



<p>Recipient Information</p> <p>1. Recipient Name LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT 200 E MAIN ST LEXINGTON, 40507</p> <p>2. Congressional District of Recipient 06</p> <p>3. Payment System Identifier (ID) 1610858140A1</p> <p>4. Employer Identification Number (EIN) 610858140</p> <p>5. Data Universal Numbering System (DUNS) 020428777</p> <p>6. Recipient's Unique Entity Identifier VM1GLHWZXA96</p> <p>7. Project Director or Principal Investigator Amy Baker Program Coordinator clanter@lexingtonky.gov 859-280-8273</p> <p>8. Authorized Official Ms. Linda Gorton mayor@lexingtonky.gov 859-258-3100</p>	<p>Federal Award Information</p> <p>11. Award Number 1H79FG000755-01</p> <p>12. Unique Federal Award Identification Number (FAIN) H79FG000755</p> <p>13. Statutory Authority Consolidated Appropriation Act, 2022 [P.L. 117-103]</p> <p>14. Federal Award Project Title Barrier Free Re-Entry and Recovery Initiative (BFREE)</p> <p>15. Assistance Listing Number 93.493</p> <p>16. Assistance Listing Program Title Community Funded Projects</p> <p>17. Award Action Type New Competing</p> <p>18. Is the Award R&D? No</p>																						
<p>Federal Agency Information</p> <p>9. Awarding Agency Contact Information Maegan Marcano Center for Flex Grants Maegan.Marcano@samhsa.hhs.gov 240-276-0421</p> <p>10. Program Official Contact Information Michael Amoh Center for Flex Grants Michael.Amoh@samhsa.hhs.gov (240) 276-1832</p>	<p>19. Budget Period Start Date 09-30-2022 – End Date 09-29-2023</p> <table border="1"> <tr> <td>20. Total Amount of Federal Funds Obligated by this Action</td> <td style="text-align: right;">\$616,704</td> </tr> <tr> <td> 20a. Direct Cost Amount</td> <td style="text-align: right;">\$616,704</td> </tr> <tr> <td> 20b. Indirect Cost Amount</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>21. Authorized Carryover</td> <td></td> </tr> <tr> <td>22. Offset</td> <td></td> </tr> <tr> <td>23. Total Amount of Federal Funds Obligated this budget period</td> <td style="text-align: right;">\$616,704</td> </tr> <tr> <td>24. Total Approved Cost Sharing or Matching, where applicable</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>25. Total Federal and Non-Federal Approved this Budget Period</td> <td style="text-align: right;">\$616,704</td> </tr> <tr> <td colspan="2" style="text-align: center;">-----</td> </tr> <tr> <td>26. Project Period Start Date 09-30-2022 – End Date 09-29-2023</td> <td></td> </tr> <tr> <td>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period</td> <td style="text-align: right;">\$616,704</td> </tr> </table> <p>28. Authorized Treatment of Program Income Additional Costs</p> <p>29. Grants Management Officer - Signature Odessa Crocker</p>	20. Total Amount of Federal Funds Obligated by this Action	\$616,704	20a. Direct Cost Amount	\$616,704	20b. Indirect Cost Amount	\$0	21. Authorized Carryover		22. Offset		23. Total Amount of Federal Funds Obligated this budget period	\$616,704	24. Total Approved Cost Sharing or Matching, where applicable	\$0	25. Total Federal and Non-Federal Approved this Budget Period	\$616,704	-----		26. Project Period Start Date 09-30-2022 – End Date 09-29-2023		27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	\$616,704
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Notice of Award

Congressional Directive Spending Projects
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration

Issue Date: 09-28-2022

Center for Flex Grants

Award Number: 1H79FG000755-01

FAIN: H79FG000755

Program Director: Amy Baker

Project Title: Barrier Free Re-Entry and Recovery Initiative (BFREE)

Organization Name: LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

Authorized Official: Ms. Linda Gorton

Authorized Official e-mail address: mayor@lexingtonky.gov

Budget Period: 09-30-2022 – 09-29-2023

Project Period: 09-30-2022 – 09-29-2023

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$616,704 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT in support of the above referenced project. This award is pursuant to the authority of Consolidated Appropriation Act, 2022 [P.L. 117-103] and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Odessa Crocker
Grants Management Officer
Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 1H79FG000755-01

Award Calculation (U.S. Dollars)

Personnel(non-research)	\$80,466
Fringe Benefits	\$35,775
Equipment	\$126,000
Travel	\$7,936
Supplies	\$171,600
Contractual	\$44,927
Other	\$150,000
Direct Cost	\$616,704
Approved Budget	\$616,704
Federal Share	\$616,704
Cumulative Prior Awards for this Budget Period	\$0
 AMOUNT OF THIS ACTION (FEDERAL SHARE)	 \$616,704

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$616,704

Note: Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number:	93.493
EIN:	1610858140A1
Document Number:	22FG00755E
Fiscal Year:	2022

IC	CAN	Amount
FG	C96CF01	\$616,704

IC	CAN	2022
FG	C96CF01	\$616,704

FG Administrative Data:

PCC: CDS-CSAT / **OC:** 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 1H79FG000755-01

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 1H79FG000755-01

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Use of program income – Additive: Recipients will add program income to funds committed to the project to further eligible project objectives. Sub-recipients that are for-profit commercial organizations under the same award must use the deductive alternative and reduce their subaward by the amount of program income earned.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – FG SPECIAL TERMS AND CONDITIONS – 1H79FG000755-01

REMARKS

New Award

This Notice of Award (NoA) is issued to inform your organization that the application submitted through funding opportunity announcement *FY 2022 Congressional Directive Spending Projects (FG-22-099)* has been selected for funding.

The FY 2022 Congressional Directive Spending Projects are authorized under the Consolidated Appropriation Act, 2022 [P.L. 117-103]

SAMHSA funds must be used to reduce the impact of substance use and mental illness on America's communities. SAMHSA works in partnership with states, communities, and private organizations to advance mental and substance use disorder prevention, treatment, and recovery services to improve individual, community, and public health.

Policies and Regulations – Accepting a grant award or cooperative agreement requires the recipient organization to comply with the terms and conditions of the NoA, as well as all applicable Federal Policies and Regulations. This award is governed by the Uniform Guidance

[2 Code of Federal Regulations \(CFR\) § 200](#) as codified by HHS at [45 CFR § 75](#); Department of Health and Human Services (HHS) [Grants Policy Statement](#); SAMHSA [Additional Directives](#); and the [Standard Terms and Conditions](#) for the fiscal year in which the grant was awarded.

Key Personnel – Key personnel are organization staff members or consultants/subrecipients who must be part of the project regardless of whether they receive a salary or compensation from the project. These individuals must make a substantial contribution to the execution of the project. *The key staff for this program will be the Project Director.*

The identified PD for this program is listed in item #7 “Project Director or Principal Investigator” on the cover page of the NoA. If the individual identified on the NoA is incorrect, you must notify your assigned Government Project Officer (GPO) and Grants Management Specialist (GMS) via email immediately and plan to submit a post award amendment for a change in key personnel via eRA Commons.

Key personnel or other grant-supported staff may not exceed 100% level of effort across all federal and non-federal funding sources.

Any changes to key staff, including level of effort involving separation from the project for more than three months or a 25 percent reduction in time dedicated to the project, requires prior approval, and must be submitted as a post-award amendment in eRA Commons. Refer to SAMHSA’s website for more information on submitting a [key personnel change](#). See [SAMHSA PD Account Creation Instructions](#) for a quick step-by-step guide and [SAMHSA Grantee PD Account Creation Slides](#) for additional information on the eRA Commons registration process for the PD.

Funding Limitations – Award recipients are responsible for ensuring that costs allocated to the grant award are reasonable and allowable in accordance with the Notice of Funding Opportunity and all applicable Policies & Regulations. The Cost Principles that delineate the allowable and unallowable expenditures for HHS recipients are described in the [Code of Federal Regulations](#). Funding Limitations and Restrictions are listed in the Notice [of Funding Opportunity and in the SAMHSA grantee guidelines on Financial Management Requirements](#).

Unallowable Costs – Recipients must exercise proper stewardship over Federal funds and ensure that costs charged to awards are allowable, allocable, reasonable, necessary, and consistently applied regardless of the source of funds according to the “Factors affecting allowability of costs” per [2 CFR § 200.403](#) and the “Reasonable costs” considerations per [2 CFR § 200.404](#). A cost is reasonable if, in its nature and amount, it does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the cost.

Supplanting – “Supplement Not Supplant” grant funds may be used to supplement existing activities. Grant funds may not be used to supplant current funding of existing activities. “Supplant” is defined as replacing funding of a recipient’s existing program with funds from a federal grant.

Award Payments – Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). First time PMS users must obtain access to view available funds, request funds, or submit reports. Users will need to request permission and be approved by PSC. Inquiries regarding payments should be directed to PMS by emailing the helpdesk at PMSSupport@psc.hhs.gov or call 1-877-614-553. You should also visit the PSC website for

more information about their services - <https://pms.psc.gov/>

Special Terms & Conditions of Award – There may be special terms and conditions associated with your grant award. Recipients must address all special terms and conditions by the reflected due date. See the Special Terms of Award and Special Conditions of Award sections below for the specific terms and conditions associated with your grant award. A recipient's failure to comply with the terms and conditions of award, may cause SAMHSA to take one or more actions, depending on the severity and duration of the non-compliance. SAMHSA will undertake any such action in accordance with applicable statutes, regulations, and policies.

Responding to Award Terms & Conditions – **All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons.** For more information on how to respond to tracked terms and conditions or how to submit a post award amendment request please refer to [SAMHSA Trainings](#) under the heading “Grant Management Reference Materials for Grantees.”

Prior Approval Requirements – Prior approval is required for the following changes to your grant award: Changes in the status of the Project Director, or other key personnel named in the NoA; Changes in scope; Significant re-budgeting and Transfer of substantive programmatic work; Carryover of unobligated balances; Change of grantee organization; Deviation from award terms and conditions; No-cost extension and Transfer of substantive programmatic work. A full list of actions requiring prior approval can be found on page II-49 of the HHS [Grants Policy Statement](#) Exhibit 5 (Summary of Actions Requiring OPDIV Prior Approval). **All prior approval actions must be submitted as post award amendment requests in eRA Commons.**

Post Award Amendments – If information on the NoA needs to be changed, it will require approval from the federal agency before the grant recipient can implement the modification. Please refer to the SAMHSA website for specific SAMHSA guidance on how to submit a post-award amendment in eRA Commons: <https://www.samhsa.gov/grants/grants-management/post-award-amendments>

Primary Contacts

- For technical support, contact [eRA Service Desk](#) at 866-504-9552 (Press 6 for SAMHSA Grantees).
- For budget and grants management related questions, contact your assigned GMS.
- For programmatic questions, contact your assigned GPO.

Contact information for the GMS and GPO are listed on the last page of this NoA.

Training & Resources – Visit the following pages on our website for more information on implementation, monitoring and reporting on your new grant award:

- [Grants Management](#)
- [Training & Resources for recipients](#)
- [eRA Commons](#)

SPECIAL TERMS

Risk Assessment

The Office of Financial Advisory Services (OFAS), SAMHSA may perform an administrative review of your organization's financial management systems, policies, procedures and records. If the review discloses material weaknesses or other financial management concerns, grant funding may be restricted in accordance with 45 CFR 75/2 CFR 200, as applicable. The restriction will affect your organization's ability to withdraw funds from the Payment Management System account, until the concerns are addressed.

Funding Limitations/Restrictions

Recipients must comply with SAMHSA's standard funding restrictions, which are included in Appendix G (Standard Funding Restrictions) of the Notice of Funding Opportunity Announcement.

SPECIAL CONDITIONS

Key Personnel

Key Personnel: The [FOA](#) for this grant requires the key personnel position of **Project Director (PD)**. If the PD has yet to be hired, submit a post award amendment request for change in key personnel (PD) via eRA Commons once the PD has been identified. For key personnel post award amendments, refer to the following link for Post Award Amendment Resources:

<https://www.samhsa.gov/grants/grants-management/post-award-amendments#change-in-key-personnel>

- The applicant must submit through eRA Correspondence a cover letter stating the name, salary, and level of effort of the Project Director in your full budget, even if in-kind.
- The applicant must submit through eRA Correspondence an SF 424 reflecting the Project Director named in your full budget. Include in section 4 the eRA Commons ID of the Project Director and include in section 8f the Project Director's contact information.

You can access a blank SF 424 at https://apply07.grants.gov/apply/forms/sample/SF424_4_0-V4.0.pdf

All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons. For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions.**

Please submit by October 31, 2022.

STANDARD TERMS AND CONDITIONS

Closeout Requirements

Recipients must complete all actions required for closeout to include:

- Liquidate all obligations incurred under the award. All payment requests must be submitted before the end of the one hundred-twenty (120) days post-award reconciliation/liquidation period.

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- Reconcile financial expenditures to the reported total disbursements and charges in PMS.
 - Return any funds due to PMS as a result of refunds, corrections, or audits. Refer the following link for additional guidance <https://pms.psc.gov/grant-recipients/returning-funds-interest.html>

Recipients must close the award in accordance with 2 CFR 200.344 Closeout and the terms and conditions listed in the grant notice of award. Recipients must liquidate all obligations incurred under an award not later than one hundred-twenty (120) days after the end of awards obligation and project period. After one hundred-twenty (120) days, PMS account is automatically - locked. SAMHSA does not approve payment requests after one hundred-twenty (120) days post-award reconciliation/liquidation period. Therefore, recipients are expected to complete all expenditure requests within the approved project period and the aforementioned 120-day post-award reconciliation/liquidation period. Recipients' late withdrawal requests occurring after the aforementioned periods will be denied. Final reports are due to SAMHSA no later than 120 days after the end of the project period. Final reports include:

- Submit via PMS the Final Federal Financial Report (Final FFR, SF-425) (PDF | 1.2 MB).
- Submit in eRA Commons the Final Progress Report (FPR) or other reports required by the terms and conditions of the award.
- Submit in eRA Commons a Tangible Personal Property Report (TPPR SF-428, SF428B & if needed additional forms from SF428 series) to certify no acquisition of property or acquisition of property. Account the property acquired with federal funds and indicate on the form that you the disposition and handling of the property going forward.

Failure to complete the closeout actions in 120 days after the project period end may result in a unilateral closeout of the grant by SAMHSA. This may affect future funding of federal programs and result in the reimbursement of funding to SAMHSA. If the recipient does not submit all reports satisfactorily in accordance with 2 CFR 200.344 SAMHSA will report the recipient's material failure to comply with the terms and conditions of the award with the OMB-designated integrity and performance system (currently FAPIIS). Federal awarding agencies may also pursue other enforcement actions per 2 CFR 200.339. Refer to the following SAMHSA for Closeout Standard Terms and Conditions <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>. Additional information on closeout is available at <https://www.samhsa.gov/grants/grants-management/grant-closeout>

Standard Terms for Awards

Your organization must comply with the Standard Terms and Conditions for the Fiscal Year in which your grant was awarded. The Fiscal Year for your award is identified on Page 3 of your Notice of Award. SAMHSA's Terms and Conditions Webpage is located at: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

Reasonable Costs for consideration

Recipients must exercise proper stewardship over Federal funds and ensure that costs charged to awards are allowable, allocable, reasonable, necessary, and consistently applied regardless of the source of funds according to "Reasonable Costs" consideration per 2 CFR § 200.404 and the "Factors affecting allowability of costs" per 2 CFR § 200.403. A cost is reasonable if, in its

nature and amount, it does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the cost.

Consistent Treatment of Costs

Recipients must treat costs consistently across all federal and non-federal grants, projects and cost centers. Recipients may not direct-charge federal grants for costs typically considered indirect in nature, unless done consistently. If part of the indirect cost rate, then it may not also be charged as a direct cost. *Examples of indirect costs include (administrative salaries, rent, accounting fees, utilities, office supplies, etc.).* If typical indirect cost categories are included in the budget as direct costs, it is SAMHSA's understanding that your organization has developed a cost accounting system adequate to justify the direct charges and to avoid an unfair allocation of these costs to the federal government. Also, note that all awards are subject to later review in accordance with the requirements of [45 CFR 75.364](#), [45 CFR 75.371](#), [45 CFR 75.386](#) and [45 CFR Part 75, Subpart F, Audit Requirements](#).

Compliance with Award Terms and Conditions

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY RESULT IN ACTIONS IN ACCORDANCE WITH [45 CFR 75.371](#), REMEDIES FOR NON-COMPLIANCE AND [45 CFR 75.372](#) TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

Staff Contacts:

Michael Amoh, Program Official

Phone: (240) 276-1832 **Email:** Michael.Amoh@samhsa.hhs.gov

Maegan Marcano, Grants Specialist

Phone: 240-276-0421 **Email:** Maegan.Marcano@samhsa.hhs.gov