PART III

Invitation to Bid No. 172-2024

DEWATERING PUMP STATION IMPROVEMENTS

1. FORM OF PROPOSAL

			Place:	·	Lexing	ton, I	Kentuc	ky_		_	
			Date:		Janua	ry 31,	, 2025			_	
The following	Form of Propo	sal shall be foll	owed ex	actly in	submitt	ing a	propos	al fo	or this	s Work	.•
This Proposal	Submitted by	W]	Principle	s, LLC							
		200 Apperson H	leights S	uite 200	Mt. Ste	rling,	KY 40:	353			
		(Name a	nd Addr	ess of B	idding (Contra	actor)				
(Hereinafter Kentucky		iness as	Part	nership						State	of —
	"a co	rporation," "a pa	artnersh	ip", or ar	n "indiv	idual'	' as ap	plica	ble.		
То:	(Hereinafter ca	vette Urban Cou ulled "OWNER Director of Purch Street, 3rd Floor 40507	") hasing	ernmen	ţ						

Gentlemen:

IMPROVEMENTS having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

The Bidder hereby acknowledges rec	eipt of the	following	addenda:
------------------------------------	-------------	-----------	----------

Addendum No1	_ Date _	January 20, 2025
Addendum No. 2	_ Date _	January 24, 2025
Addendum No	_ Date _	
Addendum No	_ Date _	
Addendum No	_ Date _	
Addendum No	_ Date _	
Addendum No	_ Date _	
Addendum No.	Date	

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. LEGAL STATUS OF BIDDER

Bidder	W Principles, LLC
Date	January 31, 2025
* 1.	A corporation duly organized and doing business under the laws of the State of title of, for whom, bearing the official title of, whose signature is affixed to this Bid/Proposal, is duly
	authorized to execute contracts.
* 2.	A Partnership, all of the members of which, with addresses are: (Designate general partners as such)
	Art Walker III - 200 Apperson Heights Suite 200 Mt. Sterling, KY 40353
	Art Walker IV - 200 Apperson Heights Suite 200, Mt. Sterling, KY 40353
3	
3.	An individual, whose signature is affixed to this Bid/Proposal (please print name)
18	
:	*(The Bidder shall fill out the appropriate form and strike out the other two.)

3.

BIDDERS AFFIDAVIT

Comes the Affiant, W Princi	ples, LLC	, and after being first duly sworn,
states under penalty of perjury as follow		
1. His/her name is	Art Walker IV	and he/she is the
	or is the authorized representative of Principles, LLC	, the entity
submitting the bid (hereinafter	referred to as "Bidder")	, the chary
-	fees, which are owed to the Lexington-Fay	ette Urban County Government at the
	or to award of the contract and will maintain	
taxes and fees during the life of		a de dation saids in logard to diose
•	gton-Fayette Urban County Government bu	isiness license if applicable prior to
award of the contract.	grown a system of the system of the system of	someon accuse, it applicable, prior to
	Division of Procurement to verify the ab	ove-mentioned information with the
	sclose to the Urban County Council that tax	
business license has not been o	·	oo mid or root me dominguoni or midi u
	violated any provision of Chapter 25 of t	he Lexington-Favette Urban County
	ces, known as the "Ethics Act."	ao animgina rujono erem ecomiy
	knowingly" for purposes of this Affidavit	means, with respect to conduct or to
_	tatute or ordinance defining an offense, that	
HERSON DIGOS	at nature or that the circumstance exists.	
14 January	Art Walker IV	
po contraction		
Signature President	Printed Name	
Title	January 31, 2025	
Title	Date	
Company Name W Principles,	LLC	
Address 200 Apperson	Heights Suite 200 Mt. Sterling, KY 40	0353
Subscribed and sworn to before me by		
	(Affiant)	
	President	
	(Title)	
of W Principles, LLC	this 31 day of Janu	ary 20125 MAD
(Company Name)		KING ON # KVNO W
Mussa / Marlin		OTARLE
Notary Public [seal of notary] My	commission expires: June 13	DUBLIC S
	P-5	11 10 06/13/D
		Addendum No. 2 (1/24) 2025)

4. <u>BID SCHEDULE - SCHEDULE OF VALUES</u>

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except: If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

Enter pricing in Line Items tab in IonWave.

BASE BID – DEWATERING PUMP STATION IMPROVEMENTS

BIDDING LUMP SUM PRICE TABLE-

An allowance has been provided to cover the cost of hazardous materials removal, coordination, and management, as indicated in Appendix A from the contract documents. Contractor shall fill in base bid lump sum price for total work as indicated in the contract documents, less the cost for work included under allowances. Add hazardous material removal allowance to base bid lump sum price, and fill in total lump sum bid price with this number.

HAZARDOUS MATERIALS REMOVAL ALLOWANCE	FIFTEEN THOUSAND DOLLARS AND ZERO CENTS	\$15,000.00
BASE BID LUMP SUM PRICE	TWO MILLION FOUR HUNDRED NINETY FOUR THOUSAND DOLLARS AND ZERO CENTS	\$2,494,000.00
TOTAL LUMP SUM BID PRICE	TWO MILLION FIVE HUNDRED AND NINE THOUSAND DOLLARS AND ZERU CENTS	\$2,509,000.00
	(USE WORDS)	(FIGURES)

Proposed Major Equipment Manufacturers: The Bidder's proposed major equipment manufacturers included in their Base Bid price shall be listed below for the requested items.

ALTERNATIVE EQUIPMENT INFORMATION				
Equipment Item	Base Bid Equipment Manufacturer	Alternate Bid Equipment Manufacturer	Lump Sum Deduction	
1. Dry-Pit	KSB	a. Flygt	a. \$19,403.00	
Submersible		b.	by \$32, 402.00	
Pumps		c.	C.	
	DeZurik	a. Pratt	a. N/A	
2. Plug Valves		b.,	b.	
		c.	c.	
3. Check	Golden-Anderson	a. Dezurik	a. 1982 N/A	
Valves		b.	b.	
		c.	c.	
4. Electric	Limitorque	a. Auma	a. N/A	
Valve		b.	b.	
Actuators		c.	c.	
5. Level	Ohmart Vega	a.	a. 1/A	
Transmitters		b.	b.	
		c.	c,	
6. SCADA	Allen Bradley ControlLogix	a.	a. N/A	
PLC		b.	Ъ.	
		c.	c.	
7 Motor	Schneider (Square D)	a. Ectua	a. \$27,327.00	
7. Motor Control Center		b. Allen Bredley	b. \$56,947.00	
		С.	c.	

LIST OF UNIT PRICES

The following List of Unit Prices is required by the Owner to be completely executed and submitted with each Bidder's Proposal. Each unit price shall include the furnishing of all labor, materials, supplies and services, and shall include all items of cost, overhead and profit for the Contractor and any Sub-Contractors involved, and shall be used uniformly, without modification, for either additions or deductions from the Bid. These unit prices as established shall also be used to determine the equitable adjustment of the Contract Price in connection with changes, or extra work performed under the Contract. The "Rules of Measurement" set forth in the Special Conditions shall govern where volume units are concerned.

DESCRIPTION OF WORK	UNIT PRICE
Phase I Bypass Pumping Rate per day	\$ 1,200.00
Phase II Bypass Pumping Rate per day	\$ 1,100.00

Submitted by:	W Principles, LLC
Saomme by.	Firm
	200 Apperson Heights Suite 200
	Address
	Mt. Sterling, KY 40353
Bid must be signed:	City, State & Zip President
(original signature)	Signature of Authorized Company Representative – Title
	Art Walker IV
	Representative/s Name (Typed or Printed)
	(0.70) (0.0 0.00)
	(859) 498-0092
	Area Code – Phone –Fax #
	awalker@wprinciples.com
	E-Mail Address
	11-17-tite / fitter eds
OFFICIAL ADDRESS:	
200 Apperson Heights Suite 20	00
Mt. Sterling, KY 40353	
	(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal: W Principles, LLC 1. Name of Bidder: Permanent Place of Business: 200 Apperson Heights Suite 200 Mt. Sterling, KY 40353 2. 1933 3. When Organized: N/A. 4. Where Incorporated: 5. Construction Plant and Equipment Available for this Project: W Principles has a fleet of construction equipment, including dozers, excavators, articulated trucks, rollers, backhoes, skid steers, and telehandlers, all of which will be made readily available for this project (if needed). (Attach Separate Sheet If Necessary) 6. Financial Condition: If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Procurement within seven (7) calendar days following the bid opening. 7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by: SiriusPoint America Insurance Company (Surety) *See attached bid bond signature* Signed: (Representative of Surety) 8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if

necessary).

<u>NAME</u>	LOCATION	CONTRACT SUM	
Marion WWTP	Marion, KY	\$15,000,000.00	
Elkton WWTP Improvements	Elkton, KY	\$3,000,000.00	
Town Branch RAS/WAS	Lexington, KY	\$3,000,000.00	
The Bidder has now under con	ntract and bonded the following pr	ojects: <u>CONTRACT SUM</u>	
Town Branch Odor Project	Lexington, KY	\$2,000,000.00.	
List Key Bidder Personnel wh NAME	no will work on this Project. POSITION DESCRIPTION		
List Key Bidder Personnel wh NAME David Parker	-	NO. OF YEARS WITH BIDDER	

9.

10.

11. DBE Participation on current bonded projects under contract:

SUBCONTRACTORS (LIST)	PROJECT (SPECIFIC TYPE)	<u>DBE</u>	% of WORK
N/A.	N/A.	N/A.	N/A.
-			
	B		
	0	-	
	-		9
	2		===
:		-))
			:

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

Respectfully submitted:

W	Principles, LLC	
(Name of	Contracting Firm)	
BY:	late Whenes The	-
TITLE: _	President	
DATE	January 31, 2025	

6. <u>LIST OF PROPOSED SUBCONTRACTORS</u>

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK-LIST	<u>DBE</u> Work	% of EACH MAJOR ITEM
I sauce Resting - Rusting	No	51.
Hek Glass - Windows / Doors	NO	6 %
Mckinney Painting - Painting	VES	5%
Steel Traile - Metals	NO	101.
Bison Services - HVAC	YES	51.
AE Electrical	NO	40%
W Principles (Self Perform)	NO	30%

LIST OF MATERIALS/ SUPPLIERS

Bidders are hereby advised that this list must be complete and submitted with the Bid.

Listing "as per plans and specifications", will not be considered as sufficient identification. Where more than one "Make or Brand" is listed for any one item, the Owner has the right to select the one to be used.

Item Brand Name, Manufacturer and/or Supplier		
Prous Pipe	Core + Main	
Pemps	Strueffer / KSB	
Hydro Controls		
Valves	Hydro Coutals / Lineturgue / Golden Andra	
	<u>. </u>	



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA, CPSD
Minority Business Enterprise Liaison
Division of Procurement
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program (MBEP) is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long-term economic viability of Lexington-Fayette Urban County Government.

To that end the urban county council adopted and implemented Resolution 272-2024 – a Certified Minority and Women Business Enterprise seventeen percent (17%) minimum goal including minimum subgoals of five percent (5%) for Minority Business Enterprises (MBE) and a subgoal of twelve percent (12%) for Women Business Enterprises (WBE); a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and/or Certified Service- Disabled Veteran Owned Businesses; and a goal of utilizing Disadvantaged Business Enterprises (DBE), where applicable, for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals:

Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. Black American, Asian American, Hispanic American, Native American)

Certified Women Business Enterprise (WBE) - a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

Certified Veteran-Owned Small Business (VOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

Certified Service -Disabled Veteran Owned Small Business (SDVOSB) — a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term "Certified" shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Procurement as having the appropriate credentials to make a determination as to the status of the business. The following certifications are recognized and accepted by the MBEP:

Kentucky Transportation Cabinet (KYTC), Disadvantaged Business Enterprise (DBE)
Kentucky Minority and Women Business Enterprise (MWBE)
Women's Business Enterprise National Council (WBENC)
National Women Business Owners Corporation (NWBOC)
National Minority Supplier Development Council (NMSDC)
Tri-State Minority Supplier Development Council (TSMSDC)
U.S. Small Business Administration Veteran Small Business Certification (VetCert)
Kentucky Service- Disabled Veteran Owned Small Business (SDVOSB)

To comply with Resolution 272-2024, prime contractors, minority and women business enterprises, veteran owned small businesses, and service-disabled veteran owned small businesses must complete monthly contract compliance audits in the Diverse Business Management Compliance system, https://lexingtonky.diversitycompliance.com/

A list of organizations that certify and/or maintain lists of certified businesses (i.e. DBE, MBE, WBE, VOSB and/or SDVOSB) is available upon request by emailing, Sherita Miller, smiller@lexingtonky.gov.



LFUCG MWDBE PARTICIPATION FORM Bid/RFP/Quote Reference # 172-2024

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to the Division of Procurement for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWBE Company, Name, Address, Phone, Email	DBE/MBE WBE/VOSB/SDVOSB	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. McKinney Painting	WBE		\$30,000	1%
2. Bison Services	MWBE / SBE		\$80,000	3 //
3.				
4.			v.	

The undersigned company representative submits the above list of MWDBE and veteran firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

W Principles, LLC	ME Williams	
Company	Company Representative	
January 31, 2025	President	
Date	Title	



LFUCG MWDBE SUBSTITUTION FORM Bid/RFP/Quote Reference # 172-2024

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to the Division of Procurement for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. Note: Form required if a subcontractor is being substituted on a contract.

SUBSTITUTED DBE/MBE/WBE/VOSB Company Name, Address, Phone, Email	DBE/MBE/WBE/VOSB/SDVOSB Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
N/A					
2.					
3.					
4.					

Date	Title
January 31, 2025	President
Company	Company Representative
W Principles, LLC	Mat & What Is
and/or be subject to applicable re-	derai and State laws concerning false statements and false claims

The undersigned acknowledges that any misrepresentation may result in termination of the contract



DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS AND OUTREACH PLANS

As affirmed in Resolution Number 272-2024, the Urban County Council has adopted an annual aspirational goal of utilizing at least seventeen percent (17%) of public funds spend from certain discretionary agreements with certified Minority Business Enterprises (MBEs) and certified Woman Business Enterprises (WBEs); utilizing at least three percent (3%) of public funds from certain discretionary agreements with Certified Veteran-Owned Small Business and Certified Service-Disabled Veteran-Owned Small Businesses (VOSBs); and utilizing Disadvantaged Business Enterprises (DBEs) where applicable. Bidders should make every effort to achieve these goals.

Therefore, as an element of the responsiveness of the bid, all Bidders are required to submit documentation of their good faith and outreach efforts to ensure all businesses, including small and disadvantaged businesses such as minority-, woman-, and veteran-owned businesses, have an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement. Examples of good faith and outreach efforts that satisfy this requirement to encourage the participation of, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs include:

- Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women, and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to participate.
- 2. Attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year to meet new small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to partner with on LFUCG contracts and procurements.
- 3. Attended pre-bid/pre-proposal meetings that were scheduled by LFUCG to inform small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs of subcontracting opportunities.
- 4. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs.
- 5. Requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- 6. Contacted organizations that work with small, DBE, MBE, WBE, and VOSB companies for assistance in finding certified DBEs, MBEs, WBEs, VOSB and/or SDVOSBs to work on this project. Those contacted and their responses must be a part of the bidder's outreach efforts documentation.

- 7. Sent written notices, by certified mail, email, or facsimile, to qualified, certified small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- Followed up initial solicitations by contacting small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs via tailored communications to determine their level of interest.
- Provided the interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs with adequate and timely information about the plans, specifications, and requirements of the contract.
- 10. Selected portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs in order to increase the likelihood of subcontracting participation. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate small, DBE, MBE, WBE, VOSB and/or SDVOSB participation, even when the prime contractor may otherwise perform these work items with its own workforce.
- 11. Negotiated in good faith with interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection must be so noted in writing with a description as to why an agreement could not be reached.
- 12. Included documentation of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs that were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
 - a. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a small business', DBE's MBE's, WBE's, VOSB's and/or SDVOSB's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy the participation goals.
- 13. Made an effort to offer assistance to or refer interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal.
- 14. Made efforts to expand the search for small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.

15. Other – any other evidence that the bidder submits that may demonstrate that the bidder has made reasonable efforts to include small, DBE, MBE, WBE, VOSB and/or SDVOSB participation.

Bidder must document, with specificity, each of the efforts it made to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs as subcontractors in the procurement, including the date on which each effort was made, the medium through which each effort was made, and the outcome of each effort.

Note: Failure to submit the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the Bid, regardless of the proposed level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation in the procurement. If the Good Faith and Outreach Effort documentation is not submitted with the bid response, the bid may be rejected.

OUTREACH EFFORTS EVALUATION

Outreach efforts demonstrated by the bidder or respondent will be evaluated on a pass/fail basis.

ATTACHMENT A – SMALL AND DISADVANTAGED, MINORITY-, WOMEN-, AND VETERAN-OWNED BUSINESS OUTREACH PLAN

Proposer Name:	W Principles, LLC	Date:	January 31, 20)25
Project Name:	Town Branch Dewatering Pump Station Improvements	1 Project Number:	172-2024	
Contact Name:	Art Walker IV	Telephone:	(859) 498-009	22
Email:	awalker@wprinciples.com			
disadvantaged busin the procurement	ne Minority Business Enterprise inesses, minority-, women-, veter process and to promote economic nic viability of Lexington-Fayette	ran-, and service-disab ic inclusion as a busin	led veteran-owne ess imperative es	ed businesses
disabled veteran-or of contracts with p Bidder/Proposer co steps to ensure that service-disabled ve	and disadvantaged businesses, is wned businesses, must have an a public funds spent from certain ertifies that it has taken, and if the at small and disadvantaged businesses, are pre- erformance of any subcontracts in	equal opportunity to be discretionary agreemed here are further opportunesses, including minor rovided an equal opportunity.	e utilized in the ents. By submitt tunities will take ority-, woman-, wortunity to comp	performance ing its offer, e, reasonable veteran-, and
	abmitted in response to this claunis form may cause the bid or pro		red in any scored	d evaluation.
Is the Bide	der/ Proposer a certified firm?	Yes □ No 🏻		
If yes, indicate all	certification type(s):			
DBE \square	MBE □	WBE □	SBE □	VOSB/SDVOSB □
and supply a copy of the certificate and/or certification letter if not currently listed on the city's Minority Business Enterprise Program's (MBEP) certified list.				
last two years th	firms that Bidder/ Proposer ha at are minority-owned, wome certification status.		_	
Blaze Enterpri	ses, HB Masonry, Olympic Const	ruction, Mckinney Pair	ıting	
2. Does Bidder/Pr	oposer foresee any subcontrac	ting opportunities for	this procurem	ent?
Yes 🛭	No □			
	plain why in the field below. Do ir bid and/or proposal. Click or to	-	of this form and	l submit this

If yes, please complete the following pages and submit all pages with your bid and/or proposal.

Describe the steps Bidder/Proposer took to solicit small and disadvantaged businesses, including MBEs, WBEs, VOSBs, and SDVOSBs, for subcontracting opportunities for this procurement.

3. Check the good faith and outreach efforts the Bidder/Proposer used to encourage the participation of small and disadvantaged businesses including, MBEs, WBEs, VOSBs and

SDVOS	Bs:
X	Bidder placed advertisements in search of prospective small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs for the solicitation.
	Bidder attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year.
X	Bidder attended pre-bid and/or pre-proposal meetings for this solicitation.
	Bidder sponsored an Economic Inclusion Outreach event.
	Bidder requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG.
X	Bidder contacted organizations that work with small, DBE, MBE, WBE, VOSB and/or SDVOSB companies.
	Bidder sent written notices to certified small, DBE, MBE, WBE, VOSB and SDVOSB businesses.
	Bidder followed up to initial solicitations with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB.
X	Bidder provided small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses interested in performing the solicited work with prompt access to the plans, specifications, scope of work, and requirements of the solicitation.
	Bidder made efforts to segment portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, including dividing sub-bid/partnership opportunities into economically feasible units/parcels, to facilitate participation.
X	Bidder negotiated in good faith with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses.

- Bidder provided adequate rationale for rejecting any small business', DBEs, MBEs, WBEs, VOSBs or SDVOSBs for lack of qualifications.

 Bidder offered assistance in obtaining bonding, insurance, financial, equipment, or other resources to small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, in an effort to assist them in meeting project requirements.

 Bidder made efforts to expand the search for small businesses, DBEs MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
- Bidder made other reasonable efforts to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation.
- 4. Bidder/Proposer must include documentation, including the date each effort was made, the medium through which each effort was made, and the outcome of each effort with this form, regardless of the level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation. Examples of required documentation include copies of email communications, copies of newspaper advertisements, or copies of quotations received from interested small businesses, DBEs, MBEs, VOSBs or SDVOSBs.

Click or tap here to enter text.

For detailed information regarding outreach efforts that satisfy the MBE Program's requirements, please see "Documentation Required for Good Faith Efforts and Outreach Plans" page.

<u>Note</u>: The Bidder/Proposer must be willing to report the identity of each subcontractor and the value of each subcontract to MBEP if awarded a contract from this procurement.

Failure to submit the documentation requested may be cause for rejection of the bid. Bidders may include any other documentation deemed relevant to this requirement, which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the bid, regardless of the proposed level of SBEs, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation in the procurement. If the Good Faith and Outreach Effort Form and associated documentation is not submitted with the bid response, the bid may be rejected.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

W Principles, LLC	Art Walker IV
Company	Company Representative
January 31, 2025	President
Date	Title

4870-1925-6809, v. 1

8. <u>AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST</u>

I hereby swear (or affirm) under the penalty for false swearing:

- 1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
- 3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- 5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky _____. Check the statement applicable.
- 6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
- 7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- 8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL:	Art Walker IV
POSITION/TITLE:	President
	NCE:
2020, Mr. Walker has complete	struction industry since 2009. Since joining the office in d over 20 projects with a cumulative contractual value over
NAME OF INDIVIDUAL:	Andrew Eberle
POSITION/TITLE: Project	et Manager
STATEMENT OF EXPERIEN	ICE:
2021, Mr. Eberle has completed \$25mm.	over 10 projects with a cumulative contractual value over
NAME OF INDIVIDUAL:	David Parker
POSITION/TITLE: Site S	uperintendent
STATEMENT OF EXPERIEN	CE:
	uction industry since 1990. Mr. Parker has completed over industry with a cumulative contractual value over
NAME OF INDIVIDUAL :	
POSITION/TITLE:	
STATEMENT OF EXPERIEN	CE:

NAME OF INDIVIDUAL:	
POSITION/TITLE:	
JAME OF BIDDUDUAL.	
NAME OF INDIVIDUAL:	
POSITION/TITLE:	
STATEMENT OF EXPERIENCE:	

^{*} Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
 - The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal

for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

W Principles, LLC
Signature Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;
- (3) The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as <u>special conditions</u> in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which

he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.	n

11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

W Principles, LLC

It is the policy of	w Finiciples, LLC
to assure that all applicants for	or employment and all employees are treated on a fair and equitable
basis without regard to their r	ace, religion, sex color handican natural origin or age

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: W PRINCIPLES

Total:	Service/Maintenance	Skilled Craft	Office/Clerical	Para-Professionals	Protective Service	Technicians	Foremen	Supervisors	Superintendents	Professionals	Administrators		Categories
													Total
31		10					w	w	10		5	Z	White (Not Hispanic or Latino)
												П	ite ot nic or no)
												3	Hispanic or Latino
												П	10 ic or
												3	Black or African- American (Not Hispanic or Latino
												П	or San t
												M F	Native Hawaiian and Other Pacific Islander (Not Hispanic or
												Z	
												п	Asian (Not Hispanic or Latino
												3	American Indian or Alaskan Native (not Hispanic or Latino
												П	rican In or I Native panic or
												3	
												п	Two or more races (Not Hispanic or Latino
												3	Total
												п	ta <u>l</u>

P-35

Revised 2015-Dec-15

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: W Principles, LLC	Emnlovee	Employee ID: 84-3590572	
Address: 200 Apperson Heights Suite 200 Mt. Sterling, KY 40353	Phone	(859) 498-0092	
Project to be insured: Town Branch Dewatering Pump Station Improvements	A HOLE.	(100)	

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

			occurance			
	25674	Travelers	\$ 10mm per	\$2,000.000 per occ.	EXC	SC-2 - see provisions
•		1	occurance	noted		
-	25674	AGC	\$ 4.5mm per	Statutory w /endorsement as	WC	SC-2 – see provisions
	25674	Travelers	\$ 1mm per occurance	\$1,000,000/per occ.	AUTO	SC-2 – see provisions
	25623	Phoenix Insurance	\$2mm aggregate.	\$2,000,000 aggregate		
			\$1mm per occurance,	\$1 000 000 per occ And	CGL	SC-2 – see provisions
Rating	Code	Insurer	To Insured	Policy Requirements	Coverage	Items
<u>8</u>	A.M. Best's	Name of	Limits Provided	Minimum Limits and)	Section

when submitting. Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise Art Walker IV

Acrisure Southeast			TIL W STREET I V
Agency or Brokerage			Name of Authorized Representative
780 Winchester Road			President
Street Address			Title 1/A
Lexington	KY	40505	and the state of t
City	State		Zip Authorized Signature
(859) 977-4878			January 31, 2025
Telephone Number			Date

authorized representative of insurer. NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. **DEBARRED FIRMS**

PROJECT NAME:	Town Branch Dewatering Pump S	tation Improvements	
BID NUMBER:	172-2024		
LEXINGTON-FAYE LEXINGTON, KY	ETTE URBAN COUNTY GOV	ERNMENT	
that has been debarred	shall certify that Subcontractors for noncompliance with the Fed ded, Executive Order 11246 As A	leral Labor Standards, Title	VI of the Civil Rights
with the bid proposal.	olete the attached certification in The Owner (grantee) shall trans Division of Community Develop	mit one copy to the Lexingt	on-Fayette Urban
will not award a subco firm that has been deba	oy certifies that the firm of	e Federal labor Standards, T	has not and alt of this bid, to any itle VI of the civil
W Principles, LLC			
Name of Firm Submitt	ing Bid		
ME Whome			
Signature of Authorize	d Official		
President			
Title			
January 31, 2025			
Date			

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name:	W Principles, LLC	
Project:	Town Branch Dewatering Pump Station Imp	rovements
Printed Name	and Title of Authorized Representative:	Art Walker IV - President
Signature:	hote When I	
Date:	January 31, 2025	

END OF SECTION



January 31, 2025

RE:

Town Branch Dewatering Improvements Project

To Whom it May Concern,

W Principles is deeply committed to fostering diversity and inclusion in all aspects of our operations, particularly in procurement and contracting. We actively seek to engage Minority, Women, and Disadvantaged Business Enterprises (MWDBEs) to ensure equitable opportunities for businesses from underrepresented communities. Through transparent bidding processes, outreach programs, and partnerships with local organizations, we strive to create an inclusive network of suppliers that reflect the diversity of the communities we serve.

Given the scope of work of this project, W Principles plans to subcontract multiple portions of work. That said, per emails dated December 3, 2024, we have solicited multiple MWDBE contractors for multiple scopes of work.

Thank you.

Art Walker IV President



Bid Bond

CONTRACTOR:

(Name, legal status and address)

W Principles, LLC d/b/a The Walker Company of Kentucky 200 Apperson Heights, Suite 200 Mt. Sterling, KY 40353

OWNER:

(Name, legal status and address)

Lexington-Fayette Urban County Government 200 East Main Street Lexington, KY 40507

BOND AMOUNT: \$ Five Percent of Amount Bid (5%)

PROJECT:

(Name, location or address, and Project number, if any)

Town Branch Dewatering Pump Station Improvements Lexington, KY

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such

SURETY:

(Name, legal status and principal place of business)

SiriusPoint America Insurance Company A NY Corporation 1 World Trade Center, 285 Fulton Street 47th Floor Suite 47J New York, NY 10007

This document has important legal consequences.

Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable. statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this

23rd

day of January

, 2025.

Witnesel

(Witness) Lane Ward

W Principles, LLC d/b/a The Walker Company of Kentucky

(Contractor as Principal)

ART WALKER IV - Per

(Title

SiriusPoint America Insurance Company

(Surety)

(Seal)

(Title) Jeffrey M. Wilson, Attorney-

2

Certification of Document's Authenticity

AIA® Document D401™ - 2003

I, Jeffrey M. Wilson, Attorney-in-Fact, hereby certify, to the best of my knowledge, information and belief, that I created the attached final document simultaneously with this certification 11:02:55 CT on 01/23/2025 under Order No. 4104251021 from AIA Contract Documents software and that in preparing the attached final document I made no changes to the original text of AIA® Document A310TM - 2010, Bid Bond, other than changes shown in the attached final document by underscoring added text and striking over deleted text.

-	-Kion D-	
(Signed)	18	
Jeffrey M. Wilson,	Attorney-in-Fact	
(Title)		
January 23, 2025		
(Dated)		

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MGFBHM01 0323

POWER OF ATTORNEY SIRIUSPOINT AMERICA INSURANCE COMPANY NEW YORK

KNOW ALL MEN BY THESE PRESENTS: That StriusPoint America Insurance Company, a New York corporation, having its principal office in the City of New York, pursuant to the following Resolution, which was adopted by the Board of Directors of the Company, to wit:

RESOLVED, that the President, Senior Vice President, Chief Financial Officer, Secretary or Assistant Secretary is hereby authorized to execute Powers of Attorney appointing as attorneys-in-fact selected employees of certain surety companies who shall have the power for and on behalf of the Company to execute and affix the seal of the Company to surety contracts as co-surety.

Does hereby nominate, constitute and appoint:

William M. Smith, Robert R. Freel, Richard H. Mitchell, Mark W. Edwards, II, Jeffrey M. Wilson, Anna Childress, Alisa B. Ferris

Its true and lawful attorney-in-fact, to make, execute, seal and deliver for and on its behalf, and as its act and deed; any and all bonds, contracts, agreements of indemnity, and other undertakings in suretyship (NOT INCLUDING bonds without a fixed penalty or financial guarantee bonds) and to bind the Company thereby as fully and to the same extent as if same were signed by the duly authorized officers of the Company, provided, however, that the penal sum of any one such instrument executed hereunder shall not exceed the sum of:

\$50,000,000 single bond limit

All acts of said attorneys-in-fact pursuant to the authorities herein given are hereby ratified and confirmed. The executive officers listed above in the Resolution may from time to time and at any time remove any such appointee and revoke the power given to him or her.

The execution of such bonds or undertakings in pursuance of these presents, within one year of the date of issue of these presents, shall be binding upon said Company, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the Company at its office in New York, New York, in their own proper persons.

IN WITNESS WHEREOF, Sirius Point America Insurance Company has caused its corporate seal to be hereunto affixed, and these presents to be signed by its Secretary this 17th day of August in the year 2023.

SiriusPoint America Insurance Company

Melissa J. Ralph

My Comn

State of New Jersey }

} ss.

County of Monmouth

On this 17th day of August 2023, before me, a Notary Public of the State of New Jersey in and for the County of Monmouth duly commissioned and qualified, came Melissa J. Ralph, Secretary, of SiriusPoint America Insurance Company, to me personally known to be the individual and officer described in, and who executed the preceding instrument, and acknowledged the execution of the same, and, being by me duly sworn, deposeth and saith, that she is the officer of the Company aforesaid, and that the seal affixed to the preceding instrument as the corporate seal of said Company, and the said corporate seal and her signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Company, referred to in the preceding instrument, is now in force.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my official seal.

TNAEJA E LEWIS-SCOTT

NOTARY PUBLIC

STATE OF NEW JERSEY

MY COMMISSION EXPIRES MARCH 31, 2028

COMMISSION: #50208541

State of New Jersey County of Monmouth

I, Melissa J. Ralph, Secretary, of SiriusPoint America Insurance Company, a New York corporation, do hereby certify that the above and foregoing is a full, true correct copy of Power of Attorney, is still in force and effect and has not been revoked.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company this 23 day of, January, 2025

Menssa J. Ralph Secretary