all disturbed surfaces including waste area surfaces and stockpile and borrow area surfaces; scheduling work to minimize erosion and providing interceptor ditches at those locations which will ensure that erosion during construction will be either eliminated or maintained within acceptable limits.
- E. Temporary sedimentation controls include, but are not limited to, silt dams, traps, barriers, and appurtenances on sloped surfaces which will ensure that sedimentation pollution will be either eliminated or maintained within acceptable limits.
- F. Contractor is responsible for providing and maintaining effective temporary erosion and sediment control measures prior to and during construction or until final controls become effective.
- G. Prior to construction, the Contractor shall obtain a LFUCG Land Disturbance Permit and shall obtain coverage under the KPDES General Permit for Stormwater Discharges Associated with Construction Activities (KYR10) (see Article 3.24 in this Section). The Contractor shall be responsible for placement of pollutant, erosion, and sedimentation controls as shown in the Stormwater Pollution Prevention Plan (SWPPP) prior to excavation, fill or grade work. If during the course of construction, the state and/or LFUCG determine additional controls are required, the Contractor shall furnish, install

and maintain additional mulch, blankets, sediment barriers, and/or other controls as necessary to control pollution, erosion, and sedimentation to the satisfaction of the regulatory agency.

- H. The Contractor shall inspect and repair all erosion and sedimentation controls as follows:
  - 1. At least once every seven (7) calendar days, and
  - 2. Within 24 hours after any storm event of 0.5 inch or greater.
- I. Final stabilization practices on those portions of the project where construction activities have permanently ceased shall be initiated within fourteen (14) days of the date of cessation of construction activities. Temporary stabilization practices on those portions of the project where construction activities have temporarily ceased shall be initiated within fourteen (14) days of the date of cessation of construction activities.
- J. Erosion and Sediment Control prevention measures shall be installed prior to removal of vegetation and/or stripping of topsoil. The Contractor is responsible for preparing and submitting the state Notice of Intent and attachments and obtaining state permit approval prior to the beginning of any construction activities.

#### 1.02 PERMITS AND NOTIFICATION REQUIREMENTS

- A. The Contractor is responsible to prepare a Stormwater Pollution Prevention Plan (SWPPP) for inclusion with permit submittals. The Contractor may elect one of the following options to meet this requirement:
  - 1. Utilize the SWPPP (which includes the Erosion and Sediment Control Plan) provided in the Construction Drawings and prepared by the Owner's Engineer and take sole responsibility for implementing the SWPPP, or
  - 2. Provide a SWPPP, including an Erosion and Sediment Control Plan, prepared by a professional engineer licensed in the Commonwealth of Kentucky, meeting all of the requirements of KYR10 and Chapter 16-Article X, Division 5 of the LFUCG Code of Ordinances.
- B. The Contractor shall submit a Notice of Intent specifically for Construction Activities (NOI-SWCA) before beginning any site disturbance, and shall implement erosion, sediment and pollution control measures as may be required by state, local and federal agencies. Contractor shall submit a signed Notice of Intent form and required attachments to the Division of Water at least seven (7) days prior to beginning of construction activity. See Article 3.24 in this Section for detailed requirements.
- C. A Land Disturbance Permit shall be obtained from the Lexington-Fayette Urban County Government. See Article 3.25 in this Section for detailed requirements.
- D. The Contractor shall comply with all additional requirements of LFUCG. It is the Contractor's responsibility to provide evidence to the Owner that all permits have been obtained prior to initiation of construction.



### 1.03 RELATED WORK

- A. Section 02371 – Storm Water Pollution Prevention Plan (SWPPP)
- B. Section 02373 – Stream Crossings, Streambank Restoration, and Stream Buffer Restoration
- C. Applicable LFUCG Storm Water Manual Standard Drawings are included at the end of this Section 02372.

## PART 2 – PRODUCTS

### 2.01 MULCH

- A. Mulch shall be used as a soil stabilization measure for any disturbed area inactive for 14 days or longer. Areas requiring stabilization during December through February shall receive only mulch held in place with bituminous material. Mulching shall be used whenever permanent or temporary seeding is used. The anchoring of mulch shall be in accordance with the Construction Drawings except all mulch placed in December through February shall be anchored with bituminous materials regardless of the slope. Permanent mulches shall be used in conjunction with planting trees, shrubs, and other ground covers that do not provide adequate soil stabilization.
- B. Straw shall come from wheat, rye, or barley and may be spread by hand or machine. Straw shall be anchored. Straw shall be applied at two tons per acre or 90 pounds per 1,000 square feet. Straw shall be free from weeds and coarse matter.
- C. Wood chips do not require tacking. Wood chips shall be applied at 270 cubic yards per acre or 6 cubic yard per 1,000 square feet and approximately 2 inches deep. Wood chips shall be treated with 20 pounds of nitrogen per acre or shall be treated with 12 pounds slow-release nitrogen per ton to prevent nutrient deficiency in plants.
- D. Bark chips or shredded bark shall be applied at 70 cubic yards per acre or 1.5 to 2 cubic yards per 1,000 square feet and about one-half inch thick. Bark does not require additional nitrogen fertilizer.
- E. Manufacturer's recommendations shall be followed during application of manufactured wood fiber and recycled paper sold as mulch materials applied in a hydroseeder slurry with binders/tackifiers. Recycled paper (newsprint) or wood fiber shall be mixed at 50 pounds per 100 gallons of water and applied according to manufacturer's recommendations and model of hydroseeder in use.
- F. Liquid mulch binders/tackifiers shall be applied according to manufacturer's recommendations. Chemical soil stabilizers or soil binders/tackifiers/emulsions shall not be used alone.
- G. Netting and mats shall be used in critical areas such as waterways where concentrated flows are expected.

- H. Before the gravel or crushed stone is applied, it shall be washed. Aggregate cover shall only be used in relatively small areas and shall be incorporated into an overall landscaping plan.

2.02 TEMPORARY SEED

- A. Temporary seeding shall be used for soil stabilization when grades are not ready for permanent seeding, except during December through February. The seed shall be applied within 14 days after grading has stopped. Only rye grain or annual rye grass seed shall be used for temporary seeding.

2.03 PERMANENT SEED

- A. Permanent seeding shall be applied within 14 days after final grade has been reached, except during December through February. Permanent seeding shall also be applied on any areas that will not be disturbed again for a year even if final grades have not been reached. The use of mulch and erosion matting and netting with permanent seeding shall be in accordance with applicable sections of this Specification. "Seed mats" may be used for permanent seeding in accordance with manufacturers' recommendations.
- B. Permanent seeding shall be used on disturbed areas where permanent, long-lived vegetative cover is needed to stabilize the soil and on rough graded areas that will not be brought to final grade for one year or more.
- C. The area to be seeded shall be protected from excess runoff as necessary with diversions, grassed waterways, terraces, or sediment ponds.
- D. Contractor shall use the following Permanent Seed Mix, with the following exceptions:
  - 1. If a property owner landscaping agreement differs from this specification, the property owner landscaping agreement shall be followed on that property, or
  - 2. he area to be seeded is within 25 feet of a stream bank, in which case Contractor shall follow the seed mix provided in Section 02373, or
  - 3. The Construction Drawings identify a different seed mix.

The Permanent Seed Mix shall consist of the following mix spread at a rate of 12.5 pounds/1,000 square feet:

Common Name	%	lbs per 1,000 sq. ft.
Bluegrass	24%	3
Perennial ryegrass (turf)	16%	2
+ bluegrass	20%	2.5
Tall fescue (turf type)	32%	4
+ bluegrass	8%	1
TOTAL	100%	12.5

4. Vegetative cover alone shall not be used to provide erosion control cover and prevent soil slippage on a soil that is not stable due to its structure, water movement, or excessive slope.
5. Permanent seeding may be done at any time except December through February.
6. Soil material shall be capable of supporting permanent vegetation and have at least 25 percent silt and clay to provide an adequate amount of moisture holding capacity. An excessive amount of sand will not consistently provide sufficient moisture for good growth regardless of other soil factors.
7. Fertilizer shall be applied at a rate of 800 pounds per acre of 10-10-10 analysis or equivalent, unless soil test results indicate a different rate is appropriate. Lime shall be applied at a rate of 100 pounds per 1,000 square feet or two tons per acre of agricultural ground limestone, unless soil test results indicate differently.

#### 2.04 SOD

- A. Sod shall be used for disturbed areas that require immediate vegetative cover, *e.g.*, the area surrounding a drop inlet in a grassed waterway, the design flow perimeter of a grassed waterway that will convey flow before vegetation can be established, and the inlet of a culvert. Sod may be installed throughout the year. "Seed mats" and seed with geotextiles may be used in place of sod when done in accordance with manufacturers' recommendations.
- B. Contractor shall use tall fescue sod, unless another species is specified in the Construction Drawings or unless the property owner landscaping agreement differs from this specification.
- C. Sod shall not be used to provide erosion control and prevent soil slippage on a soil that is not stable due to its structure, water movement, or excessive slope.
- D. Sod shall be installed within 36 hours of digging and removal from the field. Sod should not be used on slopes steeper than 2H:1V. If it is to be mowed, installation should be on slopes no greater than 3H:1V.
- E. Soil material shall be capable of supporting permanent vegetation and shall consist of at least 25 percent silt and clay to provide an adequate amount of moisture holding capacity. An excessive amount of sand will not consistently provide sufficient moisture for the sod regardless of other soil factors.
- F. Fertilizer shall be applied at a rate of 1,000 pounds per acre of 10-10-10 analysis or equivalent, unless soil test results indicate a different rate is appropriate. Lime shall be applied at a rate of 100 pounds per 1,000 square feet or two tons per acre of agricultural ground limestone, unless soil test results indicate differently.
- G. The sod shall consist of strips of live, vigorously growing grasses. The sod shall be free of noxious and secondary noxious weeds and shall be obtained from good, solid, thick-growing stands. The sod shall be cut and transferred to the job in the largest continuous pieces that will hold together and are practical to handle.

- H. The sod shall be cut with smooth clean edges and square ends to facilitate laying and fitting. The sod shall be cut to a uniform thickness of not less than three-fourth inch measured from the crown of the plants to the bottom of the sod strips for all grasses except bluegrass. Bluegrass sod shall be cut to a uniform thickness of not less than one and one-half inches.
- I. The sod shall be mowed to a height of not less than two inches and no more than four inches prior to cutting.
- J. The sod shall be kept moist and covered during hauling and preparation for placement on the sod bed.

## 2.05 ROAD/PARKING STABILIZATION

- A. Gravel or paved material shall be used to stabilize permanent roads or parking areas or roads or parking areas used repeatedly by construction traffic. Stabilization shall be accomplished within 14 days of grading or initiation of use for construction traffic. Unstabilized roads are not acceptable except in instances where the road will be used less than one month.
- B. Road/parking stabilization shall be used wherever roads or parking areas are constructed, whether permanent or temporary, for use by construction traffic.
- C. Stabilization shall be accomplished with a minimum depth of six inches of crushed stone. Stabilized construction roadbeds shall be at least 14 feet wide for one-way traffic and at least 20 feet wide for two-way traffic.
- D. Temporary roads shall follow the contour of the natural terrain to the extent possible. Slopes shall not exceed 10 percent.
- E. Temporary parking areas shall be located on naturally flat areas to minimize grading. Grades shall be sufficient to provide drainage but shall not exceed 4 percent.
- F. All cuts and fills shall be 2H:1V or flatter.
- G. Drainage ditches shall be provided as needed.
- H. Crushed stone shall be KYTC aggregate No. 2 (1.5 to 3 inches in diameter), or equivalent.

## 2.06 CONSTRUCTION ENTRANCE

- A. A stabilized construction entrance shall be constructed wherever vehicles are leaving a construction site to enter a public road or at any unpaved entrance/exit location where there is a risk of transporting mud or sediment onto paved roads. A construction entrance shall be constructed at the beginning of the project before construction traffic begins to enter and exit the site.
- B. A stabilized construction entrance shall be constructed of crushed stone a minimum of 6 inches thick laid over geotextile (filter fabric).

- C. The width shall be at least 20 feet and as wide as the entire width of the access. At sites where traffic volume is high, the entrance shall be wide enough for two vehicles to pass safely. The length shall be at least 50 feet, and where practical, shall be extended to 100 feet. The entrance shall be flared where it meets the existing road to provide a turning radius.
- D. Stormwater and wash water runoff from a stabilized construction entrance shall drain to a sediment trap or sediment pond. If conditions on the site are such that the majority of the mud is not removed by the vehicles traveling over the gravel, then the tires of the vehicles shall be washed before entering a public road.
- E. Pipe placed under the entrance to handle runoff shall be protected with a mountable berm.
- F. Dust control shall be provided in accordance with the applicable sections of this Specification.
- G. Crushed stone shall be KYTC aggregate No. 2 (1.5 to 3 inches in diameter), or equivalent.
- H. Geotextile filter fabric shall be KYTC Type III.

#### 2.07 DUST CONTROL

- A. Dust control measures shall be implemented on the site.
- B. Construction activities shall be phased to minimize the total area unstabilized at any given time, thereby reducing erosion due to air and water movement.
- C. Construction roads shall be watered as needed to minimize dust.
- D. Existing trees, shrubs, and ground cover shall be retained as long as possible during the construction. Initial land clearing should be conducted only in those areas to be regraded or where construction is to occur. Areas to be cleared only for new vegetation or landscaping shall be stabilized with seed and mulch immediately following clearing.
- E. Vegetative cover is the most effective means of dust and erosion control, when appropriate. See sections on Temporary Seed, Permanent Seed, Mulch, and Sod of this Specification.
- F. When areas have been regraded and brought to final grade, they shall be stabilized using temporary or permanent seed and mulch or other measures.
- G. Mulch with mulch binders may be used as an interim dust control measure in areas where vegetation may not be appropriate.
- H. See sections on Temporary Seed, Permanent Seed, Sod, Mulch, Road/Parking Stabilization, and Construction Entrance of this Specification.

## 2.08 NETS AND MATS

- A. Mulch netting, erosion control matting, or turf reinforcement matting (TRM) shall be used on sloping areas as indicated in the Construction Drawings. Mats or nets and permanent seeding may be used as an alternate to sod for culvert entrances and grassed waterways. TRMs shall be used at the water line to control wave action in wet ponds. TRMs shall be used in accordance with manufacturer's recommendations. Erosion control matting may be used to stabilize channels and swales and on recently planted slopes to protect seedlings until they become established.
- B. Effective netting and matting shall require firm, continuous contact between the materials and the soil. If there is no contact, the material will not hold the soil and erosion will occur underneath the material.
- C. Nets and mats shall be suitable for their intended purpose and shall be as indicated in the Construction Drawings.

## 2.09 TEMPORARY DIVERSION DITCH

- A. Temporary diversion ditches shall be used to collect sediment-laden runoff from disturbed areas and direct it to a sediment pond where applicable. Temporary ditches are those expected to be in use for less than one year. Temporary diversion ditches do not require stabilization, unless otherwise indicated on the Drawings.
- B. Temporary diversion ditches shall have stable outlets. The combination of conditions of site, slopes, and soils should be so that the ditch can be maintained throughout its planned life.
- C. Temporary diversion ditches shall not be constructed below high sediment-producing areas unless land treatment practices or structural measures, designed to prevent damaging accumulations of sediment in the channels, are installed with or before the diversion.
- D. A typical diversion cross section consists of a channel and a supporting ridge. In the case of an excavated-type diversion, the natural ground serves as the diversion ridge. Diversion cross sections shall be adapted to the equipment that will be used for their construction and maintenance.
- E. The channel may be parabolic or trapezoidal in shape. V-shaped ditches shall not be constructed.
- F. Diversions shall be located so that water will empty onto an established area such as a stable watercourse, waterway, or structure.
- G. Any high sediment-producing area above a diversion shall be controlled by good land use management or by structural measures to prevent excessive sediment accumulation in the diversion channel.
- H. Temporary diversions above steep slopes or across graded rights-of-way shall have a berm with a minimum top width of 2 feet, side slopes of 2:1 or flatter and a minimum height of 18 inches measured from the channel bottom.

- I. Diversions installed to intercept flow on graded rights-of-way shall be spaced 200 to 300 feet apart.
- J. A level lip spreader shall be used at diversion outlets discharging onto areas already stabilized by vegetation.

#### 2.10 LEVEL SPREADER

- A. Level spreaders shall be constructed at the outlets of temporary diversion ditches. Level spreaders shall also be constructed at outlets of permanent constructed waterways where they terminate on undisturbed areas.
- B. The length of the level spreader shall be constructed as shown on the Construction Drawings.

#### 2.11 PERMANENT CONSTRUCTED WATERWAY

- A. Permanent constructed waterways shall be used to divert stormwater runoff from upland undisturbed areas around or away from areas to be disturbed during construction. A waterway expected to be in place for at least one year shall be considered permanent. Permanent waterways shall be lined with sod or permanent seeding and nets, mats, or TRMs.

#### 2.12 PIPE SLOPE DRAIN

- A. Pipe slope drains shall be used whenever it is necessary to convey water down a steep slope, which is not stabilized or which is prone to erosion, unless paved ditch (flume) is installed.
- B. Contractor shall use a 10-inch diameter pipe or larger to convey runoff from areas up to one-third acre; 12-inch or larger pipe for up to half-acre drainage areas; and 18-inch pipe for areas up to one acre, unless otherwise specified in the Drawings. Multiple pipes shall be required for large areas, spaced as shown on the Drawings.
- C. The pipe shall be heavy duty flexible tubing designed for this purpose, e.g., non-perforated, corrugated plastic pipe, or specially designed flexible tubing.
- D. A standard flared end section or a standard T-section fitting secured with a watertight fitting shall be used for the inlet.
- E. Extension collars shall be 12-inch long sections of corrugated pipe. All fittings shall be watertight.

#### 2.13 IMPACT STILLING BASIN

- A. Impact stilling basins shall be used at the outlet of culverts and storm sewers with calculated exit velocities greater than 15 feet per second when flowing full.

#### 2.14 CHECK DAM

- A. Check dams shall be limited to use in small, open channels that drain 10 acres or less.

- B. Check dams shall not be used in streams.
- C. Check dams can be constructed of stones, coir logs, or wood fiber logs.
- D. Check dams shall be constructed prior to the establishment of vegetation.
- E. The maximum height of a check dam shall be three feet above the ground on which the rock is placed.
- F. The center of the portion of the check dam above the flat portion of the channel shall be at least 1 foot lower than the outer edges. The outer edges of the check dam shall extend up the side slopes of the channel to a point 3 feet in elevation above the center portion of the check dam or to the top of the side slopes.
- G. The maximum spacing between rock check dams in a ditch should be such that the toe of the upstream dam is at the same elevation as the top of the next downstream dam.
- H. The spacing of coir and wood fiber check dams is one log every 100 feet for velocities of 5 fps, 50 feet for velocities between 5 and 7.5 fps, and 25 feet for velocities greater than 10 fps, unless otherwise shown in the Construction Documents.
- I. Stone check dams shall be constructed of KYTC Class II channel lining.
- J. Coir log or wood fiber log check dams shall be constructed of a single log with a diameter of at least 20 inches.

#### 2.15 SEDIMENT TRAP

- A. Sediment traps shall be installed below all disturbed areas of less than 5 acres that do not drain to a sediment pond.
- B. Erosion control practices such as seeding, mulching, sodding, diversion dikes, etc., shall be used in conjunction with sediment traps to reduce the amount of sediment flowing into the trap. The amount of sediment entering a trap can be reduced by the use of stabilized diversion dikes and ditches.
- C. The trap shall not be located in a stream. It shall be located to trap sediment-laden runoff before it enters the stream.
- D. Trap depth shall be at least 2 feet at the inlet and 4 feet at the outlet. Effective trap width shall be at least 10 feet and trap length shall be at least 30 feet.
- E. The Construction Drawings shall indicate the final disposition of the sediment trap after the upstream drainage area is stabilized. The Drawings shall indicate methods for the removal of excess water lying over the sediment, stabilization of the pond site, and the disposal of any excess material.

#### 2.16 SEDIMENT POND

- A. A sediment pond shall be installed at the outlet of a disturbed area of 5 acres or more. The maximum drainage area for a single pond is 100 acres.



- B. Design and construction shall comply with all federal, state, and local laws, ordinances, rules, and regulations regarding dams.
- C. Erosion control practices such as seeding, mulching, sodding, diversion dikes, etc., shall be used in conjunction with sediment ponds to reduce the amount of sediment flowing into the pond.
- D. The pond shall not be located in a stream. It shall be located to trap sediment-laden runoff before it enters the stream.
- E. Contractor shall construct the sediment pond as shown on the Drawings.
- F. Permanent ponds designed for stormwater detention or water quality treatment may serve as temporary sediment ponds if site conditions make the use of these structures desirable. At the time of conversion from a sediment pond to a permanent stormwater management pond, excess sediment shall be cleaned from the pond. If the pond is converted to a water quality basin, the sand in the sand filter outlet shall be replaced with clean sand unless it is shown to be clean.
- G. The Drawings shall indicate the final disposition of the sediment pond after the upstream drainage area is stabilized. The Construction Drawings shall indicate methods for the removal of excess water lying over the sediment, stabilization of the pond site, and the disposal of any excess material.
- H. Vegetation shall be established upon completion of construction of the embankment, emergency spillway and other areas disturbed by construction.

2.17 SILT FENCE

- A. Silt fence shall be installed down-slope of areas to be disturbed prior to clearing and grading. Silt fence shall be situated such that the total area draining to the fence is not greater than one-fourth acre per 100 feet of fence. Silt fence shall be used for storm drain drop inlet protection and around soil stockpiles.
- B. Under no circumstances shall silt fences be constructed in streams or in swales or ditch lines or any area of concentrated flow where discharge rates are likely to exceed 1 cubic foot per second (cfs).
- C. Synthetic filter fabric shall be a pervious sheet of propylene, nylon, and polyester or ethylene yarn and shall be certified by the manufacturer or supplier as conforming to the following requirements:

<u>PHYSICAL PROPERTY</u>	<u>REQUIREMENTS</u>
Filtering Efficiency	80% (minimum)
Tensile Strength at 20%	50 lbs./linear inch (minimum)
Flow Rate	0.3 gal./ sq. ft/ min. (minimum)

- D. Synthetic filter fabric shall contain ultraviolet ray inhibitors and stabilizers to provide a minimum of 6 months of expected usable construction life at a temperature range of 0°F

to 120°F.

- E. Posts for synthetic fabric silt fences shall be either 2-inch by 2-inch wood or 1.33 pounds per linear foot steel with a minimum length of 5 feet. Steel posts shall have projections for fastening wire to them.
- F. Wire fence reinforcement for silt fences shall be a minimum of 36 inches in height, a minimum of 14 gauge and shall have a mesh spacing of no greater than 6 inches.

#### 2.18 STORM DRAIN INLET PROTECTION

- A. Storm drain inlet protection may be utilized on drop inlets and curb inlets.
- B. Storm drain inlet protection shall only be used around drop inlets when the up-slope area draining to the inlet has no other or inadequate sediment control.
- C. The drainage area shall be no greater than 1 acre.
- D. The inlet protection device shall be constructed in a manner that will facilitate cleanout and disposal of trapped sediment and minimize interference with construction activities.
- E. Inlet protection devices shall be constructed in such a manner that any resultant ponding of stormwater will not cause flooding or excessive inconvenience or damage to adjacent areas, roadways, properties, or structures.
- F. Inlet protection devices are low flow filter devices, and as such shall be constructed in such a manner as to allow for higher flows to bypass into the storm drain system to prevent flooding of the roadway or downstream properties.

#### 2.19 FILTER STRIP

- A. Filter strips shall be used on each side of permanent constructed channels.
- B. Filter strips shall only be used to remove sediment from overland flow. Filter strips are not effective in removing sediment from concentrated flows.
- C. If vegetative filters are proposed as a sediment control device and they do not already exist, they shall be planted and established prior to initiating land disturbing activities.
- D. The minimum filter strip width shall be 50 feet for streams, wetlands, and sinkholes. The minimum filter strip width shall be ten feet for constructed waterways.
- E. Where a post development floodplain or wet weather conveyance is being protected, filter strips shall be provided on each side. When a wetland or sinkhole is being protected, filter strips shall be provided around the perimeter.
- F. Contractor shall construct the filter strips as shown on the Drawings.
- G. Existing grass or grass/legume mixtures used as filter strips shall be dense and well established, with no bare spots. When establishing new seeding, consideration shall be given to wildlife needs and soil conditions on the site. The following chart provides a list

of alternative grass and grass/legume mixtures:

SEEDING MIXTURE AND SITE SUITABILITY CHART

Seeding Mixture	Rate lbs/acre	Soil Suitability
Alfalfa Or Red Clover Plus Timothy Or Orchardgrass Or Bromegrass	6 10 4 6 6	Well Drained
Ladino Plus Timothy Or Orchardgrass Or Bromegrass	.05 4 6 8	Wet or Well- Drained

Notes:

1. All seeding shall be in accordance with the seeding sections of this Specification.
2. Well drained sites include sites that are drained with tile as well as naturally well drained and droughty sites. Wet sites include sites that are excessively wet only a portion of the growing season.

2.20 STREAM CROSSING

- A. Stream crossings shall be used in cases where construction traffic, permanent traffic, or utilities must cross existing post development floodplains. If the drainage area exceeds 1 square mile and a structure is necessary, the structure must be designed by a professional engineer licensed in Kentucky, and shall be considered a permanent structure.
- B. Temporary stream crossings are applicable to flowing streams with drainage areas less than one square mile. Temporary stream crossings shall be planned to be in service for the shortest practical period of time and to be removed as soon as their function is completed.
- C. All such structures, whether temporary or permanent, are subject to the rules and regulations of the U.S. Army Corps of Engineers for in-stream modifications (404 Permitting) and the Kentucky Division of Water (401 Certification). No stream crossing shall be installed without first obtaining all applicable local, state, and federal permits.

Where culverts are to be installed, compacted soil or rock shall be used to form the crossing.

The depth of soil or rock cover over the culvert shall be equal to one-half the diameter of the culvert or 12 inches, whichever is greater. The sides of the fill shall be protected from erosion using the mulching and seeding erosion control measures specified in this Specification.

- D. All stream crossings shall be constructed in such a manner as to avoid flooding or excessive inconvenience or damage to adjacent areas, roadways, properties, or structures.
- E. When using a culvert crossing, the top of a compacted earth fill shall be covered with six inches of KYTC No. 57 stone.
- F. KYTC No. 57 stone shall also be used for the stone pads forming the crossing approaches.

#### 2.21 PUMP AROUND FLOW DIVERSION

- A. A pump-around flow diversion shall be used to divert flow around construction activities occurring in a stream when those activities are reasonably expected to cause the erosion of sediment or deposition of sediment in the stream.
- B. Check dams to form the diversion shall span the banks of the stream. Maintain 1-foot freeboard (minimum) on the upstream and downstream checks.
- C. Check dams may be constructed of sandbags or may be a water-filled bladder such as an Aqua-Barrier.
- D. The dewatering flow from the work area shall be treated in a sediment-trapping device prior to discharge to the stream.
- E. Sandbags shall be woven polypropylene bags with approximate dimensions of 18-1/2 inches by 28 inches. Contractor shall tie the ends of filled bags closed using either draw strings or wire ties.

#### 2.22 CONSTRUCTION DEWATERING

- A. Sediment-laden water shall be pumped to a dewatering structure before it is discharged.

### PART 3 – EXECUTION

#### 3.01 GENERAL

- A. Erosion and sediment control practices shall be consistent with the requirements of the state and local regulatory agencies and in any case shall be adequate to prevent erosion of disturbed and/or regraded areas.
- B. Contractor is responsible for notifying the state regulatory agency concerning inclusion under the KPDES General Permit for Storm Water Discharges Associated with Construction Activities.

- C. Gravity sewer lines, force mains and water lines that cross streams shall be constructed by methods that maintain normal stream flow and allow for a dry excavation. Water pumped from the excavation shall be contained and allowed to settle prior to reentering the stream. Excavation equipment and vehicles shall operate outside of the flowing portion of the stream. Spoil material from the line excavation shall not be allowed to enter the flowing portion of the stream. The provisions of this condition shall apply to all types of utility line stream crossings.
- D. Removal of riparian vegetation in the utility line right-of-way shall be limited to that necessary for equipment access. Effective erosion and sedimentation control measures shall be employed at all times during the project to prevent degradation of waters of the Commonwealth. Site regrading and reseeding shall be accomplished with 14 days after disturbance.

### 3.02 MULCH

- A. Seed shall be applied prior to mulching except where seed is to be applied as part of a hydroseeder slurry containing mulch.
- B. Lime and fertilizer shall be incorporated and surface roughening accomplished as needed prior to mulching in accordance with applicable sections of this Specification.
- C. Mulch materials shall be spread uniformly by hand or mechanically so the soil surface is covered. During or immediately following application, the mulch shall be anchored or otherwise secured to the ground according to one of the following methods:
  - 1. Mechanical – Use a disk, crimper, or similar type tool set straight to punch or anchor the mulch material into the soil.
  - 2. Mulch Tackifiers/Nettings/Emulsions – Use according to the manufacturer's recommendations. This is a superior method in areas of water concentration to hold mulch in place.
  - 3. Wood Fiber – Wood fiber hydroseeder slurries may be used to tack straw mulch. This combination treatment is well suited to steep slopes and critical areas, and severe climate conditions.
- D. Mulch shall be anchored using a mulch anchoring tool, a liquid binder/tackifier, or mulch nettings. Nets and mats shall be installed to obtain firm, continuous contact between the material and the soil. Without such contact, the material is useless and erosion occurs.
- E. A mulch anchoring tool is a tractor-drawn implement that is typically used for anchoring straw and is designed to punch mulch approximately two inches into the soil surface. Machinery shall be operated on the contour and shall not be used on slopes steeper than 3H:1V.
- F. When using liquid mulch binders and tackifiers, application shall be heaviest around edges of areas and at crests of ridges and banks to prevent wind blow. Remainder of area shall have binders/tackifiers spread uniformly in accordance with manufacturer's recommendations.

- G. When using a mulch net, it shall be used in conjunction with an organic mulch and shall be installed immediately after the application and spreading of the mulch. Mulch net shall be installed over the mulch except when the mulch manufacturer recommends otherwise.
- H. Excelsior blankets and mats with mulch are considered protective mulches and may be used alone on erodible soils and during all times of year. Erosion control mats shall be installed in accordance with manufacturer's recommendations.
- I. Mulched areas shall be inspected at least weekly and after each rainfall of one-half inch or more. When mulch material is found to be loosened or removed, the mulch cover shall be replaced within 48 hours.

### 3.03 TEMPORARY SEED

- A. The site shall be graded as needed to permit the use of conventional equipment for seedbed preparation, seeding, mulch application, and anchoring.
- B. The needed erosion control practices, such as diversions, temporary waterways for diversion outlets, and sediment ponds, shall be installed prior to seeding.
- C. Prior to seeding, lime and fertilizer shall be worked into the soil with a disk harrow, springtooth harrow, or similar tools to a depth of two inches. On sloping areas, the final operation shall be on the contour.
- D. The seed shall be applied uniformly with a cyclone seeder, drill, cultipacker, seeder, or hydroseeder (slurry may include seed and fertilizer) preferably on a firm, moist seedbed. Seed shall be sown no deeper than one-fourth inch to one-half inch.
- E. The seedbed shall be firmed following seeding operations with a cultipacker, roller, or light drag.
- F. On sloping land, seeding operations shall be on the contour wherever possible.
- G. Mulch shall be applied, in the amounts described in the mulch section of this Specification, to protect the soil and provide a better environment for plant growth.
- H. New seed shall have adequate water for growth, through either natural means or irrigation, until plants are firmly established.
- I. Seeded areas shall be inspected at least weekly after planting and after each rainfall of one-half inch or more. Areas requiring additional seed and mulch shall be repaired within 48 hours.
- J. If vegetative cover is not established within 21 days, the area shall be reseeded.

### 3.04 PERMANENT SEED

- A. During site preparation, topsoil shall be stockpiled for use in establishing permanent vegetation.

- B. The site shall be graded as needed to permit the use of conventional equipment for seedbed preparation, seeding, mulch application, and anchoring.
- C. The needed erosion control practices, such as diversions, temporary waterways for diversion outlets, and sediment ponds, shall be installed prior to seeding.
- D. Prior to seeding, lime and fertilizer shall be worked into the soil with a disk harrow, springtooth harrow, or similar tools to a depth of four inches. On sloping areas, the final operation shall be on the contour.
- E. Where compacted soils occur, they shall be broken up sufficiently to create a favorable rooting depth of six to eight inches.
- F. The seed shall be applied uniformly with a cyclone seeder, drill, cultipacker, seeder, or hydroseeder (slurry may include seed and fertilizer) preferably on a firm, moist seedbed. Seed shall be sown no deeper than one-fourth inch to one-half inch.
- G. The seedbed shall be firmed following seeding operations with a cultipacker, roller, or light drag.
- H. On sloping land, seeding operations shall be on the contour wherever possible.
- I. Mulch shall be applied, in the amounts described in the mulch section of this Specification, to protect the soil and provide a better environment for plant growth.
- J. New seed shall have adequate water for growth, through either natural means or irrigation, until plants are firmly established.
- K. Seeded areas shall be inspected at least weekly after planting and after each rainfall of 0.5 inches or more. Areas requiring additional seed and mulch shall be repaired within 48 hours.
- L. If vegetative cover is not established (>70%) within 21 days, the area shall be reseeded. If 40 to 70 percent groundcover is established, seed and fertilize, using half of rates originally applied, and mulch. If less than 40 percent groundcover is established, follow original seedbed preparation methods, seeding and mulching specifications, and apply lime and fertilizer as needed according to soil tests.

### 3.05 SOD

- A. The area to be sodded shall be protected from excess runoff, as necessary, with appropriate BMPs.
- B. Prior to sodding, the soil surface shall be cleared of all trash, debris, and stones larger than one and one-half inches in diameter, and of all roots, brush, wire, and other objects that would interfere with the placing of the sod.
- C. Compacted soils shall be broken up sufficiently to create a favorable rooting depth of six to eight inches.

- D. Lime and fertilizer shall be worked into the soil with a disk harrow, springtooth harrow, or other suitable field equipment to a depth of four inches.
- E. After the lime and fertilizer have been applied and just prior to the laying of the sod, the soil in the area to be sodded shall be loosened to a depth of one inch. The soil shall be thoroughly dampened immediately after the sod is laid if it is not already in a moist condition.
- F. No sod shall be placed when the temperature is below 32°F. No frozen sod shall be placed nor shall any sod be placed on frozen soil.
- G. When sod is placed during the periods of June 15 to September 1 or October 15 to March 1, it shall be covered immediately with a uniform layer of straw mulch approximately one-half inch thick or so the green sod is barely visible through the mulch.
- H. Sod shall be carefully placed and pressed together so it will be continuous without any voids between the pieces. Joints between the ends of strips shall be staggered.
- I. On gutter and channel sodding, the sod should be carefully placed on rows or strips at right angles to the centerline of the channel (*i.e.*, at right angles to the direction of flow). The edge of the sod at the outer edges of all gutters shall be sufficiently deep so that surface water will flow over onto the top of the sod.
- J. On steep graded channels, each strip of sod shall be staked with at least two stakes not more than 18 inches apart.
- K. On slopes 3H:1V or steeper, or where drainage into a sod gutter or channel is one-half acre or larger, the sod shall be rolled or tamped and then chicken wire, jute, or other netting shall be pegged over the sod for protection in the critical areas. The netting and sod shall be staked with at least two stakes not more than 18 inches apart. The netting shall be stapled on the side of each stake within two inches of the top of the stake. The stake should then be driven flush with the top of the sod.
- L. When stakes are required, the stakes shall be wood and shall be approximately ½ inch by ¾ inch by 12 inches. They shall be driven flush with the top of the sod with the flat side against the slope and on an angle toward the slope.
- M. Sod shall be tamped or rolled after placing and then watered. Watering shall consist of a thorough soaking of the sod and of the sod bed to a depth of at least 4 inches. The sod should be maintained in a moist condition by watering for a period of 30 days.
- N. In the absence of adequate rainfall, watering shall be performed daily or as often as necessary during the first week to maintain moist soil to a depth of 4 inches. Watering shall be done during the heat of the day to prevent wilting. After the first week, sod shall be watered as necessary to maintain adequate moisture content.
- O. The first mowing of sod shall not be attempted until the sod is firmly rooted. No more than one-third of the grass leaf shall be removed by the initial and subsequent cuttings. Grass height shall be maintained between 2 inches and 3 inches.



- P. Where sod does not establish properly, the sod should be replaced immediately. Areas requiring resodding should be prepared in the same manner as the original installation.

### 3.06 ROAD/PARKING STABILIZATION

- A. The roadbed or parking surface shall be cleared of all vegetation, roots, and other objectionable material.
- B. All roadside ditches, cuts, fills, and disturbed areas adjacent to parking areas and roads shall be stabilized with appropriate temporary or permanent vegetation according to the applicable sections of this Specification.
- C. Geotextile filter fabric may be applied beneath the stone for additional stability in accordance with fabric manufacturer's specifications.
- D. Both temporary and permanent roads and parking areas may require periodic top dressing with new gravel. Seeded areas adjacent to the roads and parking areas shall be checked regularly to ensure that a vigorous stand of vegetation is maintained. Roadside ditches and other drainage structures shall be checked once each week to ensure that they do not have silt or other debris that reduces their effectiveness.

### 3.07 CONSTRUCTION ENTRANCE

- A. Vegetation, roots, and all other obstructions shall be cleared in preparation for grading. Prior to placing geotextile (filter fabric), the entrance shall be graded and compacted to 80% of standard proctor density.
- B. To reduce maintenance and loss of aggregate, the geotextile shall be placed over the existing ground before placing the stone for the entrance. Stone shall be placed to depth of 6 inches or greater for the entire width and length of the stabilized construction entrance.
- C. If wash racks are used, they shall be installed according to manufacturer's specifications.
- D. The stabilized construction entrance shall be inspected once each week and after there has been a high volume of traffic or a storm event greater than 0.2 inches.
- E. The entrance shall be maintained in a condition that will prevent tracking or flow of sediments onto public rights-of-way. This may require periodic top dressing with additional stone, as conditions demand, and repair and/or cleanout of any structures used to trap sediment.
- F. All materials spilled, dropped, washed, or tracked from vehicles onto roadways or into storm drains must be removed immediately.

### 3.08 DUST CONTROL

- A. See Articles on Temporary Seed, Permanent Seed, Sod, Mulch, Road/Parking Stabilization, and Construction Entrance of this Specification Section.

- B. When construction is active on the site, dust control shall be implemented as needed.
- C. When using tillage as a dust control measure, Contractor shall begin plowing on windward side of area. Chisel-type plows spaced about 12 inches apart, spring-toothed harrow, and similar plows are examples of equipment that may produce the desired effect.
- D. The site shall be observed daily for evidence of windblown dust and reasonable steps shall be taken to reduce dust whenever possible. When construction on a site is inactive for a period, the site shall be inspected at least weekly for evidence of dust emissions or previously windblown sediments. Dust control measures shall be implemented or upgraded if the site inspection shows evidence of wind erosion.

### 3.09 NETS AND MATS

- A. Nets and mats shall be installed according to the manufacturer's recommendations. In the event that the manufacturer's recommendations conflict with any requirement of this Specification, the most conservative requirement, in terms of protection of public health and the environment, shall govern.

### 3.10 TEMPORARY DIVERSION DITCH

- A. All dead furrows, ditches or other depressions to be crossed shall be filled before construction begins or as part of construction, and the earth fill used to fill the depressions shall be compacted using the treads of the construction equipment. All old terraces, fencerows, or other obstructions that will interfere with the successful operation of the diversion shall be removed.
- B. The base for the diversion ridge shall be prepared so that a good bond is obtained between the original ground and the fill material. Vegetation shall be removed and the base shall be thoroughly disked prior to placement of fill.
- C. The earth materials used to construct the earth fill portions of the diversions shall be obtained from the diversion channel or other approved source.
- D. The earth fill materials used to construct diversions shall be compacted by running the construction equipment over the fill in such a manner that the entire surface of the fill will be traversed by not less than one tread track of the equipment.
- E. When an excess of earth material results from cutting the channel cross section and grade, it shall be deposited adjacent to the supporting ridge unless otherwise directed.
- F. The completed diversion shall conform to the cross section and grade shown on the Construction Drawings.
- G. Temporary or permanent seeding and mulch shall be applied to the berm or ditch immediately following its construction. Contractor shall triple-seed areas below the flow line, and shall use erosion control blankets or turf reinforcement mats as needed.

- H. Bare and vegetated diversion channels shall be inspected regularly to check for points of scour or bank failure; rubbish or channel obstruction; rodent holes, breaching, or settling of the ridge; and excessive wear from pedestrian or construction traffic.
- I. Damaged channels or ridges shall be repaired at the time damage is detected. Sediment deposits shall be removed from diversion channels and adjoining vegetative filter strips regularly.
- J. Diversions shall be reseeded and fertilized as needed to establish vegetative cover.

### 3.11 LEVEL SPREADER

- A. The minimum acceptable width shall be 6 feet. The depth of the level spreader as measured from the lip shall be at least 6 inches and the depth shall be uniform across the entire length of the measure.
- B. The grade of the channel for the last 15 feet entering the level spreader shall be less than or equal to 1%.
- C. The level lip of the spreader shall be constructed on zero percent grade to insure uniform conversion of channel flow to sheet flow.
- D. Level spreaders shall be constructed on undisturbed soil.
- E. The entrance to the spreader shall be graded in a manner to insure that runoff enters directly onto the zero percent graded channel.
- F. Storm runoff converted to sheet flow shall discharge onto undisturbed areas stabilized with vegetation.
- G. All disturbed areas shall be stabilized immediately after construction is completed in accordance with the mulching and vegetation requirements of this Specification.
- H. The level spreader shall be inspected after each storm event and at least once each week. Any observed damage shall be repaired immediately.

### 3.12 PERMANENT CONSTRUCTED WATERWAY

- A. All ditches or other depressions to be crossed shall be filled before construction begins or as part of construction, and the earth fill used to fill the depressions shall be compacted using the treads of the construction equipment. All old terraces, fence rows, or other obstructions that will interfere with the successful operation of the channel shall be removed.
- B. The earth materials used to construct the earth fill portions of the channel shall be obtained from the excavated portion of the channel or other approved source.
- C. The earth fill materials used to construct the channel shall be compacted by running the construction equipment over the fill in such a manner that the entire surface of the fill will be traversed by at least one tread track of the equipment.

- D. The completed channel shall conform to the cross section and grade shown on the Construction Drawings.
- E. Channels shall be inspected regularly to check for points of scour or bank failure; rubbish or channel obstruction; rodent holes; breaching; and excessive wear from pedestrian or construction traffic.
- F. Channels shall be repaired at the time damage is detected. Sediment deposits shall be removed from adjoining vegetative filter strips when they are visible.
- G. Channels shall be reseeded and fertilized as needed to establish vegetative cover.
- H. The subgrade of paved channels shall be constructed to the required elevations. All soft sections and unsuitable material shall be removed and replaced with suitable material. The subgrade shall be thoroughly compacted and shaped to a smooth, uniform surface. The subgrade shall be moist when pouring concrete.
- I. Before permanent stabilization of the slope, the structure shall be inspected after each rainfall. Any damages to the paved channel or slope shall be repaired immediately.

### 3.13 PIPE SLOPE DRAIN

- A. The pipe slope drain shall be placed on undisturbed or well-compacted soil.
- B. Soil around and under the entrance section shall be hand-tamped in 4-inch to 8-inch lifts to the top of the dike to prevent piping failure around the inlet.
- C. Filter fabric shall be placed under the inlet and extended 5 feet in front of the inlet and be keyed in 6 inches on all sides to prevent erosion.
- D. Backfilling around and under the pipe with stable soil material hand compacted in lifts of 4 inches to 8 inches shall be done to ensure firm contact between the pipe and the soil at all points.
- E. The pipe slope drain shall be securely staked to the slope using grommets provided for this purpose at intervals of 10 feet or less.
- F. All slope drain sections shall be securely fastened together and have watertight fittings.
- G. The pipe shall be extended beyond the toe of the slope and discharged at a non-erosive velocity into a stabilized area or to a sediment trap or pond.
- H. The pipe slope drain shall have a minimum slope of 3 percent or steeper.
- I. The height at the centerline of the earth dike shall range from a minimum of 1.0 foot over the pipe to twice the diameter of the pipe measured from the invert of the pipe. It shall also be at least 6 inches higher than the adjoining ridge on either side. At no point along the dike will the elevation of the top of the dike be less than 6 inches higher than the top of the pipe.
- J. All areas disturbed by installation or removal of the pipe slope drain shall be immediately

stabilized.

- K. The pipe slope drain shall be inspected after every rainfall and at least weekly. Any necessary repairs shall be made immediately.
- L. Contractor shall check to see that water is not bypassing the inlet and undercutting the inlet or pipe. If necessary, Contractor shall install headwall or sandbags.
- M. Contractor shall check for erosion at the outlet point and shall check the pipe for breaks or clogs. Contractor shall install additional outlet protection if needed and immediately repair the breaks and clean any clogs.
- N. Contractor shall not allow construction traffic to cross the pipe slope drain and shall not place any material on it.
- O. If a sediment trap has been provided, it shall be cleaned out when the sediment level reaches 1/3 the design volume.
- P. The pipe slope drain shall remain in place until the slope has been completely stabilized or up to 30 days after permanent slope stabilization.

### 3.14 IMPACT STILLING BASIN

- A. Construction specifications for impact stilling basins are provided in the Construction Drawings.

### 3.15 CHECK DAM

- A. Stone shall be placed by hand or mechanically as necessary to achieve complete coverage of the ditch and to ensure that the center of the dam is at least 1 foot lower than the outer edges. Stone shall also be placed to extend 3 feet in elevation above the center portion of the check dam or to the top of the channel side slopes.
- B. Coir and wood fiber logs shall be laid on the channel bottom.
- C. Check dams shall be removed when their useful life has been completed. In temporary ditches and swales, check dams shall be removed and the ditch filled in when it is no longer needed. In permanent channels, check dams shall be removed when a permanent lining can be installed. In the case of grass-lined ditches, check dams shall be removed when the grass has matured sufficiently to protect the ditch or swale. The area beneath the check dams shall be seeded and mulched or sodded (depending upon velocity) immediately after check dams are removed.
- D. If stone check dams are used in grass-lined channels that will be mowed, care shall be taken to remove all stone from the channel when the dam is removed. This shall include any stone that has washed downstream.
- E. Regular inspections shall be made to ensure that the check dam is in good working order and the center of the dam is lower than the edges. Erosion caused by high flows around the edges of the dam shall be corrected immediately, and the dam shall be extended beyond the repaired area.

- F. Check dams shall be checked for sediment accumulation after each rainfall. Sediment shall be removed before or when it reaches one-third of the original height.
- G. Check dams shall remain in place and operational until the drainage area and channel are completely stabilized, or up to 30 days after the permanent site stabilization is achieved.

### 3.16 SEDIMENT TRAP

- A. The area to be excavated shall be cleared of all trees, stumps, roots, brush boulders, sod, and debris. All channel banks and sharp breaks shall be sloped to no steeper than 1:1. All topsoil containing excessive amounts of organic matter shall be removed.
- B. Seeding, fertilizing, and mulching of the material taken from the excavation shall comply with the applicable soil stabilization sections of this Specification.
- C. Construction specifications for sediment traps are provided in the Construction Drawings.
- D. Any material excavated from the trap shall be placed in one of the following ways so that it will not be washed back into the trap by rainfall:
  - 1. uniformly spread to a depth not exceeding 3 feet and graded to a continuous slope away from the trap
  - 2. uniformly placed or shaped reasonably well with side slopes assuming the natural angle of repose for the excavated material behind a berm width not less than 12 feet.
- E. Sediment shall be removed from the trap when the capacity is reduced to one third of the design volume. Contractor shall follow the methods for disposing of sediment removed from the trap as shown in the Construction Drawings.

### 3.17 SEDIMENT POND

- A. The foundation area shall be cleared of all trees, stumps, roots, brush boulders, sod, and debris. All channel banks and sharp breaks shall be sloped to no steeper than 1:1. All topsoil containing excessive amounts of organic matter shall be removed. The surface of the foundation area shall be thoroughly scarified before placement of the embankment material.
- B. A cutoff trench shall be backfilled with suitable material. The trench shall be kept free of standing water during backfill operations.
- C. The pipe conduit barrel shall be placed on a firm foundation. Selected backfill material shall be placed around the conduit in layers, and each layer shall be compacted to at least the same density as the adjacent embankment. All compaction within 2 feet of the pipe spillway shall be accomplished with hand-operated tamping equipment.
- D. All borrow areas outside the pond and in the drainage area shall be graded and left in

such a manner that water will not be ponded.

- E. The material placed in the fill shall be free of all sod, roots, frozen soil, stones more than 6 inches in diameter, and other objectionable material. The placing and spreading of the fill material shall occur in approximately 6-inch horizontal layers or of such thickness that the required compaction can be obtained with the equipment used. Each layer shall be compacted in a way that will result in achieving 95 percent of the maximum standard dry density.
- F. The distribution and gradation of materials throughout the fill shall be such that there will be no lenses, pockets, stakes, or layers of material differing substantially in texture or gradation from the surrounding material. Where it is necessary to use materials of varying texture and gradation, the more impervious material shall be placed in the upstream and center portions of the fill.
- G. The moisture content of fill material shall be such that the required degree of compaction can be obtained with the equipment used.
- H. Fill shall not be placed on frozen, slick, or saturated soil.
- I. The topsoil material saved in the site preparation shall be placed as a top dressing on the surface of the emergency spillways, embankments, and borrow areas. It shall be evenly spread.
- J. A protective cover of herbaceous vegetation shall be established on all exposed surfaces of the embankment, spillway, and borrow areas to the extent practical under prevailing soil and climatic conditions.
- K. Seedbed preparation, seeding, fertilizing, and mulching shall comply with the applicable sections of this Specification.
- L. Any material excavated from the pond shall be placed in one of the following ways so that its weight will not endanger the stability of the side slopes and where it will not be washed back into the pond by rainfall:
  - 1. uniformly spread to a depth not exceeding 3 feet and graded to a continuous slope away from the pond.
  - 2. uniformly placed or shaped reasonably well with side slopes assuming the natural angle of repose for the excavated material behind a berm width not less than 12 feet.
- M. Sediment shall be removed from the pond when the capacity is reduced to one third of the design volume. Contractor shall follow the methods for disposing of sediment removed from the pond as shown in the Construction Drawings.

### 3.18 SILT FENCE

- A. This Article provides construction specifications for silt fences using synthetic fabric. See the Construction Drawings for additional detail.

- B. Posts shall be spaced a maximum of 10 feet apart at the barrier location and driven securely into the ground (minimum of 12 inches). When necessary because of rapid runoff, post spacing shall not exceed 6 feet.
- C. A trench shall be excavated at least 6 inches wide and 6 inches deep along the line of posts and upslope from the barrier.
- D. A wire mesh support fence shall be fastened securely to the upslope side of the posts using heavy-duty wire staples at least 1 inch long, tie wires or hog rings. The wire shall extend into the trench a minimum of 2 inches and shall not extend more than 36 inches above the original ground surface.
- E. The filter fabric shall be stapled or wired to the fence, and 12 inches of the fabric shall be extended into the trench. The fabric shall not extend more than 30 inches above the original ground surface. Filter fabric shall not be stapled to existing trees.
- F. At joints, filter fabric shall be lapped with terminating posts with a minimum overlap of 3 feet.
- G. The trench shall be backfilled and soil compacted over the filter fabric.
- H. Silt fences shall be removed when they have served their useful purpose, but not before the upslope area has been permanently stabilized.
- I. Silt fences and filter barriers shall be inspected immediately after each rainfall and at least daily during prolonged rainfall. Any required repairs shall be made immediately. Knocked down fences shall be repaired at the end of each day.
- J. Should the fabric on a silt fence or filter barrier decompose or become ineffective prior to the end of the expected usable life and if the barrier is still necessary, the fabric shall be replaced promptly.
- K. Sediment deposits shall be removed after each storm event or when deposits reach approximately one-third the height of the barrier.
- L. Any sediment deposits remaining in place after the silt fence or filter barrier is no longer required shall be dressed to conform to the existing grade, prepared, and seeded.
- M. Silt fences shall be replaced every 6 months.

### 3.19 STORM DRAIN INLET PROTECTION

- A. For silt fence drop inlet protection, the following specifications apply:
  - 1. For stakes, Contractor shall use 2 x 4-inch wood (preferred) or equivalent metal with a minimum length of 3 feet.
  - 2. Stakes shall be evenly spaced around the perimeter of the inlet a maximum of 3 feet apart and securely driven into the ground, approximately 18 inches deep.
  - 3. To provide needed stability to the installation, Contractor shall frame with 2 x 4-



inch wood strips around the crest of the overflow area at a maximum of 1.5 feet above the drop inlet crest and shall brace diagonally.

4. Contractor shall place the bottom 12 inches of the fabric in a trench and backfill the trench with at least 4 inches of crushed stone or 12 inches of compacted soil.
  5. Contractor shall fasten fabric securely to the stakes and frame. Joints shall be overlapped to the next stake.
- B. For sod drop inlet protection, sod shall be placed to form a turf mat covering the soil for a distance of 4 feet from each side of the inlet structure. Soil preparation and sod placement shall be in accordance with the section entitled Sod.
- C. For gravel curb inlet protection, the following specifications apply:
1. Wire mesh with ½-inch openings shall be placed over the curb inlet opening so that at least 12 inches of wire extends across the concrete gutter from the inlet opening.
  2. KYTC No. 2 Coarse Aggregate shall be piled against the wire so as to anchor it against the gutter and inlet cover and to cover the inlet opening completely.
  3. This type of device must never be used where overflow may endanger an exposed fill slope. Consideration shall also be given to the possible effects of ponding on traffic movement, nearby structures, working areas, and adjacent property.
- D. For block and gravel curb inlet protection, the following specifications apply:
1. Two concrete blocks shall be placed on their sides abutting the curb at either side of the inlet opening to act as spacer blocks.
  2. A 2-inch by 4-inch stud shall be cut and placed through the outer holes of each spacer block to help keep the front blocks in place.
  3. Concrete blocks shall be placed on their sides across the front of the inlet and abutting the spacer blocks.
  4. Wire mesh shall be placed over the outside of the concrete blocks to prevent stone from being washed through the holes in the blocks. Wire with ½-inch openings shall be used.
  5. KYTC No. 2 Coarse Aggregate shall be piled against the wire to the top of the barrier.
- E. For stone-filled corrugated pipe curb inlet protection, the following specifications apply:
1. Two concrete "L" blocks shall be placed on their sides, with one leg fitting into the mouth of the curb opening.
  2. A 6-inch corrugated pipe shall be filled with stone and covered with a filter sock.

3. The stone-filled pipe will be placed in front of the two concrete "L" blocks, and extend a minimum of the width of the curb inlet opening on either side. The total length of the stone filled pipe shall be three times the width of the curb inlet opening.
- F. The structure shall be inspected after each rain, and repairs made as needed.
  - G. Sediment shall be removed and the device restored to its original dimensions when the sediment has accumulated to one-third the design depth of the filter. Removed sediment shall be deposited in a suitable area and in such a manner that it will not erode.
  - H. If a stone filter becomes clogged with sediment so that it no longer adequately performs its function, the stone must be pulled away from the blocks, cleaned, and replaced.
  - I. Structures shall be removed after the drainage area has been properly stabilized.

### 3.20 FILTER STRIP

- A. When planting filter strips, Contractor shall prepare seedbed, incorporate fertilizer, and apply mulch consistent with the seeding sections of this Specification. Filter strips using areas of existing vegetation shall be over seeded, as necessary, with the specified mixtures to obtain an equivalent density of vegetation. The over seeding shall be accomplished prior to any land disturbing activities.
- B. Filter strips shall be inspected regularly to ensure that a healthy vegetative growth is maintained. Any bare spots or spots where sediment deposition could lead to the destruction of vegetation shall be repaired.
- C. Filter strips shall be fertilized once each year in the fall.
- D. Irrigation shall be used as necessary to maintain the growth of the vegetation in the filter strip.
- E. Sediment shall be removed when it becomes visible in the filter.
- F. Construction traffic shall not be driven on or over filter strips.

### 3.21 STREAM CROSSING

- A. Clearing and excavation of the streambed and banks shall be kept to a minimum.
- B. The structure shall be removed as soon as it is no longer necessary for project construction.
- C. Upon removal of the structure, the stream shall immediately be reshaped to its original cross section and properly stabilized.
- D. The approaches to the structure shall consist of stone pads with a minimum thickness of 6 inches, a minimum width equal to the width of the structure, and a minimum approach length of 25 feet on each side.

- E. The structure shall be inspected after every rainfall and at least once a week and all damages repaired immediately.

### 3.22 PUMP-AROUND FLOW DIVERSION

- A. Operations shall be scheduled such that diversion installation, in-stream excavation, in-stream construction, stream restoration, and diversion removal are completed as quickly as possible. Contractor shall not construct in a stream when rainfall is expected during the time excavation will be occurring in the stream.
- B. Check dams shall be installed across the stream during low flow conditions.
- C. Stream flow shall be pumped around the check dams. Outlet protection shall be installed as required at the discharge point.
- D. Contractor shall dewater the work area and pump into a sediment trapping device.
- E. Contractor shall complete construction activities across the stream.
- F. Contractor shall restore the streambed and banks.
- G. Contractor shall remove sandbags and shut down pumping operation. (Salvage sandbags for future use if multiple stream crossings are required on the project.) Contractor shall remove all sandbags from the stream, including damaged and empty bags.
- H. Pumps shall be manned around-the-clock when the pump-around diversion is in the stream.
- I. This control provides short-term diversion of stream flow (typically 1 day to 3 days). Additional sandbags or pumps may be required to maintain 1-foot freeboard on the sandbag checks if flow conditions change.
- J. Contractor shall add sandbags as required to seal leaks in checks.

### 3.23 CONSTRUCTION DEWATERING

- A. Contractor shall follow the specifications for sediment traps and basins. The manufacturer's recommendations shall be followed for commercial products.
- B. The dewatering structure shall be inspected frequently to ensure it is functioning properly and not overtopping. Accumulated sediment shall be spread out on site and stabilized or disposed of offsite.

### 3.24 KPDES GENERAL PERMIT FOR STORM WATER DISCHARGES FROM CONSTRUCTION ACTIVITIES

- A. The Contractor is responsible for electronically filing the appropriate state Notice of Intent (NOI-SWCA) letter at least seven (7) days prior to start of construction activity. The Notice of Intent (NOI) is a Kentucky Pollution Discharge Elimination System (KPDES) permit application as provided by the Kentucky Revised Statutes, Chapter 224. This application is required to be submitted for construction projects that disturb one or more acres of land.
- B. The NOI requires the inclusion of the descriptions of (but is not limited to) the following items:
1. Names and designated uses of any receiving waters
  2. Anticipated number and locations of discharge points
  3. Identification of planned construction in or along a water body
- C. A topographic map showing project boundaries, areas to be disturbed, locations of anticipated discharge points and receiving waters is also required to be submitted with the NOI.
- D. If the construction site is near a designated "High Quality/Impaired Waters" or a "Cold Water Aquatic Habitat Waters, Exceptional Waters, Outstanding National/State Resource Waters," additional items and/or individual permits will be required.
- E. The NOI form requires an SIC code. The link to the SIC codes is <http://www.osha.gov/pls/imis/sicsearch.html>. The following are the typical construction SIC codes utilized:
- 1542 – Building Construction, nonresidential, except industrial and warehouses
  - 1623 – Water Main Construction, Sewer Construction
  - 1629 – Water and Wastewater Treatment Plant Construction
  - 1711 – Water Pump Installation
  - 1781 – Drilling Water Wells
- F. The Contractor is responsible for implementing the approved Stormwater Pollution Prevention Plan (SWPPP) prior to commencement of site disturbance. The SWPPP shall include erosion prevention measures and sediment and pollutant control measures which are installed and maintained to minimize discharges of sediments and other pollutants from a 2-year, 24-hour storm event. The SWPPP must be kept at the site and available for review by LFUCG and state officials.
- G. The Contractor is responsible for the description of procedures to maintain erosion and sediment control measures during the period of construction.
- H. The Contractor is responsible for identifying each Contractor and Subcontractor who will install each SWPPP erosion and sediment control measure.
- I. Each Contractor and Subcontractor shall sign a statement certifying the awareness of the requirements of the SWPPP related documents. Certification is attached at the end of this section.

- J. The Contractor shall not start land disturbing activities until written permit coverage is obtained from the Kentucky Division of Water.
- K. The inspection by qualified personnel, provided by the Contractor, of the site as follows:
  - 1. at least once every seven (7) calendar days, and
  - 2. within 24 hours after any storm event of 0.5 inch or greater
- L. The Contractor is responsible for completing and maintaining the required Self-Inspection Forms. A sample is included in this specification Section.
- M. Amendments to the approved SWPPP shall be made and implemented as necessary through the course of the construction project if inspections or investigations by the Contractor's inspector, site staff, or by local, state, or federal officials determine that the existing sediment control measures, erosion control measures, or other site management practices are ineffective in eliminating or significantly minimizing pollutants in stormwater discharges from the construction site. All plan amendments shall be noted on the copy of the SWPPP maintained at the project site.
- N. Upon completion of the project and establishment of all permanent erosion and sediment control structures and devices, the Contractor shall submit the Notice of Termination (NOT) form to the Kentucky Division of Water, the LFUCG Division of Water Quality, and the LFUCG Division of Engineering.
- O. All subcontractors shall be required to comply with the requirements of the state permit and the Stormwater Pollution Prevention Plan (SWPPP).
- P. Where to submit:
  - 1. Complete KPDES FORM NOI-SW at the following website:  
<https://dep.gateway.ky.gov/eForms/default.aspx?FormID=7>
  - 2. Do not initiate work until receiving approval from the Kentucky Division of Water.
  - 3. A complete copy of the NOI submittal shall also be provided to the following for approval/coverage verification:

Division of Water Quality  
125 Lisle Industrial Avenue, Suite 180  
Lexington, KY 40511

Division of Engineering  
Lexington-Fayette Urban County Government  
101 E. Vine St.  
4<sup>th</sup> Floor  
Lexington, KY 40507

3.25 LFUCG Land Disturbance Permit

- A. The Contractor shall obtain a Land Disturbance Permit (LDP) from the LFUCG Division of Engineering, after the LFUCG Division of Water Quality inspects the installation of the

best management practices as required by the Stormwater Pollution Prevention Plan (SWPPP). The site grading plan shall show the original and finish grade contours. The grading plan shall be in conformance with the SWPPP.

B. LDP is only required for areas of disturbances 5,000 sqft and above.

C. Where to obtain:

Division of Engineering  
Lexington-Fayette Urban County Government  
101 E. Vine St.  
4<sup>th</sup> Floor  
Lexington, KY 40507  
(859) 258-3410  
Attn: Land Disturbance Permit Section

D. All excess earthen/rock materials hauled off the site to a location in Fayette County shall be hauled to a site permitted by the Kentucky Division of Water and the LFUCG. The haul site must be permitted in accordance with these Specifications.

## LFUCG LAND DISTURBANCE PERMIT APPLICATION AND ESC PLAN CHECKLIST

**OWNER / DEVELOPER Name:** \_\_\_\_\_ **Date:** \_\_\_\_\_ **Zone:** \_\_\_\_\_  
**Address:** \_\_\_\_\_ **City:** \_\_\_\_\_ **State:** \_\_\_\_\_ **Zip:** \_\_\_\_\_  
**Contractor Name and Address:** \_\_\_\_\_ **Reg #:** \_\_\_\_\_  
**Contact Name, Phone/ FAX/Email:** \_\_\_\_\_

ITEM DESCRIPTION	Y	N	N/A	PAGE #	NOTES
<b>I. Permits:</b>					
KY Construction Permit (KYR10 or Indvid)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
USCOE 404 Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
KYDOW 401 Water Quality Cert.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
KY Stream Construction Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
FEMA LOMR or CLOMR	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<b>II. BMPS:</b>					
<b>Site Preparation:</b>					
Phasing plan for large projects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Maximum disturbed area = 25 acres
Limits of disturbance clearly marked	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		25 foot undisturbed buffer strip along streams
Construction Entrance/ Exit Pad	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		No. 2 stone w/ filter fabric, min. 50 ft long (100' where practical)
Temporary Diversion (Berm or Ditch)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Offsite (clean) water routed around disturbed area
Stream Crossings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Not allowed without US Army Corps 404 permit
Concrete Washout Area	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		One washout pit for every 40 lots
<b>Soil Stabilization:</b>					
Seeding/sodding schedule/timing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Applied within 14 days of reaching final grade or suspending work
<b>Slope Protection:</b>					
Silt Fence downslope of bare areas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Silt Fence installed along contour	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Erosion Control Blankets on slopes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Conforms with Fig. 11-1 in LFUCG Stormwater Manual
<b>Drainage System Control:</b>					
Inlets Protected	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Pipe Outfall Erosion Prevention	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Channel Lining	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Sodding or seed w/ blankets/mats immediately after construction
Check Dams	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Max drainage area = 10 acres
<b>Sediment Basins and Traps:</b>					
Sediment Traps (drainage area < 5 ac)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Minimum volume = 2yr-24hr runoff volume
Sediment Basins (drainage area > 5 ac)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Minimum volume = 2yr-24hr runoff volume
<b>Good Housekeeping:</b>					
Material storage addressed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Spill Prevention and Control addressed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Dust control addressed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Dewatering operations are filtered	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<b>Narrative:</b>					
Schedule/sequence for BMP installation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
BMP Inspection Requirement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Every 7 days, or every 14 days and after 0.5" of rainfall
BMP Maintenance Requirement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Roadway Cleaning	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

**LFUCG USE ONLY:** Review Date: \_\_\_\_\_ Status: In Compliance: Y N Additional Info Needed: Y N

Reviewed By: \_\_\_\_\_ Department: \_\_\_\_\_

Comments / Items Missing or Incomplete:

Form Effective Date - January 13, 2011

50029-007:6/11/2018

02372-33

LFUCG  
HORSE PARK PUMP STATION GENERATOR

## Kentucky Best Management Practices Plan • Construction Site Inspection Report

<b>Company:</b>	<b>Site:</b>	<b>County:</b>
<b>Site Operator:</b>		<b>Date:</b>
<b>Receiving Water:</b>	<b>Total Site Area (acres):</b>	<b># Disturbed Acres:</b>
<b>Inspector Name:</b>	<b>Inspector Qualifications:</b>	
<b>Inspection Type:</b> Weekly or ½ Inch Rain	<b>Days Since Last Rainfall</b> _____	<b># Inches of Last Rainfall:</b> _____

### Field Inspection Observations

BMP Category	Compliance			Field Indicators for Compliance
	Yes	No	N/A	
Project Operations				Notice of Intent (KPDES permit) and other local/state permits on file BMP Plan on site and available for review Project timing/schedule and activities following BMP Plan Weekly inspection and rain-event reports on BMPs available for review Diversions, silt checks/traps/basins, and silt fences/barriers installed prior to clearing Grading and clearing conducted in phases to minimize exposed soil areas No vegetation removal or operations in stream or sinkhole buffer area (25-50 ft min) Rock pad in place on all construction site exits leading to paved roads No sediment, mud, or rock on paved public roads in project area Dust control if needed when working in residential areas during dry conditions
Drainage Management				Upland runoff diverted around bare soil areas with vegetated/lined ditches/berms Drainage channels exiting the site are lined with grass/blanket/rock and stabilized Discharges from dewatering operations cleaned in silt fence enclosure or other filter No muddy runoff leaving site after rains up to 1½ inches
Erosion Protection				Exposed soil seeded/mulched after 2 weeks if no work is planned for the next 7 days Soils on steep slopes seeded/mulched/blanketed as needed to prevent rutting
Sediment Barriers				Silt fence, rock filter, or other sediment barrier below all bare soil areas on slopes Barrier installed across slope on the contour, trenched in, posts on downhill side Multiple sediment barriers at least 125 ft apart on unseeded slopes steeper than 4:1 J-hook interceptors along silt fence where heavy muddy flows run along fencing No visible undercutting or bypassing or blowout of sediment barrier Accumulated sediment is less than halfway to the top of sediment barrier
Slope Protection				Slopes tracked, disked, or conditioned after final grade is established Slopes seeded, mulched, or blanketed within 21 days, no unmanaged rills or gulying Heavy downslope flows controlled by lined downdrain channels or slope drain pipes No muddy runoff from slopes into streams, rivers, lakes, or wetlands
Inlet Protection				Inlet dam/device or filtration unit placed at all inlets receiving muddy flows No visible undercutting, bypassing, or blowout of inlet protection dam or device Accumulated sediment is less than halfway to the top of the inlet protection dam/device
Outlet Protection				High flow discharges have rock or other flow dissipaters of adequate sizing at outlet Culvert outlets show no visible signs of erosion/scour, bank failure, or collapse
Ditch and Channel Stabilization				No unmanaged channel bank erosion or bottom scouring visible within or below site Ditches with slopes more than 3% have check dams spaced as needed, if not grassed Ditch check dams tied in to banks, with center 4" lower than sides, and no bypassing Ditches with slopes of up to 5% are thickly seeded with grass (minimum requirement) Ditches 5% to 15% are lined with thick grass and erosion control blankets as needed Ditches 15% to 33% are lined with thick grass and matting or other approved product Ditches exceeding 33% are paved or lined with rock or other approved product



Sediment Traps and Basins				<p>Storage volume is at least 134 cubic yards for each acre of bare soil area drained</p> <p>Trap or basin is seeded/mulched and stabilized; no collapsing sidewalls or banks</p> <p>Outlet structure is stable and consists of rock-lined notched overflow or outlet riser</p> <p>Rock overflow is 6" lower in center to control overflow discharge</p> <p>Outlet riser pipe has concrete &amp; rock base, ½ inch holes every 3" to 6", and trash rack</p> <p>Area near pipe outlet or overflow is stable, with no scour or erosion</p> <p>Sediment removed before trap or basin is halfway full; disposal is away from ditches</p>
Maintenance of EPSC Management Practices				<p>Sediment behind silt fence and other filters does not reach halfway to top</p> <p>Sediment traps and basins are less than half full of sediment</p> <p>Gullies repaired, silt fences and other controls inspected and repaired/replaced</p> <p>Written documentation of controls installed, inspection results, and repairs performed</p> <p>All controls removed and areas graded, seeded, and stabilized before leaving site</p>
Materials Storage, Handling, and Cleanup				<p>Materials that may leach pollutants stored under cover and out of the weather</p> <p>Fuel tanks located in protected area with double containment system</p> <p>Fuel and/or other spills cleaned up promptly; no evidence of unmanaged spills</p> <p>No evidence of paint, concrete, or other material washouts near drain inlets</p> <p>No storage of hazardous or toxic materials near ditches or water bodies</p>
Waste Disposal				<p>Trash, litter, and other debris in proper containers or properly managed</p> <p>No litter or trash scattered around on the construction site</p> <p>Provisions made for restroom facilities and/or other sanitary waste management</p> <p>Sanitary waste facilities clean and serviced according to schedule</p> <p>No disposal of any wastes into curb or other inlets, ditches, streams, or water bodies</p>

**Inspection Notes and Key Observations**

**List of Stabilized Areas: Vegetation is Established; Ditches are Stabilized; No Exposed Soil**


**Other Notes or Observations:**


**Corrective Actions Taken and/or Proposed Revisions to BMP Plan:**


*I certify under penalty of law that I understand the terms and conditions of the general Kentucky Pollutant Discharge Elimination System (KPDES) permit that authorizes the storm water discharges associated with industrial activity from the construction site identified as part of this certification.*

**Signature of Inspector:** \_\_\_\_\_

CONTRACTOR AND SUBCONTRACTOR CERTIFICATIONS

*SWPPP Files, Updates, and Amendments*

This SWPP Plan and related documents (e.g., NOI, inspection reports, US ACE permits, etc.) will be kept on file at the construction site by \_\_\_\_\_ (name and title). The SWPPP will be updated by the Owner and/or Site Manager to reflect any and all significant changes in site conditions, selection of BMPs, the presence of any unlisted potential pollutants on site, or changes in the Site Manager, contractor, subcontractors, or other key information. Updates and amendments will be made in writing within 7 days and will be appended to the original BMP Plan and available for review.

*Stormwater Pollution Prevention Plan Certification*

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Title: \_\_\_\_\_

I certify under penalty of law that I understand the terms and conditions of the general KPDES permit that authorizes the storm water discharges associated with the construction site activity identified as part of this certification.

**Subcontractor Certification**

The subcontractors below certify under penalty of law that they understand the terms and conditions of the general KPDES permit that authorizes the storm water discharges associated with the construction site activity identified as part of this certification.

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Title: \_\_\_\_\_

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Title: \_\_\_\_\_

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Title: \_\_\_\_\_

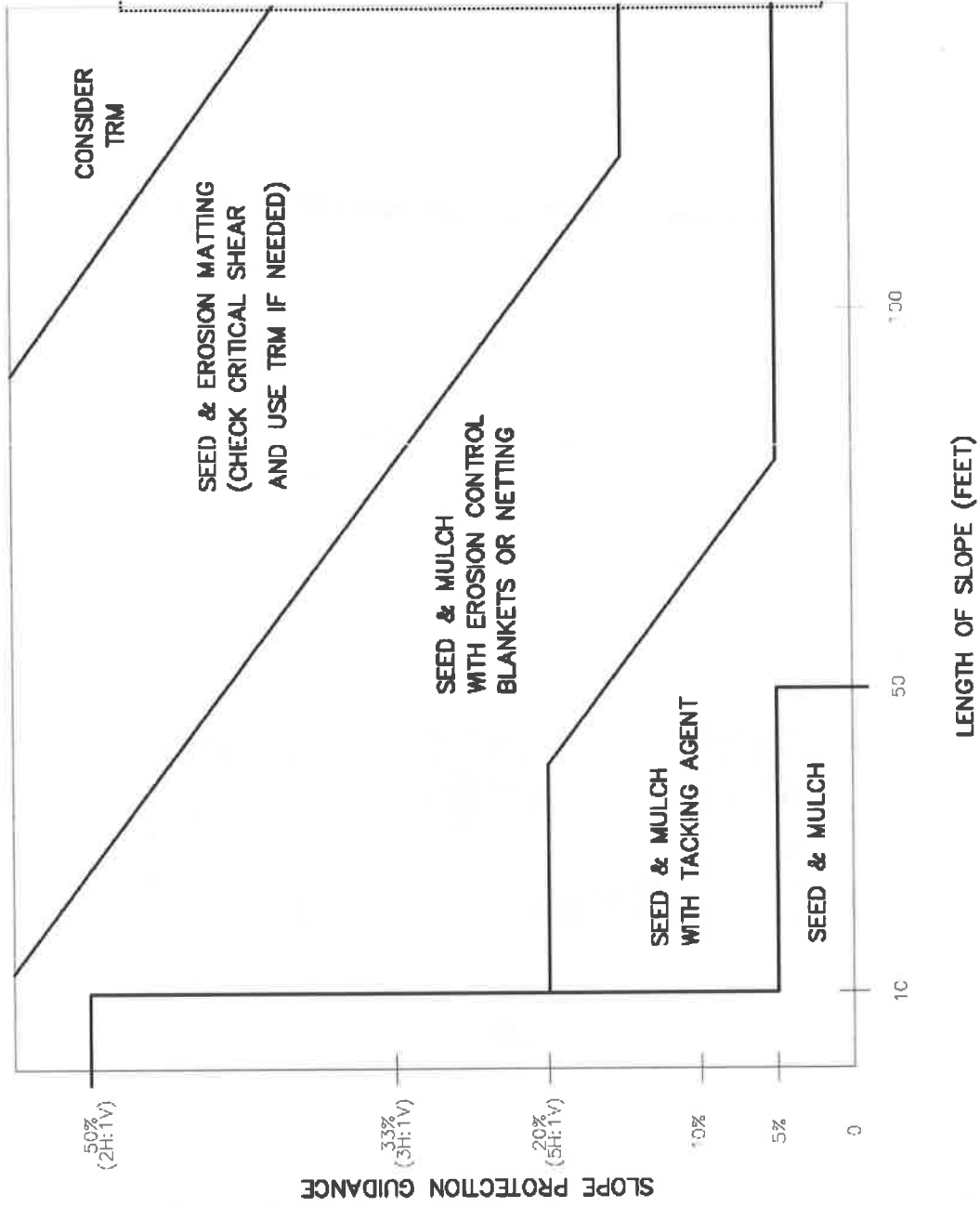


# STORMWATER MANUAL

## FIGURE 11-1 SLOPE PROTECTION GUIDANCE

(OCTOBER 1, 2016)

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



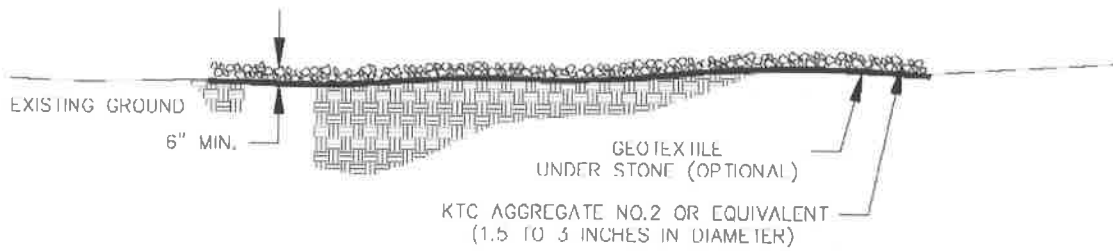


# STORMWATER MANUAL

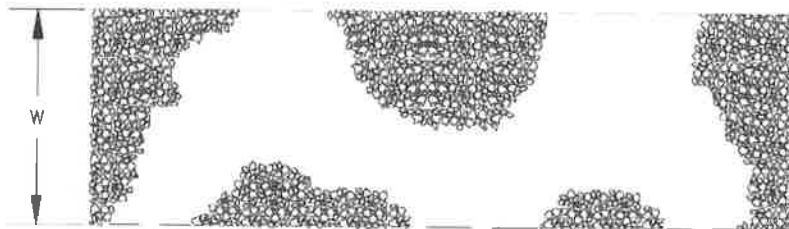
## FIGURE 11-2 ROAD/PARKING STABILIZATION

(OCTOBER 1, 2016)

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



### CROSS SECTION



### PLAN VIEW

W = 14' MIN. FOR ONE WAY TRAFFIC  
20' MIN. FOR TWO WAY TRAFFIC

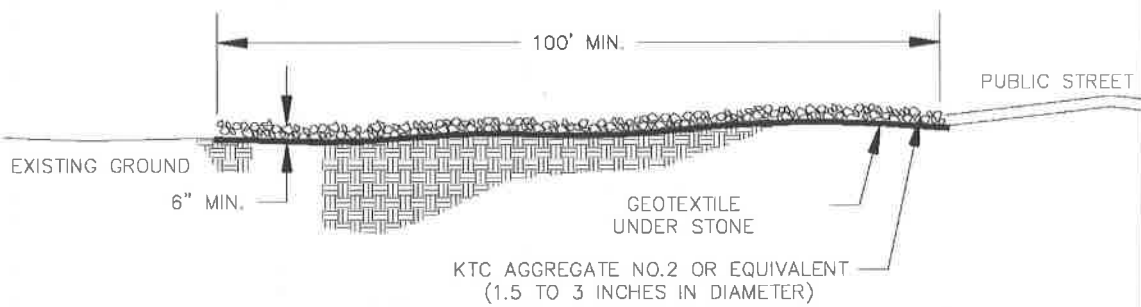


# STORMWATER MANUAL

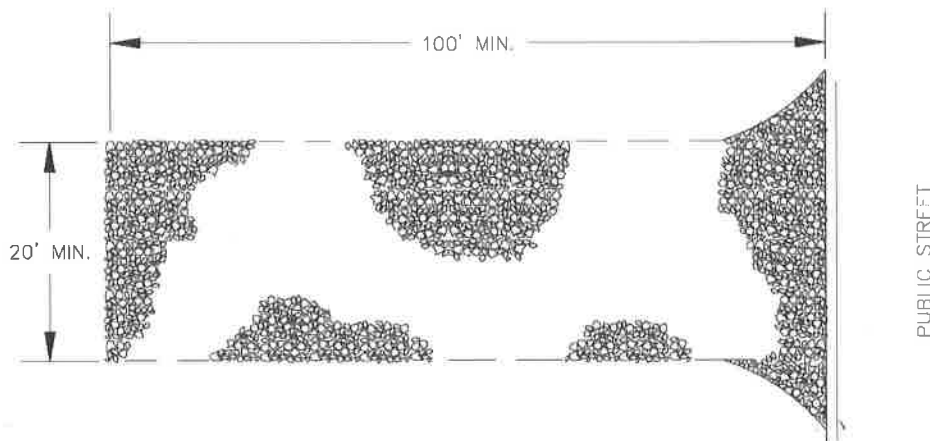
**FIGURE 11-3**  
**CONSTRUCTION ENTRANCE**

(OCTOBER 1, 2016)

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



## CROSS SECTION



## PLAN VIEW



## STORMWATER MANUAL

### FIGURE 11-4 CONSTRUCTION ENTRANCE NOTES AND SPECIFICATIONS (OCTOBER 1, 2016)

#### **SPECIFICATIONS FOR GEOTEXTILE FABRIC**

GRAB TENSILE STRENGTH	220 LBS. (MIN.) (ASIM D1682)
ELONGATION FAILURE	60% (MIN.) (ASTM D1682)
MULLEN BURST STRENGTH	430 LBS. (MIN.) (ASTM D3768)
PUNCTURE STRENGTH	125 LBS. (MIN.) (ASTM D751) (MODIFIED)
EQUIVALENT OPENING	SIZE 40-80 (US STD SIEVE) (CW-02215)

#### NOTES

1. A STABILIZED ENTRANCE PAD OF CRUSHED STONE SHALL BE LOCATED WHERE TRAFFIC WILL ENTER OR LEAVE THE CONSTRUCTION SITE ONTO A PUBLIC STREET.
2. SOIL STABILIZATION FABRIC SHALL BE USED AS A BASE FOR THE CONSTRUCTION ENTRANCE.
3. THE ENTRANCE SHALL BE MAINTAINED IN A CONDITION WHICH WILL PREVENT TRACKING OR FLOWING OF SEDIMENT ONTO PUBLIC STREETS OR EXISTING PAVEMENT. THIS MAY REQUIRE PERIODIC TOP DRESSING WITH ADDITIONAL STONE AS CONDITIONS WARRANT AND REPAIR OR CLEAN OUT OF ANY MEASURES USED TO TRAP SEDIMENT.
4. ANY SEDIMENT SPILLED, DROPPED, WASHED, OR TRACKED ONTO PUBLIC STREETS OR INTO STORM DRAINS MUST BE REMOVED IMMEDIATELY.
5. WHEN APPROPRIATE, WHEELS MUST BE CLEANED TO REMOVE SEDIMENT PRIOR TO ENTERING A PUBLIC STREET. WHEN WASHING IS REQUIRED, IT SHALL BE DONE IN AN AREA STABILIZED WITH CRUSHED STONE WHICH DRAINS INTO AN APPROVED SEDIMENT BASIN.

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.

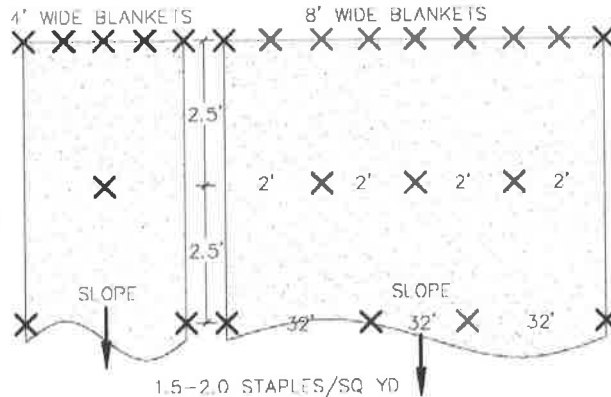


# STORMWATER MANUAL

**FIGURE 11-5**  
**STAPLE PATTERN FOR STRAW**  
**OR EXCELSIOR MATS**  
 (OCTOBER 1, 2016)

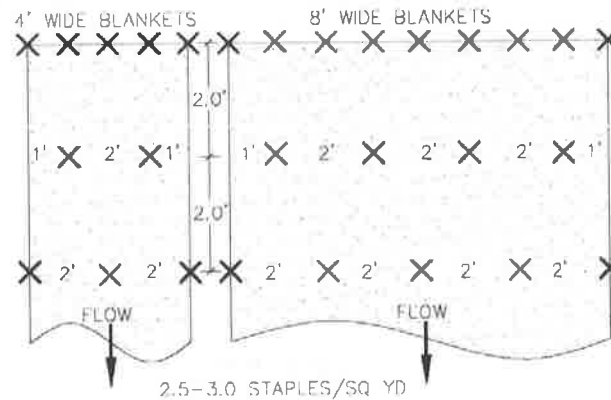
### SLOPES UP TO 1.5H:1V

- INSTALL BLANKET VERTICALLY OR HORIZONTALLY
  - USE 12" STAPLE SPACING ON STARTER ROW.
- COHESIVE SOILS:
- NO OVERLAP REQUIRED ON SIDE SEAMS
  - USE 6" STAPLE LENGTH
- NON-COHESIVE SOILS:
- USE 6" SIDE SEAM OVERLAP
  - USE 8" STAPLE LENGTH
  - USE 6" ANCHOR TRENCH AT TOP OF SLOPE



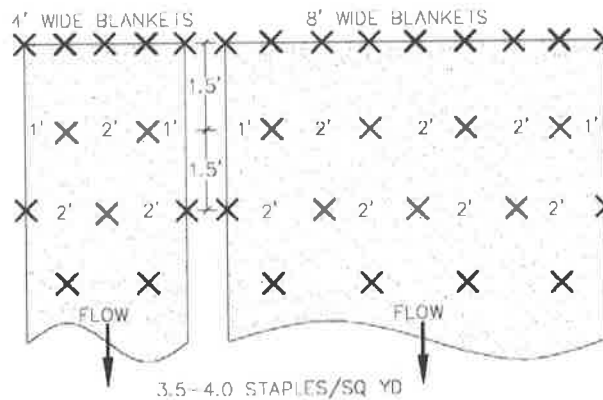
### CHANNELS IN COHESIVE SOILS

- USE 6" SIDE SEAM OVERLAP
- USE 6" STAPLE LENGTH
- USE 6" TRANSVERSE ANCHOR TRENCH AT 100-FT. INTERVALS
- USE 12" STAPLE SPACING ON STARTER ROW.
- UPSTREAM BLANKET SHOULD OVERLAP DOWNSTREAM BLANKET A DISTANCE OF 12" IN A "SHINGLE" FASHION AND BURY THE FINISHED TOE AT LEAST 6".



### CHANNELS IN NON-COHESIVE SOILS

- USE 6" SIDE SEAM OVERLAP
- USE 8" STAPLE LENGTH
- USE 6" TRANSVERSE ANCHOR TRENCH AT 50-FT. INTERVALS
- USE 12" STAPLE SPACING ON STARTER ROW.
- UPSTREAM BLANKET SHOULD OVERLAP DOWNSTREAM BLANKET A DISTANCE OF 12" IN A "SHINGLE" FASHION AND BURY THE FINISHED TOE AT LEAST 6".



NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.

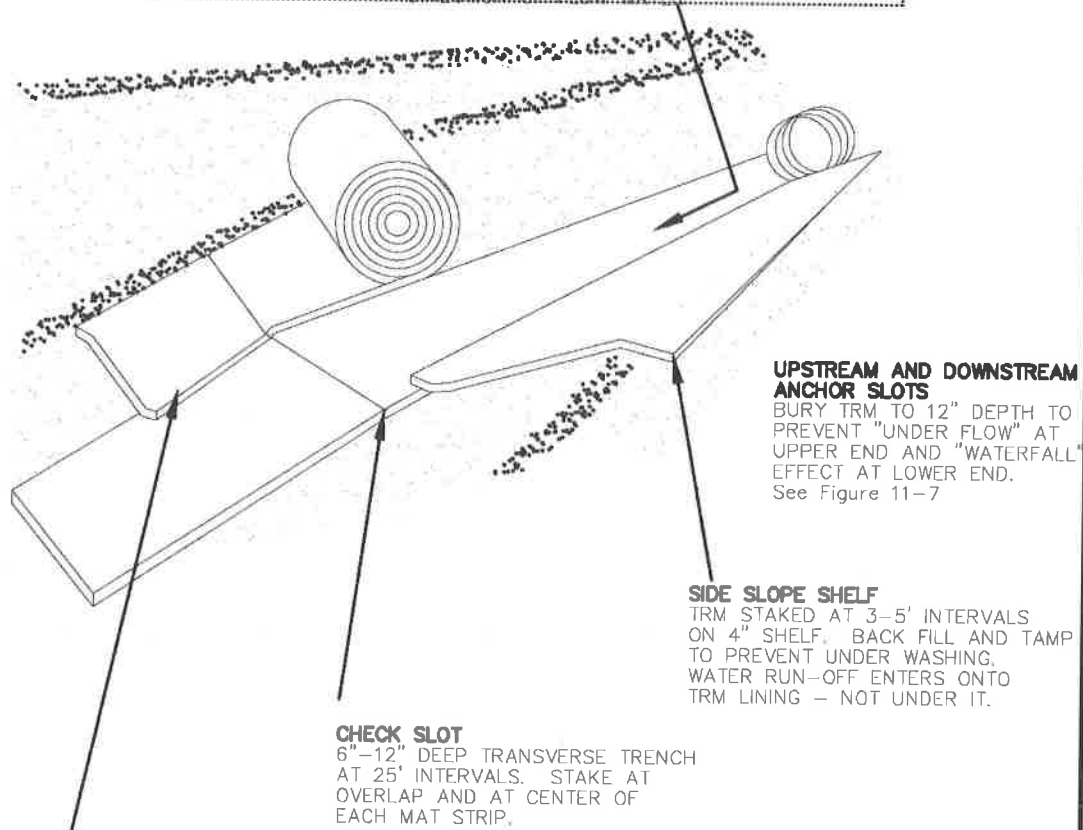


# STORMWATER MANUAL

**FIGURE 11-6**  
PLACEMENT OF TRM IN CHANNEL

(OCTOBER 1, 2016)

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



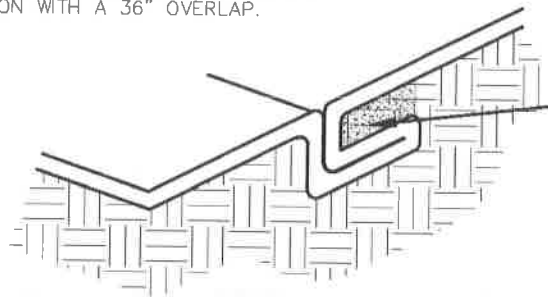
**UPSTREAM AND DOWNSTREAM ANCHOR SLOTS**  
BURY TRM TO 12" DEPTH TO PREVENT "UNDER FLOW" AT UPPER END AND "WATERFALL" EFFECT AT LOWER END.  
See Figure 11-7

**SIDE SLOPE SHELF**  
TRM STAKED AT 3-5' INTERVALS ON 4" SHELF. BACK FILL AND TAMP TO PREVENT UNDER WASHING. WATER RUN-OFF ENTERS ONTO TRM LINING - NOT UNDER IT.

**CHECK SLOT**  
6"-12" DEEP TRANSVERSE TRENCH AT 25' INTERVALS. STAKE AT OVERLAP AND AT CENTER OF EACH MAT STRIP.

**OVERLAP IN A SHINGLE FASHION**  
4" OVERLAP STAKED AT 3-5' INTERVALS

WHEN ROLL TERMINATES, IT IS STAKED OVER THE ROLL WHICH EXTENDS DOWNSTREAM IN A SHINGLE FASHION WITH A 36" OVERLAP.



**CHECK SLOT DETAIL**  
STAKE AND BACK FILL IN CHECK SLOT BEFORE CONTINUING TO PLACE UPSLOPE



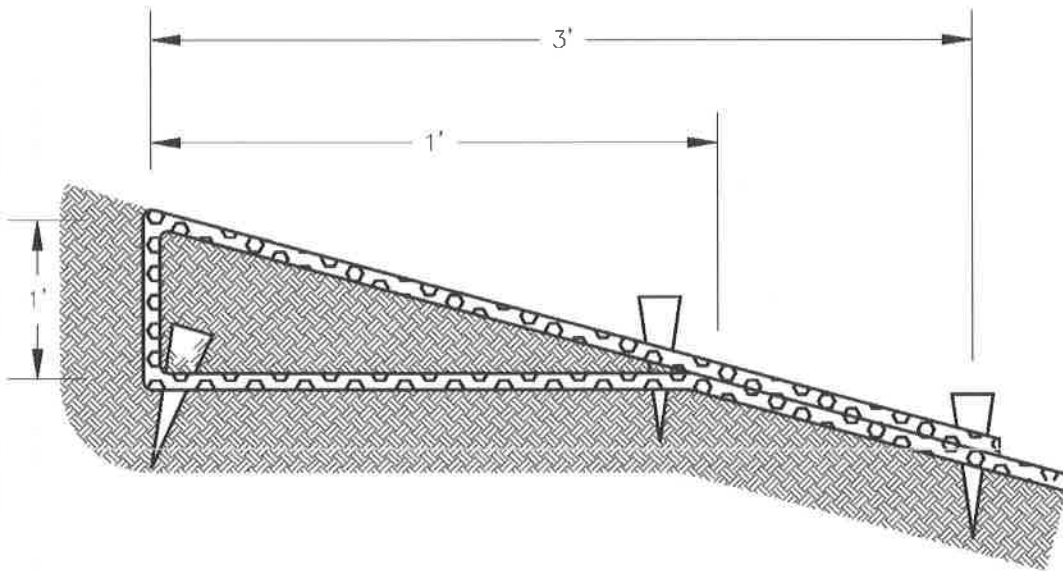


# STORMWATER MANUAL

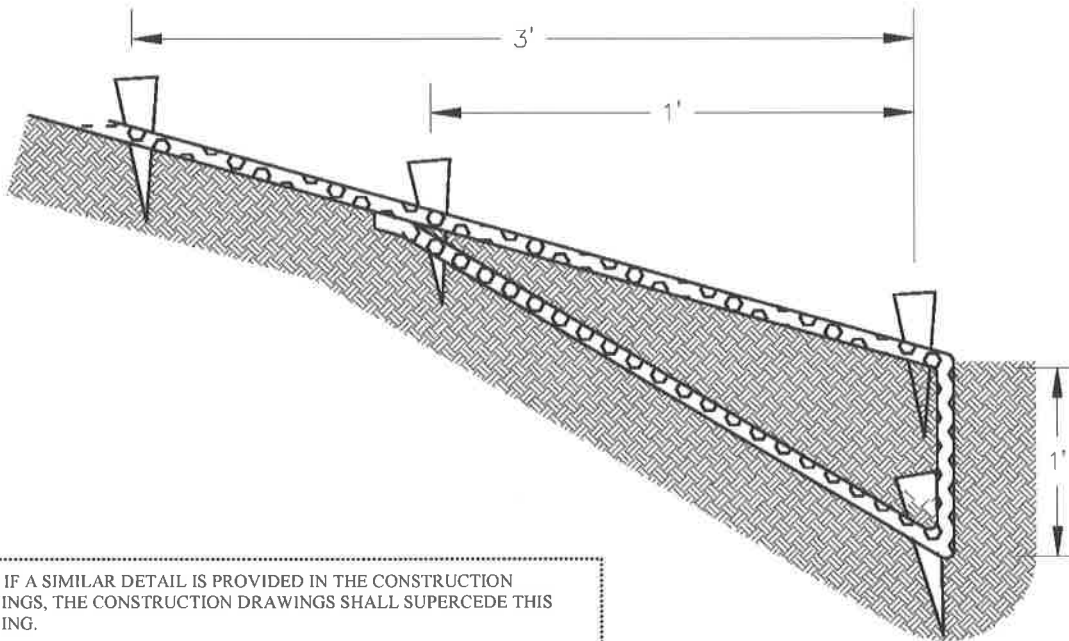
**FIGURE 11-7**  
ANCHOR SLOT DETAILS FOR TRM

(OCTOBER 1, 2016)

UPSTREAM ANCHOR SLOT DETAIL



DOWNSTREAM ANCHOR SLOT DETAIL

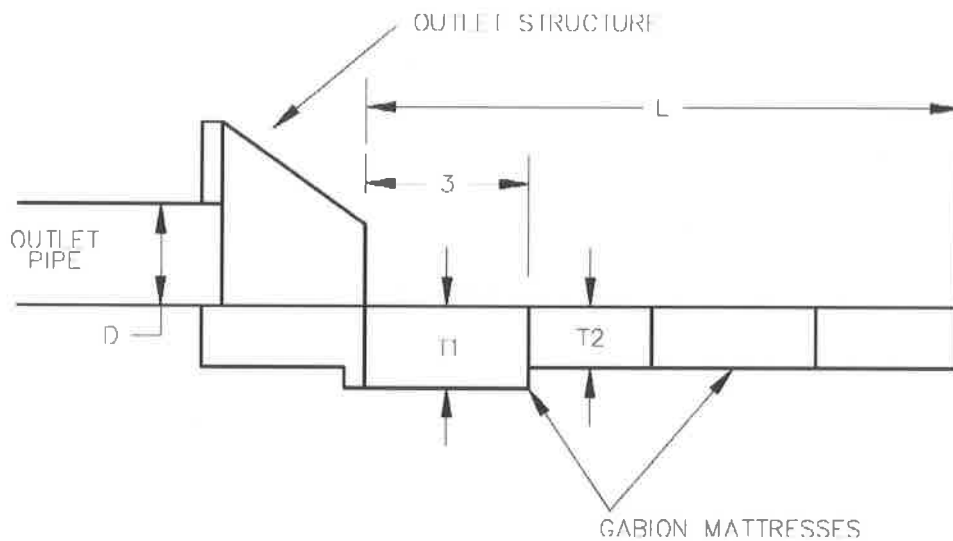


NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



# STORMWATER MANUAL

**FIGURE 11-8**  
CROSS SECTION AT  
GABION MATTRESS OUTLET PROTECTION  
(OCTOBER 1, 2016)



- T1** = THICKNESS OF FIRST 3 FEET OF GABION MATTRESS TO MATCH DEPTH OF OUTLET STRUCTURE FOUNDATION
- T2** = THICKNESS OF REMAINING GABION MATTRESS, 12 INCHES MINIMUM AND 18 INCHES MINIMUM FOR CALCULATED OUTLET VELOCITIES OF 10 TO 15 FEET PER SECOND.

FOR  $D < 36$  INCHES,  $L = 12$  FEET

FOR  $D > 36$  INCHES,  $L = 4 \times D$  FEET

$D$  = HEIGHT OR WIDTH OF OUTLET, WHICHEVER IS GREATER

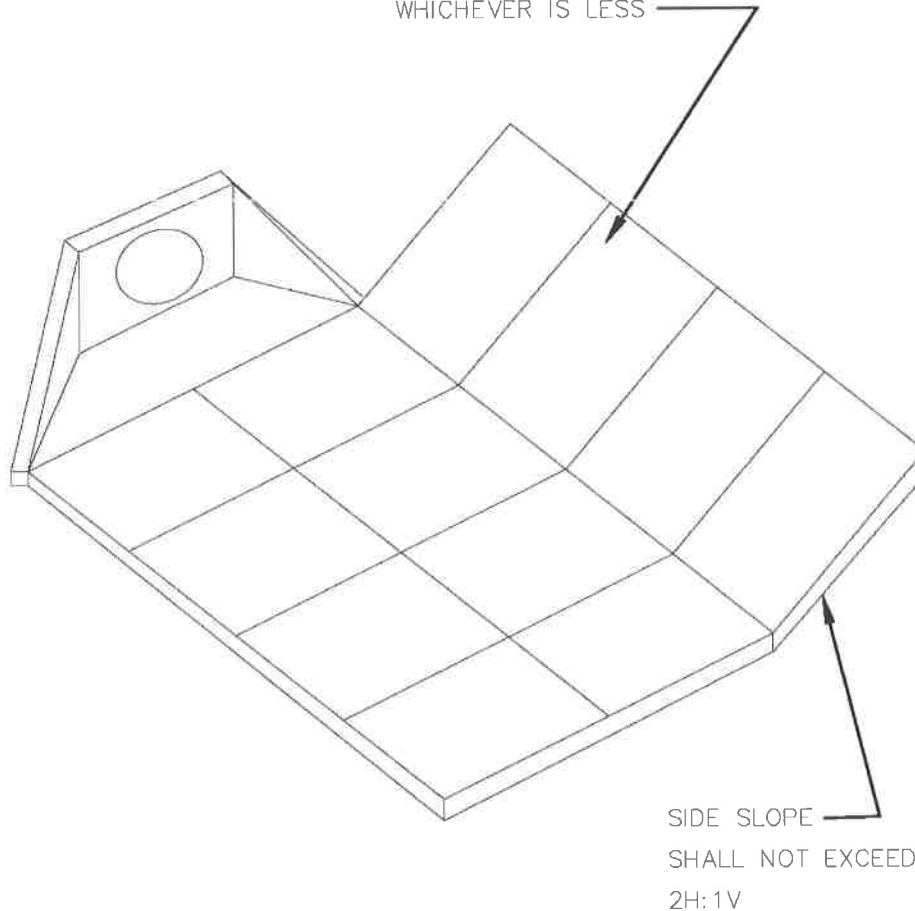
NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



# STORMWATER MANUAL

**FIGURE 11-9**  
**GABION MATTRESS AT OUTLET**  
**INTO WELL-DEFINED CHANNEL**  
(OCTOBER 1, 2016)

EXTEND GABION MATTRESS UP SIDE SLOPE  
OF CHANNEL TO TOP OF BANK OR 1' HIGHER  
THAN MAXIMUM TAILWATER DEPTH,  
WHICHEVER IS LESS



SIDE SLOPE  
SHALL NOT EXCEED  
2H:1V

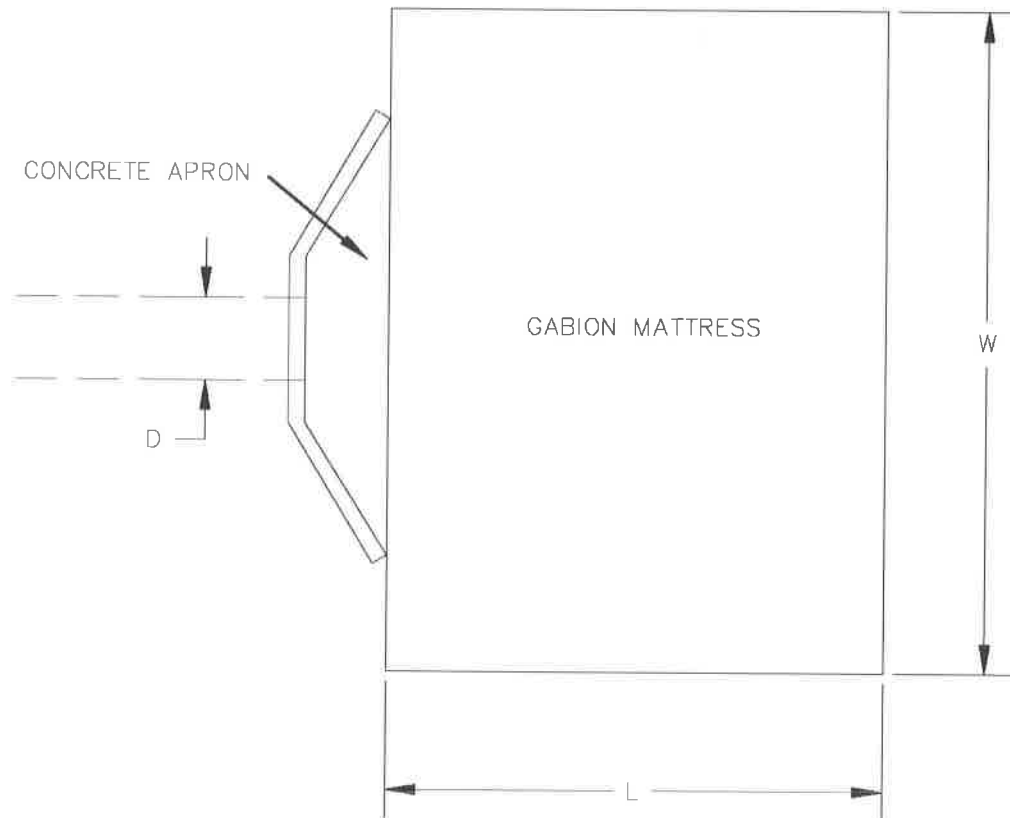
NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE  
CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



# STORMWATER MANUAL

**FIGURE 11-10**  
PLAN VIEW OF GABION MATTRESS  
AT OUTLET INTO FLAT AREA

(OCTOBER 1, 2016)



D = HEIGHT OR WIDTH OF OUTLET, WHICHEVER IS GREATER  
FOR D ≤ 36 INCHES:

$$L = 12 \text{ FEET MINIMUM}$$

$$W = (18 + D) \text{ FEET MINIMUM}$$

FOR D > 36 INCHES:

$$L = 4 \times D \text{ FEET MINIMUM}$$

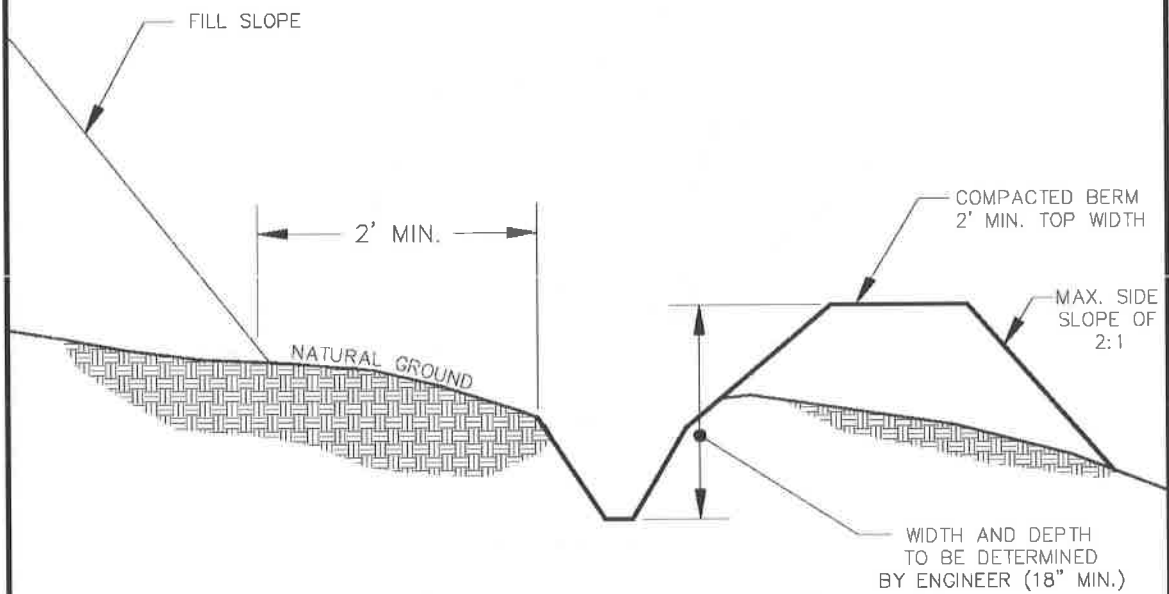
$$W = (2 L + D) \text{ FEET MINIMUM}$$

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



# STORMWATER MANUAL

**FIGURE 11-12**  
**TEMPORARY DIVERSION DITCH**  
(OCTOBER 1, 2016)



NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.

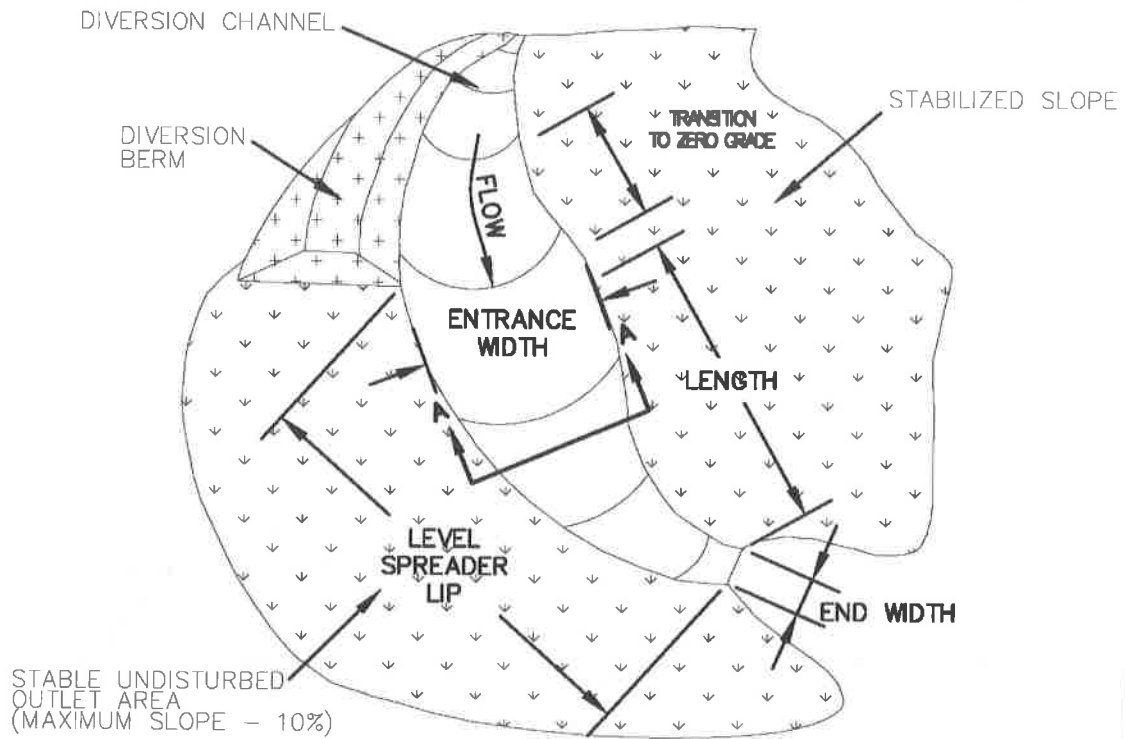


# STORMWATER MANUAL

**FIGURE 11-13**

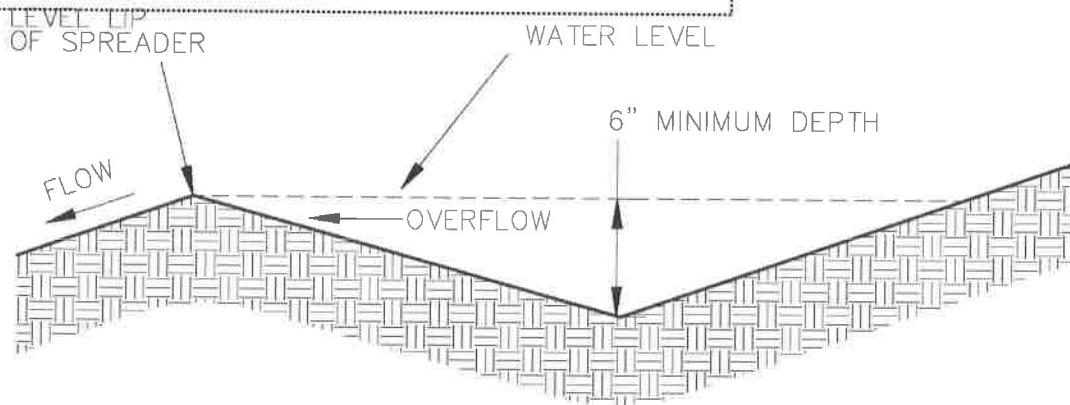
LEVEL SPREADER

(OCTOBER 1, 2016)



### PERSPECTIVE

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.

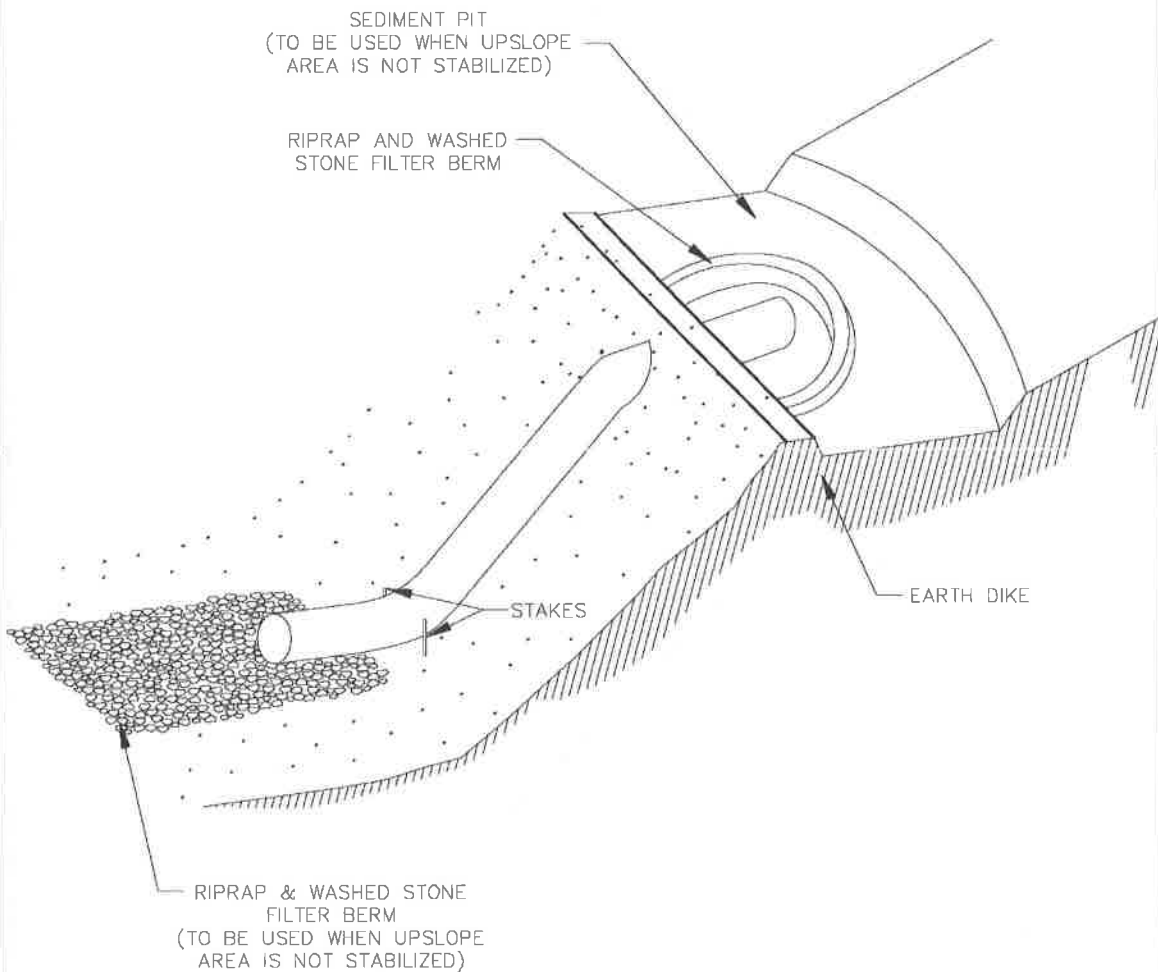


### SECTION A-A



# STORMWATER MANUAL

**FIGURE 11-14**  
FLEXIBLE PIPE SLOPE DRAIN  
(OCTOBER 1, 2016)

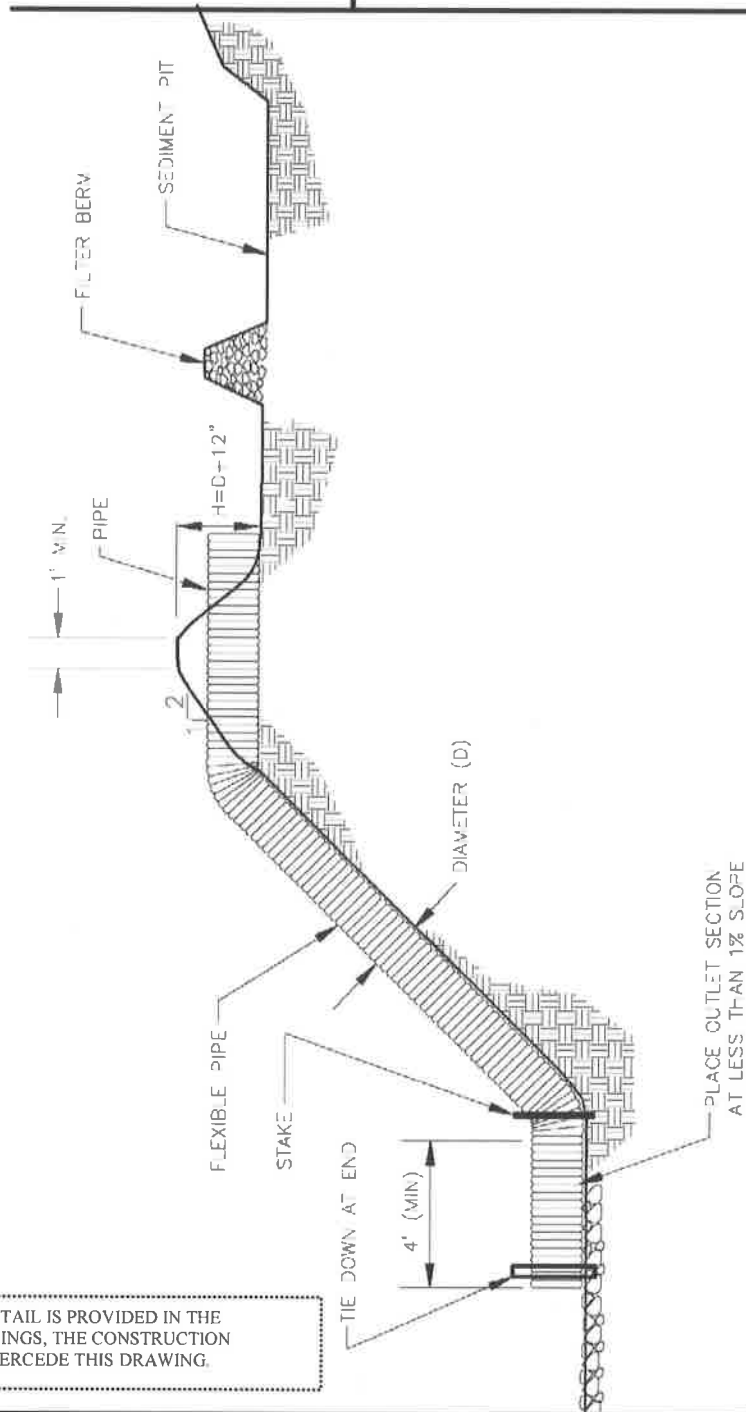


NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE  
CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



# STORMWATER MANUAL

**FIGURE 11-15**  
SLOPE DRAIN - PROFILE  
(OCTOBER 1, 2016)

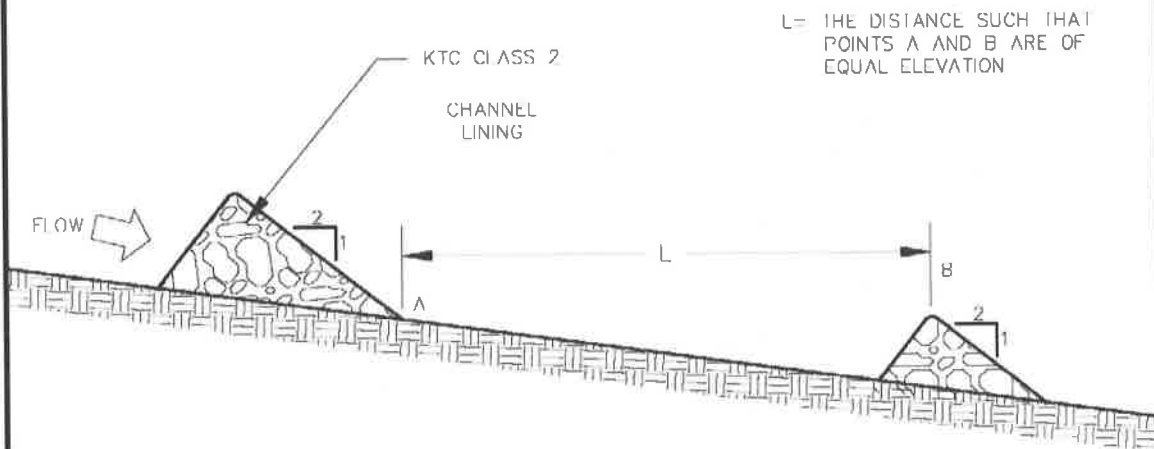




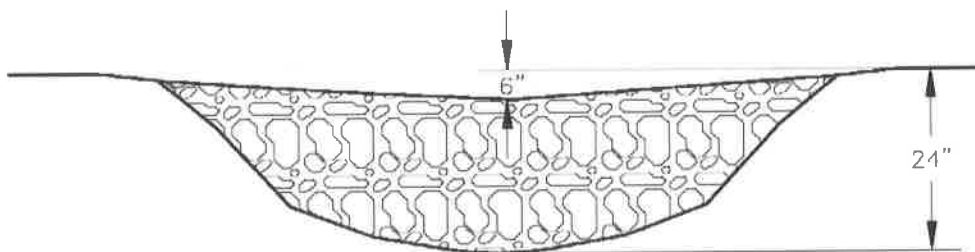


# STORMWATER MANUAL

**FIGURE 11-16**  
**ROCK CHECK DAM**  
**(OCTOBER 1, 2016)**



**LONGITUDINAL SECTION SHOWING  
SPACING BETWEEN CHECK DAMS**



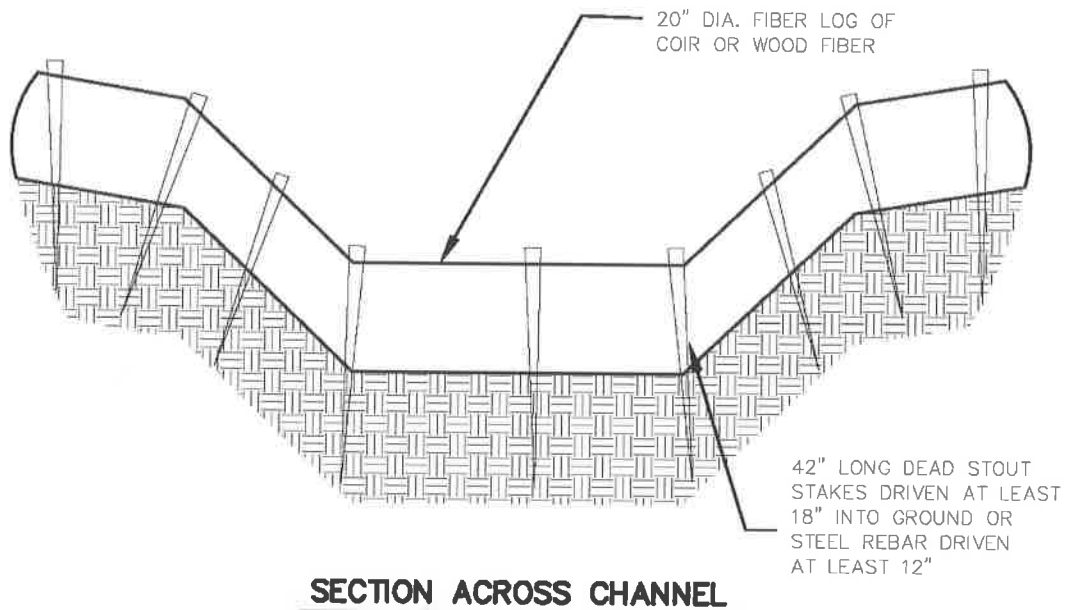
**SECTION ACROSS CHANNEL**

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



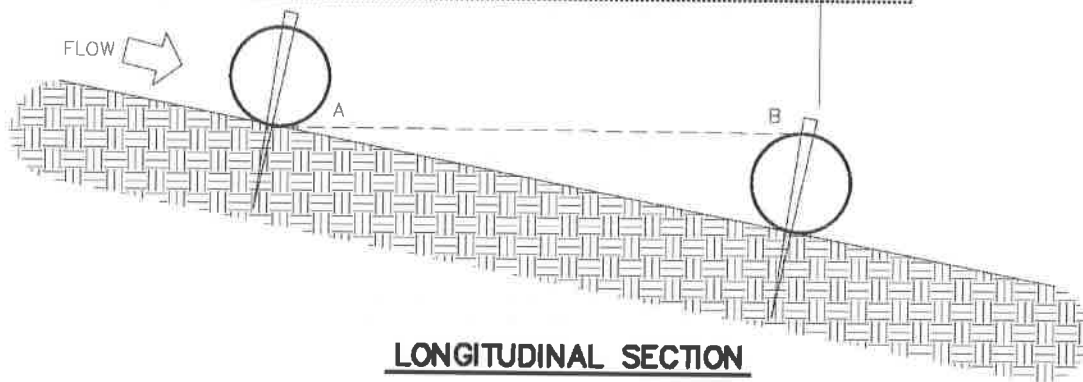
# STORMWATER MANUAL

**FIGURE 11-17**  
**FIBER LOG CHECK DAM**  
**(OCTOBER 1, 2016)**



STAKES SHALL BE SPACED NO FURTHER THAN 24" AND SHALL BE DRIVEN AT EACH SIGNIFICANT SLOPE BREAK AND WITHIN 6" OF EACH END.

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERSEDE THIS DRAWING.



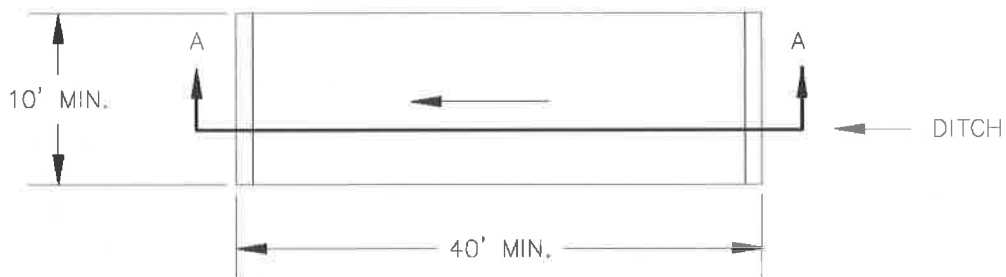
L = DISTANCE SUCH THAT POINTS A AND B ARE OF EQUAL ELEVATION



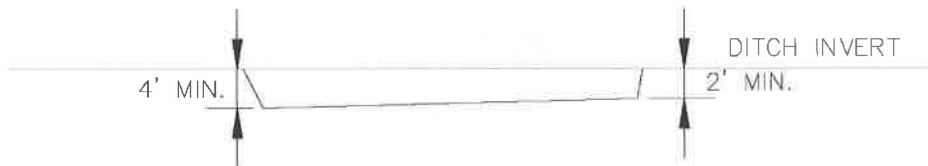
# STORMWATER MANUAL

**FIGURE 11-18**  
**SEDIMENT TRAP**

(OCTOBER 1, 2016)



**PLAN VIEW**



**SECTION A-A**

**NOTES:**

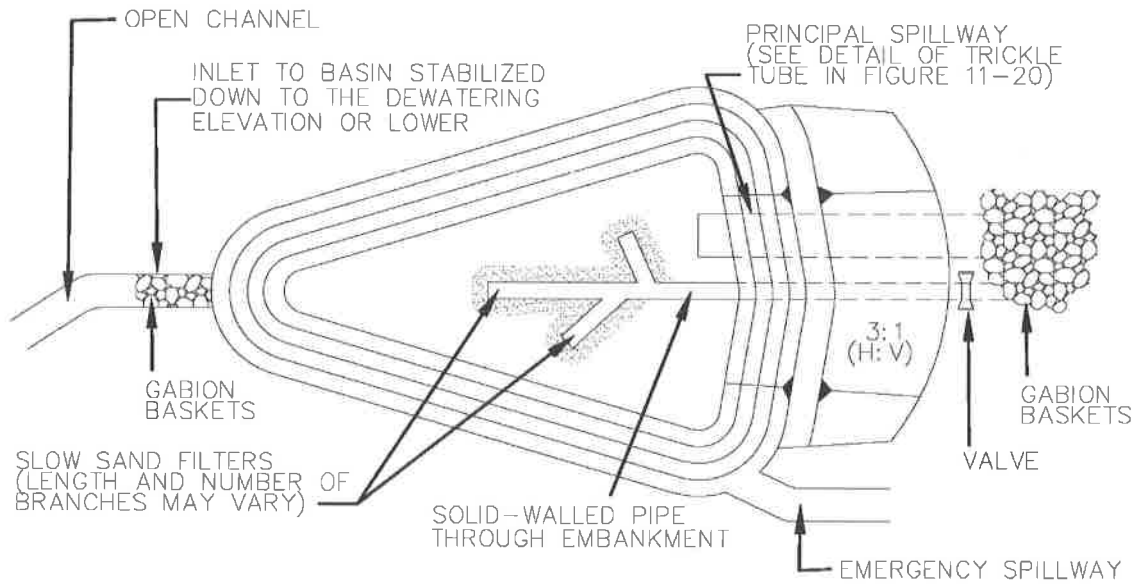
- 1) THE SIZE, SHAPE AND LOCATION OF TRAP MAY BE ADJUSTED FROM THAT SHOWN IN THE CONSTRUCTION PLANS, AS DIRECTED BY THE ENGINEER.
- 2) THE SEDIMENT TRAP MAY BE CONSTRUCTED AS DIRECTED BY THE ENGINEER AS LONG AS THE AREA AND DEPTH IS AT LEAST AS THAT INDICATED ON THE PLANS.
- 3) SEDIMENT TRAP SHALL BE CONSTRUCTED BY EXCAVATING THE BASIN IN NATURAL OR EXCAVATED CHANNELS. SEDIMENT DEPOSITS IN TRAP SHALL BE REMOVED EACH TIME THE TRAP IS APPROXIMATELY 50 PERCENT FILLED. WHEN THEIR USEFULNESS HAS ENDED, THE TRAPS SHALL BE REMOVED, SURPLUS MATERIAL DISPOSED OF AND THE ENTIRE DISTURBED AREA SHALL BE SEED AND PROTECTED, OR SODDED, AS DIRECTED. SEDIMENT TRAPS MAY REMAIN IN PLACE UPON COMPLETION OF THE PROJECT ONLY WHEN PERMITTED BY THE ENGINEER OR THE PLANS.

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERSEDE THIS DRAWING.

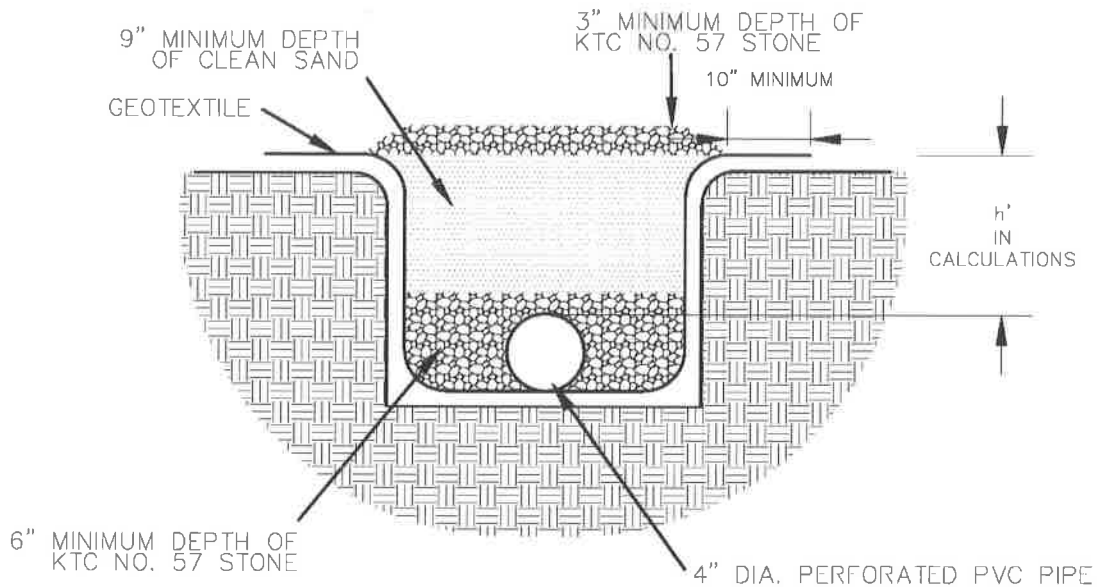


# STORMWATER MANUAL

**FIGURE 11-19**  
**SEDIMENT POND WITH**  
**SAND FILTER OUTLET**  
**(OCTOBER 1, 2016)**



**PLAN VIEW**  
 N.T.S.



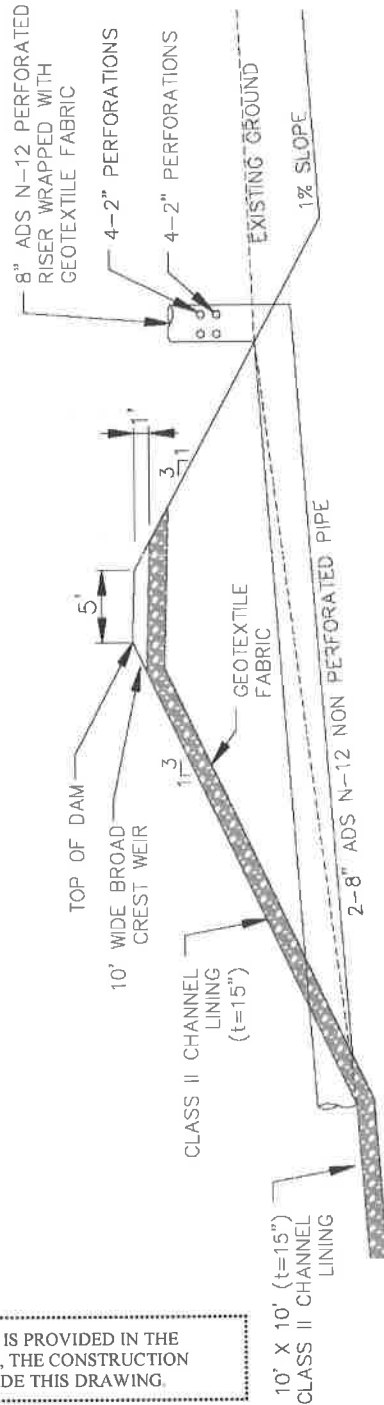
NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERSEDE THIS DRAWING.

**TYPICAL SECTION**  
 N.T.S.



# STORMWATER MANUAL

**FIGURE 11-20**  
**TEMPORARY SEDIMENTATION BASIN**  
**OUTLET STRUCTURE / SPILLWAY**  
**DETAIL**  
**(OCTOBER 1, 2016)**



TEMPORARY SEDIMENTATION BASIN  
 OUTLET STRUCTURE / SPILLWAY  
 N.T.S.

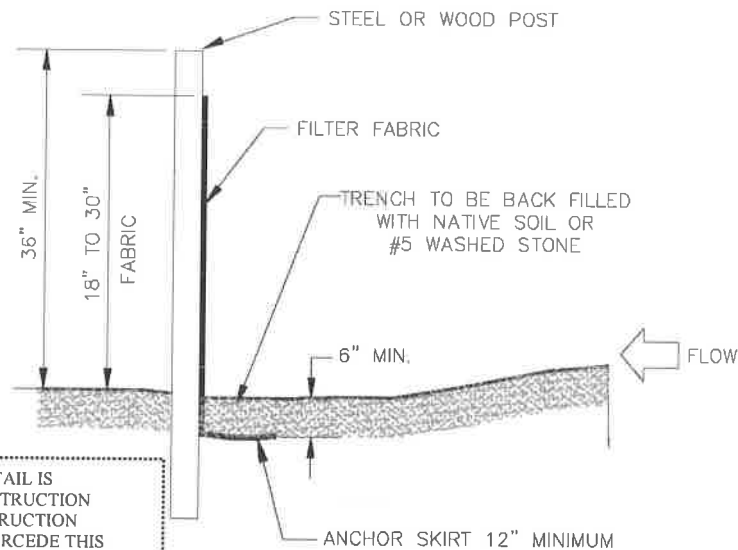
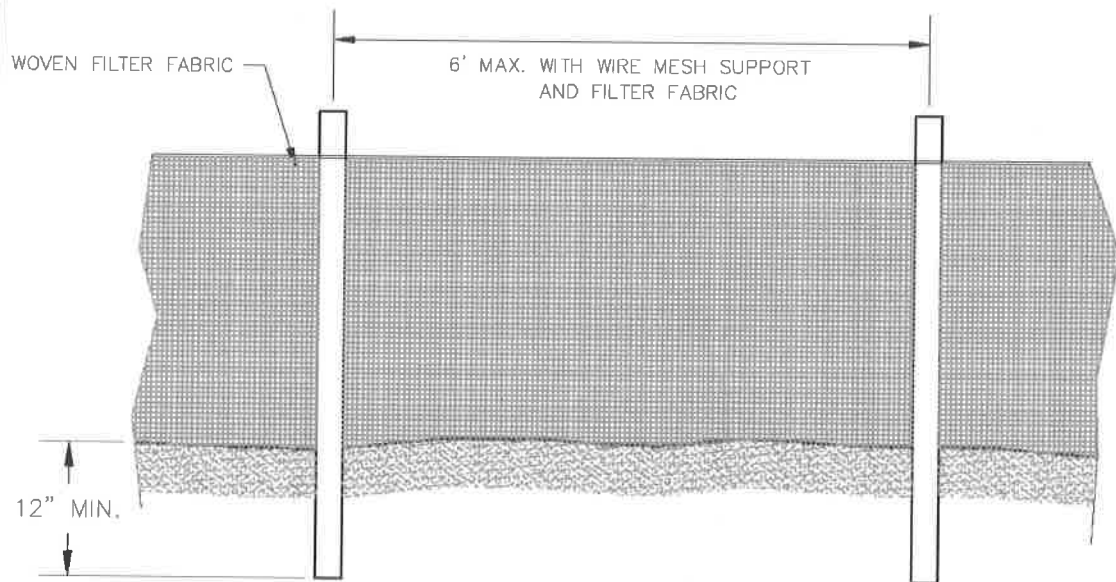
NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERSEDE THIS DRAWING.



# STORMWATER MANUAL

**FIGURE 11-21**  
TEMPORARY SILT FENCE

(OCTOBER 1, 2016)



NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



## STORMWATER MANUAL

### FIGURE 11-22 TEMPORARY SILT FENCE GENERAL NOTES (OCTOBER 1, 2016)

#### GENERAL NOTES

1. FILTER FABRIC SHALL BE PURCHASED IN A CONTINUOUS ROLL AND CUT TO THE LENGTH OF THE BARRIER. WHEN JOINTS CANNOT BE AVOIDED, FILTER FABRIC SHALL BE SPLICED TOGETHER ONLY AT A POST WITH 3 FOOT MIN. OVERLAP, AND SECURELY SEALED.
2. POSTS SHALL BE SPACED AT 6 FOOT INTERVALS IN AREAS OF RAPID RUNOFF.
3. POSTS SHALL BE AT LEAST 5 FEET IN LENGTH.
4. STEEL POSTS SHALL HAVE PROJECTIONS FOR FASTENING WIRE AND FABRIC.
5. WOOD POSTS SHALL BE 2 INCHES BY 2 INCHES OR EQUIVALENT. STEEL POSTS SHALL BE 1.33 LBS PER LINEAR FOOT.
6. A WIRE MESH SUPPORT FENCE SHALL BE FASTENED SECURELY TO THE UPSLOPE SIDE OF THE POSTS USING HEAVY DUTY WIRE STAPLES AT LEAST 1 INCH IN LENGTH, WIRE TIES OR HOG RINGS. THE WIRE SHALL EXTEND INTO THE TRENCH A MINIMUM OF 2 INCHES AND SHALL NOT EXTEND MORE THAN 36 INCHES ABOVE THE ORIGINAL GROUND SURFACE.
7. WASHED STONE SHALL BE USED TO BURY SKIRT WHEN SILT FENCE IS USED ADJACENT TO A CHANNEL, CREEK, OR POND.
8. TURN SILT FENCE UP SLOPE AT ENDS.

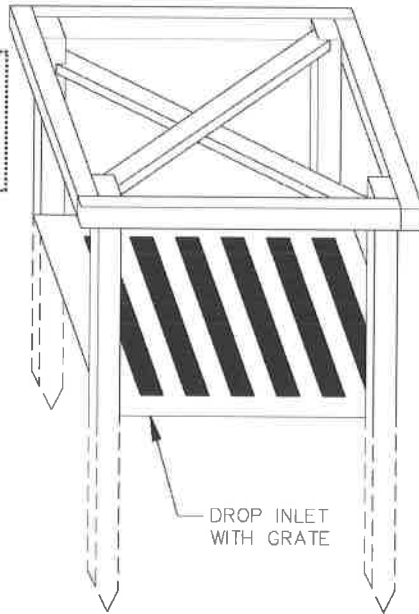
NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



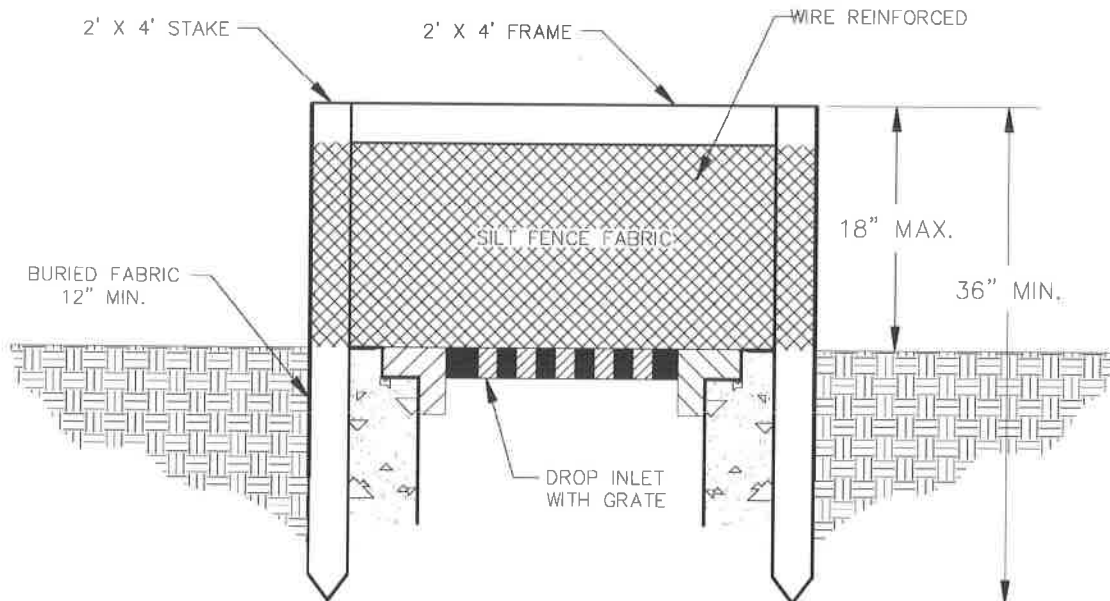
# STORMWATER MANUAL

**FIGURE 11-23**  
**DROP INLET PROTECTION**  
**USING SILT FENCE**  
**(OCTOBER 1, 2016)**

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



**ISOMETRIC VIEW OF  
2 X 4 WOOD FRAME**



**CROSS SECTION VIEW**

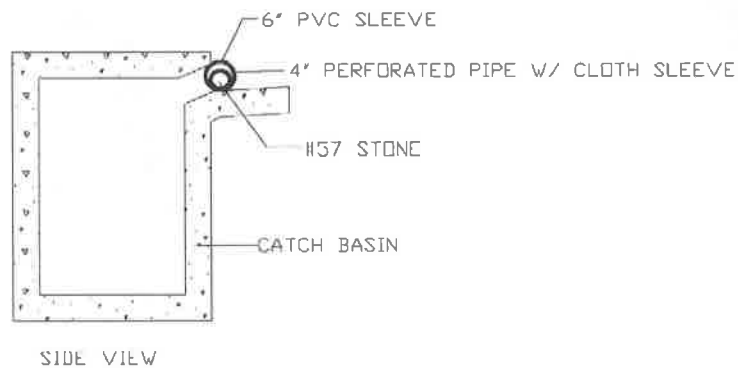
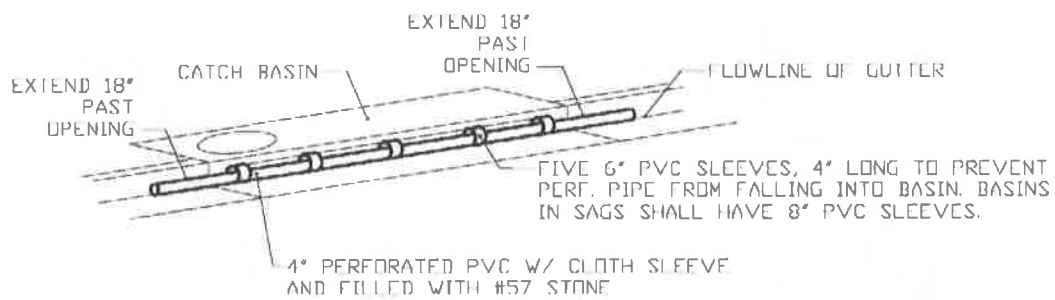




# STORMWATER MANUAL

**FIGURE 11-24**  
CATCH BASIN INLET PROTECTION DETAIL

(OCTOBER 1, 2016)



CATCH BASIN INLET PROTECTION DETAIL  
N.T.S.

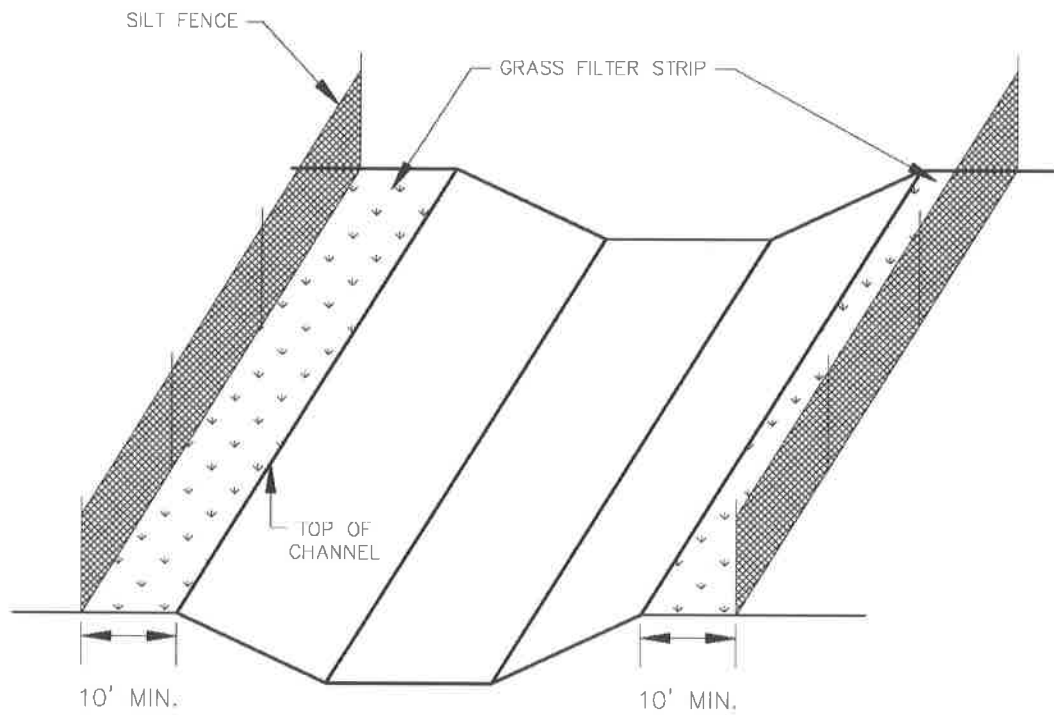
NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



# STORMWATER MANUAL

**FIGURE 11-25**  
FILTER STRIP FOR  
CONSTRUCTED CHANNEL

(OCTOBER 1, 2016)



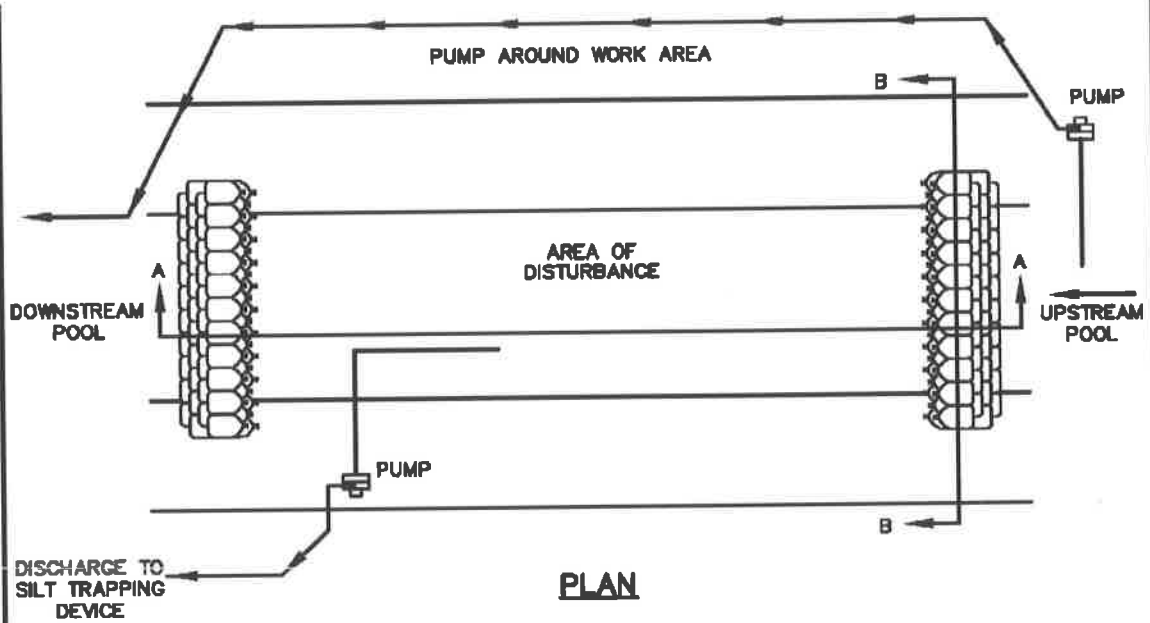
NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.



# STORMWATER MANUAL

**FIGURE 11-26**  
PUMP-AROUND FLOW DIVERSION

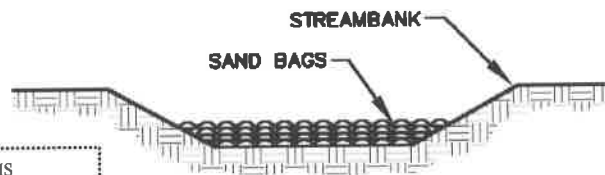
(OCTOBER 1, 2016)



**PLAN**



**SECTION A-A**



**SECTION B-B**

NOTE: IF A SIMILAR DETAIL IS PROVIDED IN THE CONSTRUCTION DRAWINGS, THE CONSTRUCTION DRAWINGS SHALL SUPERCEDE THIS DRAWING.

END OF SECTION



SECTION 03200

REINFORCING STEEL

PART 1 -- GENERAL

1.01 THE REQUIREMENTS

- A. Provide all concrete reinforcing including all cutting, bending, fastening and any special work necessary to hold the reinforcing steel in place and protect it from injury and corrosion in accordance with the requirements of this section.
- B. Provide deformed reinforcing bars to be grouted into reinforced concrete masonry walls.

1.02 RELATED WORK SPECIFIED ELSEWHERE (NOT USED)

1.03 REFERENCE SPECIFICATIONS, CODES, AND STANDARDS

- A. Without limiting the generality of the other requirements of the Specifications, all work herein shall conform to the applicable requirements of the following documents. All referenced specifications, codes, and standards refer to the most current issue available at the time of Bid.

- 1. Kentucky Building Code
- 2. CRSI - Concrete Reinforcing Institute Manual of Standard Practice
- 3. ACI SP66 - ACI Detailing Manual
- 4. ACI 315 - Details and Detailing of Concrete Reinforcing
- 5. ACI 318 - Building Code Requirements for Structural Concrete
- 6. ICC-ES AC193 - Acceptance Criteria for Expansion and Screw Anchors (Concrete)
- 7. WRI - Manual of Standard Practice for Welded Wire Fabric
- 8. ASTM A 615 - Standard Specification for Deformed and Plain Billet-Steel Bars for Concrete Reinforcing
- 9. ASTM A 1064 - Standard Specification for Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete

1.04 SUBMITTALS

- A. Submit the following in accordance with Section 01300, Submittals.

1. Detailed placing and shop fabricating drawings, prepared in accordance with ACI 315 and ACI Detailing Manual - (SP66), shall be furnished for all concrete reinforcing. These drawings shall be made to such a scale as to clearly show joint locations, openings, and the arrangement, spacing and splicing of the bars.
2. Mill test certificates - 3 copies of each.
3. Description of the reinforcing steel manufacturer's marking pattern.
4. Requests to relocate any bars that cause interferences or that cause placing tolerances to be violated.
5. Proposed supports for each type of reinforcing.
6. Request to use splices not shown on the Drawings.
7. Request to use mechanical couplers along with manufacturer's literature on mechanical couplers with instructions for installation, and certified test reports on the couplers' capacity.
8. Request for placement of column dowels without the use of templates.
9. Request and procedure to field bend or straighten partially embedded reinforcing.
10. International Code Council-Evaluation Services Report (ICC-ES ESR) for dowel adhesives.
11. Certification that all installers of dowel adhesive are certified as Adhesive Anchor Installers in accordance with the ACI-CRSI Anchor Installer Certification Program.

#### 1.05 QUALITY ASSURANCE

- A. If requested by the Engineer, the Contractor shall provide samples from each load of reinforcing steel delivered in a quantity adequate for testing. Costs of initial tests will be paid by the Owner. Costs of additional tests due to material failing initial tests shall be paid by the Contractor.
- B. Provide a list of names of all installers who are trained by the Manufacturer's Field Representative on this jobsite prior to installation of products. Record must include the installer name, date of training, products included in the training and trainer name and contact information.
- C. Provide a copy of the current ACI/CRSI "Adhesive Anchor Installer" certification cards for all installers who will be installing adhesive anchors in the horizontal to vertically overhead orientation.
- D. Inspections of the adhesive dowel system may be made by the Engineer or other representatives of the Owner in accordance with the requirements of the ESR published by the manufacturer. Provide adequate time and access for inspections of products and anchor holes prior to injection and installation.

## PART 2 -- PRODUCTS

### 2.01 REINFORCING STEEL

- A. Bar reinforcing shall conform to the requirements of ASTM A 615 for Grade 60 Billet Steel reinforcing. All reinforcing steel shall be from domestic mills and shall have the manufacturer's mill marking rolled into the bar which shall indicate the producer, size, type and grade. All reinforcing bars shall be deformed bars. Smooth reinforcing bars shall not be used unless specifically called for on Drawings.
- B. Welded wire fabric reinforcing shall conform to the requirements of ASTM A 1064 and the details shown on the Drawings.
- C. A certified copy of the mill test on each load of reinforcing steel delivered showing physical and chemical analysis shall be provided, prior to shipment. The Engineer reserves the right to require the Contractor to obtain separate test results from an independent testing laboratory in the event of any questionable steel. When such tests are necessary because of failure to comply with this Specification, such as improper identification, the cost of such tests shall be borne by the Contractor.
- D. Field welding of reinforcing steel will not be allowed.
- E. Use of coiled reinforcing steel will not be allowed.

### 2.02 ACCESSORIES

- A. Accessories shall include all necessary chairs, slab bolsters, concrete blocks, tie wires, dips, supports, spacers and other devices to position reinforcing during concrete placement. Wire bar supports shall be plastic protected (CRSI Class 1).
- B. Concrete blocks (dobies), used to support and position bottom reinforcing steel, shall have the same or higher compressive strength as specified for the concrete in which it is located.

### 2.03 DOWEL ADHESIVE SYSTEM

- A. Where shown on the Drawings, reinforcing bars anchored into hardened concrete with a dowel adhesive system shall use a two-component adhesive mix which shall be injected with a static mixing nozzle following manufacturer's instructions.
- B. All holes shall be drilled in accordance with the manufacturer's instructions except that core drilled holes shall not be permitted unless specifically allowed by the Engineer. Cored holes, if allowed by the manufacturer and approved by the Engineer, shall be roughened in accordance with manufacturer's requirements.
- C. Thoroughly clean drill holes of all debris, drill dust, and water in accordance with manufacturer's instructions prior to installation of adhesive and reinforcing bar.
- D. Degree of hole dampness shall be in strict accordance with manufacturer recommendations. Installation conditions shall be either dry or water-saturated. Water filled or submerged holes shall not be permitted unless specifically approved by the Engineer.

- E. Injection of adhesive into the hole shall be performed in a manner to minimize the formation of air pockets in accordance with the manufacturer's instructions.
- F. Embedment Depth:
  - 1. The embedment depth of the bar shall be as shown on the Drawings.
  - 2. Where the embedment depth is not shown on the Drawings, the embedment depth shall be determined to provide the minimum allowable bond strength equal to the tensile strength of the rebar according to the manufacturer's ICC-ES ESR.
  - 3. The embedment depth shall be determined using the actual concrete compressive strength, a cracked concrete state, maximum long term temperature of 110 degrees F, and maximum short term temperature of 140 degrees F. In no case shall the embedment depth be less than the minimum, or more than the maximum, embedment depths stated in the manufacturer's ICC-ES ESR.
- G. Engineer's approval is required for use of this system in locations other than those shown on the Drawings.
- H. The adhesive system shall be IBC compliant for use in both cracked and uncracked concrete in all Seismic Design Categories and shall be "Epcon C6+ Adhesive Anchoring System" as manufactured by ITW Redhead, " HIT-HY 200 Adhesive Anchoring System" as manufactured by Hilti, Inc. "SET-XP Epoxy Adhesive Anchors" as manufactured by Simpson Strong-Tie Co. or "Pure 110+ Epoxy Adhesive Anchor System" by DeWalt. Fast-set epoxy formulations shall not be acceptable. No or equal products will be considered, unless pre-qualified and approved.
- I. All individuals installing dowel adhesive system shall be certified as an Adhesive Anchor Installer in accordance with the ACI-CRSI Anchor Installation Certification Program.

### PART 3 – EXECUTION

#### 3.01 TEMPERATURE REINFORCING

- A. Unless otherwise shown on the Drawings or in the absence of the concrete reinforcing being shown, the minimum cross sectional area of horizontal and vertical concrete reinforcing in walls shall be 0.0033 times the gross concrete area and the minimum cross sectional area of reinforcing perpendicular to the principal reinforcing in slabs shall be 0.0020 times the gross concrete area. Temperature reinforcing shall not be spaced further apart than five times the slab or wall thickness, nor more than 18 inches.

#### 3.02 FABRICATION

- A. Reinforcing steel shall be accurately formed to the dimensions and shapes shown on the Drawings and the fabricating details shall be prepared in accordance with ACI 315 and ACI 318, except as modified by the Drawings.
- B. The Contractor shall fabricate reinforcing bars for structures in accordance with the bending diagrams, placing lists and placing Drawings.



- C. No fabrication shall commence until approval of Shop Drawings has been obtained. All reinforcing bars shall be shop fabricated unless approved to be bent in the field. Reinforcing bars shall not be straightened or rebent in a manner that will injure the material. Heating of bars will not be permitted.
- D. Welded wire fabric with longitudinal wire of W9.5 size or smaller shall be either furnished in flat sheets or in rolls with a core diameter of not less than 10 inches. Welded wire fabric with longitudinal wires larger than W9.5 size shall be furnished in flat sheets only.

### 3.03 DELIVERY, STORAGE AND HANDLING

- A. All reinforcing shall be neatly bundled and tagged for placement when delivered to the job site. Bundles shall be properly identified for coordination with mill test reports.
- B. Reinforcing steel shall be stored above ground on platforms or other supports and shall be protected from the weather at all times by suitable covering. It shall be stored in an orderly manner and plainly marked to facilitate identification.
- C. Reinforcing steel shall at all times be protected from conditions conducive to corrosion until concrete is placed around it.
- D. The surfaces of all reinforcing steel and other metalwork to be in contact with concrete shall be thoroughly cleaned of all dirt, grease, loose scale and rust, grout, mortar and other foreign substances immediately before the concrete is placed. Where there is delay in depositing concrete, reinforcing shall be reinspected and if necessary recleaned.

### 3.04 PLACING

- A. Reinforcing steel shall be accurately positioned as shown on the Drawings and shall be supported and wired together to prevent displacement, using annealed iron wire ties or suitable clips at intersections. All reinforcing steel shall be supported by concrete, plastic or plastic protected (CRSI Class 1) metal supports, spacers or metal hangers which are strong and rigid enough to prevent any displacement of the reinforcing steel. Where concrete is to be placed on the ground, supporting concrete blocks (or dobies) shall be used in sufficient numbers to support the reinforcing bars without settlement. In no case shall concrete block supports be continuous.
- B. The portions of all accessories in contact with the formwork shall be made of plastic or steel coated with a 1/8 inch minimum thickness of plastic which extends at least 1/2 inch from the concrete surface. Plastic shall be gray in color.
- C. Tie wires shall be bent away from the forms in order to provide the specified concrete coverage.
- D. Reinforcing bars additional to those shown on the Drawings, which may be found necessary or desirable by the Contractor for the purpose of securing reinforcing in position, shall be provided by the Contractor at no additional cost to the Owner.
- E. Reinforcing placing, spacing, and protection tolerances shall be within the limits specified in ACI 318 except where in conflict with the Building Code, unless otherwise specified.

- F. Reinforcing bars may be moved within one bar diameter as necessary to avoid interference with other concrete reinforcing, conduits, or embedded items. If bars are moved more than one bar diameter, or enough to exceed placing tolerances, the resulting arrangement of bars shall be as acceptable to the Engineer.
- G. Welded wire fabric shall be supported on slab bolsters spaced not less than 30 inches on centers, extending continuously across the entire width of the reinforcing mat and supporting the reinforcing mat in the plane shown on the Drawings.
- H. Reinforcing shall not be straightened or rebent unless specifically shown on the Drawings. Bars with kinks or bends not shown on the Drawings shall not be used. Coiled reinforcement shall not be used.
- I. Dowel Adhesive System shall be installed in strict conformance with the manufacturer's recommendations and as required in Article 2.04 above. A representative of the manufacturer must be on site prior to adhesive dowel installation to provide instruction on proper installation procedures for all adhesive dowel installers. Testing of adhesive dowels shall be as indicated below. If the dowels have a hook at the end to be embedded in subsequent work, an approved mechanical coupler shall be provided at a convenient distance from the face of existing concrete to facilitate adhesive dowel testing while maintaining required hook embedment in subsequent work.
- J. All adhesive dowel installations in the horizontal or overhead orientation shall be conducted by a certified Adhesive Anchor Installer as certified by ACI/CSRI per ACI 318-11 9.2.2. Current AAI Certification must be submitted to the Engineer of Record for approval prior to commencement of any adhesive anchor installations.

### 3.05 SPLICING

- A. Reinforcing bar splices shall only be used at locations shown on the Drawings. When it is necessary to splice reinforcing at points other than where shown, the splice shall be as acceptable to the Engineer.
- B. The length of lap for reinforcing bars, unless otherwise shown on the Drawings shall be in accordance with ACI 318 for a class B splice.
- C. Laps of welded wire fabric shall be in accordance with ACI 318. Adjoining sheets shall be securely tied together with No. 14 tie wire, one tie for each 2 running feet. Wires shall be staggered and tied in such a manner that they cannot slip.
- D. Mechanical splices shall be used only where shown on the Drawings or when approved by the Engineer.
- E. Couplers which are located at a joint face shall be a type which can be set either flush or recessed from the face as shown on the Drawings. The couplers shall be sealed during concrete placement to completely eliminate concrete or cement paste from entering. After the concrete is placed, couplers intended for future connections shall be plugged and sealed to prevent any contact with water or other corrosive materials. Threaded couplers shall be plugged with plastic plugs which have an O-ring seal.

### 3.06 INSPECTION

- A. The Contractor shall advise the Engineer of his intentions to place concrete and shall allow him adequate time to inspect all reinforcing steel before concrete is placed.
- B. The Contractor shall advise the Engineer of his intentions to place grout in masonry walls and shall allow him adequate time to inspect all reinforcing steel before grout is placed.

### 3.07 CUTTING OF EMBEDDED REBAR

- A. The Contractor shall not cut embedded rebar cast into structural concrete without prior approval.

- END OF SECTION -



SECTION 03600

GROUT

PART 1 -- GENERAL

1.01 THE REQUIREMENT

- A. Furnish all materials, labor, and equipment required to provide all grout used in concrete work and as bearing surfaces for base plates, in accordance with the Contract Documents.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Requirements of related work are included in Division 1 and Division 2 of these Specifications.

1.03 REFERENCE SPECIFICATIONS, CODES AND STANDARDS

- A. Without limiting the generality of the other requirements of the specifications, all work herein shall conform to the applicable requirements of the following documents. All referenced specifications, codes, and standards refer to the most current issue available at the time of Bid.

- |    |             |   |
|----|-------------|---|
| 1. | CRD-C 621   | Corps of Engineers Specification for Non-shrink Grout   |
| 2. | ASTM C 109  | Standard Test Method for Compressive Strength of Hydraulic Cement Mortars (Using 2 inch or 50 mm cube Specimens)                              |
| 3. | ASTM C 531  | Standard Test Method for Linear Shrinkage and Coefficient of Thermal Expansion of Chemical-Resistant Mortars, Grouts and Monolithic Surfacing |
| 4. | ASTM C 579  | Test Method for Compressive Strength of Chemical-Resistant Mortars and Monolithic Surfacing   |
| 5. | ASTM C 827  | Standard Test Method for Early Volume Change of Cementitious Mixtures   |
| 6. | ASTM C 144  | Standard Specification for Aggregate for Masonry Mortar   |
| 7. | ASTM C 1107 | Standard Specification for Packaged Dry, Hydraulic Cement Grout (Nonshrink)   |

1.04 SUBMITTALS

- A. Submit the following in accordance with Section 01300 - Submittals.
  - 1. Certified test results verifying the compressive strength and shrinkage and expansion requirements specified herein.

2. Manufacturer's literature containing instructions and recommendations on the mixing, handling, placement and appropriate uses for each type of grout used in the work.

## PART 2 -- PRODUCTS

### 2.01 MATERIALS

#### A. Non-Shrink Grout

1. Non-shrink grout shall conform to CRD-C 621 and ASTM C 1107, Grade B or C when tested at a max. fluid consistency of 30 seconds per CDC 611/ASTM C939 at temperature extremes of 45°F and 90°F and an extended working time of 15 minutes. Grout shall have a min. 28-day strength of 7,000 psi. Non-shrink grout shall be, "Euco N-S" by the Euclid Chemical Company, "SikagROUT 212" by Sika Corporation, "Conspec 100 Non-Shrink Non-Metallic Grout" by Conspec, "Masterflow 555 Grout" by BASF Master Builder Solutions.

#### B. Epoxy Base Plate Grout

1. Epoxy base plate grout shall be "Sikadur 42, Grout-Pak" by Sika Corporation, or "Masterflow 648" by BASF Master Builder Solutions.

### 2.02 CURING MATERIALS

- A. Curing materials shall be as recommended by the manufacturer for prepackaged grouts.

## PART 3 -- EXECUTION

### 3.01 GENERAL

- A. The different types of grout shall be used for the applications stated below unless noted otherwise in the Contract Documents. Where grout is called for in the Contract Documents which does not fall under any of the applications stated below, non-shrink grout shall be used unless another type is specifically referenced.
  1. Non-shrink grout shall be used for grouting beneath base plates of structural metal framing.
  2. Epoxy base plate grout shall be used for precision seating of base plates including base plates for all equipment such as engines, mixers, pumps, vibratory and heavy impact machinery, etc.
- B. All mixing, surface preparation, handling, placing, consolidation, and other means of execution for prepackaged grouts shall be done according to the instructions and recommendations of the manufacturer.

- C. The Contractor, through the manufacturer of a non-shrink grout and epoxy grout, shall provide on-site technical assistance upon request, at no additional cost to the Owner.

### 3.02 CONSISTENCY

- A. The consistency of grouts shall be that necessary to completely fill the space to be grouted for the particular application. Dry pack consistency is such that the grout is plastic and moldable but will not flow.

### 3.03 MEASUREMENT OF INGREDIENTS

- A. Prepackaged grouts shall have ingredients measured by means recommended by the manufacturer.

### 3.04 GROUT INSTALLATION

- A. Grout shall be placed quickly and continuously, shall completely fill the space to be grouted and be thoroughly compacted and free of air pockets. The grout may be poured in place, pressure grouted by gravity, or pumped. The use of pneumatic pressure or dry-packed grouting requires approval of the Engineer. For grouting beneath base plates, grout shall be poured from one side only and thence flow across to the open side to avoid air-entrapment.

- END OF SECTION -





SECTION 05010

METAL MATERIALS

PART 1 -- GENERAL

1.01 THE REQUIREMENT

- A. Metal materials not otherwise specified shall conform to the requirements of this Section.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Materials for fasteners are included in Section 05050, Metal Fastening.
- B. Requirements for specific products made from the materials specified herein are included in other sections of the Specifications. See the section for the specific item in question.

1.03 REFERENCE SPECIFICATIONS, CODES AND STANDARDS

- A. ASTM B26            Standard Specification for Aluminum-Alloy Sand Castings
- B. ASTM B85            Standard Specification for Aluminum-Alloy Die Castings
- C. ASTM B108          Standard Specification for Aluminum-Alloy Permanent Mold Castings
- D. ASTM B209          Standard Specification for Aluminum-Alloy Sheet and Plate
- E. ASTM B221          Standard Specification for Aluminum-Alloy Extruded Bars, Rods, Wire, Shapes, and Tubes
- F. ASTM B308          Standard Specification for Aluminum-Alloy Standard Structural Shapes, Rolled or Extruded

1.04 SUBMITTALS

- A. Material certifications shall be submitted along with any shop drawings for metal products and fabrications required by other sections of the Specifications.

1.05 QUALITY ASSURANCE

- A. Owner may engage the services of a testing agency to test any metal materials for conformance with the material requirements herein. If the material is found to be in conformance with Specifications the cost of testing will be borne by the Owner. If the material does not conform to the Specifications, the cost of testing shall be paid by the Contractor and all materials not in conformance as determined by the Engineer shall be replaced by the Contractor at no additional cost to the Owner. In lieu of replacing materials the Contractor may request further testing to determine conformance, but any such testing shall be paid for by the Contractor regardless of outcome of such testing.



SECTION 05050

METAL FASTENING

PART 1 -- GENERAL

1.01 THE REQUIREMENT

- A. Furnish all materials, labor, and equipment required to provide all metal welds and fasteners not otherwise specified, in accordance with the Contract Documents.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Section 05010 - Metal Materials

1.03 REFERENCE SPECIFICATIONS, CODES, AND STANDARDS

- A. Without limiting the generality of the other requirements of the Specifications, all work herein shall conform to the applicable requirements of the following documents. All referenced Specifications, codes, and standards refer to the most current issue available at the time of Bid.

- |     |                        |  |
|-----|------------------------|--|
| 1.  | Kentucky Building Code |  |
| 2.  | AC 193                 | Acceptance Criteria for Mechanical Anchors in Concrete Elements              |
| 3.  | AC 308                 | Acceptance Criteria for Post-Installed Adhesive Anchors in Concrete Elements |
| 4.  | ACI 318                | Building Code Requirements for Structural Concrete                           |
| 5.  | ACI 355.2              | Qualifications of Post-Installed Mechanical Anchors in Concrete              |
| 6.  | ACI 355.4              | Qualifications of Post-Installed Adhesive Anchors in Concrete                |
| 7.  | ICC-ES AC193           | Acceptance Criteria for Expansion and Screw Anchors (Concrete)               |
| 8.  | AISC 348               | The 2009 RCSC Specification for Structural Joints                            |
| 9.  | AISC                   | Code of Standard Practice  |
| 10. | AWS D1.1               | Structural Welding Code - Steel  |
| 11. | AWS D1.2               | Structural Welding Code – Aluminum   |

12.	AWS D1.6	Structural Welding Code – Stainless Steel
13.	Aluminum Association	Specifications for Aluminum Structures
14.	ASTM A572/A572M-94C	Standard Specification for High Strength Low-Alloy Columbium-Vanadium Structural Steel Grade 50
15.	ASTM A36	Standard Specification for Carbon Structural Steel
16.	ASTM A325	Standard Specification for High-Strength Bolts for Structural Steel Joints
17.	ASTM A489	Standard Specification for Eyebolts
18.	ASTM A490	Standard Specification for Quenched and Tempered Alloy Steel Bolts for Structural Steel Joints
19.	ASTM A563	Standard Specifications for Carbon and Alloy Steel Nuts
20.	ASTM D1785	Standard Specification for Polyvinyl Chloride (PVC) Plastic Pipe
21.	ASTM E488	Standard Test Methods for Strength of Anchors in Concrete and Masonry Elements
22.	ASTM F436	Standard Specification for Hardened Steel Washers
23.	ASTM F467	Standard Specification for Nonferrous Nuts for General Use
24.	ASTM F593	Standard Specification for Stainless Steel Bolts; Hex Cap Screws, and Studs
25.	ASTM F594	Standard Specification for Stainless Steel Nuts
26.	ASTM F1554	Standard Specification for Anchor Bolts, Steel, 36, 55, and 105-ksi Yield Strength

#### 1.04 SUBMITTALS

A. Submit the following in accordance with Section 01300, Submittals.

1. Shop Drawings providing the fastener's manufacturer and type and certification of the fastener's material and capacity.
2. Anchor design calculations sealed by a Professional Engineer currently registered in the State of Kentucky. Only required if design not shown on Contract Drawings.
3. A current ICC-ES Evaluation Service Report shall be submitted for all anchors that will be considered for use on this project.

4. Manufacturer's installation instructions.
5. Copy of valid certification for each person who is to perform field welding.
6. Certified weld inspection reports, when required.
7. Welding procedures.
8. Installer qualifications.
9. Certification of Installer Training.
10. Inspection Reports.

#### 1.05 QUALITY ASSURANCE

- A. Fasteners not manufactured in the United States shall be tested and certification provided with respect to specified quality and strength standards. Certifications of origin shall be submitted for all U.S. fasteners supplied on the project.
- B. Installer Qualifications: All concrete anchors shall be installed by an Installer with at least three years of experience performing similar installations. Concrete adhesive anchor installer shall be certified as an Adhesive Anchor Installer in accordance with ACI-CRSI Adhesive Anchor Installation Certification Program.
- C. Installer Training: For concrete adhesive, expansion and screw anchors, conduct a thorough training with the manufacturer or the manufacturer's representative for the Installer on the project. Training shall consist of a review of the complete installation process to include but not be limited to the following:
  1. Hole drilling procedure.
  2. Hole preparation and cleaning technique.
  3. Adhesive injection technique and dispenser training/maintenance.
  4. Concrete adhesive anchor preparation and installation.
  5. Provide a list of names of all installers who are trained by the Manufacturer's Field Representative on this jobsite prior to installation of products. Record must include the installer name, date of training, products included in the training and trainer name and contact information
  6. Provide a copy of the current ACI/CRSI "Adhesive Anchor Installer" certification cards for all installers who will be installing adhesive anchors in the horizontal to vertically overhead orientation.
- D. All steel welding shall be performed by welders certified in accordance with AWS D1.1. All aluminum welding shall be performed by welders certified in accordance with AWS D1.2. All stainless steel welding shall be performed by welders certified in accordance with AWS D1.6. Certifications of field welders shall be submitted prior to performing any field welds.

- E. Welds and high strength bolts used in connections of structural steel will be visually inspected in accordance with Article 3.04.
- F. The Owner may engage an independent testing agency to perform testing of welded connections and to prepare test reports in accordance with AWS. Inadequate welds shall be corrected or redone and retested to the satisfaction of the Engineer and/or an acceptable independent testing laboratory, at no additional cost to the Owner.
- G. Provide a welding procedure for each type and thickness of weld. For welds that are not prequalified, include a Performance Qualification Report. The welding procedure shall be given to each welder performing the weld. The welding procedure shall follow the format in Annex E of AWS D1.1 with relevant information presented.
- H. Inspections of the adhesive dowel system shall be made by the Engineer or other representatives of the Owner in accordance with the requirements of the ESR published by the manufacturer. Provide adequate time and access for inspections of products and anchor holes prior to injections and installation.

## PART 2 -- PRODUCTS

### 2.01 ANCHOR RODS (ANCHOR BOLTS)

- A. Anchor rods shall conform to ASTM F1554 Grade 36 except where stainless steel or other approved anchor rods are shown on the Drawings. Anchor rods shall have hexagonal heads and shall be supplied with hexagonal nuts meeting the requirements of ASTM A563 Grade A.
- B. Where anchor rods are used to anchor galvanized steel or are otherwise specified to be galvanized, anchor rods and nuts shall be hot-dip galvanized in accordance with ASTM F1554.
- C. Where pipe sleeves around anchor rods are shown on the Drawings, pipe sleeves shall be cut from Schedule 40 PVC plastic piping meeting the requirements of ASTM D1785.

### 2.02 HIGH STRENGTH BOLTS

- A. High strength bolts and associated nuts and washers shall be in accordance with ASTM A325 or ASTM A490. Bolts, nuts and washers shall meet the requirements of AISC 348 "The 2009 RCSC Specification for Structural Joints".
- B. Where high strength bolts are used to connect galvanized steel or are otherwise specified to be galvanized, bolts, nuts, and washers shall be hot-dip galvanized in accordance with ASTM A325.

### 2.03 STAINLESS STEEL BOLTS

- A. Stainless steel bolts shall conform to ASTM F-593. All underwater fasteners, fasteners in confined areas containing fluid, and fasteners in corrosive environments shall be Type 316 stainless steel unless noted otherwise. Fasteners for aluminum and stainless steel

members not subject to the above conditions shall be Type 304 stainless steel unless otherwise noted.

- B. Stainless steel bolts shall have hexagonal heads with a raised letter or symbol on the bolts indicating the manufacturer, and shall be supplied with hexagonal nuts meeting the requirements of ASTM F594. Nuts shall be of the same alloy as the bolts.

## 2.04 CONCRETE ANCHORS

### A. General

1. Where concrete anchors are called for on the Drawings, one of the types listed below shall be used; except, where one of the types listed below is specifically called for on the Drawings, only that type shall be used. The determination of anchors equivalent to those listed below shall be on the basis of test data performed by an approved independent testing laboratory. There are two types used:
  - a. Expansion anchors shall be mechanical anchors of the wedge, sleeve, drop-in or undercut type.
  - b. Adhesive anchors shall consist of threaded rods or bolts anchored with an adhesive system into hardened concrete. Adhesive anchors shall be two part injection type using the manufacturer's static mixing nozzle and shall be supplied as an entire system.
  - c. Concrete screw anchors shall be one piece, heavy duty screw anchor with a finished hex head
2. Expansion anchors shall not be used to hang items from above or in any other situations where direct tension forces are induced in anchor.
3. Unless otherwise noted, all concrete anchors which are submerged or are used in hanging items or have direct tension induced upon them, or which are subject to vibration from equipment such as pumps and generators, shall be adhesive anchors.
4. Adhesive anchors shall conform to the requirements of ACI 355.4 or alternately to AC 308. Expansion, concrete screw or mechanical anchors shall conform to the requirements of ACI 355.2 or alternately to AC 193. Anchors in Seismic Design Categories C through F shall conform to the International Building Code and ACI 318 Appendix D requirements as applicable, including seismic test requirements.
5. Fire Resistance: All anchors installed within fire resistant construction shall either be enclosed in a fire resistant envelope, be protected by approved fire-resistive materials, be used to resist wind and earthquake loads only, or anchor non-structural elements.
6. Engineer's approval is required for use of concrete anchors in locations other than those shown on the Drawings.

B. Concrete Anchor Design:

An anchor design consists of specifying anchor size, quantity, spacing, edge distance and embedment to resist all applicable loads. Where an anchor design is indicated on the Drawings, it shall be considered an engineered design and anchors shall be installed to the prescribed size, spacing, embedment depth and edge distance. If all parts of an anchor design are provided on the Drawings except embedment depth, the anchors will be considered an engineered design and the Contractor shall provide the embedment depth as indicated in Paragraph B.3 unless otherwise directed by the Engineer. Where an anchor design is not indicated by the Engineer on the Drawings, the Contractor shall provide the anchor design per the requirements listed below.

1. **Structural Anchors:** All concrete anchors shall be considered structural anchors if they transmit load between structural elements; transmit load between non-structural components that make up a portion of the structure and structural elements; or transmit load between life-safety related attachments and structural elements. Examples of structural concrete anchors include but are not limited to column anchor bolts, anchors supporting non-structural walls, sprinkler piping support anchors, anchors supporting heavy, suspended piping or equipment, anchors supporting barrier rails, etc. For structural anchors, the Contractor shall submit an engineered design with signed and sealed calculations performed by an Engineer currently registered in the State of Kentucky. Structural anchors shall be of a type recommended by the anchor manufacturer for use in cracked concrete and shall be designed by the Contractor in accordance with ACI 318 Appendix D.
2. **Non-Structural Anchors:** All other concrete anchors may be considered non-structural concrete anchors. The Contractor shall perform an engineered design for non-structural anchors. The Engineer may request the Contractor provide anchor design details for review, but submission of a signed, sealed design is not required. Non-structural anchors shall be designed by the contractor for use in uncracked concrete.
3. **Embedment Depth**
  - a. Minimum anchor embedment shall be as indicated on the Drawings or determined by the Contractor's engineered design.
  - b. Where the embedment depth is not shown on the Drawings, concrete anchors shall be embedded no less than the manufacturer's standard embedment (expansion or mechanical anchors) or to provide a minimum allowable bond strength equal to the allowable yield capacity of the rod according to the manufacturer (adhesive anchors).
  - c. The embedment depth shall be determined using the actual concrete compressive strength, a cracked concrete state, maximum long term temperature of 110 degrees F, and maximum short term temperature of 140 degrees F. In no case shall the embedment depth be less than the minimum or more than the maximum stated in the manufacturer's literature.



C. Structural Anchors:

1. Mechanical Anchors:

- a. Wedge Anchors: Wedge anchors shall be "Kwik Bolt TZ" by Hilti, Inc., "TruBolt +" by ITW Redhead, "Strong-Bolt 2" by Simpson Strong-Tie Co. or "Power-Stud+SD1" or "Power-Stud+ SD-2" by DeWalt.
- b. Screw Anchors: Screw anchors shall be "Kwik HUS-EZ" and "KWIK HUS-EZ-I" by Hilti, Inc., "Titen HD" by Simpson Strong-Tie Co., or "Screw-Bolt+" by DeWalt. Bits specifically provided by manufacturer of chosen system shall be used for installation of anchors.
- c. Sleeve Anchors: Sleeve anchors shall be "HSL-3 Heavy Duty Sleeve Anchor" by Hilti, Inc. or "Power-Bolt +" by DeWalt.
- d. Undercut Anchors: Undercut anchors shall be "HDA Undercut Anchor" by Hilti, Inc., "Torq-Cut Undercut Anchor" by Simpson Strong-Tie Co., "Atomic + Undercut Anchor" by DeWalt
- e. Shallow Embedment Internally Threaded Insert (3/4" max embedment): "Mini-Undercut +Anchor" by DeWalt, "HSC-A" by Hilti, Inc. or approved equal.

2. Adhesive Anchors:

- a. Adhesive anchors shall be "Epcon C6+ Adhesive Anchoring System" by ITW Redhead, "HIT HY-200 Adhesive Anchoring System" by Hilti, Inc., "SET-XP Epoxy Adhesive Anchors" by Simpson Strong-Tie Co., or "Pure 110+ Epoxy Adhesive Anchor System" by DeWalt.
- b. Structural adhesive anchor systems shall be IBC compliant and capable of resisting short term wind and seismic loads (Seismic Design Categories A through F) as well as long term and short term sustained static loads in both cracked and uncracked concrete in all Seismic Design Categories. Structural adhesive anchor systems shall comply with the latest revision of ICC-ES Acceptance Criteria AC308, and shall have a valid ICC-ES report in accordance with the applicable building code. **No or equal products will be considered unless prequalified and approved by the Engineer and Owner.**

D. Non-Structural Anchors: In addition to the acceptable non-structural anchors listed below, all structural anchors listed above may also be used as non-structural anchors.

1. Mechanical Anchors:

- a. Wedge Anchors: Wedge anchors shall be "Kwik Bolt 3" by Hilti, Inc., "Power-Stud+ SD1" by DeWalt, "Wedge-All" by Simpson Strong-Tie Co. or "TruBolt" by ITW Redhead.

- b. Screw Anchors: Screw anchors shall be "Kwik HUS" by Hilti, Inc., "Screw Bolt+" or 316 Stainless Steel Wedge-Bolt" by DeWalt, "Large Diameter Tapcon (LDT) Anchor" by ITW Redhead, or "Titen HD" by Simpson Strong-Tie Co. Bits specifically provided by manufacturer of chosen system shall be used for installation of anchors.
- c. Sleeve Anchors: Sleeve anchors shall be "HSL Heavy Duty Sleeve Anchors" by Hilti, Inc. "Power-Bolt+" by DeWalt "Dynabolt Sleeve Anchor" by ITW Redhead, or "Sleeve-All" by Simpson Strong-Tie Co.
- d. Drop-In Anchors: Drop-in anchors shall be "Drop-In" by Simpson Strong-Tie Co., "HDI Drop-In Anchor" by Hilti, Inc., "Smart DI" by DeWalt or "Multi-Set II Drop-In Anchor" by ITW Redhead.
- e. Undercut Anchors: Undercut anchors shall be "HDA Undercut Anchor" by Hilti, Inc., "Atomic Undercut+" by DeWalt or "Torq-Cut" by Simpson Strong-Tie Co.

2. Adhesive Anchors:

- a. Adhesive anchors shall be "Epcon A7" or "Epcon C6+ Adhesive Anchoring System" by ITW Redhead, "HIT HY-200 Adhesive Anchoring System" by Hilti, Inc., "SET Epoxy Tie High Strength Anchoring Adhesive" or "AT High Strength Anchoring Adhesive" by Simpson Strong-Tie Co., or AC100+ Gold" Adhesive Anchoring System" by DeWalt.
- b. Non-structural adhesive anchors systems shall be IBC compliant and capable of resisting short term wind and seismic (Seismic Design Categories A and B) as well as long term and short term sustained static loads in uncracked concrete.
- c. Non-structural adhesive anchor embedment depth of the rod shall provide a minimum allowable bond strength that is equal to the allowable yield capacity of the rod unless noted otherwise on the Drawings.
- d. **No or equal products will be considered unless prequalified and approved by the Engineer and Owner.**

E. Concrete Anchor Rod Materials:

- 1. Concrete anchors used to anchor structural steel shall be a threaded steel rod per manufacturer's recommendations for proposed adhesive system, but shall not have a yield strength (fy) less than 58 ksi nor an ultimate strength (fu) less than 72.5 ksi, unless noted otherwise. Where steel to be anchored is galvanized, concrete anchors shall also be galvanized unless otherwise indicated on the Drawings.
- 2. Concrete anchors used to anchor aluminum, FRP, or stainless steel shall be Type 304 stainless steel unless noted otherwise. All underwater concrete anchors shall be Type 316 stainless steel.

3. Nuts, washers, and other hardware shall be of a material to match the anchors.

## 2.05 MASONRY ANCHORS

- A. Anchors for fastening to solid or grout-filled masonry shall be adhesive anchors as specified above for concrete anchors.
- B. Anchors for fastening to hollow masonry or brick shall be adhesive anchors consisting of threaded rods or bolts anchored with an adhesive system dispensed into a screen tube inserted into the masonry. The adhesive system shall use a two-component adhesive mix and shall inject into the screen tube with a static mixing nozzle. Thoroughly clean drill holes of all debris and drill dust prior to installation of adhesive and anchor. Contractor shall follow manufacturer's installation instructions. The adhesive system shall be "HIT HY-70 System" as manufactured by Hilti, Inc., or "AC100+ Acrylic Adhesive" by DeWalt, "SET-XP" as manufactured by Simpson Strong-Tie Co.
- C. Masonry anchors used to anchor steel shall be a threaded steel rod per manufacturer's recommendations for proposed adhesive system, but shall not have a yield strength (fy) less than 58 ksi nor an ultimate strength (fu) less than 72.5 ksi, unless noted otherwise. Where steel to be anchored is galvanized, masonry anchors shall also be galvanized.
- D. Masonry anchors used to anchor aluminum, FRP, or stainless steel shall be Type 304 stainless steel unless noted otherwise. All underwater anchors shall be Type 316 stainless steel.

## 2.06 WELDS

- A. Electrodes for welding structural steel and all ferrous steel shall comply with AWS Code, using E70 series electrodes for shielded metal arc welding (SMAW), or F7 series electrodes for submerged arc welding (SAW).
- B. Electrodes for welding aluminum shall comply with the Aluminum Association Specifications and AWS D1.2.
- C. Electrodes for welding stainless steel and other metals shall comply with AWS D1.6.

## 2.07 WELDED STUD CONNECTORS (NOT USED)

## 2.08 EYEBOLTS (NOT USED)

## 2.09 HASTELLOY FASTENERS (NOT USED)

## 2.10 ANTISEIZE LUBRICANT

- A. Antiseize lubricant shall be C5-A Anti-Seize by Loctite Corporation, Molykote P-37 Anti-Seize Paste by Dow Corning, 3M Anti-Seize by 3M, or equal.

## PART 3 -- EXECUTION

### 3.01 MEASUREMENTS

- A. The Contractor shall verify all dimensions and review the Drawings and shall report any discrepancies to the Engineer for clarification prior to starting fabrication.

### 3.02 ANCHOR INSTALLATION

#### A. Anchor Rods, Concrete Anchors, and Masonry Anchors

1. Anchor rods shall be installed in accordance with AISC "Code of Standard Practice" by setting in concrete while it is being placed and positioned by means of a rigidly held template. Overhead adhesive anchors, and base plates or elements they are anchoring, shall be shored as required and securely held in place during anchor setting to prevent movement during anchor installation. Movement of anchors during curing is prohibited.
2. The Contractor shall verify that all concrete and masonry anchors have been installed in accordance with the manufacturer's recommendations and that the capacity of the installed anchor meets or exceeds the specified safe holding capacity.
3. Concrete anchors shall not be used in place of anchor rods without Engineer's approval.
4. All stainless steel threads shall be coated with antiseize lubricant.

#### B. High Strength Bolts

1. All bolted connections for structural steel shall use high strength bolts. High strength bolts shall be installed in accordance with AISC 348 "The 2009 RCSC Specification for Structural Joints". All bolted joints shall be Type N, snug-tight, bearing connections in accordance with AISC Specifications unless noted otherwise on the Drawings.

#### C. Concrete Anchors

1. Concrete at time of anchor installation shall be a minimum age of 21 days, have a minimum compressive strength of 2500 psi, and shall be at least 50 degrees F.
2. Concrete anchors designed by the Contractor shall be classified as structural or non-structural based on the requirements indicated above.
3. All concrete anchors shall be installed in strict conformance with the manufacturer's printed installation instructions. A representative of the manufacturer shall be on site when required by the Engineer.
4. All holes shall be drilled in accordance with the manufacturer's instructions except that cored holes shall not be allowed unless specifically approved by the Engineer. If cored holes are allowed by the manufacturer and approved by the Engineer,

cored holes shall be roughened in accordance with manufacturer requirements. Thoroughly clean drill holes of all debris, drill dust, and water in accordance with the manufacturer's instructions prior to installation of adhesive and threaded rod unless otherwise recommended by the manufacturer. Degree of hole dampness shall be in strict accordance with manufacturer recommendations. Installation conditions shall be either dry or water-saturated. Water filled or submerged holes shall not be permitted unless specifically approved by the Engineer. . Injection of adhesive into the hole shall be performed to minimize the formation of air pockets in accordance with the manufacturer's instructions. Wipe rod free from oil that may be present from shipping or handling.

5. All adhesive anchor installations in the horizontal to vertically overhead orientation shall be conducted by a certified Adhesive Anchor Installer as certified by ACI/CSRI per ACI 318-11 9.2.2. Current AAI Certificate must be submitted to the Engineer of Record prior to commencement of any adhesive anchor installations.

D. Other Bolts

1. All dissimilar metal shall be connected with appropriate fasteners and shall be insulated with a dielectric or approved equal.
2. All stainless steel bolts shall be coated with antiseize lubricant.

3.03 WELDING

- A. All welding shall comply with AWS Code for procedures, appearance, quality of welds, qualifications of welders and methods used in correcting welded work.
- B. Welded stud connectors shall be installed in accordance with AWS D1.1.

3.04 INSPECTION

- A. High strength bolting will be visually inspected in accordance with AISC 348 "The 2009 RCSC Specification for Structural Joints". Rejected bolts shall be either replaced or retightened as required.
- B. Field welds will be visually inspected in accordance with AWS Codes. Inadequate welds shall be corrected or redone as required in accordance with AWS Codes.
- C. Post-installed concrete anchors shall be inspected as required by ACI 318.

3.05 CUTTING OF EMBEDDED REBAR

- A. The Contractor shall not cut embedded rebar cast into structural concrete during installation of post-installed fasteners without prior approval of the Engineer.

- END OF SECTION -



SECTION 05510

METAL STAIRS

PART 1 -- GENERAL

1.01 THE REQUIREMENT

- A. Furnish all materials, labor, and equipment required to provide all metal stairs in accordance with the Contract Documents.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Section 05010 - Metal Materials
- B. Section 05050 - Metal Fastening
- C. Section 05520 - Handrails and Railings
- D. Section 05531 - Gratings

1.03 REFERENCE SPECIFICATIONS, CODES, AND STANDARDS

- A. Without limiting the generality of the other requirements of the specifications, all work herein shall conform to the applicable requirements of the following documents. All referenced specifications, codes, and standards refer to the most current issue available at the time of Bid.

- 1. Kentucky Building Code
- 2. Aluminum Association Specifications for Aluminum Structures

1.04 SUBMITTALS

- A. Submit the following in accordance with Section 01300 - Submittals.
  - 1. Complete fabrication and erection drawings of all metal work specified herein.
  - 2. Other submittals as required in accordance with Section 05010 - Metal Materials, and Section 05050 - Metal Fastening.

PART 2 -- PRODUCTS

2.01 METAL MATERIALS

- A. Metal materials used for metal stairs shall conform to Section 05010, Metal Materials, unless noted otherwise.

## 2.02 METAL FASTENING

- A. All welds and fasteners used in metal stairs shall conform to Section 05050, Metal Fastening, unless noted otherwise.

## 2.03 METAL STAIRS AND LANDINGS

- A. Stair stringers and structural framing of landings shall be fabricated from aluminum as indicated on the Drawings.
  - 1. Aluminum stairs shall be fabricated from aluminum alloy 6061-T6 in accordance with Section 05010, Structural Aluminum.
- B. Regardless of material of stringers, all stair treads shall be aluminum in accordance with Section 2.04.
- C. Where metal landings are required as indicated on the Drawings, gratings at landings shall conform to Section 05531, Gratings.
- D. Handrails for metal stairs shall conform to Section 05520, Handrails and Railings. Contractor shall coordinate attachment of handrails to metal stairs.
- E. All clips, anchors, and necessary appurtenances shall be provided for a complete and rigid installation.
- F. Closure plates shall be provided for all exposed ends of stringers.
- G. All exposed connections shall be welded and ground smooth, unless otherwise indicated on the Drawings.
- H. Stairs and landings shall be designed to support a 100 psf live load, minimum, unless otherwise indicated on the Drawings.

## 2.04 STAIR TREADS

- A. Stair treads shall be aluminum with an abrasive nosing as shown on the Drawings.
- B. Stair treads shall be designed for the live load specified in Section 2.03.H.
- C. Stair treads shall be as manufactured by IKG Industries, or Safe-T-Metal Company.

## PART 3 -- EXECUTION

### 3.01 FABRICATION

- A. All measurements and dimensions shall be based on field conditions and shall be verified by the Contractor prior to fabrication. Such verification shall include coordination with all adjoining work.



- B. All fabricated work shall be shop fitted together as much as practicable, and delivered to the field, complete and ready for erection. All miscellaneous items such as stiffeners, fillets, connections, brackets, and other details necessary for a complete installation shall be provided.
- C. All work shall be fabricated and installed in a manner that will provide for expansion and contraction, prevent shearing of bolts, screws, and other fastenings, ensure rigidity, and provide a close fit of sections.
- D. Finished members shall conform to the lines, angles, and curves shown on the drawings and shall be free from distortions of any kind.
- E. All shearings shall be neat and accurate, with parts exposed to view neatly finished. Flame cutting is allowed only when performed utilizing a machine.
- F. All shop connections shall be welded unless otherwise indicated on the Drawings or specified herein. Bolts and welds shall conform to Section 05050, Metal Fastening. All fastenings shall be concealed where practicable.

### 3.02 INSTALLATION

- A. Assembly and installation of metal stairs shall be performed in strict accordance with manufacturer's recommendations.
- B. All miscellaneous metalwork shall be erected square, plumb and true, accurately fitted, adequately anchored in place, and set at proper elevations and positions.

- END OF SECTION -



SECTION 05520  
HANDRAILS AND RAILINGS

PART 1 -- GENERAL

1.01 THE REQUIREMENT

- A. Furnish all materials, labor, and equipment required to provide all handrails and railings in accordance with the Contract Documents.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Section 05010 - Metal Materials
- B. Section 05050 - Metal Fastening

1.03 REFERENCE SPECIFICATIONS, CODES, AND STANDARDS

- A. Without limiting the generality of the other requirements of the specifications, all work herein shall conform to the applicable requirements of the following documents. All referenced specifications, codes, and standards refer to the most current issue available at the time of Bid.

- 1. Kentucky Building Code
- 2. Aluminum Association Specifications for Aluminum Structures
- 3. Occupational Safety and Health Administration (OSHA) Regulations

1.04 SUBMITTALS

- A. Submit the following in accordance with Section 01300, Submittals.
  - 1. Complete fabrication and erection drawings of all metal work specified herein.
  - 2. Other submittals as required in accordance with Section 05010, Metal Materials and Section 05050, Metal Fastening.

PART 2 -- PRODUCTS

2.01 METAL MATERIALS

- A. Metal materials used for handrails and railings shall conform to Section 05010, Metal Materials, unless noted otherwise.

## 2.02 METAL FASTENING

- A. All welds and fasteners used in handrails and railings shall conform to Section 05050, Metal Fastening, unless noted otherwise.

## 2.03 HANDRAILS AND RAILINGS

- A. General - Handrail systems shall consist of all railings, posts, toeboards, baseplates, anchors, and accessories required for a complete and rigid installation.
  - 1. All handrail systems shall be fabricated from extruded aluminum alloy 6061-T6 or 6105-T5, with Aluminum Association M12C22A41 finish, unless otherwise noted.
  - 2. Metal railings shall be fabricated from 1-1/2 inch Schedule 40 pipe. Metal railing support posts shall be fabricated from 1-1/2 inch Schedule 80 pipe.
  - 3. The centerline of the top guard rail shall be 42 inches above the walking surface for level rail. For stair rail, the centerline of the top guard rail shall be 42 inches above the leading edge of the tread nosing. Stair handrail shall be 34 inches above the leading edge of the tread nosing. See Standard Detail 0552000.
  - 4. Posts
    - a. Maximum horizontal spacing between posts for level rail shall be six feet.
    - b. Maximum horizontal spacing between posts for stair rail shall be five feet.
  - 5. All rail joints shall be finished flush and shall occur only at supports. Posts shall not interrupt the continuation of the top rail at any point along the railing, including corners and end terminations. The top surface of the top railing shall be smooth and shall not be interrupted by projecting fittings.
  - 6. Toeboards
    - a. Toeboards shall project 4-inches above the walking surface and shall not infringe on the minimum required walkway width.
    - b. Aluminum toeboards shall be extruded from aluminum alloy 6063-T6 unless otherwise noted.
    - c. Toeboards shall have a minimum thickness of 1/8" at any point. Geometry of toeboard shall closely resemble geometry shown on Drawings.
  - 7. Expansion joint splices shall be provided at 30 foot maximum spacing and at all expansion joints in the structure supporting the handrail.
  - 8. The handrail system shall be designed to resist the design loads specified by both OSHA and the Kentucky Building Code.
  - 9. Provide handrail extensions at top and bottom of stairs and ramps in accordance with the Kentucky Building Code.

- B. For metal handrail, the Contractor shall have the option of providing a handrail system of either an all welded type construction or a component type construction.
  - 1. With both the all welded or component type construction, the baseplates and toeboards shall be furnished as shown on the Drawings.
  - 2. Component Type System
    - a. All fittings and brackets shall be designed for stainless steel concealed set screws with internal tyne type connectors.
    - b. Exposed fittings shall be cast or extruded aluminum, or stainless steel to match ladder material, except where corrosion-resistant steel is employed as a standard fabricator's item for use.
    - c. Component type handrail shall be as manufactured by Thompson Fabricating Company, Inc., or Hollaender Manufacturing Company, Inc.
  - 3. Welded handrail may be field assembled using component type fittings as described herein.
- C. Handrail shall be either Type I or Type III handrail as shown on the Drawings.
  - 1. Type I handrail shall be a two-rail system. The centerline of the intermediate rail shall be 21 inches above the walking surface.
- D. Where gates are required in handrails as shown on the Drawings, they shall be self-closing and shall be provided by the same manufacturer as the handrail. Gates shall swing away from the opening being protected by the handrail.
- E. Where safety chains are required in handrails as shown on the Drawings, chains shall be constructed of Type 304 stainless steel. Chains shall be straight link style, 3/16-inch diameter, with at least twelve links per foot, and with snap hooks on each end. Snap hooks shall be boat type and eye bolts for attachment of chains shall be 3/8-inch bolts with 3/4-inch eye diameter welded to the railing posts. Two (2) chains, four inches longer than the anchorage spacing shall be supplied for each guarded area.

### PART 3 -- EXECUTION

#### 3.01 FABRICATION

- A. All measurements and dimensions shall be based on field conditions and shall be verified by the Contractor prior to fabrication. Such verification shall include coordination with all adjoining work.
- B. All fabricated work shall be shop fitted together as much as practicable, and delivered to the field, complete and ready for erection.

- C. All work shall be fabricated and installed in a manner that will provide for expansion and contraction, prevent shearing of bolts, screws, and other fastenings, ensure rigidity, and provide a close fit of sections.
- D. Finished members shall conform to the lines, angles, and curves shown on the drawings and shall be free from distortions of any kind.
- E. All shearings shall be neat and accurate, with parts exposed to view neatly finished. Flame cutting is allowed only when performed utilizing a machine.
- F. Concrete anchors and bolts for attachment of handrail baseplates to supporting members shall conform to Section 05050, Metal Fastening.
- G. All fabricated items shall be shop painted in accordance with Section 09900, Painting.

### 3.02 INSTALLATION

- A. Assembly and installation of handrails and railings shall be performed in strict accordance with manufacturer's recommendations.
- B. All handrails and railings shall be erected square, plumb and true, accurately fitted, adequately anchored in place, and set at proper elevations and positions.

- END OF SECTION -

## SECTION 05531

### GRATINGS

#### PART 1 -- GENERAL

##### 1.01 THE REQUIREMENT

- A. Furnish all materials, labor, and equipment required to provide all gratings in accordance with the Contract Documents.

##### 1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Section 05010 - Metal Materials
- B. Section 05050 - Metal Fastening

##### 1.03 REFERENCE SPECIFICATIONS, CODES AND STANDARDS

- A. Without limiting the generality of the other requirements of the specifications, all work herein shall conform to the applicable requirements of the following documents. All referenced specifications, codes, and standards refer to the most current issue available at the time of Bid.

- 1. Kentucky Building Code
- 2. Aluminum Association Specifications for Aluminum Structures
- 3. Occupational Safety and Health Administration (OSHA) Regulations

##### 1.04 SUBMITTALS

- A. Submit the following in accordance with Section 01300, Submittals.
  - 1. Complete fabrication and erection Drawings of all gratings specified herein.
  - 2. Other submittals as required in accordance with Section 05010, Metal Materials, and Section 05050, Metal Fastening.

#### PART 2 -- PRODUCTS

##### 2.01 METAL MATERIALS

- A. Metal materials used for gratings shall conform to Section 05010, Metal Materials, unless noted otherwise.

## 2.02 METAL FASTENING

- A. All welds and fasteners used for gratings shall conform to Section 05050, Metal Fastening, unless noted otherwise.

## 2.03 GRATING

- A. General - Grating, including support frames, fastenings, and all necessary appurtenances for a complete installation, shall be furnished as indicated on the Drawings.

1. All exposed bearing ends of grating shall be enclosed in a perimeter band of the same dimensions and material as the main bars, including ends at all cutouts.
2. Grating shall be fabricated into easily removable sections and shall be fastened at each corner and as required with fasteners provided by the grating manufacturer. No fasteners shall be permitted to project above the walking surface.
3. Grating shall be designed for a loading of 150 psf unless otherwise required by the Drawings. Grating deflection shall not exceed 1/4 inch under a uniform load of 100 psf. Minimum grating depth shall be 1-1/2 inches, unless structural requirements based on clear span require more depth.
4. Grating installed in cast-in-place concrete shall be provided with embedded support frames on all perimeter and bearing edges. Support frames shall include anchor straps or headed studs at a maximum of 18" on-center, a minimum of two each side. Support frames shall be fabricated from the same material as the grating.

- B. Aluminum Grating

1. Aluminum grating shall be of I-bar type and shall consist of extruded bearing bars positioned and locked by crossbars. All supports, cross members, etc. shall be aluminum. Plank clips for grating holddowns or other required attachments, shall be aluminum or stainless steel. Bolts shall be stainless steel. Provide embedded aluminum support frames for cast-in-place concrete installations.
2. Grating shall be "19-SI-4 I-Bar Swage Locked" by Alabama Metal Industries Corporation (AMICO), "IB" by Harsco Industrial IKG, "I-Bar 19SGI4", by Ohio Grating Inc., or "I-Bar" by Thompson Fabricating LLC.

## PART 3 -- EXECUTION

### 3.01 FABRICATION

- A. All measurements and dimensions shall be based on field conditions and shall be verified by the Contractor prior to fabrication. Such verification shall include coordination with adjoining work.
- B. All fabricated work shall be shop fitted together as much as practicable, and delivered to the field, complete and ready for erection. All miscellaneous items such as stiffeners, fillets,



connections, brackets, and other details necessary for a complete installation shall be provided.

- C. All work shall be fabricated and installed in a manner that will provide for expansion and contraction, prevent shearing of bolts, screws, and other fastenings, ensure rigidity, and provide a close fit of sections.
- D. Finished members shall conform to the lines, angles, and curves shown on the Drawings and shall be free from distortions of any kind.
- E. All shearings shall be neat and accurate, with parts exposed to view neatly finished. Flame cutting is allowed only when performed utilizing a machine.
- F. All shop connections shall be welded unless otherwise indicated on the Drawings or specified herein. Bolts and welds shall conform to Section 05050, Metal Fastening. All fastenings shall be concealed where practicable.

### 3.02 INSTALLATION

- A. Assembly and installation of fabricated system components shall be performed in strict accordance with manufacturer's recommendations.
- B. All gratings shall be erected square, plumb and true, accurately fitted, adequately anchored in place, and set at proper elevations and positions. Embedded support frames shall be set level and square.
- C. Grating shall not be field cut or modified unless approved by Engineer.
- D. Grating shall not be used for equipment support or anchorage.

- END OF SECTION -



## SECTION 16000

### BASIC ELECTRICAL REQUIREMENTS

#### PART 1 -- GENERAL

##### 1.01 THE REQUIREMENT

- A. The Contractor shall furnish all labor, materials, tools, and equipment, and perform all work and services necessary for, or incidental, to the furnishing and installation of all electrical work as shown on the Drawings, and as specified in accordance with the provisions of the Contract Documents and completely coordinate with the work of other trades involved in the general construction. Although such work is not specifically shown or specified, all supplementary or miscellaneous items, appurtenances, and devices incidental to or necessary for a sound, secure, and complete installation shall be furnished and installed as part of this work. The Contractor shall obtain approved Shop Drawings showing wiring diagrams, connection diagrams, roughing-in and hook up details for all equipment and comply therewith. All electrical work shall be complete and left in operating condition in accordance with the intent of the Drawings and the Specifications for the electrical work.
- B. The electrical scope of work for this project primarily includes, but is not limited to, the following:
1. Demolition of the existing diesel-fueled standby generator package including existing sub-base fuel tank and wooden walkway.
  2. Modifications to existing equipment pads for standby generator and automatic transfer switch.
  3. Furnish and installation of a new diesel-fueled standby generator package including weather-proof, sound attenuated enclosure, sub-base fuel tank and other accessories as specified.
  4. Furnish and install all aboveground raceway systems including conduit, fittings, boxes, supports, and other pertinent components.
  5. Furnish and install all underground raceway systems including conduit, fittings, manholes, handholes and other pertinent components.
  6. Furnish and install all low voltage wire and cable resulting in a complete and operable electrical system.
  7. Other electrical work as specified herein and indicated on the Drawings.
- C. All material and equipment must be the product of an established, reputable, and approved manufacturer; must be new and of first class construction; must be designed and guaranteed to perform the service required; and must bear the label of approval of

the Underwriters Laboratories, Inc., where such approval is available for the product of the listed manufacturer as approved by the Engineer.

- D. When a specified or indicated item has been superseded or is no longer available, the manufacturer's latest equivalent type or model of material or equipment as approved by the Engineer shall be furnished and installed at no additional cost to the Owner.
- E. Where the Contractor's selection of equipment of specified manufacturers or additionally approved manufacturers requires changes or additions to the system design, the Contractor shall be responsible in all respects for the modifications to all system designs, subject to approval of the Engineer. The Contractor's bid shall include all costs for all work of the Contract for all trades made necessary by such changes, additions or modifications or resulting from any approved substitution.
- F. Furnish and install all stands, racks, brackets, supports, and similar equipment required to properly serve the equipment which is furnished under this Contract, or equipment otherwise specified or indicated on the Drawings.
- G. All electrical components and systems, including electrical equipment foundations, shall be designed to resist operational forces as well as lateral sway and axial motion from seismic and thermal forces. Seismic support design shall be in accordance with the Contract Drawings.

#### 1.02 EQUIPMENT LOCATION

- A. The Drawings show the general location of equipment, feeders, transformers, outlets, conduits, and circuit arrangements. Because of the small scale of the Drawings, it is not possible to indicate all of the details involved. The Contractor shall carefully investigate the structural and finish conditions affecting all of his work and shall arrange such work accordingly; furnishing such fittings, junction boxes, and accessories as may be required to meet such conditions. The Contractor shall refer to the entire Drawing set to verify openings, special surfaces, and location of other equipment, or other special equipment prior to roughing-in for panels, switches, and other outlets. The Contractor shall verify all equipment dimensions to ensure that proposed equipment will fit properly in spaces indicated.

#### 1.03 LOCAL CONDITIONS

- A. The Contractor shall examine the site and become familiar with conditions affecting the work. The Contractor shall investigate, determine, and verify locations of any overhead or buried utilities on or near the site, and shall determine such locations in conjunction with all public and/or private utility companies and with all authorities having jurisdiction. All costs, both temporary and permanent to connect all utilities, shall be included in the Bid. The Contractor shall be responsible for scheduling and coordinating with the local utility for temporary and permanent services.
- B. In addition, the Contractor shall relocate all duct banks, lighting fixtures, receptacles, switches, boxes, and other electrical equipment as necessary to facilitate the Work included in this project. Costs for such work shall be included in the Bid.

#### 1.04 SUBMITTALS

- A. In accordance with the procedures and requirements set forth in the General Conditions, Section 01300, Submittals and the requirements of the individual specification sections, the Contractor shall obtain from the equipment manufacturer and submit the following:
1. Shop Drawings
  2. Operation and Maintenance Manuals
  3. Spare Parts List
  4. Proposed Testing Methods and Reports of Certified Shop Tests.
  5. Reports of Certified Field Tests.
  6. Manufacturer's Representative's Certification.
- B. Submittals shall be sufficiently complete in detail to enable the Engineer to determine compliance with Contract requirements.
- C. Submittals will be approved only to the extent of the information shown. Approval of an item of equipment shall not be construed to mean approval for components of that item for which the Contractor has provided no information.
- D. Some individual Division 16 specification sections may require a Compliance, Deviations, and Exceptions (CD&E) letter to be submitted. If the CD&E letter is required and shop drawings are submitted without the letter, the submittal will be rejected. The letter shall include all comments, deviations and exceptions taken to the Drawings and Specifications by the Contractor AND Equipment Manufacturer/Supplier. This letter shall include a copy of this specification section. In the left margin beside each and every paragraph/item, a letter "C", "D", or "E" shall be typed or written in. The letter "C" shall be for full compliance with the requirement. The letter "D" shall be for a deviation from the requirement. The letter "E" shall be for taking exception to a requirement. Any requirements with the letter "D" or "E" beside them shall be provided with a full typewritten explanation of the deviation/exception. Handwritten explanation of the deviations/exceptions is not acceptable. The CD&E letter shall also address deviations, and exceptions taken to each Drawing related to this Specification Section.
- E. Seismic support design for all nonstructural electrical components (conduit, raceways, freestanding equipment, etc.) shall be in accordance with all applicable federal, state and local building code requirements and the Contract Drawings.

#### 1.05 APPLICABLE CODES AND REQUIREMENTS

- A. Conformance
1. All work, equipment and materials furnished shall conform with the existing rules, requirements and specifications of the following:
    - a. Insurance Rating Organization having jurisdiction

- b. The serving electrical utility company
  - c. The currently adopted edition of the National Electrical Code (NEC)
  - d. The National Electric Manufacturers Association (NEMA)
  - e. The Institute of Electrical and Electronic Engineers (IEEE)
  - f. The Insulated Cable Engineers Association (ICEA)
  - g. The American Society of Testing Materials (ASTM)
  - h. The American National Standards Institute (ANSI)
  - i. The requirements of the Occupational Safety Hazards Act (OSHA)
  - j. The National Electrical Contractors Association (NECA) Standard of Installation
  - k. National Fire Protection Association (NFPA)
  - l. International Electrical Testing Association (NETA)
  - m. All other applicable Federal, State and local laws and/or ordinances.
2. All material and equipment shall bear the inspection labels of Underwriters Laboratories, Inc., if the material and equipment is of the class inspected by said laboratories.

**B. Nonconformance**

1. Any paragraph of requirements in these Specifications, or Drawings, deviating from the rules, requirements and Specifications of the above organizations shall be invalid and their (the above organizations) requirements shall hold precedent thereto. The Contractor shall be held responsible for adherence to all rules, requirements and specifications as set forth above. Any additional work or material necessary for adherence will not be allowed as an extra, but shall be included in the Bid. Ignorance of any rule, requirement, or Specification shall not be allowed as an excuse for nonconformity. Acceptance by the Engineer does not relieve the Contractor from the expense involved for the correction of any errors which may exist in the drawings submitted or in the satisfactory operation of any equipment.

**C. Certification**

1. Upon completion of the work, the Contractor shall obtain certificate(s) of inspection and approval from the National Board of Fire Underwriters or similar inspection organization having jurisdiction and shall deliver same to the Engineer and the Owner.

**1.06 PERMITS AND INSPECTIONS**

- A. The Contractor shall reference the General Conditions.

## 1.07 TESTS

- A. Upon completion of the installation, the Contractor shall perform tests for operation, load (Phase) balance, overloads, and short circuits. Tests shall be made with and to the satisfaction of the Owner and Engineer.
- B. The Contractor shall perform all field tests and shall provide all labor, equipment, and incidentals required for testing and shall pay for electric power required for the tests. All defective material and workmanship disclosed shall be corrected by the Contractor at no cost to the Owner. The Contractor shall show by demonstration in service that all circuits and devices are in good operating condition.
- C. Refer to each individual specification section for detailed test requirements.
- D. The Contractor shall complete the installation and field testing of the electrical installation.
- E. Before each test commences, the Contractor shall submit a detailed test procedure, and also provide test engineer resume, manpower and scheduling information for the approval by the Engineer. In addition, the Contractor shall furnish detailed test procedures for any of his equipment required as part of the field tests of other systems.

## 1.08 INFRARED INSPECTION

- A. Just prior to the final acceptance of a piece of equipment, the Contractor shall perform an infrared inspection to locate and correct all heating problems associated with electrical equipment terminations.
- B. The infrared inspection shall apply to all new equipment and existing equipment that is in any way modified under this Contract. All heating problems detected with new equipment furnished and installed under the Scope of this Contract shall be corrected by the Contractor. All problems detected with portions of existing equipment modified under this Contract shall also be corrected by the Contractor.
- C. Any issues detected with portions of existing equipment that were not modified under this Contract are not the responsibility of the Contractor. Despite the Contractor not being held responsible for these problems, the Contractor shall report them to the Owner and Engineer immediately for resolution.
- D. The infrared inspection report shall include both digital and IR pictures positioned side by side. Both the digital and IR pictures shall be clear and high quality. Fuzzy, grainy, or poorly illuminated pictures are not acceptable. The IR picture shall be provided with a temperature scale beside it, and an indication of the hot spot temperature in each picture. All problem areas shall be noted in the report. Reports shall be furnished in a 3-ring binder, with all pages printed in full color, with equipment assemblies separated by tabs.

## 1.09 PROTECTIVE DEVICE SETTING AND TESTING

- A. The Contractor shall provide the services of a field services organization to adjust, set, calibrate and test all protective devices in the electrical system. The organization shall be a subsidiary of or have a franchise service agreement with the electrical equipment

manufacturer. The qualifications of the organization and resumes of the technicians as well as all data forms to be used for the field testing shall be submitted.

- B. All protective devices in the electrical equipment shall be set, adjusted, calibrated and tested in accordance with the manufacturers' recommendations, the coordination study, and best industry practice.
- C. Proper operation of all equipment associated with the device under test and its compartment shall be verified, as well as complete resistance, continuity and polarity tests of power, protective and metering circuits. Any minor adjustments, repairs and/or lubrication necessary to achieve proper operation shall be considered part of this Contract.
- D. All solid state trip devices shall be checked and tested for setting and operation using manufacturers recommended test devices and procedures.
- E. Circuit breakers and/or contactors associated with the above devices shall be tested for trip and close functions with their protective device.
- F. When completed, the Contractor shall provide a comprehensive report for all equipment tested indicating condition, readings, faults and/or deficiencies in same. Inoperative or defective equipment shall be brought immediately to the attention of the Engineer.
- G. Prior to placing any equipment in service, correct operation of all protective devices associated with this equipment shall be demonstrated by field testing under simulated load conditions.

#### 1.10 POWER SYSTEM STUDIES

- A. The Contractor shall provide coordination studies, an arc flash study and arc flash labels for new equipment provided on this project and equipment modified as part of this project. Studies shall be performed by a registered professional engineer in the Commonwealth of Kentucky.

#### 1.11 SCHEDULES AND FACILITY OPERATIONS

- A. Since the equipment testing required herein shall require that certain pieces of equipment be taken out of service, all testing procedures and schedules must be submitted to the Engineer for review and approval two (2) weeks prior to any work beginning. When testing has been scheduled, the Engineer must be notified 48 hours prior to any work to allow time for load switching and/or alternation of equipment. In addition, all testing that requires temporary shutdown of facility equipment must be coordinated with the Owner/Engineer so as not to affect proper facility operations.
- B. At the end of the workday, all equipment shall be back in place and ready for immediate use should a facility emergency arise. In addition, should an emergency condition occur during testing, at the request of the Owner, the equipment shall be placed back in service immediately and turned over to Owner personnel.
- C. In the event of accidental shutdown of Owner equipment, the Contractor shall notify Owner personnel immediately to allow for an orderly restart of affected equipment.



- D. Maintaining the operation of these facilities during the duration of the construction period is essential and required. The Contractor shall furnish and install temporary equipment as required to maintain facility operation.

#### 1.12 MATERIALS HANDLING

- A. Materials arriving on the job site shall be stored in such a manner as to keep material free of rust and dirt and so as to keep material properly aligned and true to shape. Rusty, dirty, or misaligned material will be rejected. Electrical conduit shall be stored to provide protection from the weather and accidental damage. Rigid non-metallic conduit shall be stored on even supports and in locations not subject to direct sun rays or excessive heat. Cables shall be sealed, stored, and handled carefully to avoid damage to the outer covering or insulation and damage from moisture and weather. Adequate protection shall be required at all times for electrical equipment and accessories until installed and accepted. Materials damaged during shipment, storage, installation, or testing shall be replaced or repaired in a manner meeting with the approval of the Engineer. If space heaters are provided in a piece of electrical equipment, they shall be temporarily connected to a power source during storage. The Contractor shall store equipment and materials in accordance with the General Conditions.

#### 1.13 WARRANTIES

- A. Warranty requirements may be added to or modified in the individual equipment specifications.
- B. The equipment furnished under this Contract shall be guaranteed to be free from defects in workmanship, design and/or materials for a period of one (1) year unless otherwise specified in the individual equipment specifications. The period of such warranties shall start on the date the particular equipment is placed in use by the Owner with corresponding start-up certification provided by the manufacturer's technical representative as specified herein, provided that the equipment demonstrates satisfactory performance during the thirty day operational period after the equipment startup. If the equipment does not perform satisfactorily during the thirty day operational period, the start of the warranty period will be delayed until the equipment demonstrates proper operation. The Equipment Supplier shall repair or replace without charge to the Owner any part of equipment which is defective or showing undue wear within the guarantee period, or replace the equipment with new equipment if the mechanical performance is unsatisfactory; furnishing all parts, materials, labor, etc., necessary to return the equipment to its specified performance level.
- C. The Contractor shall provide an equipment warranty log book prepared specifically for this project and submit two (2) copies of the document to the Engineer prior to final payment. The equipment warranty log book shall include a summary listing of all equipment warranties provided, date received, and start date and end date of warranty period. A copy of each equipment warranty and equipment start-up certification shall also be provided in the document.
- D. The Equipment Supplier shall guarantee to the Owner that all equipment offered under these specifications, or that any process resulting from the use of such equipment in the manner stated is not the subject of patent litigation, and that he has not knowingly offered equipment, the installation or use of which is likely to result in a patent controversy, in which the Owner as user is likely to be made the defendant.

Where patent infringements are likely to occur, each Equipment Supplier shall submit, as a part of his bid, license arrangements between himself, or the manufacturer of the equipment offered, and the patent owner or the controller of the patent, which will permit the use in the specified manner of such mechanical equipment as he may be bidding.

Each Equipment Supplier, by submitting his bid, agrees to hold and save the Owner and Engineer or its officers, agents, servants, and employees harmless from liability of any nature or kind, including cost and expenses for, or on account of, any patented or unpatented invention, process, article, or appliance manufactured or used in the performance of the work under this contract, including the use of the same by the Owner.

#### 1.14 TRAINING

- A. All training for equipment furnished and installed under Division 16 shall be provided in accordance with the individual specification section.

### PART 2 -- PRODUCTS

#### 2.01 PRODUCT REQUIREMENTS

- A. Unless otherwise indicated, the materials to be provided under this Specification shall be the products of manufacturers regularly engaged in the production of all such items and shall be the manufacturer's latest design. The products shall conform to the applicable standards of UL and NEMA, unless specified otherwise. International Electrotechnical Commission (IEC) standards are not recognized. Equipment designed, manufactured, and labeled in compliance with IEC standards is not acceptable.
- B. All items of the same type or ratings shall be identical. This shall be further understood to include products with the accessories indicated.
- C. All equipment and materials shall be new, unless indicated or specified otherwise.
- D. The Contractor shall submit proof if requested by the Engineer that the materials, appliances, equipment, or devices that are provided under this Contract meet the requirements of Underwriters Laboratories, Inc., in regard to fire and casualty hazards. The label of or listing by the Underwriters Laboratories, Inc., will be accepted as conforming to this requirement.

#### 2.02 SUBSTITUTIONS

- A. Unless specifically noted otherwise, any reference in the Specifications or on the Drawings to any article, service, product, material, fixture, or item of equipment by name, make, or catalog number shall be interpreted as establishing the type, function, and standard of quality and shall not be construed as limiting competition. The Contractor, in such cases may, at his option use any article, device, product, material, fixture, or item of equipment which in the judgment of the Engineer, expressed in writing, is equal to that specified.

## 2.03 CONCRETE

- A. The Contractor shall furnish all concrete required for the installation of all electrical work, Concrete shall be Class A unless otherwise specified. Concrete and reinforcing steel shall meet the appropriate requirements of Division 3 of the Specifications and the Contract Drawings.
- B. The Contractor shall provide all necessary anchor bolts, channel iron sills, and other materials as required. The exact location and dimensions shall be coordinated for each piece of equipment well in advance of the scheduled placing of these pads.
- C. The Contractor shall provide all necessary anchor bolts, channel iron sills, and other materials as required. The location and dimensions shall be coordinated for each piece of equipment well in advance of the scheduled placing of the foundations. Equipment foundations shall be constructed as detailed on the Drawings. Concrete shall extend 6 inches minimum beyond the extreme of the equipment base and be placed on a compacted stone bed (#57 stone or ABC) 6 inches thick minimum unless specified otherwise.

## PART 3 -- EXECUTION

### 3.01 CUTTING AND PATCHING

#### A. Coordination

- 1. The Work shall be coordinated between all trades to avoid delays and unnecessary cutting, channeling and drilling.

#### B. Damage

- 1. The Contractor shall perform all chasing, channeling, drilling and patching necessary to the proper execution of his Contract. Any damage to the building, structure, or any equipment shall be repaired by qualified mechanics of the trades involved at the Contractor's expense. If, in the Engineer's judgment, the repair of damaged equipment would not be satisfactory, then the Contractor shall replace damaged equipment at his own expense.

#### C. Existing Equipment

- 1. Provide a suitable cover or plug for openings created in existing equipment as the result of work under this Contract. For example, provide round plugs in equipment enclosures where the removal of a conduit creates a hole and the enclosure. Covers and plugs shall maintain the NEMA rating of the equipment enclosure and shall match the materials of construction. Covers and plugs shall be watertight when installed in equipment located outdoors.

3.02 EXCAVATION AND BACKFILLING

- A. The Contractor shall perform all excavation and backfill required for the installation of all electrical work. All excavation and backfilling shall be in complete accordance with the applicable requirements of Division 2.

3.03 CORROSION PROTECTION

- A. Wherever dissimilar metals, except conduit and conduit fittings, come into contact, the Contractor shall isolate these metals as required with neoprene washers, nine (9) mil polyethylene tape, or gaskets.

- END OF SECTION -

## SECTION 16111

### CONDUIT

#### PART 1 -- GENERAL

##### 1.01 THE REQUIREMENT

- A. The Contractor shall furnish and install conduits and conduit fittings to complete the installation of all electrically operated equipment as specified herein, indicated on the Drawings, and as required.
- B. Requirements for conduit clamps, support systems, and anchoring are not included in this Section. Reference Section 16190, Electrical Supporting Devices, for these requirements.
- C. Reference Section 16000, Basic Electrical Requirements.

##### 1.02 CODES AND STANDARDS

- A. Conduits and conduit fittings shall be designed, manufactured, and/or listed to the following standards as applicable:
  - 1. American National Standards Institute (ANSI)
    - a. ANSI B1.20.1 – Pipe Threads, General Purpose
    - b. ANSI C80.1 – Electrical Rigid Steel Conduit
    - c. ANSI C80.5 – Electrical Rigid Aluminum Conduit
    - d. ANSI FB 1 – Fittings, Cast Metal Boxes, and Conduit Bodies for Conduit, Electrical Metallic Tubing, and Cable
  - 2. Underwriters Laboratories (UL)
    - a. UL 1 – Standard for Flexible Metal Conduit
    - b. UL 6 - Electrical Rigid Metal Conduit-Steel
    - c. UL 6A – Electrical Rigid Metal Conduit-Aluminum, Red Brass, and Stainless Steel
    - d. UL 360 – Standard for Liquid-tight Flexible Metal Conduit
    - e. UL 467 – Grounding and Bonding Equipment
    - f. UL 514B – Conduit, Tubing, and Cable Fittings
    - g. UL 1479 – Standard for Fire Tests of Penetration Fire Stops

B. Others

1. ACI-318 – Building Code Requirements for Structural Concrete

1.03 SUBMITTALS

- A. In accordance with the procedures and requirements set forth in the General Conditions and Section 01300 – Submittals, the Contractor shall obtain from the equipment manufacturer and submit the following:

1. Shop Drawings

- B. Each submittal shall be identified by the applicable specification section.

1.04 SHOP DRAWINGS

- A. Each submittal shall be complete in all respects, incorporating all information and data listed herein and all additional information required for evaluation of the proposed equipment's compliance with the Contract Documents.

- B. Partial, incomplete, or illegible submittals will be returned to the Contractor without review for resubmittal.

- C. Shop drawings shall include but not be limited to:

1. Product data sheets for conduits and fittings.
2. Conduit identification methods and materials.

1.05 DEFINITIONS

- A. Conduits are categorized by the circuit type of the wiring to be installed inside. Conduits are defined as follows:

1. Power Conduits – Conduits that carry AC or DC power wiring from a source to a load. Conduits that carry lighting and receptacle wiring.
2. Control Conduits – Conduits that carry AC or DC discrete control wiring between devices and/or equipment. Conduits that carry fiber optic cables between devices and/or equipment.
3. Instrumentation Conduits – Conduits that carry AC or DC analog signal wiring between devices and/or equipment.

- B. Conduit categories are indicated on the Drawings by the leading letter of the conduit tag. Conduit tag leading letters are defined as follows:

1. P – Power Conduit
2. C – Control Conduit
3. I – Instrumentation Conduit

## PART 2 – PRODUCTS

### 2.01 GENERAL

- A. Conduit and conduit fitting products are specified in the text that follows this article. Reference Part 3 herein for the application, uses and installation requirements of these conduits and conduit fittings.
- B. All metallic conduit fittings shall be UL 514B and UL 467 Listed, and constructed in accordance with ANSI FB 1. .
- C. . . . Where threading is specified herein for conduit fitting connections, the fittings shall be manufactured to accept conduit that is threaded to ANSI B1.20.1 requirements.
- D. Conduit expansion fittings for all conduit materials of construction shall be capable of 4 inches of movement along the axis of the conduit for trade sizes 2 inches or less. Expansion fittings shall be capable of 8 inches of movement along the axis of the conduit for trade sizes greater than 2 inches.
- E. Conduit deflection fittings for all conduit materials of construction shall be provided with a flexible neoprene outer jacket that permits up to  $\frac{3}{4}$  inch of expansion/contraction along the axis of the conduit as well as up to  $\frac{3}{4}$  inch of parallel misalignment between the conduit axes. Outer jacket shall be secured to the conduit hubs by stainless steel clamps.
- F. . Conduit insulating bushings shall be constructed of plastic and shall have internal threading.
- G. Additional conduit and conduit fitting requirements are specified in the articles that follow based on the specific conduit material of construction to be used.

### 2.02 RIGID GALVANIZED STEEL (RGS) CONDUIT AND ASSOCIATED FITTINGS

#### A. Conduit

- 1. Conduit shall be hot dip galvanized on the inside and outside, and made of heavy wall high strength ductile steel. Conduit shall be manufactured in accordance with ANSI C80.1, and shall be UL 6 Listed.
- 2. Conduit shall be provided with factory-cut  $\frac{3}{4}$  inch per foot tapered threads at each end in accordance with ANSI B1.20.1. Threads shall be cut prior to galvanizing to ensure corrosion protection adequately protects the threads. Conduit shall be provided with a matching coupling on one end and a color-coded thread protector on the other.

#### B. Conduit Bodies for use with Rigid Galvanized Steel

- 1. Conduit bodies shall be constructed of an electro-galvanized malleable iron alloy which is coated with an acrylic paint finish. Conduit bodies shall have integral threaded conduit hubs.

2. Conduit bodies shall be provided with covers that are affixed in place by stainless steel screws which thread directly into the conduit body. Covers that utilize wedge nuts or any other method of attachment to the conduit body are not acceptable. Covers shall be constructed of an electro-galvanized malleable iron alloy which is coated with an acrylic paint finish. Covers shall be provided with matching gasket.
- C. Conduit Couplings, Nipples, and Unions for use with Rigid Galvanized Steel
1. Couplings and nipples shall be threaded and shall be constructed of hot dipped galvanized steel. Split-type couplings that use compression to connect conduits are not acceptable.
  2. Unions shall be threaded, rain-tight, and constructed of an electro-galvanized malleable iron alloy which is coated with an acrylic paint finish.
- D. Conduit Expansion and Deflection Fittings for use with Rigid Galvanized Steel
1. Conduit expansion fittings and conduit deflection fittings shall be constructed of an electro-galvanized malleable iron alloy which is coated with an acrylic paint finish. Expansion and deflection fittings shall have threaded conduit connections.
  2. Expansion fittings shall have an integral bonding jumper and deflection fittings shall have an external bonding jumper.
- E. . Conduit Termination Fittings for use with Rigid Galvanized Steel
1. Conduit hubs shall be constructed of stainless steel and shall have threaded connections to the conduit and enclosure. Hubs shall have a plastic insulated throat and shall be watertight when assembled to an enclosure.
  2. Conduit locknuts shall be constructed of zinc plated steel. Locknuts shall have internal threading. Locknuts with integral gasket or seal are not acceptable. Locknuts shall have integral bonding screw where required for proper bonding.
  3. Conduit bonding bushings shall be constructed of zinc plated malleable iron. Bonding bushings shall have a threaded conduit connection. Bonding bushing shall be provided with properly sized set screw for connecting bonding conductor and an integral plastic insulator rated for 150 degrees C located in the throat.

## 2.03 RIGID ALUMINUM CONDUIT AND ASSOCIATED FITTINGS

### A. Conduit

1. Conduit shall be made of heavy wall high strength 6063 alloy aluminum with temper designation T1. Conduit shall be manufactured in accordance with ANSI C80.5, and shall be UL 6A Listed.
2. Conduit shall be provided with factory-cut 3/4 inch per foot tapered threads at each end in accordance with ANSI B1.20.1. Threads shall be cut prior to galvanizing to ensure corrosion protection adequately protects the threads. Conduit shall be



provided with a matching coupling on one end and a color-coded thread protector on the other.

**B. Conduit Bodies for use with Rigid Aluminum Conduit**

1. Conduit bodies shall be constructed of copper-free aluminum which is coated with an aluminum enamel finish. Conduit bodies shall have integral threaded conduit hubs.
2. Conduit bodies shall be provided with stamped copper-free aluminum covers that are affixed in place by stainless steel screws which thread directly into the conduit body. Covers that utilize wedge nuts or any other method of attachment to the conduit body are not acceptable. Covers shall be provided with matching gasket.

**C. Conduit Couplings, Nipples, and Unions for use with Rigid Aluminum Conduit**

1. Couplings and nipples shall be threaded and shall be constructed of heavy wall high strength 6063 alloy aluminum with temper designation T1. Split-type couplings that use compression to connect conduits are not acceptable.
2. Unions shall be threaded, rain-tight, and constructed of copper-free aluminum which is coated with an aluminum enamel finish.

**D. Conduit Expansion and Deflection Fittings for use with Rigid Aluminum Conduit**

1. Conduit expansion fittings and conduit deflection fittings shall be constructed of copper-free aluminum which is coated with an aluminum enamel finish. Expansion and deflection fittings shall have threaded conduit connections.
2. Expansion fittings shall have an integral bonding jumper and deflection fittings shall have an external bonding jumper.

**E. Conduit Termination Fittings for use with Rigid Aluminum Conduit**

1. Conduit hubs shall be constructed of copper-free aluminum and shall have threaded connections to the conduit and enclosure. Hubs shall have a plastic insulated throat and shall be watertight when assembled to an enclosure.
2. Conduit locknuts shall be constructed of copper-free aluminum. Locknuts shall have internal threading. Locknuts with integral gasket or seal are not acceptable. Locknuts shall have integral bonding screw where required for proper bonding.
3. Conduit bonding bushings shall be constructed of copper-free aluminum. Bonding bushings shall have a threaded conduit connection. Bonding bushing shall be provided with properly sized set screw for connecting bonding conductor and an integral plastic insulator rated for 150 degrees C located in the throat.

**2.04 LIQUID TIGHT FLEXIBLE METAL CONDUIT (LFMC) AND ASSOCIATED FITTINGS**

**A. Conduit**

1. Conduit shall be manufactured using a single strip of hot dip galvanized high strength steel alloy, helically formed into a continuously interlocked flexible metal conduit. Trade size 1-1/4 inch and smaller conduits shall be provided with an integrally woven copper bonding strip.
2. Conduit shall be covered with an outside PVC jacket that is UV resistant, moisture-proof, and oil-proof. Conduit shall be UL 360 Listed.

**B. Conduit Termination Fittings for use with LFMC**

1. Conduit termination fittings shall be constructed of either 304 stainless steel or an electro-galvanized malleable iron alloy which is coated on the exterior with a 40 mil (minimum) PVC jacket and coated on the interior with a 2 mil (minimum) layer of urethane. PVC coated fittings shall have a sealing sleeve constructed of PVC which covers the connection to conduit.
2. Termination fittings shall have a threaded end with matching locknut and sealing ring for termination to equipment, and shall have an integral external bonding lug where required for proper bonding. Termination fittings shall have a plastic insulated throat and shall be watertight when assembled to the conduit and equipment.

**2.05 CONDUIT BENDS**

A. Rigid conduit bends, both factory fabricated and field fabricated, shall meet the same requirements listed in the articles above for the respective conduit type and material of construction.

B. Conduit bend radii for standard radius bends shall be no less than as follows:

TRADE SIZE (inches)	3/4	1	1-1/4	1-1/2	2	2-1/2	3	3-1/2	4	5	6
MIN. RADIUS (inches)	4-1/2	5-3/4	7-1/4	8-1/4	9-1/2	10-1/2	13	15	16	24	30

C. Conduit bend radii for long radius bends shall be no less than as follows:

TRADE SIZE (inches)	3/4	1	1-1/4	1-1/2	2	2-1/2	3	3-1/2	4	5	6
MIN. RADIUS (inches)	N/A	12	18	24	30	30	36	36	48	48	60

## 2.06 MISCELLANEOUS

### A. Conduit Periphery Sealing

1. The sealing of the exterior surface of conduits to prevent water and/or air from passing around the conduit periphery from one space to another (where required) shall be through the use of one of the following:
  - a. A conduit sleeve and pressure bushing sealing system. Acceptable products are FSK by OZ-GEDNEY, Link-Seal by Crouse-Hinds, or Engineer approved equal.
  - b. A conduit sleeve that is two trade sizes larger than the conduit being sealed, with 2-hour fire rated UL 1479 Listed caulk filling the entire void between the conduit and sleeve. This method is only suitable for penetrations in non-fire rated walls and floors between spaces within buildings. This method shall not be used for the sealing of conduits leaving a building and/or structure.
2. Conduit penetrations through fire-rated walls and floors shall be made with an approved UL 1479 Listed product specifically intended for the trade size of the conduit.

### B. Primer and Cement

1. Nonmetallic conduit shall be cleaned with primer and connected to fittings with the manufacturer's recommended cement that is labeled Low VOC.

### C. Galvanizing Compounds

1. Galvanizing compounds for field application shall be the cold-applied type, containing no less than 93% pure zinc.

### D. Conduit Interior Sealing

1. The sealing of the inside of conduits against water ingress shall be achieved through the use of one of the following:
  - a. Two-part expanding polyurethane foam sealing compound, dispensed from a single tube which mixes the two parts as it is injected into the conduit. Expanding foam shall be compatible with the conduit material of construction as well as the outer jacket of the cables in the conduit. Acceptable products are Q-Pak 2000 by Chemque, FST by American Polywater Corporation, or Hydra-seal S-60 by Duraline.
  - b. Inflatable bag that provides seal around cables and around inside diameter of conduit. Provide appropriate quantity of additional fittings for applications with three or more cables in the conduit to be sealed. Acceptable products are Rayflate by Raychem, or Engineer approved equal. This sealing method is only applicable to conduits trade size 2 inch and larger.

- c. Neoprene sealing ring provided with the required quantity and diameter of holes to accommodate the cables in each conduit. Sealing ring shall be compressed by two stainless steel pressure plates. Acceptable products are type CSB by OZ-GEDNEY, or Engineer approved equal. This sealing method is only applicable to metallic conduits containing 4 or less cables.
  2. The use of aerosol-based expanding foam sealants or any other method of sealing against water ingress not listed above is not acceptable.
- E. Pull Rope
1. Pull ropes for empty and/or spare conduits shall be woven polyester, 1/2 inch wide, with a minimum tensile strength of 1250 lbs.
  2. Pull ropes for the Contractors use in installing conductors shall be the size and strength required for the pull, and shall be made of a non-metallic material.

### PART 3 – EXECUTION

#### 3.01 GENERAL

- A. Minimum trade size for all rigid conduits shall be 3/4 inch in exposed applications and 1 inch in embedded applications. Conduits installed within ductbanks shall be allowed to be increased in size to trade size 2 inch, at the Contractor's option, to accommodate the saddle size of the ductbank spacers. However, no combining of circuits shall be allowed in the larger conduits.
- B. Minimum trade size for flexible conduits (where specifically allowed herein) shall be 1/2 inch in all applications.
- C. Conduit routing and/or homeruns within structures is not shown on the Drawings. Conduits shall be installed concealed wherever practical and within the limitations specified herein. All other conduits not capable of being installed concealed shall be installed exposed. . .
- D. Empty and/or spare conduits shall be provided with pull ropes which have no less than 12 inches of slack at each end.
- E. . . Metallic conduits for installations requiring less than a factory length of conduit shall be field cut to the required length. The cut shall be made square, be cleaned of all debris and be de-burred, then threaded. Conduit threading performed in the field shall be 3/4 inch per foot tapered threads in accordance with ANSI B1.20.1.
- F. Conduits shall be protected from moisture, corrosion, and physical damage during construction. Install dust-tight and water-tight conduit fittings on the ends of all conduits immediately after installation and do not remove until conductors are installed.
- G. Conduits shall be installed to provide no less than 12 inches clearance from pipes that have the potential to impart heat upon the conduit. Such pipes include, but are not limited

to, hot water pipes, steam pipes, exhaust pipes, and blower air pipes. Clearance shall be maintained whether conduit is installed in parallel or in crossing of pipes.

- H. Where conduit fittings do not include an integral insulated bushing, an insulated bushing shall be installed at all conduit termination points.
- I. Conduits which serve multi-section equipment shall be terminated in the section where wiring terminations will be made.
- J. . In no case shall conduit be supported or fastened to another pipe or be installed in a manner that would prevent the removal of other pipes for repairs.
- K. All field fabricated threads for rigid galvanized steel conduit shall be thoroughly coated with two coats of galvanizing compound, allowing at least two minutes to elapse between coats for proper drying.
- L. . . . Aluminum conduits shall not be installed in direct contact with concrete surfaces. Where aluminum conduits are routed along concrete surfaces, they shall be installed with one-hole electro-galvanized malleable iron alloy straps with matching clamp-backs to space the conduit ¼ inch away from concrete surface. Where aluminum conduit passes through concrete, CMU or brick walls, the penetration shall be made such that the aluminum conduit does not come in contact with concrete, CMU, brick or mortar.

### 3.02 CONCEALED AND EMBEDDED CONDUITS

- A. Conduits are permitted to be installed concealed and/or embedded with the following requirements:
  - 1. Conduits shall not be installed horizontally when concealed within CMU walls, only vertical installation is acceptable.
  - 2. Conduits installed embedded within concrete floors or walls shall be located so as not to affect the designed structural strength of the floor or wall. Embedded conduits shall be installed in accordance with Standard Detail 0331604 and ACI-318.
  - 3. Where conduit bends emerge from concrete embedment, none of the curved portion of the bend shall be visible. Only the straight portion of the bend shall be visible.
  - 4. Where multiple conduits emerge from concrete embedment or from concealment below a concrete floor, ample clear space shall be provided between conduits to allow for the appropriate and required conduit termination fittings to be installed.
  - 5. Conduits installed embedded within concrete encasement of any kind shall be installed such that conduit couplings for parallel conduits are staggered so that they are not side by side.

3.03 CONDUIT USES AND APPLICATIONS

A. Rigid Conduit

1. Rigid conduit shall be furnished and installed in the materials of construction as follows:

<b>RIGID CONDUIT FOR NON-HAZARDOUS AREAS</b>		
<b>INSTALLATION AREA DESIGNATION/ SCENARIO</b>	<b>CONDUIT CATEGORY BY WIRING/CIRCUIT TYPE</b>	
	<b>Power and Control</b>	<b>Instrumentation</b>
Exposed in indoor wet process areas	Rigid aluminum conduit	Same as Power and Control
Exposed in indoor dry process areas	Rigid aluminum conduit	Same as Power and Control
Exposed in indoor dry non-process areas	Rigid aluminum conduit	Same as Power and Control
Exposed in outdoor areas	Rigid aluminum conduit	Same as Power and Control

2. The tables for the materials of construction for rigid conduits are intended to exhaustively cover all possible scenarios and installation areas under this Contract. However, if a scenario or installation area is found that is not explicitly governed by these tables, it shall be assumed for bid purposes that the conduit material of construction is to be rigid aluminum conduit. This discrepancy shall be brought to the attention of the Engineer (in writing) immediately for resolution.

B. Conduit Bends

1. All conduit bends shall be the same material of construction as the rigid conduit listed in the tables above, with the following exceptions:
  - a. All 90 degree bends or combinations of adjacent bends that form a 90 degree bend where concealed within concrete or below a concrete slab shall be rigid galvanized steel.
2. Field fabricated bends of metallic conduit shall be made with a bending machine and shall have no kinks. Field fabricated standard radius and long radius bends shall have minimum bending radii in accordance with the associated tables in Part 2 herein.

C. Flexible Conduit

1. Flexible conduit shall only be installed for the limited applications specified herein. Flexible conduit shall not be installed in any other application without written authorization from the Engineer. Acceptable applications are as follows:

- a. Connections to motors and engine-generator sets (and similar vibrating equipment)
  - b. Connections to solenoid valves and limit switches
  - c. Where specifically indicated in the Standard Details
2. Flexible conduit length shall be limited to three (3) feet, maximum. Flexible conduit shall not be installed buried or embedded within any material.
  3. Flexible conduit shall be furnished and installed in the materials of construction as follows:

<b>FLEXIBLE CONDUIT FOR NON-HAZARDOUS AREAS</b>		
<b>INSTALLATION AREA DESIGNATION/SCENARIO</b>	<b>CONDUIT CATEGORY BY WIRING/CIRCUIT TYPE</b>	
	<b>Power and Control</b>	<b>Instrumentation</b>
Exposed in indoor wet process areas	Liquid-tight flexible metal conduit	Same as Power and Control
Exposed in indoor dry process areas	Liquid-tight flexible metal conduit	Same as Power and Control
Exposed in indoor dry non-process areas	Liquid-tight flexible metal conduit	Same as Power and Control
Exposed in outdoor areas	Liquid-tight flexible metal conduit	Same as Power and Control

### 3.04 CONDUIT FITTING USES AND APPLICATIONS

#### A. General

1. Conduit fittings shall be furnished and installed in the materials of construction as indicated in Part 2, herein. Conduit fitting materials of construction are dependent on the material of construction used for the associated conduit.
2. Conduit fittings shall be provided in the trade size and configuration required to suit the application.

#### B. Conduit Bodies

1. Conduit bodies shall be installed where wire pulling points are desired or required, or where changes in conduit direction or breaking around beams is required.
2. Where conduit bodies larger than trade size 2 inches are intended to be used as a pull-through fitting during wire installation, oversized or elongated conduit bodies shall be used. Oversized or elongated conduit bodies shall not be required if the conduit body is intended to be used as a pull-out point during wire installation.

### C. Conduit Nipples and Unions

1. Conduits with running threads shall not be used in place of 3-piece couplings (unions) or close nipples. After installation of a conduit fitting of any kind, there shall be no more than ¼ inch of exposed threads visible. Factory fabricated all-thread nipples may be used between adjacent enclosures, however, the same restriction applies regarding the length of exposed threads that are visible.

### D. Conduit Expansion and Deflection Fittings

1. Conduit expansion fittings shall be installed where required by the NEC and where indicated on the Drawings. Expansion fittings shall also be installed for exposed straight metallic conduit runs of more than 75 feet, in both indoor and outdoor locations.
2. Conduit deflection fittings shall be installed where required by the NEC and where conduits are installed (exposed and concealed) across structural expansion joints.

### E. Conduit Termination Fittings

1. Where conduits terminate at enclosures with a NEMA 4, 4X, or 3R rating and the enclosure does not have integral conduit hubs, an appropriately sized watertight conduit hub shall be installed to maintain the integrity of the enclosure. The use of locknuts with integral gasket in lieu of watertight conduit hubs is not acceptable.
2. Where conduits terminate at enclosures that do not require conduit hubs, a two-locknut system shall be used to secure the conduit to the enclosure. One locknut shall be installed on the outside of the enclosure, and the other inside, drawn tight against the enclosure wall. The locknut on the interior of the enclosure shall be the type with integral bonding lug, or a conduit bonding bushing may be used in place of the locknut.
3. Conduits shall not be installed such that conduit fittings penetrate the top of any enclosure located outdoors, except in cases where specifically required by the serving electric utility. Conduits which serve outdoor equipment or an enclosure from above shall instead be routed into the side of the enclosure at the bottom. The conduit termination fitting shall be provided with a conduit drain to divert moisture from the raceway away from the enclosure.

## 3.05 MISCELLANEOUS

### A. Conduit Periphery Sealing

1. All conduit penetrations through exterior walls shall be sealed around the periphery using the appropriate products specified in Part 2 herein to prevent air and/or water entry into the structure.
2. All conduit penetrations through interior walls and floors shall be sealed through the use of with conduit sleeves and caulk as specified in Part 2 herein. Alternatively, mortar may be used to seal around the conduit periphery.



3. Conduit penetrations through fire-rated walls as floors shall be made with the appropriate fire rated penetration product.

B. Conduit Interior Sealing

1. All conduits (including spares) entering a structure below grade shall be sealed on the interior of the conduit against water ingress. Sealing shall be at an accessible location in the conduit system located within the building structure and shall be via one of the methods specified in Part 2 herein. If conduit sealing cannot be achieved at an accessible location within the building structure, sealing shall be placed in the conduits in the nearest manhole or handhole outside the structure.

3.06 CONDUIT IDENTIFICATION

- A. The identification system for the conduits furnished and installed under this Contract shall match the existing identification system used at the project location.. . . . .

3.07 TESTING

- A. All tests shall be performed in accordance with the requirements of the General Conditions and Section 16000. The following tests are required:
  1. All conduit installed below grade or concrete encased or existing conduits that are reused as part of this project shall be tested to ensure continuity and the absence of obstructions by pulling through each conduit a swab followed by a mandrel 85% of the conduit inside diameter. After testing, all spare/empty conduits shall be capped after installation of a suitable pulling rope. . . .

- END OF SECTION -



## SECTION 16123

### LOW VOLTAGE WIRE AND CABLE

#### PART 1 -- GENERAL

##### 1.01 THE REQUIREMENT

- A. The Contractor shall furnish, install, connect, test, and place in satisfactory operating condition, all low voltage wire and cable indicated on the Drawings and as specified herein and/or required for proper operation. The work of connecting cables to equipment and devices shall be considered a part of this Section. All appurtenances required for the installation of wire and cable systems shall be furnished and installed by the Contractor.
- B. The scope of this Section does not include internal wiring factory installed by electrical equipment manufacturers.
- C. Reference Section 16000 – Basic Electrical Requirements.

##### 1.02 CODES AND STANDARDS

- A. Low voltage wire, cable, and appurtenances shall be designed, manufactured, and/or listed to the following standards as applicable:
  - 1. Underwriters Laboratories (UL)
    - a. UL 13 – Standard for Power-Limited Circuit Cables
    - b. UL 44 – Thermoset-Insulated Wires and Cables
    - c. UL 83 – Thermoplastic-Insulated Wires and Cables
    - d. UL 1277 – Standard for Electrical Power and Control Tray Cables with Optional Optical-Fiber Members
    - e. UL 1581 – Reference Standard for Electrical Wires, Cables, and Flexible Cords
    - f. UL 1685 – Standard for Vertical-Tray Fire-Propagation and Smoke-Release Test for Electrical and Optical-Fiber Cables
    - g. UL 2250 – Standard for Instrumentation Tray Cable
    - h. UL 2556 – Wire and Cable Test Methods
  - 2. American Society for Testing and Materials (ASTM)
    - a. ASTM B3 – Standard Specification for Soft or Annealed Copper Wire

- b. ASTM B8 – Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
  - c. ASTM B33 – Standard Specification for Tin-Coated Soft or Annealed Copper Wire for Electrical Purposes
  - d. ASTM D69 – Standard Test Methods for Friction Tapes
  - e. ASTM D4388 – Standard Specification for Nonmetallic Semi-Conducting and Electrically Insulating Rubber Tapes
3. Insulated Cable Engineers Association (ICEA)
- a. ICEA S-58-679 – Standard for Control, Instrumentation and Thermocouple Extension Conductor Identification
  - b. ICEA T-29-250 – Conducting Vertical Cable Tray Flame Tests with Theoretical Heat Input Rate of 210,000 B.T.U./Hour
4. Institute of Electrical and Electronics Engineers (IEEE)
- a. IEEE 1202 – Standard for Flame Testing of Cables

#### 1.03 SUBMITTALS

- A. In accordance with the procedures and requirements set forth in the General Conditions and Section 01300 – Submittals, the Contractor shall obtain from the wire and cable manufacturer and submit the following:
  - 1. Shop Drawings
  - 2. Reports of Field Tests
- B. Each submittal shall be identified by the applicable specification section.

#### 1.04 SHOP DRAWINGS

- A. Each submittal shall be complete in all respects, incorporating all information and data listed herein and all additional information required for evaluation of the proposed material's compliance with the Contract Documents.
- B. Partial, incomplete, or illegible Submittals will be returned to the Contractor without review for resubmittal.
- C. Shop drawings shall include but not be limited to:
  - 1. Product data sheets for wire and cable, terminations, and pulling lubricant.
  - 2. Cable pulling calculations (if required).
  - 3. Wiring identification methods and materials.

- D. The shop drawing information shall be complete and organized in such a way that the Engineer can determine if the requirements of these specifications are being met. Copies of technical bulletins, technical data sheets from "soft-cover" catalogs, and similar information which is "highlighted" or somehow identifies the specific equipment items the Contractor intends to provide are acceptable and shall be submitted.

#### 1.05 CABLE PULLING CALCULATIONS

- A. Prior to the installation of the wire and cable specified herein, the Contractor shall submit cable pulling calculations for engineer review and approval when all of the following are true:
  - 1. The amount of cable to be installed will be greater than 200 linear feet between pull points.
  - 2. The installation will have one or more bends.
  - 3. The wire and cable is size #1/0 AWG and larger.
- B. Cable pulling calculations shall be performed by a currently registered professional engineer in the Commonwealth of Kentucky and shall define pulling tension and sidewall loading (sidewall bearing pressure values).

### PART 2 -- PRODUCTS

#### 2.01 MANUFACTURERS

- A. The wire and cable to be furnished and installed for this project shall be the product of manufacturers who have been in the business of manufacturing wire and cable for a minimum of ten (10) years. Wire and cable shall be designed, constructed, and installed in accordance with the best practices of the trade, and shall operate satisfactorily when installed as specified herein and indicated on the Drawings. Only one (1) manufacturer for each wire and cable type shall be permitted.

#### 2.02 POWER AND CONTROL WIRE AND CABLE

- A. Power and control wire shall consist of insulated copper conductors with a nylon (or equivalent) outer jacket. Conductor insulation shall be rated 90°C for dry locations, 75°C for wet locations, and 600V. Insulated conductors shall be UL 83 Listed as NEC Type THHN/THWN.
- B. Unless specified otherwise herein, conductors shall be stranded copper per ASTM B-8 and B-3, with Class B or C stranding contingent upon the size.
- C. Power conductor size shall be no smaller than No. 12 AWG and Control conductor size shall be no smaller than No. 14 AWG.
- D. Multi-conductor cable assemblies shall include a grounding conductor and an overall PVC jacket. The jacket shall be PVC and resistant to abrasion, sunlight, and flame in

accordance with UL 1277. Multi-conductor cable assemblies shall be UL 1277 Listed as NEC Type TC (Power and Control Tray Cable).

- E. Power wire and cable shall be as manufactured by the Okonite Company, the Southwire Company, General Cable, Encore Wire, or equal.

## 2.03 INSTRUMENTATION CABLE

- A. For single-analog signal applications, instrumentation cable shall consist of a single, twisted pair or triad of individually insulated and jacketed copper conductors with an overall cable shield and jacket. Conductor insulation shall be rated 90°C in both wet and dry locations, and 600V. The jacket shall be PVC and resistant to abrasion, sunlight, and flame in accordance with UL 1277. Cable shall be UL 1277 Listed as NEC Type TC (Power and Control Tray Cable).
- B. For multiple-analog signal applications, instrumentation cable shall consist of multiple, twisted pairs or triads (i.e. groups) of individually insulated and jacketed copper conductors with individual pair/triad shields (i.e. group shields) and an overall cable shield and jacket. Conductor insulation shall be rated 90°C in both wet and dry locations, and 600V. The jacket shall be PVC and resistant to abrasion, sunlight, and flame in accordance with UL 1277. Cable shall be UL 1277 Listed as NEC Type TC (Power and Control Tray Cable).
- C. Cable and group shields shall consist of overlapped aluminum/polyester tape/foil providing 100% coverage. Instrumentation cables shall include an overall copper shield drain wire. Cables containing multiple twisted pairs or triads shall also include group shield drain wires.
- D. Conductors, including drain wires, shall be tin or alloy coated (if available), soft, annealed copper, stranded per ASTM B-8, with Class B stranding unless otherwise specified.
- E. Instrumentation signal conductor size shall be no smaller than No. 16 AWG.
- F. Instrumentation cable shall be Okoseal-N Type P-OS (for single pair or triad applications) or Okoseal-N Type SP-OS (for multiple pair or triad applications) as manufactured by the Okonite Company, Belden equivalent, Southwire Company equivalent, or equal.

## 2.04 CONDUCTOR IDENTIFICATION

- A. Conductors shall be identified using a color coding method. Color coding for individual power, control, lighting, and receptacle conductors shall be as follows:
  - 1. 480/277V AC Power
    - a. Phase A - BROWN
    - b. Phase B - ORANGE
    - c. Phase C - YELLOW
    - d. Neutral – GREY

2. 120/208V or 120/240V AC Power
    - a. Phase A - BLACK
    - b. Phase B - RED
    - c. Phase C - BLUE
    - d. Neutral - WHITE
  3. DC Power
    - a. Positive Lead - RED
    - b. Negative Lead - BLACK
  4. DC Control
    - a. All wiring - BLUE
  5. 120 VAC Control
    - a. 120 VAC control wire shall be RED except for a wire entering a motor control center compartment, motor controller, or control panel which is an interlock. This interlock conductor shall be color coded YELLOW. For the purposes of this Section, an interlock is defined as any wiring that brings voltage into the above mentioned equipment from a source outside that equipment.
  6. 24 VAC Control
    - a. All wiring - ORANGE
  7. Equipment Grounding Conductor
    - a. All wiring - GREEN
- B. Individual conductors No. 2 AWG and smaller shall have factory color coded insulation. It is acceptable for individual conductors larger than No.2 AWG to be provided with factory color coded insulation as well, but it is not required. Individual conductors larger than No.2 AWG that are not provided with factory color coded insulation shall be identified by the use of colored tape in accordance with the requirements listed in Part 3 herein. Insulation colors and tape colors shall be in accordance with the color coding requirements listed above.
- C. Conductors that are part of multi-conductor cable assemblies shall have black insulation. The conductor number shall be printed on each conductor's insulation in accordance with ICEA S-58-679, Method 4. Each conductor No.2 AWG and smaller within the cable assembly shall also be identified with a heat shrink tag with color coded background. Each conductor larger than No.2 AWG within the cable assembly shall also be identified by the use of colored tape. Heat shrink tags and colored tape shall be in accordance with the

requirements listed in Part 3 herein. Tape color and heat shrink tag background color shall be in accordance with the color coding requirements listed above.

## 2.05 CABLE PULLING LUBRICANTS

- A. Cable pulling lubricants shall be non-hardening type and approved for use on the type of cable installed. Lubricant shall be Yellow #77 Plus by Ideal, Cable Gel by Greenlee, Poly-Gel by Gardner Bender, or equal.

## PART 3 -- EXECUTION

### 3.01 WIRE AND CABLE INSTALLATION

#### A. General

1. Wire and Cable shall be installed as specified herein and indicated on the Drawings. Unless specifically indicated otherwise on the Drawings, wire and cable shall be installed in separate raceways according to wiring type. For example, power wiring shall not be combined with control wiring, and control wiring shall not be combined with instrumentation wiring.
2. Wire shall be furnished and installed as single conductor cables, with limited exceptions. Multi-conductor cable assemblies shall only be installed where indicated on the Drawings, required by the NEC, or after obtaining written permission from the Engineer.
3. Where instrumentation cables are installed in control panels, motor controllers, and other locations, the Contractor shall arrange wiring to provide maximum clearance between these cables and other conductors. Instrumentation cables shall not be installed in same bundle with conductors of other circuits.
4. Instrumentation cable shielding shall be continuous and shall be grounded at one point only.

#### B. Splices

1. Splices shall not be allowed in power or control wire and cable unless approved in writing by the Engineer. If unique field conditions exist or pulling calculations indicate that splices may be required, the Contractor shall submit a detailed request indicating why splices are required to the Engineer. The Engineer shall be under no obligation to grant such request.
2. Splicing materials shall be barrel type butt splice connectors and heat shrink tubing as manufactured by 3M, Ideal, or equal. The use of screw-on wire connectors (wire nuts) shall only be permitted for lighting and receptacle circuits.
3. No splicing of instrumentation cable is permitted.



C. Wire and Cable Sizes

1. The sizes of wire and cable shall be as indicated on the Drawings, or if not shown, as approved by the Engineer. If required due to field routing, the size of conductors and respective conduit shall be increased so that the voltage drop measured from source to load does not exceed 2-1/2%.

D. Additional Conductor Identification

1. In addition to the color coding identification requirements specified in Part 2 herein, individual conductors shall be provided with heat shrinkable identification tags. Identification tags for individual conductors shall have a white background where the conductor insulation is colored. Identification tags for individual conductors shall have a colored background where the conductor insulation is black. Background color shall match that of the taping provided on the individual black conductors.
2. Multi-conductor cables shall be provided with heat shrinkable identification tags in accordance with Part 2 herein.
3. All wiring shall be identified at each point of termination. This includes but is not limited to identification at the source, load, and in any intermediate junction boxes where a termination is made. The Contractor shall meet with the Owner and Engineer to come to an agreement regarding a wire identification system prior to installation of any wiring. Wire numbers shall not be duplicated.
4. Wire identification shall be by means of a heat shrinkable sleeve with appropriately colored background and black text. Wire sizes #14 AWG through #10 AWG shall have a minimum text size of 7 points. Wire sizes #8 AWG and larger shall have a minimum text size of 10 points. Sleeves shall be of appropriate length to fit the required text. The use of handwritten text for wire identification shall not be permitted.
5. Sleeves shall be suitable for the size of wire on which they are installed. Sleeves shall not be heat-shrunk onto control cables. Tags shall remain loose on cable to promote easier identification. For all other applications, sleeves shall be tightly affixed to the wire and shall not move. Sleeves shall be heat shrunk onto wiring with a heat gun approved for the application. Sleeves shall not be heated by any means which employs the use of an open flame. The Contractor shall take special care to ensure that the wiring insulation is not damaged during the heating process.
6. Sleeves shall be installed prior to the completion of the wiring terminations and shall be oriented so that they can be easily read.
7. Sleeves shall be polyolefin as manufactured by Brady, Seton, Panduit, or equal.
8. Wire identification in manholes, handholes, pull boxes, and other accessible components in the raceway system where the wiring is continuous (no terminations are made) shall be accomplished by means of a tag installed around the bundled group of individual conductors or around the outer conductor jacket of a multi-conductor cable. Identification shall utilize a FROM-TO system. Each group of

conductors shall consist of all of the individual conductors in a single conduit or duct. The tag shall have text that identifies the bundle in accordance with the 'FROM' and 'TO' column for that particular conduit number in the conduit and wire schedule. Minimum text size shall be 10 point. The tag shall be affixed to the wire bundle by the use of nylon wire ties, and shall be made of polyethylene as manufactured by Brady, Seton, Panduit, or equal.

9. Where colored tape is used to identify cables, it shall be wrapped around the cable with a 25% overlap and shall cover at least 2 inches of the cable.

#### E. Wiring Supplies

1. Rubber insulating tape shall be in accordance with ASTM D4388. Friction tape shall be in accordance with ASTM D69.

#### F. Training of Cable in Manholes, Handholes, and Vaults

1. The Contractor shall furnish all labor and material required to train cables around cable vaults, manholes, and handholes. Sufficient length of cable shall be provided in each handhole, manhole, and vault so that the cable can be trained and racked in an approved manner. In training or racking, the radius of bend of any cable shall be not less than the manufacturer's recommendation. The training shall be done in such a manner as to minimize chaffing.
2. Instrumentation cable shall be racked and bundled separate from AC wiring to maintain the required separation as follows:
  - a. 18 inches for 480/277 VAC wiring
  - b. 12 inches for 208/120 VAC wiring
  - c. 6 inches for 24 VAC wiring

#### G. Conductor Terminations

1. Where wires are terminated at equipment which requires lugs, connections shall be made by solderless mechanical lug, crimp type ferrule, or irreversible compression type lugs. Reference individual equipment specification sections as applicable for additional termination requirements.
2. The cables shall be terminated in accordance with the cable and/or termination product manufacturer's instructions for the particular type of cable.
3. To minimize oxidation and corrosion, wire and cable shall be terminated using an oxide-inhibiting joint compound recommended for "copper-to-copper" connections. The compound shall be Penetrox E as manufactured by Burndy Electrical, or equal.
4. All spare conductors shall be terminated on terminal blocks mounted within equipment or junction boxes. Unless otherwise noted, coiling up of spare conductors within enclosure is not acceptable.

## H. Pulling Temperature

1. Cable shall not be installed when the temperature of the jacket is such that damage will occur due to low temperature embrittlement. When cable will be pulled with an ambient temperature of 40°F or less within a three (3) day period prior to pulling, the cable reels shall be stored three (3) days prior to pulling in a protected storage area with an ambient temperature of 55°F or more. Cable pulling shall be completed during the work day for which the cable is removed from the protected storage. Any cable reels with wire remaining on them shall be returned to storage at the completion of the workday.

## 3.02 TESTING

- A. All testing shall be performed in accordance with the requirements of the General Conditions and Section 16000. The following tests are required:

1. Shop Test

- a. Wires and cables shall be tested in accordance with the applicable ICEA Standards. Wire and cable shall be physically and electrically tested in accordance with the manufacturer's standards.

2. Field Tests

- a. After installation, all wires and cables shall be tested for continuity. Testing for continuity shall be "test light" or "buzzer" style.
- b. After installation, some wires and cables shall be tested for insulation levels. Insulation resistance between conductors of the same circuit and between conductor and ground shall be tested. Testing for insulation levels shall be as follows:
  - i. For #8 AWG and larger 600V wire and cable, apply 1,000 VDC from a Megohmmeter for one (1) minute. Resistance shall be no less than 100 Megohms. Insulation testing is not required for power and control cables smaller than #8 AWG.
  - ii. Instrumentation signal cable shall be tested from conductor to conductor, conductor to shield, and conductor to ground using a Simpson No. 260 volt-ohmmeter, or approved equal. The resistance value shall be 200 Megohms or greater.

- B. Wires and cables shall be tested after required terminations are made, but before being connected to any equipment.

- C. If tests reveal defects or deficiencies, the Contractor shall make the necessary repairs or shall replace the cable as directed by the Engineer, without additional cost to the Owner. All conductors of a multi-phase circuit shall be replaced if one conductor fails the required testing. If part of a multi-set (parallel conductors per phase) circuit fails testing, only the set containing failure shall be replaced.

D. All tests shall be made by and at the expense of the Contractor who shall supply all testing equipment. Test reports shall be submitted to the Engineer.

(EXHIBIT A) TEST DATA - MEGOHMS TEST NO. ____							
Date:				Company:			
Time:				Location:			
Circuit:	Circuit Length:	Aerial:	Duct:	Buried:	No. of Conductors	Size:	AWG MCM Shield:
Insulation Material:			Insulation Thickness:		Voltage Rating:		Age:
Type: ____Pothead ____Terminal					Location:      Indoors____ Outdoors____		
Number and Type of Joints:							
Recent Operating History:							
Manufacturer:							
State if Potheads or Terminals were grounded during test:							
List associated equipment included in test:							
Miscellaneous Information:							

(EXHIBIT A)  
**TEST DATA - MEGOHMS**  
 TEST NO. \_\_\_\_\_

<b>Part Tested:</b>		<b>Test Performed:</b> _____					
		<b>Hours/Days:</b> _____					
		<b>After Shutdown:</b> _____					
<b>Grounding Time:</b>		<b>Dry Bulb Temperature:</b> _____					
		<b>Wet Bulb Temperature:</b> _____					
<b>Test Voltage:</b>		<b>Equipment Temperature:</b> _____					
		<b>How Obtained:</b> _____					
		<b>Relative Humidity:</b> _____					
		<b>Absolute Humidity:</b> _____					
		<b>Dew Point:</b> _____					
<b>Megohmmeter:</b>		<b>Serial Number:</b> _____		<b>Range:</b> _____			
		<b>Voltage:</b> _____		<b>Calibration Date:</b> _____			
Test Connections	To Line To Earth To Ground	To Line To Earth To Ground	To Line To Earth To Ground	Test Connections	To Line To Earth To Ground	To Line To Earth To Ground	To Line To Earth To Ground
1/4 Minute				5 Minutes			
1/2 Minute				6 Minutes			
3/4 Minute				7 Minutes			
1 Minute				8 Minutes			
2 Minutes				9 Minutes			
3 Minutes				10 Minutes			
4 Minutes				10/1 Minute Ratio			
<b>Remarks:</b>							

- END OF SECTION -



SECTION 16170

GROUNDING AND BONDING

PART 1 -- GENERAL

1.01 THE REQUIREMENT

- A. The Contractor shall furnish and install grounding systems complete in accordance with the minimum requirements established by Article 250 of the NEC. Article 250 of the NEC shall be considered a minimum requirement for compliance with this Specification.
- B. Grounding of all instrumentation and control systems shall be furnished and installed in accordance with the manufacturer/system requirements and IEEE 1100. Conflicts shall be promptly brought to the attention of the Engineer.
- C. In addition to the NEC requirements, building structural steel columns shall be permanently and effectively grounded:
- D. Reference Section 16000, Basic Electrical Requirements

1.02 CODES AND STANDARDS

- A. Equipment and materials covered under this Section shall be designed, manufactured, and/or listed to the following standards as applicable:
  - 1. UL 467 – Grounding and Bonding Equipment
  - 2. IEEE 81 – Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System.
  - 3. IEEE 1100 – Recommended Practice for Power and Grounding Electronic Equipment

1.03 SUBMITTALS

- A. In accordance with the procedures and requirements set forth in the General Conditions and Section 01300, Submittals, the Contractor shall obtain from the equipment manufacturer and submit the following:
  - 1. Shop Drawings
  - 2. Reports of certified field tests.
- B. Each submittal shall be identified by the applicable specification section.

#### 1.04 SHOP DRAWINGS

- A. Each submittal shall be complete in all respects, incorporating all information and data listed herein and all additional information required for evaluation of the proposed equipment's compliance with the Contract Documents.
- B. Partial, incomplete, or illegible submittals will be returned to the Contractor without review for resubmittal.
- C. Shop drawings shall include but not be limited to:
  - 1. Product data sheets.
  - 2. Drawings and written description of how the Contractor intends to furnish and install the grounding system.

### PART 2 -- PRODUCTS

#### 2.01 MANUFACTURERS

- A. The equipment covered by these specifications shall be standard equipment of proven performance as manufactured by reputable concerns. Equipment shall be designed, constructed, and installed in accordance with the best practices of the trade, and shall operate satisfactorily when installed as shown on the Drawings.

#### 2.02 GROUND RODS AND GRID

- A. Ground rods shall be rolled to a commercially round shape from a welded copper-clad steel manufactured by the molten-welding process or by the electro-formed process (molecularly bonded). They shall have an ultimate tensile strength of 75,000 pounds per square inch (psi) and an elastic limit of 49,000 psi. The rods shall be not less than 3/4 inch in diameter by 10 feet in length; and the proportion of copper shall be uniform throughout the length of the rod. The copper shall have a minimum wall thickness of 0.010 inch at any point on the rod. Ground rods shall be UL 467 listed. The ground rods shall be manufactured by Erico Products, Blackburn, or equal.
- B. Except where specifically indicated otherwise, all exposed non current-carrying metallic parts of electrical equipment, metallic raceway systems, grounding conductors in nonmetallic raceways and neutral conductors of wiring systems shall be grounded.
- C. The ground connection shall be made at the main service equipment and shall be extended to the ground grid surrounding the structure. The ground grid shall also be connected to the point of entrance of the metallic water service. Connection to the water pipe shall be made by a suitable ground clamp or lug connection to a plugged tee. If flanged pipes are encountered, connection shall be made with the lug bolted to the street side of the flanged connection.
- D. Where ground fault protection is employed, care shall be taken so that the connection of the ground and neutral does not interfere with the correct operation of the ground fault protection system.



## 2.03 FITTINGS

- A. Grounding connections to equipment shall be bolted. Cable end connections shall be made by hydraulic crimp or exothermically welded. Split bolt type connectors are not acceptable. Fittings shall be UL 467 listed.

## 2.04 EQUIPMENT GROUNDING CONDUCTORS

- A. An insulated equipment grounding conductor, which shall be separate from the electrical system neutral conductor, shall be furnished and installed for all circuits. Insulation shall be of the same type as the ungrounded conductors in the raceway and shall be green in color. Equipment grounding conductors shall be furnished and installed in all conduits. Use of conduits as the NEC required equipment grounding conductor is not acceptable.

## 2.05 EQUIPMENT GROUNDS

- A. Equipment grounds shall be solid and continuous from a connection at earth to all distribution panelboards. Ground connections at panelboards, outlets, equipment, and apparatus shall be made in an approved and permanent manner.
- B. For all control panels, disconnect switches, and other electrical enclosures, equipment grounds and bonding jumpers shall be terminated individually on a ground bar or mechanical lugs. No wire nuts will be permitted.

## 2.06 EXOTHERMIC WELDS

- A. All exothermic welding shall be completed per welding kit manufacturer's instructions. Exothermic welds shall be CadWeld by Erico or ThermoWeld.

## PART 3 -- EXECUTION

### 3.01 INSTALLATION

- A. Metal surfaces where grounding connections are to be made shall be clean and dry. Steel surfaces shall be ground or filed to remove all scale, rust, grease, and dirt. Copper and galvanized steel shall be cleaned with emery cloth to remove oxide before making connections.
- B. Ground Grid
  - 1. A main ground grid shall be provided for each structure and interconnecting structure grids consisting of driven ground rods as shown on the Drawings. Ground rods shall be driven straight down into the earth, or if objects are encountered, at an angle to avoid the obstruction.
  - 2. The ground rods shall be interconnected by the use of copper cable exothermically welded to the rods. The grounding cables shall be installed after the excavations for the building have been completed and prior to the pouring of concrete for the footings, mats, etc. Copper "pigtailed" shall be connected to the ground grid and shall enter the buildings and structure from the outside and shall be connected to

steel structures, and equipment as described in this Section and as required to provide a complete grounding system. The copper pigtailed shall be exothermically welded to the ground grid, and connected to building reinforcement steel by hydraulic crimp.

3. Grounding conductors shall be continuous between points of connection; splices shall not be permitted.
4. Where conductors are exposed and subject to damage from personnel, traffic, etc., conductors shall be installed in metal raceway. The raceway shall be bonded to the grounding system.
5. Where subsurface conditions do not permit use of driven ground rods to obtain proper ground resistance, rods shall be installed in a trench or plate electrodes shall be provided, as applicable and necessary to obtain proper values of resistance.
6. Buried exothermic welds and ground ring shall not be backfilled until inspected by Engineer.

#### C. Raceways

1. Conduit which enters equipment such as switchgear, motor control centers, transformers, panelboards, variable frequency drives, instrument and control panels, and similar equipment shall be bonded to the ground bus or ground lug, where provided, and as otherwise required by the NEC.

### 3.02 TESTING

- A. All tests shall be performed in accordance with the requirements of the General Conditions and Division 1. The following tests are required:

1. Witnessed Shop Tests

- a. None required.

2. Field Tests

- a. Field testing shall be done in accordance with the requirements specified in the General Conditions, Division 1, and NETA Acceptance Testing Specifications, latest edition.
- b. Fall of potential tests shall be performed on the ground grid per IEEE81 recommendations by a third party, independent testing firm. A fall of potential plot shall be submitted at the conclusion of testing for Engineer review. Documentation indicating the location of the rod and grounding system as well as the resistance and soil conditions at the time the measurements were made shall be submitted. Testing shall show that the ground grid has 5 ohms resistance or less. Due to soil conditions and/or unforeseen field conditions, ground resistances greater than 5 ohms may be acceptable if specifically approved in writing by the Engineer. Ground resistance measurements shall be made in normally dry weather not less

than 48 hours after rainfall and with the ground grid under test isolated from other grounds.

- c. Continuity tests for the grounding electrode conductor shall be performed. Test will be accepted when a resistance of less than 1 ohm is shown for this conductor.

- END OF SECTION -



SECTION 16190  
SUPPORTING DEVICES

PART 1 -- GENERAL

1.01 THE REQUIREMENT

- A. The Contractor shall furnish and install structural supports for mounting and installing all conduit, electrical equipment, instrumentation, and communications equipment furnished under this Contract.
- B. Equipment shall be installed strictly in accordance with recommendations of the manufacturer and best practices of the trade resulting in a complete, operable, and safe installation. The Contractor shall obtain written installation manuals from the equipment manufacturer prior to installation.
- C. Reference Section 16000, Basic Electrical Requirements.

1.02 CODES AND STANDARDS

- A. Equipment and materials covered under this Section shall be designed, manufactured, and/or listed to the following standards as applicable:
  - 1. ASTM A123 – Standard Specification for Zinc (Hot Dip Galvanized) Coatings on Iron and Steel Products.
  - 2. ASTM A153 – Standard Specification for Zinc Coating (Hot Dip) on Iron and Steel Hardware.
  - 3. ASTM A240 – Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications.
  - 4. ASTM A276 – Standard Specification for Steel Bars and Shapes
  - 5. ASTM B783 – Standard Specification for Materials for Ferrous Powder Metallurgy Structural Parts

1.03 SUBMITTALS

- A. In accordance with the procedures and requirements set forth in the General Conditions and Section 01300, Submittals, the Contractor shall obtain from the equipment manufacturer and submit the following:
  - 1. Shop drawings
- B. Each submittal shall be identified by the applicable Specification section.

1.04 SHOP DRAWINGS

- A. Each submittal shall be complete in all respects, incorporating all information and data listed herein and all additional information required for evaluation of the proposed equipment's compliance with the Contract Documents.
- B. Partial, incomplete, or illegible submittals will be returned to the Contractor without review for resubmittal.
- C. Shop drawings shall include but not be limited to:
  - 1. Product data sheets.
  - 2. Complete assembly, layout, installation, and foundation drawings with clearly marked dimensions.

PART 2 -- PRODUCTS

2.01 MANUFACTURERS

- A. The equipment covered by this Specification is intended to be standard equipment of proven performance as manufactured by reputable concerns. Equipment shall be designed, constructed, and installed in accordance with the best practices of the trade, and shall operate satisfactorily when installed as shown on the Drawings.

2.02 MATERIALS

- A. Support channel shall be 1-5/8" by 1-5/8" minimum, with 12 gage material thickness.
- B. Support channel, support channel fittings, and threaded rod shall be furnished with the following material of construction, dependent upon the designation of the area in which they are to be installed. Area designations are indicated on the Drawings.

<b>AREA DESIGNATION</b>	<b>MATERIAL OF CONSTRUCTION</b>
Indoor Wet Process Area	Type 304 Stainless Steel
Indoor Dry Process Area	Hot Dipped Galvanized Steel
Indoor Dry Non-process Area	Hot Dipped Galvanized Steel
All Outdoor Areas	Type 304 Stainless Steel

- C. Fastening hardware (bolts, nuts, washers, and screws) shall be Type 304 Stainless Steel.

PART 3 -- EXECUTION

3.01 INSTALLATION

- A. Concrete or Masonry Inserts

1. The Contractor shall be responsible for the furnishing and installation of all anchor bolts, masonry inserts, and similar devices required for installation of equipment furnished under this Contract.
2. If a time delay for the arrival of any special inserts or equipment drawings, etc. occurs, the Contractor may, if permitted by the Engineer, make arrangements for providing approved recesses and openings in the concrete or masonry and, upon subsequent installation, the Contractor shall be responsible for filling in such recesses and openings. Any additional costs that may be incurred by this procedure shall be borne by the Contractor.
3. The Contractor shall furnish leveling channels for all switchgear, switchboards, motor control centers, and similar floor mounted equipment. The leveling channels shall be provided for embedment in the equipment housekeeping pads. Coordination of the installation of these channels with the concrete pad is essential and required. Pad height shall be as required to maintain concrete coverage of the reinforcement bars while not causing associated equipment to exceed the maximum mounting height requirements of the NEC.

**B. Support Fastening and Locations**

1. All equipment fastenings to columns, steel beams, and trusses shall be by beam clamps or welded. No holes shall be drilled in the steel.
2. Support channel shall be provided wherever required for the support of starters, switches, panels, and miscellaneous equipment.
3. All supports shall be rigidly bolted together and braced to make a substantial supporting framework. Where possible, control equipment shall be grouped together and mounted on a single framework.
4. Aluminum support members shall not be installed in direct contact with concrete. Stainless steel or non-metallic "spacers" shall be used to prevent contact of aluminum with concrete.
5. Actual designs for supporting framework should take the nature of a picture frame of support channels and bracket with a plate for mounting the components. The Contractor is responsible for the design of supporting structure; he shall submit design details to the Engineer for acceptance before proceeding with the fabrication.
6. Wherever dissimilar metals come into contact, the Contractor shall isolate these metals as required with neoprene washers, nine (9) mil polyethylene tape, or gaskets.
7. Single conduits installed exposed along walls and ceilings shall be secured to the wall or ceiling with a one-hole conduit clamp and clamp-back. Where multiple conduits are installed exposed together, support channel and conduit clamps shall be used.

- END OF SECTION -





SECTION 16496

AUTOMATIC TRANSFER SWITCH

PART 1 -- GENERAL

1.01 THE REQUIREMENT

- A. The Contractor shall disconnect, clean, inspect, re-connect, test and place in satisfactory operation automatic transfer switches as specified herein and indicated in Drawings.
- B. The Contractor shall modify the existing Russelectric automatic transfer switch controls as required to incorporate the new standby generator. The contractor shall retain the Russelectric field service organization to perform all automatic transfer switch modifications, settings and testing.

1.02 TESTING

- A. All tests shall be performed in accordance with the requirements of the General Conditions. The following tests are required:
  - 1. Field Tests
    - a. Field testing shall be done in accordance with the requirements specified in the General Conditions and Section 16000, Basic Electrical Requirements.
    - b. Prior to acceptance of the installation, load test the equipment with all available motor load, but do not exceed the generator's or automatic transfer switch's nameplate rating. Correct defects which become evident during this test.

1.03 SUBMITTALS

- A. In accordance with the procedures and requirements set forth in the General Conditions and Section 01300, Submittals, the Contractor shall obtain from the equipment manufacturer and submit the following:
  - 1. Shop Drawings
- B. Each submittal shall be identified by the applicable specification section.

1.04 SHOP DRAWINGS

- A. Each submittal shall be complete in all respects, incorporating all information and data listed herein and all additional information required for evaluation of the proposed equipment's compliance with the Contract Documents.
- B. Partial, incomplete or illegible submittals will be returned to the Contractor for resubmittal without review.

- C. Shop drawings shall include but not be limited to:
  - 1. Complete internal schematic and interconnecting wiring diagrams showing all proposed wiring modifications to the automatic transfer switch.
  - 2. Report of the existing transfer switch settings and proposed settings to incorporate the new generator.
  - 3. Written sequence of operation.
- D. Prior to completion and final acceptance of the project, the Contractor shall furnish and install "as-built" wiring diagrams for each automatic transfer switch. These final drawings shall be plastic laminated and securely placed inside each transfer switch and included in the O&M manuals.

#### 1.05 OPERATION AND MAINTENANCE MANUALS

- A. The Contractor shall submit operation and maintenance manuals in accordance with the procedures and requirements set forth in the General Conditions and Division 1.

#### 1.06 SERVICES OF MANUFACTURER'S REPRESENTATIVE

- A. The Contractor shall provide the services of a qualified manufacturer's technical representative who shall perform the modifications and testing of the existing automatic transfer switch and instruct the Owner's operating personnel in its maintenance and operation as outlined elsewhere in Division 1. The services of the manufacturer's representative shall be provided for a period of not less than as follows:
  - 1. One trip of one (1) working days during installation of the equipment to perform the required modifications and testing.
  - 2. One trip of one (1) working day after installation of the generator for startup/testing of the standby power system (generator and automatic transfer switch).
- B. Any additional time required to achieve successful installation and operation shall be at the expense of the Contractor. The manufacturer's representative shall document and submit a status report/letter for Engineer review each day he is at the project site.

#### 1.07 TRAINING

- A. The Contractor shall provide training for Owner personnel. Training shall be conducted by the manufacturer's factory trained specialists who shall instruct Owner personnel in operation and maintenance of the automatic transfer switch.
- B. Provide the services of an experienced, factory trained technician or service engineer of the switch manufacturer at the jobsite for minimum of one (1) day for training of Owner personnel, beginning at a date mutually agreeable to the Contractor and the Owner. The technician shall be on duty at the site for at least 8 hours per day and shall be available 24 hours per day when required to advise concerning special problems with equipment and systems.

## PART 2 -- PRODUCTS

### 2.01 MANUFACTURERS

- A. The equipment covered by this Specification is existing and shall be modified as specified herein.
- B. The existing automatic transfer switch is model number RMTD-4003CEF, serial number 34441-1, and is a 400 amp, 480/277 volt, 3 phase, 4 wire, 60 HZ.

### 2.02 AUTOMATIC TRANSFER SWITCH

#### A. Sequence of Operation

1. Should the voltage on any phase of the normal source drop below 80 percent or increase to 120 percent, or frequency drops below 90 percent, or increase to 110 percent, or 20 percent voltage differential between phases occur, after a programmable time delay period of 0-9999 seconds factory set at three (3) seconds to allow for momentary dips, the engine starting contact(s) shall close to start the standby source.
2. Transfer to the standby power source shall occur when 90 percent of rated voltage and frequency has been reached by the standby power source.
3. After restoration of normal power on all phases to a preset value of 90 percent to 110 percent of rated voltage, at least 95 percent to 105 percent of rated frequency, and voltage differential is below 20 percent between phases, an adjustable time delay period of 0-9999 seconds factory set at 300 seconds shall delay the transfer to allow stabilization of the normal source. Should the standby source fail during this time delay period, the switch shall automatically retransfer to the normal source.
4. After retransfer to the normal power source, the standby source shall operate at no load for a programmable period of 0-9999 seconds factory set at 300 seconds. Should the normal power source fail during this time delay period, the transfer switch shall automatically return to the standby source.

## PART 3 -- EXECUTION

### 3.01 INSTALLATION

- A. The Contractor shall thoroughly clean the interior of the automatic transfer switch, and shall inspect all connections and operating mechanisms within the automatic transfer switch. Any issues with the operation of the existing automatic transfer switch shall be brought to the attention of the Engineer.
- B. The Contractor shall tighten all assembled bolted connections to the manufacturer's torque recommendations prior to energizing.

### 3.02 TESTING

- A. The Contractor shall demonstrate to the Engineer, by simulating a utility outage, that the automatic transfer switch will successfully transfer from utility source to backup generator, and upon restoration of utility source, back to the utility source a minimum of eight (8) times under 3/4 load. If the transfer fails for any reason during the eight (8) transfers, corrections shall be made and the test shall be repeated until eight (8) successful transfers are completed in a succession.

### 3.03 RUBBER MATS

- A. A three foot wide rubber mat shall be furnished and installed on the floor and in front of the automatic transfer switch. The mat shall be long enough to cover the full length of each enclosure. The mat shall be 1/4 inch thick with beveled edges, canvas back, solid type with corrugations running the entire length of the mat. The mat shall be guaranteed extra quality, free from cracks, blow holes or other defects detrimental to their mechanical or electrical strength. The mat shall meet OSHA requirements and the requirements of ANSI/ASTM D-178 J6-7 for Type 2, Class 2 insulating matting.

- END OF SECTION -

## SECTION 16620

### PACKAGED ENGINE GENERATOR SYSTEMS

#### PART 1 -- GENERAL

##### 1.01 THE REQUIREMENT

- A. The Contractor shall furnish and install a standby power engine generator set complete with base-mounted fuel storage tank, leak detection systems, piping, exhaust silencer, batteries, charger, enclosure, devices for automatic and manual control, platforms, platform supports, stairs, handrails, and railings.
- B. It is the intent under this Contract to require an installation complete in every detail whether or not indicated on the Drawings or specified. Consequently, the Contractor is responsible for all details, devices, accessories and special construction necessary to properly install, adjust, test, and place in successful and continuous operation the engine-generator set.
- C. Use materials which are new, unused, and as specified, or, if not specifically indicated, the best and most suitable of their kinds for the purpose intended, and for the design and expected conditions of service, subject to the approval of the Engineer.
- D. Provide workmanship that is first class in every respect. Employ workers thoroughly experienced in such work. A neat and workmanlike appearance in the finished work shall be required.
- E. All materials used must bear the inspection labels of the Underwriter's Laboratories, if the material is of a class inspected by the Laboratory.
- F. Unless otherwise indicated, the materials to be provided under this Specification shall be the products of manufacturers regularly engaged in the production of all such items and shall be the manufacturer's latest design. The products shall conform to the applicable standards of UL and NEMA, unless specified otherwise. International Electrotechnical Commission (IEC) standards are not recognized. Equipment designed, manufactured, and labeled in compliance with IEC standards is not acceptable.
- G. The engine generator sets shall fully comply with and shall be factory certified to comply with all current Environmental Protection Agency (EPA) emission regulations including, but not limited to, the New Source Performance Standards (NSPS) for stationary and non-road generator sets. Field certification or engines using flex credits are not acceptable. The engine generator set(s) must meet the EPA new source performance requirements required at the time the engine generator set(s) submittal is approved by the engineer. Engines manufactured previous to the submittal approval date that do not meet the current regulated emissions levels are not acceptable.
- H. Reference Section 16000 - Basic Electrical Requirements and Section 16496, Automatic Transfer Switch.

## 1.02 CODES AND STANDARDS

A. The packaged engine-generator system shall comply with the following Codes and Standards as a minimum:

1. NEMA MG1, Motors and Generators.
2. NEMA MG2, Safety Standard for Construction and Guide for Selection, Installation and Use of Motors and Generators.
3. ISO STD 8528, Reciprocating Internal Combustion Engines.
4. ISO STD 3046, Performance Standard for Reciprocating Internal Combustion Engines.
5. NFPA 30, Flammable and Combustible Liquids Code.
6. NFPA 37, Standard for Installation and use of Stationary Combustible Engine and Gas Turbines.
7. NFPA 70, National Electrical Code
8. NFPA 70E, Standard for Electrical Safety in the Workplace
9. NFPA 110, Standard for Emergency and Standby Power Systems.
10. UL 508, Industrial Control Equipment.
11. EGSA, Electrical Generating Systems Association.
12. UL 2200 – Stationary Engine Generator Assemblies
13. ANSI C57, Dry-Type Transformers.
14. UL 142, Steel Aboveground Tanks for Flammable and Combustible Liquids.
15. UL 1236 – Standard for Battery Chargers for Charging Engine Starter Batteries.

## 1.03 SUBMITTALS

A. In accordance with the procedures and requirements set forth in the General Conditions and Section 01300, Submittals, the Contractor shall obtain from the equipment manufacturer and submit the following:

1. Shop Drawings
2. Spare Parts List
3. Reports of Certified Shop and Field Tests
4. Operation and Maintenance Manuals

5. Manufacturer's Field Start-up Report
6. Manufacturer's Representative's Installation Certification

B. Each submittal shall be identified by the applicable specification section.

#### 1.04 SHOP DRAWINGS

A. Each submittal shall be complete in all respects, incorporating all information and data listed herein and all additional information required for evaluation of the proposed equipment's compliance with the Contract Documents.

B. Partial, incomplete or illegible submittals will be returned to the Contractor without review for resubmittal.

C. Shop drawings for each engine-generator set shall include but not be limited to:

1. A Compliance, Deviations, and Exceptions (CD&E) letter. If the shop drawings are submitted without this CD&E letter, the submittal will be rejected. The letter shall include all comments, deviations and exceptions taken to the Drawings and Specifications by the Contractor AND Equipment Manufacturer/Supplier. This letter shall include a copy of this specification section. In the left margin beside each and every paragraph/item, a letter "C", "D", or "E" shall be typed or written in. The letter "C" shall be for full compliance with the requirement. The letter "D" shall be for a deviation from the requirement. The letter "E" shall be for taking exception to a requirement. Any requirements with the letter "D" or "E" beside them shall be provided with a full typewritten explanation of the deviation/exception. Handwritten explanation of the deviations/exceptions is not acceptable. The CD&E letter shall also address deviations, and exceptions taken to each Drawing related to this specification section.

2. Manufacturers printed specification sheets showing critical engine and generator set specifications including the following:

- Dimensions, and weights
- Guaranteed fuel consumption at 25%, 50%, 75% and 100% of full rated load
- Engine bhp available
- Brake Mean Effective Pressure (BMEP)
- Engine jacket water heat rejection
- Exhaust flow rate and temperature at 100% of rated load
- Ventilation and combustion air requirements
- Exhaust backpressure limitation
- Liquid refill capacities
- Voltage regulation characteristics
- Guaranteed noise levels

3. Alternator technical electrical data, including, but not limited to:
  - Alternator efficiency at 50%, 75%, and 100% load
  - Telephone Interference Factor (TIF)
  - Harmonic waveform distortion
  - Type of winding insulation and generator temperature rise
  - Per unit subtransient impedance  $X''$  and X/R ratios for positive, negative, and zero sequences
  - Transient reactance ( $X_d'$ )
  - Synchronous reactance ( $X_d$ )
  - Sub transient time constant ( $T_d''$ )
  - Transient time constant ( $T_d$ )
  - DC time constant ( $T_{dc}$ )
  - Decrement curve
4. Manufacturer's printed warranty statement of the engine and generator set showing single source responsibility by the engine manufacturer.
5. Generator control panel equipment and features. Include a written explanation of the auto start/stop logic and operation.
6. Engine-generator set and accessory product data sheets including, but not limited to, the following:
  - Alternator strip heater
  - Radiator
  - Seismically rated vibration isolators
  - Flexible exhaust coupling
  - Exhaust silencer
  - Batteries
  - Battery charger
  - Engine manufacturers shutdown contactors
  - Jacket coolant heater
  - Fuel tank(s) and pump(s)
  - Fuel level devices
  - Output circuit breaker and trip unit
  - Conduit
  - Wire and Cable
7. Standard dealer preventative maintenance contract for review and possible adoption under a separate contract. Dealer must have existing contracts and personnel and contractual detailed performance information available.
8. Normal operating ranges for systems temperature, pressure and speed.



9. Manufacturer's part number for the engine and generator operation guide, parts book, service manual, warranty policy, and installation guide.
10. Location of other similar units showing compliance with the experience requirements specified herein.
11. Phone numbers of twenty-four (24) hour products support contacts and locations.
12. Drawing showing right hand, left hand, and top views of proposed assembly; battery rack, isolators, exhaust silencer, conduit stub up locations, and flexible fittings; wiring schematics, interconnection diagrams (point to point), and written description of engine generator controls and alarm circuits.
13. Control panel layout drawings and wiring diagrams.
14. Drawings and specifications for base-mounted fuel storage tank with accessories and leak detection system.
15. EPA Certificate of Conformity for Exhaust Emissions (Factory Certification)
16. Detailed drawings showing plan, front, and side views as well as appropriate section views of the weatherproof, engine-generator enclosure. Include product data sheets for all appurtenances (e.g. switches, receptacles, , etc.) to be furnished and installed in the enclosure. Drawings shall be of sufficient detail to assure proper installation by the Contractor.

- D. The shop drawing information shall be complete and organized in such a way that the Engineer can determine if the requirements of these Specifications are being met. Copies of technical bulletins, technical data sheets from "soft-cover" catalogs, and similar information which is "highlighted" or somehow identifies the specific equipment items the Contractor intends to provide are acceptable and shall be submitted.

#### 1.05 REPORTS OF CERTIFIED SHOP AND FIELD TESTS

- A. Submit two (2) certified copies of all test reports. This includes all shop tests and field tests. Certified shop test reports for prototype engine-generator sets are unacceptable. The manufacturer's serial number for the actual engine-generator set furnished for this project shall appear on all test reports.

#### 1.06 OPERATION AND MAINTENANCE MANUALS

- A. Two (2) preliminary copies of Operation and Maintenance Manuals, prepared specifically for this Project, shall be furnished for each item of equipment furnished under this Contract. The preliminary manuals shall be provided to the Engineer not more than 10 days after the equipment arrives on the project site.
- B. The preliminary manuals shall be reviewed by the Engineer prior to the Contractor submitting final copies for distribution to the Owner. Following review of the preliminary copies of the Operation and Maintenance Manuals, one (1) copy will be returned to the Contractor with required revisions noted, or the acceptance of the Engineer noted.

- C. Manuals shall contain complete information in connection with assembly, operation, lubrication, adjustment, wiring diagrams and schematics, maintenance, and repair, including detailed parts lists with drawings or photographs identifying the parts. Manuals shall contain all information submitted as part of the shop drawing review process.
- D. Manuals furnished shall be assembled and bound in separate volumes, by major equipment items or trades, and properly indexed to facilitate locating any required information. In addition, manuals should be labeled in the front cover with the project, name, equipment description, and manufacturer contract information.
- E. Engineer and the Owner shall be the sole judge of the acceptability and completeness of the manuals and may reject any submittal for insufficient information included, incorrect references and/or the manner in which the material is assembled.
- F. Following the Engineer's review of the preliminary manuals, the Contractor shall submit five (5) paper copies and two (2) electronic copies of the final Operation and Maintenance Manuals to the Owner. The manuals shall reflect the required revisions noted during the Engineer's review of the preliminary documents, as well as any changes made during installation. Failure of the final manuals to reflect the required revisions noted by the Engineer as well as changes made during installation will result in the manuals being returned to the Contractor. Acceptable final Operation and Maintenance Manuals shall be provided not more than one (1) month after receipt of the Engineer's comments.

1.07 SPARE PARTS

- A. Routine maintenance and adjustments shall be performed without the use of special tools or instruments. All spare parts as recommended by the equipment manufacturer shall be furnished to the Owner by the Contractor.

In addition to the manufacturer recommended spare parts, the Contractor shall furnish the following spare parts for each engine-generator set:

<u>No. Required</u>	<u>Description</u>
1	Set of Fuel Oil Particulate Filters
1	Set of Air Filters
1	Set of Lube Oil Filters
1	Set of Fuel Oil/Water Separator Filters

- B. The spare parts shall be packed in containers suitable for long term storage, bearing labels clearly designating the contents and the pieces of equipment for which they are intended.
- C. Spare parts shall be delivered at the same time as the equipment to which they pertain. The Contractor shall properly store and safeguard such spare parts until completion of the work, at which time they shall be delivered to the Owner.
- D. Spare parts list, included with the shop drawing submittal, shall indicate specific sizes, quantities, and part numbers of the items to be furnished. Terms such as "1 lot of packing material" are not acceptable.

- E. Parts shall be completely identified with a numerical system to facilitate parts inventory control and stocking. Each part shall be properly identified by a separate number. Those parts which are identical for more than one size, shall have the same parts number.
- F. The dealer shall have sufficient parts inventory to maintain over-the-counter availability of at least 90% of any required part and 100% availability within 48 hours.

#### 1.08 IDENTIFICATION

- A. Each engine-generator set shall be identified with the identification name/number indicated on the Drawings (e.g., Generator No. 1, etc.). A nameplate shall be securely affixed in a conspicuous place on the generator main circuit breaker or output termination box enclosure. Nameplates shall be as specified in Section 16195, Electrical - Identification.

#### 1.09 WARRANTY TERMS

- A. The manufacturer's and Dealer's warranty shall in no event be for a period of less than two (2) years or four-hundred (400) hours of operation, whichever comes first, from date of delivery of equipment to the project site and shall include repair labor, travel expense necessary for repairs at the jobsite, and expendables (lubricating oil, filters, coolant, and other service items made unusable by the defect) used during the course of repair. The hours of operation of the engine generator set field testing during construction shall be fully documented and shall not be counted towards the hours of operation for the warranty duration. Submittals received without written warranties as specified shall be rejected in their entirety.
- B. Provided warranty shall cover all equipment included in the scope of supply. This warranty shall include, but is not limited to, the following:
  - Engine-generator set and respective auxiliary equipment
  - All controls for the engine-generator set
- C. Batteries shall be provided with two (2) year full replacement guarantee, and a pro-rated replacement schedule thereafter.

#### 1.10 OIL SAMPLING KIT

- A. The generator set supplier shall provide an oil sampling analysis kit which operating personnel shall utilize for scheduled oil sampling. All equipment needed to take oil samples shall be provided in a kit and shall include the following:

1	Sample extraction gun
10	Bottles
10	Postage-paid mailers
1	Written instructions

An additional oil sampling kit shall be made available to the Owner to continue the sampling when the above specified kit has been depleted. All kits in addition to that specified above shall be at an additional cost to the Owner, if the Owner desires to continue the sampling service.

#### 1.11 PREVENTIVE MAINTENANCE AGREEMENT

A. The engine/generator set supplier shall provide a preventive maintenance agreement using qualified factory trained service personnel, for a period of 2-year minimum. Provide all recommended fluids, dealer labor, travel labor and travel mileage to complete the suggested preventive maintenance as defined in the manufacturer's Operation and Maintenance Manual and as listed below. All parts shall be new and provided by the generator manufacturer. The maintenance agreement shall include the following as a minimum:

1. Check oil level, check oil pressure safety shutdown switches, complete an oil sample analysis, check oil pressure and gauges, and inspect the system for leaks. Change oil and oil filter if required.
2. Check coolant level, inspect/replace cooling system hoses, check high/low temperature alarms and shutdowns, inspect radiator, inspect fan and fan belts (tighten or replace as required). Flush coolant system and replace coolant if required.
3. Inspect the fuel system including fuel pumps and tank(s), check fuel pressure, inspect fuel filters and replace if required, and check for water in fuel storage tank.
4. Check the battery and battery charging system including a voltage test, check and clean battery terminals, check and inspect engine starting system.
5. Inspect and test the generator, check bearing grease and add grease if required, check terminations, and complete a generator winding insulation resistance (i.e. megger test).
6. Check engine control system including overspeed alarms and shutdowns, overcrank alarm, engine starter, circuit breaker and fuses, and test and adjust engine governor.
7. Check and the engine air intake and exhaust systems for leaks and damage. Check air filter and replace if required.
8. Test automatic transfer switch. Check all indicating lights and replace as required.
9. Inspect all components of the generator enclosure including, but not limited to, lights, louvers, fans, doors, etc.

## PART 2 -- PRODUCTS

### 2.01 MANUFACTURERS

- A. The equipment covered by this Specification is intended to be standard equipment of proven performance as manufactured by reputable concerns. Equipment shall be designed, constructed and installed in accordance with the best practices of the trade, and shall operate satisfactorily when installed as shown on the Drawings.
- B. Consideration will be given only to the equipment of those manufacturers who have furnished comparable size diesel engine-generator sets for at least two similar installations that have been in regular successful operation for not less than five (5) years.
- C. The engine-generator set manufacturers shall be Caterpillar (basis of design), Cummins, or MTU Onsite Energy. No substitutions shall be permitted. The engine-generator set

manufacturer and/or dealer shall be responsible for the entire engine-generator package including the engine-generator set with all accessories and equipment specified herein and all other devices required for a complete and operable system.

## 2.02 GENERAL DESCRIPTION

- A. The engine-generator set shall be rated as specified herein and as indicated on the Drawings. It shall have the capability to operate at its standby rating for the duration of any power outage with all accessories including engine running devices, silencer, radiator, cooling fans, fuel system, and all appurtenances complete as it would be installed in the field.

## 2.03 ENGINE

- A. The engine shall be diesel, 4 cycle, radiator cooled, and shall be turbocharged having an operating speed of 1800 RPM. Engine shall operate on ASTM D-975 Grade No. 2D S15 ultra-low sulfur diesel fuel. Engines requiring any other fuel type are not acceptable.
- B. The engine will not be acceptable if the design is a conversion of a naturally aspirated engine to which a turbo-blower has been attached, unless the engine is certified by the manufacturer as having been analyzed and redesigned with ample provisions for increased stresses and bearing or heat loads due to increased pressures and rate of heat liberation.
- C. Break mean effective pressure (BMEP) shall not exceed 216 psi at rated load. Brake Horsepower (BHP), and Engine-Generator efficiency shall conform with ASME, IEEE and NEMA standards that electrical energy delivered by the machine is within the minimum certified guaranteed fuel oil consumption rate and evidence that these parameters have been met shall be furnished.
- D. Only engine manufacturers' standard ratings shall be acceptable. No dealer special ratings will be acceptable.
- E. The specified standby kW rating shall be for continuous electrical service during interruption of the normal utility source, per NEMA standards.
- F. Engine speeds shall be governed by an electronic isochronous governor that will sense generator speed and provide accurate load transient correction capability at less than 0.5 percent regulation, from no load to full load generator output.

## 2.04 ALTERNATOR

- A. The alternator shall conform with NEMA and IEEE standards and be rated as indicated on the Drawings. The alternator shall have a UL 2200 listing. The alternator shall be brushless, salient pole, 2/3 pole pitch and synchronous for operation at 480VAC, wye connected, as indicated on the Drawings. The generator shall be capable of delivering 163 SkVA (minimum) at an instantaneous voltage dip of no more than 20% voltage drop.
- B. Laminations and windings shall be designed for minimum reactance, low voltage waveform distortion and maximum efficiency.
- C. The main stator coils shall be form wound. Insulation shall be Class F, 105 degrees C rise according to NEMA standards. The insulation system shall be made of epoxies and polyesters which are inorganic compounds and shall prevent fungus growth.

- D. The rotor shall be dynamically balanced and include amortisseur windings to minimize voltage deviations and heating effects under unbalanced load conditions.
- E. Radio interference suppression (both directions) shall be provided in accordance with NEMA and IEEE Standards.
- F. The alternator shall have a brushless, permanent magnet generator (PMG) excitation support system to provide input to the automatic voltage regulator to enable the alternator to support 300% of rated current for 10 seconds to allow fault clearing.
- G. Waveform deviation shall not exceed 5% from true sine wave. The transient response from no load to full load in one step of the engine-generator set shall not exceed a voltage dip of 35%, a frequency dip of 20%, and shall recover to complete steady state performance within 12 seconds for both voltage and frequency. The transient response from full load to no load in one step shall not exceed a voltage overshoot of 7% and shall recover to steady state performance within 3 seconds. Transient performance shall be in accordance with ISO 8528.
- H. The Telephone Influence Factor (TIF) shall be less than 50.
- I. The voltage regulator shall be an adjustable, solid-state, three-phase RMS sensing, volts/hertz type. Voltage regulation shall be a minimum of +/-0.5% from no load to continuous rating. The voltage regulator shall provide +/-10% voltage adjustment. The voltage regulator shall be located within the engine control panel.
- J. An alternator mounted strip heater shall be furnished and installed as part of the system. The strip heater shall be energized to prevent condensation when the engine generator set is not running.

## 2.05 CONTROLS

- A. Engine-generator monitoring and controls shall be mounted in a single NEMA 1 (gasketed) dust-tight enclosure. A suitable accessible terminal strip having all wires properly identified shall be furnished within the enclosure. The control panel shall be mounted at a height of 4'-8" measured from the center of the panel to the equipment pad or enclosure floor.
- B. The control panel shall accept a dry contact input for engine starting from remote locations. The starting and stopping of the engine-generator set shall be initiated through the control panel only. When the engine starts, starting control shall automatically disconnect cranking controls. Four (4) cranking cycles of 10 seconds "ON", 10 seconds "OFF" shall be provided. The starting controls shall prevent re-cranking for a definite time after source voltage has been reduced to a low value, or the four (4) cranking cycles have been reached without a successful start. The automatic engine starting controls shall use industrial rated control type elements throughout, and controls shall have the capability to operate at 50% battery voltage.
- C. Speed sensing shall be provided to protect against accidental starter engagement with a moving flywheel. Battery charging alternation output voltage is not acceptable for this purpose.

- D. A generator/exciter field circuit breaker with shunt trip device shall be furnished and installed as part of the engine generator set. Shunt trip shall be activated upon engine-generator fault conditions.
- E. A main line circuit breaker as specified herein and sized per Manufacturer recommendation shall be installed as a load circuit interrupting and protection device in a NEMA 1 (gasketed) dust-tight enclosure. The circuit breaker shall be provided with adjustable long-time, short-time, and instantaneous settings. The circuit breaker shall contain an electronic trip unit with ground fault. It shall operate both manually for normal operation and automatically for protection against overload or short circuits. Generator/exciter field circuit breakers are not acceptable for this service.

The circuit-breakers described above shall be manufactured and tested in accordance with U.L. and NEMA AB1 standards. Their interrupting rating shall be suitable for the available fault current. All electrical ratings shall be suitable for the application.

- F. Engine-generator monitoring and control shall be provided using a microprocessor based control panel complete with an LCD display. The devices necessary for automatic starting shall be on the engine and in the engine control panel. The following hardware (minimum) shall be provided on the front of the control panel; the use of the LCD display and keypad to accomplish the same function is not acceptable:
  - 1. Keyed engine control mode switch (Run-Off-Auto)
  - 2. Large, red emergency stop pushbutton
  - 3. Generator voltage adjust potentiometer
  - 4. Generator frequency adjust potentiometer
- G. The following parameters (minimum) shall be shown on the LCD display or otherwise be indicated at the control panel:
  - 1. Engine oil pressure
  - 2. Coolant temperature
  - 3. Generator output voltage
  - 4. Generator output current
  - 5. Generator elapsed run time
  - 6. Generator output frequency
  - 7. Engine run
  - 8. Engine fail
  - 9. Low coolant temperature

10. Pre-high engine temperature
  11. Pre-low fuel level
  12. Engine speed (RPM)
- H. The following events (minimum) shall cause an immediate shutdown of the engine-generator set if it operating, or prevent starting if it is not operating. The specific event that causes the shutdown/prevents starting shall be shown on the LCD display or otherwise be indicated at the control panel. A reset shall be required to clear the fault and allow the unit to operate:
1. Engine coolant high temperature
  2. Engine low oil pressure
  3. Low fuel level
  4. Engine overspeed
  5. Engine overcrank
  6. Engine tried to start but failed
  7. Low coolant level
- I. The generator control panel shall have a communication port capable of transmitting all available engine-generator set data via Modbus RTU protocol.
- J. The generator control panel shall have Form C dry contacts rated 5A (minimum) at 120VAC/24VDC for the following signals:
1. Engine coolant high temperature
  2. Engine low oil pressure
  3. Pre-low fuel level
  4. Low fuel level
  5. Engine overspeed
  6. Engine overcrank
  7. Engine tried to start but failed
  8. Low coolant level
  9. Engine fail
  10. Engine run



The normally closed (NC) contacts for all of the above signals (except engine run and Pre-low fuel level) shall be wired in series to provide a common "Generator System Failure" alarm for remote indication. Other contacts shall also be wired as a part of this alarm as specified elsewhere herein.

## 2.06 ENGINE ACCESSORIES

- A. Furnish and install the engine with all accessory equipment and appurtenances which are required for proper operation, including the following:
  - 1. Heavy duty dry type air filter with restriction indicator
  - 2. Heavy duty lubricating oil filter, bypass type, with replaceable absorbent-type elements
  - 3. Lubricating oil cooler, water cooled
  - 4. Heavy duty fuel oil filter, spin-on, with non-replaceable absorbent-type elements
  - 5. Fuel oil fuel/water separator

## 2.07 MOUNTING

- A. Couple the engine and generator together through a flexible, non-backlash type, all metal coupling which overcomes all normal misalignment stresses and transmits full engine torque with ample safety factor. Also provide flexible connections for piping connections.

## 2.08 COOLING SYSTEM

- A. Provide a radiator manufactured of a non-corrosive material mounted on the engine. The radiator core shall be coated with a corrosion resistant coating. Corrosion resistant coating shall be a corrosion resistant baked phenolic coating or similar.
- B. Connect the radiator to the engine internal cooling system with flexible piping. Furnish appropriately sized coolant expansion tank for the cooling system.
- C. The engine shall be cooled through a radiator sized to continuously maintain safe operation at full load and at 105°F outside ambient air with 50% ethylene glycol coolant. A blower type fan and low noise fan drive and controls shall be furnished. The fan and all rotating members and drive belts shall be guarded and meet OSHA standards. Proof of 105°F ambient temperature capability shall be required and must include all de-rating factors.
- D. Coolant
  - 1. After the cooling system is flushed and cleaned, provide an initial fill of coolant consisting of 50% ethylene glycol. An anti-corrosion treatment shall be added during the initial fill.
  - 2. The coolant shall meet the requirements of the generator manufacturer including corrosion inhibitors provided in the coolant to protect the engine cooling system.

- E. The engine shall be equipped with coolant heaters. Heaters shall be in accordance with the following:
1. Unit mounted thermal circulation type coolant heater with coolant recirculation pump shall be furnished to maintain engine jacket coolant temperature as recommended by manufacturer in a 3 phase, ambient temperature of minus 20°F. The heater shall be 240 VAC, 60 hertz, single phase, thermostatically controlled.
  2. The heater shall be of sufficient capacity to keep the coolant at a suitable temperature for trouble-free starting.
  3. Each heater shall be provided with a suitable contactor/relay to automatically disconnect the heater when the engine is started.

## 2.09 ENGINE STARTING AND CHARGING SYSTEM

- A. Engine starting batteries shall be sealed, lead-acid type, rated 12 volts, wired for 24V starting batteries shall have adequate capacity for rolling the engine for five (5), ten (10) second cycles without starting, and then operating the control devices in the local generator controls for two (2) hours. The batteries shall be mounted on a suitable non-corrosive rack. Batteries shall have battery cables with lugs and shall be provided with lugs for connection to the battery charger.
- B. Battery charger shall be a U.L. 1236 listed, automatic, solid-state battery charger, 10 A (min.) current limited,  $\pm 2\%$  voltage regulation,  $\pm 10\%$  line voltage variation, automatic float equalizing system, DC voltmeter, and DC ammeter. Provide a Form C unpowered (dry) contact to indicate a low battery alarm condition.
- C. In addition, the engine shall be provided with an engine battery charging alternator that automatically changes the starting batteries during engine operation.

## 2.10 EXHAUST SILENCER

- A. Furnish and install an exhaust silencer. Silencers shall be of critical type and sized to produce a high degree of silencing. Reference the sound attenuation requirements specified herein.
- B. Connect the silencer to the engine exhaust manifold with a high corrosion and temperature resistant stainless steel flexible convoluted exhaust pipe. Use flange-type connections. Provide a taper-cut tail pipe complete with rain cap to exhaust the gases to the atmosphere.
- C. The silencer (if installed inside), exhaust piping, and expansion fittings, including collector box, shall be completely covered with a removable insulation blanket in order to protect operating personnel and to reduce noise. Insulation shall be of composite fiberglass and stainless steel construction capable of withstanding 1200°F continuously. The insulation blankets shall be tailored and custom fabricated to fit the contours of the manifolds. Average weight of the insulating blanket shall be 1.5 psf. Insulation shall conform to MIL-1-16411D, Type II and shall be custom fabricated to fit the contours of the components.
- D. The silencer system shall be designed, furnished, and installed to prevent moisture and

condensation from corroding the silencer. All exterior components of the exhaust system shall be of 316 stainless steel.

- E. Silencer shall be mounted within or exterior to the generator enclosure dependent on generator size and manufacturers standards. Silencers mounted on the outside of the enclosure shall be 316 stainless steel construction on its interior and exterior. Silencers mounted within the generator enclosure shall be painted steel.

## 2.11 WIRING

- A. Furnish and install internal wiring in the engine-generator set. All internal wiring between the generator and engine-generator control panel, the on-board power source and all accessories shall be provided.

## 2.12 AUTOMATIC TRANSFER SWITCH

- A. The existing automatic transfer switch shall be modified as indicated on the Drawings and specified in Section 16496, Automatic Transfer Switch. The switch and its operation shall be considered to be part of the standby generator system.

## 2.13 BASE MOUNTED FUEL TANK

- A. The generator set shall be supplied with a U.L.-142 listed base mounted fuel tank of sufficient capacity to operate the engine-generator set at full load for a minimum of 24 hours. The tank, painted in a color as selected by the Owner, shall be fabricated from steel with a rupture basin and leak detector system. The alarm and indicator for the leak detection shall be mounted adjacent to the generator control panel and a contact for remote indication of a fuel leak condition shall be provided. This contact shall be wired as part of a common "Generator System Failure" alarm.
- B. A level device shall also be furnished and installed to provide a local (generator control panel) and remote indication of pre-low fuel tank level and low fuel tank level. The pre-low fuel tank level shall activate a set of dry contacts for remote alarm indication. The low fuel tank level alarm shall shut down the engine to prevent the fuel level from dropping below the fuel pickup piping in the fuel tank. The pre-low fuel level alarm shall activate when only 6 hours of fuel for full load operation remains in the fuel tank. The remote low fuel tank level alarm shall be wired separate from the "Generator System Failure" alarm.
- C. The tank shall be supplied with all necessary fuel supply, return, vent, and fill fittings and a fuel level gauge. The lockable fill port and level gauge shall be easily accessible from outside the enclosure. Provide a valve that automatically closes the fuel fill inlet when the tank level reaches 95% of its capacity. The vent line shall be piped to the outside and be equipped with a fill whistle.
- D. The underside of the tank shall not be in contact with the mounting surface (concrete pad).

## 2.13 WEATHERPROOF ENGINE - GENERATOR ENCLOSURE

- A. Furnish and install an outdoor, weather-protective housing. The housing shall be furnished complete with a full sub-base floor resulting in complete enclosure. The enclosure shall be

factory-assembled to the engine-generator set base and radiator cowling. Lifting eyes shall be provided. Housing shall provide ample airflow for generator set operation. The housing shall be constructed of 12 gauge (minimum) aluminum or 14 gauge (minimum) galvanized steel, reinforced to be vibration free in the operating mode. The housing shall have hinged side-access doors and rear control panel access door. Each door shall have at least two latch-bearing points. All doors shall be lockable. All steel sheet metal shall be primed for corrosion protection and finish painted in a color as selected by the Owner. Roof shall be peaked to allow drainage of rain water. Unit shall have sufficient guards to prevent entrance by small animals. Batteries shall fit inside enclosure and alongside the engine (batteries under the generator are not acceptable). Unit shall have engine coolant and oil drains piped to outside the unit to facilitate maintenance. Each drain line shall have a valve located near the fluid source.

- B. A "Skin-tight" housing shall be provided. No walk-around access is required within the enclosure; however, adequate working clearance shall be provided as required by the NEC. Alternatively, access doors may be provided so that when opened, adequate working clearance is achieved in front of electrical equipment.
- C. Enclosure shall be sound attenuated to provide sound level as specified herein.
- D. All hardware (nuts, bolts, screws, washers, etc.) that is installed on the exterior of the generator enclosure shall be stainless steel. Galvanized steel hardware is not acceptable.
- E. Aluminum stairs, aluminum grating platform, aluminum platform supports, and aluminum handrail shall be furnished at each door. The aluminum stairs shall be as specified in Specification Section 05510 – Metal Stairs. The aluminum grating shall be as specified in Specification Section 05531 – Gratings. Aluminum platform supports shall be as specified in Structural Metal Notes on Drawing S01. The aluminum handrail shall be as specified in Section 05520 – Handrails and Railings. The Contractor shall extend the generator concrete pad as necessary to accommodate the installation of the aluminum stairs, grating platform, platform supports, and handrail.
- F. Conduit and wire shall be in accordance with Sections 16111 and 16123, respectively.
- G. All air intake louvers shall be furnished with rain guards or designed to eliminate water intrusion to the interior of the enclosure when the generator is operating at full load (maximum airflow) during rain events.

## 2.14 SOUND ATTENUATION

- A. Extreme care shall be exercised in providing equipment for and setting the engine-generator in place to guard against excessive noise transmission and vibrations. Fasten to the underside of the skids seismically-rated spring type isolators.
- B. The engine-generator enclosure shall be designed, furnished, and installed to reduce source noise to 75 dB(A) as measured at seven (7) meters from the enclosure.

## PART 3 -- EXECUTION

### 3.01 INSTALLATION

- A. The standby generator system shall be furnished and installed as indicated on the Drawings and as recommended by the equipment manufacturer.
- B. The initial filling of the fuel storage tank shall be provided by the Contractor. Fuel tank shall be filled to its full capacity. At the conclusion of all field testing, the Contractor shall fill the fuel storage tank back to its full capacity. Fuel shall be ultra-low sulfur diesel Grade No. 2D S15 in accordance with ASTM D-975. Fuel shall be new and free from contaminants and water.

### 3.02 SERVICES OF MANUFACTURER'S REPRESENTATIVE

- A. The Contractor shall provide the services of a qualified generator manufacturer's factory-trained technical representative who shall adequately supervise the installation and of all equipment furnished under this Contract. The manufacturer's representative shall certify in writing that the equipment has been installed in accordance with the manufacturer's recommendations. No further testing or equipment startup may take place until this certification is accepted by the Owner.
- B. The manufacturer's technical representative shall perform all startup and field testing of the generator assembly as specified herein.
- C. The Contractor shall provide training for the Owner's personnel. Training shall be conducted by the manufacturer's factory-trained representative who shall instruct Owner's personnel in operation and maintenance of all equipment provided under this Section. Training shall be provided for one (1) session of four (4) hours. Training shall not take place until after the generator has been installed and tested. Training shall be conducted at times coordinated with the Owner.

The services of the manufacturer's representative shall be provided for a period of not less than as follows:

1. One (1) trip of two (2) working days during installation of the engine-generator set.
2. One (1) trip of one (1) working day to perform startup of the engine-generator set.
3. One (1) trip of one (1) working days to perform the field testing of the engine-generator set.
4. One (1) trip of one (1) working day to perform training as specified herein.

- E. Any additional time required to achieve successful installation and operation shall be at the expense of the Contractor.

### 3.03 TESTING

- A. All tests shall be performed in accordance with the requirements of the General Conditions and Division 1. The following tests are required:

- 1. Witnessed Shop Tests

- a. None required.

- 2. Certified Shop Tests

- a. Fully test the engine-generator set with all accessories in the manufacturer's plant before shipment. Tests shall be conducted through the use of balanced, three-phase, dry-type, resistive load banks.
- b. Record complete test data for frequency, amperes, volts, power factor, exhaust temperature, coolant temperature, and oil pressure.
- c. The manufacturer shall conduct a shop test run of at least six (6) consecutive hours for the set under the following conditions of load, in the following order:

- 3 hours - full load
  - 1 hour - 3/4 load
  - 1 hour - 1/2 load
  - 1 hour - 1/4 load

- d. Fuel, lubricants, and other fluids as required for the shop tests shall be furnished by the manufacturer.

- 2. Field Tests

- a. Field tests shall be performed by the generator manufacturer's technical representative. The Contractor shall obtain from the manufacturer and submit a detailed field test plan and procedures documenting the intended field test program.
- b. In the presence of the Engineer and Owner, the representative shall inspect, adjust, and test the entire system after installation and leave in good working order. Field tests specific to each generator shall be conducted after the entire engine-generator system is installed including, but not limited to, the following: diesel fuel tanks including leak detection, exhaust silencer, radiators, enclosures, batteries, and all other equipment included in the complete system.
- c. Field test the generator enclosure to ensure the enclosure performs as specified herein. The generator enclosure field tests shall include water tests to confirm the enclosure does not leak and that the air intake louvers eliminate water intrusion to the interior of the generator enclosure when the

generator is operating at its full load capacity (maximum airflow). A garden hose shall be used to simulate falling rain for this test. Water supply and garden hose will be provided by the Owner for this test.

- d. Field test, as far as practicable, all control, shutdown, and alarm circuits. Document the successful completion of these tests as witnessed by the Owner and the Engineer.
- e. Generator load tests shall be conducted through the use of balanced, three-phase, dry-type, reactive (0.8 power factor) load banks. Conduct a continuous run test using the load bank without shutdown for the engine-generator set under the following load conditions (in this specific order) and in the presence of the Owner and Engineer:
  - 4 hours, full load
  - 1 hour, 3/4 load
  - 1/2 hour, 1/2 load
  - 1/2 hour, 1/4 load

Record complete test data for frequency, amperes, volts, power factor, exhaust temperature, coolant temperature, and oil pressure every 15 minutes during the continuous run test. If any failures, malfunctions, and/or shutdowns occur during this test, the problems shall be fixed and the test shall be restarted. The test shall not be considered complete until the generator has operated for six (6) consecutive hours without any shutdowns under the conditions listed above.

- f. After successful completion of the load bank tests, the generator system shall then be operated for a minimum of two (2) hours with plant loads during a time period when the plant is operating at average demand. The same data shall be recorded at 15 minute intervals for this load test as for the load bank test.
- g. The Contractor shall collect a sample of engine oil from each engine for analysis after the start-up and testing has been completed. The sampling method shall be of the atomic absorption spectrophotometry method and be accurate to within a fraction of one part per million for the following elements:
  - Iron
  - Chromium
  - Copper
  - Aluminum
  - Silicon
  - Lead

The sample shall also be tested for the presence of water, fuel dilution, and coolant.

The oil samples shall be analyzed at an independent laboratory that is not a part of the engine supplier's facility. Immediate notification of critical results shall be provided to the Owner when the analysis shows any critical reading.

- j. All fuel, lubricants, and other fluids required to complete all field tests shall be paid for by the Contractor.

#### 3.04 PAINTING

- A. Prior to final completion of the work, all metal surfaces of the equipment shall be cleaned thoroughly, and all scratches and abrasions shall be retouched with the same coating as used for factory finishing coats.

- END OF SECTION -



# APPENDIX A

LFUCG STANDARD DRAWINGS 2008



**Lexington Fayette Urban County  
Government  
Department of Public Works and Development**

# **Standard Drawings 2008**

**Marwan A. Rayan, P.E.  
Urban County Engineer  
May 2008**





Mayor Jim Newberry

LEXINGTON - FAYETTE URBAN COUNTY GOVERNMENT

Division of Engineering

May 1, 2008

**Users of Lexington-Fayette Urban County Engineering Standard Drawings**

Re: Standard Drawings 2008

Attached is the latest edition of the LFUCG Standard Drawings for construction of storm sewers, sanitary sewers, streets and roads in Lexington-Fayette County. These drawings are to replace any and all other standard drawings previously issued by the Division of Engineering.

These drawings become effective as of May 1, 2008 and any project dedicated to public use after the above date must comply with or contain references to these Standard Drawings or revisions thereof where applicable.

Questions or comments should be directed to:

Urban County Engineer  
Division of Engineering  
Fourth Floor  
101 E. Vine Street  
Lexington, KY 40507  
859-258-3410

Sincerely,

Marwan A. Rayan, P.E.  
Urban County Engineer

MAR:RAB:AFG

C: File

08.1000.106.StandDrw

HORSE CAPITAL OF THE WORLD



**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
STANDARD DRAWINGS 2008  
TABLE OF CONTENTS**

Drawing No.	Drawing Title
<b>Manholes-Storm Drainage:</b>	
100 (N/A)	Storm Sewer Manhole Type "A" - Circular Walls
101 (N/A)	Storm Sewer Manhole Type "B" - Non-Circular Walls
102 (N/A)	Storm Sewer Manhole Details
103 (Incl.)	Manhole Frames, Covers, & Steps
104 (N/A)	Storm Sewer Manhole Circular Slabs 4'-0" & 5'-0" Diameter
105 (N/A)	Storm Sewer Manhole Circular Slabs 6'-0" Diameter
106 (N/A)	Storm Sewer Manhole Circular Slabs 7'-0" Diameter
107 (N/A)	Storm Sewer Manhole Circular Slabs 8'-0" Diameter
108 (N/A)	Reinforcement Detail 5' Non-Circular M.H. Less Than 10' Depth, 8" Walls, 10" Slab
109 (N/A)	Reinforcement Detail 5' Non-Circular M.H. 7'-6" to 20' Depth, 8" Walls, 12" Slab
110 (N/A)	Reinforcement Detail 6' Non-Circular M.H. Less Than 10' Depth, 8" Walls, 10" Slab
111 (N/A)	Reinforcement Detail 6' Non-Circular M.H. 8' to 15' Depth, 8" Walls, 12" Slab
112 (N/A)	Reinforcement Detail 6' Non-Circular M.H. 15' to 20' Depth, 10" Walls, 12" Slab
113 (N/A)	Reinforcement Detail 7' Non-Circular M.H. Less Than 10' Depth, 8" Walls, 10" Slab
114 (N/A)	Reinforcement Detail 7' Non-Circular M.H. 8' to 10' Depth, 8" Walls, 12" Slab
115 (N/A)	Reinforcement Detail 7' Non-Circular M.H. 10' to 20' Depth, 10" Walls, 12" Slab
116-119	(Future)
<b>Surface Inlets &amp; Catch Basins:</b>	
120 (N/A)	Surface Inlet Type "A"
121 (N/A)	Surface Inlet Type "B"
122-1 (N/A)	Curb Box Inlet Type "A" 4' x 4' Box 15" - 18" Pipes
122-2 (N/A)	Curb Box Inlet Type "A" 4' x 4' Box 15" - 18" Pipes
123-1 (N/A)	Curb Box Inlet Type "B" 5' x 5' Box 15" - 24" Pipes
123-2 (N/A)	Curb Box Inlet Type "B" 5' x 5' Box 15" - 24" Pipes
124-1 (N/A)	Curb Box Inlet Type "C" 4' x 3' Box Single Pipe 15" or Less
124-2 (N/A)	Curb Box Inlet Type "C" 4' x 3' Box Single Pipe 15" or Less
125 (N/A)	Curb Box Inlet Type "D"
126 (N/A)	Spring Box Inlet Type "A"
127 (N/A)	Spring Box Inlet Type "B"
128 (N/A)	Security Devices for Frames and Grates
129	(Future)

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
STANDARD DRAWINGS 2008  
TABLE OF CONTENTS**

Drawing No.	Drawing Title
<b>Channels &amp; Ditches:</b>	
130-1 (N/A)	Aggregate Channel Lining
130-2 (N/A)	Aggregate Channel Lining
131 (N/A)	Mattress Channel Lining
132 (N/A)	Paved Ditch
133-139	(Future)
<b>Roadway Drainage:</b>	
140-149	(Future)
<b>Headwalls:</b>	
150 (N/A)	Straight Headwalls
151 (N/A)	Ell Headwalls
152 (N/A)	U-Type Headwalls
153 (N/A)	Pipe Culvert Headwalls 0° Skew 15" - 27" Circular Pipe
154-1 (N/A)	Pipe Culvert Headwalls 0° Skew 30" - 108" Pipe
154-2 (N/A)	Dimensions and Quantities 30" - 108" Headwalls Circular Pipe 0° Skew
154-3 (N/A)	Bill of Reinforcement 30" - 90" Diameter Circular Pipe Headwalls 0° Skew
154-4 (N/A)	Bill of Reinforcement 96" - 108" Diameter Circular Pipe Headwalls 0° Skew
158 (N/A)	18" - 24" Double & Triple Pipe Culvert Headwalls at 0° Skew
159-1 (N/A)	Double & Triple Pipe Culvert Headwalls 0° Skew
159-2 (N/A)	Dimensions and Quantities 30" - 48" Double & Triple Headwalls - Circular Pipe 0° Skew
159-3 (N/A)	Bill of Reinforcement 30" - 48" Double & Triple Headwalls - Circular Pipe 0° Skew
162 (N/A)	Sloped and Flared Box Inlet - Outlet 18" - 24" - 30" - 36" All Skews
163 (N/A)	Grates for Sloped and Flared Box Inlet - Outlet
164 (N/A)	Impact Stilling Basin 15" - 24" Pipes
165 (N/A)	Impact Stilling Basin 27" - 48" Pipes
166-169	(Future)
<b>Silt &amp; Erosion Control:</b>	
	See Chapter 11 of <i>LFUCG Stormwater Manual</i> for Approved Design Details
<b>Retaining Structures:</b>	
180 (N/A)	Retaining Wall Gravity Type
181-189	(Future)

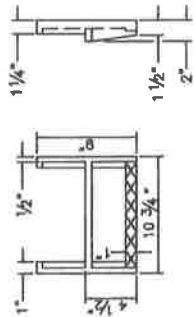


**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
STANDARD DRAWINGS 2008  
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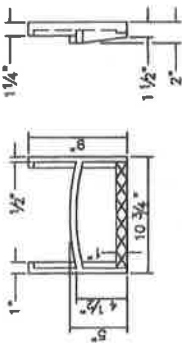
Drawing No.	Drawing Title
<b>Trenching:</b>	
200 (Incl.)	Trenching, Laying, Backfilling and Bedding Outside R/W Limits
201-1 (Incl.)	Trenching, Laying, Backfilling and Bedding Under Street Pavement
201-2 (Incl.)	Trenching, Laying, Backfilling, and Bedding Under Street Pavement Using Flowable Fill
204 (N/A)	Sanitary Sewer Pipe: Types & Maximum Allowable Fill Heights
206-209	(Future)
<b>Manholes:</b>	
210 (Incl.)	Typical Precast Concrete Shallow Manhole for Pipes 24" and Larger
211 (Incl.)	Typical Standard Precast Concrete Manhole for Pipes up to 24"
212 (Incl.)	Typical Precast Concrete Drop Manhole for Pipes up to 36"
213 (Incl.)	Standard Manhole Junction and Water Stop Details
214 (Incl.)	Sewer Manhole Adjustment Grade Rings
216 (Incl.)	Manhole Size Standards and General Notes for Deep Manholes
217 (Incl.)	Deflection Angle Criteria for Sanitary Manholes
220 (Incl.)	Standard Circular Manhole Frame & Cover
222 (Incl.)	Standard Watertight Manhole Frame & Cover
223-229	(Future)
<b>Connections:</b>	
230 (Incl.)	House Lateral for Greater than 6' Deep Sewer in Soil & Rock Excavation
231 (Incl.)	House Lateral for Greater than 6' Deep Sewer in Soil
232 (Incl.)	House Lateral for Shallow Sewer in Soil or Rock
233 (Incl.)	Lateral Cleanout in Non-Paved Areas and Yards
234 (Incl.)	Right-Of-Way Easement Lateral Cleanout in Non-Paved Areas and Yards
240 (Incl.)	Typical Creek Crossing for Sanitary Sewer Line
250 (Incl.)	Schematic Example for Grease Interceptor
260 (Incl.)	Sewer Connection to Existing Concrete Manhole
261-269	(Future)
<b>Streets &amp; Roads:</b>	
300 (Incl.)	Typical Street Sections
301 (Incl.)	Curb & Gutter
302 (Incl.)	Integral Curb, Header Curb, Monolithic Curb & Sidewalk

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
STANDARD DRAWINGS 2008  
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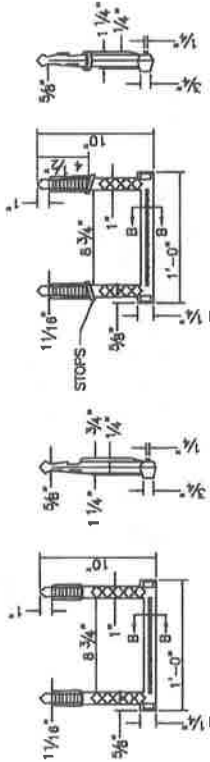
Drawing No.	Drawing Title
303 (Incl.)	Sidewalk Construction Specifications
304 (Incl.)	Sidewalk Ramps Type 1
305 (Incl.)	Sidewalk Ramp Type 2
306 (Incl.)	Sidewalk Ramp Type 3
307 (Incl.)	Residential Entrance Details
307-1 (Incl.)	Commercial Entrance Details
308 (Incl.)	Chain Link Fence 3' - 6'
309 (Incl.)	Chain Link Fence 8' - 12'
310 (Incl.)	Chain Link Gate
311 (Incl.)	Plank Fence
312 (Incl.)	Woven Wire Right-of-Way Fence Type 1
313 (Incl.)	Woven Wire Right-of-Way Fence Type 2
314 (Incl.)	Woven Wire Gates
315 (Incl.)	Concrete Steps
316 (Incl.)	Handrail
317 (Incl.)	County Road Typical Shoulder Sections (Minimum Requirements)
318 (Incl.)	Edge Key
319 (Incl.)	Typical Edge Key for Minimum Overlays, Short Projects, Low Speed
320 (Incl.)	Perforated Pipe Subgrade Drainage Along Roadway
320-1 (Incl.)	Perforated Pipe Subgrade Drainage for Raised Non-Paved Medians
321 (Incl.)	Perforated Pipe for Subgrade Drainage
322 (Incl.)	Perforated Pipe Underdrains
323 (N/A)	Public Improvement Sign
324-330	(Future)



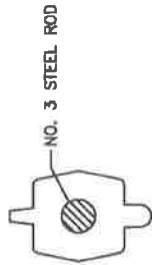
STEP TYPE NO. 1



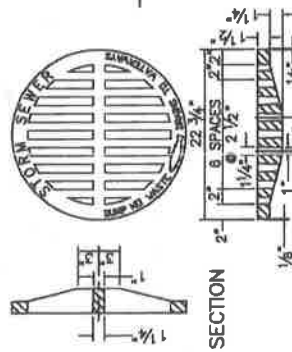
STEP TYPE NO. 2



STEP TYPE NO. 3

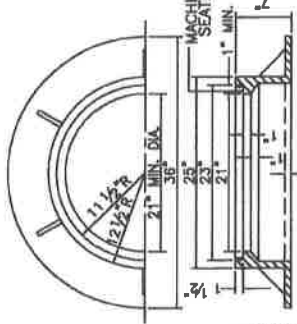


SECTION B-B

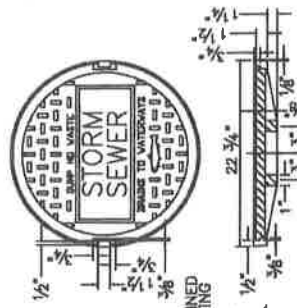


SECTION

GRATING COVER



FRAME



SOLID COVER

NOTES:

1. MINIMUM WEIGHT FOR THE 7" FRAME SHALL BE 185 LBS.
2. MINIMUM WEIGHT FOR THE SOLID COVER SHALL BE 120 LBS.
3. CASTINGS TO MEET ASTM A-48 CLASS 35.

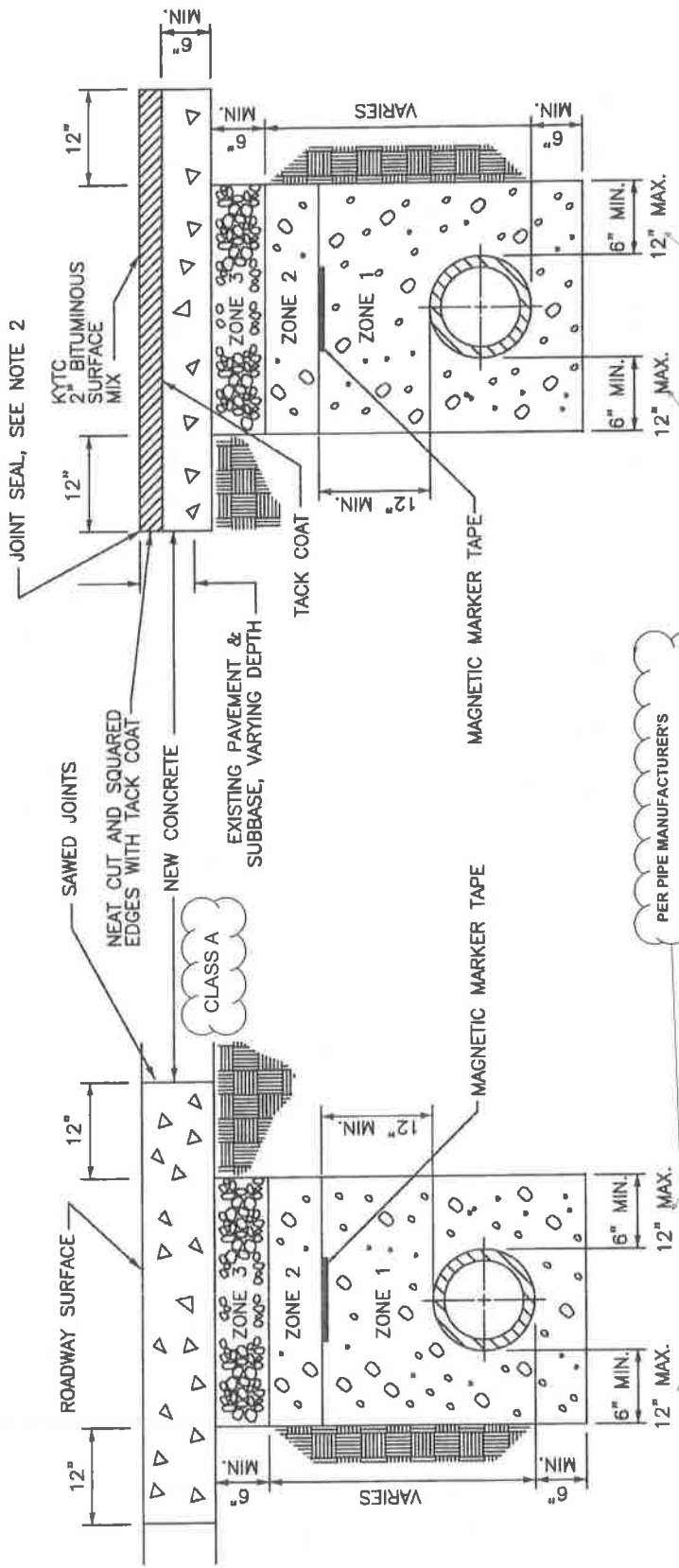
MANHOLE FRAME AND COVERS

NOTES:

1. STEPS SHALL BE ASPHALT COATED CAST IRON OR POLYPROPYLENE PLASTIC COATED STEEL ROD OR OF A TYPE AND SIZE APPROVED BY THE ENGINEER.
2. STEPS SHALL BE SPACED 12" O.C. VERTICALLY SO AS TO FORM A CONTINUOUS LADDER.
3. STEPS SHALL BE REQUIRED IN MANHOLES WHEN THE STRUCTURE IS 4 FEET AND GREATER IN DEPTH. (MEASURE FROM FLOWLINE OF LOWEST PIPE TO TOP OF STRUCTURE.)
4. THE TREADS OF ALL STEPS SHALL HAVE ANTI-SKID PROPERTIES FOR HAND AND FOOT GRIPS.
5. MANHOLE STEPS SHALL BE INSTALLED IN A VERTICAL LINE AND SHALL COMPLY WITH OSHA STANDARDS IN ALL RESPECTS.
6. FOR CAST-IN-PLACE OR PRECAST CIRCULAR AND NON-CIRCULAR MANHOLES.
7. FIRST STEP SHALL BE 12" - 18" FROM TOP OF PRECAST CONE SECTION.

MANHOLE STEPS

NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
MANHOLE FRAMES, COVERS, & STEPS			
STANDARD DRAWING NO. 103			DATE
APPROVAL:			DATE
UNION COUNTY ENGINEER			DATE
CONSULTANT			DATE



**CONCRETE PAVEMENT**

**BITUMINOUS PAVEMENT**

**NOTES:**

1. REPLACE CONCRETE PAVEMENT WITH NEW CONCRETE PAVEMENT, 6" MINIMUM OR EXISTING THICKNESS, WHICHEVER IS GREATER.
2. JOINT SEAL PERIMETER OF CUT PAVEMENT WITH FLEXMASTER POURABLE CRACK SEALANT 1109 OR APPROVED EQUAL.
3. MAGNETIC MARKER TAPE FOR SANITARY SEWER ONLY.
4. SEE STD. DWG 201-3 FOR TEMPORARY PLATING DETAILS.

PIPE BACKFILL DESCRIPTIONS	
ZONE 1	NO. 9 STONE
ZONE 2	NO. 9 OR NO. 57 STONE
ZONE 3	COMPACTED DGA
ZONE 4	CONSOLIDATED SOIL (NO ROCK GREATER THAN 6" DIAMETER), NO. 9, OR NO. 57 STONE
ZONE 5	12" MAX. TOPSOIL NO ROCK ALLOWED

PER PIPE MANUFACTURER'S RECOMMENDATIONS

(FORCE MAINS)

#9 CRUSHED LIMESTONE IN ZONE 3 IS ACCEPTABLE ALTERNATIVE TO DGA

NO.	DATE	REVISION DESCRIPTION	BY

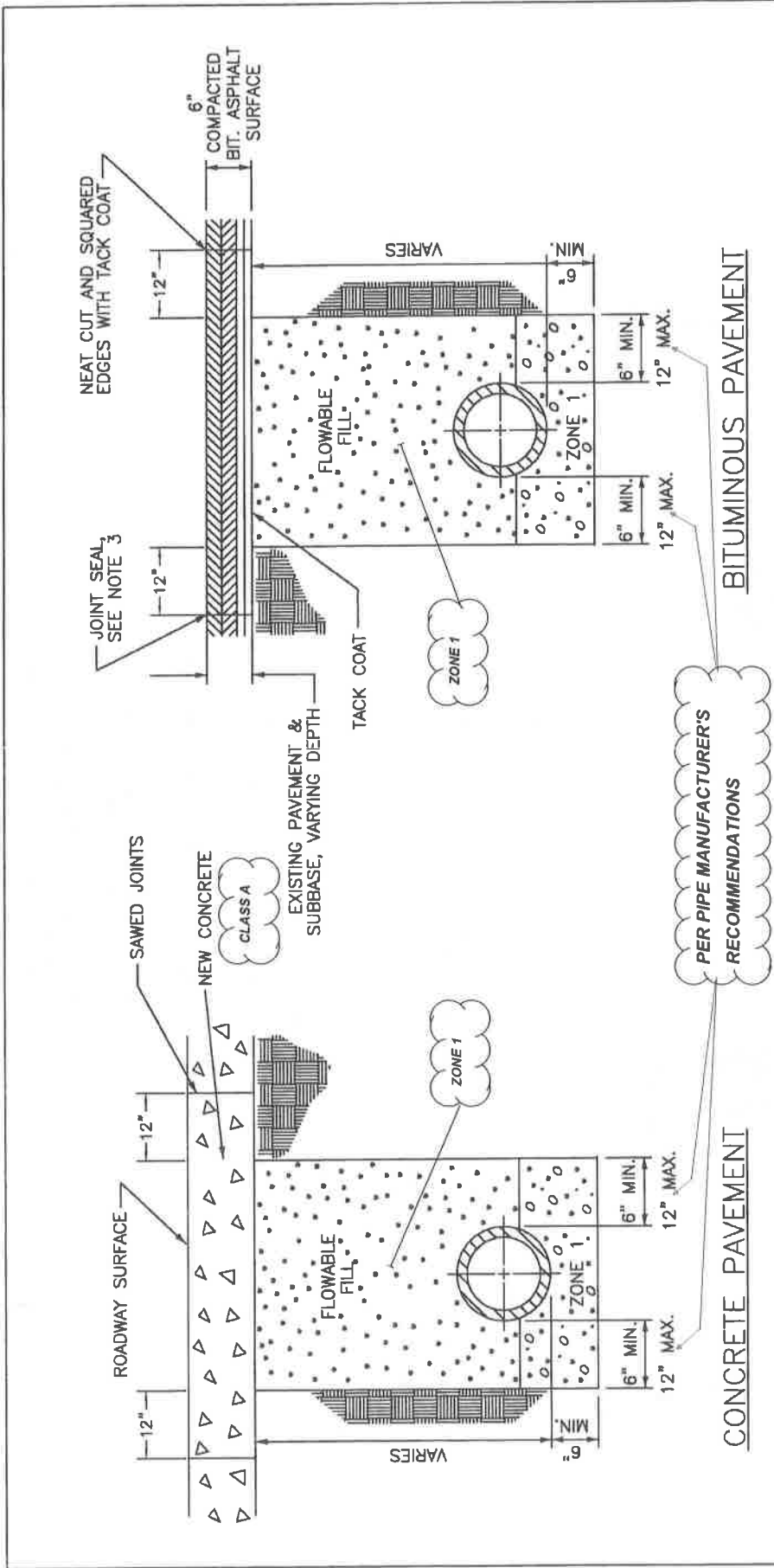
DIVISION OF ENGINEERING

TRENCHING, LAYING, BACKFILLING AND BEDDING UNDER STREET PAVEMENT

REVISION NO. 201-1

DATE DATE

DESIGNER COLLETT



**CONCRETE PAVEMENT**

**BITUMINOUS PAVEMENT**

PER PIPE MANUFACTURER'S RECOMMENDATIONS

PIPE BACKFILL DESCRIPTIONS	
ZONE 1	NO. 9 STONE
ZONE 2	NO. 9 OR NO. 57 STONE
ZONE 3	COMPACTED DGA
ZONE 4	CONSOLIDATED SOIL (NO ROCK GREATER THAN 6" DIAMETER), NO. 9, OR NO. 57 STONE
ZONE 5	12" MAX. TOPSOIL, NO ROCK ALLOWED

- NOTES:
1. FLOWABLE FILL PER KYTC SPECIFICATION 601.03.03 FROM STANDARD SPECIFICATIONS FOR ROAD AND BRIDGE CONSTRUCTION CURRENT EDITION.
  2. REPLACE CONCRETE PAVEMENT WITH NEW CONCRETE PAVEMENT, 6" MINIMUM OR EXISTING THICKNESS, WHICHEVER IS GREATER.
  3. JOINT SEAL PERIMETER OF CUT PAVEMENT WITH FLEXMASTER POURABLE CRACK SEALANT 1109 OR APPROVED EQUAL.

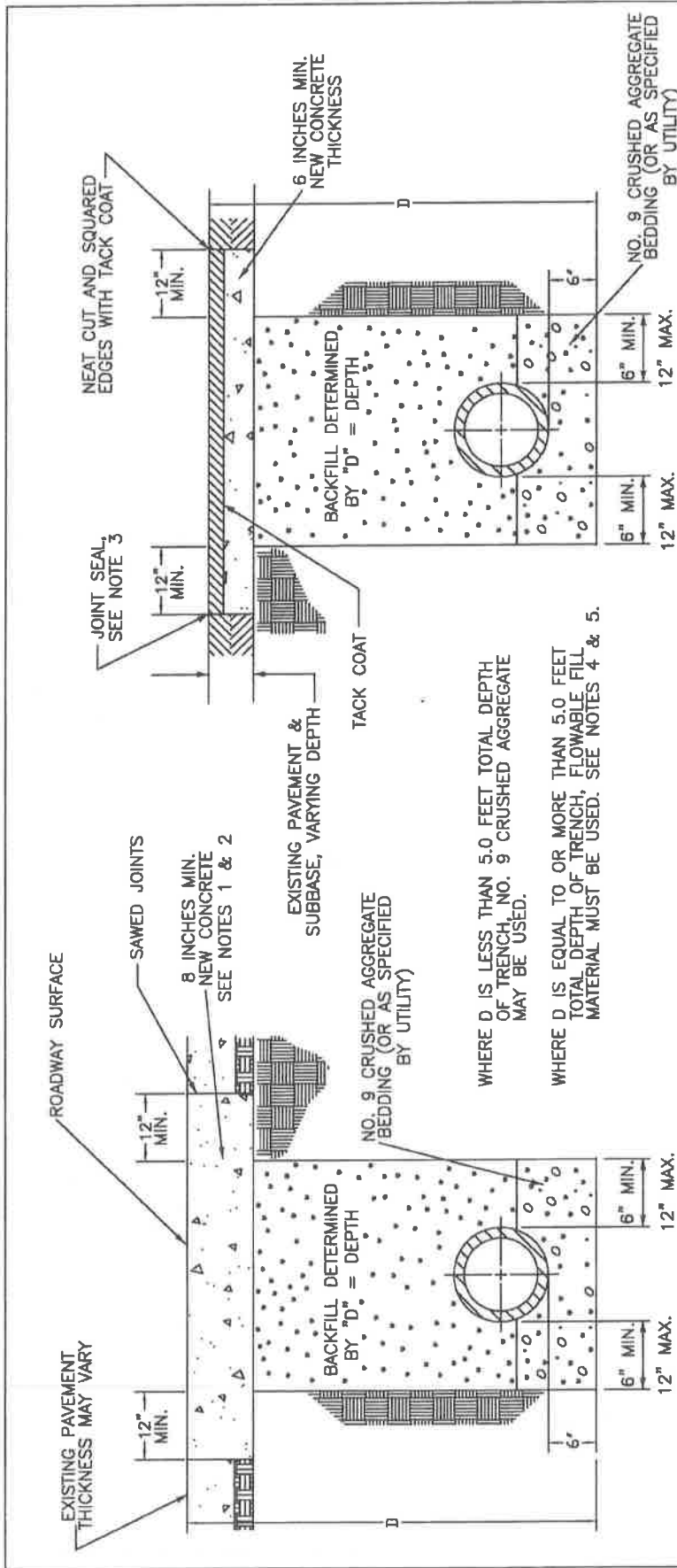
NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

TRENCHING, LAYING, BACKFILLING AND BEDDING UNDER STREET PAVEMENT USING FLOWABLE FILL

STANDARD DRAWING NO. 201-2

APPROVED: \_\_\_\_\_ DATE \_\_\_\_\_  
 URBAN COUNTY ENGINEER  
 \_\_\_\_\_  
 COMMISSIONER



WHERE D IS LESS THAN 5.0 FEET TOTAL DEPTH OF TRENCH, NO. 9 CRUSHED AGGREGATE MAY BE USED.

WHERE D IS EQUAL TO OR MORE THAN 5.0 FEET TOTAL DEPTH OF TRENCH, FLOWABLE FILL MATERIAL MUST BE USED. SEE NOTES 4 & 5.

### CONCRETE PAVEMENT

### BITUMINOUS PAVEMENT

**NOTES:**

1. PER KYTC SPECIFICATION 601.03.03 A) CLASS A FROM STANDARD SPECIFICATIONS FOR ROAD AND BRIDGE CONSTRUCTION, CURRENT EDITION.
2. REPLACE CONCRETE PAVEMENT WITH NEW CONCRETE PAVEMENT.
3. JOINT SEAL PERIMETER OF CUT PAVEMENT WITH FLEXMASTER POURABLE CRACK SEALANT 1109 OR APPROVED EQUAL.
4. FLOWABLE FILL TO BE PROPORTIONED PER KYTC SPECIFICATION 601.03.03 B) 5) FROM STANDARD SPECIFICATIONS FOR ROAD AND BRIDGE CONSTRUCTION, CURRENT EDITION.
5. UTILITY DESIGNERS AND CONTRACTORS SHALL ACCOUNT FOR AND PROVIDE ANY SUITABLE MEANS TO PREVENT PIPE/CONDUIT FLOATATION.

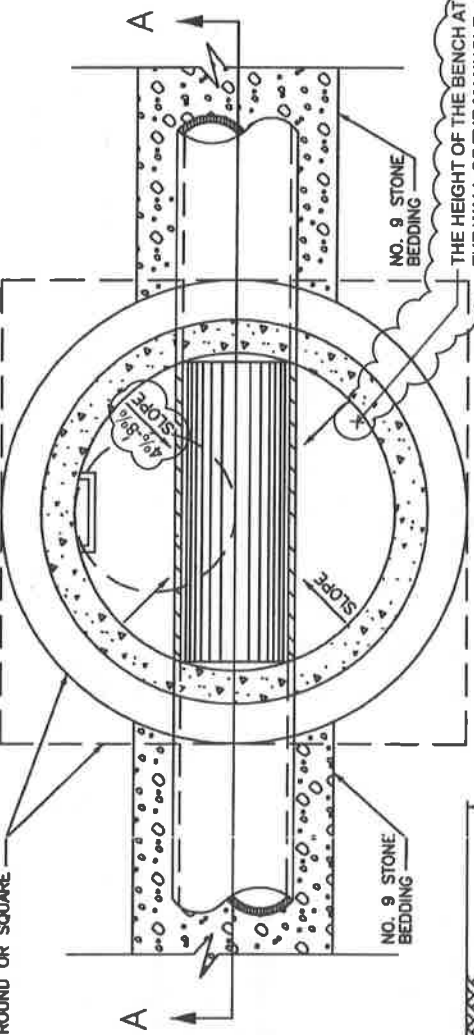
NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
UTILITY TRENCH RESTORATION BENEATH PAVED ROADS			
STANDARD DRAWING NO.	201-3		
APPROVAL			
DESIGN COUNTY ENGINEER			

**NOTES, CONTINUED:**

- 9. MANHOLES GREATER THAN 5' DIAMETER SHALL BE SIZED BY THE ENGINEER IN ACCORDANCE WITH STANDARD DRAWING NO. 217
- 10. FOR ALL MANHOLES, THE JOINTS BETWEEN BARREL SECTIONS SHALL BE A MINIMUM OF 1' FROM THE CROWN OF THE LARGEST PIPE PENETRATION.



MANHOLE BASE MAY BE EITHER ROUND OR SQUARE



**SECTION B-B**

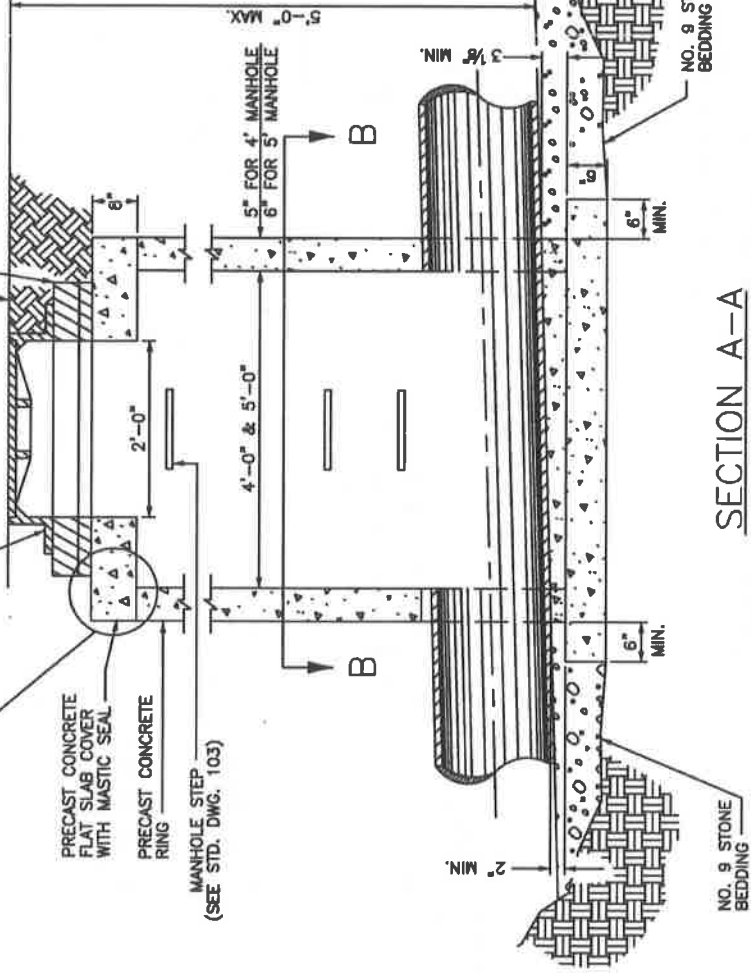
**NOTES:**

1. ALL BARREL JOINTS BETWEEN BASE AND BARREL, BETWEEN BARREL AND TOP, BETWEEN TOP AND ADJUSTING RINGS, BETWEEN ADJUSTING RINGS AND FRAME SHALL HAVE ONE OUTER MASTIC SEAL AND AN INNER SEAL OF NONSHRINK GROUT.
2. COAT OUTSIDE OF ADJUSTING RINGS WITH SEMI-FIBRATED ASPHALT DAMPROOFING COMPOUND APPLIED BY BRUSH OR SPRAY.
3. WATER STOPS SHOULD BE PROVIDED FOR INLETS AND OUTLETS OF EVERY MANHOLE, DESIGNED FOR TYPE OF PIPE USED AND WITH EXPANSIVE GROUT. SEE STD. DWG. 213 FOR WATER STOP DETAIL.
4. MANHOLES MUST PASS VACUUM TEST PER ASTM C-1244 PRIOR TO ACCEPTANCE.

THE HEIGHT OF THE BENCH AT THE WALL OF THE MANHOLE MUST MATCH THE CROWN OF THE LARGEST PIPE.

PROVIDE COLLAR OF 6" FOR FUTURE ADJUSTMENT PRECAST CONCRETE RINGS

SET FRAME CASTING IN FULL MASTIC BED; FOR WATERTIGHT FRAME & LID - SEE APPLICABLE STANDARD DRAWING



**SECTION A-A**

(PIPE WITH TOP HALF REMOVED OR PAVED INVERT)

5. MANHOLES SHALL HAVE MONOLITHIC BASE.

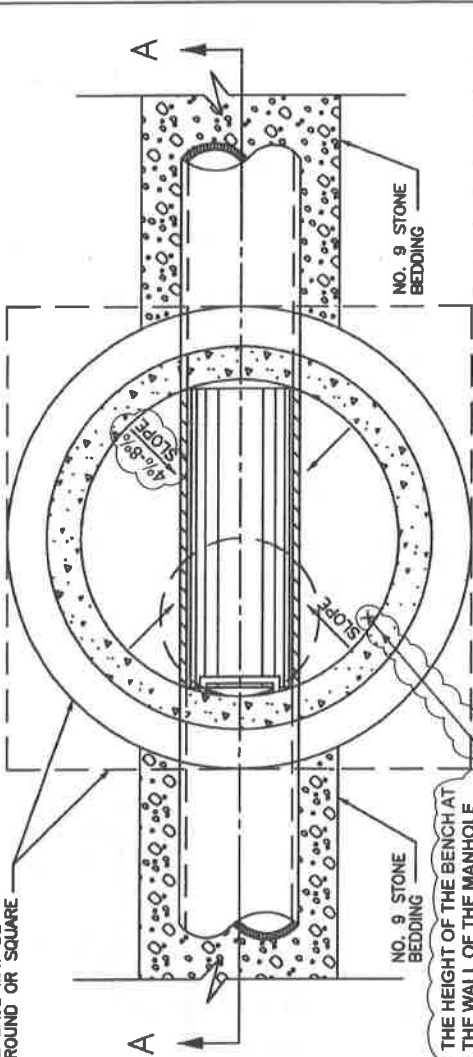
6. MANHOLE SHALL BE MANUFACTURED WITH XYPEX PER SPECIFICATION SECTION 02608.

7. MANHOLE SHALL HAVE CONCRETE ADMIXTURE, CONSISTENT WITH LOCATIONS SHOWN ON DRAWINGS AND AS SPECIFIED IN SPECIFICATION SECTION 02608.

8. MANHOLES LOCATED IN 100-YEAR FLOODPLAIN SHALL INCLUDE ANTI-FLOTATION COLLAR PER SPECIFICATION SECTION 02608.

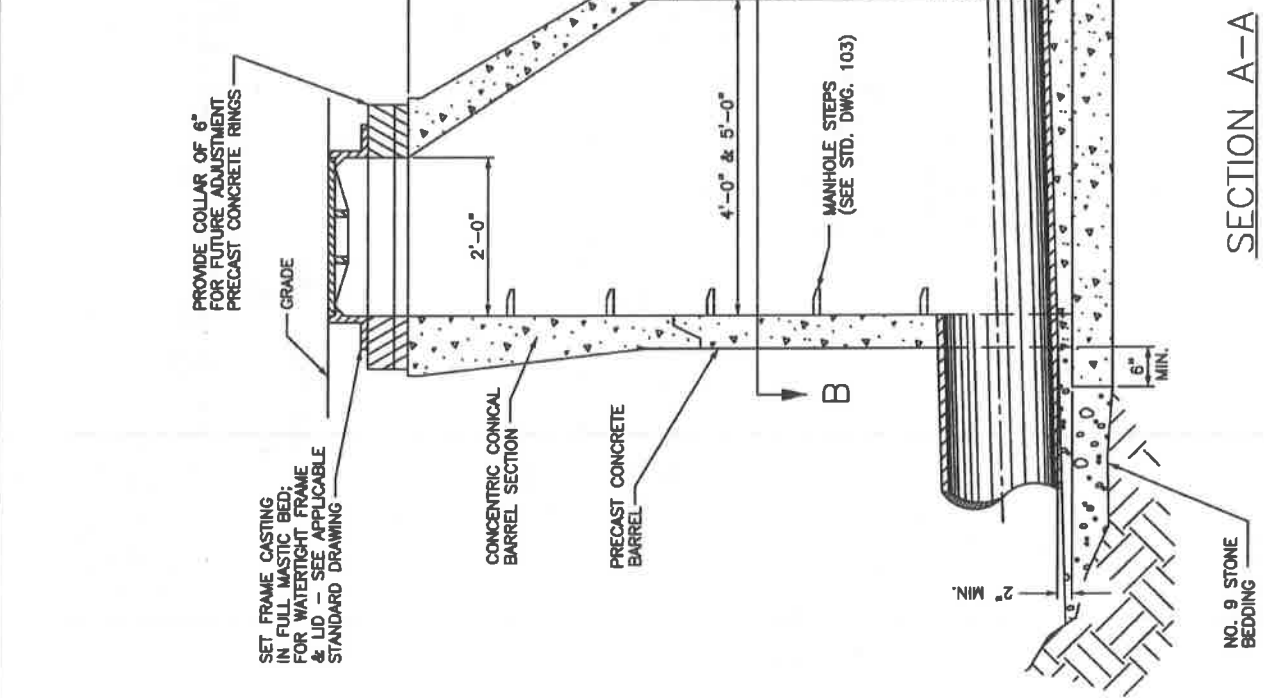
NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
TYPICAL PRECAST CONCRETE SHALLOW MANHOLE FOR PIPES 24" AND LARGER			
STANDARD DRAWING NO.	210	DATE	5/1/68
APPROVED		DATE	5/1/68
DESIGNED BY		DATE	5/1/68
CHECKED BY		DATE	5/1/68

MANHOLE BASE MAY BE EITHER ROUND OR SQUARE



THE HEIGHT OF THE BENCH AT THE WALL OF THE MANHOLE MUST MATCH THE CROWN OF THE LARGEST PIPE.

**SECTION B-B**



**SECTION A-A**

**NOTES:**

1. ALL BARREL JOINTS BETWEEN BASE AND BARREL, BETWEEN BARREL AND TOP, BETWEEN TOP AND ADJUSTING RINGS, BETWEEN ADJUSTING RINGS AND FRAME SHALL HAVE ONE OUTER MASTIC SEAL AND AN INNER SEAL OF NONSHRINK GROUT.
2. COAT OUTSIDE OF ADJUSTING RINGS WITH SEMI-FIBRATED ASPHALT DAMPROOFING COMPOUND APPLIED BY BRUSH OR SPRAY.
3. WATER STOPS SHOULD BE PROVIDED FOR INLETS AND OUTLETS OF EVERY MANHOLE, DESIGNED FOR TYPE OF PIPE USED AND WITH EXPANSIVE GROUT. SEE STD. DWG. 213 FOR WATER STOP DETAIL.
4. NO REINFORCEMENT NEEDED IN BOTTOM SLAB AT DEPTHS UP TO 12". AT DEPTHS GREATER THAN 12" REINFORCE WITH NO. 4 BARS - 12" C-C.
5. A DIFFERENCE OF FLOW ELEVATION MORE THAN 24" REQUIRES AN OUTSIDE DROP. (SEE STD. DWG. 212)
6. MANHOLE STEPS SHALL BE ALIGNED WITH STRAIGHT SIDE OF CONCENTRIC CONE SECTION, AND ALIGNED OVER THE OUTLET PIPE.
7. PIPES SHALL NOT ENTER THE CONE SECTION.
8. MANHOLES MUST PASS VACUUM TEST PER ASTM C-1244 PRIOR TO ACCEPTANCE.

9. ALL MANHOLES SHALL HAVE MONOLITHIC BASE.
10. MANHOLE SHALL BE MANUFACTURED WITH XYPEX PER SPECIFICATION SECTION 02608.
11. MANHOLE SHALL HAVE CONCRETE ADMIXTURE, CONSHIELD AT LOCATIONS SHOWN ON DRAWINGS AND AS SPECIFIED IN SPECIFICATION SECTION 02608.
12. MANHOLES LOCATED IN 100-YEAR FLOODPLAIN SHALL INCLUDE ANTI-FLOTATION COLLAR PER SPECIFICATION SECTION 02608.

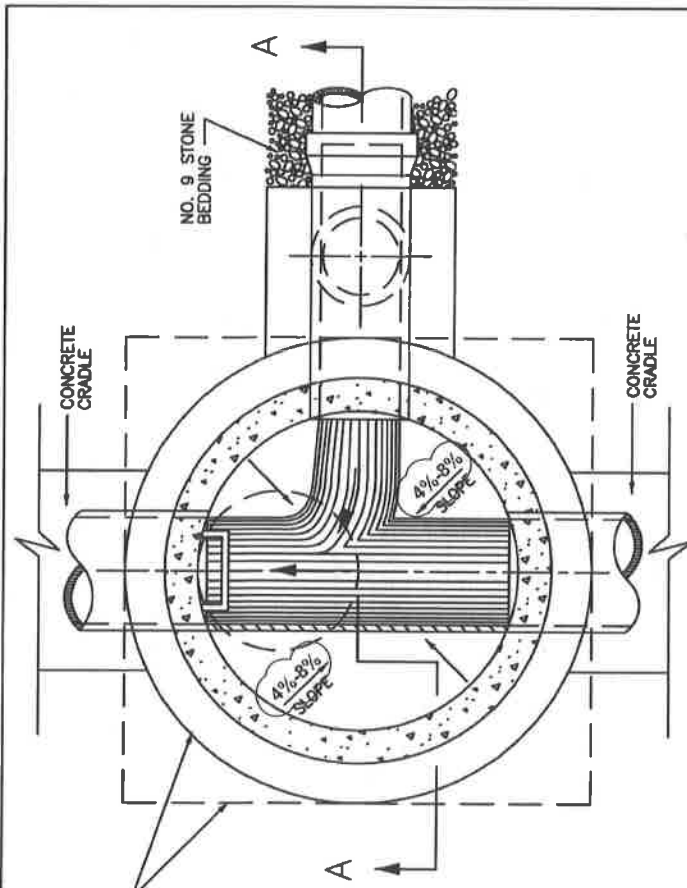
NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

TYPICAL STANDARD PRECAST CONCRETE MANHOLE FOR PIPES UP TO 24"

STANDARD DRAWING NO.	211
APPROVED	<i>[Signature]</i>
DATE	5/1/02
BY	<i>[Signature]</i>
CHECKED	<i>[Signature]</i>
DATE	5/1/02



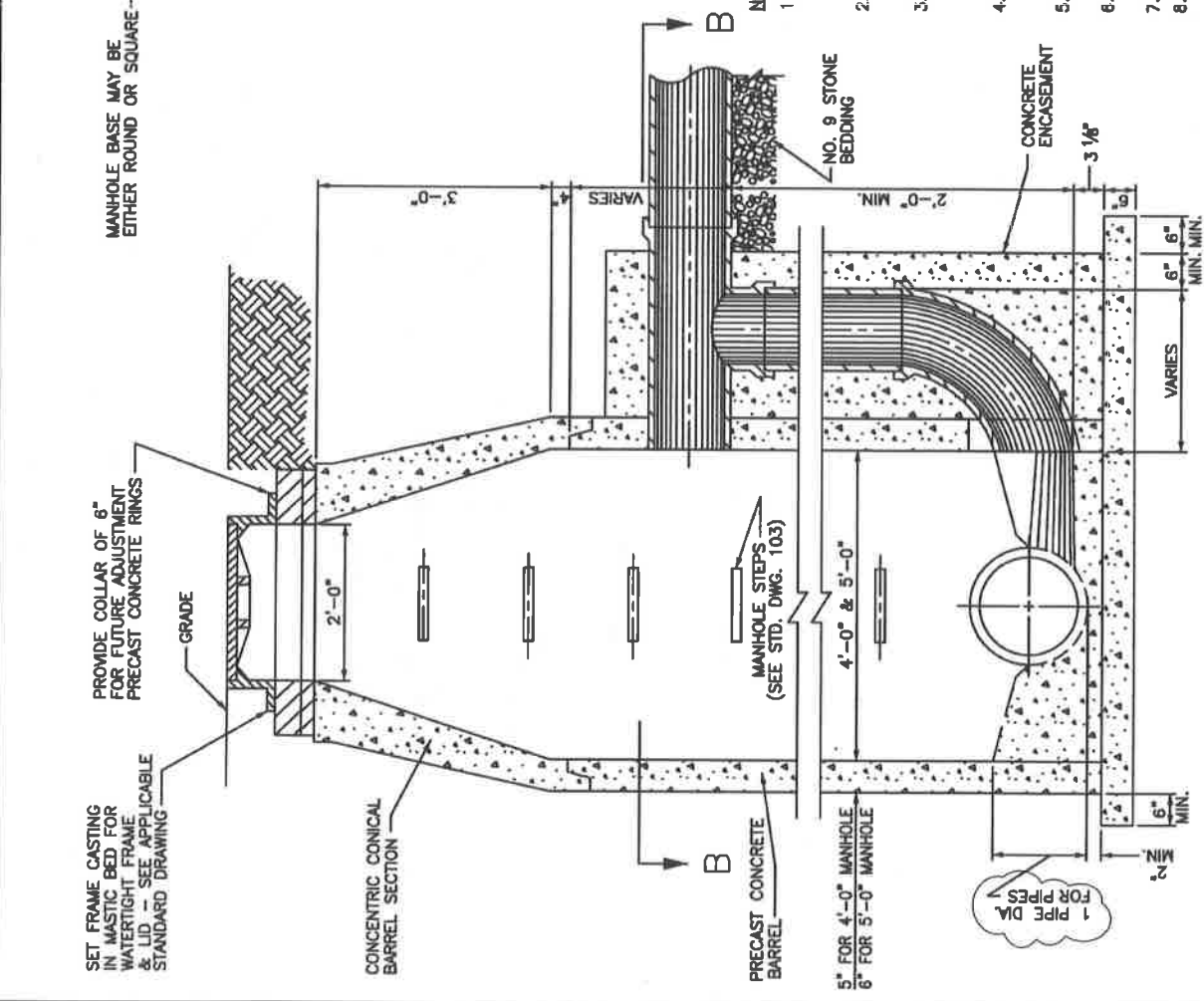


**SECTION B-B**

**NOTES:**

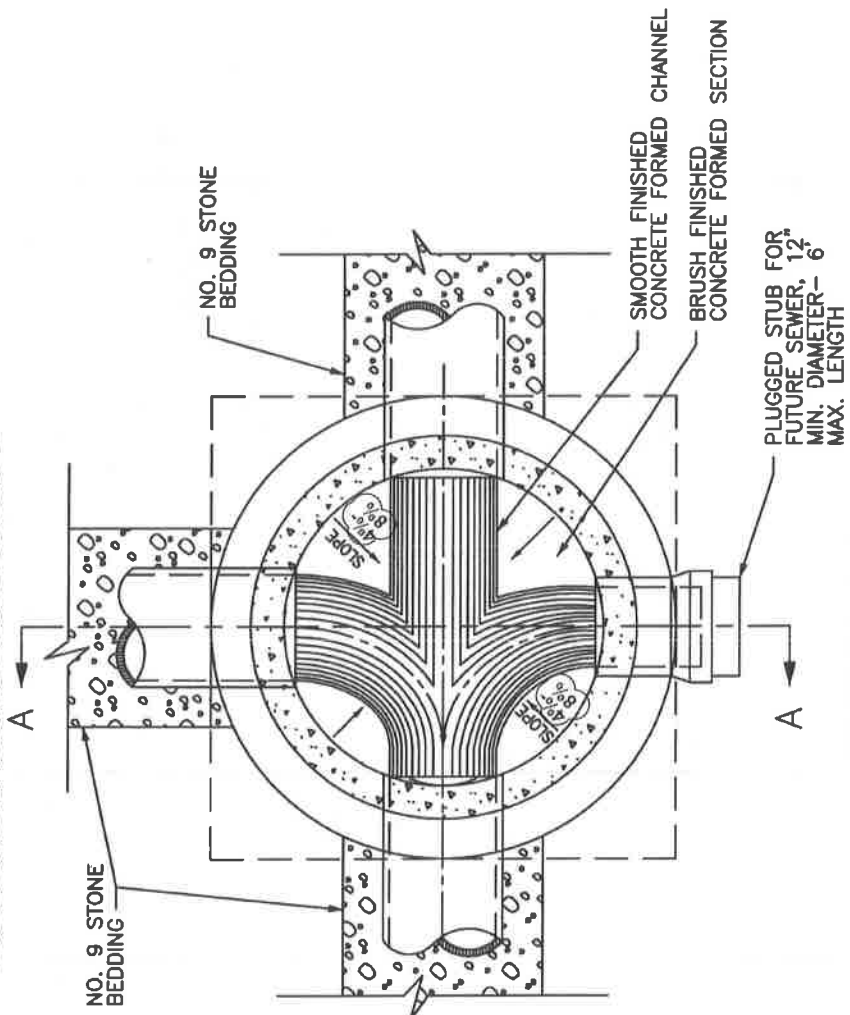
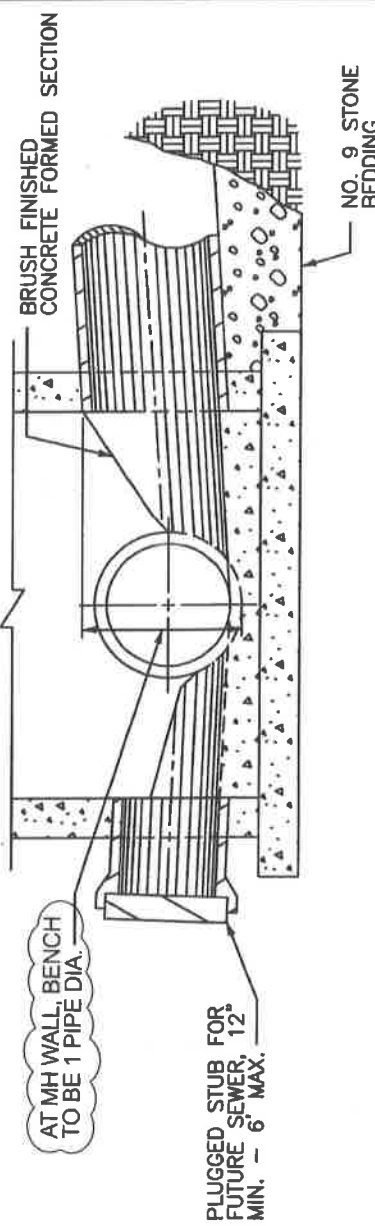
1. ALL BARREL JOINTS BETWEEN BASE AND BARREL, BETWEEN BARREL AND TOP, BETWEEN TOP AND ADJUSTING RINGS, BETWEEN ADJUSTING RINGS AND FRAME SHALL HAVE ONE OUTER MASTIC SEAL AND AN INNER SEAL OF NONSHRINK GROUT. COAT OUTSIDE OF ADJUSTING RINGS WITH SEMI-FIBRATED ASPHALT DAMPROOFING COMPOUND APPLIED BY BRUSH OR SPRAY.
2. WATER STOPS SHOULD BE PROVIDED FOR INLETS AND OUTLETS OF EVERY MANHOLE, DESIGNED FOR TYPE OF PIPE USED AND WITH EXPANSIVE GROUT. SEE STD. DWG. 213 APPLICABLE FOR WATER STOP DETAIL.
3. NO REINFORCEMENT NEEDED IN BOTTOM SLAB AT DEPTHS UP TO 12' AT DEPTHS GREATER THAN 12' REINFORCE WITH NO. 4 BARS - 12 C-C.
4. PROVIDE A MINIMUM FALL OF 0.1 FOOT FROM DROP TO MANHOLE OUTLET.
5. MANHOLES SHALL PASS VACUUM TEST PER ASTM C-1244 PRIOR TO ACCEPTANCE.
6. PIPE SHALL NOT ENTER CONE SECTION.
7. MANHOLE STEPS SHALL BE ALIGNED WITH STRAIGHT SIDE OF CONCENTRIC CONE SECTION, AND ALIGNED OVER OUT-LET PIPE.
8. DO NOT USE IN CASES WHERE THE DROP IS 2'-0" OR LESS.

NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
TYPICAL PRECAST CONCRETE DROP MANHOLE FOR PIPES UP TO 36"			
STANDARD DRAWING NO. 212			DATE
APPROVED			5/1/68
DRAWN BY			
CHECKED BY			
DESIGNED BY			



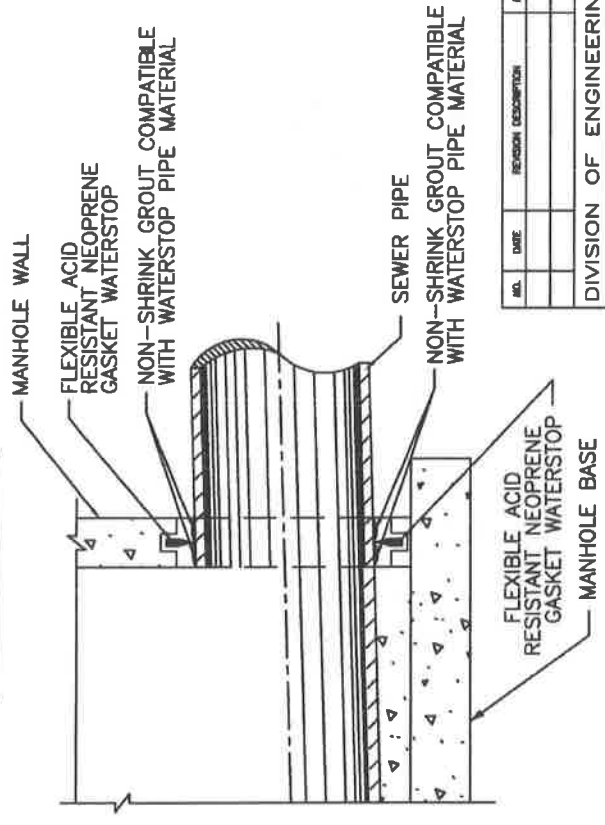
**SECTION A-A**

- NOTES:
1. ALL MANHOLES SHALL HAVE MONOLITHIC BASE.
  2. MANHOLE SHALL BE MANUFACTURED WITH XYPEX PER SPECIFICATION SECTION 02608.
  3. MANHOLE SHALL HAVE ADMIXTURE, CONSHIELD AT LOCATIONS SHOWN ON DRAWINGS AND AS SPECIFIED IN SPECIFICATION SECTION 02608.
  4. MANHOLES LOCATED IN 100-YEAR FLOODPLAIN SHALL INCLUDE ANTI-FLOTATION COLLAR PER SPECIFICATION SECTION 02608.



SECTION A-A

WATER STOP DETAIL



NO.	DATE	REVISION DESCRIPTION	BY

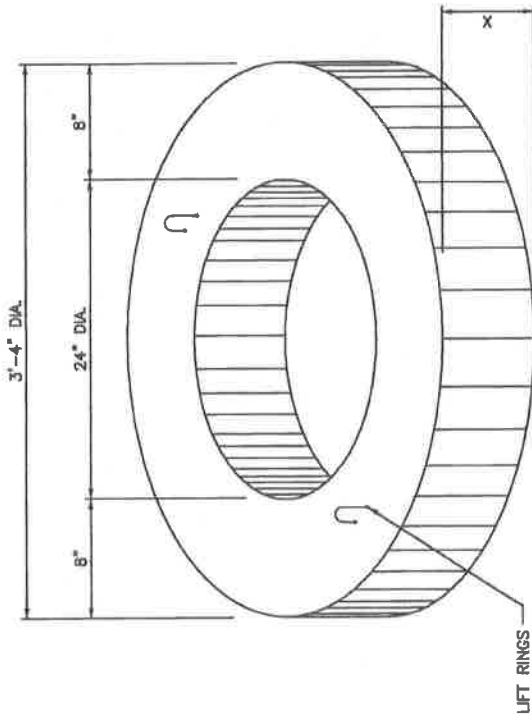
DIVISION OF ENGINEERING	
STANDARD MANHOLE JUNCTION AND WATER STOP DETAILS	
STANDARD DRAWING NO.	213
APPROVAL	5/1/08
DESIGNER	
CHECKER	
DATE	

NOTE:  
MANHOLES SHALL PASS VACUUM TEST PER ASTM C-1244 PRIOR TO ACCEPTANCE.

SECTION PLAN

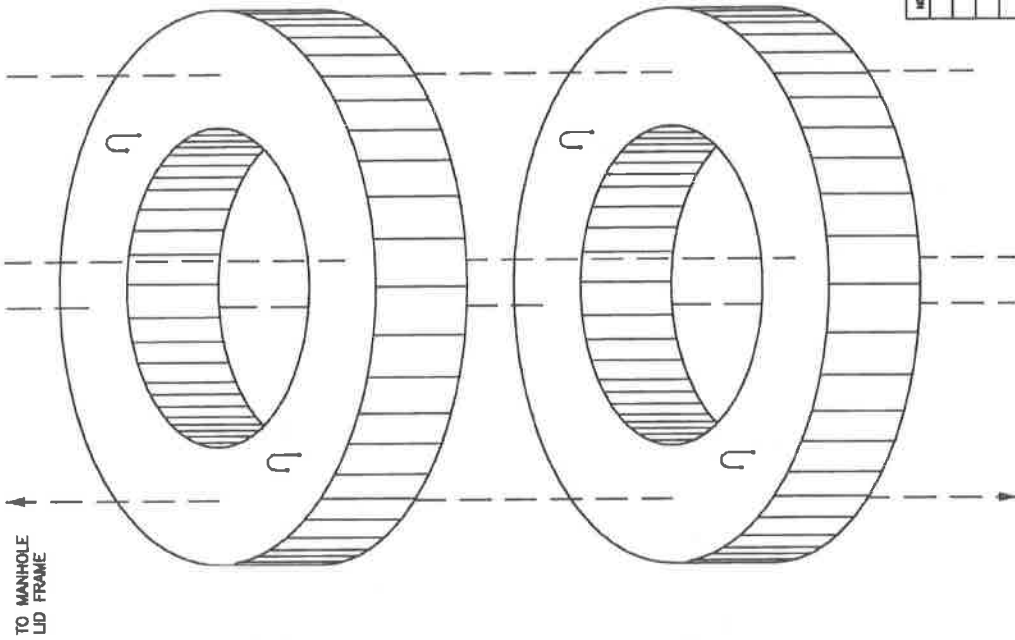
**NOTES:**

1. LIFT RINGS TO BE CUT BEFORE ADDING THE NEXT RING OR TOP.
2. COAT OUTSIDE AND IN BETWEEN ADJUSTING RINGS WITH SEMI-FIBRATED ASPHALT DAMPROOFING COMPOUND APPLIED BY BRUSH OR SPRAY.
3. GRADE RINGS WITH NON-PARALLEL SURFACES MAY BE USED TO ADJUST CASTING TO SLOPED SURFACE.
4. CONCRETE: CLASS "A" 3500 PSI AT 28 DAYS, AND IN ACCORDANCE WITH ASTM C-478, OR APPROVED EQUAL.
5. NO MORE THAN 2 GRADE RINGS MAY BE USED AT ONE LOCATION AND THE MAXIMUM HEIGHT OF ALL RINGS USED SHALL NOT EXCEED 12 INCHES.
6. APPLY MASTIC BETWEEN ALL JOINTS.



GRADE RING WIDTH CHART

X	WEIGHT LBS.
2"	140
3"	210
4"	279
6"	419
8"	560
12"	730



TO MANHOLE ECCENTRIC CONE SECTION

NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

SEWER MANHOLE ADJUSTMENT GRADE RINGS

STANDARD DRAWING NO.	214
APPROVAL	DATE
DESIGNER	DATE
CHECKER	DATE
DATE	DATE

## GENERAL NOTES

## SPECIFICATIONS

1. SHALLOW MANHOLE TYPE CONSTRUCTION SHOWN ON STD. DWG. 210 MAY BE USED FOR ALL MANHOLES UP TO 5' IN DEPTH.
2. ALL DIMENSIONS ARE BASED ON SIZE OF LARGEST PIPE IN MANHOLE.
3. MANHOLES FOR PIPE LARGER THAN 36" SHALL BE SPECIALLY DESIGNED.
4. BOTTOM SLAB OF MANHOLES SHALL BE SPECIALLY DESIGNED WITH REGARD TO AREA, THICKNESS, AND REINFORCING IN SITUATIONS WHERE HIGH WATER TABLE OR UNSTABLE SOIL CONDITIONS EXIST.
5. MANHOLE STEPS SHALL BE INSTALLED IN A VERTICAL LINE AND SHALL COMPLY WITH OSHA STANDARDS IN ALL RESPECTS.
6. ALL FLOORS OF MANHOLES SHALL SLOPE AT LEAST 1" PER FT. FROM WALL TO CHANNELS AND SHALL HAVE SMOOTH FLOAT AND BRUSH FINISH.
7. CHANNEL SURFACE OF MANHOLES FROM INLET TO OUTLET SHALL HAVE SMOOTH FLOAT FINISH.
8. ELEVATIONS OF PIPES IN MANHOLES SHALL BE SUCH THAT THE TOP OF ALL INFLUENT PIPES WILL BE AT AN ELEVATION EQUAL TO OR GREATER THAN THE TOP OF THE EFFLUENT PIPE.

9. A MINIMUM FALL OF 0.10 FOOT SHALL BE PROVIDED.
10. BASE OF MANHOLES GREATER THAN 12' DEEP TO BE REINFORCED WITH NO. 4 BARS AT 12" BOTH WAYS.
11. ASPHALT DAMPROOFING COMPOUND IS REQUIRED ON PRECAST MANHOLES IN WET AREAS OR OTHERWISE AS DIRECTED BY THE ENGINEER.
12. LEAKS IN MANHOLES OBSERVED DURING CONSTRUCTION OR INSPECTION SHALL BE CORRECTED IMMEDIATELY.
13. MANHOLES SHALL PASS VACUUM TEST PER ASTM C-1244 PRIOR TO ACCEPTANCE.
14. ALL INLETS, INCLUDING LATERALS, MUST HAVE FLOW CHANNELS.
15. NEW CONNECTIONS TO EXISTING SANITARY SEWER MANHOLES MUST REPLACE EXISTING BRICK MANHOLES OR DAMAGED MANHOLES AT NO EXPENSE TO THE LFUGC.
16. FIELD POURED BASES (DOGHOUSE MANHOLES) SHALL ONLY BE ALLOWED WITH PRIOR APPROVAL OF THE LFUGC.

1. CASTINGS SHALL BE ASTM A-48, CLASS 35.
2. CONCRETE FOR MANHOLES, CRADLE ENCASUREMENT, ETC. SHOWN IN THESE DETAILS SHALL BE CLASS "A".
3. CONCRETE MANHOLE BARREL CONSTRUCTION SHALL CONFORM TO ASTM C-478 OR ITS LATEST REVISION.

DOES NOT APPLY

REV.	DATE	REVISION DESCRIPTION	BY
<b>DIVISION OF ENGINEERING</b>			
MANHOLE SIZE STANDARDS AND GENERAL NOTES FOR DEEP MANHOLES			
STANDARD DRAWING NO. 216		DATE	
APPROVED BY <i>[Signature]</i>		DATE	
DRAWN BY <i>[Signature]</i>		DATE	
CHECKED BY <i>[Signature]</i>		DATE	

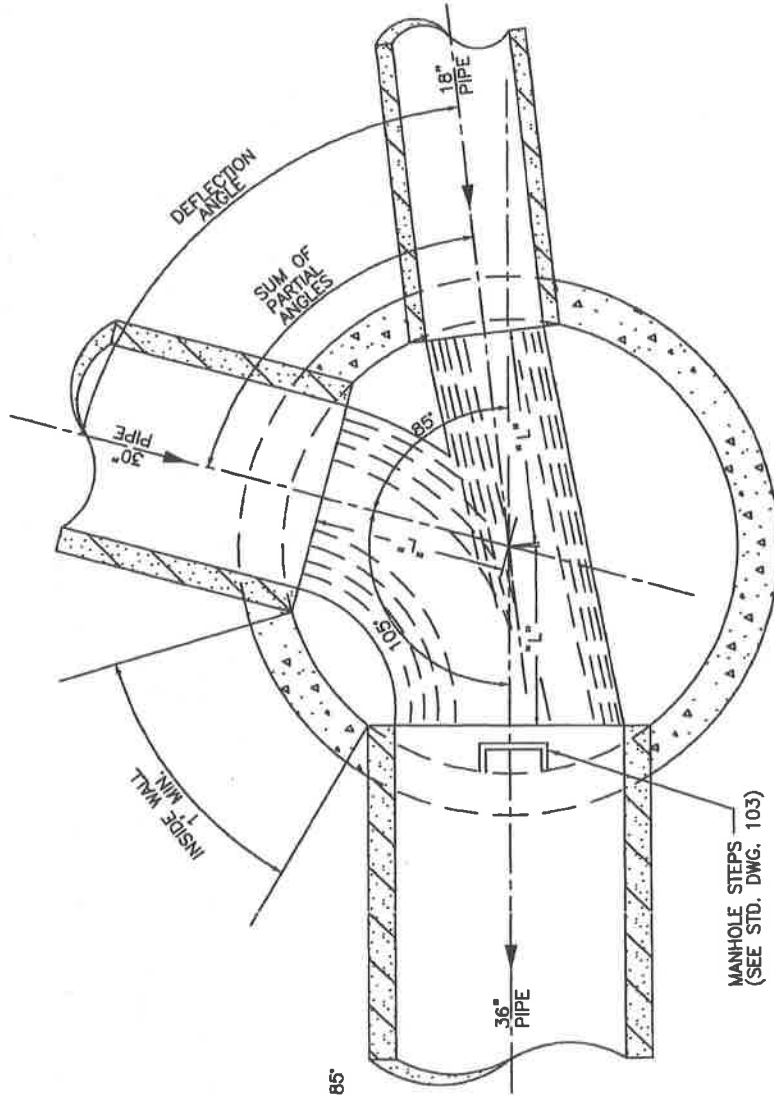
CIRCULAR MANHOLE NOTES:

1. THE ANGLE BETWEEN ANY TWO PIPES (e.g. ANGLE "Y" OR "Z") MUST BE GREATER THAN THE SUM OF THE PARTIAL ANGLES. REFER TO SEPARATE STANDARD DRAWINGS FOR TABLE OF MINIMUM PARTIAL ANGLES. ANGLES SMALLER THAN LISTED ON TABLE SHALL REQUIRE LARGER MANHOLE SELECTION.
2. THE MAXIMUM DEFLECTION ANGLE BETWEEN ANY INCOMING PIPE AND THE CENTERLINE EXTENSION OF THE DISCHARGE PIPE SHALL BE NO MORE THAN 90° FOR PIPES UP TO 24" IN DIAMETER. THE MAXIMUM DEFLECTION ANGLE FOR 27" TO 36" PIPES SHALL BE 75°.

EXAMPLE FOR SANITARY MANHOLE SIZE SELECTION:

FOR MANHOLE SHOWN AT RIGHT, THE ANGLE BETWEEN THE 18" AND 30" PIPES IS 85° AND THE ANGLE BETWEEN THE 30" AND 36" PIPES IS 105°. THE TABLE INDICATES THAT FOR A 5'-0" DIAMETER MANHOLE THE MINIMUM PARTIAL ANGLE FOR AN 18" PIPE IS 34° AND FOR A 30" PIPE IS 50°. THE SUM OF THE PARTIAL ANGLES IS 84°. THIS SUM IS LESS THAN THE 85° THEREFORE, A 5'-0" MANHOLE DIAMETER IS ACCEPTABLE.

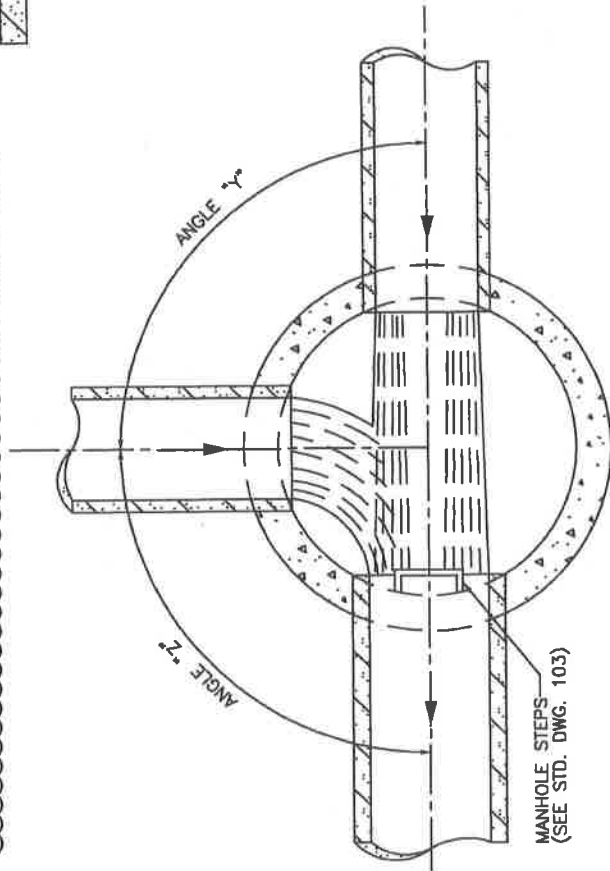
FOR MANHOLES WITH PIPE(S) GREATER THAN 30" THE MANHOLE SHALL BE SIZED BY THE ENGINEER BASED ON THE INTENT OF THESE CRITERIA.



PLAN SECTION

TABLE OF MINIMUM PARTIAL ANGLES FOR SANITARY MANHOLES

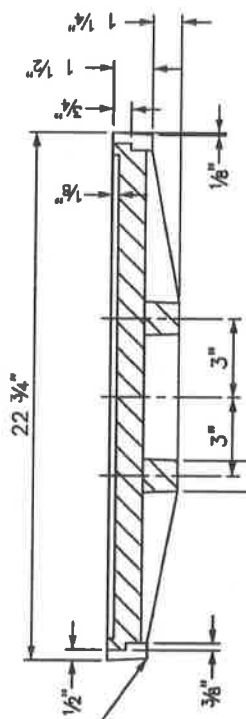
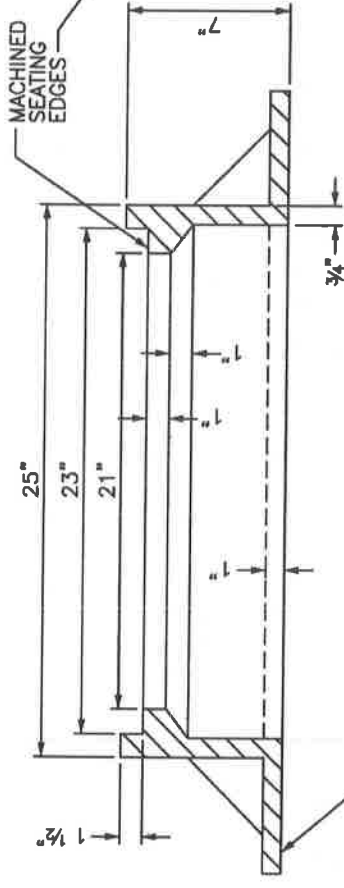
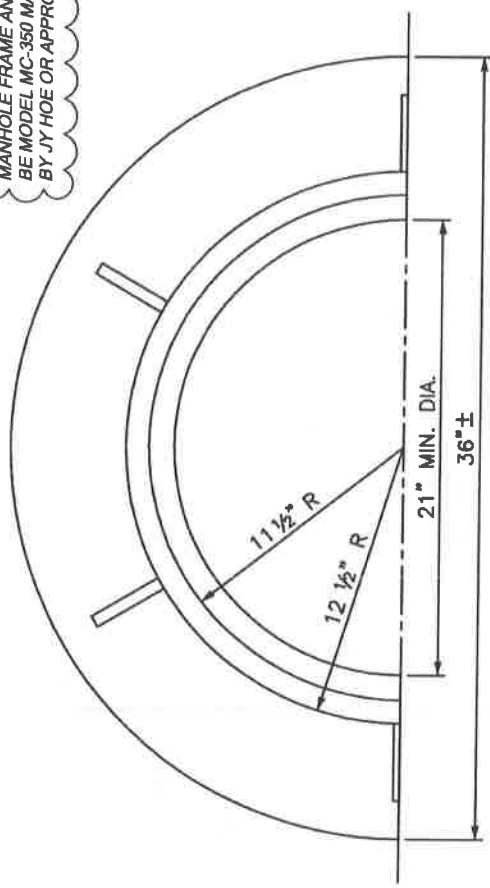
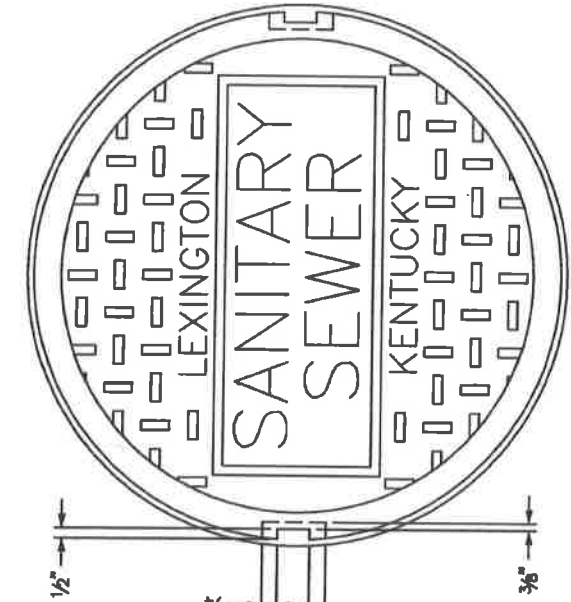
PIPE SIZE	MANHOLE SIZE			
	4'-0"	5'-0"	6'-0"	
P. ANGLE	L. DIST.	P. ANGLE	L. DIST.	
15"	38"	1'-10"	30"	2'-3"
18"	43"	1'-8"	34"	2'-3"
24"	53"	1'-6"	39"	2'-2"
27"	-	-	45"	2'-0"
30"	-	-	50"	1'-11"



PLAN SECTION

NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
DEFLECTION ANGLE CRITERIA FOR SANITARY MANHOLES			
STANDARD DRAWING NO.	217	APPROVED	DATE

MANHOLE FRAME AND LID SHALL BE MODEL MC-350 MANUFACTURED BY JY HOE OR APPROVED EQUAL.



SET FRAME CASTING IN FULL MORTAR BED, FOR WATERTIGHT MANHOLE FRAME AND LID - SEE APPLICABLE STANDARD DRAWING

COVER DETAIL

1. MANHOLE FRAME & LID ASSEMBLY SHALL BE TRAFFIC H-20 RATED. HAVE A MINIMUM WEIGHT OF 125 LBS. AND A TOTAL MINIMUM FRAME AND LID WEIGHT OF 305 LBS. WITH ALL STEEL IN ACCORDANCE WITH ASTM A-48 CLASS 35 SPEC.

2. FRAME SHALL BE SET IN BEAD OF BUTYL MASTIC SEALANT THEN MORTARED AROUND FRAME LIP.

3. NON-TRAFFIC AREA MANHOLES SHALL BE BOLTED DOWN WITH FOUR (4) HILTI-TYPE S.S. ANCHOR BOLTS IN ACCORDANCE WITH SPECIFICATION SECTION 02608.

FRAME DETAIL

NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

STANDARD CIRCULAR  
MANHOLE FRAME & COVER

STANDARD DIVISION NO. 220

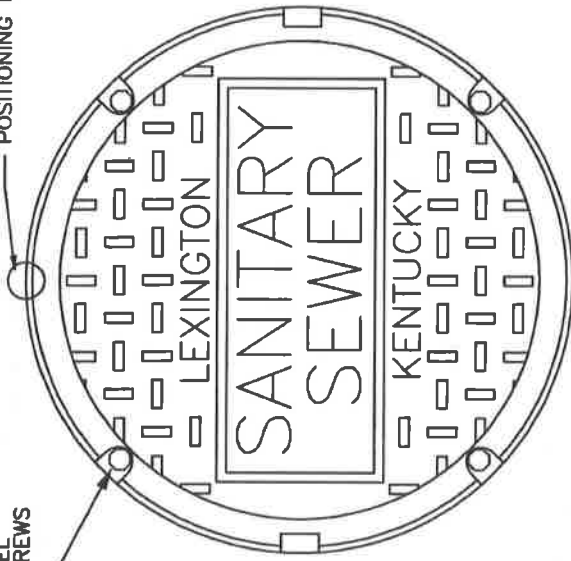
APPROVED BY: *[Signature]* DATE: 5/1/08

DESIGNED BY: *[Signature]* DATE: 5/1/08

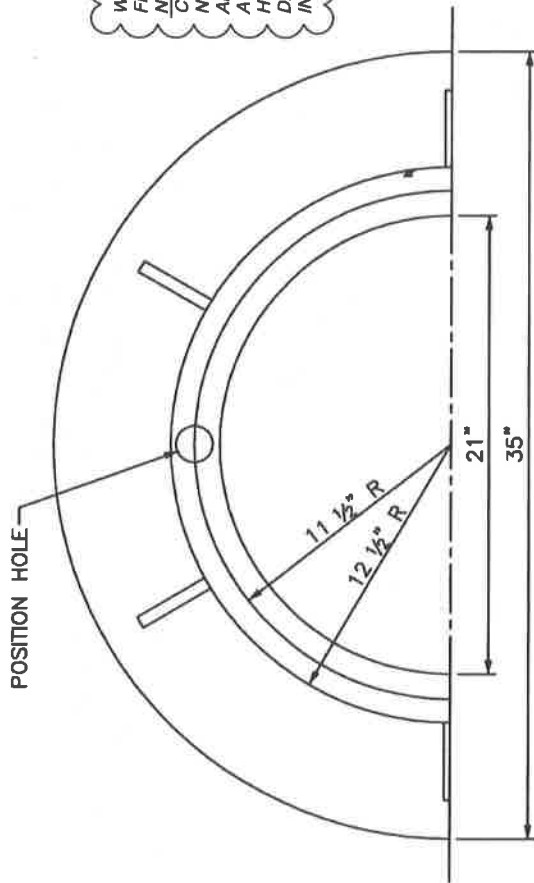
CHECKED BY: *[Signature]* DATE: 5/1/08

POSITIONING HOLE

4 1/2" - 13" x 1 3/4" STAINLESS STEEL REC'D CAP SCREWS GREASED

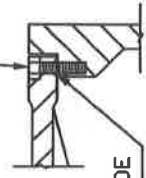


WATERTIGHT MAHOLE FRAMES AND COVERS SHALL NOT BE BOLT DOWN TYPE. COVERS SHALL HAVE NEOPRENE T-GASKET SEAL AND CONCEALED PICKHOLE A HIGH DENSITY ETHYLENE HEXENE-7 COPOLYMER DIAPHRAGM SHALL BE INSTALLED UNDER COVER.



POSITION HOLE

4 - S.S. 3/8" DIA. BOLTS GREASED



3/8" O-RING GUIDE TO FRAME

POSITIONING HOLE

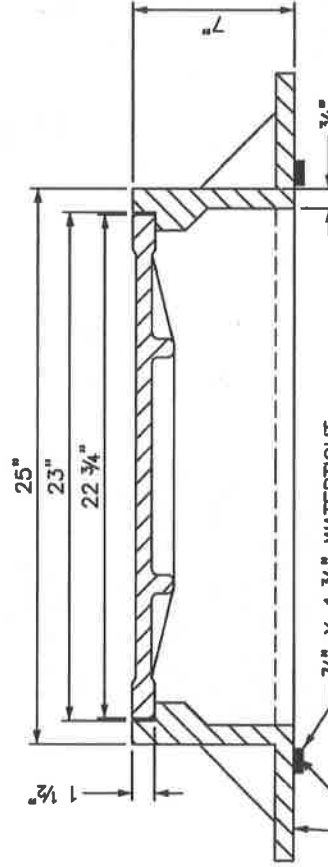
WATERTIGHT DETAIL

COVER DETAIL

MANHOLE FRAME AND LID SHALL BE MODEL MC-350 MANUFACTURED BY JR HOE OR APPROVED EQUAL.

NOTE:

MANHOLE FRAME & LID ASSEMBLY SHALL BE NEEHAH #R-1916-D OR APPROVED EQUAL, HAVE A MINIMUM LID WEIGHT OF 150 LBS. AND A TOTAL MINIMUM FRAME & LID WEIGHT OF 335 LBS. WITH ALL STEEL IN ACCORDANCE WITH ASTM A-48 CLASS 35 SPEC. OR HIGHER.



7/8" X 1 3/4" WATERTIGHT GASKET BETWEEN BOTTOM FRAME AND TOP OF BARREL

SET FRAME CASTING IN FULL MORTAR BED, FOR WATERTIGHT MANHOLE FRAME AND LID - SEE APPLICABLE STANDARD DRAWING.

1" BEAD BUTYL MASTIC SEALANT ROPE

FRAME DETAIL

NO.	DATE	REVISION DESCRIPTION	BY

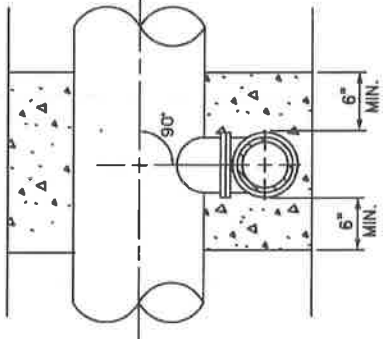
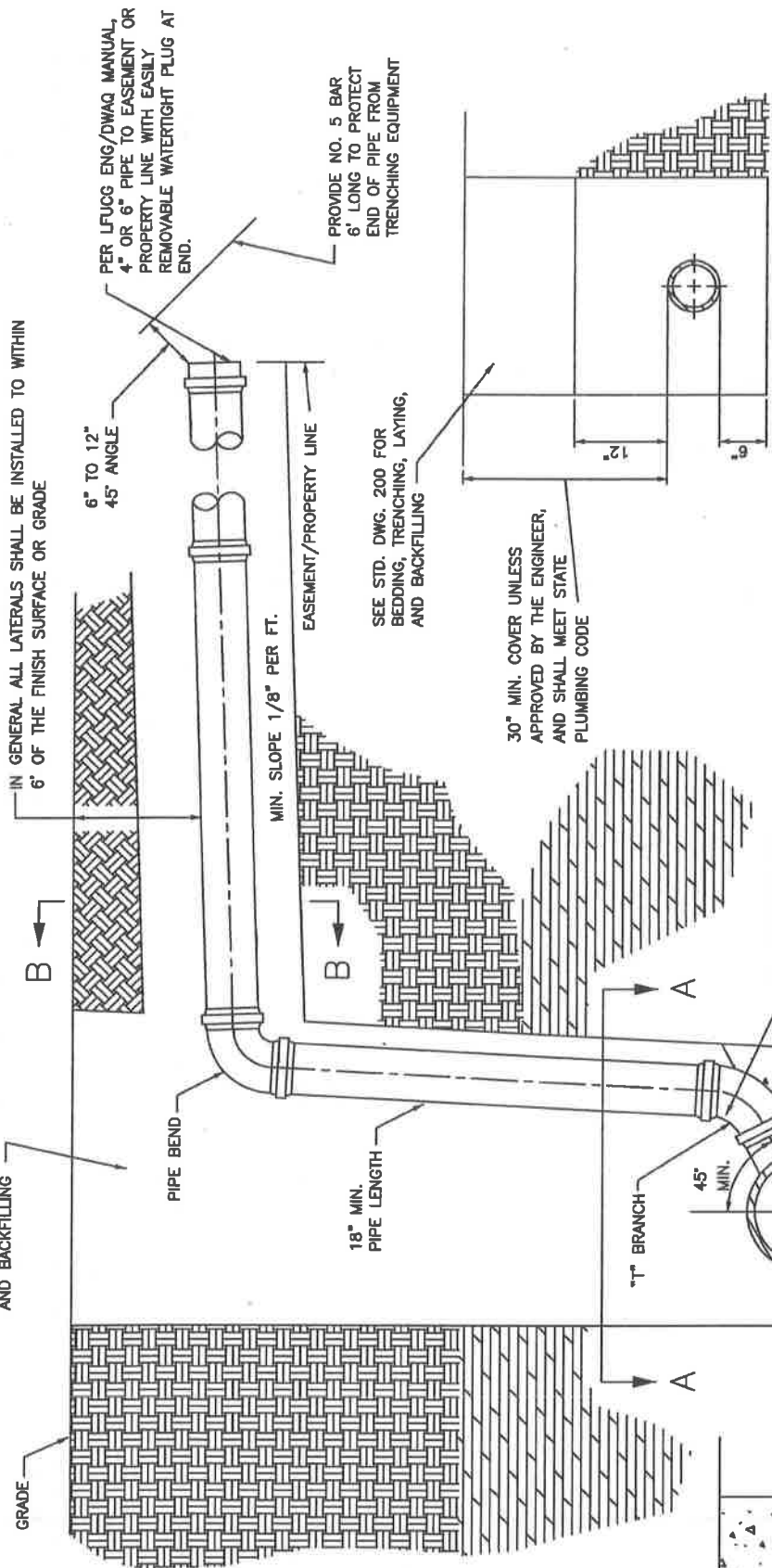
DIVISION OF ENGINEERING

STANDARD WATERTIGHT MANHOLE FRAME & COVER

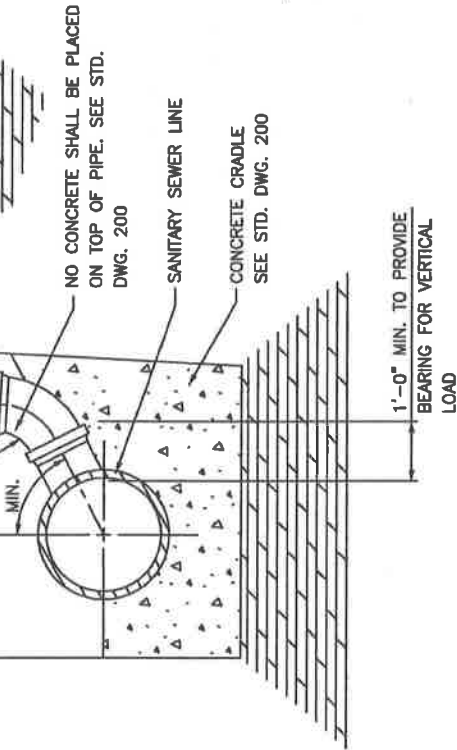
STANDARD DRAWING NO. 222

APPROVED BY: *[Signature]* DATE: 5/1/08

SEE APPLICABLE STANDARD DRAWING FOR BEDDING, TRENCHING, LAYING, AND BACKFILLING



SECTION A-A



SECTION B-B

NOTE:

LATERAL LENGTH REQUIREMENT IS THE GREATER OF:  
 6'-0" AS PROJECTED ON THE HORIZ. PLANE  
 1'-0" OUTSIDE THE EASEMENT  
 1'-0" INSIDE THE PROPERTY LINE

NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

HOUSE LATERAL FOR GREATER THAN 6' DEEP SEWER IN SOIL & ROCK EXCAVATION

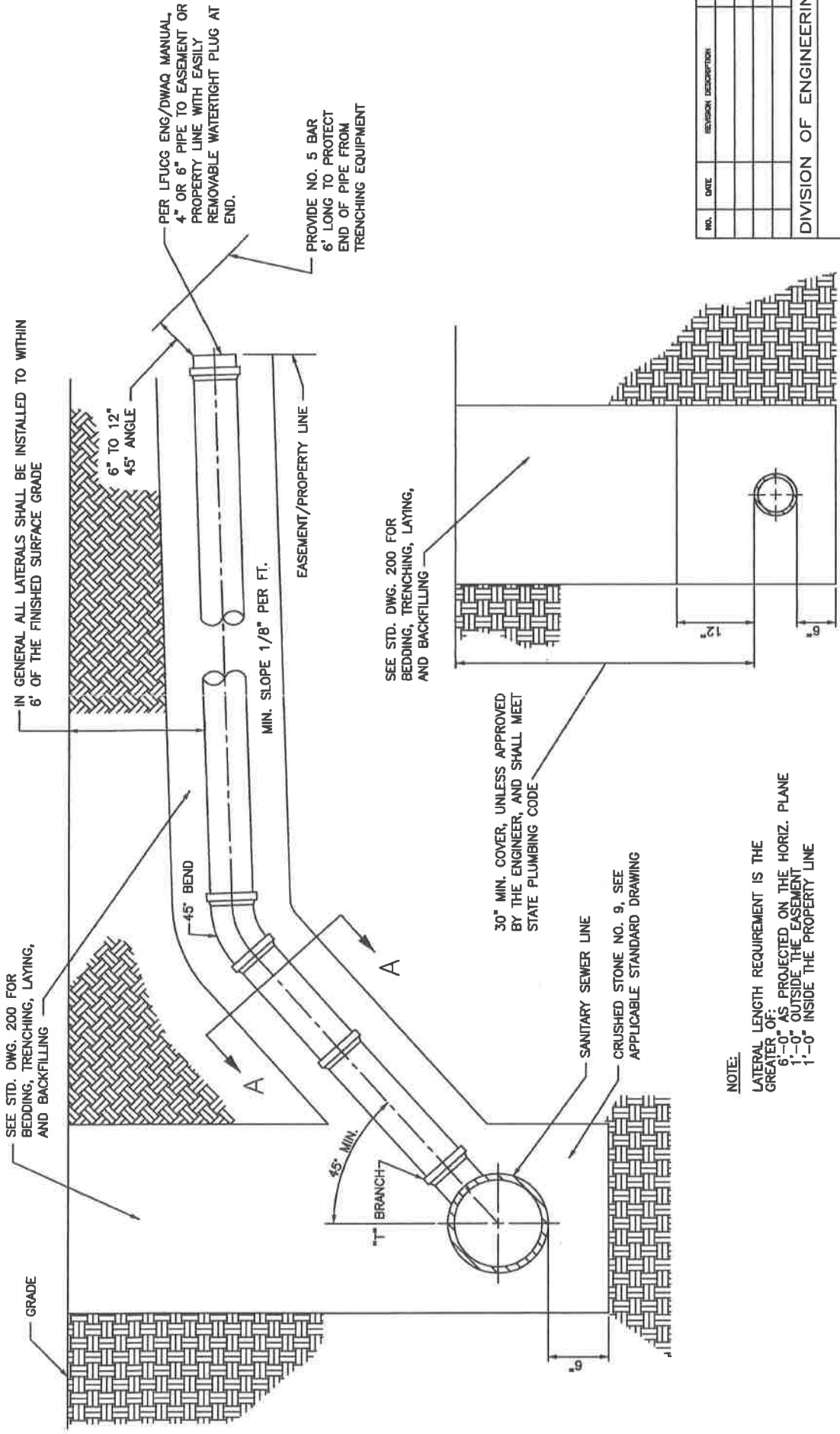
STANDARD DRAWING NO. 230

APPROVED: *[Signature]* DATE 5/1/68

LEXINGTON COUNTY ENGINEER

COMMISSIONER





IN GENERAL ALL LATERALS SHALL BE INSTALLED TO WITHIN 6' OF THE FINISHED SURFACE GRADE

SEE STD. DWG. 200 FOR BEDDING, TRENCHING, LAYING, AND BACKFILLING

PER LFUCG ENG/DWAQ MANUAL, 4" OR 6" PIPE TO EASEMENT OR PROPERTY LINE WITH EASILY REMOVABLE WATERTIGHT PLUG AT END.

PROVIDE NO. 5 BAR 6' LONG TO PROTECT END OF PIPE FROM TRENCHING EQUIPMENT

SEE STD. DWG. 200 FOR BEDDING, TRENCHING, LAYING, AND BACKFILLING

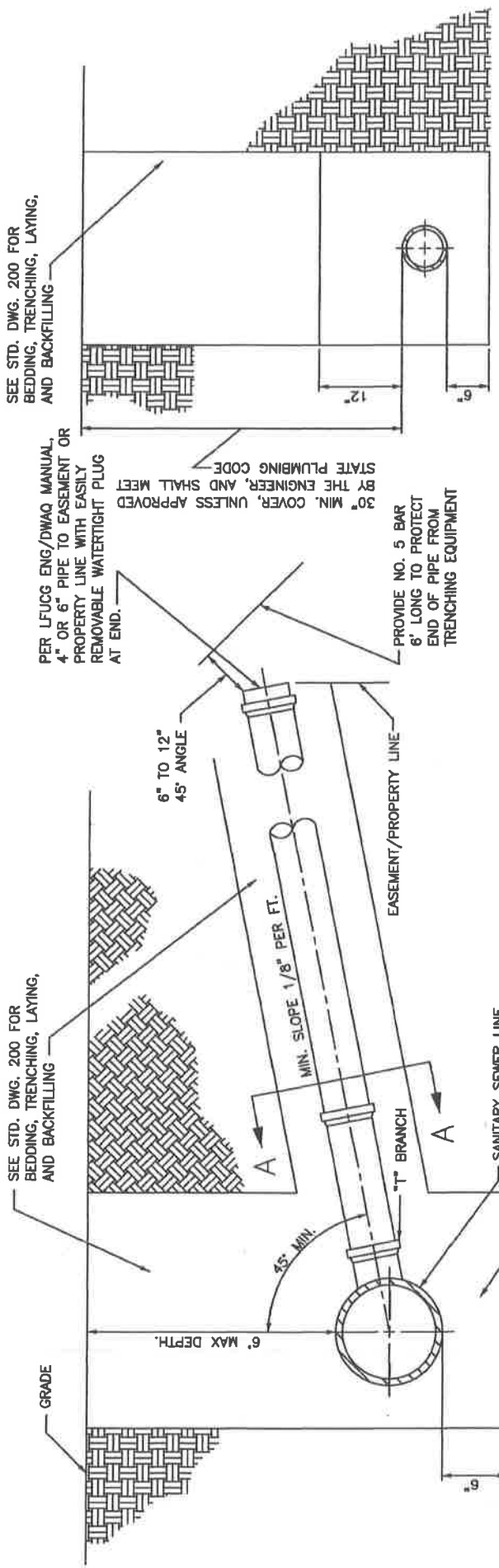
30" MIN. COVER, UNLESS APPROVED BY THE ENGINEER, AND SHALL MEET STATE PLUMBING CODE

CRUSHED STONE NO. 9, SEE APPLICABLE STANDARD DRAWING

**NOTE:**  
 LATERAL LENGTH REQUIREMENT IS THE GREATER OF:  
 6'-0" AS PROJECTED ON THE HORIZ. PLANE  
 1'-0" OUTSIDE THE EASEMENT  
 1'-0" INSIDE THE PROPERTY LINE

**SECTION A-A**

NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
HOUSE LATERAL FOR GREATER THAN 6' DEEP SEWER IN SOIL			
STANDARD DRAWING NO. 231		APPROVED BY <i>[Signature]</i> DATE 5/1/09	
DRAWN BY <i>[Signature]</i>		CHECKED BY <i>[Signature]</i>	
DESIGNED BY <i>[Signature]</i>		COMMISSIONER	



SEE STD. DWG. 200 FOR BEDDING, TRENCHING, LAYING, AND BACKFILLING

PER LFUCG ENG/DWAQ MANUAL, 4" OR 6" PIPE TO EASEMENT OR PROPERTY LINE WITH EASILY REMOVABLE WATERTIGHT PLUG AT END.

30" MIN. COVER, UNLESS APPROVED BY THE ENGINEER, AND SHALL MEET STATE PLUMBING CODE

PROVIDE NO. 5 BAR 6' LONG TO PROTECT END OF PIPE FROM TRENCHING EQUIPMENT

6" TO 12" 45° ANGLE

MIN. SLOPE 1/8" PER FT.

EASEMENT/PROPERTY LINE

SANITARY SEWER LINE

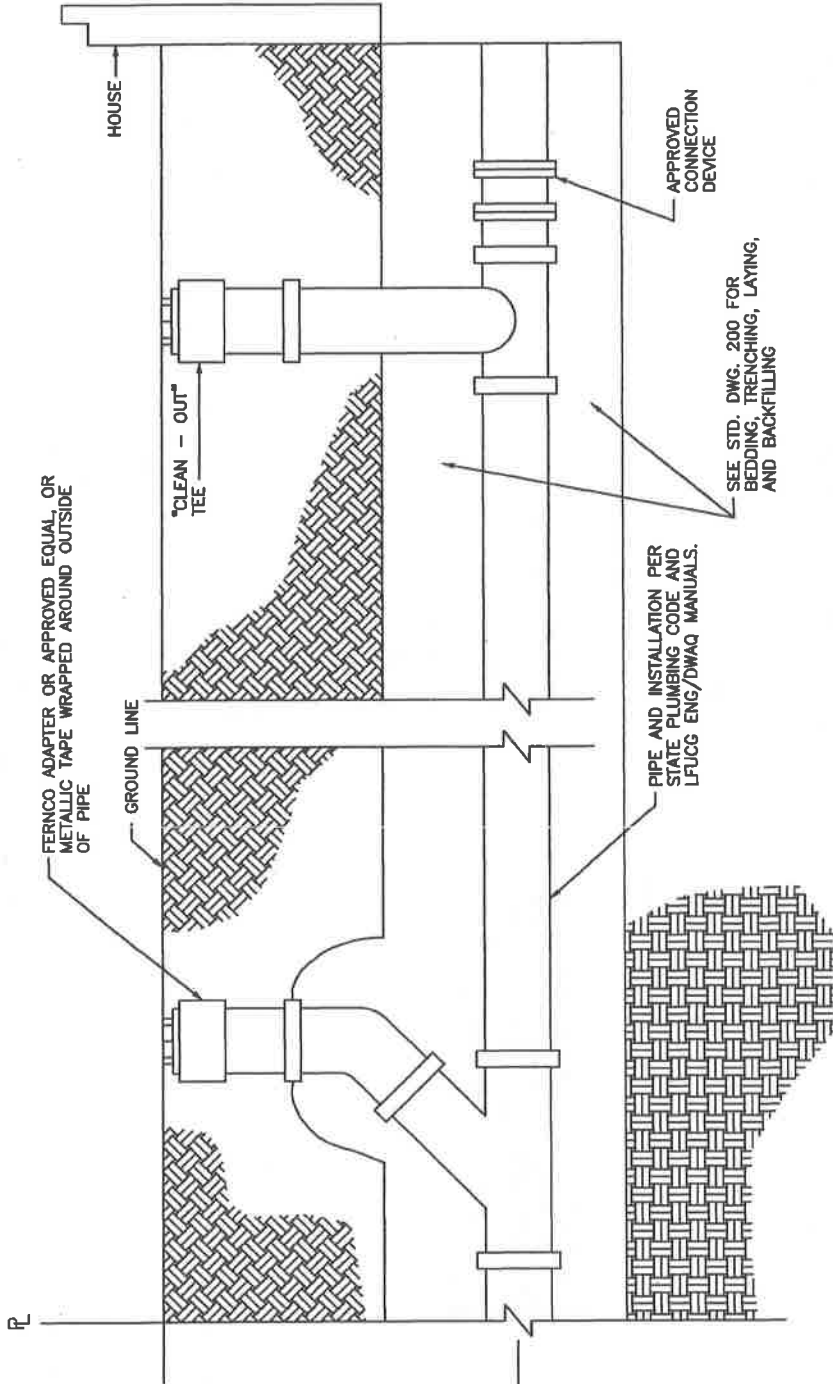
CRUSHED STONE NO. 9, SEE STD. DWG. 200

SECTION A-A

NOTE:

LATERAL LENGTH REQUIREMENT IS THE GREATER OF:  
 6'-0" AS PROJECTED ON THE HORIZ. PLANE  
 1'-0" OUTSIDE THE EASEMENT  
 1'-0" INSIDE THE PROPERTY LINE

NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
HOUSE LATERAL FOR SHALLOW SEWER IN SOIL OR ROCK			
STANDARD DRAWING NO.	232		
APPROVED	<i>[Signature]</i>	DATE	5/1/08
LEXINGTON COUNTY ENGINEER	<i>[Signature]</i>	DATE	5/1/08
COMMISSIONER	<i>[Signature]</i>	DATE	5/1/08



REFER TO STD. DWG. 231 FOR DETAILS OF "HOUSE LATERAL FOR GREATER THAN 6' DEEP SEWER IN SOIL" AND STD. DWG. 230 FOR DETAILS OF "HOUSE LATERAL FOR GREATER THAN 6' DEEP SEWER IN SOIL AND ROCK EXCAVATION"

REFER TO STD. DWG. 232 FOR DETAILS OF "HOUSE LATERAL FOR SHALLOW SEWER IN SOIL OR ROCK"

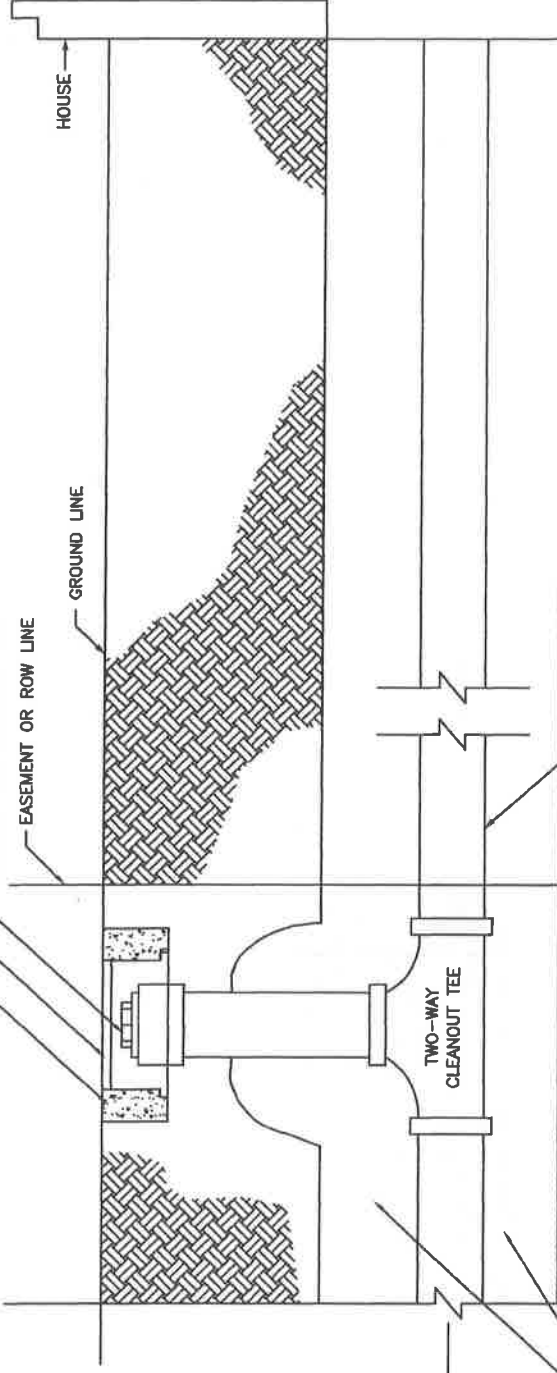
PIPE AND INSTALLATION PER STATE PLUMBING CODE AND LFUGG ENG/DWAQ MANUALS.

SEE STD. DWG. 200 FOR BEDDING, TRENCHING, LAYING, AND BACKFILLING

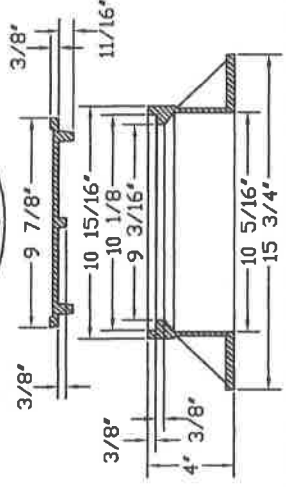
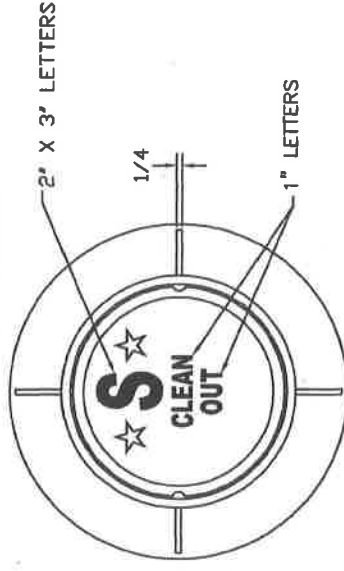
NOTE:  
SEWER PIPE FROM HOUSE TO THE LONG SWEEP "L" MUST BE IN ACCORDANCE WITH STATE PLUMBING CODE AND LFUGG ENG/DWAQ MANUALS.

NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
LATERAL CLEANOUT IN NON-PAVED AREAS AND YARDS			
STANDARD DRAWING NO.	233		
APPROVED BY	<i>[Signature]</i>	DATE	5/1/08
URBAN COUNTY ENGINEER	<i>[Signature]</i>	DATE	5/1/08
COMMISSIONER	<i>[Signature]</i>	DATE	5/1/08

24"x24"x24" CONCRETE PAD (OPTIONAL)  
 SANITARY SEWER CLEANOUT FRAME AND COVER (SEE DETAIL BELOW)  
 CLEANOUT WITH THREADED PLUG



PIPE AND INSTALLATION  
 PER STATE PLUMBING CODE  
 AND LFUCG ENG/DWAQ MANUALS



REFER TO STD. DWG. 231 FOR DETAILS OF  
 "HOUSE LATERAL FOR GREATER THAN 6' DEEP  
 SEWER IN SOIL" AND STD. DWG. 230 FOR  
 DETAILS OF "HOUSE LATERAL FOR GREATER  
 THAN 6' DEEP SEWER IN SOIL AND ROCK  
 EXCAVATION"

REFER TO STD. DWG. 232 FOR DETAILS  
 OF "HOUSE LATERAL FOR SHALLOW SEWER  
 IN SOIL OR ROCK"

SEE STD. DWG. 200 FOR  
 BEDDING, TRENCHING,  
 LAYING, AND BACKFILLING

**NOTES:**  
 SEWER PIPE FROM HOUSE TO CLEANOUT MUST BE IN  
 ACCORDANCE WITH STATE PLUMBING CODE AND LFUCG  
 ENG/DWAQ MANUALS.

TWO-WAY CLEANOUT TEE IS TO BE INSTALLED BY THE  
 PLUMBER AND OR CONTRACTOR PRIOR TO CONNECTION  
 OF THE LATERAL TO PUBLIC SANITARY SEWER LINE.

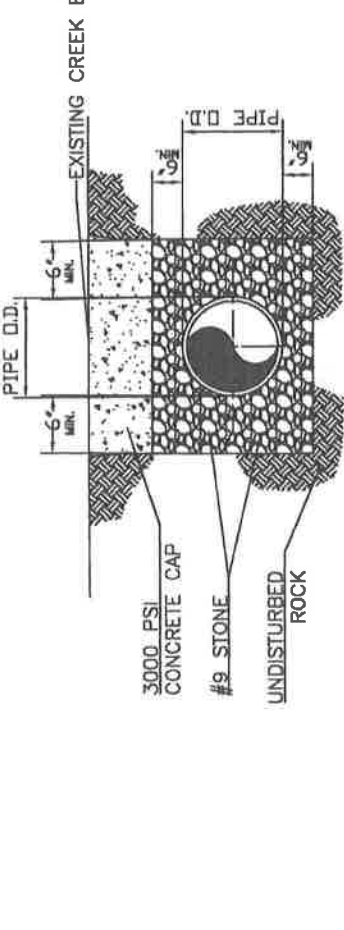
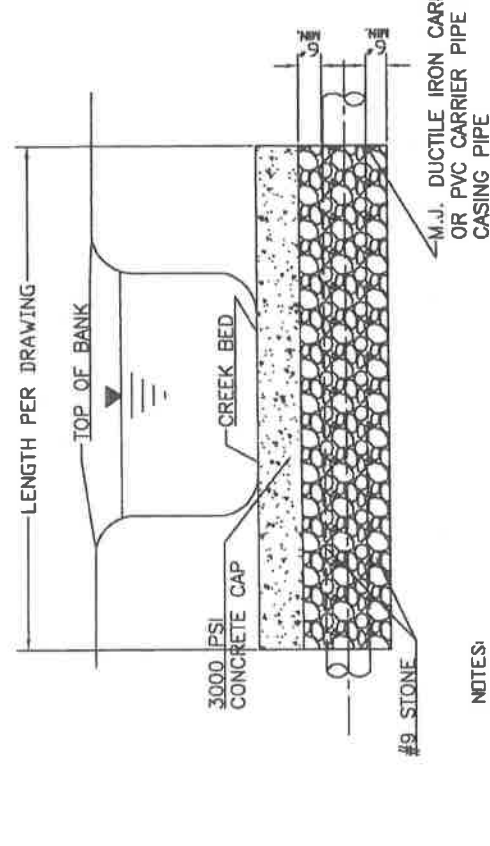
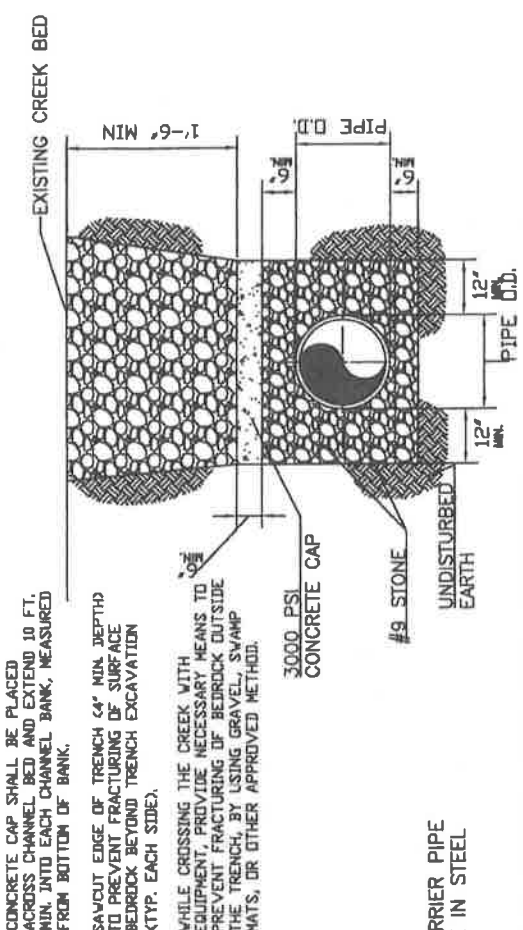
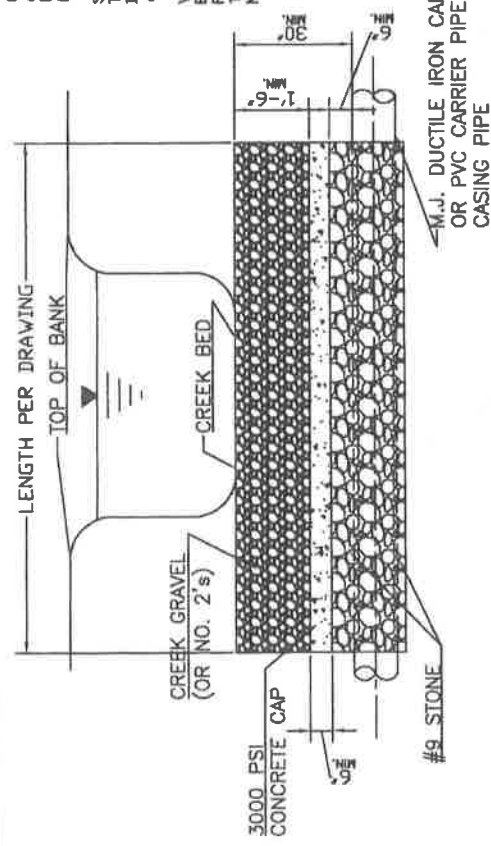
CLEANOUT TO BE INSTALLED AT THE END OF PUBLICLY  
 MAINTAINED SEWER. POINT TO BE DETERMINED BY THE  
 DIVISION OF ENGINEERING.

NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

RIGHT OF WAY OR EASEMENT  
 LATERAL CLEANOUT  
 IN NON-PAVED  
 AREAS AND YARDS

STANDARD DRAWING NO. 234  
 APPROVED BY *[Signature]* DATE 5/1/08  
 URBAN COUNTY ENGINEER  
 COMMISSIONER *[Signature]* DATE 5/1/08



**CREEK CROSSING DETAIL FOR SOIL CREEKBED**

**CREEK CROSSING DETAIL FOR ROCK CREEKBED**

CONCRETE CAP SHALL BE PLACED ACROSS CHANNEL BED AND EXTEND 10 FT. MIN. INTO EACH CHANNEL BANK, MEASURED FROM BOTTOM OF BANK.

SAWCUT EDGE OF TRENCH (4' MIN. DEPTH TO PREVENT FRACTURING OF SURFACE BEDROCK BEYOND TRENCH EXCAVATION (TYP. EACH SIDE).

WHILE CROSSING THE CREEK WITH EQUIPMENT, PROVIDE NECESSARY MEANS TO PREVENT FRACTURING OF BEDROCK OUTSIDE THE TRENCH, BY USING GRAVEL, SWAMP MATS, OR OTHER APPROVED METHOD.

**NOTES:**

1. A WATERSTOP SHALL BE PROVIDED ON THE UPSTREAM SIDE OF THE DOWNSTREAM MANHOLE.
2. PIPE TO BE DUCTILE IRON WHEN DEPTH OF COVER IS LESS THAN 4'.
3. SPECIAL DESIGN REQUIRED WHEN COVER IS 30" OR LESS.
4. CONTRACTOR SHALL USE THE CREEK CROSSING DETAIL THAT CORRESPONDS TO THE CHANNEL BED ENCOUNTERED.

NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

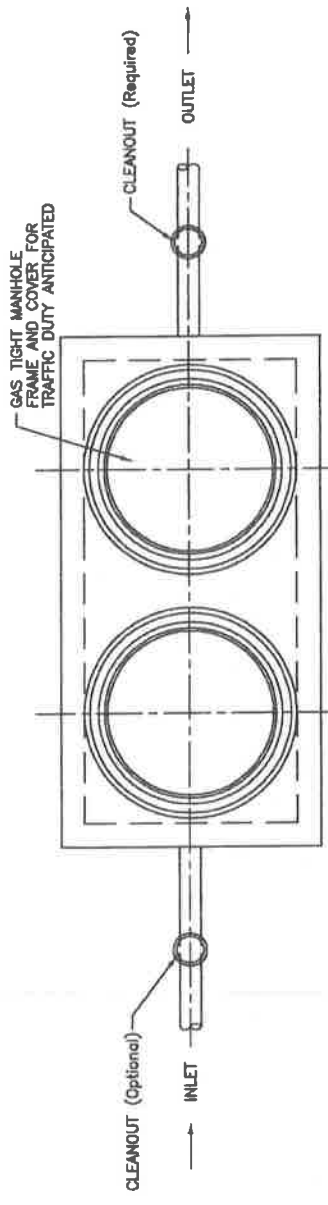
SANITARY SEWER STREAM CROSSING AND STREAM BED RESTORATION DETAIL

SEWERAGE DIVISION NO. 240

APPROVAL: \_\_\_\_\_ DATE \_\_\_\_\_

LIBERTY COUNTY ENGINEER: \_\_\_\_\_ DATE \_\_\_\_\_

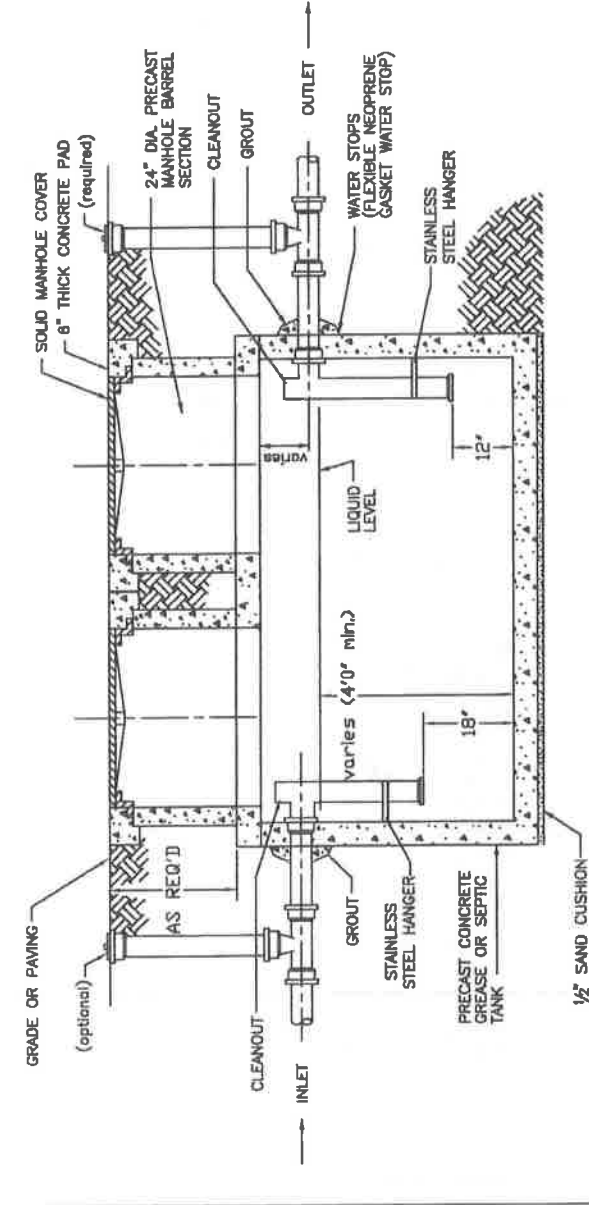
COMMISSIONER: \_\_\_\_\_ DATE \_\_\_\_\_



TOP VIEW

GENERAL NOTES:

1. THIS STRUCTURE IS TO BE ACCESSIBLE FOR MAINTENANCE OR INSPECTION WITH COVERS AND CLEANOUTS BROUGHT TO GRADE.
2. DESIGN CRITERIA SHALL BE HS-20 LOADING.
3. FLOW TO THE INTERCEPTOR SHALL EXCLUDE SANITARY SEWAGE AND SURFACE DRAINAGE.
4. DESIGN AND CAPACITY OF GREASE INTERCEPTOR TO BE CERTIFIED BY ENGINEER IN CONCORD WITH KENTUCKY STATE PLUMBING CODE AND REVIEWED FOR CAPACITY BY THE DIVISION OF WATER QUALITY PRIOR TO CONSTRUCTION.
5. MULTIPLE COMPARTMENT INTERCEPTORS ARE ACCEPTABLE.
6. THE MINIMUM CAPACITY OF INTERCEPTORS IS 1000 GALLONS.
7. PIPE CLEANOUT TEE SHALL BE THE SAME SIZE AS THE PIPE AND BE WITHIN 6" OF THE GREASE INTERCEPTOR ON THE OUTLET LINE. THE INLET LINE CLEANOUT IS OPTIONAL.
8. MANUFACTURER WILL PROVIDE GREASE TRAP WITH TWO(2) ACCESS POINTS AS SHOWN. PLUMBING CONTRACTOR TO INSTALL FIXTURES AS SHOWN.
9. DIAMETER OF PIPE IN GREASE INTERCEPTOR SHALL BE THE SAME DIAMETER AS THE INLET LATERAL PIPE.



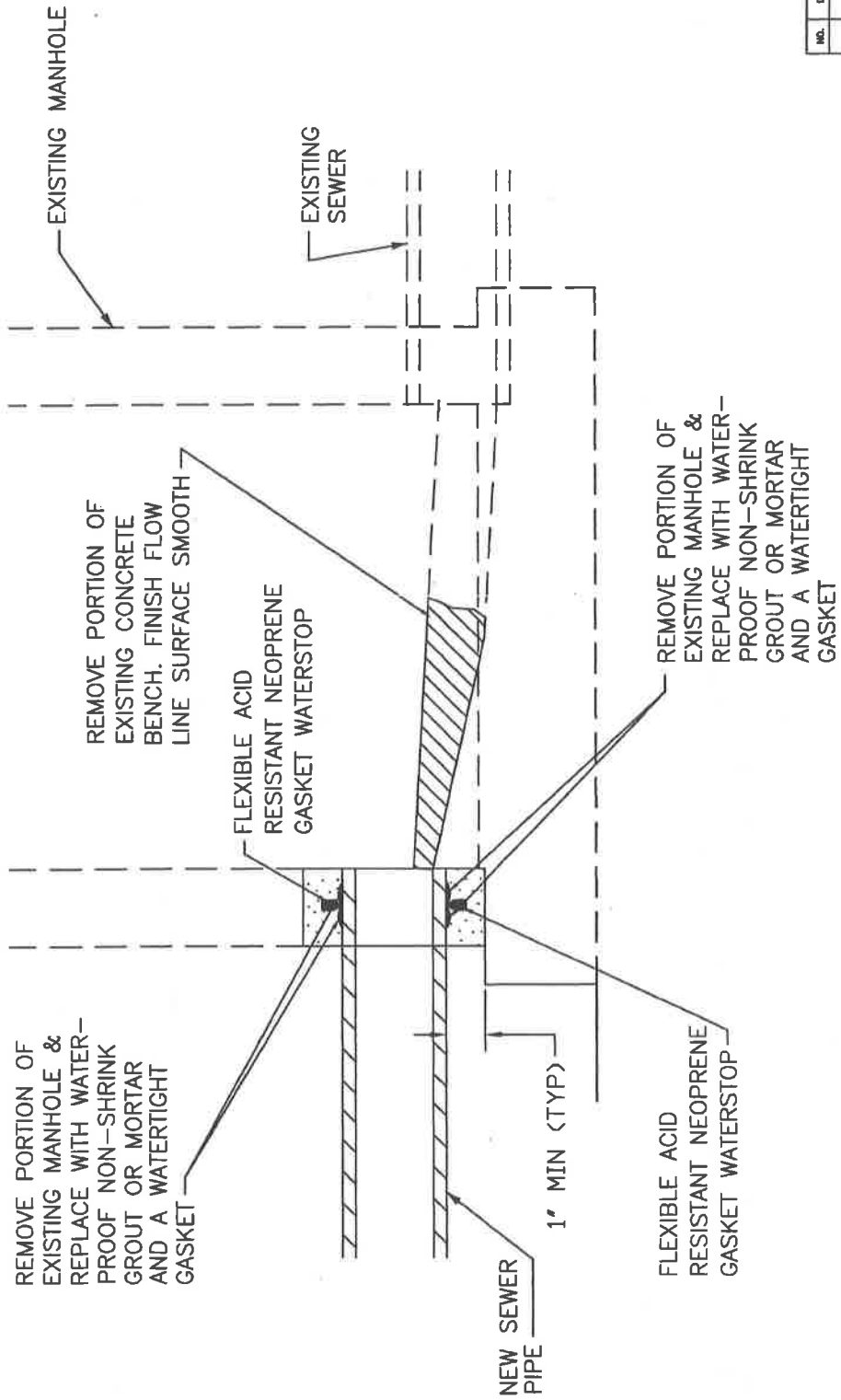
SECTION

NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

SCHEMATIC EXAMPLE FOR GREASE INTERCEPTOR

STANDARD DRAWING NO. 250  
 APPROVAL  
 DESIGN COUNTY ENGINEER  
 COLLEGE



ALL HOLES CUT INTO SEWER MANHOLES SHALL BE CORE DRILLED.

SEWER CONNECTION TO EXISTING MANHOLE

NO.	DATE	REVISION DESCRIPTION	BY

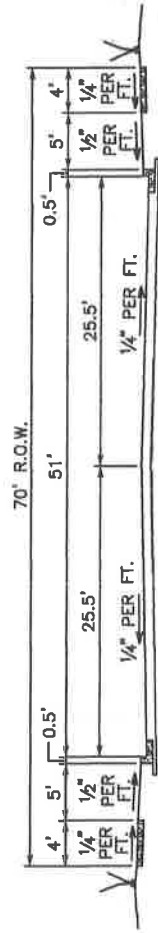
DIVISION OF ENGINEERING

SEWER CONNECTION TO EXISTING CONCRETE MANHOLE

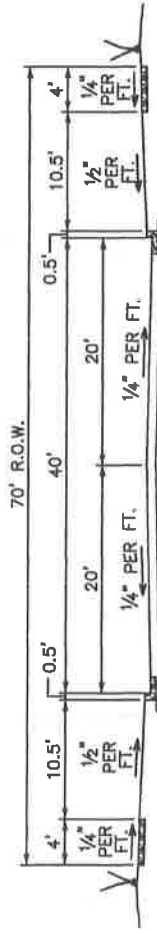
STANDARD DRAWING NO. 260

APPROVED *[Signature]* DATE 5/1/08

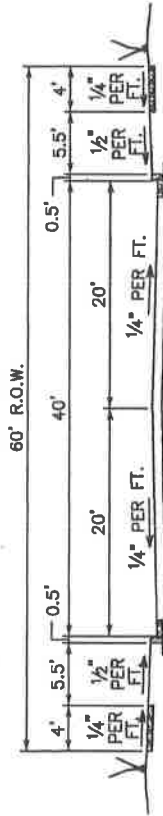
URBAN COUNTY COMMISSIONER



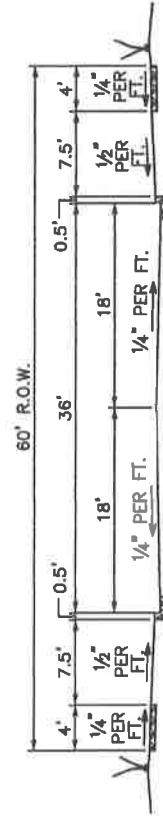
NON-RESIDENTIAL COLLECTOR



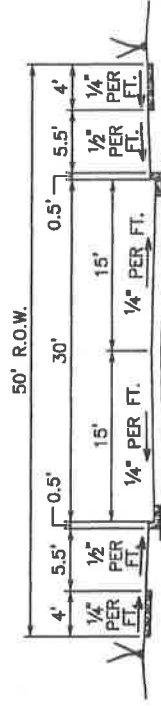
NON-RESIDENTIAL AND INDUSTRIAL COLLECTORS



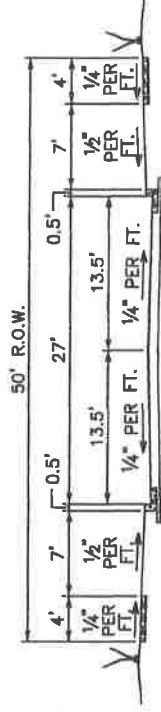
RESIDENTIAL COLLECTOR AND INDUSTRIAL LOCALS



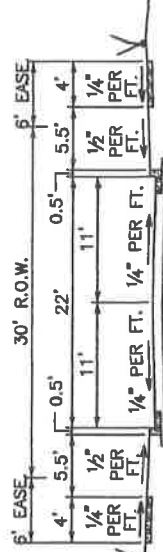
RESIDENTIAL COLLECTOR  
(OBSOLETE) - USED TO COMPLETE EXISTING STREETS



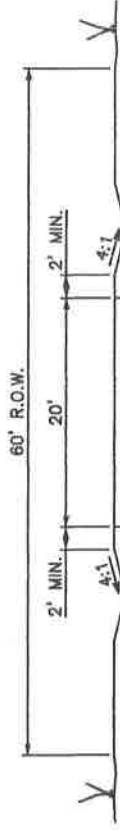
RESIDENTIAL LOCAL



RESIDENTIAL CUL-DE-SAC



URBAN RESIDENTIAL LOCAL



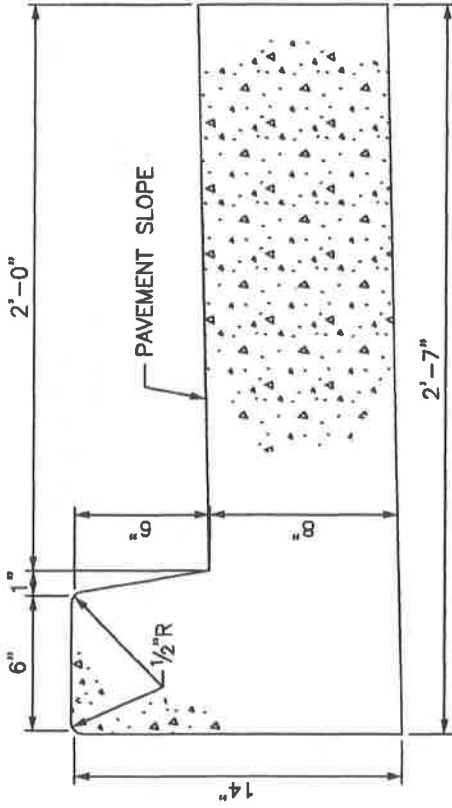
RURAL RESIDENTIAL LOCAL

NOTES:

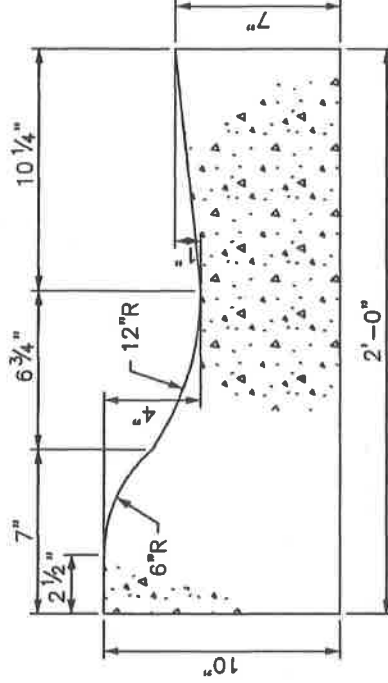
1. SLOPES AND DRAINAGE DITCHES OUTSIDE THE R.O.W. SHALL BE APPROVED BY THE ENGINEER.
2. THE APPLICATIONS AND USES OF THE ABOVE TYPICAL SECTIONS SHALL BE IN ACCORDANCE WITH THE L.F.U.C.G. LAND SUBDIVISION REGULATIONS, ARTICLE 6.

NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
TYPICAL STREET SECTIONS			
STANDARD DRAWING NO.	300		
APPROVED			
DATE	5/1/08		
DESIGNED BY			
DATE	5/1/08		
COMMISSIONER			



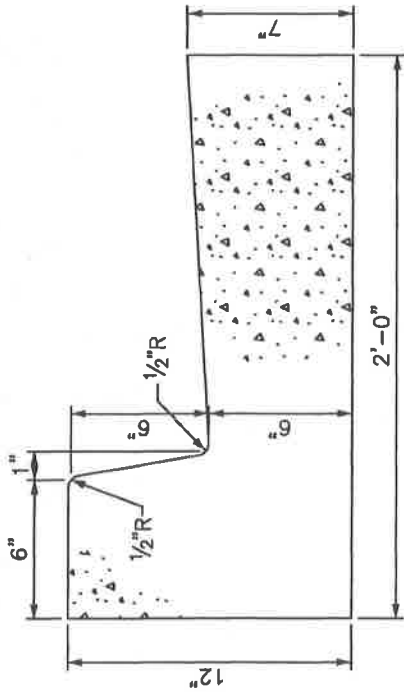


TYPE 2

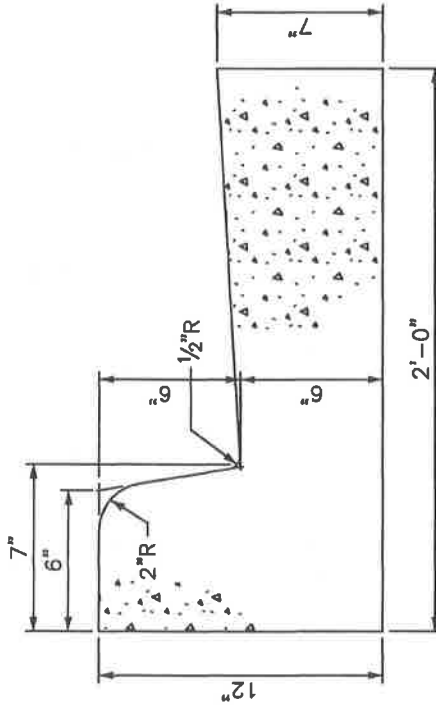


TYPE 4

(RESIDENTIAL LOCAL STREETS ONLY)



TYPE 1



TYPE 3

NOTES:

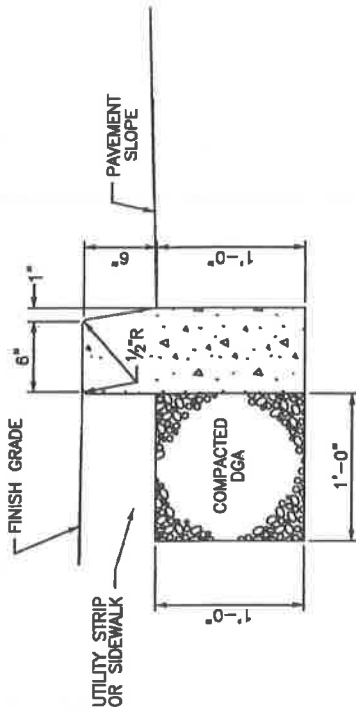
1. CONCRETE SHALL BE KDOT CLASS "A".
2. SAWED CONTRACTION JOINTS SHALL BE CONSTRUCTED EVERY 20 FEET, WITH A MIN. DEPTH OF 3", IN ACCORDANCE WITH KDOT STANDARD SPECIFICATION.
3. EXPANSION JOINTS SHALL BE CONSTRUCTED AT ALL BREAKS IN ALIGNMENT, AT CONTACT WITH NEW OR EXISTING CONCRETE, AT ALL DRAINAGE INLETS, AT THE BEGINNING AND ENDING POINTS OF CURVES, AND NOT TO EXCEED 200' MAXIMUM SPACING FOR SLIP FORM APPLICATION AND 30' MAXIMUM SPACING FOR HAND PLACED.
4. ALL CONCRETE SHALL BE CURED WITH WHITE PIGMENTED MEMBRANE FORMING COMPOUND (AASHTO M 148, TYPE 2).

NO.	DATE	REVISION DESCRIPTION	BY

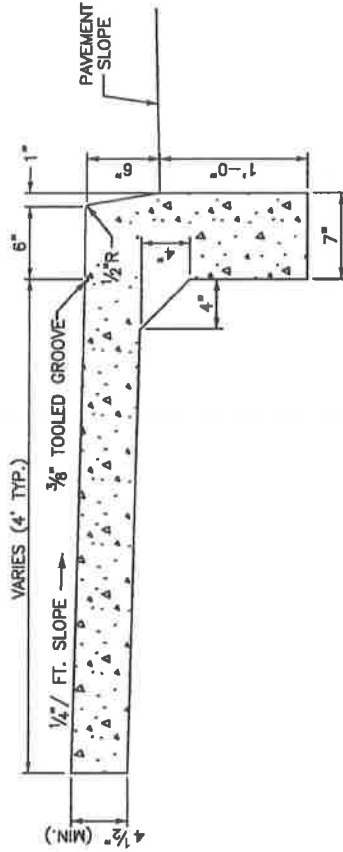
DIVISION OF ENGINEERING

CURB & GUTTER

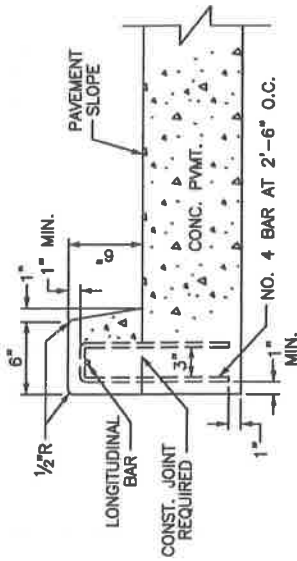
STANDARD DRAWING NO. 301  
 APPROVED BY: [Signature] DATE 5/1/08  
 DRAWN BY: [Signature] DATE 5/1/08  
 CHECKED BY: [Signature] DATE 5/1/08  
 COMMISSIONER



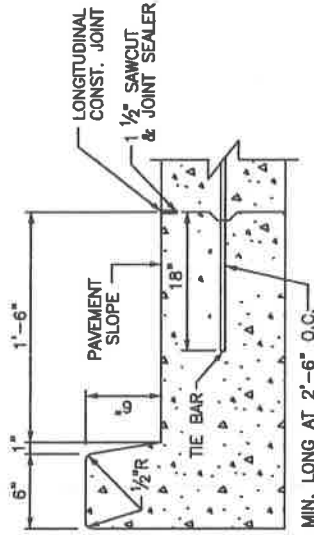
HEADER CURB



MONOLITHIC CURB AND SIDEWALK



INTEGRAL CURB, TYPE 1

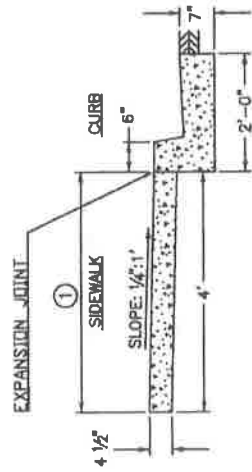


INTEGRAL CURB, TYPE 2

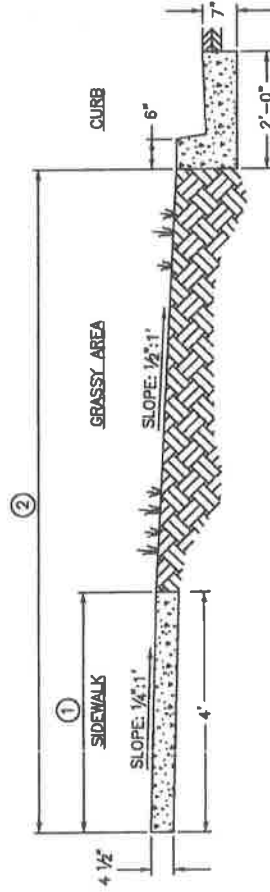
NOTES:

1. CONCRETE SHALL BE KDOT CLASS "A".
2. SAWED CONTRACTION JOINTS SHALL BE CONSTRUCTED EVERY 20 FEET, 3" MINIMUM DEPTH.
3. THE CONTRACTOR HAS THE OPTION OF CONSTRUCTING THE STANDARD INTEGRAL CURB AS DETAILED IN EITHER TYPE 1 OR 2. IF TYPE 2 IS CHOSEN A LONGITUDINAL CONSTRUCTION JOINT SHALL BE REQUIRED AND THE REMAINING PAVEMENT AND CURB SHALL BE CONSTRUCTED MONOLITHIC WITHOUT A HORIZONTAL CONSTRUCTION JOINT AND ACCOMPANYING REINFORCING STEEL (TYPE 1).
4. EXPANSION JOINTS SHALL BE CONSTRUCTED AT ALL BREAKS IN ALIGNMENT, AT ALL DRAINAGE INLETS AND AT THE BEGINNING AND ENDING POINTS OF CURVES.
5. ALL CONCRETE, EXCEPT BONDING SURFACES, SHALL BE CURED WITH WHITE PIGMENTED MEMBRANE FORMING COMPOUND (AASHTO M 148, TYPE 2).

NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
INTEGRAL CURB, HEADER CURB, MONOLITHIC CURB & SIDEWALK			
STANDARD DRAWING NO. 302			
APPROVED:  DATE: 5/1/08			
DRAWN BY:  DATE: 5/1/08			
CHECKED BY:  DATE: 5/1/08			
COMMISSIONER			



SIDEWALK/CURB AND GUTTER



SIDEWALK/CURB AND GUTTER  
WITH GRASS UTILITY STRIP

NOTES:

1. CONCRETE SIDEWALKS AND WALKWAYS SHALL BE CONSTRUCTED ON A THOROUGHLY COMPACTED SUB-GRADE AND SHALL BE FOUR AND ONE HALF (4 1/2) INCHES IN THICKNESS AND A MINIMUM WIDTH OF FOUR (4) FEET. CONCRETE SHALL MEET THE REQUIREMENTS FOR CLASS "A" AND SHALL BE COATED WITH WHITE PIGMENTED CURING COMPOUND TYPE 2, ALL AS SPECIFIED IN THE KENTUCKY DEPARTMENT OF HIGHWAYS STANDARD SPECIFICATIONS, C.E.
2. EXPANSION JOINTS SHALL BE PLACED AT THIRTY-TWO (32) FOOT INTERVALS. IN EXISTING NEIGHBORHOODS, EXPANSION MATERIAL SHALL BE PLACED AT THE BEGINNING AND END OF NEWLY CONSTRUCTED AREAS, AND WHERE ABUTTING RIGID STRUCTURES OR FEATURES SUCH AS BUILDINGS, DRIVEWAYS, UTILITY POLES, FIRE HYDRANTS, ETC.
3. CONTROL JOINTS SHALL BE PLACED AT INTERVALS EQUIVALENT TO THE SIDEWALK WIDTH, WITH A DEPTH OF 1/4 THE SIDEWALK THICKNESS.
4. THE SIDEWALKS SHALL BE PLACED ADJACENT TO THE STREET RIGHT-OF-WAY LINE. SLOPE TOWARD CURB SHALL BE ONE QUARTER (1/4) OF AN INCH TO THE FOOT. CONSTRUCTION IN EXISTING NEIGHBORHOODS SHALL REQUIRE THE CONTRACTOR TO MATCH EXISTING GRADE AND SIDEWALK WIDTH UNLESS SPECIFIED OTHERWISE BY THE DIVISION OF ENGINEERING.

SHEET NOTES:

- ① NORMAL SIDEWALK WIDTH SHALL BE 4' UNLESS CHANGE IS AUTHORIZED BY URBAN COUNTY ENGINEER'S OFFICE.
- ② DISTANCE WILL VARY WITH ROAD CROSS-SECTION.

NO.	DATE	REVISION DESCRIPTION	BY

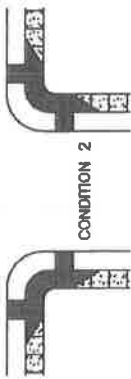
DIVISION OF ENGINEERING

SIDEWALK CONSTRUCTION  
SPECIFICATIONS

DESIGNED DRAWING NO.	303
APPROVAL:	
URBAN COUNTY ENGINEER	
CONTRACTOR	
DATE	



CONDITION 1

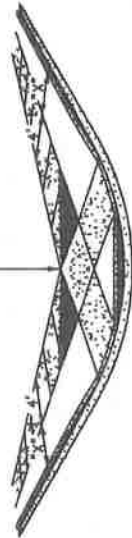


CONDITION 2

**RAMP TYPE 1**

NORMAL TREATMENT FOR ARTERIALS AND SIGNALIZED INTERSECTIONS

DROP BACK OF SIDEWALK AS REQUIRED TO PROVIDE MAXIMUM 1:1 RAMP SLOPE. EXTEND RAMP WITHIN SIDEWALK AS REQUIRED. REFER TO CHART ON THIS SHEET.

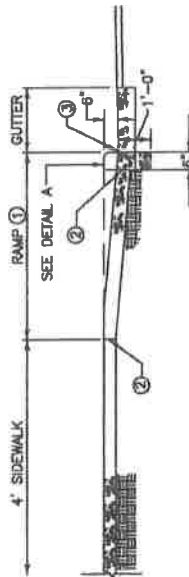


**RAMP TYPE 1 CONDITION 1**

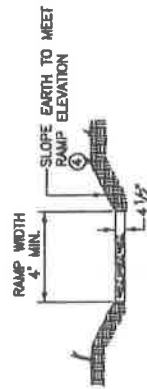
DROP BACK OF SIDEWALK AS REQUIRED TO PROVIDE MAXIMUM 1:1 RAMP SLOPE. EXTEND RAMP WITHIN SIDEWALK AS REQUIRED. REFER TO CHART ON THIS SHEET.



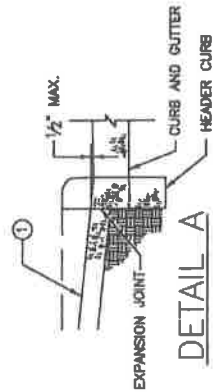
**RAMP TYPE 1 CONDITION 2**



**PROFILE RAMP TYPE 1**



**CROSS SECTION RAMP TYPE 1**



**DETAIL A**

NOTE:  
FOR USE WITH 6" HEADER CURB OR 8" CURB AND GUTTER

UTILITY STRIP WIDTH ①	BACK OF 4' SIDEWALK DROP FROM NORMAL Y*
1'	2 1/2"
2'	2"
3'	1 1/2"
4'	1"
5'	1/2"
> 6'	0

① 1/2":1' CROSS SLOPE ② 1/4":1' CROSS SLOPE  
\*WHERE ROLL CURB IS USED, Y DOES NOT APPLY.

**NOTES:**

1. INLET LOCATIONS WILL VARY, DEPENDENT ON CROSSWALK AND RAMP LOCATION.
2. THE RAMP SHALL BE CONSTRUCTED OF CLASS A CONCRETE AND SHALL UTILIZE CAST IN PLACE REPLACEABLE TACTILE WARNING TILE, SUCH AS ADA SOLUTIONS, INC., ACCESS TILE TACTILE SYSTEMS, ARMOR-TILE HERCULITE OR APPROVED EQUAL. TILE COLOR SHALL BE FEDERAL YELLOW.
3. THE NORMAL GUTTER LINE SHOULD BE MAINTAINED THROUGH THE RAMP.
4. RAMPS SHOULD BE LOCATED WITHIN MARKED LIMITS OF CROSSWALKS.
5. WHERE NO CURB EXISTS, STREET EDGE SHALL BE SAW CUT, OR AS DIRECTED BY L.F.U.C.G. ENGINEER.

**SHEET NOTES:**

- ① MAXIMUM RAMP SLOPE 1:1.
- ② 1/2" EXPANSION JOINT AT BACK OF CURBLINE AND SIDEWALK LINE.
- ③ NO BUMP PERMITTED.
- ④ SLOPE VARIES UNIFORMLY TO A MAXIMUM OF 1:1 AT GUTTER LINE.

NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

SIDEWALK  
RAMP TYPE 1

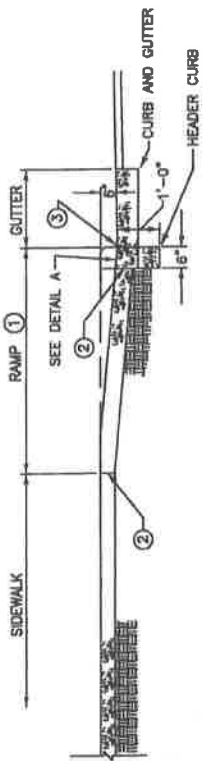
STANDARD DRAWING NO. 304  
APPROVAL:  
DESIGN ENGINEER \_\_\_\_\_ DATE \_\_\_\_\_  
CHECKER \_\_\_\_\_ DATE \_\_\_\_\_  
CONTRACTOR \_\_\_\_\_ DATE \_\_\_\_\_



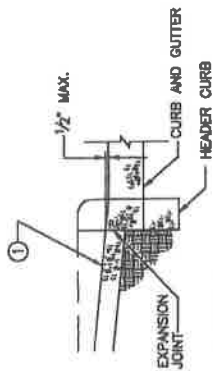
BUILDING

### RAMP TYPE 2

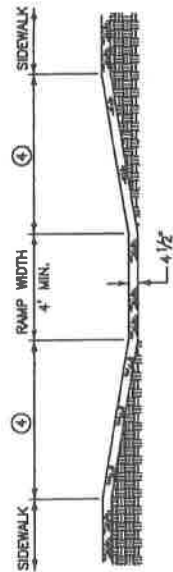
NORMAL TREATMENT FOR SIDEWALK ADJACENT TO CURB



### PROFILE RAMP TYPE 2



DETAIL A

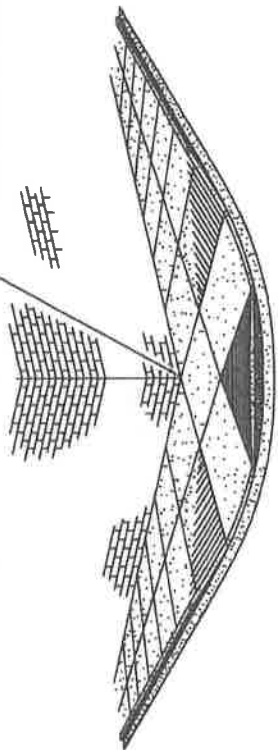


### CROSS SECTION RAMP TYPE 2

NOTES:

1. INLET LOCATIONS WILL VARY, DEPENDENT ON CROSSWALK AND RAMP LOCATION.
2. THE RAMP SHALL BE CONSTRUCTED OF CLASS A CONCRETE, AND SHALL UTILIZE CAST IN PLACE REPLACEABLE TEXTILE WARNING TILE, SUCH AS ADA SOLUTIONS, INC., ACCESS TILE TACTILE SYSTEM, ARMOR-TILE HERCULITE OR APPROVED EQUAL TILE COLOR SHALL BE FEDERAL YELLOW.
3. THE NORMAL GUTTER LINE SHOULD BE MAINTAINED THROUGH THE RAMP.
4. RAMPS SHOULD BE LOCATED WITHIN MARKED LIMITS OF CROSSWALKS.

DROP BACK OF SIDEWALK AS REQUIRED TO PROVIDE MAXIMUM 1:1 RAMP SLOPE. EXTEND RAMP WITHIN SIDEWALK AS REQUIRED. REFER TO CHART ON THIS SHEET.



### RAMP TYPE 2

NO.	DATE	REVISION DESCRIPTION	BY

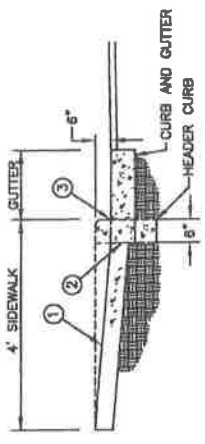
DIVISION OF ENGINEERING  
  
SIDEWALK  
RAMP TYPE 2

NOTE: FOR USE WITH 8" HEADER CURB OR 6" CURB AND GUTTER

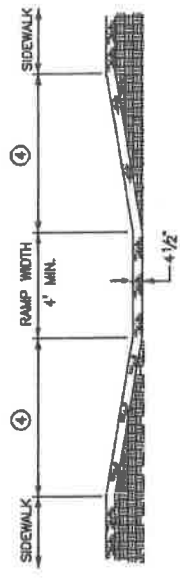
SIDEWALK WIDTH	BACK OF SIDEWALK DROP FROM NORMAL
① 4'	3"
5'	2 1/4"
6'	1 1/2"
7'	3/4"
≥ 8'	0

① 1/4":1" CROSS SLOPE  
\* WHERE ROLL CURB IS USED, " DOES NOT APPLY.

STANDARD DRAWING NO.	305
APPROVAL	
DESIGN COUNTY ENGINEER	
CHECKED	
DATE	



RAMP PROFILE



RAMP CROSS-SECTION

4' SIDEWALK ADJACENT TO CURB

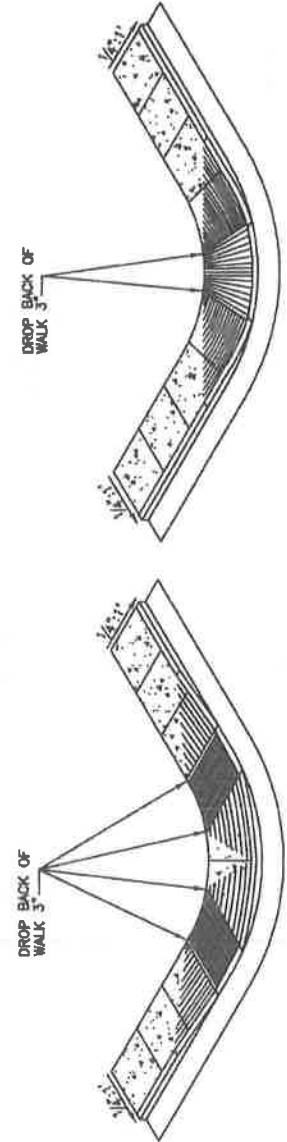


CONDITION 1



CONDITION 2

4' SIDEWALK ADJACENT TO CURB



CONDITION 1

CONDITION 2

NOTES:

1. INLET LOCATIONS WILL VARY, DEPENDENT ON CROSSWALK AND RAMP LOCATION
2. THE RAMP SHALL BE CONSTRUCTED OF CLASS "A" CONCRETE, AND SHALL UTILIZE CAST IN PLACE REPLACEABLE TACTILE WARNING TILE, SUCH AS ADA SOLUTIONS, INC., ACCESS TILE TACTILE SYSTEM, ARMOR-TILE HERCULITE OR APPROVED EQUAL TILE COLOR SHALL BE FEDERAL YELLOW.
3. THE NORMAL GUTTER LINE SHOULD BE MAINTAINED THROUGH THE RAMP.
4. RAMPS SHOULD BE LOCATED WITHIN MARKED LIMITS OF CROSSWALKS.

SHEET NOTES:

- ① MAXIMUM RAMP SLOPE 1":1'
- ② 1/2" EXPANSION JOINT AT BACK OF CURBLINE AND SIDEWALK LINE. NO BUMP PERMITTED.
- ③ NO BUMP PERMITTED.
- ④ SLOPE VARIES UNIFORMLY TO A MAXIMUM OF 1":1' AT GUTTER LINE.

NO.	DATE	REVISION DESCRIPTION	BY

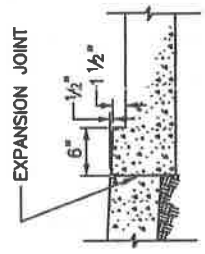
DIVISION OF ENGINEERING

SIDEWALK RAMP  
TYPE 3

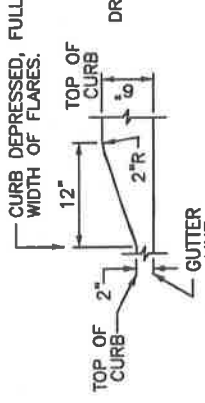
STANDARD DRAWING NO.	308
APPREVAL	
DESIGN COUNTY ENGINEER	
CHECKED	
DATE	

**MAXIMUM ALLOWABLE APRON AND DRIVEWAY WIDTHS**

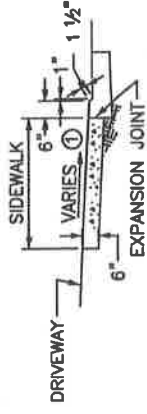
CLASSIFICATION	DRIVEWAY	APRON
SINGLE RESIDENTIAL	12'	18'
DOUBLE OR JOINT RESIDENTIAL	20'	26'



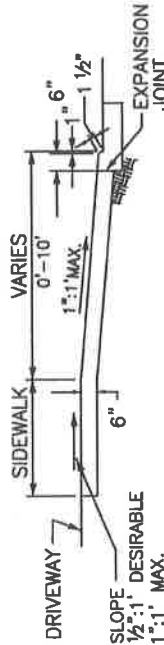
SECTION A-A



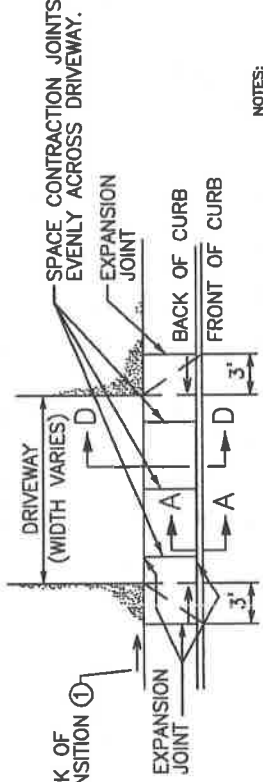
SECTION B-B



SECTION D-D

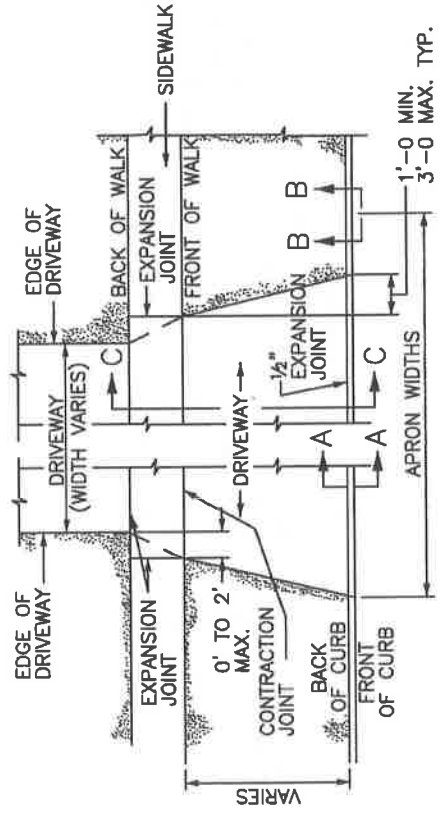


SECTION C-C



ENTRANCE WITHOUT UTILITY STRIP

STREET WITH PARKING LANE



ENTRANCE WITH UTILITY STRIP

NOTE: FOR USE WITH 6" HEADER CURB OR 6" CURB AND GUTTER

UTILITY STRIP WIDTH	DROP BACK OF SIDEWALK	SIDEWALK SLOPE	SLOPE ON APRON
0'	1 1/2"	7.29%	N/A
2'	1 1/2"	5.21%	8.33%
4'	1 1/2"	3.12%	8.33%
5'	1 1/2"	2.08%	8.33%
6'	1"	2.08%	8.33%
8'	0"	2.08%	8.33%
10'	0"	2.08%	7.50%

UTILITY STRIP WIDTH	DROP BACK OF SIDEWALK	SIDEWALK SLOPE	SLOPE ON APRON
0'	1 1/2"	7.29%	N/A
2'	1 1/2"	4.17%	8.33%
3'	1 1/2"	2.60%	8.33%
4'	1"	2.08%	8.33%
6'	0"	2.08%	7.64%
8'	0"	2.08%	6.25%
10'	0"	2.08%	5.42%

- NOTES:
- DROP BACK OF SIDEWALK GRADE 1 1/2" OVER 3' TO PROVIDE A MAXIMUM SLOPE OF 1:1.
  - PROVIDE A SAWED JOINT ALONG CENTER LINE OF APRON.
  - MAXIMUM DROP AT BACK OF SIDEWALK SHALL NOT EXCEED 1 1/2".
  - MAXIMUM CROSS SLOPE ON SIDEWALK SHALL NOT EXCEED 1 1/2" (8.3%).
  - MAXIMUM SLOPE ON APRON SHALL NOT EXCEED 1:1 (8.3%).
  - ENTIRE APRON FROM BACK OF CURB TO BACK OF SIDEWALK SHALL BE CONSTRUCTED WITH A SINGLE POUR.

NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

RESIDENTIAL ENTRANCE DETAILS

STANDARD DRAWING NO. 307

APPROVAL: *[Signature]* DATE: 5/1/08

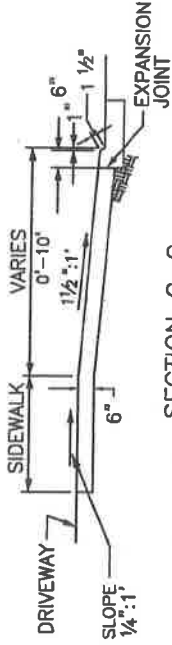
DESIGN: *[Signature]* DATE: 5/1/08

CHECKED: *[Signature]* DATE: 5/1/08

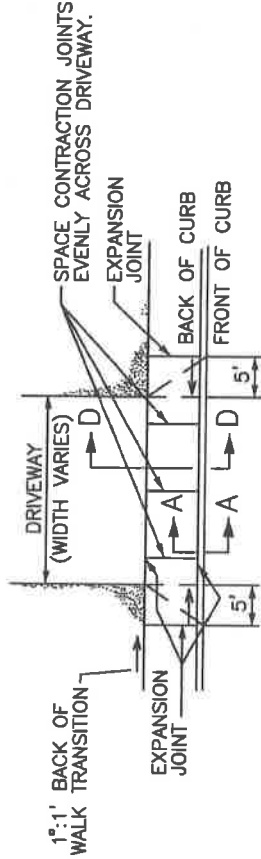
COMMISSIONER

### MAXIMUM ALLOWABLE APRON AND DRIVEWAY WIDTHS

CLASSIFICATION	DRIVEWAY	STANDARD APRON	ALTERNATE APRON
NON-RESIDENTIAL	30'	5' STRAIGHT FLARE=40' CURB CUT	10' RADIAL FLARE=50' CURB CUT
COMMERCIAL LOADING	30'	15' STRAIGHT FLARE=60' CURB CUT	20' RADIAL FLARE=70' CURB CUT
INDUSTRIAL	40'	20' STRAIGHT FLARE=80' CURB CUT	25' RADIAL FLARE=90' CURB CUT



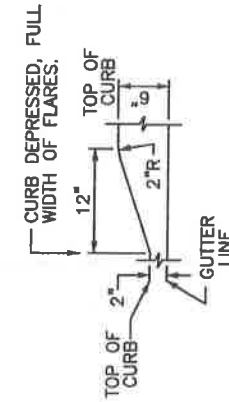
FRONT OF SIDEWALK ELEVATION DETERMINED BY ADDING 1/2" : 1" ACROSS UTILITY STRIP FROM TOP OF CURB. IF COMING OFF 1 1/2" LIP ADD ANOTHER 4 1/2" TO DETERMINE ELEVATION AT FRONT OF SIDEWALK.



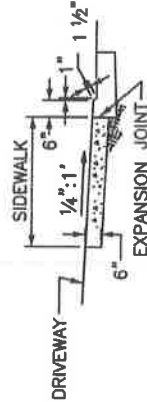
### ENTRANCE WITHOUT UTILITY STRIP

**NOTES:**

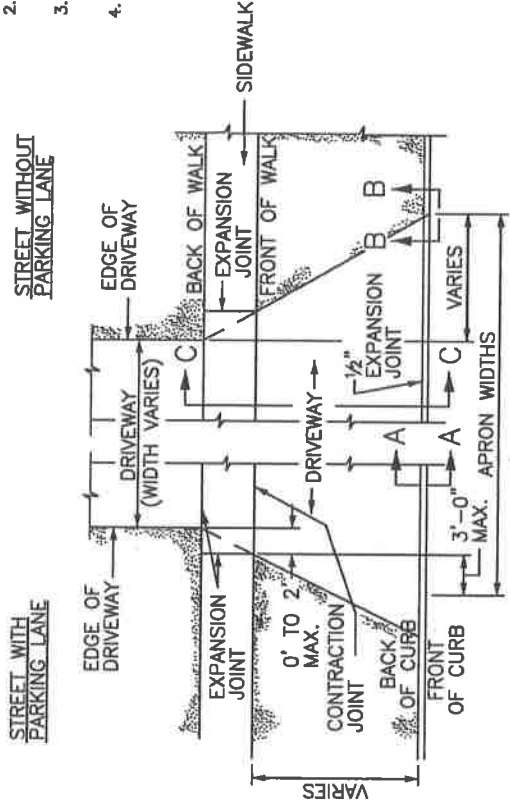
1. PROVIDE A SAWED JOINT ALONG CENTER LINE OF APRON.
2. MAXIMUM CROSS SLOPE ON SIDEWALK SHALL NOT EXCEED 1/4 : 1.
3. MAXIMUM SLOPE ON APRON SHALL NOT EXCEED 1 1/2 : 1.
4. NO CATCH BASINS WILL BE PUT IN APRONS.



### SECTION B-B

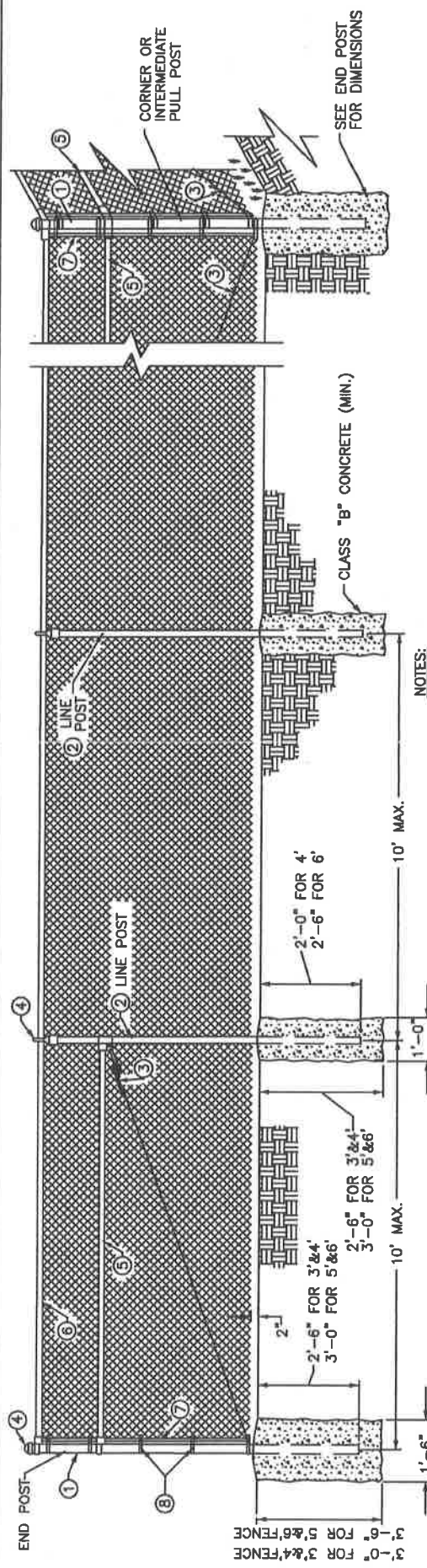


### SECTION D-D



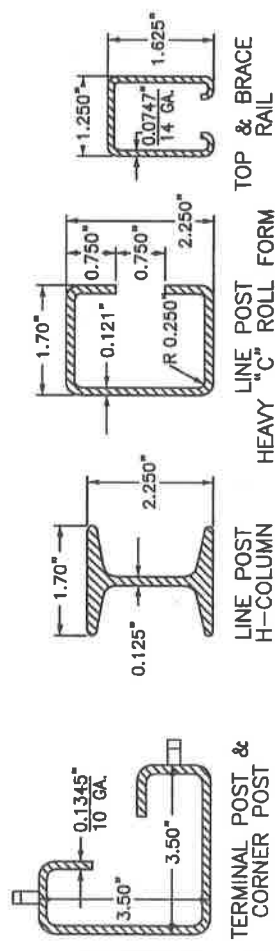
NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
COMMERCIAL ENTRANCE DETAILS			
STANDARD DRAWING NO.	307-1		
APPROVED	5/1/68		
DESIGNED BY			
CHECKED BY			
DATE			





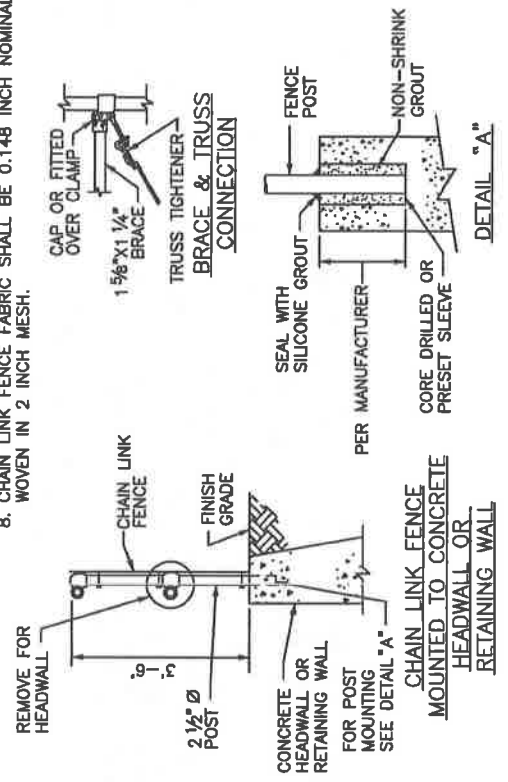
**NOTES:**

1. ALL POSTS SHALL BE SET IN CONCRETE TO THE DIMENSIONS AS INDICATED ON THIS DRAWING.
2. 3' HIGH FENCE SHALL HAVE 3' FABRIC HEIGHT. 4' HIGH FENCE SHALL HAVE 4' FABRIC HEIGHT. 5' HIGH FENCE SHALL HAVE 5' FABRIC HEIGHT. 6' HIGH FENCE SHALL HAVE 6' FABRIC HEIGHT.
3. BRACE BANDS SHALL BE 7/8"x1/8" GALVANIZED STEEL 5/16"x1 1/4" CARRIAGE BOLT.
4. POST CAPS AND SOCKET TYPE BRACE END CONNECTIONS SHALL BE GALVANIZED MALLEABLE IRON OR OTHER TYPE AS APPROVED BY THE ENGINEER. THEY SHALL BE DESIGNED IN A MANNER TO EXCLUDE MOISTURE FROM INSIDE POSTS AND RAILS.
5. O.D. DEPICTED FOR TUBULAR POSTS IS NOMINAL-ASTM A-120 SHALL GOVERN.
6. STRUCTURAL SHAPES SHALL CONFORM TO STD. SPEC. 816.07.01 EXCEPT YIELD SHALL BE A MIN. 45,000 P.S.I.
7. INDISCRIMINATE MIXING OF POSTS WILL NOT BE PERMITTED.
8. CHAIN LINK FENCE FABRIC SHALL BE 0.148 INCH NOMINAL DIAMETER (NO. 9 GAGE) WIRE WOVEN IN 2 INCH MESH.



**LEGEND--(ALTERNATES)**

	TUBULAR	ROLL FORMED
①	2 1/2" O.D. • 3.65#/L.F.	3.5"x3.5" • 5.14#/L.F.
②	2" O.D. • 2.72#/L.F.	2.250" H-COL • 3.26#/L.F. OR 2.250" C-COL • 2.64#/L.F.
③	3/8" Ø TRUSS ROD & TIGHTENER	0.375" Ø TRUSS ROD & TIGHTENER
④	APPROVED CAPS	NOT REQUIRED
⑤	1 5/8" BRACE • 2.27#/L.F.	1.250"x1.625" • 1.35#/L.F.
⑥	1 5/8" O.D. • 2.27#/L.F.	1.250"x1.625" • 1.35#/L.F.
⑦	3/16"x3/4" FLAT STRETCHER BAR	NOT REQUIRED
⑧	BRACE BAND & TENSION BAND	NOT REQUIRED

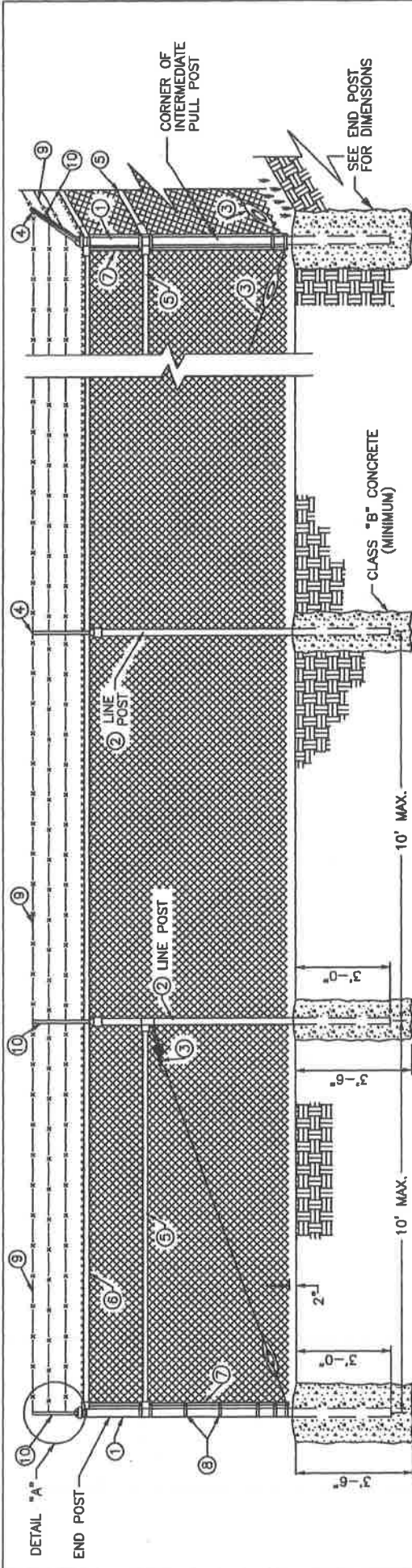


NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

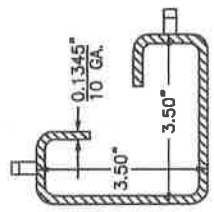
CHAIN LINK FENCE  
3'-6"

STANDARD DRAWING NO. 308  
APPROVED BY: [Signature]  
DATE: 5/1/08  
DRAWN BY: [Signature]  
DATE: 5/1/08  
CHECKED BY: [Signature]  
DATE: 5/1/08

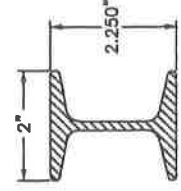


**NOTES:**

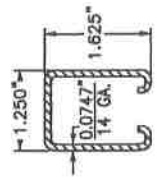
1. ALL POSTS SHALL BE SET IN CONCRETE TO THE DIMENSIONS AS INDICATED ON THIS DRAWING.
2. A 1 1/2" O.D. AT 2.27 LB. PER L.F. OR 1 1/4" X 1 5/8" ROLL FORMED SECTION AT 1.35 LB. PER L.F. BOTTOM RAIL SHALL BE REQUIRED AROUND ALL UTILITY INSTALLATIONS AND AT OTHER LOCATIONS DESIGNATED BY THE ENGINEER.
3. 8' HIGH FENCE SHALL HAVE 7" FABRIC HEIGHT. 9' HIGH FENCE SHALL HAVE 8" FABRIC HEIGHT. 10' HIGH FENCE SHALL HAVE 9" FABRIC HEIGHT. 11' HIGH FENCE SHALL HAVE 10" FABRIC HEIGHT. 12' HIGH FENCE SHALL HAVE 11" FABRIC HEIGHT.
4. BRACE BAND SHALL BE 7/8" X 1/8" GALVANIZED STEEL WITH 5/16" X 1 1/4" CARRIAGE BOLTS. POST CAPS AND SOCKET TYPE BRACE END CONNECTION SHALL BE GALVANIZED MALLEABLE IRON OR OTHER TYPE AS APPROVED BY THE ENGINEER. THEY SHALL BE DESIGNED IN A MANNER TO EXCLUDE MOISTURE FROM INSIDE POSTS AND RAILS.
5. O.D. DEPICTED FOR TUBULAR POSTS IS NOMINAL - ASTM A-120 SHALL GOVERN.
6. CHAIN LINK FENCE FABRIC SHALL BE 0.148 INCH NOMINAL DIAMETER (NO.9 GAGE) WIRE WOVEN IN 2 INCH MESH.



TERMINAL POST & CORNER POST



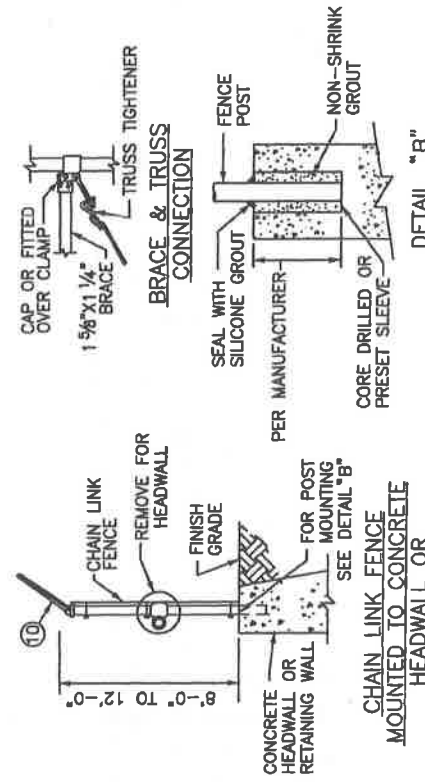
LINE POST



TOP & BRACE RAIL

**LEGEND - (ALTERNATES)**

	TUBULAR	ROLL FORMED
①	2 1/2" O.D. • 3.65#/L.F.	3.5" X 3.5" • 5.14#/L.F.
②	2" O.D. • 2.72#/L.F.	2.250" H-COL • 3.26#/L.F. OR 2.250" C-COL • 2.64#/L.F.
③	3/8" Ø TRUSS ROD & TIGHTENER	0.375" Ø TRUSS ROD & TIGHTENER
④	APPROVED CAPS	NOT REQUIRED
⑤	1 5/8" BRACE • 2.27#/L.F.	1.250" X 1.625" • 1.35#/L.F.
⑥	1 5/8" O.D. • 2.27#/L.F.	1.250" X 1.625" • 1.35#/L.F.
⑦	3/16" X 3/4" FLAT STRETCHER BAR	NOT REQUIRED
⑧	BRACE BAND & TENSION BAND	NOT REQUIRED
⑨	BARBED WIRE	BARBED WIRE
⑩	BARBED WIRE ARMS	BARBED WIRE ARMS



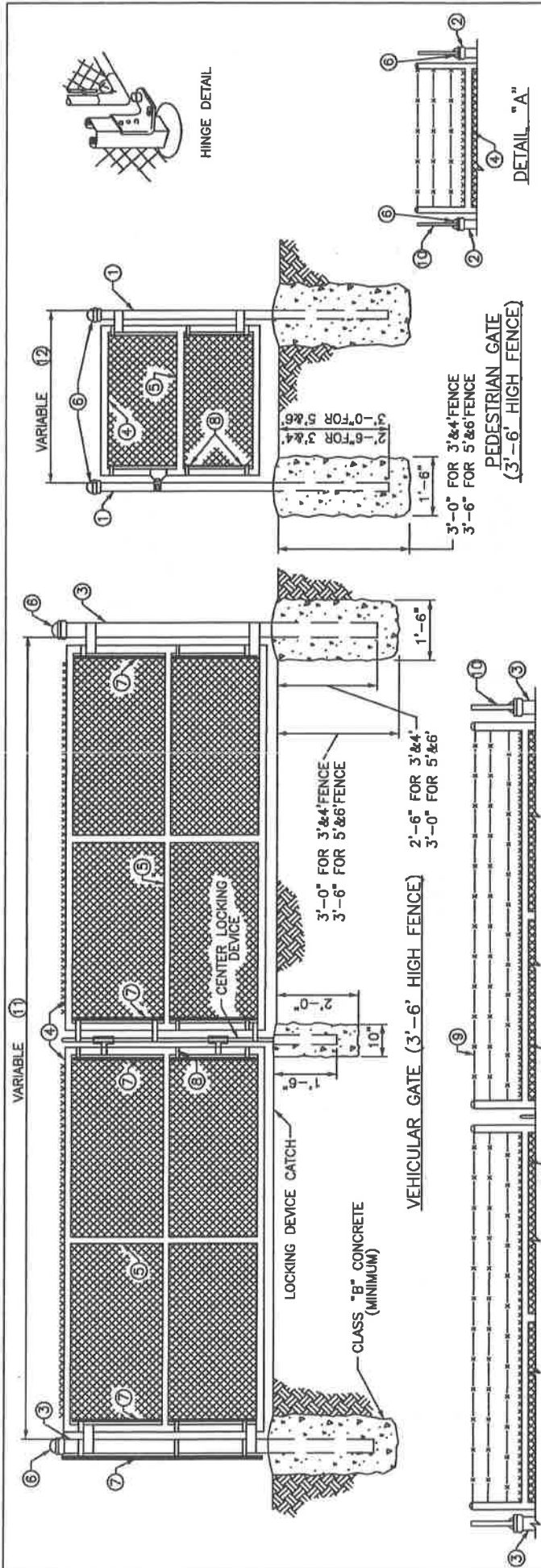
DETAIL "A"  
ROLL FORMED

NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

CHAIN LINK FENCE  
8'-12"

STANDARD DRAWING NO. 309  
APPROVED BY: [Signature]  
DATE: 5/1/08  
DESIGNED BY: [Signature]  
DATE: 5/1/08



**NOTES:**

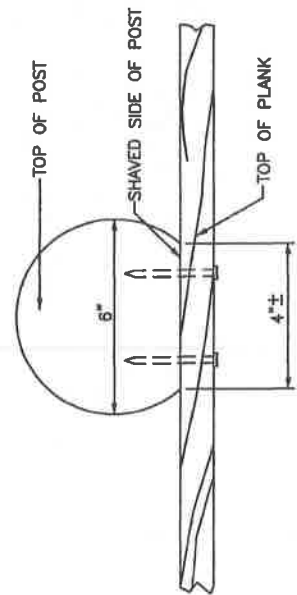
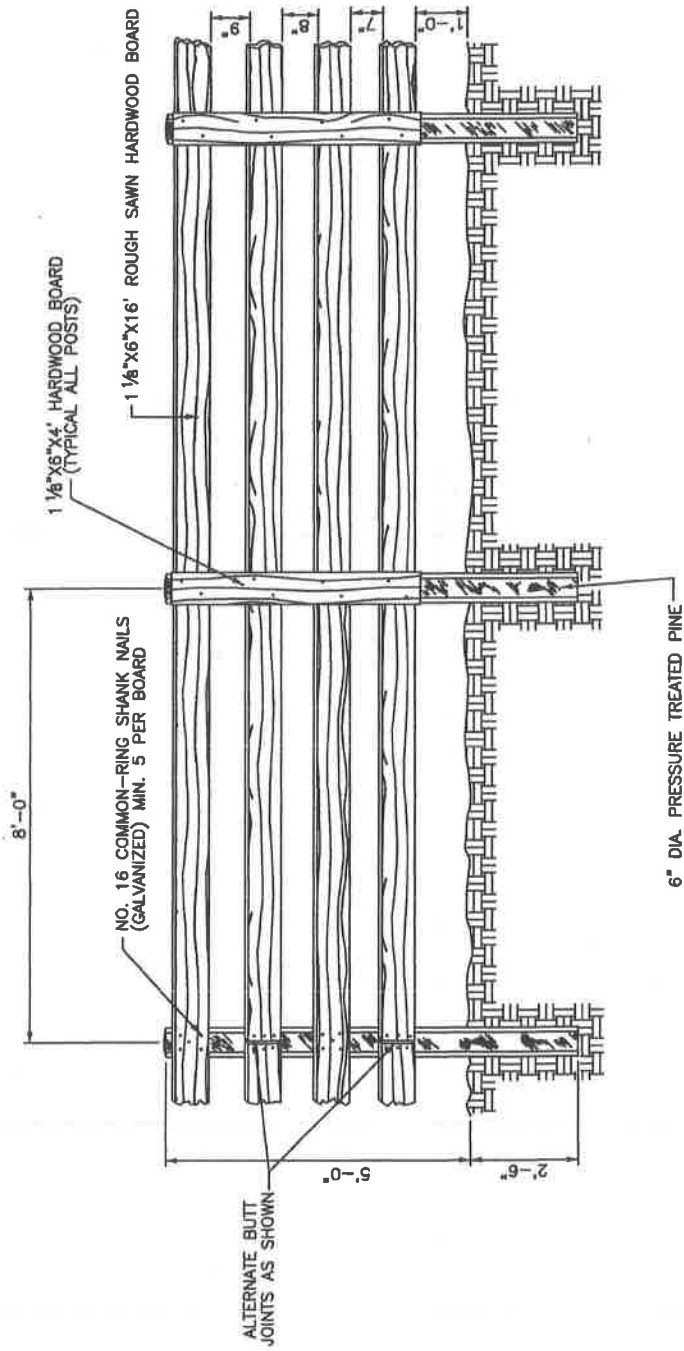
1. ALL POSTS SHALL BE SET IN CONCRETE TO THE DIMENSIONS AS INDICATED ON THIS DRAWING.
2. VEHICULAR AND PEDESTRIAN GATES SHALL HAVE HEAVY PRESSED STEEL CORNERS SECURELY RIVETED OR SHALL BE MACHINE NOTCHED, AND ELECTRICALLY WELDED SO AS TO BE RIGID AND WATER TIGHT; AND EQUIPPED WITH PADLOCKING DEVICE AND GROUND STOP.
3. ALL WELDED JOINTS SHALL BE CLEANED AND PAINTED WITH TWO (2) COATS OF ALUMINUM PAINT.
4. 3' HIGH GATES SHALL HAVE 3' FABRIC HEIGHT. 4' HIGH GATES SHALL HAVE 4' FABRIC HEIGHT. 5' HIGH GATES SHALL HAVE 5' FABRIC HEIGHT. 6' HIGH GATES SHALL HAVE 6' FABRIC HEIGHT. 8' HIGH GATES SHALL HAVE 8' FABRIC HEIGHT. 9' HIGH GATES SHALL HAVE 9' FABRIC HEIGHT. 10' HIGH GATES SHALL HAVE 10' FABRIC HEIGHT. 11' HIGH GATES SHALL HAVE 11' FABRIC HEIGHT. 12' HIGH GATES SHALL HAVE 12' FABRIC HEIGHT.
5. SEE DETAIL "A" FOR BARBED WIRE INSTALLATION ON 8' TO 12' HIGH PEDESTRIAN GATES.
6. SEE DETAIL "B" FOR BARBED WIRE INSTALLATION ON 8' TO 12' HIGH VEHICULAR GATES.
7. THE CONTRACTOR IS NOT TO ORDER GATES UNTIL THEIR NECESSITY AND LOCATION HAVE BEEN CERTIFIED BY THE ENGINEER.
8. O.D. DEPICTED FOR TUBULAR POSTS IS NOMINAL - ASTM A-120 SHALL GOVERN.
9. CHAIN LINK FENCE FABRIC SHALL BE 0.148 INCH NOMINAL DIAMETER (NO.9 GAGE) WIRE WOVEN 2 INCH MESH.

- ① 6' TO 13' WIDTH FOR SINGLE GATE OR 12' TO 26' WIDTH FOR DOUBLE GATE.
- ② 4' TO 6' WIDTH

**LEGEND - (ALTERNATES)**

	TUBULAR	ROLL FORMED
①	END POST 2½" O.D. • 3.65#/L.F.	3½"X3½" • 5.14#/L.F.
②	END POST 3" O.D. • 3.65#/L.F.	3½"X3½" • 5.14#/L.F.
③	4" O.D. • 9.1#/L.F. GATE POST	NO ALTERNATE
④	2" O.D. • 2.72#/L.F. GATE FRAME	NO ALTERNATE
⑤	1½" O.D. • 2.27#/L.F.	NO ALTERNATE
⑥	APPROVED CAPS	NOT REQUIRED
⑦	¾"X5/8" FLAT STRETCHER BAR	NOT REQUIRED
⑧	BRACE BAND & TENSION BAND	NOT REQUIRED
⑨	BARBED WIRE	BARBED WIRE
⑩	BARBED WIRE ARMS	BARBED WIRE ARMS

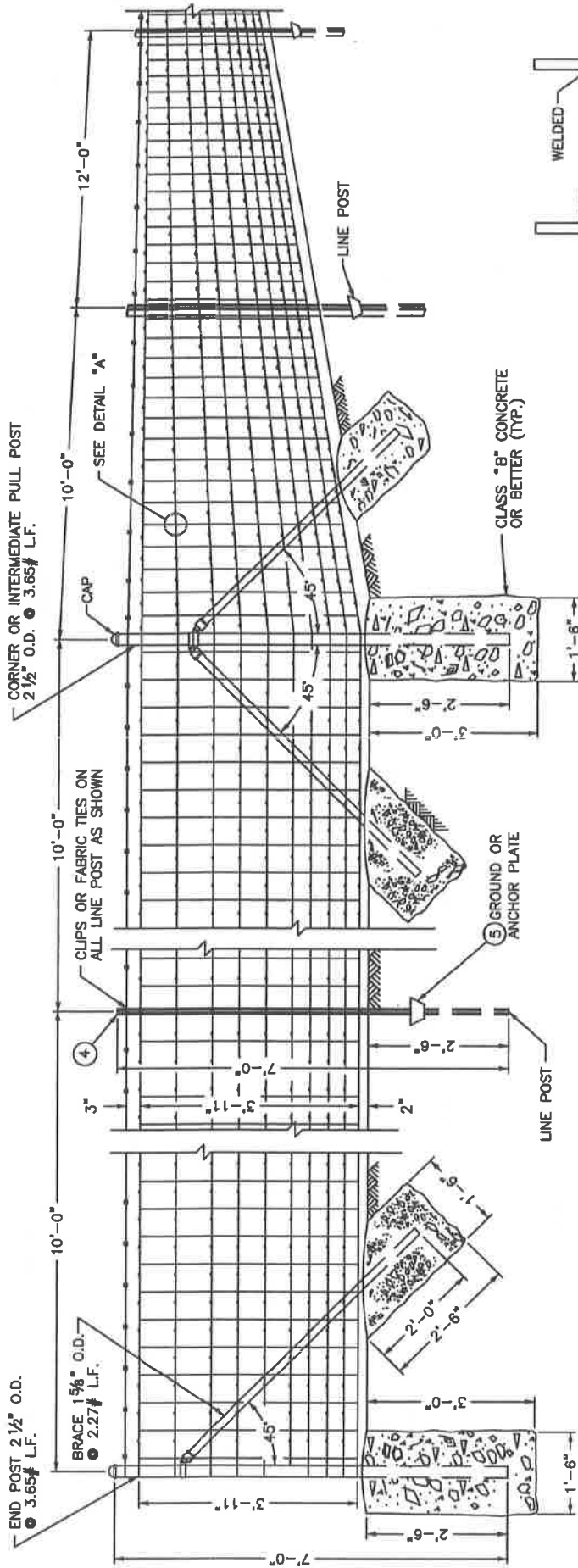
NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
CHAIN LINK GATE			
STANDARD DRAWING NO. 310			DATE
APPROVED BY <i>[Signature]</i>			DATE
DESIGNED BY <i>[Signature]</i>			DATE
CHECKED BY <i>[Signature]</i>			DATE
COMMISSIONER			DATE



**NOTES:**

1. POSTS ARE TO BE DRIVEN 2'-6" INTO GROUND AND TOPS CUT AT AN ANGLE TO DRAIN WATER.
2. FENCE SHALL BE PAINTED BLACK OR WHITE WITH PAINT AND APPLICATION RATE AS APPROVED BY THE ENGINEER.
3. HARDWOODS APPROVED ARE RED OAK, WHITE OAK, AND POPLAR.

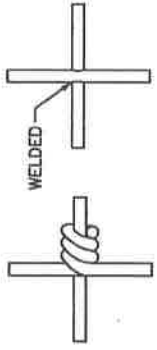
NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
PLANK FENCE			
STANDARD DRAWING NO.	311		
APPROVED BY	<i>[Signature]</i>	DATE	5/1/08
DRAWN BY	<i>[Signature]</i>	DATE	5/1/08
COMMISSIONER	<i>[Signature]</i>	DATE	5/1/08



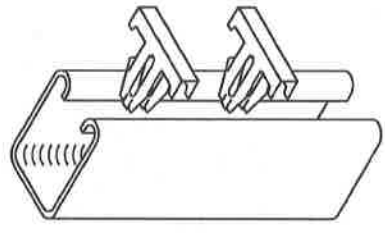
RIGHT-OF-WAY FENCE

NOTES:

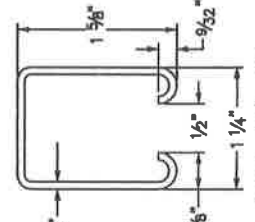
1. WOVEN-WIRE USED FABRIC IN RIGHT-OF-WAY FENCE SHALL BE EITHER ALUMINUM-COATED STEEL NO. 1047-6-9 OR ZINC-COATED STEEL NO. 1047-6-9.
2. ALL FENCE FITTINGS SHALL COMPLY WITH ASTM F 626.
3. O.D. DEPICTED FOR TUBULAR POSTS IS NOMINAL - ASTM F 1083 SHALL GOVERN.
- ④ STUDDED "T" POST AT 1.33 LBS. PER FOOT. — OR —
- ⑤ ROLL FORM POST AT 1.35 LBS. PER FOOT. (SEE DETAIL)
- ⑥ NOT REQUIRED FOR ROLL FORM POST.



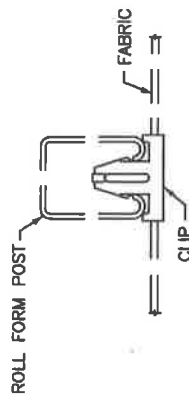
ALTERNATE METHODS OF SECURING VERTICAL STAY WIRE TO THE HORIZONTAL WIRE OF THE FABRIC.  
DETAIL "A"



ISOMETRIC EXPLODED VIEW OF ROLL FORM POST AND CLIPS  
CLIPS SHALL BE SPRING STEEL ALUMINUM - FINISHED

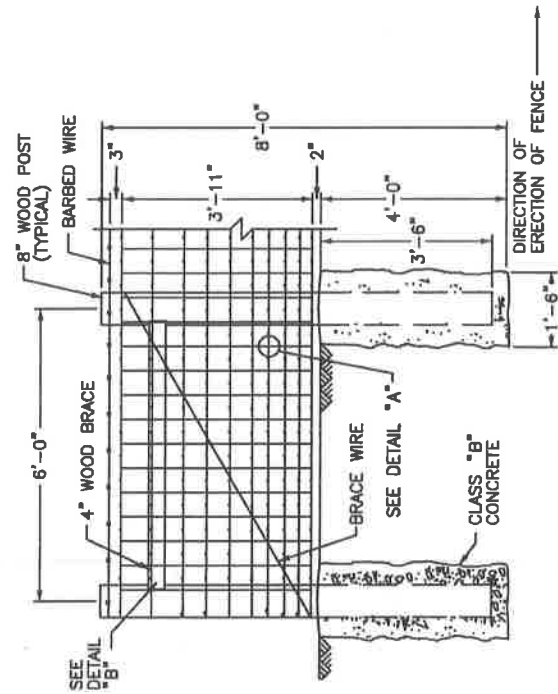


PLAN VIEW OF ROLL FORM POST

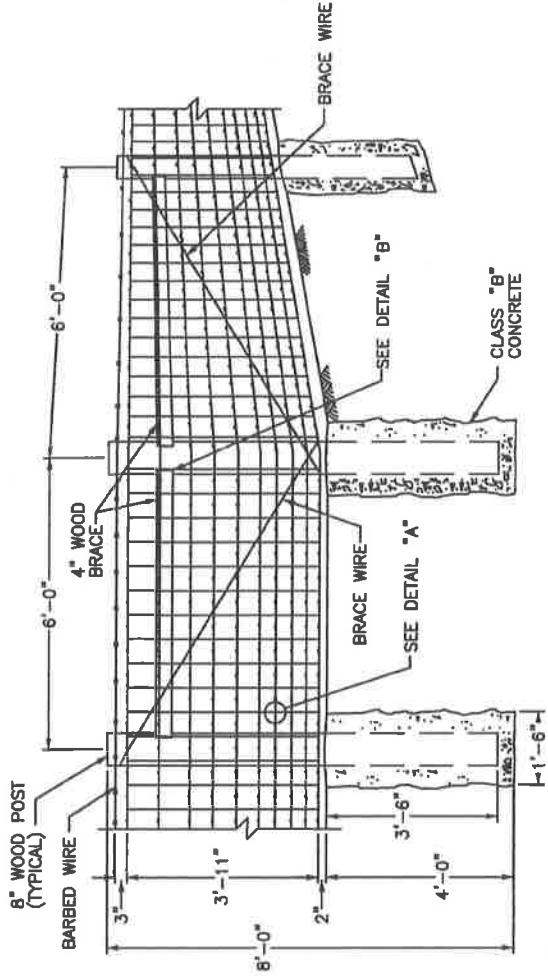


PLAN VIEW OF CLIP INSTALLED IN ROLL FORM POST

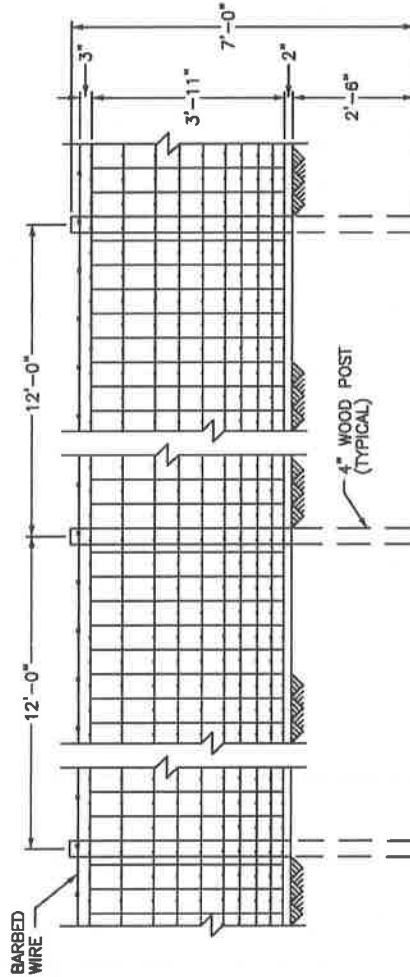
NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
WOVEN WIRE RIGHT-OF-WAY FENCE TYPE 1			
STANDARD DRAWING NO.	312		
APPROVED BY	<i>[Signature]</i>	DATE	5/1/08
DESIGNED BY	<i>[Signature]</i>	DATE	5/1/08
COMMISSIONER	<i>[Signature]</i>	DATE	5/1/08



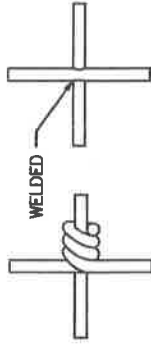
**PULL OR END  
POST ASSEMBLY**



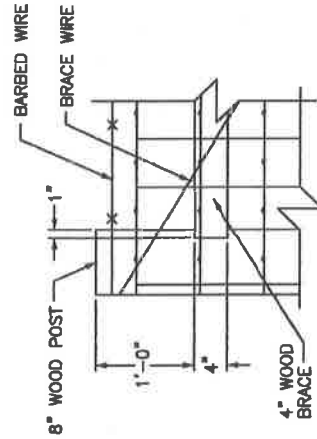
**CORNER POST  
ASSEMBLY**



**LINE POST**



ALTERNATE METHODS OF SECURING  
VERTICAL STAY WIRE TO THE  
HORIZONTAL WIRE OF THE FABRIC.  
**DETAIL "A"**



**DETAIL "B"**

**NOTES:**

- ON INTERMEDIATE PULL POST ASSEMBLIES, BRACE WIRES SHALL BE REQUIRED FOR BOTH DIRECTIONS.
- WOVEN-WIRE FABRIC USED IN RIGHT-OF-WAY FENCE SHALL BE EITHER ALUMINUM-COATED STEEL NO. 1047-6-9 OR ZINC-COATED STEEL NO. 1047-6-9.

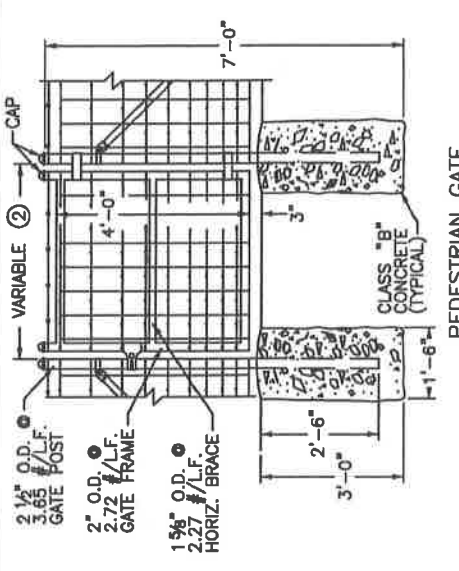
NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

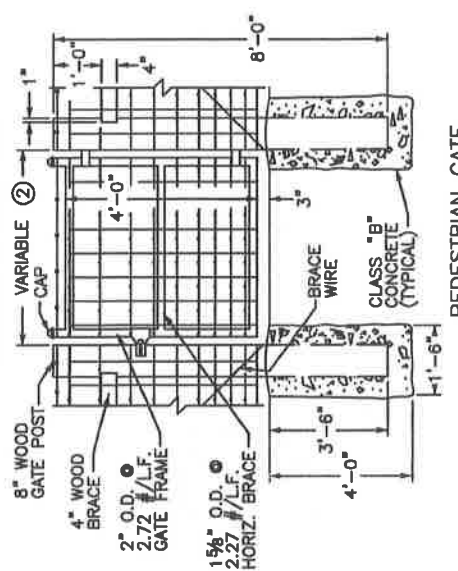
WOVEN WIRE  
RIGHT-OF-WAY FENCE  
TYPE 2

STANDARD DRAWING NO. 313  
 APPROVED: *[Signature]* DATE 5/1/08  
 DRAWN BY: *[Signature]* DATE 5/1/08  
 CHECKED BY: *[Signature]* DATE 5/1/08  
 COMMISSIONER

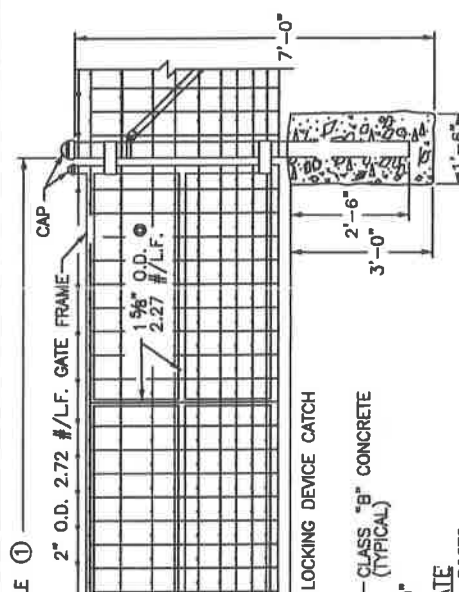




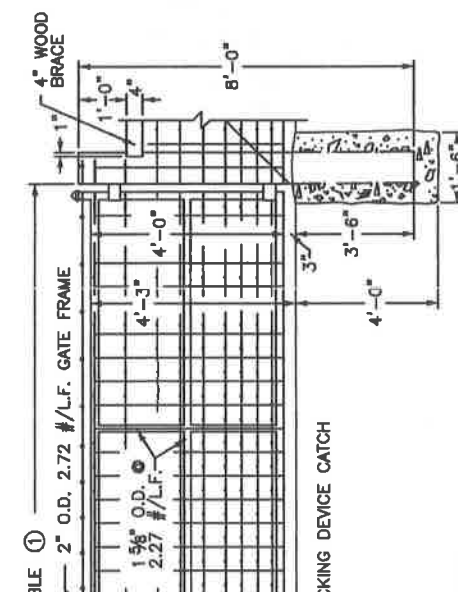
PEDESTRIAN GATE  
CONNECTED TO METAL POSTS



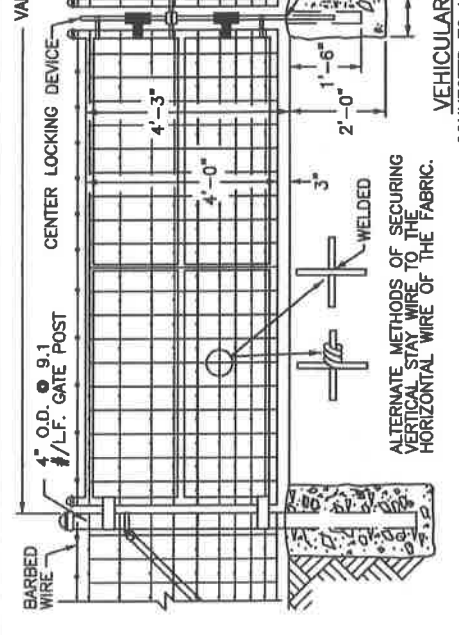
PEDESTRIAN GATE  
CONNECTED TO WOOD POSTS



VEHICULAR GATE  
CONNECTED TO METAL POSTS



VEHICULAR GATE  
CONNECTED TO WOOD POSTS



ALTERNATE METHODS OF SECURING  
VERTICAL STAY WIRE TO THE  
HORIZONTAL WIRE OF THE FABRIC.

**NOTES:**

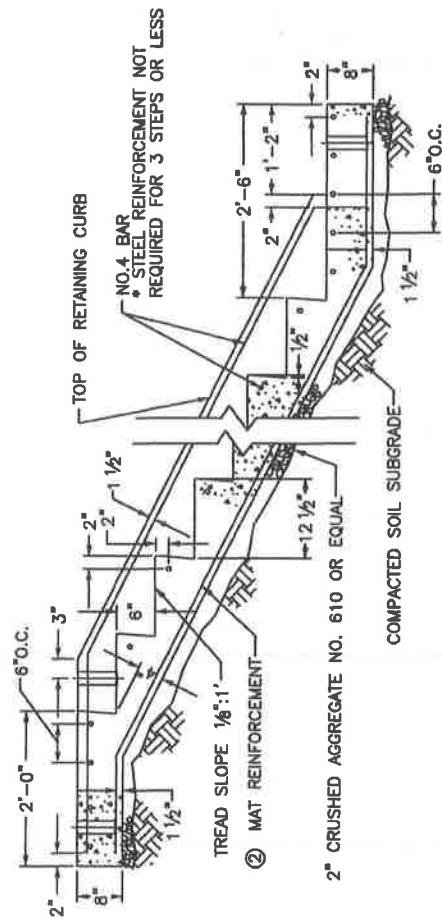
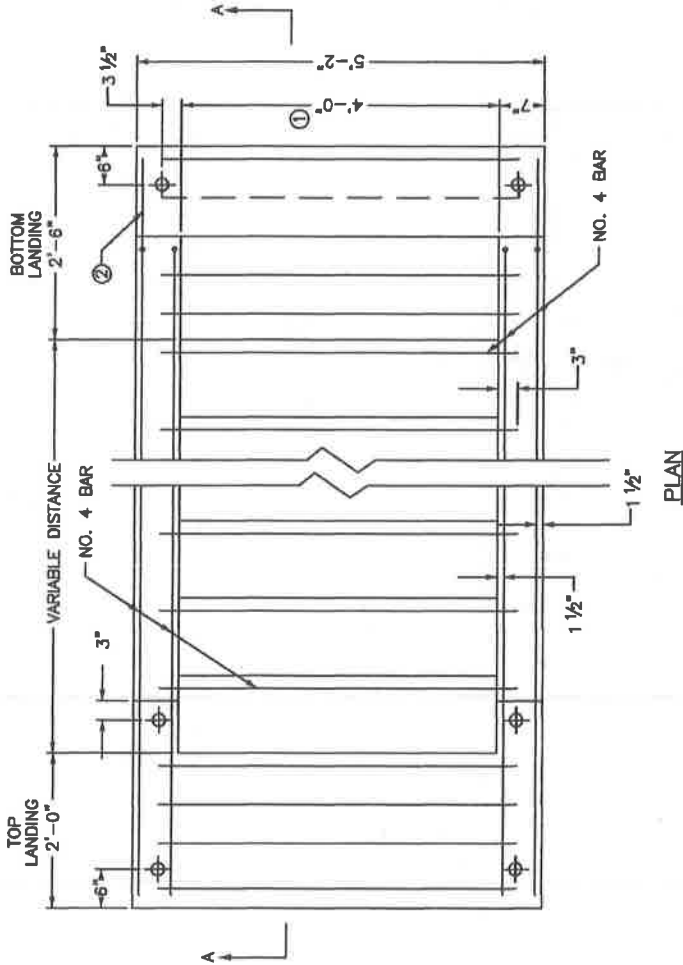
- BASIS OF PAYMENT:**  
 THE CONTRACT UNIT PRICE FOR WOVEN WIRE GATES SHALL BE:  
 ① FEET WIDE SINGLE VEHICULAR WOVEN WIRE GATE  
 ① FEET WIDE DOUBLE VEHICULAR WOVEN WIRE GATE  
 ② FEET WIDE PEDESTRIAN WOVEN WIRE GATE  
 ① - ② AS SHOWN ON PLANS
- CONSTRUCTION REQUIREMENTS:**  
 FABRIC TIE WIRES SHALL BE SPACED 12 INCHES ON CENTERS.  
 THE CONTRACTOR IS NOT TO ORDER GATES UNTIL THEIR NECESSITY  
 AND LOCATION HAVE BEEN CERTIFIED BY THE ENGINEER.

**MATERIALS:**

- WOVEN-WIRE FABRIC USED IN THE GATES SHALL EITHER BE  
 ALUMINUM-COATED STEEL NO. 1047-6-9 OR ZINC-COATED STEEL  
 NO. 1047-6-9.  
 O.D. DEPICTED FOR TUBULAR POSTS IS NOMINAL - ASTM  
 F 1083 SHALL GOVERN.

GATES SHALL HAVE HEAVY PRESSED STEEL CORNERS SECURELY  
 RIVETED OR SHALL BE MACHINE NOTCHED AND ELECTRICALLY WELDED  
 SO AS TO BE RIGID AND WATER TIGHT. ALL WELDED JOINTS SHALL  
 BE CLEANED AND PAINTED WITH TWO (2) COATS OF ALUMINUM PAINT.  
**GENERAL:**  
 ① 6' TO 13' WIDTH FOR SINGLE GATE AND 12' TO 26' WIDTH FOR DOUBLE GATE.  
 ② 4' TO 6' WIDTH

NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
WOVEN WIRE GATES			
STANDARD DRAWING NO. 314 APPROVED: <i>[Signature]</i> DATE: 5/1/68 DESIGNED: <i>[Signature]</i> DATE: 5/1/68 CHECKED: <i>[Signature]</i> DATE: 5/1/68 COMMISSIONER			



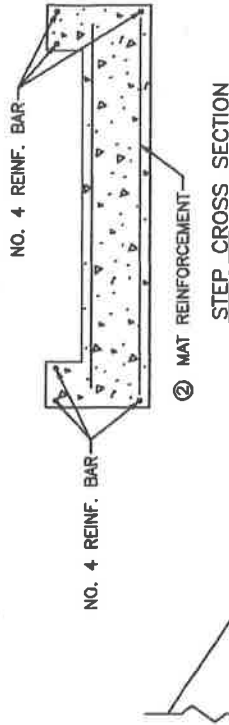
**NOTES:**

1. MAT REINFORCEMENT ② BARS, LONG BARS 6" O.C. AND TRANSV. BARS 12" O.C., MIN. NO. 4 REINFORCEMENT GRADE 40, OR WELDED WIRE FABRIC-6X6-W4XW4, 58 LBS./100 SQ. FT.
2. NO. 4 REINFORCEMENT BARS ADDITIONALLY AS SHOWN.
3. ROUND ALL EXPOSED EDGES AND CORNERS 1/4" R.
4. MAT REINFORCEMENT IN BOTTOM OF THE STEPS SHALL BE WIRE FABRIC OR BAR MAT ②.
5. HANDRAIL SHALL BE REQUIRED WITH THREE OR MORE STEPS.

TABLE OF QUANTITIES

SLOPE	LOCATION	ADDITIONAL NO. 4 BAR REINF. (LBS)		MAT REINFORCEMENT WIRE FABRIC(SQ.FT.)		BAR MAT (LBS)		CU. YDS. CLASS "A" CONCRETE	
		4' WIDTH ①	4' WIDTH ②	4' WIDTH ①	4' WIDTH ②	4' WIDTH ①	4' WIDTH ②	4' WIDTH ①	4' WIDTH ②
2:1	BOTTOM LANDING	23.547	3.340	11.776	2.375	27.388	5.177	0.337	0.059
	INTERMEDIATE STEP	8.015	1.336	5.991	1.208	12.191	2.283	0.16	0.025
	TOP LANDING	22.483	3.340	9.504	1.917	20.708	3.897	0.265	0.051
1 1/2:1	BOTTOM LANDING	23.603	3.340	12.602	2.542	28.613	5.400	0.36	0.062
	INTERMEDIATE STEP	7.431	1.336	5.268	1.063	11.119	2.088	0.17	0.027
	TOP LANDING	22.545	3.340	9.710	1.958	21.014	3.952	0.281	0.054

① APPROXIMATE QUANTITY TO ADD FOR EACH ADDITIONAL FOOT OF WIDTH OVER 4'-0".



NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

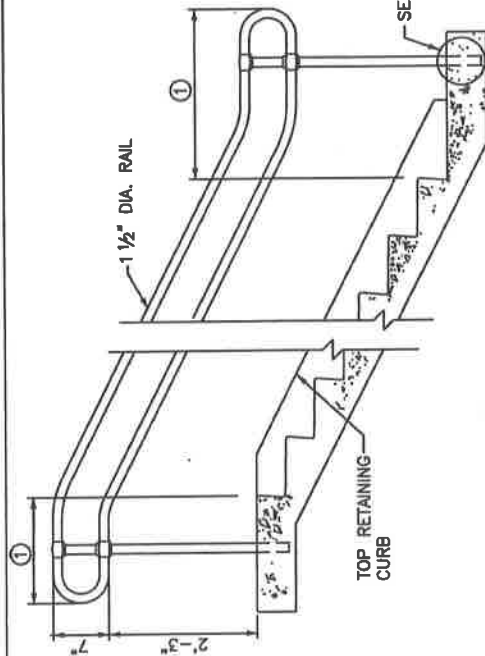
CONCRETE STEPS

STANDARD DRAWING NO. 315  
 APPROVED BY: [Signature] DATE: 5/1/08  
 DRAWN BY: [Signature] DATE: 5/1/08  
 CHECKED BY: [Signature] DATE: 5/1/08  
 COMMISSIONER: [Signature] DATE: 5/1/08

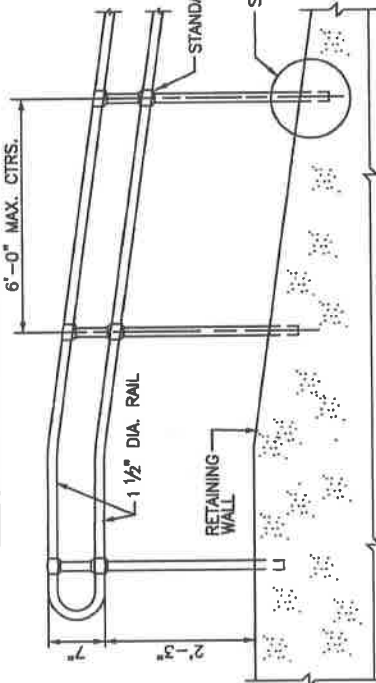
STEP DETAIL FOR 1 1/2:1 SLOPE

SECTION A-A 2:1 SLOPE

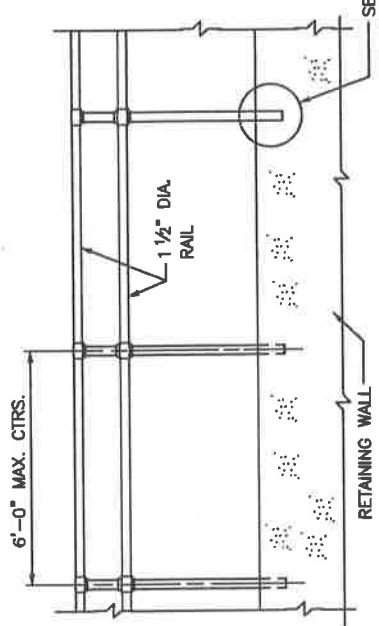




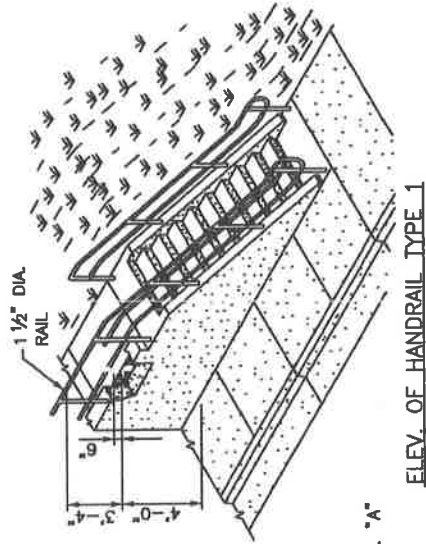
ELEVATION OF HANDRAIL TYPE 2



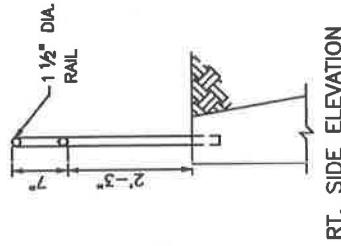
ELEV. HANDRAIL TYPE 1 FOR SLOPES



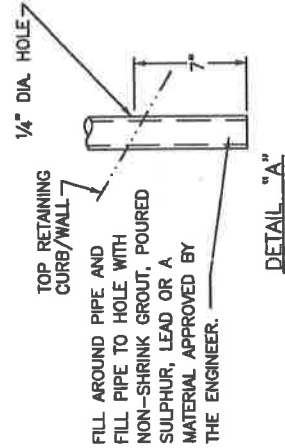
ELEVATION OF HANDRAIL TYPE 2



ELEV. OF HANDRAIL TYPE 1



RT. SIDE ELEVATION



DETAIL "A"

NOTES:

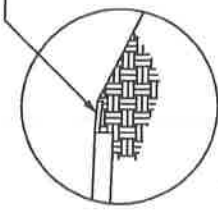
1. ALL HANDRAILS SHALL COMPLY WITH THE LATEST EDITION OF THE AMERICANS WITH DISABILITIES ACT (ADA) GUIDELINES.
2. ANCHOR POST IN CORED OR FORMED HOLES (SEE DETAIL "A").
3. HANDRAIL SHALL BE REQUIRED WITH THREE OR MORE STEPS.
4. HANDRAIL USED AS A TOP HANDRAIL ON STEPS AND HANDRAIL USED ON A RETAINING WALL SHALL BE REQUIRED WHEN THE ADJACENT FLOOR, GROUND LEVEL, ROAD, WALK, ETC. IS 4" OR MORE BELOW THE TOP OF THE RETAINING WALL. HANDRAIL SHALL BE UNIFORMLY CONSTRUCTED.
5. THE TOP OF THE RETAINING WALL OR CURB SHALL BE A MINIMUM OF 6" ABOVE THE ADJOINING SIDEWALK.
6. RAILS SHALL NOT ROTATE IN FITTINGS AND SHALL HAVE WELDED CONNECTIONS.
7. THE CLEAR SPACE BETWEEN HANDRAILS AND WALL SHALL BE 1 1/2".
8. HANDRAILS SHOULD BE CONSTRUCTED OF DN 40 SCHEDULE 40 ALUMINUM PIPE IN ACCORDANCE WITH ASTM-B221 OR B210 ALLOY 6061-T6.

SHEET NOTE: ○

- ① HANDRAILS SHALL EXTEND 12" BEYOND THE TOP RISER AND AT LEAST 12" PLUS THE WIDTH OF ONE TREAD BEYOND THE BOTTOM RISER. AT THE TOP THE EXTENSION SHALL BE PARALLEL WITH THE FLOOR OR GROUND SURFACE. AT THE BOTTOM, THE HANDRAIL SHALL CONTINUE TO SLOPE FOR A DISTANCE OF THE WIDTH OF ONE TREAD FROM THE BOTTOM RISER. THE REMAINDER OF THE EXTENSION SHALL BE HORIZONTAL.

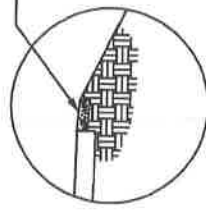
NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
HANDRAIL			
STANDARD DRAWING NO. 316		DATE 5/1/87	DATE 5/1/87
APPROVED BY [Signature]		DATE 5/1/87	DATE 5/1/87
URBAN COUNTY ENGINEER		DATE 5/1/87	DATE 5/1/87
COMMISSIONER		DATE 5/1/87	DATE 5/1/87

4" PAVED SHOULDER  
1 1/4 : 1 - 0" SLOPE



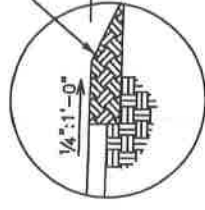
DETAIL "A"

6" DENSE GRADED AGGREGATE  
1 1/4 : 1 - 0" SLOPE

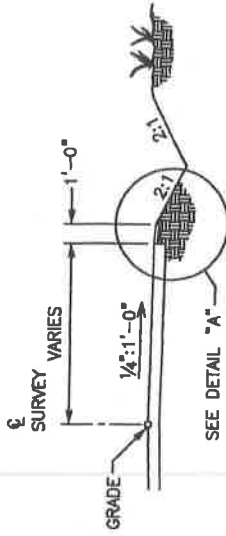


DETAIL "B"

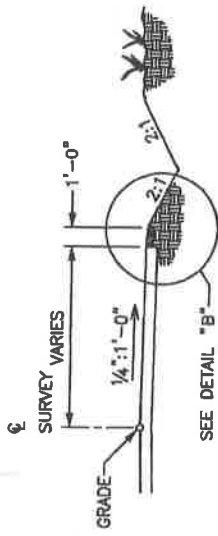
COMPACTED SOIL  
18" MINIMUM EXCAVATED  
AND COMPACTED SOIL



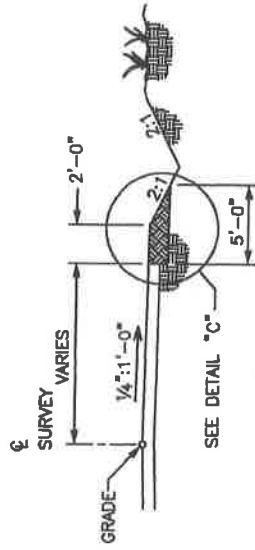
DETAIL "C"



PAVED SHOULDER



ROCK SHOULDER



SOIL SHOULDER

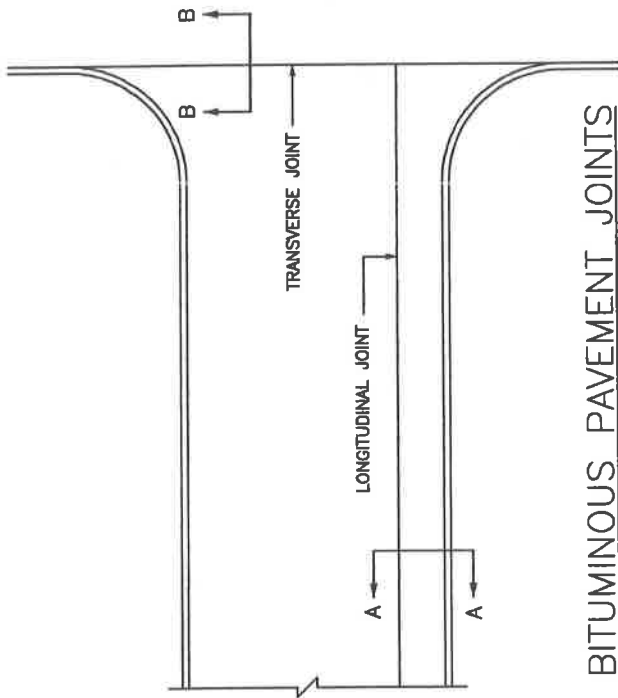
NOTES:

1. SLOPES AND DRAINAGE DITCHES OUTSIDE THE R/W SHALL BE APPROVED BY THE ENGINEER.
2. DRAINAGE DITCH SIDE SLOPES SHALL BE 2:1 MAXIMUM.

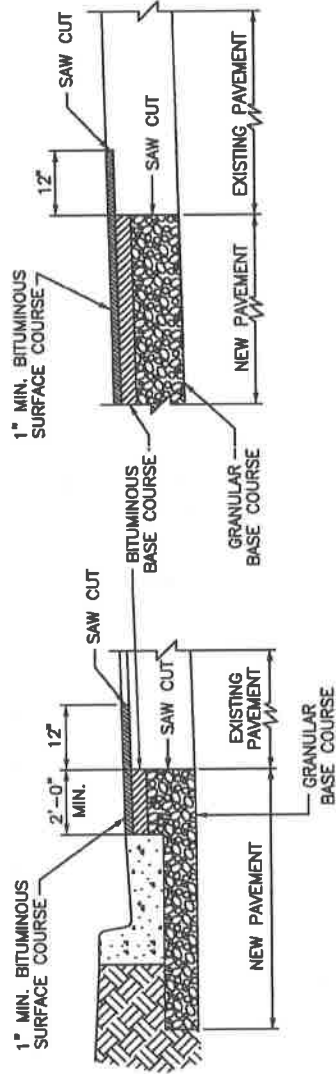
NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
COUNTY ROAD			
TYPICAL SHOULDER SECTIONS (MINIMUM REQUIREMENTS)			
STANDARD DRAWING NO.	317		
APPROVED	<i>[Signature]</i>	DATE	5/1/08
DESIGNED	<i>[Signature]</i>	DATE	5/1/08
CHECKED	<i>[Signature]</i>	DATE	5/1/08
COMMISSIONER	<i>[Signature]</i>	DATE	5/1/08

NOTES:

1. ALL SAW-CUTS SHALL BE NEAT AND STRAIGHT.
2. IMMEDIATELY BEFORE LAYING NEW BITUMINOUS COURSES, ALL SAW CUT EDGES SHALL BE CLEANED OF DUST AND DEBRIS AND SPRAYED WITH A BITUMINOUS TACK COAT.
3. EDGE KEY SHALL NOT BE REQUIRED IF BOTH EXISTING AND NEW PAVEMENT ARE TO RECEIVE AN OVERLAY AS PART OF THIS CONTRACT.



BITUMINOUS PAVEMENT JOINTS



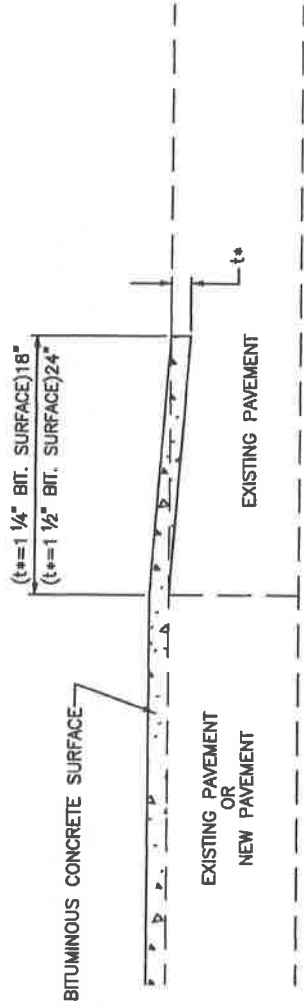
SECTION A-A

LONGITUDINAL EDGE KEY

SECTION B-B

TRANSVERSE EDGE KEY

NO.	DATE	REVISION DESCRIPTION	BY
DIVISION OF ENGINEERING			
EDGE KEY			
STANDARD DRAWING NO.	318	DATE	5/1/08
DESIGNED BY	<i>[Signature]</i>	DATE	5/1/08
CHECKED BY	<i>[Signature]</i>	DATE	5/1/08
APPROVED BY	<i>[Signature]</i>	DATE	5/1/08
COMMISSIONER		DATE	



EDGE KEY

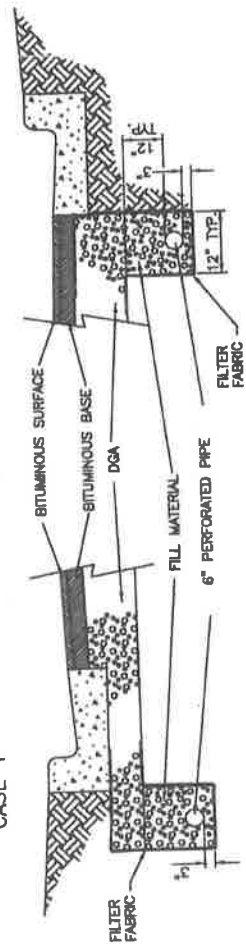
NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING  
 TYPICAL EDGE KEY  
 FOR  
 MINIMUM OVERLAYS,  
 SHORT PROJECTS,  
 LOW SPEED

STANDARD DRAWING NO. 319  
 APPROVED: *[Signature]* 5/1/08  
 DATE  
 COMMISSIONER

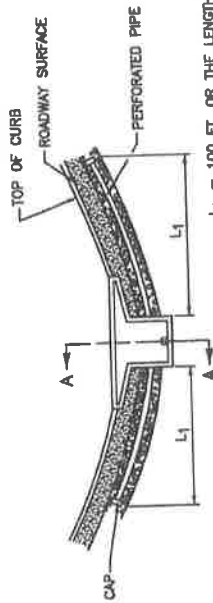
## TYPICAL SECTION

CASE 2



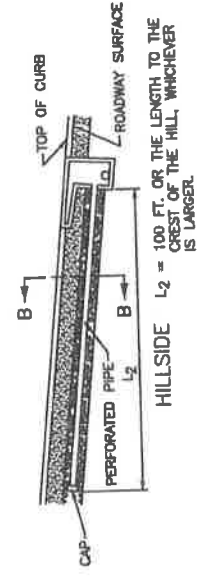
- NOTES:**
- SUBGRADE DRAINAGE, AS DEPICTED, IS INTENDED FOR USE WITH THE SURFACING PHASE OF CONSTRUCTION, AND SHALL BE INSTALLED ONLY AFTER THE SUBGRADE HAS BEEN COMPLETED, AND PRIOR TO CONSTRUCTING PAVING MATERIALS.
  - THE CAP SHALL BE A STANDARD MANUFACTURED ITEM FURNISHED BY THE PIPE SUPPLIER.
  - TERMINATE PERFORATED PIPE IN CATCH BASIN AT AN ELEVATION WHICH PROVIDES POSITIVE DRAINAGE (MAY REQUIRE ADDITIONAL OPENING IN CATCH BASIN WALL).
  - BACKFILL TO CONSIST OF NO. 78, 8, 9M COARSE AGGREGATE OR NATURAL SAND. THE FILL MATERIAL SHALL BE THOROUGHLY COMPACTED IN LAYERS NOT EXCEEDING 6 INCHES LOOSE MEASUREMENT.
  - CONNECTIONS TO DRAINAGE STRUCTURES AND PIPE TERMINI SHALL BE NON-PERFORATED PIPE MEETING THE REQUIREMENTS OF THE PERFORATED PIPE EXCEPT FOR PERFORATIONS.
  - ALL RAISED NON-PAVED MEDIANS SHALL HAVE SUBGRADE DRAINAGE ASSOCIATED WITH CURB AND GUTTER.

## TYPICAL SUBGRADE DRAINAGE LOCATIONS



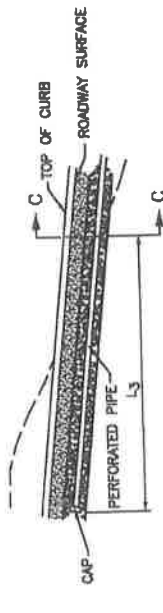
SAG VERTICAL CURVE

$L_1 = 100$  FT. OR THE LENGTH REQUIRED TO REACH THE  $\frac{1}{2}$ % SLOPE POINT, WHICHEVER IS LARGER.



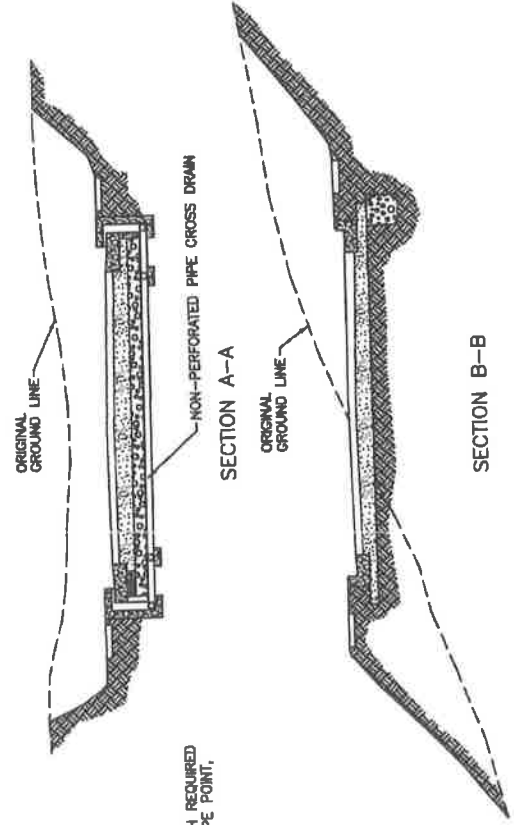
HILLSIDE

$L_2 = 100$  FT. OR THE LENGTH TO THE CREST OF THE HILL, WHICHEVER IS LARGER.



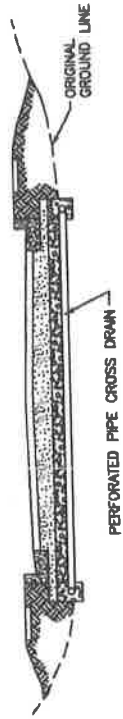
CUT TO FILL

$L_3 = 100$  FT. OR THE LENGTH REQUIRED TO REACH THE CREST OF THE HILL, WHICHEVER IS LARGER.



SECTION A-A

SECTION B-B



SECTION C-C

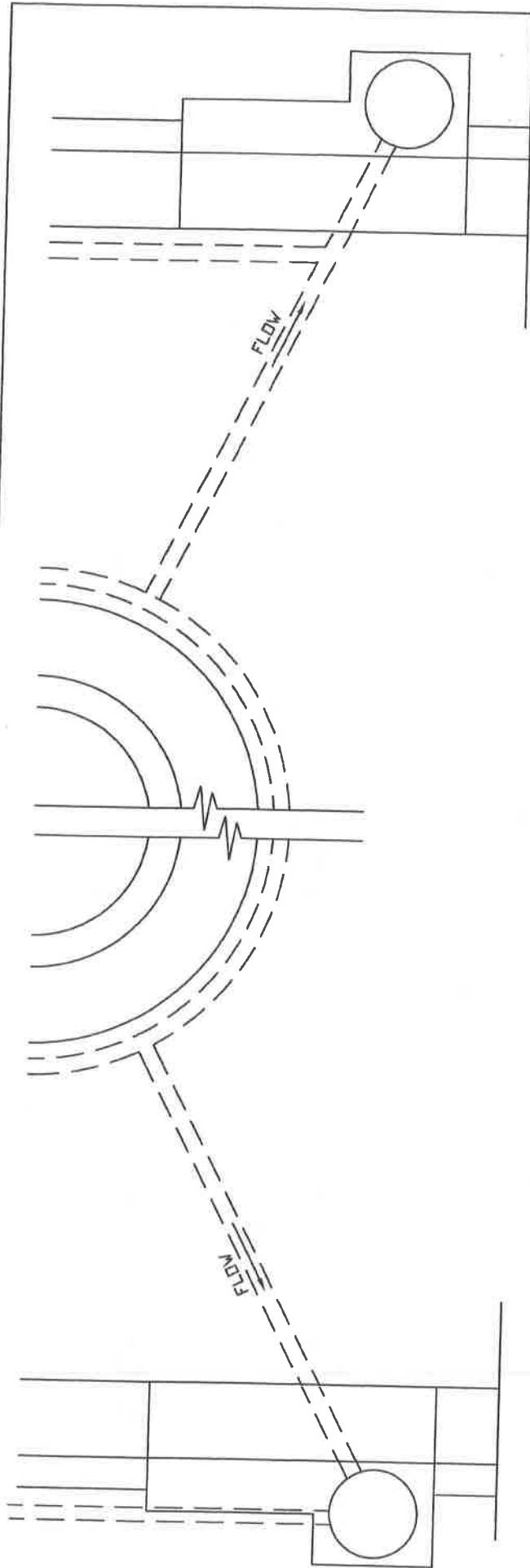
REV.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

PERFORATED PIPE  
SUBGRADE DRAINAGE  
ALONG ROADWAY

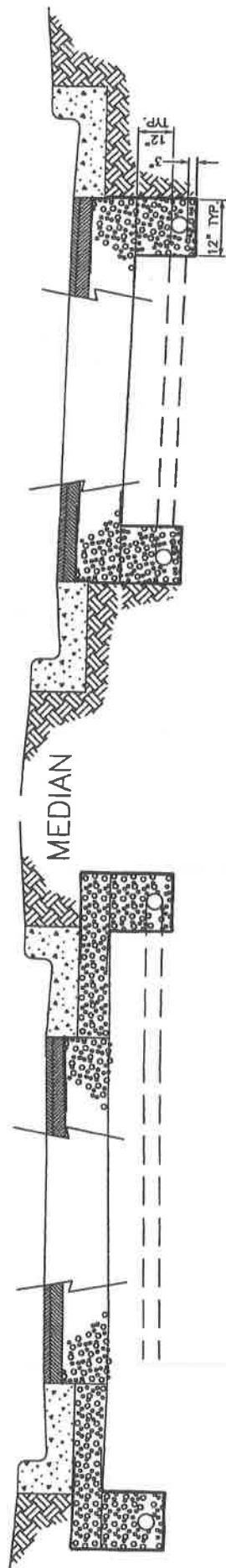
STANDARD DRAWING NO. 320

APPROVAL:  
DRAIN COUNTY ENGINEER \_\_\_\_\_ DATE \_\_\_\_\_  
CONTRACTOR \_\_\_\_\_ DATE \_\_\_\_\_



CURB ON PAVEMENT

CURB ON SOIL



TYPICAL SECTION

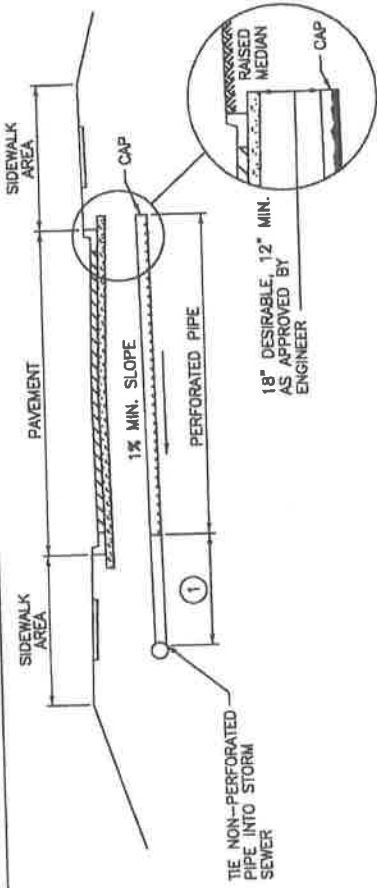
1. For installation of perforated pipe see Detail Sheet #320
2. Perforated pipe shall completely surround all islands
3. For islands greater than 50" long or wide, perforated pipe surrounding island and leading to the curb inlet shall be 6" diameter.

NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

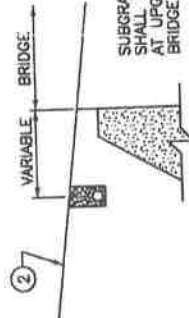
PERFORATED PIPE  
SUBGRADE DRAINAGE  
FOR RAISED  
NON-PAVED MEDIANS

STANDARD DRAWING NO. 320-1  
 APPROVED BY: *[Signature]* DATE: 5/1/68  
 DRAWN BY: *[Signature]* DATE: 5/1/68  
 CHECKED BY: *[Signature]* DATE: 5/1/68  
 COMMISSIONER



**NOTES:**

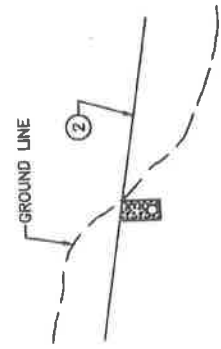
1. SUBGRADE DRAINAGE AS DEPICTED IS INTENDED FOR USE WITH THE ROADWAY CONSTRUCTION PHASE AND SHALL BE INSTALLED ONLY AFTER THE SUBGRADE HAS BEEN COMPLETED, AND PRIOR TO PLACING PAVING MATERIALS.
2. SUBGRADE DRAINAGE WILL NOT BE REQUIRED WHEN:
  - A. AGGREGATE SUBGRADE OR NATURAL BANK GRAVEL IS SPECIFIED.
  - B. POROUS OR FREE DRAINING SUBGRADES ARE EVIDENT.
  - C. DIRECTED BY THE ENGINEER.
3. THE CAP SHALL BE A STANDARD MANUFACTURED ITEM FURNISHED BY THE PIPE SUPPLIER.
4. FLOW SHALL BE DIRECTED TOWARD THE FILL SIDE OF THE ROADWAY WHEN POSSIBLE.
5. IF ROCK IS ENCOUNTERED WITHIN 24" OF SUBGRADE, PERFORATED PIPE IS REQUIRED THE FULL LENGTH OF ROCK. POSITIVE OUTLET IS REQUIRED.
6. A MIN. OF 100' OF PERFORATED PIPE IS REQUIRED UPHILL FROM BASINS ON GRADE AND 100' OF PERFORATED PIPE IS REQUIRED EACH WAY FROM SAG BASINS.



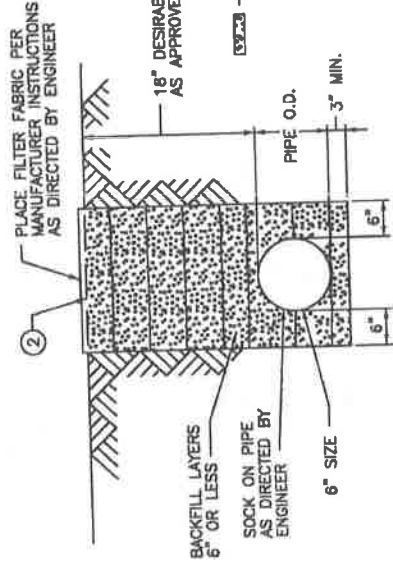
**BRIDGES**



**SAG VERTICAL CURVES**



**CUT TO FILL**



**TRENCH DETAIL**

- ① APPROXIMATELY 8 TO 12 FEET OF PIPE AT THE OUTLET SHALL BE NON-PERFORATED PIPE MEETING THE REQUIREMENTS OF THE PERFORATED PIPE, EXCEPT FOR PERFORATIONS.
- ② SUBGRADE ELEVATION

NO.	DATE	REVISION DESCRIPTION	BY

DIVISION OF ENGINEERING

PERFORATED PIPE FOR SUBGRADE DRAINAGE

STANDARD DRAWING NO. 321

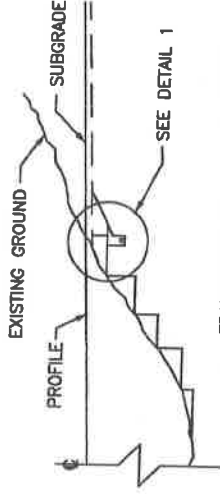
APPROVAL: \_\_\_\_\_ DATE \_\_\_\_\_

DEWY COUNTY ENGINEER \_\_\_\_\_ DATE \_\_\_\_\_

CONTRACTOR \_\_\_\_\_

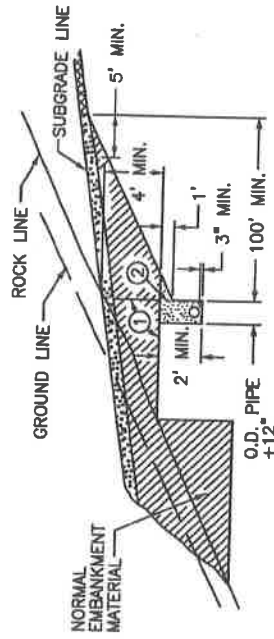
**TYPICAL SUBGRADE DRAINAGE LOCATIONS**

DETAIL FOR TRANSVERSE UNDERDRAIN CUT TO FILL CONDITION



TRANSVERSE BENCHES AS SHOWN WILL BE REQUIRED WHERE PROPOSED GRADE INTERSECTS EXISTING GROUND.

1. UNDERDRAINS WILL BE REQUIRED ON UPGRADE BENCH. THIS PERFORATED PIPE UNDERDRAIN SHOULD BE PLACED IN ROCK OR SHALE FORMATIONS IF POSSIBLE. EXACT LOCATIONS TO BE DETERMINED BY THE ENGINEER ON CONSTRUCTION.
2. BENCHING AND UNDERDRAIN SHALL BE REQUIRED AT ALL TRANSITIONS FROM ROCK CUTS TO FILL WHETHER OR NOT UNDERDRAIN IS REQUIRED.
3. IF ROCK IS ENCOUNTERED WITHIN 24" OF SUBGRADE, PERFORATED PIPE IS REQUIRED THE FULL LENGTH OF ROCK. POSITIVE OUTLET IS REQUIRED.



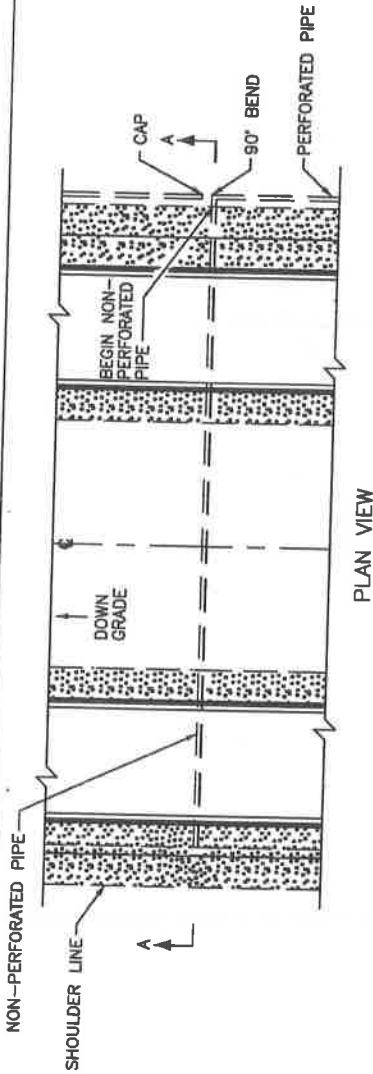
DETAIL 1

NO.	DATE	REVISION DESCRIPTION	BY

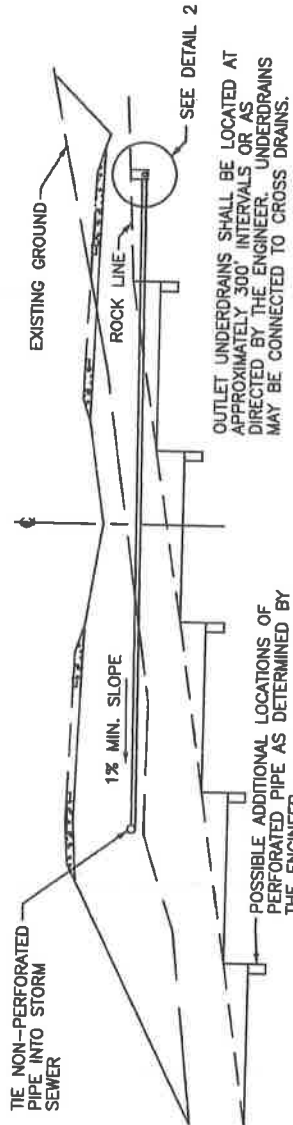
DIVISION OF ENGINEERING

PERFORATED PIPE UNDERDRAINS

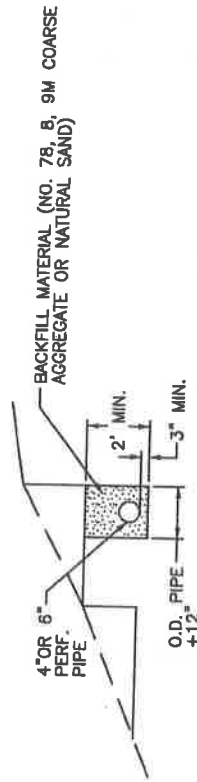
STANDARD DRAWING NO. 322  
 APPROVED: *[Signature]*  
 LEXINGTON COUNTY ENGINEER  
 DATE: 5/1/88  
 DRAWN BY: *[Signature]*  
 DATE: 5/1/88



PLAN VIEW



SECTION A--A



DETAIL 2

DETAIL FOR LONGITUDINAL UNDERDRAINS

SHEET NOTES: ○

- ① LIMITS OF FIRST BENCH.
- ② BACKFILL MATERIAL

NOTE:

1. ALL PERFORATED AND NON-PERFORATED PIPE SHALL COMPLY WITH ASTM & KDOT SPECIFICATIONS.