

Shaping Our Community



Contract Documents and Specifications

Project Name: 2016 Construction Unit Price Contract

LFUCG Bid No.: 132-2016

**Prepared by: Division of Engineering,
Department of Planning, Preservation and Development
Lexington-Fayette Urban County Government
101 E. Vine Street, Lexington, KY 40507**

PART III

Invitation to Bid No. 132-2016

2016 Construction Unit Price Contract

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: October 17, 2016

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Bluegrass Contracting Corporation

1075 Red Mile Road, Lexington, KY 40504
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as _____ a Corporation _____
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **2016 Construction Unit Price Contract** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue purchase orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under agreed-to Purchase Order accepted under this Contract and to complete the Project(s) within the time provided by the Purchase Order. The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. ____ Date _____

Addendum No. ____ Date _____

Addendum No. ____ Date _____

Addendum No. ____ Date _____

Addendum No. ____ Date _____

Addendum No. ____ Date _____

Addendum No. ____ Date _____

Addendum No. ____ Date _____

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. **LEGAL STATUS OF BIDDER**

Bidder Bluegrass Contracting Corporation

Date October 17, 2016

* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Mark W. Johnson, bearing the official title of President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

~~* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)~~

~~* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)~~

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Mark W. Johnson, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Mark W. Johnson and he/she is the individual submitting the bid or is the authorized representative of Bluegrass Contracting Corporation, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.



 (Affiant)

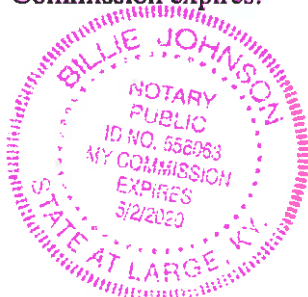
STATE OF Kentucky

COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Mark W. Johnson on this the 14th day of October, 2016.

My Commission expires: 5-2-2020





 NOTARY PUBLIC, STATE AT LARGE

4. BID SCHEDULE – SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

In addition to completing the following schedule of values, Bidders shall enter their unit prices on the attached Excel spreadsheet (Available through the LFUCG IonWave Procurement website), titled *2016 Construction Unit Price Contract Bid Schedule.xls*, and submit it with their bid on a CD or flash drive. Any discrepancies between entries in the table below and the electronic spreadsheet will defer to the unit price as written in the schedule below.

The Bidder is not required to bid on every item listed in the bid schedule. In instances where the Bidder chooses not to bid on a specific item, the Bidder shall enter the phrase “no bid” in the unit price column for that respective item. However, Bidders are cautioned that failure to submit a unit bid price on an item will disqualify the Bidder from any project requiring that item, even if they have submitted unit bid prices for all other work items pertaining to that particular project.

Unit prices shall be clearly written in numerical format to the penny (\$ XX.xx) . Any entries deemed illegible shall be treated as a no-bid.

IN ADDITION TO COMPLETING THE LINE ITEM UNIT PRICES BELOW, BIDDERS MUST COMPLETE THE EXCEL SPREADSHEET AVAILABLE ON THE LFUCG IONWAVE PROCUREMENT at <https://lexingtonky.ionwave.net/Login.aspx> WEBSITE AND RETURN IT WITH THEIR SUBMITTAL ON A CD OR FLASH DRIVE.

#	Item	Unit	Unit Price
1	Excavation and Placement	CY	\$11.50
2	Embankment	CY	\$11.50
3	Rock Excavation (Mechanical)	CY	\$150.00
4	Remove Portland Cement Concrete Pavement	SY	\$25.00
5	Remove Curb and Gutter	LF	\$7.00
6	Remove Sidewalk, Entrance Pavement	SY	\$11.00
7	Remove Bituminous Concrete Pavement	SY	\$6.00
8	Remove Pipes less than 24" - up to 8' deep	LF	\$15.00
9	Remove Pipes 30"- 48" - up to 8' deep	LF	\$20.00
10	Remove Fence	LF	\$5.00
11	Remove Headwalls and Inlet Structures	EA	\$500.00

12	Remove Tree (5" dia. to 12" dia.)	EA	\$300.00
13	Remove Tree (>12" dia. to 24" dia.)	EA	\$800.00
14	Remove Tree (>24" dia. to 36" dia.)	EA	\$1,200.00
15	Remove Tree (>36" dia. and up)	EA	\$2,500.00
16	Dense Graded Aggregate Base	TN	\$25.00
17	No. 2 Stone	TN	\$25.00
18	No. 9 Stone	TN	\$25.00
19	No. 57 Stone	TN	\$25.00
20	Steel Reinforcement for Concrete	LB	\$2.00
21	Unfinished Concrete less than 10 CY	CY	\$150.00
22	Unfinished Concrete more than 10 CY	CY	\$150.00
23	Formed Class A Concrete less than 10 CY	CY	\$750.00
24	Formed Class A Concrete more than 10 CY	CY	\$650.00
25	4-1/2" Concrete Sidewalk	SY	\$45.00
26	6" Concrete Sidewalk	SY	\$60.00
27	6" Concrete Entrance Pavement	SY	\$60.00
28	Sidewalk Ramp	SY	\$60.00
29	Header Curb	LF	\$20.00
30	Curb and Gutter, Type 1	LF	\$20.00
31	Curb and Gutter, Type 4	LF	\$20.00
32	Bituminous Pavement Milling and Texturing	TN	\$35.00
33	Bituminous Base	TN	\$75.00
34	Class I, Bituminous Surface less than 50 tons	TN	\$85.00
35	Class I, Bituminous Surface greater than 50 tons	TN	\$85.00
36	Bituminous Material for Tack	TN	\$850.00
37	Type A Surface Inlet	EA	\$2,000.00
38	Type B Surface Inlet	EA	\$2,000.00
39	Curb Box Inlet Type A (LFUCG)	EA	\$3,200.00
40	Curb Box Inlet Type B (LFUCG)	EA	\$3,200.00
41	Curb Box Inlet Type C (LFUCG)	EA	\$3,200.00
42	Curb Box Inlet Type D (LFUCG)	EA	\$2,500.00
43	Curb Box Inlet Type B (KDOH)	EA	\$3,300.00
44	Drop Box Inlet Type 13 (KDOH)	EA	\$3,300.00

45	Drop Box Inlet Type 16 (KDOH)	EA	\$3,300.00
46	Lex Storm Sewer Manhole (4' dia.) (0-8' No rock)	EA	\$2,500.00
47	Lex Storm Sewer Manhole (5' dia.) (0-8' No rock)	EA	\$3,000.00
48	Lex Storm Sewer Manhole (6' dia.) (0-8' No rock)	EA	\$4,200.00
49	Pipe Tie-in into Manhole or Curb Box Inlet	EA	\$750.00
50	15" RCP Storm Sewer (0-8' No rock)	LF	\$42.00
51	18" RCP Storm Sewer (0-8' No rock)	LF	\$47.00
52	24" RCP Storm Sewer (0-8' No rock)	LF	\$52.00
53	30" RCP Storm Sewer (0-8' No rock)	LF	\$67.00
54	36" RCP Storm Sewer (0-8' No rock)	LF	\$82.00
55	42" RCP Storm Sewer (0-8' No rock)	LF	\$112.00
56	48" RCP Storm Sewer (0-8' No rock)	LF	\$127.00
57	15" HDPE Storm Sewer (0-8' No rock)	LF	\$38.00
58	18" HDPE Storm Sewer (0-8' No rock)	LF	\$40.00
59	24" HDPE Storm Sewer (0-8' No rock)	LF	\$50.00
60	30" HDPE Storm Sewer (0-8' No rock)	LF	\$60.00
61	36" HDPE Storm Sewer (0-8' No rock)	LF	\$75.00
62	15" PP Storm Sewer (0-8' No rock)	LF	\$38.00
63	18" PP Storm Sewer (0-8' No rock)	LF	\$40.00
64	24" PP Storm Sewer (0-8' No rock)	LF	\$50.00
65	30" PP Storm Sewer (0-8' No rock)	LF	\$60.00
66	36" PP Storm Sewer (0-8' No rock)	LF	\$75.00
67	15" Elliptical RCP Storm Sewer	LF	\$59.00
68	18" Elliptical RCP Storm Sewer	LF	\$63.00
69	24" Elliptical RCP Storm Sewer	LF	\$76.50
70	30" Elliptical RCP Storm Sewer	LF	\$90.00
71	36" Elliptical RCP Storm Sewer	LF	\$117.00
72	42" Elliptical RCP Storm Sewer	LF	\$135.00
73	48" Elliptical RCP Storm Sewer	LF	\$167.00
74	Internal Inspection of Sewer Pipe: CCTV	LF	\$5.00
75	15" Straight Headwall - Standard or Raised	EA	\$1,500.00
76	18" Straight Headwall - Standard or Raised	EA	\$1,600.00
77	24" Straight Headwall - Standard or Raised	EA	\$1,800.00

78	15" Pipe Culvert Headwall	EA	\$1,400.00
79	18" Pipe Culvert Headwall	EA	\$1,500.00
80	24" Pipe Culvert Headwall	EA	\$1,700.00
81	30" Pipe Culvert Headwall	EA	\$2,000.00
82	36" Pipe Culvert Headwall	EA	\$2,500.00
83	42" Pipe Culvert Headwall	EA	\$4,000.00
84	48" Pipe Culvert Headwall	EA	\$5,000.00
85	18" Sloped and Flared Box Inlet-Outlet	EA	\$2,400.00
86	24" Sloped and Flared Box Inlet-Outlet	EA	\$3,000.00
87	30" Sloped and Flared Box Inlet-Outlet	EA	\$3,500.00
88	36" Sloped and Flared Box Inlet-Outlet	EA	\$4,200.00
89	15" Impact Stilling Basin	EA	\$2,000.00
90	18" Impact Stilling Basin	EA	\$2,100.00
91	24" Impact Stilling Basin	EA	\$2,300.00
92	30" Impact Stilling Basin	EA	\$2,500.00
93	36" Impact Stilling Basin	EA	\$3,000.00
94	48" Impact Stilling Basin	EA	\$3,500.00
95	Bottom Paved Ditch	SY	\$70.00
96	Aggregate Channel Lining for Slope Protection	TN	\$35.00
97	Seeding and Protection	SY	\$2.50
98	Sodding	SY	\$8.00
99	Gabion Mattress Channel Lining	CY	\$200.00
100	4" HDPE Perforated Pipe	LF	\$10.00
101	6" HDPE Perforated Pipe	LF	\$12.00
102	4" PVC Pipe	LF	\$20.00
103	6" PVC Pipe	LF	\$25.00
104	8" PVC Sanitary Sewer (0-8' No Rock)	LF	\$65.00
105	10" PVC Sanitary Sewer (0-8' No Rock)	LF	\$75.00
106	12" PVC Sanitary Sewer (0-8' No Rock)	LF	\$80.00
107	15" PVC Sanitary Sewer (0-8' No Rock)	LF	\$85.00
108	18" PVC Sanitary Sewer (0-8' No Rock)	LF	\$90.00
109	8" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$80.00
110	10" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$85.00

111	12" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$90.00
112	14" Ductile Iron Sewer Pipe (0-8' No Rock)	LF	\$95.00
113	Sanitary Sewer By-Pass Pumping	DAY	\$1,000.00
114	Two Way Sewer Service Cleanout	EA	\$500.00
115	4"x 8" Sanitary Sewer Tee & up to 6' of lateral pipe	EA	\$100.00
116	6"x 8" Sanitary Sewer Tee& up to 6' of lateral pipe	EA	\$105.00
117	Lex Sanitary Sewer Manhole (4' dia.) (0-8' No rock)	EA	\$2,400.00
118	Lex Sanitary Sewer Manhole (5' dia.) (0-8' No rock)	EA	\$3,000.00
119	Lex Sanitary Sewer Manhole (6' dia.) (0-8' No rock)	EA	\$5,500.00
120	Sanitary Sewer Manhole MIC Protection	VF	N/B
121	Manhole-Additional vertical depth > 8' (4' dia.)	VF	\$175.00
122	Manhole-Additional vertical depth > 8' (5' dia.)	VF	\$275.00
123	Manhole-Additional vertical depth > 8' (6' dia.)	VF	\$450.00
124	Manhole-Additional for adjustable frame and cover	EA	\$1,000.00
125	Woven Wire Fence 4' height	LF	\$15.00
126	Chain Link Fence 4' height	LF	\$25.00
127	Privacy Fence (installation)	LF	\$50.00
128	Backhoe (small) with Operator	HR	\$85.00
129	Dump Truck (single axle) with driver	HR	\$75.00
130	Dump Truck (tri-axle) with driver	HR	\$90.00
131	Jackhammer with Operator	HR	\$65.00
132	Skid Loader with Operator	HR	\$75.00
133	Check Dam	TN	\$50.00
134	Sediment Trap	CY	\$75.00
135	Sediment Pond	CY	\$60.00
136	Silt Fence	LF	\$5.00
137	Storm Drain Inlet Protection	EA	\$350.00
138	Filter Strip	SY	\$30.00
139	Stream Crossing	EA	\$7,500.00
140	Pump-Around Flow Diversion	DAY	\$600.00
141	Construction Dewatering	DAY	\$600.00
142	Geotextile Construction Type I	SY	\$2.00
143	Geotextile Construction Type II	SY	\$3.00

144	Geotextile Construction Type III	SY	\$2.00
145	Geotextile Construction Type IV	SY	\$3.00
146	Edge Key	LF	\$10.00
147	Pipe Plugging for Pipes less than mor equal to 24"	EA	\$300.00
148	Pipe Plugging for Pipes 30"- 48"	EA	\$600.00
149	Flowable Fill	CY	\$150.00
150	Fiber Reinforced PCC Pavement	CY	\$300.00
151	Single Block Masonry Retaining Wall	SF	\$30.00
152	Degradable Erosion Control Mat	SY	\$10.00
153	Turf Reinforcement Mat	SY	\$12.00
154	Project Sign	EA	\$800.00
155	Steel W Beam Guardrail and End Treatments	LF	N/B
156	Articulating Concrete Block	SY	\$315.00
157	Reinf Conc Pipe Crack Repairs and Manhole Rehab	LF	N/B
158	Saw cutting	LF	\$6.00
159	Precast Reinforced Concrete Box Culvert 3' X 2'	LF	\$600.00
160	Precast Reinforced Concrete Box Culvert 3' X 3'	LF	\$800.00
161	Precast Reinforced Concrete Box Culvert 4' X 2'	LF	\$800.00
162	Precast Reinforced Concrete Box Culvert 4' X 3'	LF	\$900.00
163	Detectable Warning Surface Tile-Overlay	SF	\$50.00
164	Detectable Warning Surface Tile-Imbedded	SF	\$50.00
165	Bulb-out: Gutter Cover	LF	N/B
166	Bulb-out: Asphalt Repair	SF	\$300.00
167	Grader with Operator	HR	\$130.00
168	Roller/Compactor with Operator	HR	\$90.00
169	Furnish and Place Topsoil	CY	\$30.00
170	Spreading Stockpiled Topsoil	CY	\$15.00
171	2" PVC Conduit Under Non-Traffic Surface	LF	\$14.00
172	2" PVC Conduit Under Roadway or Driveway	LF	\$30.00
173	Junction Box, KYTC Type A	EA	\$1,500.00
174	Junction Box, KYTC Type C	EA	\$1,600.00
175	Pedestal Base for Pedestrian Pole	EA	\$800.00

	Payment and Performance Bond Cost, required for Projects over \$50,000 5.0% Allowance of Total Project Cost* * See Special Conditions, Section 6, Payment for Cost of P&P Bonds	5.0 % max.
	Unspecified, Incidental Materials at Cost Plus 15% Overhead and Profit (To be submitted as Contract progresses and as needed) * See Special Conditions, Section 7, Payment for Unspecified Materials and Labor. Check if interested	✓
	Unspecified, Incidental Labor at Direct Wages Plus Certified Overhead Plus 15% Profit (To be submitted as Contract progresses and as needed) * See Special Conditions, Section 7, Payment for Unspecified Materials and Labor. Check if interested	✓
	Minimum Project Total For Which Contractor will consider the project. (See Special Conditions, Section 2, Contractors Performance)	\$ 10,000.00

Mobilization, Traffic Control, Construction Staking and E&S Permitting will be paid on a sliding percentage scale for increments of the final price for Work, per the table below.

(For example, if the final total of Bid Item Prices for the work is \$24,000, Mobilization would be (\$10,000 X 15%) plus (\$10,000 X 10%) plus (\$4,000 X 5%) = \$2,700)

No additional compensation will be paid beyond a contract price of \$100,000. For example, the mobilization cost for a \$100,000 price of work will be identical to that for a \$110,000 price of work.

Applicability of Traffic Control, Construction Staking and E&S Permitting will be agreed upon individually for each Purchase Order.

Table of Incremental Costs for Mobilization and Additional Services

Increment Price for Work	(a) Mobilization Cost %	(b) Traffic Control Cost %	(c) Construction Staking Cost %	(d) SWPP Etc. Cost %
\$0 - 10,000	15.0	7.5	7.5	5.0
\$10,000 - 20,000	10.0	4.5	4.5	0.5
\$20,000 - 30,000	5.0	3.0	3.0	0.5
\$30,000 - 50,000	5.0	2.5	2.5	0.5
\$50,000 - 75,000	5.0	2.5	2.5	0.5
\$75,000 - 100,000	4.0	2.0	2.0	0.5

Submitted by:

Bluegrass Contracting Corporation

Firm

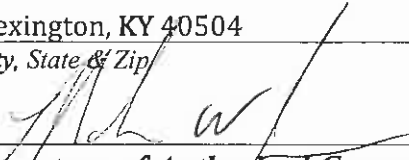
1075 Red Mile Road

Address

Lexington, KY 40504

City, State & Zip

***Bid must be signed:
(original signature)***

 President
Signature of Authorized Company Representative – Title

Mark W. Johnson

Representative/s Name (Typed or Printed)

(859) 231-0069

Area Code – Phone – Extension

(859) 281-6457

Fax #

markj@bluegrasscontracting.com

E-Mail Address

OFFICIAL ADDRESS:

1075 Red Mile Road

P.O. Box 11638

Lexington, KY 40504



_____ (Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: Bluegrass Contracting Corporation
2. Permanent Place of Business: 1075 Red Mile Road, Lexington, KY 40504
3. When Organized: April 3, 1969
4. Where Incorporated: Kentucky
5. Construction Plant and Equipment Available for this Project:
See Attached Corporate Resume

(Attach Separate Sheet If Necessary)

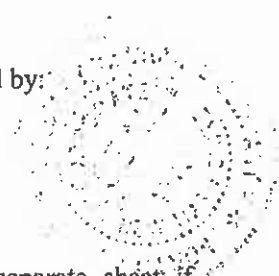
6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

North American Specialty Insurance Company (Surety)

Signed: Monica A. Kaiser (Representative of Surety)
Monica A. Kaiser, Attorney-in-Fact



8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
See Attached Corporate Resume		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
USACE- Wolf Creek Dam	Russell Co., KY	3,521,011.00
Rough River Dam Marina	Falls of Rough, KY	702,942.00
FHWA- Shiloh National Park	Savannah, TN	907,289.65
Spurlock Site Drainage Improvements	Maysville, KY	4,448,131.00

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
See Attached Corporate Resume		

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS (LIST)</u>	<u>PROJECT (SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
Jag, Inc.	Road Restoration	Yes	4.7 %
Somethin Bloomin, LLC	Road Restoration	Yes	1 %

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE Yes/No</u>	<u>% of Work</u>
1. <u>TBD</u>	Name: _____	_____	_____
	Address: _____		
2. _____	Name: _____	_____	_____
	Address: _____		
3. _____	Name: _____	_____	_____
	Address: _____		
4. _____	Name: _____	_____	_____
	Address: _____		
5. _____	Name: _____	_____	_____
	Address: _____		
6. _____	Name: _____	_____	_____
	Address: _____		
7. _____	Name: _____	_____	_____
	Address: _____		

(Attach additional sheet(s) if necessary.)

7. **LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) and Veteran-Owned Small Business (VOSB) Enterprises as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) The LFUCG has also established a 3% of total procurement costs as a Goal for participation of Veteran-Owned Small Businesses.
- 4) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned subcontractors or Veteran-Owned and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.

- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.
- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned and operated by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:

- a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
- b. Included documentation of advertising in the above publications with the bidders good faith efforts package
- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.
- j. Provided the interested MWDBE firm and/or Veteran-Owned businesses with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough

investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

“A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises...”

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

In addition, to that end the city council also adopted and implemented resolution 167-91—Veteran-owned Businesses, 3% Goal Plan in July of 2015. The resolution states in part (a full copy is available in Central Purchasing):

“A resolution adopting a three percent (3%) minimum goal for certified veteran-owned small businesses and service disabled veteran-owned businesses for certain of those Lexington-Fayette Urban County contracts related to construction for professional services, and authorizing the Division of Purchasing to adopt and implement guidelines and/or policies consistent with the provisions and intent of this resolution by no later than July 1, 2015.”

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Susan Marston	smarston@tsmsdc.com	502-365-9762
Small Business Development Council	Shirie Hawkins UK SBDC	smack@uky.edu	859-257-7666
Community Ventures Corporation	Phyllis Alcorn	palcorn@cvky.org	859-231-0054
KY Transportation Cabinet (KYTC)	Melvin Bynes	Melvin.bynes2@ky.gov	502-564-3601
KYTC Pre-Qualification	Shella Eagle	Shella.Eagle@ky.gov	502-782-4815
Ohio River Valley Women’s Business Council (WBENC)	Sheila Mixon	smixon@orvwbc.org	513-487-6537
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner’s Council (NWBOC)	Janet Harris-Lange	janet@nwbo.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozdeky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	paatricem@keynewsjournal.com	859-373-9428



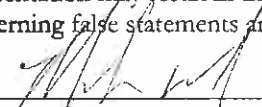
LFUCG MWDBE PARTICIPATION FORM
 Bid/RFP/Quote Reference # LFUCG Bid No. 132-2016

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. TBD			
2.			
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Bluegrass Contracting Corporation
 Company


 Company Representative

October 17, 2016
 Date

President
 Title



LFUCG MWDBE SUBSTITUTION FORM
 Bid/RFP/Quote Reference # LFUCG Bid No. 132-2016

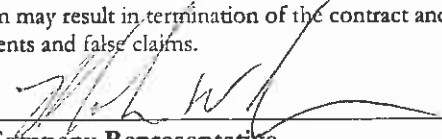
The substituted MWDBE and/or Veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. Failure to submit this form may cause rejection of the bid.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. TBD					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Bluegrass Contracting Corporation
 Company

October 17, 2016
 Date


 Company Representative

President
 Title



MWDBE QUOTE SUMMARY FORM
 Bid/RFP/Quote Reference # LFUCG Bid No. 132-2016

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project.

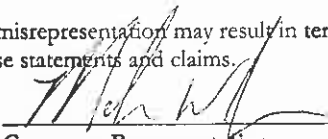
Company Name	Contact Person
Address/Phone/Email	Bid Package / Bid Date

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
TBD							

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Bluegrass Contracting Corporation
 Company
October 17, 2016
 Date


 Company Representative
President
 Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # LFUCG Bid No. 132-2016

Total Contract Amount Awarded to Prime Contractor for this Project _____

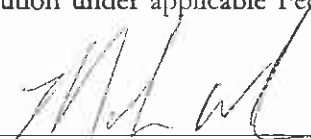
Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date
TBD							

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Bluegrass Contracting Corporation
Company

October 17, 2016
Date



 Company Representative

President
 Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

TBD

Bid/RFP/Quote # LFUCG Bid No. 132-2016

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

_____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

_____ Included documentation of advertising in the above publications with the bidders good faith efforts package

_____ Attended LFUCG Central Purchasing Economic Inclusion Outreach event

_____ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

_____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

_____ requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).

_____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

_____ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

_____ Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

_____ Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

_____ Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work

items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

_____ Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

_____ Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

_____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

_____ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

_____ Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

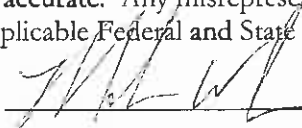
_____ Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Bluegrass Contracting Corporation

Company
October 17, 2016
Date



Company Representative
President
Title

8. AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky X . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

The Law

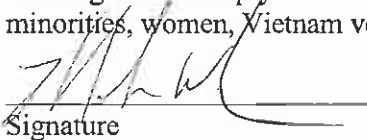
- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:
The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.


Signature

Bluegrass Contracting Corporation
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Bluegrass Contracting Corporation

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: Bluegrass Contracting Corporation

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	1	1															
Professionals	1	1															
Superintendents	4	4															
Supervisors																	
Foremen	18	17				1											
Technicians																	
Protective Service																	
Para-Professionals																	
Office/Clerical	4	1	3														
Skilled Craft	47	39	2	2		4											
Service/Maintenance	2	2															
Total:	77	65	5	2	2	5	1										

Prepared by: Billie Johnson
(Name and Title)

Date: 10 / 17 / 16
Revised 2015-Dec-15

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Bluegrass Contracting Corporation

Employee ID: 61-0679440

Address: 1075 Red Mile Road, Lexington, KY 40504

Phone: 859-231-0069

Project to be Insured: LFUCG 2016 Unit Price Contract (Bid no. 132-2016)

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - see provisions	OGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$1,000,000/ 2,000,000	Travelers Insurance Co.	004461	A++:XV
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$2,000,000/per occ.	\$1,000,000	Travelers Ins.	004461	A++:XV
SC-3, Section 2, Part 4.1 - see provisions	WC	Statutory w/endorsement as noted	\$4,000,000	Kentucky ACC	055002	A=:VII

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.


J. Smith Lanier & Co.
Agency or Brokerage

Paula Hardin
Name of Authorized Representative

360 E Vine Street
Street Address

Account Manager
Title

Lexington KY 40507
City State Zip


Authorized Signature

859-244-7637
Telephone Number

October 13, 2016
Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: 2016 Construction Unit Price Contract

BID NUMBER: LFUCG Bid No. 132-2016

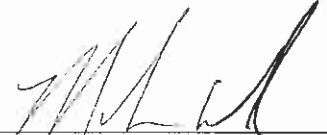
**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Bluegrass Contracting Corporation has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Bluegrass Contracting Corporation
Name of Firm Submitting Bid


Signature of Authorized Official

President
Title

October 17, 2016
Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.


The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Bluegrass Contracting Corporation

Project: 2016 Construction Unit Price Contract

Printed Name and Title of Authorized Representative: Mark Johnson, President

Signature: 

Date: October 17, 2016

END OF SECTION



BLUEGRASS CONTRACTING CORPORATION



**1075 Red Mile Road
P.O. Box 11638
Lexington, KY 40576
Ph: (859) 231-0069
Fax: (859) 281-6457**

www.bluegrasscontracting.com



BLUEGRASS CONTRACTING CORPORATION

INCORPORATED:

April 3, 1969

PRE-QUALIFIED BY:

**Kentucky Department of Transportation
Tennessee Department of Transportation**

CERTIFIED:

**Small Business
Hub Zone
Veteran Owned**

AWARDS:

**Kentucky Association of Highway Contractors, Inc.
President's Safety Achievement Award 1991
KAHC Chairman's Safety Achievement Award 1999
Safety Merit Award 1993, 1994, 2002, 2003, 2004, 2006
Safety Award 2007, 2008, 2009, 2012 & 2013**

**American Public Works Association – KY Chapter
Project of the Year: Sugar Mill Mitigation Project
Stormwater Category, 2010**

**Indiana Ready Mix Concrete Association
Outstanding Concrete Achievement Award:
Merom Landfill Cell Project – Sullivan, IN, 2013**

**KY Ready Mixed Concrete Association
Concrete Design Award for the 19th Engineer Battalion
Complex, 2014**

**KY Ready Mixed Concrete Association
Concrete Design Award for the US25 & KY36 Intersection
2014**

**KY SAME Kentuckiana Post Small Business Award for
Excellence in Construction Services, 2015**

MEMBERS OF:

**Kentucky Association of Highway Contractors, Inc.
since 1970
Kentucky Ready Mixed Concrete Association since 2005
Kentucky County Judge/Executive Association
Society of American Military Engineers
Kentucky Concrete Pavement Association**



BLUEGRASS CONTRACTING CORPORATION

Bluegrass Contracting Corporation has been focused on heavy and highway construction since 1969, but today as a general contractor our focus has evolved to include many other areas.

SERVICES WE SPECIALIZE IN:

Project Management
Design Build

Site Development
Sanitary Landfill Operation

Bridges and Culverts
Concrete Paving

Clearing and Grubbing
Grading

Drainage and Storm Sewer
Sanitary Sewer

Asphalt Paving
Asphalt Sales (Garrard Co. Plant)

BUSINESS VOLUME

Fiscal Year Ending:

12-31-14	\$19,000,000.00
12-31-13	13,400,000.00
12-31-12	10,071,050.00
12-31-11	6,776,000.00
12-31-10	8,209,000.00
12-31-09	6,466,000.00
12-31-08	7,236,000.00
12-31-07	6,989,000.00
12-31-06	6,300,000.00
12-31-05	4,200,000.00
12-31-04	2,017,060.00
12-31-03	1,268,000.00
12-31-02	2,848,000.00
12-31-01	3,386,000.00
12-31-00	5,400,000.00
12-31-99	4,790,000.00
12-31-98	4,368,000.00



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
KY Dept. of Transportation Warren Co. D/O	\$91,195.00	0%	TBD
KY Dept. of Transportation Lincoln Co.	\$1,105,012.51	0%	TBD
KY Dept. of Transportation Oldham Co.	\$755,974.50	0%	TBD
KY Dept. of Transportation Powell Co.	\$517,046.75	0%	TBD
Roger's Road Improvements Lexington, KY LFUCG	\$2,838,990.20	0%	TBD
Idle Hour Park Improvements Lexington, KY LFUCG	\$479,230.00	0%	TBD
KY Dept. of Transportation Carter Co.	\$722,791.50	0%	TBD
Lower Cane Run Rd. Storage Facility Judy Construction	\$233,668.50	20%	TBD
Blue Grass Airport Kay & Kay Contracting, LLC	\$294,313.50	50%	TBD
Pepsi-Cola Stormwater Improvements G & J Pepsi Cola Bottlers	\$177,675.05	100%	12/15
Wolf Creek Dam U.S. Army Corps. Of Engineers	\$2,757,036.25	0%	TBD
KY Dept. of Transportation Shelby Co. D/O	\$545,531.00	100%	12/15
KY Dept. of Transportation Fayette/Scott/Woodford Co. D/O	\$335,000.00	100%	12/15



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
JK Smith Landfill Walker Company of KY	\$30,200.00	0%	TBD
Federal Highway Administration Land Between the Lakes	\$334,757.25	100%	12/15
Carver Tunnel Rehabilitation U.S. Army Corps of Engineers	\$870,607.62	30%	03/16
Boonesboro Manor Pump Station JFG Enterprises, Inc.	\$324,724.00	70%	01/16
KY Dept. of Transportation Fayette Co.	\$217,878.30	100%	11/15
Blue Grass Army Depot Container/Handling Building TEM Group, Inc.	\$144,785.00	100%	11/15
Marion-Crittendon Airport Jave, LLC	\$56,070.00	100%	12/15
KY Dept. of Transportation Whitley Co.	\$264,053.00	100%	11/15
KY Dept. of Transportation Madison Co.	\$280,083.10	100%	11/15
KY Dept. of Transportation Laurel Co.	\$1,116,218.25	100%	11/15
KY Dept. of Transportation Butler Co. D/O	\$237,525.00	100%	08/15
KY Dept. of Transportation Simpson Co. D/O	\$220,700.00	100%	09/15
Lincoln Co. Roads Lincoln Co. Fiscal Court	\$453,180.00	100%	09/15
Speedway – Versailles, KY Lawrence Building Company	\$560,302.70	100%	10/15



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
Danville Streetscape City of Danville	\$493,511.75	95%	12/15
Linden Walk East Parking Lot University of Kentuck Lexington, KY	\$192,289.25	100%	08/15
Derby Estates City of Georgetown, KY	\$55,565.00	100%	08/15
Eagle Way – Hopkinsville, KY City of Hopkinsville, KY	\$588,744.00	100%	10/15
LFUCG Meadows-Northland Arlington 5C	\$864,959.22	90%	04/16
KY Dept. of Transportation Hardin Co. W/O	\$494,000.00	100%	05/15
KY Dept. of Transportation Johnson Co.	\$978,224.25	100%	09/15
SRE Maintenance Facility Messer Construction	\$980,716.00	100%	08/15
KY Dept. of Transportation Danville D/O	\$90,470.00	100%	12/14
Speedway Wilson Brothers	\$572,229.00	100%	10/15
Lextran Headquarters Messer Construction	\$2,457,479.00	90%	11/15
Turfland Mall Parking Lot Bristol Group	\$626,023.25	100%	12/14
KY Dept. of Transportation Whitley Co.	\$408,391.75	100%	12/14
KY Dept. of Transportation Butler Co.	\$2,762,317.25	100%	09/15



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
ICI – Georgetown, KY Shimizu North America	\$705,500.00	100%	05/15
KY Dept. of Transportation Garrard Co. Work Order	\$48,350.00	100%	06/14
Sabre Field Asphalt Apron Replacement TJC Engineering, Inc.	\$630,382.00	100%	11/14
KY Dept. of Transportation Barren Co. Work Oder	\$52,741.05	100%	05/14
UK FEMA Flood Mitigation University of Kentucky Lexington, KY	\$5,404,486.95	100%	09/15
KY Dept. of Transportation Laurel Co. US25	\$179,582.88	100%	04/14
KY Dept. of Transportation Laurel Co. Hwy 192	\$115,013.33	100%	05/14
KY Dept. of Transportation Owen Co.	\$841,332.04	100%	07/14
Whitley Co. Kay & Kay Contracting	\$336,298.50	100%	08/14
Greenbriar Country Club Lexington, KY	\$23,438.00	100%	04/14
KY Dept. of Transportation Bell Co.	\$743,911.98	100%	04/14
Blue Grass Airport Codell Construction	\$700,773.00	100%	10/14
Hume Road Power Plant Davis H. Elliot Lexington, KY	\$85,660.75	100%	06/14



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
LFUCG Pump Station Lexington, KY	\$161,977.00	100%	06/14
Transylvania University Fourth Street Reconstruction Lexington, KY	\$239,630.40	100%	11/13
Toyota Tsusho Denham-Blythe Co. Georgetown, KY	\$36,025.00	100%	09/13
KY Dept. of Transportation Estill Co.	\$372,019.85	100%	11/13
KY Dept. of Transportation Powell Co.	\$108,180.25	100%	11/13
KY Dept. of Transportation Madison Co.	\$335,408.00	100%	11/13
KY Dept. of Transportation Clark Co.	\$373,829.60	100%	12/13
Commonwealth of Kentucky D/O Hardin Co.	\$337,800.00	100%	07/13
LFUCG Clays Mill Road Improvements Lexington, KY	\$3,310,582.35	100%	09/14
LFUCG Tates Creek Sidewalks Lexington, KY	\$1,671,416.30	100%	06/14
KY Dept. of Transportation Grant Co.	\$618,253.50	100%	08/13
City of Simpsonville US-60 Highway Improvements	\$618,253.50	100%	12/13


BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
LFUCG Meadows-Northland Arlington 5B Lexington, KY	\$738,199.00	100%	07/14
Watterson Expressway Hall Contracting Louisville, KY	\$129,000.00	100%	07/13
KY Dept. of Transportation Gene Snyder Freeway Jefferson Co.	\$64,500.00	100%	07/13
Scott Co. I-75 Hamilton-Hinkle Paving Georgetown, KY	\$1,337,001.50	100%	08/13
Fayette Co. - Newtown Pike KY Dept. of Transportation Lexington, KY	\$3,022,078.72	100%	08/14
19 th Engineering Battalion Complex B.L. Harbert Fort Knox, KY	\$1,253,748.00	100%	05/14
Fleming Co. HG Mays Flemingsburg, KY	\$572,984.00	100%	07/13
ATS Construction Deroode St Lexington, KY	\$581,942.00	100%	11/13
Mammoth Cave National Park Cave City, KY	\$24,875.00	100%	01/13
KY Dept. of Transportation Franklin County	\$306,002.25	100%	07/13
Trans-Ash, Inc. Drakesboro, KY	\$77,706.21	100%	06/13



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
City of Winchester, KY Depot Street	\$1,022,231.00	100%	06/13
KY Dept. of Transportation Pulaski County	\$1,053,854.21	100%	11/12
KY Dept. of Transportation Bell County	\$573,538.44	100%	11/12
KY Dept. of Transportation Laurel County	\$1,843,640.00	100%	10/12
Federal Highway Administration Jackson County, KY	\$490,806.50	100%	11/12
KY Dept. of Transportation Barren County	\$521,478.12	100%	09/12
City of Georgetown, KY Kroger Turning Lane	\$64,925.00	100%	07/12
Mason Co. Gabion Mattress Maysville, KY	\$324,601.97	100%	08/12
KY Dept. of Transportation Butler County	\$368,188.00	100%	07/12
Federal Highway Administration Caney Creek	\$2,058,313.00	100%	02/13
Republic Services Transfer Station Lexington, KY	\$225,125.00	100%	02/12
Ironton Bridge Wayne National Forest Ironton, OH	\$985,430.00	100%	07/12
U.S. Army Corps of Engineers Old Hickory Bluff Stabilization Old Hickory, TN	\$613,485.00	100%	06/12



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
Federal Highway Administration Mammoth Cave National Park	\$763,096.25	100%	11/11
Hinkle Contracting, LLC Hoosier Energy Bloomington, IN	\$1,835,526.00	100%	08/12
KY Dept. of Transportation Whitley County	\$214,290.35	100%	06/12
KY Dept. of Transportation Perry County	\$1,229,280.10	100%	06/12
Chickamauga & Chattanooga National Military Park Alexander's Bridge Chickamauga, GA	\$1,598,256.00	100%	12/11
KY Finance Cabinet Somerset Community College Somerset, KY	\$454,700.00	100%	03/11
KY Dept. of Transportation Clark County	\$25,570.00	100%	04/11
KY Dept. of Transportation Boyle County	\$50,620.00	100%	05/11
KY Dept. of Transportation Second Street/KY32 Intersection Rowan County	\$1,530,381.70	100%	02/12
KY Dept. of Transportation Concrete Pavement US25 Laurel County	\$683,234.82	100%	07/10
U.S. Forest Service Major Culverts Daniel Boone National Forest Bath & Whitley Counties	\$447,500.00	100%	08/11



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
KY Dept. of Transportation Legacy Trail Phase 2 Bridge/Trail Construction Lexington, KY	\$697,800.00	100%	09/10
KY Dept. of Transportation Legacy Trail Phase 1 Bridge/Trail Construction Lexington, KY	\$1,737,800.00	100%	09/10
KY Dept. of Transportation Streetscape Oldham County Crestwood, KY	\$103,828.92	100%	08/10
KY Dept. of Transportation Streetscape Oldham County Pee Wee Valley, KY	\$98,177.17	100%	08/10
KY Dept. of Transportation Jefferson County Middletown, KY	\$156,069.19	100%	10/10
U.S. Forest Service Indian Creek Bridge Replacement Menifee County	\$315,000.00	100%	09/09
LFUCG Vaughn's Branch Flood Mitigation Project Lexington, KY	\$1,225,006.25	100%	05/10
KY Dept. of Transportation Jefferson County Louisville, KY	\$399,034.44	100%	11/09
KY Dept. of Transportation Greenup County Ashland, KY	\$109,995.55	100%	07/09



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
Allied Technical Services, Inc. Lake Vega Siphon Blue Grass Army Depot Richmond, KY	\$152,400.00	100%	06/09
U.S. Army Corps of Engineers Wilson Road Improvements Fort Knox, KY	\$6,474,653.00	100%	04/10
City of Beattyville Beattyville Streetscape Beattyville, KY	\$620,854.00	100%	06/09
Eastern Federal Lands Hwy. Div. Federal Highway Administration Panther Creek Bridge Bryson City, NC	\$1,053,367.00	100%	08/09
City of Berea Mayde Road Improvements Berea, KY	\$3,053,470.24	100%	11/08
KY Dept. of Transportation Casey County Bridge Liberty, KY	\$560,890.37	100%	06/08
KY Finance Cabinet Cigar Lane Improvements KY Horse Park Georgetown, KY	\$1,778,240.00	100%	07/08
Layne Geo Construction Lake Vega Dam Repairs Blue Grass Army Depot Richmond, KY	\$331,527.00	100%	07/08
KY Dept. of Transportation Rockcastle County Bridge Renfro Valley, KY	\$247,862.80	100%	05/08



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
LFUCG Meadow, Northland, Arlington Neighborhood Improvements Lexington, KY	\$959,526.50	100%	11/07
Kay & Kay Contracting, LLC Laurel Co.	\$1,488,516.00	100%	10/07
KY Dept. of Transportation Hardin County Sonora, KY	\$677,082.20	100%	08/07
City of Versailles Versailles Streetscape Versailles, KY	\$298,840.00	100%	08/07
Bechtel Parson, Inc. BGCAPP – Roadwork Concrete, Caissons, and Mech. Utilities Blue Grass Army Depot	\$530,987.00	100%	07/07
Bechtel Parsons, Inc. BGCAPP – Fencing Installations Blue Grass Army Depot Richmond, KY	\$1,037,115.00	100%	07/07
KY Finance Cabinet Mark's Lane Bridge Georgetown, KY	\$64,300.00	100%	06/07
Army Corps of Engineers Cumberland Point Boat Ramp Lake Cumberland, KY	\$29,735.00	100%	05/07
Army Corps of Engineers Waitsboro Boat Ramp Lake Cumberland, KY	\$20,926.00	100%	05/07
Army Corps of Engineers Alligator #2 Boat Ramp Lake Cumberland, KY	\$23,995.00	100%	05/07



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
Pendleton Co. Fiscal Court Lenoxburg Bridge Pendleton, KY	\$247,500.00	100%	05/07
Eastern Federal Lands Hwy. Div. Federal Highway Administration North Carolina Forest Highway Haywood County, North Carolina	\$3,156,029.87	100%	02/07
Blue Grass Airport Phase IIIB – NAVAIDs Runway 4-22 Safety Area Improv. Lexington, KY	\$1,505,000.00	100%	01/07
LFUCG I-75 Sound Barrier Wall Lexington, KY	\$372,637.50	100%	10/06
Eastern Federal Lands Hwy. Div. Federal Highway Administration Cold Hill Rd.-Daniel Boone Nat'l Forest Laurel County, Kentucky	\$1,804,396.00	100%	01/06
Whistlers Lane Home Owners Assoc. Bridge on Thistle Way Scott County, Kentucky	\$109,831.00	100%	11/05
Brasch & Berry Contractors Goodwill Industries Site Rowan County, Kentucky	\$89,640.00	100%	10/05
TDOT Bridge Macon County, Tennessee	\$362, 640.00	100%	10/05
KYDOT JPC Pavement Repairs Whitley County, Kentucky	\$121,275.50	100%	07/05
KYDOT JPC Pavement Repairs Laurel County, Kentucky	\$154,198.75	100%	07/05



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

<u>Description & Location</u>	<u>Contract Amount</u>	<u>Status</u>	<u>Completion Date</u>
Estill Co. Fiscal Court Approaches Estill County, Kentucky	\$144,000.00	100%	05/05
New Entrance Bridge Morgan County, Kentucky	\$173,900.00	100%	04/05
CSX Transportation Slope Protection Louisville, Kentucky	\$58,300.00	100%	03/05
KYDOT Grade, Drain and Asphalt Fayette County, Kentucky	\$433,843.39	100%	03/05
Franklin Ind. RR Culvert Extension Crab Orchard, Tennessee	\$88,700.00	100%	01/05
Retention Structure Jessamine County, Kentucky	\$40,503.40	100%	12/04
Eagle View Plaza Site Prep Fayette County, Kentucky	\$95,135.00	100%	12/04
KYDOT Bridge, Grade and Drain Bourbon County, Kentucky	\$301,615.10	100%	10/04
City of Augusta Riverwalk Bracken County, Kentucky	\$460,000.00	100%	10/04
KYDOT Bridge Replacement Jefferson County, Kentucky	\$139,577.00	100%	08/04
Chevron Station Removal Fayette County, Kentucky	\$29,484.00	100%	07/04
London Airport Retaining Wall Laurel County, Kentucky	\$67,500.00	100%	07/04
Wendy's Site Grading and Retaining Wall Fayette County, Kentucky	\$150,000.00	100%	07/04



BLUEGRASS CONTRACTING CORPORATION

OFFICERS AND MANAGERS

- President:** Mark W. Johnson, Fourteen years as Manager of Concrete Division of Hinkle Contracting, Paris, Kentucky. Responsible for the construction of bridges, airports, interstate highway pavement repair, commercial and industrial site work. Prior to that owned company in London, Kentucky doing concrete work for highways, the coal industry, and commercial developments. Purchased Bluegrass Contracting Corporation in 2004.
- Vice President:** Dave Luttrell, B.S. Civil Engineering, University of Kentucky, May 1973. Registered Professional Engineer (KY No. 12488, OH No. 45940). Registered Land Surveyor (KY No. 2170). Employed with Dixie Bridge Co. for twelve years. Superintendent, 3D Enterprises for fourteen years Superintendent and Project Manager, RCI for eight years. Responsible for construction of bridges, water and wastewater treatment plants, utilities, concrete structures, site work, excavation, steel structures, and buildings. Joined Bluegrass Contracting Corporation in June 2007.
- Project Manager:** James A. Johnson: Worked as operator for Hinkle Contracting Corporation for nine years. Experienced in grading and sanitary/storm sewers. Joined Bluegrass Contracting Corporation in 2004. Certified in pervious concrete.
- Project Manager:** Daniel Stamps: Project Manager and estimator for Fox Enterprises in 2011, Site Development Manager for W.S. Construction for five years. KYTC Project Traffic Coordinator and Kentucky Erosion Control Inspector. Joined Bluegrass Contracting Corporation in January 2012.
- Project Manager:** Jeff Stamps: Project Manager for Stewart Rickey Construction for five years. Background in mechanical engineering. Experienced with grading, drainage and utilities. Joined Bluegrass Contracting Corporation in 2015.
- Project Assistant:** Julie Shearer, E.I.T.: B.S. Civil Engineering from University of Pittsburgh. Worked previously in a cooperative position as an Environmental Engineering Technician for Groundwater and Environmental Services in Exton, PA. Joined Bluegrass Contracting Corporation in 2014.



BLUEGRASS CONTRACTING CORPORATION

PRIMARY FIELD SUPERVISORS

Jason Gosney: Concrete Foreman. Experience on multiple bridge projects including jobs with pavement repairs and grading. DOT certified. Joined Bluegrass Contracting in 2013.

Donnie Garrett: Project Supervisor. Experience on multiple concrete projects including grading, sewer work, and underground utilities. First Aid, CPR certified and DOT certified. Has over forty years in concrete construction.

Jack Holliday: Project Supervisor. Experience on multiple concrete projects including bridges, grading and pipe work.

Ray Morgan: Asphalt Paving Supervisor. DOT certified. Has over thirty years in construction and asphalt paving experience.

Jeff Smith: Grading Foreman. Experience on multiple bridge projects including jobs with pavement repairs and grading. 10 OSHA, Trench Safety and Confined Space Entry certified. Joined Bluegrass Contracting in 2013.

Brad Stamper: Experience on multiple bridge projects including jobs with pavement repairs. First Aid and CPR certified, as well as 10 HR OSHA and DOT certified. Joined Bluegrass Contracting in 2007.

David Stump: Project Supervisor. Experience in concrete construction and asphalt paving projects for seventeen years. First Aid, CPR certified and DOT certified.

Greg Thorpe: Project Supervisor. Experience on multiple concrete projects including bridges, grading and pipe work. First Aid, CPR certified and DOT certified.

J.R. Wagers: Worked as carpenter and carpenter foreman for T.C. Young Construction Company for 12 years. Joined Bluegrass Contracting Corporation in 1986. Foreman on several bridge projects. Certified as ACI Concrete Technician and KYDOT Earthwork Technician. Received commendation from KYDOT for quality of work on bridge over Norfolk Southern Railway in Jessamine County, KY.

Greg Ward: Concrete Foreman. Certified in pervious concrete. First Aid and CPR certified, as well as 10 HR OSHA and DOT certified. Joined Bluegrass Contracting Corporation in 2007.

Roger D. Webb: Project Superintendent. Over fifteen years of experience on multiple construction projects including grading, utility work and structural concrete. First Aid, CPR certified and DOT certified.



BLUEGRASS CONTRACTING CORPORATION

COMPANY EQUIPMENT LIST

- (1) Link Belt 290
- (1) Link Belt 240
- (2) Link Belt 225
- (1) Link Belt 210
- (1) 2002 Kobelco SK210
- (1) Kobelco 200 Excavator/with Breaker
- (1) PC78 Komatsu Excavator
- (2) Kobelco SK70 Excavator
- (1) PC27 Excavator
- (1) CAT 420IT Backhoe Loader
- (1) CAT 420B Backhoe Loader
- (1) Case Backhoe Loader/with Breaker
- (1) Case Forklift
- (1) CAT 963 Track Loader
- (1) Komatsu 510C Loader
- (1) D32 Komatsu Dozer
- (1) D61 EX-12 Komatsu Dozer
- (1) Fiatallis 65C Motor Grader
- (1) SD40 Ingersoll-Rand Roller
- (1) SD105 Ingersoll-Rand Roller
- (3) Bobcat Skid Loader
- (1) Broce BB250C Sweeper Broom
- (1) Sullair 100 cfm Air Compressor
- (2) Sullair 185 cfm Air Compressor
- (1) Sillair 375 cfm Air Compressor
- (1) Gomaco Bridge Deck Finishing Machine
- (1) Bidwell Bridge Deck Finishing Machine
- (1) Bidwell Ditch Trimming Machine
- (1) Bidwell Ditch Finishing Machine
- (3) Crafcro Hot Tar Melter
- (1) Vermeer 1150 Trencher
- (1) Vermeer BC625 Chipper
- (2) 6" Water Pump
- (2) Light Plant
- (5) Office/Storage Trailers
- (1) 2005 Ford Explorer
- (1) 2012 Ford Explorer
- (8) Ford F150 & F250 Pickup Trucks
- (4) International Dump Truck
- (1) Ford F650 Roll Back Truck
- (1) Tri Axle Mack Dump Truck
- (1) 2004 Terex TA27 Articulated Dump
- (3) Allen Tube Concrete Paver
- (2) GMC 5500 Service Truck
- (2) GMC 3500 Pickups
- (1) Teramite Roller Screed
- (2) Form Riding Concrete Paver



BLUEGRASS CONTRACTING CORPORATION

BANK REFERENCE

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Central Bank & Trust Co.
300 W. Vine Street
Lexington, KY 40507
Ph: (859) 253-6222
Fax: (859) 253-6006**

BONDING REFERENCE

**Mr. Todd Loehnert
LA Surety Solutions
121 South Sherrin Avenue
Louisville, KY 40207
Ph: (502) 895-9377
Fax: (502) 895-9690**



CREDIT AND TRADE REFERENCES

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Box 2100
Louisville, KY 40201
Ph: (502) 459-1000
DUNS#04-754-5017**

**Citicapital
5250-77 Center Dr., St. 100
Charlotte, NC 28217
Ph: (502) 224-0748**

**Advanced Drainage Systems
331 Cutters Hill Court
Lexington, KY 40509
Ph: (859) 264-8871**

**W.T. Congleton Co.
613 Laco Drive
Lexington, KY 40511
Ph: (859) 231-6180
Fax: (888) 550-6170**

**MMI of Kentucky
2081 Mercer Road
Lexington, KY 40511
Ph: (859) 255-0070
Fax: (859) 231-6522**

**Brandeis Machinery
1389 Cahill Drive
Lexington, KY 40504
Ph: (859) 259-3456
Fax: (859) 254-0783**

**Irving Materials Inc.
P.O. Box 34217
Louisville, KY 40232
Ph: (502) 456-6930
Fax: (502) 451-8823**

**Ready Mix Concrete
63 Foster Lane
Somerset, KY 42503
Ph: (606) 679-7490**

**Independent Concrete Pipe
3701 Kramers Lane
Shively, KY 40216-4646
Ph: (502) 448-9500**



BLUEGRASS CONTRACTING CORPORATION

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Knoxville, TN 37901
Ph: (865) 577-2511
Fax: (865) 579-2967

Whayne Supply Company
P.O. Box 951439
Cleveland, OH 44193-0016
Ph: (859) 254-2756
Fax: (859) 254-2750

Harrod Concrete
500 S. Forbes Road
Lexington, KY 40504
Ph: (859) 254-0526
Fax: (502) 223-1855

Sherman Dixie Concrete
200 42nd Avenue North
Nashville, TN 37209
Ph: (615) 889-0700
Fax: (615) 889-2670

The Wells Group LLC
P.O. Box 28
West Liberty, KY 41472
Ph: (606) 743-3485

Equip. Sales & Rentals
628 Bizzell Drive
Lexington, KY 40510
Ph: (859) 231-5343



CONTRACTING AGENCY REFERENCES

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