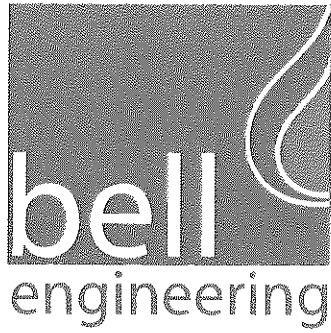


**EXHIBIT C**

**PROPOSAL OF ENGINEERING SERVICES  
AND RELATED MATTERS**



# STATEMENT *of* QUALIFICATIONS

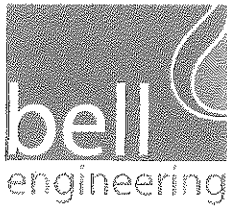
## CATEGORY 4: PIPELINE, MANHOLE, INLET PROJECTS

PROFESSIONAL ENGINEERING SERVICES  
LEXINGTON-FAYETTE URBAN  
COUNTY GOVERNMENT



NOVEMBER 12, 2012  
ELECTRONIC VERSION





November 12, 2012

Mr. Todd Slatin  
Acting Purchasing Director  
Lexington-Fayette Urban County Government  
Room 338, Government Center  
200 East Main Street  
Lexington, KY 40507

**Re: RFP #33-2012 – Professional Engineering Services; Category 4: Pipeline, Manhole, Inlet Projects**

Dear Mr. Slatin:

**Bell Engineering** is a name that has been associated with **reliability and innovation for 98 years**. As the oldest and longest continually operating consulting engineering firm in the state, we have completed projects across Kentucky and in many surrounding states. We are pleased to submit our Statement of Qualifications for prequalification in **Category 4: Pipeline, Manhole, Inlet Projects**. We are confident that with our knowledge and experience, our firm will offer top-notch service to LFUCG in this category.

**Bell Engineering brings the following to your project:**

- **Ability to weigh cost vs. I/I reduction to evaluate best rehabilitation options,**
- **We understand that repairs to one area can cause problems to migrate downstream and accommodate this during design,**
- **Bell Engineering is a registered Small Business with the SBA,**
- **Abbie Jones Consulting is an LFUCG Certified DBE,**
- **All firms have headquarters located in Lexington, Kentucky,**
- **100% Central Kentucky-based staff to perform work.**

On behalf of Bell Engineering, we thank you for the opportunity to showcase our experience and **outline the strengths that our team can bring to Category 4: Pipeline, Manhole, Inlet Projects**. We look forward to the opportunity to provide quality engineering services to the Lexington-Fayette Urban County Government through this and many future projects.

Sincerely,  
Bell Engineering

A handwritten signature in cursive script, reading "Kelly G. Gillespie".

Kelly G. Gillespie  
President

2480 fortune drive, suite 350, lexington, kentucky 40509  
859/278-5412 phone 859/278-2911 fax www.hkbell.com  
bell engineering. creating. improving. planning for the future.

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Title	Section
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Client List	3
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Local Office	5
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- Founded in Lexington, KY in 1914
- Locally owned and operated
- 100% of work performed by staff located in Kentucky
- Registered small business with SBA

Bell Engineering has remained a **locally owned and operated civil engineering firm since Mr. Howard K. Bell formed the company in Lexington, Kentucky in 1914.** Originally located on Limestone Street in downtown Lexington, Howard K. Bell Consulting Engineers, Inc. provided water, wastewater and stormwater engineering services to clients and

communities throughout Kentucky and West Virginia.

Prior to Mr. Bell's death in 1939, Mr. Grant S. Bell and Mr. James K. Latham were made partners in the firm. In 1960, operations were changed from a partnership to a corporation and the firm adopted an Employee Stock Ownership Plan (ESOP). In 2005, the firm rebranded under the name Bell Engineering. The Lexington headquarters moved to its current location on Fortune Drive in August 2010.

Hires in 2011 in the areas of landscape architecture and industrial pretreatment have added to Bell's original line of services which included environmental, sanitary and civil. **Our firm is well-staffed to complete a variety of projects in a timely, efficient and cost-effective manner.** Today we offer a full line of services to clients including water, wastewater, stormwater, gas, planning, site/civil, HVAC, grant/loan assistance and surveying to name just a few.

Bell Engineering is a name that has been associated with reliability and innovation for 98 years. As the oldest and longest continually operating consulting engineering firm in the state, **we have completed projects throughout Kentucky and in many surrounding states.** Bell Engineering is licensed to practice in Kentucky, Indiana, Ohio, Tennessee, West Virginia, Arkansas and Arizona and is a registered small business with the SBA.

Through our commitment to our clients, Bell has developed a reputation as a highly competent leader. We strive not only to meet our client's expectations, but to exceed them whenever possible through **dedicated schedule management and conscientious budget monitoring.** We do this while balancing the needs of today with careful planning for future rules and regulations. Bell employees develop long-term relationships and we currently have clients with a project span of 50+ years.

"I like the attention to detail that Bell brings to every project. It is comforting knowing the answer to any question is just a phone call away. Also, we feel that when we hire Bell for a project it is much more than a contractual partnership - it is a relationship, and Bell truly understands that." - Mr. Charlie Dick, Manager; Somerset Water & Sewer

A key member of Bell's team is Abbie Jones Consulting. **Abbie Jones Consulting, an LFUCG certified DBE,** was founded in Lexington, KY in 2011 with a vision to provide

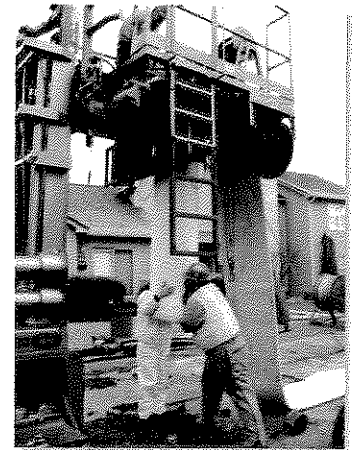


professional engineering and land surveying focused on building sustainable communities for future generations. Abbie Jones Consulting will **provide professional land surveying services** as needed on LFUCG projects including construction staking, topographic surveys, boundary surveys and elevation certification. With a Certified Professional in Erosion and Sediment Control on staff, Abbie Jones Consulting brings excellent capabilities and knowledge to our project team.

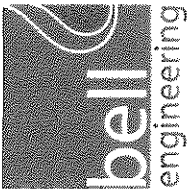
The Bell team is qualified to provide engineering services to the Lexington-Fayette Urban County Government (LFUCG) Division of Water Quality (DWQ) for Category 4: Pipeline, Manhole, Inlet Projects because **we understand that simply repairing a significant infiltration source without understanding the impact of migration to other localized defects does little to achieve significant I/I reduction**. Project team members have assisted Kentucky communities such as Richmond, Somerset and Monticello with sewer system rehabilitation projects.

Bell has many years of experience in sewer rehabilitation projects and **has utilized methods including, but not limited to, pipe bursting, cured-in-place lining and systematic point repairs**. After a project is awarded, Bell would begin by assessing the particular project area and circumstances surrounding the source of the I/I and make recommendations as to the best ways to rehabilitate for that particular situation. Through our experience in the field, we understand that all methods of rehabilitation have pros and cons, and we would **work with LFUCG to identify the best solution**.

**Bell's in-field experience with construction management and inspection** has honed our ability to **understand and anticipate common problems** that occur when performing sewer rehabilitation. Accurate as-built drawings are essential as significant challenges are often encountered when excavating clay sewers. Clay sewers are brittle and service connections tend to break back into the trench embankment, presenting the potential for missing a reconnection of the existing service lines. We have required contractors to dye test service lines to locate lost clay lines during construction.



There are numerous options for manhole rehabilitation depending upon the condition of each manhole, the material used for original construction and manhole location. Bell will begin each project by evaluating the condition, material and location and then **work with LFUCG to achieve the most cost effective method and highest quality rehabilitation**. Replacement methods include spray on liners, manhole inserts or complete removal and construction of a new manhole. Bell Engineering's team has experience with design and construction of cast-in-place, brick and pre-fabricated manholes. As a result of our experience, **our team has encountered nearly every conceivable situation in the field** and has the knowledge to determine the best solution.



**Professional Engineering Services | Pipeline, Manhole, Inlet Projects**



**Lexington-Fayette Urban County Government**  
Mayor: Jim Gray  
Urban County Council

**LFUCG Division of Water Quality**  
Water Quality Director:  
Charlie Martin, P.E.

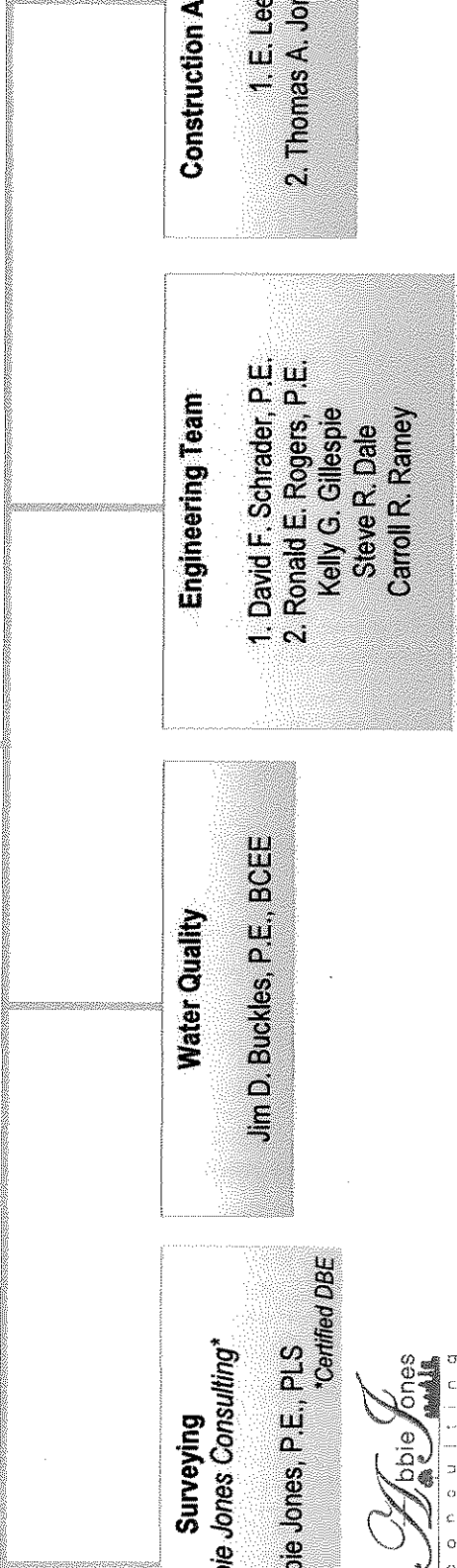
**LFUCG**

**Bell Engineering**

**Subconsultants**

**Principal-In-Charge/Project Manager**  
James K. Roberts, P.E., PLS

**Quality Assurance**  
Kelly G. Gillespie



**Surveying**  
Abbie Jones Consulting\*  
Abbie Jones, P.E., PLS  
\*Certified DBE

**Water Quality**  
Jim D. Buckles, P.E., BCEE

**Engineering Team**  
1. David F. Schrader, P.E.  
2. Ronald E. Rogers, P.E.  
Kelly G. Gillespie  
Steve R. Dale  
Carroll R. Ramey

**Construction Administration**  
1. E. Lee Lowe  
2. Thomas A. Jones, CIPE/CPD



**James K. Roberts, P.E., PLS**  
**Principal-In-Charge/Project Manager**

**EDUCATION**

B.S. Civil Engineering,  
University of Kentucky  
Value Engineering  
Workshop Training,  
CAD Production  
Technology &  
Workshop

**REGISTRATIONS**

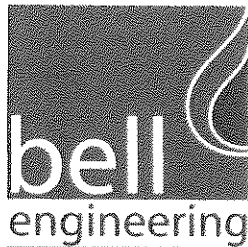
Professional Engineer,  
KY – 15736  
Professional Engineer,  
WV – 13391;  
Land Surveyor, KY –  
3010  
Land Surveyor, WV –  
1619

Mr. Roberts is a **Principal in the firm** and serves as Executive Vice President and Director of Engineering Operations at Bell Engineering. He specializes in the **analysis of sewer collection and pumping systems (SSES)** and brings over **34 years of experience** in the industry. Mr. Roberts has advanced training in the use of EPA SWMM v5.0 sewer modeling and analysis software and is currently using it on several collection and treatment system studies. He has completed design work on both water and wastewater treatment projects and has experience in site development and utility relocation projects. He serves as our **in-house quality assurance coordinator** on most projects. Client satisfaction and meeting budgets and schedules are his main focus throughout a project.

**Relevant Experience**

- Project engineer for Phase II Sanitary Sewer Rehabilitation including replacement of 2,022 L.F. of gravity sewer and 50 manholes – Butler, KY
- Project engineer for Eastside Park Sanitary Sewer Extensions including a new 80 gpm pump station, 3,150 L.F. of 8- and 10-inch gravity sewers, 4,200 L.F. of 4-inch force main, 1,200 L.F. of 10-inch polyethylene encasement pipe under the Licking River and clay and brick sewers were replaced with PVC and concrete – Butler, KY
- Project manager for Phase I Sanitary Sewer Rehabilitation including pressure cleaning, adjusting grade rings, grout and seal of manholes, pump station rehab, main line cleaning and replacement of approximately 1,000 L.F. of 10-inch gravity sewer – Clay City, KY
- Project manager for Trunk Sewer Rehabilitation which will replace 2,000 L.F. of existing parallel 15- and 18-inch trunk sewers with a single 24-inch trunk line – Stanton, KY
- Project manager for emergency main trunk sewer replacement including 5,000 L.F. of 12- and 18-inch sewer which was designed, bid and constructed in 60 days – Pendleton Co. Fiscal Court and Falmouth, KY
- Project manager and lead designer for Maple Avenue Sewers & Sewage Collection and Transportation System including 3,000 L.F. of 8-inch gravity sewers replacing existing sewers in place – Falmouth, KY
- Project manager/professional engineer for Longwood and Transcraft Pump Station Improvement Project including sewage pump station expansion (500 - 1,200 gpm) and upsizing 10,000 L.F. of force main from 6- to 8-inch – Mt. Sterling Water and Sewer System; Mt. Sterling, KY
- Project manager for sanitary sewer rehabilitation including manhole sealing, line replacement, and point repairs – Falmouth, KY





**David F. Schrader, P.E.**  
**Engineer 1**

**EDUCATION**

B.S. Civil Engineering,  
University of Kentucky

**REGISTRATIONS**

Professional Engineer,

KY – 20981;

Professional Engineer,

OH – 72615;

Professional Engineer,

WV – 18569;

Professional Engineer,

IN – 11011548,

Pretreatment

Coordinator

As a **Principal in the firm** and Assistant Director of Bell's Engineering Department, Mr. Schrader has designed and managed numerous water and wastewater treatment, collection and distribution projects. He has over **19 years' experience** serving as client manager, process designer, project manager and construction administrator. Over the last 8 years, Mr. Schrader has **managed \$150 million in construction** of water and wastewater treatment plants and has provided engineering services on a variety of water and sewer line projects including new, rehabilitation and extension.

**Relevant Experience**

- Project engineer for Midtown Sanitary Sewer Upgrade project including replacement of a total of 12,400 feet of trunk sewer, consisting of 15-, 18-, 21- and 24-inch diameter vitrified clay and ductile iron pipe with 24- and 30-inch diameter PVC and ductile iron pipe – Somerset, KY
- Project engineer for Kennedy Street Sewer Rehab project including replacement of 5,500 L.F. of 8-inch gravity sewer with 10-inch gravity sewer, stream restoration and street restoration – Somerset, KY
- Project engineer for Downtown Water & Sewer – Phase II project including replacement of 3,720 feet of 8- and 10-inch gravity sewers, one (1) 80 gallon per minute (gpm) Hill Rise sewage pump station, one (1) 80 gpm Beech Valley sewage pump station and one (1) 350 gpm Cave Street sewage pump station – Monticello, KY
- Project manager for Foxhaven Pump Station Replacement project which included replacement of 1 faulty pump station and 75 L.F. of 8-inch gravity sewer – Richmond, KY
- Project manager for the North Logsden Pump Station Replacement project which will eliminate the existing North Logsden Pump Station and redirect flow to another watershed and replace approximately 2,732 L.F. of 8-inch force main with gravity sewer – Harding County Water District #1
- Project manager and lead engineer for the design of sewage collection system improvements, including over 17,500 L.F. of 8-inch gravity sewers, 75 manholes, 6 lift stations, and a 200,000 gpd package activated sludge sewage treatment plant – Russell Springs, KY

Ronald E. Rogers, P.E.  
Engineer 2

**EDUCATION**

B.S. Civil Engineering,  
University of Kentucky;  
M.S. Civil Engineering,  
University of Kentucky;  
Army Engineering  
School, Ft. Belvoir, VA;  
Value Engineering  
Workshop Training

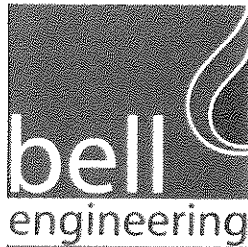
**REGISTRATIONS**

Professional Engineer,  
KY – 11669  
Professional Engineer,  
WV – 14125

Mr. Rogers is a recognized expert in wastewater system evaluation and planning with **36 years of experience** in the industry. He is a **Principal in the firm** and also provides **quality assurance** on projects. He **specializes in project management and design of** wastewater treatment plants, pump stations and **sewers and force mains** and also has extensive knowledge in preparing facilities plans, performing infiltration/inflow analysis, completing sewer system evaluation surveys and sewer rehabilitation design. Mr. Rogers has completed training in value engineering curriculum and has served on VE teams for wastewater system design evaluation.

Relevant Experience

- Project engineer for Midtown Sanitary Sewer Upgrade project including replacement of a total of 12,400 feet of trunk sewer, consisting of 15-, 18-, 21- and 24-inch diameter vitrified clay and ductile iron pipe with 24- and 30-inch diameter PVC and ductile iron pipe – Somerset, KY
- Project engineer for Kennedy Street Sewer Rehab project including replacement of 5,500 L.F. of 8-inch gravity sewer with 10-inch gravity sewer – Somerset, KY
- Project engineer for Downtown Water & Sewer – Phase II project including replacement of 3,720 feet of 8- and 10-inch gravity sewers, one (1) 80 gallon per minute (gpm) Hill Rise sewage pump station, one (1) 80 gpm Beech Valley sewage pump station and one (1) 350 gpm Cave Street sewage pump station – Monticello, KY
- Project engineer for Downtown Water & Sewer – Phase I project including replacement of 4,920 feet of 8-inch gravity sewers – Monticello, KY
- Project engineer for Hospital & Dental Clinic Sewer Project which provided sanitary sewer service to a new hospital and dental clinic and also strengthened downstream wastewater infrastructure to accept additional flow; included 2,595 L.F. of new 8-inch gravity sewer, replacement of 200 L.F. of 8-inch, 753 L.F. of 10-inch, 788 L.F. of 15-inch and 300 L.F. of 18-inch gravity sewer – Greensburg, KY
- Project engineer for Phase II Sanitary Sewer Rehabilitation including replacement of 2,022 L.F. of gravity sewer and 50 manholes – Butler, KY
- Project engineer for Eastside Park Sanitary Sewer Extensions including a new 80 gpm pump station, 3,150 L.F. of 8- and 10-inch gravity sewers, 4,200 L.F. of 4-inch force main, 1,200 L.F. of 10-inch polyethylene encasement pipe under the Licking River and clay and brick sewers were replaced with PVC and concrete – Butler, KY
- Project manager and engineer for sewer rehabilitation project including approximately 4,570 linear feet of cured-in-place pipe lining (CIPP), grouting and sealing of 43 brick manholes, replacement of approximately 1,587 linear feet of 8-inch to 12-inch diameter sewer and replacing 13 existing manholes – Milton, WV



**Kelly G. Gillespie**  
**Engineering Services**

**EDUCATION**

B.S. Civil Engineering  
University of Kentucky

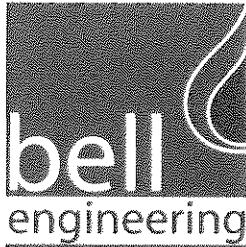
**REGISTRATIONS**

OSHA 29 CFR  
1910.120 Hazardous  
Waste Operations  
Certification  
Underground  
Petroleum Storage  
Tank Contractor's  
License – KY  
Confined Space Entry  
Training & Certification

Mr. Gillespie is a **Principal in the firm** and serves as **President at Bell Engineering**. He has **19 years of industry experience** and serves as principle-in-charge and project manager on a multitude of projects. Mr. Gillespie has extensive knowledge related to wastewater collection systems. His main focus is project development, funding assistance, project management, analysis, design and construction administration on **sewer collection** and water distribution projects.

**Relevant Experience**

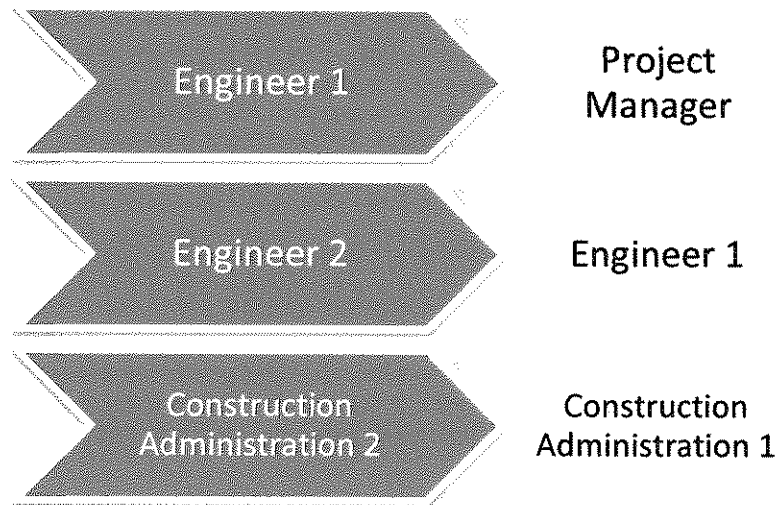
- Project manager and engineer for Midtown Sanitary Sewer Upgrade project including replacement of a total of 12,400 L.F. of trunk sewer, consisting of 15-, 18-, 21- and 24-inch diameter vitrified clay and ductile iron pipe with 24- and 30-inch diameter PVC and ductile iron pipe – Somerset, KY
- Project manager and engineer for Kennedy Street Sewer Rehab project including replacement of 5,500 L.F. of 8-inch gravity sewer with 10-inch gravity sewer, stream restoration and street restoration – Somerset, KY
- Principal-in-charge and project manager for Downtown Water & Sewer – Phase II project including replacement of 3,720 feet of 8- and 10-inch gravity sewers, one (1) 80 gallon per minute (gpm) Hill Rise sewage pump station, one (1) 80 gpm Beech Valley sewage pump station and one (1) 350 gpm Cave Street sewage pump station – Monticello, KY
- Principal-in-charge and project manager for Downtown Water & Sewer – Phase I project including replacement of 4,920 feet of 8-inch gravity sewers – Monticello, KY
- Principal-in-charge and project manager for Phase II Sanitary Sewer Rehabilitation including replacement of 2,022 L.F. of gravity sewer and 50 manholes – Butler, KY
- Project manager for Eastside Park Sanitary Sewer Extensions including a new 80 gpm pump station, 3,150 L.F. of 8- and 10-inch gravity sewers, 4,200 L.F. of 4-inch force main, 1,200 L.F. of 10-inch polyethylene encasement pipe under the Licking River and clay and brick sewers were replaced with PVC and concrete – Butler, KY
- Project engineer for the North Logsdon Pump Station Replacement project which will eliminate the existing North Logsdon Pump Station and redirect flow to another watershed and replace approximately 2,732 L.F. of 8-inch force main with gravity sewer – Harding County Water District #1



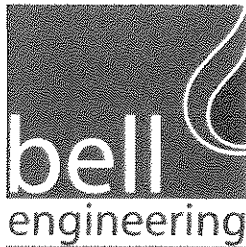
Bell Engineering understands that projects awarded through this RFP are driven by LFUCG's EPA Consent Decree and are time sensitive in nature. We also understand LFUCG's desire for prequalified firms to have a risk management plan in place to deal with key staff changes that could potentially cause a project to fail to meet deadlines.

Though our firm currently enjoys an extremely low turnover rate, we admit that unforeseen circumstances could play a role in preventing a key team member from fulfilling their project duties. We pride ourselves in providing our clients with a team that utilizes many qualified staff who work together rather than relying on a single person for success. With this makeup, all key roles can easily be filled by another member of the project team. This person will already be familiar with the project, expectations, schedule and budget and will seamlessly transition as needed.

The following diagram represents the line of succession for the project team. As you can see, though we expect our team members to fulfill their responsibilities throughout the duration of projects, we are prepared to fill those key roles very easily.



In the event that Abbie Jones Consulting, our certified DBE, is unable to complete project surveying responsibilities, we have several options for filling that role. First, our firm has worked with several other local DBE firms who would potentially be willing to fulfill any necessary surveying duties. We would attempt to secure another certified DBE firm in order to successfully meet LFUCG's goal of 10% DBE participation. Ultimately though, Bell is capable of providing these services in-house if needed.


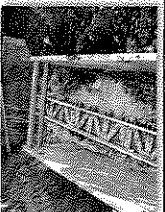
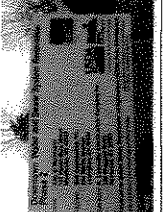
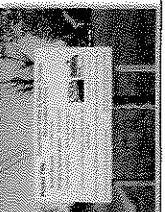

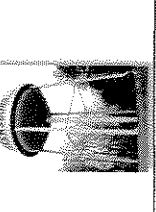







Section 3  
Client List

Bell Engineering has provided engineering services on many projects similar to those that will be included in Category 4: Dig & Replace Pipelines. The following is a list of clients and projects which have been completed within the past 5 years.

Client/Project Name	Contact Information
<b>Somerset, Kentucky</b> - Kennedy Street Sewer Project - Midtown Sanitary Sewer Project	<b>Contact Name:</b> Charlie Dick <b>Title:</b> General Manager <b>Phone:</b> 606/678-4466 <b>Email:</b> cdick@cityofsomerset.com
<b>Monticello, Kentucky</b> - Downtown Water & Sewer Renovation – Phase I - Downtown Water & Sewer Renovation – Phase II	<b>Contact Name:</b> Gene Jones <b>Title:</b> General Manager <b>Phone:</b> 606/348-8473 <b>Email:</b> genejones@monticelloutility.com
<b>Richmond, Kentucky</b> - Foxhaven Drive Pump Station Project	<b>Contact Name:</b> Scott Althausen <b>Title:</b> General Manager <b>Phone:</b> 859/623-2323 <b>Email:</b> salthausen@richmondutilities.com
<b>Greensburg, Kentucky</b> - Hospital & Dental Clinic Sewer Project	<b>Contact Name:</b> George C. Cheatham <b>Title:</b> Mayor <b>Phone:</b> 270/932-4298 <b>Email:</b> mayor@greensburgonline.com
<b>Clay City, Kentucky</b> - Phase I Sanitary Sewer Rehabilitation	<b>Contact Name:</b> Jimmie Caudill <b>Title:</b> Mayor <b>Phone:</b> 606/663-2224 <b>Email:</b> claycity04@yahoo.com
<b>Hardin County Water District #1</b> - North Logsdon & Peyton Place Pump Station Replacement	<b>Contact Name:</b> Preston Pendley <b>Title:</b> Engineering Manager <b>Phone:</b> 270/352-4280 ext. 224 <b>Email:</b> ppendley@HCWD.com
<b>Butler, Kentucky</b> - Phase II Sanitary Sewer Rehabilitation - Eastside Park Sanitary Sewer Extensions	<b>Contact Name:</b> Delbert Reid <b>Title:</b> Former Mayor <b>Phone:</b> 859/472-5015 <b>Email:</b> N/A
<b>Stanton, Kentucky</b> - Trunk Sewer Rehabilitation Project	<b>Contact Name:</b> Dale Allen <b>Title:</b> Mayor <b>Phone:</b> 606/663-4459 <b>Email:</b> cityofstanton@kymail.com



Project Name/Client		Description	Services Provided	Project Team			
	<p><b>Kennedy Street Sewer Rehab</b> City of Somerset Completed: 2010 Construction Cost: \$618,862</p>	<p>This project included the replacement of 1,600 L.F. of 10-inch gravity sewer and 3,875 L.F. of 6-inch gravity sewer. The Kennedy Street area had been a significant source of inflow and infiltration for 15 years and this project presented unique challenges as it was mostly in the back yards of well developed areas. When possible, new sewers were constructed adjacent to the old, but a significant portion required replacement of gravity sewers in the same location. This project also required a bore under a Kentucky State Highway.</p> <p>During construction, the City decided to take advantage of typical construction disruption to correct existing stormwater issues. At Somerset's request, Bell designed 2,000 L.F. of new sidewalks, curb and gutter and catch basins along Chadoin Street. In addition, Chadoin Street was milled and repaved. Also added was 2,500 L.F. of stream restoration, which included widening and channel lining.</p>	<ul style="list-style-type: none"> <li>• Planning Assistance</li> <li>• Funding Assistance</li> <li>• Design</li> <li>• Permitting</li> <li>• Bidding</li> <li>• Construction Administration</li> <li>• Resident Project Representation</li> </ul>	James K. Roberts	David F. Schmidt	Ronald E. Rogers	Keith C. Giesse
	<p><b>Midtown Sanitary Sewer Upgrade</b> City of Somerset Construction to Begin: 2013 Estimated Construction Cost: \$3,000,000</p>	<p>This project is designed to replace approximately 12,400 L.F. of trunk sewer and includes installation of VFD's at the Sinking Creek Wastewater Treatment Plant. The project area has experienced significant growth during the past 10 years and as a result, the sanitary sewer system is overloaded. Additionally, the system has significant inflow and infiltration. During the May 1, 2010 flood, several residential and commercial customers were damaged as a result of sewage overflows.</p>	<ul style="list-style-type: none"> <li>• Planning Assistance</li> <li>• Design</li> <li>• Permitting</li> <li>• Bidding</li> <li>• Construction Administration</li> <li>• Resident Project Representation</li> </ul>				
	<p><b>Downtown Water &amp; Sewer Renovations - Phase II</b> City of Monticello Completed: 2010 Construction Cost: \$1,204,851</p>	<p>A total of 12,400 L.F. of trunk sewer, consisting of 15-, 18-, 21- and 24-inch diameter vitrified clay and ductile iron pipe will be replaced with 24- and 30-inch diameter PVC and ductile iron pipe. The project involves challenges such as concrete piers to bridge water ways and 110 feet of 42-inch bore and 275 feet of 36-inch bore. These bores will be under the Norfolk Southern Rail Road. Design of the project is 100 percent complete.</p>	<ul style="list-style-type: none"> <li>• Planning Assistance</li> <li>• Design</li> <li>• Permitting</li> <li>• Bidding</li> <li>• Construction Administration</li> <li>• Resident Project Representation</li> </ul>				
	<p><b>Downtown Water &amp; Sewer Renovations - Phase I</b> City of Monticello Completed: 2008 Construction Cost: \$1,942,104</p>	<p>This project consisted of replacement of 3,720 L.F. of 8- and 10-inch gravity sewers, replacement of an 80 gallon per minute (gpm) Hill Rise sewage pump station, an 80 gpm Beech Valley sewage pump station and a 350 gpm Care Street sewage pumping station, and 7,600 feet of 6-inch water main.</p> <p>The project was awarded to K. Carrander Construction for \$65,492 below the engineer's estimate and the original project was completed for \$35,000 less than the award amount. As a result, the City of Monticello was able to replace additional water and sewer lines and utilize the entire \$1.4 million in RD funds.</p>	<ul style="list-style-type: none"> <li>• Planning Assistance</li> <li>• Design</li> <li>• Permitting</li> <li>• Bidding</li> <li>• Construction Administration</li> <li>• Resident Project Representation</li> </ul>				
	<p><b>Foxhaven Pump Station Replacement</b> City of Richmond Completed: 2010 Construction Cost: \$175,000</p>	<p>The project consisted of replacement of 4,920 L.F. of 8-inch gravity sewers, 14,100 L.F. of 4-, 6- and 8-inch water lines, 43 water valves and 16 fire hydrants.</p> <p>The project was fully funded by USDA Rural Development and the Commonwealth of Kentucky. Bell Engineering was able to work closely with Monticello, the contractor and all funding agencies to complete a successful project ahead of schedule.</p>	<ul style="list-style-type: none"> <li>• Planning Assistance</li> <li>• Design</li> <li>• Permitting</li> <li>• Bidding</li> <li>• Construction Administration</li> <li>• Resident Project Representation</li> </ul>				
	<p><b>Hospital &amp; Dental Clinic Sewer Project</b> City of Greensburg Completed: 2012 Construction Cost: \$1,204,847</p>	<p>This project included the complete replacement and abandonment of an existing faulty sewage pump station. The existing pump station had aged and deteriorated to the point where it no longer effectively operated. After rehabilitation and replacement options were evaluated, it was determined the best approach was to abandon the existing station and build a newer, more efficient, wet well mounted, submersible pump station with a capacity of 150 gpm. Additionally, approximately 75 L.F. of 6-inch gravity sewer was replaced. This project was the first project utilizing ARPA funds completed in the state of Kentucky.</p> <p>This project provided sanitary sewer service to a new hospital and dental clinic site and strengthened downstream wastewater infrastructure to accept the additional flow through replacement of sewer lines. To provide service to the clinic, 2,595 L.F. of new 8-inch gravity sewer was constructed. Improvements made to the downstream infrastructure included replacement of 200 L.F. of 8-inch, 225 L.F. of 10-inch, 788 L.F. of 15-inch and 300 L.F. of 18-inch gravity sewer. At the completion of the as-bid project, funds remained so an additional 528 L.F. of 10-inch gravity sewer was replaced to expend the remaining funds.</p>	<ul style="list-style-type: none"> <li>• Planning Assistance</li> <li>• Design</li> <li>• Permitting</li> <li>• Bidding</li> <li>• Construction Administration</li> <li>• Resident Project Representation</li> </ul>				

Project Name/Client		Description	Services Provided	Project Team			
 <p>Phase I Sanitary Sewer Rehabilitation City of Clay City Completed: 2010 Construction Cost: \$329,082</p>	<p>This project included pressure cleaning, adjusting grade rings, grout and seal of manholes, pumping station rehabilitation, including a new pumping station and rehabilitation of 5 other stations, and also main line rehabilitation which includes cleaning, TV inspection, and replacement of approximately 1,000 L.F. of 10-inch gravity sewers.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Funding Assistance</li> <li>• Design</li> <li>• Permitting</li> <li>• Bidding</li> <li>• Construction Administration</li> <li>• Resident Project Representation</li> </ul>	James K. Roberts	David T. Schraeder	Ronald M. Rogers	Kalif G. Dillamore	
 <p>North Logsdan Pump Station Replacement Hardin County Water District #1 Completed: Completing PER Construction Cost: TBD</p>	<p>This project will eliminate the existing North Logsdan Pump Station and redirect flow through a new gravity sewer into another watershed. Approximately 2,732 L.F. of 8-inch force main will be replaced with gravity sewer. Bell is currently preparing the Preliminary Engineering Report and will move into the design process once the PER is accepted.</p>	<ul style="list-style-type: none"> <li>• Design</li> <li>• Permitting</li> <li>• Bidding</li> <li>• Construction Administration</li> <li>• Resident Project Representation</li> </ul>					
 <p>Eastside Park Sanitary Sewer Extensions City of Butler Completed: 2008 Construction Cost: \$989,437</p>	<p>This project included construction of a new 80 gallon per minute (gpm) sewage pump station, 3,150 L.F. of 8- and 10-inch gravity sewers, 4,200 L.F. of 4-inch force main and 1,200 feet of 10-inch polyethylene encasement pipe under the Licking River. The 10-inch encasement pipe was installed by directional boring. Clay and brick sewers were replaced in place with new PVC and concrete. The project also included a pressure sewer system including two (2) E-One pump stations. Electrical controls and telemetry were also installed as part of this project.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Funding Assistance</li> <li>• Design</li> <li>• Permitting</li> <li>• Bidding</li> <li>• Construction Administration</li> <li>• Resident Project Representation</li> </ul>					
 <p>Phase II Sanitary Sewer Rehabilitation City of Butler Completed: 2008 Construction Cost: \$430,000</p>	<p>This project resulted from SSES work completed by Bell Engineering which consisted of physical inspections of manholes, pump stations and sewer mains and flow monitoring, smoke testing and TV inspections. The sewer system was divided into 4 sub-watersheds to determine the amount of inflow and infiltration. The areas with the largest I/I were then targeted for rehabilitation projects. Phase II included replacement of 2,022 L.F. of gravity sewer and 50 manholes.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Funding Assistance</li> <li>• Design</li> <li>• Permitting</li> <li>• Bidding</li> <li>• Construction Administration</li> <li>• Resident Project Representation</li> </ul>					
 <p>Trunk Sewer Rehabilitation City of Stanton Completed: Design Complete Estimated Construction Cost: \$252,000</p>	<p>This project will include the replacement of 2,000 L.F. of existing parallel 15- and 18-inch trunk sewers with a single 24-inch trunk line. The existing lines are in a low lying area along a creek and contribute excessive inflow and infiltration to the system due to their age.</p>	<ul style="list-style-type: none"> <li>• Planning</li> <li>• Funding Assistance</li> <li>• Permitting</li> <li>• Design</li> <li>• Bidding</li> <li>• Construction Administration</li> <li>• Resident Project Representation</li> </ul>					

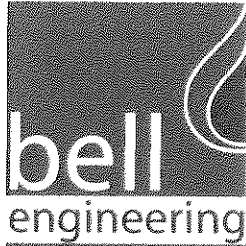
**Attachment 1**

**Project Team Location(s)**

<b>Prime Consultant</b>	<b>Location (City, State)</b>	<b>Date Office Established</b>	<b>Total Number of Employees</b>	<b>No. of Employees expected to work on DWQ projects</b>	<b>% of Services Performed in Local Office</b>
Headquarters	Lexington, KY	1914	40	9	100%
Local Office					
PM Location	Lexington, KY				
<b>Subconsultants Name:</b>	Abbie Jones Consulting				
Service Provided	Surveying				
Headquarters	Lexington, KY	2011	5	5	100%
Local Office					
Name:					
Service Provided					
Headquarters					
Local Office					
Name:					
Service Provided					
Headquarters					
Local Office					

**Notes:**

1. "Headquarters" refers to the corporate office that provides project support to the local office, if applicable. If support comes from multiple locations, use the blank spaces in the form to provide relevant information.
2. Listing of sub-consultants is optional and should only be provided if the prime consultant considers the sub-consultant(s) services to be essential to meeting the required qualifications. In this event, documentation from the sub-consultant(s) shall be submitted in the SOQ that provides a commitment to be a part of the prime consultant's team in providing the stated services. In such cases, for the purpose of evaluating the proposals, committed sub-consultants will be considered to be part of the prime consultant's workforce. Prime consultants face potential disqualification from future work if DWQ finds that the identified sub-consultants are not being utilized to deliver assigned work products.



## Section 6 DBE Involvement

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Bell Engineering is committed to meeting or exceeding the Lexington-Fayette Urban County Government's (LFUCG) goal that not less than ten percent of the total value of work conducted on projects our team is selected for be performed by certified DBE's. As a local firm, Bell values the effort placed on supporting local businesses and DBE's. We appreciate opportunities to create a 100% local team and support minority and women owned businesses.

Bell keeps LFUCG's list of certified DBE firms on file and refers to the list to contact DBE firms for project opportunities. Additionally, staff attend minority business events such as the 10<sup>th</sup> Annual Minority Business Expo held in August 2012 to network with DBE owners and employees. We have developed relationships with several DBE firms in Lexington and are frequently in contact regarding teaming opportunities.

Bell currently utilizes DBE's on several projects including:

Blue Sky Pump Station & Force Main Project; LFUCG – Vision Engineering  
Role: Surveying and easement acquisition services

Nicholasville Road Stormwater Project; University of Kentucky – Abbie Jones Consulting  
Role: Surveying and easement acquisition services

Please see Appendix A for the completed LFUCG MBE/WBE Participation Form along with statements of commitments from sub-consultants.

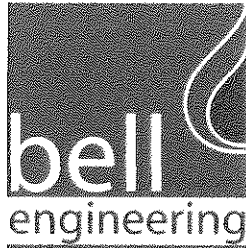
**Hourly Rate Schedule  
Remedial Measures Plan and Related Projects**

Job Classification	Hourly Rate
Principal	\$169.00
Project Manager	\$162.00
Project Engineer (PE)	\$146.00
Project Engineer (EIT)	\$95.00
Engineering Technician / CAD Technician	\$93.00
Survey Crew	\$112.00
Clerical	\$59.00

**Reimbursable Expenses - 2012**

Mileage (Auto)	\$0.555/mile
Mileage (Field Truck)	\$0.60/mile
VCR & Monitor Charge	<i>Cost</i>
Video Camcorder	\$10.00/day, plus \$20.00/tape
Electrical Meters Charge	<i>Cost</i>
Flow Meter Charge	\$300.00/week, or \$1,200.00/month
Rain Gauge	\$100.00/week, or \$400.00/month
Sampler Charge	<i>Cost</i>
Dissolved Oxygen Tester Charge	<i>Cost</i>
Fluorometer	<i>Cost</i>
Laboratory Pilot Testing Charge	<i>Cost</i>
Soil Gas Kit	<i>Cost</i>
Submersible Pump	<i>Cost</i>
Water Level Meter	\$20.00/day, or \$400.00/month
Soil Sampling	\$90.00/hour
Groundwater Sampling	\$90.00/hour
Long Distance Phone Calls	<i>Cost</i>
Meals & Lodging	<i>Cost</i>
Air Travel, Airport Parking	<i>Cost</i>
Public Transportation, Tolls	<i>Cost</i>
Car Rental	<i>Cost</i>





*Appendix A*

- Required Affidavit
- Equal Opportunity Agreement
- Bell Engineering Affirmative Action Plan
- Workforce Analysis Form
- DBE Participation Form
- Abbie Jones Consulting DBE Certification
- Subconsultants Statement of Commitment
- Firm Information
- General Provision

## AFFIDAVIT

Comes the Affiant, James K. Roberts, P.E., PLS, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is James K. Roberts, P.E., PLS and he/she is the individual submitting the proposal or is the authorized representative of Bell Engineering, the entity submitting the proposal (hereinafter referred to as "Proposer").

2. Proposer will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the proposal is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.

3. Proposer will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.

4. Proposer has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.

5. Proposer has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Proposer will not violate any provision of the campaign finance laws of the Commonwealth.

6. Proposer has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."

**continued on next page**

7. Proposer acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

James K. Roberts

STATE OF Kentucky

COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me by James K. Roberts, P.E., PLS on this the \_\_\_\_\_ day of 11/12, 2012.

My Commission expires: Oct 14 2013

David Bull

NOTARY PUBLIC, STATE AT LARGE

**EQUAL OPPORTUNITY AGREEMENT**

---

The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states:

*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*

- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*


\*\*\*\*\*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

*I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped and aged persons.*

  
\_\_\_\_\_  
Signature

Bell Engineering  
\_\_\_\_\_  
Name of Business

**AFFIRMATIVE ACTION PLAN FOR  
EQUAL EMPLOYMENT OPPORTUNITY AT  
BELL ENGINEERING**

1. Policy

It is the policy of this firm to provide equal employment opportunity to all persons without regard to their race, color, religion, sex, age, veteran status, handicap, or national origin, and to promote the full realization of equal employment opportunity through a positive continuing program. The firm will assure that applicants are recruited and hired, and that employees are treated without regard to their race, color, religion, sex, age, veteran status, handicap, or national origin. Equal opportunity and equal consideration will be afforded to all applicants and employees in personnel actions which include recruiting and hiring, selection for training, promotion, fixing rates of pay or other compensation, transfer and layoff or termination. It is our intention, in the implementation of this policy, to provide full employment opportunities for members of minority groups. Furthermore, it is our policy to coordinate the affirmative action program directed at seeking personnel from minority groups for employment with the firm and to provide encouragement and direction to our staff to advance within the limits of each individual's capabilities.

2. Dissemination of Policy

Our equal employment opportunity is and will be communicated to all relevant audiences within and outside the firm. These include non-discrimination policy statements in our personnel manuals and memoranda, and employee information literature; posting of EEO posters on bulletin boards; periodic written and oral statements of policy from the firm to its management staff; discussion of policy and affirmative action plans in management meetings and development sessions; recruiting practices that will provide equal opportunity to all persons possessing requisite skills seeking employment with this firm.

3. Responsibility for Implementation of Policy

A firm officer will be responsible for the implementation of our affirmative action policy and will direct our equal employment opportunity program. His responsibilities will include: (1) developing policy statements, affirmative action programs, internal and external communication techniques; (2) assisting in the identification of problem areas; (3) assisting project engineers and project managers in arriving at solutions to problems; (4) designing and implementing audit and report systems that will measure the effectiveness of the program, indicate a need for remedial action, and determine the degree to which the goals and objectives of the firm's progress have been attained; (5) serving as liaison between the firm and enforcement agencies, minority organizations and community action groups; (6) keeping the management informed of the latest developments in the entire equal employment opportunity area.

4. Analysis of Firm Employment

The attached Table 1 provides a breakdown of total employment into job categories based on position description having equivalent pay grades. Also, the table contains minority and women employment per job category, overall percentage within our recruiting area, and the percentage of those possessing the necessary employment skills. Our recruiting area for engineers is nationwide while that for designers/planners, engineering technicians, inspectors, draftspersons, and economists is mostly state-wide. Beginning inspectors, draftspersons, administrative assistants and secretaries are recruited within the Standard Metropolitan Statistical Area.



In analyzing our utilization in each job category, we have arrived at the following conclusions:

- a. Job Category: Consultant  
Discussion: Consultants are long-term employees, presently retired from full-time service but still working part-time, providing a special expertise to the firm.  
Conclusion: This is not an under-utilization area. In furthering our equal employment opportunity policy, we will continue to encourage and promote full opportunity to minority and women employees with the requisite skill and tenure to advance to this position.
- b. Job Category: Principal  
Discussion: Principals are the owners of the firm. Openings for these positions are created only through action of the Board of Directors. All principals own stock in the firm and any new principal will have to purchase available stock.  
Conclusion: Because openings in this category are extremely limited, the establishment of specific goals cannot realistically be accomplished. In furthering our equal employment opportunity policy, we will encourage and promote full opportunity for minority and women employees with the requisite skill and tenure to advance to this position.
- c. Job Category: Engineer  
Discussion: Within the recruiting area, minorities possessing the requisite skills for this category represent approximately 5.3 percent of the experienced work force.  
Conclusion: This is not an under-utilization area. However, in furthering our equal employment opportunity policy, we will encourage and promote full opportunity for minority and women employees with the requisite skills and education.
- d. Job Category: Architect  
Discussion: Within the recruiting area, minorities possessing the requisite skills for this category represent approximately 5.3 percent of the experienced work force.  
Conclusion: This is not an under-utilization area. However, in furthering our equal employment opportunity policy, we will encourage and promote full opportunity for minority and women employees with the requisite skills and education.
- e. Job Category: Designer/Planner  
Discussion: Within the recruiting area, minorities possessing the requisite skills for this category represent approximately 20.1 percent of the experienced work force.  
Conclusion: When an opening occurs, we will encourage and promote full opportunity for minority and women employees with the requisite skills and education.
- f. Job Category: Engineering Technician  
Discussion: Within the recruiting area, minorities possessing the requisite skills for this category represent approximately 20.1 percent of the experienced work force.  
Conclusion: When an opening occurs we will encourage and promote full opportunity for minority and women employees with the requisite skills and education.
- g. Job Category: Inspector/Operations Specialist  
Discussion: Within the recruiting area, minorities possessing the requisite skills for this category represent approximately 20.1 percent of the experienced work force.  
Conclusion: When an opening occurs, we will encourage and promote full opportunity for minority and women employees with the requisite skills to fill such positions.

- h. Job Category: Draftsperson  
Discussion: Within the recruiting area, minorities possessing the requisite skills for this category represent approximately 23.7 percent of the experienced work force.  
Conclusion: When an opening occurs, we will encourage and promote full opportunity for minority and women employees with the requisite skills to fill such positions.
  
- i. Job Category: Accountant/Economist  
Discussion: Within the recruiting area, minorities and women possessing the requisite skills for this position represent approximately 49.1 percent of the experienced work force. When an opening occurs, we will encourage and promote full opportunity for minority and women employees with the requisite skills to fill such positions.  
Conclusion: This is not an under-utilization area.
  
- j. Job Category: Administrative Assistant  
Discussion: Within the recruiting area minorities and women possessing the requisite skills for this category represent approximately 49.1 percent of the experienced work force. When an opening occurs, we will encourage and promote full opportunity for minority and women employees with the requisite skills to fill such positions.  
Conclusion: This is not an under-utilization area.
  
- k. Job Category: Secretary  
Discussion: Within the recruiting area minorities and women possessing the requisite skills for this category represent approximately 98.9 percent of the experienced work force. When an opening occurs, we will encourage and promote full opportunity for minority and women employees with the requisite skills to fill such positions.  
Conclusion: This is not an under-utilization area.

5. Goals and Timetables

Because of the current business climate, we do not anticipate an increase in our total number of employees in the next six to 12 months. During this time it is expected that new employees will be hired only to replace those employees who resign or leave for other reasons. Any immediate goals in terms of specific numbers will be on the conservative side if we expect to realistically attain these goals. The largest turn-over of employment is in the job categories of draftspersons and secretaries, so our maximum emphasis of recruiting minorities will be in these categories.

Although primarily dependent upon the work load and the needs to fill vacancies, the immediate goals of this firm are to increase minority and women employment in the job categories of engineering technician, inspector/operations specialist, draftsperson and secretary.

The firm will strive to fill any vacancies in a manner that will meet the goals set forth as follows:

<u>Position</u>	<u>New Minority Employees</u>	<u>Target Date</u>
Secretary	1	1 year
Engineering Technician	2	2 years
Inspector/Operations Spec.	1	1 year

Draftsperson

1

1 year

These goals, together with 16 present minority and women employees, project a total minority employment by our firm of 20, for approximately 21 percent at the end of one year, and 21 for approximately 22 percent by the end of two years.

At every six-month period our goals will be reevaluated in light of business conditions and the success of our recruiting efforts. Our long-range goal is to employ a percentage of minorities and women with requisite skills at least equal to the percentage of minorities within our recruiting area.

6. Development and Execution of Program

a. Recruiting

Our recruiting program will be conducted in a manner to ensure we are reaching minority and women prospects. At such times that openings may occur within our staff, we will place notices of employment in appropriate media readily available to all persons seeking employment.

b. Training

We have previously hired minorities from the local technical institute and plan to utilize this source in the future. It is our present policy to provide on-the-job training for new employees. This policy is and will continue to be applicable to minority and women employees. As employees progress, they will be promoted to a higher position of responsibility and compensation commensurate to their skills and contributions.

c. Personnel Actions

Personnel actions of every type including hiring, upgrading, promotion, transfer, demotion, layoff, and termination will be periodically reviewed to ensure the action was taken without bias. During meetings with supervisory personnel, their actions will be reviewed to ensure their support of the firm's equal employment policy.

d. Community Relations

Representatives of the firm will cooperate with the appropriate agencies and groups within the community in the further development of community acceptance and adoption of nondiscrimination practices in employment.

7. Audit Report and Evaluate

The firm has instituted an audit and reporting system which consists of the following:

a. Job Openings

A list of applicants will be kept for each job opening. An informal record solely for statistical purposes will be maintained to identify minority and women applicants where practical. If the minority and women applicant is not hired, the reason will be recorded.

b. Separation

A record of separation and the reason of separation will be maintained and minority and women group employees will be specifically identified. A thorough check will be made to ensure that discrimination did not enter the separation.

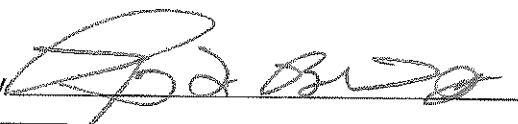
c. Promotions

A record of promotions by employee name and category will be maintained with minority and women employees specifically identified. Again this information will be maintained solely for statistical purposes.

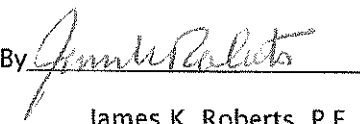
The firm member in charge will periodically review and evaluate the status of the affirmative action program, and will report at each meeting of the firm members and associates on the progress and success of our program.

8. Adoption of Policy

The Affirmative Action Plan for equal employment opportunity is hereby adopted the first day of January, 1997.

By   
\_\_\_\_\_  
Roy L. Bohon, II

Secretary-Treasurer  
Vice President

By   
\_\_\_\_\_  
James K. Roberts, P.E., PLS  
Executive

Date November 12, 2012

**WORKFORCE ANALYSIS FORM**

Name of Organization: Bell Engineering

Date: 11/12/2012

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators	1		1						1
Professionals	12	11	1				11		1
Superintendents									
Supervisors	5	4	1				4		1
Foremen	8	8					8		
Technicians	9	7	2				7		2
Protective Service									
Para-Professionals	2	2					2		
Office/Clerical	3	1	2				1		2
Skilled Craft									
Service/Maintenance									
<b>Total:</b>	<b>40</b>	<b>33</b>	<b>7</b>				<b>33</b>		<b>7</b>

Prepared by Roy L. Bohon, Chief Financial Officer  
Name & Title



LFUCG MBE/WBE PARTICIPATION FORM

Bid/RFP/Quote Reference # RFP #33-2012 Professional Engineering Services

The MBE/WBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MBE/WBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value Of the Work	% Value of Total Contract
1. Abbie Jones Consulting Abbie Jones, P.E. 1022 Fontaine Road Lexington, KY 40502 859/559-3443 abbie@abbie-jones.com	Surveying Services	N/A	At least 10%
2.			
3.			
4.			

The undersigned company representative submits the above list of MBE/WBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Bell Engineering  
Company

November 12, 2012  
Date

*James W. ...* PE, PLS  
By

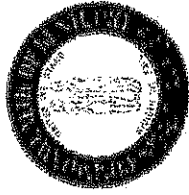
Executive Vice President  
Title

Mike W. Hancock, P.E.  
Secretary

Kentucky Transportation Cabinet

Steven L. Beshear  
Governor

# COMMONWEALTH OF KENTUCKY



*Transportation Cabinet*

*certifies that*

ABBIE JONES

1022 Fontaine Road, Lexington, KY 40502

*has met all eligibility requirements  
to participate in the  
Disadvantaged Business Enterprise Program*

This certificate is issued pursuant to 49 CFR Part 26 and is subject to suspension or revocation.

June 30, 2014

Renewal Date

A handwritten signature in black ink, appearing to be "D. Jones", written over a horizontal line.

DBE Liaison Officer

**Kentucky**  
UNBRIDLED SPIRIT™



1022 Fortune Rd  
Lexington KY 40502

Tel: (606) 560-8445  
email: abbie@abbie-jones.com

November 9, 2012

Ms. Megan Kendall  
H.K. Bell Engineering  
2480 Fortune Dr. suite 350  
Lexington, KY 40509

**RE: Letter of Commitment for LFUCG RFP**

Dear Ms. Kendall:

Abbie Jones Consulting is pleased to confirm our commitment to provide civil engineering and surveying services with respect to the Lexington Fayette Urban County Government RFP in multiple categories. We understand that the exact tasks will depend upon selection and the various potential task orders.

We look forward to working with you.

Sincerely,

A handwritten signature in cursive script that reads 'Abbie Jones'.

Abbie Jones, PE, PLS  
Owner

Firm Submitting Proposal: Bell Engineering

Complete Address: 2480 Fortune Drive, Suite 350, Lexington, KY 40509  
Street City Zip

Contact Name: Megan Kendall Title: Director of Marketing

Telephone Number: 859/278-5412 Fax Number: 859/278-2911

Email address: mkendall@hkbell.com

## GENERAL PROVISIONS

1. Each Respondent shall comply with all Federal, State & Local regulations concerning this type of service or good.

The Respondent agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, 29 U.S.C. 650 *et. seq.*, as amended, and KRS Chapter 338. The Respondent also agrees to notify the LFUCG in writing immediately upon detection of any unsafe and/or unhealthful working conditions at the job site. The Respondent agrees to indemnify, defend and hold the LFUCG harmless from all penalties, fines or other expenses arising out of the alleged violation of said laws.

2. Failure to submit ALL forms and information required in this RFP may be grounds for disqualification.
3. Addenda: All addenda, if any, shall be considered in making the proposal, and such addenda shall be made a part of this RFP. Before submitting a proposal, it is incumbent upon each proposer to be informed as to whether any addenda have been issued, and the failure to cover in the bid any such addenda may result in disqualification of that proposal.
4. Proposal Reservations: LFUCG reserves the right to reject any or all proposals, to award in whole or part, and to waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic needs.
5. Liability: LFUCG is not responsible for any cost incurred by a Respondent in the preparation of proposals.
6. Changes/Alterations: Respondent may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the proposal, and received by LFUCG prior to the scheduled closing time for receipt of proposals, will be accepted. The proposal, when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of proposal".
7. Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from a Respondent.
8. Bribery Clause: By his/her signature on the bid, Respondent certifies that no employee of his/hers, any affiliate or Subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.
9. Additional Information: While not necessary, the Respondent may include any

product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the Respondent's response. Additional documentation shall not serve as a substitute for other documentation which is required by this RFP to be submitted with the proposal.

10. **Ambiguity, Conflict or other Errors in RFP:** If a Respondent discovers any ambiguity, conflict, discrepancy, omission or other error in the RFP, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
11. **Agreement to Bid Terms:** In submitting this proposal, the Respondent agrees that it has carefully examined the specifications and all provisions relating to the work to be done attached hereto and made part of this proposal. By acceptance of a Contract under this RFP, proposer states that it understands the meaning, intent and requirements of the RFP and agrees to the same. The successful Respondent shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to Respondent shall be authorized for services or expenses reasonably covered under these provisions that the proposer omits from its Proposal.
12. **Cancellation:** If the services to be performed hereunder by the Respondent are not performed in an acceptable manner to the LFUCG, the LFUCG may cancel this contract for cause by providing written notice to the proposer, giving at least thirty (30) days notice of the proposed cancellation and the reasons for same. During that time period, the proposer may seek to bring the performance of services hereunder to a level that is acceptable to the LFUCG, and the LFUCG may rescind the cancellation if such action is in its best interest.

A. Termination for Cause

- (1) LFUCG may terminate a contract because of the contractor's failure to perform its contractual duties
- (2) If a contractor is determined to be in default, LFUCG shall notify the contractor of the determination in writing, and may include a specified date by which the contractor shall cure the identified deficiencies. LFUCG may proceed with termination if the contractor fails to cure the deficiencies within the specified time.
- (3) A default in performance by a contractor for which a contract may be terminated shall include, but shall not necessarily be limited to:
  - (a) Failure to perform the contract according to its terms, conditions and specifications;
  - (b) Failure to make delivery within the time specified or according to a delivery schedule fixed by the contract;

- (c) Late payment or nonpayment of bills for labor, materials, supplies, or equipment furnished in connection with a contract for construction services as evidenced by mechanics' liens filed pursuant to the provisions of KRS Chapter 376, or letters of indebtedness received from creditors by the purchasing agency;
- (d) Failure to diligently advance the work under a contract for construction services;
- (e) The filing of a bankruptcy petition by or against the contractor; or
- (f) Actions that endanger the health, safety or welfare of the LFUCG or its citizens.

#### B. At Will Termination


Notwithstanding the above provisions, the LFUCG may terminate this contract at will in accordance with the law upon providing thirty (30) days written notice of that intent. Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

13. **Assignment of Contract:** The contractor shall not assign or subcontract any portion of the Contract without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
14. **No Waiver:** No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this Contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
15. **Authority to do Business:** The Respondent must be a duly organized and authorized to do business under the laws of Kentucky. Respondent must be in good standing and have full legal capacity to provide the services specified under this Contract. The Respondent must have all necessary right and lawful authority to enter into this Contract for the full term hereof and that proper corporate or other action has been duly taken authorizing the Respondent to enter into this Contract. The Respondent will provide LFUCG with a copy of a corporate resolution authorizing this action and a letter from an attorney confirming that the proposer is authorized to do business in the State of Kentucky if requested. All proposals must be signed by a duly authorized officer, agent or employee of the Respondent.



16. **Governing Law:** This Contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this Contract, the Parties agree that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to this Contract or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.
17. **Ability to Meet Obligations:** Respondent affirmatively states that there are no actions, suits or proceedings of any kind pending against the Respondent or, to the knowledge of the Respondent, threatened against the Respondent before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of Respondent to perform its obligations under this Contract, or which question the legality, validity or enforceability hereof or thereof.
18. Contractor understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Contractor is an independent contractor at all times during the performance of the services specified.
19. If any term or provision of this Contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.

  
\_\_\_\_\_  
Signature

  
\_\_\_\_\_  
Date



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
11/08/2012

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

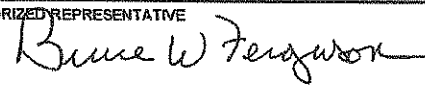
<b>PRODUCER</b> The Underwriters Group, Inc. 1700 Eastpoint Parkway  P.O. Box 23790 Louisville KY 40223	<b>CONTACT NAME:</b> PHONE (A/C, No, Ext): 502-244-1343		FAX (A/C, No): 502-244-1411
	<b>E-MAIL ADDRESS:</b>		
<b>INSURED</b> Howard K. Bell Consulting Engineers, Inc 2480 Fortune Drive, Suite 350  Lexington, KY 40509	<b>INSURER(S) AFFORDING COVERAGE</b>		<b>NAIC #</b>
	<b>INSURER A:</b> Hartford Accident & Indemnity		22357
	<b>INSURER B:</b> XL Specialty Insurance Company		37885
	<b>INSURER C:</b>		
	<b>INSURER D:</b>		
	<b>INSURER E:</b>		
<b>INSURER F:</b>			

**COVERAGES**                      **CERTIFICATE NUMBER:**                      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDE INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>GENERAL LIABILITY</b> <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$
A	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below			33WBCPX9023	02/01/2012	02/01/2013	<input checked="" type="checkbox"/> WC STATU-TORY LIMITS <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000
B	Professional Liability			DPR9695637	12/08/2011	12/08/2012	Each Claim 1,000,000 Aggregate 2,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

<b>CERTIFICATE HOLDER</b>  Lexington Fayette Urban County Government 200 East Main Street, 9th Floor Lexington, KY 40507	<b>CANCELLATION</b>  SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE 
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# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
11/8/2012

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> Al Torstrick Insurance Agency Inc 343 Waller Avenue Lexington KY 40504		<b>CONTACT NAME:</b> Stephanie Casey <b>PHONE (A/C, No, Ext):</b> (859) 233-1461 <b>FAX (A/C, No):</b> (859) 281-9450 <b>E-MAIL ADDRESS:</b> scasey@altorstrick.com <b>PRODUCER CUSTOMER ID #:</b> 00003558	
<b>INSURED</b> HK Bell Consulting Engineers Inc. Bell Engineering 2480 Fortune Dr, Ste 350 Lexington KY 40509		<b>INSURER(S) AFFORDING COVERAGE</b> <b>INSURER A:</b> Netherlands NAIC # 24171 <b>INSURER B:</b> National Union Fire Insurance <b>INSURER C:</b> Indiana Insurance Company 22659 <b>INSURER D:</b> <b>INSURER E:</b> <b>INSURER F:</b>	

**COVERAGES**      **CERTIFICATE NUMBER: 2012-2013**      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	GENERAL LIABILITY		BOP8089014	10/21/2012	10/21/2013	EACH OCCURRENCE \$ 1,000,000	
	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY					DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 50,000	
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR					MED EXP (Any one person) \$ 5,000	
	GEN'L AGGREGATE LIMIT APPLIES PER:						PERSONAL & ADV INJURY \$ 1,000,000
	<input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOG					GENERAL AGGREGATE \$ 2,000,000	
						PRODUCTS - COMPI/OP AGG \$ 2,000,000	
A	AUTOMOBILE LIABILITY		BAB087215	10/21/2012	10/21/2013	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000	
	<input checked="" type="checkbox"/> ANY AUTO					BODILY INJURY (Per person) \$	
	<input type="checkbox"/> ALL OWNED AUTOS					BODILY INJURY (Per accident) \$	
	<input type="checkbox"/> SCHEDULED AUTOS					PROPERTY DAMAGE (Per accident) \$	
	<input type="checkbox"/> HIRED AUTOS					PIP-Basic \$	
	<input type="checkbox"/> NON-OWNED AUTOS					Underinsured motorist \$	
B	<input checked="" type="checkbox"/> UMBRELLA LIAB	<input type="checkbox"/> OCCUR	BE011183668	10/21/2012	10/21/2013	EACH OCCURRENCE \$ 8,000,000	
	<input checked="" type="checkbox"/> EXCESS LIAB	<input type="checkbox"/> CLAIMS-MADE				AGGREGATE \$ 8,000,000	
	DEDUCTIBLE						
	<input checked="" type="checkbox"/> RETENTION \$ 0						
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY		CU8228158	10/21/2012	10/21/2013	WC STATUTORY LIMITS	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	Y/N					E.L. EACH ACCIDENT \$
	If yes, describe under DESCRIPTION OF OPERATIONS below					N/A	E.L. DISEASE - EA EMPLOYEE \$
	Umbrella						E.L. DISEASE - POLICY LIMIT \$
						Each Occurrence \$1,000,000	
						Aggregate Limit \$1,000,000	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

<b>CERTIFICATE HOLDER</b> (859) 258-3780  LFUCG 200 E Main St Lexington, KY 40507	<b>CANCELLATION</b> SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE  Stephanie Casey/MJA <i>Stephanie Casey</i>
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Lexington-Fayette Urban County Government  
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray  
Mayor

Jane C. Driskell  
Commissioner

**ADDENDUM #1**

RFP Number: 33-2012

Date: November 6, 2012

Subject: RFO for Professional Engineering Services

Please address inquiries to:  
Betty Landrum (859) 258-3320

**TO ALL PROSPECTIVE BIDDERS:**

Please be advised of the following clarifications to the above referenced RFP:

Questions	Answers
Footnote 2, page 7, paragraph 6, Selection Criteria, implies that private projects completed in accordance with the LFUCG Sanitary Sewer and Pump Station Manual and the LFUCG Stormwater Manual are equal in standing to those public projects completed under specific government contracts. Is that correct?	Each project will be evaluated on its individual merits. The proposer should use his/her discretion in selecting projects relating to the specific category that will best demonstrate the proposer's experience.
Can you provide examples of similar type projects that will be included in Category 4?	Examples of projects in Category 4 would be any type of trenchless pipeline and /or manhole rehabilitation projects, e.g., Cured In Place Pipeline Rehabilitation (CIPP), pipe bursting, sliplining, or manhole rehabilitation with various coatings or injection systems.
Do the one-page resumes for key project team members that are requested in the Project Team section count toward the specified page limit (i.e. are they to be counted in the 6 pages)?	Yes -- Resumes should be one page maximum. Proposers should use their discretion in providing the information requested in six pages maximum.
From reviewing the minutes of the meeting, Mr. Martin made the statement encouraging teaming to maximize local participation. Then in answer to the first question he said that only the qualifications of the Prime would be scored. Then in answer to another question he said that relevant projects by subs would count. Since there seems to be some question here related to scoring of prime and not sub, the following question is posed.  If a firm had a local office and wanted to be prime, but wanted to subcontract with a smaller local firm for an experienced	Yes -- Scoring of the Project Manager is maximized by project experience and being located locally (locally defined as being within the Bluegrass Area Development District boundaries).

wastewater project manager, would the Prime/Team receive the points for having a local project manager?	
In the meeting minutes the answer to the first question was only the qualifications of the prime would be scored, not the subs. If a small local firm wanted to be the prime and subcontract with a larger firm to supplement their qualifications, would that preclude the small prime from receiving the benefit of having the larger firm as a sub?	No -- The intent of the evaluation process will be to identify and rank the most qualified firm or team of firms.
Does the Division of Water Quality have a list of the 82 projects, and possibly a breakdown of the projects in each category, that are anticipated to be completed with this RFQ?	Yes. See attached list titled RMP Projects. The 82 projects are those listed in the Remedial Measures Plan. There will be other projects awarded under this contract that are not listed.
Could you provide what specific items that you will require responders to submit for an affirmative action plan for the RFP #33-2012? Management in our firm considers some information proprietary, however we want to be responsive and comply with the requirements of the RFP.	Please submit your current affirmative action plan with your response and identify the pages containing proprietary information as confidential and/or proprietary. Any confidential and/or proprietary information contained in your response should be clearly identified in both hard copy and electronic versions.
A question regarding <u>Contract 4 – Pipeline, manhole, inlet, and junction chamber rehabilitation projects</u> . Does the scope of work include providing flow monitoring, smoke testing, and dye testing services?	At present, no. DWQ reserves the right to compose or revise any Scope of Work necessary to meet its needs at any time during the duration of this contract.
It would seem that to accurately compare the mean deviation of hourly rates between firms proposing, that consultants should be expected to use a standard personnel classification system for hourly rates. Does LFUCG intend to issue such a list?	Hourly Rates will no longer be considered in the evaluation process (see attached REVISED scoring sheet; however hourly rates must be submitted for the specific job classifications on the attached form that will be used at time of contract negotiation.
As an office originally founded in Lexington over 40 years ago and subsequently acquired by another out of state firm, it seems unfair that we are only entitled to a score of 3.5 or 4.0 for the "Offices status and location of employees" category. Under this scenario, a recently established prime firm with a local headquarters, small work force, and no prior experience working with LFUCG could be awarded more points than a firm founded in Lexington that has continuously served LFUCG for over 40 years. Can additional consideration be given for length of service and/or longevity of office existence in Fayette County?	No – The proposer will be able to demonstrate and score points in other categories.
Can you release the list of anticipated Remedial Measures Plan projects and their estimated costs of construction?	Yes –see attachment pdf's (G3 RMP Implementation Plan & RMP Project Maps by Sewershed)

How will a firm be ranked if there is a joint venture?	DWQ will require that the Contract be executed with a single consultant. In the case of a team, the prime consultant must be identified and must execute the Contract. Joint Ventures will not be considered due to the contractual requirements.
George Woolwine (HDR) asked Mr. Martin to further define Category 2 and distinguish the work in Category 2 from Category 4. Mr. Martin said that Category 2 is dig and replace while Category 4 is rehabilitation for the purpose of reducing I&I (Inflow and Infiltration) in the collection system. Cole Mitcham (OBG) asked if the hourly rates within 15% were plus or minus, and if there was a prime that teamed how would we establish the mean rate?	Regarding the difference between Category Nos. 2 and 4, see the response to question No. 2 above.  Regarding the question on hourly rates, see the response to question No. 9 above.

**SPECIAL NOTE TO PROPOSER:** Please note that the Selection Criteria (attachment 2 – RFP Scoring Sheet) have been revised – hourly rates have been removed and the points assigned have been changed.



Todd Slatin, Acting Director  
Division of Central Purchasing

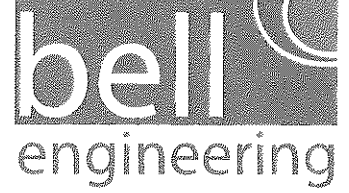
All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your RFP.

COMPANY: Bell Engineering

ADDRESS: 2480 Fortune Drive, Suite 350, Lexington, KY 40509

SIGNATURE OF PROPOSER: Megan Kendall



The logo for bell engineering, featuring the word "bell" in a lowercase, sans-serif font above the word "engineering" in a smaller, lowercase, sans-serif font. To the right of the text is a stylized graphic of a flame or a drop shape.

bell  
engineering

LEXINGTON, KY  
859/278-5412

HOPKINSVILLE, KY  
270/886-5466

COLUMBIA, KY  
270/385-9522

[WWW.HKBELL.COM](http://WWW.HKBELL.COM)

