

## **STATEMENT OF AFFILIATION**

The purpose of this Statement of Affiliation is to establish responsibilities and chain of command within the Lexington-Fayette Urban County Government (the "Local Government"), including the responsibilities and chain of command for the Local Government's Special Operations Team in the Division of Fire and Emergency Services (the "Rescue Squad"), and between the Rescue Squad and the Urban County Government Emergency Management Director (the "Local Director"), in the provision of rescue squad services.

### **GRANT OF AUTHORITY (KRS 39F.020)**

The Mayor hereby grants to the Rescue Squad the authority to operate in the Lexington-Fayette Urban County and, as further described in Annex L of the Local Government's Emergency Operations Plan (the "EOP"), places the Rescue Squad under the limited direction of the Local Director (KRS 39F.020). In granting this authority to operate, it is recognized that all assets, property, funds or interests acquired, appropriated, donated or raised for the benefit or operation of the Rescue Squad shall be deemed the property of the Urban County Government or, when acquired through state funds, the property of the Commonwealth of Kentucky. The Rescue Squad will be responsible for the management and accounting of the assets described above, but may not acquire an interest in the same. The Standard Operating Procedures (the "SOPs") or by-laws of the Rescue Squad will conform to applicable state and federal laws and regulations and may not limit the authority of the Mayor or the Local Director in the provision and management of rescue squad services in the urban-county.

The Rescue Squad is the only rescue squad officially recognized by the Local Government. By virtue of this Statement of Affiliation, the Rescue Squad is recognized as being officially affiliated with the Local Government and becomes officially affiliated with the local Emergency Management Agency (the "Local Agency"), as recognized by the Kentucky Division of Emergency Management.

### **MISSION STATEMENT (KRS 39F.120(9)(a))**

The Rescue Squad shall serve the citizens of the Local Government by providing fully trained and equipped personnel who will take the lead role in the following type's missions when conducted in the urban-county:

- (1) light duty rescue;
- (2) extrication of persons from vehicles;
- (3) water rescue and recovery operations not utilizing divers;
- (4) high and low angle rescue and recovery operations;
- (5) confined space, trench and collapse rescue and recovery operations;  
and,
- (6) specialized extrication rescue and recovery operations

In addition to the roles of "General Rescue Squad", under KRS 39F. 010(10)(d), the Rescue Squad shall serve the citizens of the Local Government by providing fully trained and equipped personnel who will take the lead role in the following type's missions when conducted in the urban-county as a "specialized rescue squad" :

"Specialized rescue squad" means a rescue squad which performs one (1) or more of the following functions as the primary or sole mission of the organization:

(d) Water rescue and recovery operations utilizing divers

Under KRS 39F.070 Specialized rescue squad – Activities permitted Section (3) (a – e)

- (3) A specialized rescue squad may engage in a general rescue squad activity if:
- (a) That activity is specified in its mission statement;
  - (b) The rescue squad has twelve (12) persons including a commanding officer from within the membership of the specialized rescue squad whose mission statement is to engage in the general rescue squad activity;
  - (c) The persons assigned to the general rescue squad activity meet the training requirements specified by statute and administrative regulation;
  - (d) The rescue squad has the equipment required by administrative regulation to engage in general rescue squad activity as listed in its mission statement; and
  - (e) The rescue squad has a vehicle for transporting the required equipment to the scene.

The Rescue Squad (inclusive of specialized rescue squad activities), at the request of other state and local agencies, may respond and assist other state and local agencies with search and rescue operations conducted outside the urban-county, but will not take the lead role, in the types of missions described above. The Rescue Squad will respond to missions involving water rescue and recovery utilizing divers.

#### **CALL OUT AUTHORITY (KRS 39F.120(9)(a))**

The Rescue Squad will respond when called by any of the following services or agencies:

- (1) the Local Government;
- (2) the Local Director;
- (3) the Local Government SAR Coordinator;
- (4) the Kentucky Emergency Management Area 11 Manager ( the "Area Manager");
- (5) the Kentucky SAR Coordinator; and
- (6) the Kentucky Division of Emergency Management.

The Local Government's Division of Fire and Emergency Services communications dispatch center will notify the Rescue Squad of an incident when assistance is requested.

**CHAIN OF COMMAND (KRS 39F.120(9)(a))**

As a unit of the Local Government, the Rescue Squad is under the direction and control of the Local Government, including those time when the Local Government declares a State of Emergency. The Rescue Squad's chain-of-command is defined by the Local Government's Code of Ordinances, EOP, and Search and Rescue Plan.

**RESPONSIBILITIES (KRS 39.F120(9)(a))**

The Rescue Squad shall maintain its equipment and property and shall be accountable for all equipment through an annual inventory, which will be submitted to the Local Director, the Area Manager, and the State Search and Rescue Coordinator. The Rescue Squad shall be responsible for the fiscal accountability for all funds received by the squad. The Rescue Squad, acting by and through the Local Government's Division of Accounting, shall assure all payments of outstanding debts in a timely manner. The Rescue Squad shall not charge a fee for any of its services. The Rescue Squad is responsible for maintaining an approved and current set of SOP's, which reflect its mission statement. It is the responsibility of the Rescue Squad to provide copies of its SOPs and amendments to its SOPs to the Local Director, the Area Manager, and the State Search and Rescue Coordinator.

The Rescue Squad has the responsibility to adhere to the requirements of formation and securing affiliation according to KRS 39F.020. The Rescue Squad shall meet the organization requirements of KRS 39F.050 and KRS 39F.120.

The Local Government shall be responsible for the fiscal accountability of funds distributed to the Rescue Squad by the Local Government. The Local Government will provide official license plates and insurance for all rescue vehicles. The Local Government may appropriate a yearly allocation in its annual budget to cover the operating expenses to the Rescue Squad.

The responsibilities of the Local Government, including its Local Director and its Rescue Squad, shall conform to those responsibilities as provided in all applicable state statutes and regulations.

**FINDINGS AND CERTIFICATION (KRS 39F.120(9)(a) and (b))**

By their signatures below, the Mayor and Local Director, certify that, having reviewed the Rescue Squad's Mission Statement and this Statement of Affiliation, to the best of their knowledge and belief the Rescue Squad:

- (1) possess adequate numbers of trained personnel to perform the mission;
- (2) possess proper and adequate equipment, in proper working order, to perform the mission;
- (3) possess at least one rescue vehicle, dedicated solely to rescue purposes and in proper working order, which is adequate to perform the mission of the squad;
- (4) possess adequate command and control personnel, training, and policies to ensure that the squad can quickly and efficiently respond and perform its mission when called upon; and
- (5) is performing a function not performed by other local government agencies or other local private agencies or organization.

**PROCEDURES FOR REVOCATION (KRS 39F.120(9)(a))**

This Statement of Affiliation may be modified, amended, or terminated at any time by the Local Government. Unless earlier terminated, the duration of this Statement of Affiliation shall be for a period of one (1) year, commencing on the 1st day of December , 2016 and expiring on the 1<sup>st</sup> day of December , 2017.

IN WITNESS WHEREOF, this Statement of Affiliation has been executed by the Mayor of the Lexington-Fayette Urban County Government, for and on behalf of the Local Government, by its Local Director, and the Fire Chief of the Division of Fire and Emergency Services for and on behalf of the Rescue Squad, as of this 31<sup>st</sup> day of January, 2017.

LEXINGTON-FAYETTE URBAN  
COUNTY GOVERNMENT

BY:   
JIM GRAY, MAYOR

DIVISION OF FIRE AND EMERGENCY  
SERVICES,

BY:   
KRISTON CHILTON, FIRE CHIEF

DIVISION OF EMERGENCY MANAGEMENT

BY:   
PATRICIA L. DUGGER, DIRECTOR

1353-16 (2 copies discarded)

~~1-19 First~~

MAYOR JIM GRAY



LEXINGTON

PATRICIA L. DUGGER, RS MPA  
DIRECTOR  
EMERGENCY MANAGEMENT

1-31 Second

TO: Mayor Jim Gray and Urban County Council  
FROM: Patricia L. Dugger, RS, MPA, Director DEM *PLO*  
CC: Ronnie Bastin, Commissioner of Public Safety  
DATE: November 30, 2016  
SUBJECT: Resolution for Statement of Affiliation for Search and Rescue

*Please return  
2 signed copies  
back 2  
DEM  
Thanks!  
Hazel  
280-  
8061*

**Request**

Authorization to: The Division of Emergency Management is requesting the Lexington Fayette Urban County Council to authorize the Mayor to sign a Statement of Affiliation for Search and Rescue between the Division of Fire and Emergency Services Rescue Team and the Division of Emergency Management in accordance with KRS Chapter 39F. This is an annual requirement under the Emergency Management Assistance Program Grant that is received by the Division of Emergency Management yearly.

**Why are you requesting?**

This Statement of Affiliation will establish the LFUCG Division of Fire and Emergency Services Special Operations Technical Rescue Team as the only recognized technical rescue team (rescue squad) in Fayette County. It allows rescue team members coverage under the State liability and workers compensation, and would also allow for possible FEMA reimbursement for expended equipment while on a mutual aid assistance call.

**What is the cost in this budget year and future budget years?**

The cost for this FY is: N/A

The cost for future FY is: N/A

**Are the funds budgeted?**

The funds are budgeted or a budget amendment is in process:

Account number: N/A

**File Number: 1353-16**

**Director/Commissioner:** Patricia L. Dugger, Director/ Ronnie Bastin Commissioner Public safety

