

**COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HOUSING, BUILDINGS AND CONSTRUCTION  
DIVISION OF HVAC  
101 SEA HERO ROAD, SUITE 100  
FRANKFORT, KENTUCKY 40601-5412  
Telephone: 502-573-0395  
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**AGREEMENT WITH LEXINGTON-FAYETTE URBAN COUNTY  
GOVERNMENT  
GRANTING HVAC PERMITTING AND INSPECTION RESPONSIBILITY  
PURSUANT TO KRS 198B.6673(2)**

WHEREAS, Chapter 198B of the Kentucky Revised Statutes authorizes the creation of a uniform state building code within the boundaries of the Commonwealth and assigns specific enforcement responsibilities to each local government and to the Department of Housing, Buildings and Construction (the "Department"); and

WHEREAS, statutes specifically related to Heating, Ventilation and Air Conditioning ("HVAC") are found at KRS 198B.650 through 198B.689; and

WHEREAS, pursuant to KRS 198B.6673, the Department may authorize local government entities to administer, carry out, and enforce the rules and regulations of the Department relating to heating, ventilation, and air conditioning with the approval of the Kentucky Board of Heating, Ventilation and Air Conditioning Contractors; and

WHEREAS, Lexington-Fayette Urban County Government has met all criteria required for a local jurisdiction HVAC program pursuant to KRS 198B.6673 and 815 KAR 8:100; and

WHEREAS, Lexington-Fayette Urban County Government has agreed to enforce KRS 198B.650 through 198B.689 and 815 KAR Chapter 8 as would the Department if jurisdiction were fully retained;

## **SECTION I - JURISDICTION GRANTED TO LOCAL PROGRAM**

Lexington-Fayette Urban County Government shall assume primary plan review, inspection and enforcement responsibility under 815 KAR 7:120 (the Kentucky Building Code), 815 KAR 7:125 (the Kentucky Residential Code), and other applicable law for initial HVAC installations and major repairs or substantial alterations to an HVAC system within the geographic boundaries of Lexington-Fayette Urban County Government. Said jurisdiction is limited to HVAC systems installed in buildings over which Lexington-Fayette Urban County Government has jurisdiction pursuant to KRS 198B.060. HVAC plan review, inspection, and enforcement responsibility in all other buildings within Lexington-Fayette Urban County Government shall remain with the Department.

## **SECTION II - INSPECTORS**

It is further understood and agreed that continuation of this increased responsibility by Lexington-Fayette Urban County Government shall be contingent upon continued supervision of the local jurisdiction HVAC program by one or more HVAC inspectors meeting the requirements of KRS 198B.6673(3). All inspectors shall maintain the applicable license or certification in good standing during the term of their employment as an inspector. Lexington-Fayette Urban County Government shall immediately advise the Department of any changes to the program, including the inspectors employed.

## **SECTION III - COMPLAINTS**

The local jurisdiction HVAC program shall address all complaints related to HVAC projects occurring within the area of jurisdiction granted in Section I above, and

document all findings and applicable resolutions related to each HVAC complaint received.

#### **SECTION IV - VIOLATIONS**

The local jurisdiction HVAC program shall investigate all HVAC violations which occur within the jurisdiction, issue stop work orders, and require other remedial measures as appropriate. Additionally, local programs shall initiate and fully cooperate with county and Commonwealth attorneys regarding court cases resulting from cited violations. Lexington-Fayette Urban County Government employees shall act as witnesses for the Department in all licensing violation proceedings, as needed and as requested by the Department. Violations and their resolutions shall be documented in writing and forwarded to the Department, Division of HVAC upon completion. Lexington-Fayette Urban County Government shall maintain said records for a period of three (3) years following resolution of the violation.

#### **SECTION V - ACCOUNTING OF FEES**

Accurate accounts shall be maintained by Lexington-Fayette Urban County Government for all permitting and inspection fees under its HVAC program. Fees shall be deposited monthly in the local government's treasury or otherwise as required by law. Monthly reports containing the number of commercial and residential permits, cost of permits, number of plans reviewed, and number of inspections made shall be sent to the Department, Division of HVAC by the 10th of the month following the activity.

#### **SECTION VI - RECORD RETENTION AND AUDITS**

Lexington-Fayette Urban County Government shall maintain official records of all applications received, permits and certificates issued, fees collected, reports of

inspections created, and notices or orders issued related to the HVAC program. Records shall be retained for the statutory period required for public records as established by the Kentucky Department of Libraries and Archives pursuant to KRS 171.450.

#### **SECTION VII - DEFICIENCIES**

Deficiencies identified in the local jurisdiction HVAC program shall be addressed pursuant to 815 KAR 8:100, Section 10.

#### **SECTION VIII - TERM**

This agreement shall be effective for three (3) years; however, it may be cancelled pursuant to KRS 198B.6673 and 815 KAR 8:100.

ENTERED this \_\_\_\_\_ day of \_\_\_\_\_, 2014.

LEXINGTON-FAYETTE URBAN  
COUNTY GOVERNMENT

DEPARTMENT OF HOUSING, BUILDINGS  
AND CONSTRUCTION

BY: \_\_\_\_\_  
JIM GRAY  
MAYOR

BY: \_\_\_\_\_  
AMBROSE WILSON IV  
COMMISSIONER

Approved as to Form and Legality

\_\_\_\_\_  
MICHAEL T. DAVIS  
GENERAL COUNSEL