

SECTION 00410 – BID FORM

HARTLAND 2 PUMP STATION UPGRADES

Division of Water Quality  
Lexington-Fayette Urban County Government

LFUCG Bid No. 67-2022

1.01 GENERAL

Place: Lexington, Kentucky

Date: 7/21/22

The following Bid Form shall be followed exactly in submitting a Bid for this Work.

This Bid Form Submitted by Herrick Company, Inc.

2176 Waddy Rd, Lawrenceburg, KY 40342

(Name and Address of Bidder)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as a corporation.

"a corporation," "a partnership", or an "individual" as applicable

To: Lexington-Fayette Urban County Government

(Hereinafter called "Owner")  
Office of the Director of Central Purchasing  
200 East Main Street, Room 338  
Lexington, KY 40507

The Bidder, in compliance with your Advertisement for Bids for the **Hartland 2 Pump Station Upgrades**; Lexington, Kentucky, having examined the Contract Documents including the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions and any and all addendums surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this Bid is a part.

The Bidder hereby agrees to commence Work under this Contract on a date to be specified in a written "Notice to Proceed" of the Owner and to substantially complete the Project within **270** calendar days to substantial completion and **300** calendar days to final completion. Bidder further agrees to pay liquidated damages, as set forth in Section 00520, Agreement, for each consecutive day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 6/29/22; Addendum No.      Date           

Addendum No. 2 Date 7/14/22; Addendum No.      Date           

Addendum No.      Date           ; Addendum No.      Date           

Addendum No.      Date           ; Addendum No.      Date           

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

1.02 LEGAL STATUS OF BIDDER

Bidder Herrick Company, Inc.

Date 7/21/22

\*A. A corporation duly organized and doing business under the laws of the State of KY, for whom Donna S. Herrick, bearing the official title of Chief Executive Officer, whose signature is affixed to this Bid is duly authorized to execute contracts.

~~\*B. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)~~

~~\_\_\_\_\_~~  
~~\_\_\_\_\_~~  
~~\_\_\_\_\_~~  
~~\_\_\_\_\_~~  
~~\_\_\_\_\_~~

~~\*C. An individual, whose signature is affixed to this Bid. (Print name)~~

~~\_\_\_\_\_~~  
~~\_\_\_\_\_~~  
~~\_\_\_\_\_~~

\* The Bidder shall fill out the appropriate form and strike out the other two.

1.03 BIDDERS AFFIDAVIT

Comes the Affiant, Donna S. Herrick, and after being first duly sworn, states under penalty of perjury as follows:

- A. His/her name is Donna S. Herrick and he/she is the individual submitting the Bid or is the authorized representative of Herrick Company, Inc., the entity submitting the Bid (hereinafter referred to as "Bidder").
- B. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the Bid is submitted, prior to award of the Agreement and will maintain a "current" status in regard to those taxes and fees during the life of the Agreement.
- C. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the Agreement.
- D. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
- E. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of an Agreement to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
- F. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
- G. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his/her conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

*Donna S. Herrick*

Affiant Signature

STATE OF Kentucky

COUNTY OF Anderson

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Donna S. Herrick on this the 21st day of July, 2022.

My Commission expires: 5/8/26 Ryan P. Gabbard

*Ryan P. Gabbard*

NOTARY PUBLIC, STATE AT LARGE



#### 1.04 BID SCHEDULE

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. All pricing must be submitted correctly in Ionwave to reflect the correct total of your bid. Contractors are responsible for this if items are entered incorrectly your bid will be rejected. Please pay close attention to how the units are specified and enter the unit amounts. Ionwave will calculate the totals and the total bid amount.

The LFUCG's decision on the bid amount is final.

The Contract, if awarded, will be on the basis of materials and equipment specified in the Specifications without consideration of possible substitute or "or equal" items. The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

**BID SCHEDULE**

Item	Description	Qty	Unit	Unit Price	Item Price
1	Mobilization	1	LS	6,000	6,000
2	Demobilization	1	LS	3,000	3,000
3	Bonds and Insurance	1	LS	13,000	13,000
4	Erosion and Sediment Control and Conformance with SWPPP	1	LS	200	200
5	General Site Improvement, Complete	1	LS	13,000	13,000
6	Pump Station Upgrades, Including Pumps, Guiderails, Controls, Electrical Improvements, Valves, Complete	1	LS	176,000	176,000
7	Bypass Pumping and Setup	1	LS	14,000	14,000
8	Dense Graded Aggregate (DGA), Extra, as Directed by Engineer	10	TON	20	200
9	No. 9 Crushed Stone, Extra as Directed by Engineer	10	TON	20	200
10	No. 57 Crushed Stone, Extra as Directed by Engineer	10	TON	20	200
11	No. 2 Crushed Stone, Extra as Directed by Engineer	10	TON	20	200
12	Allowance: Miscellaneous Site Improvements	1	LS	\$30,000.00	\$30,000.00
<b>TOTAL BASE BID (Items 1 thru 12)</b>					<b>256,000</b>
<b>TOTAL BID AMOUNT</b> <u>Two hundred, fifty-six thousand dollars and zero cents.</u>					

Respectfully Submitted,

FIRM: Herrick Company, Inc.

ADDRESS: 2176 Waddy Rd

CITY/STATE/ZIP: Lawrenceburg, KY 40342

DATE: 7/21/22

BY: *Donna S Herrick*  
(must be original signature) Donna S. Herrick

TITLE: Chief Executive Officer

PHONE: 502-839-3484 FAX: 502-839-0939  
(area code, number & extension)

EMAIL ADDRESS: hci@hci96.com

OFFICIAL ADDRESS AND PHONE:

2176 Waddy Rd.

Lawrenceburg, KY 40342

502-839-3484 (Seal if Bid is by Corporation)

By signing this form you agree to all of the terms and associated forms.

**1.05 STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Bid:

- A. Name of Bidder: Herrick Company, Inc.
- B. Permanent Place of Business: 2176 Waddy Rd, Lawrenceburg, KY 40342
- C. When Organized: 1996
- D. Where Incorporated: Kentucky
- E. Financial Condition:

If specifically requested by the Owner, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the Owner's Division of Central Purchasing within seven (7) calendar days following the Bid opening.

- F. In the event the Agreement is awarded to the undersigned, Performance, Payment, Erosion and Sediment Control, and Warranty bonds will be furnished by:

United Fire & Casualty Company (Surety)

Signed: Leigh McCarthy (Representative of Surety)  
 Leigh McCarthy, Attorney-in-Fact

- G. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
-------------	-----------------	---------------------

<u>*See attached.</u>		
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>

- H. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
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<u>*See attached.</u>		
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>



I. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
*See attached.		

J. MWDBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS (LIST)</u>	<u>PROJECT (SPECIFIC TYPE)</u>	<u>MWDBE</u>	<u>% of WORK</u>
*See attached.			

(USE ADDITIONAL SHEETS IF NECESSARY)

K. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the Owner within seven (7) calendar days following the Bid Opening, a sworn statement regarding all office management and field management personnel. Additionally, if requested by the Owner, we will within seven (7) days following the request submit audited financial statements and loss history for insurance claims for the three (3) most recent years (or a lesser period if stipulated by the Owner)



**1.06 LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the Owner to be executed, completed and submitted with the Bid Form. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

<u>BRANCH OF WORK**</u> (List each major item)	<u>SUBCONTRACTOR</u>	<u>MWDBE (yes/no)</u>	<u>% of WORK</u>
1. <u>Electric</u>	Name: <u>Faust Electric</u>  Address: <u>1081 Sulphur Well Pike</u> <u>Nicholasville, KY 40356</u>	<u>No</u>	<u>16.5</u>
2. <u>Painting</u>	Name: <u>McKinney Painting</u>  Address: <u>104 Kuhlman Blvd</u> <u>Versailles, KY 40383</u>	<u>Yes</u>	<u>1.2</u>
3. _____	Name: _____  Address: _____ _____	_____	_____
4. _____	Name: _____  Address: _____ _____	_____	_____
5. _____	Name: _____  Address: _____ _____	_____	_____
6. _____	Name: _____  Address: _____ _____	_____	_____

\*\* Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.

1.07 AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

- A. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the Bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- B. That the attached Bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Advertisement for Bid, designed to limit independent bidding or competition;
- C. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the Bid or Bids, and will not be communicated to any such person, prior to the official opening of the Bid or Bids;
- D. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- E. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky ✓. [Check the statement applicable.]
- F. This offer is for ninety (90) calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items Bid above, an Agreement shall thereby be created with respect to the items accepted.
- G. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- H. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

Herrick Company, Inc.  
Company

7/21/22  
Date

Donna S Herrick  
Representative Donna S. Herrick

\*See attached.

**1.08 STATEMENT OF EXPERIENCE**

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\* Include all officers, office management, Affirmative Action officials, and field management personnel.  
Attach separate sheets if necessary.

**1.09 EQUAL OPPORTUNITY AGREEMENT**

**The Law**

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.

- \* Section 503 of the Rehabilitation Act of 1973 States:

*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*

- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.

- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause an Agreement to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

**Bidders**

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

  
 \_\_\_\_\_  
 Signature                      Donna S. Herrick

Herrick Company, Inc.  
 \_\_\_\_\_  
 Name of Business

The Entity (regardless of whether construction Contractor, non-construction Contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities - Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor - Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to 45.640.*
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

KRS 45.640 Minimum skills

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

In the case of an Agreement exceeding \$250,000, the Contractor will be required within seven (7) days following the Bid Opening to furnish evidence that its work-force in Kentucky is representative of the available work-force in the area from which it draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the Contract.

1.10 LFUCG MWDBE PARTICIPATION FORM

LFUCG Bid/RFP/Quote Reference No. 67-2022



The MWDBE and/or Veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the Work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
McKinney Painting, Matt Gamlin 104 Kuhlman Blvd Versailles, KY 40383 859-983-4515 matt@mckinneypainting.com	Painting	\$3000	1%

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the Contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc.  
 \_\_\_\_\_  
 Company

*Donna S. Herrick*  
 \_\_\_\_\_  
 Company Representative Donna S. Herrick

7/21/22  
 \_\_\_\_\_  
 Date

Chief Executive Officer  
 \_\_\_\_\_  
 Title

1.11 LFUCG MWDBE SUBSTITUTION FORM

LFUCG Bid/RFP/Quote Reference No. 67-2022



The substituted MWDBE and/or Veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract

The undersigned acknowledges that any misrepresentation may result in termination of the Contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Herrick Company, Inc.  
Company

Donna S. Herrick  
Company Representative Donna S. Herrick

7/21/22  
Date

Chief Executive Officer  
Title



1.12 MWDBE QUOTE SUMMARY FORM

LFUCG Bid/RFP/Quote Reference No. 67-2022



The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project.

Company Name <b>Herrick Company, Inc</b>	Contact Person <b>Cody Lokits</b>
Address/Phone/Email <b>2176 Waddy Rd Lawrenceburg, KY 40342 502-839-3484 cody@hci96.com</b>	Bid Package / Bid Date <b>67-2022, 7/21/22</b>

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event, etc.)	Total dollars (\$) Do Not Leave Blank (Attach Documentation)	DBE * AA HA AS NA Female	Veteran
McKinney Painting Versailles, KY	Matt Gamlin	matt@mckinneypainting.com	7/1/22	Painting	Email	3000	WBE	No

\*(DBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the Contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Herrick Company, Inc.  
Company

Donna S. Herrick  
Company Representative Donna S. Herrick

7/21/22  
Date

Chief Executive Officer  
Title

\*Not applicable at this time.

**1.13 LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT**

LFUCG Bid/RFP/Quote No. 67-2022



The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Total Contract Amount Awarded to Prime Contractor for this Project \_\_\_\_\_

<b>Project Name/ Contract #</b>	<b>Work Period/ From:</b> _____ <b>To:</b> _____
<b>Company Name:</b>	<b>Address:</b>
<b>Federal Tax ID:</b>	<b>Contact Person:</b>

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the Contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

\_\_\_\_\_  
Company

\_\_\_\_\_  
Company Representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

1.14 LFUCG STATEMENT OF GOOD FAITH EFFORTS

LFUCG Bid/RFP/Quote No. 67-2022



By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBEs and/or Veterans on the project and can supply the appropriate documentation.

- Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
- Included documentation of advertising in the above publications with the bidders good faith efforts package
- Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce
- Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

- Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.
- Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.
- Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Herrick Company, Inc.  
**Company**

*Donna S Herrick*  
**Company Representative** Donna S. Herrick

7/21/22  
**Date**

Chief Executive Officer  
**Title**

**1.15 EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Herrick Company, Inc.  
(Name of Bidder)

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

1.16 WORKFORCE ANALYSIS FORM

Name of Organization: Herrick Company, Inc.

Categories	Total		White (not Hispanic or Latino)		Hispanic or Latino		Black or African-American (not Hispanic or Latino)		Native Hawaiian and other Pacific Islander (not Hispanic or Latino)		Asian (not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (not Hispanic or Latino)		Total	
	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	3	2	1														2	1
Professionals																		
Superintendents	4	4															4	
Supervisors																		
Foremen																		
Technicians																		
Protective Service																		
Para-Professionals	1	1															1	
Office/Clerical	2	2																2
Skilled Craft	14	14															14	
Service/Maintenance																		
Total	24	21	3														21	3

Prepared By: 

Ryan P. Gabbard, Project Manager

Date 7 / 21 / 22

Names Insured: Herrick Company, Inc.  
 Address: 2176 Waddy Road, Lawrenceburg KY 40342  
 Project to be Insured: Hartland 2 Pump Station Upgrades / LFUCG Bid No: 67-2022

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Section 00600 - Bonds and Certifications, including all requirements, and conditions.

Article Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided to Insured	Name of Insurer	A.M. Best's	
					Code	Rating
1.05.D.1	CGL	\$1,000,000/per occ., \$2,000,000/aggregate or \$2,000,000 combined single limit Requirements (a) through (e)	\$1,000,000 per OC \$2,000,000 Agg	Selective Insurance Company	XV	A+
1.05.D.1	Auto	Combined single \$1,000,000/per occ. aggregate Requirements (a) through (c)	\$1,000,000 per occ	Selective Insurance Company	XV	A+
1.05.D.1	WC	\$ <u>                    </u> Statutory	\$4,500,000 <del>\$4,500,000</del>	KY Assoc of General Contractors	VIII	A-
1.05.D.1	Employer's Liability	\$500,000	\$4,500,000			

Section 00600 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Marsh McLennan Agency  
 Agency or Brokerage  
360 East Vine Street 2nd Floor  
 Street Address  
Lexington KY 40588  
 City  
859-254-8023  
 Telephone Number

Sandy Q Black  
 Name of Authorized Representative  
Senior Account Manager  
 Title  
  
 Authorized Signature  
07/13/2022  
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

**IMPORTANT: CONTRACT MAY NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THIS FORM FOR ALL COVERAGES LISTED ABOVE IS NOT PROVIDED.**

1.18 DEBARRED FIRMS

PROJECT NAME: Hartland 2 Pump Station Upgrades

LFUCG BID NO.: 67-2022

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All Bidders shall complete the Debarment Certification in duplicate and submit both copies to the Owner with the Bid Form. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development within fourteen (14) days after Bid opening.

The undersigned hereby certifies that the firm of Herrick Company, Inc. has not and will not award a subcontract, in connection with any Agreement award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964, Executive Order 11246 As Amended or any Federal Law.

Herrick Company, Inc.

\_\_\_\_\_  
Name of Firm Submitting Bid

Donna S Herrick

\_\_\_\_\_  
Signature of Authorized Official Donna S. Herrick

Chief Executive Officer

\_\_\_\_\_  
Title

7/21/22

\_\_\_\_\_  
Date



1.18 DEBARRED FIRMS

PROJECT NAME: Hartland 2 Pump Station Upgrades

LFUCG BID NO.: 67-2022

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All Bidders shall complete the Debarment Certification in duplicate and submit both copies to the Owner with the Bid Form. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development within fourteen (14) days after Bid opening.

The undersigned hereby certifies that the firm of Herrick Company, Inc. has not and will not award a subcontract, in connection with any Agreement award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964, Executive Order 11246 As Amended or any Federal Law.

Herrick Company, Inc.

Name of Firm Submitting Bid

Donna S Herrick

Signature of Authorized Official Donna S. Herrick

Chief Executive Officer

Title

7/21/22

Date

**1.19 DEBARMENT CERTIFICATION**

All Contractors/Subcontractors shall complete this certification.

The Contractor/Subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.
  - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c. Have not within a three (3) year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Herrick Company, Inc.

Project: Hartland 2 Pump Station Rehabilitation

Printed Name: Donna S. Herrick

Title of Authorized Representative: Chief Executive Officer

Signature: *Donna S Herrick*

Date: 7/21/22

1.20 CERTIFICATION REGARDING LOBBYING

**Certification for Contracts, Grants, Loans, and Cooperative Agreements**

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty for not less than \$10,000 and not more than \$100,000 for each such failure.

Donna S. Herrick, Chief Executive Officer

\_\_\_\_\_  
Typed Name & Title of Authorized Representative

*Donna S. Herrick*

\_\_\_\_\_  
Signature of Authorized Representative

7/21/22

\_\_\_\_\_  
Date

\_\_\_\_\_ I am unable to certify to the above statements. My explanation is attached.

1.21 BID BOND

BID BOND

Bond Number: N/A

KNOW ALL MEN BY THESE PRESENTS, that we Herrick Company, Inc.

as principal (the "Principal") and United Fire & Casualty Company

hereinto called Surety, are held and firmly bound unto

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
200 East Main Street, Third Floor  
Lexington, Kentucky 40507

as obligee (the "Obligee"), in the penal sum of Five Percent (5%) of Bid Amount dollars for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for Hartland 2 Pump Station Upgrades

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal within the period specified therein, or, if no period be specified, within ninety (90) days after opening, and the Principal shall enter into a contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or contract documents, or in the event of the failure of the Principal to enter into such contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference in money not to exceed the penal sum hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void; otherwise to remain in full force and effect. In no event shall the liability hereunder exceed the penal sum thereof.

PROVIDED AND SUBJECT TO THE CONDITION PRECEDENT, that any claim by Obligee under this bond must be submitted in writing by registered mail, to the attention of the Surety Law Department at the address above, within 120 days of the date of this bond. Any suit under this bond must be instituted before the expiration of one (1) year from the date of this bond. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall apply.

DATED as of this 21st day of July, 2022

WITNESS / ATTEST:

H. Douglas Herrick  
Principal (Secretary)  
H. Douglas Herrick

Herrick Company, Inc.  
Principal

By: Donna S Herrick (seal)  
Name: Donna S Herrick  
Title: CEO

Jennifer Edwards  
Surety (Secretary)

United Fire & Casualty Company  
Surety

By: Leigh McCarthy (seal)  
Name: Leigh McCarthy  
Title: Attorney-in-Fact





UNITED FIRE & CASUALTY COMPANY, CEDAR RAPIDS, IA  
 UNITED FIRE & INDEMNITY COMPANY, WEBSTER, TX  
 FINANCIAL PACIFIC INSURANCE COMPANY, LOS ANGELES, CA  
 CERTIFIED COPY OF POWER OF ATTORNEY  
 (original on file at Home Office of Company – See Certification)

Inquiries: Surety Department  
 118 Second Ave SE  
 Cedar Rapids, IA 52401

KNOW ALL PERSONS BY THESE PRESENTS, That United Fire & Casualty Company, a corporation duly organized and existing under the laws of the State of Iowa; United Fire & Indemnity Company, a corporation duly organized and existing under the laws of the State of Texas; and Financial Pacific Insurance Company, a corporation duly organized and existing under the laws of the State of California (herein collectively called the Companies), and having their corporate headquarters in Cedar Rapids, State of Iowa, does make, constitute and appoint

JAMES T. SMITH, JAMES H. MARTIN, BROOK T. SMITH, RAYMOND M. HUNDLEY, DEBORAH NEICHTER, MICHELE LACROSSE, JASON CROMWELL, LEIGH MCCARTHY, EACH INDIVIDUALLY

their true and lawful Attorney(s)-in-Fact with power and authority hereby conferred to sign, seal and execute in its behalf all lawful bonds, undertakings and other obligatory instruments of similar nature provided that no single obligation shall exceed \$75,000,000.00 and to bind the Companies thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of the Companies and all of the acts of said Attorney, pursuant to the authority hereby given and hereby ratified and confirmed.

The Authority hereby granted shall expire the 23rd day of February, 2024 unless sooner revoked by United Fire & Casualty Company, United Fire & Indemnity Company, and Financial Pacific Insurance Company.

This Power of Attorney is made and executed pursuant to and by authority of the following bylaw duly adopted by the Boards of Directors of United Fire & Casualty Company, United Fire & Indemnity Company, and Financial Pacific Insurance Company.

**“Article VI – Surety Bonds and Undertakings”**

Section 2, Appointment of Attorney-in-Fact. “The President or any Vice President, or any other officer of the Companies may, from time to time, appoint by written certificates attorneys-in-fact to act in behalf of the Companies in the execution of policies of insurance, bonds, undertakings and other obligatory instruments of like nature. The signature of any officer authorized hereby, and the Corporate seal, may be affixed by facsimile to any power of attorney or special power of attorney or certification of either authorized hereby; such signature and seal, when so used, being adopted by the Companies as the original signature of such officer and the original seal of the Companies, to be valid and binding upon the Companies with the same force and effect as though manually affixed. Such attorneys-in-fact, subject to the limitations set forth in their respective certificates of authority shall have full power to bind the Companies by their signature and execution of any such instruments and to attach the seal the Companies thereto. The President or any Vice President, the Board of Directors or any other officer of the Companies may at any time revoke all power and authority previously given to any attorney-in-fact.

IN WITNESS WHEREOF, the COMPANIES have each caused these presents to be signed by its vice president and its corporate seal to be hereto affixed this 23rd day of February, 2022

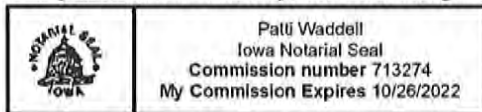
UNITED FIRE & CASUALTY COMPANY  
 UNITED FIRE & INDEMNITY COMPANY  
 FINANCIAL PACIFIC INSURANCE COMPANY

By: *Dennis J. Richmann*  
 Vice President



State of Iowa, County of Linn, ss:

On 23rd day of February, 2022, before me personally came Dennis J. Richmann to me known, who being by me duly sworn, did depose and say; that he resides in Cedar Rapids, State of Iowa; that he is a Vice President of United Fire & Casualty Company, a Vice President of United Fire & Indemnity Company, and a Vice President of Financial Pacific Insurance Company the corporations described in and which executed the above instrument; that he knows the seal of said corporations; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporations and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporations.



*Patti Waddell*  
 Notary Public  
 My commission expires: 10/26/2022

I, Mary A. Bertsch, Assistant Secretary of United Fire & Casualty Company and Assistant Secretary of United Fire & Indemnity Company, and Assistant Secretary of Financial Pacific Insurance Company, do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Section of the bylaws and resolutions of said Corporations as set forth in said Power of Attorney, with the ORIGINALS ON FILE IN THE HOME OFFICE OF SAID CORPORATIONS, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.

In testimony whereof I have hereunto subscribed my name and affixed the corporate seal of the said Corporations this 21st day of July, 2022.



By: *Mary A. Bertsch*  
 Assistant Secretary,  
 UF&C & UF&I & FPIC

MAYOR LINDA GORTON



**LEXINGTON**

TODD SLATIN  
DIRECTOR  
CENTRAL PURCHASING

United States, Louisville

**2. DRAWINGS**

N/A

A handwritten signature in black ink that reads "Todd Slatin".

Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.  
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Herrick Company, Inc.

ADDRESS: 2176 Waddy Rd, Lawrenceburg, KY 40342

SIGNATURE OF BIDDER: *Donna S Herrick*

Donna S. Herrick, CEO



MAYOR LINDA GORTON



LEXINGTON

TODD SLATIN  
DIRECTOR  
CENTRAL PURCHASING



Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.  
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Herrick Company, Inc.

ADDRESS: 2176 Waddy Rd, Lawrenceburg, KY 40342

SIGNATURE OF BIDDER: Donna S Herrick

Donna S. Herrick, CEO

Attachments:

1. Pre bid Meeting Summary
2. Pre bid Meeting Roster



**HERRICK COMPANY, INC.**  
**Bid: Hartland 2 PS Rehabilitation**

PROJECT NAME / Type of Work	Owner / Contact Info	Engineer	Status: Complete	Contract Amount
Campbellsville WTP Contract 1 - Improvements/Modifications	City of Campbellsville, 110 S. Columbia Ave., Campbellsville, KY 42718	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2021	\$6,042,010.56
City Springs WTP - Building new pump station and installing new equipment	Hardin County Water District #2, 360 Ring Rd, Elizabethtown, KY 42701, 270-737-1056	Kenviron, Inc., Frankfort, KY 40601, 502-695-4357	2021	\$1,514,771.00
Evarts WTP Refurbish - Replacing flocculation systems and pumps	City of Evarts, Evarts KY 40828	Kenviron, Inc., Frankfort, KY 40601, 502-695-4357	2021	\$209,853.00
Henry County Media #2 - Remove and intall media	Henry County Water District, Campbellsburg, KY 40011, Keith Morris 502-777-4591	No engineer involved	2021	\$60,500.00
Hopkinsville WTP Filters - Replace support beams in filters	Hopkinsville Water Enviornmental Authority, Hopkinsville, KY 42240	Owner - Internal engineers involved	2021	\$49,900.00
Lewisport WWTP Improvements - Constructing new piping	City of Lewisport, 350 Carolina St, Lewisport, KY 42351	Bluegrass Engineering, Georgetown, KY 40324 - Bryan Lovan 859-351-1714, Matt Curtis 502-370-6551	2021	\$95,350.00
Town Branch Chlorine Catwalk Project	Lexington-Fayette Urban County Government, Lexington, KY 40507, Brian Marcum 859-258-3325	Owner - Internal engineers involved	2021	\$74,350.00
Town Branch Secondary Digesters - Improvements, replacing and installing pumps	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2021	\$1,462,578.07
Town Branch/West Hickman Eyewash - Eyewash replacement	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	LFUCG Division of Water Quality, Tiffany Rank, 125 Lisle Industrial Avenue, Lexington, KY 40511, 859-425-2406	2021	\$473,590.00
Creation Museum WWTP - New pump station	Creation Museum - Tom Van Huss, Petersburg, KY 41080, 888-582-4253	Owner - Contractor design-build	2020	\$51,000
Edmonton Damn Spillway - Grout	City of Edmonton, KY 42129, 270-432-2811	Monarch Engineering, Lawrenceburg, KY 40342, 502-839-1310	2020	\$39,500
Frankfort Sludge Valve Replacement WTP	Frankfort Plant Board, 305 Hickory Dr, Frankfort, KY 40601, 502-352-4372	Owner - Internal engineers involved	2020	\$82,151
Frankfort WWTP Spitter Box - Replace splitter box and slide gates	City of Frankfort, KY 40601, 502-875-2448	Owner - Contractor design-build	2020	\$32,750
Fort Knox Clarifiers WWTP - Replace mechanisms and clarifier	Hardin County Water District - Daniel Clifford, Radcliff, KY 40160, 207-737-1056	Owner - Internal engineers involved	2020	\$110,500
Lebanon Rotors WWTP - Oxidation ditch rotor replacements	Lebanon Water Works Co., Lebanon, KY 40033, 270-692-2491	Owner - Internal engineers involved	2020	\$34,730
Max Rhodes WWTP UV channels	Regional Water Resource Agency - Victor Cernius, 2101 Grimes Ave, Owensboro, KY 42303, 270-687-8450	Strand Associates - Mark Sneve, Louisville, KY 40202, 502-583-7020	2020	\$360,870
McCreary WWTP Chemical Room - Replace pumps and tanks	McCreary County Water District, 456 North Hwy 27, Whitley City, KY 42653, 606-376-2540	KY Engineering Group - Ryan Carr, Versailles, KY 40383, 859-251-4127	2020	\$277,567
Midway WWTP Miscellaneous Repairs	City of Midway, KY 40347, 859-846-4413	Contract design-build	2020	\$76,351
Morehead WWTP Blower - Replace blowers	Morehead Utility Plant Board, - Holly McGrath-Rosas 135 S Wilson Ave, Morehead, KY 40351, 606-784-5538	Eclipse Engineers - Alan Robinson, PE, Somerset, KY 42501, 606-451-0959	2020	\$315,500
West Kickman Scum WWTP - Pipes and valves installation	LFUCG Division of Water Quality, 125 Lisle Industrial Ave, Lexington, KY 40511, 859-425-2400	Strand Associates - Mike Davis, Lexington, KY 40511, 859-225-8500	2020	\$1,486,537
Big Spring Parks Aerial Sewer Pier Replacement - WWTP Pier Replacement	City of Versailles, KY - Mitzi Delius, 859-573-5436	GRW Engineers, Inc. - Mike Jacobs, 859-223-3999	2019	\$186,750
Buffalo Trace Mixer / Install gear box on floating aerator	Buffalo Trace Distillery, Frankfort, KY - B. Sauders or Travis Hammond, 502-696-5942	Contractor design-build	2019	\$58,400
KY Horse Park PS Generator: Replace pump stations generator	LFUCG Division of Water Quality - Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Hazen & Sawyer - Kurt Zenhnder, Lexington, KY, 859-219-1126	2019	\$145,525
WWTP Improvements / Rotors & screw pumps	City of Lebanon, KY - Eddie Masterson, 270-692-6272	Contractor design-build	2019	\$84,721
Lincoln Trail Lift Station Rehabilitation Project: WTP Improvements	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Bell Engineering, Inc. - David Schrader, 859-278-5412	2019	\$784,890
West Hickman WWTP Blower / Wastewater Treatment	LFUCG Division of Water Quality, Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Internal engineers involved	2019	\$95,000
WWTP Improvements: Chemical Feed & Phosphorous Removal	City of Hardinsburg, KY - David Wakefield, 270-756-6719	KY Engineering Group, LLC - Ryan Carr, Versailles, KY, 859-251-4127	2018	\$1,930,382
Water Treatment Plant Valve Replacement - Valves	Wood Creek Water District - Robert Doan, 606-843-7113	Kenviron, Inc. - Vaughn Williams, 502-695-4357	2018	\$111,250



**HERRICK COMPANY, INC.**  
**Bid: Hartland 2 PS Rehabilitation**

PROJECT NAME / Type of Work	Owner / Contact Info	Engineer	Status: Complete	Contract Amount
W Hickman WWTP Zone 2 Aeration - Aeration System Improvements	LFUCG Division of Water Quality, Betty Landrum, 125 Lisle Industrial Avenue, Lexington, KY, 859-258-3320	Internal engineers involved	2018	\$531,400
Redstone Pump Station - Replace 3 Pump Stations & 1 New Force Main	PDR Properties - Dona Ray, 1029 Monarch Street, Lexington, KY, 859-223-0425	Internal engineers involved	2018	\$293,030
Water Treatment Plant Modifications - HSPS Valves Replacement, et al	Lebanon Water Works Company - Daren Thompson, 270-692-2491	KY Engineering Group, LLC - Jim Thompson, Versailles, KY, 859-251-4127	2018	\$174,250
WWTP Generator & SCADA System / Non-Potable Water System - Replace Generator, Install SCADA, etc.	City of Frankfort Sewer Department, Kenny Hogsten, 1200 KY Avenue, Frankfort, KY, 502-875-2448	HMB Professional Engineers, Ray Bascom, 3 HMB Circle, Frankfort, KY, 502-695-9800	2018	\$1,909,660
Frankfort WWTP Clarifiers - Clarifier Rehab	City of Frankfort Sewer Department, Kenny Hogsten, 1200 KY Avenue, Frankfort, KY, 502-875-2448	Internal engineers involved	2018	\$186,500
Scottsville WWTP Miscellaneous Modifications - Modifications to Existing Grit Chamber, et al	City of Scottsville, KY - City Clerk Gayle Davis, 270-237-3238	GRW - Adalyn Haney, Louisville KY, 502-489-8484	2018	\$193,714
Campbellsville WTP Improvements - High Service Pump No. 2 Replacement	City of Campbellsville, KY - Mayor Tony Young, 270-465-7011	Monarch Engineering, Inc., Lawrenceburg, KY, 502-839-1310	2017	\$242,002
Frankfort WWTP Septage Receiving Station	Frankfort Sewer Department - Kenny Hogsten, 502-875-2448	Strand Associates, Inc. - John Herriford, Louisville, KY, 502-583-7020	2017	\$69,700
Water Treatment Plant Improvements - Contract 2	Cave Run Water Commission - Edward Bryant, 606-768-6665	KY Engineering Group, LLC - Bryan Lovan, Versailles, KY, 859-251-4127	2017	\$563,813
GMWSS No. 1 Digester Sludge Pump Replacement	GMWSS - Brad Parrent, C5- 859-509-5673	KY Engineering Group, LLC - Bryan Lovan, Versailles, KY, 859-251-4127	2017	\$232,250
Radcliff WWTP Mechanical Bar Screen	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Hardin County Water District No. 1, Jim Boyken, PE, 1400 Rogersville Road, Radcliff, KY 270-352-3222	2017	\$227,700
Radcliff WWTP Ultraviolet Disinfection System	Hardin County Water District No. 1 - Daniel Clifford, 270-351-3222	Hardin County Water District No. 1, Jim Boyken, PE, 1400 Rogersville Road, Radcliff, KY 270-352-3222	2017	\$404,400

Herrick Company, Inc  
2176 Waddy Road  
Lawrenceburg, KY 40342

BID: Hartland 2 Pump Station Rehabilitation

Bid Date: July 21, 2022

**Qualifications Statement –Required Attachments Section 1.05, H: Current Bonded Contracts**

Safety Manager for all projects: H. Douglas Herrick

Project Manager for all projects: Ryan P. Gabbard

<u>Project Name</u>	<u>Amount</u>	<u>Contract Date</u>	<u>Status</u>
Burkesville WWTP Improvements Burkesville, KY – Wastewater Treatment Plant Owner: City of Burkesville, KY, 378 Keen Street, Burkesville, KY, Ed Peretto (270-864-5670) GRW Engineers, Inc.: Mike Jacobs, 801 Corporate Drive, Lexington, KY (859-223-3999) HCI Superintendent: Greg Gribbins	\$3,327,655	Sept-20	In process
2020 WTP Improvements Louisa, KY – Water Treatment Plant Owner: City of Louisa, KY, 251 N Main Cross St., Louisa, KY, Mayor Harold Slone (606-638-4500) Kentucky Engineering Group: Ryan Carr, PO Box 1034, Versailles, KY (859-251-4127) HCI Superintendent: Chad Holbrook	\$496,000	June-21	In process
WWTP Improvements – Phase 1 Middlesboro, KY – Wastewater Treatment Plant Owner: City of Middlesboro, KY, 221 North 21 <sup>st</sup> St, Middlesboro, KY, Josh Campbell (606-248-7625) Vaughn & Melton Consulting Engineers, Inc.: Mitch Brunsma (606-248-6600) HCI Superintendent: Eddie Johnson	\$1,080,500	Aug-21	In process
E.C. McManis WWTP Headworks Improvements Frankfort, KY – Wastewater Treatment Plant Owner: City of Frankfort, Carl Groce, 1200 KY Avenue, Frankfort, KY (502-395-0256) Engineer: Bell Engineering, David Schrader, 2480 Fortune Drive, Ste. 350, Lexington, KY (859-278-5412) HCI Superintendent: Chad Holbrook	\$1,393,000	June-21	In process
Wastewater Treatment Plant Improvements Campbellsville, KY – WWTP Owner: City of Campbellsville, Brenda Allen, Mayor, 270-465-7011, 100 Terri Street, Campbellsville, KY Engineer: Monarch Engineering, Inc., Deron Byrne, 502-839-1310, 556 Carlton Drive, Lawrenceburg, KY HCI Superintendent: Greg Gribbins	\$374,500	Sept-21	In process

WTP Ammonia System & Sulfuric Acid Upgrades \$685,000 Dec-21 In process  
Frankfort, KY – Water Treatment Plant  
Owner: Frankfort Electric & Water Plant Board, Sharmista Dutton, 502-352-4372, 151 Flynn Ave,  
Frankfort, KY  
Engineer: HDR, Brent Tippey, 859-629-4831, 2517 Sir Barton Way, Lexington, KY  
HCI Superintendent: Chad Holbrook

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E.C. McManis WWTP Blowers \$701,670 Apr-22 In Process  
Frankfort, KY – Wastewater Treatment Plant  
Owner: Frankfort Sewer Department, Kenny Hogsten, 1200 Kentucky Ave, Frankfort, KY (502-875-2448)  
Engineer: Bell Engineering, David Schrader, 2480 Fortune Dr, Ste 350, Lexington, KY (859-278-5412)  
HCI Superintendent: Chad Holbrook

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Mayfield Emergency Clarifiers Modifications \$349,000 Mar-22 In Process  
Mayfield, KY – Wastewater Treatment Plant  
Owner: Mayfield Water & Electric Systems, Brent Schultz, 301 E Broadway, Mayfield, KY (270-804-5846)  
Engineer: Water Management Services, Steven Jones, 2 International Plaza, STE 401, Nashville, TN  
(615-366-6088)  
HCI Superintendent: Eddie Johnson

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On-Site Sodium Hypochlorite Generation \$339,700 Jan-22 In Process  
Lawrenceburg, KY – Water Treatment Plant  
Owner: City of Lawrenceburg, Monty Rhody, 100 N Main St, Lawrenceburg, KY (502-839-5372)  
Engineer: Same  
HCI Superintendent: Greg Gribbins

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Hartland 3 Pump Station Replacement \$1,110,000 Mar-22 In Process  
Lexington, KY – Wastewater Treatment Plant  
Owner: LFUCG, Division of Water Quality, Robert Peterson, 200 E Main, Lexington, KY (859-223-3999)  
Engineers: Bell Engineering, Jonathan Rehner, 2480 Fortune Dr., Lexington, KY (859-278-5412); Hazen and  
Sawyer, Kurt Zehnder, 230 Lexington Green Circle, Lexington, KY (859-219-1126); GRW Engineers, Mike  
Jacobs, 801 Corporate Drive, Lexington, KY (859-223-3999)  
HCI Superintendent: Bill Robinson

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George W. Arnold Water Treatment Facility \$698,995 Jan-22 In Process  
Upgrades & Expansion  
Guthrie, KY – Water Treatment Plant  
Owner: Logan-Todd Regional Water Commission, Kyle Kenner, 248 Tower Street, Guthrie, KY (270-482-  
6990)  
Engineers: Strand Associates, Andrew Esarey, 325 W. Main, Louisville, KY (502-583-7020)  
HCI Superintendent: To be determined

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## BID: Hartland 2 Pump Station Upgrades

### Bidder's Qualification Statement - Section 1.05 - Item I

Donna S. Herrick - Chief Executive Officer

HCI Start Date: 1/26/96 (Years in construction - 27)

**Experience:** Management, cost-tracking, asset acquisition, budgeting, financial planning, risk management and control, project analysis, corporate taxes, human resources and payroll, new business start-ups

---

H. Douglas Herrick - Owner

HCI Start Date: 1/26/96 (Years in construction - 48)

**Experience:** Management, SFR contract builder, carpentry, estimating, supervisor on water- and waste-water treatment projects, including new construction, renovation, maintenance and emergency work

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Cody M. Lokits - President

HCI Start Date: 2/8/22 (Years in construction - 12)

**Experience:** BS in Civil Engineering, project engineer, project manager, project estimating, cost tracking & containment, safety officer

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Eddie R. Johnson - Superintendent

HCI Start Date: 5/22/99 (Years in construction - 49)

**Experience:** Carpentry, electrical, plumber, layout & design, supervisory

---

Gregory A. Gribbins - Superintendent

HCI Start Date: 1/7/19 (Years in construction - 30)

**Experience:** Foreman, layout, carpentry, supervisory

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Ryan P. Gabbard - Project Manager / Superintendent

HCI Start Date: 12/30/00 (Years in construction - 21)

**Experience:** Laborer, rebar foreman, layout, carpentry, tower construction, supervisory; project manager

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Chadrick D. Holbrook - Superintendent

HCI Start Date: 1/19/18 (Years in construction - 18 )

**Experience:** Operator, carpentry, supervisory

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Sabrina K. Gabbard - Office Manager / Payroll Administrator

HCI Start Date: 10/09/06 (Years in construction - 16)

**Experience:** Payroll administrator & Human Resources management, project reporting, equipment control & maintenance, payables & receivables, year-end information reporting, quarterly tax filing, field work as labor & forklift operator

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## Hartland 2 Pump Station Upgrades

### DBE Participation on Current Projects, Section 1.05, Item J

<u>Subcontractor</u>	<u>Project</u>	<u>MWDBE</u>	<u>% of Total Contract</u>
McKinney Painting	Burkesville WWTP	Yes	2%
M&M Manufacturing	Burkesville WWTP	Yes	1%
Mills Supply	Burkesville WWTP	Yes	1%
McKinney Painting	Middlesboro Phase 1	Yes	2%
M&M Manufacturing	Middlesboro Phase 1	Yes	<1%
McKinney Painting	Frankfort WWTP Headworks	Yes	1%
M&M Manufacturing	Campbellsville Influent Meters	Yes	7%
Mills Supply	Campbellsville Influent Meters	Yes	4%
Nationwide Fence	Campbellsville Influent Meters	Yes	1%
McKinney Painting	Frankfort WTP Ammonia	Yes	3%
M&M Manufacturing	Frankfort WTP Ammonia	Yes	3%
Mills Supply	Frankfort WTP Ammonia	Yes	<1%
Mills Supply	Frankfort WWTP Blowers	Yes	<1%
McKinney Painting	Frankfort WWTP Blowers	Yes	1.4%
M&M Manufacturing	Mayfield WWTP Clarifiers	Yes	11.7%
McKinney Painting	Hartland 3 Pump Station	Yes	1%
M&M Manufacturing	Logan/Todd WTP	Yes	1.4%

## Ryan Gabbard

---

**From:** Ryan Gabbard  
**Sent:** Friday, July 1, 2022 10:04 AM  
**To:** smiller@lexingtonky.gov  
**Subject:** INVITATION TO BID: Hartland 2 PS Rehab

Dear Sherita Miller - Minority & Business Enterprise Liaison - LFUCG,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

### **LFUCG – HARTLAND 2 PUMP STATION UPGRADES**

**BID DATE:** Thursday, July 21, 2022

**BID TIME:** 10:00 AM ET

**We hereby request your assistance in providing this project information to Disadvantaged, Minority, Woman, and Veteran Owned firms to provide a proposal on any portion of the project as described below, per the Plans & Specifications:**

*The project includes providing all construction supervision, labor, materials, tools, test equipment necessary for the Hartland 2 Pump Station Upgrades, to include emergency pump bypass connections, replacement of all operating valves, upgrade of electrical controls and conduit, and replacement of pumps and associated equipment along with all incidental work for a complete installation, as shown on the Drawings and described in the Specifications.*

We are seeking proposals on the following scopes of work:

- *Miscellaneous Metals*
- *Painting and Coatings*
- *Process Equipment & Pumps*
- *Process Valves*
- *SCADA Integration*
- *Instrumentation & Controls*
- *Ductile Iron & PVC Piping*
- *Electrical*

Electronic bidding documents may be accessed at the following link:

[220721 – Hartland 2 PS Rehabilitation Project](#)

Please respond to this email if you can provide the requested assistance.

Thank you,

**Ryan Gabbard**  
**Herrick Company, Inc.**  
2176 Waddy Rd.  
Lawrenceburg, KY 40342  
Office: 502-839-3484

## Ryan Gabbard

---

**From:** Sherita Miller <smiller@lexingtonky.gov>  
**Sent:** Friday, July 1, 2022 10:10 AM  
**To:** Ryan Gabbard  
**Subject:** RE: INVITATION TO BID: Hartland 2 PS Rehab  
**Attachments:** LFUCG Certified List\_May 2022\_.xlsx

Good morning Ryan,

Attached is a copy of LFUCG's certified list of minority, women and veteran owned businesses. This is a list of businesses with various specialties.


Thanks, Sherita

**Sherita Miller, MPA, CPSD**  
*Minority Business Enterprise Liaison*  
Central Purchasing

859.258.3323 office  
[lexingtonky.gov](http://lexingtonky.gov)



**From:** Ryan Gabbard <Ryan@HCI96.COM>  
**Sent:** Friday, July 1, 2022 10:04 AM  
**To:** Sherita Miller <smiller@lexingtonky.gov>  
**Subject:** INVITATION TO BID: Hartland 2 PS Rehab

 You don't often get email from [ryan@hci96.com](mailto:ryan@hci96.com). [Learn why this is important](#)

[EXTERNAL] Use caution before clicking links and/or opening attachments.

Dear Sherita Miller - Minority & Business Enterprise Liaison - LFUCG,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

### **LFUCG – HARTLAND 2 PUMP STATION UPGRADES**

**BID DATE:** Thursday, July 21, 2022

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## Ryan Gabbard

---

**From:** Ryan Gabbard  
**Sent:** Friday, July 1, 2022 9:07 AM  
**To:** 'brian@millssupply.net'  
**Subject:** Invitation To Bid - Hartland 2 PS Rehabilitation

Brian Ackerman - Mills Supply Co., Inc,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

### **LFUCG – HARTLAND 2 PUMP STATION UPGRADES**

**BID DATE:** Thursday, July 21, 2022  
**BID TIME:** 10:00 AM ET

**We hereby invite your firm to provide a proposal on any portion of the project as described below, per the Plans & Specifications:**

*The project includes providing all construction supervision, labor, materials, tools, test equipment necessary for the Hartland 2 Pump Station Upgrades, to include emergency pump bypass connections, replacement of all operating valves, upgrade of electrical controls and conduit, and replacement of pumps and associated equipment along with all incidental work for a complete installation, as shown on the Drawings and described in the Specifications.*

We are seeking proposals on the following scopes of work:

- *Miscellaneous Metals*
- *Painting and Coatings*
- *Process Equipment & Pumps*
- *Process Valves*
- *SCADA Integration*
- *Instrumentation & Controls*
- *Ductile Iron & PVC Piping*
- *Electrical*

Electronic bidding documents may be accessed at the following link:

[220721 – Hartland 2 PS Rehabilitation](#)

**Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.**

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Wednesday, July 20, 2022** for review and consideration.

Thank you,

**Ryan Gabbard**  
**Herrick Company, Inc.**  
2176 Waddy Rd.



## Ryan Gabbard

---

**From:** Ryan Gabbard  
**Sent:** Friday, July 1, 2022 9:07 AM  
**To:** 'daniel@m-mmanufacturing.com'  
**Subject:** Invitation To Bid - Hartland 2 PS Rehabilitation

Daniel Hodges - M&M Manufacturing,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

### **LFUCG – HARTLAND 2 PUMP STATION UPGRADES**

**BID DATE:** Thursday, July 21, 2022

**BID TIME:** 10:00 AM ET

**We hereby invite your firm to provide a proposal on any portion of the project as described below, per the Plans & Specifications:**

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- *Painting and Coatings*
- *Process Equipment & Pumps*
- *Process Valves*
- *SCADA Integration*
- *Instrumentation & Controls*
- *Ductile Iron & PVC Piping*
- *Electrical*

Electronic bidding documents may be accessed at the following link:

[220721 – Hartland 2 PS Rehabilitation](#)

**Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.**

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Wednesday, July 20, 2022** for review and consideration.

Thank you,

**Ryan Gabbard**  
**Herrick Company, Inc.**  
2176 Waddy Rd.

## Ryan Gabbard

---

**From:** Ryan Gabbard  
**Sent:** Friday, July 1, 2022 9:07 AM  
**To:** 'matt@mckinneypainting.com'  
**Subject:** Invitation To Bid - Hartland 2 PS Rehabilitation

Matt Gamlin - Mckinney Painting,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

### **LFUCG – HARTLAND 2 PUMP STATION UPGRADES**

**BID DATE:** Thursday, July 21, 2022

**BID TIME:** 10:00 AM ET

**We hereby invite your firm to provide a proposal on any portion of the project as described below, per the Plans & Specifications:**

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We are seeking proposals on the following scopes of work:

- *Miscellaneous Metals*
- *Painting and Coatings*
- *Process Equipment & Pumps*
- *Process Valves*
- *SCADA Integration*
- *Instrumentation & Controls*
- *Ductile Iron & PVC Piping*
- *Electrical*

Electronic bidding documents may be accessed at the following link:

[220721 – Hartland 2 PS Rehabilitation](#)

**Disadvantaged, Minority, Woman, and Veteran Owned Businesses are encouraged to bid.**

Please respond to this email if you are interested in providing a proposal, and, if possible, please provide your proposal by **Wednesday, July 20, 2022** for review and consideration.

Thank you,

**Ryan Gabbard**  
**Herrick Company, Inc.**  
2176 Waddy Rd.

## Ryan Gabbard

---

**From:** Ryan Gabbard  
**Sent:** Friday, July 1, 2022 8:50 AM  
**To:** brad.putty@ky.gov  
**Subject:** INVITATION TO BID: Hartland 2 PS Rehab

Dear Brad Putty - Office for Civil Rights & Small Bus. Development - KYTC,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

### **LFUCG – HARTLAND 2 PUMP STATION UPGRADES**

**BID DATE:** Thursday, July 21, 2022

**BID TIME:** 10:00 AM ET

**We hereby request your assistance in providing this project information to Disadvantaged, Minority, Woman, and Veteran Owned firms to provide a proposal on any portion of the project as described below, per the Plans & Specifications:**

*The project includes providing all construction supervision, labor, materials, tools, test equipment necessary for the Hartland 2 Pump Station Upgrades, to include emergency pump bypass connections, replacement of all operating valves, upgrade of electrical controls and conduit, and replacement of pumps and associated equipment along with all incidental work for a complete installation, as shown on the Drawings and described in the Specifications.*

*We are seeking proposals on the following scopes of work:*

- *Miscellaneous Metals*
- *Painting and Coatings*
- *Process Equipment & Pumps*
- *Process Valves*
- *SCADA Integration*
- *Instrumentation & Controls*
- *Ductile Iron & PVC Piping*
- *Electrical*

Electronic bidding documents may be accessed at the following link:

[220721 – Hartland 2 PS Rehabilitation Project](#)

Please respond to this email if you can provide the requested assistance.

Thank you,

**Ryan Gabbard**  
**Herrick Company, Inc.**  
2176 Waddy Rd.  
Lawrenceburg, KY 40342  
Office: 502-839-3484

**Ryan Gabbard**

---

**From:** Ryan Gabbard  
**Sent:** Friday, July 1, 2022 8:50 AM  
**To:** shella.eagle@ky.gov  
**Subject:** INVITATION TO BID: Hartland 2 PS Rehab

Dear Shella Jarvis - Office for Civil Rights & Small Bus. Development - KYTC,

**Herrick Company, Inc.** will be bidding as a General Contractor on the following Project:

**LFUCG – HARTLAND 2 PUMP STATION UPGRADES**

**BID DATE:** Thursday, July 21, 2022  
**BID TIME:** 10:00 AM ET

**We hereby request your assistance in providing this project information to Disadvantaged, Minority, Woman, and Veteran Owned firms to provide a proposal on any portion of the project as described below, per the Plans & Specifications:**

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- *Painting and Coatings*
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- *Electrical*

Electronic bidding documents may be accessed at the following link:

[220721 – Hartland 2 PS Rehabilitation Project](#)

Please respond to this email if you can provide the requested assistance.

Thank you,

**Ryan Gabbard**  
**Herrick Company, Inc.**  
2176 Waddy Rd.  
Lawrenceburg, KY 40342  
Office: 502-839-3484

## Ryan Gabbard

---

**From:** Matt Gamlin <matt@mckinneypainting.com>  
**Sent:** Thursday, July 21, 2022 8:58 AM  
**To:** Ryan Gabbard  
**Cc:** HCI; Cody Lokits  
**Subject:** Re: LFUCG - Hartland 2 Pump Station Upgrades

Yes

Matt Gamlin  
McKinney Painting  
(Mobile Response)

---

**From:** Ryan Gabbard <Ryan@HCI96.COM>  
**Sent:** Thursday, July 21, 2022 8:37:08 AM  
**To:** Matt Gamlin <matt@mckinneypainting.com>  
**Cc:** HCI <HCI@HCI96.COM>; Cody Lokits <cody@HCI96.COM>  
**Subject:** LFUCG - Hartland 2 Pump Station Upgrades

Matt,

To confirm, we have you down for \$3,000 for the painting work on this project (verbal from you to Cody). Is this correct?

Thanks,

*Ryan P. Gabbard*

Project Manager  
Herrick Company, Inc.  
2176 Waddy Rd.  
Lawrenceburg, KY 40342  
502-839-3484 Office  
502-682-6903 Cell

**Please note my new email address: [ryan@hci96.com](mailto:ryan@hci96.com)**

### Disclaimer

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