

INVITATION TO BID

Bid Invitation Number: 116-2011

Date of Issue: 11/07/2011

Sealed bids will be received in the office of the Division of Central Purchasing, 200 East Main Street, Lexington, Kentucky, until 2:00 PM, prevailing local time on 11/21/2011. Bids must be received by the above-mentioned date and time. Mailed bids should be sent to:

**Division of Central Purchasing
200 East Main Street, Room 338
Lexington, KY 40507, (859) 258-3320**

The Lexington-Fayette Urban County Government assumes no responsibility for bids that are not addressed and delivered as indicated above. Bids that are not delivered to the Division of Central Purchasing by the stated time and date will be rejected.

All bids must have the company name and address, bid invitation number, and the commodity/service on the outside of the envelope.

Bids are to include all shipping costs to the point of delivery located at: various locations

Bid Security Required: Yes No Performance Bond Required: Yes No
Cashier Check, Certified Check, Bid Bond (Personal checks and company checks will not be acceptable).

Commodity/Service	
PCT	Demolition of Residential Structures - UPC

<p style="text-align: center;"><u>Check One:</u></p> <p><input checked="" type="checkbox"/> Bid Specifications Met</p> <p><input type="checkbox"/> Exceptions to Bid Specifications. <i>Exceptions shall be itemized and attached to bid proposal submitted.</i></p>	<p style="text-align: center;"><u>Proposed Delivery:</u></p> <p>_____ days after acceptance of bid.</p>
<p style="text-align: center;"><u>Procurement Card Usage</u></p> <p><input type="checkbox"/> Yes The Lexington-Fayette Urban County Government will be using Procurement Cards to purchase goods and services and also to make payments. Will you accept Procurement Cards?</p> <p><input checked="" type="checkbox"/> No</p>	

The Affidavit in this bid must be completed before your firm can be considered for award of this contract.

I. GREEN PROCUREMENT

A. ENERGY

The Lexington-Fayette Urban County Government is committed to protecting our environment and being fiscally responsible to our citizens.

The Lexington-Fayette Urban County Government mandates the use of Energy Star compliant products if they are available in the marketplace (go to www.Energystar.gov). If these products are available, but not submitted in your pricing, your bid will be rejected as non-compliant.

ENERGY STAR is a government program that offers businesses and consumers energy-efficient solutions, making it easy to save money while protecting the environment for future generations.

Key Benefits

These products use 25 to 50% less energy
Reduced energy costs without compromising quality or performance
Reduced air pollution because fewer fossil fuels are burned
Significant return on investment
Extended product life and decreased maintenance

B. GREEN SEAL CERTIFIED PRODUCTS

The Lexington-Fayette Urban County Government is also committed to using other environmentally friendly products that do not negatively impact our environment. Green Seal is a non-profit organization devoted to environmental standard setting, product certification, and public education.

Go to www.Greenseal.org to find available certified products. These products will have a reduced impact on the environment and on human health. The products to be used must be pre-approved by the LFUCG prior to commencement of any work in any LFUCG facility. If a Green Seal product is not available, the LFUCG must provide a signed waiver to use an alternate product. Please provide information on the Green Seal products being used with your bid response.

C. GREEN COMMUNITY

The Lexington-Fayette Urban County Government (LFUCG) serves as a principal, along with the University of Kentucky and Fayette County Public Schools, in the Bluegrass Partnership for a Green Community. The Purchasing Team component of the Partnership collaborates on economy of scale purchasing that promotes and enhances environmental initiatives. Specifically, when applicable, each principal is interested in obtaining best value products and/or services which promote environment initiatives via solicitations and awards from the other principals.

If your company is the successful bidder on this Invitation For Bid, do you agree to extend the same product/service pricing to the other principals of the Bluegrass Partnership for a Green Community (i.e. University of Kentucky and Fayette County Schools) if requested?

Yes No

II. Bid Conditions

- A. No bid may be withdrawn for a period of sixty (60) days after the date and time set for opening.
- B. No bid may be altered after the date and time set for opening. In the case of obvious errors, the Division of Central Purchasing may permit the withdrawal of a bid. The decision as to whether a bid may be withdrawn shall be that of the Division of Central Purchasing.
- C. Acceptance of this proposal shall be enactment of an Ordinance by the Urban County Council.
- D. The bidder agrees that the Urban County Government reserves the right to reject any and all bids for either fiscal or technical reasons, and to award each part of the bid separately or all parts to one vendor.
- E. Minor exceptions may not eliminate the bidder. The decision as to whether any exception is minor shall be entirely that of the head of the requisitioning Department or Division and the Director of the Division of Central Purchasing. The Urban County Government may waive technicalities and informalities where such waiver would best serve the interests of the Urban County Government.
- F. Manufacturer's catalogue numbers, trade names, etc., where shown herein are for descriptive purposes and are to guide the bidder in interpreting the standard of quality, design, and performance desired, and shall not be construed to exclude proposals based on furnishing other types of materials and/or services. However, any substitution or departure proposed by the bidder must be clearly noted and described; otherwise, it will be assumed that the bidder intends to supply items specifically mentioned in this Invitation for Bids.
- G. The Urban County Government may require demonstrations of the materials proposed herein prior to acceptance of this proposal.
- H. Bids must be submitted on this form and must be signed by the bidder or his authorized representative. Unsigned bids will not be considered.
- I. Bids must be submitted prior to the date and time indicated for opening. Bids submitted after this time will not be considered.
- J. All bids mailed must be marked on the face of the envelope:

"Bid on #116-2011 – Demolition of Residential Structures - UPC"

and addressed to: Division of Central Purchasing
 200 East Main Street, Room 338
 Lexington, Kentucky 40507

The Lexington-Fayette Urban County Government assumes no responsibility for bids that are not addressed and delivered as indicated above. Bids that are not delivered to the Division of Central Purchasing by the stated time and date will be rejected.

- K. Bidder is requested to show both unit prices and lot prices. In the event of error, the unit price shall prevail.
- L. A certified check or Bid Bond in the amount of n/a percent of the bid price must be attached hereto. This check must be made payable to the Lexington-Fayette Urban County Government, and will be returned when the material and/or services specified herein have been delivered in accordance with specifications. In the event of failure to perform within the time period set forth in this bid, it is agreed the certified check may be cashed and the funds retained by the Lexington-Fayette Urban County

Government as liquidated damages. Checks of unsuccessful bidders will be returned when the bid has been awarded.

- M. The delivery dates specified by bidder may be a factor in the determination of the successful bidder.
- N. Tabulations of bids received may be mailed to bidders. Bidders requesting tabulations must enclose a stamped, self-addressed envelope with the bid.
- O. The Lexington-Fayette Urban County Government is exempt from Kentucky Sales Tax and Federal Excise Tax on materials purchased from this bid invitation. Materials purchased by the bidder for construction projects are not tax exempt and are the sole responsibility of the bidder.
- P. All material furnished hereunder must be in full compliance with OSHA regulations.
- Q. If more than one bid is offered by one party, or by any person or persons representing a party, all such bids shall be rejected.
- R. Signature on the face of this bid by the Bidder or his authorized representative shall be construed as acceptance of and compliance with all terms and conditions contained herein.
- S. The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.
- T. The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities - Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*

- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor - Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions above quoted to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

- U. Any party, firm or individual submitting a proposal pursuant to this invitation must be in compliance with the requirements of the Lexington-Fayette Urban County Government regarding taxes and fees before they can be considered for award of this invitation and must maintain a "current" status with regard to those taxes and fees throughout the term of the contract. The contractor must be in compliance with Chapter 13 from the Code of Ordinances of the Lexington-Fayette Urban County Government. The contractor must be in compliance with Ordinance 35-2000 pursuant to contractor registration with the Division of Building Inspection. If applicable, said business must have a Fayette County business license.

Pursuant to KRS 45A.343 and KRS 45A.345, the contractor shall

- (1) *Reveal any final determination of a violation by the contractor within the previous five year*

period pursuant to KRS Chapters 136 (corporation and utility taxes), 139 (sales and use taxes), 141 (income taxes), 337 (wages and hours), 338 (occupational safety and health of employees), 341 (unemployment and compensation) and 342 (labor and human rights) that apply to the contractor; and

- (2) *Be in continuous compliance with the above-mentioned KRS provisions that apply to the contractor for the duration of the contract.*

A contractor's failure to reveal the above or to comply with such provisions for the duration of the contract shall be grounds for cancellation of the contract and disqualification of the contractor from eligibility for future contracts for a period of two (2) years.

- V. Vendors who respond to this invitation have the right to file a notice of contention associated with the bid process or to file a notice of appeal of the recommendation made by the Director of Central Purchasing resulting from this invitation.

Notice of contention with the bid process must be filed within 3 business days of the bid/proposal opening by (1) sending a written notice, including sufficient documentation to support contention, to the Director of the Division of Central Purchasing or (2) submitting a written request for a meeting with the Director of Central Purchasing to explain his/her contention with the bid process. After consulting with the Commissioner of Finance the Chief Administrative Officer and reviewing the documentation and/or hearing the vendor, the Director of Central Purchasing shall promptly respond in writing findings as to the compliance with bid processes. If, based on this review, a bid process irregularity is deemed to have occurred the Director of Central Purchasing will consult with the Commissioner of Finance, the Chief Administrative Officer and the Department of Law as to the appropriate remedy.

Notice of appeal of a bid recommendation must be filed within 3 business days of the bid recommendation by (1) sending a written notice, including sufficient documentation to support appeal, to the Director, Division of Central Purchasing or (2) submitting a written request for a meeting with the Director of Central Purchasing to explain his appeal. After reviewing the documentation and/or hearing the vendor and consulting with the Commissioner of Finance and the Chief Administrative Officer, the Director of Central Purchasing shall in writing, affirm or withdraw the recommendation.

III. Procurement Contract Bid Conditions

- A. The terms of this agreement shall be for 1 year from the date of acceptance of this contract by the Lexington-Fayette Urban County Government. This agreement may be extended for an additional 1 year renewal upon the written agreement of the bidder and the Lexington-Fayette Urban County Government. Said agreement must be in writing and must be executed prior to the expiration of the current agreement.

- B. Price Changes (Space Checked Applies)
 - (XXX) 1. Prices quoted in response to the Invitation shall be firm prices for the first 90 days of the Procurement Contract. After 90 days, prices may be subject to revision and such changes shall be based on general industry changes. Revision may be either increases or decreases and may be requested by either party. There will be no more than one (1) price adjustment per quarter. Requests for price changes shall be received in writing at least twenty (20) days prior to the effective date and are subject to written acceptance before becoming effective. Proof of the validity of a request for revision shall be responsibility of the requesting party. The Lexington-Fayette Urban County Government shall receive the benefit of any decline that the seller shall offer his other accounts.

 - 2. No provision for price change is made herein. Prices are to be firm for the term of this contract.

 - 3. Procurement Level Contract

- C. If any contract item is not available from the vendor, the Lexington-Fayette Urban County Government, at its option, may permit the item to be back-ordered or may procure the item on the open market.

- D. All invoices must bear reference to the Lexington-Fayette Urban County Government Purchasing document numbers which are being billed.

- E. This contract may be canceled by either party thirty (30) days after delivery by canceling party of written notice of intent to cancel to the other contracting party.

- F. This contract may be canceled by the Lexington-Fayette Urban County Government if it is determined that the Bidder has failed to perform under the terms of this agreement, such cancellation to be effective upon receipt of written notice of cancellation by the Bidder.

- G. No substitutions for articles specified herein may be made without prior approval of the Division of Central Purchasing.

PART I
ADVERTISEMENT FOR BIDS
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4. METHOD OF RECEIVING BIDS
5. METHOD OF AWARD
6. SUBMISSION OF BIDS
7. RIGHT TO REJECT

ADVERTISEMENT FOR BIDS

1. INVITATION

Sealed proposals for the following work will be received by the Lexington-Fayette Urban County Government until 2:00 p.m., local time, November 21, 2011 for furnishing all labor and/or materials and performing all work as set forth by this advertisement, conditions (general and special), specifications, and/or the drawings prepared by and for Lexington-Fayette Urban County Government, Division of Water Quality. Immediately following the scheduled closing time for reception of bids, all proposals which have been submitted in accordance with the above will be publicly opened and read aloud.

2. DESCRIPTION OF WORK

Consisting of the construction and/or furnishing of various items as listed in the Bid Schedule for various residential demolition projects in Fayette County at sites to be determined plus incidentals necessary to complete the work.

Summary Description of the Work

- A. Demolition, removal, and legal disposal of all structures, site improvements, and specified vegetation at various properties. This includes obtaining all permits, adherence to all stormwater pollution prevention requirements, and removing all debris.
- B. Collect and legally dispose of any hazardous material (including, but not limited to, paints, and other household chemicals). Asbestos abatement or removal is not part of this contract. Provide documentation of legal disposal.
- C. Disconnecting, capping or sealing of all site utilities outside of the demolition limits.
- D. Removing driveways, entrance aprons, and private sidewalks. Sidewalk on public right of way is to remain in place.
- E. Constructing curb and gutter and public sidewalk to match existing curb and gutter adjacent to curb cuts. Curb and gutter and public sidewalk construction shall be at the discretion of the OWNER.
- F. Grading the site to provide positive drainage with no dips, depressions, ponds, or puddles remaining. Seeding and mulching of all disturbed areas.

3. METHOD OF RECEIVING BIDS

Bids will be received from Prime Contracting firms on a Line Item Unit Price Basis, for total Project Area. Bids shall be submitted in the manner and subject to the conditions as set forth and described in the Instruction to Bidders and Special Conditions.

4. METHOD OF AWARD

The Contract will be awarded to the lowest, qualified responsible bidder(s) for the total Project area, according to the alternative(s) selected by the OWNER.

5. BID WITHDRAWAL

No bidder may withdraw his bid for a period of sixty (60) calendar days after the closing date for receipt of bids. Errors and omissions will not be cause for withdrawal of bid without forfeit of bid bond. Bids may be withdrawn in person prior to the closing date of receipt of bids.

6. SUBMISSION OF BIDS

CONTRACTORS shall submit their bids to the Lexington-Fayette Urban County Government, Division of Purchasing, Third Floor, 200 East Main Street, Lexington, Kentucky 40507. Bids shall be submitted in a sealed envelope not later than 2:00 p.m. Local Time November 21, 2011. Sealed proposals shall be marked clearly on the outside of the container "Sealed Proposal for: "Residential Demolition Unit Price Contract" to be opened at 2:00 p.m. Local Time November 21, 2011. Bids received after the scheduled closing time for receipt of bids will not be considered and will be returned unopened.

7. RIGHT TO REJECT

The Purchasing Agent for the Lexington-Fayette Urban County Government reserves the right to reject any and all bids and to waive all informalities and/or technicalities where the best interest of the Lexington-Fayette Urban County Government may be served.

PART II

INFORMATION FOR BIDDERS

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PART II

INFORMATION FOR BIDDERS

1. **RECEIPT AND OPENING OF BIDS**

The Lexington-Fayette Urban County Government (herein called the OWNER) invites bids from prime contracting firms on the project described in the Advertisement for Bids. The OWNER will receive bids at the Division of Central Purchasing, at the time and in the manner set forth in the Advertisement for Bids, and the Bids will then be publicly opened and read aloud. The OWNER may consider informal any bid not prepared and submitted in accordance with the provisions hereof and may waive any informalities or reject any and all bids. Any bid may be withdrawn prior to the scheduled time for the opening of bids or authorized postponement thereof. Any bid received after the time and date specified shall not be considered. No bidder may withdraw a bid within 60 days after the actual time and date of the bid opening, but OWNER may, in its sole discretion, release any bid and return the Bid Security prior to that date.

The Lexington-Fayette Urban County Government assumes no responsibility for bids that are not addressed and delivered as indicated above. Bids that are not delivered to the Division of Central Purchasing by the stated time and date will be rejected.

2. **PREPARATION OF BID**

Each bid must be submitted on the prescribed Form of Proposal. All blank spaces for the bid prices must be filled in, either in ink or typewritten, for unit prices. "No Bid" is **NOT** acceptable for Contractors not wishing to bid on specific items. Each bid must be submitted in a sealed envelope bearing on the outside the name of the bidder, their address, the name of the project, the invitation number and time and date for which the bid is submitted. Bids must be addressed to the Director of Central Purchasing, Lexington-Fayette Urban County Government, Third Floor, 200 East Main Street, Lexington, Kentucky 40507. If forwarded by mail, the sealed envelope containing the bid must be enclosed in another envelope addressed as specified above, the company name and address, bid invitation number, and the products/work on the outside of the envelope. .

3. **SUBCONTRACTS**

The bidder is specifically advised that any person, firm, or other party to whom it is proposed to award a subcontract under this Contract must be acceptable to the OWNER. All proposed subcontractors must be identified on the Form of Proposal. Prior to the award of Contract, the OWNER or the OWNER'S representative will advise the CONTRACTOR of the acceptance and approval thereof or of any action necessary to be taken. Should any Subcontractor be rejected by the OWNER, the CONTRACTOR shall present a new name and/or firm to the OWNER at no change in the Contract Price.

4. QUALIFICATION OF BIDDER

The OWNER may make such investigations as deemed necessary to determine the ability of the bidder to perform the Work, and the bidder shall furnish to the OWNER all such information and data for this purpose as the OWNER may request. The OWNER reserves the right to reject any bid if the evidence submitted by, or investigation of, such bidder fails to satisfy the OWNER that such bidder is properly qualified to carry out the obligations of the Contract and to complete the Work contemplated therein. Conditional bids will not be accepted.

If the OWNER requires filling out a detailed financial statement, the bidder may provide its current certified financial statement(s) for the required time interval.

Corporate firms are required to be registered with and in good standing with the requirements and provisions of the Office of the Secretary of State, Commonwealth of Kentucky.

Good standing with Public Works Act - any CONTRACTOR and/or subcontractors in violation of any wage or work act provisions (KRS 337.510 to KRS 337.550) are prohibited by Statutory Act (KRS 337.990) from bidding on or working on any and all public works contracts, either in their name or in the name of any other company, firm or other entity in which he might be interested. No bid from a prime contractor in violation of the Act can be considered, nor will any subcontractor in violation of the Act be approved and/or accepted. The responsibility for the qualifications of the subcontractor is solely that of the prime contractor.

5. EXAMINATION OF CONTRACT DOCUMENTS AND SITE

- A. It is the responsibility of each Bidder before submitting a Bid, to (a) examine the Contract Documents thoroughly, (b) visit the site(s) to become familiar with local conditions that may affect cost, progress, performance or furnishing of the work, (c) consider Federal, State and Local laws and regulations that may affect cost, progress, performance or furnishing of the work, (d) study and carefully correlate Bidder's observations with the Contract Documents, and (e) notify Engineer of all conflicts, errors or discrepancies in the Contract Documents.
- B. Bidders should examine the requirements of section 4 of the General Conditions for information pertaining to subsurface conditions, underground structures, underground facilities, and availability of lands, easements, and rights-of-way. The completeness of data, presented in the Contract Documents, pertaining to subsurface conditions, underground structures, and underground facilities for the purposes of bidding or construction is not assured. The Bidder will, at Bidder's own expense, make or obtain any additional examinations, investigations, explorations, tests and studies and obtain any additional information and data which pertain to the physical conditions (surface and subsurface) which may affect cost, progress, performance or

furnishing of the Work and which Bidder deems necessary to determine its Bid for performing and furnishing the Work in accordance with the time, price, and other terms and conditions of the Contract Documents. On request in advance, OWNER will provide access to the site to conduct such explorations and tests as each Bidder deems necessary for submission of a bid. Bidder shall fill all holes, clean up and restore the site to its former condition upon completion of such explorations.

- C. The submission of a Bid will constitute an incontrovertible representation by the Bidder that Bidder has complied with every requirement of this paragraph; that without exception the Bid is premised upon performing and furnishing the Work required by the Contract Documents and such means, methods, techniques, sequences or procedures of construction as may be indicated in or required by the Contract Documents; and that the Contract Documents are sufficient in scope and detail to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.

6. POWER OF ATTORNEY

Attorney-in-fact who signs bid bonds or contract bonds must file with each bond a certified and effectively dated copy of their power of attorney.

7. TAXES AND WORKMEN'S COMPENSATION

The CONTRACTOR and subcontractor will be required to accept liability for payment of all payroll taxes, sales and use tax, and all other taxes or deductions required by local, state or federal law, such as old age pension, social security, or annuities measured by wages. Each shall carry Workmen's Compensation Insurance to the full amounts as required by Statutes and shall include the cost of all foregoing items in the proposal. The CONTRACTOR will not otherwise be reimbursed or compensated for such tax payments. The CONTRACTOR is urged to ascertain at his own risk his actual tax liability in connection with the execution or performance of his Contract.

8. LAWS AND REGULATIONS

The bidder's attention is directed to the fact that all applicable state laws, municipal ordinances, and the rules and regulations of all authorities having jurisdiction over construction of the Project shall apply to the Contract throughout, and they will be deemed to be included in the contract, the same as though herein written out in full.

9. MINIMUM HOURLY RATES

CONTRACTOR shall pay appropriate minimum hourly wages as determined by the Department of Labor

10. TERM OF CONTRACT

Unit Price Contract (UPC) is anticipated to be in effect for ONE (1) year with the option of renewing it for ONE (1) additional year. For individual projects undertaken under this UPC, the time to completion for each project will be agreed upon between the OWNER and CONTRACTOR and reflected in the Notice to Proceed.

11. SIGNING OF AGREEMENT

When OWNER gives a Notice of Award to the successful Bidder, it will be accompanied by the required number of unsigned counterparts of the Agreement with all other written Contract Documents attached. Within ten days thereafter, CONTRACTOR shall sign and deliver the required number of counterparts of the Agreement and attached documents to OWNER with the required Bonds, Certificate of Insurance, and Power of Attorney. The OWNER will deliver one fully signed counterpart to CONTRACTOR at such time as it has been signed by the Mayor.

PART III

FORM OF PROPOSAL

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NOTE:

CONTRACT MAY NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THE "EVIDENCE OF INSURABILITY" FORM (LOCATED AT THE END OF PART III) IS NOT PROVIDED.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. _____ Date _____; Addendum No. _____ Date _____

Addendum No. _____ Date _____; Addendum No. _____ Date _____

Addendum No. _____ Date _____; Addendum No. _____ Date _____

Addendum No. _____ Date _____; Addendum No. _____ Date _____

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

FORM OF PROPOSAL

LEGAL STATUS OF BIDDER

Bidder Solid Rock Construction Services, LLC

Date November 16, 2011

* 1. A corporation duly organized and doing business under the laws of the State of Ohio, for whom Allison Whitaker, bearing the official title of Owner, whose signature is affixed to this Proposal, is duly authorized to execute contracts.

* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

N/A

* 3. An individual, whose signature is affixed to this Proposal.

N/A

*(The Bidder shall fill out the appropriate form and strike out the other two.)

BIDDERS AFFIDAVIT

Comes the Affiant, Allison Whitaker, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Allison Whitaker and he/she is the individual submitting the bid or is the authorized representative of Solid Rock Construction Services, LLC, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a

person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

Allison Whitaker

COMMONWEALTH OF KENTUCKY

COUNTY OF FAYETTE

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Allison Whitaker on this the 16th day of Nov., 2011.

My Commission expires:

Sept. 24, 2014

Heather L. Elliott
NOTARY PUBLIC, STATE AT LARGE, KY



Heather L. Elliott
Notary Public, State of Ohio
My Commission Expires Sept. 24, 2014

FORM OF PROPOSAL

BID SCHEDULE

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following lump sum and/or unit prices which shall include the furnishing of all labor, materials, supplies, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved. The Bidder must make the extensions and additions showing the total amount of bid.

UNIT PRICE CONTRACT

	Unit	Description w/Unit Bid Price Written In Words	Unit Price
1.	SF	Masonry Structure for <u>Four</u> Dollars <u>Fifty-nine</u> Cents Per Square Foot of Floor Area	\$ <u>4.59</u>
2.	SF	Frame Structure for <u>Four</u> Dollars <u>Fifty-nine</u> Cents Per Square Foot of Floor Area	\$ <u>4.59</u>
3.	SY	Concrete Driveway & Sidewalk/ Walkway Removal <u>Seven</u> Dollars <u>Eighty</u> Cents Per Square Yard	\$ <u>7.80</u>
4.	SY	Bituminous & Gravel Driveway & Sidewalk/ Walkway Removal <u>Seven</u> Dollars <u>Eighty</u> Cents Per Square Yard	\$ <u>7.80</u>
5.	EA	Septic Tank Disposal (if necessary) <u>Five hundred</u> Dollars <u>No</u> Cents Each	\$ <u>500.00</u>

	Unit	Description w/Unit Bid Price Written In Words	Unit Price
6.	CY	Top Soil <u>Twenty</u> Dollars <u>No</u> Cents Per Cubic Yard	\$ <u>20.00</u>
7.	TON	No. 57 Crushed Stone <u>Twenty</u> Dollars <u>NO</u> Cents Per Ton	\$ <u>20.00</u>
8.	SY	4 1/2 inch concrete sidewalk Construction <u>Ninety-eight</u> Dollars <u>No</u> Cents Per Square Yard	\$ <u>98.00</u>
9.	LF	Concrete Curb Construction <u>Twenty-four</u> Dollars <u>No</u> Cents Per Linear Foot	\$ <u>24.00</u>
10.	LS	Mobilization <u>Five Hundred Dollars</u> <u>zero</u> Cents Per Lump Sum	\$ <u>500.00</u>

Respectfully Submitted,

BY: Solid Rock Construction Services, LLC
(NAME OF FIRM)

DATE: November 16, 2011

BY: Allison Whitaker

TITLE: Owner

OFFICIAL ADDRESS:

1350 Galena Pike

W. Portsmouth, OH 45663

_____ (Seal if Bid is by Corporation)

FORM OF PROPOSAL

STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: Solid Rock Construction Services, LLC
2. Permanent Place of Business: 1350 Galena Pike, W. Portsmouth, OH 45663
3. When Organized: 7-20-06
4. Where Incorporated: Ohio
5. Construction Plant and Equipment Available for this Project:
Please see attached company portfolio for a complete list of equipment available for this project.

(Attach Separate Sheet If Necessary)

6. Financial Condition:
If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:
N/A (Surety)

Signed: _____ (Representative of Surety)

8. The following is a list of similar projects performed by the bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
Please See Attached Portfolio for a detailed list of projects.		

9. The bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
Clay Local School	Portsmouth, OH	\$198,730. ⁰⁰
Greater Portsmouth Reg. Airport	Minford, OH	\$24,900. ⁰⁰

10. List Key bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
Billy Whitaker	Superintendent	5 yrs.
Theodore Krekeler	Supervisor/Operator	5 yrs.
Paul Dixon	Supervisor/Operator/Labourer	4 yrs.
Anthony Thacker	Truck Driver/Labourer	4 yrs.

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>MAJORITY</u>
N/A			

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low bidder, we will submit to the Owner within 7 calendar days following the Bid Opening, a sworn statement on the Owner's form regarding all current work on hand and under contract, and a statement on the Owner's form of the experience of our officers, office management and field management personnel. Additionally, if requested by the Owner, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the Owner)—all in accordance with the Bid Documents.

Respectfully submitted:

Solid Rock Construction Services
(Name of Contracting Firm)

BY: Allison Whitak

TITLE: Owner

DATE 11/16, 2011

FORM OF PROPOSAL

LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

BRANCH OF WORK - LIST EACH MAJOR ITEM

SUBCONTRACTOR

Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.

- | | | |
|----|-----|----------------|
| 1. | N/A | Name: _____ |
| | | Address: _____ |
| 2. | | Name: _____ |
| | | Address: _____ |
| 3. | | Name: _____ |
| | | Address: _____ |
| 4. | | Name: _____ |
| | | Address: _____ |
| 5. | | Name: _____ |
| | | Address: _____ |
| 6. | | Name: _____ |
| | | Address: _____ |
| 7. | | Name: _____ |
| | | Address: _____ |

FORM OF PROPOSAL

AUTHENTICATION OF BID AND STATEMENT OF

NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State ✓ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky _____. Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.

READ CAREFULLY - SIGN IN SPACE BELOW - FAILURE TO SIGN INVALIDATES BID.

Signed by Allison Whitak

Firm Solid Rock Construction Services, LLC

Address 1350 Galena Pike

West Portsmouth, OH 45663

Telephone 740-858-3111

Date November 16, 2011

FORM OF PROPOSAL

STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: Allison Whitaker

POSITION/TITLE: Owner

STATEMENT OF EXPERIENCE: Allison oversees daily office management and is asbestos certified with the company as well as other employees. Does payroll and other duties.

NAME OF INDIVIDUAL: Billy Whitaker

POSITION/TITLE: Superintendent

STATEMENT OF EXPERIENCE: Bill oversees daily project operations. Experienced in the construction field, with over 20 yrs. of qualifications. Bill has established a solid reputation as a operator, designer, among many other qualifications.

NAME OF INDIVIDUAL: Theodore Krukeler

POSITION/TITLE: Supervisor, Operator, Safety Advisor, Laborer

STATEMENT OF EXPERIENCE: Theodore has been with Solid Rock since the company began in 2006. He has several years experience as an operator as well as laboring and is a very qualified employee.

NAME OF INDIVIDUAL: Anthony Thacker

POSITION/TITLE: truck driver, laborer

STATEMENT OF EXPERIENCE: Anthony is a highly experienced CDL driver, which hauls debris to landfills as well as equipment to project sites.

NAME OF INDIVIDUAL: Paul Dixon

POSITION/TITLE: Supervisor, Operator, laborer

STATEMENT OF EXPERIENCE: Paul has been a operator on multiple projects. He is a experienced operator and has performed demolition and site work on several projects.

BY: Solid Rock Construction Services
Name of Firm

DATE: 11/16/11

BY: Allison Whitaker

TITLE: Owner

* Include all officers, office management's, Affirmative Action officials, and field management personnel. Attach separate sheets if necessary.

EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

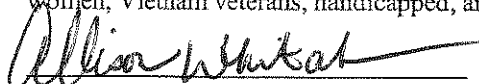
The Secretary of Labor may investigate the employment practices of any Government contractor or subcontractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.


The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.


Signature


Name of Business

EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Solid Rock Construction Services, LLC to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

Bill Whitaker has been appointed Equal Employment Compliance (EEOC) Officer and shall be available for counseling, answering of questions in regards to this company policy, and to hear any complaints of discrimination. The EEOC Officer may be reached by calling 740-858-3111.

Signature: Allison Whitaker
(Bidding Contractor)

Title: Owner

Date: November 16, 2011

WORKFORCE ANALYSIS FORM

Name of Organization: Solid Rock Construction Services Date: 11 / 16 / 11

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators	1		1						
Professionals	1	1	0					1	0
Superintendents	2	2	0					2	0
Supervisors	4	4	0					4	0
Foremen	1	1	0					1	0
Technicians	0	0	0					0	0
Protective Service	0	0	0					0	0
Para-Professionals	0	0	0					0	0
Office/Clerical	3	0	3					0	3
Skilled Craft	25	25	0					25	0
Service/Maintenance	2	2	0					2	0
Total:									

Prepared By: Allen White

WORK FORCE ANALYSIS FORM

Project: <i>Demolition of Residential Structures</i>	Date: <i>11-14-11</i>
Wage Scale No.:	Project No.: <i>114-2011</i>
Company Name: <i>Solid Rock Construction</i>	Federal I.D. No.: <i>20-5186281</i>
Address: <i>1350 Galena Pike W. Pats. Apts. A4603</i>	KY State Tax No.:
Phone: <i>760-858-3111</i>	KY State Unemployment No.:

PAYROLL PERSONNEL (NAME) <i>Allison Whitaker</i>	SUPERVISORY PERSONNEL (NAME) <i>Bill Whitaker</i>
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		OTHER EMPLOYEES			
NAME	ADDRESS	WORK CLASSIFICATIONS	SOCIAL SECURITY NO.	SEX	RACE
<i>See Attached</i>					

B-Black (Non hispanic)
 H-Hispanic
 A-Asian or Pacific Islander

AI-American Indian or Alaskan Native
 W-White

Solid Rock Construction Services, LLC

Employees

Allison Whitaker

- Owner
- Office Manager
- Payroll
- Accounts Payable
- Asbestos Abatement Project Supervisor

Bill Whitaker

- Superintendent
- Supervisor
- Building Analysis
- Asbestos Abatement Building Inspector, Management Planner, & Project Supervisor
- Operator
- CDL Driver
- Estimating / Design
- Safety Administrator

Vanessa Parr

- Office Assistant
- Contracts
- Licensing
- Scheduling
- Purchasing
- Accounts Receivable

Heather Elliott

- Office Assistant
- Federal Motor Carriers
- Purchasing
- Scheduling

Theodore Krekeler

- Supervisor
- Operator
- Safety Advisor
- Laborer

Paul Dixon

- Supervisor
- Operator
- Safety Advisor
- CDL Driver
- Laborer

Bobby Whitaker

- Asbestos Abatement Worker
- Laborer

Anthony Thacker

- CDL Driver
- Operator
- Laborer

Danny Sargent

- Asbestos Abatement Worker
- CDL Driver
- Operator
- Laborer

George Houston

- Asbestos Abatement Worker
- Laborer
- CDL Driver
- Operator

Chris Blanton

- CDL Driver
- Laborer

Floyd Book

- Supervisor
- Operator
- Safety Advisor
- Laborer

Mark Mallott

- Supervisor
- Operator
- Laborer

Mike Redd

- Driver

Chad Houston

- Laborer

John Wallace

- CDL Driver
- Mechanic

Mark Mallot

- Supervisor
- Operator
- Laborer

Derek Slusher

- Laborer

Jesse Payton

- CDL Driver

Jason Dunham

- CDL Driver
- Laborer

Dave Conschafsky

- CDL Driver
- Laborer

Rickey Richmond

- Laborer
- Operator

Justin Sargent

- Laborer

Stanley Murphy

- CDL Driver

Lexington-Fayette Urban County Government
MBE/WBE Participation Goals

PART 1 - GENERAL

- 1.1 The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE) and Woman-Owned (WBE) Business Enterprises as subcontractors or suppliers in their bids.
- 1.2 Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned and Woman-Owned Businesses on this contract.
- 1.3 **It is therefore a request of each Bidder to include in its bid, the same goal (10%) or for MBE/WBE participation and other requirements as outlined in this section.**

PART 2 - PROCEDURES

- 2.1 The successful bidder will be required to report to the LFUCG, the dollar amounts of all purchase orders submitted to Minority-Owned or Woman-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2.2 Replacement of a Minority-Owned or Woman-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MBE/WBE Firm; this is subject to approval by the LFUCG. (See LFUCG MBE/WBE Substitution Form)
- 2.3 For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - A. The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 2.4 The LFUCG will make every effort to notify interested MBE/WBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

PART 3 - DEFINITIONS

- 3.1 A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 3.2 A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.

PART 4 - OBLIGATION OF BIDDER

- 4.1 **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MBE/WBE subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 4.2 Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 4.3 The Form of Proposal includes a section entitled "MBE/WBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4.4 **Failure to submit this information as requested may be cause for rejection of bid.**

PART 5 - DOCUMENTATION REQUIRED

- 5.1 Bidders reaching the Goal are required to submit only the "MBE/WBE Participation Form." The form must be fully completed including names and telephone number of participating MBE/WBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 5.2 Bidders not reaching the Goal must submit the "MBE/WBE Participation Form", the "MBE Quote Summary Form" and a written statement documenting their Good Faith Effort to do so (If bid includes no MBE/WBE participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder may submit the following as proof of Good Faith Efforts to meet the Participation Goal:
 - A. Advertisement by the bidder of MBE/WBE Contracting opportunities associated with this bid in at least two (2) of the following:
 1. A periodical in general circulation throughout the region
 2. A Minority-Focused periodical in general circulation throughout the region
 3. A Trade periodical aimed at the MBE/WBE community in general circulation throughout the region
 4. Bidder shall include copies of dated advertisement with his submittal
 - B. Evidence of written notice of contracting opportunities to at least five (5) MBE/WBE firms serving the construction industry at least seven (7) days prior to the bid opening date.
 - C. Copies of quotations submitted by MBE/WBE firms which were not used due to

uncompetitive pricing or other factors and/or copies of responses from firms that were contacted indicating that they would not be submitting a bid.

- D. Documentation of Bidder's utilization of the agencies identified to help locate potential MBE/WBE firms for inclusion on the contract including responses from agencies.
- E. Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. "Record of MBE/WBE Solicitation" and other required documentation of Good Faith Efforts are to be submitted with the bid, if participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Marilyn Clark
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
mclark@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

“A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises...”

A Disadvantaged Business Enterprise is defined as a business at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female

We are very happy that you have decided to bid for a contract, request for proposal, submitted a quote or are interested in learning more about how to do business with Lexington-Fayette Urban County Government. We have compiled the list below to help you locate certified minority vendors.

LFUCG—Economic Engine Listings
Marilyn Clark
mclark@lexingtonky.gov
859-258-3323

Commerce Lexington—
Tyrone Tyra, Minority Business Development
tyra@commercelexington.com
859-226-1625

Tri-State Minority Supplier Diversity Council
Sonya Brown
sbrown@tsmsdc.com
502-625-0137

Small Business Development Council
Dee Dee Harbut /UK SBDC
ddharbut@uky.edu

Shawn Rogers, UK SBDC
Shawn.rogers@uky.edu

Shiree Mack
smack@uky.edu

Community Ventures Corporation
James Coles
jcoles@cvcky.org
859-231-0054

Kentucky Department of Transportation
Shella Jarvis
Shella.Jarvis@ky.gov
502-564-3601

KPAP
Debbie McKnight
Debbie.McKnight@ky.gov
800-838-3266 or 502-564-4252

Bobbie Carlton
Bobbie.Carlton@ky.gov

Ohio River Valley Women's Business Council
Rea Waldon
rwaldon@gcul.org
513-487-6534

Kentucky Small Business Connect
Tom Back
800-626-2250 or 502-564-2064
<https://secure.kentucky.gov//sbc>

**National Minority Supplier Development Council, Inc.
(NMSDC)**
www.nmsdc.org

LFUCG MBE/WBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 116-2011

The MBE/WBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MBE/WBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. Solid Rock Const 1350 Galena Pike W. Portsmouth, OH 740-852-3111 solidrockconst1 @aol.com	All		100%
2.			
3.			
4.			

The undersigned company representative submits the above list of MBE/WBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Solid Rock Construction Services Allison Whitak
 Company By
11-16-11 Owner
 Date Title

LFUCG MBE/WBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # 116-2011

The substituted MBE/WBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MBE/WBE Company Name, Address, Phone, Email	MBE/WBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. <i>N/A</i>					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Solid Rock Construction
Company

11-16-11
Company Representative

Allison Whitak
Date

Owner
Title



MBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 116-2011

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

Company Name Solid Rock Construction Services	Contact Person Allison Whitaker
Address/Phone/Email 1350 Galena Pike W. Portsmouth, OH 45663	Bid Package / Bid Date 116-2001 / 10/21/11

MBE/WBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
N/A							

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Solid Rock Construction Services
Company
11-16-11
Date

Allison Whitaker
Company Representative
Owner
Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MBE/WBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 114-2011

Total Contract Amount Awarded to Prime Contractor for this Project

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS
Bid/RFP/Quote # 116-2011

By the signature below of an authorized company representative, we certify that we have utilized the following methods to obtain the maximum practicable participation by minority and women owned business enterprises on the project. Please indicate which methods you used by placing an X in the appropriate place.

- Attended LFUCG Central Purchasing Economic Inclusion Outreach Event
- Sponsored Economic Inclusion event to provide networking opportunities
- Requested a list of MBE/WBE subcontractors or suppliers from LFUCG Economic Engine
- Advertised for MBE/WBE subcontractors or suppliers in local or regional newspapers
- Showed evidence of written notice of contracting and/or supplier opportunities to MBE/WBE firms at least seven days prior to the bid opening date
- Provided copies of quotations submitted by MBE/WBE firms which were not used and/or responses from firms indicating they would not be submitting a quote
- Provided plans, specifications, and requirements to interested MBE/WBE subcontractors

Other
Please list any other methods utilized that aren't covered above.
Solid Rock Construction Services, LLC is a WBE
company, if awarded the project,
100% would be to a WBE.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Solid Rock Construction
Company

Allison Wheat
Company Representative

11/16/11
Date

Owner
Title

EVIDENCE OF INSURABILITY
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Solid Rock Construction Services Employee ID: 20-5120281
 Address: 1350 Galena Pike Phone: 740-852-3111

W. Portsmouth, OH 45663
 Project to be insured: LFUCG Demolition of Residential Structures

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
(X) SC 1.4.1.1	CGL	\$1,000,000/per occ.; \$2,000,000 aggregate; or \$2,000,000 combined single limit.	\$ 2,000,000 per occ. 3,000,000 per agg.	Market Finders	AT	
(X) SC 1.4.1.2	AUTO	Combined single; \$1,000,000 per occurrence	\$ 2,000,000 per agg.	Market Finders	AT	
(X) SC 1.4.1.3	WC	\$ STATUTORY w/endorsement for Employer's Liability of \$500,000/ per occ.	\$ STATUTORY			

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Bernett Murfin Ins.
 Agency or Brokerage
1031 Galena St.
 Street Address
Portsmouth OH 45662
 City State Zip
740-333-3121
 Telephone Number

Daniel L. Cassidy
 Name of Authorized Representative
Owner
 Type
Daniel L. Cassidy
 Authorized Signature
11-16-11
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

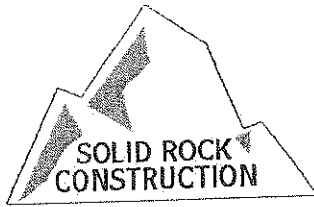
IMPORTANT: CONTRACT MAY NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THIS FORM FOR ALL COVERAGE'S LISTED ABOVE IS NOT PROVIDED.



**DEMOLITION
TRUCKING
EXCAVATION
SITE DEVELOPMENT
ASBESTOS ABATEMENT**

**1350 GALENA PIKE
WEST PORTSMOUTH, OHIO 45663**

**740-858-3111 PHONE
740-858-1972 FAX**



Solid Rock Construction Services, LLC
1350 Galena Pike
West Portsmouth, Ohio 45663

Phone: 740-858-3111
Fax: 740-858-1972

Solid Rock Construction Services, LLC, a licensed Demolition and Asbestos Contractor, started in business in July 2006 as a demolition contractor. Allison Whitaker, owner of the company along with her husband Bill, have worked to expand the company since that time. Solid Rock Construction Services now specializes in demolition as well as asbestos abatement testing, asbestos abatement removal, site development, excavation, and trucking.

Solid Rock Construction Services operates from the original location on Galena Pike in West Portsmouth, Ohio. Operating twelve dump trucks, six tractor trailers, as well as a large variety of excavating equipment, Solid Rock Construction is more than equipped to perform almost any project need.

The company, is a licensed Asbestos Abatement contractor in Ohio and Kentucky. A total of sixteen asbestos abatement certifications are currently held by the company and employees combined. Performing abatement testing and/or removal on numerous projects, including schools, city governments, etc., Solid Rock Construction comes very highly recommended.

Allison Whitaker coordinates operations in the office with two other office personnel. Project site operations are overseen by Bill Whitaker. Solid Rock Construction has five highly qualified supervisors who are in charge of daily operations on various types of projects. 10 employees currently hold a class A commercial driver's license and operate tractor trailers for the purposes of hauling equipment, hauling demolition debris to landfills, etc. Solid Rock Construction employees 6 equipment operators who are highly qualified to operate the variety of heavy equipment owned by the company, including excavators, loaders, etc.

Solid Rock Construction Services takes pride in the fact that there has never been a loss time accident since the company began in 2006.

Solid Rock Construction Services, LLC holds certifications, are members or have been recognized for the following:

- BWC certification
- BWC Drug Free Workplace program
- WBE (Women Owned Business) certification
- EDGE (Encouraging Diversity, Growth and Equity Program) certification
- NFIB member
- Better Business Bureau member
- Portsmouth Area Chamber of Commerce member
- Southern Ohio Safety Council safety performance award
- Received *Gold* Recognition in the LEED program for recycling efforts performed on the Clark Montessori School in Cincinnati, Ohio.

Asbestos Abatement Projects

Client Name: Christine Scott
Client Address: 6057 Carey's Run Pond Creek Rd., West Portsmouth, OH 45663
Client Phone: 740-351-0981
Job Description: Asbestos Removal
Job Location: **Columbia Music Hall, 832 Gallia Street, Portsmouth, OH 45662**
Date of Completion: May 2, 2008

Client Name: Ohio Department of Transportation
Client Address: 650 Eastern Avenue, Chillicothe, OH 45601
Client Phone: 740-774-8909
Job Description: Asbestos Removal
Job Location: **McHenry Schoolhouse, 315 State Route 140, Portsmouth, OH 45662**
Date of Completion: April 25, 2008

Client Name: City of Loveland Ohio
Client Address: 120 W. Loveland Avenue, Loveland, OH 45140
Client Phone: 513-683-0150
Job Description: Asbestos Removal 2 Structures
Job Location: **104 and 122 Broadway Street, Loveland, OH 45140**
Date of Completion: August 11, 2008

Client Name: Wheelersburg Local School District
Client Address: 620 Center Street, Wheelersburg, OH 45694
Construction Manager: Bovis Lend Lease, 800 Pirate Dr., Wheelersburg, OH 45694
Phone: 740-574-8490
Job Locations: **Wheelersburg High School, 701 Pirate Dr., Wheelersburg, OH 45694**
Wheelersburg Elementary, 1731 Dogwood Ridge, Wheelersburg, OH 45694
Start Date: October 2008

Client Name: The City of Ironton
Client Address: 301 S. Third Street, Ironton, Ohio
Phone: 740-532-0198
Job Locations: **Veterans Memorial Hall, Railroad Street, Ironton, Ohio 45638**
Start Date: December, 2009

Client Name: The City of Ironton
Client Address: 301 S. Third Street, Ironton, Ohio
Phone: 740-532-0198
Job Locations: **Ro-Na Theatre, 310 – 314 S. Third Street, Ironton, Ohio 45638**
Ironton, Ohio
Start Date: February, 2009

Solid Rock Construction has also performed Asbestos Abatement on several residential and smaller structures that would be too numerous to list.

Solid Rock Constructions Services Project References

Shawnee State University

Residential Structures Demolition

Prime Contractor – Project 100% Complete

Contract Amount: \$ 14,829.00

Bonding Co.: Bond Brokers

Owner: Shawnee State University

Contact: John Temponeras, Shawnee State University, Construction Project Manager

Phone: 740-351-3012

Wheelersburg Asbestos Abatement Project

Asbestos Removal

Prime Contractor – Project 100% Complete

Contract Amount: \$ 70,800.00

Bonding Co.: Bond Brokers

Owner: Wheelersburg Local School District

Contact: Regina Francis, Bovis Lend Lease

Phone: 740-894-5208

Old Somerville School

Demolition of old school

Prime Contractor – Project 100% Complete

Contract Amount: \$ 46,800.00

Bonding Co.: Bond Brokers

Owner: Butler County Board of Commissioners

Contact: Desmond Maayah,

Phone: 513-785-5391

Kentucky Dept. of Transportation Right of Way Project

Demolition of multiple residential structures

Prime Contractor – Project 100% Complete

Contract Amount: \$ 47,259.00

Bonding Co.: Bond Brokers

Owner: Kentucky Department of Transportation

Contact: Dan White

Phone: 859-341-2707

Lexington-Fayette Urban County Government

Demolition of multiple residential structures

Prime Contractor – Project 100% Complete

Contract Amount: Contract was bid as unit pricing – Amount is based on individual structures

Bonding Co.: Bond Brokers

Owner: Lexington-Fayette Urban Co. Gov.

Contact: Michael Clayborne

Phone: 859-258-3410

Ohio Department of Transportation Bypass Project

Demolition of multiple residential structures
Prime Contractor – Project 100% Complete
Contract Amount: \$ 143,000.00
Bonding Co.: Bond Brokers
Owner: Ohio Department of Transportation
Contact: Dan Beasley
Phone: 740-774-2247

14th Street Community Center/Parking Lot

Excavation/Sitework
Prime Contractor – Project 100% Complete
Contract Amount: \$ 41,500.00
Bonding Co.: Bond Brokers
Owner: Scioto County Commissioners
Contact: Connie Spencer
Phone: 740-355-8356

322 Pine Street, Ironton, Ohio

Emergency Demolition of Residential Structure
Prime Contractor – Project 100% Complete
Contract Amount: \$ 4,800.00
Bonding Co.: N/A
Owner: The City of Ironton
Contact: Karl Wentz
Phone: 740-532-0198

2433 S. 8th Street & 715 Depot Square, Ironton, Ohio

Demolition of Residential Structures
Prime Contractor – Project 100% Complete
Contract Amount: \$ 7,000.00
Bonding Co.: N/A
Owner: The City of Ironton
Contact: Karl Wentz
Phone: 740-532-0198

2012 S. Third Street, Ironton, Ohio

Demolition of Residential Structure
Prime Contractor – Project 100% Complete
Contract Amount: \$ 2,000.00
Bonding Co.: N/A
Owner: The City of Ironton
Contact: Karl Wentz
Phone: 740-532-0198

Veteran's Memorial Hall

Emergency Roof Removal & Wall Stabilization
Prime Contractor – Project 100% Complete
Contract Amount: \$ 183,510.00
Bonding Co.: N/A
Owner: The City of Ironton
Contact: Rich Blankenship
Phone: 740-532-0198

Ironton CAO

Demolition of Residential Structures
Prime Contractor – Project 100% Complete
Contract Amount: \$ 12,890.00
Bonding Co.: N/A
Owner: Ironton-Lawrence CAO
Contact: Cindy Anderson
Phone: 740-532-3534

Chesapeake Union Exempted Village School Dist.

Project 100% Complete
Contract Amount: \$618,425.00
Owner: Chesapeake Union Exempted Village School Dist.
Contact: BBL Construction Services
Phone: 614-221-3400

Lincoln Elementary

Demolition Project
Project 100% Complete
Contract Amount: \$181,000.00
Owner: Portsmouth Local School District
Contact: BBL Construction Services
Phone: 614-221-3400

Frankfort Cooling Plant

Demolition
Project 100% Complete
Contract Amount: \$193,490.00
Owner: Commonwealth of Kentucky
Contact: Jeff Joe Dunagin
Phone: 502-564-3155

Barbeque Road Dump Site Improvements

Excavation
Project 100% Complete
Contract Amount: \$335,992.25
Owner: Clay Commonwealth of Kentucky
Contact: Eddie Cooke
Phone: 502-564-3155

Road Widening Project

Various locations
Project 100% Complete
Contract Amount: \$172,542.00
Owner: Kentucky Transportation Cabinet
Contact: Dan White
Phone: 859-341-2707

Sneff Natatorium

Demolition
Project 100% Complete
Contract Amount: \$43,449.00
Owner: Morehead State University
Contact: Steve Leitz
Phone: 606-776-3662

South Point Middle School / Burlington Elementary School

Demolition
Project 100% Complete
Contract Amount: \$446,000.00
Owner: South Point Local Schools
Contact: Jim Hall
Phone: 740-894-5208

Western Local School

Demolition
Project 100% Complete
Contract Amount: \$285,000.00
Owner: Western Local School Dist.
Contact: 614-823-4949

Crystal Care Parking Lot

Parking Lot Installation
Prime Contractor – Project 100% Complete
Contract Amount: \$5,700.00
Bonding Co.: N/A
Owner: K.K.J., Inc.
Contact: Kim Nye
Phone: 740-285-2344

Scott Co., Ky Demolition

Residential Demolition
Prime Contractor – Project Completed
Contract Amount: \$ 4,000.00
Bonding Co.: N/A
Owner: Kentucky Transportation Cabinet
Contact: Jennifer Houchin
Phone: 502-564-4630

Ironton City School District

Middle School Demolition & Phase II Site
Prime Contractor – Project 35% Complete
Contract Amount: \$ 652,900.00
Bonding Co.: Bond Brokers
Owner: Ohio Schools Facilities Commission
Contact: Randy Henderson
Phone: 614-221-3899

Gateway Environmental

Central Elementary Demolition
Sub Contractor – Project 100% Complete
Contract Amount: \$ 56,500.00
Bonding Co.: N/A
Owner: Gateway Environmental
Contact: Brian Wellen
Phone: 614-654-6264

New Boston Coke Corp.

Site Clean up
Prime Contractor – Project 100% Complete
Contract Amount: \$ 190,000.00
Bonding Co.: N/A
Owner: New Boston Coke Corp.
Contact: Ray Zetts
Phone: 216-763-0602

Scioto County Commissioners

Residential Demolition Project #4
Prime Contractor – Project 100% Complete
Contract Amount: \$ 11,700.00
Bonding Co.: N/A
Owner: Scioto Co. Commissioners
Contact: Connie Spencer
Phone: 740-355-8313

Bracken Co. Judicial Center

Asbestos Abatement, Demolition & Site Preparation
Prime Contractor – Project 75% Complete
Contract Amount: \$361,088.00
Bonding Co.: Bond Brokers
Owner: Bracken Co. Fiscal Court
Contact: Eddie Sorrell
Phone: 606-796-3867

PGI Development

Multiple Residential Demolitions
Prime Contractor – Project Start
Contract Amount: \$12,500.00
Bonding Co.: N/A
Owner: PGI Development Group
Contact: Pete Patel
Phone: 614-793-7767

Scioto County Commissioners

Multiple Residential Demolition Project # 5
Prime Contractor – Project 100% Complete
Contract Amount: \$13,900.00
Bonding Co.: N/A
Owner: Scioto County Commissioners
Contact: Connie Spencer
Phone: 740-355-8313

Scioto County Commissioners

Multiple Residential Demolition Project # 6
Prime Contractor – Project Start
Contract Amount: \$10,700.00
Bonding Co.: N/A
Owner: Scioto County Commissioners
Contact: Connie Spencer
Phone: 740-355-8313

Stevens Construction

Residential Demolition
Prime Contractor – Project 100% Complete
Contract Amount: \$10,700.00
Owner: Stevens Construction
Contact: Jody Stevens
Phone: 740-353-0998

City of Portsmouth

Multiple Residential Demolition Project
Prime Contractor – Project 100% Complete
Contract Amount: \$29,200.00
Owner: City of Portsmouth
Contact: Becky Steele
Phone: 740-353-5153 ext. 227

Bob Clyse

Demolition / Renovation
Prime Contractor – Project 50% Complete
Contract Amount: \$40,000.00
Bonding Co.: N/A
Owner: Bob Clyse
Contact: Bob Clyse
Phone: 740-532-4011

Ohio Department of Transportation

Radio Tower Demolitions
Ironton, OH & Chillicothe, Ohio
Prime Contractor – Project 50% Complete
Contract Amount: \$22,500.00
Bonding Co.: N/A
Owner: ODOT
Contact: Shaun Bennett
Phone: 740-774-8857

Greater Portsmouth Regional Airport

Drainage Improvements
Prime Contractor – Project 100% Complete
Contract Amount: \$16,888.25
Bonding Co.: Bond Brokers
Owner: Scioto County Airport Authority
Construction Manager: Stantec Consulting Corporation
Contact: Steve Slusher
Phone: 614-486-4383

Ohio University

Residential Demolition
Ironton, OH
Prime Contractor – Project 0% Complete
Contract Amount: \$13,934.00
Bonding Co.: N/A
Owner: Ohio University Southern
Contact: Adam Riehl
Phone: 740-533-4618

Bluegrass Station

Tactical Road, Lexington, KY
Prime Contractor – Project 75% Complete
Contract Amount: \$166,657.00
Bonding Co.: Bond Brokers
Owner: Commonwealth of Kentucky
Contact: Ron Harris
Phone: 502-564-3155

Eastern State Hospital

Asbestos Abatement & Demolition
Prime Contractor – Project 50% Complete
Contract Amount: \$463,800.00
Bonding Co.: Bond Brokers
Owner: Bluegrass Community & Technical College
Construction Manager: Congleton – Hacker Co.
Contact: David Boien
Phone: 859-254-6481

Porter Township

Replacement of Storm Tiles
Prime Contractor – Project 100% Complete
Contract Amount: \$55,235.00
Bonding Co.: Bond Brokers
Owner: Porter Township Trustees
Construction Manager: N/A
Contact: Ted Adams
Phone: 740-574-4249

St. Mary's Medical Facility

Phase 2 – Site Development
Prime Contractor – Project 5% Complete
Contract Amount: \$533,117.00
Bonding Co.: N/A
Owner: St. Mary's Medical Center
Construction Manager: Setterlin Building Company
Contact: Mike Danyi
Phone: 614-586-4220

**Solid Rock Construction Services, LLC
Truck & Equipment List**

Dump Trucks

1989 Kenworth
1995 International
1982 Ford
1995 International
1991 Peterbilt
1989 Peterbilt
1990 Peterbilt
1984 Ford
1991 Peterbilt
1994 Freightliner
1996 Ford

Tractors (Semi)

1996 Peterbilt
1989 Peterbilt
2000 Freightliner
1985 Peterbilt
2000 Freightliner
1989 International

Trailers

1994 Trailstar Dump Trailer
1989 Utility
1972 General Lowboy
1999 USTS Dump Trailer
1987 Rogers Lowboy

Misc. Trucks

1981 International Water Truck
1982 International Water Truck
1983 Mack Bucket Truck
1983 Ford Bucket Truck

Service Trucks

1994 Ford
1994 International
1998 Chevrolet
2002 Ford
2001 Ford
2001 Dodge
1980 International
2008 Ford
2000 Ford

Storage Trailers

1986 Fruehauf
1984 Fruehauf
1980 Fruehauf
1964 Fruehauf
1960 Fruehauf

Excavators

Komatsu PC 300 LC-6
Komatsu PC 220 LC-6
Komatsu PC 200 LC-6
Caterpillar 330
Caterpillar 325
Caterpillar 322

Dozers

John Deere 750 C
Caterpillar D5
Caterpillar D6

Compaction

Rex 848 Vibratory Pad Foot
Bomag 84 Vibratory Smooth Drum
4 Raygo Ram 45 Drum Sheepfoot
Bomag 66" Vibratory Pull Behind Sheepfoot
Ingersol Rand Compactor

Cranes

Lorain MC-550 50 Ton
Grove RT 605 18 Ton

Loaders

Trojan 5500
Trojan 4000
Caterpillar 963
Bobcat T250 Track Loader

Hammer

Brodersaw Hydro Hammer
Rammer Hydro 6400

Grader

Huber D-1100

Misc. Equipment

John Deere 270 Skid Steer
Tampco Vibratory Sheepfoot
Ditch Witch
Rockhound Chainlink
Dynapac Roller
Caterpillar 623B Scraper

Backhoes

Terex
416 Caterpillar
436 Caterpillar

Solid Rock Construction Services, LLC

Employees

Allison Whitaker

- Owner
- Office Manager
- Payroll
- Accounts Payable
- Asbestos Abatement Project Supervisor

Bill Whitaker

- Superintendant
- Supervisor
- Building Analysis
- Asbestos Abatement Building Inspector, Management Planner, & Project Supervisor
- Operator
- CDL Driver
- Estimating / Design
- Safety Administrator

Vanessa Parr

- Office Assistant
- Contracts
- Licensing
- Scheduling
- Purchasing
- Accounts Receivable

Heather Elliott

- Office Assistant
- Federal Motor Carriers
- Purchasing
- Scheduling

Theodore Krekeler

- Supervisor
- Operator
- Safety Advisor
- Laborer

Paul Dixon

- Supervisor
- Operator
- Safety Advisor
- CDL Driver
- Laborer

Bobby Whitaker

- Asbestos Abatement Worker
- Laborer

Anthony Thacker

- CDL Driver
- Operator
- Laborer

Danny Sargent

- Asbestos Abatement Worker
- CDL Driver
- Operator
- Laborer

George Houston

- Asbestos Abatement Worker
- Laborer
- CDL Driver
- Operator

Chris Blanton

- CDL Driver
- Laborer

Floyd Book

- Supervisor
- Operator
- Safety Advisor
- Laborer

Mark Mallott

- Supervisor
- Operator
- Laborer

Mike Redd

- Driver

Chad Houston

- Laborer

John Wallace

- CDL Driver
- Mechanic

Mark Mallot

- Supervisor
- Operator
- Laborer

Derek Slusher

- Laborer

Jesse Payton

- CDL Driver

Jason Dunham

- CDL Driver
- Laborer

Dave Conschafsky

- CDL Driver
- Laborer

Rickey Richmond

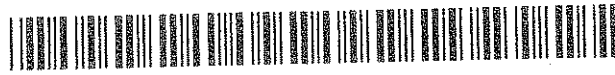
- Laborer
- Operator

Justin Sargent

- Laborer

Stanley Murphy

- CDL Driver



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT
07/21/2006	200620200180	ARTICLES OF ORGANIZATION/DOM. LL (LCA)	125.00	100.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

SOLID ROCK CONSTRUCTION SERVICES LLC
1350 GALENA PK
WEST PORTSMOUTH, OH 45663

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, J. Kenneth Blackwell

1636441

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

SOLID ROCK CONSTRUCTION SERVICES LLC

and, that said business records show the filing and recording of:

Document(s)
ARTICLES OF ORGANIZATION/DOM. LLC

Document No(s):
200620200180



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 20th day of July, A.D. 2006.

J. Kenneth Blackwell
Ohio Secretary of State

STATE OF OHIO
DEPARTMENT OF HEALTH

ASBESTOS HAZARD ABATEMENT CONTRACTOR LICENSE

Be it known that **Solid Rock Construction Services** is hereby licensed, having qualified as required by law in accordance with rules adopted by the Public Health Council relative to Asbestos Contractors.

In Witness whereof, I have subscribed my name and affixed the seal of the Department of Health State of Ohio on **December 22, 2010** in the city of Columbus.

License number: **AC1928**

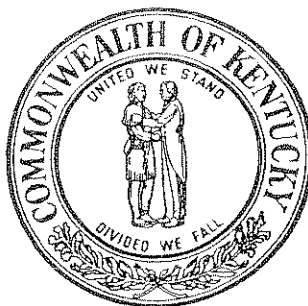
Effective Until: **December 22, 2011**

In witness thereof _____



Alvin D. Jackson, M.D.
Director of Health





Environmental and Public Protection Cabinet
DEPARTMENT FOR ENVIRONMENTAL PROTECTION
DIVISION FOR AIR QUALITY

This Asbestos Abatement Certificate is Awarded to

SOLID ROCK CONSTRUCTION SERVICES LLC

in recognition that their personnel listed below have demonstrated proficiency and have fulfilled the training required in 401 KAR 58:040.

<u>NAME</u>	<u>SOC. SEC. NO.</u>
Allison Whitaker	***_**_****

<u>NAME</u>	<u>SOC. SEC. NO.</u>
Bill Whitaker	***_**_****

This certificate is issued subject to the following conditions:

1. This entity shall comply with 401 KAR 58:025 and 401 KAR 58:040.
2. A person listed hereon must be on site during asbestos abatement activities.

Parker H. Moore
Field Support Section Supervisor

John S. Lyons
Director

CERTIFICATE NO. C11-438-1

EFFECTIVE January 27, 2011

EXPIRES January 26, 20

Ohio Department of Administrative
Services
John R. Kasich, *Governor*
Robert Blair, *Director*
Melinda Carter, *Deputy Director*

Equal Opportunity Division 614.466.8380 voice
30 E. Broad Street 614.728.5628 fax
18th Floor www.das.ohio.gov/eod
Columbus, Ohio 43215



EDGE Certification # - EDGE-1226
Expires - 05/09/2013

Solid Rock Construction Services
1350 Galena Pike
West Portsmouth, OH 45663

Re: EDGE Letter of Certification

Dear Allison Whitaker:

We are pleased to advise you that after careful review of your application and supporting documentation the State of Ohio Department of Administrative Services, Equal Opportunity Division has determined that **Solid Rock Construction Services** meets the eligibility requirements for the Encouraging Diversity, Growth & Equity (EDGE) certification pursuant to Ohio Administrative Code (OAC) 123:2-16-01 et seq.

Solid Rock Construction Services has been granted status as an Encouraging Diversity Growth & Equity participant with the State of Ohio. This letter acknowledges that **Solid Rock Construction Services** for the purposes of participating in Ohio's Encouraging Diversity, Growth & Equity Program is **approved** under the following procurement category(ies) **Construction** and has demonstrated capability and/or experience for a period of one year the following UNSPSC code(s) and/or CSI Codes:

UNSPSC Codes: N/A

CSI Codes: 02 40 00 Demolition and Structure Moving , 31 20 00 Earth Moving , 31 00 00 Earthwork , 31 30 00 Earthwork Methods , 31 50 00 Excavation Support and Protection , 10 00 Site Clearing , 32 30 00 Site Improvements

This letter of approval is in effect from **05/09/2011** to **05/09/2013**. One month prior to the expiration date of this letter of certification, your company is required to submit a Recertification Affidavit of Continued Eligibility form for review as to your status to remain the EDGE program.

However, you must notify this division immediately if any changes occur within your company that effect ownership, managerial and/or operational control within (30) days of such changes. In addition, notification should be provided for changes to the company's name, business address, telephone number(s), principal products/service, etc. Failure to

notify this office of changes or to provide the Recertification Affidavit of Continued Eligibility form in a timely manner can result in revocation of your certification status.

In order to take full advantage of opportunities with the State of Ohio, we strongly suggest that you contact the following agencies:

General Services Division Office of State Purchasing provides free registration at <http://www.das.ohio.gov/gsd> or by contacting (614) 466-4635. Department of Administrative Services will provide electronic notice of purchasing opportunities (bid notices) to any vendor who has registered with DAS for the respective supplies or services. This registration does not include opportunities for the architectural, engineering and construction industry. Opportunities for the architectural, engineering and construction can be accessed at <http://das.ohio.gov/gsd/SAO/bidopps.htm> and <http://das.ohio.gov/gsd/SAO/orb.html>.

Ohio Department of Development offers business development assistance in the areas of management, technical, financial, contract procurement assistance, loan, and bond packaging services. The office can be contacted at (614) 466-5700 or (800) 848-1300 ext. 65700.

The State of Ohio appreciates its business partners and is pleased to be able to assist you company with this certification. If you need any assistance or should you have questions, please contact the Equal opportunity Division at 614.466.8380.

Sincerely,



Melinda Carter
Deputy Director
State EEO Coordinator

Service, Support, Solutions for Ohio Government



hereby grants

National Women's Business Enterprise Certification

to
Solid Rock Construction Services, LLC

who has successfully met WBENC's standards as a Women's Business Enterprise (WBE).
This certification affirms the business is woman-owned, operated and controlled; and is valid through the date herein.

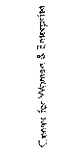
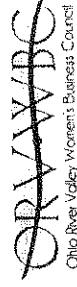
Expiration Date: 10/07/2012
WBENC National Certificate Number: 2005111433

NAICS Codes: 238910

UNSPSC Codes: 22102000

WBENC National WBE Certification was processed and validated by Ohio River Valley - Women's Business Council, a WBENC Regional Partner Organization.

Authorized by Rea Waldon, Ph.D., Executive Director,
Ohio River Valley - Women's Business Council





Bureau of Workers'
Compensation

30 W. Spring St.
Columbus, OH 43215

Certificate of Premium Payment

This certifies the employer listed below has paid into the Ohio State Insurance Fund as required by law. Therefore, the employer is entitled to the rights and benefits of the fund for the period specified. For more information, call 1-800-OHIOBWC.

This certificate must be conspicuously posted.

Policy No. and Employer

Period Specified Below

1503802

07/01/2011 Thru 02/29/2012

ALLISON R WHITAKER
SOLID ROCK CONSTRUCTION SERVICES
1350 GALENA PIKE
W PORTSMOUTH, OH 45663-6048

ohiobwc.com


Administrator/CEO

You can reproduce this certificate as needed.

Ohio Bureau of Workers' Compensation

Required Posting

Effective Oct. 13, 2004, Section 4123.54 of the Ohio Revised Code requires notice of rebuttable presumption. Rebuttable presumption means an employee may dispute or prove untrue the presumption (or belief) that alcohol or a controlled substance not prescribed by the employee's physician is the proximate cause (main reason) of the work-related injury.

The burden of proof is on the employee to prove the presence of alcohol or a controlled substance was not the proximate cause of the work-related injury. An employee who tests positive or refuses to submit to chemical testing may be disqualified for compensation and benefits under the Workers' Compensation Act.



Bureau of Workers'
Compensation

You must post this language with the certificate of premium payment.